

1           For reasons already discussed, such well  
2 choreographed development of a new IVD test along with  
3 a new drug is a rarity so far.

4           When biomarker information emerges as part of  
5 exploratory analyses, the most informative way to move  
6 forward is with a prospectively designed randomized  
7 controlled trial. This approach assures several study  
8 strengths that become questionable when retrospective  
9 mining of previously banked trial specimens is used  
10 instead.

11           First, with the prospective trial, the  
12 relevant biomarker is pre-specified and there is no  
13 worry about inflating test performance through multiple  
14 comparisons used to identify the biomarker.

15           During specimen accrual, specimen collection,  
16 preservation and storage can be optimized for assay of  
17 the biomarker. With prospective design, specimen  
18 accountability may be substantially increased and  
19 non-random loss of specimens, with its attendant risk  
20 of bias, can be actively minimized.

21           In executing the new trial, there is the  
22 opportunity to stratify on the biomarker status before

1 randomizing to treatment groups. In designing the new  
2 trial, one has the opportunity to manage the allocation  
3 of Type I statistical error or to maximize trial  
4 efficiency through adaptive design. These are the  
5 strengths that should be weighed against the  
6 convenience and insights available from retrospective  
7 analysis of clinical trials. The next presentation  
8 from Dr. O'Neill will explore these tradeoffs in  
9 greater detail.

10           So to summarize, companion diagnostics are at  
11 the heart of personalized medicine and carry the same  
12 risk profile as the drug. Predictive claims for  
13 companion diagnostics rely on understanding the effect  
14 of the drug in both biomarker positive and biomarker  
15 negative patients.

16           Late emergence of critical biomarker  
17 information causing reevaluation of a well studied drug  
18 in light of a new biomarker may become common. Because  
19 of this, analytical validation of the new IVD test may  
20 occur late in the development process, but it is  
21 essential to complete it before testing clinical trial  
22 specimens.

1           Randomized controlled trials have substantial  
2 advantages in evaluating the significance of late  
3 emerging biomarkers. The extent to which revision of  
4 the drug's use and clinical validation of the IVD test  
5 can be based on retrospective analyses of retained  
6 specimens requires scrutiny, and this will be the topic  
7 of further presentation to follow.

8           Thank you.

9           DR. DUTCHER: Thank you.

10           We're going to move right along to  
11 Dr. O'Neill's presentation.

12           DR. O'NEILL: Good morning. I'm Bob O'Neill.  
13 I'm the director of the Office of Biostatistics, and  
14 I've been asked to talk about this problem because it's  
15 an issue that just does not arise in oncology in this  
16 KRAS situation, but it's also an issue that we're  
17 seeing across the board in many drug disease areas.  
18 And this is a great opportunity to discuss and get  
19 input from the committee about what should be the  
20 general principles as we go forward in this area.

21           So this is an outline of what I'm going to  
22 talk about. I will repeat some of the concepts that

1 Dr. Becker talked about, which essentially are  
2 definitions of prognostic and predictive, and I'll go  
3 over some general principles for the design and  
4 analysis of clinical trials for subgroup differences,  
5 particularly the issue of the control of the false  
6 positive and false negative conclusions and the issue  
7 of subgroups defined by pretreatment baseline  
8 characteristics rather than some characteristics that  
9 are ascertained while you're post-randomization and may  
10 be influenced by therapy. But that's not what the  
11 issue is here.

12 I will take a shot at what we're now calling  
13 prospective/retrospective genomic clinical trials and  
14 I'll spend some time on the principle of replication,  
15 the idea, even under sort of the substantial evidence  
16 principle, of how much evidence do you need to  
17 demonstrate a subgroup finding, not only an overall  
18 effect, but a subgroup finding.

19 And we'll talk, as illustration, about some  
20 of the KRAS studies. We have six of them that  
21 Dr. Giusti had described for you a little earlier and  
22 I'll return to some of those. And then I'll go through

1 some prospective study designs that are available and  
2 have been published and are available, which would not  
3 essentially be called retrospective designs.

4           So we made the following comments to the  
5 sponsors with regard to what we are dealing with right  
6 now. And the optimal approach is to conduct a  
7 prospective adequate and well controlled trial that's  
8 designed in advance to assess the subgroups based upon  
9 KRAS testing by validated assay, or another pragmatic  
10 approach, which would be a retrospective analysis of  
11 the studies that have already been completed or are  
12 ongoing but under the following conditions: that these  
13 studies be adequate and well controlled; that they be a  
14 large enough sample size; that the factors that were  
15 not stratified on as randomization factors, in  
16 particular, KRAS status, would balance out; and, KRAS  
17 biomarker status was ascertained in virtually the  
18 entire population, let's say, greater than 90 percent  
19 of the population -- that's not the situation that  
20 we're dealing with with the majority of the other  
21 studies here -- that the assay be acceptable and have  
22 acceptable analytical performance and we have an

1 acceptable analysis plan.

2           So let me start, first, so we're all on the  
3 same page, with regard to the definitions.

4           We're using the word "prognostic" to indicate  
5 a marker for which the magnitude of the event or an  
6 outcome is related. So it's independent of treatment.  
7 So, essentially, the way we've used this in the past is  
8 to enrich a population for an event because we'll see  
9 more events. Then there is the term "predictive,"  
10 which is what we're primarily focusing on today, which  
11 depends upon treatment status and is relative to a  
12 control group. So this is defined as a marker for  
13 which the magnitude of the treatment effect is related.

14           So here is a scenario. A, you have marker G-  
15 and G+, are the positive and negative status of the  
16 groups that are ascertained by the assay. And what you  
17 have here is a control group where there's a 33 percent  
18 response rate in the G- and the G+ groups. So the  
19 outcome itself is not differential in the non-treated  
20 group. But on the drug, there is a 15 percent response  
21 difference on drug A in the G+ group and no response in  
22 the G- group.

1           That is called a predictive treatment effect  
2 and it's seen only in the G+ group and, in fact,  
3 statistically, we call that a qualitative interaction.  
4 That is to say there's no effect in one group and there  
5 is an effect in the other group.

6           We'll move on to scenario B, where what you  
7 have is a differential outcome in the control group in  
8 G- and the G+ group. So you have 39 percent and 48  
9 percent. This could be a response rate or whatever,  
10 cure rate.

11           What you have here is no effect in either  
12 group, in the G- or the G+, but you do have a  
13 difference in the magnitude, and you could change that  
14 and essentially have a difference in the drug group,  
15 also, but no treatment effect, a ten percent difference  
16 in the G- group, a ten percent difference in the G+  
17 group. So there's no difference in treatment response.

18           So that's essentially the way we're defining  
19 it as a prognostic marker. So there is an effect in G+  
20 and G-. They are consistent. There's either no  
21 treatment effect or the same treatment effect, but it's  
22 differential.

1           Then, finally, you have the situation of  
2 scenario C, which is where the control group has a  
3 different background rate than in the G+ and the G-  
4 groups. There's 39 percent versus 48 percent. And  
5 there also is a differential treatment effect in the G+  
6 and the G- groups.

7           So both groups share a treatment effect, but  
8 to a different degree. And so what we're calling that  
9 is prognostic or predictive, where the effect is larger  
10 in the G+ group than in the G-, but they both share an  
11 effect. And this is called the quantitative  
12 interaction, relative to the earlier one, which is a  
13 qualitative interaction. And we're interested in both  
14 of those situations and the issue is what do we need to  
15 rule out there is no effect in the minus group.

16           I'm not going to spend much on this, but as a  
17 general issue, if we were not talking about KRAS -- and  
18 Dr. Becker did describe what are the minimum  
19 performance characteristics for a marker. And you  
20 might consider that in terms of its sensitivity and  
21 specificity and other characteristics, because the  
22 ability to classify a patient repetitively the same way

1 is an important issue.

2           What are the consequences? Because if we  
3 were talking about other markers, there might be other  
4 implications. But in general, if you have five or six  
5 or seven studies and you're trying to see whether they  
6 are all telling you the same thing, they could differ  
7 primarily because the classifier doesn't have good  
8 sensitivity and specificity. So a combination of poor  
9 sensitivity and specificity and a different mix of that  
10 classifier prevalence in those studies could contribute  
11 to why you might have heterogeneous results across  
12 studies. So that's why it's important to take a step  
13 back and look at that when you evaluate multiple  
14 studies against each other.

15           So when we said we would like a good analysis  
16 plan, this is what we were thinking about: the role of  
17 randomization to assure unbiased and fair comparisons;  
18 the role of marker status classification, the impact of  
19 convenience samples on biased estimates.

20           So what we're talking here, if we were in the  
21 situation where every one of these studies had gone  
22 back and looked at 100 percent ascertainment of marker

1 status, that's one issue -- we're not in that game.  
2 We're into a situation where anywhere between 20 to  
3 90 percent, 95 percent, of the ascertainment of the  
4 samples, and this is an issue that I'll return to in a  
5 moment.

6           Then we have the statistical control of the  
7 false positive conclusions. So we worry about how many  
8 hypotheses are tested, whether you tested one and then  
9 you went back and tested another after you found out  
10 one didn't work or whatever. So this is very much  
11 about primary analyses, which failed, how many ways can  
12 you win, how many outcomes are there.

13           We're talking about outcomes that are overall  
14 survival, progression-free survival, and response  
15 rates. So depending upon which was a primary endpoint  
16 and which is now being looked at in terms of its impact  
17 and relationship between KRAS status -- it's just the  
18 general issue of multiplicity and data to generate the  
19 hypothesis versus data to confirm the hypothesis.

20           So our general strategy in a clinical trial  
21 community, in general, has the following strategy. You  
22 look for an overall treatment effect on the primary

1 outcome in the intent to treat population. That's  
2 everyone who is randomized. And if there's a  
3 statistically persuasive result, generally, that means  
4 if the Type I error controlled at five percent, the  
5 P value is less than 0.025 one-sided, then you can do  
6 other things with the trial. And you can look at  
7 subgroups and there are various options. You can look  
8 at marker negative group, marker positive group. You  
9 can examine for equal treatment effect, interactions.  
10 And that's generally the approach.

11           If there's no statistical significance on a  
12 primary endpoint, that's primary hypothesis, everything  
13 further is exploratory, not useful, but exploratory and  
14 generally is useful for what you do next.

15           If along the path, you change the number, the  
16 set or the sequence of hypotheses after the start of  
17 the study, that's generally not considered an  
18 acceptable practice, particularly after you've observed  
19 the data. But there's a lot of interest in adaptive  
20 designs these days, which essentially pre-specify the  
21 kinds of adaptations and the kinds of changes that you  
22 will make, and those are acceptable.

1           We've introduced this term, or others have  
2 introduced the term, "prospective/retrospective" study.  
3 What is it and what are the concerns about it?

4           Well, you can consider part of the definition  
5 being the classification factor is not known at the  
6 time of study initiation and the study is, at first,  
7 not analyzed with that factor as part of the  
8 hypothesis. So this is the retrospective aspect of it.  
9 The initial hypothesis and the endpoints for the study  
10 are not changed, except if pre-specified as part of a  
11 planned adaptive study design. But that's not what  
12 we're talking right now.

13           The control of the false positive conclusion  
14 from the studies are appropriately dealt with. The  
15 randomization is not stratified on a factor that itself  
16 is of interest as one of the hypotheses to be tested.  
17 And the factor of interest is ascertained at baseline  
18 on all subjects randomized to treatment. And the  
19 question is what if that's not the case.

20           So here's a working definition for this  
21 prospective/retrospective design.

22           In a completed or post-interim analysis

1 trial, where the genomic samples are collected prior to  
2 treatment initiation, whether or not full ascertainment  
3 on all subjects, the genomic hypothesis is  
4 prospectively specified prior to diagnostic assay  
5 testing. However, the clinical outcome data, without  
6 genomic information, has already been collected,  
7 un-blinded and analyzed. And the genomic data analysis  
8 might be arguably prospectively performed, which is, in  
9 essence, a retrospective analysis.

10           So that may be a bit much for you to chew on,  
11 but that's what we're talking about, and that's not  
12 bedtime reading. I'm just sort of going through it.

