GDUFA 2012 REGULATORY SCIENCE INITIATIVES Part 15 Public Hearing

May 20, 2016

A Matter of Record (301) 890-4188

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2	AGENDA ITEM	PAGE		learn from this public meeting, in addition to
3	The Need for Science and Risk-Based Excipient			submissions to the docket and other sources of
4	Safety Assessment During Generic Drug		_	
5	Review			information, as we develop our 2017 regulatory
6	Impact on Formulation Quality and Performance			science plan.
7	David Schoneker	263	6	So before we begin, I want to go over a few
8	Reconstruction of the Airway Tree, Airflow and			housekeeping announcements. First, please turn off
9	Drug Delivery Calculations in the Lungs of	-		any mobile devices as they may interrupt with
10	Children with Disease			people being able to hear the meeting. We've asked
		000		that all attendees sign in so we can keep you up to
11	Bahman Asgharian	280		date on the research program. The meeting will run
12	Protecting the Public Health Through Improved		12	until approximately 4:30 today.
13	Generic Drug Regulation		13	We'll be having one 15-minute break in the
14	Tracy Rupp, PharmD	289	14	morning and one 15-minute break in the afternoon,
15	Importance and Modeling of Hydrodynamic		15	as well as a lunch session. The restrooms are
16	Effects in Dissolution and Absorption		16	located outside the main entrance to the conference
17	In Vivo vs. In Vitro		17	room. And there will be a lunch break from
18	James Brasseur, PhD	299	18	approximately noon to 1:00 p.m., and there will be
19	Considerations in Excipients		19	food and beverages available for purchase in the
20	James Polli, PhD	312		lobby.
21	Closing Remarks		21	So now I'd like the FDA panel members to
22	Kathleen Uhl, MD	324	22	introduce themselves. And we'll start with my
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2	P R O C E E D I N G S (9:00 a.m.)	Page 10	2	supervisor, Cook Uhl. DR. UHL: Good morning. Cook Uhl, Kathleen
2	PROCEEDINGS (9:00 a.m.) DR. LIONBERGER: All right. Good morning,	Page 10	2	supervisor, Cook Uhl. DR. UHL: Good morning. Cook Uhl, Kathleen Uhl, the director of OGD.
2 3 4	PROCEEDINGS (9:00 a.m.) DR. LIONBERGER: All right. Good morning, everyone. Welcome to both the attendees in the	Page 10	2 3 4	supervisor, Cook Uhl. DR. UHL: Good morning. Cook Uhl, Kathleen Uhl, the director of OGD. DR. CONNER: I'm Dale Conner, acting
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- 1 DR. FRIEDMAN: Rick Friedman, deputy
- 2 director, Office of Manufacturing Quality.
- 3 DR. KORTEPETER: Cindy Kortepeter, Division
- 4 of Pharmacovigilance, deputy director, Office of
- 5 Surveillance and Epidemiology.
- 6 DR. PINHEIRO: Simone Pinheiro, acting
- 7 deputy director, Division of Epidemiology I in the
- 8 Office of Surveillance and Epidemiology. Good
- 9 morning.
- 10 MS. PEREZ: Good morning. I'm Gisa Perez,
- 11 branch chief at the Division of User Fees
- 12 Management at Generics Branch.
- DR. STODART: Good morning. Brenda Stodart,
- 14 CDER Small Business and Industry Assistance with
- 15 the Office of Communications, CDER. Thank you.
- 16 Opening Remarks Robert Lionberger
- DR. LIONBERGER: I'd like to thank all of
- 18 our panel members for giving their valuable time
- 19 and spending the day here to listen to your
- 20 presentations and provide input into our regulatory
- 21 science planning.
- So today we have an agenda of 19 speakers in

- 1 The meeting will be transcribed, and copies
- 2 of the transcript may be ordered through the docket
- 3 or accessed on our website approximately 30 days
- 4 after this meeting.
- 5 Each speaker will have approximately
- 6 10 minutes to present. And after each speaker
- 7 presents, five minutes will be allotted to the FDA
- 8 panel members to ask questions. So we will ask
- 9 questions, really, to try to get people to focus on
- 10 what you want us to do and help on the input, is
- 11 the main purpose of the questions. So if you, in
- 12 your presentation don't tell us what you want FDA
- 13 to do, I think you can expect that question from
- 14 our panel.
- 15 Please remember that the meeting is being
- 16 transcribed, so we want all the panelists to use
- 17 the microphone when speaking. If we ask you a
- 18 question, speakers should also submit their
- 19 responses asked by the panel members to the docket.
- 20 If a speaker ends early, we'll move on to the next
- 21 speaker and leave more time for panel questions.
- We'll have a timer light for the speakers to

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- 1 the scheduled presentation slots. I will speak
- 2 first and give an overview of our regulatory
- 3 science program and set the stage for the input
- 4 that we're looking for.
- 5 In order to keep to the agenda, I want to go
- 6 over some ground rules. First, this meeting is
- 7 informal. Rules of evidence do not apply. No
- 8 participant may interrupt the presentations of
- 9 another participant.
- Only the presiding officer and FDA panel
- 11 members will be allowed to question a presenter.
- 12 FDA may recall a presenter for additional questions
- 13 at the end of the meeting, assuming time allows and
- 14 the presenter remains available.
- 15 Public hearings under Part 15 are subject to
- 16 FDA policy and procedures for electronic media
- 17 coverage of FDA public administrative proceedings.
- 18 Representatives of the electronic media may be
- 19 permitted, subject to certain limitations, to
- 20 videotape, film, or otherwise record FDA's public
- 21 administrative proceedings, including the
- 22 presentations of speakers today.

- 1 know when to begin their presentation, which will
- 2 be green, and when to stop, which will be red. The
- 3 yellow will indicate a two-minute warning for the
- 4 speakers.
- 5 This meeting is being webcast live, but it's
- 6 not an interactive webcast. So if you're listening
- 7 to the webcast, you won't be able to ask any
- 8 questions or speak in any way.
- 9 For those of you who did not register to
- 10 make an oral presentation but would still like to
- 11 comment on what you've heard or what you think we
- 12 should do in our regulatory science program, you
- 13 may submit comments to regulations.gov. It's
- 14 docket number FDA-2013-N-0402. So because of that,
- 15 this hearing is not your last opportunity to
- 16 comment.
- 17 The docket will be open until June 17th, and
- 18 we strongly encourage all interested parties to
- 19 comment. To submit a comment with confidential
- 20 information that you do not wish to be made
- 21 publicly available, you can send your comments as a
- 22 written paper-only submission and indicate that it

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- 1 contains confidential information. And this is
- 2 detailed in the Federal Register Notice.
- Given the agenda, we ask that each speaker 3
- 4 keep to your allotted time so we can keep on
- 5 schedule and end on time and meet our breaks and
- 6 lunch schedule.
- I want to thank everyone for your interest
- 8 in the generic drug program and your participation
- 9 today. We look forward to a very productive public
- 10 hearing. So we'll now begin with the
- 11 presentations.
- 12 So to start the meeting, I'm going to give
- 13 an overview of where we are with the GDUFA
- 14 regulatory science. And really, this is looking
- 15 back. This is our fourth public meeting, and so
- 16 it's really a look-back at the whole aspect of what
- 17 we've been doing and what some of the impacts are
- 18 to really give a context for the comments now in
- 19 terms of that.
- 20 So this is part of our GDUFA regulatory
- 21 science process where we prepare a yearly list of
- 22 research priorities with input from all

- 1 supports post-doctoral fellows, both in our offices
- 2 and our laboratories, who do a lot of the internal
- 3 activities.
- 4 Linked into this, the office I lead in OGD,
- 5 the Office of Research and Standards, we manage
- most of these research activities and we really try
- to link them in to the development of our guidances
- and our responses to questions that industry asks 8
- through the controlled correspondence process to
- 10 pre-NDA meetings.
- 11 So the results of the regulatory science
- 12 research feed into the standards for generic drug
- approval and evaluation that FDA uses. So we try 13
- to have a very strong link with that, and I'll try
- 15 to point out that as we go through the program.
- 16 To give a sense of how much we've increased
- because of the GDUFA resources that have been 17
- supplied to regulatory science here, from looking 18
- back to the three years prior to GDUFA, you can see 19
- there's about a tenfold increase in the regulatory
- science activity that OGD has been conducting
- 22 because of GDUFA. And this leads to -- as we begin

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- 1 stakeholders. The results of last year's input,
- 2 our FY 2016 priorities, were post-market evaluation
- 3 of generic drugs, equivalence of complex products,
- 4 equivalence of locally-acting products, therapeutic
- 5 equivalence evaluation and standards, and
- 6 computational and analytical tools -- a strong
- 7 scientific foundation for the generic drug program.
- As we've been implementing this, we 8
- 9 implemented this mainly through both internal and
- 10 external research collaborations. We have
- 11 approximately a hundred ongoing research
- 12 collaborations that come out of these regulatory
- 13 science inputs. So we're partnering with
- 14 scientists around the world, leading experts,
- 15 engaging them to build a strong scientific
- 16 foundation for the generic drug program.
- Under GDUFA, this has really allowed us to 17
- 18 scale up the scientific foundations to
- 19 approximately 10 times the size of the pre-GDUFA
- 20 effort that FDA was able to make in generic drug
- 21 regulatory science. In addition to external
- 22 collaboration, it supports work in our FDA labs; it

- 1 these projects, each year we award new projects.
- 2 Many of them are multi-year projects, so there's a
- 3 large number of projects that are under management.
- So we've been continually growing the program.
- 5 Now, by the fourth year of the program,
- we're reaching approximately a stable plateau of
- activity. But there's been a huge scale-up in 7
- activity, a large number of resources. But I want
- 9 to talk today about some of the impacts that come
- out of this research activity. 10
- 11 As we do that, and I'll come back to these
- at the end when we're looking for comments, the 12
- areas of impact of our regulatory science program
- are generic access in all product categories. This 14
- is a strong focus. It's critically important to
- 16 both the industry and the American public that
- 17 generic products be available wherever possible.
- As we go through today, you'll see that even 18
- given the great success of the generic drug 19
- program, reaching I think it's 88 percent of the
- 21 prescriptions dispensed being generic products,
- 22 still in that remaining 12 percent there are a

Page 21 Page 23 1 large number of very complex products without 1 tools for both product development and product 2 generic drug competition. And that's a big focus 2 review. So these could be computational, modeling 3 of the access aspect of our regulatory science 3 tools. Yesterday we had a day-long workshop on 4 program. And if you think about the return on oral absorption modeling. Still, solid oral dosage 5 investment of that, each one of those complex 5 forms are the vast majority of generic drugs. So 6 products is probably a billion-dollar market. tools that predict what happens in your So everything I'm going to be talking about bioequivalence studies that aid your formulation 8 in terms of complex product access, each one of design are essential to the efficient development 8 9 those represents probably at least a billion of generic drugs and the review of those products. 10 dollars in savings to the American public a year if 10 But these tools also touch more complex 11 generic products are available in that category. products and analytical and computational methods 12 So that's the scale of impact that we're talking across the scope. And this is an area where 12 13 about. there's huge benefit to industry in using the best 13 14 If it's not a billion dollar-impact, it available tools, and as I said, by engaging with 15 probably doesn't make it even into this 15 leading pharmaceutical scientists who bring that 16 presentation. So there's still very significant 16 information into our review processes. 17 areas where the scientific challenges prevent 17 So when we meet with you on a pre-NDA 18 access to generic products, and we're working very meeting on a complex product, we've also been 18 diligently and collaboratively to address those. engaging with experts in that area as well. So 20 The second area of impact is in confidence we're able to really be on the leading edge of 21 in generic drug substitution. As we've moved from science as we do that, and I'll talk about some 22 an environment when I first joined FDA in 2003, 22 examples where that's led to recent approvals of

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1 about 50 percent of the prescriptions dispensed

2 were for generic drugs, to where we're nearing 90

3 percent.

4 So that's a much bigger responsibility for

5 both FDA's generic drug program and the industry

6 that's providing that. You're providing the drugs

7 that almost everyone is taking for almost every

8 condition that they're being treated for.

9 So it's important that there be strong

10 confidence in the products that we're producing,

11 the regulations that are governing them, that

12 people know that they'll be substitutable. And

13 that's what the industry intends. That's what FDA

14 believes when we approve your products.

So there's a strong research focus on

16 identifying areas and research that can sustain

17 that confidence. If you don't have confidence,

18 it's a very unstable situation, given the great

10 113 a very distable situation, given the grea

19 responsibility for that large part of the

20 pharmaceutical products that the American public

21 uses.

The third impact is really developing the

1 generic products.

2 So as I said, the success of generics is a

3 large fraction of dispensed prescriptions and the

4 limited cost, but there's still a lot of things on

5 the table.

6 As we move toward translating the regulatory

7 science results into generic drug applications and

8 approvals, one way we do this is through the

9 bioequivalence guidances and the product-specific

10 information. And you can see that the number of

11 guidances is growing each year under GDUFA. We're

12 maintaining this, and we project that this year

13 we'll produce even more than the year before.

But one thing that you don't see in just

15 looking at the numbers is that the fraction of

16 these guidances that are for complex products is

17 increasing. So we have about 1500 guidances

18 currently posted. The initial surge of that was

19 capturing a lot of the immediate-release products.

Now, as we're moving forward, a lot of the

21 work that's going on in these guidances is much

22 closer linked into the regulatory science

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1	activities where we need research in order to	1	source products.
2	develop guidance on the more complex products. So	2	Under GDUFA, we've approved the first ANDA
	this is a significant way that you see outcomes	3	for glatiramer acetate generic. This is an
4	from the regulatory science program.	4	immensely complex product. It wouldn't have been
5	Just to give a sense of what I'm going to	5	possible without significant scientific work from
6	talk about, generic access in all the product	6	our scientists and our FDA lab collaborators to use
7	categories we've tried to develop a research	7	high-resolution analytical methods to support the
8	portfolio that's broad across a range of	8	evaluation of those ANDAs. It's a critical
9	activities. So I'll talk today about complex	9	approval, a multi-billion dollar drug product, many
10	active ingredients, topical dermatological	10	long, complex review processes. Without a strong
11	products, inhalation products, ophthalmic products,	11	scientific foundation, you'll never be able to
12	nasal products, liposomes and nanomaterials,	12	approve products like that.
13	microsphere products.	13	To move forward in other longstanding
14	As I mentioned, each of these is probably at	14	complex products, we have draft guidances under
15	least multi-billion dollar market of products	15	GDUFA for conjugated estrogens, a natural-source
16	without generic competition available because of	16	product that's challenged FDA for 20 years. And we
17	some of these scientific challenges.	17	have a guidance with extremely detailed information
18	For the second topic on the confidence in	18	about analytical methods, developed in conjunction
19	generic drug substitution, I'll talk about our	19	with our FDA laboratories in St. Louis, to provide
20	brand-to-generic switching studies in patients,	20	a clear pathway for how to analyze these types of
21	which we have begun to present at scientific	21	products. There's still a lot of work to do for
22	meetings, and really changing some of the debate	22	the applicants to match up these complex products,
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1	about generic substitution; talk a little bit about	1	but we've really provided, I think, a clear pathway
2	post-market surveillance of generic substitution,	2	for that in these guidances.
3	and our product-specific standards.	3	We have other draft guidances on other
4	In the tools for development and review,	4	complex mixtures as well, so the sevelamer
5	we'll talk about some of our modeling and	5	products, talking about characterization, natural
6	simulation activities, but also the analytical and	6	source mixtures for the omega-3 products.
7	in vitro tools that help really develop more	7	On our guidance agenda, we have on our
8	complex products. If you have an in vitro release	8	public guidance agenda a guidance on rDNA origin
9	test, that's something you can use to guide your	9	reference products and the pathway for generic
10	development of a bioequivalent product, and many of	10	versions of those that we hope will be appearing
11	our research projects are touching on that critical	11	sooner this year. But it's on our public agenda.
12	aspect of pharmaceutical development.	12	We've been able to clear the backlog on
	14/ 1	1	

13 We have approximately 20 collaborations with 14 different FDA labs on new analytical methods that 15 impact some of our generic drug approvals. I'll 16 talk a little bit more in specific examples as we 17 move forward.

18 So focusing on the little bit deeper 19 analysis, going through some of these product

20 categories under generic access, one area of

21 complex products are the complex active

22 ingredients: peptides, complex mixtures, natural

13 controlled correspondence questions related to this

14 type of peptide sources. This is another complex

15 category where access to generics was blocked in

16 the past, but through the scientific efforts that

17 we've made, we've been able to open up that pathway

18 moving forward.

We still have research activities in this 19

20 area. Many of these complex products raise issues

21 related to impurities and immunogenicity. And

22 we're working with many FDA internal collaborators

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- 1 to develop better tools for assessing that, for
- 2 identifying if there are differences in impurities,
- 3 whether they'll cause any risk or not.
- 4 We continue to work on the high-resolution
- 5 analytics and multivariate data analysis with our
- 6 FDA lab collaborators to develop the analytical
- 7 tools that will help advance this area. But you
- 8 can see here the huge impacts of a strong
- 9 scientific foundation on pathways towards complex
- 10 generics, and even approvals of very complex
- 11 products.
- The challenge here -- just this cartoon is,
- 13 what you try to do in these analytical methods is
- 14 you look at some of the pieces from your analytical
- 15 methods, and through the combination of complex
- 16 modeling and simulation approaches and the
- 17 analytical methods, try to reconstruct similarity
- 18 of the products. So there's a lot of complex
- 19 science that goes on behind these approvals, and
- 20 the resources from the GDUFA program really support
- 21 that activity.
- 22 Probably the largest category where there's

- 1 all. Right now we have 13 available for different
- 2 product categories. So there's significant
- 3 scientific activities that support this.
- 4 We recognize that these products and
- 5 these -- what we're asking in the guidance is very
- 6 challenging in some places, so we still have
- 7 research activities to improve, identify better
- 8 dissolution methods for inhalation products that
- 9 may help you select particle -- raw material
- 10 suppliers.
- 11 It may help us review that to look at
- 12 alternatives to some of the very challenging
- 13 studies that some of these guidances ask for. But
- 14 we've made a huge effort in providing guidance
- 15 across this very large and important product
- 16 category.
- 17 Really, this pushes a lot of the
- 18 responsibility onto the industry to engage with
- 19 these guidances to develop products; if you have
- 20 questions, to meet with us around this area. We've
- 21 also had significant pre-ANDA meetings with
- 22 companies working in this space, responding to

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- 1 no generic competition are the inhalation products.
- 2 And we have significant research activity here
- 3 looking at the role of dissolution, particle size,
- 4 PK studies. We have CFD modeling projects.
- 5 We have research that's supporting looking
- 6 for areas where we can move away from having a
- 7 requirement of being Q1/Q2 for the inhalation
- 8 products to understand. For excipients that have
- 9 been used in other inhalation products, they may be
- 10 acceptable under certain conditions in identifying
- 11 the analytical and in vivo studies that are needed
- 12 to support that.
- So there's constant research to advance our
- 14 understanding of this product category, but we've
- 15 been extremely successful in GDUFA at translating
- 16 these research findings into guidances in this
- 17 particular category.
- So as of our April posting, we now have
- 19 13 product-specific guidances for inhalation
- 20 products available. So when we started GDUFA we
- 21 had none, no pathway for this I think multi-tens of
- 22 billions of dollar a year market. No guidances at

- 1 these guidances, and we've prioritized those
- 2 because this is a complex product category with
- 3 essentially no generic competition.
- 4 In ophthalmic products, again, there's been
- 5 very challenging generic products that require very
- 6 difficult clinical endpoint studies in the past to
- 7 develop. We've been developing guidances with
- 8 alternatives to those, two guidances specifically
- 9 under GDUFA for some of the ophthalmic emulsions
- 10 that provide what we call a Q3 approach.
- So this is having a formulation that has the
- 12 same active and inactive ingredients, but also the
- 13 same microstructure as well, as we've determined
- 14 for these cases that that's the most appropriate
- 15 bioequivalence method. It's much more sensitive
- 16 and reproducible than a potential clinical endpoint
- 17 bioequivalent study for those products.
- We have a broad portfolio of research
- 19 activities in the ophthalmic product space that
- 20 includes modeling and simulation, but also
- 21 significant efforts on in vitro release methods for
- 22 ophthalmic suspensions, ophthalmic emulsions,

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- 1 ophthalmic ointments, to really broaden the ability
- 2 to apply these Q3 approaches to other dosage forms
- 3 as well. We've also done a significant amount of
- 4 guidance development in this ophthalmic space.
- 5 We've produced 10 guidances for ophthalmic
- 6 suspensions.
- 7 We're engaged in research activity to
- 8 improve ways to do some of the very difficult and
- 9 challenging aqueous humor PK studies, and also the
- 10 significant focus of research on the Q3
- 11 opportunities in this case, again, another large
- 12 product category with very limited competition for
- 13 the ophthalmic suspensions, ointments, and emulsion
- 14 where there's been significant research activity,
- 15 very significant guidances coming out that will
- 16 enable competition in this area in the future.
- 17 In the nasal product category, we have
- 18 research activities looking at the role of PK
- 19 studies, in vitro and in vivo modeling projects.
- 20 But I want to point out also one innovative
- 21 technology, the MDRS particle sizing. This is
- 22 Morphology-Directed Raman Spectroscopy. This is an

- 1 these complex products. And this is a
- 2 category -- there weren't any generics in this
- 3 space before this approval. It was supported by
- 4 this novel technology.
- 5 Another product category where there's
- 6 significant lack of generic competition is in the
- 7 topical dermatological products. This is a little
- 8 bit different. There, we have longstanding
- 9 clinical endpoint studies in this area that have
- 10 been used. So there are some generic products
- 11 available. But if you look at the category -- and
- 12 we have for the topical corticosteroids a
- 13 pharmacodynamic endpoint approach available.
- 14 But compared to the broader population of
- 15 products, there's still a large number of topical
- 16 products that lack generic competition in this
- 17 area. But it's a much broader number of products
- 18 than a lot of the other complex products.
- But we have a very significant coordinated
- 20 research activity to advance the Q3 equivalence
- 21 approaches for these products. We're collaborating
- 22 with people round the world. In this project,

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1 instrument that wasn't even available until 2012,

- 2 but it was used to support an ANDA approval in
- з 2016.
- 4 So before this technology has even been used
- 5 in a new drug application, they used it to support
- 6 a generic drug application. And this essentially
- 7 allows you to, if you have a suspension that has
- 8 two different types of particular sizes, do a
- 9 particle size comparison of only the API active
- 10 ingredient. So this is critical for doing a Q3
- 11 analysis of some of the more complicated
- 12 suspensions.
- We wouldn't have been able to do this. We
- 14 wouldn't have been able to approve this product,
- 15 unless we had one of these pieces of equipment in
- 16 our FDA lab to understand how it works to be able
- 17 to give good responses to the submission to analyze
- 18 them correctly. So without our investment in the
- 19 regulatory science foundation, we wouldn't be able
- 20 to approve these complex products through this type
- 21 of pathway and using this type of very current new
- 22 scientific technologies to support approvals of

- 1 we're working with people in Europe, Australia, and
- 2 the US, generating new in vivo data. We're
- 3 manufacturing semisolid formulations,
- 4 characterizing them. We have modeling approaches
- 5 integrated into this approach.
- 6 We've made significant progress in this
- 7 area. We've done, as an example, some Q3 testing
- 8 on some acyclovir creams. We've obtained
- 9 formulations from around the world to look at them,
- 10 characterize them through all of the different
- 11 characterization methods are available through the
- 12 rheology, the particle size characterization.
- We've looked at them in in vitro permeation
- 14 tests, which are excised human skin studies. We've
- 15 looked at them through in vitro release tests,
- 16 which are artificial membranes, putting together a
- 17 full picture to understand which of these tests are
- 18 appropriate for comparing formulation differences.
- 19 I think one of the things I'm most impressed
- 20 with for the regulatory science program in the
- 21 topical area is an in vivo study that we've done on
- 22 what's called open flow microperfusions. This is a

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- 1 type of microdialysis. And we did a 20-person
- 2 study looking at two different -- comparing the US
- 3 reference product to a product that's available in
- 4 Europe.
- 5 This study shows -- one of the challenges
- 6 with the microdialysis studies in the past has
- 7 been, are they reproducible? So this is a
- 8 replicate design study. We show that using the
- 9 reference product, you get very reproducible 10 results.
- Our investigator in this did something very
- 12 novel. So essentially, all of the microdialysis in
- 13 the skin data that's available in the past has been
- 14 limited to about 6 hours because you had to hook
- 15 people up to these giant pumps. They couldn't
- 16 move, so they were stuck there.
- 17 New technology. These are wearable
- 18 microdialysis devices. So people look like cyborgs
- 19 in the pictures with them, but they can walk
- 20 around. You can then get out to 40 hours of data,
- 21 looking at the long time, so just basically the
- 22 leading edge of approaches to this type of new in

- 1 as well, so having better ways to do statistical
- 2 comparisons for them.
- 3 By lining these up with different
- 4 formulations, we've been able to compare between
- 5 different labs, different collaborators in
- 6 different labs, to help us develop better protocols
- 7 for how to do these in vitro permeation tests.
- 8 Another complex product category is the
- 9 liposomes and nanomaterials -- seven grants on in
- 10 vitro release, product characterization,
- 11 identifying the critical manufacturing variables.
- 12 We have guidances now on many different liposomal
- 13 products under GDUFA guidance, on some of the
- 14 nano-sized iron chelate products as well, to help
- 15 develop generic versions in this complex product
- 16 category.
- We have a significant program in looking at
- 18 some of the long-acting injectables and microsphere
- 19 controlled-release products, nine grants looking at
- 20 different aspects of these products. We've
- 21 developed guidance on some of these products under
- 22 GDUFA. I have some pictures here of some of the

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- 1 vivo study that is directly relevant to drug
- 2 delivery across the skin, again, funded by -- and
- 3 publication in this is under preparation. Should
- 4 be available soon. We've talked about these
- 5 results at public meetings as well.
- 6 We've shown that in a reasonably-sized
- 7 study, 20 subjects, you can demonstrate
- 8 bioequivalence between the replicate studies, and
- 9 you can also show that a formulation that we know
- 10 is Q3 different also has different drug delivery
- 11 and doesn't show equivalence as well; so a strong
- 12 development of a potential new in vivo approach to
- 13 this as well as new characterization-based
- 14 approaches.
- We've also done work on looking at the IVPT
- 16 and developing ways to do bioequivalence
- 17 comparisons for these types of in vitro permeation
- 18 tests as well that could be used for
- 19 bioequivalence. But also, these studies are used
- 20 right now in product development to select
- 21 formulations and really help understand a lot
- 22 of -- they're also used for post-approval changes

- 1 microspheres that we're doing.
- 2 Here we've also seen a significant interest
- 3 in the number of pre-ANDA meetings. There seems to
- 4 be a large interest in these product categories.
- 5 They're very long-acting, so there could be
- 6 challenges to do PK studies for long periods of
- 7 time. So we're really focused on also the
- 8 characterization of these materials as well.
- 9 So this is a significant area of very
- 10 limited generic competition in this product
- 11 category that we think that will be enabled, and
- 12 will have a much stronger fundamental of the
- 13 material science that drives drug release in these
- 14 products from these research activities. And this
- 15 will feed into our discussions with you in pre-ANDA
- 16 meetings, our views of these products, and our
- 17 development of guidances in this product category.
- 18 In looking at complex drug-device
- 19 combinations, this includes the dry powder inhaler,
- 20 the metered dose inhalers I mentioned earlier,
- 21 nasal sprays, but also transdermal systems, auto-
- 22 injectors. This is an important area for research

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- 1 to understand the patient factors that affect how
- 2 people use devices.
- This is something that's an emerging area 3
- 4 for the review of these products and developing
- 5 these products. How do you compare the devices?
- 6 How similar do they have to be to be a
- 7 substitutable generic product? What types of
- 8 studies and comparisons of the device you have to
- 9 use?
- 10 So a lot of our thinking of this is fed into
- 11 our guidances on the metered dose and dry powder,
- 12 especially the dry powder inhalers, where there's
- 13 lots of diversity in the devices.
- 14 But also on our guidance agenda that will
- 15 appear soon, there's a new guidance on adhesion for
- 16 transdermal systems that's been developed as well
- 17 that will be a transformation on how we do the
- 18 adhesion bioequivalence studies. We have research
- 19 activities looking at the irritation type studies
- 20 for transdermal systems as well, as well as the
- patient use factors.
- 22 So again, significant efforts in trying to

- 1 that impact. We wouldn't have a pathway for
- 2 generic versions of currently approved abuse-
- 3 deterrent formulations.
- I think this will be also an important part
- 5 of FDA's overall view of the landscape of abuse-
- deterrent formulations. Once you have a pathway
- for generic versions, that gives people confidence
- that as products move towards abuse-deterrent
- formulations, there will be generic versions
- available in the future now that we have this
- guidance and a clear pathway for that. But without
- GDUFA regulatory science support, I don't think
- we'd be anywhere near this point without the data 13
- that we developed, both internally and externally,
- 15 on this very complex issue.
- 16 Now, changing a little bit to talk about the
- confidence in generic drug substitution. So one of 17
- the things we've been doing in this area is 18
- brand-to-generic switching studies in patients. As 19
- many of you know, almost all generic products are
- approved based on studies in healthy subjects
- 22 because we think that that's really the best test

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- 1 understand the regulatory review issues related to
- 2 these more complex drug-device combination products
- 3 that are eligible for generics can reference these
- 4 products.
- 5 Another significant guidance that was
- 6 developed is our guidance on generic abuse-
- 7 deterrent formulations. This guidance, that was
- 8 released in March as a draft, provides a path for
- 9 generic versions of abuse-deterrent opioid
- 10 formulations; relies primarily on a comparative in
- 11 vitro and occasional PK studies. But the GDUFA
- 12 research support was essential to this guidance, so
- 13 this has a huge public health impact. It's a very
- 14 controversial area. We have to have very strong
- 15 scientific foundations for anything we do in this
- 16 area.
- 17 We had a contract with NIPTE through our
- 18 GDUFA regulatory science research to do external
- 19 research on this, but also significant support for
- 20 ORISE fellows in FDA's labs for testing these
- 21 products. So without this recent GDUFA research,
- 22 we wouldn't have that guidance. We wouldn't have

- 1 of the formulation comparison. So from a
- 2 scientific point of view, we have strong reasons to
- 3 understand that.
- But sometimes, if you think from a clinical 4
- 5 perspective, you say, well, these products are used
- 6 by patients, and you're testing them in healthy
- subjects. Does that make sense? So we've worked 7
- in several areas where there's been significant
- 9 questions about generic substitutions,
- specifically, first, for anti-epileptic drugs and
- immunosuppressant drugs, to do studies that look at
- 12 generic substitution in patients.
- 13 Essentially, from FDA's point of view, we
- 14 absolutely believe that these studies are going to
- show they're equivalent. We've really focused on
- 16 what we think is the strongest, most sensitive test
- of the formulation. But this really helps the
- broader community understand generic drug 18
- substitutability. 19
- 20 So we've conducted these studies. We give
- 21 an overview of what they look like. These are
- 22 generally replicate studies where people go from

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1	the generic to the brand to the generic to the	1	resources to do these types of studies, and conduct
2	brand, back and forth. We generally look at PK	2	them, and make them publicly available from that.
3	outcomes, but we show very clearly this is the	3	In this area we're also looking at the
4	first study that was conducted at the University of	4	question is about substitutability, confidence in
5	Maryland with Jim Polli, who I think will be	5	generic substitution. So we've also funded
6	speaking later today, bioequivalence in generic and	6	research to help us get an idea about what are the
7	brand product, PK profiles essentially	7	patient perceptions about generic drugs? What are
8	superimposable between the brand and generic.	8	physician perceptions about that? So we've
9	We did a similar study with a different	9	published some of this work as well to understand
10	group, looking at generic-to-generic substitution;	10	what drives questions about generic
11	again, similar type of design, here looking at what	11	substitutability, both in patients who generally
12	they thought was the lowest generic versus the	12	prefer generic products and also physicians
13	highest generic, trying to look at the extremes of	13	confidence in this.
14	the space, to get approved under our	14	But again, I think we've seen that our
15	standards again, completely bioequivalent in	15	collaborators on this saw an increase in confidence
16	patients in both of these cases. A similar type of	16	in generic drug substitution over the last few
17	study design in transplant patients on generic	17	years. And I think it's very useful to see that,
18	versions of tacrolimus; again, direct comparison in	18	but this is a way to measure broadly how we're
19	patient population bioequivalence as well.	19	doing as an industry and a generic drug program in
20	So we've begun to publish these results. As	20	reaching out to both patients and physicians about

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21 generic drug substitutability. So this has been

22 part of our generic drug research program, to

1 And I think this really shows the significance that

22 accompanying comments or editorials about this.

2 this type of data can have on the community

21 we've published these papers, there's been

- 3 perception of these drugs.
- 4 Organizations that have been generally
- 5 skeptical of generic substitution said these
- 6 studies really are a step forward in addressing
- 7 their concerns. We worked very closely with these
- 8 communities to say, what kind of studies would
- 9 address your concerns about generic substitution?
- As we follow that up with the publication
- 11 from the second study, again people have questioned
- II from the second study, again people have questioned
- 12 the safety of generic substitution. Quite
- 13 reassuring that organizations with a negative
- 14 attitude to substitutions would consider reviewing
- 15 their position. So I think these new sets of data
- 16 are an important and critical part of understanding
- 17 confidence in generic drug substitution.
- 18 It's a very different way to approach
- 19 questions about generic substitutability, but it
- 20 really -- these are the most expensive type of
- 21 studies that we support under our GDUFA regulatory
- 22 science program. So it requires significant

- 1 provide this baseline information, as well.
- 2 Other aspects of confidence in generic
- 3 substitution have to do with making sure that we
- 4 are monitoring the products that we approve
- 5 effectively. And there's really two large sets of
- 6 data that you could potentially look at to say, are
- 7 generic products being substituted effectively?
- 8 So we look at adverse event reports. These
- 9 have very significant challenges for using them to
- 10 look at generic drug substitution. Oftentimes
- people don't know which generic product they're
- 12 taking. There's huge potential reporting biases.
- 13 I've been switched to a generic. Am I more likely
- 14 to complain about something that just was a normal
- 15 expected adverse event from the brand product?
- 16 Questions about normalization.
- We have some research activities looking at
- 18 authorized generics. So these are generic products
- 19 that are essentially the exact product as the brand
- 20 product, just marketed differently, to see what
- 21 types of adverse events people report about those
- 22 products. We do actually see complaints about

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- 1 generic substitution with authorized generics. So
- 2 that's an interesting, unique, natural experiment
- 3 to help understand some of the biases in figuring
- 4 out what's really significant.
- 5 The other big chunk of data that you could
- 6 look at are either electronic healthcare records or
- 7 insurance claim data. These have some advantages.
- 8 They oftentimes can be linked into an NDC code to a
- 9 specific product. But you may see substitution
- 10 events here.
- 11 But there are significant challenges with
- 12 how to look at this data to understand questions
- 13 about generic drug substitution. So we have some
- 14 research activities to look at substitution
- 15 patterns -- what do you expect to see? What would
- 16 be unusual? Looking at how to compare other
- 17 things.
- But I think in the future, these datasets
- 19 are going to become more -- we're moving toward a
- 20 big data future. So these datasets are going to be
- 21 available to more and more researchers, more and
- 22 more generic companies. So we have to be prepared

- 1 We think that sort of risk-based standard.
- 2 the idea that there's higher- and lower- risk drugs
- 3 and they should have tighter standards for the
- 4 higher-risk products, I think is a strong part of
- 5 confidence. I think there's been -- there's some
- 6 challenges as we change guidances and evolve our
- 7 standards in this area. But this is moving toward,
- 8 I think, a much stronger foundation for our
- 9 program. As we get ahead in guidance development,
- 10 we should be making these decisions on which drug
- 11 we think have a narrow therapeutic index very soon
- 12 after the new drug approval.
- We have a new internal working group to
- 14 coordinate activities between OGD, OCP, OND around
- 15 which drugs have a narrow therapeutic index. So
- 16 what you can expect to see in the future is these
- 17 decisions made much earlier, before any kind of
- 18 generic drug development happens.
- 19 Similar thing with a partial AUC. This is
- 20 an approach to say, there's a smaller number of
- 21 products that may have very critical -- the PK
- 22 profiles being much more similar than needed. And

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- 1 to think about how we're going to analyze generic
- 2 substitution questions in these types of
- 3 information sets and do it in a way that gives us
- 4 good information.
- 5 I think there's lots of ways in these
- 6 retrospective datasets to do bad studies, and that
- 7 can give misleading results about generic products.
- 8 So it's really important that we have a broad-based
- 9 research program in understanding how to do these
- 10 types of analysis well for these specific questions
- 11 about generic drug substitution.
- The other side of confidence in generic drug
- 13 substitution is making sure that people have
- 14 confidence in the standards that we as FDA are
- 15 applying to products. And two areas that we
- 16 focused research efforts on are for narrow
- 17 therapeutic index drugs.
- So we're really moved significantly, under
- 19 the first few years of GDUFA, to providing
- 20 guidances identifying which products we think have
- 21 a narrow therapeutic index, and having tighter
- 22 bioequivalence standards on that.

