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FOOD AND DRUG ADMINISTRATION
CENTER FOR DRUG EVALUATION AND RESEARCH

CARDIOVASCULAR AND RENAL DRUGS
ADVISORY COMMITTEE (CRDAC) MEETING

Virtual Meeting

Wednesday, December 16, 2020

9:00 a.m. to 1:37 p.m.

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Meeting Roster

DESIGNATED FEDERAL OFFICER (Non-Voting)

Joyce Yu, PharmD

Division of Advisory Committee and
Consultant Management
Office of Executive Programs, CDER, FDA

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2 Professor (Clinical Health Sciences)

3 Clinical Trials Program

4 Department of Biostatistics and

5 Medical Informatics

6 University of Wisconsin-Madison

7 Madison, Wisconsin

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9 **C. Michael Gibson, MD, MS**

10 Professor of Medicine

11 Harvard Medical School

12 President

13 Combined non-profit Baim and PERFUSE

14 Research Institutes

15 Boston, Massachusetts

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17 **Edward K. Kasper, MD, FACC, FAHA**

18 Director of Outpatient Cardiology

19 Johns Hopkins Medicine

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22 Baltimore, Maryland

1 **Julia B. Lewis, MD**

2 *(Chairperson)*

3 Professor of Medicine

4 Division of Nephrology

5 Vanderbilt Medical Center

6 Nashville, Tennessee

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8 **David J. Moliterno, MD**

9 Professor and Chairman

10 Department of Internal Medicine

11 University of Kentucky Medical Center

12 Lexington, Kentucky

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15 Eugene Braunwald Professor of Medicine

16 Harvard Medical School

17 Director, Center for Cardiovascular Disease

18 Prevention

19 Division of Preventative Medicine

20 Brigham and Women's Hospital

21 Boston, Massachusetts

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1 **Ravi I. Thadhani, MD, MPH**

2 Chief Academic Officer

3 Massachusetts General Brigham

4 Professor of Medicine and Dean for

5 Academic Programs Mass General Brigham

6 Harvard Medical School

7 Boston, Massachusetts

8

9 **ACTING INDUSTRY REPRESENTATIVE TO THE COMMITTEE**

10 **(Non-Voting)**

11 **Jerome A. Rossert, MD, PhD**

12 Vice President, Head of Clinical Renal

13 AstraZeneca

14 Gaithersburg, Maryland

15

16 **TEMPORARY MEMBERS (Voting)**

17 **Cynthia L. Chauhan, MSW**

18 *(Patient Representative)*

19 Wichita, Kansas

20

21

22

1 **Scott Emerson, MD, PhD**

2 Professor Emeritus of Biostatistics

3 University of Washington

4 Seattle, Washington

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6 **Steven E. Nissen, MD, MACC**

7 Professor of Medicine

8 Cleveland Clinic Lerner School of Medicine at

9 Case Western Reserve University

10 Chief Academic Officer

11 Sydell and Arnold Miller Family Heart,

12 Vascular & Thoracic Institute

13 Cleveland Clinic

14 Cleveland, Ohio

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16 **Christopher M. O'Connor, MD, MACC, FESC, FHFA, FHFSA**

17 President and Executive Director

18 Inova Heart and Vascular Institute

19 Professor of Medicine, Duke University

20 Falls Church, Virginia

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22

1 **FDA PARTICIPANTS (Non-Voting)**

2 **Ellis F. Unger, MD**

3 Director

4 Office of Cardiology, Hematology,

5 Endocrinology and Nephrology (OCHEN)

6 Office of New Drugs (OND), CDER, FDA

7

8 **Norman Stockbridge, MD, PhD**

9 Director

10 Division of Cardiology and Nephrology (DCN)

11 OCHEN, OND, CDER, FDA

12

13 **Aliza Thompson, MD, MS**

14 Deputy Director

15 DCN, OCHEN, OND, CDER, FDA

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17 **Mary Ross Southworth, PharmD**

18 Deputy Director for Safety

19 DCN, OCHEN, OND, CDER, FDA

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Ququan Liu, MD, MS

Mathematical Statistician

Division of Biometrics II (DB-II)

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CDER, FDA

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P R O C E E D I N G S

(9:00 a.m.)

Call to Order

DR. LEWIS: Good morning and welcome. I'd like to remind everyone to please mute your line when you're not speaking. For media and press, the FDA press contact is Chanapa Tantibanchachai. Her email and phone number are currently displayed.

My name is Julia Lewis, and I will be chairing today's meeting. I will now call the December 16, 2020 meeting of the Cardiovascular and Renal Drug Advisory Committee to order. Dr. Joyce Yu is the designated federal officer for this meeting and will begin with introductions.

Dr. Yu?

Introduction of Committee

DR. YU: Good morning. My name is Joyce Yu, and I am the designated federal Officer for this meeting. When I call your name, please introduce yourself by stating your name and affiliation.

We'll start with Ms. Alikhaani.

MS. ALIKHAANI: Good morning. I'm

1 Jacqueline Alikhaani. I live in Los Angeles, and
2 I'm a heart survivor, heart patient, and citizen
3 scientist and a long-time volunteer with the
4 American Heart Association. I also serve as an
5 ambassador for PCORI, the Patient-Centered Outcomes
6 Research Institute. Thank you.

7 DR. YU: Dr. Bairey Merz?

8 DR. BAIREY MERZ: Noel Bairey Merz, clinical
9 cardiologist and physician scientist in Los Angeles
10 at the Cedars-Sinai Smidt Heart Institute. I have
11 interest in women's heart disease and heart failure
12 with preserved ejection fraction. Thank you.

13 DR. YU: Dr. Cook?

14 DR. COOK: Thomas Cook, University of
15 Wisconsin-Madison, Department of Biostatistics and
16 Medical Informatics. Thank you.

17 DR. YU: Dr. Gibson?

18 DR. GIBSON: Hi. I'm Mike Gibson, an
19 interventional cardiologist, clinical trialist, and
20 professor of medicine at Harvard.

21 DR. YU: Dr. Kasper?

22 DR. KASPER: Good morning, everyone. I'm

1 Dr. Ed Kasper. I am a heart failure cardiologist
2 at Johns Hopkins.

3 DR. YU: Dr. Lewis?

4 DR. LEWIS: Dr. Julia Lewis. I am a
5 nephrologist at Vanderbilt University Medical
6 Center.

7 DR. YU: Dr. Moliterno?

8 DR. MOLITERNO: Good morning. David
9 Moliterno. I'm an interventional cardiologist and
10 the chairman of the Department of Internal Medicine
11 at the University of Kentucky.

12 DR. YU: Dr. Ridker?

13 DR. RIDKER: Good morning. Paul Ridker.
14 I'm a cardiologist at the Brigham and Women's
15 Hospital in Boston and Harvard Medical School.

16 DR. YU: Dr. Thadhani?

17 DR. THADHANI: Good morning. Ravi Thadhani,
18 chief academic officer at Mass General Brigham and
19 nephrologist in Boston. Thank you.

20 DR. YU: Ms. Chauhan?

21 DR. CHAUHAN: Good morning. Cynthia
22 Chauhan, patient representative. I have heart

1 failure with preserved ejection fraction stage 3,
2 renal failure stage 4, and other comorbidities. I
3 live in Wichita, Kansas.

4 DR. YU: Dr. Emerson?

5 DR. EMERSON: Scott Emerson, professor
6 emeritus of biostatistics at the University of
7 Washington in Seattle.

8 DR. YU: Dr. Nissen?

9 DR. NISSEN: Steve Nissen. I am the chief
10 academic officer of the Heart and Vascular
11 Institute at the Cleveland Clinic.

12 DR. YU: Dr. O'Connor?

13 DR. O'CONNOR: Christopher O'Connor. I'm
14 the president of the Inova Heart and Vascular
15 Institute, heart failure cardiologist, and past
16 president the Heart Failure Society of America.

17 DR. YU: Dr. Rossert?

18 DR. ROSSERT: Good morning. Jerome Rossert
19 from AstraZeneca.

20 DR. YU: Thank you, everyone. We'll now
21 introduce the FDA participants.

22 Dr. Unger?

1 DR. UNGER: Good morning. I'm Ellis hunger.
2 I'm a cardiologist and director of the Office of
3 Cardiology, Hematology, Endocrinology, and
4 Nephrology in the Office of New Drugs, CDER FDA.

5 DR. YU: Dr. Stockbridge?

6 DR. STOCKBRIDGE: Norman Stockbridge,
7 director of the Division of Cardiology and
8 Nephrology.

9 DR. YU: Dr. Thompson?

10 DR. THOMPSON: Good morning. Aliza
11 Thompson, deputy director of the Division of
12 Cardiology and Nephrology.

13 DR. YU: Dr. Southworth?

14 DR. SOUTHWORTH: Good morning. I'm Mary
15 Ross Southworth. I'm the deputy director for
16 safety in the Division of Cardiology and
17 Nephrology.

18 DR. YU: And Dr. Liu?

19 DR. LIU: Hi. Good morning. I am Ququan
20 Liu, a statistical reviewer in the Office of
21 Biostatistics.

22 DR. YU: Thank you.

1 Dr. Lewis?

2 DR. LEWIS: Yes.

3 For topics such as those being discussed at
4 this meeting, there are often a variety of
5 opinions, some of which are quite strongly held.
6 Our goal is that this meeting will be a fair and
7 open forum for discussion of these issues and that
8 individuals can express their views without
9 interruption.

10 Thus, as a gentle reminder, individuals will
11 be allowed to speak into the record only if
12 recognized by the chairperson. We look forward to
13 a productive meeting.

14 In the spirit of the Federal Advisory
15 Committee Act and the Government in the Sunshine
16 Act, we ask that the advisory committee members
17 take care that their conversations about the topic
18 at hand take place in the open forum of the
19 meeting.

20 We are aware that members of the media are
21 anxious to speak with the FDA about these
22 proceedings, however, FDA will refrain from

1 discussing the details of this meeting with the
2 media until its conclusion. Also, the committee is
3 reminded to please refrain from discussing the
4 meeting topic during breaks. Thank you.

5 Dr. Joyce Yu will read the Conflict of
6 Interest Statement for the meeting.

7 **Conflict of Interest Statement**

8 DR. YU: The Food and Drug Administration,
9 FDA, is convening today's meeting of the
10 Cardiovascular and Renal Drugs Advisory Committee
11 under the authority of the Federal Advisory
12 Committee Act, FACA, of 1972. With the exception
13 of the industry representative, all members and
14 temporary voting members of the committee are
15 special government employees, SGEs, or regular
16 federal employees from other agencies and are
17 subject to federal conflict of interest laws and
18 regulations.

19 The following information on the status of
20 this committee's compliance with federal ethics and
21 conflict of interest laws, covered by but not
22 limited to those found at 18 U.S.C. Section 208, is

1 being provided to participants in today's meeting
2 and to the public.

3 FDA has determined that members and
4 temporary voting members of this committee are in
5 compliance with federal ethics and conflict of
6 interest laws. Under 18 U.S.C. Section 208,
7 Congress has authorized FDA to grant waivers to
8 special government employees and regular federal
9 employees who have potential financial conflicts
10 when it is determined that the agency's need for a
11 special government employee's services outweighs
12 his or her potential financial conflict of interest
13 or when the interest of a regular federal employee
14 is not so substantial as to be deemed likely to
15 affect the integrity of the services which the
16 government may expect from the employee.

17 Related to the discussions of today's
18 meeting, members and temporary voting members of
19 this committee have been screened for potential
20 financial conflicts of interests of their own as
21 well as those imputed to them, including those of
22 their spouses or minor children and, for purposes

1 of 18 U.S.C. Section 208, their employers. These
2 interests may include investments; consulting;
3 expert witness testimony; contracts, grants,
4 CRADAs; teaching, speaking, writing; patents and
5 royalties; and primary employment.

6 For today's agenda, the committee will
7 discuss spironolactone for the proposed indication
8 of heart failure with preserved ejection fraction,
9 a serious and often fatal condition for which no
10 drug is approved to improve outcomes.

11 The data supporting the new indication are
12 post hoc analyses of the National Heart, Lung, and
13 Blood Institute, NHLBI, sponsored TOPCAT, Treatment
14 of Preserved Cardiac Function Heart Failure with an
15 Aldosterone Antagonist, the TOPCAT trial, which
16 nominally failed to meet its primary endpoint.
17 Spironolactone is currently marketed in the U.S.
18 for the treatment of heart failure with reduced
19 ejection fraction, hypertension, primary
20 hyperaldosteronism, and the management of edema.

21 This is a particular matters meeting during
22 which specific matters related to spironolactone

1 will be discussed. Based on the agenda for today's
2 meeting and all financial interests reported by the
3 committee members and temporary voting members, no
4 conflict of interest waivers have been issued in
5 connection with this meeting. To ensure
6 transparency, we encourage all standing committee
7 members and temporary voting members to disclose
8 any public statements that they have made
9 concerning the drug.

10 With respect to FDA's invited industry
11 representative, we would like to disclose that
12 Dr. Jerome Rossert is participating in this meeting
13 as a nonvoting industry representative, acting on
14 behalf of regulated industry. Dr. Rossert's role
15 at this meeting is to represent industry in general
16 and not any particular company. Dr. Rossert is
17 employed by AstraZeneca.

18 With regard to FDA's guest speakers, the
19 agency has determined that the information to be
20 provided by these speakers is essential. The
21 following interests are being made public to allow
22 the audience to objectively evaluate any

1 presentation and/or comments made by the speakers.

2 Dr. Bertram Pitt has acknowledged that he
3 has stock options in KBP Pharmaceuticals, Sarfez
4 Pharmaceuticals, and Relypsa/Vifor. He has also
5 acknowledged being a principal investigator or
6 co-investigator for Bayer, Sarfez Pharmaceuticals,
7 KBP Pharmaceuticals, and Relypsa/Vifor, and a
8 scientific advisor for Bayer, Sarfez
9 Pharmaceuticals, KBP Pharmaceuticals,
10 Relypsa/Vifor, and PhaseBio.

11 In addition, Dr. Pitt has acknowledged
12 receiving consulting fees from Bayer regarding
13 finerenone; KBP Pharmaceuticals regarding KBP-5074;
14 Sarfez Pharmaceuticals regarding spironolactone;
15 PhaseBio regarding an aldosterone synthase
16 inhibitor; and Relypsa/Vifor. He has also
17 acknowledged that he holds a patent regarding
18 site-specific delivery of eplerenone to the
19 myocardium.

20 Dr. Martin Rose is the founder and the
21 member of the consulting firm, Rose Regulatory
22 Consulting, LLC, which was established in February

1 2020. Dr. Rose has advised that his consulting
2 activities have been unrelated to spironolactone
3 and heart failure with preserved ejection fraction
4 in adults. His past and current clients include:
5 4D Molecular Therapeutics; Altimune; Asklepiion
6 Pharmaceuticals; AvroBio; Incarda Therapeutics;
7 Myocardia; Quark Pharmaceuticals; SC
8 Pharmaceuticals; Stealth Biotherapeutics; Triceda;
9 X4 Pharmaceuticals; and Hyman, Phelps and McNamara
10 PC.

11 Dr. Marc Pfeffer has acknowledged being a
12 principal investigator or co-investigator on a
13 completed research grant via subcontract to the
14 Brigham and Women's Hospital from the New England
15 Research Institute, which was supported by a
16 contract with the National Heart, Lung, and Blood
17 Institute of the National Institutes of Health.

18 He has also acknowledged being a consultant
19 to AstraZeneca; Boehringer Ingelheim and Eli Lilly
20 Alliance; Corvidia; DalCor; GlaxoSmithKline;
21 Novartis, Novo Nordisk; Peerbridge; and Sanofi. He
22 has also acknowledged that he owns stock options in

1 DalCor and Corvidia. In addition, he receives
2 research grant support from Novartis on topics
3 unrelated to today's presentation.

4 As guest speakers, Drs. Pit. Rose, and
5 Pfeffer will not participate in committee
6 deliberations, nor will they vote.

7 We would like to remind members and
8 temporary voting members that if the discussions
9 involve any other drugs or firms not already on the
10 agenda for which an FDA participant has a personal
11 or imputed financial interest, the participants
12 need to exclude themselves from such involvement,
13 and their exclusion will be noted for the record.
14 FDA encourages all other participants to advise the
15 committee of any financial relationships that they
16 may have with the firms that manufacture
17 spironolactone. Thank you.

18 DR. LEWIS: We will proceed with the FDA
19 opening remarks from Dr. Norman Stockbridge, the
20 director of the Division of Cardiology and
21 Nephrology.

22 Dr. Stockbridge?

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FDA Opening Remarks - Norman Stockbridge

DR. STOCKBRIDGE: Yes. Good morning. I want to thank the committee for participating in today's meeting. I also want to express my appreciation to the folks who are presenting the case today.

There is no application. We are considering the TOPCAT study, which was conducted by the NIH, and staff from the NIH and principal investigators Bert Pitt and Marc Pfeffer are here to assess. The assessment was conducted mostly by Jerry Liu and Martin Rose voluntarily and not part of their assigned work. Dr. Rose in particular has continued to work on this since his retirement from FDA a year ago, and my thanks to all of you.

Yesterday we discussed the case for approval in which the study did not formally reject its null hypothesis. The case today is superficially similar in that respect and perhaps with a similar indication. This time, however, the basis for giving consideration to the claim stems from the regional heterogeneity and results.

1 A few thoughts on this one. We adhere to
2 intention-to-treat principles and count every one
3 randomized, except when we don't. It is not
4 unusual to reject some of the data in a study.
5 Usually it's a site or a few sites at which the
6 conduct was bad, and usually, but not always, the
7 decision to exclude low-quality sites is made
8 before the formal unblinded. And of course,
9 usually it isn't entire continence being called
10 into suspect.