13           So the big issue here is a convenience sample  
14 and we're going to return to it. So that's essentially  
15 when only those who are around give you the samples or  
16 only those who are available, only those who have  
17 tissue samples are available.

18           Another part of a good analysis plan is  
19 controlling the chance of erroneously concluding that  
20 there is a real treatment effect, when, in fact, it is  
21 not, or the chance of concluding there is no treatment  
22 effect, when, in fact, one actually exists. These are

1 two critical issues and we're talking now about  
2 subgroups and three endpoints, primarily  
3 progression-free survival and overall survival.

4           The other aspect is the importance of  
5 randomization and that's so that you're comparing likes  
6 with likes. And this is especially an issue with the  
7 interplay between convenience samples, where you don't  
8 have the full randomization population and where you  
9 may have some characteristics of the assay that might  
10 not be well known.

11           So why this is important, let's look at some  
12 data. Here is the EPIC trial. This was CMAB. This  
13 study was described briefly by a number of the previous  
14 speakers.

15           And I point out that this was a trial of  
16 approximately 1,300 subjects, where 300 subjects have  
17 KRAS status ascertained. This is about 23 percent.  
18 And these were all from the United States. And the  
19 primary endpoint in this study was overall survival.  
20 And here is the issue.

21           There was a dramatic difference between the  
22 hazard ratio in the ITT population and the convenience

1 sample, and the overall population hazard ratio was  
2 virtually, one, 0.98. But in that subset of 300  
3 subjects, the hazard ratio was 1.25, going in the wrong  
4 direction, for overall survival. So there is a  
5 difference, and why there's a difference, one could  
6 hypothesize many reasons. But the point here is the  
7 difference between the convenience sample and the  
8 intent to treat population.

9           Now, if you look at this by wild-type and  
10 KRAS status, mutant status, for overall survival, there  
11 is no difference between them, 1.29 and 1.28. But if  
12 you look at another endpoint, which was not the primary  
13 endpoint of the study, progression-free survival, this  
14 is where there appears to be a differential difference.  
15 And you have a hazard ratio in the wild type of 0.77  
16 and in mutant, you have 0.10.

17           So if you sort of look at this and you don't  
18 know how you got there and the logic of how you got  
19 there, this is all -- I'm trying to deconstruct this  
20 particular example, saying this is why we are concerned  
21 about convenience samples.

22           So let's look at all the studies that we have

1 before us, and we have six randomized studies for which  
2 marker status is available only on a selected subset of  
3 the randomized study population, ranging from 23 to  
4 about 92 percent.

5           We, at this point in time, have no documented  
6 evidence that the treatment groups with ascertained  
7 marker status are comparable for baseline variables.  
8 We haven't really reviewed that data in detail. And  
9 this is primarily to illustrate the conceptual points  
10 of how would you look at the data to look for  
11 consistency.

12           So what do we need to know for a marker to be  
13 predictive? We need, as I said earlier, an unbiased  
14 comparison between the test treatment and the control  
15 in each of the marker subgroups. Unbiased generally  
16 means a randomized subset of subjects in each of the  
17 marker categories, not a convenience sample of subjects  
18 with the marker status.

19           So these were the studies that Dr. Giusti had  
20 described earlier and, essentially, these are the CMAB  
21 trials and they're outlined in orange. Two of them met  
22 their primary endpoint and two of them did not.

1           We're talking about first line, second line,  
2 third line therapy. We're talking about different  
3 control groups. We're talking about different  
4 endpoints. So the issue of likes with likes comes in  
5 in terms of trying to say are these all telling me  
6 something directionally.

7           Now, we have the PMAB trials. There's two of  
8 them. And the first one, which was described, met its  
9 primary endpoint and that is the one with the  
10 92 percent ascertainment of the KRAS status. But  
11 there's another trial which is an interesting trial  
12 that did not meet its endpoint. In fact, it was  
13 significantly inferior, and I'll return to that.

14           So let's look at all the studies and stack  
15 them up relative to each other and are they telling us  
16 a consistent story. And when you think about this, you  
17 might think of it in terms of line of therapy, control  
18 group endpoints, convenience samples, and remember the  
19 EPIC study which I just described, which the U.S.  
20 results were very different.

21           Now, let's talk about overall survival. In  
22 this next slide, this may be -- bear with me, but I'm

1 going to read this slide because it's relevant to  
2 interpreting this particular graph.

3           This graph provides a summary of the overall  
4 survival for the five studies that have overall  
5 survival comparisons for the wild-type and mutant KRAS  
6 subgroups. The hazard ratio is what is describing the  
7 effect. Points above the line correspond to larger  
8 effects for CMAB or PMAB for the mutant KRAS status and  
9 below the line, it points to larger effects for CMAB or  
10 PMAB for the wild-type subgroup.

11           So what this is saying is the circled point  
12 is the only trial that shows a greater benefit for the  
13 wild-type KRAS. All the four other studies do not show  
14 any benefit for the KRAS wild type, for the most part.  
15 I mean, you can sort of talk about this guy right here.  
16 But essentially, the point is that any point that is on  
17 this line means that both the wild type and the mutant  
18 type share the same effect size. And in this  
19 particular instance, they share the same effect size  
20 going in the wrong direction. And in this particular  
21 instance, certainly, there's benefit in the KRAS only  
22 and no benefit in the wild type.

1           So this is sort of a summary of -- and I  
2 think there's going to be an important distinction  
3 between overall survival and progression-free survival.  
4 And if you look at this with regard to just the PMAB  
5 studies, there is no study; neither of the PMAB studies  
6 show any benefit on overall survival for the wild type.

7           Moving on to progression-free survival, the  
8 same explanation for this slide. What we have is we  
9 have six studies and five of them are beneficial for  
10 wild-type KRAS. So on the PFS endpoint, that is where  
11 directionally it looks like the action is going on, and  
12 then there's one study here where that was actually  
13 significantly in the wrong direction for both wild type  
14 and for mutant status.

15           So the point of this is how do you look at it  
16 collectively in terms of is it hanging together.

17           Well, there are study designs that you could  
18 do prospectively, not retrospectively, and they've been  
19 published, and you could do a two-stage design that  
20 reserves some of the Type I error for testing a  
21 subgroup yet to be specified. That could be a fixed  
22 study design or it could be an adaptive design, where

1 you could upsize the trial according to the power you  
2 needed in the marker subgroups, or you could test the  
3 efficacy of a strategy that screens for the classifier.

4           Some of you may be aware of this, but this is  
5 an interesting trial that was done on the drug  
6 Abacavir. It's an antiviral drug. And the problem  
7 with Abacavir was it had about an eight or nine, a ten  
8 percent hypersensitivity reaction that was very severe  
9 and the drug was not being used because of that.

10           Anyway, for a number of reasons, a lot of  
11 historical data, a lot of case control data, a lot of  
12 observational data, but essentially it was felt that to  
13 convince folks that there was a benefit to screening  
14 for someone who would be HLA positive and would have a  
15 hypersensitivity reaction, this particular trial was  
16 conducted.

17           So they randomized individuals to the drug  
18 and the only difference between the arms was in one  
19 arm, they screened out one of the HLA subgroups, and,  
20 in the other group, they took all comers. They typed  
21 everyone and then retrospectively analyzed those two  
22 comparisons.

1 I'm not going to read the text here, but this  
2 study confirmed the hypothesis that screening will  
3 reduce severe adverse reactions by a half. So  
4 essentially, the screening strategy reduced what was a  
5 background rate of eight to nine percent to about four  
6 to five percent. And some folks might say it almost  
7 reduced it to zero, depending upon how you define the  
8 phenotype of the hypersensitivity reaction.

9 One of the other byproducts of this  
10 particular design, in one of the arms, you directly  
11 were able to calculate the sensitivity and specificity  
12 of the screening strategy. So the byproduct in that  
13 particular design was to get estimates of sensitivity  
14 and specificity.

15 There are other approaches. One of my  
16 colleagues, Sue-Jane Wang, has written about this and  
17 this is not the only publication on this, actually,  
18 which would incorporate some adaptive designs. And Dr.  
19 Richard Simon, who is on our panel today, has written  
20 extensively on this and these are two articles that he  
21 has written that are on this particular topic. So  
22 there are prospective ways of doing this.

1           So just to remind you that we have not  
2 reviewed these studies in detail. We don't have  
3 actually access to the patient level KRAS data and I'm  
4 only using these primarily as illustrative.

5           Let's turn to the issue of the scientific  
6 principle of replication. Generally, when you  
7 have -- you didn't design the trial in the beginning  
8 for a subgroup finding, and subgroup findings turn up,  
9 there is somewhat of a caution out there. And this is  
10 a *New England Journal of Medicine* article on statistics  
11 in medicine, last year, on reporting of subgroup  
12 analyses in clinical trials. And, essentially, it was  
13 written as a tutorial to say here is what you need to  
14 be concerned about and looking for.

15           Generally, the concern has been that subgroup  
16 findings are exploratory, at best, or false positives,  
17 unless further evidence is available, and this is  
18 essentially prior evidence or other studies. And the  
19 strategy has generally been to statistically adjust for  
20 multiple analyses, test for interactions, which have  
21 been done in all these studies by the previous  
22 speakers, interactions meaning looking for a

1 qualitative or a quantitative interaction. Is the  
2 effect size different in the different G+ or G- groups?

3           So the importance of that is there is this  
4 caution with subgroup findings and the issue of if I  
5 only saw it in one study, should I repeat it and how  
6 often do I need to see it.

7           And here, we have that issue, just not  
8 because it's a subgroup finding, but all of these  
9 subgroup findings are from convenience samples, because  
10 these studies are not 100 percent ascertained going  
11 back into the current study. So you have both of those  
12 at play here.

13           It's interesting to see how the  
14 cardiovascular community has reacted to these kinds of  
15 issues. If you've ever been at any of our cardiorenal  
16 advisory committees, this is an article from  
17 Professor Moyé, who sat on our cardiovascular committee  
18 a few years ago, and there were a number of examples  
19 that came in studies where the results were rather  
20 dramatic in a subgroup. But after the sponsor was  
21 asked to repeat the study, the results turned out to be  
22 essentially negative.

1           This is an interesting read. The main point  
2 here is why would these trials, whose findings were  
3 reversed after an original subgroup finding stood  
4 out -- was primarily because the analysis plan in the  
5 initial study changed after seeing the data. It placed  
6 new emphasis on a subgroup finding or on a secondary  
7 endpoint raised in prominence leading to false  
8 discoveries that were not replicated.

9           Now, I'm not saying that's what's going on  
10 here. I'm just saying that the general issue of how  
11 much evidence you need in a repetitive replication  
12 concept. And this is taken from the Website of  
13 heart.org and, actually, this is a Bob Califf write-up  
14 about one of the drugs here, which is PRAISE 1.

15           This was done in 1,100 cardiomyopathy  
16 patients, and the unexpected finding was that the drug  
17 was beneficial for patients with non-ischemic  
18 cardiomyopathy, a 31 percent risk reduction in the  
19 primary endpoint, which is mortality. And essentially,  
20 PRAISE 2, which was identical in design, but probably  
21 four or five times the size, was done, and the  
22 favorable survival benefit of amlodipine, as seen in

1 PRAISE 1, was likely due to chance, they claim, despite  
2 the fact that mortality was an unequivocal endpoint,  
3 the benefit was seen in a pre-specified subgroup, and  
4 the P value for the subgroup was very small.

5           So I'm just using this to illustrate somebody  
6 else's thinking and approach, particularly, how the  
7 cardiovascular folks have thought about this. And the  
8 critics argue that although prospectively defined, the  
9 subgroup was small, 119 patients. That's the ballpark  
10 of some of the subgroups that we're talking about here.

11           So anyway, enough about that. Back to the  
12 questions.

13           We asked the sponsor to address the adequacy  
14 of the analysis plans and the analysis of the data. I  
15 believe they've given a fairly good effort at that,  
16 what you've heard this morning. I've tried to describe  
17 some of the available studies to illustrate the points  
18 here and sort of lay out what I think you need to think  
19 about in terms of some of the limitations.

