Generally Recognized as Safe (GRAS) Determination for the Use of Yeast Hydrolysate Peptide Complex (DNF-10) as a Food Ingredient.

November 2, 2021

Prepared for:	Office of Food Additive Safety (FHS-200) Center for Food Safety and Applied Nutrition Food and Drug Administration 5100 Campus Drive College Park, MD 20740
Prepared by:	Chevreau Consulting LLC and Fytexia Corp.

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GRAS Notice for Yeast Hydrolysate Peptide Complex (DNF-10)

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I. Part 1 - §170.225 Signed statements and Certification

In accordance to 21 CFR §170 Subpart E consisting of §170.203 through 170.285, Fytexia Corporation hereby informs the US Food and Drug Administration (FDA) that a Yeast Hydrolysate Peptide Complex manufactured by Cremar under the trade name of DNF-10 is not subject to the premarket approval requirements of the Federal Food, Drug, and Cosmetic Act. This is based on Fytexia's view that the notified substance is Generally Recognized as Safe (GRAS) under the conditions of its intended use described in Section I.D, below. In addition, as a responsible official of Fytexia Corp, Dr. Nathalie Chevreau hereby certifies that all data and information presented in this notice constitute a complete, representative, and balanced submission which considered all unfavorable, as well as favorable information, known to Fytexia and pertinent to the evaluation of the safety and the GRAS status of the yeast hydrolysate peptide complex for addition to foods as described herein.

Signed,

Nathalie Chevreau PhD, RD Principal Chevreau Consulting LLC nchevreau@ncphd.net Nov 2, 2021 Date

A. GRAS Notice Submission

Fytexia Corp submits this GRAS notification through its agent Dr Nathalie Chevreau PhD, RD, owner of Chevreau Consulting LLC in accordance with the requirements of 21 CFR Part 170, Subpart E.

B. Name and Address of the Notifier
Chevreau Consulting LLC
2151 E Logan Avenue
Salt Lake City, UT 84108
(801) 652 6035

C. Common or usual name of notified substance The common name is Yeast Hydrolysate Peptide Complex The trade name of the products is DNF-10

D. Conditions of Use

DNF-10 or yeast hydrolysate peptide complex is intended to be added as a food ingredient to a variety of food products targeted to adults. It is not intended to be added to infant formula or foods targeted to children. The intended use level is 250 mg or less of DNF-10 by serving. Intended food applications include but are not limited to:

Snacks (e.g. chips, ready to eat popcorns, crackers) Protein or nutritional bars Dry mixes for beverage blends (e.g. smoothies, protein powder mixes, etc.)

E. Basis for GRAS

Pursuant to 21 CFR § 170.30 (a) and (b) of the Code of Federal Regulations (CFR), the Yeast Hydrolysate Peptide Complex manufactured by Cremar and licensed and distributed by Fytexia Corp, has been concluded to have GRAS status for use as an ingredient for addition to specified conventional food and beverage products, as described in Part 1.D, on the basis of scientific procedures.

A comprehensive assessment of scientific (human and animal, quantitative and qualitative) literature and regulatory resources were consulted for this review. The safety of DNF-10 is supported based on its intended use. Data and information were gathered from a critical and comprehensive review of the scientific literature on the safety of Saccharomyces Cerevisiae type yeast (baker's and Brewer's yeast) and their extracts/derivatives through searches of PubMed, FDA dockets, internet searches, availability in foreign markets etc. In addition, the product was subjected to extensive physical and chemical analysis. Baker's and Brewer's yeast and their derivatives are an important part of the human diet in many countries and have been consumed since ancient times as fermenting agents, and flavoring agents. Additional yeast has a nutritional value naturally high in protein, fiber, vitamins, and minerals. Based on a

critical evaluation of the information presented below by a qualified expert, it was concluded that the proposed use of DNF-10 as a food ingredient is GRAS.

F. Premarket Exempt Status

DNF-10 is not subject to the premarket approval requirements of the Federal Food, Drug, and Cosmetic Act (FFD&CA) based on the conclusion that the notified substance is GRAS under the conditions of intended use.

G. Availability of Information

The data and information that serve as the basis for this GRAS Notification will be made available to the FDA for review and copying upon request during business hours at the offices of: Fytexia, c/o Pramex International Corp, 1251 Avenue of the Americas, FL 3, New York, NY 10020-1104. In addition, should the FDA have any questions or additional information requests regarding this notification during or after the Agency's review of the notice, Fytexia will supply these data and information.

H. Freedom of Information Act, 5 U.S.C. Section 552

It is Fytexia's view that all data and information presented in parts 2 through 7 of this notice do not contain any trade secret, commercial, or financial information that is privileged or confidential, and therefore all data and information presented herein are not exempt from the Freedom of Information Act, 5 U.S.C. Section 552.

I. FSIS Statement

Not applicable. Fytexia does not intend to add DNF-10 to meat or poultry products or foods that come under USDA jurisdiction.

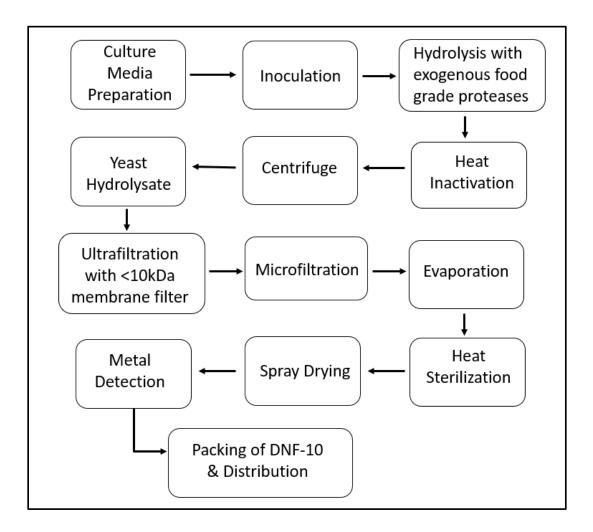
II. PART 2 - §170.230 Identity, Method of Manufacture, Specifications, and Physical or Technical Effect

A. Identity

The source of the Yeast Hydrolyzed Peptide Complex is a food grade Saccharomyces *cerevisiae* yeast (ATCC strain 208288) which has not been genetically modified. It is referenced as Sake Yeast strain NBRC 2346 by the National Institute of Technology and Evaluation (NITE is an Incorporated Administrative Agency under the Japanese government).

B. Manufacturing Process

The yeast hydrolyzed peptide complex DNF-10 is manufactured consistent with current good manufacturing practices (cGMP) at 2 facilities located in the town of Ksan-Si, South Korea. Both facilities are ISO 22000 certified. A basic overview of the manufacturing process is illustrated on the flow chart below:



Step 1: Raw materials are received from verified suppliers. Saccharomyces cerevisiae Sake Strain NBRC 2346 is incubated in a typical liquid growth medium for 3 days at 30° C.

Step 2: Food grade proteases are added to the yeast suspension to hydrolyze the proteins contained in the yeast cells. The hydrolysis is run at 30^oC for 4 hours. The proteases are a blend of i) aminopeptidase that is made from aspergillus oryzae; ii) Subtilisin made from Bacillus licheniformis and iii) papain extracted from the latex of the immature papain plant. All the proteases have been affirmed as generally recognized as

GRAS in part 184 of the 21 CFR, Chapter I, sub chapter B. (184.1027; 184.1150; 184.1585)

Step 3: The mixture is then heat-treated at 85°C for 30 min to inactivate the yeast cells and the food enzymes.

Step 4: The mixture is centrifuged to collect the supernatant which is passed through a 10 kDa molecular weight cut-off membrane. Proteases have all a molecular weight greater than 10 kDa and will not be present in the supernatant (Papain MW=23 kDa, Subtisilin MW=27 KDa; Flavourzyme 1000L 26.5 KDa)

Step 5: The supernatant is passed through a microfilter and concentrated through an evaporator. The yeast hydrolysate peptide concentrate is then heat sterilized at 90°C for 25 minutes.

Step 6: The sterilized concentrate is spray dried on a maltodextrin support to yield a yellow to light brown powder containing the final yeast hydrolysate peptide complex. The powder is run through a metal detector, through a 40-mesh sieve and finally packaged for distribution.

C. Product specifications for DNF–10 (Yeast Hydrolysate Peptide Complex) Appropriate specification for DNF-10 to be used as a food and in foods have been established. Analyses of 3 non-consecutive lots of DNF-10 demonstrate that the product is consistently manufactured to comply with established specifications. The specification parameters comprise physical appearance, taste, crude protein level derived from total nitrogen content as well as limits for potential chemical and microbiological impurities and contaminants. The results are presented in the Table A below

	Specification	Method	Batch #191107	Batch #190610	Batch #180914
	Physical a	nd Chemical Parameters	;		
Color/Appearance	Yellow to light brown	Visual against standard	Conforms	Conforms	Conforms
Odor	Slightly yeasty	Sensory	Conforms	Conforms	Conforms
Taste	Slightly yeasty	Organoleptic	Conforms	Conforms	Conforms
Crude Protein Content % (total nitrogen content x 6.25)	50-70	Kjeldahj	55.27	58	57.6
Carbohydrates (%)	28-33	By difference	38.4	35.1	36.2
Ash (%)	≤ 3	Residue upon ignition	2.6	2.4	2.5
Moisture (%)	≤ 8	Loss on drying at 105°C	3.92	4.5	4.3
	Microb	biological Parameters		•	
Total aerobic microbial count	≤ 3,000 CFU/g	ISO 4833-1	150	300	200
Total yeasts and mold count	≤ 50 CFU/g	ISO 21527-2	0	0	0
Coliforms	Absent in 1 g	ISO 4831	Absent	Absent	Absent
Staphylococcus aureus	Absent in 10 g	ISO 6888	Absent	Absent	Absent