11 Two, in trials that were overall successful,
12 there is precedent for questioning whether foreign
13 data are applicable to the U.S., that is whether
14 the disease or care elsewhere is similar enough to
15 the U.S. Particularly noteworthy is the case of
16 ticagrelor in which we discounted the aberrant
17 results in the U.S. and approved its use with
18 concomitant therapy similar to that in the rest of
19 the world.

20 Thus, as discussed yesterday, we sometimes
21 consider persuasive the results from studies that
22 failed to reject the null hypothesis and sometimes

1 we discount the results in some sites. TOPCAT is
2 thus fundamentally not different from these cases
3 but does stretch the limits I think, and I'll be
4 interested in hearing your discussion today of this
5 matter. Thank you.

6 DR. LEWIS: We will now proceed with the
7 guest speaker presentations, immediately followed
8 by the presentation from the FDA.

9 Dr. Rose?

10 (No response.)

11 DR. LEWIS: Dr. Rose?

12 DR. ROSE: Thank you. I was just looking
13 for my mute button.

14 **Guest Speaker Presentation - Martin Rose**

15 DR. ROSE: Good morning, Dr. Lewis, other
16 members of the panel, Dr. Stockbridge, and other
17 participants from FDA. It's my pleasure and an
18 honor to be back at FDA in a virtual sense for the
19 first time since I left a year ago.

20 I am not seeing my slides on the screen.

21 Okay. Thank you.

22 DR. YU: Hi, Dr. Rose. This is Joyce. I'm

1 progressing your slides for you, but just give it a
2 minute. When I click next, you may see a delay.

3 DR. ROSE: Thank you. Okay.

4 DR. ROSE: Alright. You've heard about my
5 conflicts. We heard a lot about HFpEF yesterday.
6 Let's skip this slide.

7 Heart failure animal models have indicated
8 that MRAs like spironolactone can reduce
9 interstitial fibrosis, ventricular remodeling,
10 vascular oxidative stress, and improve endothelial
11 functions. These findings supported the TOPCAT
12 trial. The goal of TOPCAT was to assess the
13 effects of spironolactone on morbidity, mortality,
14 and quality of life.

15 TOPCAT was sponsored by NHLBI. It was a
16 double-blind, parallel, 2-arm, randomized-
17 controlled trial. It was conducted at 233 centers
18 in 6 countries, the U.S., Canada, Brazil, and
19 Argentina, which we'll call the Americas, and
20 Russia and Georgia, which will be called Eastern
21 Europe.

22 The study drugs were spironolactone versus

1 placebo. The spironolactone was a formulation made
2 specifically for the study by URL Mutual
3 Pharmaceuticals, which is no longer in business.
4 It was a 15-milligram tablet, so a lower dose than
5 the smallest tablet that's currently marketed,
6 which is 25 milligrams, and that was done because
7 it was thought that HFpEF patients shouldn't be
8 started at 25 milligrams a day.

9 The starting dose in the trial was
10 15 milligrams once a day. There was a forced
11 uptitration to 30 milligrams once daily at week 4
12 if certain safety parameters were satisfied, and
13 then an optional titration at month 4 to 45
14 milligrams daily with no further uptitration.

15 Here's the inclusion criteria. Patients
16 were men or women at least 50 years old with at
17 least 1 of 3 heart failure symptoms at
18 screening -- and they're up on this slide -- and at
19 least 1 of 4 heart failure signs in the last
20 12 months or at screening, and again, they're up on
21 the slide; and LV ejection fraction at least
22 45 percent per local reading obtained within

1 6 months prior to randomization and after any MI or
2 other event that might affect ejection fraction.

3 There was one additional criteria. Patients
4 needed to have one of these two characteristics.
5 They needed to have an elevated natriuretic peptide
6 level within 60 days before randomization. That
7 could be BNP greater than 100 picograms per mL, or
8 greater than or equal to, or NT-proBNP greater than
9 or equal to 360 picograms mL.

10 There were no special criteria for subjects
11 with atrial fibrillation, or in the alternative
12 they had to have at least one hospital admission in
13 the last 12 months for which heart failure was a
14 major component of the hospitalization. Transient
15 heart failure in the context of MI did not qualify,
16 and of course some patients had both. These are
17 the exclusions, serum potassium greater than or
18 equal to 5 in the last 2 weeks or
19 5.5 milliequivalents per liter in the last
20 6 months; untreated systolic blood pressure greater
21 than 140; and some other that are related to
22 safety.

1 Randomization was 1 to 1 and was stratified
2 by whether the patient qualified by previous
3 hospitalization or BNP elevation and was balanced
4 by site. If a patient met both the previous
5 hospitalization and BNP elevation criteria, they
6 were lumped into the previous hospitalization
7 stratum.

8 The primary endpoint was time to the first
9 event of the composite of CV death; hospitalization
10 for the management of heart failure, which we'll
11 call HHF; or aborted cardiac arrest. The final
12 analysis was a log rank analysis with no covariates
13 performed in the intent-to-treat population.
14 Endpoints were adjudicated blindly at the Brigham
15 in Boston. Randomization was stratified by which
16 stratum the patients were in, either the
17 hospitalization stratum or the BNP stratum. It was
18 also balanced across sites.

19 Actually, I've given all this. Let's go to
20 the next slide. Thank you. There was no evidence
21 that the study was event-driven. There were four
22 planned looks at the data, including three interim

1 looks. The final p-value after the three interim
2 looks was 0.0498.

3 These are the results. The study enrolled
4 patients from 2006 through early 2012 and followed
5 them to their first semi-annual visit in 2013. The
6 study ended as planned with no early termination.
7 3,445 patients were randomized and the mean
8 follow-up was 3.3 years.

9 This is the CONSORT slide for the trial.
10 Give me one second while I catch up with my notes
11 here. Lost to follow-up and missing vital status
12 data were similar in the treatment arms and
13 acceptable, but not as small as in some recently
14 completed CV outcome trials. Unknown vital status
15 was more than twice as common in Eastern Europe as
16 in the Americas. You can see that down at the
17 lower right.

18 It is noteworthy that the mean follow-up was
19 3.7 years in Eastern Europe and 2.9 years in the
20 Americas. That's a function of the extremely rapid
21 enrollment in Eastern Europe. You'll hear more
22 about that later. Because of the longer mean

1 follow-up time in Eastern Europe, the region had 55
2 percent of the overall person-years of follow-up
3 for study endpoints despite having only 49 percent
4 of the study subjects.

5 Here are the subject characteristics at
6 baseline, which were closely balanced in the two
7 treatment arms. Later we'll see these data broken
8 down by region, and there will be some notable
9 differences between the two regions. Here again
10 are disease-related characteristics at baseline,
11 and these, too, were closely balanced in the
12 treatment arms. There's nothing remarkable here.

13 These are the overall results. The top line
14 is the result for the primary endpoint. You'll see
15 that there was a lean in favor of spironolactone,
16 but the confidence interval crosses 1 and the p was
17 0.014. The various components of the primary
18 endpoint are shown below. There were trivial
19 numbers of aborted cardiac arrest and we're not
20 going to be talking about that anymore after this
21 slide.

22 The results leaned, again, in favor of

1 spironolactone for both CV death and
2 hospitalization for heart failure and were
3 nominally significant for hospitalization for heart
4 failure. Cumulative hospitalization for heart
5 failure was a secondary endpoint. You can see
6 there were lots of those, and there the results
7 were nominally significant for spironolactone with
8 a p-value of 0.03. The other endpoints were very
9 close to equal to the treatment arms.

10 Let's go to the next slide. These are the
11 regional results. This is the first time you've
12 seen them here. In the Americas, the result for
13 the primary endpoint was nominally significant with
14 a primary endpoint event rate of 10.4 versus
15 12.6 events per 100 patient-years or percent per
16 year. They're the same.

17 CV death favored spironolactone and again
18 was nominally statistically significant.
19 Hospitalization leaned in favor of spironolactone
20 and was nominally statistically significant, and
21 cumulative hospitalization for heart failure also
22 was nominally statistically significant for

1 spironolactone.

2 The results were very different in Eastern
3 Europe. The event rates were much, much lower.

4 You can see that the primary endpoint event rate
5 was about a fifth of the rate in the Americas and
6 went the wrong way and went in favor of placebo.

7 CV death also went the wrong way. Hospitalization
8 for heart failure went the right way, but all the
9 confidence intervals were wide and crossed 1.

10 These are data from the placebo arm of
11 published HFpEF trials, and I have up there the
12 placebo arm rates and other characteristics in
13 TOPCAT from the Americas and Eastern Europe. The
14 event rates are the bottom three rows in the chart.
15 You'll see that the other studies, I-Preserve,
16 CHARM-Preserved, and PEP-CHF, had event rates that
17 were more similar to TOPCAT in the Americas. There
18 are some issues in interpreting the data here
19 because the endpoints were not the same across all
20 of the studies and the way the data were presented
21 were not the same across all of the studies.

22 Hang on while I catch up with my notes.

1 In CHARM-Preserved, rates of CV death and
2 hospitalization are reported as percent with events
3 over a median of 36 months. So you can't precisely
4 translate that to events per 100 patient-years, but
5 if you divide by 3, that's a rough estimate. In
6 PEP-CHF, the event accrual period was a little over
7 2 years. So if you divide those numbers by 2, you
8 can approximate events per 100 patient-years.

9 As you can see, for CV death, you get about
10 3.8 percent in CHARM-Preserved for CV death, and in
11 PEP-CHF, you get a little over 4 and a half
12 percent. That's much more like TOPCAT from the
13 Americas, which is 4.9 and larger than Eastern
14 Europe 1.6.

15 For heart failure hospitalization, the
16 number for CHARM-Preserved translates to around 6
17 and the number for PEP-CHF translates to around 6.
18 Again, that's much closer to 9.7 than it is to
19 0.95. The results from TOPCAT in the Americas are
20 much more in line with the previous studies than
21 TOPCAT in Eastern Europe, and my screen just went
22 dark.

1 Can you folks still hear me?

2 DR. YU: Yes, we can hear you.

3 DR. ROSE: Okay.

4 DR. LEWIS: Yes, we can hear you.

5 DR. ROSE: Okay. Is this a local problem
6 for me?

7 DR. NISSEN: Just click on your screen, and
8 it'll probably come back.

9 DR. ROSE: Thank you.

10 DR. NISSEN: It's your screen saver.

11 DR. ROSE: Okay. Good. Thank you.

12 DR. LEWIS: Yes.

13 DR. ROSE: Excellent. I need to remember to
14 do that. Okay. Let me get back in here. Good.
15 Thank you.

16 Was that Steve Nissen who made a suggestion?

17 DR. NISSEN: It was indeed.

18 DR. ROSE: It was indeed. Thank you, Steve.

19 DR. NISSEN: It was indeed. Thank you.
20 Yes.

21 DR. ROSE: Alright. Let me bring that up
22 again. Next slide, please.

1 These are regional subject characteristics
2 at baseline in the trial. Of course, I'm trying
3 here to explain the regional disparities. This is
4 just TOPCAT that you're seeing. It's the Americas
5 versus Eastern Europe. The mean age in the
6 Americas was 72 versus 66 in Eastern Europe. That
7 age of 72 is more in line with previous heart
8 failure studies -- previous HFpEF studies. The
9 percent of patients with age over 75 is
10 considerably greater in the Americas than in
11 Eastern Europe. Again, advanced age, as you know,
12 is a characteristic of HFpEF.

13 Down at the bottom, you can see the stratum
14 data for the number of patients in each stratum.
15 The elevated NP stratum was 45 percent of subjects
16 in the Americas and 11 percent of subjects in
17 Eastern Europe. Hospitalization for heart failure
18 was 55 and 89. There were small differences in
19 blood pressure. There were modest differences in
20 eGFR, probably because the patients were older in
21 the Americas. There was a marked difference in
22 history of coronary disease, 45 percent of The

1 Americans versus 72 percent. There was a lot more
2 atrial fibrillation in the Americas than in Eastern
3 Europe, more diabetes -- these of course are
4 characteristics of patients with HFpEF -- and more
5 renal disease.

6 There was a history of myocardial infarction
7 in 32 versus 20 percent. I mentioned Eastern
8 Europe first. There was a very large disparity in
9 the percentage of patients with angina between the
10 Americas and Eastern Europe. Diuretic use was
11 higher in the Americas. Aspirin use was much
12 higher in Eastern Europe. Down near the bottom,
13 statin use was higher is in the Americas, but the
14 significance of that is unclear.

15 These slides, this is a series of slides
16 that looked at the pharmacodynamic effects of
17 spironolactone, which include hyperkalemia, or at
18 least increases in serum potassium, and increases
19 in creatinine and decreases in blood pressure.
20 These slides are shown to approximate or give a
21 hint as to whether people were taking their study
22 drug.

1 As you can see, in the Americas, which are
2 in red, there was a fairly prompt increase in
3 serum K in the spironolactone arm but not in the
4 placebo arm. In Eastern Europe, which is in blue,
5 the increase was blunted. They started at a higher
6 baseline over there possibly because they weren't
7 taking much diuretics in the Americas, but the
8 difference between drug and placebo is much lower
9 than in the Americas.

10 This is regional serum creatinine over time,
11 and you see the same pattern. The Americas start
12 out with higher levels of serum creatinine probably
13 because they tended to be a bit older, and as you
14 can see, creatinine goes up about a tenth of a
15 milligram per liter, and in Russia and Georgia,
16 there's a miniscule change.

17 The next slide is blood pressure versus
18 time, and again you would expect blood pressure to
19 go down in the spironolactone arm. And that's
20 exactly what you see in the Americas in red;
21 changes from baseline in the spironolactone arm and
22 in the placebo arm are similar in Russia and

1 Georgia

2 In these slides, those data suggest that
3 patients in Eastern Europe may not have been taking
4 their study drug, and it looks like that was fairly
5 widespread to produce those kinds of numbers on
6 those pharmacodynamic characteristics. This is a
7 table showing pretty much the same data, looking at
8 it a different way. These are time to events and
9 are odds ratios for various events.

10 So the data for the Americas are at the top
11 half of the slide and the data for Eastern Europe
12 are at the bottom of the slide. So a doubling of
13 baseline serum creatinine at some point during the
14 trial occurred at a rate of 6.8 percent per year in
15 the spironolactone arm versus 4.2 percent per year
16 for placebo with a hazard ratio of 1.6, which was
17 statistically significant.

18 Again, down below you can see serum
19 creatinine at 3.0 milligrams per dL at any time,
20 not so dramatic, and serum potassium greater than
21 5.5. Now this is at any time, 25 percent versus
22 8.9 percent with an odds ratio of 3.46, which was

1 highly significant, and serum K less than 3.5,
2 which you would expect to see less often in
3 treatment patients. That too was statistically
4 significant between drug and placebo in the
5 Americas. The next line is serum canrenone, less
6 than the lower level of detection.

7 There was a repository study. A small
8 percentage of subjects had frozen serum. What
9 you're seeing are the results of the serum
10 canrenone analysis in subjects who said they were
11 diligent in taking their study drug. This was only
12 a small subset of subjects. Serum canrenone is a
13 metabolite of spironolactone. It's very
14 long-lived. It should be detectable in the serum
15 of a patient for several days after their last dose
16 of study drug, so it's somewhat insensitive to
17 timing of the blood draw. All these patients said
18 they were taking their drug on a daily basis. In
19 the U.S., 2 out 76, or 3 percent, had undetectable
20 levels of canrenone.

21 If you go down to Eastern Europe, you'll see
22 that all of the hazard ratios for the various

1 pharmacodynamic effects have confidence intervals
2 that cross 1. There was no difference between drug
3 and placebo for any of these. Serum canrenone, 20
4 out of 66 subjects, or 30 percent, had undetectable
5 levels of serum canrenone and, again, these are
6 people who said they were regularly taking their
7 study drug. So these data reinforce the previous
8 data you saw, suggesting that there was a much
9 higher rate of non-compliance with study drug in
10 patients in Eastern Europe.

11 These are more or less the same data. Let's
12 skip this slide and this slide. Those
13 pharmacodynamics and pharmacokinetic data were
14 observed despite the fact that people in Eastern
15 Europe were taking nominally higher doses of
16 spironolactone than subjects in the Americas.
17 These are the data for mean daily dose in
18 milligrams at months 4, 8, and 12. You'll notice
19 over time that the mean daily dose falls in each
20 column here and that the placebo dose at any
21 particular month is always a little higher than the
22 spironolactone dose.

1 That's easy to understand. The dose,
2 regardless of which row you're looking at, is
3 higher for placebo than for spironolactone and
4 higher in Eastern Europe than in the Americas. So
5 despite having higher nominally doses, especially
6 at month 18, they had lower rates of showing the
7 spironolactone pharmacodynamic effects.

8 To summarize the effectiveness here, the
9 overall results in TOPCAT for the primary endpoint,
10 the composite of CV death, heart failure
11 hospitalization, or aborted cardiac arrest favors
12 spironolactone over placebo but did not meet
13 statistical significance in the primary endpoint
14 analysis, however, 49 percent of subjects were
15 enrolled at sites in Russia and Georgia.

16 The trial data indicate that results from
17 this large subgroup of patients, and hence the
18 study as a whole, would not be predictive of the
19 results of treatment with spironolactone in the
20 intended U.S. patient population because, at
21 baseline, subjects from the Americas were older and
22 more likely to have had the common risk factors and

1 complications associated with HFpEF than those from
2 Eastern Europe, and the former were also much more
3 likely to have been enrolled in the study on the
4 basis of elevated natriuretic peptides.

