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# **Technical Specifications for Submitting Clinical Trial Data Sets for Treatment of Noncirrhotic Nonalcoholic Steatohepatitis (NASH)**

## **Guidance for Industry Technical Specifications Document**

For questions regarding this technical specification document, contact CDER  
at [cdcr-edata@fda.hhs.gov](mailto:cdcr-edata@fda.hhs.gov).

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

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Technical Specifications Document**

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## Revision History

Date	Version	Summary of Revisions
August 2021	1.0	Initial Version
January 2022	1.1	<ul style="list-style-type: none"><li>• Section 5.0 – Added language about CDISC Controlled Terminology.</li><li>• Revisions made to Section 5.1.4 - Corrected test code values for Ascites and Encephalopathy Grade.</li></ul>
December 2024	1.2	<ul style="list-style-type: none"><li>• Revisions made to Section 5.1.3 – Corrected Microscopic Findings Test Results for NASH CRN Fibrosis State and Ishak Fibrosis Score.</li><li>• Updated Table 5 in Section 5.1.5 on MELD related scores to include MELD 3.0. and corrected assignment of Child-Pugh test names to the respective test codes.</li><li>• Updated Table 9 in Section 5.1.11 to include Hepatitis D tests.</li><li>• Addition of language in Section 5.1.10 to reflect custom LC domain structured identically to LB for data in conventional units.</li><li>• Revisions made to Section 5.1.13 – Corrected TSPARMCD variable name.</li><li>• Addition of language in Section 5.2.3.1 to reflect custom ADLC data set structured identically to ADLB for data in conventional units.</li></ul>

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# Technical Specifications for Submitting Clinical Trial Data Sets for Treatment of Nonalcoholic Steatohepatitis (NASH)

## Guidance for Industry Technical Specifications Document<sup>1</sup>

This guidance represents the current thinking of the Food and Drug Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the applicable statutes and regulations. To discuss an alternative approach, contact the FDA office responsible for this guidance as listed on the title page.

### 1.0 INTRODUCTION

Nonalcoholic steatohepatitis (NASH) is a subcategory of nonalcoholic fatty liver disease (NAFLD). NASH carries a significant disease burden as it can progress to cirrhosis and liver failure and is associated with an increase incidence of liver cancer.

The Division of Hepatology and Nutrition (DHN) serves as the clinical review division in the Office of New Drugs (OND) for the review of marketing applications for drug and biological products<sup>2</sup> for the treatment of liver fibrosis due to NASH. In December 2018, FDA issued a draft guidance outlining recommendations for clinical development of drugs intended for treatment of noncirrhotic NASH with liver fibrosis.<sup>3</sup> The 2018 draft guidance acknowledges that subjects with NASH fibrosis have unique clinical considerations (e.g., underlying hepatic dysfunction) that may present challenges in demonstrating favorable benefit-risk profile. Furthermore, evaluation of potential drug-induced liver injury (DILI) in this population is challenging because the risk of DILI in subjects with underlying liver disease has not been fully characterized and it can be difficult to differentiate between progression of liver disease and DILI.

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<sup>1</sup> This guidance has been prepared by the Division of Biomedical Informatics, Research, and Biomarker Development and the Division of Hepatology and Nutrition in the Center for Drug Evaluation and Research at the Food and Drug Administration. You may submit comments on this guidance at any time. Submit comments to Docket No. FDA-2018-D-1216 (available at <https://www.regulations.gov/docket?D=FDA-2018-D-1216>) (see the instructions for submitting comments in the docket).

<sup>2</sup> For the purposes of this guidance, the term *drug* or *drugs* includes both human drug and therapeutic biological products unless otherwise specified.

<sup>3</sup> See the draft guidance for industry *Noncirrhotic Nonalcoholic Steatohepatitis With Liver Fibrosis: Developing Drugs for Treatment* (December 2018). When final, this guidance will represent the FDA's current thinking on this topic. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

## Contains Nonbinding Recommendations

33

34 This document provides detailed information and specifications for the content of the tabulated  
35 domains and analysis data sets submitted to FDA as part of the sponsor’s application for drugs  
36 intended to treat noncirrhotic NASH. This guidance does not provide recommendations for  
37 clinical development of drugs intended for the treatment of NASH, or assessment of potential  
38 DILI.

39

40 Revised nomenclature for NAFLD and NASH was introduced in 2023.<sup>4</sup> The proposed  
41 nomenclature for NAFLD is metabolic dysfunction-associated steatotic liver disease (MASLD).  
42 MASLD is diagnosed if a patient has hepatic steatosis (>5% hepatocytes) with at least one  
43 cardiometabolic risk factor. Screening for other potential causes of steatotic liver disease is still  
44 indicated. The new nomenclature for NASH is metabolic dysfunction-associated steatohepatitis  
45 (MASH) with no change in histopathological criteria. FDA considers the terms NAFLD and  
46 NASH interchangeable with MASLD and MASH, respectively, and has maintained the former  
47 nomenclature for NASH in this guidance pending universal acceptance of the new nomenclature.

48

49 The recommendations outlined in the guidance pertain to submission of the sponsor’s tabulated  
50 and analysis data sets in order to improve reviewability. These specifications also provide an  
51 opportunity for dialogue between the sponsor and DHN to discuss issues related to trial design or  
52 conduct that may affect the content of these data sets. These specifications are intended to  
53 support the draft guidance for industry *Noncirrhotic Nonalcoholic Steatohepatitis With Liver*  
54 *Fibrosis: Developing Drugs for Treatment* (NASH Guidance) and reflect the data standards and  
55 processes described in the FDA *Study Data Technical Conformance Guide*.<sup>5</sup>

56

57 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.  
58 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only  
59 as recommendations, unless specific regulatory or statutory requirements are cited. The use of  
60 the word *should* in Agency guidance means that something is suggested or recommended, but  
61 not required.

62

## 63 2.0 RELEVANT ACRONYMS

64

Abbreviation	Description
ADaM	Analysis Data Model
ADRG	Analysis Data Reviewers Guide

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<sup>4</sup> ME Rinella, JV Lazarus, V Ratziu, SM Francque, AJ Sanyal, F Kanwal, D Romero, MF Abdelmalek, QM Anstee, JP Arab, M Arrese, R Bataller, U Beuers, J Boursier, E Bugianesi, CD Byrne, GE Castro Narro, A Chowdhury, H Cortez-Pinto, DR Cryer, K Cusi, M El-Kassas, S Klein, W Eskridge, J Fan, S Gawrieh, CD Guy, SA Harrison, SU Kim, BG Koot, M Korenjak, KV Kowdley, F Lacaille, R Loomba, R Mitchell-Thain, TR Morgan, EE Powell, M Roden, M Romero-Gómez, M Silva, SP Singh, SC Sookoian, CW Spearman, D Tiniakos, L Valenti, MB Vos, VW Wong, S Xanthakos, Y Yilmaz, Z Younossi, A Hobbs, M Villota-Rivas, and PN Newsome, 2023, A Multisociety Delphi Consensus Statement on New Fatty Liver Disease Nomenclature, 78(6): 1966–1986.

<sup>5</sup> Available at <https://www.fda.gov/industry/fda-resources-data-standards/study-data-standards-resources>.

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<b>Abbreviation</b>	<b>Description</b>
APRI	Aspartate Aminotransferase-to-Platelet Ratio Index
BDS	Basic Data Structure
CAC	Cardiac Adjudication Committee
CDISC	Clinical Data Interchange Standards Consortium
CK-18	Cytokeratin-18
CRN	Clinical Research Network
DHN	Division of Hepatology and Nutrition
DILI	Drug-Induced Liver Injury
ELF	Enhanced Liver Fibrosis
FDA	Food and Drug Administration
HAC	Hepatic Adjudication Committee
MACE	Major Adverse Cardiac Events
MASH	Metabolic Dysfunction-Associated Steatohepatitis
MASLD	Metabolic Dysfunction-Associated Steatotic Liver Disease
MedDRA	Medical Dictionary for Regulatory Activities
MELD	Model for End-Stage Liver Disease
NAFLD	Nonalcoholic Fatty Liver Disease
NAS	NAFLD Activity Score
NASH	Nonalcoholic Steatohepatitis
NCI EVS	National Cancer Institute Enterprise Vocabulary Services
OCCDS	Occurrence Data Structure
PRO-C3	N-terminal propeptide of type III collagen
RELREC	Related Records Domain
SDRG	Study Data Reviewers Guide
SDTM	Study Data Tabulation Model

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<b>Abbreviation</b>	<b>Description</b>
SMQ	Standardized MedDRA Query
TAUG	Therapeutic Area User Guide

65

### 66 **3.0 FDA DATA STANDARDS CATALOG**

67

68 This technical specification has been drafted in accordance with the currently supported versions  
69 of the Study Data Tabulation Model (SDTM) Implementation Guide and Analysis Data Model  
70 (ADaM) Implementation Guide as noted in the FDA Data Standards Catalog.<sup>6</sup> As new versions  
71 of the respective implementation guides become available and supported by FDA, this technical  
72 specification may change to align to the newly supported implementation guide(s).

73

74 Sponsors should review the FDA Data Standards Catalog to ensure data submissions follow  
75 FDA-supported standards.

76

### 77 **4.0 OVERVIEW OF DATA SETS**

78

79 This technical specification contains guidance for 21 data sets (14 SDTM domains and 7 ADaM  
80 data sets) relevant to noncirrhotic NASH development programs briefly described below. The  
81 subsequent sections provide more detailed descriptions regarding the information to be collected  
82 and stored in each domain and/or data set. Sponsors should submit all data sets in accordance  
83 with the Study Data Technical Conformance Guide, including those not discussed in this  
84 technical specification.<sup>7</sup>

85

#### 86 **4.1 SDTM Domains**

87

88 This technical specification provides guidance for providing 14 SDTM domains. Sponsors  
89 should still provide standard SDTM domains in addition to those listed below, including but not  
90 limited to Demographics (DM), Adverse Events (AE), Disposition (DS), Death Details (DD),  
91 Subject Visits (SV), Exposure (EX), Comments (CO), etc.

92

93 **Biospecimen Events (BE) domain:** This domain contains information related to  
94 collecting, handling, and processing biological specimens. It is an events domain  
95 structured as one record per subject, per biospecimen event, per biospecimen identifier  
96 and links to the Biospecimen Findings (BS) domains.

97

98 **Biospecimen Findings (BS) domain:** This findings domain contains information related  
99 to observations of specimen quality and characteristics of collected and derived  
100 biospecimens. This information is collected to assess the adequacy of the biopsies that are

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<sup>6</sup> Available at <https://www.fda.gov/industry/fda-resources-data-standards/study-data-standards-resources>.

<sup>7</sup> Available at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents/study-data-technical-conformance-guide-technical-specifications-document>.

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101 used for analysis and is structured as one record per subject, per measurement, per  
102 biospecimen identifier.

103  
104 **Microscopic Findings (MI) domain:** This domain contains all information related to  
105 histological characteristics of liver biopsies. This domain is structured as one record per  
106 subject, per biopsy parameter, per evaluator, per collection.

107  
108 **Supplemental Microscopic Findings (SUPPMI) domain:** This domain contains  
109 supplemental information related to the biopsies, specifically the sponsors' assessment of  
110 slide adequacy. Justification should be provided in this domain for specimens deemed  
111 inadequate.

112  
113 **Disease Response and Clinical Classifications (RS) domain:** This domain contains  
114 information related to disease response to therapy that includes clinical classification  
115 based on published criteria and captures results of Model for End-Stage Liver Disease  
116 (MELD) scoring<sup>8</sup> and Child-Pugh Classification. This findings domain is structured as  
117 one record per subject, per response or clinical classification assessment time point, per  
118 medical evaluator.

119  
120 **Imaging Results (ZI) domain:** This custom domain contains results of imaging  
121 procedures done to assess liver steatosis, inflammation, and fibrosis. Sponsors may  
122 choose the tests and data points to collect from imaging procedures, but this domain  
123 provides guidance to ensure the data is submitted to FDA in a consistent manner.

124  
125 **Adjudication (ZA) domain:** This custom domain contains results of assessments of  
126 individual adjudicators as it pertains to potential DILI and other clinical events and  
127 outcomes. This domain is structured similar to the MI domain, where the evaluator  
128 information is stored in ZAEVAL and ZAEVALID to account for intra- and inter-  
129 observer variability.

130  
131 Additional information, instructions, and CDISC Controlled Terminology are included in  
132 individual subsections pertaining to the Concomitant Medications (CM), Medical History (MH),  
133 Laboratory (LB), Microbiology Specimens (MB), Substance Use (SU), and Trial Summary (TS)  
134 domains.

### 135 136 **4.2 ADaM Data Sets**

137  
138 ADaM data sets include variables that represent derived study days. It is assumed that the anchor  
139 date for study day 1 is Date of First Exposure to Treatment (TRTSDT) and this date of first dose  
140 is identical to Date of Randomization (RANDDT). If the Date of First Exposure to Treatment  
141 and Date of Randomization differ, sponsors should provide an explanation for this discrepancy.  
142 All ADaM data sets should be accompanied by informative metadata, as provided in a compliant  
143 ADaM define.xml document and Analysis Data Reviewers Guide (ADRG) that describes the

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<sup>8</sup> Individual components of MELD scoring are captured elsewhere, but final calculation for MELD is placed in the RS domain.



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144 source and derivation of the variables. In addition, programs used to create ADaM data sets  
145 should be submitted.