20           And finally, sort of the major question, how  
21 much evidence is needed to establish or support a  
22 predictive marker claim? In many ways, what we have is

1 a collection of associations. They are not really  
2 external to any of the studies. They are sort of  
3 collectively -- I mean, the motivation for how this  
4 moves very fast, I think was described earlier. And I  
5 think the question before us is is it real and is it  
6 repeatable, and what's the role of two independent  
7 studies, both of which are prospectively designed, not  
8 retrospectively analyzed, to test the marker  
9 hypothesis, and what's a reasonable metric for the  
10 strength of the statistical evidence, particularly when  
11 you think of the effect size, the consistency across  
12 studies, the sample size and the subgroups, and the  
13 appropriateness of the randomization for the  
14 convenience samples.

15 With that, I think I am finished. Thank you.

16 DR. DUTCHER: Thank you very much. Very  
17 interesting food for thought for all of us.

18 We're going to be taking a break for  
19 15 minutes and then we'll come back and have some time  
20 for questions to the presenters. So it's 10:35. So  
21 we'll be back here at 10:50.

22 (Whereupon, a recess was taken at 10:35 a.m.)

1 DR. DUTCHER: We're going to be opening it to  
2 the committee for questions to the presenters, keeping  
3 in mind that in five minutes, we're going to have a  
4 fire drill. We do not have to leave the room. It is a  
5 test. We just will probably not be able to talk.

6 Yes, sir? This is Dr. Funkhouser? Oh,  
7 D'Agostino. Okay. And please state who you're  
8 addressing the question to.

9 DR. D'AGOSTINO: This is a question to the  
10 FDA group.

11 I'm really concerned, as I'm sure everybody  
12 is, with how you start selecting these studies. And  
13 one of the comments that was made is that we don't want  
14 to salvage a negative study. But it seems to me that's  
15 exactly what will happen because the negative study  
16 will be declared to be negative because we had the  
17 wrong genotypes mixed in and so forth, and what you  
18 want to do is to pull out that subgroup for salvation.

19 Do you really have sort of rules in your mind  
20 now that these type of studies, in order to start  
21 playing this game out, you can only be dealing with  
22 studies that were originally -- prospective studies

1 that were originally positive?

2 I just don't see how that's going to  
3 materialize.

4 DR. PAZDUR: Let me address part of that and  
5 maybe Bob wants to jump in.

6 When I made those comments, we were talking  
7 about people coming in for primary efficacy claims of  
8 an application and we have had numerous examples of  
9 this, where people fail their primary efficacy trial  
10 and then are coming in with one trial based on, "Well,  
11 we failed this trial, but how about if we take a look  
12 at this subgroup of patients." And I think everybody  
13 understands that that is purely an exploratory area and  
14 certainly not something that one could consider  
15 substantial evidence that would warrant approval of a  
16 drug.

17 Here, on the other hand, we're talking about  
18 somewhat of a different scenario here. These are  
19 labeling claims. Sometimes they could be made for  
20 safety. We're talking about looking at, basically, in  
21 some instances, predefined hypotheses. We're talking  
22 about replication of findings in multiple trials. And

1 I think Bob made a concerted effort to discuss the fact  
2 that we want replication of findings, not exploratory  
3 analyses in one failed trial and let's approve a drug.  
4 And that's the point I was trying to make.

5 DR. D'AGOSTINO: That's great. So of these  
6 qualitative type of interactions, it may be that the  
7 overall study isn't positive, but when you drill down  
8 to the subgroup, appropriately, in replication and so  
9 forth. Thank you.

10 DR. DUTCHER: Dr. Mortimer?

11 DR. MORTIMER: So I have a question, I guess,  
12 to either the FDA or to our sponsors regarding tissue  
13 that was used to assess the KRAS status.

14 So drawing from, I guess, the breast rule  
15 both for ER and HER2, there is change in time of these  
16 markers. And so what is the proper specimen on which  
17 to do KRAS?

18 Obviously, in the adjuvant setting, that  
19 would be easy. But in the metastatic disease setting,  
20 is the primary tumor and the metastatic site going to  
21 be the same? And does prior treatment -- so, again,  
22 going back to the HER2 status, women who have been

1 previously treated will frequently, 30 percent of the  
2 time, change a HER2 status, which none of us actually  
3 expected as those studies moved forward.

4 DR. KEEGAN: Well, I would say that, just  
5 speaking from the FDA, that we really haven't been  
6 presented with enough information to answer that  
7 question. But I thought your question was actually  
8 starting somewhere else, which was even what is the  
9 tissue that you -- how do you preserve the tissue, how  
10 do you handle the tissue.

11 (Pause)

12 DR. DUTCHER: I guess that was the test.  
13 Go ahead, Dr. Keegan.

14 DR. KEEGAN: So I was going to say that  
15 that's part of the workup and the characterization was  
16 we would expect to see that kind of information, is it  
17 important to know whether it's pretreatment or can you  
18 get it anytime; is there drift over time.

19 But, also, there is a substantial issue with  
20 how do you preserve and handle the tissue, is a biopsy  
21 as good as, a needle biopsy, as a core biopsy. All  
22 those things are very important and the problem is it

1 depends what the test is, it depends what the analyte  
2 is. And when you haven't defined that up front, you  
3 don't know whether or not the -- even if you have  
4 100 percent acquisition, whether or not those samples  
5 can really be evaluated because of handling.

6 DR. REESE: If I might be recognized by the  
7 chair?

8 DR. DUTCHER: There you are. Okay.

9 DR. REESE: Dr. David Reese from Amgen. I'd  
10 like to add a little color to your question here and I  
11 think there may have been a couple questions embedded  
12 in there, number one, what type of specimens are used  
13 and are appropriate and, two, how reliable is that  
14 testing on different types of specimens?

15 In terms of specimens, they're typically  
16 formal and fixed paraffin-embedded tumor specimens.

17 (Pause)

18 DR. REESE: In any event, these are routinely  
19 available -- I'll speak quickly -- archived specimens  
20 that would be standardly prepared, fixed and archived  
21 by a pathologist.

22 Another question that you may be asking is

1 how is the stability of the testing on samples of  
2 different ages. We've actually taken a look at that in  
3 a number of our specimens, for example, looking at them  
4 in specimens of different times. And if you look at  
5 specimens, for example, less than four years old or  
6 more than four years old, the percent of patients with  
7 KRAS wild-type tumors is identical in a relatively  
8 large number of specimens.

9 DR. MORTIMER: Actually, my question was even  
10 more simplistic than that. We know that when you treat  
11 women with metastatic breast cancer, that over time,  
12 those women that were HER2 negative often end up with  
13 HER2 positive cancers, and who knows whether the same  
14 might hold true for KRAS, because certainly nobody  
15 expected that with HER2.

16 DR. REESE: Sure. David Reese from Amgen  
17 again. That's a very important point. I think what  
18 you're referring to is the stability of this mutation  
19 as tumors may evolve in their natural history.

20 KRAS mutations are relatively early event in  
21 colorectal cancer, pathogenesis typically occurring at  
22 the aberrant crypt or adenoma stage. Based on the best

1 data to date of various concordant studies in the  
2 literature, they suggest that when assessing KRAS  
3 status in the primary tumor as opposed to metastases,  
4 that status is concordant in a very high percentage of  
5 patients.

6           What we don't know is the specific answer to  
7 your question, which is under potential selection of an  
8 EGFR inhibitor, does that change. We are, in fact,  
9 performing a biomarker study that will obtain tissue  
10 prior to and after exposure to panitumumab and KRAS, as  
11 well as other biomarkers will be assessed to determine  
12 if that evolves under drug exposure.

13           DR. DUTCHER: Dr. Becker?

14           DR. BECKER: So to speak in a general sense,  
15 though, I think that your concern with respect to the  
16 issues associated with marker stability across the  
17 universe of markers that could be faced in this kind of  
18 situation is a well taken point with respect to marker  
19 stability, especially for immunohistic chemistry, but  
20 as you look at other kinds of markers, for example,  
21 RNAs, that may be more labile in the pre-fixation  
22 stage, where, as many pathologists might recognize, the

1 idea of a standardized approach to processing the issue  
2 immediately after removal might be very difficult to  
3 achieve, if it can be. These are variants which could  
4 be important in situations that we will encounter in  
5 the future.

6 DR. WILSON: I just wanted to point out that  
7 even if the mutation wasn't stable, for this type of  
8 study, it would not adversely impact the outcome given  
9 the data already shown. For example, it would reduce  
10 the effect in the wild-type group, and we've already  
11 seen an effect there. And it wouldn't adversely -- and  
12 it wouldn't impact the mutated group.

13 So I don't think that that really, given the  
14 data, would have any type of adverse effect on  
15 analyzing this data.

16 DR. DUTCHER: Dr. Harrington?

17 DR. HARRINGTON: I had a question about the  
18 samples, but my question is, is this still the drill?  
19 That's my question.

20 Thank you. I think both FDA and the sponsors  
21 have --

22 (Pause)

1 DR. HARRINGTON: All right. Let's try again.

2 So I started to say that both FDA and the  
3 sponsors have laid out, I think, a lot of the sand  
4 traps here on the way to the green and trying to sort  
5 this out. I think one of the things that most  
6 intrigues me and concerns me is the extent to which the  
7 samples are not fully ascertained moves the analyses  
8 away from the analysis of a purely randomized trial and  
9 closer to an observational study.

10 So what I'd like to learn from the sponsors  
11 is more about the barriers to getting full, first,  
12 acquisition and storage of tissue material in  
13 potentially pivotal trials and then being able to  
14 archive and use those so that, at least prospectively,  
15 that issue may be less severe than it was in some of  
16 the trials that we saw this morning.

17 DR. DUTCHER: Dr. Youssoufian?

18 DR. HARRINGTON: And how you might solve some  
19 of those issues, as well.

20 DR. YOUSOUFIAN: Sure. Hagop Youssoufian  
21 from ImClone Systems.

22 So those are indeed the most pragmatic issues

1 that we also deal with in terms of enriching for the  
2 types of analyses that we're all interested in.

3 I can tell you that on all four trials that I  
4 described, the issues for the sample retrieval were  
5 very, very similar. So lack of informed consent was  
6 probably the number one reason.

7 Geographically, in one of the protocols, in  
8 the EPIC protocol, the second line study, per protocol,  
9 it was specified that the samples will only come from  
10 U.S. patients. Now, that's something that we would  
11 certainly rethink in future trials, to obtain samples  
12 from more representative populations.

13 With regard to storage issues, those were  
14 stored in a secure area in a central tumor bank  
15 maintained by the NCIC, and I think it was mentioned  
16 before that for DNA tests, perhaps the DNA as an  
17 analyte --

18 (Pause)

19 DR. YOUSSEFIAN: Okay. I'll squeeze in the  
20 last set of comments.

21 That DNA is intrinsically more stable than  
22 protein, but, nonetheless, those are still very

1 important problems to be cognizant of.

2           One bit of information that I'd share is that  
3 for some of the cost validation tests that we've done,  
4 it's been remarkably consistent between two tests, the  
5 DxS assay, for example, and another quantitative PCR  
6 assay, that were used on the same specimens. Now, they  
7 may have suffered the same fate, we wouldn't know that,  
8 but to the extent possible, all the operational aspects  
9 of tissue storage were put in place.

10           DR. HARRINGTON: So I guess a quick follow  
11 up.

12           Have any of the sponsors who were speaking  
13 today looked hard at the consent issues to see whether  
14 they are solvable, whether those are insufficient  
15 explanation about why the material could be useful or  
16 whether there were language barriers or cultural  
17 barriers?

18           DR. YOUSSEFIAN: So all of those are  
19 possibilities. One issue is that in some settings, in  
20 colon cancer, for example, in adjuvant trials, it's  
21 much more feasible to obtain tissue because they're  
22 coming off of surgery and tissue is available, for

1 example. In metastatic settings, that tissue  
2 availability may or may not be the same as in an  
3 adjuvant setting.