5 There was something I didn't tell you. At
6 an earlier point in the study, the DSMB became
7 concerned about the very low rate of events in
8 Eastern Europe. They had a meeting with NIH
9 leadership about two years into the study, which
10 resulted in a look at some of the hospital records
11 for the qualifying hospitalization in Eastern
12 Europe, specifically in Russia, by a
13 Russian-speaking physician at NIH. He or she
14 looked at 22 of those records, and in either 18 or
15 19 of them, it looked like the subjects were in the
16 hospital for coronary disease or for something
17 related to coronary disease rather than heart
18 failure.

19 So that's what this is referring to.
20 Anecdotal evidence from hospital records suggest
21 that some prior hospitalizations that supported
22 enrollment of Russian patients were for ischemic

1 heart disease, not heart failure. Baseline medical
2 history and medication information that you saw
3 indicate that there was a higher rate of ischemic
4 heart disease in Eastern Europe than in the
5 Americas.

6 Subjects from Eastern Europe had notably
7 lower rates of CV death and hospitalization for
8 heart failure during the study compared to subjects
9 in earlier heart failure HFpEF, but subjects from
10 the Americas had rates of these events that were in
11 line with the earlier trials. These points
12 strongly suggest that some or perhaps many patients
13 in Eastern Europe did not have the same disease as
14 patients in the Americas or in earlier heart
15 failure trials.

16 Pharmacodynamic data from the study and
17 limited PK information regarding canrenone from the
18 repository study indicates that the rate of
19 non-compliance with study medication was likely to
20 have been substantially higher in Eastern Europe
21 than in the Americas. Accordingly, the data from
22 Eastern Europe are less likely to be predictive of

1 the true effects of spironolactone in U.S. patients
2 with HFpEF than the data from the Americas.

3 Thus, only the data from the Americas can be
4 reasonably extrapolated to U.S. patients with
5 HFpEF, and these are the only data from the study
6 that should be considered relevant to our
7 evaluation of effectiveness and perhaps some
8 aspects of safety.

9 And again, I'm arguing that based on the
10 characteristics of the data, not on the address of
11 the study sites. I'm not suggesting that data from
12 Eastern Europe can never inform decisions about
13 whether drugs ought to be approved for use in the
14 United States. I'm saying that these data are not
15 predictive of the true effects of spironolactone in
16 U.S. patients. By these data, I mean of course the
17 data from Eastern Europe.

18 The data from the U.S., from the Americas,
19 indicates that spironolactone was superior to
20 placebo for the primary composite endpoint and had
21 beneficial effects on both time to the first heart
22 failure hospitalization and CV death, unlike the

1 data you saw yesterday where there was no notable
2 effect on CV death. Spironolactone also reduced
3 the rate of cumulative heart failure
4 hospitalization, including second and subsequent
5 hospitalizations during study period.

6 Moving on to safety and risk-benefit, I'm
7 not going to show you the safety data from TOPCAT
8 because they provided no new signals of harm for
9 spironolactone, a drug that's been on the market in
10 the U.S. since 1960 and already studied for HFrEF.
11 The safety information from the RALES study in
12 HFrEF are already in labeling.

13 Given the important nature of the benefits
14 of spironolactone in patients with HFpEF, the
15 benefits of spironolactone outweigh its risks. I
16 favor approval of a modified indication for
17 spironolactone that includes the treatment of
18 patients with HFpEF to prevent CV death and
19 hospitalization for heart failure.

20 I need to thank a number of people. I'm not
21 going to read all of these off, but they're from
22 FDA and two are from NHLBI. Robin Boineau has

1 moved along to another part of NIH since then, and
2 I'd like to thank the TOPCAT investigators, Bertram
3 Pitt, Marc Pfeffer, and Brian Claggett, who were
4 very helpful in my preparation of this
5 presentation.

6 In particular, I'd like to thank, from FDA
7 though, Norman Stockbridge and Mary Ross
8 Southworth. Norman was always very supportive of
9 this effort, which has gone on for over a year I
10 think, and Mary Ross likewise was extremely
11 supportive, and others at FDA are listed there as
12 well.

13 There are two names I left off here. One is
14 Alexis Childers, our project leader, or "the"
15 project leader at FDA. Sometimes I still say "our"
16 or "we" when I refer to FDA. Forgive me. I
17 haven't been gone that long; and also Joyce Yu,
18 from the advisory committee staff who was
19 terrifically helpful in the logistics of making
20 this presentation. Thank you, Joyce.

21 I think that's my last slide.

22 DR. LEWIS: Thank you, Dr. Rose.

1 Dr. Pitt?

2 **Guest Speaker Presentation - Bertram Pitt**

3 DR. PITT: Yes. Thank you.

4 Can I have my first slide? Yes, these are
5 my conflicts, which you've already heard. Let me
6 move on to the next slide.

7 Before I go further, I would really like, on
8 behalf of the TOPCAT investigators, to express our
9 appreciation to the committee and the FDA for
10 allowing us this opportunity, and especially to
11 Dr. Rose, who you just heard, who presented all of
12 the details and insights into the results.

13 Now, these are the primary results that
14 you've already heard of the overall TOPCAT, and
15 when we saw this, we were obviously quite
16 disappointed because we had, from prior knowledge
17 in HFrEF as well as the pilot studies in HFpEF, and
18 since then meta-analysis of spironolactone HFpEF,
19 the clues that spironolactone was effective in
20 reducing cardiovascular death and heart failure
21 hospitalizations. I'm going to be relatively brief
22 because Dr. Rose has done such an extensive job in

1 presenting the overall data, but I'd like to make a
2 few points.

3 This is just heart failure hospitalizations
4 that showed a significant overall benefit in the
5 trial. So despite all the difficulties that you
6 heard about from the regional difficulties, there
7 was a significant overall result for
8 hospitalizations.

9 Now, Dr. Rose mentioned that we stratified
10 patients on natriuretic and heart failure, and when
11 you look at the strata that was prespecified, where
12 there are objective criteria for heart failure, you
13 can see there was a highly significant reduction in
14 the overall endpoint with a hazard ratio of 0.65, a
15 35 percent reduction of events with a highly
16 significant p-value.

17 Now, the numbers are small, but nevertheless
18 this is the one objective criteria that we would
19 believe really signifies heart failure, but when we
20 look at heart failure hospitalization, there is no
21 really trend. The explanation from that, and I
22 think you can infer from some of the things that

1 Dr. Rose said, is differences related to regional
2 differences.

3 Now, we didn't understand this during the
4 trial, but subsequent to the trial, we've gotten
5 some further insight. It turns out that the
6 centers that were recruited in Russia and Georgia
7 for this trial, for the most part, were primary
8 care centers that didn't have experience in heart
9 failure. There were some, and there are some
10 excellent investigators I must say, but the
11 majority were groups that were involved in
12 hypertension, coronary artery disease, and had not
13 had prior experience with doing trials in heart
14 failure.

15 There were other things that we learned
16 subsequently. Many physicians in Russia and
17 Georgia earned their salaries, in part, by getting
18 involved in trials, so there's a certain incentive
19 to join the trials. And patients have an incentive
20 to join the trials because that is one of the ways
21 that they can get good care. So there is an
22 incentive both for the physician to get people into

1 trials and for patients to join trials.

2 And as you've heard in Russia and Georgia,
3 there were these marked discrepancies in baseline
4 characteristics that Marc Pfeffer is going to talk
5 about further, but we think that many of these
6 people did not have heart failure, and that is why
7 when we use heart failure hospitalizations in that
8 strata, we don't see anything, but when we use
9 objective criteria, we clearly do. So it is, at
10 least with the objective data, a very strong signal
11 that this drug is effective.

12 Now, several times during the course of the
13 trial, Marc and I asked the DSMB if there were any
14 major differences between regions, and we
15 unfortunately never got the information to make a
16 course correction because if we had known what you
17 saw a few moments ago, we would have early on in
18 the trial been able to make a course correction,
19 but we didn't learn this until too late, and we
20 couldn't make any course corrections.

21 So the data from Russia and Georgia,
22 although there was some very good sites and good

1 investigators, overall I think does not reflect
2 HFpEF and does not reflect the effect of
3 spironolactone in HFpEF. So I'm going to turn it
4 over to Marc, who is going to amplify further and
5 give you further details and understanding of what
6 we believe is really happening.

7 Marc?

8 **Guest Speaker Presentation - Marc Pfeffer**

9 DR. PFEFFER: Well, thank you, Bert, and if
10 I could have my slides.

11 I know you've spent two days on this topic.
12 I'm going to try not to be too redundant, but I do
13 have to paint a picture of how the whole thing
14 emerged, how heart failure with preserved emerged,
15 and then what you just heard from Dr. Rose, that
16 didn't just happen overnight, and it took a little
17 bit of digesting.

18 So I am here as an individual, as is
19 Dr. Rosen and as is Dr. Pitt. Now, I have to say
20 that Dr. Pitt and I have worked together on this
21 since before the trial started. Dr. Rose I just
22 met through my ranting and raving about how the FDA

1 should take a role in this. I couldn't understand
2 how a government-sponsored study doesn't get a
3 government-sponsored review, and of course it was
4 just my misunderstanding of the whole system. But
5 now I have to thank Dr. Rose for really taking its
6 part.

7 Why do we even care about an FDA indication?
8 Well, we do care because we think we have a therapy
9 that will help human beings. Obviously, the FDA
10 doesn't write prescriptions, but those initials,
11 F-D-A, mean integrity, and it would have a force if
12 they believe. And that's what this session is. I
13 honestly didn't know if the FDA would look at our
14 data and say, well, the Americas aren't that great
15 either, so we're open to that, and we're very
16 pleased to have the opportunity to have our data
17 voted.

18 On behalf of the three individuals, I want
19 to thank the FDA and I want to thank everybody
20 involved. I also want to thank -- before I even
21 start -- the NIH. It's only the NIH that could
22 take on this question of a drug that's selling for

1 pennies as an approval of 60 years ago, and it was
2 a contract to NERI, New England Research Institute.
3 So I'm very pleased to be here.

4 You heard my conflicts. Well, I have an
5 intellectual conflict, which is probably stronger
6 than any potential financial one. I of course
7 believe that what we found did change the practice
8 of medicine. Now, I can't rant and rave anymore.
9 Let's look at some data. Let's go on.

10 This thing called heart failure with
11 preserved, it didn't have that name, and actually
12 in the good old days, the syndrome was congestive
13 heart failure. You didn't need an ejection
14 fraction. It was just congestive heart failure.
15 In the mid-1980s, a very prominent nuclear medicine
16 lab said, "Why are all these doctors sending us
17 patients with a diagnosis of heart failure to
18 measure ejection fraction? We find about a third
19 of them don't have reduced ejection fraction. They
20 have basically normal, intact." One said normal;
21 one said intact. And it wasn't that the syndrome
22 wasn't there, it's in the mid-80s we're first

1 recognizing it.

2 Let me put that in the context. We only
3 started seeing treatment of this congestive heart
4 failure being associated with improved prognosis in
5 the late 1980s. And let's give credit to Dr. Cohn
6 and the CONSENSUS for V-HeFT, and the Veterans
7 Administration, and the CONSENSUS investigators,
8 Dr. Swedberg, his team. But it was only then, and
9 they didn't use ejection fraction. That was plain
10 old congestive heart failure.

11 I have SAVE and SOLVD there because we were
12 both at the FDA the same day. That's the beginning
13 of using ejection fraction. SOLVD said I want to
14 look at people who are symptomatic/ asymptomatic,
15 and you heard from Dr. Stockbridge that the
16 asymptomatic arm, which didn't have a survival
17 benefit, did get approval. And I'm putting SAVE up
18 because we put a number, an EF of 40, to treat
19 people with that to prevent heart failure.

20 Now, when those two got their indication,
21 physicians then had to look for ejection fraction
22 to say, "Okay, I should be using an ACE inhibitor

1 of people below 40." So it's a very operational
2 number. It's like blood pressure. We treat
3 certain blood pressures because we have data that
4 says treating this level of blood pressure is
5 beneficial for people. So it's a number that moves
6 around, and in the 1980s and '90s, it was 40.
7 Okay. That served us well, but all the studies
8 were looking at all-cause mortality. I know those
9 words aren't used anymore: mortality, survival.

10 Then in this CHARM, which is down at the
11 bottom, now we're starting to go into preserved. I
12 was part of CHARM. We were at the FDA. There was
13 no discussion of CHARM-Preserved because we didn't
14 hit the magic 0.05; we were 0.05 something. In
15 those days, you were studying mortality. CHARM was
16 a transition. It was one of the first programs
17 where it said, yes, we'll study all-cause
18 mortality, but for the components we're going to
19 look at the composite of CV death and
20 hospitalization for heart failure.

21 The field had advanced to say we don't only
22 have to change alive or dead, and it introduced the

1 term "preserved." It was the best definition we
2 had at the time and it was an operational
3 definition. That's how we found the people. The
4 diastolic mafia wanted us to call it "diastolic
5 heart failure," but we didn't have a way to
6 identify patients by diastolic heart failure.

7 So that's the beginning, and now further
8 efforts. You heard one yesterday, and we'll tell
9 you about TOPCAT today.

10 Well, the lessons from CHARM really carried
11 over. The number one lesson was that people with
12 the higher ejection fraction didn't die as
13 frequently as people with the lower. The event
14 rates are still much higher than the general
15 population and they're much higher than
16 hypertension and diabetes, but compared to lower
17 EF, the death rates were different.

18 We also learned very important lessons.
19 These patients are all studied at the same sites
20 and same time. The lesson was quality of life as
21 reduced. We did an important study of quality of
22 life led by Eldrin Lewis that said, "Ejection

1 fraction does not determine your quality of life."
2 So we had this large population, and you've heard a
3 lot about that. They do have higher event rates
4 than the normal population, than good old
5 hypertension risk factors, and they do have
6 morbidity/mortality.

7 Okay. Anytime you go to a medical brand
8 round -- this is a slide that gets a lot of
9 laughs -- no treatment, convincing data, a lot of
10 theories. It's ha-ha and let's move on. It's not
11 ha-ha for the people who have this disorder, but we
12 do need to find more robust data. That's for sure.

13 I'm going to take you back through history,
14 and we're going to walk through this together. I
15 think it's important because in 2013 when we opened
16 our books for the results of TOPCAT, we are a
17 committee, we're a large group, and presenting the
18 results and representing the group, you have to
19 have consensus. And in 2013, even internally,
20 between the investigators at the National Heart,
21 Lung Institute, NERI, the Brigham and Women's, and
22 Dr. Pitt, we didn't have a clear consensus. I was

1 going one way, but I'm representing the whole
2 group.

3 So here's what we said in 2013, representing
4 all parties as we first learned about our data.
5 I'm going to go through this very quickly, and I'm
6 going to try not to have the redundancies that we
7 had. You've heard this. You've seen it if you're
8 an investigator, "Okay. Oh. Yes, it's probably
9 something here, but that's it." We didn't make our
10 primary. I know the rules. I've sat on your side
11 of the table, too, and I certainly have my
12 integrity. How far can I push this? There it is.

13 Okay. Let's look at the components. You're
14 allowed to do that. Let's look at the components,
15 and we said didn't make it on the primary. Really,
16 you have to take the rest as exploratory. How am I
17 going to divide that p of 0.05? How many ways can
18 I divide it? But let's take a look and, yes,
19 hospitalizations for failure had a nominal value
20 but of course the primary was not significant, and
21 the hierarchy wouldn't allow us to go down there.

22 We had the CHARM experience, and I think

1 this is one of the first where we're saying let's
2 look at subsequent hospitalizations. And when we
3 did that, the difference was more apparent but,
4 again, this is not prespecified. This is in our
5 primary analysis but there's something going on
6 here to help patients, but you can't really be
7 definitive.

8 So here's the conclusion in 2013, and I
9 think it was the right conclusion. We did not
10 alter the primary. We did observe reductions in
11 hospitalization. And this last point I want to
12 bring up very carefully because I think Dr. Pitt
13 and I have been very clear about this. If you
14 choose to use this therapy, you must monitor serum
15 potassium and creatinine. If I understate that as
16 we move forward, I shouldn't. We're very clear
17 that this drug requires monitoring. So if you want
18 to use this based on the information I have, you
19 have to be careful.

20 Now, I'm saying it that way because it's in
21 the absence of any other data, more definitive
22 data. So in 2013, I made this statement

1 representing the whole group because we felt this
2 is the best we have available. I got to present.
3 Dr. Pitt was the first author on the paper. We
4 knew this regional problem right then and there.
5 We couldn't put it in the paper, but we did put it
6 in the appendix.

7 So I'm showing you our appendix and your
8 briefing book, table 7, which I'd like to go over
9 this for a second. This is the prespecified
10 adjusted model, and you can see we take the
11 0.13/0.14 down to 0.06.

12 Now, with the next slide I'm going to go one
13 step further, which is not prespecified because we
14 didn't know we were going to see this regional
15 thing, and I just want to show you the magnitude.
16 This is your briefing book. It is in our first
17 publication in the appendix. I'm delighted that
18 every number I showed to the decimal point, it's
19 the same as the FDA briefing book, and I thank
20 Brian Claggett for that. But if you add that one
21 adjustment, just add the adjustment, then all the
22 results, we now have a significant reduction in the

1 primary.

2 But let's look at how big that region is.
3 That's a four-fold difference. But I make a point
4 for those of you who are the purists. I'm only
5 asking you to go one step. Take the whole
6 population, make that adjustment, and you still
7 have a significant reduction in the primary
8 outcome.

9 Let's go to the next step. So now one year
10 later, in one year, more of the group is convinced.
11 It takes a while. And I'm showing the authors here
12 on purpose because now signing on with this with
13 Dr. Pitt and myself are every country leader and
14 the leaders of NERI and the National Heart, Lung,
15 and Blood Institute. NERI was most resistant to
16 this because it was the trough, but now by one year
17 later, everybody's on.