146  
147 **Subject-Level Analysis Data Set (ADSL):** This data set contains one record per subject.  
148 This data set provides information about the subjects' demographics, treatment arm, trial  
149 start and end dates, and summary baseline characteristics. Many of the baseline  
150 characteristic variables in this data set are calculated or derived values from other data  
151 sets (e.g., baseline alanine aminotransferase (ALT)) and an associated categorization of  
152 that value. The notes in the ADSL table provided within this document should be  
153 considered a guide and a baseline instruction set; sponsors should submit additional  
154 variables and derivations as they see fit. Specific sections of the ADSL should be carried  
155 into other ADaM data sets for analysis purposes (e.g., Basic Demographic Variables,  
156 Treatment Arms, etc.), and are noted in the full description of the ADaM data sets. All  
157 derivations should be noted in the define.xml file, and additional notes about the data set  
158 included in the ADRG.

159  
160 **Adverse Event Analysis Data Set (ADAE):** This data set contains one record per  
161 subject, per adverse event, per collection. All AEs reported for a subject during their  
162 participation in the trial should be recorded. It also includes additional relevant variables  
163 to the review, such as sponsor derived Standardized Medical Dictionary for Regulatory  
164 Activities Query (MedDRA SMQ) flags and/or custom MedDRA queries for DILI.

165  
166 **Laboratory Analysis Data Set (ADLB):** This data set contains one record per subject,  
167 per lab test, per collection. The laboratory tests of most interest are noted below in the  
168 full description for SDTM.LB, but this specification contains additional analysis  
169 variables to be submitted in ADLB. However, it is acceptable for additional laboratory  
170 tests to be included. If the submitted data set is greater than 5 GB, the data set should be  
171 split according to laboratory panels of hematology, chemistry, urinalysis, and other (if  
172 necessary, for miscellaneous tests). This data set is designed to be equivalent to an ADaM  
173 compliant Basic Data Structure (BDS) laboratory data set with additional review division  
174 specific variables. An additional custom data set ADLC structured identically to ADLB  
175 containing conventional units should be submitted. It is ideal if both conventional and SI  
176 units come directly from the lab vendor and should include all results from unscheduled  
177 tests or visits, and local laboratories. Reference ranges used for specific populations  
178 should be identified in the SDRG and ADRG.

179  
180 **Drug-Induced Liver Injury Analysis Data Set (ADDILI):** This custom data set  
181 contains one record per subject, per parameter, per collection, where each subject should  
182 have a minimum of one parameter to evaluate potential DILI. Subjects deemed to have  
183 potential DILI should have two additional parameters: one to list the action taken and  
184 another to list the outcome. This data set also contains variables derived from pertinent  
185 data from the ADLB data set, such as post-baseline maximum values for each of the liver  
186 enzymes. In addition, this data set contains flags to indicate any additional work-up  
187 conducted to evaluate potential DILI. Sponsors may create new variables to support the  
188 analysis or additional parameters using the existing variables (or both) in consultation  
189 with FDA.

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190  
191 **Microscopic Findings Analysis Data Set (ADMI):** This data set contains one record per  
192 subject, per biopsy parameter, per evaluator, per collection. If re-read of biopsies is  
193 performed, this data set should include flags to indicate different readings for the same  
194 biopsy. This data set is meant to capture all source biopsy records and evaluate subjects  
195 with respect to the histological endpoints as designated by the study protocol. Each  
196 histological endpoint is given its own parameter and evaluated in AVALC (e.g., ‘Y’ or  
197 ‘N’). Additional criteria and analysis flags should also be included as needed from the  
198 sponsor. This data should be submitted when the histological data are collected and  
199 reported to FDA.

200  
201 **Non-Invasive Serum Biomarkers of Liver Fibrosis and NASH Analysis Data Set**  
202 **(ADRS):** The ADRS data set contains the non-invasive NASH and fibrosis serum  
203 biomarkers sponsors may wish to evaluate such as Cytokeratin-18 (CK-18), MELD  
204 score, Enhanced Liver Fibrosis (ELF) score, Liver Fibrosis (FIB-4) score, Aspartate  
205 Aminotransferase-to-Platelet Ratio Index (APRI), etc. Measurements may be derived as  
206 calculations from data points in other data sets such as SDTM.LB or SDTM.RS. This  
207 data set follows the same structure as the SDTM.RS domain but contains additional  
208 parameters; each non-invasive endpoint (e.g., MELD Score from Baseline <12 to >=15  
209 post-baseline) is given its own parameter and evaluated in AVALC (e.g., ‘Y’ or ‘N’).  
210 Additional criteria and analysis flags should be included as the sponsor sees fit. This data  
211 should be submitted when the non-invasive serum biomarkers are collected and reported  
212 to FDA.

213  
214 **Analysis Time to Event Data Set (ADTTE):** This data set is one record per subject, per  
215 parameter, per time point. Sponsors should use this data set to evaluate time-to-event  
216 endpoints for all subjects. This guidance contains sample parameters, but sponsors should  
217 consult with FDA on the specific parameters relevant to their program.

## 218 219 **5.0 OVERVIEW OF DATA SET SPECIFICATIONS**

220  
221 Each section below provides specifications and/or appropriate CDISC Controlled Terminology  
222 that describes the desired content and structure of the data set. The variable names and associated  
223 metadata are based on current CDISC SDTM and ADaM standards where possible. If any  
224 variable is unclear, sponsors are encouraged to discuss the expectations with DHN.

225  
226 Sponsors are strongly encouraged to seek DHN input for additional custom data sets and  
227 variables to support determination of efficacy and/or safety. Though sponsors should consider  
228 the data sets referenced in this guidance as FDA’s recommendation to support regulatory review,  
229 some variables may not be appropriate for all clinical trials.

230  
231 CDISC Controlled Terminology developed and maintained by CDISC and National Cancer  
232 Institute Enterprise Vocabulary Services (NCI EVS)<sup>9</sup> should be used where applicable, but  
233 codelists may be extensible. If a submission used alternate controlled terminology or extended  
234 any codelist, then sponsors should indicate this in the define.xml document as well as the SDRG

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<sup>9</sup> Available at <https://evs.nci.nih.gov/ftp1/CDISC/SDTM/SDTM%20Terminology.pdf>.

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235 and ADRG. The variable labels and the variable type noted in the specifications should be used.  
236 As updates are made to CDISC Controlled Terminology, sponsors should use the current version  
237 provided by CDISC and NCI EVS.

### 238 239 **5.1 SDTM Domains**

#### 240 241 *5.1.1 Biospecimen Events (BE) Domain*

242  
243 The BE domain should contain information regarding the collection of specimens that are used  
244 for histological assessment within the Microscopic Findings (MI) domain, including the  
245 anatomic location from which the specimen was collected. The collection event is linked to the  
246 Biospecimen Findings (BS) domain that stores information regarding any measurements  
247 performed on the specimens. For example, a tissue sample that is collected, extracted, frozen,  
248 shipped, and thawed should have five records, each with a BEDECOD value of  
249 ‘COLLECTING’, ‘EXTRACTING’, ‘FREEZING’, ‘SHIPPING’ and ‘THAWING’ respectively.

#### 250 251 *5.1.2 Biospecimen Findings (BS) Domain*

252  
253 Sponsors should provide a complete listing of all characteristics of biospecimens and derived  
254 samples used for analysis in the Microscopic Findings (MI) domain. These measurements are  
255 used to assess the adequacy and integrity of the samples collected. Sponsors should collect and  
256 submit information for the following Biospecimen Characteristics Test Name (BSTEST) values:

257  
258 **Table 1: Biospecimen Domain Test Values**

BSTESTCD	BSTEST	BSORRES	Notes
DIAMETER	Diameter		This test is extended from the BSTEST codelist.
LENGTH	Length		The result should be reported in millimeters (mm).

260  
261 It is important to note that sponsors may submit additional tests to support the decision to include  
262 or exclude the sample from analysis. In addition, sponsors may use the Related Records  
263 (RELREC) domain to connect the specimens between the BS and MI domains.

#### 264 265 *5.1.3 Microscopic Findings (MI) Domain*

266  
267 Currently, the surrogate endpoints that are acceptable to DHN for a phase 3 trial to support  
268 approval for treatment of noncirrhotic NASH with fibrosis are biopsy-based under 21 CFR 314  
269 Subpart H - Accelerated Approval of New Drugs for Serious or Life-Threatening Illnesses. A  
270 semi-quantitative scoring system that considers a core set of histological features known as the  
271 NASH Clinical Research Network (CRN) Scoring System is used to assess NASH. Sponsors  
272 may provide data for additional scoring systems conforming to CDISC standards.<sup>10</sup> Details on  
273 alternative scoring should be described in the SDRG and ADRG.

<sup>10</sup> Available at <https://www.cdisc.org/standards>.

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274  
275 Subjects should have an overall histological diagnosis of NASH with liver fibrosis before the  
276 NASH CRN Score applies. The NASH CRN Scoring System consists of an evaluation of fibrosis  
277 using the NASH CRN Fibrosis Stage and the NAFLD Activity Score (NAS), where NAS  
278 combines scores of steatosis, lobular inflammation, and hepatocellular ballooning.<sup>11</sup> For drug  
279 development programs intended for treatment of noncirrhotic NASH with liver fibrosis, FDA has  
280 accepted as critical inclusion criteria in NASH trials a NAS greater than or equal to 4 with at  
281 least 1 point each in inflammation and ballooning along with a NASH CRN fibrosis score greater  
282 than stage 1 fibrosis but less than stage 4 fibrosis.

283  
284 Liver biopsy data can be tabulated using CDISC's MI Domain. The MI domain is designed to  
285 hold information generated from histological, pathological, and immunohistological images  
286 obtained via microscopic evaluations of tissue samples. The MI domain provides a record for  
287 each microscopic finding observed, where multiple microscopic tests on a specimen may be  
288 conducted.

289  
290 As the biopsy tissue may be processed into multiple slides prior to histopathological evaluation,  
291 each individual slide may be assessed for individual NASH components. Sponsors should  
292 determine whether or not the individual slides are adequate for assessment and record those  
293 results in the Supplemental MI (SUPPMI) domain. Sponsors should also link records within the  
294 MI, BS, and BE domains via a RELREC domain. Based on the specifications of the MI domain  
295 and the CDISC Controlled Terminology approved thus far for liver fibrosis scoring, each specific  
296 component of the NAS should be recorded using the Microscopic Findings Test Detail  
297 (MITSTDTL) variable. Likewise, the total NAS score is represented with MITSTDTL of 'Total  
298 Score' with the criteria (NAS) being held in Microscopic Findings Test Code (MITESTCD) and  
299 Microscopic Findings Test (MITEST) variables, to group the individual components and total  
300 score of NAS.

301  
302 Given that histological assessments of NAFLD and NASH are subject to inter- and intra-  
303 observer variability,<sup>12</sup> sponsors should capture the observer details in the MI domain using the  
304 Evaluator (MIEVAL) and Evaluator ID (MIEVALID) variables. The measure evaluator is stored  
305 in MIEVAL and within the MIEVALID variable when distinction between multiple evaluators  
306 with the same role is necessary. Where MIEVALID is populated, MIEVAL should exist and  
307 have a non-null value. CDISC Controlled Terminology should be used to populate the values for  
308 these variables. In cases where multiple evaluators provide assessments for a given time point  
309 measurement or for an overall assessment, an independent assessor identifies one of multiple  
310 measurements to be the accepted one and should be indicated through use of the Accepted  
311 Record Flag variable (MIACPTFL). Values for this variable are not meant to be derived by the  
312 sponsor.  
313

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<sup>11</sup> DE Kleiner, EM Brunt, M Van Natta, C Behling, MJ Contos, OW Cummings, LD Ferrell, YC Liu, MS Torbenson, A Unalp-Arida, M Yeh, AJ McCullough, and AJ Sanyal, 2005, Design and Validation of a Histological Scoring System for Nonalcoholic Fatty Liver Disease, *Hepatology*, 41(6):1313–1321.

<sup>12</sup> DE Kleiner and HR Makhlof, 2016, Histology of Nonalcoholic Fatty Liver Disease and Nonalcoholic Steatohepatitis in Adults and Children, *Clin Liver Dis*, 20(2):293–312.

***Contains Nonbinding Recommendations***

314 CDISC Controlled Terminology provides values of ‘Fibrosis’ within MITESTCD and MITEST.  
 315 While there is currently no specified terminology published by CDISC for the NASH CRN  
 316 Fibrosis Stage, CDISC does provide terminology for other liver fibrosis scoring criteria for use  
 317 with the Microscopic Findings Test Detail (MITSTDTL) variable. MITSTDTL is a qualifier  
 318 variable for MITESTCD and MITEST. Based on this, sponsors should specify NASH CRN  
 319 Fibrosis Stage in MITSTDTL, used in conjunction with MITESTCD and MITEST of ‘Fibrosis.’  
 320 Results from the biopsy can be specified using the Microscopic Findings Original Result  
 321 (MIORRES) variable.

322  
 323 Tables 2 (recommended) and 3 (permissible) below contain a representation of concepts for the  
 324 MI domain. Sponsors should discuss with the review division if any of the permissible tests are  
 325 required for an individual trial. Sponsors should store any comments about the biopsy or slide  
 326 readings in a Comments (CO) domain.

327  
 328 **Table 2. Recommended Microscopic Findings Test Codes, Tests, Test Details and Results<sup>13</sup>**  
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MITESTCD	MITEST	MITSTDTL	MIORRES
NASHIND	Histological Presence of NASH with Fibrosis Indicator		Yes, No
NAS	NAFLD Activity Score	STEATOSIS	0, 1, 2, 3
NAS	NAFLD Activity Score	LOBULAR INFLAMMATION	0, 1, 2, 3
NAS	NAFLD Activity Score	BALLOONING	0, 1, 2
NAS	NAFLD Activity Score	TOTAL SCORE	0, 1, 2, 3, 4, 5, 6, 7, 8
STEAT	Steatosis	STEATOSIS GRADE	<5%, 5-33%, >33-66%, >66%
STEAT	Steatosis	STEATOSIS LOCATION	Zone 3, Zone 1, Azonal, Panacinar
STEAT	Steatosis	MICROVESICULAR STEATOSIS	Present, Absent
FIBROSIS	Fibrosis	NASH CRN FIBROSIS STAGE	0, 1A, 1B, 1C, 2, 3, 4
INFLAM	Inflammation	LOBULAR INFLAMMATION	No foci, <2 foci, 2-4 foci, >4 foci
INFLAM	Inflammation	PORTAL INFLAMMATION <sup>14</sup>	None to minimal, >Minimal

<sup>13</sup> Note: The terminology listed in the table below is proposed terminology.

