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| DEPARTMENT OF HEALTH AND HUMAN SERVICES<br>Food and Drug Administration<br><br><b>DHHS/FDA SHELL EGG PRODUCER REGISTRATION</b><br><i>(If entering by hand, use black or dark blue ink only.)</i> | <b>FDA USE ONLY</b> |
| Date (mm/dd/yyyy)  |                     |

**Section 1 - TYPE OF REGISTRATION**

|  |  |  |
|--|--|--|
| <b>1a.</b>   | <input type="checkbox"/> DOMESTIC REGISTRATION   | <input type="checkbox"/> FOREIGN REGISTRATION                        |
| <b>1b.</b>   | <input type="checkbox"/> INITIAL REGISTRATION  | <input type="checkbox"/> UPDATE OF REGISTRATION INFORMATION          |
|  | <input type="checkbox"/> NOTIFICATION OF CEASING OPERATIONS AS OF DATE (mm/dd/yyyy): _____ |  |
| <b>1c.</b>   | If update or ceasing operations notification, provide the Facility Registration Number.    | Facility Registration Number   |
| <b>1d.</b>   | If update, check all that apply and further identify changes in the applicable sections.   |  |
|  | <input type="checkbox"/> Facility Name Change  | <input type="checkbox"/> Seasonal Facility Dates of Operation Change |
|  | <input type="checkbox"/> Facility Address Change (See instructions)                        | <input type="checkbox"/> Size of Operation Change                    |
|  | <input type="checkbox"/> Preferred Mailing Address Change                                  | <input type="checkbox"/> Owner or Operator Change                    |
| <b>1e. ARE YOU THE NEW OWNER OF A PREVIOUSLY REGISTERED FACILITY?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No<br>If "Yes," provide the following information, if known. |  |  |
| Previous owner's name  |  | Previous owner's registration number                                 |

**Section 2 - FACILITY NAME/ADDRESS INFORMATION**

Facility Name \_\_\_\_\_

Facility Street Address, Line 1 \_\_\_\_\_

Facility Street Address, Line 2 \_\_\_\_\_

|  |   |
|--|---|
| City   | State (If applicable; if not, skip to Province/Territory) |
| Province/Territory (If applicable)               | ZIP or Postal Code  |
| Country  | Phone Number (Include Area/Country Code)                  |
| FAX Number (Optional; Include Area/Country Code) | E-Mail Address (Optional)                                 |

**Section 3 - (OPTIONAL) PREFERRED MAILING ADDRESS INFORMATION - Complete this section only if different from Section 2, Facility Name/Address Information.**

Name

Street Address, Line 1

Street Address, Line 2

City State (If applicable; if not, skip to Province/Territory)

Province/Territory (If applicable) ZIP or Postal Code

Country Phone Number (Include Area/Country Code)

FAX Number (Optional; Include Area/Country Code) E-Mail Address (Optional)

**Section 4 - (OPTIONAL) SEASONAL FACILITY DATES OF OPERATION - Give the approximate dates that your facility is open for business, if its operations are on a seasonal basis.**

Dates of Operation

**Section 5 - SIZE OF OPERATION**

Average or usual number of layers in each poultry house Number of poultry houses on the farm

**Section 6 - OWNER OR OPERATOR INFORMATION**

Name of Entity or Individual Who Is the Owner or Operator

Provide the following information, if different from all other sections on the form. If the information is the same as another section of the form, check which section.

SECTION 2  SECTION 3

Street Address, Line 1

Street Address, Line 2

City State (If applicable; if not, skip to Province/Territory)

Province/Territory (If applicable) ZIP or Postal Code

Country Phone Number (Include Area/Country Code)

FAX Number (Optional; Include Area/Country Code) E-Mail Address (Optional)

**Section 7 - CERTIFICATION STATEMENT**

**The owner or operator of the facility, or an individual authorized by the owner or operator of the facility, must submit this form.** By submitting this form to FDA, or by authorizing an individual to submit this form to FDA, the owner or operator of the facility certifies that the above information is true and accurate. An individual (other than the owner or operator of the facility) who submits the form to FDA also certifies that the above information submitted is true and accurate and that he/she is authorized to submit the registration on the facility's behalf. An individual authorized by the owner or operator must below identify by name the individual who authorized submission of the registration. Under 18 U. S.C. 1001, anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.

