
Quality Considerations for Topical Ophthalmic Drug Products Guidance for Industry

DRAFT GUIDANCE

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**U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)**

**October 2023
Pharmaceutical Quality/CMC**

Quality Considerations for Topical Ophthalmic Drug Products Guidance for Industry

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**U.S. Department of Health and Human Services
Food and Drug Administration
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1 **Quality Considerations for Topical Ophthalmic Drug Products**
2 **Guidance for Industry¹**
3

4
5 This draft guidance, when finalized, will represent the current thinking of the Food and Drug
6 Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not
7 binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the
8 applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible
9 for this guidance as listed on the title page.
10

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13
14 **I. INTRODUCTION**
15

16 This guidance discusses certain quality considerations for ophthalmic drug products² (i.e.,
17 solutions, suspensions, emulsions, gels, ointments, and creams) intended for topical delivery in
18 and around the eye. Specifically, the guidance discusses:

- 19
20 • Approaches to evaluating visible particulate matter, extractables and leachables, and
21 impurities and degradation products.
22
23 • Use of in vitro drug release/dissolution testing as an optional quality control strategy for
24 certain ophthalmic dosage forms.
25
26 • Recommendations for design, delivery, and dispensing features of container closure
27 systems (CCSs).³
28
29 • Recommendations for stability studies.
30

31 This guidance provides information regarding quality considerations for ophthalmic drug
32 products consistent with the current good manufacturing practice (CGMP) requirements outlined
33 in section 501(a)(2)(B) of the FD&C Act and 21 CFR parts 210 and 211 for all drug products,
34 part 601 for biological products, and part 4 for combination products. For ophthalmic drug

¹ This guidance has been prepared by the Office of Pharmaceutical Quality in the Center for Drug Evaluation and Research (CDER) at the Food and Drug Administration.

² The term *drug product*, as used in this guidance, includes drugs subject to licensing as biological products under section 351(a) or (k) of the Public Health Service Act (PHS Act; 42 U.S.C. 262(a) or (k)) and regulated by CDER. The term also encompasses the drug or biological product constituent part of a combination product, as defined in FDA regulations at 21 CFR 3.2(e), and products marketed pursuant to section 505G of the Federal Food, Drug, and Cosmetic Act (FD&C Act; 21 U.S.C. 355h) without an approved application under section 505 of the FD&C Act (often referred to as *over-the-counter (OTC) monograph drugs*).

³ Some ophthalmic products that are the subject of this guidance may be combination products (see 21 CFR 3.2). See section VI for more information. Contact the Office of Combination Products at Combination@fda.hhs.gov with questions regarding the classification of a specific product.

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35 products with a United States Pharmacopeia (USP) monograph, this guidance provides
36 information about applicable criteria from the USP.⁴ This guidance also provides
37 recommendations to industry on the documentation that should be submitted in the chemistry,
38 manufacturing, and controls (CMC) section of new drug applications (NDAs), abbreviated new
39 drug applications (ANDAs), and biologics license applications (BLAs), including BLAs for
40 biosimilar and interchangeable biosimilar products.⁵ The CMC section of NDAs, ANDAs, and
41 BLAs must be included as required by 21 CFR 314.50, 21 CFR 314.94, and 21 CFR part 601,
42 respectively. Relevant records and other information that demonstrate compliance with CGMP
43 requirements must be made available for FDA review during an inspection conducted under
44 section 704(a)(1) of the FD&C Act or when requested by FDA in advance or in lieu of an
45 inspection as described in section 704(a)(4) of the FD&C Act.⁶ This guidance does not apply to
46 biological products regulated by the Center for Biologics Evaluation and Research.

47

48 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.
49 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only
50 as recommendations, unless specific regulatory or statutory requirements are cited. The use of
51 the word *should* in Agency guidances means that something is suggested or recommended, but
52 not required.

