ENVIROMENTAL ASSESSMENT

1. Date: September 10, 2021

2. Name of Applicant: PeroxyChem, LLC

3. Address:

   All communications on this matter are to be sent in care of Counsel for the Notifier:

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4. Description of the Proposed Action

   A. Requested Action

   The action identified in this FCN is to provide for the use of the food-contact substance (FCS), an aqueous mixture containing peroxyacetic acid (PAA, CAS Reg. No. 79-21-0), hydrogen peroxide (HP, CAS Reg. No. 7722-84-1), acetic acid (AA, CAS Reg. No. 64-19-7), 1-hydroxyethyldene-1,1-diphosphonic acid (HEDP, CAS Reg. No. 2809-21-4), dipicolinic acid (DPA, CAS Reg. No. 499-83-2), and, optionally, sulfuric acid (SA, CAS Reg. No. 7664-93-9).

   Mixtures containing these substances at the same concentrations, or even higher, have been cleared by previous Notifiers for the same uses. The FCS identified herein, therefore, will compete for a share of the market already occupied by these other products rather than introduce a new product or create a new market when this notification becomes effective. Consequently, all potential environmental introductions will be substitutional for previously authorized products. No new environmental introductions are anticipated.
B. Need for Action

This FCS is intended for use as an antimicrobial agent to inhibit the growth of undesirable microorganisms in food processing water and ice used in the production and preparation of poultry, including processed and pre-formed poultry products. Previous authorizations of these uses have allowed processing plants more flexibility in using and managing microbial interventions across the entire production process. The current FCN is needed only to allow market access for the Notifier identified herein.

C. Locations of Use/Disposal

The antimicrobial agent is intended for use in poultry processing plants throughout the United States. The waste process water containing the FCS generated at such facilities is expected to enter the wastewater treatment unit at the plants. It is assumed that very minor quantities of the solution are lost to evaporation throughout the process. It is also assumed herein that treated wastewater will be discharged directly to surface waters in accordance with the plants' National Pollutant Discharge Elimination System (NPDES) permit. This later assumption can be considered worst-case since it does not account for further treatment that may occur at a Publicly Owned Treatment Works (POTW).

5. Identification of Chemical Substances that are the Subject of the Proposed Action

Chemical Identity

The subject of this notification is an aqueous mixture containing peroxyacetic acid (PAA), hydrogen peroxide (HP), acetic acid (AA), 1-hydroxyethylidene-1,1-diphosphonic acid (HEDP), dipicolinic acid (DPA), and, optionally, sulfuric acid (SA). A detailed confidential manufacturing process is included in the Form 3480 of this Notification. PAA formation is the result of an equilibrium reaction between acetic acid and hydrogen peroxide.

\[
\text{CH}_3\text{CO}_2\text{H} + \text{H}_2\text{O}_2 \rightleftharpoons \text{CH}_3\text{CO}_3\text{H} + \text{H}_2\text{O}
\]

6. Introduction of Substances into the Environment

A. As a Result of Manufacture

An environmental assessment should focus on relevant environmental issues relating to the use and disposal from use, rather than the production, of FDA-regulated articles (see, for example, 21 C.F.R § 25.40(a)). Information available to the Notifier suggests no extraordinary circumstances, in this case, indicating any adverse environmental impact as a result of the manufacture of the antimicrobial agent. Consequently, information on the manufacturing site and compliance with relevant emissions requirements is not provided here.

B. As a Result of Use and Disposal

Process water containing the FCS will be treated at an on-site wastewater treatment facility and/or at a POTW. HEDP and dipicolinic acid, the only stable components of the FCS, will partition between the treated process water and the treated sludge, as described more fully.
below. Only extremely small amounts, if any, of the FCS constituents are expected to enter the environment due to the landfill disposal of sludge containing HEDP in light of the EPA regulations governing municipal solid waste landfills. EPA's regulations require new municipal solid-waste landfill units and lateral expansions of existing units to have composite liners and leachate collection systems to prevent leachate from entering ground and surface water, and to have ground-water monitoring systems (40 C.F.R. Part 258).