4 But, again, with all of the Erbitux trials  
5 and the panitumumab trials, as well, EGFR testing was  
6 an entry criterion. So by default, there was some  
7 tissue available and that was the basis for going back  
8 and analyzing them.

9 DR. DUTCHER: Dr. Reese, could you comment?

10 DR. REESE: Yes. I'd like to comment on  
11 this.

12 Our intent at Amgen -- and I could think one  
13 way to directly answer your question is really to make  
14 specimen collection mandatory. Our goal is to have a  
15 specimen available from every patient on every trial.  
16 If you look at the KRAS ascertainment rates across our  
17 studies, they're relatively high. They were 92 percent  
18 in the pivotal trial.

19 We have developed well defined internal  
20 processes for processing those specimens and then  
21 archiving them with the specific intent of obtaining or  
22 performing correlative biomarker analyses in the

1 future.

2           In our ongoing studies, the pivotal trials  
3 that I outlined to you today, we've successfully  
4 collected tumor samples from more than 98 percent of  
5 patients. We have developed specific consent forms  
6 that apply across all of our programs that permit  
7 collection and appropriate testing of these specimens.

8           (Pause)

9           DR. DUTCHER: All right. I'm going to go  
10 down the list of hands that were up.

11           Dr. Richardson?

12           DR. RICHARDSON: I have a question for  
13 Dr. Youssoufian. And I don't mean to be picky, but I'm  
14 just curious about the answer to this.

15           That is, in looking at the various data  
16 presented on the NCIC study, it talks about the  
17 demographic and baseline characteristics of subjects  
18 with the wild-type KRAS being similar to the overall  
19 population, except for those with ECOG Performance  
20 Status 2, which was lower in the cetuximab plus best  
21 supportive care arm compared to the best supportive  
22 care arm by itself. And it was a difference of

1 13 percent versus 26 percent, and this is in the group  
2 of patients with the wild-type KRAS.

3 I guess I'm curious what the breakdown is of  
4 Performance Status 2 patients in the KRAS wild-type arm  
5 and the mutation type, or putting it a different way,  
6 what do the overall survival data look like if you look  
7 at these PS-2 patients based on wild type and mutated  
8 status or if you exclude them and just look at the PS-0  
9 and 1 patients, what do the numbers look like?

10 Do you have that information?

11 DR. YOUSSEFIAN: Yes, I believe we do. So  
12 it actually turns out not to be different, but the  
13 difference has to do with the fact that at the time of  
14 the VGDS submission, we had incomplete data on these  
15 patients. And with the more complete information, that  
16 difference in ECOG Performance Status disappeared.

17 Let me have Nancy Gustafson, who is the  
18 statistician on this trial, and she could add further.

19 DR. GUSTAFSON: Nancy Gustafson,  
20 Bristol-Myers Squibb.

21 I don't have directly the results of an  
22 analysis which excluded the Performance Status 2

1 patients. However, the analysis that identified KRAS  
2 status as a predictive marker was done two different  
3 ways; one, without considering any prognostic factors  
4 or stratification factors, and, in the other case,  
5 adjusting for a wide variety of factors, including  
6 performance status.

7           You may recall that the interaction P value  
8 was 0.01 for the main analysis, without adjustment. It  
9 was 0.02 when performance status was taken into  
10 account. So that was how we addressed that imbalance.

11           DR. DUTCHER: Thank you. Dr. Netto?

12           DR. NETTO: Thank you. Just to go back to  
13 the issue of tissues. And not surprisingly, I'm a  
14 pathologist, so this weighs heavy on my mind. And  
15 we're going through the phase of getting orders on all  
16 of these KRAS tests. So several issues, I think, need  
17 to be addressed going forward and these --

18           DR. DUTCHER: These are questions for the  
19 presenters.

20           DR. NETTO: Correct.

21           DR. DUTCHER: Okay.

22           DR. NETTO: So the question is how can we

1 benefit from the existing material and kind of looking  
2 at certain questions that I'm sure from now on we're  
3 going to start doing studies on in terms of comparing  
4 different types of technology, looking at cutoffs,  
5 looking at micro dissection or not, looking at how much  
6 tumor is benign in the blood. There are several issues  
7 that will make a difference in terms of the  
8 standardization of the test that we end up facing with  
9 the HER2. So maybe we can benefit from all this  
10 retrospectively collected material in addressing this.

11 Are there plans for doing that, I think,  
12 because that's a source. Otherwise, we're going to  
13 have to await still the ongoing ordering now until we  
14 accumulate enough data and address this issue again  
15 and, meanwhile, maybe missing some patients, depriving  
16 them an opportunity of treatment.

17 DR. DUTCHER: Dr. Becker, do you want to take  
18 that on?

19 DR. BECKER: Well, I think that the issues  
20 are framed in terms of the part of the discussion that  
21 the questions that are posed for the panel to consider  
22 and the question, in part, that was asked earlier by

1 Dr. Mortimer.

2           We don't have, at this point, I think, an  
3 organized approach to being able to qualify  
4 specifically specimens coming out of deep archives,  
5 which is, I think, what you're describing. And so if  
6 you're looking at being able to go back and mine  
7 information that are even outside the clinical trial  
8 setting, that likely would be more problematic still  
9 than what we're trying to discuss here today  
10 specifically, where there might have been specimens  
11 either retrievable or set aside for the purpose of  
12 being able to look at how they would apply for the  
13 kinds of questions, predictive or prognostic, that  
14 we're dealing with here.

15           So I guess that the answer is that if one  
16 wants to go truly back to purely convenience samples  
17 that are pulled out of archives in pathology  
18 departments across the country, that is an even more  
19 problematic issue than the already significantly  
20 challenging issues that we're facing in the context  
21 that's being discussed explicitly today.

22           DR. DUTCHER: Dr. Curt?

1 DR. CURT: Thank you. It's a question for  
2 the agency and hopefully some comments from the  
3 sponsors.

4 I think this is a very important discussion  
5 today, because this retrospective/prospective scenario  
6 is likely to play out with biomarkers in the future and  
7 how we adapt to that, and working with the agency is  
8 important.

9 One of the questions I have is how does  
10 the agency validate or pass on a biomarker. Is it  
11 done, as is in the EU, by performance characteristics,  
12 where the label has already been changed or is there a  
13 need for clinical validation of the biomarker, in which  
14 case you might get into circular discussions with the  
15 sponsors on what the data really means in the absence  
16 of an FDA -- I don't want to say approved, but at least  
17 passed upon?

18 DR. BECKER: I'm not sure that I quite caught  
19 your question. I think that what you were asking is by  
20 what criteria do we end up allowing a pass on a  
21 biomarker. Okay, very good.

22 We do not deal with only the analytical

1 validity of the marker. There does need to be, as I  
2 showed off in, I think, the second slide, an indication  
3 of safety and effectiveness for the marker in some  
4 clinical context. And so the idea of a clinical  
5 validation for the biomarker is central to our being  
6 able to make a determination that the biomarker was  
7 appropriate for approval.

8 DR. KEEGAN: I think what you're asking is  
9 how -- in the scenario, for instance, that we've talked  
10 today, have we determined how they can do this given  
11 that there is no approved biomarker.

12 DR. CURT: Exactly.

13 DR. KEEGAN: And so the arrangement that  
14 we've reached is that the analytical qualification had  
15 to be acceptable to our colleagues in CDRH. And once  
16 they've reached that point, the analysis would both  
17 serve as looking at drug effects and would also serve  
18 to look at the predictive prognostic effects in the  
19 clinical trial, with something where we really had a  
20 good handle or a fairly good handle on the  
21 characteristics. And so it would proceed together.

22 DR. DUTCHER: Dr. Raghavan?

1 DR. RAGHAVAN: One of the important  
2 principles, as I spent my time on ODAC in the past, was  
3 that the mice are only allowed to guard some of the  
4 cheese. And so in the past, when applicants presented  
5 data to the FDA, they would present raw data and FDA  
6 biostatisticians would do their own independent  
7 analyses. That was always very important and often  
8 very entertaining.

9 I'm just wondering whether the FDA and, also,  
10 the applicants, and I don't mean specifically for this  
11 set of data, but generically, because that's part of  
12 what today is about, have considered this issue.

13 Will there be, ultimately, as biomarkers  
14 become more important, a mechanism to have an FDA-based  
15 bio repository? Will there be a requirement of the  
16 applicants to maintain a bio repository that is  
17 accessible? And are the applicants, potentially, at  
18 least, this duo of applicants, in a position to say  
19 that they would be able to provide tissue in the long  
20 term?

21 The technology changes regularly. The way we  
22 measure HER2/neu and many other things now is quite

1 different from what it was five years ago and the  
2 methodology is getting better. And so it may come to  
3 pass that rather than looking at discreet variables,  
4 the technology will allow us to look at continuous  
5 variables and the biostats will then change.

6           So tissue, will the FDA maintain it? Will  
7 you do your own assays? And how do the applicants feel  
8 about sharing tissue as a commodity?

9           DR. PAZDUR: Derek, I don't think the FDA  
10 will get into the business of doing a test and nor do I  
11 think that we will get into the business of being a  
12 repository for collection of all specimens. That would  
13 be a huge, huge undertaking.

14           We would require, obviously, looking at  
15 primary data. I think that is something we look at,  
16 but we don't, for example, serve as a repository for  
17 every EKG that was done in a clinical trial or every CT  
18 scan, nor do we review every CT scan that was done.

19           Here, again, we want to make sure that we  
20 have assurances that a proper analysis was done and the  
21 data is what it's purported to be. But for the agency  
22 to remain or be a repository for clinical specimens, I

1 don't think this is something that we have made plans  
2 to do.

3 DR. DUTCHER: Dr. Zhou?

4 DR. ZHOU: I have some technical questions  
5 about some analysis that's been done by the sponsor  
6 and, also, maybe a question to the FDA, too.

7 Can I ask multiple questions or just one  
8 question at a time?

9 DR. DUTCHER: If it's on the same topic, you  
10 can --

11 DR. ZHOU: Same statistic topics.

12 So there are three questions I want to ask  
13 about the data analysis presenters.

14 First, all the analysis done is the  
15 stratification for the KRAS, the strata is defined by  
16 the assay. As we know, the assay is imperfect. So  
17 they could have a misclassification of the people  
18 classified as KRAS positive -- wild type or the mutant.

19 So how do you adjust for the  
20 misclassification of the mutant KRAS and also the wild  
21 type? So that's the first question.

22 The second question is the first sponsor has

1 analyzed data using sensitivity analysis and, from my  
2 point of view, actually, the sensitivity analysis they  
3 have done actually is the single imputation. So  
4 basically, they imputed the missing assay results.

5           But they use a single imputation. In other  
6 words, they treated imputed data as real data. So  
7 that's why, in their P value, I think that's actually  
8 an inflated P value, because the standard deviation  
9 they calculate from the single imputation is much  
10 smaller than the two standard deviations. So the  
11 better way is probably to do the multiple imputation  
12 instead of single imputation.

13           The third question I have is about -- I think  
14 the second sponsor, they showed us a table of the  
15 treatment between the wild-type KRAS and the mutant  
16 KRAS, the mean number of infusion per patient. So for  
17 the wild type is like ten infusions and mutant is 4.9  
18 infusions.

19           So that tells me, actually, you may have  
20 different treatment depending on the wild type. So  
21 that's kind of troubling me, because they should have  
22 the same treatment regarding of the wild types.

1 DR. DUTCHER: Dr. Reese?

2 DR. REESE: Dr. Reese from Amgen. If I might  
3 address the second part of your question first.

4 May I have a slide on?

5 So this shows patients receiving panitumumab  
6 with either wild-type KRAS tumors or mutant KRAS  
7 tumors. And as you note, patients with wild-type  
8 tumors received, on average, twice the number of  
9 infusions as those with KRAS mutant tumors. This is  
10 because patients with KRAS mutations progressed much  
11 more rapidly and were actually taken off therapy. So  
12 this really reflects the progression-free survival  
13 curves that we showed you and not an imbalance in  
14 actual treatments assigned.

