GRAS Notice (GRN) No. 890 Part 3 https://www.fda.gov/food/generally-recognized-safe-gras/gras-notice-inventory

| | | Processing Steps affecting contaminants | | | | | | | |
|----------------------|-------------------------------------|---|--|---|--------------------------------|-------------------------------|--------------|--|--|
| | CO1 Process Steps affecting of | | RBD Process Steps affecting contaminants | | | | | | |
| | Neutralization Acidification | | Degumming | Degumming Caustic Refining Water Wash Bleaching | | | | | |
| | | Sulfuric Acid or Citric Acid | Phosphoric Acid, Citric Acid | | | | | | |
| Processing Aids | Ethanol, Sodium Hydroxide, RO water | Solution | Solution | 11% NaOH solution | 5-8 w% Water | 0.5% Bleaching earth, 0.2% DE | 0.5-2% steam | | |
| Temperature, °C | 70 | 73 | 150 | 73 | 90 | 120 | 260 | | |
| Retention Time, mins | 550 | 17 | 35 | 10 | 10 | 45 | 20 | | |
| Aflatoxin | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | Near 100% Adsorbed | Inactivated | | |
| Deoxynivalenol (DON) | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Fumonisin | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Virginiamycin | 100:0 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | 50:50 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Penicilin | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Erythromycin | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Tylosin | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |
| Tetracycline | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | 100:0 Water: Oil Fractionation | Partially Adsorbed | Inactivated | | |

Physical and chemical properties

| | | Stal | oility | Solu | bility | |
|-------|----------------------|--------------------|---------------------------|---------------------|----------------------------|---|
| | | Temperature | pH condition | Water (mg/L @ 25°C) | Ethanol (mg/L @ 25°C) | Adsorption Potential |
| oxins | Aflatoxin | Unstable >250°C | Unstable < 2pH | 233-994 | More soluble than in water | Bentonite: Near complete Adsorption |
| Aycot | Deoxynivalenol (DON) | Unstable>150°C | Unstable >10pH | 36000 | 8890 | Bentonite: 20% Adsorption |
| Σ | Fumonisin | Unstable >150°C | Unsatble <4pH | 20000 | Soluble | Adsoption range is 30% to 100% |
| | Virginiamycin | Inactivated >100°C | Inactivated pH<3 | 45 | More soluble than in water | Adsorps in soil at approx. Koc = 980 |
| tics | Penicilin | Inactivated >35°C | Inactivated pH<4 and >8 | 18704 | 9352 | Adsorps in soil at approx Koc = 570 |
| ţi | Erythromycin | Inactivated >120°C | Inactivated <2pH | 2000 | Soluble | |
| tibic | | | | | | Adsorps in sandy loam at approx. Koc = 79880, 5664, 553 and 771, and silty clay, clay, |
| 8 | Tylosin | Inactivated >100°C | Inactivated <3pH and >9pH | 5000 | Soluble | sand soils Koc = 1350-95532 |
| • | Tetracycline | Inactivated >100°C | Inactivated <2pH | 231 | 20000 | |

| Low water solubility: | <10 mg/l | 25:75 Water: Oil |
|----------------------------|---------------|------------------|
| Moderate water solubility: | 10-1,000 mg/l | 50:50 Water: Oil |
| High water solubility: | >1,000 mg/1 | 100:0 Water: Oil |

| | US EPA; Estimation Program Interface (EPI) Suite. Ver. 4.1. Jan, 2010. Available from, as of May 8, 2012: http://www.epa.gov/oppt/exposure/pubs/episuitedl.htm Lactrol- Virginiamycin and Dextrose, Product Data Sheet, Phibro Ethanol Performance, https://www.pahc.com/wp-content/uploads/ProductDataSheets/EPG/Antibiotics/phibro-lactrol-4-4-16.pdf O'Neil, M.J. (ed.). The Merck Index - An Encyclopedia of Chemicals, Drugs, and Biologicals. Whitehouse Station, NJ: Merck and Co., Inc., 2006. US EPA; Estimation Program Interface (EPI) Suite. Ver. 4.1. Jan, 2010. Available from, as of May 8, 2012: http://www.epa.gov/oppt/exposure/pubs/episuitedl.htm Lactrol- Virginiamycin and Dextrose, Product Data Sheet, Phibro Ethanol Performance, https://www.epa.gov/oppt/exposure/pubs/episuitedl.htm Lactrol- Virginiamycin and Dextrose, Product Data Sheet, Phibro Ethanol Performance, https://www.pahc.com/wp-content/uploads/ProductDataSheets/EPG/Antibiotics/phibro-lactrol-4-4-16.pdf O'Neil, M.J. (ed.). The Merck Index - An Encyclopedia of Chemicals, Drugs, and Biologicals. Whitehouse Station, NJ: Merck and Co., Inc., 2006. |
|--------------|--|
| Penicillin | Weiss P.J.; Andrew, M.L.; Wright, W.W. Antibiotics and Chemotherapy 1957,7, 374. David J. Maggs, Chapter 3 - Ocular Pharmacology and Therapeutics, Slatter's Fundamentals of Veterinary Ophthalmology (Fourth Edition), 2008 Budavari, S. (ed.). The Merck Index - Encyclopedia of Chemicals, Drugs and Biologicals. Rahway, NJ: Merck and Co., Inc., 1989., p. 178 E. Tomlinson, A. Regosz, Solubility data series, Antibiotics: 1, beta-lactam antibiotics, Pergamon Press, Vol 16/17 1985 |
| Erythromycin | https://www.chemicalbook.com/ChemicalProductProperty_US_CB8300078.aspx Lide, D.R. CRC Handbook of Chemistry and Physics 88TH Edition 2007-2008. CRC Press, Taylor & Francis, Boca Raton, FL 2007, p. 3-230 Photo-Degradation of Amoxicillin, Streptomycin, Erythromycin and Ciprofloxacin by UV and UV/TiO2 Processes. Evaluation of Toxicity Changes Using a Respirometric Biosensor, Palmisano, Campanella, Ambrosetti, J Environ Anal Chem 2015, 2:3 |
| Tylosin | O'Neil, M.J. (ed.). The Merck Index - An Encyclopedia of Chemicals, Drugs, and Biologicals. Cambridge, UK: Royal Society of Chemistry, 2013., p. 1823 |
| Tetracyclin | Yalkowsky SH, Dannenfelser RM; The AQUASOL database of Aqueous Solubility. Fifth ed, Tucson, AZ: Univ AZ, College of Pharmacy (1992) Osol, A. and J.E. Hoover, et al. (eds.). Remington's Pharmaceutical Sciences. 15th ed. Easton, Pennsylvania: Mack Publishing Co., 1975., p. 1143 |

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- Fumonisin NTP, US National Toxicology Program (2000) NTP technical report on the toxicology and carcinogenesis studies of fumonisin B1 (CAS No 116355–83-0) in F344/N Rats and B6C3F1 Mice (Feed Studies) (TR 496; NIH Publication No 99–3955). Research Triangle Park, NC Lawrence, James & Niedzwiadek, Barbara & Scott, Peter. (2000). Effect of Temperature and Solvent Composition on Extraction of Fumonisins B1 and B2 from Corn Products. Journal of AOAC International. 83. 604-11. 10.1093/jaoac/83.3.604.
- DON Impact of food processing and detoxification treatments on mycotoxin contamination, Karlovsky, Suman, Berthiller, Meester, Eisenbrand, Perrin, Oswald, Speijers, Chiodini, Recker, Dussort; Mycotoxin Res (2016) 32:179–205 https://www.tocris.com/products/deoxynivalenol_3976#ds_datasheets

Stability Literature Cited

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Penicillin Islam, Toledo, Hamdy, Stability of virginiamycin and penicillin during alcohol fermentation, Biomass and Bioenergy 17 (1999) 369-376

Erythromy Fiese, Steffen, Comparison of the acid stability of azithromycin and erythromycin Butler MN, Weber W Jr., Environ Sci Technol. 2005 Apr 1;39(7):2294-300.

Tylosin Aksenova IA, Ter-Sarkisian EM, Soffer RD, Florova GIa, Iustratova LS., Effect of the pH of the medium and of temperature on tylosin stability, Antibiotiki. 1984 Mar;29(3):179-82.

Tetracycli W. Moats, Journal of Food Protection, Inactivation of Antibiotics by Heating in Foods and other Substrates - A Review, Vol. 51, No. 6, Pages 491-497 (June 1988)

Aflatoxin M.P. DOYLE, R. S. APPLEBAUM, R. E. BRACKETT and E. H. MARTH, Journal of Food Protection, Vol. 45, No. 10, Pages 964-971 August 1982

- Fumonisin JafarMilania and Gisoo Malekib, Effects of processing on mycotoxin stability in cereals, J Sci Food Agric 2014; 94: 2372–2375 Karlovsky etc al, Impact of food processing and detoxification treatmentson mycotoxin contamination, Mycotoxin Res (2016) 32:179–205 L. Jackson et.al, Effects of Time, Temperature, and pH on the Stability of Fumonisin B1 in an Aqueous Model System, J. Agric. Food Chem. 1996, 44, 3, 906-912
- DON E. Numanoglu, V. Gökmen, U. Uygun & H. Koksel, Thermal degradation of deoxynivalenol during maize bread baking, Food Additives and ContaminantsVol. 29, No. 3, March 2012, 423–430 Karlovsky etc al, Impact of food processing and detoxification treatmentson mycotoxin contamination, Mycotoxin Res (2016) 32:179–205 CHARLENE E. WOLF AND LLOYD B. BULLERMAN*, Heat and pH Alter the Concentration of Deoxynivalenolin an Aqueous Enviromentt, Journal of Food Protection, Vol. 61, No.3, 1998, Pages 365-367

| | | | | | | | | | | | | | Proce | ssing Steps | | | | | | |
|-------------|----------------------|--|------------------------------------|--------------------------------|---------------------|--------------------------|----------------------|--|------------------------------|--------------------|-------------------|----------------|--------------------------------------|------------------------------|--------------------|-------------------|--------------------------------------|-------------------------------|---------------|--------------------|
| | | | | | | | | | | O1 Process Step | | | | | | | RBD Process Steps | | | |
| | | | | | | | | Neutralization | Acidification | OI Drying | Oil Stripper | Crystalization | Wax Separation | Degumming | Dryer | Caustic Refining | Water Wash | Bleaching | Winterization | Deodorization |
| | | | | | | | Processing Aids | Ethanol, Sodium Hydroxide, RO water | Sulfuric Acid or Citric Acid | | | | | Phosphoric Acid, Citric Acid | | 11% NaOH solution | 5-8 w/K Water | 0.5% Bleaching earth, 0.2% DE | | 0.5-2% steam |
| | | | | | | | Temperature, "F | 160 | 163 | 230 | 260 | ¢ | 25 | 140-190 | 185-190 | 165 | 190-195 | 230-250 | | 480-500 |
| | | Thermo-p | hysical and | Chemical Character | istics | | Pressure, mmHg | 755 | 755 | 206 | 206 | 755 | 755 | | 50 | | | -36 | | 3 to 6 |
| | | Stab | sility | | Solubi | lity | Retention Time, mins | 552 | 17 | 54 | 9 | 1332 | 10 | 30-40 | | 8-12 | 8-10 | 20-45 | | 20-30 |
| | | Decomposition Temperature ("F, unless noted) | Addk | Alkaline | Water (mg/L @ 25°C) | Ethanol (mg/L @ 25°C) | State @ STP | | | | | | | | | | | | | |
| - in | Aflatoxin | Ellis, Milani, Karlovsky, Doyle | Wogan, Milani, Karlovsky, Doyle | Wogan, Milani, Karlovsky, Doyl | White, Karlovsky | Hran | | 50:50 Fractionation by Mass Fraction; Unstable | Unstable | Will not Vaporize | Will not Vaporize | No Effect | 50:50 Fractionation by Mass Fraction | Unstable | Will not Vaporize | Unstable | 50:50 Fractionation by Mass Fraction | Near 100% Adsorbed | No Effect | |
| er of e | Deceynivalenci (DON) | Numanoglu, Milani | | Karlovsky | Karlovsky | Tocris | | 50:50 Fractionation by Mass Fraction | Stable | Will not Vaporize | Will not Vaporize | No Effect | 50:50 Fractionation by Mass Fraction | Stable | Will not Vaporize | Stable | 0:100; OII:H20 Fractionation | Near 20% Adsorbed | No Effect | |
| ž | Fumanisia | Jackson | Jackson | Jackson, Karlovsky | Karlovsky | Lawrence | | 0:300; OII:HOO/ETOH Fractionation; Unstable | Jackson | Will not Vaporize | Will not Vaporize | No Effect | 50:50 Fractionation by Mass Fraction | Jackson | Will not Vaporize | | 0:100; OILHOO Fractionation | 32% Adsoption | | |
| | Virginiamycin | | blam | | Pub Chem | Pub Chem | | 0:300: OIH20/ET0H Fractionation: Unstable | Mam | Will not Vaporize | Will not Vaporize | No Effect | 50:50 Fractionation by Mass Fraction | | Will not Vaporize | Unstable | 0:100: OII:H20 Fractionation | | | 100% Will vaporize |
| 4 | Penicilin | Pub Chem | lslam | | Budavari | Budavari | | 0:300; OII:H20/ETCH Fractionation; Unstable | Unstable | Chem Spider | Chem Spider | No Effect | 50:50 Fractionation by Mass Fraction | Unitable | | Unstable | 0:100; OII:H20 Fractionation | | | |
| -5 | Crythromycin | | Fiese | Paeseo | Palmisano | | | 0:100; OII:H20/ETOH Fractionation | | Will not Visporize | Will not Vaporize | No Effect | 50:50 Fractionation by Mass Fraction | | Will not Vaporize | | 6:100; OILH20 Fractionation | | | |
| 1 | Tulouin | | | | | | | 0:100: OII:H2D/ETOH Fractionation: Unstable | Linutable | Will not Vanctine | Will not Vaporize | No Effort | 50.50 Fractionation by Mass Fraction | | Will not Vaporize | Linetable | 0:100: 02:400 Eractineation | | | |
| <pre></pre> | Tetracycline | | | | | | | 0:100: OII:HOD/ETOH Fractionation: Unstable | Unstable | Will not Vaporize | Will not Vaporiae | No Effect | 50:50 Fractionation by Mass Fraction | | the new coperation | Unstable | 0:100: OILH20 Fractionation | | | |

Toxicology Questions

Q1. Please provide details about the original literature search strategy, such as the search terms and the timeframe (month/year to month/year), and please update the literature search to include the most recent possible references.

Response:

Literature searches were conducted in April 2020 using PubMed, with supplemental searches performed in GoogleScholar to identify studies containing information pertinent to the safety of corn oil. The following search terms were used with the search field restricted to titles, and with no other limitations:

Corn oil OR 8001-30-7 OR corn oils OR oil, corn OR maize oil OR maize oils OR oil, maize OR oils, maize OR lipomul

Titles of 882 citations were returned and reviewed, followed by review of abstracts in cases where the title did not provide sufficient information to judge the relevance of a publication. Based on this initial titles and abstracts review, a large number of citations were not safety relevant and were excluded from further review. Publications that were excluded from further consideration were:

- Mechanistic studies, *in vitro*, mode of action, and mixture studies (258, e.g. gene expression, protein expression, and biochemical pathway analyses; experimentation on genetically modified animals; evaluations on additive, synergistic, or antagonistic effects; initiation and promotion effects involving other compounds, such as carcinogens)
- Behavioral studies (18, e.g. reinforcement behavior, palatability, food preference, orosensory, feeding motivation, conditioning, and grooming)
- Agriculture, animal feed, and non-relevant mammalian species studies (122)
- Composition, chemical properties, analytical methods/techniques, and technical applications (322)
- Pre-clinical toxicity studies using non-relevant routes of exposure (4, e.g. subcutaneous, percutaneous and intraperitoneal routes of exposure)
- Efficacy studies (25)
- Dated publications (pre-1980, reviews, and commentaries (81))
- Unrelated articles (28, e.g. environmental-related study, social science, study concerns a compound/substance that is not relevant to corn oil)

All pre-clinical studies related to ADME and toxicity and clinical studies and case reports (potentially containing safety outcomes reporting) were retained for further review. A total of 24 citations were determined to be potentially relevant and the full publications (3 with abstracts

only) were obtained and screened for information related to the toxicity and ADME of corn oil. The publications were categorized according to the primary physiological, toxicological, and/or biochemical effects that were observed, including ADME, cardiac effects; liver, kidney, pancreas, GI effects; lipid and metabolic effects; DART; and cancer. The citations for these publications are summarized in Table 1. Additional literature search was conducted for ADME publications and is described in response to question 3 (below).

| Types of | Author, year | Citation |
|----------|--------------------------|--|
| Effect | | |
| ADME | Degrace et al., 1996 | Degrace P, Caselli C, Rayo JM, Bernard A.Intestinal lymph absorption of butter, corn oil, cod liver oil, menhaden oil, and eicosapentaenoic and docosahexaenoic acid ethyl esters in rats.Lipids. 1996 Apr;31(4):405-14. |
| | Mitchell et al., 1989 | Mitchell DC, McMahon KE, Shively CA, Apgar JL, Kris- Etherton PM.Digestibility of cocoa butter and corn oil in human subjects: a preliminary study.Am J Clin Nutr. 1989 Nov;50(5):983-6. |
| | Apgar et al., 1987 | Apgar JL, Shively CA, Tarka SM Jr.Digestibility of cocoa butter and corn oil and their influence on fatty acid distribution in rats.J Nutr. 1987 Apr;117(4):660-5. |
| Cardiac | Eid et al., 2019a | Eid RA, Alkhateeb MA, El-Kott AF, Eleawa SM, Zaki MSA, Alaboodi SA, Salem Al-Shudiefat AA, Aldera H, Alnamar NM, Alassiri M, Khalil MA.A high-fat diet rich in corn oil induces cardiac fibrosis in rats by activating JAK2/STAT3 and subsequent activation of ANG II/TGF-1Î ² /Smad3 pathway: The role of ROS and IL-6 trans-signaling.J Food Biochem. 2019 Aug;43(8):e12952. doi: 10.1111/jfbc.12952. Epub 2019 Jun 20. |
| | Eid et al., 2019b | Eid RA, Eleawa SM, Alkhateeb MA, Aldera H, Zaki MSA, Al- Shraim M, Saeed MA, El-Kott AF, Alaa Eldeen M, Alassiri M, Alshehri MM, Salem Al-Shudiefat AA, Khalil MA.Chronic consumption of a high-fat diet rich in corn oil activates intrinsic cell death pathway and induces several ultrastructural changes in the atria of healthy and type 1 diabetic rat.Clin Exp Pharmacol Physiol. 2019 Dec;46(12):1111-1123. doi: 10.1111/1440-1681.13158. Epub 2019 Sep 10. |
| | Das et al., 2017 | Das S, Hamsi MA, Kamisah Y, Qodriyah HMS, Othman F, Emran A, Zakaria Z, Jaarin K.Changes in blood pressure, vascular reactivity and inflammatory biomarkers following consumption of heated corn oil.Pak J Pharm Sci. 2017 Sep;30(5):1609-1615. |
| Liver, | Milin et al., | Milin C, DomitroviÄ [‡] , R, Tota M, Giacometti J, Cuk M, |
| kidney, | 2000 | RadoseviÄ [‡] -StasiÄ [‡] B, Ciganj Z.Effect of olive oil- and corn |

| Table 1. | Safety | Relevant | Citations |
|----------|--------|----------|-----------|
|----------|--------|----------|-----------|

GRN 000890 – Responses to Toxicology Questions (April 24, 2020)

| Types of Effect | Author, year | Citation |
|----------------------|---|---|
| pancreas, GI | | oil-enriched diets on the tissue mineral content in mice.Biol Trace Elem Res. 2001 Summer;82(1-3):201-10. |
| | Sato et al., 2000 | Sato M, Wada K, Marumo H, Nagao T, Imai K, Ono H.Influence of corn oil and diet on reproduction and the kidney in female Sprague-Dawley rats.Toxicol Sci. 2000 Jul;56(1):156- 64. |
| | Nwanguma et al., 1998 | Nwanguma BC, Achebe AC, Ezeanyika LU, Eze LC.Toxicity of oxidized fats II: tissue levels of lipid peroxides in rats fed a thermally oxidized corn oil diet.Food Chem Toxicol. 1999 Apr;37(4):413-6. |
| | Anderson, 1987 | Anderson RL.Intestinal responses in the male rat to gavaged corn oil.Cancer Lett. 1987 Jul;36(1):55-63. |
| | Alexander et al., 1987 (abstract only) | Alexander JC, Valli VE, Chanin BE.Biological observations from feeding heated corn oil and heated peanut oil to rats.J Toxicol Environ Health. 1987;21(3):295-309. |
| | Eustis and Boorman, 1985 | Eustis SL, Boorman GA.Proliferative lesions of the exocrine pancreas: relationship to corn oil gavage in the National Toxicology Program.J Natl Cancer Inst. 1985 Dec;75(6):1067- 73. |
| Lipids and metabolic | Pavlisova et al., 2016 | Pavlisova J, Bardova K, Stankova B, Tvrzicka E, Kopecky J, Rossmeisl M.Corn oil versus lard: Metabolic effects of omega-3 fatty acids in mice fed obesogenic diets with different fatty acid composition.Biochimie. 2016 May;124:150-162. doi: 10.1016/j.biochi.2015.07.001. Epub 2015 Jul 4. |
| | Wong et al., 2015 | Wong CK, Botta A, Pither J, Dai C, Gibson WT, Ghosh S.A high-fat diet rich in corn oil reduces spontaneous locomotor activity and induces insulin resistance in mice.J Nutr Biochem. 2015 Apr;26(4):319-26. doi: 10.1016/j.jnutbio.2014.11.004. Epub 2014 Dec 15. |
| | Boyle et al., 1996 (abstract only) | Boyle FG, Yuhas RJ, Lien EL.Red blood cell and tissue phospholipid fatty acid profiles of weanling rats fed infant formula fat blends containing soy and/or corn oil.Ann Nutr Metab. 1996;40(4):234-42. |
| | Apgar et al., 1987 | Apgar JL, Shively CA, Tarka SM Jr.Digestibility of cocoa butter and corn oil and their influence on fatty acid distribution in rats.J Nutr. 1987 Apr;117(4):660-5. |
| | Deshaies, 1986 (abstract only) | Deshaies Y.Plasma lipoprotein cholesterol and triglycerides and lipoprotein lipase activity in epididymal white adipose tissue of rats fed high sucrose or high corn oil diets.Can J Physiol Pharmacol. 1986 Jul;64(7):885-91. |
| DART | Guerra et al., 2019 | Guerra LHA, Tamarindo GH, de Campos SGP, Taboga SR, Vilamaior PSL.Do mineral and corn oil serve as potential |

