

Revision History

Date	Version	Summary of Changes
2021-06	1.0	Initial Version
2024-06	2.0	Added missing document types to Modules 4 and 5: <ul style="list-style-type: none">• study data reviewer's guide• data reviewer's guide Added new document types for Modules 4 and 5. Updated 3.2.P.2 with subheadings

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Instructions to Reader

This document provides instructions on how the reader may be presented eCTD content in a viewing or display tool. The location of keywords on headings may be different than the assignment in the XML message.

Legend

The following table describes the notations for the keywords allowed for the heading, if applicable:

Keyword Requirement	Notation	Description
Required	(R)	If the heading is used, the keyword(s) associated will need to be provided. Note – the keywords inherit the keywords in any higher-level heading.
Optional	(O)	If the heading is submitted, the keyword(s) associated may be provided.

Module 1 Administrative information

- 1.1 Forms [Form Type (R)]
- 1.2 Cover letters
- 1.3 Administrative information
 - 1.3.1 Contact/sponsor/applicant information
 - 1.3.1.1 Change of address or corporate name
 - 1.3.1.2 Change in contact/agent
 - 1.3.1.3 Change in sponsor
 - 1.3.1.4 Transfer of obligation
 - 1.3.1.5 Change in ownership of an application or reissuance of license
 - 1.3.2 Field copy certification
 - 1.3.3 Debarment certification
 - 1.3.4 Financial certification and disclosure
 - 1.3.5 Patent and exclusivity
 - 1.3.5.1 Patent information
 - 1.3.5.2 Patent certification
 - 1.3.5.3 Exclusivity claim
 - 1.3.6 Tropical disease priority review voucher
- 1.4 References
 - 1.4.1 Letter of authorization
 - 1.4.2 Statement of right of reference
 - 1.4.3 List of authorized persons to incorporate by reference
 - 1.4.4 Cross-reference to previously submitted information
- 1.5 Application status
 - 1.5.1 Withdrawal of an IND
 - 1.5.2 Inactivation request
 - 1.5.3 Reactivation request
 - 1.5.4 Reinstatement request
 - 1.5.5 Withdrawal of an unapproved BLA, NDA, ANDA, or Supplement
 - 1.5.6 Withdrawal of listed drug
 - 1.5.7 Withdrawal of approval of an application or revocation of license
- 1.6 Meetings
 - 1.6.1 Meeting request
 - 1.6.2 Meeting background materials
 - 1.6.3 Correspondence regarding meetings
- 1.7 Fast Track
 - 1.7.1 Fast track designation request
 - 1.7.2 Fast track designation withdrawal request
 - 1.7.3 Rolling review request
 - 1.7.4 Correspondence regarding fast track/rolling review
- 1.8 Special protocol assessment request
 - 1.8.1 Clinical study
 - 1.8.2 Carcinogenicity study

- 1.8.3 Stability study
- 1.8.4 Animal efficacy study for approval under the animal rule
- 1.9 Pediatric administrative information
 - 1.9.1 Request for waiver of pediatric studies
 - 1.9.2 Request for deferral of pediatric studies
 - 1.9.3 Request for pediatric exclusivity determination
 - 1.9.4 Proposed pediatric study request and amendments
 - 1.9.6 Other correspondence regarding pediatric exclusivity or study plans
- 1.10 Dispute resolution
 - 1.10.1 Request for dispute resolution
 - 1.10.2 Correspondence related to dispute resolution
- 1.11 Information amendment: Information not covered under modules 2 to 5
 - 1.11.1 Quality information amendment
 - 1.11.2 Nonclinical information amendment
 - 1.11.3 Clinical information amendment
 - 1.11.4 Multiple module information amendment
- 1.12 Other correspondence
 - 1.12.1 Pre IND correspondence
 - 1.12.2 Request to charge for clinical trial
 - 1.12.3 Request to charge for expanded access
 - 1.12.4 Request for comments and advice
 - 1.12.5 Request for a waiver
 - 1.12.6 Exception from informed consent for emergency research
 - 1.12.7 Public disclosure statement for exception from informed consent for emergency research
 - 1.12.8 Correspondence regarding exception from informed consent for emergency research
 - 1.12.9 Notification of discontinuation of clinical trial
 - 1.12.10 Generic drug enforcement act statement
 - 1.12.11 ANDA basis for submission statement
 - 1.12.12 Comparison of generic drug and reference listed drug
 - 1.12.13 Request for waiver for in vivo studies
 - 1.12.14 Environmental analysis
 - 1.12.15 Request for waiver of in vivo bioavailability studies
 - 1.12.16 Field alert reports
 - 1.12.17 Orphan drug designation
 - 1.12.18 Regenerative medicine advanced therapy (RMAT) designation
- 1.13 Annual report
 - 1.13.1 Summary for nonclinical studies
 - 1.13.2 Summary of clinical pharmacology information
 - 1.13.3 Summary of safety information
 - 1.13.4 Summary of labeling changes
 - 1.13.5 Summary of manufacturing changes