<sup>14</sup> Portal Inflammation is recommended for pediatric trials and permissible for adult trials.

*Contains Nonbinding Recommendations*

MITESTCD	MITEST	MITSTDTL	MIORRES
HCCINJ	Hepatocellular Injury	BALLOONING DEGENERATION	None, Few, Many
PTNUM	Number of Portal Tracts		

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**Table 3. Permissible Microscopic Findings Test Codes, Tests, Test Details and Results**

MITESTCD	MITEST	MITSTDTL	MIORRES
FIBROSIS	Fibrosis	ISHAK FIBROSIS SCORE	0-6
INFLAM	Inflammation	MICROGRANULOMAS	Present, Absent
HCCINJ	Hepatocellular Injury	ACIDOPHIL BODIES	None to rare, Many
HCCINJ	Hepatocellular Injury	MALLORY BODIES	None to rare, Many
HCCINJ	Hepatocellular Injury	PIGMENTEED MACROPHAGES	None to rare, Many
HCCINJ	Hepatocellular Injury	MEGAMITOCHONDRIA	None to rare, Many

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*5.1.4 Supplemental Microscopic Findings (SUPPMI) Domain*

Sponsors should provide a Supplemental Microscopic Findings (SUPPMI) domain with a parameter to assess the adequacy of biopsy sample(s) collected for analysis. Adequacy of the slide(s) created for evaluating the histopathological features (e.g., steatosis, inflammation, ballooning) should be established based on results collected in the BS and MI domains. Sponsors should provide their rationale in the supplemental qualifier ‘Image Condition’ for slides determined to be not adequate for analysis. If there are multiple reasons, ‘Image Condition’ should take a value of ‘MULTIPLE’ with the individual reasons listed in QVAL for ‘Image Condition 1’ and ‘Image Condition 2.’ Sponsors may provide additional slide-level data points in the SUPPMI domain as they see fit (e.g., evaluator name, container name). The table below provides the variables and terminology to be used for assessing adequacy of the sample.

*Contains Nonbinding Recommendations*

347 **Table 4. Terminology for SUPPMI Domain**  
348

RDOMAIN	IDVAR	IDVARVAL	QNAM	QLABEL	QVAL
MI	<i>Use these variables to link back to MI domain</i>		MIOIQ	Overall Image Quality	Expected Values: Adequate, Not Adequate
MI			MIIMCND	Image Condition	e.g., Blurry Image, Cracked Slide, Multiple
MI			MIIMCND1	Image Condition 1	<i>List Condition 1 if Multiple Conditions</i>
MI			MIIMCND2	Image Condition 2	<i>List Condition 2 if Multiple Conditions</i>

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*5.1.5 Disease Response and Clinical Classifications (RS) Domain*

351 CDISC provides specific guidance for characterizing and recording clinical indicators of hepatic  
352 disease progression including MELD Scoring,<sup>15</sup> West Haven Hepatic Encephalopathy Grade,<sup>16</sup>  
353 and Child-Pugh Classification<sup>17</sup> in the RS domain.

- 354
- 355 • **MELD Related Scores (e.g., MELD, MELD Sodium (MELD-Na), MELD 3.0):** While  
356 there are no current CDISC guidance for MELD-related scores, sponsors should follow a  
357 similar modeling strategy as MELD scoring as shown in Table 5. Individual laboratory  
358 test result values (e.g., Creatinine, Bilirubin, INR) and patient sex should be represented  
359 in the LB domain and composite scoring in the RS domain where the linkage is  
360 represented using the --LNKID variable. The relationship between the two domains is  
361 stored in the RELREC domain.
  - 362 • **West Haven Hepatic Encephalopathy Grade:** The measure evaluator is stored in  
363 Evaluator (RSEVAL), and if distinction between multiple evaluators with the same role  
364 is necessary, this information is stored within the Evaluator ID (RSEVALID) variable.  
365 Where RSEVALID is populated, RSEVAL should exist and have a non-null value.  
366 Results should be populated in the Original (RSORRES) and Standard Character  
367 (RSSTRESC) Results variables and take values of ‘GRADE 0’, ‘GRADE 1’, ‘GRADE  
368 2’, ‘GRADE 3’, or ‘GRADE 4’.
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<sup>15</sup> Available at <https://www.cdisc.org/standards/foundational/qrs/model-end-stage-liver-disease>.

<sup>16</sup> Available on the <https://www.cdisc.org/> website after login at <https://www.cdisc.org/system/files/members/standard/foundational/qrs/SDTM%20RS-WH%20Hepatic%20Encephalopathy%20Grade%20v1%20Public%20Domain.pdf>.

<sup>17</sup> Available on the <https://www.cdisc.org/> website after login at <https://www.cdisc.org/system/files/members/standard/foundational/qrs/SDTM%20RS-Child-Pugh%20v1%20Public%20Domain.pdf>.

*Contains Nonbinding Recommendations*

- 370       • **Child-Pugh Classification:** Guidance provided by CDISC provides a way to represent  
 371 the individual laboratory test result values in the LB domain collection of ascites  
 372 information in the CE domain, and composite scoring in the RS domain where the  
 373 linkage is represented using the --LNKID variable from each of the domains. For  
 374 example, a serum bilirubin result of 2.3 mg/dL would result in a RSORRES of ‘2 to 3’  
 375 and a RSSTRESN value of 2 where RSTEST = ‘CPS01-Serum Bilirubin.’ The  
 376 relationship between the two domains is represented in a RELREC domain. Since the  
 377 input to the Child-Pugh Encephalopathy Grade parameter originates from the West  
 378 Haven Hepatic Encephalopathy Grade that is also modeled in the RS domain (see above  
 379 section on ‘West Haven Hepatic Encephalopathy Grade’), the guidance further provides  
 380 linking of these two RS records through the use of the RSGRPID variable.  
 381

382 The table below provides CDISC Controlled Terminology that has been developed for RSCAT,  
 383 RSTESTCD and RSTEST. Refer to the current version of the NCI EVS to ensure no changes to  
 384 the terminology relevant to the RS domain.  
 385

386 **Table 5. Terminology for Disease Response and Clinical Classifications (RS) Domain<sup>18</sup>**  
 387

RSCAT	RSTESTCD	RSTEST	CDISC Definition (if available)
MELD	MELD0101	MELD-01 Score	Model for End Stage Liver Disease–MELD Score.
MELD-NA	MELD0201	MELD-NA Score	
MELD-3.0	MELD0301	MELD-03 Score	
WEST HAVEN HEPATIC ENCEPHALOPATHY GRADE	WHEG0101	WHEG01-WH Hepatic Encephalopathy Grade	West Haven Hepatic Encephalopathy Grade – Grade.
CHILD-PUGH CLASSIFICATION	CPS0101	CPS01- Encephalopathy Grade	Child-Pugh Classification - Encephalopathy Grade.
	CPS0102	CPS01-Ascites	Child-Pugh Classification - Ascites.
	CPS0103	CPS01-Serum Bilirubin	Child-Pugh Classification - Serum bilirubin, mg/dL.
	CPS0104	CPS01-Serum Albumin	Child-Pugh Classification - Serum albumin, g/dL.
	CPS0105A	CPS01-PT, Sec Prolonged	Child-Pugh Classification - Prothrombin time, sec prolonged.

<sup>18</sup> Note: MELD-NA terminology is proposed terminology and not listed in the NCI EVS.



### *Contains Nonbinding Recommendations*

<b>RSCAT</b>	<b>RSTESTCD</b>	<b>RSTEST</b>	<b>CDISC Definition (if available)</b>
	CPS0105B	CPS01-PT, INR	Child-Pugh Classification - Prothrombin time, international normalized ratio (INR).
	CPS0106	CPS01-Total Score	Child-Pugh Classification - Child-Pugh total score.
	CPS0107	CPS01-Grade	Child-Pugh Classification - Child-Pugh grade.

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#### *5.1.6 Imaging Results (ZI) Domain<sup>19</sup>*

391 The custom ZI domain captures results of liver imaging procedures (e.g., MRI or CT scan).

392

393 Sponsors may choose to conduct imaging procedures to further investigate the liver during the  
 394 clinical trial, including measurements for liver steatosis, inflammation, and/or fibrosis. Imaging-  
 395 based biomarkers are currently not accepted by DHN to support primary efficacy assessments in  
 396 trials intending to support a marketing application; however, they can be used to assess  
 397 preliminary efficacy in early phase trials and as exploratory or secondary endpoints to provide  
 398 supportive evidence. It is therefore important for these data points to be submitted consistently.  
 399 The procedure itself should be recorded in the Procedures (PR) domain and results of the  
 400 procedure should be recorded in this custom Imaging Results (ZI) domain.

401

402 The ZI domain takes the structure of a findings domain and should contain variables such as  
 403 Imaging Results Test (ZITEST), Imaging Results Test Code (ZITESTCD), and Imaging Results  
 404 Original Result (ZIORRES). Sponsors may use their discretion regarding which data points to  
 405 collect and submit from the imaging procedure(s). Recording of the procedure in the PR domain  
 406 and results of that procedure in the ZI domain may be linked via the RELREC domain.

407

#### *5.1.7 Adjudication (ZA) Domain*

408

409 Sponsor protocols may specify for certain events and/or clinical outcomes to be adjudicated by  
 410 investigator(s) and/or committee(s). Sponsors should create a custom ZA domain to provide  
 411 assessments by individual adjudicators as it relates to certain events (e.g., DILI). Examples of  
 412 adjudicated events in NASH development programs may include DILI, Liver-Related Death,  
 413 Hepatic Decompensation Events, Major Adverse Cardiac Events (MACE), and Cardiac-Related  
 414 Death. All potential events sent for adjudication should be included in the data set regardless of  
 415 the adjudication outcome.

416

417 The ZA domain should follow the structure of a Findings About Events or Interventions (FA)  
 418 domain. An FA domain utilizes the SDTM findings class structure with the addition of an  
 419

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<sup>19</sup> Note: Future releases of the SDTMIG may contain a Gastrointestinal System Findings (GI) domain as discussed in the Crohn's Disease Therapeutic Area User Guide (TAUG). If and when the GI domain becomes available in a future version of the SDTMIG and if FDA supports that new version, sponsors may choose to submit ZI findings into the GI domain.

### *Contains Nonbinding Recommendations*

420 ‘Object’ (--OBJ) variable that serves to specialize the topic being measured within the --  
421 TESTCD/--TEST variable.

422  
423 Sponsors should specify in their protocol and in the ZA domain the event that is being  
424 adjudicated, type of investigator assessing the event as well as the committee responsible for  
425 resolving any discrepancies between individual adjudicators. For example, the custom ZA  
426 domain may provide DILI assessments and/or Liver-Related Death/Clinical Outcomes by  
427 individual hepatologists and a Hepatic Adjudication Committee (HAC), if applicable. MACE  
428 and Cardiac-Related Death events may be adjudicated by a Cardiac Adjudication Committee  
429 (CAC).

430  
431 The date of the adjudicator’s assessment may be stored in ZADTC. The earliest date that the  
432 assessor determines the subject to have met the criteria should be modeled as its own record with  
433 ZATEST value of ‘EVALUATED EVENT ONSET DATE’ with the date value stored in  
434 ZAORRES. The Object (ZAOBJ) variable should hold the name of the event being evaluated,  
435 such as ‘DILI’ or ‘CARDIAC MACE.’ Each block of records resulting from assessment of a  
436 single adjudication event should be grouped using a unique Repetition Number (ZAREPNUM)  
437 variable. The Category (ZACAT) variable may be used to distinguish if the adjudication is a  
438 ‘FIRST ADJUDICATION’ or a ‘READJUDICATION.’

439  
440 For assessing potential DILI, each adjudicator’s assessment should include a category of  
441 likelihood that the investigational or study product caused DILI. We recommend the likelihood  
442 categories used by the Drug-Induced Liver Injury Network’s numeric score of 1 to 6 (1 =  
443 Definite, 2 = Highly Likely, 3 = Probable, 4 = Possible, 5 = Unlikely, or 6 = Indeterminate).<sup>20</sup> If  
444 a HAC was used, then consensus assignment of likelihood category should also be provided (and  
445 noted in the ZAEVAL variable). If the adjudicator is reviewing lab data to determine whether the  
446 subject meets potential DILI injury, the records from the LB domain and the record(s) in the ZA  
447 domain may be linked using the RELREC domain.

448  
449 For assessing whether a subject meets given criteria (e.g., cardiac-related death), sponsors should  
450 include in their study protocol the specific criteria being used for the event being adjudicated.  
451 The Test (ZATEST) variable may take a value such as ‘EVENT CRITERIA MET’ with the  
452 event itself stored in the ZAOBJ variable. The result variable (ZAORRES) may take values of  
453 ‘Yes’ or ‘No.’ Any comments made by an adjudicator or an adjudication committee the sponsor  
454 wishes to submit should be stored in the Comments (CO) domain, linked to the ZA domain by  
455 means of the variables RDOMAIN (related domain), IDVAR (Identifying Variable), and  
456 IDVARVAL (Identifying Variable Value) within the CO domain. Linking a comment to an  
457 individual record in the ZA domain, use RDOMAIN = ‘ZA’, IDVAL = ‘ZASEQ’ and the  
458 appropriate value of ZASEQ in IDVARVAL.

