U.S. FOOD & DRUG ADMINISTRATION

VIA E-MAIL

Celgene
Attention: Anne McClain
Senior Manager
Clinical Trial Disclosure
86 Morris Avenue
Summit, New Jersey 07901
amcclain@celgene.com
(b) (6)

Re: Submission of Clinical Trials Results Information Pursuant to 42 U.S.C. 282(j)
FDA Reference Number: CDER-2021-110
NCT027047734

Dear Ms. McClain:

Based on an initial review of Food and Drug Administration (FDA) records, information from the ClinicalTrials.gov data bank operated by the National Library of Medicine (a part of the National Institutes of Health), and any available public information, it appears that Celgene is the "responsible party"[1] for the above-identified clinical trial, which appears to be an "applicable clinical trial"[2] subject to the requirements of section 801 of the Food and Drug Administration Amendments Act of 2007, including its implementing regulations in 42 CFR part 11. A responsible party for an applicable clinical trial is required to submit to the ClinicalTrials.gov data bank certain results information for the clinical trial; such results information generally must be submitted no later than one year after the primary completion date of the applicable clinical trial (hereafter, the *standard submission deadline*).[3]

Pursuant to 42 CFR 11.44(c), a responsible party may submit a certification for delayed submission of results information for an applicable clinical trial that studies an FDA-regulated

[1] *See* section 402(j)(1)(A)(ix) of the Public Health Service Act (PHS Act) (42 U.S.C. 282(j)(1)(A)(ix)) and 42 CFR 11.10 for the definition of "responsible party."
[2] *See* section 402(j)(1)(A)(i)-(iii) of the PHS Act (42 U.S.C. 282(j)(1)(A)(i)-(iii)) and 42 CFR 11.10 for definitions of "applicable clinical trial."
[3] *See* section 402(j)(3)(E) of the PHS Act (42 U.S.C. 282(j)(3)(E)) and 42 CFR part 11, subpart C for results information submission requirements.

drug product that was not approved by FDA for any use before the primary completion date and for which the sponsor intends to continue with product development and is either seeking, or may at a future date seek, FDA approval of the drug product. However, in order to be timely, any such certification for delayed submission of results information must be submitted before the standard submission deadline. If a timely certification for delayed submission of results information is submitted under 42 CFR 11.44(c)(1), then the deadline for submitting results information is 30 calendar days after the earlier of the date on which FDA approves the drug product for any use that is studied in the applicable clinical trial, or the date on which the marketing application or premarket notification is withdrawn without resubmission for not less than 210 calendar days. With such certification for delayed submission of results information, the responsible party must submit results information not later than two years after the date on which the certification was submitted, except to the extent that 42 CFR 11.44(d) applies.

FDA has identified potential noncompliance related to Part B of the above-identified clinical trial, titled "A Phase 2/3, Multi-center, Randomized, Double-blind, Placebo-controlled (Part A) and Double-blind, Double-dummy, Active-controlled (Part B), Parallel Group Study to Evaluate the Efficacy and Safety of RPC1063 Administered Orally to Relapsing Multiple Sclerosis Patients," an interventional 24-month clinical trial evaluating the efficacy and safety of the FDA-regulated product RPC1063 (later approved as Zeposia® (ozanimod) capsules) and active comparator Interferon β-1a. It appears that results information for the referenced applicable clinical trial has not been submitted to the ClinicalTrials.gov data bank. We acknowledge that you submitted a certification for delayed submission of results information on April 4, 2018; however, your certification for delayed submission was submitted eight days after the standard results submission deadline and therefore, under 42 CFR 11.44(c), it does not appear to have been timely. Even if you had submitted a timely certification for delayed submission of results information, FDA approved the drug product studied in the above-identified applicable clinical trial on March 25, 2020, and therefore, it appears that the required results information is overdue.

Your company should review its records of this clinical trial and determine whether your company submitted all required results information. If your company determines that results information is required and due for this clinical trial, please submit the results information promptly.

Failure to submit clinical trial information required under section 402(j) of the Public Health Service Act (PHS Act) (42 U.S.C. 282(j)), including information required under the regulations found in 42 CFR part 11, is a prohibited act under section 301(jj)(2) of the Federal Food, Drug, and Cosmetic Act (FD&C Act) (21 U.S.C. 331(jj)(2)). FDA intends to further review and assess the above-described clinical trial beginning 30 calendar days after you receive this letter. If FDA determines that your company is not in compliance with all applicable requirements of

section 402(j) of the PHS Act (42 U.S.C. 282(j)) and 42 CFR part 11, your company may receive from FDA a Notice of Noncompliance,[4] and FDA may thereafter initiate an administrative action seeking a civil monetary penalty.[5] In addition to civil monetary penalties, violations of section 301(jj) of the FD&C Act (21 U.S.C. 331(jj)) could result in other regulatory action, such as injunction and/or criminal prosecution.

As requested, please review your company's clinical trial records and submit any required results information to the ClinicalTrials.gov data bank. You can access the ClinicalTrials.gov website at https://register.clinicaltrials.gov. If you have any questions about this letter, you may call Mark S. Miller, Pharm.D., at (301) 796-2798. Please have the FDA reference number provided at the top of this letter available when you call. Alternatively, you may e-mail him at CDER-OSI-Advisory@fda.hhs.gov. Please include the FDA reference number with any e-mail communications.

We request that you submit a written response to FDA within 30 calendar days after you receive this letter, stating the actions that you have taken in response to this letter. If you believe that your company has complied with applicable requirements, please provide us with your reasoning and include any supporting information for our consideration. Please direct your response to the address below and include the FDA reference number in all correspondence relating to this matter.

[4] *See* section 402(j)(5)(C)(ii) of the PHS Act (42 U.S.C. 282(j)(5)(C)(ii)).

[5] Pursuant to section 303(f)(3)(A) of the Federal Food, Drug, and Cosmetic Act (FD&C Act) (21 U.S.C. 333(f)(3)(A)), "[a]ny person who violates section 301(jj) [of the FD&C Act (21 U.S.C. 331(jj))] shall be subject to a civil monetary penalty of not more than $10,000 for all violations adjudicated in a single proceeding." Moreover, section 303(f)(3)(B) of the FD&C Act (21 U.S.C. 333(f)(3)(B)) provides that "[i]f a violation of section 301(jj) [of the FD&C Act (21 U.S.C. 331(jj))] is not corrected within the 30-day period following notification under section 402(j)(5)(C)(ii) [of the PHS Act (42 U.S.C. 282(j)(5)(C)(ii))], the person shall, in addition to any penalty under subparagraph (A), be subject to a civil monetary penalty of not more than $10,000 for each day of the violation after such period until the violation is corrected." These civil monetary penalty amounts reflect the amounts found in the statute. These amounts are updated annually to reflect inflation, as required by the Federal Civil Penalties Inflation Adjustment Act of 1990 (Pub. L. No. 101-410, 104 Stat. 890 (1990) (codified as amended at 28 U.S.C. 2461, note 2(a)), as amended by the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015 (Sec. 701 of the Bipartisan Budget Act of 2015, Pub. L. No. 114-74, November 2, 2015). For the most up-to-date amounts, please see 45 CFR 102.3.

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Sincerely yours,

{See appended electronic signature page}

David C. Burrow, Pharm.D., J.D.
Director
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This is a representation of an electronic record that was signed electronically. Following this are manifestations of any and all electronic signatures for this electronic record.

/s/

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