18 I'm going to show you now the data, most of
19 which you've seen but giving you my twist. Thank
20 you. This was what I learned day one, and this
21 slide has nothing to do with spironolactone. Let
22 me say that again. There's no spironolactone on

1 this slide. This is just asking placebo patients.

2 And by the way, I don't use the word
3 "Eastern Europe" because I don't want to put other
4 countries that weren't even involved. I'm very
5 specific, Russia and Georgia, and I'd like to be
6 more specific, and here are the sites in Russia and
7 Georgia because it's not even all of Russia and
8 Georgia. But from now on I'm going to use
9 Russia/Georgia. And by the way, when we started
10 the trial, Russia and Georgia were one country.
11 There was a war that happened in the middle of this
12 trial.

13 In what we call the Americas, you see this
14 event rate and you see the multifold difference.
15 If you take any trial and divide it by countries,
16 somebody's at the high end, somebody's at the low
17 end, 10-15 percent, 20 percent; you will not have
18 3-, 4-fold differences. There's something wrong.

19 I want to use this slide for another second
20 here to say why we only had six countries, and this
21 is a very important part. I have friends. You
22 heard many of my friends yesterday. I have

1 international friends. Dr. Pitt has International
2 friends. They were willing to work with us for a
3 low-cost generic. They weren't going to be paid a
4 lot. We could not get our Western European
5 colleagues and our Scandinavian friends in because
6 the drug is generic, and there was no sponsor to
7 accept it at the other side of the border. So I
8 just throw that in as an aside.

9 Could I have the next slide? Okay. The
10 difference in the primary, the p-value here is
11 stopped at 1 in a thousand. We could go further.
12 It's every outcome we looked at, and it's not
13 10-20 percent. It's going to be 3-, 4-, 5-fold
14 differences. This is now in both groups
15 irrespective of treatment.

16 Let me go to the next slide. I want to show
17 you something here. When I look at a trial, I
18 asked myself, how sick are the patients? Well,
19 just go to the mortality. I don't need adjudicated
20 responses; just who lives, who dies. Well, in the
21 four countries we call the Americas, the death, the
22 95 percent confidence interval from every single

1 one overlapped. In Russia and Georgia, 95 percent
2 confidence interval of the deaths don't overlap
3 with any of the countries in the Americas. So they
4 were totally different.

5 I'll even point out here the Georgia
6 population was even lower than Russia and no
7 overlap in their 95 percent confidence interval.
8 So I'm not giving you any statistics here, but I'm
9 just showing you how different mortality was and of
10 course the primary endpoint.

11 You heard Dr. Rose. This is adjusting for
12 follow-up, and I'm showing you very prominent
13 trials in hypertension/diabetes all had 30,000
14 people with hypertension plus other risk factors.
15 If you ask about hospitalization for heart failure,
16 well, it's about 1 percent a year after. If you
17 take a population selected for heart failure, it's
18 multifold higher, except Russia and Georgia. They
19 were just like good ole hypertension/diabetes risk
20 factors. I'll point out HYVET. That is people
21 over 80 with a systolic blood pressure over 160.
22 Yes, they're a sick population, but heart failure,

1 if you have the diagnosis of heart failure, you
2 have multifold chances of coming back with that
3 diagnosis again.

4 I'm not going to do what Dr. Rose did. He
5 did it so well. All I'm going to say is the reason
6 we have 38 variables, I just picked what we showed
7 in table 1 in the New England Journal and said,
8 "Okay. Now let's look at it this way," and 34 of
9 38 are different. 34 of 38 were different; some
10 are the same. Ridiculous how different they were.

11 You heard about the follow-up. The
12 follow-up was longer because they randomized so
13 fast. They were paid per; randomized that fast. I
14 was beating up on all of my friends, "How come
15 you're not -- Marc, don't you understand with your
16 inclusion/exclusion, if somebody has hypertension
17 and not treated, we have to take them out? If
18 somebody has renal function, we have to take them
19 out? It's not as easy as you think." But despite
20 that, we still did get a large number.

21 Now, another point. If you randomize
22 someone to placebo or active therapy and you're

1 uptitrating blinded, don't be surprised if you get
2 to a higher dose level with placebo, which is
3 exactly what happened in the Americas and didn't
4 happen in Russia and Georgia.

5 Okay. I told you prognosis. Dr. Rose told
6 you prognosis. I told you patient population. He
7 showed you pharmacologic responses of
8 spironolactone. I kept asking Dr. Pitt, "Bert, do
9 you need to have heart failure to have these? Even
10 if you have hypertension, won't you get the
11 changes?" "Sure, you'll see the pharmacology of
12 spironolactone in any human being." So let's take
13 a look.

14 There's only a couple I want to do here in a
15 little more detail. Hyperkalemia, well, you
16 randomize someone to spironolactone, 3-fold more
17 people will have a serum potassium greater than 5.5
18 over the course of time. It does require
19 monitoring. You have to watch. In Russia and
20 Georgia, we didn't see that at all. Dr. Pitt kept
21 telling me, "Marc, one of the advantages is we'll
22 have less people having hypokalemia." He's right.

1 You have half the chance of having hypokalemia; not
2 so on Russia and Georgia. So this is the whole
3 population.

4 Now, I'm going to ask you to remember this
5 number. I'm looking at a different slide. I'm
6 sorry. Thank you. You know what? I apologize.
7 I've been looking at the different slide. This is
8 the 3-fold more hyperkalemia and the half hypo, and
9 I'm going to ask you to remember the 0.3 overall
10 change, and I apologize.

11 Can I have the next slide, please? It's not
12 the first talk I've given, but it's probably one of
13 the most important ones. Doubling of creatinine.
14 Now, I actually didn't know that spironolactone had
15 that much of an impact, but here it is, large
16 numbers of people, a 60 percent higher chance of us
17 showing a doubling of your creatinine if we monitor
18 you.

19 Now, that was a concern, and our DSMB, who I
20 thank very much, said, "Boy. You better make sure
21 no one has an increase over 3, and you better cut
22 back on the dose, and you better monitor after

1 every dose," and we did. And because of that, we
2 can say we didn't hurt people's kidney function
3 more than placebo. And that's another important
4 safety feature, use this drug; you must monitor.
5 We had no greater increase in numbers of people
6 going on to dialysis than placebo; no action,
7 Russia/Georgia

8 I bring this up. This is the way we
9 presented it. The only difference here in the
10 presentation at the American Heart is I added a
11 little bracket after the interaction, and I'm
12 telling you where it is on your page 25 26 because
13 people are making a note out of that
14 non-significant interaction, so let me explain
15 that.

16 If you look at the confidence interval in
17 Russia and Georgia about the drug effect for the
18 events, it's from 0.7 something to 1.5 something,
19 so wide that I can't show you an interaction
20 looking at the confidence interval versus the
21 Americas. The absence of an interaction doesn't
22 mean there's not an interaction. If you want an

1 interaction, we have less than 0.01 for every
2 pharmacologic action of spironolactone, less than
3 0.001, and we probably could have had more decimal
4 places but Brian wouldn't let me. But the absence
5 of this interaction doesn't mean that the drug
6 didn't behave differently. I think Dr. Rose showed
7 you and I showed you how differently the drug did
8 behave.

9 Here are the outcomes. The primary is now
10 in the Americas. The confidence interval, upper
11 limit less than 0.99; CV mortality less than; and
12 everything less than with a lot of events for total
13 hospitalization less than. So I'm showing you
14 that, and that's your table, and this is what I
15 want you, if you agree with me, to focus on.

16 Differences in patients, prognosis,
17 response, and this is the conclusion of the second
18 presentation, now the second time at the American
19 Heart. Now I am saying randomization of
20 spironolactone reduced CV deaths and
21 hospitalizations in patients, so I'm emboldened
22 now. I'm going further. I'm going beyond the

1 data, but it says "post hoc analysis by region,"
2 not enough to convince many people but certainly
3 something to share with the world.

4 So now we're in 2017, '16 actually. My good
5 friend, Dr. Jean Rouleau, who was with us from the
6 very beginning, and not for any money -- "Marc, if
7 you want to do this, we'll do it. We'll get the
8 Canadian sites" -- he was kind enough to run a
9 repository in addition to all those other things,
10 and the Montreal Heart had the blood. We didn't
11 have a lot. We had 206 something from the U.S. and
12 no samples from Georgia, Argentina, and Brazil.

13 So I said, "Jean, it's time we should do
14 something. Do you want to measure troponin? Do
15 you want to look for --" he said, "Marc, what are
16 you talking about? We are going to measure
17 canrenone." So I thank him for that, and let me
18 show you what he showed you. You heard a little
19 this, but let me do it my way.

20 One of his young investigators did all this
21 work, Simon de Denus. The first thing, we're only
22 talking about people whose case report forms said

1 they're taking the drug, and let's look at the dose
2 response; those who told us in the Americas they're
3 taking 15, and here's their level; those who said
4 they're taking 45 higher; and those 30 in between.
5 Do the same thing with the few samples we had from
6 Russia, and we're only talking about those they
7 told us were taking the drug and those they told us
8 were taking 45-30, and there's no dose-response.

9 The next is even more telling, and you heard
10 this. This is with zero detection in people that
11 the case report form said they're taking it. And
12 our confidence interval at the lower limit is very
13 sensitive, so if you have it in your blood, we're
14 going to detect it. And yes, there are 3 percent
15 of the patients in the U.S. and Canada who said
16 they're taking it and we can't detect it, 10-fold
17 more, from the good sites in Russia that are
18 sending us the samples.

19 Here's one that you didn't see. If
20 canrenone was measured in your blood, if it was in
21 your blood, we then went back and said was the
22 potassium changed from baseline? Now, I don't care

1 what country you come from. If canrenone's in your
2 blood, there's a 0.3 rise in your serum potassium.
3 If canrenone is not in your blood, we don't see
4 that.

5 So that 0.3 I ask you to remember. That's
6 what we got in all of the Americas. This is a
7 sample of some of the blood from some of the sites,
8 but in all the Americas we got 0.3. In RALES we
9 got 0.3, and in the human beings, where we know you
10 had canrenone in your blood, have a 0.3.

11 I'm coming towards the end, but I want to
12 say now the next step. I think the blood levels
13 let us say we have false reporting. That's hard to
14 say. That's harsh. I could be even harsher, but I
15 think now I can say false reporting; no trials
16 without flaws. I have all the experiences of I
17 wish we did this.

18 What I'm not talking about here is a flaw.
19 I'm talking about serious misconduct. So really
20 we're talking about a cancer, a cancer that has
21 clear margins. The margins are Russia/Georgia that
22 warrant censoring their data. Then you have the

1 question, is he right? Can we censor? What are we
2 left with if we censor Russia and Georgia? Well,
3 we're left with human beings who have the syndrome
4 and who, for the most part, took the drug.

5 So now I'm in emboldened. I'm emboldened.
6 I'm not even showing Russia and Georgia, and I'm
7 going to be talking about the patients from the
8 Americas. Do we have enough information? Well, we
9 have 522 people that had at least one adjudicated
10 event. Let's take a look at that. Okay. Here's
11 what we've presented.

12 We said if we just look at the Americas, we
13 have what I believe is a reduction in the primary
14 and what I believe was due to both components, CV
15 death and hospitalization for heart failure, and
16 I'm going to do something I've never done, p-values
17 on that. I've always said it's a post hoc, but
18 here, the p-values, adding that. The FDA's review
19 of this would embolden me to do that.

20 Why is that important? Well, we're
21 individuals. We are sickened and saddened that the
22 unscrupulous behavior of some people that we can

1 identify is not allowing more broader use of a
2 morbidity/mortality-saving generic therapy for a
3 large segment of people with heart failure. It's
4 sad, but let me go to the next slide.

5 Here is something from your former
6 commissioner and my friend, a highly respected
7 colleague, and I think it's a very important point
8 for all clinical trialists. We the clinical
9 trialists don't drive what happens. We give you
10 the data, and it's for Dr. O'Connor to figure out
11 do I have enough here; what were the warts; and
12 societies; and the regulators; and payers. If we
13 had the FDA, if you agree and you say, "Yeah, I
14 think there's something here for human beings,"
15 that's how you improve public health.

16 So Dr. Pitt and I standing on our platform
17 yelling to do this doesn't have the same clout as
18 those three initials, F-D-A and the integrity of
19 the FDA. That's what you're going to ask, "Are you
20 going to put your stamp on this?" If you do, that
21 will be translated into improved use and improved
22 public health. So that's why we're here today, to

1 ask you whether you consider what we just said a
2 reasonable thing.

3 I ended up in 2014 by thanking the
4 committees and I thanked all the national leaders.
5 I'm going to end up in 2020 on my next slide, and
6 my last slide, by adding to that, thanking the FDA
7 for this independent review. And I also took out,
8 in red, the Russian/Georgia national leaders. I
9 note I don't thank them, and I really don't. And I
10 think we're saddened that human beings were not
11 helped because of what happened there. But if we
12 can now make amends and do that, I think we'll be
13 promoting public health.

14 So thank you, FDA; thank you, Julia and your
15 committee; and much appreciated. That will be my
16 last slide.

17 DR. LEWIS: Thank you, Dr. Pfeffer.

18 We will now proceed with the presentation.

19 Dr. Liu?

20 DR. LIU: Can you hear me? Hello?

21 DR. LEWIS: Yes, we can. We can hear you.

22 DR. LIU: Alright. Thanks.

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FDA Presentation - Ququan Liu

DR. LIU: Good morning, everyone. My name is Ququan Liu, and today I will make a short presentation to share our interpretation of the study from a statistical perspective. The details of the study were described in the earlier presentation. My presentation will focus on discussion of statistical issues in the study.

First, I would like to acknowledge the FDA review team. Here are the issues we see in the trial. The TOPCAT trial did not meet its prespecified success criterion for the primary endpoint. The subgroup analyses favored spironolactone over placebo in the Americas. Notable heterogenous treatment effect was observed in subgroups of randomization stratum, regions, baseline ejection fraction, and body mass index.

There are major differences in the baseline demographic and disease-related characteristics in two regions. There were also major differences in the primary event rates and cumulative heart failure hospitalization event rate in two regions.

1 These observations led to the discussion on whether
2 the Americas result in TOPCAT can support a claim
3 on spironolactone for heart failure with preserved
4 ejection fraction. While there may have a
5 clinically plausible explanation for the regional
6 disparity, there are reasons to be skeptical. I
7 will discuss them in my presentation.

8 There was no precedent of excluding a whole
9 region with half of the study population. If
10 spironolactone is approved for this indication,
11 this might set a precedent on what is considered
12 substantial evidence for approval. We would like
13 to seek the committee members' input on whether
14 TOPCAT can support the approval of spironolactone
15 in treatment for heart failure with preserved
16 ejection fraction.

17 Here is a brief recap of the study. The
18 TOPCAT trial was to evaluate the efficacy of
19 spironolactone in patients with heart failure with
20 a preserved left ventricular ejection fraction.
21 Study participants were recruited at 233 sites in 6
22 countries. According to the study protocol, 3,515

1 subjects were to provide 80 percent power to detect
2 a 20 percent relative reduction, assuming the
3 3-year event rate of 17.4 percent in the placebo
4 group. The trial randomized 3,445 of subjects and
5 671 of them had confirmed primary events. The
6 primary efficacy endpoint is a composite endpoint
7 of CV death, aborted cardiac arrest, or
8 hospitalization for heart failure adjudicated by
9 the clinical committee.

10 This is the main result of the primary
11 efficacy analysis. 1722 subjects received
12 spironolactone and 1723 subjects received placebo.
13 320 subjects in the spironolactone group and 351
14 subjects in placebo had primary events. The hazard
15 ratio is 0.89 with a 95 percent confidence interval
16 of 0.77 to 1.04. The p-value from a log rank test
17 is 0.14.

18 According to the final version of the
19 protocol, there were 19 prespecified subgroup
20 analyses. The figure here shows the results of
21 this subgroup analysis. The forest plot is
22 difficult to read, and we will take a closer look

1 at the four separate groups with a notable
2 difference on treatment effect in the next slide.

3 Among 19 prespecified subanalyses, notable
4 differences on treatment effect was observed in
5 four subgroups. They are randomization stratum,
6 region, ejection fraction, and body mass index.
7 Patients enrolled based on elevated BNP; patients
8 with lower ejection fraction; patients with higher
9 body mass index; and patients in the Americas
10 seemed to benefit from the treatment. Patients
11 enrolled based on prior hospitalization for heart
12 failure; patients with higher ejection fraction;
13 patients with low body mass index; and patients in
14 Eastern Europe seemed to show no benefit from the
15 treatment.

16 The p-value for interaction in the
17 randomization stratum group is 0.01. The p-value
18 for the other three interactions ranged from 0.08
19 to 0.12. Out of 19 prespecified subgroup analyses,
20 three of the subgroups showed at least the same
21 level of disparity as the region. The subgroup
22 analyses were not adjusted for multiplicity.

1 This slide shows the subgroup analysis by
2 region and randomization stratum. The top table is
3 the subgroup analysis of the region. Treatment
4 benefit of spironolactone was only shown in the
5 Americas with a hazard ratio of 0.82 and a
6 95 percent confidence interval of 0.69 to 0.98.
7 However, the treatment benefit was not shown in
8 Eastern Europe with a hazard ratio of 1.1 and the
9 95 percent confidence interval of 0.79. to 1.51.

10 The bottom table shows the subgroup analyses
11 of randomization stratum, which was discussed in
12 many published papers. Patients were stratified at
13 randomization by whether they qualified with a
14 history of heart failure hospitalization or BNP
15 elevation. This randomization stratum has a
16 significant interaction with treatment. The
17 p-value is 0.01. Spironolactone showed no
18 treatment benefit in the subgroup of patients
19 qualified with a history of heart failure
20 hospitalization. The hazard ratio is 1.01 with a
21 95 percent confidence interval of 0.84 to 1.21.
22 Patients qualified by BNP elevation were mostly in

1 the Americas and seemed to benefit from
2 spironolactone. The hazard ratio is 0.65 with a
3 95 percent confidence interval of 0.49 to 0.87.