Table A: Specifications and Batch Record Results for DNF-10

Salmonella	Absent in 20 g	ISO 6579	Absent	Absent	Absent	
Impurities						
Total heavy metals	< 10 ppm	Colorimetry	< 1	< 1	< 1	
Arsenic (LOQ=0.07 ppm)	< 1 ppm	ICP-Inductively coupled plasma spectrometry	ND	0.01	0.07	
Cadmium (LOQ=0.009 ppm)	< 1 ppm	ICP spectrometry	0.021	0.01	ND	
Mercury	< 0.1 ppm	Mercury analyzer	ND	ND	ND	
Lead (LOQ=0.001 ppm)	< 0.5 ppm	ICP spectrometry	ND	0.01	0.01	

Peptide size, amino acids and peptide distribution in the yeast hydrolysate peptide complex were measured by size exclusion chromatography (also known as gel permeation chromatography). The results are presented in the table below (Table B)

Table B: Distribution in Yeast Hydrolysate Peptide Complex							
		Percent	Peptides in DI	NF10			
Peptides (size range)	Batch DNF181026	Batch 20170504	Batch 20170831	Batch 20180130	Average of 4 lots		
Free Amino Acids	36.94	39.25	35.18	40.17	38.08		
Peptides between 3 and 7 AA	9.83	6.37	9.77	6.35	8.12		
Peptides between 8 and 9 AA	0.42	0.27	0.50	0.24	0.36		
Peptides between 10 and 28 AA	0.52	0.27	0.59	0.28	0.42		
Peptide > 29 AA	0.00	0.00	0.00	0.00	0.00		

D. Amino Acids Profile

The average amino acid and nitrogen content from nucleic acid in DNF-10 was calculated using the results of the analysis of 4 batches of DNF-10, and is presented in Table C.

Table C: Amino Acid Content of Yeast Hydrolysate Peptide complex							
Amino Acid	Batch 181026 (g/100 g DNF-10)	Batch 170504 (g/100 g DNF-10)	Batch 170831 (g/100 g DNF-10)	Batch 181030 (g/100 g DNF- 10)	Average of 4 lots (g/100 g DNF-10)		
Glutamic Acid	7.4	7.0	8.2	7.9	7.6		
Aspartic Acid	5.1	5.3	5.3	5.2	5.2		
Alanine	4.5	4.3	4.0	4.5	4.3		
Nitrogen from nucleic acids	4.1	5.2	7.3	4.4	5.3		
Lysine	3.9	3.8	4.0	4.1	3.9		
Leucine	3.8	3.7	3.4	3.5	3.6		
Isoleucine	2.9	3.1	3.1	3.0	2.7		
Glycine	2.8	2.7	2.7	2.7	2.5		

Threonine	2.4	2.5	2.7	2.5	2.4
Phenylalanine	2.5	2.8	1.9	2.4	2.2
Serine	2.4	2.2	2.1	2.1	2.07
Proline	2.2	2.3	1.7	2.2	2.0
Arginine	2.1	2.0	2.1	2.1	1.4
Valine	2.0	0.9	1.4	1.5	1.1
Histidine	1.0	1.1	1.1	1.1	1.1
Tyrosine	0.8	0.8	0.7	0.5	0.7
Methionine	0.8	0.5	0.6	0.5	0.6
Cysteine/Cystine	0.7	0.7	0.5	0.6	0.6
Tryptophan	0.5	0.6	0.6	0.6	0.5
Hydroxyproline	0.0	0.0	0.0	0.0	0.0
GABA	5.4	7.7	7.0	7.6	6.9

E. Stability

Accelerated and real-time stability studies have been conducted on 5 nonconsecutive batches of DNF-10. DNF-10 (stored in paper bags with polyethylene liners) is stable for at least 36 months under standardized conditions (25°C and 60% relative humidity) and at least 36 months under warehouse (ambient) conditions. Although variations in color and moisture content were reported upon storage of DNF-10 under accelerated storage conditions (40°C and 75% relative humidity), measurements of the crude protein content, moisture and E. Coli remained within the defined specs. Together, these data support the proposed shelf-life of 36 months for DNF-10.

- F. Labeling and storage information
- 1. Label declaration

The name to appear on the label will be:

Yeast hydrolysate peptide complex (from Saccharomyces cerevisiae)

2. Packaging

10 kg packages with polyethylene (PE) inner lining and aluminum outer lining.

3. Storage Conditions

Product should be stored in a cool, dry location, and in the original sealed package and avoiding direct light.

4. Shelf Life

The peptide content of this product is stable under accelerated conditions

III. Part 3 - §170.235 Dietary Exposure

A. Intended Uses for DNF-10

The yeast hydrolysate peptide complex (DNF-10) is intended to be used as an ingredient in selected conventional foods, dry beverage blends like protein powder, nutritional bars at a level of 250 mg or less per serving or less. The serving size will typically be the reference amounts customarily consumed per eating occasion (RACC) DNF-10 is not intended for use in infant formula, meat, poultry, egg products, catfish or any products that would require additional regulatory review by USDA. It is also not intended to be used in beverages containing alcohol, or in beverage intentionally marketing to children. A summary of the intended uses is shown in table D below.

Table D: Summary of the individual Proposed Food Uses and Use Levels for Yeast Hydrolysate Peptide Complex (DNF-10)

Proposed Food Use	RACC* (g)	DNF-10 level (mg/serving)	Use Level (%)
Popcorn ^a	30	250	0.83%
Salted chips	30	250	0.83%
Crackers ^b	30	250	0.83%
Nutritional bars ^c (granola, protein, breakfast)	40	250	0.63%
Protein dry powder (to be mixed with water before consumption)	20	250	1.25%

*RACC: Reference amounts customarily consumed per eating occasion (Federal Register Vol 81 No103/Friday May 27 2016/Rules and Regulations¹)

^a 2 cups air-popped popcorn without butter weights 17 grams on average.

^b A single serve bag of chip typically weighs 1 oz (28 g). Family size bags are typically 10 oz (283 g)

[°] Typical nutritional energy bars weight 40 g on average. Protein bars weigh 50 g on average.

B. Estimated Exposure of DNF-10 based on Selected Foods Daily Intake The cumulative estimated exposure of DNF-10 was calculated by 1) estimating the current consumption of selected food categories, 2) applying the intended use level of

¹ <u>https://www.govinfo.gov/content/pkg/FR-2016-05-27/pdf/2016-11865.pdf#page=42</u>

DNF-10 to these food categories and 3) applying a probabilistic model to determine the cumulative eaters-only intake of DNF-10.

USDA NHANES² survey data were used to estimate current consumption of selected foods shown in table above (Table D). NHANES is a major program of the National Center for Health Statistics (NCHS) which is part of the Centers for Disease Control and Prevention (CDC). NHANES has the responsibility for producing vital and health statistics for the US and interviews about 5000 individuals per year. The database included dietary intake and food frequency surveys and can be queried for specific food categories and consumed amounts per age, gender and ethnic groups. The consumption of the selected food was estimated using a dataset that combined the NHANES surveys from 1999 to 2002, and from 2003 to 2016. The dataset contains consumption data for 92,062 individuals. Food codes were selected that represented the intended food categories and are summarized in Appendix I. The daily consumption for each food category is shown in table E below.

Table E:

Food Category	N of eaters out of 92,062 surveyed individuals (2+ years old)	% Eaters (N/92,062)	Mean consumption of food (g/p/d)	90 th ile (g/p/d)
Popcorn	1153	1.25%	35	83.1
Chips	5565	6.04%	35.2	64
Crackers	3005	3.26%	26.1	52
Bars	551	0.60%	41.6	65
Protein powder	206	0.22%	60	131.4

Next, the overall dietary exposure from the use of DNF-10 in all of the proposed food categories was calculated using a probabilistic modeling. It was estimated using the method described in FDA guidance document for estimating dietary exposure (<u>https://www.fda.gov/regulatory-information/search-fda-guidance-documents/guidance-industry-estimating-dietary-intake-substances-food</u>).

² NHANES: National Health and Nutrition Examination Survey. <u>https://wwwn.cdc.gov/nchs/nhanes/Default.aspx</u>

Briefly, in order to estimate an overall exposure from use in all food categories, the mean exposures for each food category are converted to a total sample mean intake (TSMI) by multiplying a given food category amount by the percent eaters. (Table F column 7). The total sample means for each food category can then be summed and the percent eaters for the overall exposure can be determined. A pseudo 90th percentile exposure can be estimated by multiplying the overall mean exposure by 2.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
Foods	N of eaters (2+ years old)	% Eaters (N/92,062)	Mean consumption of food (g/p/d)	Level of DNF- 10 in food (%)	Grams/p/d of DNF-10 consumed by eaters only	Total Sample Mean Intake (TSMI) in g/p/d of DNF-10
Popcorn	1153	1.25%	35	0.83%	0.2905	0.00364
Chips	5565	6.04%	35.2	0.83%	0.29216	0.01766
Crackers	3005	3.26%	26.1	0.83%	0.21663	0.00707
Bars	551	0.60%	41.6	0.63%	0.26208	0.00157
Protein powder	206	0.22%	60	1.25%	0.75	0.00168

Table F:

The cumulative TSMI of DNF-10 was calculated by summing the TSMIs of DNF-10 in each food category and equaled 0.0316 grams of DNF-10 per person per day.

The percent eaters of any or all the foods in which DNF-10 is used was calculated as follows:

%Eaters={1-[1-eaterspopcorn)x(1-eaterschips)x(1-eaterscrackers)x(1-eatersbars)x(1-eaterspopcorn)} 100

where "eaters " is the fraction of the population that consumes a given food; and "(1 - eaters)" is the fraction of "non-eaters" for that food. Subtraction of the fraction of "non-eaters" of the foods from unity gives the fraction of eaters of any or all of the foods. This relationship assumes no correlation between the consumption of any or all the foods in which DNF-10 is used.