GRN 000890 – Responses to Toxicology Questions (April 24, 2020)

| Types of Effect | Author, year | Citation |
|--------------------|-----------------------------|---|
| | | endocrine disruptors in the gerbil prostate?Reprod Toxicol. 2019 Dec;90:141-149. doi: 10.1016/j.reprotox.2019.09.004. Epub 2019 Sep 27. |
| | Moral et al., 2011 | Moral R, Escrich R, Solanas M, Vela E, Costa I, de Villa MC, Escrich E.Diets high in corn oil or extra-virgin olive oil provided from weaning advance sexual maturation and differentially modify susceptibility to mammary carcinogenesis in female rats.Nutr Cancer. 2011;63(3):410-20. doi: 10.1080/01635581.2011.535956. |
| | Sato et al., 2000s | Sato M, Wada K, Marumo H, Nagao T, Imai K, Ono H.Influence of corn oil and diet on reproduction and the kidney in female Sprague-Dawley rats.Toxicol Sci. 2000 Jul;56(1):156- 64. |
| Cancer | NTP, 1994 | National Toxicology Program. NTP Comparative Toxicology Studies of Corn Oil, Safflower Oil, and Tricaprylin (CAS Nos. 8001-30-7, 8001-23-8, and 538-23-8) in Male F344/N Rats as Vehicles for Gavage.Natl Toxicol Program Tech Rep Ser. 1994 Apr;426:1-314. |
| | Rao and Haseman, 1993 | Rao GN, Haseman JK.Influence of corn oil and diet on body weight, survival, and tumor incidences in F344/N rats.Nutr Cancer. 1993;19(1):21-30. |
| | Haseman and Rao, 1992 | Haseman JK, Rao GN.Effects of corn oil, time-related changes, and inter-laboratory variability on tumor occurrence in control Fischer 344 (F344/N) rats.Toxicol Pathol. 1992;20(1):52-60. |
| | Haseman et al., 1985 | Haseman JK, Huff JE, Rao GN, Arnold JE, Boorman GA, McConnell EE.Neoplasms observed in untreated and corn oil gavage control groups of F344/N rats and (C57BL/6N X C3H/HeN)F1 (B6C3F1) mice.J Natl Cancer Inst. 1985 Nov;75(5):975-84. |

Q2. Further, FDA's literature search revealed reports of adverse effects from orally administered corn oil on the following organs/systems or physiological effects associated with the dysregulation of these organs/systems in rodents (rats or mice); some examples are listed below:

- I. **Kidney**, such as proximal tubular as well as glomerular lesions.
- II. **Pancreas**, such as hyperplasia of acinar cells of the exocrine pancreas.
- III. Heart, such as cardiac fibrosis, myocardial damage.
- IV. Metabolic effects, such as insulin resistance and type 2 diabetes.
- V. **Maternal effects**, such as abnormal clinical signs after parturition, reduced pup viability. *Please address why chronic consumption of COZ corn oil wouldn't be associated with adverse effect(s) as described in the published references.*

Response:

Findings in the literature searches as described under Q1 are summarized below. For all of the responses provided to Q2, the dose conversion is based on the following reference: EFSA Scientific Committee; Guidance on selected default values to be used by the EFSA Scientific Committee, Scientific Panels and Units in the absence of actual measured data. EFSA Journal 2012;10(3):2579. [32 pp.] doi:10.2903/j.efsa.2012.2579.

Cardiac effects

Three studies that were identified from the literature search containing cardiovascular-related endpoints are summarized in Table 2. Each study describes a single dose of corn oil that was administered to rats in the diet. Eid et al. (2019a,b) showed that rats fed a high-fat diet enriched in corn oil (HFD-CO, 40% fat; equivalent to 36 g/kg bw/day¹)for 8 weeks exhibited traits of type 2 diabetes, along with increased left ventricular collagen synthesis; disrupted systolic and diastolic function; and increased oxidative stress, cell death activation, and ultrastructural changes. In a study comparing diets fortified with 15% (w/w; weight ratio of rat chow to oil is 100:15; equivalent to 7.5 g/kg bw/day²) of either fresh, once-heated, five-times heated, or tentimes heated corn oil administered to rats in the diet for 16 weeks, the overall results showed that all of the heated oils caused a significant increase in blood pressure (Das et al., 2017).

¹ 40% high fat diet-corn oil was converted to 40 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 400,000 mg/kg diet = 40%; 1 mg/kg in rat feed for a subchronic duration study is equivalent to 0.09 mg/kg bw/day; therefore, 400,000 mg/kg diet is equivalent to 36 g/kg bw/day (i.e. (400,000 X 0.09)/1000).

² 15% w/w of corn oil was converted to 7.5 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 150,000 mg/kg diet = 15%; 1 mg/kg in rat feed for a chronic duration study is equivalent to 0.050 mg/kg bw/day; therefore, 150,000 mg/kg diet is equivalent to 7.5 g/kg bw/day (i.e. (150,000 X 0.050)/1000).

Collectively, these studies demonstrate that repeated, subchronic exposure to dietary corn oil in very high amount >=7.5 g/kg bw/day in rats can adversely affect cardiac ultrastructure and function as well as induce traits consistent with type 2 diabetes.

| Reference | Relevant endpoint(s) specific to | Primary results/conclusion |
|-------------------|--|--------------------------------------|
| | corn oil | specific to corn oil |
| Eid et al., 2019a | Left ventricular (LV) fibrosis was | HFD-CO induced type 2 diabetes |
| | evaluated in rats fed a low-fat diet or | phenotype and increased LV |
| | high-fat diet enriched in corn oil | collagen synthesis in rats that were |
| | (HFD-CO, 40% fat; equivalent to 36 | fed a HFD-CO (40% fat) diet. |
| | g/kg bw/day ¹) for 8 weeks | |
| Eid et al., 2019b | Atrial cells ultrastructure, antioxidant | Healthy rats that received a HFD- |
| | levels and markers of intrinsic cell | CO (40% fat) displayed T2DM |
| | death in adult male Wistar healthy | phenotype; systolic and diastolic |
| | control and T1DM-induced rats fed | function were impeded; increased |
| | control or HFD-CO (40% fat; | oxidative stress, cell death |
| | equivalent to 36 g/kg bw/day ¹) for 60 | activation, and ultrastructural |
| | days | changes |
| Das et al., 2017 | Blood pressure changes in male | Significant increase in the blood |
| | Sprague-Dawley rats (200-280 g in | pressure in groups fed the basal |
| | body weight) that were fed control | diet with 7.5 g/kg bw/day of once- |
| | diet, or basal diet fortified with 15% | heated, five- and ten-times heated |
| | (w/w; weight ratio of rat chow to oil | corn oil compared to the control |
| | is 100:15; equivalent dose 7.5 g/kg | diet; overall results suggest that |
| | bw/day^2) of either fresh, once-heated, | repeatedly heated corn oil |
| | five-times heated, or ten-times heated | increases blood pressure and |
| | corn oil for 16 weeks | vascular inflammation |

| Table 2. Studies with cardiac effects in rate | Table | 2. | Studies | with | cardiac | effects | in | rats |
|---|-------|----|---------|------|---------|---------|----|------|
|---|-------|----|---------|------|---------|---------|----|------|

Liver, Kidney, Pancreas and GI Effects

Six studies that were identified from the literature search containing liver, kidney, pancreas, or gastrointestinal (GI) effects are summarized in Table 3. Two separate, 21-day, single dose dietary studies are described whereby mice and rats were fed corn oil at 5% (w/w; equivalent to 10 g/kg bw/day³) and 12% in the diet, respectively (Milin et al., 2000; Nwanguma et al., 1998). Compared to mice that received a control diet, corn oil-exposed mice had increases in spleen iron and calcium concentrations, liver and thymus calcium. Rats that were fed corn oil (12% in the

³ 5% w/w corn oil was converted to 10 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 50,000 mg/kg diet = 5%; 1 mg/kg in mouse feed for a subacute duration study is equivalent to 0.2 mg/kg bw/day; therefore, 50,000 mg/kg diet is equivalent to 0.2 g/kg bw/day (i.e. $(50,000 \times 0.2)/1000$).

diet; equivalent to 14.4 g/kg bw/day⁴) exhibited significantly increased liver and kidney lipid peroxides, decreased body weight gains, and increased relative liver weights compared to controls. In a separate dietary study from Alexander et al. (1987; abstract only) in weanling rats that received diets containing 15% (by weight; equivalent to 18 g/kg bw/day⁵) of fresh or laboratory-heated corn oil (FCO, HCO), total weight gain, feed consumption, feed efficiency, liver and kidney weights were increased in FOC-exposed rats, while in HCO-exposed rats, reported clinical signs included diarrhea, dermatitis, seborrhea, and hair loss as well as thymus and liver injury.

In a gavage study, female Sprague-Dawley rats were administered 0, 2, or 10 ml corn oil/kg body weight/day (equivalent to 0, 1.8, or 9 g/kg bw/day, respectively, based on the corn oil density of 0.9 g/ml) via gavage during pre-mating (2 weeks), mating, gestation, and until day 3 of lactation (Sato et al., 2000). Overall results showed that the kidneys of dams fed an animal protein diet combined with corn oil providing intake of 9 g/kg bw/day of corn oil had severe lesions in the proximal tubular epithelium, which was reported as necrosis and fatty degeneration (Sato et al., 2000). The study authors concluded that the animal protein diet may have enhanced the corn oil toxicity (Sato et al., 2000). In a separate oral gavage study in male Fischer-344 rats that were administered 5 ml/kg bw/day corn oil (equivalent to 4.5 g/kg bw/day based on the corn oil density of 0.9 g/ml) for 5 weeks (5 days/week), results revealed small intestinal mucosal tissue mass, and increased DNA and protein content, along with increased DNA/dry mass ratio that was suggestive of rapid cell division (Anderson, 1987). Finally, Eustis and Boorman (1985) reviewed pancreata data from corn oil vehicle control and untreated control F344/N male rats in 37, 2-year carcinogenicity studies to assess the extent and strength of the association of proliferative exocrine pancreatic lesions with corn oil gavage. The study authors concluded that "there was no relationship between incidences of proliferative acinar lesions and the animal laboratory, the animal source, and the brand, lot, or peroxide level of the corn oil. The incidences of focal acinar hyperplasia and acinar adenoma were related to maximum mean body weights attained by the groups during the course of the study."

⁴ 12% w/w of corn oil was converted to 14.4 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 120,000 mg/kg diet = 12%; 1 mg/kg in rat feed for a subacute duration study is equivalent to 0.12 mg/kg bw/day; therefore, 120,000 mg/kg diet is equivalent to 14.4 g/kg bw/day (i.e. (120,000 X 0.12)/1000).

⁵ 15% w/w of corn oil was converted to 18 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 150,000 mg/kg diet = 15%; 1 mg/kg in weanling rat feed is equivalent to 0.12 mg/kg bw/day; therefore, 150,000 mg/kg diet is equivalent to 18 g/kg bw/day (i.e. (150,000 X 0.12)/1000).

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Taken together, corn oil administered in the diet and via gavage at doses ranging from 4.5 -18 g/kg bw/day have been shown to cause adverse effects in the liver, kidney, and GI, while pancreatic effects observed in rat 2-yr carcinogenicity studies are not attributed to corn oil.

| Reference | Relevant endpoint(s) specific to | Primary results/conclusion |
|---|--|--|
| | corn oil | specific to corn oil |
| Milin et al., 2000 | Mineral content changes in the liver, spleen, and thymus were examined in male Balb/c mice (2-3 mo old) that were fed diets enriched with 5% corn oil added to the standard pellet diet, w/w (equivalent to 10 g/kg bw/day ³) for 21 days | Compared to the control diet, diet enriched with corn oil (5%) caused an increase in spleen iron and calcium concentrations; increased liver calcium; and increased thymus calcium |
| Sato et al., 2000 | Kidney histopath evaluations in female Sprague-Dawley rats divided into two (CA-1 and CE-2) groups* that were administered 0, 2, or 10 ml corn oil/kg body weight/day (equivalent to 0, 1.8 or 9 g/kg bw/day, respectively) via oral gavage during pre-mating (2 wk), mating, gestation, and until day 3 of lactation *CA-1 dietary protein is primarily from animal protein while CE-2 is primarily from plant protein | Kidneys of dams fed the CA-1 diet combined with 9 g/kg bw/day of corn oil exhibited severe lesions in the proximal tubular epithelium reported as necrosis and fatty degeneration; CA-1 diet was suggested to enhance the corn oil toxicity |
| Nwanguma et al., 1998 | Tissue levels of lipid peroxides in organs, organ and body weights of male Wistar albino rats that were administered thermally oxidized corn oil (12% fat in the diet; equivalent to 14.4 g/kg bw/day ⁴) in the diet for 21 days | At the 14.4 g/kg bw/day dose, significantly increased lipid peroxides were observed in the liver and kidney; body weight gains were significantly decreased; relative liver weights were significantly increased compared to controls |
| Anderson, 1987 | Intestinal responses in male Fischer- 344 rats that were administered 5 ml/kg bw/day corn oil (equivalent to 4.5 g/kg bw/day) via oral gavage for 5 weeks (5 days/week) | At the 4.5 g/kg bw/day dose, small intestinal mucosal tissue mass, DNA and protein content were increased along with increased DNA/dry mass ratio that was suggestive of rapid cell division |
| Alexander et al., 1987 (abstract only) | Organ, tissue, and biochemical effects were evaluated in 5 groups of male weanling rats that received diets containing 15% (by weight; equivalent to 18 g/kg bw/day ⁵) of | In FCO-exposed rats (18 g/kg bw/day corn oil), total weight gain, feed consumption, feed efficiency, liver and kidney weights were increased. |

| Table 3. Studies with liver, kidney, pancreas, | GI effects in rats and mice |
|--|-----------------------------|
|--|-----------------------------|

| Reference | Relevant endpoint(s) specific to corn oil | Primary results/conclusion specific to corn oil |
|---|--|--|
| | fresh or laboratory-heated corn oil (FCO, HCO) | In HCO-exposed rats (18 g/kg bw/day corn oil), clinical signs included diarrhea, dermatitis, seborrhea, and hair loss as well as thymus and liver injury |
| Eustis and Boorman, 1985 (abstract only) | Microscopic review of pancreata from corn oil vehicle control and untreated control F344/N male rats in 37, 2-year carcinogenicity studies to assess the extent and strength of the association of proliferative exocrine pancreatic lesions with corn oil gavage | "There was no relationship between incidences of proliferative acinar lesions and the animal laboratory, the animal source, and the brand, lot, or peroxide level of the corn oil. The incidences of focal acinar hyperplasia and acinar adenoma were related to maximum mean body weights attained by the groups during the course of the study." |

Metabolic Effects

Five studies that were identified from the literature search containing lipid and metabolic effects are summarized in Table 4. Recent single-dose dietary studies performed in mice that received 32% (w/w; equivalent to 64 g/kg bw/day⁶) corn oil for 8 weeks (Pavlisova et al., 2016) or 19% (w/w; equivalent to 38 g/kg bw/day⁷) corn oil for 6 weeks (Wong et al., 2015) revealed that corn oil-exposed mice gained weight, had impaired insulin sensitivity, decreased locomotor activity, lower respiratory ratio, hyperinsulinemia, and impaired glucose disposal. These results suggest that repeated exposure to dietary corn oil at high doses in mice can cause metabolic impairment.

In a separate dietary study from Deshaies (1986; abstract only), rats fed diets high in corn oil (65% of calories as sucrose or corn oil, equivalent to 58.5 g/kg bw/day⁸) for 4 weeks had higher HDL to total cholesterol ratio compared to rats that consumed sucrose; plasma total triglyceride levels were also 73% higher in the sucrose-treated animals compared to corn oil. Corn oil-

⁶ 32% w/w corn oil was converted to 64 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 320,000 mg/kg diet = 5%; 1 mg/kg in mouse feed for a subchronic duration study is equivalent to 0.2 mg/kg bw/day; therefore, 320,000 mg/kg diet is equivalent to 64 g/kg bw/day (i.e. (320,000 X 0.2)/1000).

⁷ 19% w/w corn oil was converted to 38 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 190,000 mg/kg diet = 19%; 1 mg/kg in mouse feed for a subchronic duration study is equivalent to 0.2 mg/kg bw/day; therefore, 28,500 mg/kg diet is equivalent to 38 g/kg bw/day (i.e. (190,000 X 0.2)/1000).

⁸ 65% corn oil in the diet was converted to 58.5 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 650,000 mg/kg diet = 65%; 1 mg/kg in rat feed for a subchronic duration study is equivalent to 0.09 mg/kg bw/day; therefore, 650,000 mg/kg diet is equivalent to 58.5 g/kg bw/day (i.e. (650,000 X 0.09)/1000).

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treated rats accumulated large amounts of liver triglycerides; however, due to limited study details, the implications for this finding are unclear. Boyle et al. (1996; abstract only) concluded that corn oil is inappropriate for use in infant formulas based on large changes in liver long-chain polyunsaturated fatty acid profiles. Lastly, Apgar et al. (1987) determined that rats fed up 0, 5, 10, or 20% (equivalent to 0, 6, 12, or 24 g/kg bw/day, respectively⁹) corn oil in the diet for 2 weeks had an overall fecal fatty acid profile of 27-34% palmitic acid (16:0), 22-32% stearic acid (18:0) and 25-37% oleic acid (18:1).

Collectively, these studies reveal that repeated dietary corn oil exposure at high doses ranging from 28.5 - 58.5 g/kg bw/day in rats and mice leads to phenotypes associated with metabolic dysfunction.

| Reference | Relevant endpoint(s) specific to corn oil | Primary results/conclusion specific to corn oil | |
|---------------------------------------|--|--|--|
| Pavlisova et al., 2016 | Male C57BL/6N mice fed a corn oil (32% w/w; equivalent to 64 g/kg bw/day ⁶) diet for 8 weeks | At the 64 g/kg bw/day dose, weight gain induction and impaired insulin sensitivity were observed | |
| Wong et al., 2015 | Estimation of spontaneous locomotor activity, body composition and in vivo metabolic outcomes in female C57/Bl6 mice fed either high-fat (HF) diets [40% energy corn oil (CO; 19% w/w; equivalent to 38 g/kg bw/day ⁷) or isocaloric olive oil (OO; 19% w/w) or chow for 6 weeks | Mice fed a HF diet containing 38 g/kg bw/day of corn oil demonstrated reduced spontaneous locomotor activity, lower respiratory ratio, hyperinsulinemia and impaired glucose disposal; skeletal muscle failed to up- regulate fat oxidation genes, indicating metabolic insufficiencies | |
| Boyle et al., 1996 (abstract only) | Omega 3 long-chain polyunsaturated fatty acid (LCP) accretion in red blood cells, liver, and brain phospholipids were evaluated in rats fed diets containing infant formula fat blends with essential fatty acids provided from soy and/or corn oil. | Large changes in liver LCP profiles; substantial tissues differences b/w the oils (no further details provided in the abstract); authors state that corn oil is inappropriate for use in infant formulas | |
| Apgar et al., 1987 | Study to evaluate fecal fatty acid profile and fecal lipid elimination in male Sprague-Dawley rats fed 0, 5, | Overall fecal fatty acid profiles in rats fed up to 24 g/kg bw/day corn oil diets consisted primarily of 27-34% palmitic | |

Table 4. Studies with lipid and metabolic effects in rats and mice

 $^{^9}$ 5, 10, and 20% corn oil in the diet was converted to 6, 12, and 24 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 50,000, 100,000, 200,000 mg/kg diet = 5, 10, and 20%, respectively; 1 mg/kg in rat feed for a subacute duration study is equivalent to 0.12 mg/kg bw/day; therefore, 50,000, 100,000, 200,000 mg/kg diet is equivalent to 6, 12, and 24 g/kg bw/day, respectively (e.g. (50,000 X 0.12)/1000).

| | | Primary results/conclusion specific to corn oil | |
|-----------------------------------|---|--|--|
| | 10, or 20% corn oil (equivalent to 0, 6, 12, or 24 g/kg bw/day, respectively ⁹) for 2 weeks | acid (16:0), 22-32% stearic acid (18:0) and 25-37% oleic acid (18:1) | |
| Deshaies, 1986 (abstract only) | Plasma lipoprotein lipid composition and white adipose tissue lipoprotein lipase activity in rats fed diets high in either sucrose or corn oil (65% of calories as sucrose or corn oil, equivalent to 58.5 g/kg bw/day ⁸) for 4 weeks; reference diet group was also included | HDL to total cholesterol ratio was higher in the animals fed 58.5 g/kg bw/day corn oil compared to the sucrose-treated group, which had 73% higher plasma total triglyceride levels compared to the corn oil group; oil-fed rats accumulated large amounts of liver triglycerides; however, due to limited study details, the implications for this finding are unclear | |

DART-related effects

Three studies that were identified from the literature search containing DART-related effects are summarized in Table 5. In a single-dose study, Guerra et al. (2019) evaluated the effects of corn oil on the prostate of male Mongolian gerbils that received corn oil at 0.1 ml/day (equivalent to 1.286 g/kg bw/day, based on the corn oil density of 0.9 g/ml and the reported average animal weight of 70 g) via gavage for 25 days. Overall results from the study showed reduced body weight, an increased incidence of atrophic acini, decreased epithelial and stromal androgen receptor as well as increased epithelial levels of ERalpha and ERbeta. In another single-dose study, Moral et al. (2011) showed that female Sprague-Dawley rats fed a high corn oil (20% corn oil w/w, equivalent to 20 g/kg bw/day¹⁰ diet from weaning through puberty exhibited increased body weight around puberty, increased corpora lutea, and earlier sexual maturation compared to low-fat diet fed animals.