4 This slide shows the patient enrollment by
5 randomization stratum by hospitalization for heart
6 failure or BNP elevation. From the plot on the
7 left side, you see that, overall, 72 percent of
8 patients were qualified for the study by a history
9 of hospitalization for heart failure. Less than
10 30 percent of patients were enrolled based on
11 elevated BNP.

12 Within the Americas, approximately half of
13 patients were enrolled based on the history of
14 heart failure hospitalization and half of patients
15 based on elevated BNP level. Within Eastern
16 Europe, the majority of patients were enrolled
17 based on a history of hospitalization for heart
18 failure at approximately 89 percent.

19 The plot on the right shows that among
20 patients qualified by a history of hospitalization
21 for heart failure, 60 percent of patients were in
22 Eastern Europe. Among patients that qualified by

1 BNP elevation, 81 percent of patients were in the
2 Americas and only 90 percent of patients were in
3 Eastern Europe.

4 This slide shows the subgroup analysis of a
5 primary endpoint by randomization stratum in
6 region. The first two rows in the table shows the
7 treatment effect by randomization stratum in the
8 overall population; the middle two rows are
9 treatment effect by randomization stratum in the
10 Americas population; and the last two rows are the
11 treatment effect by randomization stratum in the
12 Eastern Europe population.

13 There is a lack of treatment effect in
14 patients qualified by history of hospitalization
15 for heart failure. That is shown in the overall
16 population and in both regions indicated by the red
17 font in the table. The rate of primary events in
18 the placebo group were very different between two
19 regions. The primary event rate in the Americas is
20 much higher than the rate in Eastern Europe,
21 36 percent in the Americas and only 8.7 percent in
22 Eastern Europe.

1 This is one of the reasons that undercuts
2 the applicability of the data from Eastern Europe.
3 However, if we look at the patients enrolled by
4 prior hospitalizations for heart failure in the
5 Americas, they show no benefit from the treatment
6 even though these patients in the Americas had a
7 much higher event rate than those in Eastern
8 Europe, and they had very different baseline
9 characteristics. This seems contradictory to the
10 hypothesis that Americans and Eastern Europeans are
11 different populations and therefore led to
12 different outcomes. The treatment benefit in the
13 Americas was [indiscernible] by patients enrolled
14 based on elevated BNP.

15 Region of Americas consists of four
16 countries from two continents and seemed to have a
17 heterogeneous population within the region itself.
18 This table shows the populations by continent.
19 North America includes the USA and Canada. South
20 America includes Argentina and Brazil. Eastern
21 Europe includes Georgia and Russia. The primary
22 event rate in the second column in the table is the

1 percentage of events and the third column is the
2 incidence rate in cumulative hospitalizations for
3 heart failure.

4 As shown in the table, there were
5 differences on the primary event rate and incidence
6 rate for cumulative hospitalizations for heart
7 failure among three continents. North America has
8 the highest rate, South America has the
9 intermediary rate, and Eastern Europe has the
10 lowest rate. There are differences in patients'
11 baseline and disease characteristics between North
12 and South America such as age; heart failure class;
13 diabetes at baseline; body mass index; baseline
14 ejection fraction; baseline systolic blood
15 pressure; history of prior MI; and use of
16 [indiscernible]. So Eastern Europe should be
17 excluded due to the heterogeneous population from
18 the Americas. The difference between South America
19 and North America may also be a concern.

20 Here is how we interpreted the TOPCAT trial
21 results. Analysis on the primary endpoint was not
22 significant. The hazard ratio for the primary

1 analysis in the overall population is 0.89. The
2 p-value is 0.14. Further testing, including
3 subgroups, inflates the type 1 error rate. Tests
4 for interaction between treatment and region has a
5 p-value of 0.12. There is insufficient evidence to
6 conclude that two regions are different enough such
7 that the overall results that the primary endpoint
8 was not significant should not be considered.

9 A nominal p-value for the primary endpoint
10 in the Americas was 0.26 without correction for
11 multiplicity. Subgroup analyses do not carry the
12 same level of evidence as a prespecified hypothesis
13 that the study was designed to test. Patients
14 qualified by a previous hospitalization for heart
15 failure in both regions seemed to show no treatment
16 effect.

17 This is contradictory to the explanation
18 that different populations between two regions led
19 to different outcomes. Patients qualified by BNP
20 elevation were mostly in the Americas and seemed to
21 benefit from spironolactone, however, the BNP
22 stratum constitutes less than only 30 percent of

1 the total population.

2 Conclusions based on the result in this
3 stratum need confirmation. Subgroup analysis can
4 be difficult to interpret. There are many
5 differences in patient baseline characteristics
6 between the Americas and Eastern Europe, and such
7 differences might confound the results, therefore
8 we should not overinterpret any subgroup results.

9 Here is our conclusion. The review
10 presented here is from the Office of Biostatistics.
11 These are the group analyses that show the
12 considerable difference between regions. However,
13 this was not a hypothesis that the study was
14 designed to test for, and therefore does not carry
15 the same level of evidence as the prespecified
16 hypothesis.

17 There may exist some clinically plausible
18 explanation to the regional disparity, however, it
19 is difficult to conclude that the treatment is
20 effective for the U.S. population based on this
21 single study. The statistical review team does not
22 consider that TOPCAT has provided sufficient

1 evidence to support the conclusion that
2 spironolactone improves outcomes in patients with
3 HFpEF. Thank you for your attention.

4 **Clarifying Questions**

5 DR. LEWIS: Thank you.

6 We will now take clarifying questions for
7 all presenters. Please use the raised-hand icon to
8 indicate that you have a question and remember to
9 clear the icon after you've asked your question.
10 When acknowledged, please remember to state your
11 name for the record before you speak and direct
12 your question to a specific presenter if you can.
13 If you wish for a specific slide to be displayed,
14 please let us know the slide number if possible.

15 Finally, it would be helpful to acknowledge
16 the end of your question with a thank you and the
17 end of your follow-up question with, "That is all
18 for my question," so we can move on to the next
19 panel member.

20 Dr. Emerson?

21 DR. EMERSON: Thank you. This is Scott
22 Emerson, and I have several questions that are

1 going to be -- I guess the statistical reviewer for
2 the FDA might be most prone to this.

3 Just to give a little bit of preface. The
4 only subgroup that I'm going to consider is the
5 same subgroup we went with yesterday, which is
6 given that this is an approved drug, how do the
7 people with ejection fraction at the lower
8 end -- so I'm very much questioning the threshold
9 that's used for HFpEF rather than HFrEF. And just
10 as we largely said yesterday, we didn't think that
11 the HFrEF threshold should be down at 45 percent.

12 So now, could I see the slide of all the
13 different subgroups? That pertains to, I guess,
14 figure 4 in the briefing book. While we're trying
15 to get up that slide, I'll also note that we of
16 course saw data yesterday that the sponsor was
17 using, the MRAs, as an example of support for why
18 we should believe that Entresto was behaving the
19 same way.

20 I'm looking for the really big one where it
21 had the ovals on it. I'm sorry. This will have
22 it. The point is that's less than the median, and

1 the median in this study was 56, very similar to
2 the 57 that we saw yesterday, and we're seeing
3 basically similar results. So this is the subgroup
4 that I am particularly interested in, but I also
5 just want to talk about the slide that pertains to
6 table 8, which is the slide of the adjustment 1 and
7 adjustment 2 of the events.

8 Do we have that slide? Maybe we'll have to
9 go to Dr. Pfeffer's to get that slide. I
10 apologize. It might have been Dr. Pfeffer's slide
11 24; I don't know. At any rate, it is figure 8 in
12 the briefing book; table 8 in the briefing book I
13 should say.

14 DR. NISSEN: Yes, you're talking about the
15 adjusted analysis.

16 DR. EMERSON: Exactly.

17 DR. NISSEN: Yes.

18 DR. EMERSON: So can we see that slide?

19 DR. YU: Hi, everyone. This is Joyce, the
20 DFO.

21 Dr. Emerson, while we try to find the slide,
22 if everyone following you could try to be a little

1 more specific with the presenter and the slide
2 number, that would be really helpful for us while
3 we're trying to look through everything.

4 DR. EMERSON: I apologize. I'm at a slight
5 disadvantage this morning. Where is the table that
6 you gave the adjusted analyses? I was wrong on
7 slide 24, obviously.

8 DR. LIU: I think it's probably slide 13.

9 DR. EMERSON: This is great. This is it.

10 DR. LIU: Right? Yes.

11 DR. EMERSON: Yes, this is it.

12 I need to explain a couple things here, and
13 I apologize if everyone is intimately familiar with
14 survival analysis, but I'm going to explain what
15 we're seeing here. The unadjusted hazard ratio
16 estimate is 0.89. We do adjustment 1, which was
17 prespecified as an exploratory analysis. The
18 hazard ratio is 0.87. We note that in the
19 adjustment we have several measures that are
20 correlated with what region it is, and that when we
21 add in region, we get a hazard ratio of 0.85.

22 Now, these differences in these hazard

1 ratios are not attributable necessarily to
2 imbalances in randomization. Instead, when you
3 have a variable that is strongly prognostic of
4 outcome and you do not adjust for it, that
5 attenuates the treatment effect that you would have
6 seen if you adjusted for this prognostic variable.
7 And as we see here, the region is highly
8 prognostic, very highly prognostic, and this is
9 called the non-collapsibility of the hazard ratio.
10 If we had been looking at differences in means, we
11 should have expected the estimates to stay the
12 same, but that's not true for hazard ratios.

13 So this is quite compatible with no
14 imbalances in randomization but that we get a
15 deattenuation of the hazard ratio when we adjust
16 for an extremely prognostic variable. But as
17 Dr. Pfeffer quite rightly pointed out, the only
18 thing that we're doing here that wasn't
19 prespecified is we're doing an adjustment for
20 region. We are not looking at a subgroup. We are
21 instead just averaging the effect within strata.

22 Now, the thing that I need to be forthright

1 about here is that as we do this, because the
2 number of events were lower in the stratum, that is
3 the Russian/Georgia, that is effectively
4 down-weighted because it's the number of events
5 that govern how much data you have. So even though
6 half the subjects came from Russia and Georgia,
7 since only -- I think it was a very low
8 number -- 8 percent of the events or something came
9 from there, this does have a tendency to
10 down-weight but not ignore the region.

11 So now, all of this is to argue what I'm
12 going to ask for if we have it. Do we have an
13 analysis of adjustment variables, but now add in an
14 ejection fraction with the interaction -- that's
15 the subgroup I'd be most interested in -- and what
16 do we see then? I'd like to really see the
17 ejection fraction, less than the median -- or 57,
18 or 55, or whatever eventually would be done -- once
19 we're adjusting. We're not eliminating the
20 subgroup; we're just adjusting for it, but
21 adjusting for the region and what our effect is.

22 DR. LIU: Hi. This is Ququan Liu. Do you

1 like me to answer this question?

2 DR. EMERSON: Yes. Do you have that?

3 DR. LIU: Yes. Actually, no. We didn't
4 look at the different interactions. For example,
5 we looked at the interaction between treatment and
6 randomization stratum, which also can make the
7 treatment effect change considerably, the hazard
8 ratio, change considerably.

9 DR. EMERSON: Okay. So again -- and this is
10 something obviously that the FDA can look at long
11 after this meeting and decide what they say, but I
12 would suspect, strongly, based on what we've seen
13 so far, that by using this adjusted analysis but
14 putting in the only subgroup that I personally will
15 consider -- and again, this is based on the
16 approved therapy and an adjacent group of the
17 people with lower than normal ejection fraction.

18 I would bet \$100 that you would see a very
19 significant effect in that group. This would be
20 most comparable to what we talked about yesterday.
21 And again, this is not looking at the subgroup, but
22 I am adjusting for the rate -- not looking at the

1 subgroup by region. I am adjusting for it. So
2 that's --

3 DR. LIU: This is Ququan -- I'm sorry.

4 DR. EMERSON: I'll leave it at that, but
5 that's the very, very important analysis to see, to
6 my mind.

7 DR. LIU: This is Ququan Liu again.

8 Actually, the analysis done related to the EF, we
9 looked at the secondary interaction of EF by
10 randomization stratum. The result shows that in
11 the BNP stratum, patients with lower ejection
12 fraction seemed to benefit more from treatment than
13 patients with higher ejection fraction. Here, the
14 ejection fraction, I used the median. The hazard
15 ratio is 0.56 in patients with lower EF and the
16 hazard ratio is 0.76 in the patients with higher
17 EF.

18 DR. EMERSON: So again, then we would expect
19 that what we're seeing -- and graphs along these
20 lines presented by the sponsor yesterday, but we
21 are seeing the similarity of a treatment response
22 for the lower ejection fractions --

1 DR. LIU: Sure.

2 DR. EMERSON: -- and again, if you did this
3 adjusted analysis, you would have more precision to
4 do this, but you're telling me you didn't even
5 really need that precision, although admittedly the
6 BNP stratum is highly confounded with region.

7 DR. LIU: Umm-hmm.

8 DR. EMERSON: So thank you, and I'll try to
9 even put down my hand.

10 DR. LEWIS: Okay.

11 Dr. Rose, you had your hand up briefly. Did
12 you have a comment in response to Dr. Emerson?

13 (No response.)

14 DR. NISSEN: Marty, you may be muted.

15 DR. ROSE: I am muted. I did, but he dealt
16 with it. Thank you.

17 DR. LEWIS: Okay. Dr. Gibson?

18 DR. GIBSON: Yes. To show benefit, you have
19 to have the disease and you have to get the drug.
20 I thought the BNP data was compelling in
21 characterizing whether people had the disease, but
22 what I would be interested in is more of a modified

1 ITT analysis, looking at those people who also
2 actually got the drugs. So if you were canrenone
3 positive -- I know it's a modified ITT analysis for
4 people who really received the drug, but I do think
5 it would be informative; so kind of a 2-by-2, or if
6 you were BNP positive, you had the disease, and you
7 had a positive assay for canrenone. Now, we may be
8 limited by the fact that not everyone had a
9 canrenone assay, but any data on that?

10 DR. PITT: We have very small numbers from
11 U.S. It's just in Russia and Georgia. You saw the
12 data, and we couldn't make that analysis. Just so
13 few people had the canrenone measurement.

14 DR. GIBSON: Great. Okay.

15 DR. LEWIS: Excuse me, Dr. Gibson, before
16 you go on.

17 Dr. Pfeffer, do you have another answer for
18 Dr. Gibson's question?

19 DR. PFEFFER: I have an answer for
20 Dr. Emerson, and then Dr. Gibson, of course.

21 For Dr. Emerson, we took that table that was
22 in your briefing book that you referred to on

1 page 26, and we added ejection fraction at your
2 request just now. And my lifeline is Dr. Claggett,
3 and he did just what you said, and it keeps
4 everything, overall. Let me just for the whole
5 committee, this means take everything in the data
6 set. Don't exclude anything. Don't exclude the
7 cancer in Russia and Georgia. The overall becomes
8 0.85, which is just what it says there, and it
9 doesn't change the p-value or the confidence
10 interval. So we did add ejection fraction into the
11 model.

12 DR. EMERSON: This is Scott again. I would
13 want the interaction, the interaction with. So
14 that is the one subgroup that I am considering, by
15 lower ejection fraction versus high ejection
16 fraction.

17 DR. PFEFFER: Okay. I'm going to get you
18 that.

19 DR. EMERSON: Oh, okay.

20 DR. PFEFFER: My lifeline is working, and my
21 lifeline is Dr. Claggett. But if we restrict to
22 the EF less than 56, which is below our median,

1 that confidence interval becomes 0.63 to 0.96. The
2 hazard ratio becomes 0.78 and the p becomes 0.02.

3 So your hundred dollar bet, which I think no
4 one would have taken from you, is that the lower EF
5 has a greater benefit, but that doesn't mean that
6 there's no benefit above the median. I'm just
7 answering your question.

8 DR. EMERSON: So --

9 DR. PFEFFER: Go ahead. I'm sorry.

10 DR. EMERSON: Yes. I was just going to say,
11 I'll agree that doesn't prove there's no benefit
12 above, although the graphs that we saw yesterday
13 suggested certainly you lost statistical
14 significance on the MRA, but I'll concede that the
15 MRA graph they showed us yesterday did stay below.
16 The estimate stayed below.

17 DR. PFEFFER: Okay.

18 Brian, can I get an interaction on that
19 term?

20 Then for Dr. Gibson, we just eked out the
21 canrenone from 300 people, so there's no analysis
22 we can do by canrenone, except I think the one I

1 gave you about the rise in potassium for anyone on
2 canrenone is a pretty robust analysis.

3 DR. GIBSON: Yes. So you could substitute
4 in some way the rise in potassium, yes.

5 DR. PFEFFER: Now, I have an answer
6 for -- this is medicine in progress. I have an
7 answer. The interaction for the EF by the category
8 we just did in the full model is 0.22. I don't
9 think that allows me to say what your eye told you,
10 you saw, that it behaved differently, statistically
11 behaved differently by that categorical EF.

12 DR. EMERSON: Yes. And I'll say that I'm
13 driven instead by my scientific predisposition to
14 say I don't totally believe where the threshold
15 between HFpEF and HFrEF is. So I was interested in
16 that, separate from whether this interaction was
17 significant or not.

18 DR. PFEFFER: Well, since you brought
19 up -- let me clarify. This is not a spectrum
20 across the whole range of ejection fraction from
21 multiple studies. This is just within TOPCAT. I
22 don't have very low EF in TOPCAT, within the range

1 of TOPCAT. Thank you.