It is assumed, for the purposes of this Environmental Assessment, that treated wastewater will be discharged directly to surface waters in accordance with a National Pollutant Discharge Elimination System (NPDES) permit. This assumption may be considered a worst-case scenario since it takes no account of further treatment that may occur at a POTW.

Treatment of the process water at an on-site wastewater treatment facility and/or at a POTW is expected to result in complete degradation of peroxyacetic acid, hydrogen peroxide, and acetic acid. Specifically the peroxyacetic acid will break down into oxygen and acetic acid, while hydrogen peroxide will break down into oxygen and water. Acetic acid is rapidly metabolized by ambient aerobic microorganisms to carbon dioxide and water. Therefore, these substances are not expected to be introduced into the environment to any significant extent when the FCS is used as intended.

Sulfuric acid is listed as an optional ingredient in the FCS formulation. Sulfuric acid is used to catalyze the reaction between acetic acid and hydrogen peroxide, more rapidly producing a stable PAA solution, and to modify the pH of the FCS.

Sulfuric acid dissociates readily in water to sulfate ions (SO$_4^{2-}$) and hydrated protons; at environmentally-relevant concentrations, sulfuric acid is practically totally dissociated. As part of the natural sulfur cycle, sulfate is either incorporated into living organisms, reduced via anaerobic biodegradation to sulfides, deposited as sulfur, or re-oxidized to sulfur dioxide and sulfate. Therefore, the minute terrestrial or aquatic discharges of sulfate associated with the use described herein are expected to have no significant environmental impacts, as sulfate is a ubiquitous anion that is naturally present in the ecosystem and virtually indistinguishable from

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industrial sources. The remainder of the environmental assessment will, therefore, assess only the environmental introduction, fate, and potential effects of the stabilizers, HEDP and DPA.

The FCS mixture is provided to users as a concentrate that is diluted on site. When diluted for use, the resulting concentrations of HEDP and DPA for each use will be as follows:

<table>
<thead>
<tr>
<th>Application</th>
<th>Use</th>
<th>HEDP (ppm)</th>
<th>DPA (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole and Cut Poultry</td>
<td>Process water and ice used to spray, wash, rinse or dip poultry carcasses, parts, trim, and organs; and in chiller water, immersion baths (e.g., less than 40 °F), or scald water for poultry carcasses, parts, trim, and organs</td>
<td>120</td>
<td>2.7</td>
</tr>
<tr>
<td>Processed and Pre-Formed Poultry</td>
<td>Water, brine, and ice for washing, rinsing, or cooling of processed and pre-formed poultry products</td>
<td>14</td>
<td>0.3</td>
</tr>
</tbody>
</table>

We focus the remainder of the EA analysis on the one use having the highest concentrations of HEDP and dipicolinic acid as a worst case, namely the use in whole and cut poultry.

Poultry Processing Facilities

Introduction of the components of the FCS into the environment will result from use of the FCS as an antimicrobial agent in processing water from spray and submersion applications for poultry carcasses, parts, organs, and trim, and the subsequent disposal of such water into the processing plant wastewater treatment facility. In poultry processing facilities, the defeathered, eviscerated carcasses are generally sprayed before being chilled via submersion in tanks. The carcass is carried on a conveyor through a spray cabinet and then submerged in the chiller baths. Parts and organs may also be chilled by submersion in baths containing the antimicrobial agent. Chiller baths typically include a main chiller bath and a finishing chiller bath, both containing the FCS.

When the FCS is used at the maximum level under the proposed action, HEDP and dipicolinic acid would be present in water at maximum levels of 80 parts per million (ppm) and 1.6 ppm, respectively. Water is used in poultry processing for scalding (feather removal), bird washing before and after evisceration, chilling, cleaning and sanitizing of equipment and facilities, and for cooling of mechanical equipment such as compressors and pumps. Many of these water uses will not use the FCS, resulting in significant dilution of HEDP into the total water effluent. Assuming, in the very worst-case, that all the water used in a poultry processing

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5 Id.

plant is treated with the FCS, the level of HEDP and dipicolinic acid in water entering the plant’s wastewater treatment facility, the environmental introduction concentration (EIC), would not exceed 80 ppm and 1.6 ppm, respectively.