- 1.13.6 Summary of microbiological changes
- 1.13.7 Summary of other significant new information
- 1.13.8 Individual study information
- 1.13.9 General investigational plan
- 1.13.10 Foreign marketing
- 1.13.11 Distribution data
- 1.13.12 Status of postmarketing study commitments and requirements
- 1.13.13 Status of other postmarketing studies and requirements
- 1.13.14 Log of outstanding regulatory business
- 1.13.15 Development safety update report (DSUR)
- 1.14 Labeling
 - 1.14.1 Draft labeling
 - 1.14.1.1 Draft carton and container labels
 - 1.14.1.2 Annotated draft labeling text
 - 1.14.1.3 Draft labeling text
 - 1.14.1.4 Label comprehension studies
 - 1.14.1.5 Labeling history
 - 1.14.2 Final labeling
 - 1.14.2.1 Final carton or container labels
 - 1.14.2.2 Final package insert (package inserts, patient information, medication guides)
 - 1.14.2.3 Final labeling text
 - 1.14.3 Listed drug labeling
 - 1.14.3.1 Annotated comparison with listed drug
 - 1.14.3.2 Approved labeling text for listed drug
 - 1.14.3.3 Labeling text for reference listed drug
 - 1.14.4 Investigational drug labeling
 - 1.14.4.1 Investigational brochure
 - 1.14.4.2 Investigational drug labeling
 - 1.14.5 Foreign labeling
 - 1.14.6 Product labeling for 2253 submissions
- 1.15 Promotional material [promotional-material-audience-type (R)]
 - 1.15.1 Correspondence relating to promotional materials
 - 1.15.1.1 Request for advisory comments on launch materials
 - 1.15.1.2 Request for advisory comments on non-launch materials
 - 1.15.1.3 Presubmission of launch promotional materials for accelerated approval products
 - 1.15.1.4 Presubmission of non-launch promotional materials for accelerated approval products
 - 1.15.1.5 Pre-dissemination review of television ads
 - 1.15.1.6 Response to untitled letter or warning letter
 - 1.15.1.7 Response to information request
 - 1.15.1.8 Correspondence accompanying materials previously missing or rejected
 - 1.15.1.9 Withdrawal request

- 1.15.1.10 Submission of annotated references
- 1.15.1.11 General correspondence
- 1.15.2 Materials [promotional-material-doc-type (R)]
 - 1.15.2.1 Material [promotional-material-type (R), material-id (R), issue-date(O)]
 - 1.15.2.1.1 Clean version
 - 1.15.2.1.2 Annotated version
 - 1.15.2.1.3 Annotated labeling version
 - 1.15.2.1.4 Annotated references
- 1.16 Risk management plan
 - 1.16.1 Risk Management (Non-REMS)
 - 1.16.2 Risk Evaluation and Mitigation Strategy (REMS)
 - 1.16.2.1 Final REMS
 - 1.16.2.2 Draft REMS
 - 1.16.2.3 REMS Assessment
 - 1.16.2.4 REMS Assessment Methodology
 - 1.16.2.5 REMS Correspondence
 - 1.16.2.6 REMS Modification History
- 1.17 Postmarketing studies
 - 1.17.1 Correspondence regarding postmarketing commitments
 - 1.17.2 Correspondence regarding postmarketing requirements
- 1.18 Naming
 - 1.18.1 Proprietary names
 - 1.18.2 Biological Proper Name Suffix
- 1.19 Pre-EUA and EUA
- 1.20 General investigational plan for initial IND

