

## Significantly Regulated Organizations Removed - December 2022

| DUNS NUMBER | BUSINESS NAME   | COUNTRY NAME | TICKER SYMBOL | EXCHANGE NAME                        |
|-------------|---|--------------|---------------|--------------------------------------|
| 221210717   | DIURNAL GROUP PLC   | WALES        | DNL           | LONDON STOCK EXCHANGE (LON)          |
| 555272956   | TRANSPORT AND INDUSTRY DEVELOPMENT INVESTMENT JOINT STOCK COMPANY | VIETNAM      | TCD           | HO CHI MINH STOCK EXCHANGE           |
| 555235913   | THUA THIEN HUE CONSTRUCTION JOINT STOCK CORPORATION               | VIETNAM      | HUB           | HO CHI MINH STOCK EXCHANGE           |
| 555272640   | TECHNO-AGRICULTURAL SUPPLYING JOINT STOCK COMPANY                 | VIETNAM      | TSC           | HO CHI MINH STOCK EXCHANGE           |
| 555390957   | TDG GLOBAL INVESTMENT JOINT STOCK COMPANY                         | VIETNAM      | TDG           | HO CHI MINH STOCK EXCHANGE           |
| 555290261   | NAMVIET JOINT - STOCK COMPANY                                     | VIETNAM      | ANV           | HO CHI MINH STOCK EXCHANGE           |
| 555305042   | HCD INVESTMENT PRODUCING AND TRADING JOINT STOCK COMPANY          | VIETNAM      | HCD           | HO CHI MINH STOCK EXCHANGE           |
| 555445912   | F.I.T GROUP JOINT STOCK COMPANY                                   | VIETNAM      | FIT           | HO CHI MINH STOCK EXCHANGE           |
| 555295157   | DONGHAI JOINT STOCK COMPANY OF BENTRE                             | VIETNAM      | DHC           | HO CHI MINH STOCK EXCHANGE           |
| 555273585   | DEVELOPMENT INVESTMENT CONSTRUCTION JOINT STOCK COMPANY           | VIETNAM      | DIG           | HO CHI MINH STOCK EXCHANGE           |
| 555337901   | 577 INVESTMENT CORPORATION  | VIETNAM      | NBB           | HO CHI MINH STOCK EXCHANGE           |
| 555333969   | VINHPHUC INFRASTRUCTURE DEVELOPMENT STOCK COMPANY                 | VIETNAM      | IDV           | HANOI STOCK EXCHANGE                 |
| 555315546   | VINACOMIN NUIBE COAL JOINT STOCK COMPANY                          | VIETNAM      | NBC           | HANOI STOCK EXCHANGE                 |
| 555332621   | TELECOMMUNICATIONS INDUSTRY ELECTRONICS JOINT STOCK COMPANY       | VIETNAM      | TIE           | HANOI STOCK EXCHANGE                 |
| 555305902   | TDT INVESTMENT AND DEVELOPMENT JOINT STOCK COMPANY                | VIETNAM      | TDT           | HANOI STOCK EXCHANGE                 |
| 555319875   | SONG DA NO 11 JOINT STOCK COMPANY                                 | VIETNAM      | SJE           | HANOI STOCK EXCHANGE                 |
| 555303337   | SAO THANG LONG INVESTMENT JOINT STOCK COMPANY                     | VIETNAM      | DST           | HANOI STOCK EXCHANGE                 |
| 555304039   | SAIGON HOTEL CORPORATION  | VIETNAM      | SGH           | HANOI STOCK EXCHANGE                 |
| 555295524   | PHUONG DONG PETROLEUM TOURISM JOINT STOCK COMPANY                 | VIETNAM      | PDC           | HANOI STOCK EXCHANGE                 |
| 555346100   | PETROVIETNAM OIL PHU YEN JOINT STOCK COMPANY                      | VIETNAM      | PPY           | HANOI STOCK EXCHANGE                 |
| 555528816   | MBG GROUP JOINT STOCK COMPANY                                     | VIETNAM      | MBG           | HANOI STOCK EXCHANGE                 |
| 555343446   | LAM THAO FERTILIZERS AND CHEMICALS JOINT STOCK COMPANY            | VIETNAM      | LAS           | HANOI STOCK EXCHANGE                 |
