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FOOD AND DRUG ADMINISTRATION
CENTER FOR DRUG EVALUATION AND RESEARCH

PHARMACY COMPOUNDING ADVISORY COMMITTEE
(PCAC)

Wednesday, March 9, 2016

8:30 a.m. to 10:02 a.m.

FDA White Oak Campus
10903 New Hampshire Avenue
Building 31 Conference Center
The Great Room (Rm. 1503)
Silver Spring, Maryland

1 **Meeting Roster**

2 **DESIGNATED FEDERAL OFFICER (Non-Voting)**

3 **Cindy Hong, PharmD**

4 Division of Advisory Committee and Consultant

5 Management

6 Office of Executive Programs, CDER, FDA

7

8 **PHARMACY COMPOUNDING ADVISORY COMMITTEE MEMBERS**

9 **(Voting)**

10 **Michael A. Carome, MD, FASHP**

11 ***(Consumer Representative)***

12 Director of Health Research Group

13 Public Citizen

14 Washington, District of Columbia

15

16 **Gigi S. Davidson, BSPH, DICVP**

17 ***U.S. Pharmacopeial Convention***

18 ***(USP) Representative***

19 Director of Clinical Pharmacy Services

20 North Carolina State University

21 College of Veterinary Medicine

22 Raleigh, North Carolina

1 **John J. DiGiovanna, MD**

2 Staff Clinician, DNA Repair Section

3 Dermatology Branch, Center for Cancer Research

4 National Cancer Institute

5 National Institutes of Health

6 Bethesda, Maryland

7

8 **Padma Gulur, MD**

9 ***(Acting Chairperson)***

10 Professor, Department of Anesthesiology and

11 Perioperative Care

12 University of California, Irvine

13 Orange, California

14

15 **Stephen W. Hoag, PhD**

16 Professor

17 Department of Pharmaceutical Science

18 University of Maryland, Baltimore

19 Baltimore, Maryland

20

21

22

1 **William A. Humphrey, BSPHarm, MBA, MS**

2 Director of Pharmacy Operations

3 St. Jude's Children's Research Hospital

4 Memphis, Tennessee

5

6 **Elizabeth Jungman, JD**

7 Director, Public Health Programs

8 The Pew Charitable Trusts

9 Washington, District of Columbia

10

11 **Katherine Pham, PharmD**

12 Neonatal Intensive Care Unit Pharmacy Specialist

13 Children's National Medical Center

14 Washington, District of Columbia

15

16 **Allen J. Vaida, BSc, PharmD, FASHP**

17 Executive Vice President

18 Institute for Safe Medication Practices

19 Horsham, Pennsylvania

20

21

22

1 Donna Wall, PharmD

2 ***National Association of Boards of Pharmacy***

3 ***(NABP) Representative***

4 Clinical Pharmacist

5 Indiana University Hospital

6 Indianapolis, Indiana

7

8 **PHARMACY COMPOUNDING ADVISORY COMMITTEE INDUSTRY**

9 **REPRESENTATIVE MEMBERS (Non-Voting)**

10 Ned S. Braunstein, MD

11 ***(Participation in March 8th PM session and***

12 ***March 9th session)***

13 Senior Vice President and Head of Regulatory

14 Affairs

15 Regeneron Pharmaceuticals, Inc.

16 Tarrytown, New York

17

18 William Mixon, RPh, MS, FIACP

19 Owner-Manager

20 The Compounding Pharmacy

21 Hickory, North Carolina

22

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P R O C E E D I N G S

(8:30 a.m.)

Call to Order

Introduction of Committee

DR. GULUR: Good morning, everybody, and welcome to day 2 of the FDA Pharmacy Compounding Advisory Committee meeting. I would first like to remind everyone present to please silence your cellphones, Blackberrys, and other devices if you have not already done so. I would also like to identify the FDA press contact for the open session meeting, Ms. Lyndsay Meyer. If you are present, please stand.

My name is Padma Gulur, and I am acting chairperson of the Pharmacy Compounding Advisory Committee, otherwise referred to as PCAC. I will now call the committee to order.

We ask those at the table, including FDA staff and committee members, to introduce themselves starting with the FDA representative to my left and moving along to the right side, ending with the industry representative, Dr. Ned

1 Braunstein.

2 MS. EURE: Khelin Eure, Office of Regulatory
3 Policy.

4 DR. BERTHA: Craig Bertha, Office of New
5 Drug Products.

6 CDR AGARABI: Cyrus Agarabi, Office of
7 Pharmaceutical Quality.

8 MS. AXELRAD: Jane Axelrad, associate
9 director for policy, CDER and the agency lead on
10 compounding.

11 DR. ROGERS: Brian Rogers, Office of Process
12 and Facilities.

13 DR. FLAHIVE: Jim Flahive, CDER, Compliance.

14 DR. BORMEL: Gail Bormel, CDER, Office of
15 Compliance, Office of Unapproved Drugs and Labeling
16 Compliance.

17 DR. HONG: Cindy Hong, DFO for Pharmacy
18 Compounding Advisory Committee.

19 MS. DAVIDSON: Gigi Davidson, chair of the
20 compounding expert committee for USP and USP's
21 representative on this committee.

22 DR. HOAG: Steve Hoag, from the University

1 of Maryland.

2 MR. HUMPHREY: William Humphrey from
3 St. Jude Children's Research Hospital.

4 DR. DiGIOVANNA: John DiGiovanna,
5 dermatologist with the National Cancer Institute,
6 NIH.

7 MS. JUNGMAN: Elizabeth Jungman, director of
8 public health programs for The Pew Charitable
9 Trusts.

10 DR. PHAM: Katherine Pham, NICU pharmacist,
11 Children's National Medical Center.

12 DR. VAIDA: Allen Vaida from the Institute
13 for Safe Medication Practices.

14 DR. WALL: Donna Wall, clinical pharmacist,
15 Indiana University Hospital and representing NABP.

16 MR. MIXON: Bill Mixon, The Compounding
17 Pharmacy, Hickory, North Carolina.

18 DR. BRAUNSTEIN: Ned Braunstein, Regeneron
19 Pharmaceuticals. I'm the pharmaceutical industry
20 representative.

21 DR. GULUR: Thank you. We will begin the
22 FDA presentation by Dr. Brian Rogers. He will

1 speak on metered dose inhalers.

2 MS. AXELRAD: Actually, sorry, Dr. Agarabi
3 is going to start, and he's going to set the stage
4 here to remind people about the discussion we had
5 at the June meeting. We haven't talked about this
6 since June when we first discussed the difficult to
7 compound list and the statutory provisions, and
8 then the criteria that we were proposing.

9 So Dr. Agarabi is going to start the
10 discussion and lead you back to what the statute
11 says and what we've done with regard to the
12 criteria since our last discussion, and then we'll
13 go into the presentations.

14 DR. GULUR: Okay. I will just read some
15 advisory committee topics here.

16 For topics such as those being discussed
17 today, there are often a variety of opinions, some
18 of which are quite strongly held. Our goal is that
19 today's meeting will be a fair and open forum for
20 discussion of these issues and that individuals can
21 express their views without interruption. Thus, as
22 a reminder, individuals will be allowed to speak

1 into the record only if recognized by the chair.

2 We look forward to a productive meeting.

3 In the spirit of the Federal Advisory
4 Committee Act and the Government in the Sunshine
5 Act, we ask that the advisory committee members
6 take care that their conversations about the topic
7 at hand take place in the open forum of the
8 meeting.

9 We are aware that members of the media may
10 be anxious to speak with the FDA about these
11 proceedings. However, FDA will refrain from
12 discussing the details of this meeting with the
13 media until its conclusion. Also, the committee is
14 reminded to please refrain from discussing the
15 meeting topic during breaks or lunch.

16 Let us begin. We will now have
17 Dr. Cindy Hong read the Conflict of Interest
18 Statement. Thank you.

19 **Conflict of Interest Statement**

20 DR. HONG: The Food and Drug Administration
21 is convening today's meeting of the Pharmacy
22 Compounding Advisory Committee under the authority

1 of the Federal Advisory Committee Act of 1972.
2 With the exception of the National Association of
3 Board of Pharmacy, the United States Pharmacopeia,
4 and the industry representative, all members and
5 temporary voting members of the committee are
6 special government employees or regular federal
7 employees from other agencies and are subject to
8 federal conflict of interest laws and regulations.

9 The following information on the status of
10 this committee's compliance with the federal ethics
11 and conflict of interest laws, covered by but not
12 limited to those founds in 18 U.S.C. Section 208,
13 is being provided to participants in today's
14 meeting and to the public.

15 FDA has determined that members and
16 temporary voting members of this committee are in
17 compliance with federal ethics and conflict of
18 interest laws. Under 18 U.S.C. Section 208,
19 Congress has authorized FDA to grant waivers to
20 special government employees and regular federal
21 employees who have potential financial conflicts
22 when it is determined that the agency's need for a

1 particular individual's services outweighs his or
2 her potential financial conflict of interest when
3 interest of a regular federal employee is not so
4 substantial as to be deemed likely to affect the
5 integrity of the services, which the government may
6 expect from the employee.