53

54

55 II. VISIBLE PARTICULATE MATTER

56

57 The use of a robust visual inspection program and the implementation of CGMP requirements
58 are important to ensure products are not adulterated. For topical ophthalmic drug products
59 packaged in opaque containers, appropriate technologies (e.g., X-ray spectroscopy) or
60 destructive testing should be used to identify particulates within the accepted visible size range.⁷

61

62 Ophthalmic drug products with names recognized in the USP are generally required to also meet
63 the particulate matter requirements in USP General Chapter <771> *Ophthalmic Products—*
64 *Quality Tests*.⁸ Noncompendial ophthalmic drug products should also follow the above USP
65 General Chapter. Adherence to compendial standards can assist applicants and manufacturers of
66 OTC monograph drugs (hereafter *OTC manufacturers*) in complying with CGMP regulations
67 (e.g., 21 CFR 211.165(e), 211.167(b), and 211.194(a)(2)).

68

69

⁴ See section 501(b) of the FD&C Act.

⁵ For topical ophthalmic biological products, including biosimilars and interchangeable products, we recommend that applicants consult with FDA before submitting their application.

⁶ See also 21 CFR 211.180(c).

⁷ For topical ophthalmic drug products that include inherent visible particulates by design, such as suspensions and emulsions, stability testing can be used to evaluate any changes in the particle size over the shelf life of the product. See USP General Chapter <771> *Ophthalmic Products—Quality Tests*.

⁸ See section 501(b) of the FD&C Act.

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70 III. EXTRACTABLES AND LEACHABLES

71
72 Ophthalmic drug products should be evaluated for extractables and leachables from the CCS.
73 Leachables have the potential to interact with the formulated drug product, which could
74 compromise product quality and therapeutic effect. The assessment of extractables and
75 leachables should consider the primary, secondary, and tertiary packaging components of the
76 CCS, including the labeling components.

77
78 Semipermeable CCSs can, over time, leach low molecular weight compounds (e.g., plasticizers,
79 lubricants, pigments, stabilizers, antioxidants, binding agents) from CCS components or from
80 labeling components (e.g., inks, adhesives, varnishes) into the drug product. However, this is less
81 of a concern for products packaged in glass containers (e.g., biological products).

82
83 General tests for CCSs are described in USP General Chapters, such as <87> *Biological*
84 *Reactivity Tests, In Vitro*; <88> *Biological Reactivity Tests, In Vivo*; <660> *Containers—Glass*;
85 and <661> *Plastic Packaging Systems and Their Materials of Construction*. For more
86 information about testing extractables and leachables, applicants and OTC manufacturers should
87 consult USP General Chapters <1663> *Assessment of Extractables Associated With*
88 *Pharmaceutical Packaging/Delivery Systems* and <1664> *Assessment of Drug Product*
89 *Leachables Associated With Pharmaceutical Packaging/Delivery Systems*. Applicants should
90 also refer to the guidance for industry *Container Closure Systems for Packaging Human Drugs*
91 *and Biologics: Chemistry, Manufacturing, and Controls Documentation* (May 1999).⁹

92 93 A. Extractables Studies

94
95 Where extractables testing is conducted to comply with CGMP requirements, OTC
96 manufacturers should document the following information about their extractables studies, and
97 applicants should provide this information in their application (see 21 CFR 211.194(a)).

- 98
- 99 • A risk assessment in support of their study approach.
 - 100
 - 101 • Data from their extractables studies, which generally should be conducted following the
 - 102 framework provided in USP General Chapter <1663> and should take into account the
 - 103 primary, secondary, and tertiary packaging components.
 - 104
 - 105 • Information on the use of extraction conditions (e.g., media, temperature, time, analytical
 - 106 techniques).
 - 107
 - 108 • Information on the use of analytical procedures (e.g., gas or liquid chromatography–mass
 - 109 spectrometry), including method validation information.
 - 110
 - 111 • An assessment of the resultant extractables profiles.
 - 112

⁹ We update guidances periodically. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

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113 Where a CCS has been used in an approved ophthalmic drug product, an applicant can refer to
114 previously submitted information to address the recommendations above, when feasible and with
115 adequate justification.

116

B. Leachables Studies

118

119 Because leachables can stem from different sources and be formulation dependent, applicants
120 and OTC manufacturers should have adequate data to identify and characterize the potential risks
121 associated with the leachables from the CCS and describe how these risks are mitigated, such as
122 by conducting leachables studies.