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%Eaters=\{1-[1-0.0125)x(1-0.0604)x(1-0.0326)x(1-0.006)x(1-0.0022)]\}x100 = 10.99\%
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The cumulative eaters-only mean intake of DNF-10 was obtained by dividing the cumulative TSMI for DNF-10 by the percent eaters (0.0316 / 10.99% = 0.2875 g/p/d) (287.5 mg/p/d)

Finally, the approximate cumulative eaters-only intake of DNF-10 at the 90th percentile was obtained by multiplying the cumulative eaters-only mean intake by two. This cumulative eaters-only pseudo-90thile intake of DNF-10 equals 0.575 g/p/d (575 mg/p/d).

C. Current Exposure to other yeast-derived products

Throughout history, yeasts from the genus Saccharomyces cerevisiae and yeast derivatives have been consumed in the human diet for many years. Yeast has been used as a leavening agent in bread making, in industries such as brewing and winemaking. Its extract has been used as a flavoring agent. Inactivated yeast cells or yeast biomass left over from beer brewing or bread making have been used for animal feed and nutritional supplements for humans.

Dried *S. cerevisiae* yeast appears in FDA regulation as food additive (21 CFR Chapter 1, subchapter B, Subpart I §172.896)[1]. It is permitted for direct addition to food for human consumption with the annotation "may be safely used in food provided the total folic acid content of the yeast does not exceed 0.04 milligram per gram of yeast" (approximately 0.008 milligram of pteroyglutamic acid per gram of yeast).[1] Baker's <u>yeast protein</u> is in the category of Special Dietary and Nutritional Additives permitted for direct addition to food for human consumption (21 CFR Subpart D, 172.325)[2]. An extract made from partial hydrolysis of *S. cerevisiae* yeast can be used as a flavoring agent (21 CFR Subpart B §172.590)[3]. Additionally, Baker's <u>yeast extract</u>, meeting appropriate food-grade specifications, is considered GRAS (Generally Recognized As Safe) and adjuvant at a level not to exceed 5 percent in food (21 CFR Subpart B, §184.1983)[4].

Table C below is a summary of the regulatory status of yeast and yeast derived products

- /				
Reference	Ingredient	Description	Use	Limitations
21 CFR	Baker's	The insoluble	Use in food as	No limitation
§172.325	yeast	proteinaceous material	a nutrient	specified
-	protein	remaining after the	supplement	
		mechanical rupture of		
		Saccharomyces cerevisiae		
		cells and removal of whole		
		cells walls by centrifugation		
		and separation of soluble		
		cellular material		

Table C: Regulatory	status of yeast and	yeast derived products
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21 CFR	Yeast malt	Partially hydrolyzed yeast	Use as a	Not in excess
§172.590	sprout extract	using enzymes from sprouted malt barley	flavor enhancer in food	of that amount reasonably required to produce the intended effect
21 CFR §172.896	Dry yeast	Saccharomyces cerevisiae, saccharomyces fragilis and candida utilis	As a multipurpose additive	No limit except that folic acid content of the yeast should not exceed 0.04 mg/gram
21 CFR §172.898	Baker's yeast glycan	The comminuted washed, pasteurized and dried cell walls of Saccharomyces cerevisiae composed mainly of long chain carbohydrates (2:1 glycan:mannan), not less than 85% on a dry solid basis	An emulsifier, stabilizer, thickener or texturizer for salad dressings	Not to exceed 5% in salad dressing
21 CFR 184.1983	Baker's yeast extract	Food ingredient resulting from concentration of the solubles of mechanically ruptured cells of Saccharomyces cerevisiae	Flavoring agent and adjuvant	Not to exceed 5% in food
GRN 260 & 353	High Selenium Yeast	Saccharomyces cerevisiae strain cultivated in a selenium-enriched fermentation medium	Nutrient supplement	Baked products, non-alcoholic beverages, breakfast cereals, grain products and pastas, milk products, processed fruit & fruit juices, processed vegetables and vegetables and vegetable juices, commercial soups and soup mixes, and medical foods at levels yielding 5 micrograms selenium per serving
GRN 239	Yeast Beta- glycans	Baker's yeast Saccharomyces cerevisiae cells that are lysed and beta glucans are extracted with some residual protein (<10%)	Nutrient supplement	In a variety of food products including baked goods and baking mixes, beverages and beverage bases, cereals and cereal products,

GRN 422 & 604	Enhanced Baker's yeast	Functionally enhanced Baker's Yeast that prevent acrylamide in foods and beverages	Nutrient supplement	dairy product analogs, milk and milk products, plant protein products, processed fruits and fruit juices, soft candy, soups and soup mixes at a level of up to 200 milligrams per serving Grain-Based Food or Bread, Cereals, Cookies, Pizza crust, Crackers etc.; Vegetable- based Foods (French-fried potatoes, Potato chips, Potato- based snack foods - Up to 5% in food
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CFR: Code of Federal Regulation. GRAS: Generally Recognized As Safe. GRN: GRAS Notification.

A popular yeast derived product is a spread created in 1902 in Burton-upon-Trent, England. In the first stage of the process, the brewer's yeast is broken down into protein and amino acids. The mixture is then filtered before it is passed through a proprietary flavoring process. The final yeast extract paste contains yeast peptides, sugar, mineral salt (potassium chloride) color (caramel III), corn maltodextrin, mineral (iron), vitamins (niacin, thiamin, riboflavin, folate, B12), herbs and spice. A 5-gram serving of the yeast extract paste yields an average of almost 1 gram of protein and 35 calories. The breakdown of the protein in this yeast extract in terms of oligopeptides, smaller peptides and free amino acids has not been published. It is highly conceivable that this protein profile resembles that of DNF-10.

Other yeast derived products have been submitted to FDA and deemed GRAS. Examples are 2 high-selenium yeast-based compounds (GRAS Notification Numbers GRN 260 [5] and 353 [6]). As indicated in GRAS 260, based on a total ingestion of 100 µg Selenium per day, the user would consume 83 mg of yeast per day. This would translate in a daily consumption of about 37 to 45 mg yeast protein per day.

Another example is Baker's yeast beta-glucans. These are made from extracting the beta-glucans fraction from the cell wall of Saccharomyces cerevisiae. The final product contains at least 75% of beta-glucans and less than 10% protein. The compound has been deemed GRAS (GRN 239).[7] Under the conditions of intended use in food, total

population all-user mean and 90th percentile daily intakes have been estimated to be 413.02 mg/person/day (8.90 mg/kg body weight/day) and 827.32 mg/person/day (20.66 mg/kg body weight/day), respectively. This would correspond to a range of 41 to 83 mg of yeast-derived protein per day.

Yeast is also consumed for its nutritional value or health benefits in the form of tablets, powders, flakes or in liquid form. [8] [9-11] Inactivated Brewer's yeast cells have been available for purchase as nutrition or dietary supplements since before 1994. Nutritional Brewer's yeast is inactivated yeast with no leavening power, has a high protein profile, contains nucleic acids and is rich in fiber, B-vitamins and minerals such as zinc, chromium, iron, magnesium, folic acid, and biotin. Commercial products are widely available and may be fortified with additional B vitamins and minerals. Their serving size ranges from 8 to 20 grams of powder yielding around 9 grams of protein. There are no documented adverse events recorded on FDA MedWatch database.

In 2003, a paper entitled "A New Food Guide for North American Vegetarians" was published as a companion paper to the "Position of the American Dietetic Association and Dietitians of Canada: Vegetarian diets" publication in the same journal issue. [12]. This guide suggests that adults should "include at least three good food sources of vitamin B12 in your diet every day. These include 1 Tbsp of Red Star Vegetarian Support Formula nutritional yeast...." The guide also recommends that children obtain at least two servings of B₁₂ rich foods, and pregnant or lactating women should obtain at least four servings. This level of yeast supplementation (1 Tbsp Red Star Yeast) is below the recommended safe level of nucleic acids intake. Research has shown that the consumption of up to 2 grams per day of yeast nucleic acids kept the plasma uric level within acceptable normal ranges. [13]. This would amount to 17 to 33 g yeast solid per day as the nucleic content of yeast ranges from 6 to 12%. [8]

Additionally, other credible organizations and associations recommend that vegetarians supplement their diets with yeast products as a source of nutrients that are sometimes lacking in diets void of animal products. Oral consumption of yeast products has been shown to increase markers of vitamin B₁₂ in humans [14]. Some recommendations for yeast product intake include:

1) The United States Department of Agriculture (USDA) recommends nutritional yeast as a source of vitamin B₁₂ for vegetarians.[15]

2) The American Heart Association recommends brewers (nutritional) yeast as a source of nutrients for vegetarians (American Heart Association);

3) The vegetarian society for the United Kingdom and the Vegetarian Resource Group

Recommends Vitamin B₁₂ fortified yeast products for pregnant women who are vegetarian.[16]

4) In Canada, the Canadian Food Inspection Agency (CFIA) indicated that the intake of nutritional yeast of 14 grams/day was a reasonable daily intake in its 2003 Guide to Food Labeling and Advertising, Section 6.3.1 [17]

USDA NHANES³ surveys were queried and 2 food codes covering yeast and yeast extract spread were identified (food codes 75236000, 75236500). Using these codes, the consumption of yeast products for individuals 2 and older averaged 12.75 grams per person per day with a 90th percentile of 18 g/person per day, even though the percentage of users was relatively low among all age groups.

