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# Content and Format of Composition Statement and Corresponding Statement of Ingredients in Labeling in NDAs and ANDAs Guidance for Industry

## ***DRAFT GUIDANCE***

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**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**April 2024  
Generic Drugs**

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# Content and Format of Composition Statement and Corresponding Statement of Ingredients in Labeling in NDAs and ANDAs Guidance for Industry

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**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**April 2024  
Generic Drugs**

*Contains Nonbinding Recommendations*

*Draft — Not for Implementation*

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1 **Content and Format of Composition Statement and Corresponding**  
2 **Statement of Ingredients in Labeling in NDAs and ANDAs**  
3 **Guidance for Industry<sup>1</sup>**  
4  
5

6  
7 This draft guidance, when finalized, will represent the current thinking of the Food and Drug  
8 Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not  
9 binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the  
10 applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible  
11 for this guidance as listed on the title page.  
12

13  
14  
15 **I. INTRODUCTION**  
16

17 This guidance is intended to assist new drug application (NDA) and abbreviated new drug  
18 application (ANDA) applicants in submitting an accurate and complete composition statement in  
19 their applications and a corresponding statement of ingredients in the DESCRIPTION section of  
20 the prescribing information<sup>2</sup> and in other types of FDA-approved labeling (e.g., patient labeling,  
21 carton and container labeling) as applicable.<sup>3</sup> Throughout this guidance, the term *composition*  
22 *statement* refers to information submitted in a drug product's NDA or ANDA, and the term  
23 *statement of ingredients* refers to information contained in a drug product's DESCRIPTION  
24 section of its prescribing information and other types of FDA-approved labeling, as appropriate.  
25

26 This guidance provides recommendations for the content and format of the composition  
27 statement in the application and the corresponding statement of ingredients in labeling. It  
28 provides recommendations for minimizing the number of assessment cycles and communications  
29 that are appropriate for approval, as well as ensuring that FDA-approved labeling contains the  
30 required qualitative (Q1) and quantitative (Q2) ingredient information. This guidance includes  
31 examples of common, recurring problems FDA has identified during its assessment of NDAs and  
32 ANDAs concerning the content and format of the composition statement in the application and  
33 the corresponding statement of ingredients in labeling, and this guidance provides applicants  
34 with recommendations on how to avoid these problems. This guidance also describes FDA's  
35 intent, when possible, to use the composition statement submitted in electronic common

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<sup>1</sup> This guidance has been prepared by the Office of Generic Drugs in the Center for Drug Evaluation and Research at the Food and Drug Administration.

<sup>2</sup> See 21 CFR 201.57(c)(12) and 21 CFR 201.80(a).

<sup>3</sup> 21 CFR 201.100 requires labeling for certain drug products to include information on inactive ingredients.

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36 technical document (eCTD)<sup>4</sup> section 3.2.P.1 of NDAs and ANDAs as the statement of  
37 ingredients.<sup>5</sup>

38  
39 This guidance does not include a comprehensive list of all potential problems in a composition  
40 statement or a statement of ingredients. FDA encourages applicants to review applicable FDA  
41 regulations and all applicable guidance documents for industry to understand FDA’s current  
42 thinking on this topic.

43  
44 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.  
45 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only  
46 as recommendations, unless specific regulatory or statutory requirements are cited. The use of  
47 the word *should* in Agency guidance means that something is suggested or recommended, but  
48 not required.

49  
50

## **51 II. BACKGROUND**

52

53 Section 505(b)(1)(A) (21 U.S.C. 355(b)(1)(A)) of the Federal Food, Drug, and Cosmetic Act  
54 (FD&C Act) requires, among other things, that an NDA include “a full list of the articles used as  
55 components of such drug” and “a full statement of the composition of such drug.”<sup>6</sup> Further, 21  
56 CFR 314.50(d)(1)(ii)(a) requires that an NDA contains a “list of all components used in the  
57 manufacture of the drug product (regardless of whether they appear in the drug product) and a  
58 statement of the composition of the drug product.”