Module 2 Summaries

- 2.2 Introduction to summary
- 2.3 Quality overall summary
 - 2.3.I Introduction
 - 2.3.S Drug substance [substance (O), manufacturer (O)]
 - 2.3.P Drug product [product (O), dosage form (O)]
 - 2.3.A Appendices
 - 2.3.A.1 Facilities and equipment [facility (O)]
 - 2.3.A.2 Adventitious agents safety evaluation [component (O)]
 - 2.3.A.3 Excipients
 - 2.3.R Regional information
- 2.4 Nonclinical overview
- 2.5 Clinical overview
- 2.6 Nonclinical written and tabulated summaries
 - 2.6.1 Introduction
 - 2.6.2 Pharmacology written summary
 - 2.6.3 Pharmacology tabulated summary
 - 2.6.4 Pharmacokinetic written summary
 - 2.6.5 Pharmacokinetic tabulated summary
 - 2.6.6 Toxicology written summary

- 2.6.7 Toxicology tabulated summary
- 2.7 Clinical summary
 - 2.7.1 Summary of Biopharmaceutic Studies and Associated Analytical Methods
 - 2.7.2 Summary of Clinical Pharmacology studies
 - 2.7.3 Summary of Clinical Efficacy [indication (R)]
 - 2.7.4 Summary of Clinical Safety
 - 2.7.5 References
 - 2.7.6 Synopses of individual studies

Module 3 Quality

- 3.2 Body of data
 - 3.2.S Drug substance [substance (O), manufacturer (O)]
 - 3.2.S.1 General information
 - 3.2.S.2 Manufacture
 - 3.2.S.2.1 Manufacturer(s)
 - 3.2.S.2.2 Description of Manufacturing Process and Process Controls
 - 3.2.S.2.3 Control of Materials
 - 3.2.S.2.4 Controls of Critical Steps and Intermediates
 - 3.2.S.2.5 Process Validation and/or Evaluation
 - 3.2.S.2.6 Manufacturing Process Development
 - 3.2.S.3 Characterization
 - 3.2.S.3.1 Elucidation of Structure and other Characteristics
 - 3.2.S.3.2 Impurities
 - 3.2.S.4 Control of drug substance
 - 3.2.S.4.1 Specification
 - 3.2.S.4.2 Analytical Procedures
 - 3.2.S.4.3 Validation of Analytical Procedures
 - 3.2.S.4.4 Batch Analyses
 - 3.2.S.4.5 Justification of Specification
 - 3.2.S.5 Reference standards or materials
 - 3.2.S.6 Container closure systems
 - 3.2.S.7 Stability
 - 3.2.S.7.1 Stability Summary and Conclusions
 - 3.2.S.7.2 Post Approval Stability Protocol and Stability Commitment
 - 3.2.S.7.3 Stability Data [descriptor (O)]
 - 3.2.P Drug product [product (O), dosage form (O), manufacturer (O)]
 - 3.2.P.1 Description and composition of the drug product
 - 3.2.P.2 Pharmaceutical development
 - 3.2.P.2.1 Components of the Drug Product
 - 3.2.P.2.2 Drug Product
 - 3.2.P.2.3 Manufacturing Process Development
 - 3.2.P.2.4 Container Closure System
 - 3.2.P.2.5 Microbiological Attributes
 - 3.2.P.2.6 Compatibility
 - 3.2.P.3 Manufacture
 - 3.2.P.3.1 Manufacturer(s)

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- 3.2.P.3.2 Batch Formula
- 3.2.P.3.3 Description of Manufacturing Process and Process Controls
- 3.2.P.3.4 Controls of Critical Steps and Intermediates
- 3.2.P.3.5 Process Validation and/or Evaluation
- 3.2.P.4 Control of excipients [excipient (O)]
 - 3.2.P.4.1 Specification(s)
 - 3.2.P.4.2 Analytical Procedures
 - 3.2.P.4.3 Validation of Analytical Procedures
 - 3.2.P.4.4 Justification of Specifications
 - 3.2.P.4.5 Excipients of Human or Animal Origin
 - 3.2.P.4.6 Novel Excipients
- 3.2.P.5 Control of drug product
 - 3.2.P.5.1 Specification(s)
 - 3.2.P.5.2 Analytical Procedures
 - 3.2.P.5.3 Validation of Analytical Procedures
 - 3.2.P.5.4 Batch Analyses
 - 3.2.P.5.5 Characterization of Impurities
 - 3.2.P.5.6 Justification of Specification(s)
- 3.2.P.6 Reference standards or materials
- 3.2.P.7 Container closure system [container (O)]
- 3.2.P.8 Stability
 - 3.2.P.8.1 Stability Summary and Conclusion
 - 3.2.P.8.2 Postapproval Stability Protocol and Stability Commitment
 - 3.2.P.8.3 Stability Data [descriptor (O)]
- 3.2.A Appendices
 - 3.2.A.1 Facilities and Equipment [facility (O)]
 - 3.2.A.2 Adventitious agents safety evaluation [component (O)]
 - 3.2.A.3 Novel excipients [excipient (O)]
- 3.2.R Regional information
- 3.3 Literature references