Food disappearance data for an ingredient can be used as a surrogate estimation of its consumption per capita. It represents the disappearance of a food in the marketing system. The data is very scarce for yeast. The only data found was a 1995 survey of industrial food additive use in the United States[18], which reported the use of baker's yeast (21 CFR §172.896) in foods to be 58,900 pounds/year. On a *per capita* basis, this amount would correspond to 3.07 mg/person/day, or 0.04 mg/kg body weight/day for a 70 kg individual. Therefore, it can be assumed that all of the components present in yeast are present in the diet as a result of the use of baker's yeast as a food additive. However, this data has only limited value in estimating dietary consumption of yeast.

IV.Part 4 - §170.240 Self-Limiting Levels of Use

The use of DNF-10 as a food ingredient is limited by the level that can be technically be added to a given food without jeopardizing its quality and consumer acceptability. The self-limiting level of use is independent of safety (toxicity, allergenic etc.) concerns.

V. Part 5 - §170.245 Experience Based on Common Use in Food Before 1958

DNF-10 has not been marketed prior to 1958 and the statutory basis for the conclusion that the use of DNF-10 is GRAS is through scientific procedure and equivalency, not through experience based on common use in food. Its approximate cumulative eaters-only intake of DNF-10 has been estimated at 575 mg/p/d at the 90th percentile. However, it is important to note that the main constituents of DNF-10 (amino acids and

³ NHANES: National Health and Nutrition Examination Survey. <u>https://wwwn.cdc.gov/nchs/nhanes/Default.aspx</u>

small size peptides) occur following ingestion and digestion of bread, beer, nutritional yeast products and yeast extract spread and thus are not foreign compounds to the body. Therefore, the safety of DNF-10 can be supported by the facts that its main constituents have been safely consumed in the diet (discussed further in Part 6)

VI.Part 6 - §170.250 Narrative and Safety Rationale

The conclusion that DNF-10, as described herein, is GRAS under the conditions of its intended use in specified conventional food and beverage products is based on scientific procedures using generally available data and information pertaining to Baker's and Brewers' saccharomyces cerevisiae and its derived extracts rich in peptides. This includes data and information specifically for DNF-10 from preclinical and clinical studies evaluating their safety (described in Part 6.A & B below). A discussion of the findings of an independent expert requested by Fytexia to evaluate the GRAS status of DNF-10 is included in Part 6.C and a conclusion on GRAS status is presented in Part 6.D.

DNF-10 is a yeast hydrolysate peptide complex resulting from the hydrolysis of yeast cells. The hydrolysate is filtered through a 10 kDalton cuff-off membrane to collect peptide fractions smaller than 10 kDa.

Upon ingestion, the fractions that are bigger than tri-peptides are digested by pepsin in the stomach and by pancreatic proteases in the small intestine. Furthermore, the peptidases present at the brush border of the small intestine further hydrolyze the luminal peptides, converting them to free amino acids and very small peptides which are now ready for absorption. The absorbed amino acids and peptides would be similar to those obtained when consuming commercial yeast and yeast derived products.

A. Safety Studies

1. Acute and Subacute Study in Rats [19]

An acute and subacute study was conducted in male and female Sprague Dawley rats where the toxicity of DNF-10 (peptides <10 kDa) was examined. The acute dose was a single dose of 5000 mg/kg of body weight and the subacute dose was 1000 mg/kg body weight for 14 days. Biochemical, hematological and histopathological parameters were collected in the subacute toxicity study.

Animals were housed individually and placed on a 12-hour light/12-hour dark cycle. They were given a commercial chow diet and water ad libitum.

For the acute toxicity study, the animals were randomized into 2 groups. The first group received a single dose of 5000 mg/kg of yeast hydrolysate (DNF-10) orally

while the second group received an equal volume of water (Control group). The animals were observed at 1, 2, 4, and 6 hours after giving the test substance. The visual observations were recorded and included changes in the skin, fur, eyes and mucous membranes; the respiratory, circulatory, autonomic, and central nervous systems; as well as somatomotor activity and behavioral patterns. The number of survivors was noted after 24 hours and these rats were then kept another 14 days and observed once a day. On day 15, all the animals were fasted for 16 to 18 hours and then anesthetized with ethyl ether and sacrificed.

In the subacute toxicity study, the animals were divided into 4 groups and dosed every day for 14 days. Group 1 and 3 (treatment) received 1000 mg yeast hydrolysate/kg of body weight. Group 2 and 4 (controls) were given an equivalent volume of water. Group 1 and 2 were sacrificed at the end of 14 days.(subacute study) Group 3 and 4 were kept for another 14 days with no additional dosing and sacrificed at 28 days in order to assess the reversibility and the delayed occurrence of toxic effects.(Satellite study) During the first 14 days, animals were weighted and observed daily to detect signs of toxicity. Changes in the skin, fur, eyes and mucous membranes; the respiratory, circulatory, autonomic, and central nervous systems; as well as somatomotor activity and behavioral patterns were recorded systematically. Blood samples were collected before sacrificing the animals to measure hematological and biochemical parameters.

In both subacute and satellite study, liver, kidney, spleen, lungs and sex organs were removed, blotted free of blood and weighted immediately. The organ weights were expressed as a function of body weight. Liver and kidneys were processed and embedded in paraffin and sectioned. The liver was stained with hematoxylin and eosin (H&E) and the kidneys with periodic acid Schiff (PAS) for light microscopic evaluation.

Statistical analysis was performed using SPSS version 12. Difference between the control and treated groups were evaluated by Student t-test and p value less than 0.5 were considered significant.

Results:

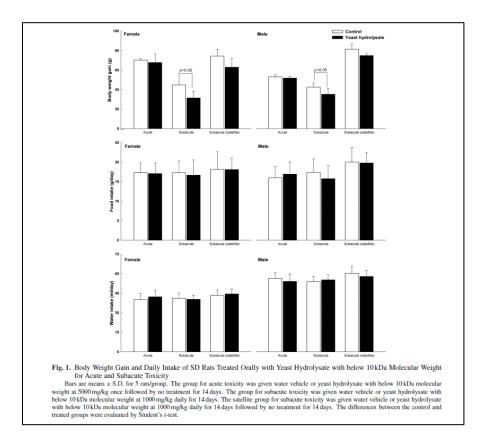
Acute study: No sign of toxicity or death of the rats during the 14-day observation after the single dose of yeast hydrolysate (5000 mg/kg) were observed. There was no difference in weight, food and water consumption between the beginning and the end of the acute study. (Figure 1)

Subacute study: No death or sign of toxicity with regard to piloerection, alterations in

locomotor activity or diarrhea were observed during the 14 consecutive days of treatment with the yeast hydrolysate at 1000 mg/kg. The animals treated with the yeast hydrolysate gained significantly less weight compared to that of control (p<0.05). At the end of the satellite study, there was no difference in weight gain between the 2 groups. There were no significant differences in daily food and water intake between the treatment and the control groups.

Hematological and biochemical parameters: There was no statistical differences in the hematological parameters between the treated or the control groups in the subacute or satellite study. (Table 1 below).

Table 2 shows the biochemical profiles. There was no difference between the treatment and the control groups except for BUN in the first 14-day study. It should be noted that the BUN values of the control animals in that subacute study were slightly lower than the normal range for both genders when compared to published data. Matsuzawa et al reported normal range of BUN values in Sprague-Dawley rats between 12.9 to 19.5 mg/dl. [20] By the end of the satellite study, the animals did not show a difference between the 2 groups.



Hematological		Fer	nale	
parameters	Group for sul	pacute toxicity ^{a)}	Satellite group fo	r subacute toxicity ^{b)}
	Control	Yeast hydrolysate	Control	Yeast hydrolysat
RBC (×10 ⁶ /µl)	7.33 ± 0.52	7.21 ± 0.19	7.47 ± 0.30	7.12 ± 0.17
WBC (×10 ³ /µl)	8.57 ± 1.55	8.08 ± 1.24	8.00 ± 1.34	7.20 ± 0.22
Hct (%)	43.77 ± 3.75	43.98 ± 2.27	44.73 ± 2.74	45.10 ± 1.37
Hgb (g/dl)	13.51 ± 1.52	13.49 ± 0.94	14.50 ± 0.87	14.47 ± 0.35
MCV (fl)	56.77 ± 1.45	58.42 ± 1.26	59.83 ± 1.37	58.90 ± 1.20
MCH (pg)	18.34 ± 0.58	18.21 ± 0.88	19.33 ± 0.50	19.47 ± 0.65
MCHC (g/dl)	31.12 ± 1.23	31.55 ± 1.59	32.37 ± 0.21	32.50 ± 1.00
Platelets (×10 ³ /µl)	845.26 ± 54.42	898.87 ± 49.99	728.00 ± 41.52	823.67 ± 38.94
Hematological parameters		Group for subacute toxicity ^{a)} Satellite group for subacute toxicity		
	Control	Yeast hydrolysate	Control	Yeast hydrolysat
RBC (×10 ⁶ /µl)	7.06 ± 0.78	7.24 ± 0.86	7.96 ± 0.38	7.98 ± 0.41
WBC (×10 ³ /µl)	11.21 ± 1.02	11.00 ± 0.89	10.47 ± 0.31	10.82 ± 0.95
Hct (%)	45.15 ± 3.35	46.66 ± 4.32	47.25 ± 2.35	47.00 ± 4.48
Hgb (g/dl)	13.99 ± 0.98	14.28 ± 1.01	14.97 ± 0.21	15.00 ± 0.78
MCV (fl)	57.78 ± 1.24	57.62 ± 1.08	58.93 ± 1.11	58.83 ± 2.68
MCH (pg)	17.49 ± 0.52	18.00 ± 0.15	18.50 ± 0.52	18.73 ± 0.06
MCHC (g/dl)	31.07 ± 1.01	31.22 ± 1.75	31.27 ± 0.21	31.90 ± 1.44
Platelets (×10 ³ /µl)	721.42 ± 58.87	705.66 ± 61.41	692.67 ± 60.34	695.00 ± 60.22