59

60 Section 505(j) of the FD&C Act, together with its implementing regulations, generally requires  
61 that an ANDA must contain information to demonstrate that the proposed drug product and the  
62 applicable reference listed drug (RLD) are the same with respect to active ingredient(s), dosage  
63 form, route of administration, strength, previously approved conditions of use, and, with certain  
64 exceptions, labeling.<sup>7</sup> An ANDA must also include sufficient information to demonstrate that  
65 the proposed drug product is bioequivalent to the RLD<sup>8</sup> and must demonstrate that the methods  
66 used in, or facilities and controls used for, the manufacture, processing, and packing of the drug  
67 are adequate to assure and preserve its identity, strength, quality, and purity.<sup>9</sup> An ANDA

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<sup>4</sup> For more information on the eCTD format, see <https://www.fda.gov/drugs/electronic-regulatory-submission-and-review/electronic-common-technical-document-ectd>.

<sup>5</sup> The statement of ingredients is the composition referred to in 21 CFR 314.50(d)(1)(ii)(a).

<sup>6</sup> Section 505(b)(1)(A)(ii) and (iii) of the FD&C Act.

<sup>7</sup> Sections 505(j)(2)(A) and 505(j)(4) of the FD&C Act, 21 CFR 314.94, and 21 CFR 314.127.

<sup>8</sup> Sections 505(j)(2)(A)(iv) and 505(j)(4)(F) of the FD&C Act and 21 CFR 320.21(b).

<sup>9</sup> Section 505(j)(4)(A).

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68 applicant is also required to list all components used in the manufacture of the drug product and  
69 a statement of the composition of the drug product.<sup>10</sup>

70  
71 The ANDA applicant is required to identify and characterize the inactive ingredients,<sup>11</sup> so FDA  
72 can determine whether such inactive ingredients affect the safety or efficacy of the proposed  
73 drug product.<sup>12</sup> Certain drug products (i.e., parenteral, ophthalmic, and otic routes of  
74 administration) must have the same inactive ingredients (i.e., Q1 sameness) in the same  
75 concentration (i.e., Q2 sameness), with exceptions, to ensure the inactive ingredients do not  
76 affect the drug product's safety or efficacy.<sup>13</sup> Certain drug products' bioequivalence (BE) may  
77 be deemed self-evident if the drug product has the same inactive ingredients in the same  
78 concentration as a drug product that is the subject of an approved NDA or ANDA.<sup>14</sup>

79  
80 For drug products where Q1/Q2 sameness is not required by regulation, such as those intended  
81 for topical, nasal, or inhalation administration, FDA's guidances sometimes recommend specific  
82 BE approaches. These BE approaches may be suitable when the formulation components and  
83 composition of the proposed generic drug product meet specified criteria for sameness or for no  
84 significant difference relative to that of the reference standard, which ordinarily is the RLD.<sup>15</sup>

85  
86 Additionally, ANDAs must contain information to show that the proposed labeling for the  
87 generic drug product is the same as the labeling for the RLD, with certain permissible  
88 differences.<sup>16</sup> With limited exceptions, 21 CFR 201.100(b)(5) requires that labeling for NDAs  
89 and ANDAs for nonoral drug products contain the names of all inactive ingredients.<sup>17</sup> The  
90 labeling of drug products administered by parenteral injection must also specify the quantity or  
91 proportion of all inactive ingredients, except those ingredients added to adjust the pH or to make

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<sup>10</sup> 21 CFR 314.50(d)(1)(ii)(a).

<sup>11</sup> 21 CFR 314.94(a)(9)(ii).

<sup>12</sup> Ibid.

<sup>13</sup> 21 CFR 314.94(a)(9)(iii)–(v).

<sup>14</sup> 21 CFR 320.22(b)(1)(ii).

<sup>15</sup> See 21 CFR 314.94(a)(9)(v); see, e.g., the draft product-specific guidances (PSGs) on topical Doxepin Hydrochloride, the draft PSGs on Tazarotene, and the draft PSGs on Vancomycin Hydrochloride at <https://www.accessdata.fda.gov/scripts/cder/psg/index.cfm>. When final, these guidances will represent the FDA's current thinking on these topics.

<sup>16</sup> See sections 505(j)(2)(A) and 505(j)(4) of the FD&C Act, 21 CFR 314.94, and 21 CFR 314.127.

<sup>17</sup> FDA recommends including the name of inactive ingredients in the labeling of oral drug products. The established name of the inactive ingredients is required on the labels and labeling of drug products. See section 502(e)(1)(A)(iii) of the FD&C Act. Although 21 CFR 201.100(b) does not require the names of inactive ingredients for oral drug products, from a safety perspective, listing inactive ingredients allows for identification of ingredients that may potentially cause sensitivities or hypersensitivity reactions in some patients.