Signature of Submitter

Printed Name of Submitter

Check One Box

- A. OWNER OR OPERATOR (STOP HERE; FORM IS COMPLETED)
- B. INDIVIDUAL AUTHORIZED TO SUBMIT THE REGISTRATION (FILL IN BELOW)

If you checked Box B above, indicate who authorized you to submit the registration.

- OWNER OR OPERATOR (STOP HERE; FORM IS COMPLETED)
- \_\_\_\_\_ – NAME OF INDIVIDUAL WHO AUTHORIZED REGISTRATION ON BEHALF OF OWNER OR OPERATOR (FILL IN ADDRESS BELOW)

**Address Information for the Authorizing Individual**

Authorizing Individual Street Address, Line 1

Authorizing Individual Street Address, Line 2

|  |   |
|--|---|
| City   | State (If applicable; if not, skip to Province/Territory) |
| Province/Territory (If applicable)               | ZIP or Postal Code  |
| Country  | Phone Number (Include Area/Country Code)                  |
| FAX Number (Optional; Include Area/Country Code) | E-Mail Address (Optional)                                 |

**MAIL COMPLETED FORM FDA 3733 TO U.S. FOOD AND DRUG ADMINISTRATION, FOOD FACILITY REGISTRATION, 5001 CAMPUS DRIVE, HFS-681, COLLEGE PARK, MD 20740, OR FAX IT TO (301) 436-2804**

| FDA USE ONLY                    |                                    |   |
|---------------------------------|------------------------------------|---|
| Date Registration Form Received | Date Notification Sent to Facility | Facility Status (Check one)                                       |
|                                 |                                    | <input type="checkbox"/> Active <input type="checkbox"/> Inactive |

**The burden time for this collection of information** is estimated to average 2.3 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services  
Food and Drug Administration  
Office of Operations  
Paperwork Reduction Act (PRA) Staff  
PRAStaff@fda.hhs.gov

**Do not send your completed form to the PRA Staff email address to the left.**

*"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."*

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## **Instructions for Form FDA 3733 Shell Egg Producer Registration**

**NOTE:** Form FDA 3733 is used to register a farm, to provide an update to an existing registration, or to notify FDA that you are ceasing operations. The owner or operator of the facility, or an individual authorized by the owner or operator of the facility, such as an agent in charge, must fill out, sign, and submit this form.

An individual (other than the owner or operator) who submits this form to FDA must, in section 7 of the form (certification statement), identify by name the individual who authorized submission of the registration. Form FDA 3733 must be signed and printed or typed with black or dark blue ink. If there is no information available for a specific block in a mandatory section, enter the words "Not Available," "N/A," or "None" in that block unless specified otherwise in these instructions. Do not make any entries or marks in the parts of the form designated "FDA USE ONLY." Some sections of the form contain a check box for making a selection. Check the box when making a selection. All sections on this form are mandatory unless described otherwise. Forms that are incomplete or illegible will not be processed and may considerably delay a requested action (such as issuance of a Shell Egg Producer Registration Number).

### **Date**

Enter the date in the format MM/DD/YYYY. Example: 07/09/2010

## **Section 1 – TYPE OF REGISTRATION**

### ***Subsection 1a. DOMESTIC OR FOREIGN REGISTRATION***

Check the box for only one of the two choices. Domestic means that the farm is located in any State or Territory of the U.S., in the District of Columbia, or in the Commonwealth of Puerto Rico. Foreign means all others.

### ***Subsection 1b. INITIAL REGISTRATION***

Check the box for Initial Registration only if this is the first time you have registered this farm with FDA under FDA's final rule, "Prevention of *Salmonella* Enteritidis in Shell Eggs During Production, Storage, and Transportation."