Table 2. Blood Biochemical Parameter of S	SD Rats Treated Orally with	Yeast Hydrolysate with below 10 kDa
Molecular Weight for Subacute To:	xicity	

Blood biochemical		Female				
parameter	Group for su	bacute toxicity ^{a)}	Satellite group for	or subacute toxicity ^{b)}		
	Control	Yeast hydrolysate	Control	Yeast hydrolysate		
Glucose (mg/dl)	89.67 ± 8.02	94.67 ± 13.65	114.00 ± 9.70	116.33 ± 10.23		
BUN (mg/dl)	8.57 ± 0.50	10.37 ± 0.32**	13.40 ± 1.40	13.45 ± 1.22		
Creatinine (mg/dl)	0.30 ± 0.01	0.30 ± 0.01	0.27 ± 0.05	0.28 ± 0.05		
Total protein (g/dl)	5.27 ± 0.06	6.00 ± 0.10	5.81 ± 0.13	5.84 ± 0.14		
Albumin (g/dl)	3.53 ± 0.12	3.80 ± 0.01	3.79 ± 0.15	3.80 ± 0.13		
Total bilirubin (mg/dl)	0.47 ± 0.06	0.53 ± 0.15	0.58 ± 0.08	0.58 ± 0.09		
AST (U/I)	85.33 ± 5.08	89.33 ± 6.21	90.00 ± 6.97	92.92 ± 7.80		
ALT (U/I)	27.67 ± 4.16	29.33 ± 2.53	29.33 ± 4.18	30.92 ± 4.23		
Blood biochemical		Male				
parameter	Group for su	Group for subacute toxicity ^{a)}		or subacute toxicity ^b		
	Control	Yeast hydrolysate	Control	Yeast hydrolysate		
Glucose (mg/dl)	102.00 ± 8.89	102.33 ± 4.93	118.67 ± 4.04	125.00 ± 6.24		
BUN (mg/dl)	7.03 ± 0.32	11.80 ± 0.26**	13.00 ± 0.56	13.43 ± 0.31		
Creatinine (mg/dl)	0.30 ± 0.01	0.37 ± 0.06	0.30 ± 0.01	0.30 ± 0.01		
Total protein (g/dl)	5.40 ± 0.20	5.63 ± 0.15	5.60 ± 0.20	5.83 ± 0.06		
Albumin (g/dl)	3.50 ± 0.01	3.60 ± 0.17	3.53 ± 0.06	3.62 ± 0.10		
Total bilirubin (mg/dl)	0.47 ± 0.06	0.47 ± 0.05	0.47 ± 0.06	0.53 ± 0.06		
AST (U/I)	95.00 ± 5.01	98.33 ± 13.02	96.33 ± 8.13	99.33 ± 6.79		
ALT (U/I)	37.33 ± 2.16	35.00 ± 2.61	41.67 ± 2.58	39.01 ± 2.00		
Values are means ± S.D. f ith below 10 kDa molecular v ater vehicle or yeast hydrolysa r 14 days. **Significantly diff aluated by Student's t-test.	weight at 1000 mg/kg ate with below 10 kDa	daily for 14 days. b) The molecular weight at 1000	satellite group for su mg/kg daily for 14 days	bacute toxicity was gir followed by no treatm		

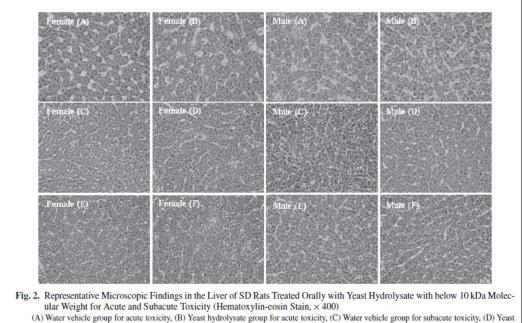
Morphological parameters: Table 3 shows the relative organ weights of the treated and control animals. There was no difference measured in any of the harvested

organs.

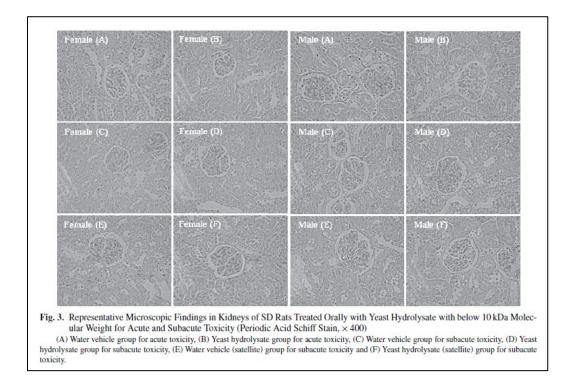
Relative organ	Group for	r acute toxicity ^{a)}	Group for s	ubacute toxicity ^{b)}	Satellite group	for subacute toxicity
weight (g/100 g of body weight)	Control	Yeast hydrolysate	Control	Yeast hydrolysate	Control	Yeast hydrolysate
Female						
Liver	3.93 ± 0.35	4.02 ± 0.24	3.77 ± 0.16	3.79 ±0.14	3.72 ± 0.20	3.73 ± 0.10
Kidney	0.98 ± 0.05	0.99 ± 0.09	0.89 ± 0.05	0.87 ± 0.04	0.84 ± 0.11	0.86 ± 0.06
Spleen	0.32 ± 0.01	0.30 ± 0.01	0.26 ± 0.03	0.26 ± 0.03	0.23 ± 0.02	0.25 ± 0.05
Lung	0.51 ± 0.02	0.49 ± 0.03	0.48 ± 0.03	0.47 ± 0.02	0.42 ± 0.04	0.43 ± 0.04
Heart	0.38 ± 0.01	0.36 ± 0.03	0.34 ± 0.01	0.34 ± 0.01	0.30 ± 0.01	0.32 ± 0.02
Ovary	0.08 ± 0.01	0.09 ± 0.01	0.06 ± 0.01	0.07 ± 0.01	0.05 ± 0.01	0.04 ± 0.01
Male						
Liver	4.77 ± 0.38	4.88 ± 0.44	3.80 ± 0.13	3.72 ± 0.26	3.37 ± 0.10	3.31 ± 0.29
Kidney	1.06 ± 0.13	1.05 ± 0.08	0.87 ± 0.07	0.89 ± 0.01	0.82 ± 0.01	0.86 ± 0.06
Spleen	0.40 ± 0.08	0.36 ± 0.09	0.24 ± 0.01	0.20 ± 0.05	0.20 ± 0.01	0.19 ± 0.04
Lung	0.44 ± 0.01	0.45 ± 0.03	0.41 ± 0.02	0.40 ± 0.01	0.36 ± 0.04	0.38 ± 0.01
Heart	0.38 ± 0.01	0.36 ± 0.03	0.34 ± 0.01	0.34 ± 0.01	0.30 ± 0.01	0.32 ± 0.02
Testis	0.76 ± 0.09	0.74 ± 0.08	0.71 ± 0.07	0.72 ± 0.04	0.68 ± 0.05	0.69 ± 0.04

treatment for 14 days. The differences between the control and treated groups were evaluated by Student's t-test.

Figures 2 and 3 show the microscopic findings in the liver and in the kidneys for the rats for the acute and subacute studies. There were no significant changes in color and texture when compared to the control group in both the males and the females. No histological alterations were noticed in the liver or in the kidneys in either groups.



(A) Water vehicle group for acute toxicity, (B) Yeast hydrolysate group for acute toxicity, (C) Water vehicle group for subacute toxicity, (D) Yeast hydrolysate group for subacute toxicity, (E) Water vehicle (satellite) group for subacute toxicity and (F) Yeast hydrolysate (satellite) group for subacute toxicity.



This study shows that there was no observable toxicity in either male or female rats at a consumption level of 1000 mg/kg body weight. Therefore, the acute oral LD50 of the yeast hydrolysate is greater than 1000 mg/kg body weight. This suggests that the toxicity of DNF-10 yeast hydrolysate is very low. This would be expected due to the fact that this product is a derivative of nutritional yeast (commonly available in the diet) and contains low molecular weight peptides with lesser allergenic properties (see paragraph C below).

B. Clinical Studies:

Several clinical studies have been conducted during which DNF-10 was given to human subjects.[21, 22] Only one tracked safety of the yeast hydrolysate peptide complex by including liver function and electrolytes blood parameters, and hematological parameters and is described below.

1. Jung et al, 2014 [23]

In this double-blind placebo-controlled study, a yeast hydrolysate (YH) with peptide fractions smaller than 10kDa was given for 10 weeks. The subjects had a BMI greater than 25 and were specifically excluded if they had a known sensitivity or allergy to yeast. They were split into 2 groups of 27, each group having 12 men and 15 women. The intervention consisted of 500 mg of yeast hydrolysate (YH) to be taken 30 min

before breakfast and 30 min before dinner with water (total daily YH dose = 1 g). The control group took 500 mg of dextrin before the 2 meals as indicated above. (total daily placebo dose = 1 g).

Height, weight, blood pressure and heart rate were measured each week. Body fat mass and lean body mass were measured using an InBody 3.0 impedance machine. Blood samples were taken at baseline, week 5 and 10 to assess liver function, serum electrolytes and hematological biomarkers.

At the end of the study, liver function, serum electrolytes, and hematological parameters taken at baseline, weeks 5 and 10 remained within healthy ranges throughout the intervention. Resting blood pressure and heart rate remained unaffected by either treatment. No participant was removed from the study protocol for treatment-related adverse effects. This suggests that the yeast hydrolysate is safe to consume orally at a dose of 1 gram per day.