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92 the drug isotonic, which may be declared by name and a statement of their effect; if the vehicle is  
93 water for injection, it need not be named.<sup>18</sup>

94  
95 For FDA to efficiently assess whether ANDAs meet these requirements, it is vital for NDA and  
96 ANDA applicants to report their inactive ingredients clearly and accurately. Failing to do so  
97 leads to increased assessment time, assessment cycles, and FDA communication with NDA and  
98 ANDA applicants. Additionally, accurate and specific identification of inactive ingredients is  
99 instrumental to determine whether the different inactive ingredients may affect the drug  
100 product's safety or efficacy.

101

102

### **103 III. SUBMITTING COMPOSITION STATEMENTS IN NDAs AND ANDAs AND 104 INCLUDING CORRESPONDING STATEMENTS OF INGREDIENTS IN 105 LABELING**

106

#### **107 A. Consistency Between the Composition Statement in NDAs and ANDAs 108 and the Statement of Ingredients in the Approved Labeling**

109

110 Generally, the statement of ingredients in the approved labeling should be qualitatively and  
111 quantitatively consistent with the composition statement in section 3.2.P.1 of the eCTD.  
112 Applicants of nonoral use drugs must disclose the names of all inactive ingredients and, for  
113 certain drug products, the quantities in the labeling.<sup>19</sup> In addition, qualitative information  
114 required by 21 CFR 201.100(b)(5), such as the identity and effect of tonicity agents or pH  
115 adjusters, must be listed in the approved labeling. In these situations, applicants should declare  
116 the names of pH adjusters in the labeling statement per 21 CFR 201.100(b)(5)(iii).

117

118 Qualitative and quantitative information required by 21 CFR 201.100 should be reflected in the  
119 labeling with the same specificity as described in section III.C, Information on Inactive  
120 Ingredient Function, Hydration State, and Amount. In addition, when an inactive ingredient is  
121 added as part of a solution, applicants should report the amount of the inactive ingredient itself  
122 rather than the amount of the solution.

123

124 Generally, applicants should not round amounts in the statement of ingredients in the labeling.  
125 The number of significant figures (i.e., decimals) reported in the statement of ingredients in the  
126 labeling should be identical to the composition statement.

127

128 Additionally, applicants should include in the statement of ingredients in the labeling all pH  
129 adjusters that may be used to manufacture the drug product regardless of whether they were used  
130 to manufacture the batch(es) submitted in the application.

131

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<sup>18</sup> 21 CFR 201.100(b)(5)(iii).

<sup>19</sup> 21 CFR 201.100(b)(5) and 21 CFR 314.94(a)(9)(iii)–(v).

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### **B. Consistency in Compositions Identified Within the Same Application**

Applicants should ensure drug product composition statements are qualitatively and quantitatively consistent throughout the entire application. The composition statement submitted in section 3.2.P.1 is the composition that should be used throughout the application. Generally, any submission that has a composition statement (e.g., addition of new colors or flavors, modification of inactive ingredient levels, changes in inactive ingredient grades) that differs from the composition statement submitted in section 3.2.P.1 should be accompanied by a composition table in section 3.2.P.1, and FDA would consider this a change in formulation. Any supplements that discuss drug product composition should be identical to the submitted section 3.2.P.1 and contain a statement that section 3.2.P.1 has not changed. If an applicant does wish to submit changes to a composition statement, the applicant should submit an amendment to section 3.2.P.1.<sup>20</sup>

#### ***1. Consistency Between the Composition Statement and the Master Batch or Executed Batch Records***

Applicants should ensure drug product composition statements are qualitatively and quantitatively consistent throughout the application including, but not limited to, the composition table in section 3.2.P.1, batch formula in section 3.2.P.3.2, and the executed and master production (blank manufacturing) records in section 3.2.R.

**Example 1:** The drug product composition table in section 3.2.P.1 does not list any pH adjusters. However, the drug product batch formula in section 3.2.P.3.2 lists a strong acid and strong base as pH adjusters. The executed batch records also indicate the use of both types of pH adjusters. In this case, the composition statement in section 3.2.P.1 does not accurately reflect the drug product information, and the applicant should revise the composition statement to include the acid and base components.

**Example 2:** The drug product composition statement in section 3.2.P.1 lists a fixed amount for a certain inactive ingredient. However, the drug product batch formula in section 3.2.P.3.2 lists a quantum satis (q.s.) amount of the same inactive ingredient, or the manufacturing steps show that the inactive ingredient is added in a q.s. amount to hit a target specification. In this case, the composition statement in section 3.2.P.1 and the batch formula in section 3.2.P.3.2 are not consistent, and the applicant should revise the composition statement in section 3.2.P.1 to reflect the q.s. amount for that inactive ingredient.