15 Now, we tried to --

16 DR. DUTCHER: Excuse me, Dr. Reese. Just  
17 comment that infusions are weekly in both groups.

18 DR. REESE: That's correct. The infusions  
19 are weekly.

20 Does that adequately answer your question?

21 DR. ZHOU: Not really. If I'm understanding  
22 correctly, so the definition of treatment might be

1 different depending on the mutant group or the  
2 wild-type group.

3 Is that right?

4 DR. REESE: No.

5 DR. ZHOU: In terms of the chemotherapy part  
6 of it.

7 DR. REESE: No. That definition was not  
8 different. And one thing to consider is that this  
9 analysis was done retrospectively. Physicians and  
10 patients had no knowledge, nor did we, actually, of the  
11 KRAS status at the time treatment was occurring.  
12 Patients remained on therapy until the time of  
13 progressive disease. So the fact that patients with  
14 KRAS mutant tumors received fewer infusions is a  
15 reflection of the fact that they developed progressive  
16 disease more rapidly.

17 DR. ZHOU: So are there noncompliance issues,  
18 so that patients drop out because they're getting  
19 sicker in the trial?

20 DR. REESE: We have no evidence of  
21 noncompliance or patients dropping out for  
22 inappropriate reasons.

1 DR. DUTCHER: If you would, please.

2 DR. YOUSSEOUFIAN: Hagop Youssoufian, ImClone.  
3 If I may address your second question, which had to do  
4 with the missing data scenarios that we presented.

5 That was only a subset of the data that our  
6 biostatistics group elected to show due to time  
7 constraints, and we, of course, chose the most extreme  
8 examples that we could think of; namely, that all of  
9 the patients came from one group or the other or that  
10 all the absent data came from one group or the other,  
11 and that's very likely not going to be a sort of real  
12 scenario. But even under that type of pressure  
13 testing, the interaction tests were strong.

14 So we have done additional analyses and,  
15 again, let me ask Dr. Michael Szarek from our  
16 biostatistics group to elaborate.

17 DR. SZAREK: Michael Szarek from ImClone.

18 So the scenarios that we've presented and  
19 what we've looked at in the NCIC study do represent  
20 single imputation. So we certainly could look at  
21 multiple imputation as a strategy, but we have not  
22 looked at that to date.

1           We have looked at additional scenarios where  
2 we assigned patients who are missing KRAS status to  
3 make KRAS more prognostic and we assigned them based on  
4 whether they had an event or not. So the patients who  
5 died during the study were assigned to one group or the  
6 other. And the predictive value of KRAS under those  
7 additional scenarios remained as measured by the  
8 interaction P value. But, again, with those additional  
9 scenarios, we could also potentially look at multiple  
10 imputations to see how sensitive the interaction P  
11 value is to that kind of strategy.

12           Also, referring back to your initial comment  
13 about misclassification, we have not attempted to  
14 adjust for potential misclassification based on the  
15 observed KRAS status data.

16           DR. DUTCHER: Thank you. Ms. Mason?

17           MS. MASON: My question is a bit more  
18 general, back to Dr. O'Neill or perhaps some of our  
19 other biostatistical folks.

20           How do you see this general discussion as  
21 impacting clinical trial design, like the numbers of  
22 participants needed for the intent to treat group? I

1 It seems to me it's going to have significant impact on  
2 that and representing consumers, that's a particular  
3 interest of mine.

4 DR. O'NEILL: If I understood your question,  
5 what impact does this have on the size of a clinical  
6 trial. Some folks will claim that if you get it right  
7 and you actually do this assay testing early enough  
8 that you become -- you have much more efficient  
9 clinical trials later on, because you're enriching the  
10 population for the likelihood of a treatment effect.  
11 So the downstream effect of early, good work that  
12 characterizes the sensitivity and the specificity, if  
13 you will, of the classification strategy should pay off  
14 in terms of a smaller trial.

15 I think the issue and the concern is  
16 smaller -- we've generally followed a practice of  
17 enriching trials for individuals. For example, in the  
18 cardiovascular area, you might take the more sick  
19 patients because you're likely to have more events.  
20 And so with a smaller sample size, you'd be able to  
21 find a treatment effect and then you might extrapolate  
22 later on to a less sick population or something like

1 that.

2           Here, the idea is, I think, that not only do  
3 you protect the negative group from toxicity, but you  
4 can get a more efficient trial, because the treatment  
5 effect is going to be larger than if you had an  
6 unselected population and it was sort of a diluted  
7 effect.

8           I think this goes to the issue of what's the  
9 impact of misclassification of marker status.  
10 Generally, equal misclassification in the treated and  
11 the control group should drive the interaction effect  
12 more to a null or a zero effect. So it would be harder  
13 to detect a difference between the positive and the  
14 negative group.

15           But I think others have written about this.  
16 I think Dr. Simon has written extensively on the  
17 efficiency of clinical trials. That is very much a  
18 function of the properties of the screening strategies.

19           DR. DUTCHER: Dr. Pazdur?

20           DR. PAZDUR: To answer your question from a  
21 different perspective, and I'm not referring to these  
22 companies and these products at all, but I think

1 inherent in this whole process is a thoughtfully  
2 planned out process and doing your homework before you  
3 enter into a Phase III study. And, unfortunately, that  
4 isn't the case. Many times, we find this rush, rush,  
5 rush to a Phase III trial, many times, sponsors even  
6 wanting to skip a Phase II trial because they've seen a  
7 few responses in a Phase I trial.

8           So this whole thing is predicated upon a very  
9 thoughtful deliberation, and if one was going to do  
10 this in a very prospective fashion, one would want to  
11 have a clear understanding of the mechanism of action  
12 of the drug, development with a partner, for the in  
13 vitro diagnostic. This is one of my worries and one of  
14 the worries that promulgated this discussion here is  
15 the emphasis, yes, we can go backwards, but there are  
16 problems with going backwards, and if people are  
17 planning it, they need to plan in a prospective fashion  
18 with some thought being given.

19           DR. DUTCHER: Dr. Simon?

20           DR. SIMON: I'd like to just try to also  
21 respond to your question.

22           I think in the perfect situation, say, the

1 Herceptin situation, it leads to a smaller randomized  
2 clinical trial because the patients who get into the  
3 trial actually benefit, whereas you don't have a lot of  
4 patients being randomized who actually don't benefit;  
5 also, you have a set of patients who you know who  
6 benefits.

7           But I think in real life, developing drugs  
8 with predictive biomarkers will actually lead to larger  
9 clinical trials and it's going to make life not  
10 simpler, quicker and cheaper; it's going to make life  
11 more complicated, more costly, and it's going to  
12 require larger clinical trials because you're rarely  
13 going to have -- often, you're not going to have full  
14 confidence in your diagnostic by the time you get to  
15 your pivotal trial. You're going to wind up having to  
16 have enough test positive patients to analyze them  
17 separately and test negative patients to analyze them  
18 separately.

19           Even in cases like the Herceptin case, where  
20 you think you really know who is likely to benefit from  
21 this drug, based on what Dr. Becker was pointing out  
22 before, the diagnostics, CDRH, they want you to include

1 test negative patients anyway. And I think that  
2 creates serious issues for patients. You wind up  
3 having to, if you're honest with patients, say "We have  
4 this drug that we don't think is going to help you, but  
5 in order to show the FDA that it doesn't help you, we  
6 would like you to participate in this trial."

7 DR. DUTCHER: Dr. Harrington?

8 DR. HARRINGTON: I wanted to second what  
9 Dr. Simon is saying from one more perspective.

10 I think that what we're seeing here is one  
11 example of something we will see in the future quite a  
12 lot, which is where the science races ahead of the  
13 trials. Trials are notoriously difficult to plan.  
14 They're planned well in advance and the markers  
15 sometimes become available later.

16 So I agree with Dr. Simon that the trials and  
17 practice will become larger because we will be aware  
18 that there are likely heterogeneous subgroups in these  
19 trial populations, but may not quite know how to  
20 identify them when the trials get started.

21 So I think that not only will they become  
22 larger, but I think there will be increased

1 requirements to make sure that those tissues are  
2 available and archived in a viable way so that these  
3 kinds of retrospective analyses can be done with more  
4 confidence.

5 DR. DUTCHER: Doctor -- I can't say your  
6 name, sorry.

7 DR. PRZYGODZKI: Przygodzki.

8 DR. DUTCHER: Przygodzki.

9 DR. PRZYGODZKI: I have a couple of questions  
10 to the sponsors regarding the tissues and the nuts and  
11 bolts of how the testing was actually performed on  
12 them.

13 An earlier question was brought up by, I  
14 guess, Dr. Mortimer, regarding stability of DNA and all  
15 that and how RAS can mutate or not mutate. In the  
16 early part of the carcinogenesis, you may or may not  
17 have RAS that's wild type. But more often than not,  
18 actually, in the early stages, like I mentioned, the  
19 invasive front may mutate and actually that will be the  
20 greater population that, at one point, will overcome  
21 the entire tumor in and of itself with the mutation.  
22 In this sense, the metastatic tumor would be likewise

1 mutated.

2           In this situation that we're looking at right  
3 now, that whole issue really isn't that big of a  
4 problem vis-a-vis the idea of taking a biopsy of the  
5 tumor from the colon versus having to explant itself  
6 and then studying it. But along that train of thought,  
7 there is the question of truly looking at the  
8 metastatic versus the primary in the sense that -- and  
9 these are essentially the questions to the sponsors.

10           Is there truly histopathologic evidence of  
11 the sample that you have truly showing tumor? The  
12 reason I bring it up is if it is in a lymph node, you  
13 may be sampling normal cells and you may be getting  
14 wild type. And on top of that, you may be also looking  
15 at a sample that just shows lymphocytes in a  
16 theoretically positive tumor, and positive lymph node,  
17 that may be, at one point, positive, but as you're  
18 trimming through the sample, you may wind up with  
19 something that's negative. And that's, I guess, one  
20 point.

21           But the other thing is, also, along the line  
22 of what is your cutoff for RAS positive, because as I

1 recall, when you look at sequence data, you're looking  
2 at around 10 to 15 to 20 percent of the cells in the  
3 test to be truly positive to really be called as  
4 positive, as mutated. But with the assays that are  
5 being used now, the cutoff is getting lower and lower  
6 and lower.

7           So I wonder, is it two percent of the cells  
8 positive considered as being positive, that is, mutated  
9 and, therefore, this person is off the chart, where, in  
10 de facto, may be, under all considerations, wild type?  
11 I just wonder.

12           And one final question. Samples, were they  
13 taken pre-therapy of any kind? Is it the biopsy itself  
14 or is it after therapy, as well? Is it a mix or what?

15           DR. REESE: Dr. Reese from Amgen.

16           To address your questions, if I can remember  
17 them all, most of the samples assessed were  
18 paraffin-embedded specimens that had been obtained at  
19 the time of primary resection of the primary tumor, the  
20 vast majority of those.

21           One of your other questions related to  
22 ensuring that you actually have tumor present in the

1 sample. As part of our sample processing, these  
2 samples go through a rigorous quality assurance and, in  
3 fact, a pathologist must confirm that tumor is present,  
4 marks that tumor on that slides, from which DNA will be  
5 extracted, so that we're certain that we're getting  
6 tumor DNA.

7           Finally, if I might have a slide on, you  
8 asked a question, I think, that relates to the  
9 sensitivity. And you raise, actually, a subtle, but  
10 important point about perhaps what the gold standards  
11 ought to be when we're developing some of these new  
12 tests.

13           The DxS KRAS mutation test kit that we use,  
14 as you can see in the second bullet, can detect  
15 approximately one percent of mutant KRAS DNA in a  
16 background of wild-type genomic DNA. It requires about  
17 five or ten copies of mutant DNA to read out as  
18 positive.

19           Now, what's interesting is that this is more  
20 sensitive than typical sequencing, where you, of  
21 course, have add mixture of normal cells, stromal  
22 elements and other things. And, as you have pointed

1 out, you often require 15 to 20 percent tumor to get an  
2 accurate result.

3 I think this raises a broader question for  
4 the committee. As science is moving in real time and  
5 new assays are being developed that may be actually  
6 superior to existing gold standards, how do we qualify  
7 those assays? How do we define sensitivities,  
8 specificities, precision, and all of the other  
9 variables we care about?