459  
460 For assessing hepatic decompensation events requiring adjudication, the ZAOBJ variable could  
461 take a value of ‘HEPATIC DECOMPENSATION EVENT.’ The adjudicator’s assessment of the  
462 specific event causing the subject to reach the endpoint is stored in ZAORRES where ZATEST =  
463 ‘ADJUDICATION OUTCOME’ with the adjudicator’s assessment of when the subject reached  
464 that endpoint stored in ZAORRES where ZATEST = ‘EVALUATED EVENT ONSET DATE.’

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<sup>20</sup> Available at <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3637941/pdf/nihms-444700.pdf>.

*Contains Nonbinding Recommendations*

465  
466 Table 6 contains the variables and subsequent comments, and Table 7 contains example  
467 terminology for adjudicated events and outcomes.  
468

469 **Table 6. ZA Variables**  
470

Variable Name	Variable Label	Type	Comments
<b>Adjudication Test and Results</b>			
ZATESTCD	Adjudication Test Code	Char	<i>See Table 7.</i>
ZATEST	Adjudication Test	Char	<i>See Table 7.</i> Sponsors may include additional tests beyond what is listed in Table 7. Additional tests can apply to all objects or some objects (i.e., sponsors are permitted to submit an object-specific test).
ZAOBJ	Object of Interest	Char	<i>See Table 7.</i> The category of event that is being adjudicated, e.g., ‘DILI’, ‘CARDIAC MACE’. If the trial protocol calls for additional events or outcomes to be adjudicated, sponsors may extend the codelist beyond what is listed in Table 7.
ZACAT	Category	Char	Used to define if the adjudication is a first adjudication or re-adjudication.
ZAORRES	Original Result	Char	The adjudicator’s assessment of ZATEST.
ZASTRESC	Standard Character Result	Char	Standardized Result of the adjudicator’s assessment of ZATEST.
<b>Adjudicator Information</b>			
ZAEVAL	Evaluator	Char	Role of the person who provided the evaluation. Used only for results that are subjective (e.g., assigned by a person or a group). Should be null for records that contain collected or derived data. Examples: INVESTIGATOR, ADJUDICATION COMMITTEE, VENDOR.
ZAEVALID	Evaluator Identifier	Char	Used to distinguish multiple evaluators with the same role recorded in ZAEVAL. Examples: ‘Hepatologist 1’, ‘Hepatologist 2’. ZAEVAL should be populated when ZAEVALID is populated.
<b>Other Flags</b>			
ZAACPTFL	Accepted Record Flag	Char	The Acceptance Flag identifies those records that have been determined to be the accepted assessment by an independent assessor. This flag would be provided by an independent assessor and when multiple evaluators (e.g., ‘Hepatologist 1’, ‘Hepatologist 2’, and ‘Hepatic Adjudication Committee’) provide assessment or evaluations at the same time point or an overall evaluation.

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*Contains Nonbinding Recommendations*

472 **Table 7. Example Terminology for Adjudicated Events and Outcomes**  
473

ZAOBJ	ZATESTCD	ZATEST	ZAORRES
DILI	ADJDILI	DILI ADJUDICATION SCORE	1-6
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Adjudicator's assessment of when the subject met event criteria</i>
CARDIAC MACE	ADJCRIT	EVENT CRITERIA MET	Yes, No
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Adjudicator's assessment of when the subject met event criteria</i>
CARDIAC DEATH	ADJCRIT	EVENT CRITERIA MET	Yes, No
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Date of Death</i>
LIVER-RELATED DEATH	ADJCRIT	EVENT CRITERIA MET	Yes, No
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Date of Death</i>
CAUSE OF DEATH	ADJOUT	ADJUDICATION OUTCOME	<i>Adjudicator's assessment of cause of death</i>
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Date of Death</i>
HEPATIC DECOMPENSATION EVENT	ADJOUT	ADJUDICATION OUTCOME	HEPATIC ENCEPHALOPATHY, ASCITES, VARICEAL BLEED, SPONTANEOUS BACTERIAL PERITONITIS
	ADJDATE	EVALUATED EVENT ONSET DATE	<i>Adjudicator's assessment of when the subject met event criteria</i>

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*5.1.8 Concomitant Medications (CM) Domain*

Sponsors should provide a complete list of concomitant medications for all subjects in accordance with supported CDISC standards. In addition to prescription medications, sponsors should include any over-the-counter medications (e.g., weight-loss medication, dietary and herbal medications). Of particular interest are lipid-lowering agents, statins, antihypertensive, antidiabetic, thiazolidinediones, vitamin E, and anticoagulants and antiplatelets. Data relating to concomitant medications should be captured and recorded during scheduled visits as reflected in the trial protocol and when the subject is undergoing evaluation for potential DILI.

## *Contains Nonbinding Recommendations*

### 485 5.1.9 *Medical History (MH) Domain*

486  
487 Sponsors should provide complete medical history data for all subjects in accordance with  
488 supported CDISC standards. Of particular interest are preexisting medical conditions that may  
489 impact NASH disease progression and/or DILI assessment (e.g., diabetes, primary liver cancers,  
490 alcohol/substance use disorder, gallstone disease, heart failure, etc.). Sponsors should refer to the  
491 draft NASH Guidance regarding recommendations for trial enrollment depending on the phase of  
492 drug development. Additionally, sponsors should include a record in the MH domain for any  
493 condition that precludes a subject from participating in the study, in addition to their record in  
494 the Inclusion/Exclusion (IE) domain.

### 495 496 497 5.1.10 *Laboratory (LB) Domain*

498  
499 Sponsors should provide the complete tabulated data for all lab measurements recorded,  
500 including central and local labs (denoted using LBNAM variable) as well as scheduled and  
501 unscheduled visits. All lab data should be provided in accordance with supported CDISC  
502 Standards and Controlled Terminology and the *Study Data Technical Conformance Guide*.  
503 Sponsors should discuss specific laboratory parameters to be collected with DHN.  
504 Recommended parameters are listed below:

- 505  
506 • Hematology parameters (e.g., white blood cell, hemoglobin, platelets)
- 507  
508 • Metabolic parameters (e.g., electrolytes, hemoglobin A1C, creatine kinase, lactate  
509 dehydrogenase)
- 510  
511 • Liver parameters including bilirubin fractions<sup>21</sup> (e.g., direct and indirect or conjugated  
512 and unconjugated)
- 513  
514 • Renal parameters (e.g., creatinine, estimated glomerular filtration rate)
- 515  
516 • Lipid parameters
- 517  
518 • Coagulation parameters (e.g., prothrombin time/international normalized ratio)
- 519  
520 • Autoimmune parameters (e.g., Antinuclear antibody (ANA), anti-smooth muscle  
521 antibody (ASMA), Total IgG levels)
- 522

523 In addition to the above tests, sponsors may wish to include other serum biomarkers that may be  
524 relevant to understanding NASH, fibrosis, and/or DILI (e.g., ELF,<sup>22</sup> CK-18, APRI, FIB-4, N-

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<sup>21</sup> Sponsors should use appropriate terminology to clearly reflect the method used to assess bilirubin fractions (i.e., *conjugated* vs. *direct*).

<sup>22</sup> If the ELF Score is calculated histologically, the result should be stored in the MI domain for MI.MIORRES under MI.MITSTDTL = 'ENHANCED LIVER FIBROSIS SCORE' and MI.MITESTCD = 'FIBROSIS'.

***Contains Nonbinding Recommendations***

525 terminal propeptide of type III collagen (PRO-C3), Phosphatidylethanol (PEth)). Note that  
 526 individual components of calculated serum biomarkers should have their own record(s) in the LB  
 527 domain. Any biomarker that is derived from individual components should store the analytical  
 528 method used for calculation stored in the Analysis Method variable (LB.LBANMETH).  
 529 Terminology for the components of and results for the aforementioned example serum  
 530 biomarkers are provided below in Table 8<sup>Table 8</sup>. All lab parameters submitted to FDA should  
 531 follow supported CDISC Controlled Terminology.

532  
 533 An additional custom domain called LC structured identically to the LB domain should contain  
 534 conventional units in --STRESU for the results in conventional units in the --STRESC and --  
 535 STRESN variables. It is ideal if both conventional and SI units come directly from the lab  
 536 vendor. Submit the results of all tests obtained on subjects, including the results from  
 537 unscheduled tests or visits, and results obtained from local laboratories. Identify all reference  
 538 ranges used for specific populations in the SDRG.

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**Table 8. Terminology for Example Liver Serum Biomarkers in LB Domain<sup>23</sup>**

LBTESTCD	LBTEST	NCI Code	CDISC Definition (if available)
<b>ELF Components</b>			
TIMP1	Tissue Inhibitor of Metalloproteinase 1	C82036	A measurement of the tissue inhibitor of metalloproteinase 1 in a biological specimen.
P1NP	Procollagen 1 N-Terminal Propeptide	C96625	A measurement of the procollagen 1 N-terminal propeptide in a biological specimen.
HYALUAC	Hyaluronic Acid	C112319	A measurement of hyaluronic acid in a biological specimen.
<b>Example Liver Serum Biomarkers</b>			
ELF	Enhanced Liver Fibrosis		
APRI	AST to Platelet Ratio Index	C156512	A calculation that indicates the likely presence of liver cirrhosis and fibrosis, measured as the relative measurement of aspartate aminotransferase (AST) to AST upper limit of normal, divided by the platelet count, and multiplied by 100.
CYFRA18	Cytokeratin 18 Fragment	C130160	A measurement of the cytokeratin 18 fragment in a biological specimen.
LVFBRSC	Liver Fibrosis Score	C147385	A scoring system that evaluates liver pathology through the assessment of multiple blood test parameters, taking into account additional demographic factors such as the age and/or sex of the subject.
P3NP	Procollagen 3 N-Terminal Propeptide	C128973	A measurement of the procollagen 3 N-terminal propeptide in a biological specimen.

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***5.1.11 Microbiology Specimens (MB) Domain***

Sponsors should use the MB domain to provide serologic test results for hepatitis (hepatitis A, B, C, and E) serology tests collected as part of the trial entry evaluation and during potential DILI

<sup>23</sup> The line item for Enhanced Liver Fibrosis is proposed terminology in the LB domain as a calculation from the individual components (TIMP1, P1NP, HYALUAC).

***Contains Nonbinding Recommendations***

547 assessments, if applicable. CDISC Controlled Terminology for the hepatitis tests are provided in  
 548 Table 9 below. Please use the terms from SDTM Controlled Terminology (CT) Q3 2023 (2023-  
 549 09-29) even if the terms may not be listed in subsequent SDTM CT versions.

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**Table 9. Terminology for Hepatitis Serology in Microbiology Specimens Domain**

MBTESTCD	MBTEST	NCI Code	CDISC Definition (if available)
HAAB*	Hepatitis A Virus Antibody	C92534	A measurement of the hepatitis A virus antibody in a biological specimen.
HAIGMAB*	Hepatitis A Virus IgM Antibody	C92271	A measurement of hepatitis A virus IgM antibody in a biological specimen.
HBSAG	Hepatitis B Virus Surface Antigen	C64850	A measurement of the surface antigen reaction of a biological specimen to the hepatitis B virus.
HBCAB*	Hepatitis B Virus Core IgM Antibody	C96660	A measurement of the hepatitis B virus core antibody in a biological specimen.
HCAB*	Hepatitis C Virus Antibody	C92535	A measurement of the hepatitis C virus antibody in a biological specimen.
HCRNA	Hepatitis C Virus RNA	C142330	A measurement of the hepatitis C virus RNA in a biological specimen.
HDRNA	Hepatitis D Virus RNA	C186156	A measurement of the hepatitis D virus RNA in a biological specimen
HDAB*	Hepatitis D Virus Antibody; Hepatitis Delta Antibody	C96664	A measurement of the hepatitis D virus antibody in a biological specimen.
HDIGGAB*	Hepatitis D Virus IgG Antibody	C119281	A measurement of the hepatitis D virus IgG antibody in a biological specimen.
HDIGMAB*	Hepatitis D Virus IgM Antibody	C119282	A measurement of the hepatitis D virus IgM antibody in a biological specimen.
HEIGGAB*	Hepatitis E Virus IgG Antibody	C106526	A measurement of IgG antibody to the hepatitis E virus in a biological specimen.
HEIGMAB*	Hepatitis E Virus IgM Antibody	C96665	A measurement of IgM antibody to the hepatitis E virus in a biological specimen.
HERNA	Hepatitis E Virus RNA	C142331	A measurement of the hepatitis E virus RNA in a biological specimen.

553 \* These terms exist in the SDTM Controlled Terminology (CT) Q3 2023 (2023-09-29) but may not be listed  
 554 subsequent versions.

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***5.1.12 Substance Use (SU) Domain***

558 Data related to substance use (e.g., alcohol use) should be collected as part of the NASH clinical  
 559 trial and DILI evaluation. The extent of prior alcohol use should be assessed at baseline, during  
 560 protocol-defined scheduled visits, and in suspected cases of DILI. Data collected should be  
 561 recorded in the SU domain and included in the Inclusion/Exclusion (IE) domain as appropriate.  
 562 For example, a subject who consumes one 12-ounce beer per day may contain the following data  
 563 point:

564  
 565  
 566  
 567

- SUTRT: BEER
- SUCAT: ALCOHOL
- SUDOSE: 12

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- 568 • SUDOSU: OUNCES
- 569 • SUDOSFRQ: QD

570  
571 Other substances such as tobacco or caffeine usage should also be recorded in the SU domain.