123

124 Where leachables testing is conducted to comply with CGMP requirements, OTC manufacturers
125 should document the following information about their leachables studies, and applicants should
126 provide this information in their application (see 21 CFR 211.194(a)).

127

- 128 • Data from three primary stability batches, each of which generally should be followed
129 through expiry as described in USP General Chapter <1664>.
- 130
- 131 • Information on the use of analytical procedures (e.g., gas or liquid chromatography–mass
132 spectrometry), including method validation information.
- 133
- 134 • An assessment of the resultant leachables profiles.¹⁰
- 135
- 136 • The acceptance criteria contained in drug product specifications.¹¹

137

138 In addition to the leachables studies, a separate toxicological risk assessment of the leachables
139 should be conducted.

140

C. Safety Thresholds

142

143 Because of the variety of chemical species and the enormous capability of modern analytical
144 techniques in detecting trace amounts of chemicals, it is neither practical nor necessary to
145 identify all detected leachables for safety qualification. However, because ophthalmic drug
146 products are applied directly to the eye, applicants and OTC manufacturers should assess
147 compatibility and safety concerns of any potential leachables exceeding the qualification
148 threshold discussed below. The safety assessment should address the ocular toxicity and irritancy
149 potential of such leachables, in addition to systemic safety, as appropriate.

150

151 Applicants and OTC manufacturers can use a safety threshold approach to assess the potential of
152 leachables and extractables to leach into and/or interact with the formulated drug product. The

¹⁰ See section III.C of this guidance.

¹¹ Ibid.

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153 following recommended leachables thresholds are expressed in parts per million (ppm) (i.e., the
154 parts of a leachable per unit mass of the ophthalmic drug product)¹²:

155

- 156 • Reporting threshold: 1 ppm.
- 157 • Identification threshold: 10 ppm.
- 158 • Qualification threshold: 20 ppm.

159

160 OTC manufacturers should document information about their safety thresholds, and applicants
161 should list leachable impurities above the reporting threshold along with other impurities in the
162 drug product specification section of NDAs and ANDAs, but not in BLAs (see 21 CFR
163 211.194).¹³

164

165

IV. IMPURITIES AND DEGRADATION PRODUCTS

167

A. NDA, ANDA, and OTC Monograph Drugs

169

170 The establishment of scientifically sound and appropriate specifications to comply with 21 CFR
171 211.160(b) includes identifying test methods and acceptance criteria for impurities and
172 degradation products. NDA and ANDA applicants should generally follow the principles of
173 reporting, identifying, and qualifying degradation products and impurities outlined in the
174 International Council for Harmonisation (ICH) guidance for industry *Q3B(R2) Impurities in New
175 Drug Products* (August 2006).¹⁴ OTC manufacturers should generally establish thresholds and
176 acceptance criteria for impurities and degradation products according to USP General Chapter
177 <1086> *Impurities in Drug Substances and Drug Products*. OTC manufacturers should
178 document the following information and applicants should include it in the drug product
179 specification section of NDAs or ANDAs (21 CFR 211.194(a)):

180

- 181 • Each specified identified degradation product or impurity as a percentage of the active
182 pharmaceutical ingredient (API).
- 183
- 184 • Each specified unidentified degradation product or impurity as a percentage of the API.
- 185
- 186 • Any individual unspecified degradation product or impurity.
- 187
- 188 • Total degradation products or impurities.

189

190 However, FDA's recommended thresholds for individual unspecified degradation products or
191 impurities are different for ophthalmic drug products than the corresponding thresholds provided
192 in ICH Q3B(R2) for the same dose range (see table below for these different thresholds, which

¹² These thresholds are based on historical data from approved drug products. For topical ophthalmic products, ppm is used instead of a limit on concentration because of the risk of local toxicity to the eye.

¹³ See section IV.B of this guidance for an explanation of this recommendation for BLAs.

¹⁴ Acceptance criteria for specified degradation products in generic drug products should be established according to the guidance for industry *ANDAs: Impurities in Drug Products* (November 2010).