- 1 we work closely to develop those cases. And again,
- 2 as we move our guidance development closer to the
- 3 new drug approval, we want to have these questions
- 4 identified early.
- 5 So this links into the tools for
- 6 development. Both of these examples -- narrow
- 7 therapeutic index drugs, partial AUC
- 8 comparisons -- really are driven by what I call the
- 9 pharmacometrics for generics. This is the PK/PD
- 10 response.
- 11 Which drugs have a sharp exposure-response
- 12 relationship? Which drugs have a close connection
- 13 between the shape of the PK profile and their
- 14 pharmacodynamic responses? This is a scientific
- 15 question that's going to determine whether we have
- 16 tighter standards for these two categories.
- So we're trying to support strong program
- 18 internally and through research in what I call the
- 19 pharmacometrics for generic drugs. This is the
- 20 PK/PD modeling that can support these risk-based
- 21 decisions, provide the input into this. And these
- 22 two critical questions are the most important

Page 53 Page 55 1 GI tract? 1 applications of that, and they drive our guidance 2 development and our reviews of the activities. But 2 We can try to infer it from what we test in 3 I think as we establish a clear scientific 3 the lab, what we measure in the PK profiles. But 4 foundation, it will also be clear to the industry, to really be sure we're doing it correctly, you 5 as we develop the products, which cases this is need some direct measurements of what's going on in 6 important. the GI tract. So we've done intubation studies to The other modeling and simulation area measure that directly to provide a unique, albeit 8 that's critical, links into the complex products, limited and highly expensive to obtain, dataset 8 9 is that we have a broad set of what we call PBPK that can really help drive better in vitro release 9 10 for non-oral routes of delivery. So we had a 10 methods for solid oral dosage forms. 11 workshop yesterday all day on solid oral dosage But for the complex and locally-acting 11 12 forms. That's much more well-established science 12 drugs, here it's much more of a challenge. For 13 of absorption modeling than the non-oral routes. each product there may be a specific type of 13 14 But as we look at the landscape of complex in vitro release test, but probably 20 of our 15 products, it's the non-oral, the ophthalmic, 15 grants have outcomes of improved drug release 16 inhalation, nasal, topical products where much of 16 methods for these complex or locally-acting 17 our activity and our scientific challenges are products. 17 going to be found. 18 18 This touches on the in vitro permeation and 19 So we want to have a strong mechanistic 19 in vitro release tests for the topical products, 20 foundation of drug absorption on all of those for the ophthalmic products, identifying for the 21 categories. So we've begun to fund, in each of the different suspensions in ointments. What's an 22 appropriate dissolution method that will help us 22 categories, several research activities to begin to

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- 1 advance the models that are used for drug
- 2 absorption through these routes of administration.
- 3 And this, I think, will serve as a scientific
- 4 foundation for our program going forward.
- The third aspect of the better tools -- and
- 6 this links a little bit closer to product
- 7 development -- better in vitro release methods. We
- 8 know that generic drug development strongly depends
- 9 on having good in vitro release methods to pick
- 10 your formulation, to determine which product you're
- 11 going to put into your bioequivalence studies. So
- 12 we have significant research support in the solid
- 13 oral dosage forms. This links into the oral
- 14 absorption models.
- 15 Some of the research we've been funding in
- 16 this area are direct measurements of GI
- 17 concentration of drug. This is the thing that sits
- 18 in between. I do an in vitro dissolution
- 19 experiment. I give the product to a patient and
- 20 measure some PK profiles. But what's really the
- 21 mystery is, what's the in vivo dissolution of the
- 22 product? What happens to that drug product in the

- 1 evaluate product equivalence and help develop
- 2 bioequivalent products? So these in vitro tests
- 3 are critical in these complex product areas.
- We have some for the inhalation products on 4
- 5 dissolution. I think we've received very
- 6 significant feedback from people informally that
- these are critically important to the development
- of some of the inhalation products.
- 9 People have approached our collaborators.
- They're trying to buy the method and buy them out. 10
- So I'm glad we funded it and make it publicly
- available to get these into the public domain. So 12
- there's a lot of interest in the dissolution
- methods for the inhalation products as being
- critical to product development as well. 15
- 16 So again, what we're interested in today is
- 17 your input into these areas. So as you talk and
- you hear questions from us, we're probably going to
- ask you, how does what you're proposing help 19
- provide generic access across these product
- categories, or build confidence in generic drug
- 22 substitution, or provide tools for generic drug

Page 57 Page 59 1 available science. 1 development? So we want to develop our future 2 agenda in these types of categories. So I'll try 2 The confidence. I think the FDA scientific 3 to fit our questions and inputs into your 3 support for confidence in generic substitution is 4 discussion as we have the discussion going forward. very unique. Even if a generic company went out 5 But just to conclude my initial discussion, and did these studies on generic substitution. right, they'll say, well, you have an interest and 6 there's a huge public health impact for a 7 relatively small regulatory science investment. a bias in that. 8 All right? My return on investment calculation 8 I think when FDA supports them, when we 9 says that if we approve generics in even one of partner with academic groups that are 10 these categories, that's a multi-billion dollar a 10 essentially -- and some, in some cases, have been 11 year benefit for a program whose net cost over five skeptical of generic substitution in the past. I 12 years is around \$100 million. So just one of these think that makes a much, much stronger public 12 13 product categories can give you 100-fold return on statement of confidence in generic products that 13 14 investment. And there's multiple multi-billion really has the biggest possibility for impact on 15 dollar categories that are being addressed by this. 15 perhaps even changing some of these groups that 16 This broadly puts a strong scientific say, don't substitute approved generic products. 17 foundation for our program; that's of huge benefit 17 I think, from FDA's point of view, we 18 to the industry and to the public. We've taken wouldn't approve the products if we didn't think 19 these research activities. We're driving guidance they were substitutable. And we hope that people 19 20 development for complex products. The inhalation 20 will begin to understand that and see that 21 guidance, I think, is the leading edge. That's the perspective. But this type of data really provides 22 one we've recognized for a long time is the most 22 very strong prospective studies designed to answer

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1 significant one.

You see there, as these research projects 2 3 drive in, you see this surge of guidances across

4 that product category, 13 in that specific category

5 alone, enabling broad generic competition in a very

6 complex product space.

A lot of these issues are very complicated. 7

8 not just externally but also internally. We have

9 to get alignment across -- in order to have a

10 guidance on abuse-deterrent formulations or

11 adhesion or rDNA source RLDs for peptides, there's

12 a huge number of internal stakeholders have to get

13 aligned on that.

14 The FDA research activities in there can be

15 very critical in driving that. They provide data

16 that people can look at and say, well -- people can

17 raise hypothetical concerns. We have real data to

18 address that. We can help drive the alignment on

19 getting a policy or guidance implementation of

20 these complex issues out. So there's lots of

21 things going on behind the scenes on many of these

22 complex issues that are in addition to the publicly

1 those questions and prove that.

2 The tools that we're developing -- the goal

3 is faster development and review. If you have

right modeling and simulation tools to predict 4

what's going to happen in the bioequivalence study,

6 if you have the right in vitro characterizations to

say, what's the critical attribute of the brand 7

8 product and does my product match that, that's

9 going to drive faster product development.

10 But that's also going to drive a faster

11 review. If you have strong tools that say, this is

the right study, this is the right analytical data, 12

we'll be able to make better decisions and

evaluations about that. And by having these tools 14

publicly available, everybody knows what they are.

16 They become commonly established. That feeds into

this cycle. 17

I think, from my perspective, it's been 18

incredibly exciting to be involved in the growth of 19

this part of the generic drug program. And the

21 input that we get from these public meetings and

22 the comments to the docket really help align what

we're doing with what the needs of the industry and	1	work, law and polic
	_	,

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- 2 the public are. I really personally appreciate all of the 3
- comments that you've given. And I think it's just
- 5 incredibly exciting to be involved in all of these
- 6 different research activities across FDA with all
- 7 of our external collaborators.
- So with that, we will be moving on to our 8
- 9 first speaker of the day, and I have to go back to
- 10 my seat so I can change roles. So our first
- 11 speaker will be Dr. Michael Fischer from Brigham
- 12 and Women's Hospital, Harvard Medical School, to
- 13 talk about regulatory science for generic drugs.
- 14 So welcome.

1

- 15 Presentation - Michael Fischer
- 16 DR. FISCHER: Great. Thank you very much.
- 17 Thanks for the introduction and for the opportunity
- 18 to speak here. As Dr. Lionberger said, my name is
- 19 Mike Fischer. I'm a primary care physician and a
- 20 researcher in the Division of Pharmacoepidemiology
- 21 and Pharmacoeconomics at Brigham and Women's
- 22 Hospital, affiliated with Harvard med school. I'm

- cy.
- 2 We have a diverse portfolio of funding -- as
- 3 I mentioned and as Dr. Lionberger cited, some work
- with the FDA, but also grants from many federal and
- federally affiliated agencies, as well as a variety
- of collaborations with manufacturers, with
- insurers, and with others.
- We have several specific programs. I direct 8
- the National Resource Center for Academic
- Detailing, which is supported by AHRQ and does
- direct outreach to front line clinicians. Aaron
- Kesselheim, who testified at this meeting last 12
- year, runs something called PORTAL, the Program on 13
- Regulation, Therapeutics and the Law, that looks at
- 15 regulatory science. Our colleague, Niteesh
- Choudhry, runs the Center for Healthcare Delivery
- Sciences. And then we have other core faculty who
- 18 have various roles at PCORI, FDA, Sentinel, and
- 19 others.
- 20 So that's who we are. Let me transition now
- 21 to what we want to put forward as suggestions. And
- 22 the format for the several slides I'll have, just

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- 1 presenting on behalf of a group of several of us in
- 2 our division who do work in this area.
- Dr. Lionberger was kind enough to cite a 3
- 4 couple of the projects we have ongoing, and it's a
- 5 nice chance to thank the office for the chance to
- 6 collaborate on that initial work. And what I'll be
- 7 doing is making some suggestions on what those of
- 8 us in our group working on this see as exciting new
- 9 areas to move into in the coming months, years, and
- 10 into the future.
- 11 Since it's in the printed materials, I won't
- 12 read what's on this disclosure slide in terms of
- 13 potential conflicts of interest. It's all there
- 14 printed for those as needed.
- 15 Quick orientation on what our division is.
- 16 The Division of Pharmacoepidemiology and
- 17 Pharmacoeconomics, besides having large business
- 18 cards -- although I think the FDA has equivalently
- 19 long titles for their offices, so I feel much more
- 20 at home here -- we're a group of 18 faculty
- 21 members. We mix health services research, drug
- 22 safety and outcomes research, a lot of methods

- 1 since we used the same format for all of them, is
- 2 basically we'll cite a piece of existing evidence
- 3 to set the stage. I'll be hitting those very
- 4 briefly, just given the time constraints that we
- 5 have.
- 6 Then a couple -- one or two research
- questions that we would suggest for the coming
- months and years. And then a quick note about why
- we think that's relevant for this office, what the
- 10 results of that sort of research might offer as
- 11 useful information.
- 12 So the first area are single- or limited-
- source generic products. This is a paper that's 13
- currently under review out of our group. But over 14
- a third of the entities eligible for generic
- 16 competition have three or fewer approved generics.
- 17 And as I think lots of people in this room would
- know, many are single source. 18
- 19 So from a research point of view, trying to
- 20 get a better understanding of the predictors of
- 21 when a generic agent will become available only
- 22 from a single source would be a productive area for

Page 65 Page 67 1 study. 1 shortages which, based on experience, seem like 2 From a regulatory point of view, being able 2 they will continue to arise. 3 to identify proactively, prospectively, when that 3 Generic drug safety and effectiveness of 4 situation may arise and sort out what might be course is of huge interest to this office, and as a 5 appropriate targets, either for regulatory or 5 broad topic, the areas in which our division has 6 incentive-based approaches when these situations 6 had interest and that we'd put forward for are coming up, might help address that problem. consideration here. Drug recalls are of course Similarly, thinking about the next stage in common, occurring nearly once per month. 8 8 9 that cascade, how does a single-source generic 9 So one of the interesting questions to look 10 change utilization patterns or clinical outcomes 10 at is whether there are specific manufacturer when compared to multi-source generic medications? characteristics or other characteristics to help 11 Understanding the impacts of, especially predict which generic medications are most likely 12 12 13 single-source generics, again would provide useful to have safety -- that should be "or," not 13 14 information for FDA regarding the impact of "of" -- to have safety or effectiveness problems policies that might be considered, or eventually 15 when they're on the market. 16 implemented, to address the challenge of single-16 Related to that is the increasing use of 17 source generics. compounded drugs as well. So we'd be interested in 17 18 The next topic we wanted to put forward for research on the question of whether compounded 18 generic medications differ in their safety and 19 consideration is generic medication shortages. 19 20 There, I think again, the background would be effectiveness from other generics. Findings from 21 familiar to most of the people listening to this both of these areas could help provide guidance for 22 session. Over the last six years, over a thousand 22 regulatory policies or safety interventions with Page 66 Page 68 1 drug shortages were reported to the FDA. So there 1 clinicians or with manufacturers. 2 are several research questions that we thought 2 This is some of the research that 3 would be of interest in this area. 3 Dr. Lionberger cited that our group's been very When these generic drug shortages arise, how interested in, looking at patient and clinician 4 5 do prescribers and other clinicians change their attitudes, beliefs, and behaviors regarding generic 5 6 treatment patterns in response to generic drugs. We've done studies, going back several 7 shortages? Both what are the changes in years, finding that patients and prescribers have 7 8 prescribing patterns or, looking at clinicians more some degree of skepticism of generic drugs, 9 broadly, in other ancillary care delivered? What 9 although that has been changing over time. 10 are the spillover effects when there might be a 10 One of the areas that we think is 11 generic shortage? 11 interesting, and the kinds of research that we do 12 Our group is especially interested in and the kinds of large datasets to which we have 12 13 medication adherence. We do a lot of research on access, are those prescriptions that are written,

22 planning in the event of future medication 22 use of generic drugs.

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"Dispense as written," which is often either

written by the prescriber or elected by the

Identifying prescriber or patient

skepticism about generic drugs.

patient, both of which indicate some degree of

characteristics that predict that decision can help

identify areas for educational interventions when

that's an avenue that can be used to increase the

14 chronically taken medications. So when there are

16 and the longer-term effects on patient medication

15 generic drug shortages, what are both the immediate

17 adherence? And do those changes, most importantly

from a patient-centered point of view, eventually

All of these findings at the different

21 stages in the process might allow for contingency

19 affect clinical outcomes?

20

Page 69 Page 71 Another policy area of interest is the 1 few of the projects that Dr. Lionberger cited, 1 2 increasing frequency of drug coupons used for 2 would be excited to see coming in the months and 3 hundreds of agents, often those which do have 3 years to come: 4 generic alternatives available within their 4 The predictors and impact of single-source 5 therapeutic class, if not a direct generic generics; the impact of generic shortages on use 5 6 substitution. and outcomes; the potential predictors of recalls So an interesting research area we'd put or other safety issues; the safety and 8 forward would be understanding how the use of drug effectiveness of compounded generic medications; 9 coupons changes the rate of prescribing and predictors of "dispense as written," the impact of 10 dispensing of generic medications, both in terms of 10 drug coupons on generic use; and the clinical 11 initially dispensed prescriptions; but especially, outcomes of generic versus branded medications use 12 thinking back to the point I raised a slide or two across a range of therapeutic classes. 12 13 ago when I talked about shortages, thinking to So with that, thanks again very much to the 13 14 longer-term medication adherence and patients' office for the opportunity to present, and I'm 15 persistence on medications that are meant to be 15 certainly happy to engage in questions or discussion if that's helpful. 16 taken chronically could inform the policy debate on 17 coupons and how they should be regulated. 17 DR. UHL: I said to Rob, I have a question, 18 Then, as I've gotten the two-minute warning of course. Thank you very much for your 19 and needs to start throwing passes close the presentation. I really appreciate you coming here 20 sideline, I'll come to the last one of the topics 20 and presenting. 21 that we'd put forward before I sum up -- is just 21 So if you back up one slide. Is that 22 thinking more broadly about the clinical importance 22 feasible?

> Page 70 Page 72

1 of these generic medications; thinking about, in

2 light of a study from Josh Gagne in our group that

- 3 came out a couple of years ago showing that
- 4 patients getting generic statins had better initial
- 5 and longer-term adherence, and actually better
- 6 clinical outcomes due to more days on the
- 7 medications.
- 8 That was an important study for thinking
- 9 about this as not just a cost and adherence issue.
- 10 which in the end are intermediate outcomes, but
- 11 really a true hard clinical outcomes topic.
- 12 So understanding whether patient outcomes
- 13 differ based on the use of generic versus branded
- 14 medications across a wider range of therapeutic
- 15 classes can provide critical information for
- 16 clinicians, for patients, for payers, for
- 17 regulators, for everybody in this space who's
- 18 making decisions about treatment and coverage.
- 19 This summary slide just simply relists the
- 20 research opportunities that those of us in our
- 21 research division who work in this area, and who've
- 22 been excited so far to work with this office on a

- 1 DR. FISCHER: I have the technology.
- 2 DR. UHL: You have seven potential research
- 3 opportunities for us.
- DR. FISCHER: Yes. 4
- DR. UHL: We have, as Rob Lionberger just 5
- 6 presented, approximately \$20 million on an annual
- 7 basis, which probably wouldn't cover all of those
- in any given year. So, and I actually ask this of
- 9 all the presenters, if you can think about what
- Dr. Lionberger said about access across product 10
- categories, confidence in substitution, and better
- tools, can you prioritize that and give us what 12
- might be the number one priority from your list? 13
- DR. FISCHER: Sure. This is a -- I'm 14
- smiling a little bit because there's a group of us 15
- 16 who work on this, and each of us has the ones that
- we favor more. 17
- DR. UHL: Of course they do. Of course you 18
- 19 do. That's how this lays out.
- DR. FISCHER: That's right. But this is 20
- 21 what I get for being the one who is willing to come
- 22 to Washington, so I get to --

	t 15 Fublic Hearing		Way 20, 2010
	Page 73		Page 75
1	(Laughter.)	1	finding value in us surveying a range of
2	DR. UHL: We appreciate that. Thank you.	2	therapeutic classes in order to assess the generic
3	DR. FISCHER: Yes. So one quick note is	3	space entirely? Or have you all identified
4	actually and Dr. Lionberger talked about the	4	specific therapeutic classes for which you'd like
5	sorts of data that are available. I'd put out	5	additional evaluation?
6	there the point that our research group, as do	6	DR. FISCHER: So in that last bullet, I
7	several others, has a lot of data resources,	7	think, as an academic group, we're interested in
8	existing resources with large claims datasets and	8	all of them. The study I cited looked at statins
9	so on, which actually can be leveraged. So a lot	9	and cardiovascular disease. And I think
10	of the research can be done relatively efficiently	10	realistically we would anticipate for the kind
11	in terms of the cost of doing research.	11	of research that we do, so other groups may speak
12	So I think, while I will actually answer	12	to different sort of types of designs we would
13	your question and not dodge it, they can be done	13	be looking at highly prevalent conditions where you
14	efficiently by our group and others, taking very	14	have a lot of patients who are treated with both
15	sincerely your point that it is possible to do bad	15	generic and branded medications.
16	research with these observational databases, and	16	So it's spaces like outcomes of diabetic
17	one needs to be very careful.	17	care, anti-hypertensive treatment, medication
18	That said, let me actually answer the	18	classes where there are a large number of patients
19	question. I think among these, we would think	19	under treatment with both branded and generic
20	about the ones that have the largest impact on hard	20	agents, and relatively higher risks of adverse
21	clinical outcomes as being the most important. So	21	clinical outcomes. So those are the ones we would
22	I guess I can't really use well pointing at my	22	start with.
	Page 74		Page 76
1	Page 74 slide doesn't do you much good. But I think about	1	Page 76 If you asked us the long-term question,
2	slide doesn't do you much good. But I think about	2	If you asked us the long-term question,
2	slide doesn't do you much good. But I think about the impact of shortages on use and outcomes is an	2	If you asked us the long-term question, eventually we'll study everything and then when
2 3 4	slide doesn't do you much good. But I think about the impact of shortages on use and outcomes is an area where there has been a lot of concern, and	2	If you asked us the long-term question, eventually we'll study everything and then when we've studied all the drug classes, we can all
2 3 4	slide doesn't do you much good. But I think about the impact of shortages on use and outcomes is an area where there has been a lot of concern, and that appears that it will continue to be a	2 3 4	If you asked us the long-term question, eventually we'll study everything and then when we've studied all the drug classes, we can all retire.
2 3 4 5 6	slide doesn't do you much good. But I think about the impact of shortages on use and outcomes is an area where there has been a lot of concern, and that appears that it will continue to be a potential safety issue in the future.	2 3 4 5	If you asked us the long-term question, eventually we'll study everything and then when we've studied all the drug classes, we can all retire. UNIDENTIFIED SPEAKER: (Comment off mic.)
2 3 4 5 6	slide doesn't do you much good. But I think about the impact of shortages on use and outcomes is an area where there has been a lot of concern, and that appears that it will continue to be a potential safety issue in the future. The clinical outcomes across a range of	2 3 4 5 6 7	If you asked us the long-term question, eventually we'll study everything and then when we've studied all the drug classes, we can all retire. UNIDENTIFIED SPEAKER: (Comment off mic.) DR. FISCHER: That's a good plan.
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- 1 going to talk about product research, if I can do
- 2 this. Okay.
- 3 So I'm going to argue that the
- 4 bioequivalence needs some scientific development,
- 5 which is happening now today for the first time.
- 6 We need things such as Cmax predictors, AUC
- 7 predictors. Remember, bioequivalence is about the
- 8 same drug, different products. Same PK. Same
- 9 ADME -- same DME, I'm sorry -- different
- 10 absorption. So the science of bioequivalence is at
- 11 the absorption site. And we need to extend in my
- 12 area, oral, to further immediate-release and
- 13 modified-release oral dosage forms.
- 14 The BCS that started this, I'm going to say
- 15 20, 25 years ago, was actually funded by the FDA
- 16 25 years ago, when Carl Peck was the Center
- 17 Director here. And that's really been penetrating
- 18 further and further. And today I'm going to
- 19 propose we do subclassification, the next step, I
- 20 think, in biopharmaceutics classification.
- My thinking when I was working with the FDA
- 22 in 1990 -- on sabbatical; they let me out after one

- 1 that earlier. What's really happening in the
- 2 gastrointestinal tract? Surprisingly, we really
- 3 don't have much measurements there, especially
- 4 under dosing conditions or our standard
- 5 bioequivalence conditions. So we need to look at
- 6 the media and methods.
- 7 I'm going to propose an in vitro -- I'm
- 8 sorry -- in vivo predictive method, dissolution
- 9 method, which is not a QC method. That's a
- 10 separate science, so that's -- they do a good job
- 11 over there for quality control. That's a whole
- 12 package for a product. But for product development
- 13 we need a dissolution methodology and that would be
- 14 useful for things like SUPAC changes, scale-up
- 15 post-approval changes, dose scaling, biowaivers,
- 16 even QbD and PAT targets for modification of
- 17 manufacturing process.
- 18 What's your target going to be? Clinical?
- 19 Human? No. Way too expensive. We need a better
- 20 target, and that would be the in vitro dissolution
- 21 for oral products if we had confidence in the
- 22 dissolution methodology as representing the in vivo

- 1 year -- was, some products are simple. Some are
- 2 hard. Why? Why? So that led to eventually
- 3 categorizing in a classification system.
- 4 We now have guidances based on BCS which
- 5 allow in vitro biowaivers for BCS Class I drugs, I
- 6 think probably principally based because if drug
- 7 products dissolve rapidly in the
- 8 stomach -- disintegrate, dissolve rapidly -- what
- 9 you're measuring in vivo is gastric emptying, not a
- 10 product difference. So why do it?
- So at any rate, we're continuing to pursue
- 12 that line of reasoning and how far we can develop
- 13 the dissolution methodology to Class III drugs, II
- 14 and IV low solubility drugs, and then modified
- 15 release products, which are even more complicated
- 16 because of the changing luminal environment along
- 17 the intestine, as well as the differentiation of
- 18 intestinal cells along the gastrointestinal tract.
- 19 So we continue to do studies there.
- 20 I think the key science then for oral
- 21 delivery, oral product equivalence, is in vivo
- 22 dissolution, and I think Dr. Lionberger mentioned

- 1 processes.
- 2 So that's what we're in the process of
- 3 trying to do at Michigan with various intubation.
- 4 I think, again, Dr. Lionberger mentioned this as
- 5 one of the FDA contracts where we put a tube here.
- 6 In human subjects we measure 15
- 7 motility -- contraction; pressure contractions;
- 8 different sites, stomach, duodenum, jejunum, ilium;
- 9 as well as sample from those four sites.
- 10 We aspirate fluid and assay for drug marker
- 11 pH, buffer capacity. It turns out buffer capacity
- 12 is way much lower than the USP buffer capacity.
- 13 I'm not even sure why we call it simulated
- 14 intestinal fluid because it's not. But we're
- 15 learning things like that, and we're measuring drug
- 16 concentration in the intestine.
- So we're learning now for the first time
- 18 what's really going on between the in vitro product
- 19 you're developing, the manufactured product, and
- 20 what happens when you put it into the human
- 21 subject. We need something in between there. We
- 22 don't want to use the human subject as our

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- 1 experimental apparatus. Right? We think we can do
- 2 better. I know we can do better. So that's the
- 3 whole process at Michigan.
- 4 So we're measuring gastrointestinal
- 5 variables during drug absorption, gastric emptying,
- 6 duodenal appearance, intestinal transit, various
- 7 motility, pH buffer, physical-chemical changes.
- 8 One element that we finished in the MRI,
- 9 magnetic resonance imaging, to the gastrointestinal
- 10 tract, we measured fluid volumes. It's not 900 mL,
- 11 which we use in the USP for the apparatus. In
- 12 fact, when we give a glass of water, that's all we
- 13 see in the stomach, and then it decreases from
- 14 there.
- In the intestine, total intestine, we see on
- 16 average around 70 to 80 mLs, total volume in the
- 17 intestine, liquid volume. So how do we develop an
- 18 in vitro apparatus? Well, that's what we're in the
- 19 process of trying to do.
- 20 One point here. Here's the USP dissolution
- 21 apparatus methodology for an RLD product,
- 22 100 percent in 15 minutes, 50 millimolar phosphate.

- 1 your product, you could set a quality control
- 2 standard. But what we need is a method to help us
- 3 decide what is that critical variable, or critical
- 4 variables, and then what standard do we set to
- 5 ensure that product quality, over time, for both
- 6 brand and generics. It's a product standard, not a
- 7 drug standard. I mean, the drug is obviously
- 8 critical, but it's a product standard.
- 9 So the in vivo test is our gold standard, no
- 10 question about that. There's no argument there.
- 11 We may have to tighten it for narrow therapeutic
- 12 index drugs, but I believe that we need to
- 13 develop -- and is the in vivo test the best? In
- 14 some cases, we know it's not. For BCS Class I
- 15 rapidly dissolving, it's not the best test because
- 16 the in vivo test tells us nothing. Okay?
- So how do we develop a predictive test?
- 18 That's what we're in the process of doing at
- 19 Michigan now, what I'm calling iPD. In vivo
- 20 measurements under typical BE conditions are
- 21 clearly needed, which is what we're doing. And
- 22 then we can extend the GI measurements based on

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- 1 That's a USP apparatus. But when you actually use
- 2 something that's more physiological -- I'm not
- 3 going to make a case that this is, yet, because we
- 4 don't have the data -- but it takes 60 minutes to
- 5 dissolve in a physiological buffer. Well, how do
- 6 we develop a methodology that is reflective to
- 7 in vivo? Well, we have to go after the in vivo
- 8 data under relevant oral product disintegration.
- 9 So I'm proposing BCS subclasses. I'm not
- 10 going into that in detail. But I think we need a
- 11 product development person. If the drug is an
- 12 acid, base, or neutral, that makes all the
- 13 difference in the world to what you can do with it.
- 14 So I think we need to classify dissolution
- 15 methodology, what I'm calling in vivo predictive
- 16 dissolution methodology, based on subclasses. And
- 17 we're going to have a number of -- maybe 10 or 20
- 18 different, maybe more -- dissolution methodologies
- 19 that would be predictive.
- They're not going to be quality control,
- 21 although quality control could be a derivative.
- 22 That is, once you decide what's most important for

- 1 non-invasive MRI methods.
- 2 That's what we're currently
- 3 implementing -- developing the protocols to do next
- 4 year, collaborating with the world's expert group
- 5 at measuring, by MRI, GI fluids and motility where
- 6 we can do it in patients. We can do it in
- 7 pediatrics. We can do it in special populations.
- 8 So I think we're looking at how we extend these
- 9 techniques to patient conditions.
- So I think advancing product research in the
- 11 21st century is a bigger point that I want to make,
- 12 is that for oral we need, of course, in vivo
- 13 predictive dissolution methodology. And we need to
- 14 measure the GI variables.
- But when you think about the type of
- 16 products and the list of topics and complex
- 17 products, the topics that Dr. Lionberger referred
- 18 to this morning were impressive. The range of
- 19 issues the FDA has to deal with is enormous, just
- 21 I think it's maybe incomprehensible to most
- 22 of us how many different things, and the expertise

20 enormous.

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1 you need to develop a good scientific decision	1 happen in people, but it's good enough for quality
a ground the world	3 control

- 2 around the world.
- So I think we need, really, a product 3
- 4 regulatory research institute. This is blue sky,
- 5 of course, but what do we need to regulate products
- 6 for the 21st century when we're seeing all of these
- 7 complex products come down the pipe? And where do
- 8 we get the expertise to make the best decision we
- 9 can make on that product for ensuring efficacy, to
- 10 the best of our ability, to patients?
- 11 I think I finished on time. Thank you.
- DR. LIONBERGER: So I have a question. If 12
- 13 you could only get one -- so in the next year one
- 14 new in vivo dataset to help advance in vitro
- 15 predictive dissolution, what would it be?
- DR. AMIDON: If I could only get one? 16
- 17 Probably MRI.
- 18 DR. CONNER: Like yesterday and today,
- 19 you've made some side comments as you were
- 20 presenting your predictive methods, several times
- 21 saying, oh, well, quality control measures, they
- 22 don't really need to do this. The FDA --

- You can correct me if I interpreted what you 3
- 4 said is wrong.
- 5 DR. AMIDON: No, industry is working on
- 6 that. Greg Amidon and myself, we were at a
- conference at Lilly three weeks ago on this
- 8 particular issue. Lilly, Boehringer Ingelheim,
- 9 Merck were there, and AbbVie.
- 10 So yes. It is happening in industry, but of
- 11 course that tends to be private and proprietary.
- So how do we set public standards and to have that 12
- 13 information in public so that it gets an
- 14 appropriate vetting? But the answer is yes It's
- 15 happening.
- 16 What we're developing is based on what was
- 17 developed in industry. It's called the artificial
- stomach duodenum, ASD. I said to the inventor of
- 19 this technology, you don't want to take something
- artificial to your boss, do you, unless it's a
- 21 Christmas present or something. But at any rate,
- 22 yes, so it's happening, Dale, but it's a matter of

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- 1 DR. AMIDON: Be careful. What I
- 2 use -- okav.
- DR. CONNER: I'm just interpreting what you 3
- 4 sav. You can correct me.
- DR. AMIDON: I don't want to take down 5
- 6 quality control.
- DR. CONNER: The FDA right now is putting in 7
- 8 quite a lot of effort to make their specifications
- 9 more clinically relevant.
- DR. AMIDON: Yes. Yes. 10
- 11 DR. CONNER: So wouldn't that effort
- 12 dovetail with what you're saying, if making all
- 13 in vitro -- or making relevant in vitro methods
- 14 that predict what we want to know, which is usually
- 15 relevant to the patients? So that includes both
- 16 bioequivalence or bioavailability plus quality
- 17 control, so that you have a spec that actually
- 18 means something to the patient and to the
- 19 prescriber.
- 20 DR. AMIDON: Yes.
- 21 DR. CONNER: It's not really that, oh well,
- 22 it's no good for predicting what's really going to

- 1 the public standards.
- 2 DR. LIONBERGER: Thank you very much.
- DR. AMIDON: Okay, thank you. 3
- 4 (Applause.)
- 5 DR. LIONBERGER: So we will go to our break
- 6 now, and we will reconvene about 10:40.
- 7 (Whereupon, at 10:22 a.m., a recess was
- 8 taken.)
- 9 DR. LIONBERGER: Welcome back, everyone. I
- 10 just want to let everyone know, we've had some
- 11 questions. The slide presentations will be
- 12 available on the regulatory science webpage as soon
- 13 as possible. We will ask the speakers for
- permission before we post them, however, but we
- will have those that we have permissions available
- 16 as soon as possible.
- 17 So again, to continue with our program, our
- 18 next speaker is Professor Duxin Sun from the
- 19 University of Michigan. So welcome, Duxin.
- Presentation Duxin Sun 20
- 21 DR. SUN: Thank you very much for the
- 22 opportunity of presenting. This represents a group

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- 1 effort from the University of Michigan. So I will
- 2 focus on the BE standard mainly for modified-
- 3 release drug product.
- 4 So the current BE standard for IR, so
- 5 immediate drug release product, works pretty good.
- 6 I think mostly work fine. And the challenge is for
- 7 the BE study of modified release and a locally
- 8 acting drug product.
- 9 So of course we still use AUC and a Cmax
- 10 comparison, and that's perhaps not enough. Then
- 11 for some of the products we use partial AUC to
- 12 improve the standard. That's definitely
- 13 improvement, but still I think there's still
- 14 challenge. I'll show you some of the data what I
- 15 mean.
- So I will present two ideas. One is one
- 17 specific idea to ask, can we add this another
- 18 parameter to compare the BE of generic and brand?
- 19 And also then I also going to present, once we get
- 20 the data, what are the broader implication?
- 21 So the question for this specific one is
- 22 then I want to introduce this composite appearance

- 1 The problem is for local-acting and modified
- 2 drug release. So here what I mean is, you can see
- 3 this slide is a busy slide. If you have MR product
- 4 and local-acting drug product, they may or may not
- 5 have a dissolution in the stomach.
- 6 Of course, they have structural gastric
- 7 emptying. Then you go to GI small intestine. They
- 8 also have dissolution release in different region
- 9 of the small intestine differently. Of course, you
- 10 have a transit.
- 11 Then some of the drug may have a
- 12 precipitation, and then only the drug dissolving
- 13 solution, they are absorbed through the membrane.
- 14 So that's we refer to the first order drug
- 15 absorption. Even that perhaps is not first order.
- 16 I think along the GI tract, they may not be a first
- 17 order.
- So what I propose to you is another term; we
- 19 can use deconvolution, get a composite appearance
- 20 rate. Basically, use how fast drug can appear in
- 21 the blood, then you can include everything here.
- 22 You can include the drug release and the

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- 1 rate. I show the later the data. What do I mean?
- 2 The question is, how do we estimate that? How do
- 3 we validate that? How do we compare between
- 4 generic and brand?
- 5 So in the BE study, we use AUC and Cmax
- 6 comparison. In here we use a simple -- the
- 7 underlying assumption uses simple pharmacokinetics.
- 8 So we made a pretty good assumption the absorption
- 9 rate -- the absorption is the first order kinetics.
- 10 KA is a first order absorption rate constant, is a
- 11 constant. We know this is not right and yet we
- 12 teach students all the time, for the last 30 years,
- 13 because -- that's not because we teach students the
- 14 wrong thing, because we have to make
- 15 simplification.
- For some cases, it does work. For example,
- 17 in the oral dissolution case, that's perfectly work
- 18 fine. For most immediate=release drug products, if
- 19 they are really released, they have dissolution
- 20 completed within 30 minutes. They're very similar,
- 21 like a solution go down the GI tract. That's also
- 22 works fine.