#### ***2. Consistency in Composition Statements***

There may be instances where multiple composition statements exist in the same application. Composition statements in an application should be consistent.

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<sup>20</sup> Applicants should use the correct modifying operator to identify the current composition statement in the application. See the guidance for industry *Providing Regulatory Submissions in Electronic Format—Certain Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications* (February 2020). We update guidances periodically. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.



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173 For example, an applicant should submit one overarching, parent composition statement in the  
174 application in section 3.2.P.1 that accounts for any variability between different manufacturing  
175 facilities. If there is variability between different manufacturing facilities, the composition  
176 statement should include all possible ingredients and their levels.

177  
178 **Example:** An applicant uses multiple drug product manufacturers that use different pH  
179 adjusters, and the applicant identifies different pH adjusters in separate composition statements  
180 for each facility. The applicant fails to identify a single, overarching composition for the drug  
181 product. Instead, the applicant should provide an overarching composition statement in section  
182 3.2.P.1, listing all possible ingredients and their levels, which should be consistent with the  
183 statement of ingredients in the labeling.

### 3. *Clear Identification of Components of Inactive Ingredients*

184  
185  
186 Applicants should clearly identify components of inactive ingredients. An example of a failure  
187 to clearly identify components is when an applicant does not include a drug master file (DMF)  
188 with a selected source that contains an active ingredient with multiple components. FDA  
189 encourages applicants to identify a DMF along with a letter of authorization to reference the  
190 DMF or provide the components of the mixture and their amounts in the application. References  
191 to DMFs should clearly identify the DMF number and location (e.g., page number) of the  
192 relevant component composition information. The DMF should contain information about  
193 components of inactive ingredient mixtures such as colorant, flavor, essence, or materials used in  
194 their preparation.<sup>21</sup>

195  
196 A further example of when an inactive ingredient may not be clearly identified is when an  
197 inactive ingredient name or United States Pharmacopeia (USP)-National Formulary (NF) title in  
198 the composition statement does not identify a single substance but refers to a family or drug  
199 product line with different chemical structures (e.g., hypromellose). In such cases, the  
200 composition statement is incomplete unless the applicant specifies the inactive ingredient grade  
201 or type<sup>22</sup> that fully identifies the inactive ingredient composition (e.g., hypromellose 2910 (with  
202 specified millipascal second).<sup>23</sup>

### 4. *Actual Amount or Concentrations of an Inactive Ingredient in the Drug Product*

203  
204  
205 The applicant should denote only the actual concentration for an inactive ingredient in the drug  
206 product in its composition statement. For example, the amount reported should not be the  
207  
208

---

<sup>21</sup> See 21 CFR 314.420(a)(4).

<sup>22</sup> Many USP-NF monographs for inactive ingredients specify *types* that indicate composition (e.g., stearic acid and hypromellose types and povidone K-values). Global Substance Registration System (GSRS) also uses the term *type*. For complete identification, these types should be included in the composition statement.

<sup>23</sup> FDA encourages applicants to provide the preferred term as it appears in FDA's GSRS as well as the USP-NF title to clearly identify the inactive ingredient and its grade. Refer to section III.C.4, Correct Nomenclature in Composition Statements in Applications and Statements of Ingredients in Labeling, of this guidance for further information on nomenclature.

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209 amount dispensed in preparation for manufacturing, but rather the actual amount of the inactive  
210 ingredient used in the manufacturing.

211  
212 **Example:** If an applicant prepares 6 milligrams (mg) of 1 molar of sodium hydroxide solution  
213 and adds that inactive ingredient to the drug product as a q.s. amount to a pH target, the reported  
214 amount on the composition statement should be q.s and not 6 mg.

### 215 216 5. *Units of Measure*

217  
218 An applicant should clearly list units of measure for the quantity or concentration of inactive  
219 ingredients in a composition statement (e.g., weight per weight (w/w) percent; weight per  
220 volume (w/v) percent; volume per volume (v/v) percent). Applicants sometimes erroneously list  
221 quantities in composition statements in different units of measure without noting the change in  
222 units from the other inactive ingredients in the composition. Instead, FDA recommends that  
223 applicants use the same units of concentration for all inactive ingredients, where appropriate, and  
224 include additional information, such as ingredient and/or inactive ingredient density, used in the  
225 calculations to convert the inactive ingredient concentration units. Where applicable, applicants  
226 should show the calculations for such conversions. FDA recommends that applicants clearly  
227 denote the difference in concentration units for those inactive ingredients and any associated  
228 justification for the difference.