7 Related to the discussions of today's
8 meeting, members and temporary voting members of
9 this committee have been screened for potential
10 financial conflicts of interest of their own as
11 well as those imputed to them, including those of
12 their spouses or minor children and, for the
13 purposes of 18 U.S.C. Section 208, their employers.
14 These interests may include investments;
15 consulting; expert witness testimony;
16 contracts/grants/CRADAs; teaching/speaking/writing;
17 patents and royalties; and primary employment.

18 During this session, the committee will
19 discuss two categories of drug products nominated
20 for the list of drug products that present
21 demonstrable difficulties for compounding. These
22 categories of drug products are metered dose

1 inhalers and dry powder inhalers. The nominators
2 who nominated the category of drugs or specific
3 drug products in the category will be invited to
4 make a short presentation supporting the
5 nomination.

6 This is a particular matters meeting during
7 which general issues will be discussed. Based on
8 the agenda for today's meeting and all financial
9 interest reported by the committee members and
10 temporary voting members, no conflict of interest
11 waivers have been issued in connection with this
12 meeting.

13 To ensure transparency, we encourage all
14 standing committee members and temporary voting
15 members to disclose any public statements that they
16 have made concerning the topic at issue.

17 We would like to note that Dr. Donna Wall is
18 a representative member from the National
19 Association of Board of Pharmacy and that Ms. Gigi
20 Davidson is a representative member from the United
21 States Pharmacopeia.

22 Section 102 of the Drug Quality and Security

1 Act amended the Food, Drug, and Cosmetic Act, with
2 respect to the advisory committee in compounding,
3 includes representatives from the NABP and USP.
4 Their role is to provide the committee with the
5 points of view of the NABP and USP.

6 Like the other members of the committee,
7 representative members are not appointed to the
8 committee to provide their own individual judgment
9 on the particular matters at issue. Instead, they
10 serve as the voice of NABP and USP, entities with
11 the financial or other stakes in the particular
12 matters before the advisory committee.

13 With respect to FDA's invited industry
14 representatives, we would like to disclose that
15 Dr. Ned Braunstein and Mr. William Mixon are
16 participating in this meeting as non-voting
17 industry representatives, acting on behalf of
18 regulated industry. Their role at this meeting is
19 to represent industry in general and not any
20 particular company. Dr. Braunstein is employed by
21 Regeneron Pharmaceuticals and Mr. Mixon is the
22 owner of The Compounding Pharmacy.

1 We would like to remind members and
2 temporary voting members that if the that if the
3 discussions involve any other products not already
4 on the agenda for which an FDA participant has a
5 personal or imputed financial interest, the
6 participants need to exclude themselves from such
7 involvement and their exclusion will be noted for
8 the record.

9 FDA encourages all other participants to
10 advise the committee of any financial relationships
11 that they may have regarding the topic at issue
12 that could be affected by the committee's
13 discussions. Thank you.

14 DR. GULUR: We will start the FDA
15 presentation on introduction of Demonstrably
16 Difficult to Compound and review of criteria by
17 Lieutenant Commander Agarabi.

18 **Presentation - Cyrus Agarabi**

19 CDR AGARABI: Good morning. My name is
20 Cyrus Agarabi, and I am a product quality
21 researcher and reviewer in the Office of
22 Biotechnology Products in the Office of

1 Pharmaceutical Quality. I'm going to provide a
2 brief introduction to the demonstrably difficult to
3 compound drug products and review of the criteria
4 to provide an update from the discussions we had in
5 previous Pharmacy Compounding Advisory Committee.

6 Sections 503A and 503B include conditions
7 that must be met for a drug product to be eligible
8 for exemptions from certain FDCA provisions. Both
9 include a condition on difficult to compound
10 products.

11 Section 503A states that the compounded drug
12 product is not one identified by FDA as a drug
13 product that presents demonstrable difficulties for
14 compounding that reasonably demonstrate an adverse
15 effect on the safety or effectiveness of that drug
16 product.

17 Section 503B states that the compounded drug
18 or a category of drugs either is not identified on
19 a list published by the FDA as one that presents
20 demonstrable difficulties for compounding that are
21 reasonably likely to lead to an adverse effect on
22 the safety or effectiveness of the drug or a

1 category of drugs, taking into account risks and
2 benefits to patients, or is compounded in
3 accordance with conditions that are necessary to
4 prevent the drug or category of drugs from
5 presenting such demonstrable difficulties.

6 In June of 2015, the proposed evaluation
7 criteria was presented to the Pharmacy Compounding
8 Advisory Committee. Six criteria included:

9 1) complex formulation; 2) complex drug delivery
10 mechanism; 3) complex dosage form;
11 4) bioavailability; 5) compounding process
12 complexity; and 6) physicochemical or analytical
13 testing complexity.

14 The Pharmacy Compounding Advisory Committee
15 provided feedback on the proposed evaluation
16 criteria, and at the June 2015 meeting, the
17 committee recommended consideration of the
18 following inclusion into the criteria.

19 One is the compatibility and/or stability of
20 the active pharmaceutical ingredients in the final
21 dosage form; second, the container closure system,
22 which may interact with the compounded drug; and

1 finally, the toxicity of the drug as it relates,
2 1) potential harm to the patient or caregiver, and
3 2) potential toxicity due to carry-over or
4 cross-contamination.

5 Based on the feedback received by the
6 Pharmacy Compounding Advisory Committee, we have
7 updated criteria 1 and 3 to incorporate the
8 committee's recommendations regarding stability and
9 container closure. Those revisions will be
10 presented in subsequent slides.

11 The handling of hazardous drugs, for
12 example, toxicity, may be addressed elsewhere. For
13 example, cGMPs for outsourcing facilities is
14 pertinent to 503B's. USP Chapter 800, Hazardous
15 Drugs Handling in Healthcare Settings, is
16 appropriate for 503A's. Additionally, in the
17 existing difficult to compound criteria number 5 on
18 compounding process complexity, there is language
19 that addresses specialized facility and/or
20 equipment, which may be pertinent to the handling
21 of hazardous drugs or compounding of hazardous
22 drugs.

1 We've also revised the document to clarify
2 the description of each factor to more specifically
3 track the statutory language. These changes do not
4 impact how we are interpreting or applying the
5 criteria.

6 Based on the recommendation to consider
7 stability and compatibility, criterion 1, complex
8 formulation, was revised. The new language can be
9 seen in red. The compatibility and/or stability,
10 physical and chemical, of the API or APIs and/or
11 excipients in the final dosage unit may also be
12 evaluated to determine if the compounded drug
13 product has a complex formulation.

14 Based on the recommendation to consider
15 container closure, criterion 3, complex dosage
16 form, was revised. The new language can be seen in
17 red. Complex dosage form also refers to container
18 closure systems that may interact with the
19 compounded drug and affect its intended use, either
20 through physical, such as inconsistent dose
21 administration, or chemical interactions between
22 the compounded drug and the container closure

1 system.

2 Today, two drug products are being proposed
3 for the difficult to compound list. They are
4 1) metered dose inhalers, which is being presented
5 by Dr. Brian Rogers, a chemistry manufacturing
6 controls reviewer in the Office of Process and
7 Facilities in the Office of Pharmaceutical Quality;
8 the second is dry powder inhalers, which is
9 presented by Dr. Craig Bertha, a CMC lead in the
10 Office of New Drug Products in the Office of
11 Pharmaceutical Quality.

12 I'd like to thank the committee for their
13 attention, and we can answer questions regarding
14 the updated criteria at this point. Thank you.

15 DR. GULUR: We will begin the FDA
16 presentation by Dr. Brian Rogers. He will speak on
17 metered dose inhalers.

18 **FDA Presentation - Brian Rogers**

19 DR. ROGERS: Good morning. I am
20 Brian Rogers from the Division of Process
21 Assessment II in the Office of Process and
22 Facilities. I'm going to present today metered

1 dose inhalers and why we consider them difficult to
2 compound; the review team who made up these slides,
3 myself and Jianmeng Chen from clinical
4 pharmacology.

5 As a background, MDIs deliver metered
6 aerosols to lungs. They use the oral inhalation
7 route of administration, and they deliver a small
8 precise formulation volume, usually between 25 and
9 100 microliters.

10 The most common uses are the treatment of
11 asthma and chronic obstructive pulmonary disease,
12 COPD. Other uses are for respiratory infections,
13 cystic fibrosis, and sometimes systemic drug
14 delivery.

15 The formulation in an MDI may be either a
16 suspension or a solution. The formulation
17 components include the API, a propellant, which is
18 the major component by a lot, and the co-solvent in
19 a solution formulation, and usually a surfactant in
20 a suspension formulation.

21 MDIs are a pressurized system where the
22 propellant is a liquefied gas, and its rapid

1 expansion provides the energy to create the aerosol
2 out of the orifice. The MDI components are
3 critical in that the container surfaces should be
4 inert to the physically unstable formulation.