2. Use of Nutritional Yeast in clinical studies

Six studies were conducted in which nutritional Brewer's yeast was given to overweight subjects and blood sugar and lipid profiles were tracked. Upon review of the published reports, favorable results were obtained for blood sugar and lipid markers with consumption of the yeast that ranged from 800 mg to 10 grams daily. No report of adverse events was made indicating a good tolerance to the compound. [24-29]. Although the compound is different from DNF-10, it will yield to similar peptides and amino acids upon digestion by the human GI tract. Thus, it supports the concept of safety.

C. Allergenicity

S. Cerevisiae yeast is not part of the 8 major food allergens – milk, egg, peanut, tree nuts, wheat, soy, fish and crustacean shellfish that are responsible for most of the serious food allergy reactions in the US. However, a few individuals may be allergic to yeast but this is a rare problem. However, a thorough review of the scientific literature was done to explore if intact yeast cells or peptide subfractions from it consumed orally were associated with adverse events.

There are very few published reports on allergic reactions to Baker's yeast. One described the reaction of a 6-year-old boy with previously diagnosed mite-allergy and atopic dermatitis who developed urticaria and asthma upon eating fresh baked breads or pizza containing yeast. With time, he had less reaction especially if he consumed the goods at least one hour after baking. The authors could not give a reason why this happened. [30]

The 2nd one described one patient having clustered sensitivity to various fungi and yeast. However, the full paper is no longer available in PubMed and may have been retracted. [31]

Three papers by a Swedish group described an immediate hypersensitivity to bakery, brewery and wine products in 20 yeast-sensitive atopic dermatitis patients. Their later paper in 1998 reported that the yeast protein fractions with molecular weight greater than 14 kDa produced a strong IgE response. [32-34]

In 2017, Bansal et al reported a rare case of allergy to beer, wine, and cider resulting from IgE reactivity to yeasts and molds. They noted that the patient also had additional sensitivity to yeast extracts and blue cheese. [35] The authors also stated that <u>medically</u> <u>confirmed IgE-mediated yeast allergy is exceptionally rare</u>.

Thus, it appears that the incidence of allergic reaction to saccharomyces cerevisiae is low. In an allergic reaction, the protein allergen provokes an IgE antibody response. IgE binds to the specific sites (epitopes) of the surface of the protein antigen. Amino acid sequence and molecular weight of the epitopes, spatial structure and hydrophobicity of the allergenic protein, ability to withstand proteolysis during digestion for the allergenic protein play key roles in eliciting an immune response.[36] Savolainen and al reported prominent IgE binding to allergen fractions greater than 14 kDa for S. cerevisiae or other yeasts.[34].

Furthermore, we examined the database of adverse events for foods, supplements that are reported to the Center For Food Safety and Applied Nutrition (CFSAN)[37] and compiled into the Adverse Event Reporting System (AER). The reports in AER are evaluated by clinical reviewers to monitor the safety of consumer products. The searchable AER database spans from 2014 until March 2020. There were 131,258 reports of adverse events and only 5 that were related to Brewer's yeast or nutritional yeast.

Date of Event	Product type	Product	Description	Patient age	Gende r	Medra Preferred terms	Outcomes
3/17/2007	Suspect	Red Star Nutritional Yeast	Vit/Min/Prot/ Unconv Diet (Human/Anim al)			Hypersensitivi ty	Other outcome
10/28/2014	Suspect	PURITAN'S PRIDE Brewer's yeast powder	Vit/Min/Prot/ Unconv Diet (Human/Anim al)			Hepatobiliary scan abnormal, biliary tract	Hospitalizati on

The table below is the data directly extracted from the AER database.

		Net WT 1 pound				disorder, gallbladder disorder, dyspepsia, gall bladder non- functioning	
2/4/2018	Concomita nt	Brewer's Yeast	Vit/Min/Prot/ Unconv Diet (Human/Anim al)	56	F	Alopecia, trichorrhexis	Other Outcome
10/1/2018	Suspect	NOW Nutritional Yeast Powder	Dietary Conventional Foods/Meal Replacements	50	F	Nasal congestion, throat tightness, hypersensitivit y, throat irritation	Life Threatening
11/11/2018	Suspect	HERBAL SECRETS Brewers' yeast powder	Vit/Min/Prot/ Unconv Diet (Human/Anim al)	34	F	Malaise, vomiting, abdominal pain, upper	Other Seriousness

A thorough review of the American College of Allergy, Asthma and Immunology (<u>https://acaai.org/allergies/types/food-allergy</u>) does not mention yeast (saccharomyces cerevisiae) as a significant allergen anywhere on the site.

Another organization that educates about food allergies (<u>www.foodallergy.org</u>) highlights other food allergens beyond the 8 common ones and makes no mention of yeast. (<u>https://www.foodallergy.org/living-food-allergies/food-allergy-essentials/commonallergens/other-food-allergens</u>).

In summary, the addition of DNF-10 to proposed foods indicated in Part 3 is expected to be safe based on published data. Intact yeast is rarely associated with allergy. DNF-10 is derived from saccharomyces cerevisiae that has been hydrolyzed and ultra-filtered to contain peptides with molecular weight smaller than 10 kDa with different spatial structure, AA sequence and hydrophobicity of native yeast cells.

Appropriate food product labeling has become a standard and appropriate regulatory practice to alert consumers of potential presence of food allergens. This type of labeling will assist consumers with their food decision-making. For example, the European Food Safety Authority recommends alerting individuals with yeast sensitivity via product labeling (European Food Safety Authority, 2008). This approach of stating that the compound is derived from yeast may be used in the various markets where DNF-10 is sold.

D. Conclusions

Based on the above data and information presented herein, Fytexia has concluded that the intended uses of a yeast hydrolysate peptide complex trademarked as DNF-10 at a level of 250 mg per serving in specified conventional foods as described in Part I.D is GRAS based on scientific procedures. The GRAS status is further supported by the evaluation of the scientific expert Dr Nathalie Chevreau, PhD, RD who is qualified by experience and safety training to evaluate the safety of food ingredients and concluded that the DNF-10 is GRAS for its intended uses in conventional foods.

DNF-10 therefore may be marketed and sold for its intended purpose in the US without the promulgation of a food additive regulation under Title 21, Section 170.3 of the Code of Federal Regulations

VII. Part 7 - §170.255 List of Supporting Data and Information

- FDA, Title 21-Chapter I-Subchapter B-Part 172--Food Additives Permitted for Direct Addition To Food for human Consumption. Subpart I - Multipurpose Additives - §172.896. Current: Electronic Code of Federal Regulations. https://www.ecfr.gov/cgi-bin/textidx?SID=08e6acb4b8cb5bce628a51d4ad8a759b&mc=true&node=pt21.3.172&rg n=div5#se21.3.172_1896.
- 2. FDA, Title 21-Chapter I-Subchapter B-Part 172--Food Additives Permitted for Direct Addition To Food for human Consumption. Subpart D - Special Dietary and Nutritional Additives - §172.325. Current: Electronic Code of Federal Regulations.
- 3. FDA, Title 21-Chapter I-Subchapter B-Part 172--Food Additives Permitted for Direct Addition To Food for human Consumption. Subpart F - Flavoring Agents and Related Substances - §172.590. Current: Electronic Code of Federal Regulations.
- FDA, Title 21-Chapter I-Subchapter B-Part 184 Direct Food Substances Affirmed As Generally Recognized As Safe - §184.1983. Current: Electronic Code of Regulations. https://www.ecfr.gov/cgi-bin/textidx?SID=64e759a0fae4356e725fd3f606e14a55&mc=true&node=se21.3.184_11 983&rgn=div8.
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VIII. Appendix I: Food codes used

Thirteen food codes were used for Popcorn category

54319020	Popcorn cake
54403000	Popcorn, popped in oil, unbuttered
54403010	Popcorn, air-popped (no butter or no oil added)
54403020	Popcorn, popped in oil, buttered

54403030	Popcorn, w/ cheese
54403040	Popcorn, air-popped, buttered
54403050	Popcorn, flavored
54403060	Popcorn, popped in oil, lowfat, reduced sodium
54403070	Popcorn, popped in oil, lowfat
54403090	Popcorn, popped in oil, unsalted
54403110	Popcorn, sugar syrup or caramel-coated
54403120	Popcorn, sugar syrup or caramel-coated, with nuts
54403150	Popcorn, sugar syrup or caramel-coated, fat free

35 Food Codes for the Chips Food Category

5431800	0 Chips, brown rice
5440101	0 Salty snacks, corn or cornmeal base, nuts or nuggets, toasted
5440102	0 Salty snacks, corn or cornmeal base, corn chips, corn-cheese chips
5440105	0 Salty snacks, corn or cornmeal base, corn puffs and twists; corn-cheese puffs and twists
5440108	0 Salty snacks, corn or cornmeal base, tortilla chips
5440109	0 Salty snacks, corn or cornmeal base, corn chips, corn-cheese chips, unsalted
5440110	0 Salty snacks, corn or cornmeal base, tortilla chips, light (baked with less oil)
5440112	0 Salty snacks, corn or cornmeal base, tortilla chips, fat free, made with Olean
5440115	0 Salty snacks, corn or cornmeal base, tortilla chips, lowfat, baked without fat
5440117	0 Salty snacks, corn or cornmeal base, tortilla chips, lowfat, baked without fat, unsalted
5440120	0 Salty snacks, corn or cornmeal base, with oat bran, tortilla chips
5440121	0 Salty snacks, corn based puffs and twists, cheese puffs and twists, lowfat
5440208	0 Salty snacks, corn or cornmeal base, tortilla chips, unsalted
5440220	0 Salty snack mixture, mostly corn or cornmeal based, with pretzels, without nuts
5440230	0 Salty snacks, wheat-based, high fiber
5440250	0 Salty snacks, wheat- and corn-based chips
5440260	0 Salty snacks, multigrain, whole grain, chips (made with whole corn, whole wheat, rice flour, and whole oat flour)
5440261	0 Salty snacks, multigrain and potato chips (made with rice flour, dried potatoes, corn flour, and wheat starch)
5440270	0 Pita chips
7120101	0 White potato, chips
7120101	5 White potato chips, regular cut
7120102	0 White potato chips, ruffled, rippled, or crinkle cut
7120105	0 White potato, chips, reduced fat
7120108	0 White potato, chips, fat free
7120109	0 White potato, chips, fat free, made with Olean
7120110	0 White potato, chips, restructured
7120120	0 White potato, chips, restructured, reduced fat and reduced sodium
7120121	0 White potato, chips, restructured, fat free, made with Olean
7120125	0 White potato, chips, restructured, baked