229  
230 Additionally, if an inactive ingredient concentration is listed as a percentage, applicants should  
231 clearly indicate the units of measure used to calculate the percentage (i.e., w/w, w/v, or v/v).<sup>24</sup>  
232 Percentages for w/w and v/v should total 100 percent. Applicants should consider that when  
233 overage is included for the active or inactive ingredients, this should be clearly noted in the  
234 composition table as well as the justification for the overage.

### 235 236 6. *Nominal Amounts Listed for Inactive Ingredients in Composition Statement*

237  
238 Applicants should list nominal amounts for inactive ingredients in composition statements. If a  
239 range is more appropriate than a nominal amount or concentration, applicants should clearly  
240 indicate the reason for providing a range in the composition statement.

### 241 242 **C. Information on Inactive Ingredient Function, Hydration State, and Amount**

243  
244 In the application, applicants should accurately identify inactive ingredient function, provide the  
245 hydration state for applicable inactive ingredients, and accurately list the amount (or provide a  
246 range if the ingredient is not used on a q.s. basis or fixed amount) for each inactive ingredient in  
247 the drug product. Moreover, if the listed amount of the ingredient may be sourced in multiple  
248 hydration states, applicants should clearly identify this in the composition table in the application  
249 and the corresponding statement of ingredients in labeling (where applicable).

250

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<sup>24</sup> See 21 CFR 201.10(d)(2), which states that alcohol must be expressed as percent volume per volume in all labeling.

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251           1.     *Information Regarding Equivalent Amounts of Inactive Ingredients Available in*  
252                     *Different Hydrate Forms, Purity, and Grades*

253  
254     FDA recommends all ingredients should be in the same units of amount and/or concentration,  
255     and any conversions should include the associated information used to calculate those  
256     conversions. A common, recurring problem is a lack of information regarding equivalent  
257     amounts of ingredients available in different hydrate forms, purity, and grade or type of an  
258     inactive ingredient.

259  
260     Examples of inactive ingredients where FDA has observed discrepancies in hydration state,  
261     purity, and grade include: edetate disodium, alcohol, sorbitol, benzalkonium chloride,  
262     hydroxyethyl cellulose, hydroxypropyl methylcellulose, povidone, carboxymethyl cellulose,  
263     acetic acid, and carbomer.

264  
265     Applicants should indicate in the composition table whether the amount or concentration listed  
266     for the inactive ingredient represents the anhydrous amount, where applicable (also sometimes  
267     known as the dehydrate (i.e., dried) amount). When using an anhydrous ingredient, applicants  
268     should refer to the ingredient as *anhydrous*, not *dehydrate*, to avoid confusion with the term  
269     *dihydrate*. Anhydrous and dihydrate terms are consistent with USP-NF monograph terminology.  
270     Additionally, applicants should specify which grade or type of the inactive ingredient is used,  
271     where applicable (see section III.C.4, Correct Nomenclature in Composition Statements and in  
272     Applications Statements of Ingredients in Labeling).

273  
274           2.     *Listing the Primary Function*

275  
276     If an applicant lists multiple functions for an inactive ingredient, the applicant should indicate the  
277     primary function of the ingredient in the proposed drug product in its application. Generally,  
278     FDA will consider the primary function of the ingredient for purposes of ANDA formulation  
279     comparisons.

280  
281           3.     *Clarity Regarding Functionality of Inactive Ingredients*

282  
283     Applicants should clearly identify the functionality of an inactive ingredient in their applications.  
284     The functionality of an inactive ingredient should describe the role of the ingredient in the  
285     formulation as opposed to the mechanistic action of the ingredient. For example, *chelating agent*  
286     describes the mechanism by which the ingredient binds metal ions, but does not describe the  
287     actual function, or role, such as preservative or antioxidant, of the ingredient in the formulation.