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193 are based on historical data from FDA-approved drug products). There are two reasons for the
194 differences in recommended thresholds compared to the ICH recommendations: First,
195 ophthalmic drug products are directly administered to the eye, and direct, local application has
196 the potential to produce high local concentrations in the eye. In contrast, the recommendations in
197 ICH Q3B(R2) are generally used to support safety determinations for drug products that act
198 systemically. Second, these differences also account for the fact that less is known about the
199 potential effects of individual unspecified degradation products or impurities than specified
200 degradation products or impurities.

201 202 **FDA's Recommended Thresholds for Unspecified Degradation Products or Impurities** 203 **in Ophthalmic Drug Products***

Drug Product Strength (% w/v)	Recommended Identification and Qualification Threshold
Greater than 0.1% to less than or equal to 1%** ($> 0.1\%$ to $\leq 1\%$)	0.1%
Less than or equal to 0.1% ($\leq 0.1\%$)	1% or 1 ppm***

204 *These recommended thresholds apply to OTC monograph ophthalmic drug products and ophthalmic drug
205 products submitted under NDAs and ANDAs.

206 ** Limits above 1% will be evaluated on a case-by-case basis.

207 *** Whichever is higher; ppm=parts per million (i.e., parts of a leachable per unit mass of the ophthalmic
208 drug product).

209
210 For individual unspecified degradation product or impurity limits that exceed the recommended
211 thresholds in the table above, OTC manufacturers should document identification and safety
212 information for the degradation product or impurity, and applicants should provide such
213 information in their application. Safety information should address both local ocular toxicity as
214 well as general systemic toxicity.

215 216 **B. BLAs**

217
218 For ophthalmic biological products, degradation products or product impurities can be controlled
219 by specific acceptance criteria at release and under storage based on historical ranges in pivotal
220 clinical trials. However, some ophthalmic biological products include product-related substances
221 (including some that form under storage) that retain biological activity. Moreover, individual
222 quantitation of each of these individual species may not always be technically feasible. For this
223 reason, impurity considerations for ophthalmic biological products should include product-
224 related substances in addition to degradation products and product-related impurities. Therefore,
225 for ophthalmic biological products, specifications should be established for attributes (e.g.,
226 charge variant profile) that are known to be reflective of the mixture of product-related
227 substances and product-related impurities. Other impurities, such as process impurities, can be
228 controlled by using (1) drug product release criteria based on risk assessments for each impurity
229 or impurity class (i.e., host cell proteins), and (2) historical process clearance. Applicants should
230 establish acceptance criteria for impurities, including leachables and process impurities, as

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231 required to control product quality, safety, and efficacy.¹⁵ Impurity amounts should be clearly
232 defined as a percentage of the active ingredient or in current conventional units for ophthalmic
233 biological products (e.g., milligram/milliliter (mg/mL), microgram/milliliter (µg/mL),
234 nanogram/milligram (ng/mg)).

235
236

V. IN VITRO DRUG RELEASE/DISSOLUTION TESTING FOR QUALITY CONTROL

239

240 The rate and extent of drug release from ophthalmic drug products are quality criteria that may
241 reflect aspects related to formulation and process variants that are important to control to ensure
242 consistent quality. One approach that applicants can consider as part of the quality control
243 strategy for certain ophthalmic dosage forms (e.g., suspensions, emulsions, semi-solids) is the
244 use of in vitro drug release/dissolution testing. Other approaches are also acceptable, such as
245 using one or more critical quality attributes (CQAs) that are sensitive to the formulation and
246 process variants. The applicant should provide scientific justification for how the control strategy
247 will ensure consistent product quality.

248

249

VI. CCS DESIGN AND DELIVERY AND DISPENSING CHARACTERISTICS

251

252 This section describes recommendations regarding design elements and delivery and dispensing
253 characteristics that applicants and OTC manufacturers should consider for ophthalmic drug
254 product CCSs. When the CCS that holds or contains an ophthalmic drug also delivers it, it may
255 also be a device constituent part and, together with the drug contained within, a combination
256 product (see 21 CFR 3.2(e)). Combination products are subject to the CGMP requirements under
257 21 CFR part 4, subpart A.¹⁶