In a separate dietary study, female Sprague-Dawley rats were administered 0, 2, or 10 ml corn oil/kg body weight/day (equivalent to 0, 1.8, or 9 g/kg bw/day, respectively, based on the corn oil density of 0.9 g/ml) via oral gavage during pre-mating (2 weeks), mating, gestation, and until day 3 of lactation (Sato et al., 2000). No effects on mating or fertility indices were reported and no clinical signs observed during gestation. However, at the highest tested dose of 9 g/kg bw/day, decreased food consumption and decreased body weight gain from lactation days 0-4 (only when combined with an animal protein diet) were observed. Additionally, mortality and

¹⁰ 20% corn oil in the diet was converted to 18 g/kg bw/day based on EFSA guidelines (2012): 1 mg/kg diet = 0.0001%; 200,000 mg/kg diet = 20%; 1 mg/kg in rat feed for a subchronic duration study is equivalent to 0.09 mg/kg bw/day; therefore, 200,000 mg/kg diet is equivalent to 18 g/kg bw/day (i.e. $(200,000 \times 0.09)/1000$).

clinical signs were observed post-parturition as well as reduced pup viability when combined with an animal protein diet. Thus, adverse effects from corn oil were primarily observed when combined with an animal protein diet.

Taken together, these studies reveal differential effects of corn oil exposure in Mongolian gerbils and rats based on the corn oil dosage and route of administration. In male Mongolian gerbils that were administered 1.286 g/kg bw/day of corn oil via gavage for 25 days, body weights were decreased and effects on the prostate were observed. Separately, in female rats that received 0, 1.8, or 9 g/kg bw/day of corn oil via gavage combined with an animal protein diet during premating (2 wk), mating, gestation, and until day 3 of lactation, rats from the highest dose group had decreased body weight, mortality, exhibited clinical signs, and reduced pup viability; however, the study authors note these observed effects occurred when combined with an animal protein diet. In a separate non-gavage rat study, females that that received an 18 g/kg bw/day high corn oil diet from weaning through puberty exhibited earlier sexual maturation and increased body weight. Although the Sato et al. (2000) study is the only multiple-dose study among the three that were identified for DART, the dose range is inadequate for determining dose-dependent effects. The collective data from the three studies suggest reproductive toxicity below 1.286 g/kg bw/day based on the effects that were observed in male Mongolian gerbils, and developmental toxicity at less than 18 g/kg bw/day based on the observed effects in female Sprague-Dawley rats fed an 18 g/kg bw/day corn oil diet from weaning through puberty.

| Reference Relevant endpoint(s) specific to | | Primary results/conclusion specific to | |
|--|--|--|--|
| | corn oil | corn oil | |
| Guerra et al., 2019 | Effects of corn oil on the prostate were evaluated in male Mongolian gerbils that received corn oil at 0.1 ml/day (equivalent to 1.286 g/kg bw/day, based on the corn oil density of 0.9 g/ml and the reported average animal weight of 70 g) via oral gavage for 25 days | At a dose of 1.286 g/kg bw/day of corn oil, the following were observed: atrophic acini, reduced body weight, decreased androgen receptor in the epithelium and stroma, and increased epithelial levels of ERalpha and ERbeta | |
| Moral et al., 2011 | Effects of a high corn oil diet (20% corn oil w/w, equivalent to 18 g/kg bw/day ¹⁰) on puberty and mammary gland development in female Sprague-Dawley rats fed high corn oil diet from weaning through puberty | At a corn oil dose of 18 g/kg bw/day, increased body weight nearing puberty, increased corpora lutea, and earlier sexual maturation were observed compared to low-fat diet fed animals | |
| Sato et al., 2000 | Corn oil effects on gestation, parturition, and lactation in female Sprague-Dawley rats divided into 2 (CA-1 and CE-2) groups* that were administered 0, 2, or 10 ml corn | -No effects on mating or fertility indices; No clinical signs observed during gestation Effects observed at the highest tested dose of 9 g/kg bw/day: | |

Table 5. Studies with DART effects

| Reference | Relevant endpoint (s) specific to | Primary results/conclusion specific to | |
|-----------|--|--|--|
| | corn oil | corn oil | |
| | oil/kg body weight/day (equivalent | -Decreased food consumption (both | |
| | to 0, 1.8, or 9 g/kg bw/day, | diets) | |
| | respectively, based on the corn oil | -Decreased body weight gain from | |
| | density of 0.9 g/ml) via oral gavage | lactation days 0-4 on CA-1 diet | |
| | during pre-mating (2 wk), mating, | -Clinical signs observed post- | |
| | gestation, and until day 3 of | parturition and mortality on CA-1 diet | |
| | lactation | -Reduced pup viability from dams on | |
| | *CA-1 dietary protein is primarily | CA-1 diet | |
| | from animal protein while CE-2 is | | |
| | primarily from plant protein | | |

Cancer Effects

Three studies that were identified containing cancer-related information along with an NTP study are summarized in Table 6. In the NTP study, control male rats that received a corn oil vehicle were shown to have a higher incidence of pancreatic proliferative lesions and a lower incidence of mononuclear cell leukemia compared to untreated control males (NTP, 1994). Additionally, corn oil is not mutagenic in Salmonella typhimurium strains TA97, TA98, TA100, or TA1535, with or without S9 (NTP, 1994). Based on a study comparing the effects of various concentrations of safflower (very high in polyunsaturated fat), corn oil (high levels of polyunsaturated and monounsaturated fats), and tricaprylin (high in saturated medium-chain fatty acids) (tricaprylin) on the incidence and pattern of neoplasms in the F344/N rat, it was concluded that safflower oil and tricaprylin do not offer significant advantages over corn oil as a gavage vehicle in long-term rodent studies (NTP, 1994).

The primary objective of each of the remaining three studies was to comprehensively evaluate corn oil gavage data obtained from carcinogenicity studies in order to determine tumor incidence. Overall conclusions from the study authors are in general agreement with the NTP (1994) regarding the higher incidence of pancreatic proliferative lesions and a lower incidence of mononuclear cell leukemia in corn oil vehicle-treated control male rats compared to untreated control males. The study authors further suggest that the pancreatic acinar cell tumor incidence is due to a combination of fat intake and body weight (Rao and Haseman, 1993).

| Reference | - · · · - | Primary results/conclusion specific to corn oil |
|-----------|---|---|
| | oil | |
| NTP, 1994 | Studies conducted to evaluate the effects | • Corn oil-treated, control male rats exhibited |
| | of various concentrations of safflower | a higher incidence of pancreatic proliferative |
| | oil, corn oil, and tricaprylin on the | lesions and a lower incidence of |
| | incidence and pattern of neoplasms in | mononuclear cell leukemia compared to |
| | the F344/N rat; safflower oil and | untreated control males |

Table 6. Studies on cancer in rats and mice

| Reference | Relevant endpoint(s) specific to corn oil | Primary results/conclusion specific to corn oil |
|-----------------------------|---|--|
| | tricaprylin were also evaluated as replacements for the corn oil vehicle. | Corn oil was not determined to be mutagenic in Salmonella typhimurium strains TA97, TA98, TA100, or TA1535, +/- S9 Safflower oil and tricaprylin do not offer significant advantages over corn oil as a gavage vehicle in long-term rodent studies |
| Rao and Haseman, 1993 | Summary of the influence of corn oil gavage and different nonpurified diets on spontaneous tumor incidences in 64 dietary groups and 59 corn oil gavage control groups in 2-yr studies on ~6100 Fischer 344 rats of each sex | Corn oil gavage significantly increased the body weight and pancreatic tumor incidences but decreased the incidence of leukemia, which resulted in higher survival in male rats. Corn oil gavage significantly lowered the body weight and anterior pituitary tumor incidence in female rats. Pancreatic acinar cell tumor incidence was suggested by the study authors to be due to a combination of fat intake and body weight. |
| Haseman and Rao, 1992 | Survival, body weight, and site-specific tumor rates in untreated, corn oil gavage, and water gavage control Fischer 344 (F344/N) rats from 88 National Toxicology Program (NTP) long term carcinogenicity studies were evaluated to determine which factors were primarily responsible for inter- study variability. | |
| Haseman et al., 1985 | Control data on F344/N rats and (CS7BL/6N X C3H/HeN)F1 (B6C3F1) mammary tumor virus-free mice from the NTP were evaluated to compare tumor incidence between untreated controls versus animals that were administered corn oil via gavage | Corn oil-treated male F344/N control rats showed a higher incidence of pancreatic acinar cell adenoma and a lower incidence of leukemia (primarily mononuclear cell leukemia) than did the corresponding untreated controls. The increased incidences of pancreatic acinar cell adenoma observed in corn oiltreated male rats were associated with elevated body weights compared to untreated controls. Female F344 rats and male and female B6C3F1 mice showed little or no evidence of a difference in tumor incidence between corn oil gavage-treated and untreated controls. A review of ~300 carcinogenesis studies conducted by the National Cancer Institute (NCI) and the NTP revealed that there were no corn oil gavage studies in which |

| Reference | Relevant endpoint(s) specific to corn oil | Primary results/conclusion specific to corn oil |
|-----------|--|--|
| | | increased incidences of pancreatic acinar cell tumors or leukemia in male F344/N rats were the sole evidence of the carcinogenicity of a test chemical. Corn oil appears to have little impact on the interpretation of NCI-NTP carcinogenicity studies |

Overall, the pre-clinical evidence showed that exposure to very high amount of corn oil could result in various target organ effects, including:

- Subchronic dietary exposure corn oil in amount >=7.5 g/kg bw/day in rats can adversely affect cardiac ultrastructure and function as well as induce traits consistent with type 2 diabetes.
- Corn oil administered in the diet and via gavage at doses ranging from 4.5 -18 g/kg bw/day have been shown to cause adverse effects in the liver, kidney, and GI; however, pancreatic effects observed in rat 2-yr carcinogenicity studies are not attributed to corn oil.
- Repeated dietary corn oil exposure at high doses ranging from 28.5 58.5 g/kg bw/day in rats and mice leads to phenotypes associated with metabolic dysfunction.
- The collective data from DART studies suggest reproductive toxicity below 1.286 g/kg bw/day, and developmental toxicity at less than 18 g/kg bw/day

Assuming a default 60 kg body weight, these effects were observed with very high intake in the range of 77 g to 3,516 g of corn oil per day. These intakes are much higher than the EDI of 6 g of corn oil per day based on US consumption data (see appendix H of GRN). Corn oil is a food with a long history of use in the U.S. food supply. The principal food uses of corn oil include salad and cooking oil, margarine, blends of butter, mayonnaise and emulsion type salad dressings. Corn oil is used as an oil ingredient in a variety of packaged and restaurant foods, including spaghetti sauce, potato chips and snack foods, French fries and breaded foods, baking mixtures, frosting and whipped toppings, crumb coating for meat and poultry, and baked goods. The published literature also indicates that corn oil was commonly used in infant formulas in the U.S. as recently as the late 1990s (LSRO, 1998¹¹; Ponder et al. 1992¹²; Green Corkins and

¹¹ Life Sciences Research Office (LSRO) Expert Panel Report. Raiten DJ, Talbot JM, Waters JH, Eds. Assessment of nutrient requirements for infant formulas. J Nutr. 1998 Nov;128(11 Suppl):i-iv, 2059S-2293S. Review. No abstract available. Erratum in: J Nutr 1999 May;129(5):1090.

¹² Ponder DL, Innis SM, Benson JD, Siegman JS. Docosahexaenoic acid status of term infants fed breast milk or infant formula containing soy oil or corn oil. Pediatr Res. 1992 Dec;32(6):683-8

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Shurley, 2016¹³). Further, due to its beneficial effect of significantly lowering elevated blood pressure, a qualified health claim petition – Corn Oil and Corn Oil-Containing Products and a Reduced Risk of Heart Diseases (Docket No. 2006P-0243) was filed and FDA concluded that there is sufficient scientific support for a qualified health claim for corn oil (FDA, 2007¹⁴). Thus, chronic consumption of COZ corn oil, which is the same as conventional edible corn oil, would not be expected to be associated with the effects observed in animals at very high doses that well exceed dietary exposure to corn oil among the US population.

Q3. Please discuss what is known about the absorption, distribution, metabolism and excretion (ADME) profile of corn oil, which informs the safety of COZ corn oil.

Response:

Literature searches were conducted in April 2020 using PubMed, with supplemental searches performed in GoogleScholar to identify studies containing information related to the pharmacokinetics (i.e. absorption, distribution, metabolism, and excretion; ADME) of corn oil. The following search terms were used with the search field restricted to titles, and with no other limitations:

Corn oil OR 8001-30-7 OR corn oils OR oil, corn OR maize oil OR maize oils OR oil, maize OR oils, maize OR lipomul AND (metabolism OR metabolic OR absorption OR bioavailability OR pharmacokinetics OR oral OR pharmacodynamics)

Titles of 73 citations were returned and reviewed, followed by review of abstracts in cases where the title did not provide sufficient information to judge the relevance of a publication. Based on this initial titles and abstracts review, only a single study was identified that contained relevant information on the pharmacokinetics of corn oil. Although this single study is limited in scope, it was included for comprehensiveness. Publications that were excluded from further consideration were:

- Mechanistic studies, mode of action, mixture studies, studies on an unrelated compound (44, e.g. biochemical pathway analyses; evaluations on additive, synergistic, or antagonistic effects; initiation and promotion effects involving other compounds, such as carcinogens)
- Behavioral grooming study (1)

¹³ Green Corkins K, Shurley T. What's in the Bottle? A Review of Infant Formulas. Nutr Clin Pract. 2016 Dec;31(6):723-729. doi: 10.1177/0884533616669362.

¹⁴ Food and Drug Administration (FDA). Qualified Health Claims: Letter of Enforcement Discretion – Corn Oil and Corn Oil- Containing Products and a Reduced Risk of Heart Disease (Docket No. 2006P-0243). March 25, 2007.

- Agriculture, animal feed, and non-relevant mammalian species studies (7)
- Chemical properties, analytical methods/techniques, and technical applications (6)
- Dated publications (pre-1980 with no available abstract (13))
- A study from Pavlisova et al., 2016 that was previously captured for lipid and metabolic effects that is described in Table 4

Two studies that were not captured in the 73 citations from the ADME literature search but were identified in the first literature search (i.e. 882 citations) as well as five separate reviews were included for supporting information.

| Author, year | Citation | |
|-------------------|---|--|
| Barrera- | Daniel Barrera-Arellano, Ana Paula Badan-Ribeiro, Sergio O. Serna- | |
| Arellano, 2019 | Saldivar. Chapter 21 Corn Oil: Composition, Processing, and Utilization. | |
| | Corn (Third Edition). Chemistry and Technology. 2019, Pages 593-613. | |
| Wang and | Tong Wang and Pamela J. White. Chapter 13 - Lipids of the Kernel. Corn | |
| White, 2019 | (Third Edition). Chemistry and Technology. 2019, Pages 337-368. | |
| Lichtenstein et | Lichtenstein A, Jones PJ. Lipids: Absorption and Transport. In: Erdman | |
| al., 2012 | JWJ, Macdonald IA, Zeisel SH, eds. Present Knowledge in Nutrition. | |
| | 10 th ed: ILSI Wiley-Blackwell; 2012:118-131. | |
| Patterson et al., | Patterson E, Wall R, Fitzgerald GF, Ross RP, Stanton C. Health implications | |
| 2012 | of high dietary omega-6 polyunsaturated Fatty acids. J Nutr Metab. | |
| | 2012;2012:539426. | |
| Degrace et al., | Degrace P, Caselli C, Rayo JM, Bernard A.Intestinal lymph absorption of | |
| 1996 | butter, corn oil, cod liver oil, menhaden oil, and eicosapentaenoic and | |
| | docosahexaenoic acid ethyl esters in rats.Lipids. 1996 Apr;31(4):405-14. | |
| Dupont, 1990 | Dupont J, White PJ, Carpenter MP, Schaefer EJ, Meydani SN, Elson CE, | |
| | Woods M, Gorbach SL. Food uses and health effects of corn oil. J Am Coll | |
| | Nutr. 1990 Oct;9(5):438-70. | |
| Mitchell et al., | Mitchell DC, McMahon KE, Shively CA, Apgar JL, Kris-Etherton | |
| 1989 | PM.Digestibility of cocoa butter and corn oil in human subjects: a | |
| | preliminary study.Am J Clin Nutr. 1989 Nov;50(5):983-6. | |
| Apgar et al., | Apgar JL, Shively CA, Tarka SM Jr.Digestibility of cocoa butter and corn | |
| 1987 | oil and their influence on fatty acid distribution in rats.J Nutr. 1987 | |
| | Apr;117(4):660-5. | |

Relevant ADME Citations:

Corn oil ADME

Corn oil is a highly digestible fat that consists of approximately 60%, 25%, and 15% polyunsaturated, monosaturated, and saturated fatty acids, respectively (Apgar et al., 1987;

GRN 000890 – Responses to Toxicology Questions (April 24, 2020)

Mitchell et al., 1989; Dupont, 1990; Patterson et al., 2012; Wang and White, 2019; Barrera-Arellano, 2019). Therefore, the metabolism and bioavailability of corn oil is expected to be similar to other fatty acids. Following dietary ingestion of fats such as corn oil, the fatty acids are hydrolyzed by pancreatic enzymes and bile salts prior to being absorbed in the small intestine, for eventual distribution to other tissues in the body such as the liver and adipose (Lichtenstein et al., 2012). In the single study that was captured from the ADME literature search, male rats that received an intragastric bolus dose of corn oil comprised of 82.4% oleic and linoleic acids had a peak absorption of 2.0 ± 0.4 mL/h (Degrace et al., 1996). The study authors concluded that intestinal absorption of fatty acids such as corn oil can vary based on fatty acid composition, but the metabolic processes underlying fatty acid metabolism are generally the same (Degrace et al., 1996). Thus, the pharmacokinetic profile of corn oil can be reasonably inferred from the processes underlying fatty acid metabolism.

Q4. In Table 7 on Page 28, the units of the percentage values presented in columns 5 (FCC Specification) and 6 (COZ Corn Oil Mean) are not specified. Please address whether these values reflect a percentage of 100 g oil (as in column 2) or a percentage of 100 g fatty acids (as in column 3).

Response:

The percentage values in column 5 (FCC Specification) reflects a percentage of 100 g oil. The percentage in column 6 (COZ Corn Oil Mean) reflects a percentage of 100 g oil.

Q5. Please clearly state **in your own words** your OVERALL CONCLUSION that the COZ corn oil (subject of this GRAS notice) is GRAS for its intended use and why the concerns associated with corn oil exposure raised by various studies such as these noted above by FDA are adequately addressed.

Response:

COZ corn oil derived from distillers corn oil by CO1[™] and conventional RBD processes is equivalent to conventional corn oil. COZ corn oil meets FCC specifications for color, water, free fatty acids, iodine value, peroxide value, unsaponifiable matter, and fatty acids. In addition to having a fatty acid profile consistent with conventional corn oil, the phytosterol and fat soluble vitamin concentrations are comparable to conventional corn oil. COZ corn oil therefore is nutritionally equivalent to conventional corn oil. The proposed use of COZ corn oil as edible corn oil will be substitutional to other conventional corn oil sources in the US market. As shown in the response to Q2, the dietary exposure to corn oil in the US is well below intake level in animal studies in which adverse effects were observed. Conventional corn oil has had a long history of dietary exposure with recognized health benefits via an approved qualified health claim. Conventional corn oil is generally recognized as safe (GRAS). As such, COZ corn oil, which is the same as conventional edible corn oil, is also GRAS.

Chemistry questions

Manufacturing and Raw Materials

Q1. In Tables 3 and 4 on pages 17 and 18, you have listed several processing aids and materials with "regulatory status". Some of them do not address the uses described in your notice.¹ For clarity, please provide a statement that any processing aids, materials and components added during manufacture, and any antioxidants added to the final product are commonly used in production of edible oils, food grade, and safe and suitable for their intended use.

Response:

All processing aids used in the CO1TM processing steps have regulatory approvals for use in food, are commonly used in the production of edible oils, and are safe and suitable for their intended use.

Q2. Please provide a statement that the COZ corn oil is produced in accordance with current good manufacturing practices and general requirements for production of human food (21 CFR Part 110).

Response:

Production of COZ corn oil is comprised of two distinct phases, as described in the GRN document. Both production processes are in compliance with current good manufacturing practices and general requirements for production of human food.

Q3. On page 14, the notice states that several components added during ethanol production and fermentation byproducts may be present in the crude oil.

a. Please provide a narrative based on standard industry practices to address removal of the other chemicals (i.e. urea, caustic soda, oil recovery chemicals, enzymes, and pH control agents) and fermentation byproducts that may be present following crude oil production.

Response:

As shown in Appendix C, Table D1, urea, caustic soda, sulfuric acid, enzymes, yeast used in the fermentation process have regulatory approval for use in food. Except for PhibroBreak Corn Oil additive, all substances listed in Table D2 are used for the purpose of boiler and water treatment in the ethanol production process. The active ingredients used in these substances are listed as permissible chemicals as boiler water additives and are listed in 21 CFR 173.310.¹ As a result, these substances are designed to be highly soluble in water and will be separated into the aqueous streams of the fermentation process. In an unlikely scenario where residues of these substances are present in the crude corn oil feedstock, they will be removed in the neutralization step of the Phase 1 CO1 process and refining step of the Phase II RBD process due to their high solubility in water.