The Human & Environmental Risk Assessment Project (HERA) indicates that the treatment of wastewater at an onsite treatment facility or POTW results in absorption of approximately 80% of HEDP into sewage treatment sludge. By applying this 80% factor, we differentiate the potential environmental introduction of HEDP via water and sewage sludge, respectively.

Dipicolinic acid is soluble in water, with the estimated water solubility of 5,000 mg/L and an octanol-water partition coefficient estimated to be 0.57. It, therefore, is reasonable to expect dipicolinic acid to remain substantially with water and not be preferentially absorbed to sludge. Sludge is typically dewatered prior to land application. The solids content of mechanically dewatered sludge typically ranges from 20 to 45 percent solids by weight; most processes produce concentrations of solids at the lower end of that range. Thus, assuming sludge is an average of 67% water and the concentration of dipicolinic acid in that water is 2.7 ppm, the concentration of dipicolinic acid in the sludge that may be land applied is 1.8 ppm.

We model the expected environmental concentration (EEC) for terrestrial compartment without applying any dilution factor to the concentrations of HEDP and DPA in sludge due to the dilution upon incorporation of sludge into soil. We also have incorporated a conservative 10-fold dilution factor for discharge to surface waters of the effluent from an onsite treatment

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10 Dipicolinic acid in sludge = (2.7 ppm DPA in water) × 67% = 1.8 ppm DPA in sludge.

facility or POTW,\textsuperscript{12} as indicated below, to estimate the expected environmental concentrations (EECs).

The expected environmental concentrations, estimated as described above, are provided in the table below for application to process water and ice used to spray, wash, rinse or dip poultry carcasses, parts, trim, and organs; and in chiller water, immersion baths (e.g., less than 40 °F), or scald water for poultry carcasses, parts, trim, and organs.

<table>
<thead>
<tr>
<th>Use</th>
<th>Use Level (ppm)</th>
<th>EIC (ppm)</th>
<th>EEC\textsubscript{Terrestrial} (ppm)</th>
<th>EEC\textsubscript{Aquatic} (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HEDP</td>
<td>120</td>
<td>120</td>
<td>96\textsuperscript{13}</td>
<td>2.4\textsuperscript{14}</td>
</tr>
<tr>
<td>Dipicolinic acid</td>
<td>2.7</td>
<td>2.7</td>
<td>1.8\textsuperscript{15}</td>
<td>0.27\textsuperscript{16}</td>
</tr>
</tbody>
</table>

7. Fate of Emitted Substances in the Environment

HEDP Fate in Terrestrial Environment

HEDP is expected to partition between water and sludge during wastewater treatment. Sludge resulting from wastewater treatment may end up landfilled or land applied. If land-applied, HEDP shows degradation in soil; as such, disposal on land should ensure mineralization and removal from the environment.\textsuperscript{17} HEDP’s half-life in soil is estimated to be 373 days, extrapolated from observed degradation of 20% after 120 days.\textsuperscript{18} Phosphonates are also sensitive to radical-mediated degradation, which may operate in the soil environment and serve as a method for the removal of phosphonate pollution.\textsuperscript{19}

\textsuperscript{12} Rapaport, Robert A., 1988 Prediction of consumer product chemical concentrations as a function of publicly owned treatment works, treatment type, and riverine dilution. Environmental Toxicology and Chemistry 7(2), 107-115.

\textsuperscript{13} (120 ppm EIC) \times (80\% in the sludge) = 96 ppm in soil.

\textsuperscript{14} (120 ppm EIC) \times (20\% in wastewater) \times (10-fold into surface water) = 2.4 ppm in water.

\textsuperscript{15} Absent a factor to account for dilution upon land application of sludge, 1.8 ppm DPA in Sludge corresponds to 1.8 ppm DPA in the Terrestrial Compartment (See Footnote 10).

\textsuperscript{16} (2.7 ppm EIC) \times (10\% dilution into surface water) = 0.27 ppm in aquatic compartment.

\textsuperscript{17} See Footnote 7, HERA Report at p. 18.