258

A. CCS Design

260

1. Tamper-Evident Packaging

261

262

263 All containers of ophthalmic drugs must be sterile at the time of filling and closing and sealed to
264 prevent product use without destruction of the seal.¹⁷ Additionally, ophthalmic drugs that are
265 OTC drugs must comply with the tamper-evident packaging requirements of 21 CFR 211.132. If
266 the CCS has a nonretaining tamper-evident ring (e.g., collar or band) to seal the bottle and cap,
267 special care should be taken so that the ring does not detach from the bottle during use, which
268 could cause an eye injury. OTC drugs with tamper-evident rings should also include a positive-

¹⁵ See ICH guidance for industry *Q6B Specifications: Test Procedures and Acceptance Criteria for Biotechnological/Biological Products* (August 1999).

¹⁶ For further information, see the guidance for industry and FDA staff *Current Good Manufacturing Practice Requirements for Combination Products* (January 2017). See also the guidance for industry *Certain Ophthalmic Products: Policy Regarding Compliance With 21 CFR Part 4* (March 2022) for more information regarding ophthalmic drugs and biological products packaged with eye cups, eye droppers, or other dispensers.

¹⁷ See 21 CFR 200.50(a)(3).

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269 retention mechanism similar to those on disposable plastic beverage bottles to prevent the rings
270 from coming off during use.

271

272 2. *Tips*

273

274 For CCS designs in which the tip is sealed until opening, multistep procedures are discouraged
275 because a patient may touch and contaminate the tip with their hands while attempting to unseal
276 it. FDA recommends use of single-step procedures that involve simple directions and twisting
277 the cap without removing it.

278

279 3. *Torque Specifications*

280

281 Applicants and OTC manufacturers should consider the torque specifications for drug product
282 CCSs because some patients may have difficulties twisting off CCS caps that require extra effort
283 to open. FDA recommends that torque be low enough so that special populations, including the
284 elderly, can open caps without undue difficulty but high enough so that caps remain in place
285 during manufacturing, storage, shipping, and handling.

286

287 4. *Color Coding*

288

289 Color coding the caps of ophthalmic drug products is an effective tool in characterizing their
290 therapeutic class.¹⁸ FDA recommends that applicants and OTC manufacturers use a uniform
291 color-coding system as described in the American Academy of Ophthalmology's *Color Codes*
292 *for Topical Ocular Medications* policy statement.¹⁹

293

294 **B. Delivery and Dispensing Characteristics**

295

296 1. *Unit Dose Containers*

297

298 For all topical ophthalmic drug products,²⁰ FDA recommends that the maximum fill volume of a
299 unit dose (nonpreserved) container be no more than 0.5 mL for solutions, emulsions, and
300 suspensions. FDA also recommends that the maximum fill for a unit dose ointment or gel be no
301 more than 1 gram. Unit dose containers should not be able to be recapped.

302

303 2. *Multidose Containers*

304

305 a. Drop size

306

307 For all topical ophthalmic drug products,²¹ FDA recommends that the drop size in a multidose
308 CCS be between 20 and 70 microliters.

¹⁸ See guidance for industry *Safety Considerations for Container Labels and Carton Labeling Design to Minimize Medication Errors* (May 2022).

¹⁹ See <https://www.aaof.org/about/policies/color-codes-topical-ocular-medications>.

²⁰ See footnote 2.

²¹ *Ibid.*

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309
310 For ophthalmic drug products submitted for approval under an ANDA, applicants should
311 conduct a one-time drop volume/drop weight study to determine drop size during delivery or
312 dispensing. The drop size of the generic product should be within $\pm 10\%$ of the drop size for the
313 reference listed drug (RLD) and within the recommended drop size of 20 to 70 microliters. For
314 any deviations from the RLD, the ANDA applicant should provide a justification to demonstrate
315 that there will be a similar number of delivered doses as the RLD. ANDA submissions should
316 include information on the measurement of drop volume/drop weight and testing conditions,
317 such as the number of drops in the container and its holding angle during dosing.