### 572 573 *5.1.13 Trial Summary (TS) Domain*

574  
575 Data related to the trial summary should be collected and stored in the TS domain. Of particular  
576 interest to FDA is the frequency that this technical specification is used in creating and  
577 submitting trial data. Per the FDA *Study Data Technical Conformance Guide*, sponsors may  
578 include an additional parameter in their TS domain to note that this technical specification was  
579 used for the study. The parameter and associated value sponsors should use is noted below:

- 580
- 581 • TSPARMCD = FDATECHSP
- 582 • TSPARAM = FDA Tech Spec
- 583 • TSVVAL = NASH Technical Specification Guidance version x.x

### 584 585 *5.1.14 RELREC Domain*

586  
587 As discussed in previous sections, sponsors should use a RELREC domain to describe the  
588 relationship between records captured in separate domains together. A common use case for the  
589 RELREC domain is connecting AE and CM records. As it relates to this technical specification,  
590 the RELREC domain may connect the needle size captured in the BS domain with the biopsy  
591 slide measurements evaluated in the MI domain. Another example may be connecting the record  
592 of an MRI procedure in the PR domain with the results of that MRI in the ZI domain. Where  
593 relationships between records in different domains should be established, the RELREC domain  
594 should store those relationships.

595  
596 The full instructions for creating the RELREC domain, including the required variables, are  
597 located in the SDTM Implementation Guide.

### 598 599 *5.1.15 Other SDTM Considerations*

#### 600 601 *5.1.15.1 Gastroesophageal varices*

602  
603 Subjects who progress to cirrhosis during the trial may undergo screening for gastroesophageal  
604 varices on esophagogastroduodenoscopy (EGD). Information on the EGD can be modeled in  
605 SDTM using the PR domain. The PR domain falls within the Interventions Class of domains and  
606 is intended to capture information on interventional activity that are to have diagnostic,  
607 preventive, therapeutic, or palliative effects. The name of the procedure, EGD, can be captured  
608 within the Reported Name of Procedure (PRTRT) variable.

609  
610 Currently, CDISC does not have any guidance on modeling the results of the EGD procedure  
611 related to varices (e.g., number of varices, size of varices, presence of red wale marks). Given  
612 that CDISC has a draft domain for Gastrointestinal System Findings (GI) that may be under  
613 development, the current recommendation is to model the results of the EGD procedure in the



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614 custom Imaging Results (ZI) domain that follows the structure of a CDISC findings domain.  
615 Occurrence of varices, size of varices, red wale marks, and variceal bleeding can be modeled  
616 under using ZITESTCD and ZITEST Test Codes and Test Names. Sponsors may submit  
617 additional data points about the results of the endoscopy in the ZI domain.

618  
619 When gastroesophageal variceal hemorrhage is captured as an AE, it should be captured in the  
620 AE domain, with verbatim term indicating gastroesophageal variceal hemorrhage captured in the  
621 topic variable of AE.AETERM. The record in the AE domain should be linked to that of the ZI  
622 domain using the --LNKID variable, with relationships captured using the RELREC domain.

### 5.1.15.2 All-cause mortality

623  
624  
625  
626 All-cause mortality or death is a component of several composite endpoints currently accepted  
627 by DHN to demonstrate clinical benefit in NASH clinical trials. If a subject dies during the  
628 study, information regarding the subject's death is tabulated in several SDTM domains,  
629 including the Death Details (DD) domain, which should store all death information. Additional  
630 domains that should include death information are the Disposition (DS) domain that records the  
631 death as a disposition event, Adverse Events (AE), Demographics (DM) to populate the Death  
632 Date (DTHDTC), and Death Flag (DTHFL) variables that are referenced within this domain. The  
633 records within the AE, DS, and DD domains should be linked using the --LNKID variable, with  
634 the relationship represented in the RELREC domain. Sponsors should consult CDISC Controlled  
635 Terminology as well as the SDTM Implementation Guide in using these domains.

## 5.2 ADaM Data Sets

636  
637  
638  
639 This section contains three parts: Analysis Data Set Subject Level (ADSL), Occurrence Data  
640 Structure (OCCDS) data sets, and Basic Data Structure (BDS) data sets. At a minimum, sponsors  
641 should provide the data sets and variables listed throughout these sections. Sponsors can and  
642 should submit additional data sets and variables beyond what is listed below in accordance with  
643 FDA-supported ADaM Implementation Guide (ADaMIG)<sup>24</sup> in the FDA Data Standards Catalog.

644  
645 Sponsors should also include appropriate Sequence Number variables in their ADaM data sets  
646 for easy traceability back to the SDTM domains. Specific instructions are located in the ADaM  
647 Implementation Guide.

### 5.2.1 Subject-Level Analysis Data Set (ADSL)

648  
649  
650  
651 The ADSL data set contains one record per subject and derives information from other data sets  
652 for analysis purposes. Sponsors should include all required variables in the ADSL, including  
653 basic demographic information, assigned treatment, actual treatment received, treatment start and  
654 end dates, whether the subject discontinued treatment or study early, treatment duration, and  
655 study start and end dates.

656  
657 Table 10 provides a list of variables that should be included in the ADSL data set. The baseline  
658 variables should be used when those tests are collected and provided in the data submission. For

---

<sup>24</sup> Available at <https://www.edisc.org>.

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659 example, if ELF score is not collected and reported, the ELFBL variable is not required for this  
 660 data set. Sponsors may add additional flags to this data set as appropriate (e.g., special subject  
 661 populations of interest for subgroup analyses or relevant concomitant medications).

662  
 663 The comments column of Table 10 contains recommended derivations for the baseline variables.  
 664 Sponsors should include their derivations in the ADaM define.xml file.  
 665

**Table 10. ADSL Variables**

667

Variable Name	Variable Label	Type	Comments
<b>Baseline Vital Signs Characteristics Variables</b>			
WEIGHTBL	Weight at Baseline (kg)	Num	VS.VSSTRESN where VSTEST = 'WEIGHT' and VSBLFL = 'Y'
HEIGHTBL	Height at Baseline (cm)	Num	VS.VSSTRESN where VSTEST = 'HEIGHT' and VSBLFL = 'Y'
BMIBL	Body Mass Index at Baseline (kg/m <sup>2</sup> )	Num	VS.VSSTRESN where VSTEST = 'BMI' and VSBLFL = 'Y'
SYSBL	Systolic Blood Pressure at Baseline (mmHg)	Num	VS.VSSTRESN where VSTEST = 'Systolic Blood Pressure' and VSBLFL = 'Y'
DIABL	Diastolic Blood Pressure at Baseline (mmHg)	Num	VS.VSSTRESN where VSTEST = 'Diastolic Blood Pressure' and VSBLFL = 'Y'
HRBL	Heart Rate at Baseline (beats/min)	Num	VS.VSSTRESN where VSTEST = 'Heart Rate' and VSBLFL = 'Y'
RESPBL	Respiratory Rate at Baseline (breaths/min)	Num	VS.VSSTRESN where VSTEST = 'Respiratory Rate' and VSBLFL = 'Y'
WHBL	Waist to Hip Ratio at Baseline	Num	VS.VSSTRESN where VSTEST = 'Waist to Hip Ratio' and VSBLFL = 'Y'
<b>Baseline Lab Characteristics</b>			
ALTBL	Baseline ALT	Num	ADLB.AVAL where ADLB.PARAMCD = 'ALT' and ADLB.DILIBLFL = 'Y'
ALTCAT	Baseline ALT Category	Text	Divide ADSL.ALTBL by LB.LBSTNRHI for the last pre-treatment record for ALT and set the category to one of the following: <ul style="list-style-type: none"> <li>• If result is &lt;=1: &lt;= ULN</li> <li>• If result is &gt;1 to &lt;=3: &gt; ULN to &lt;=3x ULN</li> <li>• If result is &gt;3 to &lt;=5: &gt;3x ULN to &lt;=5x ULN</li> <li>• If result is &gt;5: &gt;5x ULN</li> </ul>
ALTCATN	Baseline ALT Category (N)	Integer	Numeric Representation of ALTCAT. '1' = '<=ULN', '2' = '>ULN to <=3x ULN', '3' = '>3x ULN to <=5x ULN', '4' = '>5x ULN'

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<b>Variable Name</b>	<b>Variable Label</b>	<b>Type</b>	<b>Comments</b>
ASTBL	Baseline AST	Num	ADLB.AVAL where ADLB.PARAMCD = 'AST' and ADLB.DILIBLFL = 'Y'
ASTCAT	Baseline AST Category	Text	Divide ADSL.ASTBL by LB.LBSTNRHI for the last pre-treatment record for AST and set the category to one of the following: <ul style="list-style-type: none"> <li>• If result is &lt;=1: &lt;= ULN</li> <li>• If result is &gt;1 to &lt;=3: &gt;ULN to &lt;=3x ULN</li> <li>• If result is &gt;3 to &lt;=5: &gt;3x ULN to &lt;=5x ULN</li> <li>• If result is &gt;5: &gt;5x ULN</li> </ul>
ASTCATN	Baseline AST Category (N)	Integer	Numeric Representation of ASTCAT. '1' = '<=ULN', '2' = '>ULN to <=3x ULN', '3' = '>3x ULN to <=5x ULN', '4' = '>5x ULN'
ALPBL	Baseline ALP	Num	ADLB.AVAL where ADLB.PARAMCD = 'ALP' and ADLB.DILIBLFL = 'Y'
ALPCAT	Baseline ALP Category	Text	Divide ADSL.ALPBL by LB.LBSTNRHI for the last pre-treatment record for ALP and set the category to one of the following: <ul style="list-style-type: none"> <li>• If result is &lt;=1: &lt;= ULN</li> <li>• If result is &gt;1 to &lt;=2: &gt; ULN to &lt;=2x ULN</li> <li>• If result is &gt;2: &gt;2x ULN</li> </ul>
ALPCATN	Baseline ALP Category (N)	Integer	Numeric Representation of ALPCAT. '1' = '<=ULN', '2' = '>ULN to <=2x ULN', '3' = '>2x ULN'
TBILIBL	Baseline Total Bilirubin	Num	ADLB.AVAL where ADLB.PARAMCD = 'BILI' and ADLB.DILIBLFL = 'Y'
TBILCAT	Baseline Tot. Bilirubin Category	Text	Set to '<=ULN' if ADSL.TBILIBL <= LBSTNRHI (for the last pre-treatment record in SDTM.LB where LBTESTCD = 'BILI'); else set to '>ULN' if ADSL.TBILIBL > LBSTNRHI
TBILCATN	Baseline Tot. Bilirubin Category (N)	Integer	Numeric representation of ADSL.TBILCAT. '1' = '<=ULN', '2' = '>ULN'
CBILIBL	Baseline Conjugated Bilirubin	Num	ADLB.AVAL where ADLB.PARAMCD = 'BILIDIR' and ADLB.DILIBLFL = 'Y'
CBILCAT	Baseline Conj. Bilirubin Category	Text	Set to '<=ULN' if ADSL.CBILIBL <= LBSTNRHI (for the last pre-treatment record in SDTM.LB where LBTESTCD = 'BILDIR'); else set to '>ULN' if ADSL.CBILIBL > LBSTNRHI
CBILCATN	Baseline Conj. Bilirubin Category (N)	Integer	Numeric representation of ADSL.CBILCAT. '1' = '<=ULN', '2' = '>ULN'
CPKCAT	Baseline CPK Category	Text	Set to 'Normal' if LB.LBSTRESN <= LB.LBSTNRHI for LB.LBTESTCD = 'CPK' and LB.LBBLFL = 'Y'; Set to 'HIGH' if LB.LBSTRESN > LB.LBSTNRHI for LB.LBTESTCD = 'CPK' and LB.LBBLFL = 'Y'

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<b>Variable Name</b>	<b>Variable Label</b>	<b>Type</b>	<b>Comments</b>
LDHCAT	Baseline LDH Category	Text	Set to 'Normal' if LB.LBSTRESN <= LB.LBSTNRHI for LB.LBTESTCD = 'LDH' and LB.LBBLFL = 'Y'; Set to 'HIGH' if LB.LBSTRESN > LB.LBSTNRHI for LB.LBTESTCD = 'LDH' and LB.LBBLFL = 'Y'
PLATCAT	Baseline Platelets Category	Text	Set to 'Normal' if LB.LBSTRESN >= LB.LBSTNRLO for LB.LBTESTCD = 'PLAT' and LB.LBBLFL = 'Y'; Set to 'LOW' if LB.LBSTRESN < LB.LBSTNRLO for LB.LBTESTCD = 'PLAT' and LB.LBBLFL = 'Y'
EGFRBL	Baseline eGFR	Num	Baseline eGFR value
EGFRCAT	Baseline eGFR Category	Text	Set to 'Normal' if Baseline eGFR >= 90, 'Mild Renal Impairment' if 60 <= Baseline eGFR < 90, 'Moderate Renal Impairment' if 30 <= Baseline eGFR < 60 and 'Severe Renal Impairment' if 30 > Baseline eGFR
EGFRCATN	Baseline eGFR Category (N)	Integer	Numeric representation of ADSL.EGFRCAT. '1' if 'Normal', '2' if 'Mild Renal Impairment', '3' if 'Moderate Renal Impairment', '4' if 'Severe Renal Impairment'
<b>Baseline Medical History Characteristics</b>			
CHRONFL	Chronic Liver Disease Flag	Char	Expected Values: 'Y' or 'N' Subjects with evidence of other causes of chronic liver disease at baseline should be flagged as 'Y'. Else, 'N'
DIABFL	Diabetes Flag	Char	Expected values: 'Y' or 'N' 'Y' if the subject is diabetic at baseline. Else, 'N'.
GALLFL	Gallstones Flag	Char	Expected values: 'Y' or 'N' 'Y' if the subject has a history of gallstones at baseline. Else, 'N'.
PCOSFL	Polycystic ovary syndrome Flag	Char	Expected values: 'Y' or 'N' 'Y' if the subject has a history of Polycystic ovary syndrome at baseline. Else, 'N'.
<b>Baseline Biopsy Characteristics</b>			
FIBSCBL	Baseline Fibrosis Score	Char	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'FIBROSIS' and MITSTDTL = 'NASH CRN FIBROSIS STAGE'
ISHAKBL	Baseline Modified ISHAK Score	Num	MI.MORRES where MIBLFL = 'Y', MITESTCD = 'FIBROSIS' and MITSTDTL = 'ISHAK FIBROSIS SCORE'
STEOBL	Baseline Steatosis Score	Integer	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'NAS' and MITSTDTL = 'Steatosis'
HBALLBL	Baseline Hep. Ballooning Score	Integer	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'NAS' and MITSTDTL = 'Ballooning'
LOBINBL	Baseline Lobular Inflammation Score	Integer	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'NAS' and MITSTDTL = 'Lobular Inflammation'
PORTFL	Baseline Portal Inflammation	Text	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'INFLAM' and MITSTDTL = 'Portal Inflammation'
NASBL	Baseline NAS	Integer	Sum of ADSL.STEOBL, ADSL.HBALLBL, ADSL.LOBINFL