- 1 dissolution in GI tract, precipitation, perhaps
- 2 even transit. So I show you how we did it, some
- 3 preliminary data.
- 4 The question is specifically as applies to
- 5 BE, then how do we exactly estimate that? How do
- 6 we validate? How do we compare? So what we really
- 7 need to do -- the last slide, I will show you what
- 8 my proposal is. Here just refresh, is we really
- 9 need to measure in vivo drug dissolution and
- 10 releasing in human GI tract. So we have done, just
- 11 finished the local acting drug product, and we are
- 12 currently doing IR drug product.
- We really need a one right now is modified-
- 14 release drug product for in vivo GI tract drug
- 15 release and dissolution. After we get this, we can
- 16 get deconvolution from the plasma profile, get this
- 17 composite appearance rate based on the plasma
- 18 profile compared to oral solution. Then we need to
- 19 validating statistical analysis to compare brand
- 20 and the generic.
- 21 So I'll show you some of the preliminary
- 22 data what do I mean. So in Michigan, we have this

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- 1 technology. We did 60, about almost 100 patient
- 2 already for the intubation study. We put a tube in
- 3 the human GI tract all the way down from stomach,
- 4 duodenum, jejunum, and early ileum. So we cannot
- 5 get colon because that's too down there.
- 6 Then you can see the different product in
- 7 the different location. We get a sample from
- 8 different location. We get a GI motility. We get
- 9 a pH. We get a structural capacity. Then got
- 10 really covered everything together.
- 11 I'll show you one piece of the data here to
- 12 illustrate my point. So for example, we actually
- 13 get a sample. The patient stays there for
- 14 overnight but we can do intubation for 7 hours, so
- 15 every hour we can get a sample. Then we measure
- 16 drug concentration to represent the release and the
- 17 dissolution in the GI tract. So you can see we did
- 18 Pentasa, Apriso, and Lialda.
- So here's the stomach on the very first left
- 20 column, and from stomach, duodenum, proximal
- 21 jejunum, middle jejunum, distal jejunum, and early
- 22 ileum. So you can see from here Pentasa is

- 1 intestine, but the scale is different. They almost
- 2 have zero release, tiny, tiny amount of release, in
- 3 early stomach, duodenum, jejunum, ileum. So you
- 4 can see they are very different.
- 5 So of course then we also get -- for this
- 6 study, we also get a plasma concentration. We also
- 7 got an oral solution as another arm so we can
- 8 compare it to. You can see here the top panel, the
- 9 plasma profile Pentasa appraisal. Although I show
- 10 you early slide, the GI release is very different.
- 11 Their plasma profile, there's some
- 12 difference, but if you do it really well, you can
- make it bioequivalent based on the plasma profile.
- 14 Of course, Lialda is designed differently. They
- 15 are very different. You can see the plasma profile
- 16 is very different.
- So the argument I have is, the plasma
- 18 profile cannot tell the difference in terms of GI
- 19 release, especially for local-acting drug and for
- 20 modified-release drug. However, if you do a
- 21 deconvolution to gather this CAR, composite
- 22 appearance rate, and the bottom rule, you can

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- 1 released from stomach pretty high level and all the
- 2 way from duodenum to early ileum.
- Once the surprise is found in here, we never
- 4 imagine -- we could actually -- by many years we
- 5 can never imagine that the drug concentration stay
- 6 in stomach for 7 hours. We always assume they
- 7 finish by 2 hours or 30 minutes. Simply is not
- 8 true, and we use that assumption for the last -- I
- 9 don't know how long, 50 years.
- So then what does that mean? What impact
- 11 does that have? So that's very surprising. So you
- 12 can see this drug release very clear, very
- 13 beginning. They release from the very beginning to
- 14 the end.
- Now, if you compare Apriso, they don't have
- 16 a release in the stomach. They have a very tiny
- 17 small amount of release from duodenum to jejunum,
- 18 then maybe start releasing in late jejunum or early
- 19 ileum. That's a very clear difference between
- 20 these two drugs, drug product.
- 21 If you compare to Lialda, Lialda is designed
- 22 to releasing then later, the colon region of the

- 1 clearly see they are different.
- 2 Pentasa in the left lower corner, you can
- 3 see release drug from the very beginning all the
- 4 way until 10 hours. Then for Apriso, the first
- 5 3 hours there's no release, then sharp release,
- 6 then perhaps stop release at 10 hours. Then Lialda
- 7 is continued release later part.
- 8 So those slides tell you two things. One,
- 9 the CAR is much more sensitive. We can mirror the
- 10 GI real release compared to plasma profile. That's
- 11 number 1. Number 2, very surprisingly, everything
- 12 seems to stops around the 10 hours. So I'll show
- 13 in other datasets. We don't know what that means,
- 14 but maybe it means two things.
- 15 Number one, for modified-release
- 16 formulation, maybe if you make too long after
- 17 10 hours, they are never going to be released
- 18 because they reach colon. Colon have no water.
- 19 Then they don't release. They don't release. They
- 20 don't have no absorption. So that's one
- 21 possibility.
- Number two, so whether it's a release

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- 1 problem or absorption problem, my guess is perhaps
- 2 release problem. So then does that mean in the
- 3 future we should not make an extended release more
- 4 than 10 hours? I don't know. If that's true, that
- 5 has a vague implication.
- So I'll give you another dataset. Here we
- 7 use 6-week crossover, 6 different formulation,
- 8 modified release drug in human. You can see plasma
- 9 profile, 2 SR, 2 ER, it's similar. However, again,
- 10 the CAR can tell clearly the difference and the
- 11 release difference in the three different
- 12 formulations. Again, the two points I showed last
- 13 slides, these slides also confirmed.
- So I think based on those preliminary data,
- 15 my proposal would be we don't have any data
- 16 currently for modified release drug product in GI
- 17 drug dissolution, the release. We have zero. And
- 18 we did get the locally-acting drug product. We
- 19 will publish that in the next few months.
- 20 The ongoing studies for IR drug product, GI
- 21 drug dissolution, so we want to get that. We hope
- 22 to get another, at a minimum, MR drug release

- 1 the different release mechanisms? Or do you think
- 2 you can do some, and then do some in vitro work to
- 3 try to compare that?
- DR. SUN: Yes. So that's a good question.
- 5 The idea will be that's not feasible to do the
- 6 study as a routine BE standard. That's just way
- 7 too slow, way too expensive. The idea is, let's
- 8 gather the different class of compound and data to
- 9 have confidence. Then eventually the gold standard
- 10 has to be blood concentration.
- Then how do we use blood concentration
- 12 compare to mimic clearly? Ideally, then, we have
- 13 datasets to validate all the PBPK model or in vitro
- 14 test model. So ideally, we have different
- 15 datasets, IR, MR, local acting. So that's the
- 16 minimum I say we should have. We don't have any
- 17 for last 50 years.
- If we want more than that, then perhaps then
- 19 different BCS class compound, we need to have each
- 20 class compound have a representative, but that's
- 21 another few compound. So that's the ideal
- 22 solution. But I think if we don't have that much

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- 1 product. And also then we can estimate and
- 2 validate the CAR compared with oral solution, then
- 3 validate that by oral GI drug concentration. Then
- 4 we need to statistically validate how do you
- 5 compare this to a product. How do you use number?
- So that's just a specific question. So once
- 7 we get those data, then the broader implication
- 8 will be we can validate the in vivo predictive
- 9 dissolution condition, device, everything, and also
- 10 validate all the PBPK modeling, and also cross-
- 11 validate the MRI study, the non-invasive MRI study,
- 12 for drug transit and motility.
- 13 With that, I stop and take of course
- 14 questions. Thank you very much.
- DR. LIONBERGER: Thank you, Duxin.
- 16 Questions? Cindy?
- DR. BUHSE: Yes. When you talk about doing
- 18 your GI studies with the modified-release products,
- 19 different manufacturers often have different
- 20 release mechanisms for their modified-release
- 21 products. Do you envision having to actually
- 22 repeat these complicated clinical trials for all

- 1 effort need to go forward, but minimally we should
- 2 have an MR drug product to get that down, put in
- 3 the public, let everybody use that data to validate
- 4 their condition, in vitro condition and model.
- 5 DR. LIONBERGER: So when you say absorption
- 6 composite appearance rate, do you need some kind of
- 7 oral solution to deconvolute that, or is this
- 8 something you could obtain from just analysis of
- 9 plasma data?
- DR. SUN: Ideally, so ideally you have
- 11 another arm for oral solution, though, because then
- 12 basically you have a brand, generic, and also oral
- 13 solution. Then you have an additional arm. In
- 14 that way, you can against the oral solution to do
- 15 deconvolution, that have a few advantages. Number
- 16 one is really to reduce the variability because you
- 17 see each individual subject to get rid of our
- 18 variability. Number two is really to deconvolute
- 19 much more accurately.
- 20 Of course, you can also use the literature
- 21 data with IV data or other IR release formulation
- 22 to do the deconvolution. But that's perhaps

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- 1 against the average rather than individual. So you
- 2 have an advantage and disadvantage.
- 3 DR. CONNER: When you use your oral solution
- 4 as your baseline for deconvolution, do you pay
- 5 attention to how you do the oral solution? Because
- 6 we have a tradition of assuming that an oral
- 7 solution is uncomplicated. There's no possibility
- 8 you can have any change in bioavailability.
- 9 But then, quite a few years ago, we came
- 10 along with discoveries on things like sorbitol,
- 11 where certain drug substances in an oral solution
- 12 can be -- their bioavailability can be affected by
- 13 excipients, especially -- the alcohol sugars are
- 14 the ones we are most familiar with. So don't you
- 15 have to take that into account?
- You can't just kind of blindly go into that
- 17 type of drug and say, oh, any oral solution is
- 18 fine, whereas two investigators using different
- 19 extemporaneously compounded oral solutions could
- 20 come up with very different results.
- DR. SUN: True. I think in FDA, the old
- 22 days said the oral solution is self-evident.

- 1 going to be on nonbiological complex drugs. And I
- 2 want to further highlight -- I think there's been
- 3 enough presentations on complex drugs, but I want
- 4 to further highlight the challenges in the
- 5 assessment of similarity or equivalence of
- 6 ophthalmic emulsions.
- 7 A real guick declaration of interest from
- 8 the NBCD working group. I'm not going to read
- 9 through this. It will be part of the slides that
- 10 will be posted. So I'll move on.
- So the outline of my talk, I'll quickly
- 12 introduce what nonbiological complex drugs mean.
- 13 And then we'll talk about emulsions as complex
- 14 dosage forms. And I feel strange standing in front
- 15 of Ken Morris and Steve Byrn and others talking
- 16 about emulsions. They're the ones who taught me
- 17 all this. And I'll spend a little bit of time on
- 18 assessment of similarity and equivalence of
- 19 ophthalmic emulsions.
- So I think there's good recognition that we
- 21 have small molecule drugs, tablets, capsules,
- 22 et cetera, that are formulated, and we have

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- 1 Right? Perhaps that's not true. A lot of time we
- 2 have a precipitation.
- 3 So the proposal I have is two things. One
- 4 is when we do the intubation, we should also do
- 5 oral solution intubation. We know exactly what's
- 6 going on. That's actually very valid. Too bad the
- 7 data we have, currently have, we're going to
- 8 publish, we have a solution arm, but we did not do
- 9 the intubation. We thought we don't need it.
- So right now, we will look back the data.
- 11 We really need an intubation for dosage form and a
- 12 solution. Then we get a good idea. The solution,
- 13 oral solution, will give you deconvolution because
- 14 that will mimic all the transit and everything,
- 15 metabolism. I think is actually better, even
- 16 better, than IV. You're right.
- 17 DR. LIONBERGER: Thank you. So our next
- 18 speaker is Chetan Pujara from Allergan.
- 19 Presentation Chetan Pujara
- DR. PUJARA: First of all, thank you to the
- 21 FDA and Dr. Lionberger for inviting me to present
- 22 here. I really appreciate that. And my talk's

- 1 biologicals that are considered complex drugs.
- 2 More recently the term -- or yes, I guess the term
- 3 nonbiological complex drugs is starting to gain
- 4 popularity. There was an article also published on
- 5 this.
- 6 At a very high level, NBCDs constitute of a
- 7 multitude of closely related structures. The
- 8 entire complex is the active pharmaceutical
- 9 ingredient. I think Dr. Amidon mentioned earlier
- 10 it's not just a drug, it's the drug product.
- 11 The properties cannot be fully characterized
- 12 by physical-chemical analysis. And it was good to
- 13 see Dr. Lionberger talk about Q3, talk about
- 14 microstructure analysis. The well-controlled,
- 15 robust manufacturing process is also fundamental to
- 16 reproduce the innovator's product. And that's
- 17 something I want to further emphasize as we go
- 18 through the few slides that I have.
- So with respect to assessment of similarity
- 20 or equivalence for nonbiological complex drugs, we
- 21 believe that new knowledge and policies need to be
- 22 created. I think practically everyone in this room

- 1 probably knows what an emulsion is, but I'll still
- 2 go through what they are, and then talk a little
- 3 bit about how we can affect them.
- 4 An emulsion is a multi-phase system. I
- 5 think we all know that it contains an oil phase, an
- 6 aqueous phase, an interface consisting of
- 7 surfactants and other stabilizing polymers,
- 8 micellar structures.
- 9 So there's a good cartoon here, and what I
- 10 want to show on this cartoon is you have oil
- 11 globules, as I just stated. And in this case, I've
- 12 just used castor oil as the oil. And the drug is
- 13 usually dissolved in the oil. You have an aqueous
- 14 phase.
- Obviously, the surfactant is around the
- 16 corner here. You have polymers stabilizing the
- 17 entire system. You have water-soluble additives
- 18 for various reasons. And of course there's drug
- 19 partitioned into the aqueous phase as well.
- So the drug, of course, can then be
- 21 distributed in all these phases, whether it be in
- 22 the oil or in the aqueous phase, or within the

- 1 think it's well-recognized in academia, FDA, and
- 2 industry that ophthalmic emulsions are complex
- 3 dosage forms. I have some references here, and I
- 4 threw in Dr. Lionberger's reference as well.
- 5 To further talk about ophthalmic emulsions.
- 6 they are complex systems, as we just discussed by
- 7 an emulsion itself. But with respect to ophthalmic
- 8 emulsions, they are used to deliver poorly soluble
- 9 drugs to the eye, a complex organ with multiple
- 10 target tissues.
- 11 These emulsions are locally-acting with
- 12 negligible systemic levels, so PK bioequivalence is
- 13 generally not possible. The residence time is
- 14 short, with complex absorption pathways. So a
- 15 traditional in vitro dissolution test may not be
- 16 good enough. In fact, I think I can probably say
- 17 it will not be good enough for in vivo performance
- 18 prediction purposes.
- Then as we just discussed, manufacturing
- 20 processes can affect emulsion characteristics. But
- 21 I would submit to you that it would also affect
- 22 safety, emulsion safety and tolerability, and

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- 1 surfactant and micellar structures. And we've seen
- 2 that for some of the molecules that I worked with.
- Now, the amount of drug in each of these
- 4 phases is an equilibrium, and a dynamic
- 5 equilibrium, and can shift based on external
- 6 environment. And I think that's quite common
- 7 knowledge, that heat and shear and chemical
- 8 interactions can affect that.
- 9 What's also important to mention here is the
- 10 manufacturing process is very critical to establish
- 11 the oil droplet size. And I think every time we
- 12 make a vinaigrette, I think we know that you need
- 13 to use a certain manufacturing process.
- 14 The surfactant and oil interactions are
- 15 affected by the way emulsions are made. The
- 16 polymer oil and surfactant interactions are
- 17 affected, depending on how the material is made.
- 18 And then the drug distribution nature of the
- 19 phases.
- That's an emulsion. Now with respect to
- 21 ophthalmic emulsions -- and I'm not going to go
- 22 through this slide, well, except to say that I

- 1 performance by altering drug distribution kinetics
- 2 in the emulsion. So that's an important aspect to
- 3 remember about ophthalmic emulsions.
- 4 So I'll spend a couple of minutes on this
- 5 slide. There have been recent FDA draft guidances
- 6 in ophthalmic emulsions, as Dr. Lionberger
- 7 presented earlier at 9:00 today, and they seem to
- 8 be acknowledging the complexity of ophthalmic
- 9 emulsions.
- The complexity is coming from a standpoint
- 11 of physical-chemical characterization to show
- 12 equivalence. And as I mentioned earlier, we have
- 13 Q1 and Q2, and seeing Q3, which is understanding
- 14 the microstructure of emulsions, is critical.
- 15 I'll submit to you that complex -- depending
- 16 on the type of dosage form, the complexity is
- 17 obviously going to be different. So it's going to
- 18 be both the dosage form and the intended use of the
- 19 dosage form, and of course, the intended
- 20 performance of the dosage form.
- So with respect to that, I would say that
- 22 ophthalmic emulsions are complex, and so a clear

- 1 link in these guidances to in vivo performance is
- 2 still missing. And it's also deficient in details
- 3 on how robust these characterization methods need
- 4 to be.
- 5 What I mean by that is several years ago, I
- 6 think, when I was part of PQRI, we published
- 7 particle size methodology and we indicated how
- 8 different particle size methods can give you
- 9 different results. And this was just for solid
- 10 particles.
- If you take an emulsion, as I showed the
- 12 little cartoon there, it's malleable, and the
- 13 characterization methods can affect how these
- 14 emulsions will perform in the method. So both the
- 15 sampling characteristics and the way the emulsion
- 16 is measured, or determine the particle size, will
- 17 be affected by the instrument, but also the
- 18 parameters that are used much more than, I would
- 19 say, a solid particle.
- So what that leads me to say is, and we have
- 21 obviously done some research on this, that we can
- 22 take disparate emulsions and show that they are

- 1 try to miniaturize it, and I don't think that's
- 2 probably sufficient.
- 3 So this is an area that's ripe for research.
- 4 And I'm looking at all the great professors sitting
- 5 in the front here, and I would submit that a lot
- 6 can be learned here to make better drug products
- 7 available to patients.
- 8 Then, as I mentioned earlier, robust
- 9 emulsion characterization methods, research in this
- 10 area would also be a good first step -- for
- 11 example, drug distribution. And in the recent
- 12 update to the guidance, I noticed that that's there
- 13 in terms of how the microstructures can affect.
- 14 Droplet size I already talked about. And of
- 15 course, the intention of developing better methods
- 16 is to provide meaningful information on impact of
- 17 in vivo performance.
- So with that, I have four seconds remaining.
- 19 I will stop and thank the panel, and take any
- 20 questions.
- DR. LIONBERGER: Thank you. So in terms of
- 22 the in vitro release method, what do you think are

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- 1 similar just by changing the parameters with which
- 2 one can determine the particle size. So the
- 3 robustness of these characterization methods is
- 4 very important.
- 5 Further understanding of locally-acting
- 6 ophthalmic emulsions is necessary to create
- 7 scientifically robust guidance with respect to
- 8 assessment of similarity or equivalence of
- 9 ophthalmic emulsions. We believe that. So I would
- 10 submit to the panel here that research in the
- 11 following areas would be a good first step.
- In vitro drug release methods that can be
- 13 linked to in vivo performance -- I think we've
- 14 already heard a couple of talks on this earlier
- 15 today, and probably more to come. I saw Diane's
- 16 topic. She's going to talk about in vitro-in vivo
- 17 methods and how we can link them.
- 18 With respect to ophthalmic dosage forms,
- 19 it's even more critical because it's locally
- 20 acting. There are no methods, as far as I know,
- 21 that are available. And most of the methods
- 22 basically take a 900 mL dissolution bath and they

- 1 some characteristics of a good in vitro release
- 2 method for an ophthalmic product? And to your
- 3 knowledge, do any of the approved brand products
- 4 have good in vitro release methods?
- 5 DR. PUJARA: Yes. That's a very good
- 6 question. I'm not aware of any good in vitro
- 7 methods in the ophthalmic area. You're absolutely
- 8 right. Typically, companies would use in vivo
- 9 methods to further understand because we can get an
- 10 assessment of both tolerability of the dosage form,
- L1 because this is a locally-acting drug, and we can
- 12 understand in which tissues these drugs are going
- 13 into.
- 14 We've not spent much time in developing
- 15 in vitro methods because this is an area that I
- 16 think is -- I think we need some disruptive
- 17 technologies to further advance the science in this
- 18 area, and we haven't invested a whole lot in it.
- 19 However, as I mentioned, for development purposes,
- 20 we typically do use in vivo methods.
- DR. LIONBERGER: Thank you very much.
- DR. PUJARA: Great. Thank you very much.

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- 1 DR. LIONBERGER: So our next speaker is
- 2 Professor Catherine Sherwin from the University of
- з Utah.
- 4 Presentation Catherine Sherwin
- 5 DR. SHERWIN: Good morning, everyone. And a
- 6 slight change of topic and a slight change of
- 7 direction for my talk, but hopefully very of
- 8 interest to everyone, and also very relevant to me
- 9 in my research group. I want to talk about issues
- 10 associated with children and generic drugs. I have
- 11 nothing to disclose.
- Some things that we're concerned about,
- 13 those of us who work in pediatrics and pediatric
- 14 clinical pharmacology, is what are the differences
- 15 between the generic drugs and the brands. And I'm
- 16 a big fan of generics. I'm an advocate for them.
- 17 I think they are needed, vitally needed. But in
- 18 some circumstances in pediatrics this is becoming
- 19 very difficult with regards to the clinical
- 20 perspective, the parents' perspective, and then
- 21 also the perspective of the child.
- So is it always good that these are cheaper

- 1 bunch of children, and tell them to volunteer to
- 2 take a drug that they don't need and they won't
- 3 need. I can't see many parents consenting to see
- 4 that happen.
- 5 Also very difficult to take the number of
- 6 blood samples that we need to look at the PK within
- 7 that patient population. So we are definitely
- 8 relying on the information that comes from adults.
- 9 Bioequivalence is based on kinetics and the
- 10 pharmaceutics. We've heard about dissolution.
- 11 We've heard about absorption. These things vary in
- 12 children. They vary in the neonatal population.
- 13 They vary between ages of children and between a
- 14 child that's a 2-year-old versus a 10-year-old. So
- 15 does this mean that we need to look at differences
- 16 in repeating assessments for steady states of drugs
- 17 within children? And how do we compare the
- 18 therapeutic effectiveness between drug A and drug B
- 19 within this patient population?
- So we do a lot of bioequivalence evaluation
- 21 study design. We have very set, very specific
- 22 criteria to achieve that. It's done very

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- 1 and always available? Are they just as good? And
- 2 we've heard lots of very in-depth scientific
- 3 presentations yesterday and also this morning about
- 4 oral absorption. And in that circumstance, are we
- 5 considering differences in maturation within
- 6 children and their different maturation of the
- 7 gastrointestinal system?
- 8 So can we maintain the quality and reduce
- 9 healthcare costs if we use more generics? And I
- 10 think we can. I just think we need more
- 11 consideration, particularly on the pediatric side.
- So what criteria should we have for
- 13 switching from brand to generic drugs, particularly
- 14 in a pediatric population? And do we have a
- 15 therapeutic switch? And if we do these, how do
- 16 they differ and is this relevant for that patient 17 population?
- So the generic approval differences are
- 19 available within the brand drug. For pediatric
- 20 indications, they usually test in adults first, and
- 21 this is obviously due to ethical considerations and
- 22 constraints. We can't get a child, line up a whole

- 1 standardized within the Office of Generic Drugs.
- 2 There's a lot of opportunities, and Dr. Lionberger
- 3 outlined this morning all the initiatives that are
- 4 being done. They do careful controlled crossover
- 5 studies. We do comparisons between the brands of
- 6 the generics.
- 7 The patients typically are young, healthy
- 8 adult and mainly male, and definitely not in the
- 9 pediatric realm. We're looking at comparisons
- 10 between AUC, Cmax. We look at measurement, and we
- 11 look at the half-lives between those patient
- 12 populations.
- We know within pediatrics those half-lives
- 14 for many of these drugs actually vary. And
- 15 depending on the age of the child, we have a
- 16 variability in clearance. We have a variability in
- 17 absorption.
- So it becomes very difficult when you're
- 19 trying to equivalate these from an adult population
- 20 across into pediatrics. We typically, in the adult
- 21 population doing crossover designs, look at
- 22 different half-lives. And all of those becomes

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- 1 fundamentally different within the pediatric side.
- 2 Substitution is not all the same. And
- 3 something that clinicians I work with talk about a
- 4 lot is the difference between generic substitution
- 5 and therapeutic substitution. And substitution
- 6 with generic substitution is substitution of a drug
- 7 without market exclusivity, but the drug has the
- 8 same active ingredient as the branded product.
- 9 Within therapeutic substitution, it
- 10 substitutes a drug considered therapeutic
- 11 equivalent to one that has been ordered. And the
- 12 basis is, similarity is not always clear or focused
- 13 with regards to pediatric patients, and I'll give
- 14 you examples shortly on that.
- So why would a clinician want to fight this,
- 16 or why would they might not think that this is
- 17 something that actually wants to be done? A
- 18 branded formulation is pediatric-friendly so you
- 19 usually are trying to have a solution or a
- 20 suspension, something chewable.
- 21 What we find sometimes in the patient
- 22 population, and I see this in my own children, you

- 1 and if a drug has a narrow therapeutic index, how
- 2 that's actually going to equivalate within a
- 3 pediatric population.
- Some examples. A 3-month-old child who has
- 5 probable GERD, the doctor orders Prevacid and
- 6 omeprazole is dispensed. Are these therapeutically
- 7 equivalent? Have they been studied? Do we know
- 8 this? Omeprazole's suspension has never been
- 9 labeled for children less than 1 year old.
- For a lot of infants, newborn infants, GERD
- 11 is a very serious consideration that the doctors
- 12 want to treat. PPIs are quite commonly given. But
- 13 we know they have variable kinetics in all ages.
- 14 And we know that the formulations are different.
- 15 And we know that these have not been tested in
- 16 children this young.
- Other differences, and something else that
- 18 is irrelevant, particularly in the pediatric side,
- 19 is differences in the pharmacogenomics. We know
- 20 that the PPIs are mostly cleared by CYP2C19 and not
- 21 as much by 3A4. And all know that there are
- 22 ontogeny or maturational differences in the

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- 1 have a brand that you're prescribed. All of a
- 2 sudden you get the generic version. It goes from
- 3 being an oral square tablet to a round tablet. My
- 4 son freaks out because he's used to the square one.
- 5 He doesn't want the oval one.
- You have differences in taste. One minute
- 7 the formulation tastes like strawberry. The next
- 8 minute it tastes like cherry. And in a pediatric
- 9 population, that's highly concerning. If we're
- 10 talking here about the equivalence, all of the
- 11 kinetics, that's fine when we're looking at adults
- 12 and we're saying okay, the kinetics are the same.
- 13 But when we break it down to the formulation
- 14 differences that we see within the pediatric, that
- 15 becomes a difficulty when we switch between a brand
- 16 and a generic.
- So there's few pediatric therapeutic studies
- 18 that have looked at the branded drug to support
- 19 different age groups in the patients. And this
- 20 isn't always considered when we're doing these
- 21 studies and when we're trying to equivalate, and
- 22 when we're looking at differences in absorption,

- 1 pediatric populations between a 3-year-old with
- 2 regards to their CPY2C19 level versus a 5-year-old.
- 3 And that's something that becomes an issue if you
- 4 switch between these drugs when we haven't got this
- 5 information available.
- 6 So is therapeutic switching appropriate?
- 7 What are the data? Should they be interchangeable?
- 8 In these children who are 3 months old, is this the
- 9 same? Is this therapeutically equivalent? So
- 10 these are the questions that I'm asking and that I
- 11 want to help answer.
- So therapeutic switches requires a knowledge
- 13 of the pharmacology. It requires clinical trials.
- 14 And as Dr. Lionberger said this morning, this is
- 15 difficult to do some of these studies, particularly
- 16 in the population, but we do have access to
- 17 databases, insurance claims information, electronic
- 18 medical records. The information is there; we just
- 19 need to actually find a way to access it.
- Some of the areas that we have of concern
- 21 are the psychoactive drugs. Cardiac drugs are
- 22 being more and more used in younger populations as

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- 1 the obesity epidemic grows and these children are
- 2 developing cardiac conditions. We're using cardiac
- 3 drugs that have never been tested in children.
- 4 Antidepressants, the same, being used in
- 5 younger, younger children where we've never done
- 6 these clinical trials or done these clinical
- 7 studies. Other areas of concern -- transplants and
- 8 oncology and a lot of drugs that we're using in
- 9 those that we're extrapolating the data from adults
- 10 and using them down in pediatric patients.
- Generics have a role. As I say, I am a fan
- 12 of generics in pediatric medicine. They are
- 13 desperately needed, particularly within the
- 14 underserved populations, and for these children to
- 15 have access to this medication. But we need to
- 16 have consideration for this.
- We need to look at the reduction in medical
- 18 costs as being a benefit, and again, that was
- 19 covered earlier this morning. But we also need to
- 20 look at any unanticipated health costs which can
- 21 come when we use these drugs in a pediatric
- 22 population where we have no clinical information,

- 1 morning, we have data in these electronic medical
- 2 records. We have data in these insurance claim
- 3 bases. We have modeling and simulation which we
- 4 can use. We can extrapolate the data. We can do
- 5 models. We can take the data from the adult side
- and extrapolate it down to children.
- 7 But I'd like us to look more at the
- 8 differences between the innovative and generic
- 9 drugs and how this affects substitutions within
- 10 this patient population. How do we distinguish
- 11 between a therapeutic and a generic substitution
- 12 when treating children?
- How do clinicians make that decision? How
- 14 do the pharmacists who are filling the scrips make
- 15 those decisions? How can we identify the general
- 16 factors to consider for a therapeutic switch and a
- 17 generic switch?
- 18 I think there's a lot of innovations that
- 19 the FDA, through their granting mechanisms, are
- 20 actually starting to try and look at these things.
- 21 And I think this is something that we really need
- 22 to be doing, and not only in pediatric population,

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- 1 where we don't have this information to know the
- 2 differences.
- 3 There's few studies done in pediatrics where
- 4 we compare drug A and drug B, much less looking at
- 5 cost-effectiveness. And is it cost-effectiveness
- 6 in some of these cases to change a child from a
- 7 brand drug to a generic if we know that they may
- 8 have an increased likelihood of an adverse event?
- 9 Without pediatric studies about the
- 10 pediatric label, we have the exclusivity which the
- 11 FDA brought in through the BPCA and the FDAMA a few
- 12 years ago, which has made a big change to industry
- 13 having to do some of these pediatric studies.
- But we still don't have as much information
- 15 as we need within this population. So generic
- 16 switches are seldom based on pediatric data. It's
- 17 usually the data that's been gathered from adult
- 18 studies.
- So in my summary, I am an advocate of doing,
- 20 obviously, pediatric research. I would like us to
- 21 be able to use the data that we currently have
- 22 available. Again, as Dr. Lionberger said this

- 1 but also within pregnant women, breastfeeding
- 2 women, and geriatrics as well.
- 3 My last point is to select therapeutic areas
- 4 for generic substitution increase of adverse events
- 5 and to look at those more closely so that we can
- 6 prevent those worse outcomes from occurring. And
- 7 that would be me.
- 8 DR. LIONBERGER: Thank you. Questions?
- 9 DR. STODART: Thank you for your
- 10 presentation. In general, do you see any
- 11 particular age range or ethnicity that is more
- 12 vulnerable than others?
- DR. SHERWIN: So the age range that, from my
- 14 perspective that is the most vulnerable is the
- 15 neonatal age range and the under the age of 2. And
- 16 ethnicity, I live in Utah and I work in Utah, which
- 17 is a very homogenous population.
- 18 But definitely within the studies that we
- 19 do, we see issues in the Pacific island population
- 20 and also in the Native American Indian population
- 21 within our region. And that's most of my
- 22 experience in America.

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- 1 DR. LIONBERGER: When we talk about
- 2 differences between the brand and generic, as
- 3 Gordon has mentioned, it's really a product
- 4 formulation that's different. And I think a lot of
- 5 the -- some of the discussion things like
- 6 clearance. Right?
- 7 You don't think that the clearance of the
- 8 active ingredient is going to be any different in
- 9 whichever patient the product is given. So can you
- 10 identify the specific product differences that you
- 11 think are of concern for substitution in different
- 12 populations?
- DR. SHERWIN: So the one that we've done the
- 14 most research on, and one actually which we have a
- 15 grant through your office right now, is looking at
- 16 the immunosuppressants and looking at tacrolimus.
- 17 And we have identified some differences in the very
- 18 younger age group patients, around 2-year-olds.
- Above that, it does tend to equivalate to
- 20 adults. But it's definitely in that 2- to 3-year-
- 21 old range that we do see differences, which is
- 22 obviously related back to maturation within that

- 1 that is attempting to copy, in a way, the existing
- 2 product that's hopefully very successful in
- 3 patients in the marketplace.
- 4 DR. SHERWIN: So something that we see
- 5 within our institution is, particularly for one of
- 6 the studies that we're doing right now, looking at
- 7 Botox for children with muscular spasticity.
- 8 We've seen, actually, a difference in
- 9 adverse events within the difference between the
- 10 brand and the generic. So there's something that
- 11 we're seeing within a pediatric population that
- 12 hasn't actually been seen much in adults.
- We've been looking at other specifics and
- 14 other drugs that we see differences in. Some of
- 15 the antibiotics that we see used within our younger
- 16 patient population is different to what we see in
- 17 our older patients. So within our neonatal
- 18 population, things like vancomycin, we see a
- 19 difference within the kinetics, depending on
- 20 whether the doctors are ordering the brand versus
- 21 the generic. And we have had reports from our
- 22 doctors.

- 1 patient population.
- DR. CONNER: Could you give a little bit
- 3 more detail on the differences? And I won't go to
- 4 therapeutic substitution, which is not a generic
- 5 drugs issue, because that's a substitution that's
- 6 made by the physician by writing a new order, a new
- 7 prescription.
- 8 So it has all of the medical monitoring that
- 9 any other prescription would have but the generic
- 10 substitution, which does not necessarily involve
- 11 the physician, but is done at the pharmacy or
- 12 institutional level.
- Also I'd like your ideas on if, you know for
- 14 the generic substitution or generic products, if
- 15 you feel that there isn't enough information in
- 16 pediatrics, what would your suggestions be about
- 17 going and getting it? Knowing that the generic
- 18 product, when it comes in as a new application, has
- 19 probably never been --
- DR. SHERWIN: Never been used.
- DR. CONNER: -- published or seen or is the
- 22 world literature. It's a brand-new formulation

- The way that we have been addressing this is
- 2 actually pulling data from our large electronic
- 3 data warehouse. And we pull information on whether
- 4 the patient had the brand, whether they had the
- 5 generic.
- We look at the outcomes. We look at when
- 7 did they switch? Why did they switch? What were
- 8 the differences? What were the indications? Was
- 9 there a therapeutic reason to switch? Was there a
- 10 concern from the patient about which drug they were
- 11 on?
- 12 It's hard for us. We don't actually work on
- 13 the outpatient side, where I think there's actually
- 14 probably a lot more of these concerns within the
- 15 patient population from parents. And we do get
- 16 that back through our patient pharmacy therapeutics
- 17 committee, but we don't see it much. I see more
- 18 the inpatient side.
- DR. CONNER: It seems to me some of the
- 20 examples you just cited were injectables.
- DR. SHERWIN: Um-hmm.
- DR. CONNER: The vancomycin, I assume you

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- 1 mean the injectable use of vancomycin.
- 2 DR. SHERWIN: Um-hmm.
- 3 DR. CONNER: Another one, the Botox, I think
- 4 is an injectable as well.
- 5 DR. SHERWIN: Um-hmm. Injectable, yes. IM.
- 6 DR. CONNER: So a generic of that would be
- 7 virtually identical as far as its inactive
- 8 ingredients.
- 9 DR. SHERWIN: Um-hmm.
- DR. CONNER: The active ingredients -- I
- 11 think the Botox is a somewhat complex drug
- 12 substance.
- 13 DR. SHERWIN: Yes.
- DR. CONNER: So your problem, if it exists,
- 15 could be there. But as far as generics go, they're
- 16 essentially a very simple approach of trying to
- 17 copy, literally copy --
- 18 DR. SHERWIN: Copy.
- DR. CONNER: -- an injectable point by point.
- DR. SHERWIN: Yes.
- 21 DR. CONNER: Unlike some oral products,
- 22 where you have different excipients.

- 1 indication -- sorry -- reason for switching?
- 2 DR. SHERWIN: Yes. A lot of the doctors
- 3 have to write -- if they change from one specific
- 4 drug brand to another, and especially if there's a
- 5 cost association, they actually have to justify why
- 6 they make that change.
- DR. PINHEIRO: Great. Thank you.
- 8 DR. LIONBERGER: All right. Thank you very
- 9 much.
- 10 Sorry. Ruth?
- DR. BARRATT: I have one question, Rob. So
- 12 these are a lot of suggestions, and quite varied
- 13 type of studies that you're suggesting.
- 14 DR. SHERWIN: Of course.
- DR. BARRATT: So trying to wrap my brain
- 16 around this to -- do you have any sense of, if not
- 17 priorities or areas where you can make the most
- 18 impact, maybe top two? Because it could be
- 19 surveys, it could be EHR.
- 20 DR. SHERWIN: Yes.
- DR. BARRATT: It could be assays. It could
- 22 be palatability studies.

