

### **DEPARTMENT OF HEALTH AND HUMAN SERVICES** Food and Drug Administration

REGISTRATION OF COSMETIC PRODUCT FACILITY

(In accordance with section 607(a) and (b) of the Federal Food, Drug, and Cosmetic Act (FD&C Act)) OMB No. 0910-0599 Expiration Date: December 31, 2026 See PRA Statement on Page 3

FOR FDA USE ONLY ON INITIAL

REGISTRATIONS

REGISTRATION DATE (mm/dd/yyyy)

# FDA

INSTRUCTIONS

For faster processing please use the electronic submission portal at: <u>https://direct.fda.gov</u>. Type all entries in CAPITAL LETTERS. An item followed by an asterisk (\*) denotes a required field. Use standard abbreviations wherever possible. Omit all punctuation. Complete a separate Form FDA 5066 for each facility location. Mail completed form to: DEPARTMENT OF HEALTH AND HUMAN SERVICES FOOD AND DRUG ADMINISTRATION, Office of Cosmetics and Colors, Registration and Listing of Cosmetic Product Facilities and Products Program, 5001 Campus Drive, CPK1, Room 1B-046, College Park, MD 20740-3835 or email it to <u>RLC-PaperSubmissions@fda.hhs.gov</u>.

#### SECTION I - DOCUMENT TYPE

#### **DOCUMENT TYPE\***

INITIAL
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AMENDED

CHANGES TO REGISTRATION

CANCELLATION OF REGISTRATION

#### BIENNIAL REGISTRATION RENEWAL

ABBREVIATED REGISTRATION RENEWAL (By checking this box, you are certifying that no changes have been made to your registration since the previous registration was submitted)

#### **SECTION II – REGISTRATION**

IS THIS A FACILITY REGISTRATION FOR A SMALL BUS	SINESS (optional registration)?
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	YES		NC
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FACILITY NAME*	PARENT COMPANY NAME (If applicable)	
FACILITY FEI (FDA Establishment Identifier) NUMBER*	FACILITY D&B D-U-N-S NUMBER	
	L	

#### STREET ADDRESS\*

CITY*	STATE OR PROVINCE*	ZIP/POSTAL CODE*	COUNTRY* (If other than USA)
FACILITY EMAIL*		FACILITY PHONE NUMBER* (Include Country/Area Code)	

NAME OF THE OWNER AND/OR OPERATOR OF THE FACILITY\*

BRAND NAMES OF COSMETIC PRODUCTS MANUFACTURED OR PROCESSED IN THIS FACILITY*	<b>RESPONSIBLE PERSON NAME</b> * (As listed on label)	<b>PRODUCT CATEGORY CODE(S)</b> * (See references on page 3)
1.		
2.		
3.		

SECTION III – U.S. AGENT CONTACT INFORMATION			
U.S. AGENT NAME* (for foreign facilities) EMAIL* (If not available		EMAIL* (If not available, enter "N/	(A")
DUONE NUMPER* (Include Country/Area Code)		PHONE EXTENSION	
PHONE NUMBER* (Include Country/Area Code)		PHONE EXTENSION	
SECTION IV – CONFIRMATION STATEMENT			
The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be true		AGREE	
and accurate. I agree to report changes to this information and renew as required under section 607 of the FD&C Act.			
WARNING: A willfully false statement is a criminal offense, U.S. Code, Title 18, Section 1001.			
SIGNATURE OF SUBMITTER	PRINTED NAME OF SUBMITTER		DATE (mm/dd/yyyy)
SECTION V – ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT			
ADDITIONAL CONTACT NAME		EMAIL	
PHONE NUMBER (Include Country/Area Code)		PHONE EXTENSION	

#### REFERENCES

## Registration and Listing of Cosmetic Product Facilities and Products:

https://www.fda.gov/cosmetics/registration-listing-cosmetic-productfacilities-and-products

## Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry:

https://www.fda.gov/regulatory-information/search-fda-guidancedocuments/guidance-industry-registration-and-listing-cosmeticproduct-facilities-and-products

How to request an FEI number or determine if an entity already has an FEI number: <u>https://www.accessdata.fda.gov/scripts/feiportal/index.</u> cfm?action=portal.login

#### DEFINITIONS

Cosmetic product category codes:

https://www.fda.gov/cosmetics/registration-listing-cosmetic-productfacilities-and-products/cosmetic-product-categories-and-codes

Product category code examples: 02B (Bubble baths) 06A2 (Hair conditioners; Rinse-off) 10E (Nail polishes and enamels) 15B3 (Indoor tanning preparations; Spray applications)

**MANUFACTURING OR PROCESSING OF A COSMETIC PRODUCT** — means engaging in one or more steps in the making of any cosmetic product by chemical, physical, biological, or other procedures, including manipulation, sampling, testing, or control procedures applied to the product.

**OPERATOR** — means a person, as defined in section 201(e) of the FD&C Act (21 U.S.C 321(e)), who has management authority over an establishment.

**OWNER** — means a person, as defined in section 201(e) of the FD&C Act (21 U.S.C. 321(e)), who has an ownership interest in an establishment.

**RESPONSIBLE PERSON** — as defined in section 604(4) of the FD&C Act, means the manufacturer, packer, or distributor of a cosmetic product whose name appears on the label of such cosmetic product in accordance with section 609(a) of the FD&C Act or section 4(a) of the Fair Packaging and Labeling Act.

**SMALL BUSINESSES** — as defined in section 612 of the FD&C Act, means responsible persons, and owners and operators of facilities, whose average gross annual sales in the U.S. of cosmetic products for the previous 3-year period is less than \$1,000,000, adjusted for inflation, and who do not engage in the manufacturing or processing of certain cosmetic products described in section 612(b) of the FD&C Act. A small business is exempt from the registration and listing requirements.

#### THE INFORMATION BELOW APPLIES ONLY TO REQUIREMENTS OF THE PAPERWORK REDUCTION ACT OF 1995.

#### \*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

The burden time for this collection of information is estimated to average between 15 and 30 minutes per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services Food and Drug Administration Office of Chief Information Officer Paperwork Reduction Act (PRA) Staff PRAStaff@fda.hhs.gov

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