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Variable Name	Variable Label	Type	Comments
NASCAT	Baseline NAS Category	Text	Example NAS category: Set to 'NAS <6' if ADSL.NASBL<6; Set to 'NAS >=6' if ADSL.NASBL>=6
NASCATN	Baseline NAS Category (N)	Integer	Numeric representation of NASCAT. '1' = 'NAS <6', '2' = 'NAS >=6'
NASFIBFL	Overall Histological Diagnosis of NASH with Fibrosis Flag	Char	Expected Values: 'Y' or 'N' If MI.MIORRES = 'Yes' where MI.MITESTCD = 'NASHIND', then 'Y'. Else, 'N'
<b>Non-Invasive Baseline Characteristics</b>			
MELDBL	Baseline MELD Score	Num	RS.RSSTRESN when RS.RSBLFL = 'Y' and RSTEST = 'MELD01-Score'
FIB4BL	Baseline FIB4	Float	Calculated using lab baseline values and age from Demographics as: Age (years) x AST (U/L)/[Platelet Count (x10 <sup>9</sup> /L) x ALT <sup>(1/2)</sup> (U/L)]
ELFBL	Baseline ELF	Float	MI.MIORRES where MIBLFL = 'Y', MITESTCD = 'Fibrosis' and MITSTDTL = 'Enhanced Liver Fibrosis Score'
APRIBL	Baseline APRI	Float	LB.LBSTRESN where LB.LBBLFL = 'Y' and LBTESTCD = 'APRI'
<b>Concomitant Medications Characteristics</b>			
LIPCAT	Lipid-Lowering Agents Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Any lipid-lowering agents, including statins
STATCAT	Statins Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Statins only
ANHYPCAT	Antihypertensive Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Antihypertensive medications
ANDIACAT	Antidiabetic Medications Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Any antidiabetic medications, including Thiazolidinediones
TZDCAT	Thiazolidinediones Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Thiazolidinediones only
VITECAT	Vitamin E Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Vitamin E
ANPCAT	Anticoagulants and Antiplatelets Category	Char	Expected values: 'No Concomitant Use', 'Prior and Concomitant Use', 'New Concomitant Use' Anticoagulants and Antiplatelets

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#### 5.2.2 Occurrence Data Structure (OCCDS) Data Sets

Occurrence Data Structure data sets (OCCDSv1.0) are used for the counting of subjects with a given record or term and often include a coding dictionary (e.g., MedDRA for adverse events, WHODrug for concomitant medications). In creating ADaM OCCDS data sets, sponsors should

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674 follow the guidance as specified by the ADaM Structure for Occurrence Data in the FDA *Study*  
 675 *Data Technical Conformance Guide*, including sections on dictionary coding and categorization  
 676 variables, timing variables, and flag and indicator variables.

### 5.2.2.1 Adverse Event Analysis Data Set (ADAE)

679 Sponsors should include all records from SDTM.AE. If a sponsor uses the approach of recording  
 680 multiple records in AE each time the event changes in severity, relationship, etc. then this should  
 681 be noted in the SDRG and ADRG. Also note that the specification includes a flag variable that  
 682 indicates which record had the worst severity grade for a given adverse event (MedDRA  
 683 preferred term) when there are multiple occurrences (records) of the same adverse event for the  
 684 same subject. Sponsors may select a grading scale of their choice, which should be prespecified  
 685 in the study protocol, SDRG, and ADRG. In addition, sponsors should consult the FDA Data  
 686 Standards Catalog for guidance on the appropriate MedDRA version to use.

688 To aid evaluation of potential DILI, this specification also includes a Hepatic Injury Flag to flag  
 689 AEs occurring within defined grouped queries (e.g., Custom MedDRA Queries) and  
 690 accompanying flags to identify the earliest record within each hepatic injury flag. Sponsors  
 691 should discuss the exact derivations of the hepatic injury flags with FDA. Any deviations from  
 692 the specifications below should be clearly communicated to the review division.

694 **Table 11. ADAE Variables**

Variable Name	Variable Label	Type	Comments
TRTEMFL	Treatment-Emergent Analysis Flag	Char	Expected Values: 'Y' or null A value of 'Y' on a record should indicate a new or worsening AE after the first dose of study treatment. Metadata should be clear on the reference dates that are used to define the period during which an adverse event is considered treatment emergent.
AOCCPIFL	1st Max Sev./Int. Occur Within PT Flag	Char	Expected Values: 'Y' or null Character indicator for the first occurrence of the maximum severity/intensity within the subject and preferred term.
HPxxFL	Hepatic Injury xx Flag	Char	Expected values: 'Y' or null Sponsors should create Hepatic Injury Flag(s) to capture specific preferred terms within the MedDRA hierarchy. Sponsors should consult FDA regarding how many flags are required and how exactly to derive each flag.
HPFxxFL	First Hepatic Injury xx Flag	Char	Expected values: 'Y' or null Create one additional flag for each HPxxFL flag created and flag the earliest (min ASTDY) on treatment (ASTDY >= 1) for each subject and record that fits the criteria. Otherwise, null
DILIFL	Potential DILI Event Flag	Char	Expected values: 'Y' or null Flag the following Adverse Events as 'Y': Fatigue, Nausea, Vomiting, Abdominal pain or tenderness, Fever, Rash, Pruritus, Jaundice/icterus, Altered mental status
DILIPFL	Potential DILI Event Flag (30-days prior)	Char	Expected values: 'Y', 'N' or null Null for records where DILIFL is null. For records with DILIFL = 'Y': if the event occurs in the 30 days before a lab-

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Variable Name	Variable Label	Type	Comments
			identified DILI threshold met in the ADDILI data set, then 'Y'. Else, 'N'
DILIAFL	Potential DILI Event Flag (30-days after)	Char	Expected values: 'Y', 'N' or null Null for records where DILIFL is null. For records identified with DILIFL = 'Y': if the event occurs in the 30 days after a lab-identified DILI threshold met in the ADDILI data set, then 'Y'. Else, 'N'

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#### *5.2.3 Basic Data Structure (BDS) Data Sets*

701 The following guidance should be used to create data sets that fall within the BDS structure (e.g.,  
702 ADLB to display laboratory data). Specific guidelines are included for several BDS data sets. All  
703 BDS data sets should use the guidelines provided below, which have been written in accordance  
704 with the ADaM Implementation Guide.

705  
706 All BDS data sets should contain the identifier variables, record-level treatment and dose  
707 variables, and timing variables as specified in the ADaM Implementation Guide, specifically the  
708 section as it relates to the ADaM Basic Data Structure.

##### 709 710 5.2.3.1 Laboratory Analysis Data Set (ADLB)

711  
712 The following issues are considerations for the creation and content of the laboratory analysis  
713 data set. Sponsors should follow the ADaM BDS model when creating this data set. Visit  
714 windowing and/or inclusion of unscheduled visits should be included in this analysis data set  
715 (liver-related lab results should be included for all scheduled and unscheduled visits). When  
716 records are imputed or derived in any manner, the standard ADaM variable, DTYPE should be  
717 used. All tests and records included in the SDTM.LB domain should be carried into the ADLB  
718 data set, and sponsors should also include a derived parameter for the calculated R value.  
719 Sponsors should provide a clear derivation method for their calculation of the R value.

720  
721 It is acceptable for a sponsor's ADLB data set to contain additional parameters beyond those  
722 noted above. Similarly, variables in addition to those described below may be included.

723  
724 As with the LB domain, submit two separate data sets for lab results. The ADLB data set should  
725 contain SI units. An additional custom data set called ADLC structured identically to ADLB  
726 should contain conventional units. It is ideal if both conventional and SI units come directly from  
727 the lab vendor. Submit the results of all tests obtained on subjects, including the results from  
728 unscheduled tests or visits, and results obtained from local laboratories. Identify all reference  
729 ranges used for specific populations in the ADRG. For more information on CDER/CBER  
730 current thinking on conventional units, please see the FDA *Study Data Technical Conformance*  
731 *Guide* available on the FDA Study Data Standards Resources web page.

732  
733 The ADLB specifications listed below include four important variables for the analysis of drug-  
734 induced liver injury: DILIBLFL, PEAKFL, REDUCEFL, and ONSETFL. These flags look for  
735 DILI records at their baseline, peak (maximum post-baseline), washout (e.g., 50% reduction after

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736 reaching maximum post-baseline), and onset (first sign of potential DILI). There are many  
 737 different ways to define the onset of liver injury; sponsors should refer to the existing guidance  
 738 for industry *Drug-Induced Liver Injury: Premarketing Clinical Evaluation* (July 2009)<sup>25</sup> and  
 739 seek agreement with the FDA review division on how to specify the onset of DILI injury for  
 740 their study.

741

742 **Table 12. ADLB Variables**

743

Variable Name	Variable Label	Type	Comments
DILIFL	Drug-Induced Liver Injury Flag	Char	<p>Expected Value: ‘Y’ or null            This flag should have ‘Y’ for the following records:</p> <ul style="list-style-type: none"> <li>• ALT, AST, ALP, GGT, Total Bilirubin, Direct Bilirubin, INR records</li> </ul> <p>AND</p> <ul style="list-style-type: none"> <li>• The evaluable record for each test at each visit. If more than one record is collected at each visit, a derived record should be created with DTYPE = ‘AVERAGE’. The average record should be flagged, and the individual records used to create the average record should not be flagged. Unscheduled visits may also be flagged as ‘Y’.</li> </ul> <p>Else, null</p>
ABLFL	Analysis Baseline Flag	Char	<p>Expected Value: ‘Y’ or null            Character indicator to identify the baseline record for each subject and parameter. Sponsors should confirm with the FDA review division on an appropriate definition for baseline. Examples may include flagging the last record prior to treatment or deriving a new baseline record as the average of all pre-treatment records for a given subject and parameter.</p>
DILIBLFL	DILI Baseline Flag	Char	<p>Expected Value: ‘Y’ or null            For each subject and liver biochemistry parameter, flag the baseline record used for DILI analysis as ‘Y’. This flag is similar to ABLFL, however, in subjects with elevated transaminase levels at enrollment who show improvements in their transaminase levels and in essence, establish a new lower baseline during the trial, sponsors should use the new lower transaminase values in subsequent assessment for potential DILI (and subsequently using this flag to identify the record used in baseline calculations for DILI analyses). Sponsors should seek review division agreement and provide clear guidance in their ADRG or define.xml file on derivations for alternate baseline calculations and provide a flag in the ADSL to indicate if any subjects have discrepancies between ABLFL and DILIBLFL for any liver biochemistry parameter.</p>
DTYPE	Derivation Type	Char	<p>For the case where there are multiple observations and an average or a geometric mean will be used for the observation for the visit window in the analysis instead of a single selected real observation. If this is the case, a new record should be created, and the records identified by having some values for these records</p>

<sup>25</sup> We update guidances periodically. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.



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Variable Name	Variable Label	Type	Comments
			in DTYPE variable. The possible value could be 'AVERAGE', or 'GEOMETRIC', or other meaningful values. This should be explained in the define file or SAP.
R2ANRHI	Ratio to Analysis Range Upper Limit	Num	Ratio to the upper limit of the analysis range. Equal to AVAL / ANRHI.
R2BASE	Ratio to Baseline Value	Num	Ratio to the baseline value. Equal to AVAL / BASE. If used for a given PARAM, should be populated for all post-baseline records of that PARAM regardless of whether that record is used for analysis.
ANL01FL	Analysis Record Flag 01	Char	Expected Values: 'Y' or null Flag the records to be used in analysis. If multiple records are recorded for a parameter at the same visit, use this flag to indicate which record should be used. Derived records (if it was appropriate to take an average of two records) may be flagged as 'Y'. Sponsors should provide a clear derivation for how they choose to derive this flag.
ANL02FL	Analysis Record Flag 02	Char	Expected Values: 'Y' or null Flag the maximum post-baseline record for each subject and parameter as 'Y'
ANL03FL	Analysis Record Flag 03	Char	Expected Values: 'Y' or null Flag the minimum post-baseline record for each subject and parameter as 'Y'
PEAKFL	DILI Peak Flag	Char	Expected Values: 'Y', 'N' or null Null for all pre-treatment records and records where DILIFL = 'N'. If ANL02FL = 'Y' and DILIFL = 'Y', then 'Y'. Otherwise, 'N'
REDUCEFL	DILI Reduction Flag	Char	Expected Values: 'Y', 'N' or null Null for all pre-treatment records and records where DILIFL = 'N'. Flag the first record after PEAKFL for each subject and parameter in which AVAL is equal to or less than 50% of the value of AVAL where PEAKFL = 'Y'
ONSETFL	DILI Lab Onset Flag	Char	Expected Values: 'Y' or null Sponsors should discuss an appropriate definition of DILI onset with DHN. The agreed upon definition should be prespecified in the study protocol. Based on the agreed upon definition, flag all DILI records as 'Y' at a given visit when the onset trigger has been met. <i>For example, if ONSET is determined as the first time a subject's ALT or AST value reaches <math>\geq 3x</math> ULN with concurrent TB <math>\geq 2x</math> ULN within 30 days of the ALT or AST elevation, when a subject does reach that threshold, all DILI records (ALT, AST, ALP, TB, DB, GGT) from that visit should be flagged as 'Y'.</i>
LASTFL	Last Record Per Parameter Flag	Char	Expected Values: 'Y' or null Flag the last record (max ADY) for each subject and parameter. NOTE: this is not limited to last on-treatment record; this may include follow-up records.