5 The canister is the container, which is
6 sealed by the metering valve to contain pressure.
7 These devices may contain sufficient formulation
8 for hundreds of individual doses. A metering valve
9 needs to consistently measure a precise amount of
10 formulation, and it seals the canister to protect
11 the bulk formulation from the environment.

12 The actuator creates the aerosol by
13 evaporation through the orifice, and the orifice is
14 critical in that it needs precise dimensional
15 control to create a consistent plume.

16 Here is a design of a typical MDI. This is
17 the canister, which is the basic container of the
18 formulation, and the MDI device here is shown
19 inverted. Here is the metering valve, and there's
20 a metering chamber in here, which meters the
21 formulation.

22 This is the actuator, this part here. And

1 when the MDI is compressed, the formulation is
2 ejected from the metering chamber, out the orifice,
3 into a plume, which is inhaled by the patient.
4 Over here is a design of a typical metering valve
5 where the metering chamber is in this area. This
6 gasket here seals the valve to the canister.

7 Critical aspects of MDIs are they must
8 deliver consistent dosing to ensure safety and
9 efficacy and also to determine the correct site and
10 quantity of API deposition.

11 The critical performance attributes are the
12 aerodynamic particle distribution, or APSD, and the
13 delivered dose uniformity, or DDU. The APSD
14 determines the site of deposition, and it has a
15 very narrow effective range of API particle sizes
16 in typically less than 5 microns. The DDU
17 determines how much is deposited in the airway, and
18 it's affected by manufacturing formulation and
19 container closure.

20 Critical API properties that may affect
21 safety and efficacy include the particle size
22 distribution, particle morphology, polymorphic form

1 of the drug substance, solubility, bulk density,
2 moisture or residual solvent content impurity.

3 Excipients include the propellant, which is
4 a poor solvent, any co-solvent, which is necessary
5 in a solution formulation, a surfactant that is
6 necessary to stabilize a suspension. And one of
7 the major issues for excipients is that there's no
8 suitable compendial monographs for inhalation grade
9 materials currently.

10 Suspension formulations need tight controls
11 to maintain stability. The stability is affected
12 by API properties. The API density can cause
13 creaming or settling in a liquid formulation, which
14 may impact dose uniformity. Adherence of the API
15 to the container closure or other formulation
16 particles is possible.

17 Ostwald ripening, which is a
18 recrystallization process, may increase the
19 particle size distribution; and changing of the
20 polymorphic form in a suspension is possible, which
21 may create solubility and stability issues.

22 Extensive characterization studies are

1 necessary with the proposed devices to detect
2 formulation, physical instability or formulation
3 interactions with the device. It's needed also to
4 optimize the concentration of additives. The
5 instability may result in subtherapeutic or
6 suprathapeutic dosing.

7 In conclusion, MDIs have a complex
8 formulation that presents a demonstrable difficulty
9 for compounding that is reasonably likely to lead
10 to an adverse effect on safety and efficacy.

11 It's critical that it promotes delivery of
12 consistent API mass. They need consistent size
13 droplets or particles from the metering valve under
14 all in-use conditions throughout life. MDIs have
15 unique components. They need predictable and
16 controllable chemical composition and physical
17 stability.

18 The solid formulation components are
19 physically unstable, and incorrect formulation will
20 not aerosolize correctly and could deliver too much
21 or too little drug affecting the safety or
22 effectiveness of the compounded drug.

1 MDIs have a complex drug delivery mechanism.
2 It is critical that MDIs consistently measure and
3 deliver a complex formulation to ensure safety and
4 efficacy. Drug delivery determines the drug
5 product performance and is tied to the formulation
6 properties. The actuator used to produce
7 consistent aerosol plume geometry for consistent
8 dose delivery.

9 The metering valve needs to deliver a
10 consistently fine APSD for appropriate lung
11 deposition. It should be inert to formulation,
12 chemically and physically, and each drug product
13 has unique priming characteristics, which need to
14 be determined.

15 Canister surfaces should be inert to
16 formulation components, and gaskets and O rings
17 which seal the device should be inert to
18 pressurized organic formulations, should prevent
19 the loss of volatile formulation components, and
20 should prevent contamination by undesirable
21 impurities or environmental components and maintain
22 physical stability.

1 Formulation aerosolization is complex and
2 difficult. It's the result of an interaction
3 between the formulation and the delivery mechanism.
4 MDIs have drug-specific priming and cleaning
5 requirements where the physical characteristics of
6 the API and the excipients are critical for
7 aerosolization.

8 Knowledge of optimization is needed on the
9 formulation, the container closure and actuator
10 design in the presence of the formulation and can't
11 be done individually. Manufacturing process,
12 including parameters and controls, need to be
13 optimized, and also the packaging needs to be
14 optimized.

15 Conclusion. The MDIs have a complex drug
16 delivery mechanism because the delivered dose to
17 the patient is critically dependent on formulation
18 composition, formulation components,
19 characteristics, and container closure surface
20 condition, composition and design. The complex
21 drug delivery mechanism presents a demonstrable
22 difficulty for compounding that is reasonably

1 likely to lead to an adverse effect on safety or
2 effectiveness of the MDI.

3 MDIs are complex dosage forms. A
4 sophisticated container closure system is critical
5 to MDIs. The container closure consists of the
6 container or metal canister, a delivery system,
7 which is an actuator and a metering valve. The
8 container closure components have critical
9 tolerances and surface composition. They need to
10 accurately and consistently measure the liquid
11 formulation.

12 Again, the API may adhere to surfaces, which
13 may affect dose delivery, and improper materials of
14 construction may lead to impurities into the
15 formulation from plastic and elastomeric
16 components.

17 The formulation and the container closure
18 physical interactions are complex. Valve to
19 canister and valve stem sealing is critical. The
20 canister metering valve seal is held in place by a
21 precisely-crimped ferrule.

22 The seal should allow valve stems to move

1 freely and yet prevent pressurized propellant from
2 leaking as a gas and protect the formulation from
3 the environment moisture and oxygen. MDIs should
4 deliver a precise quantity and form of API as an
5 aerosol to the patient's airways.

6 MDIs have unique formulation properties.
7 The organic liquid phase is normally pressurized at
8 around 97 psi at 25 degree centigrade, the
9 propellant being HFA-134a typically.

10 They also have high energy solids in
11 suspension. Again, they're typically less than
12 5 microns in size and have a high surface area.
13 The amorphous physical form is often the required
14 physical form, and it's the least stable of the
15 polymorphic forms.

16 Dynamic interactions between the container
17 and the formulations determine the APSD and the
18 dose delivered. Both of these are critical
19 attributes for safety and efficacy.

20 MDIs often need protective secondary
21 packaging such as a foil pouch. This provides
22 additional protection from moisture and oxygen

1 ingress. It's necessary when performance
2 deterioration is observed on storage, and the need
3 for protective packaging is established by
4 stability studies.

5 In conclusion, the precise functioning and
6 inert composition of the container closure
7 components, as well as mitigation of the high
8 energy nature of the formulation, are necessary to
9 achieve and maintain a necessary performance of the
10 dosage form. The complexity of the dosage form
11 presents demonstrable difficulties for compounding
12 that are reasonably likely to lead to an adverse
13 effect on the safety or effectiveness of the MDI.

14 Bioavailability of drugs in MDIs is
15 difficult to achieve and assess. For a
16 local-acting MDI, systemic bioavailability is used
17 to extrapolate the systemic safety. To assess
18 local bioavailability of MDI drug products, a
19 weight of evidence approach includes clinical
20 endpoint studies and pharmacokinetic assessments.

21 This approach would present a demonstrable
22 difficulty to compounding. For a systemic drug

1 delivery through MDIs, PK studies in humans are
2 essential to assess the systemic bioavailability.

3 Bioavailability is complex to achieve and
4 determine for the following reasons: the API PSD
5 polymorphic form and other critical physical
6 properties impact absorption. Absorption
7 obstruction decreases the systemic bioavailability.

8 Currently, in vitro assessment such as APSD
9 and single-actuation content and DDU, alone are not
10 sufficient to accurately predict lung deposition
11 bioavailability and overall clinical effect.

12 Currently, there is no single easily
13 reproducible reliable method of measurement that
14 can quantitate the dose delivered by the dosage
15 form and received by the patient, which would be
16 necessary to enable a compounder to consistently
17 make products with delivered dose uniformity
18 falling within acceptable ranges.

19 In conclusion, for locally-acting drugs
20 applied to the lungs at low doses, measuring local
21 bioavailability does not currently have a single
22 easily reproducible method of quantitation. For a

1 systemic drug delivery through MDI drug products,
2 systemic bioavailability is not predictable based
3 on in vitro assessment alone. Therefore, achieving
4 and assessing bioavailability of MDIs presents
5 demonstrable difficulties for compounding that are
6 reasonably likely to lead to an adverse effect on
7 safety or effectiveness of the MDIs.