10 DR. PRZYGODZKI: So what was their cutoff for  
11 a tumor to be positive, what percentage?

12 DR. REESE: Let me have Dr. Scott Patterson  
13 of Amgen Medical Sciences, who has done extensive work  
14 in this area, comment on that.

15 DR. PATTERSON: Thank you, Dr. Reese, and  
16 thanks for the question.

17 We have currently in our qualification  
18 process been examining the smallest amount of tumor  
19 material that can be required. If one is to conduct  
20 the testing without any trimming of the section, then  
21 we require 20 percent of that section to be of tumor.  
22 However, we can go down to much smaller amounts of

1 tumor, determined by the pathologist, and then remove  
2 some of the normal tissue.

3           So you can actually get down to significantly  
4 less than four square millimeters. In fact, we're  
5 continuing to examine that down to potentially one  
6 square millimeter.

7           DR. PRZYGODZKI: That's perfectly okay. The  
8 question, though, is within the tumor, what percentage  
9 of actually tumor cells are RAS mutated, that you call  
10 as RAS mutated? One percent, five percent?

11           DR. PATTERSON: That's a very interesting  
12 question. We haven't been able to determine on a per  
13 cell basis the KRAS mutation status. There are  
14 actually no tools to do that. And I think that that's  
15 a very interesting scientific question that we'd like  
16 to further pursue.

17           DR. PRZYGODZKI: I understand. But you're  
18 doing this fluorescently with a CT level and you are  
19 gauging that, also, toward a certain copy number.

20                    Would it be possible to extrapolate what  
21 percentage of those cells?

22           DR. PATTERSON: The sensitivity of the assay,

1 as we had, if we could have slide on that we previously  
2 showed, the work that DxS has done has shown that the  
3 sensitivity of the assay is down to five to ten copies  
4 of mutant KRAS.

5 DR. PRZYGODZKI: Okay. Thank you.

6 DR. DUTCHER: Dr. Grem?

7 DR. GREM: For the sponsors, there were  
8 variable, maybe up to 90 percent, 92 percent  
9 acquisition.

10 Now, were all of those samples informative?  
11 Were there any samples that you just didn't get and the  
12 reaction didn't work and how were those patients  
13 handled then?

14 DR. REESE: David Reese from Amgen. Slide  
15 on.

16 This is a slide that we showed you from the  
17 core presentation. Tumor samples were available from  
18 96 percent of all patients in the trial. KRAS testing  
19 failed in four percent of those cases uniformly due to  
20 either insufficient DNA quality, i.e., the DNA was  
21 degraded for whatever reasons, or inadequate quantity  
22 of DNA.

1 DR. GREM: So were those patients who had a  
2 non-informative sample, were they analyzed or just  
3 excluded? I mean, what do you do in the clinical  
4 setting if you send off a tumor specimen that just had  
5 not enough tissue available? Do we deny those patients  
6 therapy or re-biopsy them?

7 DR. REESE: Right. I think that's a very  
8 good question. In our view, optimally, one would  
9 obtain additional tissue and attempt to ascertain KRAS  
10 status. In the absence of that, the current label for  
11 panitumumab, of course, is in all comers, so physicians  
12 administering the drug to patients in that setting  
13 would be prescribing according to the current U.S.  
14 label.

15 DR. DUTCHER: Dr. Youssoufian?

16 DR. YOUSOUFIAN: Youssoufian, ImClone.

17 Just to add, briefly, the  
18 ImClone/Bristol-Myers Squibb experience. So far, we've  
19 had that opportunity to do correlations in two  
20 different studies, EPIC and OPUS. In EPIC, the KRAS  
21 evaluable population that we described, approximately,  
22 out of 60 samples or so that were tested, 98 percent, a

1 very high number, were informative of KRAS analysis.

2 In OPUS, it was in the low 90s.

3 DR. BECKER: I just would like to echo a  
4 little bit of what has been asked by Dr. Przygodzki and  
5 I think, to a lesser extent, by Dr. Grem concerning the  
6 impact of understanding what the assay is telling you  
7 concerning the status of the cells or the tissue as a  
8 whole, that these do bear then on what is the  
9 performance of a cut point that's used to divide  
10 patients between marker positive, who would be  
11 considered likely to benefit from a drug, for example,  
12 and marker negative, who would likely not benefit.

13 From the perspective of CDRH, we certainly  
14 are not interested in seeing trials accrue patients for  
15 which there is a settled knowledge that they cannot  
16 benefit from the drug on the basis of, one hopes, a  
17 well validated biomarker.

18 However, to the extent that that has not been  
19 settled definitively, then the opportunity of being  
20 able to get to a most expeditious demonstration of the  
21 absence of benefit in the marker negative patients is  
22 one that we're interested in hearing ideas about how to

1 be able to achieve that as quickly as possible.

2           The idea at the end of the game then is to be  
3 confident that when that patient obtains a negative  
4 test for the marker as deployed and says, "Gee, Doctor,  
5 why is it that I can't take this drug," that the answer  
6 isn't that "It's our best guess that you won't  
7 benefit."

8           DR. DUTCHER: Dr. Link?

9           DR. LINK: My question sort of relates to  
10 that, too. In terms of the cutoff point and what you  
11 lose in having an all or nothing kind of cutoff is that  
12 you lose the quantitation and the range. So in other  
13 words, it may be very different if somebody is 100  
14 percent positive for mutated versus at the level of  
15 detection, and we find that in other diseases, as well.

16           So is there any attempt to try to make this a  
17 quantitative assay and actually relook at this data?  
18 Unfortunately, there's not that much benefit in the  
19 patients who benefit. But in tumors where there's a  
20 lot of benefit potential from the therapy, it would  
21 make a big difference if you could change your cutoff  
22 or you could give some quantitation of the likelihood

1 of benefit.

2 DR. YOUSOUFIAN: Youssoufian from ImClone.

3 Actually, we do have an expert who is very  
4 much involved with the DxS assay development, and this  
5 applies to both sponsors. So maybe Dr. Little would  
6 like to comment.

7 DR. LITTLE: Thanks. Hello. My name is  
8 Steve Little. I'm the CEO of DxS.

9 DxS is a U.K. personalized medicine company.  
10 We develop biomarkers and companion diagnostics to help  
11 predict response to drugs. So we're delighted to be  
12 working with both Amgen and ImClone on these projects.

13 What I'd say about the quantitative aspects  
14 of this particular test is that we have not attempted  
15 to make the test quantitative. It seems that a lower  
16 level of mutation or a high level mutation both appear  
17 to correlate with a lack of response to the drugs. But  
18 perhaps it may be that the sponsors would be in a  
19 better position to talk about mutation percentage and  
20 actual drug response.

21 DR. LINK: In this particular clinical  
22 setting, most patients don't respond. So it might be

1 more helpful that the patients who weren't responders  
2 were 90 percent positive as opposed to one percent  
3 positive.

4 DR. REESE: So if I just might add to the  
5 discussion. I think one way to frame it may be that if  
6 using the DxS test, we classify a patient as KRAS  
7 mutant, the negative predictive value for response at  
8 least is 100 percent. Across our studies, in more than  
9 300 patients with KRAS mutant tumors, none who was  
10 classified as such has a response. Now, that, of  
11 course, doesn't speak to the KRAS wild-type group and,  
12 of course, we need to, obviously, make further  
13 improvement there.

14 DR. DUTCHER: I think we need to move on to  
15 the open public hearing and then for those of you that  
16 have other questions, we can address them with the  
17 sponsor and the FDA in the afternoon when we're going  
18 through more general discussion.

19 Nicole?

20 MS. VESELY: Both the Food and Drug  
21 Administration and the public believe in a transparent  
22 process for information-gathering and decision-making.

1 To ensure such transparency at the open public hearing  
2 session of the advisory committee meeting, the FDA  
3 believes that it is important to understand the context  
4 of an individual's presentation.

5 For this reason, FDA encourages you, the open  
6 public hearing speaker, at the beginning of your  
7 written or oral statement, to advise the committee of  
8 any financial relationships that you may have with the  
9 sponsor, its product, and, if known, its direct  
10 competitors. For example, this financial information  
11 may include the sponsor's payment of your travel,  
12 lodging or other expenses in connection with your  
13 attendance at the meeting.

14 Likewise, FDA encourages you, at the  
15 beginning of your statement, to advise the committee if  
16 you do not have any such financial relationships. If  
17 you choose not to address this issue of financial  
18 relationships at the beginning of your statement, it  
19 will not preclude you from speaking.

20 The FDA and this committee place great  
21 importance in the open public hearing process. The  
22 insights and comments provided can help the agency and

1 this committee in their consideration of the issues  
2 before them.

3           That said, in many instances and for many  
4 topics, there will be a variety of opinions. One of  
5 our goals today is for this open public hearing to be  
6 conducted in a fair and open way, where every  
7 participant is listened to carefully and treated with  
8 dignity, courtesy and respect. Therefore, please speak  
9 only when recognized by the chair.

10           Thank you for your cooperation.

11           DR. DUTCHER: So we have three people who  
12 have asked to speak at the open public hearing. They  
13 will each have five minutes. We ask that they please  
14 identify themselves and any connections with the  
15 sponsors.

16           MR. ERWIN: I'm Robert Erwin, President of  
17 the Marti Nelson Cancer Foundation. Neither the  
18 foundation nor I personally stand to gain or lose  
19 financially from today's discussion. We have received  
20 no contributions or sponsorship from any company in the  
21 biotech, pharmaceutical or medical device fields for  
22 the past four years. We're an all volunteer, nonprofit

1 organization, provide all of our services free of  
2 charge, and I bought my own airplane ticket to come  
3 here.

4           The unfortunate reality is that cancer drugs  
5 and biologics do not work very well or for very long  
6 for many patients and a large percentage of people who  
7 are treated with them receive no measurable benefit.  
8 However, virtually all treated patients are harmed in  
9 some way, even if only temporarily. From the  
10 standpoint of an individual making a choice, it comes  
11 down to the risk of harm with the possibility of  
12 benefit versus the risk of harm with no possibility of  
13 benefit.

14           In the current case, with the example of  
15 KRAS, we're dealing with a marker that is predictive of  
16 non-benefit and I think that that semantic reversal  
17 from predictive of treatment benefit to predictive of  
18 no benefit is an important consideration in this  
19 particular case.

20           It's not easy to simultaneously develop  
21 targeted drugs and diagnostic products to guide their  
22 use, particularly since they're usually developed by

1 different companies and coordination can be difficult.

2           The processes and standards for proving  
3 effectiveness of the combination is complicated. To  
4 further complicate matters, there are companies very  
5 willing to foist products on the market that have not  
6 been proven to be of value and this has been true for  
7 both some attempted therapeutic products and diagnostic  
8 products.

9           It's probably not practical for the FDA to  
10 regulate all laboratory tests because of the  
11 overwhelming workload that would create, which is not  
12 helped by the government's chronic unwillingness to  
13 properly fund the FDA.

14           So your discussions today are really  
15 important from the standpoint of providing greater  
16 clarity for future situations beyond KRAS, to encourage  
17 the development of these products, while maintaining  
18 very high standards for approval, keeping in mind the  
19 ideal of what is optimal, but sometimes acting on the  
20 basis of what is pragmatic.

21           This is essentially a safety issue, in our  
22 view, and limiting the labeled indications of the EGFR

1 therapeutic products to exclude treatment of KRAS  
2 mutant patients is rational and important for patient  
3 safety, at least in colorectal cancer treatment outside  
4 of the context of clinical trials.

5           Safety should be regarded not only as  
6 avoidance of toxicity in the absence of therapeutic  
7 benefit, but, also, the avoidance of losing time with  
8 ineffective treatments when every day or week counts.  
9 Wasting time with a treatment that does not work may  
10 shorten life by delaying access to a treatment that  
11 does work or it may make a person ineligible for a  
12 promising clinical trial.

13           The fact that the most measurable benefit of  
14 these particular agents is PFS rather than overall  
15 survival does not change the fact that the KRAS  
16 analysis predicts an absolute lack of benefit.