Module 4 Nonclinical Study Reports

- 4.2 Study reports
 - 4.2.1 Pharmacology
 - 4.2.1.1 Primary pharmacodynamics
 - [study id_study title (R)]
 - [document type (R)]
 - Legacy clinical study report*
 - Pre clinical study report*
 - Synopsis*
 - Study report body*
 - Protocol or amendment*
 - Signatures investigators*
 - Audit certificates report*
 - Statistical methods interim analysis plan*

- Inter-laboratory standardisation methods quality assurance*
- Publications based on study*
- Publications referenced in report*
- Compliance and drug concentration data*
- Data tabulation
 - Data tabulation dataset legacy*
 - Data tabulation dataset send*
 - Data tabulation data definition*
- Data listing data set
 - Data listing dataset*
 - Data listing data definition*
- Analysis datasets
 - Analysis dataset adam*
 - Analysis dataset legacy*
 - Analysis program*
 - Analysis data definition*
- Safety report*
- Assay validation*
- Biomarkers*
- Data monitoring review committees*
- Device information*
- Diagnostic tests*
- Gene therapy*
- Pharmacodynamics*
- Pharmacogenomics*
- Pharmacokinetics*
- Stem cells*
- Antibody*
- Other data not specified*
- PK/PD relationship*
- Specialty report*
- Foreign clinical studies not under ind*
- Study data reviewer's guide*
- weight of evidence*
- animal rule efficacy*
- animal rule natural history*
- nonstandard safety study*
- 4.2.1.2 Secondary pharmacodynamics
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
- 4.2.1.3 Safety pharmacology
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
- 4.2.1.4 Pharmacodynamic drug interactions
 - [study id_study title (R)]

- [document type (R)]
See Primary pharmacodynamics above for available document types
- 4.2.2 Pharmacokinetics
 - 4.2.2.1 Analytical methods and validation reports
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.2 Absorption
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.3 Distribution
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.4 Metabolism
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.5 Excretion
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.6 Pharmacokinetic drug interactions
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.2.7 Other pharmacokinetic studies
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
- 4.2.3 Toxicology
 - 4.2.3.1 Single dose toxicity
 - [study id_study title (R) species (R), route of admin (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.2 Repeat dose toxicity
 - [study id_study title (R) species (R), route of admin (R), duration(O)]
 - [document type (R)]

- See Primary pharmacodynamics above for available document types*
- 4.2.3.3 Genotoxicity
 - 4.2.3.3.1 In vitro
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.3.2 In vivo
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.4 Carcinogenicity
 - 4.2.3.4.1 Long term studies
 - [study id_study title (R), species (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.4.2 Short or medium term studies
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.4.3 Other studies
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.5 Reproductive and developmental toxicity
 - 4.2.3.5.1 Fertility and early embryonic development
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.5.2 Embryofetal development
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.5.3 Prenatal and postnatal development, including maternal function
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.5.4 Studies in which the offspring (juvenile animals) are dosed and/or further evaluated
 - [study id_study title (R)]
 - [document type (R)]

See Primary pharmacodynamics above for available document types

- 4.2.3.6 Local tolerance
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
- 4.2.3.7 Other toxicity studies
 - 4.2.3.7.1 Antigenicity
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.2 Immunotoxicity
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.3 Mechanistic studies
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.4 Dependence
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.5 Metabolites
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.6 Impurities
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*
 - 4.2.3.7.7 Other
 - [study id_study title (R)]
 - [document type (R)]
 - See Primary pharmacodynamics above for available document types*