8 API and excipient processing is critical to
9 the performance of MDIs. It is critical that APIs
10 and excipients for suspension formulations be
11 micronized in specialized equipment followed by
12 conditioning to assure uniformity of the physical
13 form. API for solution formulations pose a
14 different problem through degradation and
15 absorption of the formulation components by the
16 valve components.

17 Overall unit-to-unit and batch-to-batch
18 uniformities are dependent on the variability of
19 the formulation filled into the canisters.
20 Formulation filled is dependent on the uniformity
21 of the bulk formulation of the filling system, the
22 blend uniformity of the solids, and the rate of

1 addition of make-up propellant.

2 Filling can be accomplished with the
3 formulation cold or under pressure. Both process
4 types have complex requirements for uniform
5 filling, and it's critical to control moisture and
6 that's challenging.

7 Both cold and pressure filling use equipment
8 specialized for MDI manufacturing such as the
9 homogenizer, a sealed formulation tank, and filling
10 tank. A formulation circulation system is
11 necessary to maintain suspension uniformity.
12 Rotary or stationary filling units with purge,
13 crimping, and filling heads are also necessary.

14 Manufacturing equipment is difficult to set
15 up and validate because of the large number of
16 process parameters involved. Specialized equipment
17 is also necessary to assure uniformity in both the
18 particle size and concentration, which affect
19 safety and efficacy.

20 Formulation compounding is critical for MDI
21 performance. Changes in the polymorphic form and
22 PSD during liquid formulation blending and filling

1 are possible when the API has solubility in an
2 intermediate formulation or blending is not
3 accomplished at sufficiently low temperatures.

4 Measurement of the bulk formulation
5 properties during filling is difficult since the
6 sealed and pressurized system is used for both
7 filling types. A sealed and pressurized system is
8 crucial to preventing ingress of moisture or oxygen
9 or loss of volatile propellant or co-solvent.

10 Ingress of moisture or oxygen, or loss of
11 the volatile components, should be minimized to
12 prevent the changes in the API particle size
13 distribution. Increased propensity of the API to
14 interact with the container closure components also
15 occurs with the presence of moisture. Changes in
16 the extent of these interactions may cause
17 significant batch-to-batch variability and have a
18 deleterious effect on safety and efficacy.

19 Formulation filling into the MDI is a
20 critical and complex procedure, which includes
21 either evacuation or purging of the container
22 closure with propellant to eliminate atmospheric

1 moisture and oxygen.

2 Sealing of the valve to the canister by
3 precise crimping is necessary, and then filling of
4 the formulation into the canister is also critical.
5 Filling parameters to assure batch-to-batch
6 uniformity include propellant purge weight,
7 crimping dimensions, fill volume, and API content
8 uniformity in the formulation, a total can assay
9 and pressure testing when co-solvents or propellant
10 mixtures are used.

11 In conclusion, any errors in filling or
12 formulation compounding are reasonably likely to
13 result in delivered dose variability in either the
14 quantity of the emitted drug or its APSD.
15 Insufficient drug delivered to the appropriate part
16 of the lungs as measured by these two parameters
17 will pose an efficacy concern and potentially a
18 safety concern, particularly for rescue
19 medications. Compounding in MDI involves a complex
20 compounding process that presents a demonstrable
21 difficulty for compounding that is reasonably
22 likely to lead to an adverse effect on safety and

1 effectiveness of the MDI.

2 MDIs require complex physicochemical or
3 analytical testing. They need extensive
4 characterization in the development studies to test
5 the formulation, the container closure, and its
6 compatibility with the formulation and the
7 manufacturing process.

8 Development of specifications and in-process
9 controls for the following is critical since these
10 ultimately determine performance characteristics.
11 They are the raw materials, the container closure
12 components, and manufacturing consistency.

13 Appropriate API specifications, release, and
14 stability, and retest, and are needed before and
15 after additional processing micronization and
16 conditioning. Controls are needed to maintain
17 consistent API physicochemical properties such as
18 the polymorphic form, the particle size
19 distribution, the surface morphology, water
20 content, and others.

21 This helps to ensure consistent dosage form
22 performance, including the physical stability, the

1 drug content for actuation, the aerosol APSD, and
2 these attributes help to assure consistent
3 bioavailability.

4 Low drug load in suspension, typically
5 0.1 to 5 microliters per milliliter, is common, and
6 this could lead to a non-uniform drug product. The
7 formulation is difficult to sample in the
8 pressurized system, and unit testing after filling
9 is necessary to ensure accurate and consistent dose
10 delivery.

11 Typical testing includes assay valve crimp
12 measurements, component fill weight function, and
13 integrity testing. And low drug loading makes
14 periodic in-process testing necessary to assure
15 property suspension uniformity for unit filling.

16 For lot release testing, APSD is the most
17 critical performance attribute. Testing requires a
18 cascade impactor and analysis of the dose
19 delivered. Complex procedures with low masses of
20 API analyzed may be in an excipient matrix, and
21 difficult to use and qualify compared with other
22 analytical methods.

1 Leachables testing is critical for safety.
2 One needs to test for impurities from container
3 closure components, and this is complicated by
4 leaching over time by the liquid organic
5 formulation.

6 Leachables testing uses sensitive
7 specialized analytical techniques at the ppm and
8 ppb levels, and they should be able to quantitate a
9 wide range of chemical structures, and usually,
10 multiple testing methods are needed.

11 Delivered dose uniformities is another
12 critical performance attribute, and its testing
13 requires special apparatus and support equipment.

14 Stability testing. The quality and
15 performance of testing covers appropriate storage
16 conditions and performance testing at the beginning
17 and end of product life. In-use period testing
18 includes characterization studies, which are,
19 again, product-specific.

20 Determination of the in-use period is
21 necessary, which is after exposure of the MDI
22 device to the atmosphere out of the protective

1 packaging until the labeled number of actuations
2 have been consumed by the patient.

3 The resting time and priming requirements
4 are also necessary to determine cleaning
5 requirements and temperature and humidity cycling
6 for the purposes of shipping qualification.

7 In conclusion, MDIs require a complex
8 physicochemical analytical testing because the
9 formulation properties and critical performance
10 parameters of the product require complex
11 analytical devices and procedures. Furthermore,
12 impurities must be quantitated through various
13 sensitive analytical techniques developed
14 specifically for these impurities.

15 In-process testing of MDIs and control of
16 their manufacturing process using methods unique to
17 MDI manufacturing procedures are critical to
18 minimize unit-to-unit and batch-to-batch
19 variability and to ensure accurate performance
20 throughout the product shelf life and in-use life.

21 The physicochemical or analytical testing
22 needed for MDIs is so complex that it is likely

1 that a product quality defect would not be
2 detected, which would lead to an adverse effect on
3 the safety or effectiveness of the compounded drug.
4 Accordingly, the complex testing required for MDIs
5 presents a demonstrable difficulty for compounding
6 that is reasonably likely to lead to an adverse
7 effect on safety or effectiveness of the MDI.

8 Our proposal is that, based on the analysis
9 of the evaluation criteria to assess MDIs present
10 demonstrable difficulties for compounding that
11 reasonably demonstrate an adverse effect on the
12 safety or effectiveness of that drug product and
13 that are reasonably likely to lead to an adverse
14 effect on the safety or effectiveness of the
15 category of drugs.

16 Taking into account the risks and benefits
17 to patients, we propose that MDIs be included on
18 the list of difficult to compound drug products
19 under Sections 503A and 503B of the FD&C Act.

20 Thank you.

21 **Clarifying Questions from the Committee**

22 DR. GULUR: Clarifying questions for the

1 presenter? Dr. DiGiovanna?

2 DR. DiGIOVANNA: Yes. John DiGiovanna. I
3 have a question about the scope of the devices that
4 are included in this. For example, are there
5 similar devices for oral and nasal mucosal
6 administration of drugs, and does this metered dose
7 inhaler encompass devices that may be
8 non-pressurized, for example, squeeze-bottle
9 delivery systems?

10 DR. ROGERS: No, this particular
11 presentation only covers MDIs that are pressurized.

12 DR. DiGIOVANNA: So will the regulations
13 exclude those devices that are non-pressurized?

14 DR. ROGERS: They would be taken up in
15 another proposal at some other time if they're
16 deemed so.

17 DR. DiGIOVANNA: So they're distinct.

18 MS. AXELRAD: Yes, they're distinct. And I
19 think that we would have to define the category
20 well enough in terms of metered dose inhalers
21 containing pressurized gas, for example, if that's
22 the recommendation, so that people can understand

1 what they can and can't do.

2 DR. GULUR: Dr. Vaida?

3 DR. VAIDA: Going back to the opening
4 presentation, just so I have it straight in my
5 mind, these compounds would be excluded for 503A.
6 But for 503B, if they showed that they could
7 produce them, they would be okay?