17           It's beyond the scope of this meeting to  
18 discuss the clinical value of PFS, but we're one  
19 organization that does value it. We believe that good  
20 practical judgment suggests that the  
21 prospective/retrospective analyses that have been  
22 discussed and presented today can legitimately be used

1 to guide the use of EGFR inhibitors. We do not believe  
2 that this would open the floodgates to unscrupulous  
3 people who would love to use invalid statistical  
4 dredging techniques to get worthless products approved.

5 We are pleased that the sponsors are actually  
6 advocating restrictions in their own products labels on  
7 the basis of science and public policy and we applaud  
8 the FDA's difficult work to strike the right balance  
9 between acting on evidence sufficient to guide the  
10 current practice of medicine, while maintaining high  
11 standards for future products.

12 We hope your discussion and debate will be  
13 practical today with respect to what we know both about  
14 this specific case and with respect to helping to  
15 clarify the path that will bring legitimate, successful  
16 future innovations to the clinic faster and to the  
17 patients who need it.

18 Thank you.

19 DR. DUTCHER: Thank you very much.

20 The next speaker is Carlea Bauman.

21 MS. BAUMAN: Hello. I'm Carlea Bauman. I'm  
22 the President of C3 Colorectal Cancer Coalition. C3 is

1 a nonprofit, nonpartisan advocacy organization  
2 committed to winning the fight against colon and rectal  
3 cancer through research, empowerment and access.

4 C3 receives funding from both Bristol-Myers  
5 Squibb, ImClone Systems and Amgen in the form of  
6 charitable donations. Additionally, in 2008, C3  
7 received a charitable grant from Caris Diagnostics, a  
8 company that tests colorectal cancer tumors for the  
9 KRAS mutation.

10 None of these companies or any of our other  
11 corporate supporters has influenced our comments on  
12 this issue.

13 On page 3 of the FDA briefing document, FDA  
14 listed the requirements they laid for submission of  
15 KRAS data. In theory, these are good requirements and  
16 could be applied to both KRAS and future biomarkers and  
17 companion diagnostics. However, we feel that the  
18 requirements fail to take into account the fact that  
19 there are many approved drugs on the market. As we  
20 speak, researchers are mining banks' tissues looking  
21 for markers that will help target those drugs most  
22 effectively.

1           Some of that research may yield gems that  
2 will help patients avoid toxicity or increase  
3 likelihood of benefit from treatment. However, while  
4 current and ongoing trials routinely bank high  
5 proportions of tissues, past trials did not. Thus, a  
6 requirement that tissue be available from 90 to  
7 95 percent of participants in a single trial means that  
8 tissue from past trials will be largely useless for  
9 submissions.

10           In this era of personalized medicine, C3  
11 strongly believes that we must be cautious about  
12 one-size-fits-all requirements. We believe that tissue  
13 and assay requirements vary and that the following  
14 types of questions should be considered.

15           What do we know about the biomarker? Are the  
16 results consistent with what we know about the  
17 mechanism of action? Do we know what percentage of  
18 patients in the general population have this biomarker?

19           What do we know about the assay? Does the  
20 assay involve new technology? Are the assay results  
21 subjective, such as in a gene expression test, or are  
22 they objective, such as in a gene mutation test?

1           Do they require black box calculations, such  
2 as Oncotype DX? Are the clinical results consistent  
3 across analyses of multiple datasets? What is the  
4 strength of the clinical impact on patients? Do  
5 patients with a specific biomarker respond more to a  
6 specific drug, less, or not at all?

7           With respect to KRAS, getting hold of  
8 95 percent of the tissue across the spectrum of trials  
9 is not possible. However, the tissues that have been  
10 analyzed show a consistent breakdown of about two to  
11 one between wild type and mutant patients, which is  
12 consistent with the occurrence of the historical  
13 mutation rate in colorectal cancer.

14           The suspected mechanism of action is  
15 consistent with lack of response in the KRAS mutant  
16 population. The results hold true across trials,  
17 regardless of phase, assay or laboratory. The assay  
18 results are black-and-white and gene mutation tests are  
19 not a new technology. KRAS mutant patients get no  
20 benefit from cetuximab or panitumumab. This has been  
21 shown in multiple analyses. And in OPUS, KRAS mutant  
22 patients who received cetuximab did worse.

1           With respect to the KRAS issue, we have  
2 reviewed the analyses, spoken with researchers and  
3 looked at positions taken by the National Comprehensive  
4 Cancer Network and the College of American Pathology.  
5 As a result, we strongly urge ODAC to recommend a label  
6 modification for KRAS to provide some mention of these  
7 research findings in the label, perhaps something as  
8 simple as research indicates that patients with KRAS  
9 mutant tumors do not benefit from treatment with this  
10 class of drugs. In addition, the KRAS story  
11 illustrates the problem with one-size-fits-all  
12 requirements for companion diagnostics.

13           We urge ODAC to recommend that, for the  
14 future, FDA develop requirements fit with the reality  
15 of the research environment and the wide variability of  
16 markers and assays. At the end of the day, a flexible  
17 approach will facilitate the development of assays that  
18 allow patients to benefit from the reality of  
19 personalized medicine.

20           Thank you.

21           DR. DUTCHER: Thank you. And our third  
22 speaker is David Apelian.

1 DR. APELIAN: Thank you. My name is David  
2 Apelian. I'm the chief medical officer at GlobeImmune,  
3 a clinical development stage therapeutic vaccine  
4 company based in Boulder, Colorado. I want to thank  
5 the committee for allowing me a few moments to share  
6 some of our observations from our clinical testing of  
7 RAS in our patient populations.

8 In contrast to the discussion of this  
9 morning, our motivation for testing patients is to  
10 proactively characterize their RAS mutations so that we  
11 can specifically target our therapeutic vaccine to the  
12 exact RAS mutation in their tumor. So this is an entry  
13 criterion for patients to be eligible for treatment  
14 with our particular RAS targeted vaccine therapy.

15 The committee is probably very familiar with  
16 this representation of RAS, but I show it to you to  
17 represent that we are continuing to learn about the key  
18 mutations that activate RAS, and it does point to the  
19 fact that we probably are just beginning to understand  
20 the complex nature of this characterization.

21 Of course, the position 12 mutations and  
22 Exon 2 still constitute the majority of the activating

1 mutations in KRAS, particularly G12 to V, C or D. But  
2 we know now, from our own data and public databases,  
3 that less than typical mutations in Exon 2, as well as  
4 Exon 3, actually comprise about 25 percent of the  
5 activating mutations in RAS.

6           So this does represent a fairly large subset  
7 of the patients that, if we're not testing for those  
8 mutations that, on an individual basis, are not that  
9 frequent, but collectively contribute in a meaningful  
10 way to the overall proportion of mutated RAS, we could  
11 have an invisible false negative artifact in the way we  
12 run these assays and identify patients for eligibility  
13 for treatment.

14           I think another important point is that even  
15 in Exon 3, we've discovered a novel mutation at  
16 position 76, which occurs in tandem to the more  
17 commonly found mutations in Exon 2, namely, at position  
18 12 in most cases. And we find this tandem mutation  
19 more commonly in advanced cancer patients or metastatic  
20 patients and, in fact, our in vitro testing of this  
21 double hit in RAS predicts a more aggressive invasive  
22 behavior in vitro based on a more aggressive endpoints in

1 our in vitro testing, which further indicates that not  
2 only are some of these novel mutations informative in  
3 terms of activating RAS, but may interact between  
4 Exon 3 and 2 and teach us even more about how this  
5 marker could behave and predict response to therapy.

6           So I would point out that perhaps it's  
7 over-simplified to say that someone is either a  
8 wild-type RAS or mutant RAS patient and we should  
9 perhaps focus more on fully characterizing the  
10 mutations in a particular patient sample to fully  
11 understand the effect on therapy.

12           In our hands, though, we still consider the  
13 gold standard optimized bidirectional sequencing and we  
14 find this to give us the best specificity and  
15 sensitivity in our clinical samples. We've used a  
16 published procedure called PNA clamping to reduce the  
17 wild-type signal, which partially addresses the fact  
18 that we often get samples with normal cells mixed in  
19 and even tumor cells will have a wild-type allele,  
20 which will present a signal in these types of assays.  
21 So we've used this combination approach of a squelching  
22 mechanism with bidirectional sequencing to improve our

1 signal-to-noise ratio.

2           While I think the commercially available  
3 assays are a significant advance in terms of the  
4 convenience and efficiency of testing, in our hands, at  
5 least, we can't get that same high level of specificity  
6 and sensitivity using those commercially available  
7 assays.

8           I think, again, we can only find mutations if  
9 we look for them. So if we exclude Exon 3 or exclude  
10 less than typical Exon 2 mutations, we're going to have  
11 an artifactually high but invisible false negative rate  
12 on these patients, with serious implications about now  
13 allowing patients to receive therapy with very little  
14 chance for benefit.

15           We've sequenced 415 clinical samples in our  
16 programs. This includes archived tissue sets, Phase I  
17 patients with pancreas, colorectal and non-small cell  
18 lung cancer, as well as almost 200 patients in our  
19 Phase II study in newly diagnosed resected pancreas  
20 cancer. And we've seen the ability to improve our  
21 response rates using the optimized bidirectional  
22 sequencing by about 15 percent in the pancreas cancer

1 patients. So we're fairly confident that this is at  
2 least currently the best standard for us to use for  
3 qualifying patients for our randomized Phase II trial  
4 in resected pancreas cancer.

5           Just to illustrate, in the rightward  
6 histogram here, in fact, the classic mutations, G12 to  
7 V, C and D, do comprise about 75 percent of our  
8 Phase II pancreas cancer mutations, but 25 percent of  
9 those mutations that we've identified are atypical  
10 Exon 2 mutations or Exon 3 mutations. And this is  
11 consistent with the middle bar shown here, which is our  
12 Phase I population, as well as the publicly available  
13 database called COSMIC, which, again, shows that the  
14 atypical Exon 2 mutations and Exon 3 mutations actually  
15 make up about 25 percent of the samples tested. So it  
16 does point to the need to make sure we're addressing a  
17 full complement of these mutations before we call  
18 someone a negative KRAS patient.

19           In conclusion, we think RAS does present  
20 incredible promise as a predictor for response to  
21 therapy, but the implications of having a false  
22 negative rate anywhere from five to 25 percent does

1 have serious implications in terms of treating patients  
2 with very little opportunity for benefit when we  
3 consider the response to EGFR targeted therapies,  
4 whether they be monoclonal antibodies or even the TKI  
5 class, as we've seen in lung cancer, showing poor  
6 response in RAS positive patients.

7           So I think one of the questions I'll pose  
8 rhetorically to the committee is how low is low enough  
9 for false negative rate or false positive rate with  
10 these assays? Is five percent good enough? Is one  
11 percent good enough?

12           I think when you frame that in the context of  
13 the implications for the patients' response to therapy  
14 in terms of the risk-benefit to patients and the cost  
15 benefit to the third-party payers, this is still a very  
16 important question.

17           Thank you.

18           DR. DUTCHER: Thank you very much.

19           That concludes the open public hearing. We  
20 are going to take a break for lunch. We will reconvene  
21 in this room in one hour from now. So it's 12:07, so I  
22 guess 1:07, say 1:10.

1           Please take any personal belongings you may  
2 want with you at this time. Committee members, please  
3 remember, there should be no discussion of the meeting  
4 during lunch among yourselves, with the press or with  
5 any member of the audience.

6           Thank you.

7           (Whereupon, a lunch recess was taken at  
8 12:07 p.m.)

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1                   A F T E R N O O N S E S S I O N

2                   DR. DUTCHER: Okay. We're going to start the  
3 afternoon session. Today's discussion focuses on the  
4 type and amount of data needed to support product  
5 labeling using biomarkers.

6                   In the following discussions, we are assuming  
7 that prospective studies intended to establish the  
8 clinical usefulness of the biomarker have not been  
9 performed and that decisions are being requested that  
10 require a retrospective analysis of a completed or  
11 ongoing clinical trial.