4.3 Literature references

Module 5 Clinical Study Reports

- 5.2 Tabular listing of all clinical studies
- 5.3 Clinical study reports and related information
 - 5.3.1 Reports of biopharmaceutic studies

- 5.3.1.1 Bioavailability (BA) Study reports and related information
 - [study id_study title (R)]
 - [document type (R)]
 - Legacy clinical study report*
 - Synopsis (ICH E3, section 2)*
 - Study report body (E3 1, 3 to 15)*
 - Protocol or amendment (E3 16.1.1)*
 - Sample case report form (E3 16.1.2)*
 - IEC-IRB consent form list (E3 16.1.3)*
 - List description investigator site (E3 16.1.4)*
 - Signatures investigators (E3 16.1.5)*
 - List patients with batches (E3 16.1.6)*
 - Randomisation scheme (E3 16.1.7)*
 - Audit certificates report (E3 16.1.8)*
 - Statistical methods interim analysis plan (E3 16.1.9)*
 - Inter-laboratory standardisation methods quality assurance (E3 16.1.10)*
 - Publications based on study (E3 16.1.11)*
 - Publications referenced in report (E3 16.1.12)*
 - Discontinued patients (E3 16.2.1)*
 - Protocol deviations (E3 16.2.2)*
 - Patients excluded from efficacy analysis (E3 16.2.3)*
 - Demographic data (E3 16.2.4)*
 - Compliance and drug concentration data (E3 16.2.5)*
 - Individual efficacy response data (E3 16.2.6)*
 - Adverse event listings (E3 16.2.7)*
 - Listing individual laboratory measurements by patient (E3 16.2.8)*
 - Case report forms (E3 16.3)*
 - Site [site-id (O)]
 - CSR Other*
 - Available on request*
 - Data tabulation
 - Data tabulation dataset legacy Data tabulation dataset sdtm*
 - Data tabulation data definition*
 - Data listing dataset (E3 16.4)
 - Data listing dataset*
 - Data listing data definition*
 - Analysis datasets
 - Analysis dataset adam*
 - Analysis dataset legacy Analysis program*
 - Analysis data definition*
 - Annotated CRF*
 - ECG*
 - Image*
 - Subject profiles*
 - Site [site-id (O)]
 - Safety report*

- Assay validation*
- Biomarkers*
- Data monitoring review committees*
- Device information*
- Diagnostic tests*
- Gene therapy*
- Patient reported outcomes*
- Pharmacodynamics*
- Pharmacogenomics*
- Pharmacokinetics*
- Quality of life*
- Hepatic Impairment Study*
- Renal Impairment Study*
- Drug-drug Interaction Study*
- Mass Balance Study*
- Population PK Report*
- Population PKPD Report*
- PBPK Report*
- PBBM Report*
- QSP Report*
- CP General*
- QT Clinical Study*
- QT InVivo Study*
- PD InVivo Study*
- PD InVivo Study*
- Stem cells*
- Abuse liability*
- Antibody*
- Healthcare utilization*
- Other data not specified*
- PK PD relationship*
- Specialty report*
- Foreign clinical studies not under ind*
- Study data reviewer's guide*
- Analysis data reviewer's guide*
- 5.3.1.2 Comparative BA and bioequivalence (BE) Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
- 5.3.1.3 In Vitro - in Vivo correlation Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
- 5.3.1.4 Reports of bioanalytical and analytical methods

- for human studies
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
- 5.3.2 Reports of studies pertinent to pharmacokinetics using human biomaterials
 - 5.3.2.1 Plasma protein binding Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.2.2 Reports of hepatic metabolism and drug interaction studies
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.2.3 Reports of studies using other human biomaterials
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
- 5.3.3 Reports of human pharmacokinetic (PK) studies
 - 5.3.3.1 Healthy subject PK and initial tolerability Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.3.2 Patient PK and initial tolerability Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.3.3 Intrinsic factor PK Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.3.4 Extrinsic factor Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types
 - 5.3.3.5 Population PK Study reports and related information
[study id_study title (R)]
[document type (R)]
See Bioavailability (BA) Study reports and related information above for available document types