2 DR. LEWIS: Thank you.

3 DR. GIBSON: Can I just make one additional
4 comment before I am done? It's Dr. Gibson.

5 DR. LEWIS: I'm sorry. Of course,
6 Dr. Gibson.

7 DR. GIBSON: The interaction p was 0.12.
8 That was viewed as not significant. However, my
9 understanding is that interaction p-values are
10 notoriously underpowered. By way of historical
11 precedent, I do want to point out that REDUCE-IT,
12 one of the trials recently before the committee,
13 did prespecify a p-value of 0.15 as what they would
14 have held as statistically significant for an
15 interaction term, comparing primary versus
16 secondary prevention. They came in at a p of 0.14.
17 So I just wanted to say that some interaction terms
18 that are in that range can't be dismissed as
19 indicating no heterogeneity.

20 DR. LEWIS: Thank you, Dr. Gibson.

21 DR. EMERSON: Dr. Lewis, may I follow up on
22 that interaction p-value point?

1 DR. LEWIS: Yes, Dr. Emerson.

2 DR. EMERSON: I'll do it real quickly.

3 DR. LEWIS: Okay.

4 DR. EMERSON: This again, it's a reasonable
5 thing when you're trying to screen for interactions
6 and what you'll look for confirmation later. But
7 if interaction is your major question, you're done
8 in by the low power, and raising the type 1 error
9 doesn't really make it enough better. But if what
10 you're interested is the subgroup analysis, which
11 is a different question -- it's one thing to say
12 does the treatment work in this subgroup. It's a
13 harder question to answer, and is that
14 statistically different from what it works in the
15 other group?

16 So I think that's sort of
17 cross-purposes [ph] here to look at that p-value.

18 DR. LEWIS: Thank you, Dr. Emerson.

19 Dr. Pfeffer, do you have another comment to
20 Dr. Gibson's question --

21 DR. PFEFFER: No, I have no --

22 DR. LEWIS: -- or is your hand still up?

1 DR. PFEFFER: I'm sorry --

2 (Crosstalk.)

3 DR. LEWIS: So everybody put their hands
4 down if you're finished.

5 DR. PFEFFER: I guess I --

6 DR. LEWIS: Go up on the upper left-hand
7 corner.

8 Dr. Nissen?

9 DR. NISSEN: Okay. Thank you.

10 Whenever we look at making a decision based
11 upon data which are controversial, we always want
12 to look at study conduct. And one of the things
13 that caught my eye was the amount of missingness of
14 data; that is patients who were not retained. They
15 were either withdrawn consent or lost to follow-up,
16 which I calculate to be about 13 percent of
17 patients who essentially were non-retained.

18 So I have really two questions. The first
19 one is, were their regional differences in
20 missingness? Were there more patients for whom
21 consent was withdrawn or who were lost to follow-up
22 in the Georgia and Russia compared with the

1 Americas? Let's get that question first.

2 DR. LEWIS: Do any of the speakers have that
3 information?

4 Marc?

5 DR. PFEFFER: I have vital status only,
6 which doesn't really answer Dr. Nissen's question.
7 I did say all studies have warts. I have the vital
8 status missing, Steve, and I'll get that in a
9 second.

10 DR. NISSEN: The reason I'm asking -- and
11 this is for the statisticians, Scott and
12 others -- is I'm concerned about informative
13 censoring here, and I want to make sure we
14 understand whether that was a possibility.

15 DR. PFEFFER: We had more vital status
16 missing in Russia and Georgia. I wish we had all
17 Russia and Georgia missing vital status. That
18 doesn't mean we did a great job in the Americas. I
19 think we were down to like 12 or 15. I'm sorry.
20 I'm trying to find that.

21 DR. NISSEN: Yes. But I'd like to know the
22 percent missingness in the two regions. We're

1 discussing regional heterogeneity here. We need to
2 understand what regional missing is.

3 DR. ROSE: Marty Rose. I have the CONSORT
4 diagram as slide 13 in my presentation, and there
5 is some information there.

6 DR. PFEFFER: Yes, I see it --

7 DR. NISSEN: By region?

8 DR. PFEFFER: Yes, by region.

9 DR. ROSE: By region.

10 (Crosstalk)

11 DR. PFEFFER: -- 37 vital status unknown in
12 the Americas, 2.1 percent; 95 vital status unknown
13 in Russia and Georgia, 5.7. And as I said, I wish
14 it were a hundred percent.

15 DR. NISSEN: Okay. I understand. We're
16 going to come back to that issue, Marc. I really
17 want to explore that. You want clarifying
18 questions now, so we're going to come back.

19 My second clarifying question is,
20 availability of BNP measurements in these two
21 regions. I saw the unknown vital status, which was
22 why I wanted to know, but look up above here on

1 this slide. You see withdrawn consent, 9.3 and
2 8.8 percent in the two strata, and then unknown
3 vital status 3.9 and 3.8. Well, okay. So we need
4 to see a complete picture of missingness in the two
5 regions. It's really important because it implies
6 the potential, at least here, for informative
7 censoring. I hope everybody understands where I'm
8 going here. And maybe at some point you guys can
9 get that data to us.

10 My second point relates to the fact that the
11 indications for randomization were different in
12 Europe and in the U.S. In the United States, more
13 people had BNP, but in this era when the study was
14 done, were there differences in availability of
15 BNP? Did every site involved here have BNP
16 available to them?

17 DR. PITT: I can answer that, Steve. Steve,
18 I can answer that. In the beginning, there was
19 very little availability in Russia and Georgia, and
20 we tried to make it available to some sites, but
21 there was still relatively few. So it was a big
22 discrepancy in availability.

1 DR. NISSEN: Okay. So that answers my
2 question, and to me that's an elephant in the room
3 because a lot is made of the fact that they had
4 different indications for randomization in these
5 two different regions, but the availability of the
6 test wasn't uniformly available. So does that
7 reflect physician decision making or simply test
8 availability? And we'll come back to that later on
9 when we actually results.

10 DR. LEWIS: Ms. Chauhan?

11 MS. CHAUHAN: Yes. My question isn't nearly
12 so erudite. The presenter, the statistician from
13 the FDA, your last slide, your conclusions, am I
14 hearing you correctly that if Georgia and Russia
15 had shown similar results to what the Americas
16 showed, then we wouldn't even be having this
17 meeting?

18 (No response.)

19 DR. LEWIS: Dr. Liu?

20 DR. LIU: Hi --

21 DR. ROSE: I'm sorry.

22 DR. LIU: This is Ququan --

1 DR. ROSE: So let me take a stab at that.

2 DR. LEWIS: Sorry. Who's speaking? Whoever
3 is speaking, could they identify them self?

4 DR. ROSE: This is Marty Rose.

5 DR. LEWIS: Okay. Please try to remember.
6 For the person taking the transcription, everybody
7 say their name.

8 DR. ROSE: Certainly.

9 DR. LEWIS: Thanks, Dr. Rose.

10 DR. ROSE: Okay.

11 So if the overall results were negative, as
12 they were, if the overall results did not show a
13 significant difference between spironolactone and
14 placebo, and the results in the two regions were
15 similar, I don't think we'd be having this meeting.

16 MS. CHAUHAN: Okay. So given that, I have
17 trouble appreciating that last slide that says
18 that it doesn't -- I mean, this is my
19 interpretation as a layperson. This is Cynthia
20 Chauhan. That last slide kind of says it doesn't
21 matter, and it seems to me it matters tremendously
22 that we're getting really different results when we

1 look at how it was conducted. That's been a
2 long-standing concern of mine with international
3 trials anyway. So I'm just --

4 DR. ROSE: I mean --

5 MS. CHAUHAN: -- I'm alarmed.

6 DR. ROSE: I'm one of many people, but what
7 last slide are we talking about?

8 DR. LEWIS: Dr. Liu.

9 MS. CHAUHAN: The statistician from the
10 FDA's conclusions, where she said that she doesn't
11 think -- I understood her to say that we don't have
12 a valid case to review here, and I don't see that.
13 She said does not consider that TOPCAT has provided
14 substantial evidence. And I guess I'm questioning
15 her conclusions. And I am not a statistician. I
16 do not pretend to be one, but I care deeply
17 about the population.

18 DR. LEWIS: Ms. Chauhan, when we get into
19 our discussion section, perhaps our statistician
20 can maybe help clarify that.

21 MS. CHAUHAN: Okay. I appreciate that.
22 Thank you.

1 DR. LEWIS: Dr. Ridker?

2 DR. RIDKER: Yes. Thank you, Dr. Lewis. I
3 have both a comment and a very specific clarifying
4 question.

5 My comment is first, like the investigators,
6 I want to thank the FDA for having this meeting on
7 what's a very important study conducted by our
8 federal government, and I have enormous respect for
9 Drs. Pitt and Pfeffer, who as individuals
10 contribute about as much to heart failure as
11 anybody I can think of for the last 35 years.

12 I also want to say I totally understand
13 their frustration. Although Dr. Pfeffer probably
14 was being very kind, he seemed to target his
15 unhappiness, if you like, with Ukraine and Russia.
16 I would certainly share that. And by the way, I am
17 persuaded that we should probably not worry about
18 that test for interaction. I think there's plenty
19 of evidence that there's clearly a difference
20 between Russia, Ukraine, and the rest of the world.

21 But I think Dr. Pfeffer and the
22 investigators have every right to be just as

1 unhappy, frankly, with NERI, and maybe their own
2 DSMB, and maybe even the NHLBI, as much as it pains
3 me to say that, because something failed in this
4 trial besides the Russia part. It seems like the
5 monitoring of the trial failed, and we might want
6 to hear from them later about why they didn't learn
7 of all these problems.

8 DR. LEWIS: There was essentially no
9 monitoring. I mean, there was no monitoring, as we
10 know it in pharmaceutical trials, done in this
11 trial.

12 Dr. Pfeffer, am I correct?

13 DR. PFEFFER: Well, the contract to evidence
14 in Russia did pay them for monitoring. They were
15 paid for the activity. I have to say that this is
16 NIH sponsored by a contract. If you compare this
17 to industry-sponsored, I think it's fair to say
18 \$30 million compared to \$300 million. And the
19 problem was the sites knew in Russia and Georgia
20 that they were not being monitored. I do think
21 monitoring is overdone, but here it was underdone,
22 and we paid the consequences.

1 DR. RIDKER: Dr. Lewis, what I'm really
2 getting at, and this particular part of my issue,
3 is that many of us who run trials on NIH budgets
4 recognize that you can do lots of data monitoring
5 without having to go to the site. I'm just making
6 the point for the record. It sounds somehow or
7 another that either NERI didn't do it or the DSMB
8 elected not to tell the investigators, and the NIH
9 should think about moving forward and make sure
10 that this kind of problem doesn't happen again.

11 My question though, my clarifying question,
12 is that it's interesting to me that none of the
13 presentations, including that of the FDA, addressed
14 toxicity. Now, I recognize that spironolactone has
15 been around for a long time, but I as a
16 cardiologist also recognize you get significant
17 hyperkalemia and you get worsening of renal failure
18 in patients with CKD. And there was very nice
19 publication from this group about the fact that
20 those with CKD was the group that seems to
21 get -- no surprise -- mostly hyperkalemia and
22 worsening of renal failure, and Dr. Pfeffer

1 probably pointed out that nobody went on dialysis
2 because they did a very good job of monitoring.

3 But in terms of labeling of the drug -- so
4 what I'm getting at here, and it makes me a little
5 nervous, is when I apply the logic of this
6 potential fraud issue, which by the way I'm very
7 willing to apply, I lose half the data; if we apply
8 the logic of yesterday, that this is really about
9 not HFpEF but about reduced ejection fraction and
10 the data from my friend, Scott Solomon, European
11 Heart Journal, out of this trial suggests a very
12 similar kind of thing, that there was this
13 gradation of ejection fraction; and then if we did
14 apply the third criterion, which was do we really
15 want people with low GFR to be on this, we're down
16 to about, the way I calculate it, roughly 20 to 25
17 percent of the total data.

18 I just want the investigators to comment on
19 that and if my math is wrong because it's
20 ultimately benefit to risk ratio, and we haven't
21 talked about the toxicity issue, it seems to me, at
22 all. And the GFR data I think is the US-only

1 patients if I remember the paper correctly.

2 DR. PITT: This is Bert Pitt. I wonder if I
3 can comment to Dr. Ridker's comments.

4 DR. LEWIS: Yes, go ahead.

5 DR. PITT: Certainly, as Marc pointed out,
6 there is hyperkalemia, but we didn't have any renal
7 failures related to it. Now, in relationship to
8 the worsening kidney function, which you referred
9 to, and the rise in creatinine, that has been
10 shown, for the most part, to really be a
11 hemodynamic effect and it's reversible, and it's
12 been seen in numerous ACE inhibitor trials, ARB
13 trials, MRA trials. In fact, in the recent FIDELIO
14 trial where there was a clear benefit on renal
15 outcomes as well as cardiovascular outcomes, there
16 was an initial increase in creatinine, a fall in
17 GFR, and those are all hemodynamic related effects.
18 And in the end, the slope of the fall in GFR
19 actually was less on the MRA.

20 So I think a lot of the things that you're
21 commenting on relating to renal function are
22 [indiscernible] hemodynamic effects, and there's a

1 long-term benefit underlying renal function.

2 DR. LEWIS: We'll maybe come back to that,
3 Dr. Ridker.

4 DR. RIDKER: Okay.

5 DR. LEWIS: I'd like to comment on it in our
6 discussions.

7 DR. RIDKER: Yes, I assume [indiscernible] a
8 nephrologist.

9 I have one second quick clarifying question,
10 which is related to the --

11 DR. LEWIS: Sure.

12 DR. RIDKER: This is pertinent to our issue
13 about FDA approval versus what the academic
14 community is actually doing. I don't know the
15 answer to this but maybe Bert or Marc does. I'm of
16 the sense that a lot of people are using
17 spironolactone in heart failure, because you guys
18 have been so successful and talking about these
19 issues already.

20 Do you have any idea, in relatively
21 concurrent data -- I don't know the answer to that.
22 Is that being found as background therapy in a lot

1 of heart failure trials or not?

2 DR. PFEFFER: In preserved systolic function
3 heart failure, preserved EF, I think there are a
4 lot of reasons to give. You can say just
5 hypertension. So it's hard to know why people are,
6 but I think the number I would guess is about
7 25 percent in trials, but in real life probably
8 miniscule.

9 DR. PITT: I would agree on that. This is
10 Bert Pitt.

11 DR. RIDKER: Okay. Thank you. We'll come
12 back to the other issues later. I appreciate it.

13 DR. LEWIS: Thank you.

14 Dr. Merz? And someone is not muted.
15 Actually, it sounds like kids. It sounds
16 wonderful, but if they could please mute.

17 Dr. Merz?

18 DR. BAIREY MERZ: Thank you, Dr. Lewis.
19 Noel Bairey Merz. This is a question not to the
20 statisticians, but Rose, Pitt, or Pfeffer could
21 probably address it.

22 If you look at the censored data that pretty

1 much everyone showed, meaning censoring Georgia and
2 Russia, it was powered -- or it demonstrated a
3 benefit that met statistical criteria, suggesting
4 that either the effect size was larger than
5 anticipated or the event rate was higher. You were
6 able to gain statistical significance in a post hoc
7 analysis with substantially less enrollment by
8 eliminating half.

9 That to me suggests that you could consider
10 an independent review adjudication similar to what
11 we reviewed yesterday, but this would be
12 independent review adjudication of probability of
13 meeting actual inclusion criteria. And it could be
14 done blinded, although you might consider the site
15 in the adjudication process. I mean, that would be
16 for you to decide.

17 I think that would be valuable. It extends
18 what Dr. Gibson was suggesting, but you could
19 potentially do it for the entire cohort and you'd
20 have better data and you'd be less cherry-picking.

21 Thank you. I guess comment from anyone, and
22 did you already do that, for example? Thank you.

1 DR. PITT: This is Bert Pitt. I think going
2 back to the Russia and Georgia sites and looking at
3 what was called heart failure, even if you reviewed
4 the records, it would be very, very difficult
5 because what they probably did, we believe, is
6 people who had obesity or a little bit of ischemia,
7 who was short of breath, or whatever, they called
8 them heart failure. They justified it in order to
9 get into the trial. So I think it's going to be
10 very difficult going back to those sites in Russia
11 and Georgia and getting much meaningful data.

12 DR. BAIREY MERZ: Well, Bert, hang on. This
13 is Noel again. I'm not suggesting that you go back
14 and try to get original documents. What we
15 reviewed yesterday was one additional analyses of
16 yesterday's trial, where an independent panel was
17 commissioned and they adjudicated probability of
18 events that had insufficient documentation; so a
19 panel of experts looking at the incomplete data,
20 and then giving likelihood and probability. So
21 this is different, but thank you for that response.

22 DR. ROSE: May I? This is Marc Pfeffer.

1 Julia, may I comment?

2 DR. LEWIS: Yes. We're running past time,
3 but if you guys want to keep going, we'll catch it
4 up I think.

5 DR. PFEFFER: The CEC for TOPCAT was the
6 same CEC for the trial you've looked at yesterday.
7 What we didn't do is analyze the entry
8 hospitalization, and I've never been fooled by
9 people before. And in the Americas, if you were
10 hospitalized for heart failure, you had a crummy
11 outcome, but we didn't analyze what the -- we
12 didn't adjudicate the initial hospitalization; we
13 just took people's word for that. And we can't go
14 back and get that information.

15 We did do an analysis of what happens to
16 people who are hospitalized, and the CEC agreed
17 after, and it's a multifold increased risk of
18 death. A hospitalization for heart failure is a
19 big deal if people aren't trying to fool you with
20 the forms.