- DR. SHERWIN: You have absorption and
- 2 everything else. Yes. And that's something that
- 3 we're looking at, is with regards to excipients
- 4 within the neonatal population in particular, that
- 5 is of concern, is the excipients that are used
- 6 within the formulations. I don't do that much in
- 7 oral drugs because I am working in neonatal
- 8 populations, so we typically are using more IV and
- 9 IM.
- But there are ones where we still have
- 11 concerns, mainly from the clinicians who say, I
- 12 don't want to give my patient this brand of
- 13 tacrolimus because I want to use the generic. Or
- 14 you have the opposite. I have one doctor who will
- 15 only use the generic, will not use the brand. So
- 16 we get differences in perception which I think come
- 17 from the clinicians in their obviously own
- 18 experience.
- DR. PINHEIRO: Just a quick follow-up on
- 20 what you mentioned earlier. Did you say that in
- 21 the databases that you've been considering, you
- 22 have information on the

- 1 DR. SHERWIN: Yes. So my priority would be
- 2 particularly with the medicines where there are
- 3 high costs to the family and looking to provide, I
- 4 guess, confidence within the fact that the generic
- 5 is going to work within the pediatric population.
- We have a lot of very expensive brand drugs
- 7 that are used. Kalydeco is one used for CF that is
- 8 tremendously expensive. Lupron is another one that
- 9 I'm actually already doing with the FDA which is
- 10 tremendously expensive.
- So any information that we can gain for,
- 12 one, either working towards having a generic
- 13 available or, two, providing confidence, if there
- 14 is a generic available, for the clinicians to use
- 15 those within a pediatric population.
- The argument I get back is, well, it's never
- 17 been tested in children. It's a generic. Why
- 18 would I use it in my patients? So I think we need
- 19 to provide that confidence and that evidence to the
- 20 clinicians.
- 21 DR. LIONBERGER: All right. Thank you very
- 22 much.

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- 1 (Applause.)
- 2 DR. LIONBERGER: So our next speaker is Dr. Ajaz
- 3 Hussain, who is representing NIPTE. Presentation –
- 4 Ajaz Hussain
- 5 DR. HUSSAIN: Good morning. The discussions
- 6 earlier today, I think, highlighted some very
- 7 important aspects. So I wanted to start with
- 8 summarizing some of my takeaway from listening to
- 9 those discussions.
- Drug shortages expected to continue was one
- 11 of the messages Dr. Fischer said, and that we need
- 12 a plan to deal with that in a more efficient basis.
- 13 I'm a pharmacist by training, and I think NIPTE
- 14 focuses on pharmaceutical technology and education
- 15 from a pharmaceutical technology perspective. A
- 16 clinical community thinking about planning to deal
- 17 with drug shortages on an ongoing basis is not an
- 18 acceptable situation.
- 19 I think confidence in substitution has been
- 20 a work in progress, and Rob Lionberger's talk
- 21 really highlighted some of the significant advances
- 22 Office of Generic Drugs has made in this area. And

- 1 experiments that we did next were sorbitol and
- 2 excipients came out of that sort of analysis. So
- 3 that knowledge base is not really available often
- 4 for us.
- 5 I think keeping that in mind, what I would
- 6 like to do is really build in the point that drug
- 7 shortages are often due to manufacturing
- 8 difficulty. I think when I was at FDA 2002,
- 9 looking at those reasons for shortages are the10 same.
- 11 Manufacturing difficult is the foundation.
- 12 And manufacturing assessment is based on QC
- 13 methods. So you cannot ignore QC methods. You
- 14 cannot ignore formulation even when you're
- 15 developing bioequivalence methodologies for
- 16 assessing these things. So that's the heart of the
- 17 issue here.
- So again, good morning. My name is Ajaz
- 19 Hussain. And I represent NIPTE as their president.
- 20 I work, just for disclosure, devote 50 percent of
- 21 my time to NIPTE and 50 percent of my time is a
- 22 consulting practice which is completely focused at

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- 1 I think it is work in progress, and we need to do
- 2 more in that area.
- 3 I think the point that -- Dale Conner asked
- 4 that question; I want to hone in on that question
- 5 to frame the talks that NIPTE wish to share with
- 6 you -- is clinical relevance of QC methods. We
- 7 cannot ignore that question. It is part and parcel
- 8 of everything we do. And every method we may
- 9 develop for bioequivalence, there is a built-in
- 10 assumption that the product you're using is the
- 11 right product for that method.
- What we have learned, especially -- Gordon
- 13 is not here, but Gordon, before Dr. Amidon's
- 14 sabbatical, I was with him at FDA then. The
- 15 advantage we had was, we had the biopharm filing
- 16 room right next to my office. We were able to
- 17 review every NDA application that was submitted for
- 18 the BCS guidance finalization.
- What we found was that dissolution is
- 20 product-specific, formulation-specific. Seventy
- 21 percent of the time it's over-discriminating, but
- 22 30 percent of the time it's not. And the

- 1 the moment on complex generics and biosimilars.
- 2 And following my FDA tenure, I had an opportunity
- 3 to work for Sandoz Biopharmaceuticals, leading
- 4 their biocomplex generics and biosimilar program.
- 5 In my practice for the last 10 years, I just
- 6 wanted to share with you one definition of
- 7 complexity. I think we think about complex dosage
- 8 forms. I think that's a good way of looking at it.
- 9 But complexity depends on available knowledge and
- 10 available expertise.
- So if I think about something which is
- 12 complex, something which is complicated, something
- 13 which is simple, something which is complicated,
- 14 good practices work for that. Something which is
- 15 simple, best practices work for that. Something
- 16 which is complex, you have emerging practices.
- 17 Good practices don't work for complex systems. So
- 18 the development and assessment has to reduce the
- 19 complexity to be complicated so that good practices
- work.With that in mind, let me quickly share with
- 22 you some thoughts. Quickly, NIPTE is a 501(c)(3)

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- 1 non-profit organization. There are 15 schools, and
- 2 the 16th school will be joining, and Ken Morris
- 3 represents the new school that is joining up. So
- 4 we bring together pharmacy and engineering and
- 4 We bring together pharmacy and engineering and
- 5 medical schools especially to focus on improving
- 6 quality, lowering cost.
- 7 It is completely funded by FDA through a U01
- 8 grant so far, so I think we want to acknowledge FDA
- 9 funding. And we made it a point to come to this
- 10 discussion without focusing that NIPTE should be
- 11 funded for these products. So we wanted to have a
- 12 general discussion for this.
- The point I think is important to remember
- 14 is, US FDA strategy response to maximizing how
- 15 generics meet public health needs is really fairly
- 16 well-articulated. I think that Rob Lionberger's
- 17 presentation on how he's progressing is very
- 18 impressive. And I think looking at the points
- 19 Dr. Woodcock made at the recent congressional
- 20 testimony on the 4th of February, first, generics
- 21 is a public health priority. And I think that's an
- 22 important element.

- 1 and recalls. Even if we do 95, 97 percent of our
- 2 job fantastic, nobody is going to give us credit
- 3 for that. They will count the mistakes we make.
- 4 Unfortunately, that's what we have to deal with,
- 5 and I think we are up to that challenge.
- 6 I think stark reminder of the perception
- 7 impact, I think, is the color and shape guidance
- 8 that FDA had to finalize, and the impact it has on
- 9 patient perceptions.
- 10 Totality of evidence is increasingly the
- 11 dominant part for complex generics. Complexity is
- 12 increasing generally. And I'll urge you to think
- 13 about complexity as emerging practices. You have
- 14 to reduce complexity to be complicated for good
- 15 practices to work. And therapeutic equivalence
- 16 increasingly demands notable attention to
- 17 integration of product and process, design with
- 18 orthogonal analytics in vitro, and when necessary,
- 19 in vivo.
- 20 Without that integration, the risk of making
- 21 incorrect decisions is high. Knowledge base and
- 22 decision-making process pertaining to integration

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- 1 GDUFA 2 negotiations, thinking of pre-ANDA
- 2 process; clearly pre-ANDA process will not likely
- 3 to be available for every applicant because sheer
- 4 volume of that. Pre-ANDA is an opportunity in one
- 5 sense, like end of phase 2 meeting on the new drug
- 6 side. So think about that. I think Ken Morris
- 7 will cover on that.
- 8 I think today we are here for looking at
- 9 prioritization of research at this meeting, but I
- 10 also wanted to emphasize the need for additional
- 11 regulatory -- regulation is the words Dr. Woodcock
- 12 used, but I say better assurance of quality in an
- 13 increasingly globalized industry. One voice of
- 14 quality is another major opportunity, and all these
- 15 pieces really need to come together.
- So in the challenges, you have organized
- 17 this conference very well. I'm not going to go
- 18 through this slide, but I think the NIPTE
- 19 presentations you have are covering multiple
- 20 aspects of the topics that you have outlined.
- The key aspect I think I want to emphasize
- 22 is public perceptions are shaped by the few errors

- 1 of evidence really is the topic we wish to share
- 2 with you as important considerations as you think
- 3 about your program going forward.
- 4 Some examples, simply some examples I wanted
- 5 to share with you. I think if I look at the
- 6 guidance on methylphenidate hydrochloride, we had a
- 7 setback. We came with the modified guidance. And
- 8 then we have involved or incorporated subject by
- 9 formulation interaction as a requirement in terms
- 10 of the bioequivalence.
- 11 Is that the right question to ask? I don't
- 12 have an answer for that, but having spent a lot of
- 13 time thinking about subject drug formulation
- 14 interaction during my FDA days, isn't formulation
- 15 science a better answer, would be a question I
- 16 would like you to consider. I think if I look at
- 17 mesalamine, the draft guidance is asking for the
- 18 applicant to provide high variability and
- 19 bioequivalence parameters.
- 20 I'm going back and looking at the work of
- 21 Cindy's lab in St. Louis, when I was there, we did.
- 22 I think the mechanism for the variability can be

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- 1 identified. Isn't there a better way of dealing
- 2 with that and integrating the formulation science
- 3 aspects to this?
- 4 I think I had an opportunity to guide a
- 5 client through the first approval of the nasal
- 6 spray product that I'm talking about. I think this
- 7 is the right question, the right time, can be
- 8 significant benefit here.
- 9 So I think need for integration and clarity
- 10 is important from these aspects. And I will skip
- 11 through a number of things to go back to the
- 12 summary slide, maybe, to think about the totality
- 13 of efforts that need to go in.
- 14 I think the regulatory science agenda,
- 15 really, if you -- I request you to consider
- 16 locating a portion of your funding and
- 17 prioritization to knowledge base and standards for
- 18 integration development across the product class
- 19 categories that you have.
- 20 I really would leave it at that to say that
- 21 to achieve the public health objective of first
- 22 generics right on time, right question at the right

- 1 high areas and then think about that. We tend to
- 2 focus on excipients only in terms of oral, but
- 3 excipients get more and more important for topical,
- 4 inhalation dosage form and so forth. That would be
- 5 one area.
- 6 The other area, really, I think, from
- 7 knowledge management is, I think, what are the
- 8 right questions to be asked at the right time? I
- 9 think, given that we are using more analytics,
- 10 especially, I think, if I look at my thought
- 11 process in helping Sandoz go through first in/last
- 12 out. We have to use orthogonal analytics to
- 13 characterize the RLD and show similarity. Those
- 14 analytics are above and beyond those of compendial
- 15 and other trace requirements. That opens companies
- 16 and FDA vulnerable to challenge, continued
- 17 challenge.
- So what is the right knowledge base
- 19 of -- what knowledge base guides us through what
- 20 are the right analytics and how do we address that,
- 21 is another example. But I think integrating the
- 22 pieces together is something we struggle. We often

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- 1 time is necessary. One voice of quality. And we
- 2 believe the missing element here is the integration
- 3 and knowledge management that needs your
- 4 consideration. Thank you
- 5 DR. LIONBERGER: Thank you very much.
- 6 So can you clarify a little bit about what
- 7 types of knowledge you'd see in this?
- 8 DR. HUSSAIN: Sure. I think immediate high
- 9 priority in terms of that would be what we have
- 10 been looking at. Just based on your research, I
- 11 think you're looking at Q1, Q2, Q3 aspects. And
- 12 actually moving away from Q3 aspects for inhalation
- 13 and so forth are important.
- So the knowledge base that is missing there
- 15 is the excipients. Our excipients are controlled
- 16 based on certificate of analysis that actually do
- 17 not tell you anything about the functionality.
- 18 Therefore, in knowledge base of excipients and how
- 19 to use those excipients in those settings would be
- 20 important.
- 21 I think excipient knowledge base is
- 22 important all across, but one can prioritize to the

- 1 tend to be focused on one particular area. Cutting
- 2 across and connecting the dots across multiple
- 3 disciplines is a challenge, and I think we can do
- 4 some significant focused efforts there.
- 5 DR. BOAM: Do you have any thoughts about
- 6 how to make this knowledge base visible to
- 7 everybody in the sense that for standards we have
- 8 our guidances, or we have it at the USP or ASTM?
- 9 Obviously, for knowledge we've often relied
- 10 on publications, the literature, I would say. But
- 11 how would you envision making knowledge a little
- 12 bit more transparent, maybe? Or what's important
- 13 the literature and what isn't, or et cetera?
- DR. HUSSAIN: I think literature clearly is
- 15 part of the knowledge base, but it's not
- 16 sufficiently specific to help guide informed
- 17 development and other decisions. Draft
- 18 guidances -- guidances are knowledge summaries.
- 19 And if I simply make an -- to take an example, that
- 20 every dissolution method you recommend in your
- 21 draft guidance is formulation-specific, is derived
- 22 from that of the RLD or what's in the USP, the

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- 1 generic industry has no choice but to use that as a
- 2 target. Then they stop thinking about, is that
- 3 method specific to this formulation? Or my
- 4 formulation would be dealt with that.
- 5 So if we can think about your draft
- 6 guidances, if you can think about a summary
- 7 scientific assessment, scientific knowledge base
- 8 that could be a white paper that gets associated
- 9 with that, it could be specifically targeted for
- 10 each of those guidances. What are the other
- 11 scientific considerations?
- Or it could be, I think, as Ken Morris will
- 13 talk about that in more detail, is it could be
- 14 computerized information system which has the
- 15 repository of data, but also the rules of what are
- 16 the guestions to be asked, what's the logic, and
- 17 going in the direction of an expert system also.
- So there are different ways of looking at
- 19 that. And where you start from and where we want
- 20 to go will depend on, I think, what topic we choose
- 21 to work on that.
- DR. LIONBERGER: Thanks very much, Ajaz.

- 1 critical element. Characterization, we talked
- 2 about analytical recently. Prior knowledge in the
- 3 literature and in scientific meetings. And then
- 4 all of the approval and compliance decisions that
- 5 come into play. So all these combined, obviously,
- 6 as we've been talking about, make it a very complex
- 7 area.
- The issues are broad, and the ones I'm going
- 9 to try to talk about relate to fundamental
- 10 understanding, and specifically the bullets, the
- 11 ones with the lines. The structure, obviously I'm
- 12 going to try to hit solid state chemistry,
- 13 reactions that can occur, as well as the components
- 14 like the excipients. And then the design, the
- 15 entire design of the formulation, structure,
- 16 performance, behavior, all of those issues.
- Listed with the bullet points are four areas
- 18 of a special concern, the idea that acid-base
- 19 reactions can occur, especially with drugs and
- 20 excipients; the whole nanoparticle field; emulsion
- 21 formulations that Dr. Pujara already covered; and
- 22 control of these complex formulations.

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- So our next speaker is Professor Stephen
- 2 Byrn from Purdue University, also representing
- 3 NIPTE.
- 4 Presentation Stephen Byrn
- 5 DR. BYRN: Thank you very much. I'm going
- 6 to try to embellish on some of the questions that
- 7 were just asked, really, one about knowledge
- 8 management and one about specific areas of
- 9 investigation. I'm also going to try to hit a high
- 10 point on the pediatric formulations.
- So the overall title of this part of the
- 12 NIPTE presentation is, "A Mechanism for an
- 13 Integrated Approach of Formulation Research,
- 14 Knowledge Management and Knowledge Sharing, being
- 15 proposed and advanced by NIPTE."
- We don't probably need to spend tons of time
- 17 on this slide. This slide is just highlighting a
- 18 complexity of formulation science. On the one
- 19 hand, we have performance issues, reliability,
- 20 formulation stability, bioavailability, safety, and
- 21 then on the other hand, we have processes.
- The design of the formulation, I think, is a

- 1 Just some additional issues. Pediatrics,
- 2 stability, failure modes are often not fully
- 3 explored. We've been doing guite a bit of failure
- 4 mode work in the abuse-deterrent area, but still
- 5 generally they're not fully explored. And then
- 6 Dr. Morris is going to cover the question-based
- 7 review and the right questions at the right time.
- 8 So this slide is a summary of what I'm going
- 9 to present in the next few slides. It highlights
- 10 complex or problem formulations that we know about.
- 11 It's a lesson that can lead us to more
- 12 understanding as we go into the future. We don't
- 13 want to forget about history, is what I'm saying.
- So on the controlled release side, we've got
- 15 both the bupropion, Wellbutrin, which we've already
- 16 heard a little bit about, and the methylphenidate17 area.
- On the emulsion-base formulations that have
- 19 already been covered, we had the pretty well-known
- 20 Neoral situation. We have the nanoparticle side.
- 21 And then there's tremendous interest in BCS
- 22 Class II, using the old system of formulations of

- 1 those products, because those, especially in the
- 2 antiviral area, those are tremendously important
- 3 products. And of course, we're curing some
- 4 antiviral diseases now with BCS Class II products.
- 5 And then I already mentioned failure mode.
- So I'm going to go into some specific
- 7 historical examples. Some of these have been
- 8 addressed earlier, and these are quite interesting.
- 9 This is the Neoral case. And you can see the first
- 10 vial on the left is Neoral in water. And a second
- 11 vial is another product in water. And you can see
- 12 the particle size is tremendously different in
- 13 those two vials.
- 14 This reminds me of a quote from Yogi Berra
- 15 where he said, "I can observe a lot by just
- 16 watching."
- 17 (Laughter.)
- DR. BYRN: Okay. So we can see a lot about
- 19 the particle size by just watching these two. And
- 20 if we got to apple juice, you see the same, a big
- 21 difference. And then more similarity in the last
- 22 two vials.

- 1 I guess she's about 10 or 12. And the ad is quite2 interesting.
- These are two products now. They're
- 4 bioequivalent, Metadate and Concerta. And what
- 5 they're advancing on this ad is that the Metadate
- 6 is better blood levels in the critical learning
- 7 areas. So it's again -- and these two are
- 8 structurally different formulations. The Metadate
- 9 is beads, coated beads, and the Concerta is an oral
- 10 formulation.
- 11 Of course, there's tremendous -- there has
- 12 been historically quite a bit of internet traffic
- 13 on which of these formulations work best in adult
- 14 ADHD patients. And perhaps it's related to these15 levels.
- This is not a scientific study, it's an
- 17 advertising study, but it's pretty interesting to
- 18 see what people are advancing as different blood
- 19 levels from different structures of formulation.
- 20 Just a structural difference in the way they work,
- 21 really.
- Here's the famous ritonavir case.

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- 1 Clearly, there's a structural particle size
- 2 variation of the type that Dr. Pujara was talking
- 3 about. We need to understand that better. We need
- 4 to understand how those formulations are performing
- 5 and what role the particle size. And Dr. Morris
- 6 will talk about QbR related to that.
- 7 This is a famous bupropion/ Wellbutrin case.
- 8 In that case, one thought is that it's structurally
- 9 related to the two different formulations. The XL
- 10 300 bupropion dose-dumped, whereas the Wellbutrin
- 11 formulation, which was made by different technology
- 12 and had a different structure, the membrane
- 13 technology did not dose-dump.
- Again, QbR questions in that area and just
- 15 specifically trying to figure out what the
- 16 structure of those two formulations are, the
- 17 manufacturing, and how those parameters lead to
- 18 different behavior.
- Here is one on the pediatric side. This we
- 20 found in a magazine in one of our children, when we
- 21 took one of children to the doctor. We found this
- 22 ad in a magazine. And here we have a young lady.

- 1 Ritonavir, a very important anti-HIV drug, the
- 2 Magic Johnson drug, crystalized in Form II. After
- 3 a year and a half on the market -- this is about 15
- 4 years ago, and had to be -- the original
- 5 formulation had to be withdrawn, and there was
- 6 about a year delay. And if we go through, I'm
- 7 sorry this isn't a very good picture on the right,
- 8 but it's similar to that Neoral case.
- 9 The bottom flask is the magic surfactant
- 10 that creates -- when you dissolve this formulation,
- 11 it creates a clear solution, which would be very
- 12 small particle size. The top two vials, or
- 13 Erlenmeyer flasks, are dissolution experiments
- 14 where the product results in an opaque solution,
- 15 again similar to the Neoral.
- The bottom product is purported to be better
- 17 and gives higher blood levels. And there's a lot
- 18 of discussion about precipitation in the GI tract
- 19 and so on. Again, a very complex formulation
- 20 that's even affecting precipitation in the GI
- 21 tract.
- 22 Finally, just a quick picture. This is a

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- 1 picture of Abraxane, a very important drug for
- 2 breast cancer. Completely cartoon. From what I
- 3 can tell in the literature, we don't know what the
- 4 structure of that particle is, but this is an
- 5 advanced concept of what the structure might be.
- 6 Again, there will be generic products to Abraxane
- 7 in the future, and we need to know more about that
- 8 product.
- 9 I'm going to skip this one and go to a
- 10 conclusion. Here's my summary slide. So I've been
- 11 trying to address the mechanism for an integrated
- 12 approach. And down at the bottom bullet are some
- 13 deliverables that we believe NIPTE can bring to
- 14 bear, and it was related to some of the questions.
- How are we going to develop this scientific
- 16 information? One would be either targeted white
- 17 papers or publications, "what if" scenarios,
- 18 scenario based research, transdisciplinary
- 19 elaboration to inform question-based review; and
- 20 then, two key elements -- a training program, and
- 21 we envision NIPTE to become the curated knowledge
- 22 base for formulations, probably a web-based system,

- 1 be on a website, like the pharmaHUB. It would be a
- 2 combination of white papers, studies.
- 3 I can't get out of my head the idea that
- 4 therapeutically, like the conazoles -- so all that
- 5 antifungal conazoles, I can't get it out of my head
- 6 that those formulations might be somewhat similar.
- 7 So one structure would be based on drugs that hit
- 8 certain targets. We would classify those all
- 9 together, and we would have a white paper or
- 10 something on formulations.
- On the emulsion side, we would break from
- 12 that and go straight to emulsions, I think, like
- 13 Dr. Pujara proposed. So we would have -- and
- 14 Dr. Munson is going to talk about analytical
- 15 strategies. For example, NMR is very powerful for 16 emulsions. So that would be an aspect also. So I
- 17 could envision white papers in these different
- 18 areas, but I think this is all evolving.
- DR. UHL: Okay. Thank you.
- DR. LIONBERGER: In your talk, you have a
- 21 bunch of somewhat older examples of product issues.
- 22 What do you think -- how do you think this

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- 1 although this is evolving.
- 2 So I'll stop right now. And again, thanks
- 3 very much for inviting me.
- 4 DR. LIONBERGER: Thank you.
- 5 DR. UHL: Could you expand a bit more about
- 6 the knowledge base aspect? I know Cindy had a
- 7 question, too. So what I heard -- because I
- 8 appreciate you mentioning -- what you just said
- 9 expands a little bit on what Ajaz just said.
- 10 DR. BYRN: Right.
- DR. UHL: Because I'm trying to wrap my head
- 12 around, what would that look like? Who would own
- 13 it? How would it be available? These are just --
- 14 DR. BYRN: Sure.
- DR. UHL: How would you get the data to
- 16 populate in the first place? So if you could
- 17 just -- any kind of thinking you guys have related
- 18 to this.
- DR. BYRN: Sure. And other people can
- 20 elaborate on this, and it's an evolving concept.
- 21 We're academics, so we're open literature
- 22 production. So we view it would be open. It could

- 1 knowledge base would address the -- prevent or
- 2 address those type of, say, formulation failures
- 3 that you tried to illustrate?
- 4 DR. BYRN: Sure. Especially in the emulsion
- 5 area, like Dr. Pujara said, we don't know where the
- 6 drug is, even. Is it in the oil droplet? Is it in
- 7 the micelle? Between the components and the
- 8 micelle? As he pointed out, it's equilibrating,
- 9 potentially. What controls the particle size of
- 10 that material? All of that is critical I think.
- 11 So we would address all of those issues.
- 12 I think some of these old ones that I showed
- 13 probably we know more about, but certainly in the
- 14 emulsion side we don't know much more. And I don't
- 15 think we know much about the nanoparticles, either.
- DR. CONNER: One of your examples, you made
- 17 the statement that Metadate CD is bioequivalent to
- 18 Concerta, I believe. That's simply not true.
- DR. BYRN: Okay. Okay, great.
- DR. CONNER: It's not rated that way. And I
- 21 was checking the orange book just to make sure that
- 22 my memory is --

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- 1 DR. BYRN: Yes, okay. Pardon me, yes.
- 2 DR. CONNER: Yes, they are two separate
- 3 RLDs, two separate NDAs. No one has every claimed
- 4 they are bioequivalent or switchable in any way.
- 5 DR. BYRN: Okay. Good catch. Good catch.
- 6 DR. UHL: Right. But they're two separate
- 7 RLDs, so they're competitors, and one is
- 8 advertising its --
- 9 DR. BYRN: Well, that's why the ads are out
- 10 there.
- DR. CONNER: Which makes your example make a
- 12 lot more sense.
- 13 DR. BYRN: Yes. Yes.
- DR. CONNER: Because there are two NDA brand
- 15 name products competing again one another. This
- 16 one's saying, we have a better profile than that
- 17 other one that you might prescribe. But it's not
- 18 like a generic issue.
- DR. BYRN: Good point.
- 20 DR. BUHSE: So I think this actually brings
- 21 up -- enhances the question that I was going to
- 22 ask, is that -- and I'd like to ask a little bit

- 1 formulation strategies coming in the future are;
- 2 how the agency could gain education in that area so
- 3 when submissions come, people are well aware of how
- 4 those formulations work, how they're designed, what
- 5 their structure is, et cetera.
- 6 DR. BUHSE: Thanks for that question. So
- 7 that's the content of the training?
- 8 DR. BYRN: Yes.
- 9 DR. BUHSE: What's your thinking of the
- 10 format of the training?
- DR. BYRN: Yes, we've been discussing that
- 12 also. There's a little bit of a discrepancy. We
- 13 don't want to do it all distance. We may want to
- 14 have either all live or a combination of live and
- 15 distance.
- DR. BARRATT: A question. So who exactly is
- 17 the audience for all of this training?
- DR. BYRN: So we envision as both the FDA
- 19 and industry. And I just want to add a comment.
- 20 It's clear I'm going to be in level 3, not in
- 21 generics 101. I'm going to be one of the
- 22 instructors.

- 1 more about the training programs you suggest
- 2 because I think in a variety of presentations we've
- 3 had today, there still seems to be some sort of
- 4 fundamental misunderstanding about what a generic
- 5 is versus a therapeutic equivalent. When is
- 6 something signaled as substitutable?
- 7 It sounds like that we would benefit from
- 8 some training external to the agency space on these
- 9 issues. Can you talk about whether your training
- 10 programs would incorporate that type of training,
- 11 or what else you meant?
- DR. BYRN: So we're thinking of three tiers
- 13 of training. The first tier would be what you're
- 14 talking about, general generics, the whole generics
- 15 101. And then the second tier that we're thinking
- 16 about is formulation base, general formulation,
- 17 understanding substitutions, salt switches, things
- 18 like that.
- Then the third tier would delve into some of
- 20 these more complex issues related to, say,
- 21 structure; formulations; why they would work this
- 22 way or that way; how you vary that; what the new

- 1 (Laughter.)
- 2 DR. UHL: So to expand on that
- 3 though -- because, Ruth, that's a good question.
- 4 And your answer was FDA and industry.
- 5 DR. BYRN: Yes. Yes.
- 6 DR. UHL: Those are two huge buckets.
- 7 DR. BYRN: Right. Exactly.
- 8 DR. UHL: So do you have more targeted ideas
- 9 or --
- DR. BYRN: Well, we have a hundred profs in
- 11 NIPTE, so we think we have capacity to handle quite
- 12 a bit. But our strategy would be to start small
- and maybe start a few buckets and build up.DR. LIONBERGER: All right. Thanks.
- 15 Anyone? I think it's time for lunch. We will
- 16 reconvene at 1:00 p.m. for the afternoon session.
- (Whereupon, at 12:02 p.m., a lunch recess
- 18 was taken.)
- 19
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AFTERNOON SESSION

2 (1:01 p.m.)

3 DR. LIONBERGER: Welcome back, everyone, to

- 4 our afternoon session. It's my intention to start
- 5 on time, end on time. I know it's Friday
- 6 afternoon, and if you didn't get a chance to go
- 7 outside, it's a beautiful day outside. I hate to
- 8 tell you that, but --
- 9 So our first speaker for the afternoon
- 10 session is Professor Ken Morris from Long Island
- 11 University, also representing NIPTE. So welcome,
- 12 Ken.

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- 13 Presentation Kenneth Morris
- DR. MORRIS: Thanks, Rob. Good afternoon,
- 15 everybody, and thanks very much for the invitation,
- 16 Rob and NIPTE. So I'm going to continue discussing
- 17 some of the themes that Ajaz and Steve discussed
- 18 before break, but drilling down a little bit more.
- 19 And this is still focusing on the idea that QbR is
- 20 really an organizing principle that I'll try to put
- 21 in context of the larger theme that we've been
- 22 discussing.

- 1 because those are the principles that have to be
- 2 adhered to, to have a quality product.
- 3 The question-based review allows you to
- 4 populate the network and populate the framework of
- 5 QbD. And that's its highest and best use, in my
- 6 opinion. And all of that is captured at best, or I
- 7 should say should be captured at best, in the
- 8 development report, which requires that you
- 9 have -- and this is really the heart of QbR and
- 10 QbD, as far as I'm concerned -- which requires that
- 11 you actually have a good, sound scientific
- 12 rationale so that you can apply the fundamental
- 13 principles, prior knowledge, and heuristics to
- 14 justify and explain what it is that you are
- 15 designing into your dosage form.
- The Q8 and Q6 principles are therefore
- 17 implicit. And I think, actually, after we had
- 18 prepared all this, there was an announcement
- 19 specifying a little bit more, or lending a little
- 20 more specificity to how Q8 and through 9 and 10 are
- 21 applied.
- Then you create the knowledge base. So some

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- So I'll start with a quote from Janet
- 2 Woodcock at the same -- I think the same testimony
- 3 that Ajaz was talking about. And one of the things
- 4 she mentioned, or highlighted actually, was in
- 5 ongoing challenges for generics is that there's a
- 6 need for more research in the space, and that some
- 7 drugs lack generic competition because there's no
- 8 convincing bioequivalence test method available.
- 9 Similarly, methods for showing chemical
- 10 sameness for certain complex drugs are not
- 11 available. And I'll show an example that is an
- 12 apparently simple compound that turns out to be
- 13 more complex, but something that I think some of
- 14 you in the room are familiar with.
- So what does it mean to say that QbR could
- 16 be an organizing principle? I'll start by saying
- 17 that QbR and QbD are not independent. They're
- 18 really joined at the hip. And I know that, as
- 19 Lawrence and Ajaz and Rob were formulating the QbR
- 20 approach, it was never intended to be separated
- 21 from QbD. QbD is the framework within which we all
- 22 have to be developing our formulations and products

- 1 of the questions earlier this morning had to do
- 2 with knowledge base, and I'll try to address them
- 3 relatively quickly. But the development report and
- 4 development history has to be a living document
- 5 because you don't want to restrict companies from
- 6 improving things because of any barrier, real or
- 7 perceived, in improving their methods.
- 8 So this is complementary to what Ajaz was
- 9 talking about with respect to analytical methods
- 10 that are a generation or so behind the existing
- 11 state of the art that restricts you from improving
- 12 your product, potentially.
- Then the idea that you can use new but also
- 14 prior knowledge to make decisions requires again
- 15 that you have a complete history, or at least as
- 16 complete as possible history, of the project
- 17 itself. This will also help you in capturing the
- 18 failure modes, and it will facilitate the sharing
- 19 of the knowledge between FDA in both review and
- 20 inspection wings, because we've done this.
- 21 Some people had asked -- and I can't
- 22 remember who now; you've all asked a lot of

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1	questions, good questions, about the	1	If you look at the structure I don't know
2	training but we had done training for PAT when	2	how well you can see this in the light, but so
3	the PAT guidance came out. We had done unit	3	this is the chemical the crystal structure, I
4	operation training; Chetan Pujara was part of that	4	should say. The chemical structure's on your
5	at the time. And those were very successful	5	right. The crystal structure shows that this is in
6	groups. And I think the premise should be	6	fact a channel hydrate, so it can pick up and lose
7	included, or should persist, but there are other	7	water.
8	mechanisms by which we can share knowledge as well.	8	What we also found was that depending on the
9	Let me give you the example I was talking	9	conditions of dehydration there's one of the
10	about. So this is from there were a couple of	10	students from LIU is working on the continuation of
11	advisory committees we had when I was on ACPS on	11	this project in the audience actually if you
12	levothyroxine. And this one just highlights the	12	dehydrate this under certain conditions, the
13	fact that for levothyroxine, there was a very small	13	packing motif, that is, the way the molecules pack
14	window. It's a narrow therapeutic index compound	14	in the crystal structure don't change, so that
15	by classification, that is, pharmacologic	15	leaves the pathway open, essentially, for small
16	classification. And very small changes in the	16	molecules, particularly gases, to infiltrate the
17	potency would potentially cause very large changes	17	crystal structure.
18	in the patient outcome.	18	So what you see here is from a publication.
19	So Eric Duffy had compiled all the data.	19	And you can see on the left-hand side that in fact,
20	And you can see that between manufacturers, as well	20	when you don't dehydrate, when you maintain it,
21	as within manufacturers, the intra-manufacturing	21	fine. You take a crystal and put it on the bench,
22	data was showing a broad variety of behavior. And	22	it's fine. And that's what the curves on the upper

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1 the question then arises, well, how do you approach

2 a project like that?

Well, you start with the molecular

4 structure, of course, and then you build on that

5 and look at the structure of whatever the condensed

6 phase is you're working with, and extract whatever

7 knowledge that you can from that, and then proceed.

So if we look at the existing literature at

9 the time -- actually, before the time -- Steve

10 Byrn's book, which is sort of a seminal reference,

11 of course, had pointed out that if you had

12 compounds that were hydrated, and levothyroxine is

13 a pentahydrate, that desolvation could precede

14 oxidative degradation. So that was known. So I

15 would have hoped that we would have found that.

We had published work classifying the

17 hydrates, the structural basis of hydration in

18 crystal structures. And we found that there were

19 categories, such as channel hydrates, that would

20 allow the egress and ingress of water, not at will

21 but relatively facilely, depending on the

22 structure.

1 part of the loss profile show there. If you

2 dehydrate it, even if you keep it at a relatively

3 low temperature, it degrades.

The graph on the lower right does the same

5 sort of a treatment, but now this is with and

6 without oxygen. So what Steve's book said about

7 channel hydrates being able to dehydrate and

8 oxidatively degrade is here. It was known.

9 So we knew it was an NTI. It was known that

10 it was a very low dose, so that the probability of

11 getting -- even at the same level of degradation,

12 could be a much larger percentage.

13 It's chemically labile. That was also in

14 the literature. There's a nice thesis from Patel

15 from Cincinnati. You may know that. And

16 processing affected the crystal structure because

17 if you break this up and you dehydrate it, then

18 it's labile. And there are excipient interactions

19 known.

20 Couple that with the fact that the half-life

21 is 7 days. By the time you titrate your patient,

22 as the doctors at the ACPS used to talk about, it

- 1 could be months before you get to the point where
- 2 you're stable. Now, go from one generic to
- 3 another, or from brand to generic or vice versa,
- 4 and there you have the length of time we're talking
- 5 about.
- 6 So what to teach? The dosage form specs
- 7 need to be developed early. So you should design
- 8 your dosage form to meet your specifications, not
- 9 take the specifications that your dosage form gives
- 10 you once it's made. I know that sounds illogical,
- 11 but there you go.
- So the development process has to be
- 13 integrated so you can predict the downstream
- 14 effects. And we'll skip the rest of this, but
- 15 suffice to say that orthogonal analytics are
- 16 critical.
- So you really have to have a development
- 18 based on categories. The data mining and creation
- 19 of an NTI quality clinical response that is the
- 20 same as a quality classification can be part of
- 21 what we're talking about as a knowledge base and
- 22 knowledge management. I won't go through it, but

- 1 be the development history that the agency gets in
- 2 an application from industry?
- 3 DR. MORRIS: That's what I'd like to see. I
- 4 think historically, development histories were not
- 5 reviewed. And I'm not saying that it has to
- 6 be -- that's something that's up to you -- but to
- 7 me it makes perfect sense, yes.
- 8 DR. UHL: Okay. I'm just making sure I
- 9 understand where the data come from.
- DR. MORRIS: Yes, yes. Yes. From the
- 11 development project.
- DR. UHL: So in that case, the data would be
- 13 proprietary to the applicant. Correct?
- 14 DR. MORRIS: Correct. Yes.
- DR. UHL: So can you walk me through, then,
- 16 how this becomes something that's publicly
- 17 available? Intellectually, I understand, or
- 18 conceptually it can massively increase or improve
- 19 product development. But how do we translate
- 20 proprietary data into a kind of pre-competitive
- 21 public/private partnership type thing?
- 22 I'm looking at Ruth who deals in this space

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- 1 this is an example of the start of this sort of a
- 2 process.
- 3 So the research on integrated product
- 4 development by category across disciplines is
- 5 really critical, the example I showed you as well.
- 6 The support for knowledge base R&D, for formulation
- 7 design, has to be included like NIH includes the
- 8 necessity of biostatistics in every application.
- 9 And finally, development of programs for training
- 10 and expert support for generic companies and
- 11 reviewers is a key part of the proposals.
- So with that, I'll -- well maybe I won't
- 13 end. No, that's the last slide, and I'll be glad
- 14 to entertain questions.
- 15 DR. LIONBERGER: Thanks much.
- DR. UHL: So again, back to this knowledge
- 17 management, knowledge base, because I'm still
- 18 having a hard time wrapping my head around this.
- 19 So when I look at your third slide where you
- 20 explain development history, and the development
- 21 history basically creates the electronic living
- 22 document. Right? So the development history would

- 1 all the time. But it's yours and NIPTE's proposal,
- 2 so I'd like to hear how you guys have thought
- 3 through this because, as you said, the development
- 4 history is in the application, therefore, it is
- 5 proprietary. How do we make this a teachable
- 6 database?
- 7 DR. MORRIS: Right. No, no, that's a great
- 8 question. And there's actually two, or depending
- 9 on what group we're talking within NIPTE, three
- 10 approaches.
- One is that the development history training
- 12 is not just -- and I don't mean training in the
- 13 mundane sense of the word, but I mean the
- 14 introduction of the concepts that underlie the
- 15 science that lead to the decisions that are made
- 16 and the development history is part of it.
- So they're training, and it can take the
- 18 guise, as we did with the PAT guidance under Ajaz's
- 19 direction, where we would come and work with
- 20 reviewers and go through the scientific part of it
- 21 in enough detail so that it shows the integrated
- 22 nature of the work.