- information above for available document types*
- 5.3.4 Reports of human pharmacodynamic (PD) studies
 - 5.3.4.1 Healthy subject PD and PK/PD Study reports and related information
 - [study id_study title (R)]
 - [document type (R)]
 - See Bioavailability (BA) Study reports and related information above for available document types*
 - 5.3.4.2 Patient PD and PK/PD Study reports and related information
 - [study id_study title (R)]
 - [document type (R)]
 - See Bioavailability (BA) Study reports and related information above for available document types*
 - 5.3.5 Reports of efficacy and safety studies [indication (R)]
 - 5.3.5.1 Study reports and related information of controlled clinical studies pertinent to the claimed indication
 - [study id_study title (R), type of control (R)]
 - [document type (R)]
 - See Bioavailability (BA) Study reports and related information above for available document types*
 - 5.3.5.2 Study reports and related information of uncontrolled clinical studies
 - [study id_study title (R)]
 - [document type (R)]
 - See Bioavailability (BA) Study reports and related information above for available document types*
 - 5.3.5.3 Reports of analyses of data from more than one study
 - [study id_study title (R)]
 - [document type (R)]
 - Integrated analysis of safety
 - Iss*
 - Analysis datasets
 - Analysis dataset adam*
 - Analysis dataset legacy*
 - Analysis program*
 - Analysis data definition*
 - Integrated analysis of efficacy
 - Ise*
 - Analysis datasets
 - Analysis dataset adam*
 - Analysis dataset legacy*
 - Analysis program*
 - Analysis data definition*
 - Integrated analysis of clinical pharmacology
 - iscp*
 - Analysis datasets
 - Analysis dataset adam*
 - Analysis dataset legacy*

Appendix 1 – Mapping Section

IND

CFR Citation/Source		CTD Section	
NUMBER	TITLE	MODULE	NUMBER
312.23(a)(1)	Cover sheet (Form FDA-1571)	1	1.1
FDAAA	Certification of compliance: Form FDA 3674	1	1.1
BsUFA	Form FDA 3792: Biosimilar User Fee Cover Sheet	1	1.1
312.31(b)(1)	Statement of the nature and purpose of the information amendment	1	1.2
	Change of address or corporate name NOTE: Includes DMF original address or corporate name or change in DMF address or corporate name	1	1.3.1.1
	Change in contact/agent NOTE: Includes DMF original contact/agent or change in DMF contact/agent	1	1.3.1.2
	Change in ownership	1	1.3.1.3
312.52	Transfer of obligations to a contract research organization	1	1.3.1.4
312.22(d)	General principles of the IND submission		1.4.1
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ANDA

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314.94(13)	Financial certification and disclosure (Form FDA 3454 and Form FDA 3455)	1	1.3.4
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314.81(b)(3)(i)	Product labeling for 2253 submissions (if applicable)	1	1.14.6
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Regulations related to promotional materials [use appropriate sections]	1	1.15
202.1 202.1(j)(4)	Request for advisory comments on launch materials	1	1.15.1.1
202.1 202.1(j)(4)	Request for advisory comments on non-launch materials	1	1.15.1.2
202.1 314.550	Presubmission of launch promotional materials for accelerated approval products	1	1.15.1.3
202.1 314.640	Presubmission of launch promotional materials for products approved when human efficacy studies are not ethical or feasible	1	1.15.1.3
202.1 314.550	Presubmission of non-launch promotional materials for accelerated approval products	1	1.15.1.4
314.640	Presubmission of non-launch promotional materials for products approved when human efficacy studies are not ethical or feasible	1	1.15.1.4
202.1 Section 503C of the Federal Food, Drug, and Cosmetic Act	Pre-dissemination review of television ads	1	1.15.1.5
202.1	Response to untitled letter or warning letter	1	1.15.1.6
202.1	Response to information request	1	1.15.1.7
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Correspondence accompanying materials previously missing or rejected	1	1.15.1.8