\textsuperscript{18} Id.

If HEDP-containing sludge is disposed of in a landfill, HEDP would be expected to be controlled by the relevant EPA regulations and state or local guidelines, as described in Item 6.b.

HEDP Fate in Aquatic Environment

Wastewater from food processing facilities that contain the diluted FCS solution is expected to be disposed of through the processing plant wastewater treatment facility or through a local POTW. Once HEDP enters the aquatic environment, it is quite stable, though hydrolysis and degradation are enhanced in the presence of metal ions, aerobic conditions, and sunlight. Photolysis can serve as an important route for the removal of phosphonates like HEDP from the environment, with photodegradation half-lives varying from hours to days depending on the presence of cofactors such as oxygen, peroxides, and complexing metals like iron, copper, or manganese. For example, in the presence of iron, 40-90% degradation occurs within 17 days.

In sediment/river water systems, the ultimate biodegradation of HEDP is estimated as 10% in 60 days, with a corresponding half-life of 395 days. In such systems, phosphonates like HEDP can become tightly adsorbed onto the sediment, indicating that the major part of biodegradation may occur in the sediment, where a half-life of 471 days was observed for HEDP. While hydrolysis half-lives are comparatively long (50-200 days) when compared with photodegradation, hydrolysis may serve as a significant route of removal in soil and sediment environments.

Dipicolinic Acid Fate in the Environment

Information in the scientific literature indicates that DPA, a disubstituted pyridine, readily biodegrades in fresh and marine water, and in soil under both aerobic and anaerobic conditions. In presenting a review on the microbial metabolism of pyridines, including

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20. See Footnote 7, HERA Report at p. 16.
22. See Footnote 7, HERA Report at p. 16.
26. (1) Naik, M.N. et al., Microbial Degradation and Phytotoxicity of Picloram and Other Substituted Pyridines, Soil Biology and Biochemistry, 4: 313-323 (1972), see p. 320; (2) Sims,
dipicolinic acid, Kaiser, et al. describe aerobic metabolism of dipicolinic acid to carbon dioxide, ammonium, and water, and anaerobic metabolism to dihydroxypyridine which then rapidly photodegrades to organic acids (i.e., propionic acid, acetic acid), carbon dioxide, and ammonium. Further information indicates that dipicolinic acid is soluble in water, with the estimated water solubility of 5,000 mg/L and an octanol-water partition coefficient estimated to be 0.57. Based upon this information, it is reasonable to conclude that dipicolinic acid will remain substantially with water and not be absorbed to sludge, and that dipicolinic acid will be readily biodegraded during treatment at POTWs and on-site treatment facilities.

8. Environmental Effects of Released Substances

HEDP Terrestrial Toxicity

HEDP present in the surface water or on land applied sludge is not expected to have any adverse environmental impact based on the terrestrial toxicity endpoints available for plants, earthworms, and birds. Specifically, the no observed effect concentration (NOEC) for soil dwelling organisms was > 1,000 mg/kg soil dry weight for earthworms in soil, while the 14-day LC₅₀ for birds was > 248 mg/kg body weight. These values are all well above the EECs estimated in Item 6, above.

Additionally, as noted in the table above, the maximum estimated concentration of HEDP in the terrestrial compartment is 96 ppm. HEDP shows no toxicity to terrestrial organisms at levels of up to 1,000 mg/kg in soil. Thus, the very conservatively estimated maximum concentration in sludge is only 0.3% of the NOEC. The maximum concentration in soil will be lower due to dilution by the soil when the sludge is used as a soil amendment resulting in an even larger margin of safety with respect to this NOEC level. As such, the FCS is not expected to present terrestrial environmental toxicity concerns.


27 Kaiser, p. 488.


29 See Footnote 7, HERA Report at Table 13.

30 (120 ppm EIC) × (80% in the sludge) = 96 ppm HEDP in sludge.