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- 1 The second part, though, is that even though
- 2 you can't -- it's sort of like when I was in
- 3 industry. My research, my published research, was
- 4 always one level more fundamental than the actual
- 5 drug I was working on. Otherwise, they wouldn't
- 6 let me publish it. And the way I look at it is we
- 7 do that.
- 8 In other words, we take that scientific
- 9 basis and we take some specific examples, and maybe
- 10 some of the more complex ones, as Steve said,
- 11 because this is just an example. This one happens
- 12 to be an oral dosage form, but it's the same for
- 13 any dosage form.
- So you take these examples and then distill
- 15 from them this approach that is based on the
- 16 categories because if you look at part of the
- 17 problems with the 14 dissolution specifications,
- 18 for example, part of that is because we're trying
- 19 to fit too many things into the same category. So
- 20 there's going to have to be more granularity.
- Then that gets committed not just to
- 22 training one-on-one, but gets committed to the

- 1 don't have to go through three years of mathematics
- 2 to be able to understand it.
- In this, I would see something much more
- 4 akin to a searchable, like the FDA, website where
- 5 you can put in a compound and find out what
- 6 category it fits in if it's existing, particularly
- 7 for generics. But you can also do it by category.
- 8 You should be able to search by structure, and then
- 9 dosage form types.
- So that's about as far as we've gotten. So
- 11 I'm not saying we have the answers, but that's the
- 12 idea. So it's really interactive. And in there,
- 13 in the pharmaHUB too, the third tier is that we did
- 14 ontological modeling. When I say "we," I mean the
- 15 engineers did it and I helped them with the subject
- 16 matter.
- So there's actually a database -- sorry,
- 18 there's actually a program. I can't remember
- 19 what -- it's an unfortunate acronym. It's POPE,
- 20 but no offense was intended -- which is the Purdue
- 21 ontology system to be able to say, okay, for an
- 22 immediate-release, solid, oral dosage form, here's

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- 1 database. PharmaHUB is, and the hub system in
- 2 general -- the one at Purdue, at least, was -- it's
- 3 all NSF-funded, I guess, and therefore it's public.
- 4 It's secure.
- 5 We can have a section of that that is
- 6 password restricted to FDA accessibility, for
- 7 example. But then the distilled part of that, the
- 8 categorical treatment of the individual types of
- 9 dosage, or of APIs and dosage forms, can be
- 10 included for public dissemination. So that's the
- 11 sort of two or three layers that we're talking
- 12 about.
- Right now, if you go to pharmaHUB, and I
- 14 don't have the link on my slide but I'll include it
- 15 and send it so it can be put up on the web, you can
- 16 take a course in crystallography. I mean, you can
- 17 just start clicking and you can learn -- and, now,
- 18 when I say crystallography, I don't mean
- 19 crystallography like what's sodium chloride. I
- 20 mean, Dave Morrichder [ph], who is one of our
- 21 post-docs, developed molecular crystallography for
- 22 drug substances. So it's very specific, so you

- 1 the decision tree and the ontology that leads you
- 2 to a good formulation. It'll be a higher hurdle
- 3 for the more complex dosage forms, but certainly
- 4 doable. Sorry.
- 5 DR. UHL: All right. Thank you. I would
- 6 just say -- as an FDA employee, I can say this -- I
- 7 hope whenever this becomes something, that is more
- 8 or better searchable than the FDA website.
- 9 DR. MORRIS: Yes. Well, I didn't want to
- 10 put too fine a point on it, but yes.
- 11 DR. LIONBERGER: Thanks very much, Ken.
- DR. MORRIS: Thank you.
- DR. LIONBERGER: So our next speaker is Eric
- 14 Munson from University of Kentucky, also
- 15 representing NIPTE.
- 16 Presentation Eric Munson
- DR. MUNSON: So I want to thank the FDA for
- 18 giving me the opportunity to talk with you about
- 19 analytical characterization. You've already had a
- 20 few lead-ins from the three speakers before as
- 21 well.
- So I do have to put up a disclosure. I

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- 1 actually am partial owner of a company that
- 2 provides services to the pharmaceutical industry,
- 3 but I'm not going to be talking about any of that
- 4 at this time.
- 5 So what I remember from last year's GDUFA
- 6 meeting was -- I believe it was Dr. Lionberger who
- 7 actually said this, and I think he repeated it
- 8 again today, so that supports that -- is that the
- 9 only difference between an innovative product and
- 10 the generic formulation, or generic product, is the
- 11 formulation. So that really stuck in my mind.
- One of the things I decided to do is to
- 13 figure out, how can we take that aspect and really
- 14 use analytical characterization as a way of
- 15 improving not only the product
- 16 performance -- because that's one of the things
- 17 that clearly has been an emphasis, looking at
- 18 things like the in vitro composition, the
- 19 dissolution properties and bioequivalence -- but
- 20 then getting back and analyzing the product.
- The challenge has always been that analyzing
- 22 the product has oftentimes meant analyzing maybe

- 1 try and understand physical and chemical stability
- 2 aspects.
- 3 So in other words, what's the propensity for
- 4 a drug to degrade once it gets into a formulation,
- 5 which was actually one of the bases for one of our
- 6 NIPTE projects on looking at the stability of
- 7 gabapentin. And we were actually able to predict
- 8 some of the stability properties based upon how the
- 9 material was changed during processing.
- 10 Fundamentally, once again, what we wanted to
- 11 be able to do is to take the information that we'd
- 12 learned on the drug substance and the drug product
- 13 and translate that into a functional property, once
- 14 again disintegration, dissolution, and the
- 15 bioequivalence.
- So what I'm going to focus on for the rest
- 17 of the talk is simply excipient variability. And
- 18 that just so happens to be one of the topics I'm
- 19 going to focus on, but that being said, there's a
- 20 whole range of different ways in which we can look
- 21 at drug product.
- So this came from a presentation that was

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- 1 the ingredients, certainly the drug's excipients,
- 2 but also, then, are there ways in which we can look
- 3 at the processes? What happens during the process
- 4 that maybe changes an excipient? And I'll get into
- 5 that in a little bit greater detail here.
- 6 So the idea is to actually translate what
- 7 you learn from a formulation standpoint. So you
- 8 have all these ingredients. You figure out not
- 9 only see what are the drug substances that are
- 10 there, but also then looking at the excipients,
- 11 variability that exists, and then look at the drug
- 12 product in much greater detail than what we
- 13 currently do right now.
- But probably more importantly, try to
- 15 understand what interactions occur between the drug
- 16 substance and excipients in the drug product, and
- 17 see what impacts those have upon the physical
- 18 properties.
- So certainly, for example, we look at
- 20 polymorphism in the drug substance, but it's
- 21 actually quite rare that we spend a lot of time
- 22 looking at polymorphism in the drug product. Also

- 1 given by someone from the FDA, where essentially
- 2 risk reduction opportunities, there were two very
- 3 common causes that were listed. One is deficient
- 4 facilities and processes, and essentially that came
- 5 down to humans, and then ingredient variability, so
- 6 with excipients.
- 7 So this is certainly something that will be
- 8 addressed in a few other talks later today. I know
- 9 certainly that an organization like IPEC is
- 10 interested in excipient variability, or the lack of
- 11 excipient variability, and trying to show whether
- 12 excipients are equivalent. But certainly there
- 13 have been recalls due to excipient variability.
- A lot of these happen to be due to things
- 15 like codeine, but fundamentally, what they amount
- 16 to is that you end up with a failed dissolution
- 17 specification because an excipient may have been
- 18 changed to a different vendor. Even the natural
- 19 variation that comes based upon the time at which a
- 20 natural excipient was harvested can potentially
- 21 have an impact.
- So I want to highlight one particular case.

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- 1 If you're not familiar with magnesium stearate, you
- 2 probably should be. It's one of the most commonly
- 3 used excipients used in oral dosage forms. It's
- 4 also a very complicated excipient, naturally
- 5 derived.
- 6 Even though it's called magnesium stearate,
- 7 in order to be called magnesium stearate it just
- 8 has to be 40 percent stearate by composition and 90
- 9 percent stearate and palmitate. And then you can
- 10 have any sort of range of other fatty acids that
- 11 can exist.
- What's shown here on the left is the solid-
- 13 state NMR spectrum of three different magnesium
- 14 stearate samples that we obtained. And this is
- 15 showing the carbonyl region. And essentially, what
- 16 I want to highlight here is the fact that when
- 17 you're looking at this, there are quite large
- 18 variations.
- The top one represents a disordered form of
- 20 magnesium stearate. The middle one represents a
- 21 mixture of, actually, a monohydrate and a dihydrate
- 22 form of magnesium stearate. And then the bottom

- 1 on the left, you can see that that represents
- 2 magnesium stearate in this particular form, which
- 3 is very crystalline.
- 4 But when you put it into a formulation, it's
- 5 practically impossible to see that. So how do you
- 6 see a material that's present at 1 percent of
- 7 formulation, and especially study it
- 8 scientifically?
- 9 Our approach has been to actually make our
- 10 own magnesium stearate. What we do is we C13 label
- 11 it. And the advantage of C13 labeling is that a
- 12 signal that was present at only 1 percent by
- 13 natural abundance now is present at 100 percent.
- 14 So it's very easy for us to actually identify the
- 15 form of magnesium stearate that's present in this
- 16 sample.
- 17 This is one of our very first attempts,
- 18 where we started off with a mixture of a
- 19 trihydrate, a monohydrate, and a dihydrate. And
- 20 what we see is that as the material is blended, the
- 21 trihydrate basically disappears, is converted to
- 22 monohydrate. The dihydrate also disappears as

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- 1 one represents a monohydrate form. And then you
- 2 have the corresponding differential scan
- 3 calorimetry data up on the top, and then the
- 4 corresponding thermographic metric analysis.
- 5 A couple of points is that if you look at,
- 6 for example, the top one there, it has a very
- 7 different DSC thermogram. So this the top one.
- 8 And maybe I'll show over here. You can see the top
- 9 one there has a very different DSC thermogram than
- 10 does the third one.
- Yet if you look at the water contents,
- 12 they're essentially -- the amount of water that's
- 13 lost is basically the same. They come off with
- 14 different points in the TGA, but they are very
- 15 different. So the question is -- we can certainly
- 16 see that there are differences.
- One of the challenges that you have when
- 18 you're dealing with magnesium stearate is how to
- 19 actually characterize it inside of a formulation.
- 20 And the challenge is that the bottom here
- 21 represents just an NMR spectrum of magnesium
- 22 stearate, and the area that's shown here in the box

- 1 well.
- So there's definitely form changes that
- 3 occur in the magnesium stearate as you do the
- 4 blending process. So we can use this as an
- 5 analytical technique to start to really
- 6 fundamentally understand what happens to magnesium
- 7 stearate inside of a formulation.
- 8 Now the issue is, of course, does this
- 9 really matter? So the other thing that we're
- 10 working on in the laboratory is trying to do the
- 11 correlation of the dissolution data back to what we
- 12 can identify as the change in the NMR.
- You can just see here simply very similar
- 14 changes, or you can see that magnesium stearate,
- 15 depending upon how it's mixed -- this is hand
- 16 mixing so it's quite variable -- but you can see
- 17 that it does have a pretty significant impact upon
- 18 the dissolution.
- One of the things that we did is then we
- 20 actually tried to do very consistent, relatively
- 21 mild mixing. And you can definitely see here the
- 22 difference between the monohydrate form and the

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- 1 trihydrate, the net result being that there are
- 2 considerable differences in terms of -- well, there
- 3 are differences between the monohydrate and the
- 4 dihydrate in terms of how it impacts the solution.
- 5 And we've done this for a number of different
- 6 cases, looking in this particular case that the
- 7 trihydrate always comes out last.
- 8 Interestingly, the disordered form, which is
- 9 one of the things that we would have thought would
- 10 have been coating the particles the most, actually
- 11 didn't seem to do that. And that was quite strange
- 12 for us. But trying to understand the nature of, as
- 13 you go from one magnesium stearate source to
- 14 another, which is something especially in the
- 15 generic industry, could be a very big deal How do
- 16 you deal with that? So we can see once again
- 17 another example of the impact upon comparing the
- 18 trihydrate versus the dihydrate and the
- 19 monohydrate.
- 20 So what I'd like to do is to summarize.
- 21 What does this mean? So fundamentally, it comes
- 22 down to characterizing not just the performance,

- 1 Fundamentally, you can see at the bottom,
- 2 what do we want to have the FDA get out of this?
- 3 And what we really need to do is to say, okay, when
- 4 you have an analytical approach, what are the
- 5 different techniques that we'll give you to be able
- 6 to tell you what do you have inside of a product?
- 7 Then how do you integrate this into that
- 8 design development space? And then how do you
- 9 validate it? So in other words, especially when
- 10 you come up with some of these newer methods, how
- 11 are you able to validate them?
- Another question is that how well do these
- 13 work across the dosage forms? So certainly we saw
- 14 a lot of different dosage forms that potentially,
- 15 for example, could be characterized using solid-
- 16 state NMR spectroscopy. A lot of the ones that
- 17 were presented in the first talk of today could
- 18 certainly be studied that way.
- Then fundamentally, when you have this
- 20 information, how do you translate that into QC
- 21 testing. Okay? And then when you have a root
- 22 cause investigation associated with something that

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- 1 and there's a lot of impact on the performance, but
- 2 also the product. And I think that there is a lot
- 3 of emphasis, and I've seen that a lot, in the
- 4 presentations that have been given today. So what
- 5 it really amounts to is doing that advanced
- 6 analytical characterization of dosage forms using
- 7 the variety of analytical techniques that are
- 8 available to you.
- The concept is really to understand the
- 10 complex dosage form, so really understand not just
- 11 what went into it, but after it's made, how is it
- 12 put together. And then convert this into a
- 13 knowledge base that's accessible. So, for example,
- 14 we talk about the excipients database, which
- 15 contains either the quantities of excipients, but
- 16 doesn't really address things like excipient
- 17 variability.
- Then the third thing is to translate that
- 19 through to reviewers through an education process.
- 20 And please, please, ask me about the education
- 21 process because I would like to provide a little
- 22 bit more detail as well on that.

- 1 fails, how do you take these approaches and be able
- 2 to solve your problem?
- 3 With that, I'll be happy to answer any
- 4 questions you have.
- 5 DR. LIONBERGER: Thanks much.
- 6 DR. BUHSE: So you and several before talked
- 7 about education of reviewers. But it also seems
- 8 that there needs to be maybe, potentially, a
- 9 fundamental education of drug developers as well in
- 10 terms of if they develop it, or if they ask the
- 11 right questions up front, before they start,
- 12 even --
- DR. MUNSON: Yes.
- DR. BUHSE: -- potentially, then we're not
- 15 put in a position where we have to try to figure
- 16 out that they used the wrong mix, data area, or
- 17 whatever. So it seems like the education needs to
- 18 start pretty far back in the chain, even before we
- 19 see a drug.

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- 20 Is there a way you can infiltrate your
- 21 knowledge, et cetera, to especially the generic
- 22 industry, a lot of which often are not located in

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1 this country, potentially, et cetera, to increase	1 you're
2 knowledge such as that what you showed today?	DR. MORRIS: That's true. And once again,
3 DR. MUNSON: Okay. Yes. So certainly that	3 certainly one of the things that we'd like to be
4 is exactly what we want to do. So one of the	4 able to do is to work with the FDA. From our
5 things that we actually talked about at dinner last	5 perspective, what we want to be able to do is also
6 night was establishing a series of short courses,	6 talk to people at the FDA, especially as individual
7 maybe a one-day course that addresses various	7 faculty members coming and telling you about what
8 topics, analytics, unit operations, et cetera,	8 we know. And from our perspective, that's we
9 where we would come in and provide roughly 12 to 14	9 can talk about the relative cost of it, but we

11 So one or two professors would come in, 12 provide a one-day short course to the FDA. And at

13 the end of that, we'd end up with a certificate

10 of these courses on a rotating basis.

14 that you've accomplished this. And then we would

15 translate that into something that maybe gets

16 to -- and more advanced. So in other words, once

17 you've done this first step, you may get into a

18 second step, maybe into advanced formulation.

19 One of the things we want to do is to take

20 that knowledge, then, and translate that into an

21 industry program where we would also do these types

22 of education events at industry. And we're

10 don't want to do it for a large cost.

11 DR. UHL: Right.

DR. MUNSON: What we'd especially like to do 12

13 is to have the opportunity to talk to the FDA.

DR. UHL: So I know that there are several 14

15 speakers coming up that represent industry, in the

generic trade industry. So since the GDUFA

research, regulatory research program is

essentially funded through GDUFA funds, maybe you

19 have some speculation on how the generic industry

20 might feel about this. Because that's -- Rob laid

21 out the program already. It's about \$20 million a

22 year.

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1 actually currently working on doing that with

2 generics, I'll say, in another country. So we are

3 doing that translational process.

But that's one of the concepts that we're

5 thinking about, is also giving the opportunities

6 for the reviewers and the inspectors to come in and

7 talk directly to, I'll say, the content experts,

8 the faculty, so that we are onsite and can answer

9 questions, and can get into a little bit of a

10 dialogue without getting into very specific issues

11 associated with a particular document.

12 DR. BUHSE: Can I just follow up on that a

13 little bit? Because when Ajaz presented, Ajaz said

14 that NIPTE already gets funding from FDA. Is that

15 correct?

16 DR. HUSSAIN: (Nods affirmatively.)

17 DR. BUHSE: Thank you, Ajaz, for nodding

18 yes, since you're not on the microphone.

19 So in order to do those type of training

20 that you guys are talking about, it's not currently

21 incorporated into your annual strategic plan with

22 the current budget that you have. Is that what

1 DR. MUNSON: Yes.

2 DR. UHL: So I don't know if the generic

3 companies who are going to come up and present want

to comment on how they'd like to see these monies

5 spent, or if you guys want to think about it and

submit to the docket. But it's a limited pool.

How do we best use it to drive the outcomes that we

8 really need from a generic product development

standpoint? 9

10 DR. MUNSON: Yes. Well, certainly one of

11 the things I remember -- and once again this is all

speculation because I'm not going to present that I 12

represent the generic industry -- however, we do

know that they are very interested in education.

They have approached NIPTE for education. So we

16 know that that is a very important component.

In terms of specific topics, I've talked to 17

people from GPhA about things like excipient 18

19 variability, and we know that that's a very big

20 topic for them as well. So there are several ways

21 in which we -- we feel like we're trying to address

22 the questions that I know people from the generic

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- 1 industry do care about. And that is one of the
- 2 things that we're trying to address.
- Now, once again, I can't speak for the
- 4 generic industry per se. But I think that these
- 5 are topics that they care about. And certainly
- 6 education, I think, is something that they would
- 7 also be very interested in, especially because if
- 8 anything, that helps them get through the review
- 9 process, so that the FDA people and the people in
- 10 the generic industry understand that they're
- 11 getting the same level of education, that that
- 12 would actually be quite beneficial for them going
- 13 through the review process.
- 14 DR. LIONBERGER: All right. Thanks very
- 15 much, Ken.
- So we'll move on to our next speaker. It's
- 17 Professor Amy Barton Pai from the Albany College of
- 18 Pharmacy and Health Science.
- 19 Presentation Amy Barton Pai
- DR. BARTON PAI: Good afternoon. I just
- 21 wanted to extend my thanks to the FDA OGD for
- 22 giving me this opportunity to really talk to you

- 1 countries that utilize these generics have mandated
- 2 switches.
- 3 What we do know is that some animal models
- 4 have pretty universally shown increased oxidative
- 5 stress induction and higher tissue deposition of
- 6 iron with generic formulations of iron sucrose
- 7 compared the reference listed products.
- 8 Clinical observational studies are also
- 9 accumulating in the literature as these mandated
- 10 switches have occurred. And they have demonstrated
- 11 reduced efficacy as well as increased adverse event
- 12 profiles related to the generic products versus the
- 13 RLDs. Notably, these differential safety and
- 14 adverse event profiles have been mechanistically
- 15 linked to direct release of labile iron from these
- 16 formulations.
- So through some UO1 funding, our group was
- 18 able to really engage in a systematic approach to
- 19 try to better predict serum non-transferring-bound
- 20 iron, which is also known as labile iron, from IV
- 21 iron formulations.
- Our project essentially looked in tandem at

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- 1 about challenges relevant to bioequivalence
- 2 assessment with IV iron formulations.
- 3 My research program focuses on differential
- 4 toxicity profiles of IV iron formulations, but in
- 5 addition, I am a nephrology-trained clinical
- 6 pharmacist, and I've worked in the dialysis
- 7 population for more than 20 years. And this
- 8 population is a ubiquitous user of these agents, so
- 9 it is a very relevant topic.
- 10 I have nothing to disclose.
- As Dr. Lionberger really teed up nicely in
- 12 his opening remarks, IV iron formulations are
- 13 complex products in that they are colloidal
- 14 suspensions of nanoparticles. This is something
- 15 that I don't think most clinicians who use these
- 16 products appreciate, so they do have unique
- 17 challenges.
- Most of our experience with these products
- 19 is actually gleaned from the global market, where
- 20 there are many generic iron sucrose products
- 21 available globally. The regulatory oversight for
- 22 these products is variable. And typically,

- 1 studying a multiplicity of different assays to
- 2 measure labile iron through chelatable and redox
- 3 active mechanisms. We then studied all of these
- 4 assays in vitro to determine possible applicability
- 5 for measurement in vitro, and then subsequently
- 6 chose candidate assays to measure labile iron
- 7 release in vivo.
- The products we studied were all of the
- 9 currently available reference listed drugs at the
- 10 time this study was initiated, as well as the only
- 11 approved US generic, which is sodium ferric
- 12 gluconate complex.
- After the data from the in vitro and in vivo
- 14 pieces were accumulated, we sought to see if some
- 15 of these data could at least potentially begin to
- 16 inform an in vitro to in vivo correlation model.
- 17 So I'll walk you through a little bit of this
- 18 project.
- 19 Essentially, at the very beginning, we
- 20 exposed all of the products to the typical battery
- 21 of physical-chemical characterization techniques
- 22 that are used in the nanoparticle space. The ideal

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- 1 here is obviously that physical-chemical
- 2 characterization is able to reliably identify
- 3 differences between the reference listed drug and
- 4 the generic, and that we could potentially be able
- 5 to use some of these data to predict labile iron
- 6 release.
- 7 However, the dilemma is, as other speakers
- 8 have alluded to today, that the formulation
- 9 complexity and variable stability profiles of these
- 10 formulations create very unique challenges in the
- 11 reliability and reproducibility of PCC.
- So just to share an illustrative example of
- 13 that, when we looked at different particle size, or
- 14 polydispersity, we first did a field flow fraction
- 15 followed by quasi-elastic light scattering. The
- 16 red dotted line here would represent
- 17 monodispersity.
- The important notation in this graphic is
- 19 that sodium ferrate gluconate complex was able to
- 20 be characterized by this technique, but Ferrlecit,
- 21 the reference listed drug, was unstable to the
- 22 washing step in the field flow fraction analysis.

- 1 the DFO to the labile iron that could potentially
- 2 be exploited for possible bioequivalence analyses.
 - These are data from our in vitro work. And
- 4 essentially, we diluted compounds in saline in a
- 5 biorelevant matrix, which is rat serum. And all
- 6 concentrations, all final concentrations, were
- 7 essentially the predicted Cmax of a 40 milligram
- 8 per kilogram dose.
- 9 Notably, from this graphic here, it's
- 10 important to note that all the compounds did have
- 11 lower stability in saline, which is well-known.
- 12 And you can see there is some slight differences
- 13 between the Ferrlecit and the sodium ferrate
- 14 gluconate.
- 15 I'd also ask you to note the bottom product,
- 16 which is an investigational product from GE Global
- 17 Healthcare. It's a pegylated iron product and was
- 18 meant to represent an out-of-class assessment. But
- 19 if you note, the stability in rat serum is quite
- 20 stable and does not release tremendous amounts of
- 21 labile iron. But there is a difference in vivo.
- So moving on, this is our in vivo

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- 1 So we essentially are not able to compare these
- 2 compounds.
- We then again sought to evaluate a number of
- 4 different labile iron assays. Notably, the first
- 5 assay listed here is the bleomycin-detectable iron
- 6 assay. This is currently an assay that is
- 7 referenced in the draft guidance for sodium ferrate
- 8 gluconate complex. The other redox active and
- 9 chelatable iron assays are noted here.
- 10 But importantly, these first three are
- 11 really not applicable at all for use in in vitro
- 12 work due to apparent interference of the actual
- 13 agents with the assay. And notably also with
- 14 bleomycin-detectable iron, it has other practical
- 15 limitations. Notably, it's used as a
- 16 chemotherapeutic agent in its assay technique, and
- 17 also requires -- is very highly subject to human
- 18 error. I'll leave it at that.
- 19 The assay we did identify that seemed to
- 20 work quite well in vitro was an HPLC
- 21 desferrioxamine assay. And this assay actually
- 22 also had an interesting kinetic binding effect of

- 1 concentration time profiles in healthy male rats.
- 2 We developed this PK analysis in a three-step
- 3 iterative process, which was first dose-finding,
- 4 followed by an initial PK to optimize sampling
- 5 times, and final PK analysis.
- What you can see from the top panel with the
- 7 Ferrlecit, the reference listed drug, and the
- 8 sodium ferric gluconate complex, their
- 9 concentration time profiles are very similar, in
- 10 fact, perhaps superimposable. If you note again on
- 11 the bottom right panel, the GE product actually had
- 12 the most labile iron release in vivo. So that's in
- 13 great contrast to what we saw in vitro.
- 14 This is an initial PK analysis. So in this
- 15 analysis, clearance and volume are actually a ratio
- 16 over the bioavailability, which is the
- 17 bioavailability of labile iron release from the
- 18 compound, which is unknown. So these are relative
- 19 clearances and relative volumes.
- 20 We evaluated essentially a release constant,
- 21 which we called KR. And this represents the rate
- 22 of direct release of labile iron from the iron

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- 1 carbohydrate complex. So what you can see in this
- 2 analysis relevant to the RLD and generic is that
- 3 this KR is very similar between the two drugs.
- 4 So wrapping up here with what I believe is
- 5 probably still needed in this arena, clearly we
- 6 need further evaluation of physical-chemical
- 7 characterization limitations for inter-product
- 8 comparison. This could even be as granular as
- 9 instrumentation that's used between manufacturers.
- 10 We certainly need to study additional
- 11 formulations, both in vitro and in vivo. Again,
- 12 this represented just a single generic IV iron
- 13 formulation. So many more need to be studied,
- 14 whether that's in the global marketplace or handled
- 15 domestically.
- 16 Lot-to-lot variations is another issue that
- 17 has presented itself on the global market, with
- 18 differences in labile iron release between lots.
- 19 It will be important to more clearly define the
- 20 optimal assay for labile iron measurement, both
- 21 in vitro and in vivo. And essentially, leading to
- 22 further analyses, to possibly develop stronger and

- 1 immunogenicity, and their ability to give a larger
- 2 dose in a single infusion. But as far as physical-
- 3 chemical characteristics, they, I would say, are
- 4 largely unaware. They dose iron. It's all based
- 5 on elemental iron, so their switching is based on
- 6 safety profiles as well as ease of administration
- 7 when giving larger doses in more outpatient
- 8 settings.
- 9 DR. CONNER: The one generic that you had in
- 10 your list, what was the RLD for that, the reference
- 11 listed drug?
- 12 DR. BARTON PAI: Ferrlecit.
- 13 DR. CONNER: Ferrlecit. So the real
- 14 comparison, or test of your methods, is comparing
- 15 that generic to Ferrlecit?
- DR. BARTON PAI: That's right.
- DR. CONNER: How well does that do in your
- 18 testing?
- DR. BARTON PAI: So again, just to recap the
- 20 data here, in many of the physical-chemical
- 21 characterization pieces, there were differences
- 22 between the RLD and the generic, possibly because

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- 1 more predictive models for labile iron release that
- 2 obviate the need for in vivo work.
- 3 Finally, as these products start to emerge
- 4 on the marketplace, as a clinician I believe it's
- 5 really important to have close marketing
- 6 surveillance of these products, as well as
- 7 assessing usage patterns.
- 8 Ultimately, working in this space for the
- 9 past 20 years, I can say that clinicians who use IV
- 10 iron products are not aware of the complexity of
- 11 these formulations, and should be educated on the
- 12 complexity and the unique challenges that exist.
- With that, I'll conclude, and I'm happy to
- 14 take any questions.
- DR. LIONBERGER: So with respect to your
- 16 comment on -- there's multiple currently approved
- 17 products. Do clinicians think that they are
- 18 different, or do they interchange them? You know,
- 19 is there a sense that there are differences between
- 20 the approved different RLDs or not?
- DR. BARTON PAI: I would say the clinician
- 22 perceives the dominant differences as

- 1 of, again different steps in the analytic process.
- 2 The in vitro piece, it looked like the brand had
- 3 more labile iron release. However, in vivo, again
- 4 those profiles were very similar.
- 5 DR. UHL: Could you go back one slide? Same
- 6 question I asked earlier this morning. So you've
- 7 got seven potential ideas. We have about
- 8 \$20 million on an annual basis. So could you tell
- 9 me what your number one priority would be,
- 10 especially as it relates to this aspect of IV iron
- 11 therapy?
- DR. BARTON PAI: I think this ties in
- 13 certainly to the confidence in substitution. So in
- 14 an incremental way, I would say the predominant
- 15 piece is elucidating these physical-chemical
- 16 characterization limitations because that's
- 17 inherent in the guidance right now, and following
- 18 up with additional in vitro and in vivo study of
- 19 additional generic formulations.
- DR. LIONBERGER: Thanks very much.
- 21 So our next speaker is Professor Diane
- 22 Burgess from the University of Connecticut.

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1	Presentation – Diane Burgess	1	aggregation, and we were able to resolve some of
2	DR. BURGESS: Got to be able to walk fast	2	that by using surfactant for the sample and
3	here. Okay, good afternoon. Thank you for the	3	separate method. So we were able to get a better
4	invitation. I'm very pleased to be here. Probably	4	resolution of our four different microsphere
5	need my glasses to work out how to figure this.	5	products. This is not with the RLD, but the four
6	So what we've been doing in one of the	6	that we were making Q1/Q2.
7	grants that we have is with microspheres. I'm	7	With the apparatus 4, we got again, very
8	presenting that work because it's furthest along.	8	good differences here were able to show up. And
9	We've been developing Q1/Q2 microsphere	9	one thing I wanted to point out is that with the
10	formulations that we're deliberately doing with	10	more porous microspheres, the two that were made
11	minor manufacturing changes, very minor, to see	11	with ethyl acetate, with either method we didn't
12	what are the critical manufacturing changes that	12	see very much burst release.
13	can have an effect on the product performance.	13	That method of manufacturing had eliminated
14	So we chose, first of all, Risperdal Consta,	14	some of the burst release, whereas with the other
15	but we are working on other drugs as well. So we	15	method, where it was less porous, we were getting
16	chose Risperdal Consta and we made very small	16	burst release. So that was one significant
17	changes with, as you can see here, the so we	17	difference, as well as the slight differences in
18	used different solvents, a DSM and ethyl acetate.	18	the rates that we can see here.
19	And we also made other slight changes in the method	19	We then went on to do in vivo work that we
20	of mixing and the method of sieving.	20	did in rabbits. So this is an IVIVC, as such, with
21	So we had drug loading very similar. And	21	rabbit data. And we used the Loo-Riegelman method
22	this slide had some of the physical-chemical	22	to deconvolute the data. So this on the top here
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1 characteristics -- the particle size, not too much 2 differences in particle size, a little bit with the 3 difference in the sieving and with the difference

4 in the mixing here. 5 But what I really want to point out here is 6 the difference in the porosity because with the

7 ethyl acetate, we got much more porous microspheres 8 compared to with the DCM. This was also more

9 similar to the reference listed drug product.

10 So in moving on, we did our in vitro release 11 testing. And the typically used method is a sample 12 and separate method for microspheres, as reported 13 in the literature. But in our lab, we've developed 14 another method several years back, which is an 15 apparatus 4, where we put the microspheres between

16 the glass beads and hold them in the apparatus 4

17 flow-through cell. The advantage of this method is

18 you get around aggregation problems as well as

19 floating problems that can happen with the sample

20 and separate and even USP 2 apparatus.

This is results with the sample and 21

22 separate. And we found that we did have some

1 is our rabbit data. So our in vivo release profile

2 with a rabbit for Risperdal Consta, this is the

3 RLD, and here we have the deconvoluted.

I'm showing the RLD here because we can, 4

5 from the literature, get the human data from the

literature -- for the RLD, obviously not for the

formulations we made. And we can see here we've

deconvoluted this data so there is very good

similarities but inter-species difference, as

10 you'll probably notice here, much, much faster in

11 the rabbit.

12 In our rabbit model, we used the hind leg,

whereas the human it's into the gluteus maximus.

14 Big differences in fat content and also in the

vascularization. Vascularization is probably the

bigger difference, where you're going to get more

ready dissolution, larger volume there. And the 17

other difference is, of course, is the metabolism 18

in the rabbits. We did do the risperidone. We

20 looked at risperidone in vivo, and there are

21 differences in the metabolism.

22 So looking at the four formulations that we

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- 1 made, the big difference here is the two with the
- 2 burst release. That's obviously going to be your
- 3 Cmax and Tmax, whereas the ones without the burst
- 4 release, their Cmax and Tmax is -- so is shifted,
- 5 obviously. So that was one big difference here.
- 6 But we went on to do our deconvolution. And
- 7 our four formulations are to the left, and the
- 8 Risperdal is the red one to the right. So what we
- 9 did is we used three of our formulations to make an
- 10 IVIVC in order to predict the fourth formulation.
- 11 so 1, 2 and 3 to predict 4, or 2, 3, 4 to predict
- 12 1, and so on. So this is our IVIVC for 4/3
- 13 combinations.
- 14 Then we used this to predict the in vivo
- 15 release for the fourth one, and you see here we're
- 16 getting really very, very good prediction. So this
- 17 is for a complex product. Microsphere is one of
- 18 the most complex, especially when you've got the
- 19 three phases of the burst, the lag phase and then
- 20 the secondary release profile. So we were very
- 21 pleased with this.
- We also used these four to predict the RLD.

- 1 able to -- in the future, obviously with more
- 2 information and really, really good physical-
- 3 chemical characterization, we could be able to move
- 4 towards at least some looking at bioequivalence for
- 5 this type of product.
- 6 Another product that I think we haven't
- 7 worked on yet but I think we should is the
- 8 long-acting suspensions because I think this is a
- 9 kind of low=hanging fruit, relatively easier
- 10 formulation, from the formulation and manufacturing
- 11 perspective. So I think this would be a good one
- 12 to tackle next.
- The one that Chetan mentioned, the
- 14 ophthalmic, we are doing some work on the
- 15 ophthalmic area. And there I think we can get a
- 16 very good in vitro release method, definitely, that
- 17 could discriminate between manufacturing
- 18 differences. But to move towards an IVIVC for
- 19 something like ophthalmic, I think, would be, as
- 20 Chetan pointed out, very difficult. So some of the
- 21 physical-chemical characteristics might be more
- 22 important or at least as important there.