71201300	Potato based snacks, reduced fat, low sodium, all flavors
71202000	White potato, chips, unsalted
71202100	White potato, chips, unsalted, reduced fat
71202500	White potato chips, lightly salted
71220000	Vegetable chips
73410210	Sweet potato, chips

55 Food Codes for the Crackers Food Category

54001000	Crackers, NS as to sweet or nonsweet	54304500 Cracker, high fiber, no added fat
54101010	Cracker, animal	54307000 Crackers, matzo
54102010	Crackers, graham	54308000 Crackers, milk
54102020	Crackers, graham, chocolate covered	54309000 Crackers, oat
54102030	Crackers, graham, sugar-honey coated, cinnamon cris	54313000 Crackers, oyster
54102050	Crackers, oatmeal	54318500 Rice cake, cracker-type
54102060	Crackers, Cuban	54319000 Crackers, rice
54102070	Crackers, Cuca	54325000 Crackers, saltine
54102080	Crackers, graham, with raisins	54325010 Crackers, saltine, fat free
54102090	Cracker, graham, higher fat	54325050 Crackers, saltine, whole wheat
54102100	Crackers, graham, lowfat	54326000 Crackers, multigrain, made with whole wheat, wheat, oat, and other flours
54102110	Crackers, graham, fat free	54327950 Crackers, cylindrical, peanut-butter filled
54102200	Crackers, graham, sandwich- type, with filling	54328000 Crackers, sandwich-type, NFS
54201010	Crackers, matzo, low sodium	54328100 Cracker, sandwich-type, peanut butter filled
54202010	Crackers, saltine, low sodium	54328110 Cracker, sandwich-type, peanut butter filled, reduced fat
54202050	Crackers, saltine, fat free, low sodium	54328120 Cracker, sandwich-type, peanut butter filled, whole grain
54203010	Crackers, toast thins (rye, wheat, white flour), low sodium	54328200 Cracker, sandwich-type, cheese-filled
54204010	Cracker, 100% whole wheat, low sodium	54334000 Crackers, toast thins (rye, pumpernickel, white flour)
54205010	Cracker, snack, low sodium	54336000 Crackers, water biscuits
54205030	Cracker, cheese, low sodium	54337000 Cracker, 100% whole wheat
54205100	Cracker, snack, reduced fat, reduced sodium	54337050 Cracker, 100% whole wheat, reduced fat
54210010	Cracker, multigrain, low sodium	54337100 Crackers, whole wheat and bran
54301000	Cracker, snack	54338000 Crackers, wheat
54301100	Cracker, snack, reduced fat	54338100 Crackers, wheat, reduced fat
54301200	Cracker, snack, fat free	54339000 Crackers, corn
54304000	Cracker, cheese	54340100 Cracker, gluten free
54304100	Cracker, cheese, reduced fat	54350000 Crackers, baby food
54304150	Cracker, cheese, whole grain	

95 Food Codes for the Bars Food Category

41 425000	Fiber One Fulfill Per	E2710400	Fiber One Chowny Par
41435000	Fiber One Fulfill Bar	53710400	Fiber One Chewy Bar Kollogg's Nutri Grain Coroal Bar
41435010	High protein bar, soy base	53710500	Kellogg's Nutri-Grain Cereal Bar
41435110	High protein bar, candy-like, soy and milk base	53710502	Kellogg's Nutri-Grain Yogurt Bar
41435120	Zone Perfect Classic Crunch nutrition bar	53710504	Kellogg's Nutri-Grain Fruit and Nut Bar
41435300	Balance Original Bar	53710600	Milk 'n Cereal bar
41435500	Clif Bar	53710700	Kellogg's Special K bar
41435700	South Beach Living High Protein Cereal Bar	53710800	Kashi GOLEAN Chewy Bars
41435710	South Beach Living Meal Replacement Bar	53710802	Kashi TLC Chewy Granola Bar
53540000	Breakfast bar, NFS	53710804	Kashi GOLEAN Crunchy Bars
53540100	Breakfast bar, cake-like	53710806	Kashi TLC Crunchy Granola Bar
53540200	Breakfast bar, cereal crust with fruit filling,	53710900	Nature Valley Chewy Trail Mix Granola Bar
53540250	lowfat Breakfast bar, cereal crust with fruit filling,	53710902	Nature Valley Chewy Granola Bar with Yogurt
	fat free		Coating
53540300	Fiber One Chewy Bar	53710904	Nature Valley Sweet and Salty Granola Bar
53540400	Kellogg's Nutri-Grain Cereal Bar	53710906	Nature Valley Crunchy Granola Bar
53540402	Kellogg's Nutri-Grain Yogurt Bar	53711000	Quaker Chewy Granola Bar
53540404	Kellogg's Nutri-Grain Fruit and Nut Bar	53711002	Quaker Chewy 90 Calorie Granola Bar
53540500	Breakfast bar, date, with yogurt coating	53711004	Quaker Chewy 25% Less Sugar Granola Bar
53540600	Milk 'n Cereal bar	53711006	Quaker Chewy Dipps Granola Bar
53540700	Kellogg's Special K bar	53711100	Quaker Granola Bites
53540800	Kashi GOLEAN Chewy Bars	53712000	Snack bar, oatmeal
53540802	Kashi TLC Chewy Granola Bar	53712100	Granola bar, NFS
53540802	Kashi GOLEAN Crunchy Bars	53712100	Granola bar, lowfat, NFS
53540806	Kashi TLC Crunchy Granola Bar	53712210	Granola bar, nonfat
53540900	Nature Valley Chewy Trail Mix Granola Bar	53713000	Granola bar, reduced sugar, NFS
53540902	Nature Valley Chewy Granola Bar with Yogurt Coating	53713100	Granola bar, peanuts , oats, sugar, wheat germ
53540904	Nature Valley Sweet and Salty Nut Granola Bar	53714200	Granola bar, chocolate-coated, NFS
53540906	Nature Valley Crunchy Granola Bar	53714210	Granola bar, with coconut, chocolate-coated
53541000	Quaker Chewy Granola Bar	53714220	Granola bar with nuts, chocolate-coated
53541002	Quaker Chewy 90 Calorie Granola Bar	53714230	Granola bar, oats, nuts, coated with non- chocolate coating
53541004	Quaker Chewy 25% Less Sugar Granola Bar	53714250	Granola bar, coated with non-chocolate coating
53541006	Quaker Chewy Dipps Granola Bar	53714300	Granola bar, high fiber, coated with non- chocolate yogurt coating
53541100	Breakfast bar, diet meal type	53714400	Granola bar, with rice cereal
53541200	Meal replacement bar	53714500	Breakfast bar, NFS
53541300	Slim Fast Original Meal Bar	53714510	Breakfast bar, date, with yogurt coating
53542000	Snack bar, oatmeal	53714520	Breakfast bar, cereal crust with fruit filling, lowfat
53542100	Granola bar, NFS	53720100	Balance Original Bar
53542200	Granola bar, lowfat, NFS	53720100	Clif Bar
53542200	Granola bar, nonfat	53720200	Clif Kids Organic Zbar
53542210	Granola bar, reduced sugar, NFS	53720210	PowerBar
55545000		53720300	ruweiddi
53543100	Granola bar, peanuts, oats, sugar, wheat germ	53720400	Slim Fast Original Meal Bar
53544200	Granola bar, chocolate-coated, NFS	53720500	Snickers Marathon Protein bar
53544210	Granola bar, with coconut, chocolate-coated	53720510	Snickers Marathon Energy bar
53544220	Granola bar with nuts, chocolate-coated	53720600	South Beach Living Meal Bar
53544230	Granola bar, oats, nuts, coated with non- chocolate coating	53720610	South Beach Living High Protein Bar
53544250	Granola bar, coated with non-chocolate coating	53720700	Tiger's Milk bar
53544300	Granola bar, high fiber, coated with non- chocolate yogurt coating	53720800	Zone Perfect Classic Crunch nutrition bar
53544400	Granola bar, with rice cereal	53729000	Nutrition bar or meal replacement bar, NFS

The 6 food codes for protein powder shown below were not used because the nutritional facts for those products that I searched on the internet are based on serving size in volume (cup or 240 ml) as opposed to grams of protein powder.