8 Is that how I read this? I think that's how
9 it was explained.

10 MS. AXELRAD: We would recommend that we put
11 these on the difficult to compound list for both
12 503A and 503B. We think that this particular
13 dosage form is so complicated that the only person
14 that could actually do it well is a manufacturer
15 who could do the drug development work. It would
16 be submitted to FDA in an NDA or an ANDA and be
17 reviewed by us, because even an outsourcing
18 facility, if they were doing it, wouldn't have done
19 the drug development work, wouldn't have submitted
20 it to us; we would not have seen it.

21 So even though they might be making a dosage
22 form under good manufacturing practices, in order

1 to do that, you have to have information about how
2 you need to do it and the specifications, and the
3 testing, and all of that done before you get to
4 GMPs.

5 DR. VAIDA: So in essence, this would really
6 be excluded from --

7 MS. AXELRAD: Yes, this would be on both
8 lists.

9 DR. GULUR: Dr. Hoag?

10 DR. HOAG: I'm just wondering, I've never
11 heard of a compounding pharmacist doing this. How
12 many prescriptions per year are in this category?

13 MS. AXELRAD: As I said last time, we don't
14 know of anybody doing it either. In part, our
15 thinking on this -- and it was the same as we did
16 when we were implementing the law, the '97 law,
17 because this provision was in there originally.

18 It's to start with something that is
19 relatively easy and not terribly controversial
20 because people are not doing it, so that you can
21 see how the criteria that we've been working on
22 would be applied in a specific instance before we

1 get to some of the more difficult-to-compound
2 categories, where it will be harder to define them,
3 develop the nuances, and answer a lot of the
4 questions that were raised in the discussions last
5 time.

6 MS. BORMEL: I would just add that these
7 were nominated for the list, the DTC list.

8 MS. AXELRAD: It was a nominated -- it was
9 nominated. Of the 71 things, a number of them were
10 metered dose inhalers, but that doesn't mean that
11 people were compounding them. It just meant that
12 somebody didn't want to have them compounded.

13 MS. BORMEL: Correct.

14 DR. GULUR: Any other questions?

15 (No response.)

16 DR. GULUR: We are scheduled to have a
17 morning break, but we've chosen to work through
18 that break if everyone's in agreement. We will
19 continue with the FDA presentation by Dr. Craig
20 Bertha. He will speak on dry powder inhalers.

21 **FDA Presentation - Craig Bertha**

22 DR. BERTHA: Good morning. I'm

1 Craig Bertha. I'm the CMC lead in the Office of
2 New Drug Products, and I'm also the liaison for the
3 Division of Pulmonary Allergy and Rheumatology
4 Products, the clinical division. Dr. Chen and I
5 worked on putting together this presentation that
6 I'm going to show today.

7 In the beginning, I'm going to give a little
8 background information on DPIs. This is a little
9 bit different from the MDIs because there's really
10 no single type of DPI. There's a range of
11 different types. And then, I'm going to go through
12 the various criteria that we examined to put
13 together our proposal and our perspective that
14 these are difficult to compound. And then I'll
15 conclude.

16 Dry powder inhaler deliver powder
17 formulations topically to the tissue surfaces of
18 the lungs. Most of these are designed for a local
19 effect in the lungs. Systemic drug absorption into
20 the bloodstream from the lung is generally
21 undesirable, although with most of these, there
22 will be some larger particles of drugs that get

1 into the GI tract, and then there may be some
2 bioavailability from that and related side effects.
3 But generally, they're for topical application to
4 the lungs.

5 The most common uses are the same as for
6 MDIs, treatment of chronic obstructive pulmonary
7 disease and asthma. And we've also seen
8 applications for treatment of cystic fibrosis, lung
9 infections, and we've approved two dry powder
10 inhalers for the systemic delivery of insulin for
11 diabetic patients, although one of these is not
12 marketed.

13 DPIs consist of formulated drugs and an
14 associated specific device for delivery by oral
15 inhalation. There are main subtypes. There are
16 the pre-metered type, where the drug is metered
17 into the unit doses at the factory before the
18 patient gets the device; and there are the
19 device-metered DPIs, where the formulation is put
20 into a reservoir, and then as the patient uses the
21 DPI, the device meters the drug. And these
22 proprietary designs, which are patented, vary

1 widely from the relatively simple type of DPI to
2 very complicated devices.

3 The drug substances, as for the MDIs, are
4 micronized to very small particle size, typically
5 less than about 5 microns. The doses of drugs are
6 very low, so the formulations generally require the
7 use of carrier excipients as a bulking agent to
8 provide acceptable blend uniformity and dosing
9 uniformity for the final product.

10 The energy for delivery and deagglomeration,
11 or the release of the drug from the carrier,
12 typically comes from the patient's inhalation
13 maneuver. Formulations often have reduced
14 stability relative to those of more common drug
15 products because of the high energy state of the
16 finely micronized drug.

17 Formulation, production, and associated
18 control strategies are unique for DPIs, and if
19 these are inadequate, it can result in inconsistent
20 performance and non-optimum dosing of patients.

21 This diagram here, this figure sort of
22 depicts the operating principle behind most of the

1 DPIs that the agency has seen. On the left side,
2 you'll see the large, light-colored, rectangular
3 boxed particles of carrier coated with the small
4 micronized excipient particles.

5 These are either loaded into the reservoir
6 for devices such as the Turbuhaler, or in
7 pre-metered DPI devices such as Diskhaler, Diskus,
8 or HandiHaler. The Diskhaler and the Diskus use
9 blisters for the unit doses, and the HandiHaler
10 uses a gelatin capsule, I believe, for the unit
11 doses.

12 When the patient inhales from the device,
13 that draws the formulation out of the device. And
14 the energy from the inhalation breaks off,
15 hopefully, a large amount of the API consistently
16 from the carrier, and then these are of a size that
17 can be inhaled into the lungs.

18 DPIs, generally, they deliver metered
19 aerosol to the lungs by oral inhalation, and they
20 generally deliver small precise amounts. So we can
21 have drugs as small as a few micrograms of drug,
22 all the way up to maybe a milligram of drug at most

1 per actuation or per inhalation. The dosing needs
2 to be consistent both in terms of the dose and the
3 particle sizes of drugs, which is critical for
4 safety. It also helps ensure that the drug reaches
5 the correct deposition site in the lungs.

6 The two main critical quality control
7 performance test attributes that we look at, and
8 that are used to test and control dry powder
9 inhalers, are the same as they for metered dose
10 inhalers: delivered dose uniformity and
11 aerodynamic particle size distribution.

12 The DDU measures the dose-to-dose quantity
13 of the drug emitted for deposition in the airways
14 of patients and expected dosing variability under
15 ideal laboratory circumstances. These are
16 conditions that are done systematically under a
17 very precise airflow conditions. The delivered
18 dose uniformity is affected by manufacturing
19 formulation and DPI device characteristics.

20 The aerodynamic particle size distribution
21 testing measures the quantity of drug with ranges
22 of known aerodynamic particles sizes. The results

1 of this testing relate to the actual site of
2 deposition in the airways. However, the
3 relationship of these data can rarely be
4 established with any confidence.

5 The aerodynamic particle size distribution
6 testing helps assure the necessary range of the API
7 particle size and also the formulation consistency.
8 This parameter can also be affected by the
9 manufacturing of the formulation, the formulation
10 itself, and the DPI device.

11 Moving from background information, DPIs
12 have complex formulations. The drug and the
13 excipient interactions depend on both the choice of
14 the excipients and controls for API excipient
15 physicochemical properties.

16 The API excipient interactions help assure
17 that there are sufficient physicochemical stability
18 or adhesion to the formulation, but with
19 substantial consistent deagglomeration being able
20 to occur so that the API can break free from the
21 carrier and be delivered, and that assures
22 reproducible dose performance.

1 The API excipient interactions are also
2 important because you need to have adequate
3 formulation manufacturer ability for the
4 formulation. It needs to be flowable, and it also
5 has to reduce the amounts of fines that are either
6 lost to the filling equipment or lost to the
7 device.

8 Formulation robustness is also important
9 because there's high variability with
10 patient-driven dose delivering from patient to
11 patient, so the formulations have to take that into
12 account. Carrier excipients are also necessary
13 with low drug loads to achieve acceptable blend in
14 dose uniformity.

15 Characterization and control of formulation
16 component properties are critical to yield DPIs
17 with consistent dosing performance and stability,
18 helping to assure safety and efficacy for patients.
19 For the API properties, there needs to be
20 consistent particle size distribution, which is
21 necessary for the drug to reach the site of action.

22 The fine drug has high surface area or

1 energy and often requires unique treatment handling
2 and formulation process. The solid state or the
3 crystalline form of the drug determines the kinetic
4 solubility and the bioavailability at the site of
5 action. Special conditioning is often needed to
6 reduce the percentage of amorphous API that results
7 from micronization, which increases the
8 physicochemical stability the API.

9 As far as the excipients are concerned,
10 there are a few API carrier excipients that have
11 been qualified toxicologically for oral inhalation
12 impurities critical for safety. Excipients such as
13 lactose need to be compatible with the API to
14 assure chemical stability.