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- 1 And again, you'll see really, really good
- 2 prediction. And based on the USP 4 apparatus
- 3 method, we got really excellent prediction, the PE
- 4 of 10 percent or less. When we did use the sample
- 5 and separate method, which we had shown wasn't as
- 6 good an in vitro release method, at least for these
- 7 microspheres, then we didn't get a good IVIVC. It
- 8 was basically inconclusive at best.
- 9 So we're now working, or we've just
- 10 completed a study also with naltrexone. And this
- 11 is two-phase. This doesn't really have burst
- 12 release, but we've got three formulations. And
- 13 we've shown excellent, again, IVIVC for these three
- 14 formulations for the naltrexone as well. And we're
- 15 now working on a peptide formulation.
- So I think that, to quote from Ajaz, that I
- 17 think we're moving from the microspheres, from just
- 18 being a complex dosage form, to a complicated one,
- 19 if I understood what Ajaz was saying correctly;
- 20 that now we're really starting to understand the
- 21 physical-chemical properties that are important,
- 22 and we are able to develop an IVIVC so we could be

- 1 The last thing I wanted to say was talk
- 2 about the in vitro and in vivo stability issues
- 3 with these complex products. With the
- 4 microspheres, for example, I'm familiar, and some
- 5 of the other PLG formulations. We get interaction
- 6 with the drug such as risperidone and naltrexone.
- 7 Even with the peptide drugs, we've got
- 8 interactions. And with the peptides, it could be
- 9 even more complicated because of the potential
- 10 immunogenicity problem.
- 11 These interactions can occur during
- 12 manufacturing; with different manufacturing,
- 13 methods may get more or less of the interactions
- 14 with these drugs, so more or less possibility for
- 15 immunogenicity, and so on. And how you manufacture
- 16 them can also change how they may behave during
- 17 shelf life storage because of different porosity
- 18 and so on with the humidity conditions.
- 19 That also can impact on those changes
- 20 occurring in vivo when you're looking at some of
- 21 these products, or not just weeks, but months and
- 22 even years, in the body in that human environment

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- 1 with porosity and so on. So I think that this is
- 2 another area that I think we need to have some
- 3 focus on.
- 4 So just to acknowledge the funding,
- 5 particularly the FDA funding there in the middle.
- 6 And my research group, and to the left of me is Jie
- 7 Shen. She did a lot of the work I presented today.
- 8 Also, Janki to the left of Jie. And then this is
- 9 some of the rest of my group. So thank you.
- DR. LIONBERGER: Thank you. So one of the
- 11 challenges in these products is that since they're
- 12 long-acting, you have to do very long PK studies to
- 13 show bioequivalence. So how far are we, or what
- 14 new data would we need, potentially, to support a
- 15 waiver of a bioequivalence study?
- DR. BURGESS: Well, I think with the
- 17 microspheres, I can speak. I think we're getting
- 18 very close to really understanding what are maybe
- 19 the Q3 type of things, Something like the porosity
- 20 would be a Q3 property, so to understand those
- 21 properties.
- There are a few products that we could still

- 1 public meeting. We greatly appreciate it. As one
- 2 of the key stakeholders in the GDUFA realm, if you
- 3 will, this is very important and near and dear to
- 4 our hearts of the generic industry. So thank you
- 5 for holding this public meeting.
- 6 I think at least the panel, I know, knows
- 7 all about GPhA, so I'm not going to go through
- 8 this. And I believe these are going to be made
- 9 public, so the rest of the audience will be able to
- 10 see them. But you can read them as we go along.
- Just a list of our members. So we represent
- 12 approximately 35 full members and approximately
- 13 45 associate members. So a large spectrum of the
- 14 generic pharmaceutical industry is represented by
- 15 GPhA.
- 16 If you take not this slide and the numbers
- 17 that are on the slide, over 90 percent of the
- 18 products manufactured and sold for use in the
- 19 United States is represented by the GPhA companies.
- 20 So if you have questions about generics. we can get
- 21 that message out pretty readily to most of the
- 22 constituents.

- 1 do and attempt to do IVIVC. And we've been able to
- 2 develop what I think are very robust IVIVCs for two
- 3 products now.
- 4 So I think that we're really moving in that
- 5 direction because we're able to use those two, for
- 6 example, to predict the RLD. And even with two of
- 7 those having a burst release and two not having a
- 8 burst release, we still got pretty good prediction.
- 9 So I'm confident that we're moving in that
- 10 direction. And with more robust -- if the generic
- 11 companies do a very good physical-chemical analysis
- 12 of their product, I think if they have a good
- 13 portfolio with that I think we could be able to
- 14 move forward with that for them.
- DR. LIONBERGER: All right. Thank you very much.
- DR. BURGESS: Thank you.
- DR. LIONBERGER: So our next speaker is
- 19 David Gaugh, representing GPhA.
- 20 Presentation David Gaugh
- DR. GAUGH: Thank you, Rob, and good
- 22 afternoon, panel. And thank you for holding this

- Statement of mission. I know that there
- 2 isn't a specific mission statement that the
- 3 regulatory science team has, but this is coming
- 4 from an article and an interview that
- 5 Dr. Lionberger had last year.
- 6 We think it's very important to make safe
- 7 and effective generic drugs available to the
- 8 American public by ensuring that OGD standards, as
- 9 reflected in review guidance and communication to
- 10 sponsors and the public, continue to be based on
- 11 the best currently available science and results of
- 12 regulatory science research. So we think that's a
- 13 very important tenet to keep at hand.
- 14 If you look at the GDUFA goals letter, which
- 15 was developed back in 2012, "FDA will convene a
- 16 working group and consider suggestions from
- 17 industry and other stakeholders to develop an
- 18 annual list of regulatory science initiatives for
- 19 review by CDER director."
- 20 Again, we think very important. This public
- 21 meeting is one of those opportunities for a working
- 22 group, but as you'll see as I go through my slides,

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- 1 we think there's other opportunities for working
- 2 groups and collaboration that we would like to see
- 3 the agency take on going forward.
- 4 GPhA and other stakeholders began dialogues
- 5 with FDA to explore how best to broaden industry's
- 6 input into the development process of the annual
- 7 list. But to date, no action plans that we
- 8 presented have been taken up, so we hope that these
- 9 working groups will help us get to that point.
- 10 While GPhA is supportive of the regulatory
- 11 science initiative, payers into the GDUFA program
- 12 want more input, and one public hearing is not what
- 13 we consider to be enough. So therefore, we're
- 14 asking for more working groups going forward.
- So what I'm going to do is not address
- 16 specific products per se, but opportunities for
- 17 input, if you will, and consideration. So
- 18 increased collaboration to identify the annual
- 19 regulatory science priorities. Increased
- 20 transparency and involvement with the decision-
- 21 making process for the user fees that are used.
- User fee funding of studies and projects to

- 1 from this as well.
- 2 Opportunities for scientific or technical
- 3 advancements: First, a discussion and expectations
- 4 on nanotherapy and characterization. Opportunities
- 5 to have scientific exchanges between industry and
- 6 FDA in the form of workshops. I think I've said
- 7 that a few times already.
- 8 Number 2, innovative approaches to
- 9 pre-approval development of generic drugs, so
- 10 discussions and expectations on in vivo and
- 11 in vitro correlation methods for low-dose
- 12 concentration products such as otics, ophthalmics,
- 13 long acting injectables, and auto injectors.
- 14 Discussions and expectations on product
- 15 subject to clinical endpoint studies in which the
- 16 primary endpoint is difficult to measure and/or
- 17 difficult to distinguish.
- 18 Discussions around developing a premise with
- 19 well-defined in vitro methodologies to replace the
- 20 need for clinical endpoint studies is another
- 21 consideration. Discuss and expectations on setting
- 22 clinical relevant specifications. And discussions

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- 1 be distributed in terms of short, intermediate, and
- 2 long-term goals so the generic industry can benefit
- 3 from the knowledge gained from the results of these
- 4 studies, projects, in real time as much as is
- 5 possible. And again, we've already talked about
- 6 the working groups.
- 7 From a transparency standpoint, FDA to
- 8 improve transparency and communication regarding
- 9 how it determines the focus of the studies and
- 10 projects, determines the scope of those studies and
- 11 projects, and their benefit.
- 12 Determines how the results of the studies
- 13 and projects are interpreted and utilized by the
- 14 FDA. And determines the overall impact of the
- 15 science and regulatory initiative program that has
- 16 had an increase in patient access to generic
- 17 medicines.
- 18 So there were some specific points that
- 19 Dr. Lionberger and team put out for consideration,
- 20 and so here are some suggestions that we think
- 21 would be very important. And the user fee monies
- 22 that are provided, we think, would benefit greatly

- 1 and expectations on qualifications of dissolution
- 2 apparatus and methods.
- Third, innovation in scientific approaches
- 4 to evaluating the therapeutic equivalence of
- 5 generic drug products throughout their life cycle,
- 6 so the narrow therapeutic index products and drug
- 7 device combination products.
- 8 Four, the high-impact public health issues
- 9 involving generic drugs that can be addressed by
- 10 prioritizing allocations for the fiscal year 2017
- 11 funding. Timely guidance developed for high impact
- 12 generic products, first generics, NCE-1 products,
- 13 and very importantly, complex products.
- Number 5, identification of specific issues
- 15 related to generic drug products or scientific
- 16 recommendations and/or clarifications are needed.
- 17 So discussions and expectations of long-acting
- 18 microparticles of aseptic processing on
- 19 characterization of peptides and iron products; on
- 20 the characterization needed to show similarity for
- 21 devices for combination products.
- The risk analysis for delaminating glass

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- 1 vials and potential testing specifications for this
- 2 delamination. Extractables, leachables for all
- 3 dosage forms, sterile and non-sterile.
- 4 Expectations on generic abuse deterrent formulation
- 5 products on a USP Chapter 232, Elemental
- 6 Impurities.
- 7 On adhesions for transdermal products, on
- 8 guidance to address the limitations with current
- 9 scoring scales and statistical methodology for
- 10 assessing non-inferiority and adhesion and
- 11 irritations for transdermal products.
- Finally, under number five is the evaluation
- 13 of the approach to safety evaluation for certain
- 14 types of commonly-used excipients.
- Number 6, strategies for enhancing quality
- 16 and the equivalent risk management during generic
- 17 drug product development. Assessment of the
- 18 comprehensive safety risk for food additives in
- 19 oral drug products.
- 20 So additional points to consider besides the
- 21 six that you provided to us and we tried to give
- 22 you some clarity on response; those are not deep

- 1 stakeholders, besides just the generic industry, in
- 2 order to develop a comprehensive and meaningful
- 3 2017 regulatory science initiative program.
- 4 Thank you, and happy to take any questions.
- 5 DR. LIONBERGER: Thank you, David.
- 6 DR. BOAM: Thank you, David. I was just
- 7 going to ask, and since I realize this is probably
- 8 a compilation of recommendations from your members,
- 9 would just welcome a follow-up to the docket. But
- 10 one of the items under number 5 you asked for was
- 11 discussion expectations on aseptic processing.
- 12 It would be useful to know what about our
- 13 current guidance on aseptic processing is lacking.
- 14 If there are certain aspects of that you'd like us
- 15 to expand upon, or if there's certain things that
- 16 are either not covered or not covered clearly in
- 17 that guidance, we would certainly welcome that
- 18 input.
- DR. GAUGH: So no, we're going to start
- 20 working groups on these ourselves. So whether
- 21 they're taken up by the agency or not, we'll have
- 22 working groups on them. So a lot of things have

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- 1 dives, as you can tell, but we would love to be
- 2 able to, as you develop programs, get into those
- 3 working groups that we talked about before.
- 4 But one such area was the creation of new
- 5 tools by the FDA for use in assessing the safety,
- 6 effectiveness, quality, and performance of generic
- 7 drug products. We think that's critically
- 8 important, and I've heard that already two or three
- 9 times today.
- The scope of this request was to include,
- 11 but not limited to, the FDA addressing the concerns
- 12 with regards to the reviewer consistency, updating,
- 13 improving, and enhancing the IID, as well as
- 14 improving the quality of the submissions that we're
- 15 talking about.
- 16 Industry's ask on the IID was to ensure data
- 17 reliability and the ability of industry and FDA to
- 18 make consistent and sound regulatory decisions,
- 19 improving quality standards for drug development,
- 20 and encouraging and promoting innovation.
- So in conclusion, we look forward to working
- 22 closely with the FDA and other industry

- 1 been changing over the past few years on aseptic
- 2 processing, and we want to make sure that we have a
- 3 clear understanding as the agency moves along the
- 4 spectrum of what aseptic processing is acceptable
- 5 and what is not. So we can come back with more
- 6 details.
- 7 DR. BUHSE: Thank you. These are many
- 8 specific targeted recommendations. But I want to
- 9 take a step back and ask about some of the terms
- 10 you used. I understand that the members in GPhA
- 11 are seeking more input into the development of the
- 12 regulatory science initiative.
- When you make a request for a discussion and
- 14 expectations, I think I understand the expectations
- 15 point. But with respect to the discussion, are
- 16 your members looking for an ability to speak with
- 17 FDA as we develop these scientific understandings.
- 18 or develop the scientific regulatory agenda to
- 19 address those? Or are you referencing more
- 20 discussion once we have developed these with
- 21 individual companies in a one-on-one way or
- 22 iterative way? Can you just talk a little bit more

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- 1 about what you meant by the discussion request?
- DR. GAUGH: Sure. And the answer to your
- 3 question is both. So at the moment, we are doing
- 4 some of that, and when I say we, the agency, GPhA,
- 5 and the appointed study universities, whatever they
- 6 might be.
- 7 So once the program has been assigned and
- 8 the program sponsor starts working on that project,
- 9 they do reach out to either industry companies or
- 10 to GPhA to have discussions and talk through how
- 11 that process is going to work.
- 12 I think it's very helpful because in some
- 13 cases, the definition that they have -- the study
- 14 they've taken on maybe is not completely understood
- 15 by the group that's taking it on.
- So if it's utilization of products, for
- 17 example, is it utilization of products that are
- 18 currently on the market or is it utilization of
- 19 products that -- not currently on the market, they
- 20 are currently on the market. But in some cases the
- 21 uptake of products is much higher than others.
- I didn't go through the slide, but generics

- 1 new tools by the FDA. Was there any particular
- 2 input from your member companies about what kinds
- 3 of tools that would be helpful or valuable?
- 4 DR. GAUGH: No. We don't yet. So that's
- 5 part of --
- 6 DR. UHL: Because I've got a big toolkit.
- 7 DR. GAUGH: We've got a big toolkit. No,
- 8 not specifics, but we want to -- again, we're going
- 9 to do that on our own. We'll get into a working
- 10 group to help define what that can look like.
- DR. UHL: Good. And then you could provide
- 12 that kind of input to the agency for sure.
- 13 DR. GAUGH: Absolutely.
- DR. UHL: In some prioritization schema?
- 15 DR. GAUGH: Yes.
- DR. UHL: Okay. That would be very helpful.
- 17 DR. GAUGH: Yes.
- 18 DR. UHL: Thank you.
- DR. LIONBERGER: Do you have currently
- 20 different working groups in regulatory science
- 21 areas where you have participation from broad group
- 22 of companies in those subgroups? Do have those

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- 1 are 88-percent of the utilization. So if you're
- 2 looking at a study that would be about increasing
- 3 utilization, it's going to be pretty hard to
- 4 increase that global utilization.
- 5 But if you're looking at specific products,
- 6 where in many drug categories -- as you know,
- 7 products are not utilized at 88-percent if they are
- 8 generic necessarily. They may be lower, in the 10
- 9 or 15 percent range.
- So having discussions with those study
- 11 groups around that helps redefine that focus. So
- 12 that's once assigned. But we would also like to
- 13 have discussions as you're going into the assigning
- 14 to make sure that the definition of where you're
- 15 going with the project and where we might think it
- 16 should go could have that discussion. And it might
- 17 help redefine it. It might not, but we think it
- 18 might be helpful.
- DR. UHL: David, thank you for coming and
- 20 thank you for your sharing of your members'
- 21 requests or input to the agency. On your second-
- 22 to-last slide, you mentioned new tools, creation of

- 1 organized? I mean it wasn't --
- 2 DR. GAUGH: Yes, we do have.
- 3 DR. LIONBERGER: What are the topics that
- 4 you currently have or people are organized for?
- 5 DR. GAUGH: So it depends, if you will, on
- 6 what we're talking about. In some cases stability
- 7 was one. That's not what we're here to talk about.
- 8 Emerging technologies is another. So I know that
- 9 the FDA is taking up emerging technologies as a
- 10 working group internally, not necessarily
- 11 externally. Continuous manufacturing is another
- 12 one that's been taken up by the agency.
- DR. LIONBERGER: I'm asking about groups
- 14 that the GPhA currently has of industry people.
- DR. GAUGH: I'm sorry. I'm saying we have
- 16 our own industry groups not related to the FDA.
- 17 DR. LIONBERGER: On these topics? Okay.
- DR. GAUGH: Yes. Those are just two
- 19 examples. Then we do have industry working groups
- 20 on continuous manufacturing, emerging technologies,
- 21 for example. And that also gets back to your
- 22 question about -- or not yours, I'm sorry,

- 1 Ashley's -- about the aseptic. So we're looking at
- 2 that as well.
- 3 DR. LIONBERGER: We'd encourage, in topics
- 4 where you have interest from multiple companies, to
- 5 facilitate forming these groups and having those
- 6 groups provide very specific recommendations into
- 7 the docket. If they're prepared this year, get
- 8 those groups to send in their consensus, things
- 9 into the docket in particular areas.
- DR. GAUGH: Right. Absolutely we will.
- 11 Yes.
- DR. STODART: Thank you. On slide 7, you
- 13 mention several methods or several areas where we
- 14 can improve transparency and communication. Do you
- 15 have any specific suggestions as how we would go
- 16 about achieving that?
- DR. GAUGH: I'm sorry. You said slide 7?
- DR. STODART: Slide 7, for transparency and
- 19 communication.
- DR. GAUGH: I'm still having a hard time
- 21 hearing which one --
- DR. STODART: No. On slide 7, you list

- 1 prioritization or do your members have any
- 2 prioritization?
- 3 It folds into the next question. Obviously,
- 4 even if you look at the list of members you have
- 5 here, not to mention the other non-GPhA
- 6 constituents of the generic drugs program, which
- 7 often have very different interests, how would you,
- 8 or we, prioritize these things when you have so
- 9 many constituents of your own with very different
- 10 priorities and very different opinions about what's
- 11 important and what's not?
- DR. GAUGH: You ask a great herding-the-cats
- 13 question.
- 14 DR. CONNER: Right.
- DR. GAUGH: So to answer your question, we
- 16 do have a large regulatory working group, and we
- 17 will go through now and work on this and get those
- 18 priorities there. You're right, there's \$20
- 19 million that was earmarked out of GDUFA I, but I
- 20 don't think that necessarily stops the agency from
- 21 using more than \$20 million in the GDUFA dollars or
- 22 in appropriation dollars.

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- 1 about five different areas in which FDA can improve
- 2 its transparency and communication. So I was just
- 3 asking whether there are any specific suggestions
- 4 you have as how we could go about achieving that.
- 5 DR. GAUGH: Again, no. We've just started
- 6 the working groups. So in the past years, to Rob's
- 7 point, we haven't had these robust working groups
- 8 together yet, and so we've just started pulling
- 9 those together. After conversations that we've had
- 10 with GDUFA negotiations in the past many months, we
- 11 realize to get to that point that you're asking
- 12 about, we need to get these working groups
- 13 together.
- DR. STODART: Thank you.
- DR. GAUGH: You're welcome.
- DR. CONNER: Yes. There are quite a few
- 17 points here where you're obviously asking for more
- 18 input into the regulatory program. But to repeat
- 19 Cook, who has made this -- and I'll make the
- 20 request before I make my comment, that you have a
- 21 rather large list of good ideas here. But only
- 22 being approximately \$20 million, do you have any

- 1 So we think there's opportunity for an even
- 2 broader base of projects and programs to work on.
- 3 But to your point, we'll come back with a priority
- 4 list because we know you can't work on all of these
- 5 that we're listing out, absolutely.
- 6 DR. LIONBERGER: All right. Thank you very
- 7 much, David.
- 8 DR. GAUGH: Thank you.
- 9 DR. LIONBERGER: So your next speaker is
- 10 Nikunjkumar Patel from Simcyp.
- 11 Presentation Nikunjkumar Patel
- DR. PATEL: Thank you, Rob, for introduction
- 13 and invitation to present at today's meeting. I
- 14 think there was a day-long workshop yesterday on
- 15 this topic which I'm going to speak today, so most
- 16 of the points I wanted to discuss today were
- 17 already discussed and debated. But this is a quite
- 18 interesting and evolving area of research which
- 19 could help generate product development and
- 20 assessment.
- So for the people who were not here
- 22 yesterday, and who are not from the field, what the

- 1 PBPK is, PBPK is physiologically based
- 2 pharmacokinetic modeling. And as you can see, when
- 3 you talk about pharmacokinetic, there are multiple
- 4 types of models which are typically used.
- 5 Some of them are empirical, like exponential
- 6 models, some compartmental models. Those type of
- 7 models are useful when you already have clinical
- 8 data and you want to see whether that clinical data
- 9 was obtained from one bucket of blood or one bucket
- 10 of blood and one bucket of fat, so those kind of
- 11 analysis.
- But when you look at the PBPK, PBPK is
- 13 basically based on the underlying knowledge of
- 14 physiology that we have, the current knowledge of
- 15 physiology, and you try to port out the system by
- 16 giving a drug product. So you are trying to assess
- 17 how the drug is going to treat a drug when given in
- 18 a particular product or a particular formulation.
- So it has quite a good predictive power.
- 20 And you can use prior information, so you can start
- 21 using it from early development until late stage.
- 22 And at each stage, you can try to build more and

- 1 assessment.
- Starting with QbD, so I picked up two
- 3 examples, but this is not and exhaustive list.
- 4 There are multiple examples in the literature. So
- 5 the first one is from the FDA group, so I think
- 6 this is a nice publication where they put together
- 7 a framework in which PBPK modeling can fit into a
- 8 quality by design type of assessment.
- 9 There is another recent publication from our
- 10 group, so I think they set up about, I think, five
- 11 or six different examples where modeling and
- 12 simulation can be used to answer or address some of
- 13 the questions which are typically raised in quality
- 14 by design paradigm.
- 15 Because of the interest of time, I am not
- 16 expected to go in detail. That's why I put the
- 17 references. So if you are interested, you can go
- 18 and have a look in detail.
- But when we look at this and some other
- 20 publications, there are many times they fit
- 21 parameters because I think the model is not
- 22 obviously predictive, so you need to add some of

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- 1 more confidence into your model, and finally will
- 2 have very good confidence so that you can use it to
- 3 make some critical decisions about product and
- 4 product changes.
- 5 So I think there is a long list of
- 6 applications where PBPK has been used, and these
- 7 are from the public literature. And this is not an
- 8 exhaustive list; there are even more applications.
- 9 Some of the critical one are an application in
- 10 quality by design or setting of dissolution
- 11 specification, establishing IVIVC. This is an
- 12 important one.
- 13 I think there was a quite good amount of
- 14 interest in pediatric and how to assess them.
- 15 Maybe PBPK can help to translate adult data to
- 16 pediatric, or maybe a disease population. Impact
- 17 of food effect as well as impact of proton pump
- 18 inhibitor at a gut level drug-drug interaction,
- 19 what show bioequivalence.
- This is another important point I want to
- 21 discuss today, is that assessing the untested
- 22 scenarios to fill the gaps in the product

- 1 the known or uncertain parameters.
- 2 So the question I have is, basically, when
- 3 you do a fitting, because these are the complex
- 4 models, and physiology is so variable and
- 5 uncertain, maybe you are estimating or fitting a
- 6 drug and formulation parameter which might be
- 7 accounting for some uncertainty in the physiology.
- 8 Maybe your physiology is not right and you are
- 9 unknowingly estimating a product parameter to
- 10 represent uncertainty in the physiology.
- So in those cases, the question is, what is
- 12 a qualification criteria? When you fit a
- 13 parameter, what should be your endpoint? How do
- 14 you decide whether the parameter you fitted is
- 15 correct or you are not over-emphasizing on a
- 16 particular property?
- 17 The second question is that -- I think this
- 18 is another ongoing debate and discussion -- what is
- 19 a physiology in the PBPK platform? If you look at
- 20 different platforms, there are sometimes some
- 21 parameters which are arbitrary. Some of them are
- 22 assumed.

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- 1 So the question is, when you use PBPK, do
- 2 you need to have reference for physiology which is
- 3 being used in a platform -- or by a user, because
- 4 they are obviously modifiable -- so do you have to
- 5 have a physiology which is scientifically
- 6 traceable, which is actually linking to a
- 7 physiological measurement based on our current
- 8 understanding? Or it can be assumed or arbitrary.
- 9 If it is assumed or arbitrary, what is your
- 10 acceptance criteria?
- 11 Again, it is basically building upon the
- 12 previous question. So basically, PBPK is a
- 13 probabilistic modeling rather than an accurate, or
- 14 basically like compartmental kind. It is where you
- 15 have data, you try to explain it. So when it is a
- 16 probabilistic science, is it all right to just use
- 17 an average human physiology provided in the
- 18 platform, or you need to do a population
- 19 simulation?
- I think there was a quite interest in the
- 21 discussion yesterday on global sensitivity
- 22 analysis. So that basically says to you that you

- 1 same under a given physiological condition,
- 2 et cetera? So probably this type of assessment can
- 3 be done using PBPK. This was another publication
- 4 from Rob's group.
- 5 The third publication is from AstraZeneca.
- 6 So what they did is they had an immediate-release
- 7 formulation and extended-release formulation in
- 8 adults.
- 9 Also, they had assessed the immediate-
- 10 release formulation in pediatric, but they did not
- 11 assess, or they did not have clinical data, of XR
- 12 in pediatric. So they wanted to see whether they
- 13 can make some projections how this is going to
- 14 behave in adolescent patients.
- So they had an IVIVC established, validated,
- 16 and accepted for XR formulation in adults. So they
- 17 tried to translate the IVIVC for children, and
- 18 tried to make some decision on the dose as well as
- 19 the expected population variability.
- 20 When we talk about pediatric, I think
- 21 pediatric is an interesting area of research as
- 22 well as quite challenging, because obviously,

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- 1 need to account for all the uncertainty in the
- 2 physiology, as well as variability, to make a
- 3 decision. So this is another question. I think we
- 4 need to address all these questions before we can
- 5 move on to use it as a regulatory submission, too.
- 6 Another application is basically translating
- 7 adult to pediatric data. So I think this is a very
- 8 recent publication from Jennifer Dressman's group.
- 9 They developed and validated a formulation, or
- 10 basically PBPK model, for fluconazole and
- 11 ketoconazole, and then tried to see if they can
- 12 translate this information to a children or
- 13 basically adolescent patient. So I think there was
- 14 some discussion on ontogenies of enzymes.
- So basically, these two drugs have been
- 16 metabolized by the enzymes, which undergoes
- 17 significant modification in early ages. And that's
- 18 why the children dose is relatively higher in terms
- 19 of milligram per kg as compared to an adult.
- 20 Also, the physiology difference is in the
- 21 gut. If you use the same formulation in adolescent
- 22 or children population, is it going to behave the

- 1 pediatrics are not that much involved in clinical
- 2 studies so we do not have sufficient knowledge of
- 3 physiology. And there are sometimes scarce and
- 4 contradictory data.
- 5 So one of them is basically gastric
- 6 emptying. So there is some publication which says
- 7 that the gastric emptying is related to the age.
- 8 Some people say that it is not. So in such case,
- 9 what to do? What is the physiology that you should
- 10 use?
- So probably in such cases there is a
- 12 solution that you need to look and understand and
- 13 collect all the information available, and then
- 14 perform a scientific meta-analysis to see whether
- 15 you can find some sort of relationship or not.
- We tried to do it, and it is published now,
- 17 the paper in DMD, that there is no age relationship
- 18 of gastric emptying. However, there is a strong
- 19 relationship with the food, and the food taken by
- 20 pediatric at various ages is different. So
- 21 basically the food, because of the type of food
- 22 they eat at different ages, probably that is why

- 1 they are seeing different gastric emptying time,
- 2 not necessarily because of the age.
- 3 Again, when you have unknown or uncertain
- 4 parameter, what should be the qualification
- 5 criteria? When can you accept the model?
- 6 This is a third application predicting the
- 7 food effect. So I think there are a number of
- 8 examples here, but the main question is, sometimes
- 9 you have some parameters which are not
- 10 experimentally measured, and people tend to use
- 11 QSAR model to estimate those parameter. Or
- 12 basically you can estimate something from chemical
- 13 structure -- for example, permeability or PK -- and
- 14 use it to make a prediction.
- 15 I came across quite interesting example
- 16 recently, and they used QSAR. But when used
- 17 experimental data, their conclusion was totally
- 18 different. So you need to make sure, when you have
- 19 some parameters, whether they are acceptable. If
- 20 not, then are you going to recommend them to go and
- 21 measure experimentally? Or what is the minimum
- 22 number of parameters that can be estimated?

- 1 to assess the equivalence at PD level rather than
- 2 PK. So you can see that for ibuprofen, the PK
- 3 level, there is a strong discrimination. But
- 4 because of the flat response profile, there is not
- 5 much discrimination.
- 6 This is a final and very important example I
- 7 wanted to discuss. So again, we generally assume
- 8 that the bioequivalence at healthy subjects is
- 9 valid for a patient population. But when you look
- 10 at it for ketoconazole and posaconazole, because of
- 11 the behavior of the drug and formulation, if the
- 12 drug was bioequivalent in fasted condition, does
- 13 not necessarily mean that they are equivalent in
- 14 fed condition.
- 15 There are certain conditions which are more
- 16 discriminatory than another condition. So probably
- 17 this type of simulation can also help what should
- 18 be the bioequivalent study design which can allow
- 19 you to discriminate to the best possible way for
- 20 different formulation.
- So to summarize, we need to have more case
- 22 examples to improve the confidence in PBPK. The

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- Another application is IVIVC. So I think
- 2 with PBPK there was a lot of discussion, and this
- 3 is one of the potential area that can have more
- 4 confidence. So we tried to compare PBPK with
- 5 conventional approach and I think I don't have lot
- 6 of time to go in detail. But the same approach was
- 7 taken up by a colleague in FDA, Bipin and Marilyn.
- 8 So they basically tried to assess the application
- 9 of mechanistic IVIVC at population level. They had
- 10 access to individual data.
- 11 They perform two type of validation. Leave
- 12 one formulation out, which is typical. So every
- 13 time, they left one formulation out and tried to
- 14 see how well the IVIVC predict for an unknown
- 15 formulation. And they also performed a bootstrap.
- But I think, on top of that, they performed
- 17 an interesting analysis because the purpose of
- 18 IVIVC is to predict for an unknown person or
- 19 unknown population. So they left one individual
- 20 out to see whether the IVIVC can predict all three
- 21 formulations for a missed-out subject.
- This is another application where they tried

- 1 second and most important is that we need to have
- 2 more than qualification criteria. What is
- 3 acceptable model. Then we need to establish good
- 4 practices to improve the application of PBPK in
- 5 regulating modeling because at the moment, if you
- 6 look, there are multiple types of models available
- 7 and people use PBPK with a lot of different things.
- 8 So you need to have some sort of an idea of what is
- 9 good practice.
- 10 We need to understand more about
- 11 interoccasion variability. I think there was a
- 12 discussion, and FDA is already funding some grants
- 13 to do and understand more about how the human
- 14 physiology changes on different occasion, and how
- 15 the formulation will behave.
- 16 I think we need to also have some more
- 17 research on modified and enabling formulation, as
- 18 well as assessing the mechanistic assessment of
- 19 excipient impact; for example, cyclodextrin
- 20 exchange as well as some of the enabling
- 21 formulation where polymer is used to inhibit
- 22 precipitation, et cetera. And thank you.