288  
289     Applicants should refrain from using ambiguous terminology when identifying the functionality  
290     of inactive ingredients in their applications. Examples of ambiguous terminology include, but  
291     are not limited to, the following: *pH buffering agent*, *acidifying agent*, and *alkalizing agent*.  
292     Instead of *pH buffering agent*, applicants should simply use the term *buffering agent* when the  
293     ingredient acts with a conjugate acid or base to buffer the composition from changes to the pH.  
294     When the primary function of an inactive ingredient is to alter the pH of the formulation and is  
295     commonly a strong acid or base, the ingredient's primary function should be listed as a *pH*  
296     *adjuster*. When the primary function of an inactive ingredient is to increase the solubility of the

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297 active ingredient, applicants should use the term *solubilizing agent*, instead of *acidifying agent* or  
298 *alkalizing agent*.

299  
300 Applicants should also refrain from listing an inactive ingredient as a pH adjuster in the  
301 statement of ingredients in the labeling when it functions as an in situ converter (e.g., salt-  
302 forming agent). When one inactive ingredient is used for in situ conversion and pH adjustment,  
303 the composition table should indicate the amount used for in situ conversion.

304  
305 4. *Correct Nomenclature in Composition Statements in Applications and Statements*  
306 *of Ingredients in Labeling*<sup>25</sup>

307  
308 Applicants should use the Global Substance Registration System (GSRS) display name, which  
309 identifies the Unique Ingredient Identifiers for a particular inactive ingredient, in the composition  
310 statement. If the GSRS preferred term differs from the USP-NF monograph title, FDA  
311 recommends adding the USP monograph title with an asterisk below the composition statement  
312 for clarity and to demonstrate consistency with the labeled statement of ingredients. See  
313 Appendix for an example. Applicants should list the ingredients' hydration state(s) and provide  
314 additional relevant descriptive information, as appropriate.<sup>26</sup> Additionally, if the inactive  
315 ingredient has multiple hydration states and is the subject of a USP monograph, applicants  
316 should use a footnote to provide the inactive ingredient's USP monograph title and equivalent  
317 amount based on the monograph definition. Furthermore, when an inactive ingredient has  
318 multiple hydration states, the USP monograph definition explains how those quantities should be  
319 expressed. Where use of the inactive ingredient in a composition statement deviates from the  
320 USP monograph, applicants should provide a statement that describes the deviation.<sup>27</sup>

321  
322 Applicants must list the established name of inactive ingredients in the statement of ingredients  
323 in a drug product's labeling.<sup>28,29</sup> The term *established name* is defined in section 502(e)(3) of  
324 the FD&C Act (21 U.S.C. 352) as an official name designated under section 508 of the FD&C  
325 Act (21 U.S.C 358). If no such official name has been designated, and the drug or ingredient is  
326 an article recognized in an official compendium (such as USP), then the established name is the  
327 official title described in such compendium.<sup>30</sup> If neither of the two options above applies, then  
328 the established name is the common or usual name of the drug.<sup>31</sup> FDA does not routinely

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<sup>25</sup> The principles and recommendations in this section are also relevant to biological products that are the subject of biologics license applications.

<sup>26</sup> If there is no Unique Ingredient Identifier for an ingredient, contact [FDA-SRS@fda.hhs.gov](mailto:FDA-SRS@fda.hhs.gov).

<sup>27</sup> USP General Notices 3.20, *Indicating Conformance*.

<sup>28</sup> Section 502(e)(1)(A)(iii) of the FD&C Act.

<sup>29</sup> If an inactive ingredient has the grade or type as a part of the compendial name, this information should be included in the labeling.

<sup>30</sup> Section 502(e)(3)(B) of the FD&C Act.

<sup>31</sup> Section 502(e)(3)(C) of the FD&C Act.

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329 designate official names under section 508 of the FD&C Act. Therefore, if there is a USP  
330 monograph for an inactive ingredient, the inactive ingredient's established name is the USP  
331 monograph title. Additionally, applicants should list inactive ingredients in alphabetical order in  
332 the statement of ingredients in labeling.<sup>32</sup> See Appendix for an example.

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### **D. Drug Product Compositions in Annual Reports**

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336 In an annual report, applicants may make minor editorial changes (e.g., correcting a  
337 typographical error) that do not affect the actual drug product composition, or they may delete or  
338 reduce an ingredient intended to affect only the color of the drug product.<sup>33</sup> Generally, changes  
339 to a composition statement that go beyond those listed at 21 CFR 314.70(d) would not fall into  
340 either of these categories. In these circumstances, applicants should submit updated composition  
341 statements in a submission after approval.