15 Carrier surface stabilizers are often
16 necessary to dampen high energy interactions with
17 carrier and fine API and achieve the needed balance
18 of API carrier interparticulate interactions, the
19 sealed formulations that produce higher and
20 consistent amounts of fine particles upon delivery
21 from the device, and this also would help increase
22 the shelf life of the product so that there would

1 be no changes in the particle size with time.

2 High level of purity of APIs and excipients
3 necessary for oral inhalation route of
4 administration is usually not addressed by the
5 current compendial API excipient monographs, but
6 hopefully that will get better with time. Patients
7 with diseased lungs are often more sensitive to
8 formulation impurities.

9 Formulation stability. Unusually consistent
10 and stable formulations are critical to DPIs to
11 prevent dosing variability. Formulation stability
12 can be affected by the API and excipient
13 properties. You can have recrystallization of
14 amorphous material from the micronization, and this
15 can lead to particle bridging and change in the
16 APSD. There can be changes in the drug's
17 polymorphic or crystalline form. They can alter
18 the drug kinetic solubility, and that can lead to
19 altered lung bioavailability.

20 Extensive formulation development
21 characterization studies are necessary to determine
22 the need for stability additives or component

1 conditioning to also help assure formulation
2 stability with optimized drug load and increase the
3 ability to achieve blend uniformity.

4 In conclusion, DPIs have complex
5 formulations that require extensive development,
6 characterization, and controls to assure acceptable
7 dosing performance. The complex formulations
8 present a demonstrable difficulty for compounding
9 that is reasonably likely to lead to adverse effect
10 on the safety or effectiveness of the DPI.

11 DPIs have complex drug delivery mechanisms.
12 Unlikely most drug products, dosing of drug from
13 DPIs normally depends on the patient inhalation
14 maneuver, so patients really are a part of the drug
15 delivery mechanism. The patient inhalation
16 withdraws the drug formulation from the delivery
17 device. The patient inhalation deagglomerates or
18 breaks up the formulation to yield the inhalable
19 sized API particles.

20 Patient inhalation parameters such as their
21 airflow rise or the peak flow that they can achieve
22 can vary widely from patient to patient or day to

1 day from a single patient. So DPI designs, both
2 with the device, with the formulation, need to be
3 optimized to be robust to varying patient
4 inhalation parameters and not contribute to dosing
5 performance variability.

6 An ideal DPI device would be intuitive to
7 use, robust, with consideration given to the
8 variable patient inhalation parameters, rugged to
9 manipulation, use, or unintentional misuse, and at
10 expected storage and shipping conditions, be
11 informative of the remaining number of doses and
12 have limited hold-up of the high energy drug so
13 that cleaning requirements aren't too onerous.

14 Since drug delivery depends on patient usage
15 conditions, which is inherently variable, extensive
16 data are critical to characterize the drug product
17 and create patient-oriented labeling; so
18 instructions for use for the patient and the
19 storage conditions to limit drug delivery
20 variability with patient use as much as possible.

21 The characterization studies usually include
22 an examination of the stability of the formulation

1 after removal of protective packaging; the behavior
2 of the DPI after undesirable storage or
3 environmental exposure; the actual impact of
4 patient use on the remaining doses on the DPI; any
5 effect of orientation during use either with
6 loading of the dose or with the delivery of the
7 dose during patient use; drug loss to the device
8 and any necessary cleaning that that would lead to;
9 and drug available near exhaustion, so devices that
10 don't have lockout and have reservoirs -- the
11 device metered DPIs -- we like the characterization
12 of how the dose drops off at the end; the effect of
13 varying flow rate on dosing performance considering
14 typical patient-generated flow rates that need to
15 be determined, and this is dependent on the
16 resistance of the device; and also device
17 ruggedness testing.

18 In conclusion, DPIs have complex drug
19 delivery mechanisms, so they must be designed with
20 formulations and associated devices that in
21 combination provide consistent drug delivery both
22 in dosing and the aerodynamic particle size

1 distribution to patients with widely varying
2 inhalation characteristics.

3 DPIs have a complex drug delivery mechanism
4 that present a demonstrable difficulty for
5 compounding that is reasonably likely to lead to an
6 adverse effect in the safety or effectiveness of
7 the DPI.

8 DPIs are a complex dosage form, so
9 regardless of the underlying complexity of their
10 devices, DPIs have complex formulations, have
11 complex drug delivery mechanisms, and must be
12 designed to perform reproducibly with the variation
13 inherent in patient inhalation-driven dosing.

14 In conclusion, DPIs are complex dosage forms
15 that present a demonstrable difficulty for
16 compounding that is reasonably likely to lead to an
17 adverse effect on the safety or effectiveness of
18 the DPI.

19 Bioavailability of drugs in DPIs is
20 difficult to achieve and assess. For
21 locally-acting DPIs, systemic bioavailability is
22 used to extrapolate to systemic safety. To assess

1 local bioavailability of DPI drug products, a
2 weight of evidence approach typically includes
3 clinical endpoint studies and pharmacokinetic
4 assessments in patients. This approach would
5 present a demonstrable difficulty to compounding.
6 For systemic drug delivered through DPIs,
7 pharmacokinetic study in humans is essential to
8 assess the systemic bioavailability.

9 Bioavailability is complex to determine.
10 The drug particle size distribution, its
11 crystalline polymorphic form, and other critical
12 physical properties for the formulation likely
13 impact absorption.

14 Absorption obstruction decreases systemic
15 bioavailability. Currently, there are no in vitro
16 assessments such as aerodynamic particle size
17 distribution or determination of dose content
18 alone. These are not sufficient to accurately
19 predict lung deposition or bioavailability and
20 overall clinical effect.

21 Currently, there is no single easily
22 reproducible reliable method of measurement that

1 can quantitate the dose delivered by the dosage
2 form and received by the patient, which would be
3 necessary to enable a compounder to consistently
4 make product with delivered dose uniformly falling
5 within acceptable ranges.

6 In conclusion, for locally-acting drugs
7 applied to the lung at low doses, measuring local
8 bioavailability does not currently have a single
9 easily reproducible method of quantitation. For
10 systemic drug delivery through DPI drug products,
11 the systemic bioavailability is not predictable
12 based on in vitro assessment alone.

13 Therefore, achieving and assessing
14 bioavailability of DPIs presents demonstrable
15 difficulties for compounding that are reasonably
16 likely to lead to an adverse effect on safety or
17 effectiveness of DPIs.

18 DPIs require complex compounding processes.
19 DPIs necessitate API with small particle size for
20 inhalation, and typically the APIs are micronized
21 with specialized equipment. Further conditioning
22 may be needed to control the physical properties of

1 micronized API to achieve the desired performance
2 reproducibility and formulation stability.

3 Typical low drug loading needs extra care
4 during processing to achieve adequate blend
5 uniformity. There can be specialized seal
6 integrity in-process testing necessary to help
7 assure functionality of any protective packaging.

8 Optimizing formulation characteristics for
9 device filling and drug product usage may require
10 additional processing manufacturing considerations.
11 There can be formulation stabilizers used such as
12 lubricants or fine carrier particles to achieve the
13 necessary balance between the API and the excipient
14 interactions to achieve dosing performance and
15 stability.

16 There needs to be control of excipient
17 physicochemical properties and particle size, and
18 applicants may need to determine the need for
19 additional environmental controls during
20 manufacturing and/or if they need to apply DPI
21 protective packaging such as desiccants, oxygen
22 scavengers, and foil-overwrap.

1 In conclusion, manufacturing a DPI requires
2 substantial development to understand the optimal
3 formulation manufacturing and device
4 characteristics necessary to provide a robust drug
5 product that can provide the accurate and precise
6 dosing of patients with widely varying inhalation
7 characteristics.

8 Any errors in formulation in compounding and
9 device filling are reasonably likely to result in
10 delivered dose variability in either the quantity
11 of the emitted drug or its APSD. Such scenarios
12 could result in insufficient or excessive drug
13 delivered to the appropriate parts of the lungs,
14 potentially leading to a lack of efficacy or other
15 patient safety concerns.

16 Compounding a DPI involves a complex
17 compounding process that presents a demonstrable
18 difficulty for compounding that is reasonably
19 likely to lead to an adverse effect on the safety
20 or effectiveness of the DPI.

21 DPIs require complex physicochemical or
22 analytical testing. In addition to routine tests

1 applied to most drug products, some critical DPI
2 quality control testing is unique, complex, and
3 specialized.

4 Drug product component testing for DPIs is a
5 critical part of the overall quality control
6 strategy to ensure DPI dosing performance and low
7 variability; that is sufficient physical stability
8 of the formulation, on-target drug content and
9 formulation per actuation in terms of the assay and
10 the delivered dose uniformity, and the desired
11 aerodynamic particle size distribution for the
12 delivered drug.

13 API excipient component testing includes
14 crystalline form or amorphous content, the particle
15 size distribution, particle surface, texture
16 measurements, surface area, and moisture content.

17 For the device and packages, there can be
18 dimensional measurement requirements for certain
19 critical components. For example, for device
20 metered DPIs, the components that meter out the
21 drug, the small quantities of formulation need to
22 have concise dimensional tolerances.