PhibroBreak is a processing aid for the separation of crude corn oil from condensed solubles at ethanol production facilities. A major component of this substance is a polymeric surfactant the use of which is GRAS in feed. Further, the manufacturer provided a letter stating that the additive does

¹ https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?FR=173.310

not pose any health risk to humans. ^{2,3} Most of the substance will remain in the rag layer; an extremely low amount of it might be left as a residue in the crude corn oil. Any residue will be removed by the filtration process step during dewaxing in the Phase 1 CO1 process and bleaching in the Phase II RBD process.

| | Product Name | Active Ingredient | GRAS status of active Ingredient |
|---|---|--|--|
| А | VOxOUT 70C CO ₂ Scrubber Chemical | Ammonium Bisulfite ⁴ | Extremely Soluble in water |
| В | Boiler MP Plus Scale Inhibitor Boiler Chemical | Sodium Hydroxide ⁵ | Extremely Soluble in water. 21 CFR 184.1763 Sodium hydroxide |
| С | BWT 200 B Alkalinity Builder Boiler Chemical | Sodium or Potassium Hydroxide is typically used | Extremely Soluble in water. 21 CFR 184.1763 Sodium hydroxide |
| D | Oxigon 200 Oxygen Scavenger Boiler Chemical | Inorganic sulfite, diethylhydoxylamine are typically used. ⁶ | Extremely soluble in water |
| Е | RLT 19 Condensate Treatment Boiler Chemical | Amines such as 2- Diethylaminoethanol are typically used. ⁷ | Extremely soluble in water |
| F | Bulab 8170GR Evaporator Anti-scalant | Poly acrylic acids, Polyphosphates, Phosphonates are typically used. ⁸ | Extremely soluble in water |
| G | FermaSure XL | Chlorine Dioxide9 | Extremely Soluble in water. 21 CFR 173.300 |
| K | Phibro AC Clean-in-Place Chemical | Nitric Acid, Proprietary Chemical ¹⁰ | Extremely Soluble in water. 49 CFR 173.158 |

b. Please clarify whether active enzymes and red yeast used in ethanol production are expected to remain in the refined oil.

Response:

As shown in Appendix C, Table D1 of the GRN, the enzymes and yeast used in the ethanol production have regulatory approval to be used in the production of food. In addition, due to the amount of water used in the fermentation process, the enzymes and the red yeast used in the ethanol production must be either soluble or dispersible in water. The likelihood of enzymes and yeast residues being present in the crude oil is very low. In the event any of the residues are present in the crude oil, they will be removed in the dewaxing filters during the dewaxing step of the Phase 1 CO1 process.

Q4, In Table D-2 on page 62, the notice lists substances A through L that you state, "do not have

² Letters from the manufacturer stating that the additive does not pose human health risk (attached to this response)

³ PhibroBreak SDS sheet (attached to this response)

⁴ VOxOUT SDS sheet (attached to this response)

⁵ Boiler MP Plus SDS Sheet (attached to this response)

⁶ <u>https://www.suezwatertechnologies.com/handbook/chapter-11-preboiler-and-boiler-corrosion-control</u>

⁷ https://www.suezwatertechnologies.com/handbook/chapter-19-condensate-system-corrosion

⁸ <u>https://www.sciencedirect.com/topics/engineering/antiscalant</u>

⁹ FermaSure XL SDS sheet (attached to this response)

¹⁰ Phibro AC SDS sheet (attached to this response)

the appropriate regulatory status." Please provide additional information to assess the regulatory status of these substances. Consider the following in your response:

- a. Please provide a statement addressing if the substances A through K are standard to the corn oil industry or industrial fermentations for food use.
 Please see response above in Q3-a
- b. Substance L is added directly to the crude oil and therefore would not meet the "food contact substance" definition as you have cited. Please address its regulatory status as a direct ingredient.
 Please see response above in Q3-a
- c. You have provided a Threshold of Toxicological Concern (TTC) discussion for substances termed "impurities" (p. 35-39, 61-62). We note that FDA has a process for submitting a threshold of regulation (TOR) exemption, and information provided in a GRAS notice cannot serve as a TOR exemption submission. Further, we note that boiler water additives are within the purview of the Division of Food Contact Substances (DFCS) within OFAS, while sanitation chemicals may be within the purview of DFCS or the Environmental Protection Agency. We would not evaluate safety of these materials within the context of a GRAS notice.

Response:

None of the substances in question are being treated as food contact substances for which TOR can be used. Rather, the substances are being treated as residues the safety of which are being addressed through the threshold of toxicological concern (TTC) paradigm. TTC is based on scientific risk assessment principles and can be used to assess the safety of such residues. The lowest TTC value (0.15 microgram/day) was used as the basis to derive an acceptable limit.

GRN 000890 – Responses to Chemistry Questions (April 24, 2020) Antibiotics Use

The notice suggests that the assurance that antibiotics are not present in COZ corn oil relies on two factors: 1) absence of antibiotics in the crude oil (as determined by monthly random sampling (regular protocol), and 2) removal of any residual antibiotics during the method of manufacture. The information provided in the notice does not sufficiently address this topic. We request that you address the following:

Q1. Antibiotic use in ethanol production varies by country. Please clarify whether the source of the crude corn oil is only domestic or also from outside the U.S. If crude corn oil is obtained from outside the U.S., please specify where it is obtained from.

Response:

Only domestic crude oil is used as feedstock.

Q2. On page 15, the notice cites a report by the U. S. Grain Council that states that the following antibiotics may be used in production of ethanol from distiller's grains: virginiamycin, erythromycin, penicillin G, tetracycline, and tylosin.

a. Will suppliers of crude corn oil provide data on use of antibiotics other than those five?

Response:

Yes, the suppliers of crude corn oil will provide data on use of antibiotics other than those five

b. If so, what are the acceptance criteria for crude corn oil for antibiotics other than those five?

Response:

Similar to the five antibiotics, the acceptance criteria for the remaining antibiotics will be 'Not Detected (LOD=0.05ppm)'.

Q3. Please comment whether the FDA LIB (4438) method for analysis of antibiotic residues is validated for use in an oil matrix.

Response:

The method was validated for oil matrices. The method is based on FDA LIB 4438. While the extraction procedure is the same, the method uses a different analytical column, mobile phases, and gradient.

Q4. Have specifications been set for limits on the levels of antibiotics in the refined oil product? Please discuss.

Response:

Antibiotics are not expected to be present in the crude oil starting material and that will be verified using methods with low limits of detection. Therefore, antibiotics will not be present in the refined oil.

Q5. Please provide a narrative to explain why antibiotics will not remain in the crude oil after

fermentation, and how the RBD process (pp, 18-19, pp. 66-68) would remove residual antibiotics. Consider the following in your response:

- a. Include reference(s) used for the data in Appendix E (pp. 66-68).
 Response: please see comprehensive narrative response below; references have been added to the updated Appendix E (enclosed with this response in excel format to facilitate viewing)
- b. Consider relevant publications regarding distribution of antibiotic residues between distiller's grains (dry matter) and crude oil.
 Response: please see comprehensive narrative response below
- c. The information in the tables pp. 66-68 suggests at least a portion of the antibiotics might distribute in oil. Please clarify how the information in this table was used to predict the distribution of antibiotics in water:oil (100:0) to support statements regarding removal of antibiotics during degumming and neutralization (pp. 18-19). Support your assumption with data on fractionation of antibiotics in these matrices (either from DDGS producer data or in a laboratory setting).

Response: Information in the narrative (see below) and updated Appendix E addresses the above question.

Water solubility of a contaminants is measured in mg/l. Solubility is divided into three categories as below¹¹:

Low water solubility: <10 mg/l Moderate water solubility: 10-1,000 mg/l High water solubility: >1,000 mg/l

Based on the above criteria, the antibiotics and mycotoxins are predicted to be distributed in the solvent (water and ethanol) in various ratios.

Low water solubility: 25:75 Water: Oil Moderate water solubility: 50:50 Water: Oil High water solubility: 100:0 Water: Oil

d. Several cells in the Tables pp. 66-68 are blank. Please rectify.

Response:

Appendix E has been updated to reflect parameters used in the mass balance calculation; extraneous data not relied upon have been removed to avoid confusion. The updated Appendix E is enclosed with this response in excel format to facilitate viewing

Response Narrative:

During the corn fermentation process, antibiotics are typically added to minimize bacterial contamination that could result in lower ethanol yield and quality. Virginiamycin and Penicillin are the most widely used antibiotics in the corn fermentation process.¹² FDA's Center for Veterinary Medicine (CVM) conducted a nationwide survey in 2012 for 13 possible antibiotic residues in Distillers Grains. The survey found that out of total 46 samples analyzed, only 3 samples had

¹¹ Ronald Ney, "Fate and Transport of Organic Chemicals in the Environment" 1995; p. 10

¹² <u>https://grains.org/wp-content/uploads/2018/01/Chapter-9DDGS.pdf</u>

detectable concentrations of erythromycin, penicillin and/or virginiamycin.¹³ The first sample contained 0.58 ppm erythromycin, the second sample contained 0.24 ppm penicillin and 0.15 ppm virginiamycin, and the third sample contained 0.16 ppm virginiamycin. Erythromycin had a detection limit of 0.5 ppm, penicillin had a detection limit of 1.0 ppm, and virginiamycin had a detection limit of 0.1 ppm.¹⁴

Virginiamycin M1: Virginiamycin is a commonly used antibiotic in corn fermentation process added at levels of 0.25 ppm to 2ppm. Virginiamycin is approved by the FDA to be used in treatment of livestock, for example at levels of 5.5 to 110ppm in swine feed. In November 1993, the FDA's Center for Veterinary Medicine issued a "letter of no objection" for the use of virginiamycin at concentrations of between 2 to 6 ppm in the fermentation phase of ethanol and distiller's dried grain with solubles? (DDGS) production, and had no objection to potential virginiamycin residues of 0.2 to 0.5 ppm in DDGS. Virginiamycin concentrations below 0.5 ppm pose no concern to animals consuming the feed, nor to humans consuming food derived from those animals. ^{15, 16} The FDA also conducted a quantitative risk assessment on virginiamycin and human health in 2004 and concluded that virginiamycin poses no threat to human health.^{12,16} Virginiamycin is significantly inactivated at temperatures of the ethanol distillation process at 100°C.¹⁷ Temperature conditions in the drying step in Phase I CO1 process and deodorization step in the Phase II RBD process can be as high as 260°C - enough to deactivate any Virginiamycin residues if present in the crude corn oil. Virginiamycin has limited solubility in both water (45mg/l)¹⁸ but high solubility in polar organic solvents such as ethanol.^{19,20} Aqueous ethanol solutions are used as solvent in the neutralization step of Phase I CO1 process. So, any residues of Virginiamycin that might be present in crude corn oil will be removed in the process.

<u>Penicillin G:</u> Penicillin is often added at concentrations above 1.5 mg/L in fuel ethanol production due to the possibility of induced enzymatic degradation of the antibiotic. This concentration is much lower than concentrations approved for use in food animals. ¹² The stability of penicillin is directly affected by temperature and pH. High temperatures (>35°C) and pH values greater than 8.0 and less than 4.0 cause penicillin to become unstable. ²¹ It was reported that within 48 hours, penicillin G (0.5 unit/mL) was almost completely? inactivated at 35°C and at pH of 3.8, 4.0, 4.2, and 4.5 during sterile malt glucose yeast extract fermentation. ²²

¹³ FY 2010 Nationwide Survey of Distillers Grains for Antibiotic Residues, 2009. <u>http://www.fda.gov/AnimalVeterinary/Products/AnimalFoodFeeds/Contaminants/ucm190907.htm</u>

¹⁴ Luther, M. 2012. Report of FY 2010 nationwide survey of distillers products for antibiotic

residues, Center for Veterinary Medicine, FDA, Silver Springs, MD.

¹⁵ Benz, S. A. 2007. In: J. A. Miller (ed.). Department of Health & Human Services, Rockville, MD, p.2.

¹⁶ Juranek, P. and P. Duquette. 2007. Antibiotic regulatory considerations for distiller's grains. Distillers Grains Quarterly, 4th Quarter.

¹⁷ Hamdy, M.K. R.T. Tolew, C.J. Shieh, M.A. Fpannenstiel and R. Wang. 1996. Effects of

virginiamycin on fermentation rate by yeast. Biomass and Bioenergy 11:1-9.

¹⁸ US EPA; Estimation Program Interface (EPI) Suite. Ver. 4.1. Jan, 2010. Available from, as of May 8, 2012: <u>http://www.epa.gov/oppt/exposure/pubs/episuitedl.htm</u>

¹⁹ Lactrol- Virginiamycin and Dextrose, Product Data Sheet, Phibro Ethanol Performance, <u>https://www.pahc.com/wp-content/uploads/ProductDataSheets/EPG/Antibiotics/phibro-lactrol-4-4-16.pdf</u>

²⁰ O'Neil, M.J. (ed.). The Merck Index - An Encyclopedia of Chemicals, Drugs, and Biologicals. Whitehouse Station, NJ: Merck and Co., Inc., 2006.

²¹ Kheirolomoom, A., A. Kazemi-Vaysri, M. Ardjmand, A. Baradar-Khoshfetrat. 1999. The combined

effects of pH and temperature on penicillin G decomposition and its stability modeling.

Process Biochemistry 35:205-211.

²² Islam, M., R. Toledo, and M.K. Hamdy. 1999. Stability of virginiamycin and penicillin during

Penicillin is significantly inactivated at temperatures of the ethanol distillation process of 100°C.²³ Temperature conditions in the drying step in Phase I CO1 process and deodorization step in the Phase II RBD process can be as high as 260°C enough to deactivate any Penicillin residues if they are present in the crude corn oil. Penicillin G has high solubility in both water (>0.056mol/l or 18704mg/l) and ethanol (>0.028mol/l or 9352mg/l).^{24,25,26,27} So, in the unlikely event any Penicillin residues are present in crude corn oil the resudues will be removed during contact with aqueous ethanol solutions in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

<u>Erythromycin:</u> The stability of erythromycin is pH and temperature dependent, where it is more stable at the pH range from 7.0 to 8.0 and lower temperatures. ²⁸ Erythromycin is likely inactivated by the low pH and high temperatures encountered during fermentation and distillation of ethanol. Temperature conditions in the drying step in Phase I CO1 process and deodorization step in the Phase II RBD process can be as high as 260°C which is enough to deactivate any Erythromycin residues if present in the crude corn oil. Further, Erythromycin is soluble in water (2000mg/l) and polar solvents such as ethanol. ^{29, 30, 31} So, in the unlikely event any Erythromycin residues are present in the crude corn oil, the residues will be removed during contact with aqueous ethanol solutions in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

Tylosin: Tylosin is not a commonly used antibiotic in the corn fermentation process. Tylosin is most stable at about pH of 3.5 to about pH of 9. Outside of those pH ranges, there is significant inactivation of the antibiotic. In addition, exposure to increased temperatures can lead to inactivation. ³² Temperature conditions in the drying step in Phase I CO1 process and deodorization step in the Phase II RBD process can be as high as 260°C which is enough to deactivate any Tylosin residues if present in the crude corn oil. Tylosin is approved to be fed to livestock. Further, Tylosin is highly soluble in water at levels of 5000 mg/l and freely soluble in methanol and other lower alcohols. ³³ So, in the an unlikely event any Tylosin residues are present in the crude corn oil, the residues will be removed during the contact with aqueous ethanol solutions in the

²⁹ https://www.chemicalbook.com/ChemicalProductProperty_US_CB8300078.aspx

alcohol fermentation. Biomass and Bioenergy 17:369-376.

²³ Hamdy, M.K. R.T. Tolew, C.J. Shieh, M.A. Fpannenstiel and R. Wang. 1996. Effects of

virginiamycin on fermentation rate by yeast. Biomass and Bioenergy 11:1-9.

²⁴ Weiss P.J.; Andrew, M.L.; Wright, W.W. Antibiotics and Chemotherapy 1957,7, 374.

²⁵ David J. Maggs, Chapter 3 - Ocular Pharmacology and Therapeutics, Slatter's Fundamentals of Veterinary Ophthalmology (Fourth Edition), 2008

²⁶ Budavari, S. (ed.). The Merck Index - Encyclopedia of Chemicals, Drugs and Biologicals. Rahway, NJ: Merck and Co., Inc., 1989., p. 178

²⁷ E. Tomlinson, A. Regosz, Solubility data series, Antibiotics: 1, beta-lactam antibiotics, Pergamon Press, Vol 16/17 1985

²⁸ Brisaert, M., M. Heylen and J. Plaizier-Vercammen. 1996. Investigation on the chemical stability of erythromycin solution using an optimizing system. Pharm. World Sci. 18:182-186.

³⁰ Lide, D.R. CRC Handbook of Chemistry and Physics 88TH Edition 2007-2008. CRC Press, Taylor & Francis, Boca Raton, FL 2007, p. 3-230

³¹ Photo-Degradation of Amoxicillin, Streptomycin, Erythromycin and Ciprofloxacin by UV and UV/TiO2 Processes. Evaluation of Toxicity Changes Using a Respirometric Biosensor, Palmisano, Campanella, Ambrosetti, J Environ Anal Chem 2015, 2:3

³² Aksenova, I. A., E. M. Ter-Sarkisian, R. D. Soifer, G. Florova, and L. S. Iustratova. 1984. [Effect of the ph of the medium and of temperature on tylosin stability]. Antibiotiki 29:179-182.

³³ O'Neil, M.J. (ed.). The Merck Index - An Encyclopedia of Chemicals, Drugs, and Biologicals. Cambridge, UK: Royal Society of Chemistry, 2013., p. 1823

neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

<u>Tetracycline</u>: Tetracycline is not a commonly used antibiotic in the corn fermentation process. Tetracycline is inactivated in acidic conditions (pH<2) forming anhydroteracyline. ³⁴ Further, Tetracycline has limited solubility in water at levels of 231 mg/l ³⁵ and highly soluble in ethanol at levels of 20,000 mg/ml.³⁶ So, in the an unlikely event any Tetracycline residues are present in the crude corn oil, the residues will be removed during the contact with aqueous ethanol solutions in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

Q6. On pages 66-68, the notice notes 3 of 5 antibiotics are unstable under acidic conditions (C01 process and degumming) and 4 of 5 antibiotics are unstable to caustic refining. Please provide a narrative on the effect of these processes on the removal of antibiotics and/or their degradants including references or data (e.g., spiked samples) in support. Consider the following in your discussion:

a. Discuss the basis for concluding removal of residues of virginiamycin by deodorization (p. 68). Are other antibiotics or their degradants affected by deodorization?

Response:

Antibiotics are inactivated at high temperature conditions. Specifically, virginiamycin is inactivated at temperatures greater than 100°C and penicillin at temperatures greater than 35°C. Temperatures in deodorization process can reach as high as 260°C and will therefore inactivate the antibiotics. However, due the solubility of these virginiamycin and other antibiotics in water and ethanol, they will be removed from the oil in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process. Appendix E is updated accordingly.

b. Address solubility of erythromycin in oil based on the data from one of the crude oil samples (page 69). Other than the single batch analysis, there is no supporting information in the notice.

Response:

Erythromycin is soluble in water (2000mg/l) and polar solvents such as ethanol. ^{37, 38, 39} So, in the unlikely event any Erythromycin residues are present in the crude corn oil, the residues will be removed during contact with aqueous ethanol solutions in the neutralization

³⁴ Wang, L., H. Yang, C. Zhang, Y. Mo, and X. Lu. 2008. Determination of oxytetracycline, tetracycline and chloramphenicol antibiotics in animal feeds using subcritical water extraction and high performance liquid chromatography. Anal. Chim. Acta 619: 54-58.

³⁵ Yalkowsky SH, Dannenfelser RM; The AQUASOL database of Aqueous Solubility. Fifth ed, Tucson, AZ: Univ AZ, College of Pharmacy (1992)

³⁶ Osol, A. and J.E. Hoover, et al. (eds.). Remington's Pharmaceutical Sciences. 15th ed. Easton, Pennsylvania: Mack Publishing Co., 1975., p. 1143

³⁷ https://www.chemicalbook.com/ChemicalProductProperty_US_CB8300078.aspx

³⁸ Lide, D.R. CRC Handbook of Chemistry and Physics 88TH Edition 2007-2008. CRC Press, Taylor & Francis, Boca Raton, FL 2007, p. 3-230

³⁹ Photo-Degradation of Amoxicillin, Streptomycin, Erythromycin and Ciprofloxacin by UV and UV/TiO2 Processes. Evaluation of Toxicity Changes Using a Respirometric Biosensor, Palmisano, Campanella, Ambrosetti, J Environ Anal Chem 2015, 2:3

step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

c. Address the step(s) of the CO1 or RBD process that removes erythromycin.

Response:

As addressed in Q5, temperature conditions in the drying step in Phase I CO1 process and deodorization step in the Phase II RBD process can be as high as 260°C which is enough to deactivate any Erythromycin residues if present in the crude corn oil. Further, Erythromycin is soluble in water (2000mg/l) and polar solvents such as ethanol. ^{40, 41, 42} So, in the unlikely event any Erythromycin residues are present in the crude corn oil, the residues will be removed during contact with aqueous ethanol solutions in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process.

d. Address the affinity of antibiotics and their degradants in the oil to the bleaching material proposed for use (bentonite).

Response:

There is evidence that some of the antibiotics will be adsorbed by soil.^{43,44} The adsorption of the antibiotics depends on the adsorption potential of the clay. ⁴⁵ However, due to the solubility of these antibiotics in water and ethanol, the antibiotics will be removed from the oil in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process prior to the bleaching step. Appendix E is updated accordingly.

Q7. On page 34, the presumed body weight of 60 kg used in the acceptance criteria rationale for antibiotics would not be appropriate for children. Please discuss how children were considered in the safety evaluation of antibiotic intake.

Response:

The acceptance criteria for antibiotics are **<u>non-detects</u>** based on the limit of detection (LOD) of 0.05 ppm. (FDA LIB 4438). The following assessment was conducted to provide support that a **<u>non-detection</u>** at the LOD 0.05 ppm is safe:

- Based on 21 CFR §556.750, the ADI for **virginiamycin** is 250 µg/kg bw/day.
- JECFA (2006) established an ADI of $0-0.7 \mu g/kg$ bw for erythromycin
- JECFA (1998) established an ADI of $30 \mu g/p/d$ for **penicillin G**.
- Based on 21CFR §556.750, the ADI for **tetracycline** is 25 µg/kg bw/day
- JECFA (2008) established an ADI of $0-30 \mu g/kg$ bw for tylosin.