As a result, those 6 categories really skew the data toward the higher end since it is reported as reconstituted volume and not the starting amount of powder. DNF-10 is not intended to be added to these kind of reconstituted products

11612000	Instant breakfast, powder, milk added
11613000	Instant breakfast, powder, sweetened with low calorie sweetener, milk added
11622010	Diet beverage, powder, reconstituted with skim milk
11631000	High calorie beverage, canned or powdered, reconstituted
11651010	Meal replacement formula, Cambridge diet, reconstituted, all flavors
41430200	Meal replacement or supplement, soy- and milk-base, powder, reconstituted with water

35 codes for the Protein Powder Category

11830800	Instant breakfast, powder, not reconstituted
11830810	Instant breakfast, powder, sweetened with low calorie sweetener, not reconstituted
11830850	High calorie milk beverage, powder, not reconstituted
11830900	Protein supplement, milk-based, powdered, not reconstituted
11830940	Meal replacement, high protein, milk based, fruit juice mixable formula, powdered, not reconstituted
11830970	Meal replacement, protein type, milk-based, powdered, not reconstituted
11830990	Nutrient supplement, milk-based, powdered, not reconstituted
11831500	Nutrient supplement, milk-based, high protein, powdered, not reconstituted

11832000	Meal replacement, protein type, milk- and soy-based, powdered, not reconstituted
11835000	Meal replacement or nutritional supplement, Cambridge diet formula, powdered, nonfat milk solids base, dry, not reconstituted
11835100	Meal replacement, Amway's Nutrilite brand Positrim Drink Mix, powdered nonfat dry milk-based, dry, not reconstituted
11835150	Dynatrim, meal replacement, powder
11835200	Lose-it (nanci), meal replacement, powder
11836000	Protein supplement, milk-based, Muscle Milk, powdered, not reconstituted
11836100	Protein supplement, milk-based, Muscle Milk Light, powdered, not reconstituted
41430000	Protein powder, NFS
41430010	Protein supplement, powdered
41430310	Protein diet powder with soy and casein
95201000	Carnation Instant Breakfast, nutritional drink mix, regular, powder
95201010	Carnation Instant Breakfast, nutritional drink mix, sugar free, powder
95201200	EAS Whey protein powder
95201300	EAS soy protein powder
95201500	Herbalife nutritional shake high protein powder
95201600	Isopure protein powder
95201700	Kellogg's Special K20 Protein Water Mix
95202000	Muscle Milk, regular, powder
95202010	Muscle Milk, light, powder
95210000	Slim Fast shake mix powder
95210020	Slim Fast shake mix high protein powder
95220000	Nutritional drink mix or meal replacement powder NFS
95220010	Nutritional drink mix or meal replacement high protein powder NFS
95230000	Protein powder whey based NFS

95230010 Protein powder soy based NFS

95230020 Protein powder light NFS

95230030 Protein powder NFS

Form Approved: OMB No. 0910-0342; Expiration Date (See last page for O		0910-0342; Expiration Date: 09/30/2019 (See last page for OMB Statement)						
		FDA USE ONLY						
		GRN NUMBER 001033		DATE OF RECEIPT Nov 2, 2021				
	MENT OF HEALTH AN Food and Drug Adm	ini	stration	ESTIMATED DAI	LY INTAKE	INTENDED USE FOR INTERNET		
	ALLY RECOGI S) NOTICE (Sul			NAME FOR INTE	ERNET			
				KEYWORDS				
completed form	Transmit completed form and attachments electronically via the Electronic Submission Gateway (see Instructions); OR Transmit completed form and attachments in paper format or on physical media to: Office of Food Additive Safety (<i>HFS-200</i>), Center for Food Safety and Applied Nutrition, Food and Drug Administration,5001 Campus Drive, College Park, MD 20740-3835.							
	SECTION	A -	- INTRODUCTORY INF	ORMATION A	BOUT THE SUB	MISSION		
1. Type of Submi	ssion (Check one)							
New	Amendment	to (GRN No	Supple	ement to GRN No.			
2. XII electr	onic files included in th	is s	submission have been cheo	ked and found	to be virus free. (Ch	eck box to verify)		
	presubmission meeting ubject substance (уууу							
	ents or Supplements: I							
	or supplement submitte a communication from I			enter the date of inication <i>(vvvv/</i> /	f mm/dd):			
				())))				
		SE	ECTION B – INFORMAT	ION ABOUT	THE NOTIFIER			
	Name of Contact Per	sor	1		Position or Title			
	Matthieu Arguillere			CEO				
1a. Notifier	Organization <i>(if applicable)</i> Fytexia Corp							
	Mailing Address (nun	ıbe	r and street)	nd street)				
	ZAE Via Europa, 3, ru	e c	l'Athenes					
City	1		State or Province	Zip Code/Po	ostal Code	Country		
Vendres			Herault	34350 France		France		
Telephone Number Fax Number +133467219098		ax Number	E-Mail Address marguillere@fytexia.com					
	Name of Contact Person				Position or Title			
	Nathalie Chevreau				Principal			
1b. Agent or Attorney (if applicable)	Organization <i>(if applicable)</i> Chevreau Consulting LLC							
	Mailing Address (number and street)							
2151 E Logan avenue								
City			State or Province	Zip Code/Po	ostal Code	Country		
Salt Lake City			Utah	84108 United States of America				
Telephone Number Fax Number 8016526035 Fax Number		E-Mail Address nchevreau@ncphd.net						

SECTION C – GENERAL ADMINISTRATIVE INF	ORMATION
1. Name of notified substance, using an appropriately descriptive term Yeast hydrolysate peptide complex	
2. Submission Format: (Check appropriate box(es))	3. For paper submissions only:
Electronic Submission Gateway	
Paper Electronic files on physical media	Number of volumes
If applicable give number and type of physical media	Total number of pages
 4. Does this submission incorporate any information in CFSAN's files? (Check one) ☐ Yes (Proceed to Item 5) No (Proceed to Item 6) 	
5. The submission incorporates information from a previous submission to FDA as indicated	below (Check all that apply)
a) GRAS Notice No. GRN	
b) GRAS Affirmation Petition No. GRP	
c) Food Additive Petition No. FAP	
d) Food Master File No. FMF	
e) Other or Additional <i>(describe or enter information as above)</i>	
6. Statutory basis for conclusions of GRAS status (<i>Check one</i>)	
	$r_{\rm upp}$ in food (21 CEP 170 20(a) and (a))
 Scientific procedures (21 CFR 170.30(a) and (b)) Experience based on commo 7. Does the submission (including information that you are incorporating) contain information 	
or as confidential commercial or financial information? (see 21 CFR 170.225(c)(8))	T that you view as trade secret
Yes (Proceed to Item 8	
No (Proceed to Section D)	
8. Have you designated information in your submission that you view as trade secret or as co (Check all that apply)	onfidential commercial or financial information
Yes, information is designated at the place where it occurs in the submission No	
9. Have you attached a redacted copy of some or all of the submission? (Check one)	
Yes, a redacted copy of the complete submission	
Yes, a redacted copy of part(s) of the submission	
No	
SECTION D – INTENDED USE	
1. Describe the intended conditions of use of the notified substance, including the foods in w	
in such foods, and the purposes for which the substance will be used, including, when appro	opriate, a description of a subpopulation expected
to consume the notified substance.	
Yeast hydrolysate peptide complex is intended to be added as a food ingrated to adults. It is not intended to be added to infant formula or foods level is 250 mg or less of the complex per serving. Intended food applicate snacks (crackers, ready to eat popcorn), protein or nutritional bars, dry missing the complex per serving is not intended bars.	targeted to children. The intended use ions include but are not limited to
 Does the intended use of the notified substance include any use in product(s) subject to reg Service (FSIS) of the U.S. Department of Agriculture? (Check one) 	gulation by the Food Safety and Inspection
 If your submission contains trade secrets, do you authorize FDA to provide this informatio U.S. Department of Agriculture? 	n to the Food Safety and Inspection Service of the
(Check one)	send to FSIS.

	E – PARTS 2 -7 OF YOUR GRAS NOTICE nission is complete – PART 1 is addressed in other sections	s of this form)				
PART 2 of a GRAS notice: Identity, method of	manufacture, specifications, and physical or technical effect (170.	230).				
 PART 3 of a GRAS notice: Dietary exposure (170.235). 						
 PART 3 of a GRAS notice: Self-limiting levels of use (170.230). 						
 PART 5 of a GRAS notice: Experience based on common use in foods before 1958 (170.245). 						
 PART 6 of a GRAS notice: Narrative (170.250). 						
	ata and information in your GRAS notice (170.255)					
Other Information Did you include any other information that you want Yes No Did you include this other information in the list of a Yes No SECTION F – SI						
1. The undersigned is informing FDA that Matthie	eu Arguillere					
	(name of notifier)					
has concluded that the intended use(s) of Yeast h	ydrolysate peptide complex (name of notified substance)					
	d notice, is (are) not subject to the premarket approval requirement that the substance is generally recognized as safe recognized as					
	agrees to make the data and information that are the conclusion of GRAS status available to FDA if FDA ese data and information during customary business hours at the nd information to FDA if FDA asks to do so.	asks to see them;				
ZAE Via Europa, 3 rue d'Athenes, 34350, Vendres, France (address of notifier or other location)						
as well as favorable information, pertinent	S notice is a complete, representative, and balanced submission the to the evaluation of the safety and GRAS status of the use of the d herein is accurate and complete to the best or his/her knowledge alty pursuant to 18 U.S.C. 1001.	substance.The notifying				

SECTION G – LIST OF ATTACHMENTS

List your attached files or documents containing your submission, forms, amendments or supplements, and other pertinent information. Clearly identify the attachment with appropriate descriptive file names (or titles for paper documents), preferably as suggested in the guidance associated with this form. Number your attachments consecutively. When submitting paper documents, enter the inclusive page numbers of each portion of the document below.

Attachment Number	Attachment Name	Folder Location (select from menu) (Page Number(s) for paper Copy Only)		
	Fytexia_Documentation_supporting _GRAS_status_of_DNF-10_Oct-12-2020	Submission		
OMB Statement: Public reporting burden for this collection of information is estimated to average 170 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Department of Health and Human Services, Food and Drug Administration, Office of Chief Information Officer, <u>PRAStaff@fda.hhs.gov</u> . (Please do NOT return the form to this address). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.				