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5.2.3.2 Drug Induced Liver Injury Analysis Data Set (ADDILI)

This custom data set will be used to analyze subjects for potential DILI. Sponsors should seek agreement with the FDA review division on the appropriate parameters to use for DILI

***Contains Nonbinding Recommendations***

749 assessments. This data set contains variables that seek a maximum value within ‘xx’ days.  
750 Sponsors should discuss with FDA the appropriate time window (e.g., 30 days) to use.

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752 For any subject meeting the sponsor-established criteria for potential DILI, two additional  
753 parameters should be created – one to provide the outcome and another to provide the action  
754 taken as a result of potential DILI.

755  
756 Sponsors may include additional parameters as they see fit and should consult with FDA  
757 regarding the acceptability of the additional parameters. Additionally, this data set includes a set  
758 of flag variables that identifies any procedure(s) and/or workups performed to evaluate a subject  
759 for potential DILI. These flag variables may be derived from the SDTM.PR (Procedures),  
760 SDTM.LB, or SDTM.MB domains (or other domains as determined by the sponsor).

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762 The ADDILI data set should include the basic demographic information, treatment variables,  
763 start and end date variables, and baseline lab characteristics variables from the ADSL data set.

764  
765 **Table 13. ADDILI Variables**  
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Variable Name	Variable Label	Type	Comments
PARAM	Parameter	Char	Expected values: ‘Potential DILI’ and ‘Outcome of Potential DILI’, ‘Action Taken from Potential DILI’
PARAMCD	Parameter Code	Char	<ul style="list-style-type: none"> <li>For PARAM = Potential DILI: ‘DILI’</li> <li>For PARAM = Outcome of Potential DILI – Outcome: ‘OUTDILI’</li> <li>For PARAM = Action Taken from Potential DILI= ‘ACNDILI’</li> </ul>
AVAL	Analysis Value	Num	<p>Expected Values: 1 or 0 <i>Note: The criteria below are example criteria for evaluation of DILI. Sponsors may consult FDA for appropriate criteria for their study.</i></p> <p>Example 1: PARAMCD = ‘DILI’:</p> <ul style="list-style-type: none"> <li>If ALTULNMX &gt;= 3 and TBALTMX &gt;= 2 and ALPALTMX &lt; 2, then 1 OR</li> <li>If ASTULNMX &gt;= 3 and TBASTMX &gt;= 2 and ALPASTMX &lt; 2, then 1</li> <li>Else 0</li> </ul> <p>Example 2: PARAMCD = ‘DILI’:</p> <ul style="list-style-type: none"> <li>If ALPULNMX &gt;= 2 and TBALPMX &gt;= 2, then 1</li> <li>Else 0</li> </ul>
AVALC	Analysis Value (C)	Char	<p>If AVAL = 1, then ‘Y’; if AVAL = 0, then ‘N’ For PARAMCD = ‘OUTDILI’</p> <ul style="list-style-type: none"> <li>Expected values: ‘FATAL’, ‘NOT RECOVERED/NOT RESOLVED’.</li> </ul>

**Contains Nonbinding Recommendations**

Variable Name	Variable Label	Type	Comments
			'RECOVERED/RESOLVED', 'RECOVERED/RESOLVED WITH SEQUELAE', 'RECOVERING/RESOLVING', 'UNKNOWN'  For PARAMCD = 'ACNDILI': <ul style="list-style-type: none"> <li>Expected values: 'DOSE INCREASED', 'DOSE NOT CHANGED', 'DOSE RATE REDUCED', 'DOSE REDUCED', 'DRUG INTERRUPTED', 'DRUG WITHDRAWN', 'NOT APPLICABLE', 'UNKNOWN'</li> </ul>
ALTULNMX	Post-Baseline Maximum Ratio ALT/ULN	Num	For each subject, ADLB.R2ANRHI where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'ALT'
ALTBLMX	Post-Baseline Maximum Ratio ALT/BL	Num	For each subject, ADLB.AVAL where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'ALT' / ADLB.AVAL where ADLB.DILIBL = 'Y' and ADLB.PARAMCD = 'ALT'
ASTULNMX	Post-Baseline Maximum Ratio AST/ULN	Num	For each subject, ADLB.R2ANRHI where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'AST'
ASTBLMX	Post-Baseline Maximum Ratio AST/BL	Num	For each subject, ADLB.AVAL where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'AST' / ADLB.AVAL where ADLB.DILIBL = 'Y' and ADLB.PARAMCD = 'AST'
ALPULNMX	Post-Baseline Maximum Ratio ALP/ULN	Num	For each subject, ADLB.R2ANRHI where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'ALP'
ALPBLMX	Post-Baseline Maximum Ratio ALP/BL	Num	For each subject, ADLB.AVAL where ADLB.PEAKFL = 'Y' and ADLB.PARAMCD = 'ALP' / ADLB.AVAL where ADLB.DILIBL = 'Y' and ADLB.PARAMCD = 'ALP'
TBALTMX	Post-Baseline Maximum Ratio TB/ULN following ALTULNMX	Num	For each subject, max value of ADLB.R2ANRHI where ADLB.PARAMCD = 'TB' within <b>xx</b> days after post-baseline maximum ALT value.
TBASTMX	Post-Baseline Maximum Ratio TB/ULN following ASTULNMX	Num	For each subject, max value of ADLB.R2ANRHI where ADLB.PARAMCD = 'TB' within <b>xx</b> days after post-baseline maximum AST value.
TBALPMX	Post-Baseline Maximum Ratio TB/ULN following ALPULNMX	Num	For each subject, max value of ADLB.R2ANRHI where ADLB.PARAMCD = 'TB' within <b>xx</b> days after post-baseline maximum ALP value.
ALPALTMX	Max ALP/ULN Ratio after Max ALT/ULN Ratio	Num	For each subject, max value of ADLB.R2ANRHI where ADLB.PARAMCD = 'ALP' within <b>xx</b> days after post-baseline maximum ALT value.
ALPASTMX	Max ALP/ULN Ratio after Max AST/ULN Ratio	Num	For each subject, max value of ADLB.R2ANRHI where ADLB.PARAMCD = 'ALP' within <b>xx</b> days after post-baseline maximum AST value.
RVAL	R Value	Num	ADLB.AVAL where ADLB.PARAMCD = 'R'
<b>DILI Workup Flags</b>			

***Contains Nonbinding Recommendations***

<b>Variable Name</b>	<b>Variable Label</b>	<b>Type</b>	<b>Comments</b>
ALCHFL	Alcohol Assessment Flag	Char	Expected Values: 'Y', 'N', or null If Alcohol information was collected and reported in the SDTM.SU domain as a result of potential DILI injury, then 'Y'. If alcohol information was not collected as a result of potential DILI injury, then 'N'. If unknown, null
HEPFL	Hepatitis Serology Flag	Char	Expected Values: 'Y', 'N', or null If Hepatitis Serology information was collected and reported in the SDTM.MB domain as a result of potential DILI injury, then 'Y'. If Hepatitis Serology information was not collected as a result of potential DILI injury, then 'N'. If unknown, null
AUTOIFL	Auto-Immune Serology Flag	Char	Expected Values: 'Y', 'N', or null If Auto-Immune Serology information was collected and reported in the SDTM.LB domain as a result of potential DILI injury, then 'Y'. If Auto-Immune Serology was not performed as a result of potential DILI injury, then 'N'. If unknown, null
ULTRAFL	Ultrasound Flag	Char	Expected Values: 'Y', 'N', or null If an ultrasound was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If an ultrasound was not performed as a result of potential DILI injury, then 'N'. If unknown, null
CTFL	CT Scan Flag	Char	Expected Values: 'Y', 'N', or null If a CT scan was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If a CT scan was not performed as a result of potential DILI injury, then 'N'. If unknown, null
MRIFL	MRI Flag	Char	Expected Values: 'Y', 'N', or null If an MRI was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If an MRI was not performed as a result of potential DILI injury, then 'N'. If unknown, null
BIOPFL	Biopsy Flag	Char	Expected Values: 'Y', 'N', or null If a biopsy was conducted and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If a biopsy was not performed as a result of potential DILI injury, then 'N'. If unknown, null
ERCPFL	ERCP Flag	Char	Expected Values: 'Y', 'N', or null If an ERCP was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If an ERCP was not performed as a result of potential DILI injury, then 'N'. If unknown, null
MRCPFL	MRCP Flag	Char	Expected Values: 'Y', 'N', or null If a MRCP was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If a MRCP was not performed as a result of potential DILI injury, then 'N'. If unknown, null
LVTRNSFL	Liver Transplant Flag	Char	Expected Values: 'Y', 'N', or null If a liver transplant was performed and reported in the SDTM.PR as a result of potential DILI injury, then 'Y'. If a liver transplant was not performed as a result of potential DILI injury, then 'N'. If unknown, null
OTHPRFL	Other Procedure Flag	Char	Expected Values: 'Y', 'N', or null

*Contains Nonbinding Recommendations*

Variable Name	Variable Label	Type	Comments
			If another procedure was performed as the result of potential DILI injury, then 'Y'. If no other procedure was performed as the result of potential DILI injury, then 'N'. If unknown, null

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5.2.3.3 Microscopic Findings Analysis Data Set (ADMI)

The ADMI data set contains histological data used to support accepted efficacy determination in noncirrhotic NASH development programs. AVALC is the primary variable used to support the analysis. This data set should be derived primarily from the Microscopic Findings (SDTM.MI) data set and should contain additional parameters discussed with FDA during the pre-NDA process to assess all histological endpoints. This data set follows the ADaM BDS.

The ADMI data set should include the basic demographic variables (e.g., assigned treatment, treatment start and end dates, baseline biopsy characteristics) from the ADSL data set.

**Table 14. ADMI Variables**

Variable Name	Variable Label	Type	Comments
<b>Biopsy Parameter Information</b>			
PARAM	Parameter	Text	The ADMI data set should contain all records from the SDTM.MI domain. In addition, the sponsor should create records with parameters to evaluate the histological endpoints, with results captured in the appropriate AVAL/AVALC variable.
PARAMCD	Parameter Code	Text	Short Code for PARAM. Sponsors may choose an appropriate parameter code for the derived parameters that evaluate the histological endpoints.
MITSTDTL	Microscopic Examination Detail	Text	MI.MITSTDTL
PARCAT1	Parameter Category 1	Text	MI.MICAT for records coming from MI data set. For derived parameters evaluating histological endpoints, create an appropriate category (i.e., 'Primary histological endpoint', 'Secondary efficacy endpoint', etc.)
<b>Analysis Information</b>			
AVAL	Analysis Value	Num	Transferred from either MI.MISTRESN or MI.MIORRES
AVALC	Analysis Value I	Text	Transferred from MI.MISTRESC for character tests (i.e., Definite NASH)
AVALCAT1	Analysis Category 1	Text	It may be appropriate to categorize results of certain tests. For example, the sponsor may decide it is important to separate Lobular Inflammation results of 0 and 1 from results greater than one. In that case, for records with PARAM = Lobular Inflammation, AVALCAT would take values of either 'Lobular Inflammation score 0 to 1' or 'Lobular Inflammation greater than 1'. Additional

***Contains Nonbinding Recommendations***

Variable Name	Variable Label	Type	Comments
			variables may be created (AVALCAT2, AVALCAT3, etc.) as necessary.
<b>Criteria Evaluation</b>			
CRIT1	Analysis Criterion 1	Text	NOTE: This is an example of a criterion that may be used for analysis. Specific criterion to include in this data set should be discussed with FDA, as this may change on a study-by-study basis. Should more than one criterion be required, used CRIT2/CRIT2FL, CRIT3/CRIT3FL, etc. <i>Where PARAMCD is 'HCYTBALL', 'LOBINF', 'MODISHAK', 'NASHCRN', 'NASHHBLN', 'NLOBI', 'SAFACT', 'STEATOS' indicates criteria of interest as 'Improvement of histological feature by at least 1 point/stage'</i>
CRIT1FL	Criterion 1 Evaluation Result Flag	Text	Set to 'Y' if CRIT1 satisfied; else set to 'N'
<b>Other Flags</b>			
ABLFL	Analysis Baseline Flag	Text	Set to 'Y' for last non-missing record for each value of PARAMCD prior to TRTSDT
ANL01FL	Analysis Record Flag 01	Text	Set to 'Y' for the baseline record and the records closest to the target day within the visit target interval as defined by the study metadata.

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5.2.3.4 Non-Invasive Serum Biomarkers of Liver Fibrosis and NASH  
Analysis Data Set (ADRS)

The ADRS data set contains information to support additional secondary efficacy endpoints based on non-invasive serum biomarkers of liver fibrosis and NASH (e.g., MELD, FIB-4, ELF, APRI). The MELD score should be provided for noncirrhotic NASH trials. Sponsors should consult with FDA regarding additional tests that may be required for submission.

AVAL is the primary variable used to support the analysis. This data set should be derived primarily from the Clinical Classifications and Disease Response (SDTM.RS) data set, though many of the tests are calculations based on measurements collected in the Laboratory (SDTM.LB) domain. This data set also contains flags based on the results of derived parameters in the ADAMI data set. This data set follows the ADaM Basic Data Structure (BDS).