21 DR. LEWIS: Okay. Dr. O'Connor?

22 DR. O'CONNOR: Thank you. Chris O'Connor

1 here. Thank you, Marc, Bert, and Marty for great
2 presentations. I agree you've made a compelling
3 argument that Georgia/Russia has really jeopardized
4 data, so in my mind I'm not looking at that as
5 carefully. I have two questions. One is given the
6 borderline significance in the primary endpoint
7 overall and then in the adjusted analyses, I'm
8 looking for a sweet spot, and I think heart failure
9 hospitalization is a potential sweet spot for
10 indication.

11 You had a very strict Hicks criteria for
12 adjudication of heart failure hospitalization. Do
13 we know if the investigator-called heart failure
14 hospitalizations paralleled the CEC? Because we
15 know this type of strict adjudication throws out a
16 lot of events. Then my second question is, why do
17 you think the patients who had a heart failure
18 hospitalization entry in the Americas had such an
19 attenuated benefit on the primary endpoint?

20 DR. PFEFFER: This is Marc Pfeffer. Julia,
21 may I?

22 DR. LEWIS: Yes. And we're really going to

1 have to keep everything short, guys. We are
2 running out of time.

3 DR. PFEFFER: Okay.

4 I don't know, Chris, why there was that
5 imbalance there, but the overall hospitalizations
6 were reduced, and as I just said, a
7 hospitalization, as in every other study, meant
8 something prognostically for the patients who
9 experienced an adjudicated hospitalization. I
10 don't at this moment have our batting average for
11 the ones that didn't make that line. I could try
12 to get that for you.

13 DR. LEWIS: Thank you.

14 DR. O'CONNOR: Thank you.

15 DR. LEWIS: Dr. O'Connor, do you have
16 another question or are you done?

17 DR. O'CONNOR: No, I'm done. Thank you.

18 DR. LEWIS: Okay. Dr. Thadhani?

19 DR. THADHANI: Thank you very much,
20 Dr. Lewis.

21 Just very quickly, again, for the
22 presenters, just a follow-up question. The point

1 estimates for heart failure across the sites, no
2 different, but of course when we look at the
3 results in a different way, we find those
4 differences.

5 The question I had was BNP. Given the
6 remarkable differences in outcomes in BNP, just for
7 my own edification, is BNP routinely used for HFpEF
8 as a diagnostic criteria in the
9 fashion -- certainly, we know it's much lower, and
10 more importantly kind of standard of care in that
11 category. It would be helpful to hear from the
12 cardiologists on that note. Thank you.

13 DR. PFEFFER: Bert, do you want to do it or
14 should I?

15 DR. PITT: This is Bert Pitt. I would just
16 comment that BNP is used not for a criteria for
17 HFpEF versus HFrEF, but to document that there is
18 heart failure, if that's what you're asking.

19 DR. LEWIS: Yes. It was one of the entry
20 criteria yesterday.

21 Dr. Nissen, do you have a compelling
22 question?

1 DR. NISSEN: Yes.

2 Dr. Thadhani, you were done?

3 DR. THADHANI: I can follow up later. Thank
4 you, Dr. Lewis.

5 DR. LEWIS: Okay. Great. Thank you.

6 DR. NISSEN: A very quick question. I know
7 we're running out of time. Typically, when there's
8 a very marginal effect in a trial, the
9 statisticians at FDA will impute missing data as a
10 sensitivity analysis. Was that done here, FDA
11 statisticians; and if so, what did it show?

12 DR. LIU: Hi. This is Ququan Liu. In terms
13 of the missing data, I believe there's not that
14 much missing data in that study. What specific
15 missing data are you referring to?

16 DR. NISSEN: I'm sorry. There's an
17 enormous -- the missing data here is so large I
18 can't even figure out why this is in front of us.
19 There's 13 percent of the patients for which we
20 don't have outcome data. That's a huge amount of
21 missing --

22 DR. LEWIS: Dr. Liu, do you want to comment

1 on that? Do you have the ability to do that
2 analysis? Is it available?

3 DR. PFEFFER: Julia, this is Marc Pfeffer.
4 I have that. Withdrawn or lost to follow is 7
5 percent in the Americas and 11 percent in Russia
6 and Georgia.

7 DR. NISSEN: Marc, if I look at the CONSORT
8 diagram, it looks like it's 13 percent. If you had
9 up the withdrawn consent plus lost to follow-up, I
10 think that's right.

11 DR. PFEFFER: Okay. From where I'm sitting
12 in my house, I can't answer that, except the
13 CONSORT diagram is the supplement; that is right.
14 What I'm getting is from a very reliable source
15 who's doing the numbers for me right at this
16 moment.

17 DR. LEWIS: Okay. If they can do the
18 analysis that Dr. Nissen requested, that would be
19 fantastic.

20 Our transcribers need a 15-minute break, so
21 we will take the full 15 minute break. If I got it
22 right this time, Dr. Nissen, I think that gets us

1 to about 11:54 or 11:55. And it is our only break
2 as well, so we're going to take a break.

3 I will now remind you that there should be
4 no chatting or discussion of the meeting topic with
5 anyone during the break. We will resume at 11:55
6 Eastern Standard Time.

7 (Whereupon, at 11:41 a.m., a recess was
8 taken.)

9 **Open Public Hearing**

10 DR. LEWIS: We will now begin the open
11 public hearing session.

12 Both the FDA and the public believe in a
13 transparent process for information gathering and
14 decision making. To ensure such transparency at
15 the open public hearing session of the advisory
16 committee meeting, FDA believes that is important
17 to understand the context of an individual's
18 presentation.

19 For this reason, FDA encourages you, the
20 open public hearing speaker, at the beginning of
21 your written or oral statement to advise the
22 committee of any financial relationship that you

1 may have with any company or group that may be
2 affected by the topic of this meeting. For
3 example, financial information may include a
4 company's or group's payment of your travel,
5 lodging, or other expenses in connection with your
6 participation in the meeting.

7 Likewise, FDA encourages you at the
8 beginning of your statement to advise the committee
9 if you do not have any such financial
10 relationships. If you choose not to address this
11 issue of financial relationships at the beginning
12 of your statement, it will not preclude you from
13 speaking.

14 The FDA and this committee place great
15 importance in the open public hearing process. The
16 insights and comments provided can help the agency
17 and this committee in their consideration of the
18 issues before them. That said, in many instances
19 and for many topics, there will be a variety of
20 opinions. One of our goals for today is for this
21 open public hearing to be conducted in a fair and
22 open way, where every participant is listened to

1 carefully and treated with dignity, courtesy, and
2 respect. Therefore, please only speak when
3 recognized by the chairperson. Thank you for your
4 cooperation.

5 Speaker number 1, your audio is connected
6 now. Will speaker number 1 begin and introduce
7 yourself? Please state your name and any
8 organization you are representing for the record.

9 DR. SACHDEV: Thank you, Dr. Lewis, and good
10 afternoon, everyone. My name is Vandana Sachdev,
11 and I'm a cardiologist in NHLBI. I'm here with my
12 colleague, Dr. Jerry Fleg, and we're speaking on
13 behalf of ourselves, presenting our views and not
14 official views of NHLBI, NIH, or DHHS. We have no
15 conflicts.

16 This is an overview of the points that we'll
17 be addressing. NHLBI has been very committed to
18 addressing the growing public health challenge
19 posed by heart failure, especially HFpEF.
20 Morbidity and mortality rates for the clinical
21 syndrome of HFpEF remain very high.
22 Community-based studies demonstrate even higher

1 rates of mortality, hospitalization, and emergency
2 department visits compared to what we saw in the
3 TOPCAT Americas study.

4 The use of ejection fraction to define HFpEF
5 is an oversimplification of a complex syndrome.
6 We've learned in the last few years that several
7 factors must be part of this definition, first,
8 evidence of heart failure, some signs and symptoms,
9 or recent hospitalization; second, cardiac-specific
10 finding such as a high BNP; and also the exclusion
11 of other diagnosis that might be responsible for
12 symptoms, and things such as amyloid must be
13 excluded before making this diagnosis.

14 The current understanding of HFpEF is that
15 of a spectrum of sub-phenotypes with dynamic
16 functional changes. This is an area of active
17 research within NHLBI. The data from TOPCAT
18 suggest that all HFpEF patients with congestion, as
19 evidenced by a high BNP or recent hospitalization,
20 stand to benefit from the use of spironolactone.
21 Unfortunately, the general consensus of the
22 scientific and clinical communities is that all

1 trials have been negative and there are no approved
2 treatments for HFpEF. We have the opportunity
3 today to critically evaluate and change this
4 perception.

5 HFpEF, as many of you know, is a
6 heterogeneous syndrome with multiple comorbid
7 conditions: obesity, hypertension, diabetes,
8 chronic kidney disease, and atrial fibrillation,
9 and the risk of death is higher with an increasing
10 burden of comorbid conditions. It's worth noting
11 that there is a large body of accumulating evidence
12 on the use of MRAs and chronic kidney disease and
13 diabetes. In fact, Dr. Pitt has already referred
14 to this.

15 Two recently completed studies of
16 finerenone, a selective MRA used in patients with
17 chronic kidney disease and diabetes, showed an
18 improvement in renal outcomes and an improvement in
19 cardiovascular outcomes. A recently initiated
20 study will test cardiovascular outcomes
21 specifically in HFpEF patients. I bring this up
22 because selective MRAs such as finerenone and other

1 drugs such as sacubitril/valsartan, while they may
2 have efficacy in HFpEF patients, these are costly
3 drugs that will not be disseminated for many years.

4 Spiroinolactone, on the other hand, is low
5 cost and widely available. In terms of current
6 guidelines, based on the TOPCAT regional analysis
7 and a meta-analysis of 14 other randomized-
8 controlled trials, MRAs are given a 2B indication
9 for HFpEF treatment. Despite clear evidence of a
10 reduction of heart failure hospitalizations with
11 MRA treatment in the America's, TOPCAT results have
12 had only a modest impact on provider prescribing
13 patterns. This question came up just a short time
14 ago.

15 In the figure shown here from a Get With The
16 Guidelines publication, the release of trial data
17 at the end of 2013 resulted in the number of HFpEF
18 patients receiving an MRA upon hospital discharge,
19 increasing from 12 percent to only 15 percent by
20 the end of 2016. This is shown in the red circles
21 in this graph. In this particular report women,
22 older patients, and black patients with HFpEF were

1 less likely to be discharged on an MRA.

2 In recent years, MRA treatment for HFpEF has
3 been increasingly accepted by the cardiology
4 community. This is shown by a rate of 24 percent
5 of MRA use in the recently discussed PARAGON trial,
6 and a newer ongoing trial had an even higher rate
7 of 37 percent. However, it's very important to
8 remember that many HFpEF patients are seen only in
9 primary care. Drug labeling can have a significant
10 impact on physician prescribing behavior. Despite
11 a favorable risk-benefit ratio for spironolactone,
12 physicians are less likely to start a new drug if
13 it does not have an approved indication.

14 As was discussed yesterday, the left
15 ventricular ejection-fraction based taxonomy of
16 heart failure is imprecise for a disease like this
17 that is more of a spectrum of phenotypes. Heart
18 failure patients in fact can have both systolic and
19 diastolic dysfunction independent of ejection
20 fraction. We believe the TOPCAT data and results
21 from other studies support the use of
22 spironolactone in carefully defined HFpEF patients

1 with BNP elevation or a recent heart failure
2 hospitalization. Increasing use of spironolactone
3 in these patients will reduce heart failure
4 hospitalizations, leading to significant societal
5 and economic benefit. An approved indication for
6 spironolactone will also encourage key opinion
7 leaders and societies to promote more widespread
8 use of this treatment.

9 Finally, I want to emphasize that TOPCAT was
10 a significant investment of time and resources by
11 all involved, but the benefits of these efforts are
12 not achieved by data creation alone. Converting
13 data and knowledge into practical application is a
14 vital part of the return on investment for public
15 research. We commend Dr. Rose, the FDA staff, and
16 this committee for their continuing efforts to
17 translate knowledge into action.

18 That's the end of my comments. Thank you.
19 I'll turn it back over to Dr. Lewis.

20 DR. LEWIS: Thank you very much.

21 Speaker number 2, your audio is connected
22 now. Will speaker number 2 begin and introduce

1 yourself? Please state your name and any
2 organization you are representing for the record.

3 DR. FLEG: Yes. This is Dr. Jerome Fleg,
4 and I am also a cardiologist at National Heart,
5 Lung, and Blood Institute. I'm here mainly to
6 answer questions, but I would bring up one
7 additional point that Dr. Sachdev did not touch on,
8 and that is that given the primary endpoint
9 consisted of both cardiovascular death and heart
10 failure hospitalization, we should not lose sight
11 of the fact that even in the overall trial, even
12 including Russia and Georgia, there was a
13 17 percent significant reduction in heart failure
14 hospitalizations, which account, in fact, for about
15 two-thirds of the primary outcome of events in
16 TOPCAT and probably in the real world as well.

17 I know that it may in some ways defy
18 approving a trial as it only met a major secondary
19 endpoint rather than the primary. One could
20 certainly conceive of the benefit that would accrue
21 from approving this drug for HFpEF even if only for
22 reduction of heart failure hospitalizations and not

1 necessarily mortality. So I would just like to
2 bring that additional point to bear. It was
3 actually a 17 percent reduction in heart failure
4 hospitalizations, and if you look at total
5 cumulative heart failure hospitalizations, it was
6 about a 25 percent reduction.

7 I'll stop there, and I will be happy to take
8 any questions for Dr. Sachdev and myself.

9 DR. LEWIS: Okay. I'm sorry. I didn't
10 realize, Dr. Fleg, you were part of speaker
11 number 1's presentation, but thank you for your
12 additional comments.

13 Speaker number 2, we will now put your audio
14 on. Will speaker number 2 begin and introduce
15 yourself? Please state your name and any
16 organization you are representing for the record.

17 DR. SEYMOUR: Thank you for the opportunity
18 to speak today on behalf of the National Center for
19 Health Research. I am Dr. Meg Seymour, a senior
20 fellow at the center. Our Center analyzes
21 scientific and medical data to provide objective
22 health information to patients, health

1 professionals, and policymakers. We do not accept
2 funding from drug or medical device companies, so I
3 have no conflicts of interest.

4 Today the committee is asked to consider a
5 new indication, spironolactone, for heart failure
6 with preserved ejection fraction. If approved,
7 spironolactone would be the first product with an
8 indication to improve outcomes in patients with
9 HFpEF, however, as we have all read in the briefing
10 materials, the trial failed to reach the
11 prespecified primary endpoint. Though
12 consideration for approval is not unprecedented in
13 such cases as FDA pointed out, it is unusual.

14 That is not the only thing that is unusual
15 about these data. These results varied widely
16 between the two regions where the study was
17 conducted. For example, the rate of the primary
18 endpoint was 5.4-fold fold higher in the Americas.
19 FDA stated there are good reasons to be skeptical
20 of accepting the TOPCAT results from the Americas.

21 There is no precedent for excluding an
22 entire region, rather than a single study site,

1 especially when that region constitutes half of the
2 study sample. Further, the lack of interaction by
3 region means that it's not possible to conclude
4 that the two regions are different enough to
5 exclude half of the results.

6 The demographics of the study lead to
7 further questions about the data. The sample
8 population from the two regions varied on numerous
9 crucial demographics, which complicates
10 interpretation of the data and the question of data
11 exclusion. The bottom line, what is the risk of
12 approving this indication if it might not work?
13 What can be done to improve the quality of the data
14 prior to FDA making a decision about whether or not
15 to approve this indication? Thank you.

16 DR. LEWIS: The open public hearing portion
17 of this meeting has now concluded and we will no
18 longer take comments from the audience. I will
19 pause here. We have an opportunity right now if
20 any of the presenters have had the opportunity to
21 gather data to address Dr. Nissen's question about
22 the impact of the missing data.

1 DR. PFEFFER: I have. I'm trying to raise
2 my hand, Julia. I have, and I have to thank my
3 colleague.

4 The fact that we're looking at the region is
5 very important to me, that I don't want to talk
6 about missing data in Russia and Georgia because I
7 think that doesn't add anything. But if we're down
8 to what is left, if we just look at the Americas,
9 then Dr. Nissen is correct. We have to look at the
10 missing data, and that's exactly why I wanted the
11 FDA to take this independent look at what is left.

12 I would have to say that no trial's perfect,
13 and we are missing vital status and important data
14 on 7 percent of our patients. And Dr. Nissen, I
15 think it's because in that diagram, we're adding
16 the vital status unknown to missing data. The
17 number for the Americas is 7 percent. That's
18 7 percent too high, but that's what it is.

19 DR. NISSEN: Marc --

20 DR. LEWIS: Yes, Dr. Nissen?

21 DR. NISSEN: -- what is the missing data on
22 the European side -- I mean on the Georgia/Russia

1 side?

2 DR. PFEFFER: I didn't try to get that for
3 the reason that even if they gave us the data, I
4 don't trust the data, so I'm not even going to ask
5 my statistician to get that. But I'll get it for
6 you if you want it.

7 The point we have here is, yes, we can go
8 with the overall, which you've heard; overall
9 adjusted, which you've heard; and overall heart
10 failure hospitalization, which you've heard. But I
11 think the story is we censor Russia/Georgia, or we
12 don't. So that's a point you have to agree on, and
13 then if we do, what's left? Eleven percent is the
14 number from Russia and Georgia.

15 DR. NISSEN: Thank you.

16 **Questions to the Committee and Discussion**

17 DR. LEWIS: Okay. Thank you very much,
18 Dr. Pfeffer and Dr. Nissen.

19 The committee will now turn its attention to
20 address the task at hand, the careful consideration
21 of the data before the committee, as well as the
22 public comments. We will now proceed with the

1 questions to the committee and panel discussion. I
2 would like to remind the public observers that
3 while this meeting is open for public observation,
4 public attendees may not participate except at the
5 specific request of the panel. I am now going to
6 read the first discussion question.