Aquatic Toxicity

Aquatic toxicity of HEDP has been summarized in the public literature, and is shown in the following table.\(^{32}\)

<table>
<thead>
<tr>
<th>Species</th>
<th>Endpoint</th>
<th>mg/L</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Short Term</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lepomis macrochirus</td>
<td>96 hr LC(_{50})</td>
<td>868</td>
</tr>
<tr>
<td>Oncorhynchus mykiss</td>
<td>96 hr LC(_{50})</td>
<td>360</td>
</tr>
<tr>
<td>Cyprinodon variegatus</td>
<td>96 hr LC(_{50})</td>
<td>2180</td>
</tr>
<tr>
<td>Ictalurus punctatus</td>
<td>96 hr LC(_{50})</td>
<td>695</td>
</tr>
<tr>
<td>Leuciscus idus melonatus</td>
<td>48 hr LC(_{50})</td>
<td>207 – 350</td>
</tr>
<tr>
<td>Daphnia magna</td>
<td>24 – 48 hr EC(_{50})</td>
<td>165 – 500</td>
</tr>
<tr>
<td>Palaemonetes pugio</td>
<td>96 hr EC(_{50})</td>
<td>1770</td>
</tr>
<tr>
<td>Crassostrea virginica</td>
<td>96 hr EC(_{50})</td>
<td>89</td>
</tr>
<tr>
<td>Selenastrum capricornutum(^a)</td>
<td>96 hr EC(_{50})</td>
<td>3</td>
</tr>
<tr>
<td>Selenastrum capricornutum</td>
<td>96 hr NOEC</td>
<td>1.3</td>
</tr>
<tr>
<td>Algae(^a)</td>
<td>96 hr NOEC</td>
<td>0.74</td>
</tr>
<tr>
<td>Chlorella vulgaris</td>
<td>48 hr NOEC</td>
<td>≥100</td>
</tr>
<tr>
<td>Pseudomonas putida</td>
<td>30 minute NOEC</td>
<td>1000</td>
</tr>
<tr>
<td><strong>Long Term</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Oncorhynchus mykiss</td>
<td>14 day NOEC</td>
<td>60 – 180</td>
</tr>
<tr>
<td>Daphnia magna</td>
<td>28 day NOEC</td>
<td>10 – &lt;12.5</td>
</tr>
<tr>
<td>Algae(^a)</td>
<td>14 day NOEC</td>
<td>13</td>
</tr>
</tbody>
</table>

\(^a\) The source for this endpoint is the HERA Phosphonates, 2004, Footnote 7.

Jaworska et al. showed that acute toxicity endpoints for HEDP ranged from 0.74 – 2,180 mg/L, while chronic NOECs were 60 – 180 mg/L for the 14 day NOEC for Oncorhynchus mykiss and the 28 day NOEC for the Daphnia magna ranged from 10 mg/L to <12.5 mg/L. Although a chronic NOEC of 0.1 mg/L for reproductive effects in Daphnia magna was reported, it is inconsistent with other toxicity data, and Jaworska et al. suggest that it is due to the depletion of micronutrients by HEDP instead of the intrinsic toxicity of HEDP.\(^{33}\)

\(^{32}\) Id.

\(^{33}\) Id.
Because HEDP is a strong chelating agent, which can result in negative environmental effects, such as the complexing of essential nutrients, both an intrinsic NOEC (NOECi) and a NOEC that accounts for chelating effects (NOECc) are determined. As noted, it is probable that there will be excess nutrients present in industrial wastewater because eutrophication occurs widely in industrial wastewater coming from food processing facilities.34

We note that the 96 hour NOEC, 24-48 hour EC_{50}, and 96 hour EC_{50} values reported by Jaworska et al. for Selenastrum capricornutum, Daphnia magna, and Crassostrea virginica, respectively, were all likely due to chelation effects rather than intrinsic toxicity.35 As such, these levels are not relevant in situations such as food processing plants, where excess nutrients are present. The HERA report on phosphonates includes a discussion of aquatic toxicity resulting from chelation of nutrients, rather than direct toxicity to aquatic organisms.36 Chelation is not toxicologically relevant in the current evaluation because eutrophication, not nutrient depletion, has been demonstrated to be the controlling toxicological mode when evaluating wastewater discharges from food processing facilities. Jaworska et al. reports the lowest relevant endpoint for aquatic toxicity to be 10 mg/L,37 which is well above the highest conservatively estimated EEC_{Aquatic} of 2.4 ppm for the applications of interest herein. It is important to again emphasize, however, that these estimated EEC values are entirely substitutional for the EEC values resulting from previously effective FCNs for the same use. Consequently, there will be no new environmental introductions when this FCN becomes effective.