b. Dose uniformity of suspension drug products

318
319
320 As recommended in USP General Chapter <771> *Ophthalmic Products—Quality Tests*, a
321 resuspendability/redispersibility test should be performed for all ophthalmic suspension drug
322 products. For multidose containers, data for a one-time dose-uniformity study (from top, middle,
323 and bottom of the container) should be provided from at least three pilot or exhibit batches to
324 demonstrate that the drug substance is uniformly dispersed and the labeled dose can be
325 consistently delivered throughout the shelf life. Alternatively, applicants may consider providing
326 data from development batches (such as investigational new drug batches) that represent the to-
327 be-marketed formulation to demonstrate dose uniformity.
328

329

330

VII. STABILITY

331

332

333 Manufacturers of drug products, including OTC manufacturers, must establish a program to
334 evaluate the stability of drug products and to use the results of the stability testing to determine
335 appropriate storage conditions and expiration dates (21 CFR 211.166). The following stability
336 recommendations should be considered when developing a stability testing program.²²

337

A. Container Orientation During Storage

338

339

340 The stability of ophthalmic drug products can be affected when they are stored under different
341 orientations. Before conducting primary stability studies, NDA applicants should conduct
342 preliminary development work²³ to evaluate storage conditions in two different orientations—an
343 upright position and either an inverted or horizontal position. Data from this preliminary work
344 should be used to capture and characterize differences in quality attributes, if any, and determine
345 the worst-case orientation. NDA applicants should use this worst-case orientation when
346 conducting stability tests using batches that represent the commercial manufacturing process.

347

²² For detailed information on the stability protocol, annual stability testing, and data reporting, refer to the FDA guidances for industry *Q1A(R2) Stability Testing of New Drug Substances and Products* (November 2003); *ANDAs: Stability Testing of Drug Substances and Products* (June 2013) and *ANDAs: Stability Testing of Drug Substances and Products, Questions and Answers* (May 2014). For BLA products, refer to the ICH guidance for industry *Q5C Quality of Biotechnological Products: Stability Testing of Biotechnological/Biological Products* (July 1996).

²³ See guidance for industry *INDs for Phase 2 and Phase 3 Studies: Chemistry, Manufacturing, and Controls Information* (May 2003).

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348 Products submitted under a BLA do not rely on preliminary development work to establish
349 storage conditions during stability. Rather, these products rely on primary stability studies,
350 frequently including process validation batches, to determine storage under real-time conditions.
351 Where interactions between a formulated liquid biological product and the CCS (other than
352 sealed ampules) cannot be excluded, applicants should place stability samples in an upright
353 position and in either an inverted or horizontal position (i.e., in contact with all CCS surfaces) to
354 determine the effect of all product-contact CCS components on product quality.²⁴
355

356 For products submitted for approval under an ANDA, applicants should place primary stability
357 batches in an upright position and either an inverted or horizontal position, and data from both
358 orientations should be provided in the original submission. The determination of worst-case
359 orientation from this comparison should be used to justify use of that orientation for routine
360 stability batches following approval.²⁵
361

362 OTC manufacturers must have a written stability testing program that includes the storage
363 conditions for samples retained for testing (see 21 CFR 211.166(a)(2)), and should generally
364 follow similar principles to determine the worst-case orientation for stability studies.
365

B. Water Loss

366
367
368 For ophthalmic drug products packaged in semipermeable CCSs, applicants and OTC
369 manufacturers should conduct a water loss test to assess the moisture transmission properties of
370 the CCS and the protective properties of any secondary packaging used. Where water loss testing
371 is conducted to comply with CGMP requirements, OTC manufacturers should document
372 information on the test methods and acceptance criteria used, and applicants should include such
373 information in their application (see 21 CFR 211.194(a)).
374

C. Freeze/Thaw Study for Emulsions and Suspensions

375
376
377 For ophthalmic drug products that are emulsions or suspensions, applicants and OTC
378 manufacturers should perform a one-time freeze/thaw thermal cycling study to evaluate the
379 effects of any high and low temperature variations that may be encountered during shipping and
380 handling, which could affect the quality and performance of the drug product.²⁶ FDA
381 recommends this study consist of three cycles, with temperatures cycling between freezing (-20
382 °C to 0 °C) and ambient (25 °C to 35 °C) temperatures for a cumulative minimum of 3 days.
383 Periodically throughout the study, and at the end of a predetermined number of cycles, the
384 samples should be analyzed for all quality attributes and compared with the control drug product.
385 Applicants that use alternative conditions and durations for their tests should provide a
386 justification for the test conditions used.