| 555242481   | KIM VI INOX IMPORT EXPORT PRODUCTION JOINT STOCK COMPANY          | VIETNAM      | KVC           | HANOI STOCK EXCHANGE                 |
| 555439320   | HANOI ENTERTAINMENT SERVICES CORPORATION                          | VIETNAM      | HES           | HANOI STOCK EXCHANGE                 |
| 555530381   | HA NOI INVESTMENT GENERAL CORPORATION                             | VIETNAM      | SHN           | HANOI STOCK EXCHANGE                 |
| 555295156   | CAN THO MINERAL AND CEMENT JOINT STOCK COMPANY                    | VIETNAM      | CCM           | HANOI STOCK EXCHANGE                 |
| 555277073   | ARCHITECTS & CONSTRUCTION SERVICE CORPORATION                     | VIETNAM      | ACS           | HANOI STOCK EXCHANGE                 |
| 159419808   | Hot Mama's Foods, Inc.  | USA          | HOTF          | OTHER OTC Market                     |
| 617527713   | Calumet Specialty Products Partners LP                            | USA          | CLMT          | NASDAQ                               |
| 659724967   | BIG C SUPERCENTER PUBLIC COMPANY LIMITED                          | THAILAND     | BGCUF         | OTHER OTC Market                     |
| 366415628   | SUNCE DOO BEOGRAD-ZEMUN   | Serbia       | SNCE          | BELGRADE STOCK EXCHANGE              |
| 360304684   | SARANSKI RIBNJAK RM MIKA ALAS DOO BEOGRAD                         | Serbia       | MKAL          | BELGRADE STOCK EXCHANGE              |
| 645507661   | ENGRO POLYMER AND CHEMICALS LIMITED                               | PAKISTAN     | EPCL          | KARACHI STOCK EXCHANGE               |
| 345295895   | Quantafuel ASA  | NORWAY       | QFUEL         | OSLO STOCK EXCHANGE                  |
| 688309967   | PARTRON CO., LTD.   | KOREA REP OF | 091700        | KOREA EXCHANGE (KOSDAQ)              |
| 687796276   | ISU Chemical Co., Ltd.  | KOREA REP OF | 005950        | KOREA EXCHANGE (KOSDAQ)              |
| 687894469   | GS Global Corp.   | KOREA REP OF | 001250        | KOREA EXCHANGE (KOSDAQ)              |
| 687797233   | Bolak Company Limited.  | KOREA REP OF | 002760        | KOREA EXCHANGE (KOSDAQ)              |
| 428460604   | ELICA SPA   | ITALY        | ELC           | ITALIAN SE (MERCATO CONTINUO ITALIA) |
| 532390056   | ARCTURUS THERAPEUTICS LTD   | ISRAEL       | ARCT          | NASDAQ                               |
| 525317137   | IMPERIAL BRANDS PLC   | ENGLAND      | IMB           | LONDON STOCK EXCHANGE (LON)          |
| 529430349   | Eoptolink Technology Inc., Ltd.                                   | CHINA        | 300502        | SHENZHEN STOCK EXCHANGE              |
| 421231382   | Xuelong Group Co.,Ltd.  | CHINA        | 603949        | SHANGHAI STOCK EXCHANGE              |
| 543465976   | Xi'an Bright Laser Technologies Co., Ltd.                         | CHINA        | 688333        | SHANGHAI STOCK EXCHANGE              |
| 544046315   | Natural Destiny Inc.  | CHINA        | NDES          | OTHER OTC Market                     |
| 545370132   | Hainan Yangpu New Special Drugs Co., Ltd.                         | CHINA        | 460           | HONG KONG EXCHANGES AND CLEARING LTD |
| 255379661   | Allon Therapeutics Inc.   | CANADA       | NPCUF         | OTHER OTC Market                     |
| 750093911   | GUARDIAN MEDICAL SOLUTIONS LIMITED                                | AUSTRALIA    | QRXP          | OTHER OTC Market                     |