Dipicolinic Acid Environmental Toxicity

Very little experimental ecotoxicity data on dipicolinic acid were identified in public literature. EPA’s ECOTOX database identifies one study indicating a freshwater fish 96-hour LC_{50} of 322 mg/L for the fathead minnow. Nevertheless, the Environmental Protection Agency’s Ecological Structure Activity Relationships (ECOSAR) Class Program predicts that dipicolinic acid has low ecotoxicity based on its chemical structure.38 The ECOSAR results for


36 See Footnote 7, HERA Report at p. 25.


38 This program is a sub-routine of the Estimation Program Interface (EPI) Suite – a structure-function predictive modeling suite developed and maintained by the U.S. EPA.
dipicolinic acid predict the following acute and chronic toxicity endpoints. The complete ECOSAR report for this analysis is attached to this EA.

<table>
<thead>
<tr>
<th>ECOSAR Class</th>
<th>Organism</th>
<th>Endpoint</th>
<th>Concentration (mg/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pyridine-alpha-acid</td>
<td>Fish</td>
<td>96 hr LC\textsubscript{50}</td>
<td>324</td>
</tr>
<tr>
<td></td>
<td>Green Algae</td>
<td>96 hr EC\textsubscript{50}</td>
<td>13.97</td>
</tr>
<tr>
<td></td>
<td>Fish</td>
<td>Chronic Value</td>
<td>32.37</td>
</tr>
<tr>
<td></td>
<td>Green Algae</td>
<td>Chronic Value</td>
<td>7.69</td>
</tr>
</tbody>
</table>

Based on these toxicity predictions, dipicolinic acid is not expected to result in significant environmental effects at estimated environmental concentrations of 1.8 ppm in soil or 0.27 ppm in water resulting from the proposed use of the FCS.

9. **Use of Resources and Energy**

The notified use of the FCS mixture will not require additional energy resources for the treatment and disposal of wastes as the FCS is expected to compete with, and to some degree replace, similar HEDP stabilized peroxyacetic acid antimicrobial agents already on the market. The manufacture of the antimicrobial agent will consume comparable amounts of energy and resources as similar products, and the raw materials used in the production of the mixture are commercially manufactured materials that are produced for use in a variety of chemical reactions and processes.

10. **Mitigation Measures**

As discussed above, no significant adverse environmental impacts are expected to result from the use and disposal of the dilute FCS mixture. Therefore, the mixture is not reasonably expected to result in any new environmental issues that require mitigation measures of any kind.

11. **Alternatives to the Proposed Action**

No potential adverse effects are identified herein which would necessitate alternative actions to that proposed in this Notification. If the proposed action is not approved, the result would be the continued use of the currently marketed antimicrobial agents that the subject FCS would replace. Such action would have no significant environmental impact. The addition of the antimicrobial agent to the options available to food processors is not expected to increase the use of peroxyacetic acid antimicrobial products.

12. **List of Preparers**

Devon Wm. Hill, Counsel for Notifier, Keller and Heckman LLP, 1001 G Street, N.W., Suite 500 West, Washington, DC 20001. Mr. Hill has a J.D., with many years of experience drafting food additive petitions and FCN submissions and environmental assessments.

Information on EPI Suite is available at: https://www.epa.gov/tsca-screening-tools/epi-suitetm-estimation-program-interface.
Mark Hepp, Ph.D., Scientist, Keller and Heckman LLP, 1001 G Street, N.W., Suite 500 West, Washington, DC 20001. Dr. Hepp has a Ph.D. in Chemistry with many years of experience with FCN submissions and environmental assessments.

13. Certification

The undersigned certifies that the information presented is true, accurate, and complete to the best of her knowledge.

Date: September 10, 2021

[Redacted]

Devon Wm. Hill
Counsel for Notifier