²⁴ See ICH guidance for industry *Q5C Quality of Biotechnological Products: Stability Testing of Biotechnological/Biological Products* (July 1996).

²⁵ See guidance for industry *ANDAs: Stability Testing of Drug Substances and Products Questions and Answers* (May 2014).

²⁶ See guidance for industry *Drug Stability Guidelines* (December 2008). This guidance was published by the Center for Veterinary Medicine, but FDA recommends that its thermal cycling study recommendations also be applied to drugs intended for human use.

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D. In-Use Stability Studies

In-use stability studies are used to determine expiration dates and support labeling claims for appropriate storage conditions that may change after opening, such as a change in temperature or light exposure (see 21 CFR 211.166, 21 CFR 211.137(b)). OTC manufacturers should document information on in-use stability studies, and applicants should submit such information in their application.

Under 21 CFR 211.137(h), OTC drugs that do not bear dosage limitations in their labeling and are stable for at least 3 years, as supported by appropriate stability data, are exempt from the expiration date labeling requirement. Accelerated testing programs can be appropriate to establish stability for the purposes of meeting this requirement.

VIII. GLOSSARY

Container closure system (CCS): For the purpose of this guidance, the CCS includes primary packaging components (e.g., bottles, drug-dispensing tips, tubes with liner, caps), secondary packaging components (e.g., overwrap), and tertiary packaging components (e.g., shipping boxes).

Critical quality attribute: “Physical, chemical, biological, or microbiological property or characteristic that should be within an appropriate limit, range, or distribution to ensure the desired product quality.”²⁷

Degradation product: “An impurity resulting from a chemical change in the drug substance brought about during manufacture and/or storage of the new drug product by the effect of, for example, light, temperature, pH, water, or by reaction with an excipient and/or the immediate container closure system.”²⁸

Extractables: “Organic and inorganic chemical entities that are released from a pharmaceutical packaging/delivery system, packaging component, or packaging material of construction and into an extraction solvent under laboratory conditions.”²⁹

Impurity: “Any component of the new drug product that is not the drug substance or an excipient in the drug product.”³⁰

Leachables: “Foreign organic and inorganic chemical entities that are present in a packaged drug product because they have leached into the packaged drug product from a packaging/delivery system, packaging component, or packaging material of construction under

²⁷ ICH guidance for industry *Q8(R2) Pharmaceutical Development* (November 2009).

²⁸ ICH Q3B(R2).

²⁹ USP General Chapter <1663>.

³⁰ ICH Q3B(R2).

Contains Nonbinding Recommendations

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428 normal conditions of storage and use or during accelerated drug product stability studies.”³¹

429

430 **Semipermeable CCS:** CCSs that permit the passage of solvent or foreign volatile materials
431 through the CCS wall.

432

433 **Specified degradation product:** “A degradation product that is individually listed and limited
434 with a specific acceptance criterion in the new drug product specification. A specified
435 degradation product can either be identified or unidentified.”³²

436

437 **Specified impurity:** An impurity that is individually listed and limited with a specific
438 acceptance criterion in the new drug substance specification. A specified impurity can be either
439 identified or unidentified.

440

441 **Unidentified degradation product:** “A degradation product for which a structural
442 characterization has not been achieved and that is defined solely by qualitative analytical
443 properties (e.g., chromatographic retention time).”³³

444

445 **Unidentified impurity:** An impurity for which a structural characterization has not been
446 achieved and is defined solely by qualitative analytical properties (e.g., chromatographic
447 retention time).

448

449 **Unspecified degradation product:** “A degradation product that is limited by a general
450 acceptance criterion, but not individually listed with its own specific acceptance criterion, in the
451 new drug product specification.”³⁴

452

453 **Unspecified impurity:** An impurity that is limited by a general acceptance criterion but not
454 listed with its own specific acceptance criterion in the new drug substance specification.

³¹ USP General Chapter <1664>.

³² ICH Q3B(R2).

³³ Ibid.

³⁴ Ibid.