### ***Subsection 1b. UPDATE OF REGISTRATION INFORMATION***

If you are updating information for an existing Shell Egg Producer Registration, please check this box and provide the current Registration Number in subsection 1c. A form submitting an update will not be processed without the appropriate Registration Number.

### ***Subsection 1b. NOTIFICATION OF CEASING OPERATIONS***

If you are ceasing or have ceased operations, check this box and enter the date that you will be ceasing (or have ceased) operations.

### ***Subsection 1c. UPDATE OR CEASING OPERATIONS NOTIFICATION***

If this is an update or ceasing operations notification, provide the Shell Egg Producer Registration Number in the blank.

### ***Subsection 1d. UPDATE INFORMATION***

Check the box for each update that applies and further identify changes in the applicable section(s). If this is a new registration, leave this section blank.

### ***Subsection 1e. NEW OWNER INFORMATION***

If you are a new owner of a previously registered facility, you must re-register. Please provide the previous owner's name and registration number, if known.

## **Section 2 – FACILITY NAME/ADDRESS INFORMATION**

Provide the requested information in the blocks provided. If the facility name and address are already listed with the FDA for some other purpose, be sure to use the exact same facility name and address for Section 2.

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### **Section 3 – PREFERRED MAILING ADDRESS INFORMATION (OPTIONAL)**

If you prefer to be contacted at an address other than that of the facility, please print or type the requested information in the blocks provided in this section of the form.

### **Section 4 – SEASONAL FACILITY DATES OF OPERATION (OPTIONAL)**

If your farm operates only during parts of the year, enter the date ranges when the facility operates. Example: "Open June 1st through August 31st and October 1st through December 20th."

### **Section 5 – SIZE OF OPERATION**

Fill in the average or usual number of layers in each poultry house on the farm and the total number of poultry houses on the farm.

### **Section 6 – OWNER OR OPERATOR INFORMATION**

If the contact information for the owner OR operator is the same as that in another section of the form, check the box corresponding to that section; otherwise, enter the information as requested. The fax number and e-mail address for the owner or operator are optional.

### **Section 7 – CERTIFICATION STATEMENT**

Either the owner or operator of the facility, or an individual authorized by the owner or operator of the facility, such as an agent in charge, must submit this form. By submitting the form to FDA, or by authorizing an individual to submit the form to FDA, the owner or operator of the facility is certifying that the information contained in the form is true and accurate. If an individual authorized by the owner or operator of the facility submits the form to FDA, that individual also certifies that the information contained in the form is true and accurate and that he/she is authorized to submit the registration on the facility's behalf. An individual authorized by the owner or operator of the facility must identify in this section the name and contact information for the individual who authorized submission of the registration. Anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties under 18 U.S.C. 1001.

#### ***Signature of Submitter***

The submitter is required to sign this form in black or dark blue ink.

#### ***Printed Name of Submitter***

Print or type the name of the person submitting the registration in this space.

#### ***Check One Box***

If the submitter is the owner or operator, check box A, "OWNER OR OPERATOR." If the submitter is an individual authorized by the owner or operator (such as an administrative employee), check box B, "INDIVIDUAL AUTHORIZED TO SUBMIT THE REGISTRATION."

**If you checked box B, check either** the box, "**Owner or Operator**," if the owner or operator authorized you to submit the registration), **or** the box, "**\_\_\_\_\_ – Name of individual who authorized registration on behalf of the owner or operator**," if someone other than the owner or operator authorized you to submit the registration. If you checked, "Owner or Operator," you are finished with the form. If you checked, "**\_\_\_\_\_ – Name of individual who authorized registration on behalf of the owner or operator**," complete the name and address information for the individual who authorized you to submit the registration on behalf of the owner or operator. The fax number and e-mail address for that individual are optional.

### **MAILING PROCEDURES**

Do not mail these instructions back to the FDA with your form. Keep them with your records.

Mail Completed Form FDA 3733 to U.S. Food and Drug Administration, Food Facility Registration, 5001 Campus Drive, HFS-681, College Park, MD 20740, or FAX it to 301-436-2804.