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## **IV. SUBMITTING UPDATED COMPOSITION STATEMENT IN AN 344 APPLICATION AND CORRESPONDING STATEMENT OF INGREDIENTS 345 IN LABELING FOR APPROVED NDAs AND ANDAs**

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348 The application holder of an approved NDA and ANDA should submit an updated composition  
349 statement and the corresponding statement of ingredients in labeling when applicable, consistent  
350 with the recommendations in this guidance in an appropriate submission after approval.<sup>34</sup>

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<sup>32</sup> See USP General Chapter <1091> *Labeling of Inactive Ingredients*.

<sup>33</sup> 21 CFR 314.70(d)(1), 21 CFR 314.70(d)(2)(ii), and 21 CFR 314.97.

<sup>34</sup> See section 506A of the FD&C Act (21 U.S.C. 356), 21 CFR 314.70, and 21 CFR 314.97.

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351 **APPENDIX — EXAMPLES OF COMPOSITION STATEMENT IN NDAs AND ANDAs**  
352 **AND CORRESPONDING STATEMENT OF INGREDIENTS IN LABELING**

353 **Example 1: Composition Statement for Injectable Drug Product**  
354

Inactive ingredient functions clearly identified using nonambiguous terminology

Composition of Drugozide Injection 125 mg/5 mL (25 mg/mL) vial

Component	Reference Quality Standard	Function	Quantity	Quantity	Quantity (mg)	
			(% w/v)	(%w/w)	mg/mL	mg/vial
Sodium Drugozide <sup>[i]</sup>	USP	API	2.73%	3.00%	27.3	136.5
Sodium Chloride	USP	Tonicity	0.25%	0.28%	2.5	12.5
Trisodium Citrate Dihydrate <sup>[ii]</sup>	USP	Buffer	0.10% <sup>[iii]</sup>	0.11%	1	5
Citric Acid Monohydrate <sup>[iv]</sup>	USP	Buffer	0.10%	0.11%	1	5
Edetate Disodium Dihydrate <sup>[v]</sup>	USP	Preservative	0.06%	0.06%	0.554	2.77
Sodium Metabisulfate	In-House	Antioxidant	0.50%	0.55%	5	25
Povidone K-17	USP	Stabilizer	0.10%	0.11%	1	5
Sodium Hydroxide	NF	pH adjuster	q.s.	q.s.	q.s.	q.s.
Hydrochloric Acid (1M) <sup>[vi]</sup>	In-House	pH adjuster	q.s.	q.s.	q.s.	q.s.
Water for Injection	USP	Solvent	q.s. to 100%	q.s. to 100%	q.s. to 1 mL	q.s. to 5 mL
Nitrogen <sup>[vii]</sup>	NF	Processing Aid	-	-	-	-

Units clearly identified

Hydration state clearly identified with footnotes for expressed quantities

Inactive ingredient grade clearly identified

- Identify any overages
- Clarify the corresponding quantities related to the hydration state
- Clarify when inactive ingredients are not present in the final drug product

[i] Factored to a 100% basis, equivalent to 25 mg/mL of drugozide  
 [ii] 1 mg trisodium citrate dihydrate is equivalent to 0.877 mg sodium citrate, USP (anhydrous)  
 [iii] Include hydration state. In this instance as dihydrate  
 [iv] 1 mg citric acid monohydrate is equivalent to 0.914 mg citric acid anhydrous  
 [v] 0.554 mg edetate disodium dihydrate is equivalent to 0.500 mg edetate disodium, USP (anhydrous)  
 [vi] Components are named by the USP Monograph Title  
 [vii] Ingredient prepared from USP grade Concentrated Hydrochloric acid and Ph. Eur. grade Purified water.  
 [viii] Nitrogen is used as a processing aid during the manufacturing process and is not present in the final drug product

355  
356  
357 mg = milligram; mL = milliliter; w/v = weight per volume; w/w = weight per weight; USP = United States  
358 Pharmacopeia; NF = National Formulary; API = active pharmaceutical ingredient; q.s. = quantum satis.