Assuming that the daily intake of corn oil of 6g/day (see EDI in **Appendix H of the GRN**) is exposed at the LOD of 0.05 ppm, for a 10 kg bw of a child, the EDI would be 0.03 μ g/kg bw/day.

⁴⁰ <u>https://www.chemicalbook.com/ChemicalProductProperty_US_CB8300078.aspx</u>

⁴¹ Lide, D.R. CRC Handbook of Chemistry and Physics 88TH Edition 2007-2008. CRC Press, Taylor & Francis, Boca Raton, FL 2007, p. 3-230

⁴² Photo-Degradation of Amoxicillin, Streptomycin, Erythromycin and Ciprofloxacin by UV and UV/TiO2 Processes. Evaluation of Toxicity Changes Using a Respirometric Biosensor, Palmisano, Campanella, Ambrosetti, J Environ Anal Chem 2015, 2:3

⁴³ Meylan WM et al; Environ Sci Technol 26: 1560-67 (1992)

⁴⁴ Doucette WJ; pp. 141-188 in Handbook of Property Estimation Methods for Chemicals. Boethling RS, Mackay D, eds. Boca Raton, FL: Lewis Publ (2000)

⁴⁵ Kumar K, Gupta SC, Chander Y, Singh AK (2005) Antibiotic use in agriculture and its impact on the terrestrial environment. Advances in Agronomy 87: 1-54

This is well below the ADIs for these antibiotics. Therefore, there is an ample margin of safety when there is no detection at the LOD of 0.05 ppm in the crude corn oi when considering the children population.

Mycotoxin Levels

Q1. Provide a narrative to address the levels of mycotoxins in COZ corn oil compared to corn oil produced by traditional methods.

Response:

Corn is primarily used in four different applications (1) animal feed production, (2) dry milling to produce ethanol, distillers grains and distillers corn oil. (3) wet milling to produce corn starch, corn flour and corn oil (4) distillers to produce industrial grade ethanol. The corn used in all these applications is sourced from the same corn crop across the US. All grain crops are susceptible to fungal infections when specific weather patterns occur during the growing season. These fungi are capable of producing mycotoxins. The most common mycotoxins that are present in corn are aflatoxins, fumonisin and deoxynivalenol. T2-Toxin and Zearalenone are less commonly found in corn crops. FDA acknowledges that the wet-milling is an effective process for removing mycotoxins like aflatoxin and fumonisin from corn starch, corn derived sweeteners and corn oil.⁴⁶ This is due the high solubility of Aflatxin, fumonisin and deoxynivalenol in water and other aqueous solvents such as ethanol. Various studies, as shown in the sections below, have indicated that the mycotoxins are not major concern in the food products derived from corn through wet milling process.⁴⁶

The first step in a wet-milling corn plant is called steeping where all the corn in soaked in 50°C water for about 30-40 hours. This allows the corn to swell and loosen the gluten bonds. In the next step the germ is mechanically separated. Germ is then processed to extract crude corn oil which is then further refined by standard refining process similar to the Phase II RBD process used to produce COz product. The rest of the corn is further processed to separate starch, fiber and other food grade products. ⁴⁷

<u>Aflatoxin:</u> Predicted water solubility of aflatoxins is in the range of 233 to 994mg/l. ⁵¹ Due to its high solubility in water, Aflatoxins are primarily recovered in the steep water. For example, it was reported that up to 50% of the aflatoxin present initially was found in the steep water solubles. Corn germ, from which corn oil is extracted contains up to 10% of the aflatoxins present initially. ^{48,49, 50}

<u>Fumonisin:</u> Water solubility of fumonisin is experimentally tested and is reported at >20,000mg/l. ⁵¹ A joint USDA-University of Illinois wet-milling study found that about 40% of fumonisin B1 and B2 were recovered in the gluten and fiber fractions and that of corn germ,

⁴⁶ Food Safety Information Papers, Corn Refiners Association, Inc. Mycotoxins prepared by WHITE Technical Research group, Revised by DTB Associates, LLP 217/795-4437

⁴⁷ https://corn.org/wp-content/uploads/2009/11/CornRefiningProcess.pdf

⁴⁸ Romer, T., Detecting mycotoxins in corn and corn milling products, Feedstuffs, 56 (37): 22-23, 1984

⁴⁹ Yahl, K.R., S.A. Watson, R.J. Smith and R. Barabolok, Laboratory wet-milling of corn containing high levels of aflatoxin and a survey of commercial wet-milling products, Cereal Chem., 48: 385-391, 1971.

⁵⁰ Bennett, G.A. and R.A. Anderson, Distribution of aflatoxin and/or zearalenone in wet-milled corn products: a review, J. Agri. Food Chem, 26 (5): 1055-1060, 1978.

⁵¹ NTP, US National Toxicology Program (2000) NTP technical report on the toxicology and carcinogenesis studies of fumonisin B1 (CAS No 116355–83-0) in F344/N Rats and B6C3F1 Mice (Feed Studies) (TR 496; NIH Publication No 99–3955). Research Triangle Park, NC

from where corn oil is extracted, contained about 20% of the initial Fumonisin level.^{52,53}

<u>Deoxynivalenol:</u> Predicted water solubility of aflatoxin is 36,000mg/l. ⁵⁴ Due to relatively high water solubility, like fumonisin and aflatoxin, the highest concentration of deoxynivalenol was found in steep water. ⁵⁵ The lowest levels of deoxynivalenol was found in the germ fraction, where the corn oil is extracted.

Similar to the procedures used in wet milling process, a typical corn ethanol process also starts by grinding the corn followed by soaking the corn in water along with some enzymes at 75-95°C to allow for the starch, germ and fiber fractions to swell and be released into the water slurry. This slurry is then fermented using yeast. If there are mycotoxins present in the corn, they will preferentially be released into steep water. If the mycotoxins are carried along with the crude corn oil, they will transger into the aqueous phase during the neutralization step in the Phase 1 CO1 process, when the crude corn oil is in mixed with aqueous alcohol solutions at 70°C for 8-10 hours. Further, in the Phase II RBD process, both in the degumming process and refining process, the corn oil is mixed with water at 70-90°C for 10-40 min during which mycotoxins will transfer into the aqueous phase.

Q2. Provide a narrative to address the removal of mycotoxin residues, if any, by the CO1 process or subsequent chemical refining and/or bleaching steps⁵⁶ Consider the following in a discussion:

a. In Appendix E (p. 66), the notice states near complete adsorption of aflatoxin on bentonite. Indicate if this was determined experimentally or based on a published reference.

Response:

Greater than 99% of aflatoxin was adsorbed on to bentonite clay withing 15 min. ⁵⁷ Montmorillonite clay, due to its adsorption potential, is also used in animal feed to adsorb aflatoxin residues. ⁵⁸

b. On p. 68, the notice states that 20% of DON is removed and 30% of fumonisin is removed by bleaching. We note that the information in the table on p. 68 regarding low affinity of DON (20% absorbed) and fumonisin (30% absorbed) for bentonite contradicts

⁵⁶ Park J et al. 2018. Toxins.10:319; Escobar J et al. 2013. Food and Chemical Toxicology. 62: 514-20
 ⁵⁷ Nuryono, Nuryono & Agus, Ali & Wedhastri, Sri & Maryudhani, Y.M.S & Pranowo, Deni & Yunianto, &

Razzazi-Fazeli, Ebrahim. (2012). Adsorption of Aflatoxin B1 in corn on natural zeolite and bentonite. Indonesian Journal of Chemistry. 12. 279-286. 10.22146/ijc.21343.

⁵² Saunders, D. F, Meredith, F. I and Voss, K. A, Control of Fumonisin: Effects of processing, Environmental Health Perspectives, 109: 333-6, 2001

⁵³ Bennett, G. A., J.L. Richard and S.R. Eckhoff, Distribution of fumonisins in food and feed products prepared from contaminated corn, in Fumonisins in Food, L. Jackson et. al. ed., Plenum Press, New York, 317, 1996.

⁵⁴ Impact of food processing and detoxification treatments on mycotoxin contamination, Karlovsky, Suman, Berthiller, Meester, Eisenbrand, Perrin, Oswald, Speijers, Chiodini, Recker, Dussort; Mycotoxin Res (2016) 32:179–205

⁵⁵ Lauren, D. R and M. A. Ringrose, Determination of the fate of three Fusarium mycotoxins through wet-milling of maize using an improved HPLC analytical technique, Food Additives and Contaminants, 14 (5): 435-443, 1997

⁵⁸ Q. Desheng, L. Fan, Y. Yanhu, and Z. Niya; Poultry Science, Volume 84, Issue 6, 1 June 2005, Pages 959-961

the statement on **Response:** Appendix E has been updated and is enclosed

c. p. 19 that any residual mycotoxins will be absorbed by bleaching clay. Please clarify and state your conclusions (from laboratory data or published information) regarding how these mycotoxins are removed.

Response: There is evidence that some of the mycotoxins will be adsorbed by clay/soil.⁵⁷ The adsorption of the mycotoxin depends on the adsorption potential of the clay. However, due to the solubility of these mycotoxins in water and ethanol, the mycotoxins will be removed from the oil in the neutralization step of Phase I CO1 process and the water wash step during the refining step of the Phase II RBD process prior to the bleaching step. Appendix E is updated accordingly.

Q3. On page 34, the notice cites the FDA action level for total aflatoxin and guidance levels for fumonisin and deoxynivalenol. For clarity, do the suppliers of the crude corn oil use corn starting material for the fermentation that meet those acceptance criteria (for use in food and feed)?

Response: Suppliers of crude corn oil will use the acceptance criteria on crude corn oil and not on corn starting material.

Q4. Zearalenone has been reported to be present in corn oil (e.g., Escobar et al., 2013) although there are no FDA guidance levels. Is the level of zearalenone considered in the acceptance criteria for the crude corn oil?

Response: Zearalenone was not considered in the GRN as there are no established FDA guidance levels for zearalenone in corn. The paper FDA cited by Escobar et al does point to the fact that zearalenone can occur in corn oil. The study found zearalenone in 32% of samples at a mean level of $15\mu g/kg$, a level more than an order of magnitude below the European Commission maximum limit of 400 ppb. Therefore, zearalenone levels in refined corn oil are not expected to raise safety concerns.

Other Contaminants

Q1. Do the contaminant analyses include 2- and 3-monochloropropane diols and glycidyl esters? Please clarify if the refining method incorporates strategies to mitigate formation of these contaminants.⁵⁹

Response:

Corn Oil One tested Coz oil samples from three non-consecutive batches for MCPD esters and glycidyl esters. The results are as followed:

| | Batch 1 | Batch 3 | Batch 5 | Method |
|---------------------------|---------|---------|---------|--------------------|
| MCPD Esters, mg/kg of | 0.42 | 0.32 | 0.42 | A2LA ISO/IEC |
| COz | | | | 17025:2005 2993-01 |
| Glycidyl Esters, mg/kg of | 11.18 | 0.72 | 11.67 | A2LA ISO/IEC |
| COz | | | | 17025:2005 2993-01 |

Assuming the average concentration from three tested samples, the daily intake of corn oil of 6g/day (see EDI in **Appendix H of the GRN**) and a default body weight of 60kg, the following EDI can be estimated and compared to the JECFA limits for these compounds:

| | EDI (µg/kg bw/day) | Exposure Limits –JECFA 83rd report 60 |
|--------------------|---------------------------|--|
| MCPD Esters | 0.039 | $4 \mu g/kg bw/day (PMTDI) \rightarrow (TDI = 0.13 \mu g/kg bw/day)$ |
| Glycidyl Esters | 0.79 | 2.4 mg/kg bw/day (BMDL ₁₀) |

The EDI for MCPD esters is below the JECFA TDI. The EDI for glycidyl esters has a margin of exposure (MOE) of 3000 based on the JECFA-BMDL. Therefore, these levels in Coz corn oil are not of safety concern.

Q2. The regulation the notice cites (p.15) to indicate the acceptance limit (40 CFR 180 Tolerances and exemptions for pesticide and chemical residues in food) does not include all the pesticides listed in the batch analyses. Please check.

Response: The list of pesticides tested in the COA is obtained from USDA/FSIS Blue Book. ⁶¹ United States National Residue Program (NRP) summarizes the process used by the USDA/FSIS, for sampling and testing of FSIS products for chemical compounds of public health concern and are modified annually in response to emerging chemical residue concerns and

⁵⁹ Codex CoP available from: https://www.ofimagazine.com/contentimages/news/3MPCDE.GE_CCCF13_May_2019_Report_.pdf

eng.pdf;jsessionid=0A327754F5F9418EB2E970854A0FEAB0?sequence=1

⁶¹ https://www.fsis.usda.gov/wps/wcm/connect/0d633930-b5fa-4db1-965c-4f4769827301/2018-Bluebook.pdf?MOD=AJPERES

⁶⁰ https://apps.who.int/iris/bitstream/handle/10665/254893/9789241210027-

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improved testing methodologies. The 2018 NRP Residue Sampling Plan focuses on chemical residues in domestic meat, poultry, and egg products and the import reinspection of meat, poultry, and egg products.

Q3. Several of the pesticides listed in the Pesticide/PCB screen have action levels listed in CPG 575.100, i.e. chlordane, lindane, aldrin and dieldrin, BHC, DDT/DDE/TDE. Please confirm that the source material does not exceed action levels for pesticides and that is produced in accordance with good agricultural practices.

Response: Levels of the pesticides listed in CPG 575.100 in the source material will not exceed the action levels for the respective pesticides. Also, the levels of the pesticides are non-detects as per the COA's and therefore below any action levels for these contaminants.



SAFETY DATA SHEET

VOxOUT 70

| 1 | PRODUCT AND COMPANY IDENTIFICATION |
|---|--|
| Product Identifier: Common Name: SDS Number: Revision Date: Version: Internal ID: Product Use: Supplier Details: | VOxOUT 70 MIXTURE 3000 2/15/2017 1 200C VOC Scavenger U.S. Water Services 12270 43rd St. NE St. Michael, MN 55376 |
| Contact: Email: Web: | Non-emergency #: 866-663-7632 SDS@uswaterservices.com www.uswaterservices.com |

EMERGENCY RESPONSE: (ChemTel) US & Canada: 800-255-3924 International: +01-813-248-0585

HAZARDS IDENTIFICATION

Classification of the substance or mixture

GHS Classification in accordance with 29 CFR 1910 (OSHA HCS): Physical, Corrosive to Metals, 1 Health, Skin corrosion/irritation, 1

GHS Label elements, including precautionary statements

GHS Signal Word: DANGER

GHS Hazard Pictograms:



GHS Hazard Statements:

H290 - May be corrosive to metals H314 - Causes severe skin burns and eye damage

GHS Precautionary Statements:

P281 - Use personal protective equipment as required.

P302+352 - IF ON SKIN: Wash with soap and water.

P305+351+338 - IF IN EYES: Rinse continuously with water for several minutes. Remove contact lenses if present and easy to do. Continue rinsing.

P301+330+331 - IF SWALLOWED: Rinse mouth. Do NOT induce vomiting.

P315 - Get immediate medical advice/attention.

P260 - Do not breathe vapours.



SAFETY DATA SHEET

VOxOUT 70

Hazards not otherwise classified (HNOC) or not covered by GHS

COMPOSITION/INFORMATION ON INGREDIENTS

| Ingredients: | | |
|--------------|--------|--------------------|
| Cas# | % | Chemical Name |
| 10192-30-0 | 60-70% | Ammonium bisulfite |

FIRST AID MEASURES

| Inhalation: | Remove to fresh air. If breathing is difficult, administer oxygen. If not breathing, give artificial |
|---------------|---|
| Skin Contact: | respiration, preferably mouth-to-mouth. GET MEDICAL ATTENTION IMMEDIATELY. Immediately flush skin with plenty of water for at least 15 minutes while removing contaminated |
| | clothing and shoes. Get medical attention immediately. Do not reuse clothing and shoes until cleaned. |
| Eye Contact: | Immediately flush eyes with plenty of water for at least 15 minutes while holding eyelids open. Tilt head to avoid contaminating unaffected eye. Get immediate medical attention. |
| Ingestion: | If fully conscious, drink a quart of water. DO NOT induce vomiting. CALL A PHYSICIAN IMMEDIATELY. If unconscious or in convulsions, take immediately to a hospital or a physician. NEVER induce vomiting or give anything by mouth to an unconscious victim. If vomiting occurs |

spontaneously, keep head below hips to prevent aspiration of liquid into the lungs.

Most important symptoms & effects (acute & delayed):

Eye Contact: CORROSIVE-Causes severe irritation and burns. May cause: permanent eye damage.

Skin Contact: CORROSIVE-Causes severe irritation and burns. Contact may cause: redness, blistering, pain, tissue destruction.

Inhalation: Vapors or mists may irritate: nose. throat. respiratory tract. May cause: coughing, difficulty breathing, tightness of the chest, Extreme exposures may cause: severe irritation, pulmonary edema.

Ingestion: May be corrosive to the gastrointestinal tract. Severe irritation and burns may result. May irritate or burn: mouth, throat, digestive tract. May cause: vomiting. Small amounts of liquid aspirated into the lungs during ingestion or from vomiting may cause pulmonary edema

Indication of need for immediate medical attention: Treat symptomatically. Not potential for anaphylactic shock with allergic individuals.

Special treatment needs: No data available

FIRE FIGHTING MEASURES

| Flammability: | Nonflammable |
|---------------------|---------------------------|
| Flash Point: | None |
| Flash Point Method: | Pensky Martens Closed cup |
| Burning Rate: | No data available |
| Autoignition Temp: | No data available |
| LEL: | Not applicable |
| UEL: | Not applicable |

Extinguishing Media:



Suitable: Use extinguishing media suitable for surrounding fire.

Unsuitable: No information available

Hazardous combustion products: Hazardous decomposition products formed under fire conditions- Toxic vapors, sulfur oxides, ammonia.

Unusual Fire or Explosion Hazards: Sulfur dioxide gas will released at a rate increasing with temperature

Special protective equipment/precautions: Wear self-contained breathing apparatus

ACCIDENTAL RELEASE MEASURES

Personal Precautions, Protective equipment, emergency procedures: Corrosive material. Avoid contact with the material. See section 8 of SDS for PPE recommendations

Environmental Precautions: Keep runoff from entering drains or waterways

Spill/Leak procedures: Shut off source of leak if safe to do so. Contain spill, place into drums for proper disposal. Soak up residue with inert absorbent material. Place in non-leaking containers for immediate disposal. Flush remaining area with water to remove trace residue and dispose of properly. Prevent entry into basements, low areas, or confined areas. Avoid direct discharge to sewers and surface waters. Notify authorities if entry occurs.

Cleanup: After collection/absorption of splill, flush away remaining traces with large amounts of water. Regulatory Requirements: Dispose of recovered material in accordance with all applicable state and federal regulations.

HANDLING AND STORAGE

| Handling Precautions: | Avoid contact with eyes, skin, and clothing. Use with adequate ventilation. Do not swallow. Avoid breathing vapors, mists, or dust. Do not eat, drink, or smoke in work area. Wash thoroughly after handling. Empty containers retain product residue (vapor, dust, or liquid) and can be dangerous. DO NOT pressurize, cut, weld, braze, solder, drill, grind, or expose such containers to heat, flame, sparks, static electricity, or other source of ignition. They may explode and cause injury or death. |
|-----------------------|---|
| Storage Requirements: | CORROSIVE MATERIAL. Store in a cool, well ventilated area, out of direct sunlight. Store in a dry location away from heat. Keep away from incompatible materials. Keep containers tightly closed. Do not store in unlabeled or mislabeled containers. Prolonged exposure to the atmosphere will slowly oxidize this product, releasing sulfur dioxide gas. Do not freeze. Relieve pressure in drums weekly. |

EXPOSURE CONTROLS/PERSONAL PROTECTION

Engineering Controls: Provide local exhaust ventilation as needed to control misting or vapor accumulation.

Personal Protective HMIS PP, C | Safety Glasses, Gloves, Apron Equipment:

Respiratory protection: May be required if ventilation is inadequate. If needed use MSHA/NIOSH approved respirator for dusts, mists, and/or SO2 vapors. Seek professional advice prior to respirator selection and use. Follow all requirements of OSHA respirator regulations (29 CFR 1910.134) Safety Stations: Make emergency eyewash stations, safety/quick-drench showers, and washing facilities available in work area.



General Hygiene: Never eat, drink, or smoke in work areas. Practice good personal hygiene after using this material, especially before eating, drinking, using the toilet, or applying cosmetics.

PPE recommendation is advisory only and based on typical use conditions. An industrial hygienist or safety officer familiar with the specific situation of anticipated use must determine actual PPE required when using this product (29 CFR 1910.132)

Exposure Limits:

Sulfur Dioxide gas may be released. Exposure limit for Sulfur Dioxide are 5ppm TWA (OSHA); 5ppm TWA, 5ppm-STEL (ACGIH)

PHYSICAL AND CHEMICAL PROPERTIES

| Appearance: | Clear, colorless to light yellow | | |
|-----------------------|----------------------------------|----------------------|----------------------|
| Physical State: | Liquid | Odor: | Sulfur dioxide smell |
| Odor Threshold: | No data available | Solubility: | Soluble in water |
| Spec Grav./Density: | 11.59Lb/Gal @25°C | Percent Volatile: | Not determined |
| Viscosity: | No data available | Freezing/Melting Pt. | :14°F |
| Boiling Point: | Not determined | Flash Point: | Does not Flash |
| Flammability: | Non Flammable | Vapor Density: | Not determined |
| Partition Coefficient | : No data available | VOC: | 0% (w/w) |
| Vapor Pressure: | Not determined | Auto-Ignition Temp: | Not Determined |
| pH: | 5.4 (as is) | UFL/LFL: | Not determined |
| Evap. Rate: | Not determined | | |
| Decomp Temp: | Not determined | | |

STABILITY AND REACTIVITY

| Chemical Stability: | Product is stable under normal storage and use conditions. |
|------------------------------|---|
| Conditions to Avoid: | Avoid heat, sparks or open flames. Avoid elevated temperatures |
| Materials to Avoid: | Acids. Oxidizing agents. Alkalies. Copper, zinc or their alloys (i.e. bronze, brass, galvanized metals, etc.). Oils. Combustible materials. Hypochlorites. Water-reactive materials. Aluminum. Lead diacetate. Mercury chloride. Steel. Corrosive to some metals. |
| Hazardous Decomposition: | Toxic vapors, Sulfur dioxide gas, Ammonia. |
| Hazardous Polymerization: | Hazardous polymerization will not occur under normal conditions. Both acidification and heating accelerate the release of Sulfur dioxide fumes. Alkaline materials will accelerate the evolution of ammonia. |

TOXICOLOGICAL INFORMATION

Acute Toxicity: No data available

Skin Corrosion/Irritation: Corrosive. Causes severe irritation and burns. Contact may cause redness, blistering, pain, tissue destruction

Serious eye damage/irritation: Corrosive. Causes severe irritation and burns. May cause permanent eye damage.