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- 1 DR. LIONBERGER: Thank you.
- 2 DR. UHL: So I'll ask my same question I've
- 3 asked many times. Your previous slide had at least
- 4 half a dozen or more suggestions. If you can
- 5 answer this now, what would be your number one
- 6 priority, or how would you recommend prioritizing
- 7 that and submit it to the docket?
- 8 DR. PATEL: Well, if given a choice, I would
- 9 invest all \$20 million in this so we sort it out.
- 10 (Laughter.)
- DR. UHL: Well, that's true. But you have a
- 12 lot of suggestions related to PBPK.
- 13 DR. PATEL: Yes.
- DR. UHL: So that, in the context of generic
- 15 drug development, which do you think would be most
- 16 impactful?
- DR. PATEL: I think, with the current status
- 18 and based on some discussions yesterday, I would
- 19 say we need to first arrive at what is a qualified
- 20 model and what are the good practices. So once we
- 21 set up our baseline where the model works and where
- 22 it doesn't, with current knowledge, then we can

- 1 very good question. So I think if you look at the
- 2 points, there are certain points where I think we
- 3 need more regulatory input. For example, what is
- 4 good practice? What is good model qualification
- 5 criteria? Where we need more input from regulators
- 6 and based on your own understanding or assessment?
- 7 Certain of research items, like interoccasion, it
- 8 can be funded by government or it can be funded by
- 9 academia or industry, et cetera.
- So there are certain aspects which can be
- 11 done independent of regulatory funding, but there
- 12 are certain aspects where we need at least some
- 13 sort of cooperation between industry, academia, and
- 14 regulators to come up to a conclusion that -- and
- 15 this is not an easy question to answer. What is
- 16 qualified model is ongoing debate and discussion.
- 17 So it requires, really, a strong effort.
- 18 I think I forgot to mention about the OrBiTo
- 19 project, which is an interdisciplinary project
- 20 where a lot of effort has gone in to see where the
- 21 models can predict and where it cannot, what should
- 22 be the qualification criteria, and what should be

- 1 move further.
- 2 So I think for a first priority, I think we
- 3 need to set up some sort of a model qualification
- 4 criteria and what is acceptable model, what are the
- 5 good practices, and then see how well the
- 6 prediction performs.
- 7 So basically, there needs to be some
- 8 assessment of case examples where you can assess in
- 9 what cases you have good confidence or less. So
- 10 there needs to be more research on generating case
- 11 examples and generating some good practice
- 12 guidelines, and then the rest of them can be
- 13 followed up.
- DR. LIONBERGER: Thank you very much. Oh,
- 15 I'm sorry.
- MS. PEREZ: You mentioned that we need more
- 17 research and then sort it that way. But when you
- 18 say we need more research, are you suggesting the
- 19 FDA does more research on this, or the industry, or
- 20 yourself? Who is going to conduct this research
- 21 and come up with these parameters for the industry?
- DR. PATEL: Yes. I think, yes, that's a

- 1 the good practices, et cetera. So I think there is
- 2 a need to have an interdisciplinary research
- 3 approach to arrive at some conclusion on what is
- 4 the good practice.
- 5 MS. PEREZ: Thank you.
- 6 DR. PATEL: Okay. Thank you.
- 7 DR. LIONBERGER: Thank you very much.
- 8 So our next speaker is Russ Rackley from
- 9 Mylan.
- 10 Presentation Russ Rackley
- 11 MR. RACKLEY: Okay. Thank you. I'm Russ
- 12 Rackley. I'm head of global PKDM at Mylan
- 13 Incorporated. And I want to thank you all for
- 14 letting me make a brief presentation today. These
- 15 are my views and not necessarily those of the
- 16 official opinions or policy of Mylan.
- But I will speak to the challenges with the
- 18 demonstration of statistical noninferiority of
- 19 adhesion and irritation for transdermal drug
- 20 delivery systems using the OGD bioguidance method.
- So I'll get right to the issue here. The
- 22 problem with the current adhesion or irritation

- 1 noninferiority testing is based on using OGD's
- 2 recommended scoring scale. When a product scores
- 3 very well or performs well, the adhesion or
- 4 irritation scores are zero or approach zero.
- 5 So for the current guidance, the
- 6 noninferiority margin is proportional to the mean
- 7 score of the RLD. And the consequence of that is
- 8 its noninferiority margin also then approaches
- 9 zero.
- So one thing, one comment: In my experience
- 11 of 15 years at Mylan, and seeing a lot of evolution
- 12 over time, I think this may not initially have been
- 13 as much of a problem. But we're seeing more RLDs
- 14 that are performing very well, and this is where
- 15 the challenge comes in.
- So the requirement is forcing generics
- 17 practically to perform as a superior product
- 18 relative to the RLD and/or could potentially
- 19 require extraordinary powering considerations. And
- 20 that's in a space, as I'll illustrate, where
- 21 there's little room to improve already on what we
- 22 consider good product. So Mylan believes the

- 1 test mean irritation on the Y-axis and reference
- 2 irritation on the X-axis. And there's a line of
- 3 identity there you'll see that goes where the test
- 4 and reference would be equal. The dashed red line
- 5 shows where the criteria for noninferiority would
- 6 be, and it's proportional based on the ratio of
- 7 1.25.
- 8 So as you approach to zero, this margin
- 9 effectively diminishes. So test products are
- LO forced into a performance at very low levels, so
- 11 around a mean score reference of 1. There's a
- 12 little space there to operate or perform relative
- 13 to the same level as the reference product.
- 14 But as the reference scores become lower and
- 15 lower, this forces the performance of the test
- 16 product -- the generic, that is -- to be lower and
- 17 lower again and squeezed into an area where there's
- 18 little room for improvement. And the performance
- 19 is superior in that there has to have almost a
- 20 lower score, or does have to have a lower score.
- 21 I'm going to illustrate this with two
- 22 examples based on some actual data. This is

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- 1 current guidance, although again not intended to do
- 2 so, effectively serves as an inappropriate block to
- 3 generic approvals.
- 4 So I'll briefly touch on the criteria here.
- 5 the statistical test, as outlined in the current
- 6 guidances. And this is for adhesion and/or
- 7 irritation. Basically, we're looking at a one-
- 8 sided test for the 95 percent upper confidence
- 9 interval based on the mean test score minus
- 10 1.25 times the mean reference score. And this
- 11 should be less than or equal to zero.
- The point I just want to make on this
- 13 equation is it could be rearranged so that you
- 14 could show the mean reference score in the
- 15 denominator. So as you have a mean reference score
- 16 that approaches zero, as we're starting to see more
- 17 and more of, this greatly inflates the metric such
- 18 that it becomes very stringent to meet the criteria
- 19 against any kind of constant or criteria for
- 20 noninferiority.
- 21 I'll try to illustrate that a little bit
- 22 with this graph. I've illustrated here a graph of

- 1 example one, illustrating good adhesion
- 2 performance. On the left panel is data for the
- 3 generic, and on the right is the reference listed
- 4 drug. And this is based on 36 subjects that wore a
- 5 high-strength patch for one 24-hour interval.
- 6 Adhesion was checked at 4-hour intervals per
- 7 the OGD adhesion scale. And the scale score is
- 8 again zero -- it was the best performance -- 1, 2
- 9 and 3. One is 90, or zero is greater than
- 10 90 percent, greater than or equal to 90 percent.
- 11 One is 90 to 75. Two is 75 to 50. Three is less
- 12 than 50 percent adhesion.
- Over at the first check, at 4 hours, there's
- 14 very good performance. Nearly all subjects have a
- 15 score of zero. There's good adhesion. As time
- 16 goes on, there's a little bit of disadhesion over
- 17 time, and you'll see some distributions go out to
- 18 scores of 1, 2, and 3, and so forth.
- 19 If you sum these scores over time, you'll
- 20 get the cumulative adhesion scores for each
- 21 product. And that's illustrated here graphically
- 22 in this bar chart, with the blue bars representing

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- 1 the test product, the generic. And it looks like
- 2 the lighter bars up there -- maybe I'm colorblind.
- 3 On here it's blue, but up there it's grey. It's
- 4 switched. But anyway, the left side is the test.
- 5 The right side for each pair is the reference.
- The point here is that there's a very
- 7 good -- there's a high proportion of zero scores in
- 8 this dataset. Distributions are fairly comparable
- 9 as you go out and tail out. So overall, this
- 10 represents very good-performing products.
- So in fact, the total observations for the
- 12 test product was such that 86 percent of
- 13 observations had a score of zero, again accounting
- 14 for all observations. The reference had 85 percent
- 15 of all scores equal to zero.
- We look at the mean adhesions on these, and
- 17 they're identical at 0.181. And we look at the
- 18 metric here, and the upper confidence interval is
- 19 0.0225, which is greater than zero, so it fails the
- 20 metric in this case.
- 21 If you consider this amount, this interval
- 22 above zero, it effectively relates to -- the test

- 1 each of the observed irritation scales, scores in
- 2 this case, you get the bottom score, which is a
- 3 cumulative irritation value. And that's
- 4 illustrated again the distribution in this chart.
- 5 So you'll see the preponderance of scores.
- 6 Again, on the left is the generic. The right is
- 7 the reference. Predominately scores of 1, which
- 8 again is barely perceptible erythema on the dermal
- 9 scale; or a 2, which is definite erythema, or could
- 10 be a combination of scores of dermal and other
- 11 scores. But the point is, there is a very similar
- 12 pattern and distribution, again predominant around
- 13 1 and 2 for most subjects across the study.
- 14 If we look at the summary on this, you'll
- 15 see similar mean scores of about 2. The upper
- 16 confidence interval is minus .41, which is well
- 17 below the criteria, so it would pass. There's
- 18 enough space there in that interval such that you
- 19 could almost be 15 to 20 percent higher relative to
- 20 the reference and it would probably pass. And
- 21 that's normal for a bioequivalence type of
- 22 consideration, but this is one-sided with respect

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- 1 would have had to perform about 12 percent or more
- 2 better to shift everything down and meet the
- 3 criteria. So that's what I'm getting to, is in
- 4 terms of -- there seems to be push to a superior
- 5 performance aspect.
- 6 Moving on, and these are busy slides, but
- 7 this is a similar kind of situation where we're
- 8 getting moderate scores, in this case irritation.
- 9 It could apply to adhesion as well. This was a
- 10 study in which 36 subjects wore a patch daily over
- 11 21 days with same site application. Again, the
- 12 left side is the generic. The right side is a
- 13 reference.
- 14 Starting out, both products have
- 15 roughly -- about a third of the subjects had scores
- 16 of zero. So even after one application, there's
- 17 very few subjects that --there's a minority of
- 18 subjects that had no irritation, and more that had
- 19 barely observable irritation. And that
- 20 distribution shifts over time as the study's
- 21 conducted to 21 days.
- Again, if we sum the scores over 21 days per

- 1 to noninferiority.
- 2 The current OGD guidance method suffers from
- 3 the use of nonlinear discrete scale, good adhesion
- 4 or irritation results, and datasets consisting
- 5 largely of zeros. And as a result, as the
- 6 reference approaches zero, the margin essentially
- 7 disappears, which again forces the generic to
- 8 essentially perform in a superior manner and/or
- 9 could require extraordinarily high numbers of
- 10 subjects from a powering point of view.
- Thus, there's a need for an updated
- 12 noninferiority testing method for both adhesion and
- 13 irritation that will span the spectrum of RLD
- 14 performance, particularly for well-performing RLDs,
- 15 which predominately score out at zero, according to
- 16 the scales.
- 17 We've contemplated some alternatives. One
- 18 would be just change the scale for adhesion so it
- 19 directly relates to performance of the product. So
- 20 you could use any kind of score, but as long as it
- 21 relates to in this case it could be a 9 or 95 down
- 22 to a lower score, but relates proportionately to

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- 1 the degree of adhesion observed in the clinic
- 2 during the study. And I just note this because the
- 3 EMEA has endorsed this approach, and we feel this
- 4 method should be considered in reevaluation.
- 5 A more simplistic approach might be simply
- 6 to adjust the scale. Rather than start it at zero,
- 7 start it at 1. This is effectively adding 1 to
- 8 your overall scoring. So this would compensate for
- 9 the problems that we have with the metric, and it
- 10 would be a very simple solution to implement, and
- 11 would accommodate the issue for both irritation and
- 12 adhesion.
- So questions? Does OGD agree with the
- 14 current metrics for noninferiority testing for
- 15 adhesion and irritation that need to be modified to
- 16 accommodate all types of product responses? And
- 17 can OGD promptly provide an alternative method for
- 18 generic companies to fairly compare their products
- 19 to the RLDs across the full range or spectrum of
- 20 RLD responses anticipated for both adhesion and
- 21 irritation?
- Again, acknowledge this has been an ongoing

- 1 considerations. But I see the root of the issue as
- 2 being how to address the scale itself.
- 3 DR. UHL: Okay. So I appreciate that. I
- 4 just want some clarity on your concern here because
- 5 on your second slide, you say that this is for
- 6 good-performing products. So I just want to
- 7 understand what good-performing products means. Is
- 8 that products that have good adhesion?
- 9 MR. RACKLEY: Yes.
- 10 DR. UHL: Okay. So for --
- 11 MR. RACKLEY: And/or low irritation.
- DR. UHL: Okay, so for product --
- MR. RACKLEY: So clinically speaking, you
- 14 want a patch that has great adhesion, performs
- 15 well, and it consequently will score as a zero. It
- 16 should have low irritation as well, ideally, and
- 17 will consequently also score as a zero.
- So the problem exists the way the guidances
- 19 are written for both adhesion and irritation in
- 20 that scores of zero reflect good performance of the
- 21 product, of the RLD, is what drives the criteria
- 22 here.

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- 1 consideration, but we are seeking some
- 2 consideration. And I have already pre-prioritized
- 3 this as an issue for recommendation. So I'll take
- 4 some questions.
- 5 DR. UHL: Yes. So can you tell me what your
- 6 priorities are, then? Because you actually asked
- 7 us questions, which is not the forum in a Part 15
- 8 hearing. The agency gets to ask the questions. So
- 9 if you want to prioritize, that would be great.
- 10 And I have a follow-up question for you as well.
- MR. RACKLEY: Okay. Really, it's coming
- 12 back to I prioritized these questions for the
- 13 panel to consider. So really, the issue is
- 14 fundamental. It's around the scales that the OGD,
- 15 I think, use. It relates to use of zero for
- 16 identifying with good performance, which is
- 17 somewhat counterintuitive, I think. But it depends
- 18 on which way you look at the scales.
- So it's almost as though any other score
- 20 other than zero might work in this situation.
- 21 There are other ways to go about it using different
- 22 perhaps statistical approaches or other

- 1 DR. UHL: I appreciate that. So what you're
- 2 saying is that this aspect of the noninferiority
- 3 testing problems that you're pointing out are
- 4 relevant for patches that are highly adherent?
- 5 MR. RACKLEY: Yes, highly adhering, low
- 6 irritating.
- 7 DR. UHL: Right.
- 8 MR. RACKLEY: This occurs, as I
- 9 mentioned -- we see this more and more, I think,
- 10 for some RLDs. They may have one or both of these
- 11 parameters that perform that way. So it presents a
- 12 problem, that the probability of encountering this
- 13 is fairly high.
- That's where we see the issue, how to
- 15 address this when RLDs -- when you have to go up
- 16 against an RLD that forces you to want to perform
- 17 better, but there's little room to improve on a
- 18 product that's already getting the best possible
- 19 score sometimes.
- DR. CONNER: Yes. Since this a regulatory
- 21 research meeting, in this particular topic, what
- 22 are your research ideas? Where would you like us

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- 1 to focus research dollars on addressing this, or
- 2 related issues to this? Do you have any kind of
- 3 projects or things that need -- questions that you
- 4 feel need to be answered through research?
- MR. RACKLEY: There's a wealth of data out 5
- 6 there already that have been, I'm sure, submitted.
- 7 And I believe this is a problem that is throughout
- 8 the industry. So datasets are there that could be
- 9 taken and potentially used in evaluations via
- 10 simulations, bootstrapping considerations, that
- 11 sort of thing, to really explore how best to -- if
- 12 one were to modify either the scale or the metric,
- 13 how to modify that sort of data.
- 14 So the question would be, then, how would
- 15 you disseminate or make that data available? It
- 16 needs to be relevant data relative to actual kinds
- 17 of observations that are seen in these kinds of
- 18 studies.
- 19 DR. CONNER: Also, I think, one of your
- 20 first slides you specified the current
- 21 noninferiority method that we're using. But I
- 22 think that we've gone beyond this. This is not

- 1 end. It's not a problem on the other end. There's
- 2 still a potential problem in the middle.
- 3 DR. LIONBERGER: Thank you.
- So our next speaker is David Schoneker,
- 5 representing IPEC Americas.
- Presentation David Schoneker 6
- MR. SCHONEKER: I'd like to thank the FDA 7
- 8 for giving me the opportunity to speak on a topic
- near and dear to us at IPEC Americas today. We've
- 10 heard a lot throughout the day, almost from every
- speaker, about the importance of excipients in a
- lot of different ways -- the importance to
- 13 formulation science, manufacturing science,
- 14 pediatrics. Ajaz brought up the need for simple
- 15 versus complex formulations.
- 16 I'd like to put that into perspective with
- what's really happening out there that I'd like to 17
- talk about. And that is, we talk about the need
- 19 for more focus and more science in the area of the
- 20 impact of excipients on formulation quality and
- 21 performance, which is what's really key.
- 22 But before formulators start picking

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1 entirely 100 percent accurate since we've added a

- 2 90 percent role on top of that, which I think we
- 3 have actually discussed with some of your -- some
- 4 of the GPhA member companies who had issue with
- 5 this.
- So this isn't the complete story on how we
- 7 handle these, although, granted it is still an
- 8 issue, and it's still worthy of pursuing. But it's
- 9 not entirely 100 percent accurate, as far as that
- 10 goes.
- 11 MR. RACKLEY: Well, I don't know that
- 12 it -- yes, I didn't know if that was necessarily
- 13 public knowledge, so I did not really comment on
- 14 that. But I don't know that it necessarily, as I'm
- 15 referring to this, really deals with the full
- 16 spectrum of RLD responses, so from 100 percent
- 17 down -- or from scores of zero to whatever the
- 18 maximum score is.
- 19 So you can think of this as percentage of
- 20 adhesion if you want to, so from 100 percent
- 21 adhesion down to zero percent adhesion. So I don't
- 22 know that it fully covers. I mean it covers one

- 1 excipients for formulations based on that kind of
- 2 data, the first thing they have to address is the
- 3 safety of the excipient. So that's actually the
- 4 first and the biggest driver that's actually going
- 5 into drug development today.
- 6 Unfortunately, due to the inappropriate use
- of some of the existing tools, and the lack of some 7
- new tools that are needed, we're finding that this
- is driving generic drug development, in some cases, 9
- 10 in the wrong direction.
- 11 Now, I'd like to coin a new term today.
- 12 We've heard a lot about QbD, QbR. I'd like to talk
- about QbI. And QbI is quality by IID. Okay?
- Because that, as I go around the country and around
- the world talking to generic companies, is what's
- 16 driving how many generic drug formulations are in
- 17 fact developed. And I'll talk more about that as I
- go through the slides. 18
- So IPEC Americas, as with GPhA, we have a 19
- 20 lot of members. We have over 80 member companies
- 21 here in the US, over 350 member companies around
- 22 the world, and we represent many of the biggest

generic OTC innovator drug companies, most of themajor excipient companies all over the world.

- 3 So some of our key concerns, getting at my
- 4 points earlier, is that we believe that some of the
- 5 current OGD policies and guidance for generic drugs
- 6 related to excipient safety review are really not
- 7 science- and risk-based.
- 8 We like to talk a lot about science- and
- 9 risk-based, but what we see actually happening is
- 10 not necessarily so, based on good toxicology and
- 11 good safety reviews used throughout the world.
- 12 It's not really aligned sometimes even with the way
- 13 these materials get looked at by other areas, even
- 14 within the FDA, from the new drug side, to CFSAN,
- 15 to the cosmetic folks.
- The current policies and guidances, such as
- 17 the RTR guidance and the controlled correspondence
- 18 guidance, related to where it talks about the use
- 19 of the IID are actually creating barriers to
- 20 innovation and significant confusion throughout the
- 21 industry.
- For example, in the RTR guidance, it says

- Now, putting it in context of the questions
 - 2 that were asked for this particular session, I'm
 - 3 going to focus on number 1, 5 and 6. So in the
 - 4 area of technical advancements that are needed to
 - 5 overcome specific barriers, again we believe that
 - 6 the current excipient safety review and the
 - IID-related policies are stifling innovation.
 - 8 It's wasting FDA resources, and resulting in
 - 9 the development of non-optimized generic drug
 - 10 product formulations. Now, I'll come back to that
 - 11 in a minute because that's a very interesting
 - 12 point.
 - But on number 5, what I'll be talking about
 - 14 is the need for a read-across approach to excipient
 - 15 toxicology review that is needed for the evaluation
 - 16 of excipient families. We tend to call that the
 - 17 family approach within IPEC. And that's needed in
 - 18 order to facilitate streamlined assessments based
 - 19 on good science.
 - This practice is the most common practice
 - 21 used by regulators around the world, and it's
 - 22 already used, as I mentioned earlier, in many other

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- specifically that any use of novel excipients means
- 2 that it shouldn't be a generic drug, it should be a
- 3 505(b)(2). Okay? Now, I'm going to come back to
- 4 the fact that novel excipients can be defined a lot
- 5 of different ways, not just new chemical entity
- 6 type of excipients. But I'll come back to that.
- 7 Now, IPEC Americas and GPhA has had a
- 8 working group, and we've been working very closely
- 9 with folks at FDA, a combination of people from
- 10 many different departments in OGD and many other
- 11 groups. And we've been working since 2011 to not
- 12 only make improvements in the IID, but also to try
- 13 to address some of the policies around how this
- 14 gets used in the area of drug development.
- 15 Unfortunately, we've submitted a lot of
- 16 information, had a lot of discussions, but some of
- 17 the most key decisions I'll touch on today have
- 18 really still not been made that are needed to be
- 19 implemented by FDA, even here in 2016. So we feel
- 20 that there is a need on the one hand for better
- 21 coordination of some of these concepts between OPS
- 22 and OGD and the industry.

- 1 parts of the FDA to essentially bracket families of
- 2 things like polymers where all the toxicology is
- 3 the same. That's not necessarily how it gets
- 4 applied in generic drug development.
- 5 The last one related to strategies for
- 6 enhancing equivalent risk management. We believe
- 7 that the acceptance of this family approach, and
- 8 the need for an independent novel excipient
- 9 qualification process, could speed up generic drug
- 10 development, improve drug quality and performance,
- 11 and enhance the use of advanced manufacturing
- 12 techniques, such as continuous manufacturing.
- Now, the ANDA process, the impact that the
- 14 IID has on this -- we believe, again, some changes
- 15 are needed to improve the efficiency of the ANDA
- 16 process for excipient safety review. This would
- 17 help the agency and industry meet GDUFA goals.
- 18 apply science-based risk assessment principles,
- 19 minimize reviews of redundant excipient toxicology
- 20 information, and reduce confusion regarding the 21 IID.
- Now, the current IID and the associated

- 1 policies, as it's being applied today, we believe
- 2 is insufficient to support efficient drug
- 3 development and approval, and we must streamline
- 4 this process and use good science to assess what is
- 5 the real risk.
- The real risk, in most cases, many commonly
- 7 used excipients are extremely safe. There's really
- 8 not much of a safety issue when you're using
- 9 existing materials, even at higher levels,
- 10 et cetera, as I'll talk about.
- So some of the new uses of existing
- 12 excipients that come up in drug development, and
- 13 novel excipients -- and I'll say that are not new
- 14 chemical entities because FDA's own definition of a
- 15 novel excipient includes new chemical entities,
- 16 coprocessed excipients, higher levels of existing
- 17 excipients, new routes of administration,
- 18 coprocessed excipients, et cetera.
- 19 If we can use these materials more
- 20 effectively, and again, recognizing that new
- 21 chemical entity type of excipients might be more
- 22 appropriate for innovator drugs, but a lot of these

- 1 at a level higher than what's in the IID, and since
- 2 they don't know what the MDI is, that their MDI
- 3 shouldn't exceed what's in the IID, which doesn't
- 4 make a lot of sense.
- 5 But that's what's actually happening, and
- 6 people have told me they have formulated
- 7 non-optimized products just because they want to
- 8 stay under the IID, even though they know they
- 9 could use more of a particular excipient and get
- 10 much better performance. Instead, they use, many
- 11 times, multiple grades of the same excipient so
- 12 they can stay under the grade level that's listed,
- 13 which adds complexity and unknowns to the
- 14 situation.
- So the process should be consistent, we
- 16 believe, with risk management concepts, good
- 17 science and global toxicology practices, and
- 18 quality by design principles. Some of the key
- 19 things that we're looking for is, we'd like to have
- 20 a standardized approach for supplying inactive
- 21 ingredient information to streamline the submission
- 22 and review process. We've already worked on some

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- 1 other types of novel excipients are being avoided
- 2 in many different ways.
- 3 If we can use these, it would enhance high
- 4 quality generic drug development at equivalent
- 5 performance to innovator drugs in many cases. It
- 6 would also allow us to improve manufacturing
- 7 productivity and help control the cost of the
- 8 generic drugs.
- 9 Now, this next point I want to elaborate on
- 10 just a bit. I said many generics are being
- 11 designed with less than optimum formulations due to
- 12 barriers in the excipient safety review process for
- 13 ANDAs.
- 14 I get out to many, many generic companies
- 15 all around the world. I just came back from a week
- 16 in India. I talked to hundreds and hundreds of
- 17 formulators, and I've talked to many here in the
- 18 US.
- The thing I hear consistently from the
- 20 majority of these people is that their companies
- 21 have a policy in place that says under no
- 22 circumstances should a formulator use any excipient

- 1 of this. We'd like to see it implemented.
- We'd like to use this -- again, the excipient
- 3 family approach -- to facilitate common pharm/tox
- 4 evaluations for related excipients; prioritize a
- 5 one-time review of excipient families where in fact
- 6 the same exact toxicology will always apply to
- 7 everything in that family, regardless of the
- 8 context of use; and revise FDA guidance documents
- 9 by correcting contradictory and inconsistent
- 10 information.
- So what's an excipient family? Well, again,
- 12 I alluded to this before. It's many times many of
- 13 the families that are the most common excipients
- 14 out there, such as polymers like hypromellose,
- 15 et cetera, are chemically similar but may have
- 16 various grades in the family that are all covered
- 17 by the same toxicological standpoint.
- 18 Hypromellose is a great example. JECFA, and
- 19 in fact CFSAN, has already agreed that there is no
- 20 safety difference between any grade of
- 21 hypromellose. In the food arena, you can eat up to
- 22 20 grams. FDA's approved 20 grams. JECFA of WHO,

- 1 the Joint Expert Committee on Food Additives, said
- 2 there's no reason to even put a limit on
- 3 hypromellose, so their ADI is not specified.
- 4 Yet in the IID, we have many grades of
- 5 hypromellose, with levels as low as 40 milligrams,
- 6 and people being asked for full toxicology studies
- 7 on that particular grade of hypromellose to justify
- 8 100 grams, or 100 milligrams. It doesn't make any
- 9 sense.
- So I'll try to finish up because I know I'm
- 11 about out of time here. Benefits of the family
- 12 approach. Transparency to drug formulators on
- 13 maximum excipient use levels by route, as supported
- 14 by tox data.
- This would minimize need for multiple FDA
- 16 reviews of the same toxicology data once a maximum
- 17 use level has been accepted. It could expedite FDA
- 18 review of ANDAs, minimize errors and resources to
- 19 maintain the IID, and reduce the complexity of the
- 20 IID.
- So our ask, if you will -- and I only have a
- 22 couple, so it should be easy to see the priority

- 1 priorities and investigate specific projects beyond
- 2 what we've done in the past. So with that, I'd
- 3 like to stop and ask for any questions.
- 4 DR. LIONBERGER: Thank you.
- 5 MR. SCHONEKER: Thank you.
- 6 DR. UHL: So based on your comments specific
- 7 to the RTR and the controlled correspondence
- 8 guidance, did IPEC send comments to the docket when
- 9 those were published?
- MR. SCHONEKER: Multiple times. We brought
- 11 it up in every one of our meetings. We've sent
- 12 comments in. We've been talking about it since
- 13 2011 in every venue we can. But we haven't been
- 14 able to get a decision on some of these things, and
- 15 that's what we're not understanding.
- 16 If there is some science that's needed to be
- 17 able to get the decisions made internally that are
- 18 necessary, let us know what it is, and maybe we can
- 19 work through this venue or through any other venue
- 20 to get that science there that's needed, if there's
- 21 anything.
- We're not sure what is needed because this

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- 1 here -- we really believe that there needs to be a
- 2 formalized acceptance of a lot of the things we've
- 3 already presented to the FDA related to this family
- 4 approach. We pretty much presented all the science
- 5 that exists to justify this.
- 6 If needed, we feel that through the
- 7 regulatory sciences initiative, if there's some
- 8 science that people feel is needed to be able to
- 9 make this decision, we would like to see whatever
- 10 studies it is that are needed to make this decision
- 11 done under this initiative so that we could move
- 12 this forward.
- We'd also like to see revision of the RTR
- 14 and controlled correspondence guidance to
- 15 facilitate innovation related to the use of novel
- 16 excipients that are not based on a new chemical
- 17 entity, and work with industry to investigate the
- 18 development of an independent novel excipient
- 19 qualification process outside of the drug approval
- 20 process. This could save everybody a lot of time.
- Finally, I'd agree with GPhA, there's a need
- 22 to set up industry working groups to look at the

- 1 approach we talk about here is what's used by every
- 2 regulatory agency in the world, and we've brought
- 3 in world-class experts to testify to that already.
- 4 DR. UHL: Thank you. I have a follow-on
- 5 question unrelated to that. Your third bullet is
- 6 an independent novel excipient qualification
- 7 process. So could you elaborate a bit on what that
- 8 would look like?
- 9 MR. SCHONEKER: I could. And in fact, we've
- 10 already started some initial discussions on that.
- 11 We did meet with Susan Zuk. We've put a meeting
- 12 together with some of the FDA toxicologists, both
- 13 from OGD and the new drug side, back last year to
- 14 initiate a discussion on how could we set something
- 15 like this up.
- What came out of that discussion was, this
- 17 is something that -- it's different, but it could
- 18 look something like what goes on with the biomarker
- 19 qualification process, where you could have
- 20 something where there's an intended use
- 21 established, an intended exposure level
- 22 established, and then the safety data could be

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- 1 presented to an appropriate group that could then
- 2 make a recommendation not to approve the material
- 3 but to qualify the material for those applications
- 4 up to a specific use level, whatever, based on the
- 5 actual safety data that exists for the excipient.
- It is a situation that could be funded
- 7 through user fees or through other mechanisms. We
- 8 proposed a lot of those things. And what came back
- 9 was there was an interest. I know I've talked to
- 10 Lawrence Yu about this as well, and what we're
- 11 doing in industry is both IPEC and the IQ
- 12 Consortium is having some discussion. And we've
- 13 been having discussions with GPhA as well, about
- 14 how we could actually now take that concept that we
- 15 talked about and make a proposal to FDA for you to
- 16 review about how we could set something like that
- up that would be an independent review process. 17
- 18 Because part of the problem we have here is
- 19 until we can have the excipient safety not become
- 20 an issue, that ends up dominating the formulation
- 21 discussions way beyond what the actual technical
- 22 issues are, where we should be spending the

- 1 Well, Susan had told us that that might be an
- 2 avenue to pursue, and we're actually having
- 3 internal discussions now, both within IPEC, the IQ,
- and GPhA as well, is how can we come into that
- 5 process. Again, it's not a process we're that
- familiar with yet, but we want to get familiar and
- then try to utilize that process in the near future
- to make these proposals I was talking about. 8
- 9 Because we think that's a great idea. And
- 10 again, we think that could tie into some of the
- science objectives too, because if there's some
- studies needed, some science that's needed, some 12
- need to address guidelines, all of this could be 13
- focused in there. Thank you.
- 15 DR. LIONBERGER: All right. Thank you very
- 16 much.
- 17 MR. SCHONEKER: Okay, thanks.
- DR. LIONBERGER: We will now take a 18
- 19 15-minute break, and we'll reconvene at 3:20.
- 20 (Whereupon, at 3:05 p.m., a recess was
- 21 taken.)
- 22 DR. LIONBERGER: Welcome back, everyone.

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- 1 resources about how to make better formulations.
- 2 how to improve quality by design, prepare things,
- 3 or even develop excipients that would enhance
- 4 things like continuous manufacturing.
- 5 But without addressing some mechanism to get
- 6 beyond this sort of safety concern that the generic
- 7 industry has, then nobody touches that. I guess
- 8 you could say it's QbF, quality by fear in that
- 9 situation. So somehow we've got to resolve that
- 10 because otherwise we're just going to keep fighting
- 11 that all the time.
- DR. UHL: Good. 12
- 13 MR. SCHONEKER: Thank you.
- DR. BOAM: Hi, David. Thanks for the 14
- 15 presentation. With respect to the family approach,
- 16 I was going to ask whether you or your organization
- 17 had a chance to follow up on thoughts about using
- 18 the critical path innovation meeting approach to
- 19 try to have discussions about that? And if you've
- 20 gotten some feedback on that, what feedback you
- 21 might have gotten.
- 22 MR. SCHONEKER: Well, and I know -- yes.

- 1 Please take your seats so we can begin the final
- 2 session of this meeting.
- Our next speaker is Bahman Asgharian from 3
- Applied Research Associates. Welcome.
- 5 Presentation - Bahman Asgharian
- 6 DR. ASGHARIAN: Thank you for the
- opportunity to be here. I would like to present a
- research idea that has been made possible by recent
- advances in our computing resources and image 9
- technologies. 10

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- 11 I will be talking about the reconstruction
- 12 of lung airway trees to detect earliest stages of
- disease in the children with lung disease, and
- following it up by computation of three dynamic 14
- calculations to study lung ventilation and drug
- 16 delivery. This type of work actually complements
- PD/PK modeling in the sense of reducing uncertainty
- for the dose that goes as input to the PK or PDPK 18
- 19 models.
- 20 So the motivation for the proposed idea is
- 21 to explore novel airway modeling techniques to
- 22 detect lung disease at earliest stages before the

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- 1 disease has a chance to damage or destroy lung
- 2 airways, and look for that, the window of
- 3 opportunity for drug intervention and treatment.
- 4 Also, use the modeling technique to explore
- 5 new ways to target drug to the affected sites where
- 6 we know, because of the damage, the lung is
- 7 resistant to airflow and drug getting there. And
- 8 at the same time, reduce the drug delivery to the
- 9 sites that it typically goes to, undesired sites,
- 10 and as a result, minimize the side effects.
- 3D modeling of the lung children, it cause
- 12 high-resolution imaging. And this imaging actually
- 13 is available already from other studies for both
- 14 the diseased lungs and for healthy lungs of
- 15 children.
- The idea I am proposing would add these
- 17 knowledge gaps that have been identified by the FDA
- 18 in terms of physiological variability within a
- 19 subject, leveraging complex models and computing,
- 20 model validation when we don't have data, and
- 21 understanding the physiology in subpopulation -- in
- 22 this particular case would be children with lung

- 1 look for variables that are associated with the
- 2 disease.

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- 3 This could include the bronchial
- 4 cross-sectional area, airway partitioning between
- 5 healthy and diseased lobes, airway resistant,
- 6 impedance, and other parameters.
 - By doing the computational fluid dynamic
- 8 studies, we would like to study drug delivery to
- 9 the -- first we would like to study the airflow
- 10 distribution, from which we can calculate or we can
- 11 estimate the lung function that we need to use as
- 12 the biomarker. And then next would be to study
- 13 drug delivery to the diseased lung.
- 14 This step actually is pretty extensive and
- 15 needs an expert of people in the field to do it.
- 16 However, it would be desirable to have this package
- 17 in a simpler way, like a multiple-path dosimetry
- 18 model that allows clinicians and other health
- 19 professionals to run the model for the specific
- 20 patient on desktop computers.
- This model I'm talking about is a 1D
- 22 representation of the whole 3D modeling. It's been

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1 disease.

- 2 The example I will be presenting is cystic
- 3 fibrosis. Cystic fibrosis is a chronic disease
- 4 which targets the lungs mainly and start with the
- 5 upper lobes of the lung. So the disease
- 6 actually -- the changes to the lung due to the
- 7 disease starts early in life.
- 8 The way it's being diagnosed is they take
- 9 CTs of the lungs, and those CT images we can use
- 10 for the ideas I am proposing. So the treatment for
- 11 this disease is to try to reduce the severity of
- 12 the symptoms and slow the progression. However,
- 13 intervention is the key. You have to intervene
- 14 early, before the lung airways are damaged.
- So the problem is that detecting this
- 16 disease at the earliest is a challenge. We have to
- 17 look for biomarkers, variables, that can allow us
- 18 to do that. The objective would be explore novel
- 19 airway modeling techniques, and that includes 3D
- 20 lung airway reconstruction and conducting
- 21 computation of fluid dynamic studies in this
- 22 geometry. And by doing the 3D reconstruction, we

- 1 simplified so it can run fast with fairly good
- 2 accuracy, and has already been developed for
- 3 healthy lungs. And the next step would be to
- 4 include the diseased lung at different stages into
- 5 this model.
- 6 Some preliminary results have already been
- 7 obtained. First, there are 8 CT scan of the lungs
- 8 of the kids, children with CF, 4 males, 4 females,
- 9 and ages from 3 months to 5 years old. And this
- 10 data has been collected as part of an NIH study
- 11 with PI Stephanie Davis and co-PI Julia Kimbell.
- These are the reconstruction of all these
- 13 airways from -- it's a 3 month old girl, 10 months,
- 14 12 months and 3-year-old girls, one 3-year-old boy
- 15 and three 5-year-old boys. So we did some
- 16 preliminary studies on these reconstructions.
- The first thing we did was we calculated the
- 18 cross-sectional area of the left and right main
- 19 bronchi from reconstruction of the airway tree that
- 20 went down at least three generations, and then we
- 21 expressed it as a percentage of the total
- 22 cross-sectional area.

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- The same we did for the lung ventilation, 1
- 2 calculated it by doing CFD studies assuming steady-
- 3 state respiratory flow at resting breathing rate.
- 4 We calculated the lung airflow going to the left
- 5 and right lobe. And then also we expressed that as
- 6 the fraction of the total inhaled airflow.
- This is the results. I'm just showing the
- 8 sense of it. On the left panel, we have plotted
- 9 for each subject the airflow rate and the
- 10 cross-sectional area in blue and red bars. And on
- 11 the right, you have these two parameters plotted
- 12 against each other. So early findings is that it
- 13 show that actual the airflow distribution between
- 14 left and right lobes are generally similar to the
- 15 cross-sectional area between the two main bronchis.
- 16 There is also some work ongoing which I'll
- 17 touch on that, looking at two 12-month-old CF
- 18 subjects. So further work is needed to validate
- 19 the accuracy of these reconstructions, and also
- 20 look for other variables that might be of interest
- 21 to detect the disease.
- 22 So these two actually would be very useful,

- 1 and the dosing in healthy and diseased lung.
- 2 The last slide, I have personally noticed
- 3 recent interest by the FDA on doing CFD studies.
- And what I'm trying to promote is that we probably
- should be -- if data is available, should be using
- actual scans rather than using idealized geometry,
- which I have seen that a lot recently. And this 7
- data are already available. 8
- 9 For this particular case, recommendation is
- 10 to use the 3D reconstruction of the CT scans of the
- children with disease, and compare that with the
- lung reconstruction of children with healthy lungs 12
- for which scans are available, then, to study these 13
- 14 biomarkers. And conduct computational fluid
- 15 dynamic studies to study airflow in the lungs of
- both healthy and diseased lungs.
- 17 Then look for possible ways to maximize
- airflow and drug delivery to the lobes that are
- affected. As I mentioned, they're hard to get to
- normally because the lungs are damaged. And also
- minimize the side effects as the results of drug
- 22 going to these sites that are not of interest.

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- 1 have the potential to quantify the effect of CF at
- 2 early age on lung structure and lung function.
- 3 Based on that, we can develop treatment policies.
- 4 These are the two 12-month-old subjects I just
- 5 mentioned, so subject-1 top, subject-2 bottom.
- 6 Left column shows you reconstruction of the lung at
- 7 the end of inhalation. The right column shows at
- 8 the end of exhalation. And there's a big
- 9 difference.
- You can see the lung has shrunk at the end 10
- 11 of exhalation. So this is actually to see -- the
- 12 reason we see that, on the left the airways are
- 13 fully expanded on the inhalation, but they
- 14 disappear at the end of exhalation. And this is
- 15 because airways have collapsed.
- 16 There are additional data available, so
- 17 these were just two. There were over 50 scans, CT
- 18 scans, of the lungs of 12-month-old children, and
- 19 in addition, images are available for healthy kids
- 20 from birth to 17 years old from a different R01
- 21 study. So this database can basically be the
- 22 foundation to study drug delivery to diseased lung

- 1 Then we would like, as I mentioned earlier,
- 2 that we would like to package this is in a
- multiple-path dosimetry model to allow clinicians
- and health professionals to be able to run this for
- 5 a specific patient on desktop computers. And
- 6 finally, be able to validate these models by
- comparing with experimental measurements. Thank 7
- 8 you.
- DR. LIONBERGER: So can you say what would 9
- the impact of this be on the development of generic 10
- 11 drug products?
- 12 DR. ASGHARIAN: Well, this actually is the
- framework for any drugs, so if that could be -- so 13
- basically, this is a generic model that can be 14
- applied to any scenario, including generic drugs.
- 16 So that's the whole idea, that it's not anything
- 17 specific.
- DR. LIONBERGER: All right. Thanks very 18
- 19 much.
- 20 DR. ASGHARIAN: Sure.
- 21 DR. LIONBERGER: So move on to our next
- 22 speaker. It will be Tracy Rupp from the National

1 Center for Health Research. FDA staff attributed the outstanding 1 2 Presentation - Tracy Rupp 2 preapproval inspections to a lack of resources. DR. RUPP: Good afternoon. Thank you for 3 And in addition to improving drug quality and 3 4 the opportunity to speak today. My name is Tracy improving consumer confidence in generics, timely 5 Rupp. I am a pharmacist and the director of Public conduct of preapproval inspections could help 6 Health Policy Initiatives at the National Center reduce delays in the availability of generic drugs. 7 for Health Research. 7 In recent years, FDA has sent warning Our research center analyzes medical and 8 letters about violations to companies with plants 8 9 scientific data and provides objective health in foreign countries, such as India and China. The 10 information to patients, providers, and 10 number of warning letters sent to Chinese and 11 policymakers. We don't accept funding from the Indian manufacturers for violations nearly 12 drug or medical device industry, and I have no quadrupled from 2012 to 2015. Most of the warning 12 13 other conflicts of interest. letters raised concerns about data integrity. 13 14 The first policy issue or GDUFA research 14 Many of the observations were for egregious 15 issue that I'd like to talk about is the inspection 15 problems, like altering official documents in front 16 of manufacturing plants. We've heard today that of an inspector, falsifying dates of quality 17 patient and prescriber confidence in generics is control testing, or documenting important 17

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1 drugs is highly dependent on the quality control of2 the manufacturing process.