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359 **Example 2: Composition Statement for Injectable Drug Product Using a Fixed Amount of**  
360 **a Strong Acid or Base for In Situ Salt Conversion**  
361

Inactive ingredient functions clearly identified  
using nonambiguous terminology

Composition of Drugozone Injection 125 mg/5 mL (25 mg/mL) vial

Reference Quality Standard	Reference Quality Standard	Function	Quantity	Quantity	Quantity (mg)	
			(% w/v)	(% w/w)	mg/mL	mg/vial
Drugozone <sup>[i]</sup>	USP	API	2.50%	2.75%	25	125
Sodium Chloride	USP	Tonicity	0.25%	0.28%	2.5	12.5
Trisodium Citrate Dihydrate <sup>[ii]</sup>	USP	Buffer	0.10% <sup>[iii]</sup>	0.11%	1	5
Citric Acid Monohydrate <sup>[iv]</sup>	USP	Buffer	0.10%	0.11%	1	5
Edetate Disodium Dihydrate <sup>[v]</sup>	USP	Preservative	0.05%	0.06%	0.55	2.75
Sodium Metabisulfate	In-House	Antioxidant	0.50%	0.55%	5	25
Povidone K-17	USP	Stabilizer	0.10%	0.11%	1	5
Sodium Hydroxide	NF	Salt-forming agent	0.41%	0.45%	4.1 [vii]	20.5
Hydrochloric Acid (1M) <sup>[viii]</sup>	In-House	pH adjuster	q.s.	q.s.	q.s.	q.s.
Water for Injection	USP	Solvent	q.s. to 100%	q.s. to 100%	q.s. to 1 mL	q.s. to 5 mL
Nitrogen <sup>[ix]</sup>	NF	Processing Aid	-	-	-	-

Units clearly identified

Hydration state clearly identified with footnotes for expressed quantities

Inactive ingredient grade clearly identified

Listed with the primary function

- Identify any overages
- Clarify the corresponding quantities related to the hydration state
- Indicate the amount used for in situ conversion and pH adjustment
- Clarify when inactive ingredients are not present in the final drug product

[i] Factored to a 100% basis, equivalent to 27.3 mg/mL of sodium drugozone  
 [ii] 1 mg trisodium citrate dihydrate is equivalent to 0.877 mg sodium citrate, USP (anhydrous)  
 [iii] Include hydration state. In this instance as dihydrate  
 [iv] 1 mg citric acid monohydrate is equivalent to 0.914 mg citric acid anhydrous  
 [v] 0.554 mg edetate disodium dihydrate is equivalent to 0.500 mg edetate disodium, USP (anhydrous)  
 [vi] Components are named by the USP Monograph Title  
 [vii] 4 mg for in-situ conversion of drugozone to sodium drugozone and 0.1 mg for pH adjustment.  
 [viii] Ingredient prepared from USP grade Concentrated Hydrochloric acid and Ph. Eur. grade Purified water.  
 [ix] Nitrogen is used as a processing aid during the manufacturing process and is not present in the final drug product

362 mg = milligram; mL = milliliter; w/v = weight per volume; w/w = weight per weight; USP = United States  
 363 Pharmacopeia; NF = National Formulary; API = active pharmaceutical ingredient; q.s. = quantum satis.  
 364  
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366 **Example 3: Corresponding Statement of Ingredients for Example 1 and Example 2 in the**  
367 **DESCRIPTION Section of the Prescribing Information<sup>1</sup>**

368

369 **11 DESCRIPTION**

370 *[Include required information]*<sup>2</sup> . . . Each milliliter contains drugozide 25 milligrams  
371 (mg) (equivalent to 27.3 mg sodium drugozide) and the following inactive ingredients:  
372 citric acid monohydrate 0.914 mg,<sup>3</sup> edetate disodium 0.5 mg, povidone K-17 1 mg,  
373 sodium chloride 2.5 mg, sodium citrate 0.877 mg, sodium metabisulfate 5 mg, and water  
374 for injection. Hydrochloric acid and sodium hydroxide added to adjust pH.

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<sup>1</sup> The tonicity adjuster information is not required by regulation but should be included. In Example 2, 4 milligrams (mg) of sodium hydroxide is listed for in situ conversion to form the active ingredient, and footnote vii indicates that additional (i.e., 0.1 mg) sodium hydroxide is added for pH adjustment. As such, sodium hydroxide should also be listed in labeling as a pH adjuster, consistent with the recommendations in section IV.C.3, Clarity Regarding Functionality of Inactive Ingredients.

<sup>2</sup> See 21 CFR 201.57(c)(12) and 21 CFR 201.80(a).

<sup>3</sup> See United States Pharmacopeia's definition of *citric acid monohydrate*. Citric acid monohydrate contains one molecule of water of hydration. It contains not less than 99.5 percent and not more than 100.5 percent of citric acid, calculated on the anhydrous basis.