Respiratory or skin sensitization: Vapors or mists may irritate nose, throat, respiratory tract. May cause coughing, difficulty breathing, tightness of the chest. Extreme exposures may casue severe irritation, pulmonary edema.

Specific target organ toxicity (single exposure): No data available

Specific target organ toxicity (repeated exposure): No data available

Aspiration hazard: No data available

Carcinogenicity: No carcinogenic effects are known for the components of this product

Germ Cell Mutagenicity: No mutagenic effects are known for the components of this product

Teratogenicity: No teratogenic effects are known for the components of this product

ECOLOGICAL INFORMATION

Aquatic Toxicity No data available Elimination (persistency & degradability): No data available Bioaccumulative potential: No data available Mobility in soil: No data available Other adverse effects: No data available

DISPOSAL CONSIDERATIONS

Dispose of in accordance with local regulations.

This material should be fully characterized for toxicity and possible reactivity prior to disposal (40 CFR 261). Use which results in chemical or physical change or contamination may subject it to regulation as a hazardous waste. Along with properly characterizing all waste materials, consult state and local regulations regarding the proper disposal of this material.

Container contents should be completely used and containers should be emptied prior to discard. Container rinsate could be considered a RCRA hazardous waste and must be disposed of with care and in full compliance with federal, state and local regulations. Larger empty containers, such as drums, should be returned to the distributor or to a drum reconditioner. To assure proper disposal of smaller empty containers, consult with state and local regulations and disposal authorities.

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TRANSPORT INFORMATION

UN2693, Bisulfites, aqueous solutions, n.o.s., 8, PGIII, (Ammonium Bisulfite)

Certain shipping modes or package sizes may have exceptions from the transport regulations. The classification provided may not reflect those exceptions and may not apply to all shipping modes or package sizes.

DOT Transportation data (49 CFR 172.101)

See section 15 for information on Reportable Quantity chemicals (RQ)

REGULATORY INFORMATION

Component (CAS#) [%] - CODES

RQ(5000LBS), Ammonium bisulfite (10192-30-0) [60-70%] CERCLA, CSWHS, MASS, PA, TSCA

Regulatory CODE Descriptions



RQ = Reportable Quantity CERCLA = Superfund clean up substance CSWHS = Clean Water Act Hazardous substances MASS = MA Massachusetts Hazardous Substances List PA = PA Right-To-Know List of Hazardous Substances TSCA = Toxic Substances Control Act SARA TITLE III: Toxic Chemical List (SARA 313): This product does not contain any chemicals subject to routine annual toxic chemical release reporting. Extremely Hazardous Substance (SARA 302/304): This product does not contain any extremely hazardous substances subject to emergency planning requirements. SARA 312: Acute

California Proposition 65: May contain the following in trace amounts: Sulfer Dioxide RCRA: Material as supplied is considered: Corrosive, D002

16 OTHER INFORMATION

HMIS III:Health = 3, Fire = 0, Physical Hazard = 0HMIS PPE:C - Safety Glasses, Gloves, Apron



Author: U.S. Water Services

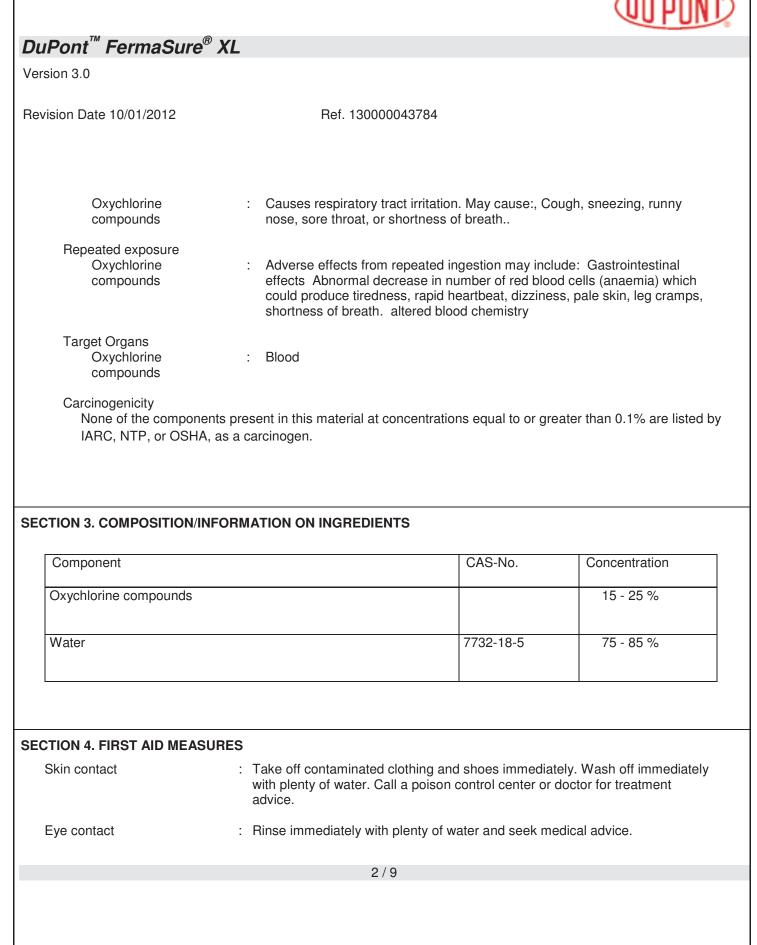
Revision Notes: Updated to GHS format

Disclaimer:

Although reasonable care has been taken in the preparation of this document, we extend no warranties and make no representations as to the accuracy or completeness of the information contained herein, and assume no responsibility regarding the suitability of this information for the user's intended purposes or for the consequences of its use. Each individual should make a determination as to the suitability of the information for their particular purpose(s). The above information is not claiming characteristics of the product in term of legal claims of performance / guarantee. This information only describes safety measures and no liability may arise from the use or application of the product described herein. This information is given in good faith and based on our current knowledge of the product.

| Material Safety Data She | et |
|---|--|
| DuPont [™] FermaSure [®] | XL |
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 13000043784 |
| This SDS adheres to the standa requirements in other countries. | rds and regulatory requirements of the United States and may not meet the regulatory |
| SECTION 1. PRODUCT AND C | OMPANY IDENTIFICATION |
| Product name MSDS Number | : DuPont [™] FermaSure [®] XL : 130000043784 |
| Product Use | : Formulation |
| | Processing aid, Fermentation |
| Manufacturer | : DuPont 1007 Market Street Wilmington, DE 19898 |
| Product Information Medical Emergency Transport Emergency | 1-800-441-7515 (outside the U.S. 1-302-774-1000) 1-800-441-3637 (outside the U.S. 1-302-774-1139) CHEMTREC: 1-800-424-9300 (outside the U.S. 1-703-527-3887) |
| Importer/Distributor | : International Dioxcide, Inc., A DuPont Subsidiary, 40 Whitecap Drive, North Kingstown, RI 02852 |
| Telephone | : |
| Other information | : professional use |
| SECTION 2. HAZARDS IDENT | FICATION |
| Potential Health Effects Skin | |
| Oxychlorine compounds | : May cause: Corrosion with pain, ulceration or blisters, cracking or peeling of skin. |
| Eyes Oxychlorine compounds | : Corrosive, may cause permanent eye injury if not promptly treated. May cause:, Tearing, pain, redness, swelling, ulceration, visual impairment, or blindness |
| Inhalation | |
| | 1/9 |

Material Safety Data Sheet



Material Safety Data Sheet

QU POND.

DuPont[™] FermaSure[®] XL Version 3.0 Revision Date 10/01/2012 Ref. 13000043784 Inhalation : Move to fresh air. If not breathing, give artificial respiration. Call a poison control center or doctor for treatment advice. Ingestion : Call a poison control center or doctor for treatment advice. Do not induce vomiting without medical advice. Never give anything by mouth to an unconscious person. Notes to physician : Probable mucosal damage may contraindicate the use of gastric lavage. SECTION 5. FIREFIGHTING MEASURES Flammable Properties Flash point : does not flash Fire and Explosion Hazard : Drying of this product on clothing or combustible materials may cause fire. Suitable extinguishing media : Water **Firefighting Instructions** : Wear self-contained breathing apparatus (SCBA). Wear suitable protective equipment. SECTION 6. ACCIDENTAL RELEASE MEASURES NOTE: Review FIRE FIGHTING MEASURES and HANDLING (PERSONNEL) sections before proceeding with cleanup. Use appropriate PERSONAL PROTECTIVE EQUIPMENT during clean-up. Safeguards (Personnel) : Wear personal protective equipment. Avoid contact with the skin and the eyes. Spill Cleanup : Dilute with water. Pick up and transfer to properly labelled containers. After cleaning, flush away traces with water. Accidental Release Measures : Prevent material from entering sewers, waterways, or low areas. Do not allow to dry. 3/9

| · | QUPOND |
|--|--|
| DuPont [™] FermaSure [®] X | L Contraction of the second se |
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 13000043784 |
| SECTION 7. HANDLING AND STO | |
| Handling (Personnel) | : Use only in well-ventilated areas. Avoid contact with skin, eyes and clothing. Wash hands before breaks and at |
| | the end of workday. |
| Handling (Physical Aspects) | : Avoid letting the product become dry. |
| Storage | Keep tightly closed in a dry, cool and well-ventilated place. Keep away from food, drink and animal feedingstuffs. Avoid heat, freezing and ultraviolet light. Do not allow to dry. Keep away from: Strong acids and oxidizing agents |
| Engineering controls | : Ensure adequate ventilation, especially in confined areas. |
| | |
| Personal protective equipment Respiratory protection | : Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable |
| Respiratory protection | : Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. |
| Respiratory protection Hand protection | Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. Additional protection: Impervious gloves |
| Respiratory protection | : Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. |
| Respiratory protection | Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. Additional protection: Impervious gloves |
| Respiratory protection Hand protection Hand protection | Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. Additional protection: Impervious gloves Material: Polyvinyl chloride - PVC Wear coverall chemical splash goggles. Additionally wear a face shield where the possibility exists for face contact due to splashing, spraying or airborne |
| Respiratory protection Hand protection Hand protection Eye protection | Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. Additional protection: Impervious gloves Material: Polyvinyl chloride - PVC Wear coverall chemical splash goggles. Additionally wear a face shield where the possibility exists for face contact due to splashing, spraying or airborne contact with this material. Where there is potential for skin contact, have available and wear as |
| Respiratory protection Hand protection Hand protection Eye protection Skin and body protection | Where there is potential for airborne exposures in excess of applicable limits, wear approved respiratory protection with dust/mist cartridge. Provide adequate ventilation. In case of insufficient ventilation, wear suitable respiratory equipment. Additional protection: Impervious gloves Material: Polyvinyl chloride - PVC Wear coverall chemical splash goggles. Additionally wear a face shield where the possibility exists for face contact due to splashing, spraying or airborne contact with this material. Where there is potential for skin contact, have available and wear as appropriate, impervious gloves, apron, pants, jacket, hood and boots. Avoid exposure - obtain special instructions before use. Wear suitable gloves |

| Material Safety Data She | et |
|--|---|
| DuPont [™] FermaSure [®] | XL |
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 13000043784 |
| Exposure Limit Values | |
| None established | ł. |
| SECTION 9. PHYSICAL AND CI | HEMICAL PROPERTIES |
| Form Color Odor pH Freezing point Crystallization temperature Boiling point Density Specific gravity Water solubility | liquid light yellow odourless, slight chlorine 9.5 - 9.7 ca18 °C (0 °F) ca12 °C (10 °F) ca. 106 °C (223 °F) ca. 9.9 lb/gal at 20 °C (68 °F) ca. 1.18 - 1.21 miscible |
| SECTION 10. STABILITY AND F | REACTIVITY |
| Stability | : Stable at normal temperatures and storage conditions. Decomposes on heating. |
| Conditions to avoid | : Stable under normal conditions. Decomposes on heating. |
| Incompatibility | : Strong acids and oxidizing agents Organic materials, chlorinated compounds, Reducing agents |
| Hazardous decomposition products Hazardous reactions | Hazardous decomposition products: Chlorine , Chlorine dioxide% Contact with acids, organic materials, reducing agents and oxidizing agents will release toxic gases of chlorine and/or chlorine dioxide. |
| | |
| | |

| Material Safety Data Sheet | |
|---|-------------------|
| DuPont [™] FermaSure [®] XL | |
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 130000043784 |
| | |
| | |
| SECTION 11. TOXICOLOGICAL INF | ORMATION |
| DuPont [™] FermaSure [®] XL | |

Dermal LD50 > 2,000 mg/kg , rat 1 Oral LD50 1,075 mg/kg , rat 2 Skin irritation Non-corrosive : No skin irritation Information given is based on data obtained from similar product. Eye irritation Risk of serious damage to eyes. Information given is based on data : obtained from similar product. Oxychlorine compounds Inhalation 4 h LC50 : 0.23 mg/l , rat Skin sensitization Animal test did not cause sensitization by skin contact., guinea pig 1 Repeated dose toxicity Oral : rat 1 y Target Organs: Blood Gastrointestinal effects, Abnormal decrease in number of red blood cells, Abnormal decrease in red -blood -cell haemoglobin (hemoglobinemia) Oral rat 14 d altered hematology, altered urinalysis results Oral Monkey altered hematology, altered blood chemistry Carcinogenicity Animal testing did not show any carcinogenic effects. Mutagenicity Tests on bacterial or mammalian cell cultures did not show mutagenic ÷ effects. 6/9



Material Safety Data Sheet



| DuPont [™] FermaSure [®] XL | |
|---|---|
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 130000043784 |
| | Animal testing did not show any mutagenic effects. |
| Reproductive toxicity | : Animal testing showed effects on reproduction at levels equal to or above those causing parental toxicity. |
| Teratogenicity | : Animal testing showed effects on embryo-fetal development at levels equal to or above those causing maternal toxicity. |
| SECTION 12. ECOLOGICAL INFORM | |
| Aquatic Toxicity Dxychlorine compounds 96 h LC50 | : Cyprinodon variegatus (sheepshead minnow) 105 mg/l |
| 96 h ErC50 | : Scenedesmus capricornutum (fresh water algae) 1 mg/l |
| 48 h EC50 | : Daphnia magna (Water flea) < 1.0 mg/l |
| 96 h LC50 | : Americamysis bahia (mysid shrimp) 0.65 mg/l |
| Environmental Fate Dxychlorine compounds Biodegradability | : Readily biodegradable. |
| Additional ecological information | : No data is available on the product itself. |
| SECTION 13. DISPOSAL CONSIDER | |
| Waste Disposal : | Treatment, storage, transportation, and disposal must be in accordance with applicable federal, state/provincial, and local regulations. |
| Environmental Hazards : | Empty containers should be taken to an approved waste handling site for recycling or disposal. If recycling is not practicable, dispose of in compliance with local regulations. |
| | |

Material Safety Data Sheet

DuPont[™] FermaSure[®] XL

Version 3.0

Revision Date 10/01/2012

Ref. 130000043784

SECTION 14. TRANSPORT INFORMATION

Not classified as dangerous in the meaning of transport regulations.

SECTION 15. REGULATORY INFORMATION

| Other regulations | : For professional users only. |
|---|---|
| TSCA | : On the inventory, or in compliance with the inventory |
| SARA 313 Regulated Chemical(s) | : SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313. |
| California Prop. 65 | : Chemicals known to the State of California to cause cancer, birth defects or any other harm: none known |
| NJ Right to Know Regulated Chemical(s) | : Substances on the New Jersey Workplace Hazardous Substance List present at a concentration of 1% or more (0.1% for substances identified as carcinogens, mutagens or teratogens): Sodium chlorite |

SECTION 16. OTHER INFORMATION

| | | HMIS | |
|---|--|---|--|
| Health Flammability Reactivity/Physical hazard PPE | | 2 1 0 Personal Protection rating to be supplied by user depending on use conditions. | |



| Material Safety Data Sheet | QU PONT. |
|---|---|
| DuPont [™] FermaSure [®] XL | |
| Version 3.0 | |
| Revision Date 10/01/2012 | Ref. 13000043784 |
| The DuPont Oval Logo is a registere | trademark of E.I. du Pont de Nemours and Company. |
| | DS Coordinator, DuPont Chemicals and Fluoroproducts, Wilmington, DE 98, (800) 441-7515 |
| the date of its publication. The inforn storage, transportation, disposal and | |
| | |
| | |
| | |
| | 9/9 |
| | |



| 1 | PRODUCT AND COMPANY IDENTIFICATION |
|---------------------|--|
| Product Identifier: | BOILER MP |
| Common Name: | MIXTURE |
| SDS Number: | 0250 |
| Revision Date: | 3/27/2015 |
| Version: | 2 |
| Internal ID: | 200C |
| Product Use: | BOILER WATER TREATMENT |
| Supplier Details: | U. S. Water Services 12270 43rd St. NE St. Michael, MN 55376 |
| Contact: | Non-emergency #: 866-663-7632 |
| Email: | SDS@uswaterservices.com |
| Web: | www.uswaterservices.com |

EMERGENCY RESPONSE: (ChemTel) US & Canada: 800-255-3924 International: +01-813-248-0585

2

HAZARDS IDENTIFICATION

Classification of the substance or mixture

GHS Classification in accordance with 29 CFR 1910 (OSHA HCS):

Health, Acute toxicity, 5 Oral

Health, Acute toxicity, 5 Dermal

Health, Specific target organ toxicity - Single exposure, 3

Health, Serious Eye Damage/Eye Irritation, 2 A

Health, Skin corrosion/irritation, 3

GHS Label elements, including precautionary statements

GHS Signal Word: WARNING

GHS Hazard Pictograms:



GHS Hazard Statements:

- H303 May be harmful if swallowed
- H313 May be harmful in contact with skin
- H335 May cause respiratory irritation
- H319 Causes serious eye irritation
- H316 Causes mild skin irritation

GHS Precautionary Statements:

- P102 Keep out of reach of children.
- P281 Use personal protective equipment as required.



P302+352 - IF ON SKIN: Wash with soap and water.

P305+351+338 - IF IN EYES: Rinse continuously with water for several minutes. Remove contact lenses if present and easy to do. Continue rinsing.

P301+330+331 - IF SWALLOWED: Rinse mouth. Do NOT induce vomiting. P304+340 - IF INHALED: Remove victim to fresh air and keep at rest in a position comfortable for breathing.

Hazards not otherwise classified (HNOC) or not covered by GHS

PPE recommendation is advisory only and based on typical use conditions. An industrial hygienist or safety officer familiar with the specific situation of anticipated use must determine actual PPE required when using this product (29 CFR 1910.132)

COMPOSITION/INFORMATION ON INGREDIENTS

| | | | 2 |
|------|-----|-----|-----|
| Ina | rod | ion | fc. |
| 1114 | red | 611 | 13. |

3

| Cas# | % | Chemical Name | |
|-----------|-----|------------------|--|
| | | | |
| 1310-73-2 | < 5 | Sodium hydroxide | |

| 4 | FIRST AID MEASURES |
|---------------|--|
| Inhalation: | Remove from contamination. If person has stopped breathing administer artificial respiration. Seek medical attention. |
| Skin Contact: | Wash off with soap and plenty of water. Remove contaminated garments and wash or destroy. Seek medical attention if irritation develops. Consult a physician if irritation develops. |
| Eye Contact: | Flush eyes with plenty of running water for 15 minutes. Seek medical attention. |
| Ingestion: | If conscious, give plenty of water. If discomfort or other symptoms develop, seek medical attention. Do not induce vomiting unless directed to do so by medical personnel. |

Most important symptoms & effects (acute & delayed): No data available Indication of need for immediate medical attention: None Special treatment needs: None

5

FIRE FIGHTING MEASURES

| Flammability: | Not flammable |
|---------------------|---------------------------|
| Flash Point: | None |
| Flash Point Method: | Pensky Martens Closed cup |
| Burning Rate: | No data available |
| Autoignition Temp: | No data available |
| LEL: | Not applicable |
| UEL: | Not applicable |

Extinguishing Media:



Suitable: Use extinguishing media suitable for surrounding fire.

Unsuitable: No information available

Hazardous combustion products: Hazardous decomposition products formed under fire conditions- Carbon oxides, and other hazardous compounds

Unusual Fire or Explosion Hazards: None known

Special protective equipment/precautions: Wear self-contained breathing apparatus

6

ACCIDENTAL RELEASE MEASURES

Personal Precautions, Protective equipment, emergency procedures: Avoid contact with the material. See section 8 of SDS for PPE recommendations

Environmental Precautions: Keep runoff from entering drains or waterways

Spill/Leak procedures: Contain spill or leak. Dike area if necessary to prevent spill from spreading or entering sewers and waterways. Recover as much as possible then absorb remainder with inert material. Place into closed container for disposal.