12                   For the following series of questions, assume  
13 that appropriate tumor sample acquisition and handling  
14 procedures were used, the assay for the biomarker has  
15 acceptable analytical validation, and clinical data  
16 would be obtained from randomized controlled clinical  
17 trials. This discussion applies to studies which met  
18 the pre-specified primary study endpoints and would not  
19 be intended as a mechanism to salvage failed trials.

20                   So the way we're going to approach this, the  
21 FDA has given us five questions and has asked members  
22 of the committee to serve as discussants to start off a

1 conversation. So we're asking that the people that are  
2 discussing limit their comments to three to five  
3 minutes, so that we have about 20 to 25 minutes per  
4 question for the rest of the committee to discuss.

5 We'll take comments by show of hands and I  
6 apologize if I cut you off in advance. It's nothing  
7 personal. But we want to give as many people a chance  
8 to say what they have to say as possible.

9 So that's going to be the format. And  
10 somebody told me it was going to be like hurting cats.  
11 So I like cats.

12 All right. We're going to start with  
13 question number five, because one of our discussants  
14 has to leave a bit early.

15 Please discuss the importance of timing and  
16 rigor in determining the analytic performance of the  
17 companion diagnostic test.

18 Who would like to begin?

19 DR. ZHOU: Okay. So I will start out with  
20 some questions I have about how to evaluate the  
21 discrimination performance of the biomarkers.

22 So the first question I have is what type of

1 scale we should use for the biomarker. Right now, we  
2 all heard the result from the biomarker is binary,  
3 either mutant or non-mutant. But I think the original  
4 scale, actually, probably is a continuous scale. So if  
5 it's a continuous scale, how does it go from the  
6 continuous scale to the binary scale? So that's really  
7 the issue about how do you choose a cutoff point.

8           Should cutoff point issue depend on the  
9 particular outcomes that were used? Because right now,  
10 from the discussion we had in the morning, we have  
11 three types of outcomes, the progression disease free  
12 or the total survival or the response.

13           DR. DUTCHER: Excuse me. Dr. Zhou, this  
14 question is with respect to the biomarker diagnostic  
15 test and not to have anything to do with what we talked  
16 about in the morning in terms of the actual studies.

17           DR. ZHOU: Okay, sorry.

18           DR. DUTCHER: So this is supposed to be a  
19 general discussion.

20           DR. ZHOU: Yes, it is general. I think the  
21 four other biomarker studies also had sort of those  
22 kind of different responses.

1           So the question is, should the cutoff point  
2 we choose for defining either marker positive or marker  
3 negative depend on the patient characteristics and also  
4 depend on particular outcomes?

5           The second question is, how do we measure the  
6 diagnostic accuracy of the biomarker when the outcome  
7 is timed to the event?

8           So most of the literature we see actually  
9 suggests we use sensitivity and specificity and RC  
10 curve. But the problem with sensitivity and  
11 specificity and the RC curve is that they require the  
12 true disease status to be binary. It should not depend  
13 on the time. But, however, if the outcome is timed to  
14 the event, actually, the disease status of the patient  
15 changes depending on what time you look at. If you  
16 look at six months or the one year, the disease of the  
17 patient actually might be different.

18           So better measurement for measuring the  
19 discrimination capability of biomarkers maybe should  
20 use the so-called time-dependent RC curve or  
21 time-dependent sensitivity and specificity. I have  
22 seen that in a lot of the literature when they try to

1 evaluate accuracy of diagnostics. I think this issue  
2 should be considered by the FDA and, also, the  
3 sponsors.

4           The next question is about gold standards.  
5 So what is the gold standard we should use when we try  
6 to evaluate accuracy of diagnostic biomarkers, because  
7 it's not very clear at this point.

8           What is a true gold standard, for example,  
9 for the mutant, the KRAS, for example?

10           The next issue is, what's the impact of  
11 imperfect assays on the evaluation of either prognostic  
12 or predictive biomarker values?

13           The last one is, should we only rely on key  
14 value when we try to establish the predictive value of  
15 the biomarker? Because the P value is only for the  
16 known hypothesis. They say nothing about alternatives.

17           So in other words, what is effective size the  
18 predictive marker should be? It should be like median  
19 survival, five-year versus two-year, or should it be  
20 the hazard rate, two or three?

21           So those kind of issues I think should be  
22 clarified in our discussion in the committee.

1 DR. PRZYGODZKI: I guess from the  
2 standpoint -- I agree with Dr. Zhou's mentioning it. I  
3 just wanted to add the point of, okay, so how does one  
4 truly go about, from the histopathological end, that  
5 is, accruing of the tissue.

6 Ultimately, this is the real meat of the  
7 whole situation. With the result of whatever happens  
8 here, everything else goes down the path depending on  
9 if it's positive, mutated, that is, or wild-type  
10 negative, the tumor, that is.

11 Issues that come to mind are, as I mentioned,  
12 I guess, earlier, when does one actually accrue the  
13 sample? Is this as from the resection specimen itself,  
14 from the initial diagnostic biopsy that this was  
15 performed on? Should one look at the lymph node  
16 itself, the distant metastasis itself, the primary  
17 tumor? What is enough in sample size to actually  
18 diagnose?

19 Current standards, one could look at a small  
20 biopsy and get enough information and do a decent study  
21 on that. Yet, if one looks at this going off to the  
22 other extreme, where everybody in the world is really

1 beginning to use this in this method, we have to have  
2 at least some guidelines of what is the typical  
3 approach that one has to use to really make this as  
4 even of a type of test, a type of test in the sense of  
5 accrual of tissue and what we use for the test itself,  
6 relatively standardized.

7           To boot, on top of this, the  
8 level -- echoing, again, what Dr. Zhou was mentioning  
9 and mentioned earlier, as well, what is the true cutoff  
10 for positivity?

11           Now, if we, again, go back toward the idea of  
12 the gold standard that we use currently, which is  
13 bidirectional sequencing, we're looking at 10 to  
14 20 percent of tumor cells that are mutated to be  
15 diagnosed as mutated.

16           In the current pathway that the folks are  
17 using now with the DxS method, the sample, of course,  
18 is much more refined and one could really identify a  
19 percentage, a small percentage of cells that are  
20 mutated.

21           The question is, is a sample that's five  
22 percent mutated truly entirely mutated? If one uses

1 the gold standard of sequencing of 20 percent as being  
2 the gold standard, and, there, you have 20 percent that  
3 is actually mutated, I think we need to have a clear  
4 cutoff to at least establish what is truly mutated or  
5 not in said samples.

6 My five cents' worth. Thank you.

7 DR. DUTCHER: Okay. So we can open this  
8 question up to discussion. Let me ask a question of  
9 the pathologists.

10 Are there any -- and I think the answer is  
11 probably no. But are there any guidelines for sample  
12 collection in clinical pathology and block construction  
13 that would be representative of the specimen or is it  
14 dependent on who is doing the cutting and who is doing  
15 the looking?

16 DR. PRZYGODZKI: There are general  
17 guidelines. You take margins of the resection to show  
18 that there is no tumor. You take the tumor itself and  
19 relative idea of one sample per centimeter. Usually,  
20 people take more than that.

21 Essentially, all of the lymph nodes, there  
22 are pericolic lymph nodes that are accrued in your

1 samples, as well, that are taken and split and put into  
2 cassettes.

3           It isn't truly different from place to place.  
4 Essentially, if you misdiagnose or under-diagnose, it  
5 is criminal. One needs to make appropriate diagnostics  
6 on that sample. So one does go the extra mile to truly  
7 make the diagnosis as accurate as possible. So in that  
8 sense, it's pretty standardized.

9           DR. DUTCHER: And what does the clinical  
10 trial get when someone asks for blocks?

11           DR. NETTO: So basically representative of  
12 the tumor. But the broader question is, is it the  
13 primary or is the metastasis and which part of the  
14 tumor, the cutting edge of the tumor, interface with  
15 the benign? Do you need to micro-dissect or not? Do  
16 you need to circle for the lab who is extracting the  
17 DNA or not?

18           So there is no standardization for that. But  
19 generally, you try to pick the block with most  
20 tumor-to-normal ratio in order to increase the chance.

21           DR. PRZYGODZKI: Actually, some molecular  
22 diagnostics labs will go into triplicate sampling. So

1 in other words, you will take either three different  
2 sample areas or three different blocks and try and see  
3 if the alteration is similar.

4           Granted, that's a lot more money that one  
5 expends to make the diagnosis as it is and it depends  
6 on what type of molecular test that one is looking at.

7           In this case -- usually, in my previous life,  
8 when I was actually doing this in the lab, one would  
9 take at least two or three samples to really accrue and  
10 make that determination, at least bidirectional.

11           DR. DUTCHER: Dr. Wilson?

12           DR. WILSON: To just get back to this  
13 specific question, I think we all would agree that it's  
14 optimal to do this in a prospective manner.

15           But I think the reality is, number one, many  
16 targeted agents, or many putative targeted agents, one  
17 finds out over time, are not truly targeted. So any  
18 biomarker you have may go from a mechanistic-based  
19 biomarker to simply a prognostic biomarker and,  
20 therefore, may affect both patients that have a  
21 mutation or not, such as we have seen earlier.

22           But I just wanted to get back to the example

1 at hand. And I think that one of the pitfalls, for  
2 example, besides these statistical ones, in terms of  
3 doing the current study after the fact is that among  
4 those patients that are EGFR negative by IHC, we don't  
5 know whether or not a wild-type RAS might, in fact,  
6 identify a patient who might benefit from a drug.

7           So I do think that missed opportunities come  
8 about, as well, by doing this later as we begin to  
9 understand the biology. But I think at the end of the  
10 day, we can talk about the importance, but this is  
11 going to be driven by the availability of these types  
12 of studies, of these types of tests, and, often,  
13 they're just simply not going to be available when  
14 we're doing the initial upfront studies.

15           DR. DUTCHER: Dr. Harrington? Dr. Link?

16           DR. LINK: I have two questions. I don't  
17 know if this is the right question to address.

18           Number one is sort of the -- they have to do  
19 with evolution. The tumor evolves and so does the  
20 testing evolves.

21           So the question is would a patient be  
22 eligible for a trial who had a biopsy on hand that had

1 been done of his primary tumor now that he comes in for  
2 a study of metastatic disease.

3           So what's going on -- I mean, certainly, we  
4 know from leukemia studies that the leukemic clone at  
5 relapse may be very different in terms of mutations.

6           So I think you're going to have to specify  
7 that the tumor is going to have to be in time proximal  
8 to what you're doing, and I don't even know if we know  
9 that from the others, because some of them said that  
10 they were from primary tumor resections of this,  
11 whereas the patient had metastatic disease and some of  
12 these were patients who had developed recurrence. So  
13 it's not clear that the tumor specimen represented what  
14 the patient looks like now at the time they're being  
15 treated.

16           The second thing is -- I don't know where to  
17 bring this up, so now better than -- because I may not  
18 get the floor again. But testing evolves. So we have  
19 a sensitivity that has been down to one percent now.  
20 There will be methods, I'm sure, over a relatively  
21 short range of time, if people are really interested in  
22 finding out the answer, that will be more sensitive.

1           So the question is, how do you know when that  
2 test is or are you going to have to run this whole  
3 thing over again when you have a more sensitive test  
4 that maybe gives you a sensitivity down to another  
5 order of magnitude?

6           Are you going to have to go through and troll  
7 through this again to find out if you can identify,  
8 even further refine it or slice the bologna even  
9 thinner in terms of really refining who is going to be  
10 a responder and who won't?

11           DR. DUTCHER: Dr. Simon?

12           DR. SIMON: Well, I think this is talking  
13 about analytical validation and so reproducibility and  
14 does it agree with the gold standard, if there's a gold  
15 standard.

16           But to follow-up on what Dr. Link was saying,  
17 I think the sort of mindset -- I mean, I think,  
18 ideally, you want to have an analytically validated  
19 test used prospectively in your pivotal trial. But  
20 because of the complexity and because science often is  
21 out of synch with clinical development, I think very  
22 often that is not going to be the case. And if we're