1 There can be testing to assure consistency
2 of composition of the surface characteristics of
3 components in the flow path of the exiting drug
4 formulation because this can lead to variability
5 and the hold-up of the drug as it exits the device.

6 There can be functional testing, for
7 example, for a dose counter function, and this is
8 crucial for devices that are for rescue of asthma
9 attacks. There can be moisture or vapor
10 transmission rate testing to gauge protective
11 capability of foil pouch or other protective
12 packaging.

13 DPI lot release and stability testing for
14 key performance related parameters include those
15 for the aerodynamic particle size, which is a
16 critical quality control test attribute for these
17 drug products, and that requires cascade impactor
18 analysis of dose delivered. This is using
19 specialized equipment and analysts have to be
20 trained. This is a complex procedure. Low masses
21 of API are captured and analyzed, so the methods
22 need to be sensitive.

1 DPIs present a particular challenge here due
2 to particle bounce and re-entrainment, so the
3 cascade impactor has to be treated to control for
4 that. The test is difficult to perform and
5 validate compared to other analytical methods, and
6 the cascade impactor equipment require specialized
7 handling and maintenance.

8 Delivered dose uniformity testing is also a
9 critical quality control to test the attributes of
10 DPI and requires specialized equipment and analyst
11 training.

12 Both of these tests and other tests for
13 other parameters are performed for the dry powder
14 inhalers during the stability studies to determine
15 the appropriate storage conditions set, the drug
16 product expiration dating period, and qualify any
17 protective packaging that may have been used.

18 Getting back to the production of the
19 instructions for use for patients and the storage
20 conditions, there has to be extensive drug product
21 characterization laboratory testing to create those
22 labels. There are studies to determine the

1 appropriate in-use period after the protective
2 packaging is removed. There can be temperature
3 cycling studies to look at extreme environmental or
4 storage conditions that may be encountered during
5 the shelf life of the product.

6 There can be returned samples from the
7 clinical trials, partially used returned samples
8 that are tested in the laboratory to determine if
9 there's any effect of the patient use on the
10 remaining doses.

11 There can be tests designed in the
12 laboratory to look at orientation effects in terms
13 of the dosing performance. There are studies to
14 look at drug hold-up and any necessary cleaning
15 requirements that need to be included in the
16 labeling.

17 As I mentioned before, for device metered
18 DPIs that don't have a lockout at the end, there
19 needs to be studies to characterize the drug
20 available near exhaustion or when the counter reads
21 zero if the patient happens to use it beyond that
22 point. Then there are tests to examine the varying

1 flow rate and how that affects the dosing
2 performance as well as ruggedness tests.

3 In conclusion, the quality control testing
4 of component materials, both prior to and during
5 the manufacture, and the final testing of the
6 finished DPI drug product for lot release and
7 stability characterization has a complex
8 methodology to help assure the critical quality
9 attributes related to dosing and performance are
10 attained.

11 These tests are difficult to develop,
12 validate, and perform routinely. They use highly
13 specialized and unique equipment and analysts that
14 have received considerable training. Accordingly,
15 the complex testing for DPIs presents a
16 demonstrable difficulty for compounding that is
17 reasonably likely to lead to an adverse effect on
18 the safety or effectiveness of the DPI.

19 Based on the analysis of the evaluation
20 criteria, to assess if DPIs present demonstrable
21 difficulties for compounding that reasonably
22 demonstrate an adverse effect on the safety or

1 effectiveness of the drug product and that are
2 reasonably likely to lead to an adverse effect on
3 the safety or effectiveness of the category of
4 drugs, taking into account the risks and benefits
5 to patients, the agency proposes that DPIs be
6 included on the list of difficult to compound drug
7 products under Sections 503A and 503B of the Food,
8 Drug, and Cosmetic Act. Thank you.

9 **Clarifying Questions from the Committee**

10 DR. GULUR: Clarifying questions?

11 Dr. DiGiovanna?

12 DR. DiGIOVANNA: Yes. John DiGiovanna. The
13 issue I have with this is I don't have a clear
14 understanding of how the MDI and DPI, of the scope
15 of them. We've had very clear presentations about
16 how complex they can be. I don't understand the
17 other pole of how simple they can be.

18 I'm not quite sure I understand the
19 difference between the definition of this and my
20 having a cold and going to a drug store and buying
21 a squeeze bottle of Afrin nose drops or a bottle of
22 saline in a drop with a squeeze bottle. I'm not

1 certain I understand that that would not be
2 included in this.

3 The other thing I don't fully understand is
4 these are the most clearly complex situations.
5 What is the other pole of that? Are there other
6 products that are very simple, that are not
7 pressurized, that would be easy to manufacture,
8 that would be included in this because of the
9 definition?

10 DR. ROGERS: In the case of MDIs, there are
11 no way to -- there's no way to get a drug into the
12 lungs using a squeeze bottle or a simple device.
13 The only way to get a pressurized aerosol into the
14 lungs is with the devices I've shown.

15 There is no other way to create an aerosol
16 that is, the formulations I described, other than
17 an MDI. Nasal sprays and the kind of squeeze
18 bottles you described are very different in their
19 performance characteristics. There's no overlap in
20 those dosage forms between those and the MDIs.

21 DR. DiGIOVANNA: So nasal inhalers, metered,
22 are not covered under this in any way.

1 DR. ROGERS: No.

2 DR. DiGIOVANNA: So there's a distinction
3 somewhere between intraoral mucosal administration
4 and intranasal administration in these products?
5 Because it's not clear to me but --

6 DR. ROGERS: Yes, the nasal sprays are
7 intended to be deposited basically where they're
8 administered. The aerosols from an MDI have to get
9 past the throat and tongue where there is some
10 deposition evidently, but the majority of the dose
11 is targeted to get way into the lungs, like fine
12 smoke particles. It's a very different set of
13 performance parameters.

14 DR. DiGIOVANNA: I clearly agree with you.
15 I just don't understand how the way this is written
16 separates out the nasal inhalers from the MDIs.

17 DR. GULUR: Dr. Agarabi?

18 CDR AGARABI: To address your question
19 directly, we do define what the MDI consists of,
20 one or more APIs dissolved or suspended in
21 propellant. We have a liquefied gas under
22 pressure. What you're talking about, I go to the

1 drug store and I get a pump spray, would not fall
2 under that definition because it's not under
3 pressure.

4 To your other point, there are more simple
5 compounding options for the inhalation route that
6 we are not discussing today. We are not discussing
7 products that might be nebulized. We are focusing
8 only on the types of MDI and DPI sort of
9 complicated dosage forms that you mentioned earlier
10 on.

11 DR. DiGIOVANNA: Thank you.

12 DR. BERTHA: I think we're also using these
13 definitions, metered dose inhaler and dry powder
14 inhaler, as we've defined in our agency guidance,
15 where we equate a metered dose inhaler with an
16 inhalation aerosol meant to deposit drug in the
17 lungs. That guidance also talks about nasal
18 aerosols, which would be a lot like an MDI but for
19 depositing drug in the nose. This specifically
20 covers metered dose inhalers, which are the
21 equivalent of an inhalation aerosol, so it's for
22 lung delivery.

1 As far as the dry powder inhalers, it's also
2 the same thing, that in our agency guidance, we
3 equate them with inhalation powders. That name is
4 consistent, and it only means when the drug is to
5 go to the lungs, not for a nasal deposition.

6 In the context of the guidance and the use
7 of these names, I think it's pretty clear that it's
8 only for the drugs for deposition into the lungs,
9 not nasal.

10 DR. GULUR: Dr. Vaida?

11 DR. VAIDA: You had mentioned in the
12 beginning, too, that the device designs are
13 proprietary and usually patented. Maybe it holds
14 true with the MDIs, too, but even you were able to
15 compound something, you would also have to, more
16 than likely, come up with your own device design.

17 DR. BERTHA: I know of one device which had
18 its patent run out, and there are a lot of sponsors
19 that are buying that device from a manufacturer
20 that's manufacturing it.

21 So there is at least one that's out there
22 that you can get. But still, you would have to get

1 over all those other hurdles I mentioned. You'd
2 have to have development work. You'd have to come
3 up with a formulation that was robust so that it
4 would deliver the same amount all the time, and it
5 would still not get you away from the fact that if
6 you produced a drug that in the laboratory
7 delivered the right amount of drug, or what you
8 thought was the right amount of drug, with the
9 right amount of particle size, you still wouldn't
10 have any connection to what that meant to the
11 patient without the bioavailability aspect of it.

12 Even if you were able to get that simple
13 device and compound something, you still wouldn't
14 be able to know if it would work or not, or if it
15 would be safe or not.

16 DR. GULUR: If there are no further
17 questions -- Dr. Hoag?

18 DR. HOAG: Quick question. Like for
19 example, the Spinhaler and the HandiHaler, what if
20 some physician said, oh, I want half the dose in
21 there, where they would open up the capsule and
22 pour a little out or something? Is that covered

1 under this?