Regulatory Requirements: Dispose of recovered material in accordance with all applicable state and federal regulations.

| 7 HANDLING AND STORAGE | |
|------------------------|--|
| Handling Precautions: | Avoid contact with eyes, skin, or clothing. Do not taste or swallow. Do not inhale vapor or mist. Use with adequate ventilation. For industrial use only! |
| Storage Requirements | Keep away from children. Store in closed containers away from temperature extremes and incompatible materials. Store in properly labeled containers in accordance with all local, state and federal guidelines. |
| 8 EX | POSURE CONTROLS/PERSONAL PROTECTION |

| Engineering Controls: | Provide local exhaust ventilation as needed to control misting. |
|-----------------------------------|--|
| Personal Protective Equipment: | HMIS PP, C Safety Glasses, Gloves, Apron |
| | Respiratory protection: If needed use MSHA/NIOSH approved respirator for dusts and mists. Seek professional advice prior to respirator selection and use. Follow all requirements of OSHA respirator regulations (29 CFR 1910.134) Safety Stations: Make emergency eyewash stations, safety/quick-drench showers, and washing facilities available in work area. |
| | and washing facilities available in work area. General Hygiene: Never eat, drink, or smoke in work areas. Practice good personal hygiene after using this material, especially before eating, drinking, using the toilet, or applying cosmetics. |
| | PPE recommendation is advisory only and based on typical use conditions. An industrial hygienist or safety officer familiar with the specific situation of anticipated use must determine actual PPE required when using this product (29 CFR 1910.132) |
| Exposure Limits: | |
| OSHA (TWA)/PEL): | Sodium Hydroxide 2 mg/m ³ |
| NIOSH (REL): | Sodium Hydroxide 2 mg/m ³ |



| 9 | PHYSICAL AND CHEM | ICAL PROPERTIES | | |
|------------------------------|-------------------|--------------------|--|--|
| Appearance: | Clear, yellow | | | |
| Physical State: | Liquid | Odor: | Mild | |
| Odor Threshold: | Not determined | Solubility: | Complete in water | |
| Spec Grav./Density: | 9.26 lb/gal | Freezing/Melting F | Contraction of the second seco | |
| Viscosity: | Not determined | Flash Point: | None | |
| Boiling Point: | Similar to water | Vapor Density: | Not determined | |
| Partition Coefficient | : Not determined | Auto-Ignition Tem | | |
| Vapor Pressure: | Similar to water | UFL/LFL: | Not determined | |
| pH: | 12-13 | | | |
| Evap. Rate: | Not determined | | | |
| Decomp Temp: | Not determined | | | |

10

STABILITY AND REACTIVITY

| Stability: |
|-----------------------------|
| Conditions to Avoid: |
| Materials to Avoid: |
| Hazardous |
| Decomposition: |
| Hazardous |
| Polymerization: |

Product is stable under normal storage and use conditions. Avoid temperature extremes. Protect from freezing Strong Oxidizing Agents may cause exothermic reaction, Strong Acids Thermal decomposition may produce carbon oxides and other toxic compounds. Will not occur.

11

TOXICOLOGICAL INFORMATION

Acute Toxicity:

Oral LD₅₀ (rat) > 5,000 mg/kg (estimated)

Skin Corrosion/Irritation: No data avaible

Serious eye damage/irritation: No data available

Respiratory or skin sensitization: No data available

Specific target organ toxicity (single exposure): No data available

Specific target organ toxicity (repeated exposure): No data available

Aspiration hazard: No data available

Carcinogenicity: No carcinogenic effects are known for the components of this product

Germ Cell Mutagenicity: No mutagenic effects are known for the components of this product

Teratogenicity: No teratogenic effects are known for the components of this product

12 ECOLOGICAL INFORMATION

Aquatic Toxicity



13

DISPOSAL CONSIDERATIONS

Dispose of in accordance with local regulations.

This material should be fully characterized for toxicity and possible reactivity prior to disposal (40 CFR 261). Use which results in chemical or physical change or contamination may subject it to regulation as a hazardous waste. Along with properly characterizing all waste materials, consult state and local regulations regarding the proper disposal of this material.

Container contents should be completely used and containers should be emptied prior to discard. Container rinsate could be considered a RCRA hazardous waste and must be disposed of with care and in full compliance with federal, state and local regulations. Larger empty containers, such as drums, should be returned to the distributor or to a drum reconditioner. To assure proper disposal of smaller empty containers, consult with state and local regulations and disposal authorities.

14

TRANSPORT INFORMATION

UN1760, Corrosive liquids, n.o.s., 8, PGIII, (Sodium Hydroxide)

DOT Transportation data (49 CFR 172.101)

See section 15 of SDS for information on Reportable Quantity chemicals (RQ)

15

REGULATORY INFORMATION

Component (CAS#) [%] - CODES

RQ(1000LBS), Sodium hydroxide (1310-73-2) [< 5] CERCLA, CSWHS, MASS, OSHAWAC, PA, TSCA, TXAIR

Regulatory CODE Descriptions

RQ = Reportable Quantity CERCLA = Superfund clean up substance CSWHS = Clean Water Act Hazardous substances MASS = MA Massachusetts Hazardous Substances List OSHAWAC = OSHA Workplace Air Contaminants PA = PA Right-To-Know List of Hazardous Substances TSCA = Toxic Substances Control Act TXAIR = TX Air Contaminants with Health Effects Screening Level

TSCA: All components of this product are listed (or are not required to be listed) in the TSCA inventory EPA / CERCLA / SARA TITLE III:

Toxic Chemical List (SARA 313): This product does not contain any chemicals subject to routine annual toxic chemical release reporting.

Extremely Hazardous Substance (SARA 302/304): This product does not contain any extremely hazardous substances subject to emergency planning requirements.

SARA 312: Acute

RCRA: Corrosive, D002





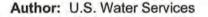
OTHER INFORMATION

HMIS III: Health = 2, Fire = 0, Physical Hazard = 0 HMIS PPE: C - Safety Glasses, Gloves, Apron HMIS HEALTH 2

0

0

С



Revision Notes: Updated to GHS format

Disclaimer:

FLAMMABILITY

PHYSICAL HAZARD

PERSONAL PROTECTION

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Although reasonable care has been taken in the preparation of this document, we extend no warranties and make no representations as to the accuracy or completeness of the information contained herein, and assume no responsibility regarding the suitability of this information for the user's intended purposes or for the consequences of its use. Each individual should make a determination as to the suitability of the information for their particular purpose(s). The above information is not claiming characteristics of the product in term of legal claims of performance / guarantee. This information only describes safety measures and no liability may arise from the use or application of the product described herein. This information is given in good faith and based on our current knowledge of the product.



PhibroBreak(TM)

January 19, 2018

Dear Customer,

This letter will serve to addend our April 2016 GRAS (Generally Recognized as Safe) positioning and regulatory acceptability for use of PhibroBreak[™], Phibro Animal Health's new product used for distillers oil extraction in the ethanol and beverage alcohol process.

The FDA requires that all process additives fall into one of three regulatory options to be acceptable for use:

- 1. Defined by AAFCO (Association of American Feed Control Officials)
- 2. A permitted Food Additive
- 3. GRAS (Generally Recognized as Safe) in animal feeds

As part of achieving full regulatory compliance, Phibro sought a rigorous safety review by an independent panel of experts with the introduction of PhibroBreak. The PhibroBreak panel members, assembled by Intertek Scientific & Regulatory Consultancy of Somerset NJ, included:

- Dr. Robert J Nicolosi Holds a Ph.D from the University of New Hampshire and received subsequent training in nutritional biochemistry at Harvard University. He has held faculty positions at Harvard University (13 years) and the University of Massachusetts (26 years).
- Dr. John A. Thomas Ph.D., F.A.C.T., D. A.T.S. is an Adjunct Professor, Department of Pharmacology & Toxicology, Indiana University School of Medicine. He is the author of numerous publications in the fields of endocrine pharmacology and environmental toxicology.
- Dr. David Bechtel D.A.B.T. is a board certified toxicologist with 40 years' experience in food safety and regulatory matters. He spent the first 20 years in the food industry and for the last 20 years has held a senior industry consulting position.

The panel conducted an extensive review of available data and scientific literature on PhibroBreak. Based on this review, they concluded that the use of PhibroBreak in the production of distillers byproducts is considered safe for use in this application. The use of PhibroBreak in the distillers oil production process may be properly categorized as Generally Recognized as Safe (GRAS). When PhibroBreak is used as instructed per label guidelines, the presence of PhibroBreak residues in distillers' byproducts (used as components of feed for food producing and companion animals) would not affect the status of those distillers' byproducts under the Federal Food, and Drug, and Cosmetic Act or the applicable animal feed regulations. The original PhibroBreak products from the P300 series from this determination may continue to be injected at levels up to 500 ppm into the thin stillage slipstream to the oil separation unit operation to remain compliant with this affirmation. The newer version of the product group, named P591, contains the same ingredients as the original product may be fed at rates up to 1,000 ppm into the thin stillage slipstream to the oil separation unit operation to remain compliant with the original affirmation.

If you have questions please contact your Phibro representative or you can reach me at 608-214-3660.

Sincerely,

Scott L. Gemmell Vice President, The America's Ethanol Performance Group Phibro Animal Health Corporation Mobile: 608-214-3660 Phibro Ethanol Performance Group ("Phibro") currently markets its Phibrobreak[™] product as a processing aid for the separation of corn oil from condensed solubles at ethanol production facilities. The product, when used within label guidelines, has been determined to be Generally Recognized as Safe (GRAS) in this application allowing the byproduct distillers streams produced from the process to be consumed as an animal feed.

It is Phibro's intention to further expand the usage of this product in ethanol production in the same application but with the caveat that the oil produced in the process will be used for human consumption. As such the information contained within this document will evaluate the scientific reasons why Phibrobreak is acceptable for this application by reviewing the technical reason why the dominant component, the polymer, will not be expected to be present in the oil produced in any amount that would pose an unreasonable risk of a human health concern.

Background

Thin mid-stillage (syrup) in fuel ethanol plants is an oil-in-water emulsion which is more specifically known as a pickering emulsion. A pickering emulsion is an emulsion of two dissimilar phases stabilized by surface active agents as well as solids. In thin stillage (The heavy fraction from the evaporation bottoms after fermentation) the surface active agents consist of germ, gluten, proteins, and starchs; while the solids are mostly hull and fibers.

In an oil-in-water emulsion water (the large phase) is considered the continuous phase and the oil (the small phase) is considered the non-continuous phase. When these two phases are mixed in the presence of surface active agents (and solids) the non-continuous phase generates small droplets called micelles that are stabilized by the surface active agents.

Micelles are generated by surface active agents. Surface active agents typically are organic molecules that contain a hydrophilic (polar) head (or tail) and a hydrophobic (non-polar) tail that encapsulate the non-continuous phase (corn oil in this example). See Figure 1.

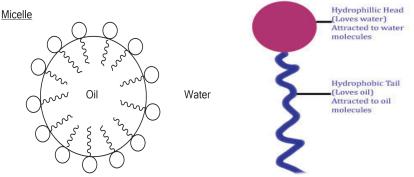


Figure 1

These micelles are encapsulated by a interfacial film of surface active agents (and solids) that result in very stable oil-in-water emulsions. The interfacial film is further stabilized by chemical forces between the oil phase, water phase and interfacial film, these include: attractive forces, repulsive forces, steric interaction and hydration forces. [2]

De-emulsification

There are several theories on de-emulisification. [1, 3, 4] De-emulsification occurs when the oil droplets bridge themselves, attach, and grow into larger droplets that eventually coagulate causing full coalescence of the oil phase and separation from the aqueous phase. For this to occur a force must breach the interfacial film disrupting the micelle's structure and allowing the individual oil droplet to be released. When the individual droplets of oil come together through attractive forces they

coagulate. Once a number of the coagulants converge they coalesce and separate into oil and water phases.

Forces that can disrupt the micelle's interfacial film can include electrostatic forces (charge attraction / repulsion), surface tension reduction, and increases or decreases in temperature, among others.

Phibrobreak

Phibrobreak is made up of a surfactant, alumium sulfate, synthetic amorphous silica (SAS) and water. Each component contributes its own function to the de-emulsification of the thin stillage.

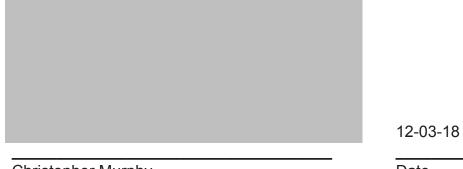
The surfactant has two functions. First to lower the surface tension of the thin stillage and help weaken the micelle. The surfactant also has a relatively low cloud point which enables it to cloud out at the thin stillage process temperature, effectively generating a very small size particulate with high surface area to mass.

The SAS also maintains two functions. The SAS is an insoluble particulate with very high surface area. The SAS, although somewhat polar is also somewhat hydrophobic and is a good nucleation agent.

The aluminum sulfate acts as a cationically charged species that is attracted to the anionically charged interfacial film (proteins, gluten, germ etc). This in conjunction with the lower surface tension weakens and breaks the interfacial film. Once the interfacial film is broken the clouded surfactant along with the SAS nucleates and begins to coagulate the interfacial film layers one after another releasing the corn oil. The lower surface tension also allows the oil droplets to more rapidly attach and eventually coalesce and separate from the aqueous phase.

Conclusion

The chemical nature of the components of Phibrobreak yield a response allowing for oil coalescence and simultaneous migration of the Phibrobreak components primarily into the rag layer between the separated oil and aqueous phases, which in turn ends up in the defatted mid-stillage. The defatted mid-stillage is further evaporated to increase solids. The evaporated stillage becomes what is referred to as "syrup" or "solubles". The syrup is applied to the distillers grains during the drying process. It would be expected, based on the nature of the chemical components in Phibrobreak, that only a relatively small amount of residues would be possible in the separated oil phase and that the majority of the chemical components would end up in the various distillers grains products once the syrup is applied.



Christopher Murphy Date Director Research and Development Polymer Ventures, Inc M.S. Polymer Science from Illinois Institute of Technology 20+ years of experience in water treatment and polymer formulation

References

1. E. Dickenson Interfacial interactions and the stability of oil-inwater emulsions, Pure & Appl. Chem. 64 (1992) 1721-1724

2. S.H. Shin, D.S. Kim Studies on the interfacial characterization of O/W emulsion for the optimization of its treatment, Environ. Sci. Technol. 35 (2001) 3040-3047

3. A.I. Zouboulis, A. Avranas Treatment of oil-in-water emulsions by coagulation and dissolved air flotation, Colloid Surf. A 172 (2000) 153-161

4. G. Rios, C. Pazos, J. Coca, Destabilization of cutting oil emulsions using inorganic salts as coagulants, Colloid Surf. A 138 (1998) 383-389

SAFETY DATA SHEET



PHIBROBREAK P391

1. IDENTIFICATION OF THE SUBSTANCE/PREPARATION AND OF THE COMPANY/UNDERTAKING

| GHS Product Information | |
|--------------------------------|------------------|
| Product Name: | PHIBROBREAK P391 |
| Business Unit | PhibroEPG |
| Other means of identification: | Not available |
| | |

Safety data sheet number: 4021-210 -US-E

Recommended use of the chemical and restrictions on useRecommended use:No information available.

Uses advised against:

No information available

Details of the supplier of the safety data sheet

Supplier:

Phibro EPG Glenpointe Centre East, 3rd FL 300 Frank W. Burr Blvd., Ste 21 Teaneck, NJ 07666-6712 Tel: (201) 329-7300 Toll free: (888) 475-7355 Fax: (201) 329-7070 Toll Free: 888-475-7355

SDS Contact (email of responsible phibroehs@pahc.com person):

24 Hour Emergency Phone Number: Chemtrec 1-800-424-9300 (CCN17224)

International:

+1 703-527-3887

2. HAZARDS IDENTIFICATION

Classification

OSHA Regulatory Status

This chemical is not considered hazardous by the 2012 OSHA Hazard Communication Standard (29 CFR 1910.1200)

Not a dangerous substance or mixture according to the Globally Harmonized System (GHS)

Label elements

Emergency Overview

The product contains no substances which at their given concentration, are considered to be hazardous to health

Appearance liquid cloudy

Physical state liquid

Odor Slight

Hazards not otherwise classified (HNOC)

Other Information

3. COMPOSITION/INFORMATION ON INGREDIENTS

Pure substance/mixture

Mixture

*The exact percentage (concentration) of composition has been withheld as a trade secret.

4. FIRST AID MEASURES

First aid measures

| Eye contact: | Rinse thoroughly with plenty of water for at least 15 minutes, lifting lower and upper eyelids. Consult a physician. | |
|---|---|--|
| Inhalation: | Remove to fresh air. | |
| Skin Contact: | Wash skin with soap and water. | |
| Ingestion: | Consult a physician if necessary. | |
| Most important symptoms and effects, both acute and delayed | | |
| Symptoms: | No information available. | |
| | | |

Indication of any immediate medical attention and special treatment needed

Note to physicians:

Treat symptomatically.

5. FIRE-FIGHTING MEASURES

Extinguishing media

| Suitable extinguishing media: | Use extinguishing measures that are appropriate to local circumstances and the surrounding environment. |
|---|--|
| Unsuitable extinguishing media: | : Caution: Use of water spray when fighting fire may be inefficient. |
| Specific hazards arising from the chemical: | No information available. |
| <u>Explosion data</u> Sensitivity to Mechanical Impact: Sensitivity to Static Discharge: | None. None. |
| Protective equipment and precautions for firefighters: | As in any fire, wear self-contained breathing apparatus pressure-demand, MSHA/NIOSH (approved or equivalent) and full protective gear. |

6. ACCIDENTAL RELEASE MEASURES

Personal precautions, protective equipment and emergency procedures

| Personal precautions: | Ensure adequate ventilation, especially in confined areas. | |
|---|--|--|
| For emergency responders: | Use personal protection recommended in Section 8. Use personal protective equipment as required. | |
| Environmental precautions: | See Section 12 for additional ecological information. | |
| Methods and material for containm | ent and cleaning up | |
| Methods for containment: | Prevent further leakage or spillage if safe to do so. | |
| Methods for cleaning up: | Use personal protective equipment as required. Dam up. Cover liquid spill with sand, earth or other non-combustible absorbent material. Take up mechanically, placing in appropriate containers for disposal. Clean contaminated surface thoroughly. | |
| Personal precautions, protective equipment and emergency procedures | | |
| Personal precautions: | Ensure adequate ventilation, especially in confined areas. | |
| For emergency responders: | Use personal protection recommended in Section 8. Use personal protective equipment as required. | |
| Environmental precautions: | See Section 12 for additional ecological information. | |
| Methods and material for containment and cleaning up | | |
| Methods for containment: | Prevent further leakage or spillage if safe to do so. | |
| Methods for cleaning up: | Use personal protective equipment as required. Dam up. Cover liquid spill with sand, earth or other non-combustible absorbent material. Take up mechanically, placing in appropriate containers for disposal. Clean contaminated surface thoroughly. | |

7. HANDLING AND STORAGE

| Precautions for safe handling | | |
|--|--|--|
| Advice on safe handling: | Handle in accordance with good industrial hygiene and safety practice. | |
| Conditions for safe storage, including any incompatibilities | | |
| Storage Conditions: | Keep containers tightly closed in a dry, cool and well-ventilated place. | |
| Incompatible materials: | None known based on information supplied. | |

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Control parameters

Exposure Guidelines . NIOSH IDLH Immediately Dangerous to Life or Health

Other Information:

Vacated limits revoked by the Court of Appeals decision in AFL-CIO v. OSHA, 965 F.2d 962 (11th Cir., 1992).

Appropriate engineering controls

| Engineering Controls: | Showers Eyewash stations Ventilation systems. | |
|---|---|--|
| Individual protection measures, such as personal protective equipment | | |
| General Hygiene Considerations: | Handle in accordance with good industrial hygiene and safety practice. | |
| Eye/face protection: | No special technical protective measures are necessary. | |
| Skin and body protection: | No special technical protective measures are necessary. | |
| Respiratory protection: | If exposure limits are exceeded or irritation is experienced, NIOSH/MSHA approved respiratory protection should be worn. Positive-pressure supplied air respirators may be required for high airborne contaminant concentrations. Respiratory protection must be provided in accordance with current local regulations. | |

9. PHYSICAL AND CHEMICAL PROPERTIES

Information on basic physical and chemical properties

| Physical state Appearance Color | liquid liquid, cloudy No information available | Odor Odor threshold | Slight No information available |
|---|--|-------------------------|------------------------------------|
| <u>Property</u> pH Melting Point / Freezing Point Boiling point / boiling range Flash point | <u>Values</u> 4-8 No information available No information available > 201 °F | <u>Remarks • Method</u> | |
| Evaporation rate Flammability (solid, gas) Flammability Limit in Air Upper flammability limit: | No information available No information available No information available | | |
| Lower flammability limit: Vapor pressure Vapor density Specific Gravity | No information available No information available No information available No information available | | |
| Water solubility Solubility in other solvents Partition coefficient Autoignition temperature | dispersible No information available No information available No information available | | |
| Decomposition temperature Kinematic viscosity Dynamic viscosity Explosive properties | No information available No information available No information available No information available | | |
| Oxidizing properties <u>Other Information</u> | No information available | | |
| Softening point Molecular weight VOC Content (%) Density Bulk density Percent Volatile | No information available No information available No information available No information available No information available No information available | | |

10. STABILITY AND REACTIVITY

Reactivity No data available

Chemical stability

Stable under recommended storage conditions.

Possibility of Hazardous Reactions None under normal processing.

None under normal processing.

Conditions to avoid

Extremes of temperature and direct sunlight.

Incompatible materials

None known based on information supplied.

Hazardous Decomposition Products

None known based on information supplied.

11. TOXICOLOGICAL INFORMATION

Information on likely routes of exposure

| Product Information: | No data available |
|----------------------|--------------------|
| Inhalation: | No data available. |
| Eye contact: | No data available. |
| Skin Contact: | No data available. |
| Ingestion: | No data available. |

Information on toxicological effects

| - | |
|-------|---------|
| Svm | ptoms: |
| Oyili | pluins. |

No information available.

