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DEPARTMENT OF ENVIRONMENTAL AND OCCUPATIONAL HEALTH

SCHOOL OF PUBLIC HEALTH AND HEALTH SCIENCES

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September 8, 2006

Andrew C. von Eschenbach, MD  
Acting Commissioner  
US Food and Drug Administration  
5630 Fishers Lane  
Rockville, Maryland 20852

Dear Dr. von Eschenbach:

We submit the following petition, pursuant to section 409(b)(1) of the *Federal Food, Drug and Cosmetic Act*, 21 *Code of Federal Regulations (CFR)* 171.130, and as provided in 21 *CFR* 10.30 for a citizen petition. There is compelling evidence that breathing diacetyl vapors causes lung disease, and there is no evidence of a safe exposure level. Since no studies have been conducted on the effects of breathing diacetyl by consumers, it is not yet possible to identify a safe level of airborne exposure. Therefore, the US Food and Drug Administration (FDA)'s designation of diacetyl as "generally recognized as safe" (GRAS) is not supported by scientific evidence. We urge the FDA's prompt action to cancel the GRAS designation for diacetyl until proper testing is completed and the results are independently evaluated.

Action Requested:

We request a revocation of the designation "generally regarded as safe" (GRAS) for the chemical diacetyl (2,3-butanedione, CAS Reg. No. 431-03-8). Diacetyl is a commonly used food flavoring with a buttery odor and flavor; it is chemically synthesized from methyl ethyl ketone.<sup>1</sup> Because a growing body of scientific evidence links inhalation of diacetyl to bronchiolitis obliterans and other forms of respiratory impairment, the FDA can no longer allow the GRAS designation for this food additive. We provide below our justification and

"an assertion of the facts, supported by data, showing that new information exists with respect to the food additive [diacetyl]...that new data area available as to toxicity of the chemical...may justify amendment or repeal" of its GRAS designation. (21 *CFR* 171.130)

Statement of Grounds:

In the last few years, the National Institute for Occupational Safety and Health (NIOSH) has identified numerous cases of lung disease and impairment among workers exposed to diacetyl in food production plants.<sup>2,3,4</sup> Dozens of workers employed at popcorn plants have developed

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occupational lung disease, and at least one has died. Several of these workers are on lung transplant lists.<sup>5,6</sup> The disease may not be limited to workers who have extremely high exposure; NIOSH scientists reported respiratory impairment among quality control workers who were exposed to diacetyl by cooking and opening bags of freshly popped microwave popcorn.<sup>7</sup> These findings are particularly relevant as the quality control workers' tasks imitate the action of typical consumers who consume microwave popcorn.

The sentinel cases in the most recent outbreak of bronchiolitis obliterans occurred in microwave popcorn plant workers from Jasper, Missouri, who were diagnosed in 1999. NIOSH began an investigation at a Missouri plant where eight current or former workers had developed the disease. NIOSH scientists found that respiratory symptoms were linked with exposure to diacetyl and the butter flavoring agents. Workers at this plant had cough and shortness of breath at a rate 2.6 times higher than what would be expected in the U.S. population. Twice as many workers as expected reported being told by their physicians that they had asthma or chronic bronchitis. Lung function testing revealed that three times as many workers as expected had obstruction to airflow. These results were reported first in the Center for Disease Control and Prevention's *Morbidity and Mortality Weekly Report*<sup>8</sup> in April 2002 and then in the *New England Journal of Medicine* in August 2002.<sup>9</sup> In all, NIOSH has conducted six investigations at 10 microwave popcorn facilities and has found respiratory impairment among workers at a majority of the plants.<sup>10</sup>

Since the initial reports focused on individuals employed in microwave popcorn factories, the disease is often called "popcorn workers lung."<sup>11,12</sup> It has become clear, however, that the disease has struck workers in other segments of the food and flavorings industries, and is not limited to microwave popcorn facilities.<sup>13</sup> The California Department of Health Services has recently reported two cases among diacetyl-exposed workers employed at factories at which the flavorings are produced.<sup>14</sup>

Laboratory studies have confirmed the role of diacetyl in the development of bronchiolitis obliterans. A manufacturer of diacetyl conducted a study in 1993 in which researchers exposed rats to pure diacetyl. After one four-hour period of exposure to the chemical, the sacrificed animals revealed an "abundance of symptoms indicative of respiratory tract injury."<sup>15</sup> To our knowledge, the manufacturer never reported these results to the government or published them in the scientific literature. Following the outbreak of bronchiolitis obliterans among the microwave popcorn-packaging workers, NIOSH carried out several toxicological studies on diacetyl and other butter flavoring substances. In one, rats were exposed for a single six-hour period to airborne concentrations of heated butter flavoring, of which diacetyl was the primary constituent. The scientists reported significant lung damage among rats with exposure as low as 203 parts per million.<sup>16</sup> In another study, NIOSH scientists exposed rats to pure diacetyl and found similar results.<sup>17</sup> Most recently, a study using guinea pigs and found exposure to diacetyl caused adverse effects to respiratory tissue and structure.<sup>18</sup>

In addition to the epidemiological and toxicological evidence described above, diacetyl fails to meet the definition of GRAS in another respect. Under FDA regulations, diacetyl can only be designated as GRAS provided its use conforms to good manufacturing practice. (21 CFR 184.1278) The plain meaning of "good manufacturing practice" suggests that exposure to diacetyl under normal production processes will be safe. This plain meaning is contradicted by the number of diacetyl-exposed workers suffering from bronchiolitis obliterans and other forms of respiratory impairment.

Furthermore, we know of no research into the health effects of exposure of consumers, including vulnerable individuals and children, to airborne diacetyl or artificial butter flavor during the preparation of foods.

In summary, there is compelling evidence of disease caused by breathing diacetyl vapors, and there is no evidence that there is a safe exposure level below which exposure does not cause lung disease. Therefore, it is incorrect to designate diacetyl as "generally recognized as safe" since there is no evidence that it is safe and extensive evidence that breathing it is hazardous.

Environmental impact:

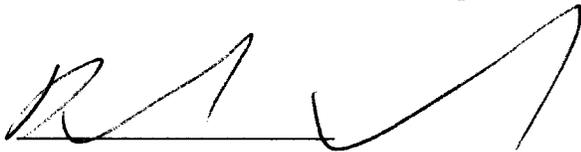
No exclusion claimed.

Economic impact:

Statement not required.

Certification:

The undersigned certifies, that, to the best knowledge and belief of the undersigned, this petition includes all information and views on which the petition relies, and that it includes representative data and information known to the petitioner that are unfavorable to the petition.



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## References

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<sup>1</sup> Title 21, Part 184, § 184.1278 Diacetyl.

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<sup>8</sup> Centers for Disease Control and Prevention. *Morbidity and Mortality Weekly Report*. Fixed Obstructive Lung Disease in a Microwave Popcorn Factory-Missouri, 2000-2002, 51; 345-347 (Apr. 26, 2002).

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