2 MS. AXELRAD: I think what we're saying is,
3 in some cases, it's so inherently difficult to
4 compound, that it just shouldn't be done, because
5 let's say a doctor decided that somebody should get
6 half of whatever amount coming out into your lungs
7 than would come out through the metered device that
8 they get, they wouldn't be capable -- we don't
9 think a compounder would be capable of putting
10 together a drug and a formulation that would
11 actually do that.

12 That's the nature of this, that these things
13 are so inherently difficult to do. We went through
14 a fairly extensive presentations because, frankly,
15 I don't think people realize it. They might think,
16 oh, I can just buy this device. Other companies
17 are buying it, and I'll put something in it. And a
18 doctor might think it's really a good idea, and
19 they would write for it, and a compounder would
20 make it.

21 We're basically saying these are a lot more
22 complex than most people perhaps realize, and

1 that's why we're proposing that they be put on the
2 list.

3 DR. HOAG: I was just kind of wondering if
4 compounding included modification of this, and it
5 sounds like it does.

6 DR. ROGERS: In a technical way, the example
7 that you described where you would take a capsule
8 and remove part of the dose in order to half the
9 dose, for example, for one thing, just exposing the
10 drug to the atmosphere like that potentially really
11 cripples the dose delivery.

12 Taking half of the formulation and putting
13 it back in the capsule would most likely cause a
14 significant drop in the dose delivery to the lungs.
15 Also, the formulation as contained in the capsule
16 is what is analyzed as being delivered through the
17 device. So what would happen potentially is that
18 removing half of the formulation would actually be
19 removing either much more or much less than half of
20 the active drug.

21 There is no way to determine the position in
22 the capsule of the majority of the drug. It may be

1 uniformly dispersed throughout the formulation and
2 it may not be because all we really measure is what
3 comes out.

4 So you're rolling dice by doing anything to
5 any kind of capsules or container closures that is
6 outside of the labeling of a labeled drug, approved
7 drug.

8 DR. HOAG: Maybe a comment, too. I'm just
9 thinking, like you're talking about delivery to the
10 lungs and things and thinking forward, there are
11 things like chromaline that are delivered by
12 nebulizers and all those types of things, which are
13 a little bit outside of that. But microbial
14 stability I think could be a factor that's very
15 important in some of these things.

16 DR. GULUR: Any further questions?

17 (No response.)

18 **Committee Discussion and Vote**

19 DR. GULUR: There are no nominator
20 presentations for this, and the FDA did not receive
21 any registrants for the open public hearing
22 session, so we will move on to committee discussion

1 and voting.

2 We will now begin the panel discussion
3 portion of the meeting. We will start with metered
4 dose inhalers. Any questions?

5 (No response.)

6 DR. GULUR: Dry powder inhalers?

7 Dr. Davidson?

8 MS. DAVIDSON: The dry powder inhalers, I
9 think he makes a good point, that it should be very
10 clear that compounded capsules cannot be prepared
11 to go in these DPI devices because they're commonly
12 compounded in lactose powder, and I could see maybe
13 someone making that connection.

14 MS. AXELRAD: These are the kinds of things
15 we would like to hear from you. And when we're
16 doing the rulemaking and we're dealing with making
17 sure that we're clearly defining the categories and
18 explaining our reasoning, things like that are very
19 helpful to know, what is it, how is the best way to
20 differentiate these from other things, and what are
21 things that one would be concerned about if
22 somebody was doing something that might fall under

1 the activity of preparing or compounding a dry
2 powder inhaler.

3 DR. GULUR: Dr. DiGiovanna?

4 DR. DiGIOVANNA: Again, I appreciate the
5 definition, but sometimes the devil is in the
6 details. I still think of going to the drug store
7 and looking at the directions on the bottle that
8 says to insert into the nostril, squeeze -- which
9 to me can be contrived as a metered dose -- while
10 inhaling, which can be contrived as a metered dose
11 inhaler.

12 I think my vote will be contingent on this
13 being defined as a pressurized apparatus to target
14 the lungs, which I think you have portrayed that to
15 be.

16 DR. GULUR: If there's no further
17 discussion, we will end the discussion and start
18 the vote.

19 The panel will be using an electronic voting
20 system for this meeting. Each voting member has
21 three voting buttons on your microphone, yes, no,
22 and abstain. Please vote by pressing your

1 selection firmly three times. After everyone has
2 voted, the voted will be complete.

3 Voting will be on the two drug products just
4 presented. All vote questions related to whether
5 these products should be included on the
6 demonstrably difficult to compound list. After the
7 completion of each vote, we will read the vote from
8 the screen into the record and then hear individual
9 comments from each member.

10 We will repeat the first question. So vote
11 yes, no, or abstain for this question. FDA is
12 proposing that metered dose inhalers be placed on
13 the list of drug products that present demonstrable
14 difficulties for compounding in accordance with
15 Sections 503A and 503B of the FD&C Act.

16 Should metered dose inhalers be placed on
17 the list? Before you answer, if you vote yes, you
18 are recommending placing these drug products on the
19 difficult to compound list under Sections 503A and
20 503B of the FD&C Act. If a drug product is
21 included on the list, it cannot be compounded in
22 accordance with Sections 503A and 503B.

1 To repeat that question one more time,
2 should metered dose inhalers be placed on the list?
3 If you could vote now.

4 (Vote taken.)

5 DR. HONG: Question 1 for MDIs, we have
6 9 yeses, zero noes, and zero abstain.

7 DR. GULUR: We will start with the member
8 comments. We'll start with Ms. Davidson at
9 Dr. Wall's request.

10 (Laughter.)

11 MS. DAVIDSON: I voted yes due to the
12 conclusions made in both FDA speaker presentations.

13 DR. HOAG: Steve Hoag. I voted yes. I
14 thought the FDA did a very good job of presenting
15 the reasons as to why this should be on the list.
16 Also, some of the comments about clarification, I
17 think are important to take in account.

18 MR. HUMPHREY: William Humphrey. I voted
19 yes. I agree with the FDA's presentation and
20 assessment.

21 DR. DiGIOVANNA: John DiGiovanna. I voted
22 yes. I agree with the very elegant presentation of

1 the FDA. Again, however, I believe that what
2 they've described are pressurized complicated
3 devices intended to target the lungs, and I think
4 the regulations must include that if there are
5 similar devices which have other targets, such as
6 the nasal mucosa, that they do not fall under this
7 category.

8 MS. JUNGMAN: Elizabeth Jungman. I voted
9 yes because I felt it met all the criteria as FDA
10 described.

11 DR. PHAM: Katherine Pham. I voted yes
12 because I also agreed with the FDA's assessment and
13 do also support that, really, only the drug
14 manufacturers probably have the capacity to take on
15 products like these.

16 DR. VAIDA: Allen Vaida. I voted yes, going
17 along with the conclusions of the FDA.

18 DR. WALL: Donna Wall. I voted yes because
19 of the presentations and the conversations
20 surrounding it.

21 DR. GULUR: Padma Gulur. I voted yes as it
22 was clearly demonstrated that this would be

1 difficult to compound.

2 For our second question today, the FDA is
3 proposing that dry powder inhalers be placed on the
4 difficult to compound list. Should dry powder
5 inhalers be placed on the list?

6 Once again, if you vote yes, you are
7 recommending placing these drug products on the
8 difficult to compound list under Sections 503A and
9 503B of the FD&C Act. If a drug product is
10 included on this list, it cannot be compounded in
11 accordance with Sections 503A and 503B.

12 To repeat the question, should dry powder
13 inhalers be placed on the list? Please vote now.

14 (Vote taken.)

15 DR. HONG: Question 2 for DPIs, we have 9
16 yeses, zero noes, zero abstains.

17 DR. GULUR: We will begin with member
18 comments. Ms. Davidson?

19 MS. DAVIDSON: Gigi Davidson. I voted yes
20 because of the FDA presented conclusions with the
21 caveat that it be made very clear that dosage forms
22 to be delivered by dry powder inhalers are also not

1 to be compounded.

2 DR. HOAG: Steve Hoag. I voted yes for all
3 the reasons stated, and I agree with everything
4 said.

5 MR. HUMPHREY: William Humphrey. I voted
6 yes. I agree with the FDA's assessment.

7 DR. DiGIOVANNA: John DiGiovanna. I voted
8 yes. I agree with the FDA's assessment with an
9 indication that the presentation was for lung
10 delivery.

11 MS. JUNGMAN: Elizabeth Jungman. I voted
12 yes because I felt like it met the criteria per the
13 FDA's presentation.

14 DR. PHAM: Katherine Pham. I voted yes also
15 because I agree with the FDA's assessment.

16 DR. VAIDA: Allen Vaida. I voted yes, going
17 along with the FDA's presentation.

18 DR. WALL: Donna Wall. I voted yes for all
19 the reasons previously stated.

20 DR. GULUR: Padma Gulur. I voted yes for
21 reasons previously stated, and then again endorse
22 Ms. Davidson's point on the formulations.