22 have heard how bioequivalency for complex generic

In 2012 Congress passed the FDA Safety and

18 disproportionately shaped by the recalls and

21 quality control is critical. And importantly, we

19 quality issues that occur.

20

4 Innovation Act, or FDASIA, which among other things

So increased attention to manufacturing and

- 5 requires the agency to inspect foreign facilities
- 6 that make drugs sold in the United States as
- 7 frequently as it inspects domestic plants.
- 8 In addition to achieving parity in the
- 9 frequency of inspections, FDA also committed to
- 10 ensuring that domestic and foreign inspections are
- 11 conducted with comparable depth and rigor.
- A 2015 report from the Office of the
- 13 Inspector General found that FDA has made progress
- 14 on oversight and inspection of manufacturers of
- 15 generic drugs, but gaps remain.
- For example, FDA increased its preapproval
- 17 inspections by 60 percent between 2011 and 2013.
- 18 However, it didn't conduct all of the preapproval
- 19 inspections requested by its own generic drug
- 20 application reviewers during this time period. And
- 21 most unfulfilled requests were for inspections of
- 22 foreign manufacturers.

1 to keep up with the increasing production of drugs

manufacturing data on scrap paper in pencil. And

Despite the increased resources from the

these are the types of issues that can clearly

impact consumer confidence in generic drugs.

22 GDUFA provisions of FDASIA in 2012, it's difficult

- 2 and devices in foreign countries. Imports of drugs
- 3 and medical devices from China alone increased by
- 4 nearly fivefold from 2007 to 2013.
- 5 The 2015 OIG report recommended that FDA use
- 6 its inspection resources more efficiently by making
- 7 greater use of authority granted by FDASIA to
- 8 request records in lieu of, or in advance of, an
- 9 inspection. The authority could increase FDA's
- 10 capacity for preapproval inspections. Record
- 11 reviews could be completed in advance rather than
- 12 using up the inspection staff's time during an
- 13 onsite inspection. The inspector's time onsite
- 14 could be prioritized to address the tasks that must
- 15 be conducted in person rather than on reviewing
- 16 paperwork.
- Two important questions are: Has FDA
- 18 implemented this recommendation? And if so, what
- 19 impact has it had? Additional related regulatory
- 20 science research questions could include: Has this
- 21 new authority improved the quality of inspections?
- 22 Has it helped FDA hone in on the issues posing the

Page 293 Page 295 1 greatest risk to public health? 1 drugs. Can a more focused onsite review help 2 The proposed rule would give generic 3 improve drug quality and reduce the risk of patient 3 manufacturers the authority to initiate safety 4 harm from unsafe drugs? Does this new authority labeling changes through the changes being effected 5 reduce approval delays? Do more frequent process. And the result will be to give patients 6 preapproval inspections result in fewer recalls? access to the most up-to-date product labeling. 7 And are problems identified and fixed earlier as a It would be helpful if the FDA could conduct 7 8 result? or support research to determine the impact of the 8 9 Another important GDUFA regulatory science current situation, where labels for generic drugs 10 research question is how to improve compliance with 10 are not updated unless the branded version is 11 the requirement for manufacturers of generic drugs updated. It's especially important to compare the 12 to register with the FDA. FDA uses the current situation with previous policies. 12 13 registration database to help determine which For example, prior to the Supreme Court 13 14 facilities to inspect, using its risk-based 14 decision Pliva v. Mensing in 2011, generic drug 15 approach. 15 companies were responsible for updating their 16 The OIG found that of the 432 generic drug labels. Now that they're not required to update 17 manufacturers listed on ANDAs approved in 2013, the labels, an interesting question is how many 17 18 10 percent didn't match entries in FDA's registry labels for generic drugs were updated in the five 19 of generic manufacturers. It's worth noting that years prior to the Supreme Court decision compared 19 20 62 percent of the manufacturers that couldn't be 20 to how many have been updated since. 21 located in the registry were foreign. 21 When and if the proposed rule is implemented 22 in the future, an important question is how will 22 FDA can't inspect facilities if it doesn't Page 294 Page 296 1 know they exist. So research is needed to 1 this affect the timeliness, accuracy and 2 determine what strategies are most effective for 2 completeness of drug safety labeling, and will it 3 ensuring registration, including incentives for 3 protect patients from harm? 4 registering and effective penalties for those that The third and last regulatory science 4 5 don't. 5 question I'll mention today is related to patient Another important regulatory science copay coupons. Like we heard earlier today, as 7 question is the effect of generic drug labeling drug costs continue to rise, brand name 7 8 updates on patient safety. FDA has issued a manufacturers are more likely to use coupons to 9 proposed rule that would allow manufacturers of entice customers to fill their prescriptions since 9 10 generic drugs to update their label with new coupons defray or eliminate the copay costs. 10 11 information as it becomes available. And we 11 In 2009, coupons were available for fewer 12 strongly support that rule. 12 than 100 prescription medicines, but the number 13 Currently, generic manufacturers have little exceeded 700 by last year, according to a recent 14 incentive to monitor drug safety, and they aren't analysis by the Tufts Center for the Study of Drug 14 15 required to update the label with new risk Development. These coupons are more common just

16 information. As a result, safety monitoring prior to generic competitors coming on the market. The goal is to establish brand loyalty and reduce 17 basically stops when generics enter the market. 17 18 This puts patients at risk, since the FDA the number of patients switching to generic 18 19 found that the median time from initial approval of versions. 19 20 the drug product to the time of making a 20 As we heard earlier today, a 2013 New 21 safety-related labeling change was 11 years, past 21 England Journal of Medicine analysis found that 22 the market exclusivity period for many branded 22 62 percent of coupons were for brand-name drugs for

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Page 297 Page 299 1 Colorado. 1 which a lower cost option existed. The important regulatory science questions 2 Presentation - James Brasseur 3 related to copay coupons include: How do coupons 3 DR. BRASSEUR: Thank you very much. Just a 4 affect prescribing of generic drugs? What impact quick background on myself since I'm rather unusual in this group. I was at Penn State University for 5 do coupons have on patient outcomes, such as 6 adherence to therapy and treatment success? And 27 years. One of my primary areas of research was 7 what is the impact on cost for patients for the interplay between the physiology and the 8 Medicare and private insurers? 8 mechanics of the gastrointestinal tract, In summary, generic drug research and particularly the fluid dynamics areas, because 10 policies have an enormous impact on the health and 10 that's my primary area of expertise. 11 safety of millions of Americans, and impact patient 11 About 15 years ago, I began working with 12 and prescriber confidence in generic drugs. We 12 pharmaceutics, first with Janssen Pharmaceuticals 13 urge you to consider research that will improve 13 and then with AstraZeneca. I have a long 14 drug quality through rigorous manufacturer relationship with Bertil Abrahamsson and his 15 inspections, increase patient safety through the 15 colleagues at AstraZeneca in Sweden. And about 16 communication of important drug information on two, three years ago, I began working with the 17 generic drug labels, and promote the uptake of University of Michigan, and I'm part of the 17 18 generic drugs where they have the potential to FDA-funded program that Gordon Amidon and Duxin Sun 19 reduce cost and improve outcomes. discussed this morning. And this project that I'm 20 Thank you for the opportunity to share our discussing right now is in relationship to that 21 recommendations today, and I'll be happy to take 21 program of research. 22 The focus of my discussion and my 22 any questions.

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- 1 DR. LIONBERGER: Thank you.
- 2 DR. UHL: When you mentioned aspects of the
- 3 inspections, and you talked about incentives for
- 4 registration, do you have any other
- 5 thoughts -- could you expand a bit on that? What
- 6 would that look like? What are you guys thinking
- 7 related to incentives?
- DR. RUPP: I guess we haven't --8
- DR. UHL: What would be required in order to 9
- 10 do that?
- 11 DR. RUPP: Right, right. We haven't
- 12 specifically come up with any real great ideas.
- 13 But we do feel like it may actually end up more in
- 14 the realm of being some sort of a penalty being the
- 15 incentive. But I think that there could be some
- 16 further discussion between industry and the FDA and
- 17 other groups, really, to what would be the best way
- 18 to approach that.
- 19 DR. LIONBERGER: Well, thank you very much.
- DR. RUPP: Thank you. 20
- 21 DR. LIONBERGER: So our next speaker is
- 22 Professor James Brasseur from the University of

- 1 recommendations are the improvement in our
- 2 understanding of the hydrodynamic effects on
- dissolution in the gastrointestinal tract, and
- in vitro and its effects on the absorption, and
- details associated with modeling such as PBPK type
- of approaches, and more complex types of models.
- Obviously, the gastrointestinal tract 7
- functions very differently from an in vitro device. 8
- 9 There's transport and mixing, which are both
- required in order to deliver any molecule to the 10
- surface, the epithelial surface. That would
- include nutrients as well as drug molecules. And 12
- this is a combination of different kinds of
- motility events that take place within the
- gastrointestinal tract. 15
- 16 It doesn't take much to notice, of course,
- 17 that an in vitro device doesn't represent even
- approximately these, but that in itself isn't 18
- 19 necessarily indicating that there's a lack of
- 20 correspondence between the in vitro and the in vivo
- 21 situation. And that's something I would like to
- 22 get into.

- 1 The primary motility events that take place
- 2 in the GI tract are peristaltic or propagating
- 3 wave-type contractions and segmental contractions.
- 4 These are in the fed state. In the fasting state,
- 5 the MMC contraction event is primarily a
- 6 propagating type of event, but there are smaller,
- 7 different types of contractile events, very
- 8 powerful, in MMC3 and so on that are very different
- 9 in that the volumes in which the dissolution
- 10 process is taking place are much smaller than in
- 11 the fed state. So there's very fundamental
- 12 differences in the hydrodynamics associated with
- 13 differences between the fed and the fasting state.
- 14 Obviously, the flow field, the velocity
- 15 fields and so on, are very different than they
- 16 would be in an in vitro device, and they're very
- 17 different from each other in the different types of
- 18 contractile events. And those are issues that
- 19 we're trying to investigate and that we feel needs
- 20 more work.
- 21 In particular, if one were to plot, as I'm
- 22 showing here -- this is taken from rat data from

- 1 a simulated clean peristaltic wave. This computer
- 2 is slower than it should be, so it's not moving
- 3 continuously. But at any rate, these particles are
- 4 releasing drugs. This is a realistic simulation
- 5 for ibuprofen. The release rate is consistent with
- 6 the in vivo situation. This is the fed state.
- 7 The main message to take away is that these
- 8 kinds of simulations can give a lot of detail that
- 9 are not available in the in vivo measurements, in
- 10 the in vitro measurements, and certainly not in the
- 11 standard PBPK type modeling. And in particular,
- 12 you notice a lot of heterogeneity.
- The uptake at the wall depends in time on
- 14 this heterogeneity. The details of the
- 15 heterogeneity depend on the motility and other
- 16 characteristics of the gastrointestinal tract
- 17 versus in vitro.
- 18 All right. The modeling has to correspond
- 19 with this improved understanding. And one of the
- 20 areas in which I have focused in a couple recent
- 21 papers is the importance of modeling from a
- 22 physics-based type modeling strategy. Models tend

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- 1 and NSF funded program some years ago. And this is
- 2 a peristaltic contractile event where the diameter
- 3 is plotted as a contour plot, as a function of
- 4 time. And these propagating events appear as these
- 5 striped contraction reaches. Whereas in a
- 6 segmental contraction, you get this checkerboard
- 7 kind of a behavior which is consistent with this
- 8 picture on the left.
- 9 You can imagine that the mixing process, the
- 10 release of drug from particles that might be
- 11 contained within these segments and so on, will be
- 12 very different. And in fact, they are, and we aim
- 13 to quantify that using computational fluid
- 14 dynamics-type of approaches.
- So here's an example of a model that we just
- 16 completed developing, and we're now in the process
- 17 of using, to create computational experiments in
- 18 coordination with the in vivo analyses, the in vivo
- 19 experimental dynamics that are being measured at
- 20 University of Michigan.
- 21 All right. So what I'm showing here are
- 22 500 pharmaceutical particles being moved around in

- 1 to be empirically based, and I argue that the core
- 2 of models should be, as much as possible, connected
- 3 to the laws of mechanics. And in particular, this
- 4 representation that I'm showing here is an attempt
- 5 to do that, where this object here, which has this
- 6 symbol "Sh" and stands for what's called a Sherwood
- 7 number, has the physics embedded in it. And this
- 8 is where the true modeling part lies.
- 9 But the solubility difference with what's
- 10 called the bulk concentration is another central
- 11 parameter, as well as the radius. And this has
- 12 come up several times in yesterday and today's
- 13 meetings. But it's this parameter in which the
- 14 hydrodynamics is embedded.
- So one can write this expression as a first
- 16 term, which is a pure diffusion model in an
- 17 infinite domain, sink conditions. The second term
- 18 is a correction for those sink conditions. And the
- 19 third term is the hydrodynamics. And this has two
- 20 effects. One is shear -- or, sorry, one is
- 21 convection. This is the standard one. And one is
- 22 shear, which is a new one that we've found in our

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- 1 work to be more important than convection.
- 2 So what are the mechanisms by which one can
- 3 compare in vitro and in vivo? And again, it's
- 4 obvious that the global flow is totally different.
- 5 But that doesn't necessarily mean that the in vitro
- 6 device is not relevant to the in vivo.
- 7 What matters is the release of drug from
- 8 individual particles, thousands of these, that are
- 9 moving through the device. And if the rate of
- 10 release of drug is consistent with the in vivo
- 11 scenario, then it's in vivo relevant.
- The parameters that are required to describe
- 13 this process of drug release are local, local to
- 14 the particles of the drugs themselves. And these
- 15 are fluid dynamics parameters that people in the
- 16 fluid dynamics community understand. This one is
- 17 called a Reynolds number. This one is called a
- 18 Peclet number. But the point is that these are
- 19 local to the particle.
- For example, one needs to estimate the
- 21 relative speed between the particle and the flow to
- 22 determine these Reynolds numbers or these numbers

- 1 difference between the in vivo and the in vitro
- 2 situation, not the global flow itself.
- 3 So these numbers, if you compare the
- 4 intestines with USP 2 device, are very different,
- 5 orders of magnitude different. But they're also --
- 6 this number is very different from this number, and
- 7 that's another issue. So for example, if one
- 8 actually does -- and so we did a large series of
- 9 calculations to show this Sherwood number, which is
- 10 a nondimensional release rate for the drug, as it
- 11 were.
- So this is the number that characterizes the
- 13 hydrodynamic effect. One means no hydrodynamic
- 14 effect. Numbers bigger than 1, so this is twice
- 15 the non-hydrodynamic release rate, 3 times, 4
- 16 times.
- What we're plotting here is against this
- 18 thing that I called Peclet number. But the details
- 19 aren't important. Important is that this shows
- 20 that there is a large variation. depending on this
- 21 number. And when you compare the in vitro with the
- 22 in vivo, they're very different, so that the in

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- 1 that determine the rate of release of drug from the
- 2 particle surface. And this is what is meant by
- 3 hydrodynamic effect.
- 4 It turns out that there's another
- 5 hydrodynamic effect that we discovered a couple
- 6 years ago, and that's related not just to the
- 7 relative speed between the particle and the flow.
- 8 but to something called the shear rate. This is
- 9 something in the fluid mechanics of the flow
- 10 itself.
- But the main point is that it's very
- 12 different in this kind of a device than it is in
- 13 the in vivo situation. And this is a
- 14 characteristic that makes the in vivo situation
- 15 different from the in vitro situation.
- So these are computer simulations, for
- 17 example, from the literature of a USP-2 device
- 18 where this parameter that I call shear rate is up
- 19 at around 100 maximum, whereas we've done20 simulations in our gut model, and their maximum to
- 21 2, 3, 4, 5 inverse seconds. So two orders of
- 22 magnitude difference. And this is the main

- 1 vitro situation is releasing drug at three, four
- 2 times the rate of the in vivo situation. But even
- 3 in the in vivo situation, there's a broad range
- 4 that has hydrodynamic effects involved in it.
- 5 So we need to understand this better. We
- 6 need to include this into the modeling. It hasn't,
- 7 to date, been included in the modeling. Of course,
- 8 the beauty about computer simulation is you can
- 9 answer the guestion why. Why is there enhancement?
- 10 I don't have time to go into it, but in a nutshell,
- 11 it's because the particles spin because of these
- 12 effects, and the spinning creates a local
- 13 enhancement of the release rate.
- 14 This has been validated through in vitro
- 15 experiments that we did together as a group at the
- 16 University of Michigan. Greg Amidon and Deanna
- 17 Mudie worked with me and my team. And these were
- 18 well-validated.
- This is a computer simulation or
- 20 mathematical model simulation compared against the
- 21 data, and we validated it. It works very well.
- 22 And not only does it work well, but it turns out

- 1 it's in vivo- and in vitro-relevant. It's
- 2 important. We've now validated that.
- This is the last slide, which shows -- this
- 4 is the standard way in which modeling is typically
- 5 done in the PBPK world. And it's done used what's
- 6 called a diffusion layer thickness model. And my
- 7 argument is that this diffusion layer thickness
- 8 model is ad hoc and it needs to be based on first
- 9 principles.
- In this case, we're basing it on the shear
- 11 effect. And you can see that the curves, which are
- 12 often represented in this form, depend on the shear
- 13 rate, and the shear rate depends on the flow, the
- 14 flow depends on in vitro versus in vivo, and also
- 15 depends on the particle where it happens to be
- 16 sitting at any given point in time.
- So my take-home message is that the
- 18 hydrodynamic influences are important to study.
- 19 There's very little that's understood about them,
- 20 and so there needs to be a lot more. But also, the
- 21 modeling needs to be put on a more first principles
- 22 basis, bases that are based on the conservation

- 1 the in vivo physiology to confirm this? So what
- 2 would be the next sort of research --
- 3 DR. BRASSEUR: Well, obviously, the emphasis
- 4 of my presentation was more on the hydrodynamics
- 5 and the modeling aspects. And I feel very strongly
- 6 that this cannot be evolved or developed or
- 7 improved in isolation of the real situation.
- 8 The real situation is that there are
- 9 in vitro devices that are designed to measure
- 10 dissolution for situations that are in vivo-
- 11 relevant. So one of the big questions is, to what
- 12 extent are they, and to what extent is that
- 13 important? So these need to be integrated, and I
- 14 already gave you one example of where we have done
- 15 that.
- But it also needs to be integrated with the
- 17 in vivo scenario. And the in vivo scenario is a
- 18 rather different one. You can do certain things
- 19 with modeling and on the computer that you can't do
- 20 in vivo and vice versa.
- So the real challenge is to integrate them
- 22 in a way that advances our knowledge and our

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- 1 principles, what are called the laws of mechanics
- 2 or the laws of physics, at the core. And I think
- 3 there needs to be a movement to try to move some of
- 4 these models to a more physical core.
- 5 Obviously I can only say so much in
- 6 10 minutes. There's all sorts of sub-issues that
- 7 perhaps will come up in the questions right now.
- 8 Thank you.
- 9 DR. LIONBERGER: All right. Thanks very
- 10 much. As a chemical engineer who has taught
- 11 graduate fluid mechanics, it made perfect sense to
- 12 me.
- 13 (Laughter.)
- 14 DR. BRASSEUR: Excellent. Excellent.
- DR. LIONBERGER: Butit's a question, right,
- 16 to identify. You know the question we're looking
- 17 at here is what should we, as we're preparing a
- 18 regulatory science research program, look at next
- 19 to advance this area?
- 20 Should we be looking at the in vitro
- 21 dissolution apparatus to make them more like the
- 22 physiological situation? Do we need more data on

- 1 modeling capabilities, and that's of course what
- 2 we're trying to do at the University of Michigan
- 3 with me and my team. And I think there needs to be
- 4 more of that kind of integration done.
- 5 DR. LIONBERGER: Thanks very much. So our
- 6 final speaker is Professor Jim Polli from the
- 7 University of Maryland.
- 8 Presentation Jim Polli
- 9 DR. POLLI: Okay. I apologize, I do not
- 10 have any good videos. So a lot of people have
- 11 already mentioned, talked about excipients, so I'll
- 12 try to just be brief. My major comment is it would
- 13 probably be good to do more excipient-based
- 14 research.
- As the group knows, drug product quality is
- 16 a major focus. There's a need over the lifespan of
- 17 products to make sure their quality is assured.
- 18 both before generics and after generics. So
- 19 there's always a need for equivalence testing.
- So here we have two formulas, one of the
- 21 innovator product of lamotrigine and one an example
- 22 generic of lamotrigine. And it probably would be

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- 1 very interesting if we were to take a survey of
- 2 various folks -- healthcare providers,
- 3 pharmaceutical scientists, what have you -- when
- 4 they look at this, what is it that they see? What
- 5 sort of risks do they see? And I would suggest
- 6 that there's huge differences in points of view
- 7 among various stakeholders in how they would
- 8 describe similarity or differences between these
- 9 two formulations.
- But arguably, a major area where differences
- 11 can occur are excipients. And then we can ask the
- 12 same question: Well, is there a difference between
- 13 lactose and lactose monohydrate in the context of
- 14 ongoing drug product quality?
- To some extent that's been answered, but to
- 16 a fair extent it hasn't. And I think this
- 17 uncertainty has persisted for a long time, and it
- 18 would be helpful from a biopharmaceutic standpoint
- 19 to have better-developed literature around
- 20 excipients, or at least the most common excipients.
- So as everyone knows, there are biowaivers.
- 22 There are all sorts of different types of

- 1 of thing.
- 2 It would be very easy to point to certain
- 3 things on here where there's very little studies.
- 4 There's probably not much study with regard to
- 5 excipients and transporters or excipients and
- 6 metabolism. So in some ways these excipients,
- 7 these common excipients, are very familiar, but in
- 8 other ways they're actually very poorly studied.
- 9 So earlier this year, working with the FDA,
- 10 we published this article -- this was back in
- 11 January -- "Lack of in vivo impact of common
- 12 excipients on oral drug absorption of BCS Class III
- 13 drugs, cimetidine and acyclovir." So these were
- 14 two model BCS Class III drugs.
- They were subjected to two studies -- I'm
- 16 going to very briefly describe them -- where the
- 17 goal was to examine 14 common excipients. There
- 18 was three capsule formulations for each drug
- 19 cimetidine and acyclovir, where large quantities of
- 20 excipients were in each of the various
- 21 formulations.
- 22 Each drug was subjected to a fasted single-

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- 1 biowaivers, including so called Biopharmaceutics
- 2 Classification System-based biowaivers where the
- 3 focus is on applying biowaivers using in vitro
- 4 testing, et cetera, to so-called less risky drugs.
- 5 But then the question is, which are those? And
- 6 within the last year, the FDA put out a guidance
- 7 that expanded the BCS to include so-called
- 8 Class III drugs, drugs with high solubility and low
- 9 permeability.
- This is from an article from the FDA from a
- 11 couple years ago illustrating the distribution in
- 12 ANDAs with regard to BCS Class I, II, III and IV.
- 13 And Class I and III make up a large part of drugs
- 14 that are in ANDA applications. So it seems as if
- 15 expansion of the BCS will have a fair impact.
- Of course, the concern with excipients in
- 17 the context of biowaivers are, to the excipients,
- 18 are they in fact not doing anything that's bad in
- 19 terms of drug absorption or any other types of
- 20 issues? And the things that come to mind are
- 21 gastrointestinal transit, dissolution, stability,
- 22 interacting with transporter metabolism, that sort

- 1 dose 4-way crossover study in healthy volunteers.
- 2 There's an oral reference. And average BE was
- 3 employed to assess impact of excipients. Here's
- 4 the design of what I just talked about. And
- 5 towards the bottom there, you can see there were
- 6 three test capsules of cimetidine and three test
- 7 capsules of acyclovir, each having large quantities
- 8 of excipients collectively across 14 common
- 9 excipients.
- 10 In study 2, there was follow-up with
- 11 cimetidine, HPMC, and magnesium stearate. And the
- 12 first study probably slowed down dissolution a
- 13 little bit, with was not the interest. The
- 14 interest was actually not so much a dissolution
- 15 study but more looking at whether excipients have
- 16 an impact on permeability or transit, that sort of
- 17 thing.
- So in study 2, HPMC and magnesium stearate
- 19 were reduced. Okay? And then collectively across
- 20 the series of studies, we were able to
- 21 identify -- 12-of the excipients had no impact on
- 22 bioavailability. And you can see, or maybe you

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- 1 can't, but in the second column after the listing
- 2 of the excipient, you can see that very large
- 3 quantities of these common excipients were studied.
- 4 The first two, microcrystalline cellulose
- 5 and HPMC, actually failed Cmax, so we weren't able
- 6 to say anything different than what's currently in
- 7 the guidance with regard to qualitatively the same
- 8 and quantitatively very similar. But overall, we
- 9 think there was a lot of regulatory relief that
- 10 could be found in this type of data.
- So the conclusions were, 12 of the 14 were
- 12 found to be non-problematic, and such that those
- 13 excipients could be employed in Class III
- 14 biowaivers such that they're not more than those
- 15 that were studied here in this particular sequence
- 16 of studies.
- 17 Again, HPMC and microcrystalline cellulose,
- 18 because of the Cmax, with one particular
- 19 formulation should be qualitatively the same and
- 20 quantitatively very similar to the reference.
- We do say in the paper some caveats. It's
- 22 possible that other drugs might be different than

- 1 reply. And I do have to say, I think they raise a
- 2 good point about just generalizability.
- 3 So to summarize, like many of the other
- 4 speakers, I think there's a need for greater
- 5 research in excipients. In some ways, they're very
- 6 familiar but I think in other ways, in critical
- 7 ways, they're actually -- there's a lack of data
- 8 underpinning certain decisions that could be made
- 9 that would benefit development.
- There was also a presentation earlier today
- 11 about pediatric applications. And as you know,
- 12 that's a big area. There's been a lot of
- 13 improvement in the last 10-years. There's perhaps
- 14 been a doubling of labels, of drug labels. But I
- 15 still think probably not much has been broadly
- 16 generalized with regard to excipient use in
- 17 children.
- 18 I recall some of the questions this morning
- 19 about some of the excipient talks. And I guess one
- 20 suggestion would be -- I'm thinking about some of
- 21 the BCS biowaivers that are published in the
- 22 Journal of Pharmaceutical Sciences, and those are

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- 1 these two particular ones, so there needs to be at
- 2 least some level of caution. And then we also say
- 3 the greatest concern would appear to be a drug that
- 4 depends on an uptake transporter such that the
- 5 excipient could possibly inhibit, by virtue of the
- 6 excipient having the same molecular structure,
- 7 similarity to the transporter's pharmacophore
- 8 recognition site.
- Then soon after that was published, there
- 10 was actually -- some pharmacokineticist challenged
- 11 the -- not so much the data, but just the
- 12 interpretation. So this is where I'm going.
- 13 There's probably a need to have some sort of a way
- 14 forward to agree on what the biopharmaceutical
- 15 implications of certain excipients are.
- 16 I think this is actually a quote from their
- 17 letter. "Results obtained in our study should not
- 18 be extrapolated to other drugs." They're
- 19 suggesting that, oh, that's all great for those 2
- 20 drugs, acyclovir and cimetidine, but it shouldn't
- 21 be extrapolated to any other Class III drugs. And
- 22 then there's the reference there for our particular

- 1 extremely well-received. They're extremely highly
- 2 referenced and downloaded.
- 3 Maybe the same sort of thing for the
- 4 excipient side -- it would be very nice to have
- 5 monographs of excipients with regard to at least
- 6 biopharmaceutical aspects. A lot of chemistry
- 7 aspects are well-known with regard to excipients,
- 8 but with regard to some of these questions about
- 9 ongoing drug quality, it's the biopharm side that
- 10 seems to be a little less tied down. Thank you
- 11 very much.
- DR. LIONBERGER: Thanks very much.
- 13 Questions?
- So is there a sense that the issue with
- 15 excipients -- and you mentioned specifically -- is
- 16 it really specifically interactions with
- 17 transporters and enzymes? Or do we think there's
- 18 other mechanisms by which they have biopharmaceutic
- 19 effects?
- DR. POLLI: My own personal opinion, I think
- 21 that the most common excipients are used incredibly
- 22 frequently, right, and in a variety of different

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1	formulations where there's a variety of different	1	So that concludes the formal program.
2	processing. And I think in for example, let's	2	Before I turn it over to Cook for closing remarks,
3	just take lactose. Lactose is used in very large	3	I want to thank some of the people who did all the
4	quantities in many products.	4	work to organize this meeting.
5	But having said that, this opinion here,	5	So that would especially be, if you were
6	results should not be extrapolated to other drugs.	6	involved in the meeting at all, Thushi Amini and
7	One could put together an argument that the levels	7	Jessica Alfaro, who are your contacts to set up the
8	of lactose have not been well studied with regard	8	scheduling. Got the room. Got the logistics
9	to bioinequivalence and that sort of thing.	9	everywhere.
10	So it really is a matter of opinion, I	10	I know that Thushi's been responsible for
11	think. There's not one source that summarizes,	11	this for the last four years and really been
12	here's everything that we know about a particular	12	handing it off and training the apprentice. So I
13	excipient. Everyone probably knows the handbook,	13	feel we just have to show up here and everything
14	but that excipient handbook probably has nothing in	14	works. That's just a sign of excellence.
15	it with regard to biopharmaceutic elements that	15	I also want to thank a lot of other staff
16	often come into play. So I think a lot of things	16	from my office, Office of Research and Standards,
17	have to do with what paper you might be familiar	17	especially Krista Andre, who has been working on
18	with and how familiar are you with that particular	18	the slides there, as well as all the staff from our

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19 office -- the scientists who are doing this were

20 also the people who were checking you in. It's a

21 great privilege to work here. I know that people

22 in our office work very hard, willing to do

1 collating of what's already out there. And there's

DR. POLLI: Yes. Well, maybe just a

DR. UHL: So your basic premise was that

2 been a lot of right progress in the last year with

21 there's a need for more excipient research?

excipient, that sort of thing.

- 3 regards to the inactive ingredient database. So
- 4 maybe more of that sort of thing, what's already
- 4 maybe more or that sort or thing, what's already
- 5 available.

1

19

20

22

- 6 What's the counter argument to someone
- 7 saying, you can't generalize it to another drug?
- 8 And you can go through the process that Dr.
- 9 Lionberger was outlining in terms of, well, it
- 10 could be this aspect. Could be transit. It could
- 11 be some sort of metabolism concern. It could be
- 12 some sort of transporter concern. But then you can
- 13 ask the question -- I can tell you, there's not
- 14 many articles that study excipient effects on
- 15 metabolism.
- So it's very easy to say there's not much
- 17 you can hang your hat on. Having said that, these
- 18 common excipients are used extensively. So it does
- 19 come -- it often comes down to a matter of opinion
- 20 ,I think.
- DR. LIONBERGER: Thanks very much.
- 22 Question? All right.

- 1 anything it takes to get this meeting successful.
- 2 The work that I talked about this morning,
- 3 there are people from our office involved with all
- 4 of these external collaborations, making sure that
- 5 they're running well, that they're meeting the
- 6 needs of the generic drug program.
- 7 So there's a huge of amount of effort by a
- 8 large number of staff that makes all of those
- 9 activities that we're doing possible. And I just
- 10 want to recognize them and thank them for all their
- 11 efforts in making this meeting successful. So
- 12 thank you very much.
- 13 (Applause.)
- DR. LIONBERGER: So now our office director
- 15 will make some closing remarks.
- DR. UHL: Okay. So I get the dubious
- 17 distinction of being the one that gets the last
- 18 word in, although the words are given to me, thank
- 19 you very much, by Thushi. So on behalf --
- 20 DR. LIONBERGER: She took off.
- 21 Closing Remarks
- DR. UHL: That's okay. Needless to say, I

- 1 have augmented and ad libbed a couple things here,
- 2 so she's a little scared, I'm sure.
- 3 So on behalf of the FDA panel, I'd like to
- 4 especially express my appreciation to the
- 5 presenters today, and to everyone in the audience,
- 6 whether you're attending in person or whether you
- 7 are by webcast. And I don't think we have an exact
- 8 number of how many are by webcast, but those of you
- 9 out there, we're very appreciative of your interest
- 10 in this topic and for your attention to the
- 11 presentations discussed at today's meeting.
- 12 I'd also like to thank the panel members.
- 13 Everybody sitting up here has more than enough work
- 14 to do in their day job, and it's a Herculean feat,
- 15 I think, to get -- what do we have up here -- 12
- 16 FDA leaders basically agreeing to sit here, listen
- 17 to these presentations, engage with the presenters,
- 18 and ask provocative questions so that Rob and his
- 19 staff can work with all of the offices to create a
- 20 very robust regulatory science program for GDUFA.
- 21 So to all of you sitting up here, I thank you very
- 22 much.

- 1 provide you with what Rob showed, a pretty
- 2 incredible return on investment of this program.
- 3 If I was looking at my financial portfolio and saw
- 4 a company with that kind of ROI, if I was allowed
- 5 to invest in it, given the ethics standards here at
- the agency, I would wholeheartedly.
- 7 So to all of them, I thank them for making
- 8 not just today run well, but for the success of
- 9 this program. And I thank Rob for his leadership
- 10 of this program.
- Anyhow, for the Generic Drug Products, the
- 12 GDUFA Regulatory Science Program is a platform that
- 13 allows for collaboration between the FDA and our
- 14 external stakeholders in order to develop generic
- 15 drugs, and to find and establish new tools and
- 16 methodologies that could be used in generic drug
- 17 development and regulation.
- As with our previous Part 15 hearings, this
- 19 hearing was extremely productive and informative.
- 20 FDA and OGD will carefully consider all the
- 21 comments, both today physically at this meeting and
- 22 as well from the submissions to the docket, as we

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- 1 I also want to echo some of Rob's thanks.
- 2 I'd especially like to thank Jessica for all her
- 3 hard work, and for making this public hearing run
- 4 smoothly today. I want to thank Thushi for
- 5 actually delegating and training Jessica.
- 6 So for those of you who have attended the
- 7 last three years, Thushi usually has massive
- 8 insomnia by this time, making sure that this
- 9 meeting runs as smoothly as it does. And I have
- 10 coached her extensively to delegate, so I am
- 11 thrilled to see that she has. And Jessica, I thank
- 12 you for letting her train you. So thank you very
- 13 much.
- 14 I'd also like to thank all of Rob's staff.
- 15 All of the staff in the Office of Research
- 16 Standards at OGD are so engaged in this meeting and
- 17 are -- really want to be sure that this runs
- 18 smoothly. And I think, for those of you who are
- 19 not with the agency, what Rob said is true. Our
- 20 scientists are the ones out there greeting you.
- This is not just standard admin support. I
- 22 mean these are the workers behind the scene that

- 1 develop the fiscal year 2017 regulatory science
- 2 initiatives under GDUFA.
- 3 Once approved by the CDER center director,
- 4 Dr. Janet Woodcock, the priorities list will be
- 5 posted on the GDUFA regulatory science webpage. So
- 6 it will be publicly available.
- 7 The docket will remain open until June 17th,
- 8 so you have a little bit less than a month to still
- 9 get any comments in. We strongly encourage all
- 10 interested parties, so those attending in person,
- 11 or those by webcast, or people that you know who
- 12 may have an interest in this field who weren't able
- 13 to attend, we ask you to please provide that
- 14 information so that they can comment to the docket.
- 15 It is your external input into this program that is
- 16 making this program as robust as it is.
- We also ask, from any of the presenters, if
- 18 you have additional comments, if you can please as
- 19 well send them to the docket, and ask you if you
- can elaborate on any of your recommendations. So I
- 21 know there were questions posed that were different
- 22 from what were in your slides, so please.

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1	If anyone needs any further details about	
	that, I ask that you please refer to the Federal	
	Register Notice. Or, if you don't know where that	
	is or how to find it, Jessica and Thushi can direct	
	you to that.	
6	So with that said, I thank everyone very	
	much for your participation. I hope you have a	
	nice, albeit rainy, weekend. And I would say that	
	the final is that today's meeting is now concluded.	
	So thank you.	
11	(Applause.)	
12	(Whereupon, at 4:15 p.m., the meeting was	
	adjourned.)	
	aujourneu.)	
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72:6;191:21;204:8;	13 (3)	2015 (3)	7	70 (1)
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