The ADRS data set should include the basic demographic variables (e.g., assigned treatment, treatment start and end dates, baseline biopsy characteristic, non-invasive baseline characteristics) from the ADSL data set.

*Contains Nonbinding Recommendations*

800 **Table 15. ADRS Variables**  
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Variable Name	Variable Label	Type	Comments
<b>Parameter Information</b>			
PARAM	Parameter	Text	<p>The ADRS data set should contain all records from the SDTM.RS and SDTM.LB domains for the following example tests:</p> <ul style="list-style-type: none"> <li>• MELD Score</li> <li>• FIB-4 Score</li> <li>• ELF Score</li> <li>• APRI Score</li> </ul> <p>In addition, the sponsor should create records with parameters to evaluate the study’s endpoints, with results captured in the appropriate AVAL/AVALC variable. An example parameter may be: ‘MELD Score from Baseline =&lt;12 to &gt;15’. In this case, sponsors should consider a subjects’ baseline MELD score and their maximum post-baseline record. If their baseline record was less than 12 and their maximum post-baseline record was greater than or equal to 15, AVALC would return a value of ‘Y’ for this parameter. This is purely an example parameter – sponsors should create their own parameters in consultation with the FDA review division and the endpoints of their study.</p>
PARAMCD	Parameter Code	Text	Short Code for PARAM
<b>Analysis Information</b>			
AVAL	Analysis Value	Num	Transferred from either LB.LBSTRESN or RS.RSSTRESN. For derived parameters that evaluate a specific endpoint, ‘1’ if the endpoint criteria are met or ‘0’ if the endpoint criteria are not met.
AVALC	Analysis Value (C)	Text	Character value of AVAL. For derived parameters that evaluate a specific endpoint, AVALC is ‘Y’ if the endpoint criteria are met. Otherwise, null.
<b>Other Flags</b>			
DTYPE	Derivation Type	Text	If multiple readings are taken at a given visit, create a new record if appropriate that takes the average measurements of the other records for that parameter at that visit. DTYPE in this case would take a value of ‘AVERAGE’.
ABLFL	Analysis Baseline Flag	Text	Set to ‘Y’ for last non-missing record for each value of PARAMCD prior to TRTSDT
ANL01FL	Analysis Record Flag 01	Text	Flag records used for analysis. Note: This may differ from study-to-study, but one example definition may be: ‘Flag baseline record and post-baseline records closest to the target day within the visit target interval listed in the study metadata.’

### *Contains Nonbinding Recommendations*

Variable Name	Variable Label	Type	Comments
<b>Criteria Evaluation</b>			
CRIT1	Analysis Criterion 1	Text	Use this flag to support any derived parameters for this data set. For the example parameter listed under PARAM, the corresponding CRIT1 may take a value of ‘MELD < 12’ where PARAM = ‘MELD01-Score’
CRIT1FL	Criterion 1 Evaluation Result Flag	Text	Set to ‘Y’ if CRIT1 satisfied; else set to ‘N’
CRIT2	Analysis Criterion 2	Text	Use this flag to support any derived parameters for this data set. For the example parameter listed under PARAM, the corresponding CRIT2 may take a value of ‘MELD > 15’ where PARAM = ‘MELD01-Score’
CRIT2FL	Criterion 2 Evaluation Result Flag	Text	Set to ‘Y’ if CRIT2 satisfied; else set to ‘N’

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#### *5.2.4 Time-to-Event Analysis Data Set (ADTTE)*

The ADTTE data set contains all records needed to support time-to-event analysis for noncirrhotic NASH endpoints and DILI. AVAL is the primary variable used to support the analysis. Sponsors should follow the ADaM Basic Data Structure for Time-to-Event Analyses when creating this data set. The description of the analysis parameter (PARAM) contains the unit of measurement where results are captured in AVAL.

The time-to-event data set should include records for all subjects, including subjects who did not experience an event defined by PARAM. For a subject who did not experience a specific type of event, the subject’s time to event is considered ‘right-censored,’ where the value of AVAL represents the length of time between the starting point and the end of the observation period (e.g., the duration of the study for that subject). The numeric Censor (CNSR) variable is used to distinguish whether a subject experienced an event between the defined starting point and the end of the observation period.

When supporting analyses as listed in the examples below, ADTTE may be sourced from ADAE, ADMI, ADDILI and ADSL (or elsewhere). Sponsors should consult FDA to determine the appropriate parameters and their corresponding derivations to be collected and submitted in this data set.

Sponsors should provide clear explanations in the ADaM define.xml for how AVAL is derived for each parameter. In some cases, such as Time to Death, it may look at ASTDY for one record. For other parameters where multiple records should be evaluated to determine if the subject has met the endpoint, the derivation for AVAL may be more complicated. For example, a Time to Peak Lab Washout for DILI parameter needs to consider both when the subject’s labs ‘peak’ as well as when those peaks reach a ‘washout’ state—in this case, sponsors could use values of ADY when ADLB.PEAKFL and ADLB.REDUCEFL = ‘Y’ to calculate AVAL in ADTTE.



*Contains Nonbinding Recommendations*

832 Examples of applicable analyses to support safety and efficacy analyses may include:

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834 **Table 16. Example Time to Event Parameters**

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PARAMCD	PARAM <sup>26,27</sup>	AVAL
HPCIRRH	Time to Histological Progression to Cirrhosis (days)	<i>Propose derivations for each parameter</i>
HEPDCE	Time to Hepatic Decompensation Event (days)	
ASCREQTR	Time to Ascites requiring treatment (days)	
HEPENC	Time to Hepatic Encephalopathy at least West Haven grade 2 or above requiring hospitalization (days)	
VARHEM	Time to Variceal hemorrhage requiring hospitalization (days)	
OTHCDE	Time to Other Clinical Decompensation Event (e.g., Spontaneous Bacterial Peritonitis) (days)	
LVRTRNS	Time to Liver Transplant (days)	
DEATH	Time to Death (days)	
ONSETDIL	Time to Onset Potential DILI Injury (days)	
WASHOUT	Time to Peak Lab Washout for DILI (days)	

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<sup>26</sup> Per the ADaM Basic Data Structure for Time-to-Event Analyses, PARAM in the ADTTE may be longer than 40 characters (maximum 200). See <https://www.cdisc.org/standards/foundational/adam/adam-basic-data-structure-bds-time-event-tte-analyses-v1-0>.

<sup>27</sup> The examples listed below are measured in days. Sponsors should use their judgement in determining the appropriate unit of measurement.

## *Contains Nonbinding Recommendations*

### 837 **APPENDIX**

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#### 839 **Example ADLB and ADDILI Data Sets**

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841 Consider the sample Laboratory Analysis Data Set (ADLB) and Drug-Induced Liver Injury Analysis Data Set (ADDILI) tables below  
842 for Subject ABC-123. This example only shows one subject's alanine aminotransferase (ALT) values; however, for the purposes of  
843 this example, assume the subject's aspartate aminotransferase (AST) and/or total bilirubin (TB) values indicate the subject is a  
844 potential drug-induced liver injury (DILI) candidate as identified by pre-established criteria between the sponsor and the U.S. Food  
845 and Drug Administration (FDA) (example criteria noted in the ADDILI section of this document). As a reminder, these criteria are  
846 sample criteria. Sponsors should consult with FDA to establish appropriate criteria to evaluate DILI. Below is a list of other  
847 considerations for this sample data set:

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- 849 • In this example, the subject has two pre-baseline lab readings. These lab reading are averaged to create a new baseline record  
850 for each parameter.
- 851
- 852 • Onset DILI (ONSETFL = 'Y') is defined in this example as the first visit in which a subjects' ALT > 3x ULN. This happens  
853 on study day 14 (assume ANRHI = 55.0). Sponsors may use their own definition for Onset DILI after consultation with FDA  
854 review division.
- 855
- 856 • Reduced Flag (REDUCEFL = 'Y') may only occur after a subject has reached their peak (PEAKFL = 'Y') for a given subject  
857 and parameter.
- 858
- 859 • The example ADDILI data set only contains the variables pertinent to ALT; sponsors should follow the guidance provided in  
860 the ADDILI section of this document for the full list of variables to be provided.
- 861
- 862 • For the example ADDILI data set, ALTULNMX is calculated as the maximum post-baseline ALT value divided by the upper  
863 limit of normal ( $197.0 / 55.0 = 3.58$ ). ALTBLMX is calculated as the maximum post-baseline ALT value divided by the DILI  
864 baseline value ( $197.0 / 52.5 = 3.75$ ).

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866 **Table A. Subset of Sample ADLB\***

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PARAMCD	AVAL	BASE	ADY	ABLFL	ANL02FL	ANL03FL	PEAKFL	REDUCEFL	ONSETFL	LASTFL
ALT	51.0	52.5	-14	N	N	N	N	N	N	N
ALT	54.0	52.5	-7	N	N	N	N	N	N	N
ALT	52.5		1	Y	N	N	N	N	N	N
ALT	95.0	52.5	7	N	N	N	N	N	N	N
ALT	197.0	52.5	14	N	Y	N	Y	N	Y	N
ALT	191.0	52.5	21	N	N	N	N	N	N	N
ALT	92.0	52.5	28	N	N	N	N	Y	N	N
ALT	73.0	52.5	35	N	N	Y	N	N	N	Y

868 \* It is acknowledged that 'N' may not be indicated for flag variables.

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870 **Table B. Subset of Sample ADDILI**

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PARAM	AVALC	ALTULNMX	ALTBLMX
Potential DILI	Y	3.58	3.75
Outcome of Potential DILI	RECOVERED/RESOLVED	3.58	3.75
Action Taken from Potential DILI	DOSE REDUCED	3.58	3.75

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873 **Example MI and SUPPMI Domains**

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875 Consider the sample Microscopic Findings (MI) and Supplemental Microscopic Findings (SUPPMI) domains below for Subject ABC-  
 876 123. This subject has two biopsies, one at baseline and one at end of treatment, each with two pathologists reviewing. Any disagreement  
 877 between the histopathological assessment between the pathologists' results in an adjudication committee making a final determination

***Contains Nonbinding Recommendations***

878 (as shown with Microscopic Findings Test (MITEST) = ‘FIBROSIS’ during the first biopsy). The data set should also include standard  
 879 variables such as STUDYID, DOMAIN, MIDTC, and MIDY as noted in the SDTM Implementation Guide. The sample below contains  
 880 only a few measurements; sponsors should refer to the MI section of this document for the complete list of recommended and permissible  
 881 parameters to be collected from the biopsy.  
 882

883 This example domain uses MIREFID as the identifier for the slide being analyzed, but sponsors should use identifier variables  
 884 available from the SDTMIG to label biopsies and slides cut from the biopsy. The linkage between the identifier variables should be  
 885 made clear in the define.xml and/or Study Data Reviewers Guide (SDRG) files. Linkages between individual biopsies and/or slides  
 886 and other domains such as Biospecimen Findings (BS) or Biospecimen Events (BE) should be connected via a Related Records  
 887 (RELREC) domain.  
 888

889 The SUPPMI domain shows the adequacy of the slides. The slide evaluated during the baseline biopsy was deemed adequate, and the  
 890 end of treatment biopsy was deemed not adequate due to both a blurred image and a cracked slide. As such, the MIACPTFL variable  
 891 is null for the end of treatment biopsy as these records were not accepted for the analysis due to the inadequate slide.  
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**Table C. Subset of Sample MI Domain**

MIREFID	MITEST	MITSTDTL	MIORRES	MIACPTFL	MIEVAL	MIEVALID	VISIT
SPEC001	NAFLD Activity Score	TOTAL SCORE	5		PATHOLOGIST	PATHOLOGIST 1	BASELINE
SPEC001	Fibrosis	NASH CRN FIBROSIS STAGE	1B		PATHOLOGIST	PATHOLOGIST 1	BASELINE
SPEC001	NAFLD Activity Score	TOTAL SCORE	5		PATHOLOGIST	PATHOLOGIST 2	BASELINE
SPEC001	Fibrosis	NASH CRN FIBROSIS STAGE	3		PATHOLOGIST	PATHOLOGIST 2	BASELINE
SPEC001	NAFLD Activity Score	TOTAL SCORE	5	Y	ADJUDICATION COMMITTEE	ADJUDICATOR	BASELINE
SPEC001	Fibrosis	NASH CRN FIBROSIS STAGE	3	Y	ADJUDICATION COMMITTEE	ADJUDICATOR	BASELINE

*Contains Nonbinding Recommendations*

MIREFID	MITEST	MITSTDTL	MIORRES	MIACPTFL	MIEVAL	MIEVALID	VISIT
SPEC002	NAFLD Activity Score	TOTAL SCORE	5		PATHOLOGIST	PATHOLOGIST 1	END OF TREATMENT
SPEC002	Fibrosis	NASH CRN FIBROSIS STAGE	3		PATHOLOGIST	PATHOLOGIST 1	END OF TREATMENT
SPEC002	NAFLD Activity Score	TOTAL SCORE	5		PATHOLOGIST	PATHOLOGIST 2	END OF TREATMENT
SPEC002	Fibrosis	NASH CRN FIBROSIS STAGE	3		PATHOLOGIST	PATHOLOGIST 2	END OF TREATMENT

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**Table D. Subset of Sample SUPPMI Domain**

IDVAR	IDVARVAL	QLABEL	QVAL
MIREFID	SPEC001	Overall Image Quality	Adequate
MIREFID	SPEC002	Overall Image Quality	Not Adequate
MIREFID	SPEC002	Image Condition	MULTIPLE
MIREFID	SPEC002	Image Condition 1	CRACKED SLIDE
MIREFID	SPEC002	Image Condition 2	BLURRY IMAGE

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