CFR Citation/Source		CTD Section	
NUMBER	TITLE	MODULE	NUMBER
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Withdrawal request	1	1.15.1.9
202.1 202.1(j)(4) 314.550 314.640	Submission of annotated references	1	1.15.1.10
202.1	General correspondence	1	1.15.1.11
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Regulations related to submission of promotional materials [use appropriate sections]	1	1.15.2
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Regulations related to promotional materials [use appropriate sections]	1	1.15.2.1
202.1 314.81(b)(3)(i) 202.1(j)(4) 314.550 314.640	Clean version	1	1.15.2.1.1
202.1 202.1(j)(4) 314.550 314.640	Annotated version	1	1.15.2.1.2
202.1 202.1(j)(4) 314.550 314.640	Annotated labeling version	1	1.15.2.1.3
202.1 202.1(j)(4) 314.550 314.640	Annotated references	1	1.15.2.1.4
FDAAA 505-1 [355-1]	Risk evaluation and mitigation strategies (REMS)	1	1.16
FDAAA	Correspondence regarding postmarketing commitments	1	1.17.1
FDAAA	Correspondence regarding postmarketing requirements	1	1.17.2
314.420(a)	Drug master files	1, 2, 3, 4, 5	As needed

ANDA Mapping Section

CFR Citation/Source		CTD Section	
NUMBER	TITLE	MODULE	NUMBER
314.96	Amendment to an unapproved application: Chemistry	3	As needed
314.94(9)	Chemistry, manufacturing, and control	3	As needed
314.94(a)(7)	Bioequivalence	5	5.3
314.96	Amendment to an unapproved application: Clinical	5	As needed
314.94(a)(2)	Table of Contents	N/A	N/A

Appendix 2 –Summary of Changes

Module Section	Old Title	New Title	Change Notes
Module 1/Regional Changes			
1.12		1.12.18 Regenerative medicine advanced therapy (RMAT) designation	Added new heading and mapping to CFR
1.18	1.18 Proprietary Names	1.18 Naming 1.18.1 Proprietary names 1.18.2 Biological Proper Name Suffix	Renamed section and added subheadings
Module 2-5			
2.3	2.3 Quality overall summary	2.3 Quality overall summary	Added sub-headings for this section
		2.3.I Introduction	Added new heading
		2.3.S Drug substance [substance (O), manufacturer (O)]	Added new heading and optional keyword
		2.3.P Drug product [product (O), dosage form (O)]	Added new heading and optional keyword
		2.3.A Appendices	Added new heading for new subsections
		2.3.A.1 Facilities and equipment [facility (O)]	Added new heading and optional keyword
		2.3.A.2 Adventitious agents safety evaluation [component (O)]	Added new heading and optional keyword
		2.3.A.3 Excipients	Added new heading
		2.3.R Regional information	Added new heading
3.2.S	3.2.S Drug substance [name, manufacturer]	3.2.S Drug substance [substance (O), manufacturer (O)]	Made keywords optional for 3.2.S
3.2.S.1	3.2.S.1.1 Nomenclature 3.2.S.1.2 Structure 3.2.S.1.3 General properties		Removed subheadings

Summary of Changes

Module Section	Old Title	New Title	Change Notes
3.2.S.7.3	3.2.S.7.3 Stability Data	3.2.S.7.3 Stability Data [descriptor (O)]	Added new optional keyword
3.2.P.2		3.2.p.2.1 components of the drug product 3.2.p.2.2 drug product 3.2.p.2.3 manufacturing process development 3.2.p.2.4 container closure system 3.2.p.2.5 microbiological attributes 3.2.p.2.6 compatibility	Added in subheadings
3.2.P.4	3.2.P.4 Control of excipients[name]	3.2.P.4 Control of excipients [excipient (O)]	Removed name and added new optional keyword
3.2.P.7	3.2.P.7 Container closure system	3.2.P.7 Container closure system [container (O)]	Added new optional keyword
3.2.P.8.3	3.2.P.8.3 Stability Data	3.2.P.8.3 Stability Data [descriptor (O)]	Added new optional keyword
3.2.A	3.2.A.1 Facilities and Equipment [name, manufacturer] 3.2.A.2 Adventitious agents safety evaluation [name, dosage form, manufacturer] 3.2.A.3 Novel excipients	3.2.A.1 Facilities and Equipment [facility (O)] 3.2.A.2 Adventitious agents safety evaluation [component (O)] 3.2.A.3 Novel excipients [excipient (O)]	Changed keywords allowed for these sections
4	Study report [identification number] and related information	[study id_study Title]	For all applicable sections in Module 4, the study id and study title have been concatenated into one keyword Added new allowable document types

Summary of Changes

Module Section	Old Title	New Title	Change Notes
5	Study report [identification] and related information	[study id_study Title]	For all applicable sections in Module 5, the study id and study title have been concatenated into one keyword Added new allowable document types