Delayed and immediate effects as well as chronic effects from short and long-term exposure

| Sensitization: | No information available. |
|-------------------------|--|
| Germ cell mutagenicity: | No information available. |
| Carcinogenicity: | The table below indicates whether each agency has listed any ingredient as a carcinogen. |

| IARC (International Agency for Rese Not classifiable as a human carcinoger | |
|---|---------------------------|
| Reproductive toxicity: | No information available. |
| STOT - single exposure: | No information available. |
| STOT - repeated exposure: | No information available. |
| Target Organ Effects: | Respiratory system, Skin. |
| Aspiration hazard: | No information available. |

Numerical measures of toxicity - Product Information

The following values are calculated based on chapter 3.1 of the GHS document . ATEmix (oral) 3285 5998

12. ECOLOGICAL INFORMATION

Ecotoxicity

Harmful to aquatic life with long lasting effects

94.4% of the mixture consists of components(s) of unknown hazards to the aquatic environment

Persistence and degradability No information available.

<u>Bioaccumulation</u> No information available.

Mobility No information available.

Other adverse effects:

No information available

13. DISPOSAL CONSIDERATIONS

Waste treatment methods

Disposal of wastes:Refer to all federal, state and local regulations prior to disposal of container and unused
contents by reuse, recycle or disposal. Disposal should be in accordance with applicable
regional, national and local laws and regulations. Avoid runoff to waterways and sewers.
Recover or recycle if possible. Send to a licensed recycler, reclaimer or incinerator.

Disposal methods: Do not reuse container.

14. TRANSPORT INFORMATION

| Note: | Store in a closed container. Keep container upright. |
|-------|--|
| DOT | Not regulated |
| IATA | Not regulated |
| IMDG | Not regulated |

15. REGULATORY INFORMATION

| International Inventories | |
|---------------------------|----------------|
| TSCA: | Complies |
| DSL/NDSL: | Complies |
| EINECS/ELINCS: | Not Determined |
| ENCS: | Not Determined |
| IECSC: | Complies |
| KECL: | Complies |
| PICCS: | Complies |
| AICS: | Complies |
| | |

Legend:

TSCA - United States Toxic Substances Control Act Section 8(b) Inventory DSL/NDSL - Canadian Domestic Substances List/Non-Domestic Substances List EINECS/ELINCS - European Inventory of Existing Chemical Substances/European List of Notified Chemical Substances ENCS - Japan Existing and New Chemical Substances IECSC - China Inventory of Existing Chemical Substances KECL - Korean Existing and Evaluated Chemical Substances PICCS - Philippines Inventory of Chemicals and Chemical Substances AICS - Australian Inventory of Chemical Substances

US Federal Regulations

SARA 313

Section 313 of Title III of the Superfund Amendments and Reauthorization Act of 1986 (SARA). This product does not contain any chemicals which are subject to the reporting requirements of the Act and Title 40 of the Code of Federal Regulations, Part 372

| SARA 311/312 Hazard Categories | |
|-----------------------------------|----|
| Acute health hazard | No |
| Chronic Health Hazard | No |
| Fire hazard | No |
| Sudden release of pressure hazard | No |
| Reactive Hazard | No |

CWA (Clean Water Act)

This product contains the following substances which are regulated pollutants pursuant to the Clean Water Act (40 CFR 122.21 and 40 CFR 122.42)

CERCLA

This material, as supplied, contains one or more substances regulated as a hazardous substance under the Comprehensive Environmental Response Compensation and Liability Act (CERCLA) (40 CFR 302)

FIFRA

Not applicable

US State Regulations

California Proposition 65

This product does not contain any Proposition 65 chemicals

U.S. State Right-to-Know Regulations

No information available

Canada

No information available

16. OTHER INFORMATION

| NFPA | Health hazards 1 Health hazards 1 | Flammabili Flammabili | , | Instability 0 Physical hazards 0 | Physical & Chemical Properties 0 Personal protection D |
|--|--------------------------------------|--------------------------|-----------------------------|--|--|
| Document Review Revision Number: | 1 | | | | |
| Revision Note: | No informa | ation available | | | |
| Key or legend to abbrey safety data sheet: | viations and acronyms | used in the | Internationa Globally Ha | ation factor (BCF) I Air Transport Associatio rmonized System (GHS) I Maritime Dangerous Go | |

Page

Disclaimer

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End of Safety Data Sheet

SAFETY DATA SHEET



Phibro AC

1. IDENTIFICATION OF THE SUBSTANCE/PREPARATION AND OF THE COMPANY/UNDERTAKING

GHS Product InformationProduct Name:Phibro ACBusiness UnitPhibroEPGOther means of identification:Not available

Safety data sheet number: 4012-210 -US-E

Recommended use of the chemical and restrictions on use Recommended use: No information available.

Uses advised against: No information available

Details of the supplier of the safety data sheet

Supplier:

Phibro EPG Glenpointe Centre East, 3rd FL 300 Frank W. Burr Blvd., Ste 21 Teaneck, NJ 07666-6712 Tel: (201) 329-7300 Toll free: (888) 475-7355 Fax: (201) 329-7070 Toll Free: 888-475-7355

SDS Contact (email of responsible phibroehs@pahc.com person):

24 Hour Emergency Phone Number: Chemtrec 1-800-424-9300 (CCN17224)

International:

International Number: +1 703-527-3887

2. HAZARDS IDENTIFICATION

Classification

OSHA Regulatory Status

This chemical is considered hazardous by the 2012 OSHA Hazard Communication Standard (29 CFR 1910.1200)

| Skin corrosion/irritation | Category 1 Sub-category A |
|-----------------------------------|---------------------------|
| Serious eye damage/eye irritation | Category 1 |

Label elements

Emergency Overview

| Danger | | |
|--|-----------------------|-------------------------------|
| Hazard statements Causes severe skin burns and eye damage | | |
| | | |
| Appearance liquid | Physical state liquid | Odor No information available |
| | | |

Precautionary Statements - Prevention Do not breathe dust/fume/gas/mist/vapors/spray Wash face, hands and any exposed skin thoroughly after handling Wear protective gloves/protective clothing/eye protection/face protection

Precautionary Statements - Response

Immediately call a POISON CENTER or doctor/physician IF IN EYES: Rinse cautiously with water for several minutes. Remove contact lenses, if present and easy to do. Continue rinsing Immediately call a POISON CENTER or doctor/physician IF ON SKIN (or hair): Remove/Take off immediately all contaminated clothing. Rinse skin with water/shower Wash contaminated clothing before reuse IF INHALED: Remove victim to fresh air and keep at rest in a position comfortable for breathing Immediately call a POISON CENTER or doctor/physician IF SWALLOWED: Rinse mouth. DO NOT induce vomiting

Precautionary Statements - Storage

Store locked up

Precautionary Statements - Disposal

Dispose of contents/ container to an approved landfill

Hazards not otherwise classified (HNOC)

Other Information

3. COMPOSITION/INFORMATION ON INGREDIENTS

Pure substance/mixture

Mixture

| Chemical Name | CAS No | Weight-% | Trade Secret |
|---|---|----------|--------------|
| Nitric Acid | 7697-37-2 | 10 - 30 | * |
| Trade Secret | Proprietary | 1 - 5 | * |
| *************************************** | (°) (°) (°) (°) (°) (°) (°) (°) (°) (°) | | |

*The exact percentage (concentration) of composition has been withheld as a trade secret.

4. FIRST AID MEASURES

First aid measures

General advice:

Immediate medical attention is required.

| Product Code 4012-210 Phibr | o AC Revision Date 02/16/2017 |
|-------------------------------------|---|
| Eye contact: | Immediate medical attention is required. Rinse immediately with plenty of water, also under the eyelids, for at least 15 minutes. Keep eye wide open while rinsing. Do not rub affected area. |
| Inhalation: | Remove to fresh air. Call a physician or poison control center immediately. If not breathing, give artificial respiration. If breathing is difficult, give oxygen. |
| Skin Contact: | Immediate medical attention is required. Wash off immediately with soap and plenty of water while removing all contaminated clothes and shoes. |
| Ingestion: | Immediate medical attention is required. Do NOT induce vomiting. Drink plenty of water. Never give anything by mouth to an unconscious person. Remove from exposure, lie down. Clean mouth with water and drink afterwards plenty of water. Call a physician or poison control center immediately. |
| Self-protection of the first aider: | Use personal protective equipment as required. Avoid contact with skin, eyes or clothing. |
| Most important symptoms and effe | ects, both acute and delayed |
| Symptoms: | No information available. |

Indication of any immediate medical attention and special treatment needed

Note to physicians: Product is a corrosive material. Use of gastric lavage or emesis is contraindicated. Possible perforation of stomach or esophagus should be investigated. Do not give chemical antidotes. Asphyxia from glottal edema may occur. Marked decrease in blood pressure may occur with moist rales, frothy sputum, and high pulse pressure. Treat symptomatically.

5. FIRE-FIGHTING MEASURES

Extinguishing media

| Suitable extinguishing media: | Use extinguishing measures that are appropriate to local circumstances and the surrounding environment. |
|---|--|
| Unsuitable extinguishing media | : Caution: Use of water spray when fighting fire may be inefficient. |
| Specific hazards arising from the chemical: | The product causes burns of eyes, skin and mucous membranes. Thermal decomposition can lead to release of irritating and toxic gases and vapors. In the event of fire and/or explosion do not breathe fumes. |
| <u>Explosion data</u> Sensitivity to Mechanical Impact: | None. |
| Sensitivity to Static Discharge: | None. |
| Protective equipment and precautions for firefighters: | As in any fire, wear self-contained breathing apparatus pressure-demand, MSHA/NIOSH (approved or equivalent) and full protective gear. |

6. ACCIDENTAL RELEASE MEASURES

Personal precautions, protective equipment and emergency procedures

| Personal precautions: | Evacuate personnel to safe areas. Use personal protective equipment as required. Avoid contact with skin, eyes or clothing. Keep people away from and upwind of spill/leak. |
|----------------------------|--|
| For emergency responders: | Use personal protection recommended in Section 8. Use personal protective equipment as required. |
| Environmental Precautions: | Do not allow into any sewer, on the ground or into any body of water. Should not be released into the environment. Prevent further leakage or spillage if safe to do so. Prevent product from entering drains. See Section 12 for additional ecological information. |

| Methods and material for containment and cleaning up |
|--|
| |

| Methods for containment: | Prevent further leakage or spillage if safe to do so. |
|---|---|
| Methods for cleaning up: | Dike far ahead of liquid spill for later disposal. Soak up with inert absorbent material. Take up mechanically, placing in appropriate containers for disposal. Clean contaminated surface thoroughly. Prevent product from entering drains. Dam up. After cleaning, flush away traces with water. |
| Personal precautions, protective equipment and emergency procedures | |

Personal precautions: Evacuate personnel to safe areas. Use personal protective equipment as required. Avoid contact with skin, eyes or clothing. Keep people away from and upwind of spill/leak.

For emergency responders: Use personal protection recommended in Section 8. Use personal protective equipment as required.

Environmental Precautions: Do not allow into any sewer, on the ground or into any body of water. Should not be released into the environment. Prevent further leakage or spillage if safe to do so. Prevent product from entering drains. See Section 12 for additional ecological information.

Methods and material for containment and cleaning up

Methods for containment: Prevent further leakage or spillage if safe to do so.

Methods for cleaning up: Dike far ahead of liquid spill for later disposal. Soak up with inert absorbent material. Take up mechanically, placing in appropriate containers for disposal. Clean contaminated surface thoroughly. Prevent product from entering drains. Dam up. After cleaning, flush away traces with water.

7. HANDLING AND STORAGE

Precautions for safe handling

| Advice on safe handling: | Use personal protective equipment as required. Avoid contact with skin, eyes or clothing. Ensure adequate ventilation, especially in confined areas. In case of insufficient ventilation, wear suitable respiratory equipment. Use only with adequate ventilation and in closed systems. |
|--------------------------------------|---|
| Conditions for safe storage, includi | ng any incompatibilities |
| Storage Conditions: | Keep out of the reach of children. Keep container tightly closed in a dry and well-ventilated place. Keep containers tightly closed in a dry, cool and well-ventilated place. Keep in properly labeled containers. |

Incompatible materials: Incompatible with strong acids and bases. Incompatible with oxidizing agents.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Control parameters

Exposure Guidelines

| Chemical Name | CAS No | ACGIH TLV | OSHA PEL | NIOSH IDLH |
|---------------|-----------|-------------|--------------------------------------|----------------------------|
| Nitric Acid | 7697-37-2 | STEL: 4 ppm | TWA: 2 ppm | IDLH: 25 ppm |
| | | TWA: 2 ppm | TWA: 5 mg/m ³ | TWA: 2 ppm |
| | | | (vacated) TWA: 2 ppm | TWA: 5 mg/m ³ |
| | | | (vacated) TWA: 5 mg/m ³ | STEL: 4 ppm |
| | | | (vacated) STEL: 4 ppm | STEL: 10 mg/m ³ |
| | | | (vacated) STEL: 10 mg/m ³ | - |

NIOSH IDLH Immediately Dangerous to Life or Health

| Other Information: | Vacated limits revoked by the Court of Appeals decision in AFL-CIO v. OSHA, 965 F.2d 962 (11th Cir., 1992). |
|------------------------------------|--|
| Appropriate engineering controls | |
| Engineering Controls: | Showers Eyewash stations Ventilation systems. |
| Individual protection measures, su | ch as personal protective equipment |
| General Hygiene Considerations: | When using do not eat, drink or smoke. Wash contaminated clothing before reuse. Keep away from food, drink and animal feeding stuffs. Contaminated work clothing should not be allowed out of the workplace. Regular cleaning of equipment, work area and clothing is recommended. Avoid contact with skin, eyes or clothing. Take off all contaminated clothing and wash it before reuse. Wear suitable gloves and eye/face protection. |
| Eye/face protection: | Tight sealing safety goggles. Face protection shield. |
| Skin and body protection: | No special technical protective measures are necessary. |
| Respiratory protection: | If exposure limits are exceeded or irritation is experienced, NIOSH/MSHA approved respiratory protection should be worn. Positive-pressure supplied air respirators may be required for high airborne contaminant concentrations. Respiratory protection must be provided in accordance with current local regulations. |

9. PHYSICAL AND CHEMICAL PROPERTIES

Information on basic physical and chemical properties

| Physical state Appearance Color | liquid liquid No information available | Odc Odc |
|--|---|------------|
| Property pH Melting Point / Freezing Point Boiling point / boiling range Flash point Evaporation rate Flammability (solid, gas) Flammability Limit in Air Upper flammability limit: Lower flammability limit: Vapor pressure Vapor density Specific Gravity Water solubility Solubility in other solvents Partition coefficient Autoignition temperature Decomposition temperature Kinematic viscosity Explosive properties Oxidizing properties | Values 0-3 No information available No information available | Ren |
| Other Information | | |
| Softening point Molecular weight | No information available No information available | |
| | | |

Odor Odor threshold No information available No information available

Remarks • Method

VOC Content (%) Density Bulk density Percent Volatile No information available No information available No information available No information available

10. STABILITY AND REACTIVITY

Reactivity

No data available

Chemical stability

Stable under recommended storage conditions.

Possibility of Hazardous Reactions

None under normal processing.

Conditions to avoid

Exposure to air or moisture over prolonged periods.

Incompatible materials

Incompatible with strong acids and bases. Incompatible with oxidizing agents.

Hazardous Decomposition Products

Thermal decomposition can lead to release of irritating and toxic gases and vapors.

11. TOXICOLOGICAL INFORMATION

Information on likely routes of exposure

| Product Information: | No data available |
|----------------------|--------------------|
| Inhalation: | No data available. |
| Eye contact: | No data available. |
| Skin Contact: | No data available. |
| Ingestion: | No data available. |

| Chemical Name | CAS No | Oral LD50 | Dermal LD50 | Inhalation LC50 |
|---------------|-----------|------------|-------------|-------------------------|
| Nitric Acid | 7697-37-2 | - | - | = 67 ppm (Rat)4 h = 130 |
| | | | | mg/m³ (Rat)4 h |
| Trade Secret | | 1976 mg/kg | - | - |

Information on toxicological effects

Symptoms:

No information available.

Delayed and immediate effects as well as chronic effects from short and long-term exposure

| Sensitization: | No information available. |
|---------------------------|--|
| Germ cell mutagenicity: | No information available. |
| Carcinogenicity: | The table below indicates whether each agency has listed any ingredient as a carcinogen. |
| Reproductive toxicity: | No information available. |
| STOT - single exposure: | No information available. |
| STOT - repeated exposure: | No information available. |
| Chronic toxicity: | Avoid repeated exposure. Possible risk of irreversible effects. |
| Target Organ Effects: | Eyes, Respiratory system, Skin, Teeth. |
| Aspiration hazard: | No information available. |

Numerical measures of toxicity - Product Information

The following values are calculated based on chapter 3.1 of the GHS document .

ATEmix (oral) 4167 mg/kg 4167

12. ECOLOGICAL INFORMATION

Ecotoxicity

Harmful to aquatic life with long lasting effects

0% of the mixture consists of components(s) of unknown hazards to the aquatic environment

| Chemical Name | CAS No | Algae/aquatic plants | Fish | Crustacea |
|---------------|-----------|----------------------|---------------------------|-----------|
| Nitric Acid | 7697-37-2 | - | 72: 96 h Gambusia affinis | - |
| | | | mg/L LC50 | |

Persistence and degradability

No information available.

Bioaccumulation

No information available.

Mobility

No information available.

| Chemical Name | Partition coefficient |
|---------------|-----------------------|
| Nitric Acid | -2.3 |

Other adverse effects:

No information available

13. DISPOSAL CONSIDERATIONS

Waste treatment methods

Disposal of wastes: This material, as supplied, is a hazardous waste according to federal regulations (40 CFR 261). **Disposal methods:** Do not reuse container.

14. TRANSPORT INFORMATION

Note:

Store in a closed container. Keep container upright.

| DOT UN/ID no Proper shipping name Hazard Class Packing Group Special Provisions Description Emergency Response Guide Number | UN3264 Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid) 8 II B2, IB2, T11, TP2, TP27 UN3264, Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid), 8, II 154 |
|---|---|
| IATA UN/ID no Proper shipping name Hazard Class Packing Group ERG Code Special Provisions | UN3264 Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid) 8 II 8L A3, A803 |
| Page | 7 / 10 |

| Description | UN3264, Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid), 8, II |
|----------------------|--|
| IMDG_ | |
| UN/ID no | UN3264 |
| Proper shipping name | Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid) |
| Hazard Class | 8 |
| Packing Group | II |
| EmS-No | F-A, S-B |
| Special Provisions | 274 |
| Description | UN3264, Corrosive liquid, acidic, inorganic, n.o.s. (Nitric Acid), 8, II |

15. REGULATORY INFORMATION

| International Inventories | |
|---------------------------|----------------|
| TSCA: | Complies |
| DSL/NDSL: | Complies |
| EINECS/ELINCS: | Not Determined |
| ENCS: | Not Determined |
| IECSC: | Complies |
| KECL: | Complies |
| PICCS: | Complies |
| AICS: | Not Determined |

Legend:

TSCA - United States Toxic Substances Control Act Section 8(b) Inventory
 DSL/NDSL - Canadian Domestic Substances List/Non-Domestic Substances List
 EINECS/ELINCS - European Inventory of Existing Chemical Substances/European List of Notified Chemical Substances
 ENCS - Japan Existing and New Chemical Substances
 IECSC - China Inventory of Existing Chemical Substances
 KECL - Korean Existing and Evaluated Chemical Substances
 PICCS - Philippines Inventory of Chemicals and Chemical Substances
 Australian Inventory of Chemical Substances

US Federal Regulations

SARA 313

Section 313 of Title III of the Superfund Amendments and Reauthorization Act of 1986 (SARA). This product contains a chemical or chemicals which are subject to the reporting requirements of the Act and Title 40 of the Code of Federal Regulations, Part 372

| Chemical Name | CAS No | SARA 313 - Threshold Values % |
|---------------|-----------|-------------------------------|
| Nitric Acid | 7697-37-2 | 1.0 |

SARA 311/312 Hazard Categories

| Yes |
|-----|
| Yes |
| No |
| No |
| No |
| |

CWA (Clean Water Act)

This product contains the following substances which are regulated pollutants pursuant to the Clean Water Act (40 CFR 122.21 and 40 CFR 122.42)

| Chemical Name | CAS No | CWA - Reportable Quantities | CWA - Toxic Pollutants | CWA - Priority Pollutants | CWA - Hazardous Substances |
|---------------|-----------|-----------------------------------|---------------------------|------------------------------|----------------------------------|
| Nitric Acid | 7697-37-2 | 1000 lb | - | - | Х |

CERCLA

This material, as supplied, contains one or more substances regulated as a hazardous substance under the Comprehensive Environmental Response Compensation and Liability Act (CERCLA) (40 CFR 302)

| Chemical Name | CAS No | Hazardous Substances RQs | CERCLA/SARA RQ | Reportable Quantity (RQ) |
|---------------|-----------|-----------------------------|----------------|---|
| Nitric Acid | 7697-37-2 | 1000 lb | 1000 lb | RQ 1000 lb final RQ RQ 454 kg final RQ |

FIFRA

Not applicable

US State Regulations

<u>California Proposition 65</u> This product does not contain any Proposition 65 chemicals

U.S. State Right-to-Know Regulations

No information available

| Chemical Name | CAS No | New Jersey | Massachusetts | Pennsylvania |
|---------------|-----------|------------|---------------|--------------|
| Nitric Acid | 7697-37-2 | Х | Х | Х |

<u>Canada</u>

No information available

| 16. OTHER INFORMATION | | | | | | |
|--|--------------------------|------------|--------------------------|---|-------------------------------------|--|
| <u>NFPA</u> | Health hazards 3 | Flammabili | ty 0 | Instability 0 | Physical & Chemical Properties 0 | |
| HMIS | Health hazards *3 | Flammabili | ty 0 | Physical hazards 0 | Personal protection H | |
| Document Review Revision Number: | 8 | | | | | |
| Revision Note: | No information available | | | | | |
| Key or legend to abbreviations and acronyms used in the safety data sheet: | | | Internatio Globally I | ntration factor (BCF) nal Air Transport Associatic Harmonized System (GHS) nal Maritime Dangerous Go | | |

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