7 Please comment on the various prespecified
8 and post hoc analyses. Which ones contribute to
9 the strength of evidence supporting the indication?
10 Which ones do not?

11 Are there any questions about the actual
12 wording of the question? Does anyone need a
13 clarification from the FDA on the wording?

14 (No response.)

15 DR. LEWIS: I don't see anybody's hands
16 raised, so if there are no questions or comments
17 concerning the wording of the question, we will now
18 open the question to discussion. I just want to
19 remind you all to raise your hands if you want to
20 begin the discussion.

21 Dr. O'Connor?

22 DR. O'CONNOR: Thank you, Julia.

1 Chris O'Connor here. I'd like to comment
2 that I think the analysis excluding Georgia/Russia
3 is very compelling to me in the component of heart
4 failure hospitalization, and the question that I
5 will come to later is what is the patient
6 population? But from the standpoint of the
7 analyses provided by the investigators, I think the
8 exclusion of Russia and Georgia is compelling, the
9 adjusted model, and I think the signal on heart
10 failure hospitalizations is compelling to me.
11 Thank you.

12 DR. LEWIS: Thank you, Dr. O'Connor.

13 Dr. Emerson?

14 DR. EMERSON: I'd like to first address
15 which do not, and it's the compliance. While it's
16 regrettable that the compliance was low in
17 Georgia/Russia, we should not be adjusting for
18 compliance anyway. As I stated before, where I
19 have the greatest sympathy is for doing an adjusted
20 analysis, and that is, however, a data-driven
21 decision in the sense that it was not a
22 prespecified analysis.

1 I think my other interest is in the lower
2 ejection fraction, and that's driven by results
3 outside this trial as well as inside this trial. I
4 can't ignore the circular aspect of yesterday's
5 results included results from these sorts of
6 studies, and then today using that again.

7 I would not be in favor of a subgroup
8 analysis, but I need to be honest, that the
9 adjusted analysis, because the low event rate is
10 there, is down-weighting that other group because
11 of the statistical model that's used. So we just
12 would have to acknowledge that fact, that by that
13 down-weighting, am I allowing a subgroup selection
14 to masquerade as just adjustment for a different
15 stratum? That's all that I'd have.

16 DR. LEWIS: Thank you, Dr. Emerson.

17 Dr. Moliterno?

18 DR. MOLITERNO: Thank you, Dr. Lewis. I
19 don't have anything novel to say, though. I think
20 like yesterday and others have said today, that
21 which I'm most compelled by is that which is
22 biologically plausible again, and that's the

1 ejection fraction group below the median and the
2 benefit there consistent with prior in the current
3 study. I highly respect the arguments today about
4 regional variation in outcomes, though obviously
5 that takes an element of speculation about the
6 whys, so I'm drawn most to the biologic aspect at
7 the lower ejection fraction. That's all. Thank
8 you.

9 DR. LEWIS: Thank you, Dr. Moliterno.

10 Dr. Nissen?

11 DR. NISSEN: Okay. Well first of all, Marc
12 and Bert, you guys are two people I admire greatly,
13 but I really disagree with your interpretation of
14 the trial, and particularly the censoring of half
15 of the data, the data from Eastern Europe and
16 Russia. And I understand why you think what you
17 think, but I want to ask everybody a rhetorical
18 question.

19 If a large pharma company came in and said,
20 "Well, we did this trial and we got a p-value of
21 0.14. But gee, if we take out a whole bunch of
22 these low-quality sites, bingo, the study's now

1 positive," what would we say? And the fact that
2 the study was done by the NIH doesn't change my
3 thinking.

4 We have rules about how we do these things,
5 and those rules are there for a reason. If you let
6 me throw out low-quality sites from any study that
7 we've ever done, I can amplify the favorable
8 effects. If you take out the bad, all these sites
9 that underperform and that do things that they
10 shouldn't be doing, that perhaps didn't follow the
11 rules about enrollment -- the sites were chosen in
12 advance. They were vetted appropriately. To say,
13 "Well, they're dirt-ball sites, and therefore we're
14 just not going to accept their data," is just not
15 compelling.

16 So I agree with FDA's statistician that
17 there really isn't compelling evidence to just
18 throw out half of the sites. Even though they
19 probably didn't do as well, the idea that they
20 don't contribute anything and can be therefore
21 thrown out doesn't make sense to me. So those are
22 the data that I don't consider compelling.

1 I also don't consider compelling the
2 analysis based upon the reasons for enrollment. We
3 heard that if you got enrolled because you had a
4 high BNP, lo and behold, the drug had a favorable
5 effect as opposed to being enrolled because you got
6 enrolled for having a prior hospitalization. Then
7 we find out that the Eastern European sites, many
8 of them didn't have the ability to measure BNP.
9 Well, of course, they enrolled patients based upon
10 the other criterion because they didn't have the
11 BNP available, so that's not a compelling analysis
12 either.

13 So those are two examples of analyses that I
14 don't think rise to the level of regulatory action.

15 DR. LEWIS: Thank you, Dr. Nissen.

16 Ms. Chauhan?

17 (No response.)

18 DR. LEWIS: Ms. Chauhan, I think you're
19 muted. You're muted in the upper left-hand corner
20 of the Adobe Connect.

21 (No response.)

22 DR. LEWIS: Ms. Chauhan?

1 MS. CHAUHAN: I --

2 DR. LEWIS: Oh, there you go.

3 MS. CHAUHAN: Okay. Am I on now?

4 DR. LEWIS: Yes, ma'am.

5 MS. CHAUHAN: Cynthia Chauhan, patient
6 representative.

7 In spite of Dr. Nissen's thoughtful
8 comments, I really agree with Dr. O'Connor that it
9 is compelling. I'm not a trialist. I understand a
10 lot about trials, but I think if you have a massive
11 misuse of the trial in order to get care to
12 patients you care about, that has to be taken into
13 account when you're looking at the results, and I
14 think that's what probably happened over there. So
15 I agree with Dr. O'Connor about moving forward with
16 this. That's all. Thank you.

17 DR. LEWIS: Thank you, Ms. Chauhan.

18 Dr. Thadhani?

19 DR. THADHANI: Thank you, Dr. Lewis.

20 Just to pick up on a few comments, the point
21 I was trying to make earlier is that if the
22 stratification by heart failure hospitalization

1 that we discussed in the Americas yields a point
2 estimate similar to that in Eastern Europe, whereas
3 that stratification in the natriuretic peptide does
4 not, that I found perplexing, and obviously
5 Dr. Nissen touched on that.

6 That said, I just want to go back to a point
7 that one of the speakers made, and as well, I
8 believe the speakers prior. And that is the
9 primary analysis, as was presented to us, with all
10 the data included, all regions, all 200-plus
11 sites -- without any exclusion of any data, without
12 exclusion of issues of compliance, without
13 exclusion of issues of age, as well as any debate
14 on issues of interaction -- yielded a positive
15 result, if I'm not mistaken, on table 7, with a
16 hazard ratio of 0.83 and a p-value of 0.042, not
17 unlike what we discussed yesterday looking at
18 additional data on heart failure hospitalization as
19 an addition to a indication from a previously
20 approved agent.

21 Thank you, Dr. Lewis.

22 DR. LEWIS: Thank you, Dr. Thadhani.

1 I have a question for Dr. Cook or
2 Dr. Emerson. I wonder if you guys could please
3 comment. As I understand how this study was set
4 up, it had 80 percent power to detect a 20 percent
5 effect, and that was with the full population.

6 Now, if we're being asked to consider -- and
7 I don't disagree with Dr. Nissen's comments, by the
8 way. But as an additional comment, we're being
9 asked to consider just the Americas data now, so we
10 have now half the population. And the difference
11 between events, there was 1900 events yesterday,
12 the difference of 115, for example, using their
13 study as an example, and there are 522 events with
14 the difference of 38.

15 Can you guys comment on how we should think
16 about that, Dr. Emerson and Dr. Cook?

17 DR. EMERSON: I can offer my opinion.
18 Again, my opinion was you should not focus on that
19 subgroup because it's so data driven. Now again, I
20 am focusing personally on the ejection fraction
21 thing, and should I substitute my opinion for what
22 was prespecified in the trial, and that's going to

1 be a problem.

2 But the power that you're going to have when
3 you're using proportional hazards is entirely
4 driven by the number of events you have. So if you
5 select the strata that have the most events, then
6 you have not lost as much power as if you select
7 the strata that have a lower number of events. But
8 the bigger fear is that you're selecting the strata
9 that has the treatment effect you want, and that
10 overwhelms any other aspect that you're doing.

11 Give me any clinical trial, and I can find
12 some variable that selects out the half of the
13 statistical information that looks most promising,
14 and that's what we have to guard against. I
15 understand why we might like the United States data
16 better from the aspect both of this is the FDA and
17 from the aspect of our healthcare delivery, not
18 having availability of measurements we'd want and
19 then also what the controls were.

20 But we still have to worry would they have
21 come to us if it had been reversed, as we see in so
22 many trials, where the effect is greater elsewhere

1 than it is in the United States, and would they
2 have been coming back to us and finding reasons to
3 argue against the United States data. I'm not
4 saying they're doing that, but we always have to
5 consider that's the possibility.

6 DR. LEWIS: Thank you.

7 Dr. Ridker?

8 DR. RIDKER: Yes. So I find myself really
9 struggling back and forth on two different sides of
10 the coin, and I want to explain why because I think
11 there's a middle ground that might work, at least
12 for me, and perhaps it might persuade some others.

13 On one side of the coin, I have tremendous
14 sympathy for the idea that dropping 50 percent of
15 the data, no matter how well defined and how much
16 we all might believe the data is bad, it does make
17 me very nervous as precedent setting issues at the
18 FDA, for all the reasons we've heard. At the same
19 time, I have enormous sympathy for my patients,
20 frankly, and for the public health benefit of a
21 drug that I probably think probably does work in
22 certain kinds of patients.

1 Yesterday, we had the advantage of PARAGON
2 and PARADIGM showing us a wide range of ejection
3 fraction, and we discussed for quite a few hours a
4 generalized decision to consider not HFpEF, because
5 that's preserved ejection fraction, and again
6 that's normal, but we somehow as a group got to
7 something that sounded like mildly reduced ejection
8 fraction as a potential way to go.

9 My colleague Scott Solomon published a paper
10 with the TOPCAT investigators, that we didn't see
11 today, in European Heart Journal a couple years
12 ago, which showed a very similar figure inside
13 TOPCAT. Now granted, the range of ejection
14 fraction -- I just looked at the paper, and that
15 was 44 to 85, but it kind of had the same message,
16 which was there's an inflection point around the
17 ejection fraction just around 50, or 55, where the
18 benefits seem to be below that and above that. It
19 was pretty much washed out. The important point of
20 that paper, now that I'm re-reading it, is they
21 didn't drop out any data at all. That paper used
22 the entire TOPCAT trial.

1 So I'm sort of wondering if, in a way, our
2 frustration about trial conduct and the
3 understandable frustration from the investigators
4 that they feel they have problematic data, and I
5 have incredible sympathy for them because I think
6 they do have problematic data. I'm feeling like
7 maybe in this regulatory context, we might find
8 ourselves comfortable saying let's not drop any
9 data at all and recognize that even across the
10 entire trial, for that ejection fraction less than,
11 say, 50 percent, or mildly reduced, or whatever
12 number we thought we got to yesterday, the endpoint
13 of HHS is down across the whole trial.

14 So we didn't see that data presented here.
15 I don't know if anyone has it available. And then
16 of course the correlate to PARAGON and PARADIGM
17 would be RALES and TOPCAT, which if I remember
18 correctly would cover a wider range. I'm wondering
19 if that sort of parallel -- it might help me to get
20 to a decision here to say, okay, there's this other
21 thing that we discussed yesterday. It kind of
22 parallels here, and I don't have to drop any data

1 to get there, if that makes any sense to anybody?

2 DR. LEWIS: Yes, it does. Thank you,

3 Dr. Ridker.

4 Dr. Merz? Dr. Merz, I think you're --

5 DR. BAIREY MERZ: Noel Bairey Merz. Thank

6 you, Dr. Lewis. Yes, it just takes a minute to

7 come up.

8 I would share a lot of the prior discussion.

9 I don't think that we should drop data. I do find

10 the secondary outcome compelling, and I agree with

11 our NHLBI faculty that quality of life is

12 important. These hospitalizations are expensive.

13 It is a burden on not only the patient, but the

14 family.

15 As I argued yesterday, I think that while

16 these trials have been designed, it takes 5 years

17 to design them, 5 years to get them funded, 10

18 years to digest them, and the patients move on and

19 the epidemiology moves on. Quality of life now for

20 PCORI is the primary outcome.

21 Perhaps similar to Dr. Ridker but different,

22 I would argue that this could be approved on the

1 basis of that secondary outcome. I know it's
2 probably a regulatory morass, but I think the
3 data's there, the data's clean, and it would be a
4 meaningful outcome and expand the use of this
5 generic. Thank you.

6 DR. LEWIS: Thank you, Dr. Merz.

7 Dr. Gibson?

8 DR. GIBSON: Thank you.

9 DR. LEWIS: Excuse me, Dr. Gibson. Sorry.
10 The people who don't have a second question but
11 they just left their hand up, please put them down.

12 I'm sorry, Dr. Gibson. Please start.

13 DR. GIBSON: Sorry.

14 Yes, the prespecified primary endpoint was
15 negative, however, among all the patients, even if
16 you don't exclude Eastern Europe, there was a
17 reduction in the cumulative incidence of heart
18 failure. That was 394 versus 475 events. The
19 p-value there is 0.03. The cumulative incidence of
20 heart failure events was used in PARAGON, and this
21 is a very important patient-centric outcome, which
22 I think is both burdensome to patients and society;

1 it's quite costly.

2 It's unusual to reject data from countries
3 or regions but not unprecedented. An example is
4 the fact that the U.S. data was discounted in the
5 PLATO trial. As I recall, there was a higher
6 mortality in the U.S. I did find the data that
7 patients enrolled by primary care physicians in
8 Eastern Europe constituted a population that more
9 closely approximated ischemic heart disease and the
10 intended population of heart failure. The event
11 rates were clearly much lower. Compliance was
12 clearly much lower.

13 I did find the data for those patients with
14 an EF less than 56 percent compelling. As I
15 recall, the p-value there was less than 0.03. I
16 did not find the p-value of 0.12 for interaction by
17 country very compelling. The drug does have an
18 excellent safety record, dating back to 1960.

19 So in my mind, for the secondary endpoint,
20 the drug clearly does reduce the incidence of heart
21 failure hospitalization. Again, I do find that
22 burdensome and costly. There is no significant

1 harm, and it's true particularly in those with an
2 EF of 56 percent, at least the primary endpoint.
3 So no patients would need to be dropped and no
4 precedent would be established for dropping large
5 amounts of data if we stick with what is the
6 secondary endpoint. But I would say it's
7 buttressed by a large amount of data from the other
8 prespecified analyses for the primary endpoint.

9 I do think we have to put patients first,
10 and I don't think patients should be denied access
11 to a drug based upon deviations in good clinical
12 practice in one part of the world. Thank you.

13 DR. LEWIS: Thank you, Dr. Gibson.

14 Ms. Alikhaani?

15 MS. ALIKHAANI: I really appreciate the
16 thoroughness of this discussion. It's been really
17 helpful for me as a heart patient and as a
18 consumer. I particularly value a global clinical
19 trial, so I started out actually very hopeful about
20 this because I'm a rare heart disease patient, and
21 global trials are very, very important for people
22 with rare diseases, as well as being important for

1 other people, other patients as well. But I also
2 care a lot about monitoring discrepancies and trial
3 compliance issues because I don't want things like
4 that to interfere with the advancement of global
5 trials and the integrity of global clinical trials.

6 So I'm very concerned about the missing data
7 discussion. It makes me very uncomfortable. I
8 don't take trial conduct issues very lightly. It's
9 really, really important. Trial compliance is
10 critical, and monitoring is critical, especially
11 when they're global trials. I just want to make
12 sure that we don't set that precedent, or the
13 appearance of that precedent, in any way by
14 diminishing the value of these kind of issues, and
15 I think we've addressed them very well in this
16 discussion all around.

17 I agree with Dr. Ridker and Dr. Bairey Merz,
18 and I hope that we can move forward with this to
19 help the patients because patients and healthcare
20 consumers, they're really suffering from this
21 disease. So I hope that we can go forward in the
22 way that they suggested. I think they made,

1 especially Dr. Ridker, very good suggestions, and
2 Dr. Bairey Merz. Thank you.

3 DR. LEWIS: Dr. Kasper? Thank you,
4 Ms. Alikhaani.

5 DR. KASPER: Yes. I find myself in
6 substantial agreement with Dr. Ridker. I, too,
7 have been torn. Clearly, these populations were
8 very, very, very different, and the American
9 population looks much more like the patients I care
10 for, minus a substantially higher number of African
11 Americans in Baltimore, than was included in this
12 particular trial.

13 I find myself also agreeing that this is
14 likely related to ejection fraction and that the
15 benefit is probably most likely seen in the lower
16 ejection fraction within this range. So handling
17 this is kind of similar to the way we handled this
18 yesterday. It makes sense. I can't see throwing
19 out 49 percent of the patient population, even
20 though I think something very, very, very bad
21 happened in Georgia and Russia. Thank you.

22 DR. LEWIS: Thank you, Dr. Kasper.

1 Dr. Emerson, your hand was up, but I think
2 you put it down. Is that correct? In which case,
3 I think it's Dr. Nissen.

4 DR. EMERSON: I did put it down, but I just
5 wanted to point out one thing --

6 DR. LEWIS: Sure.

7 DR. EMERSON: -- and I'm not sure now is the
8 time to do it. But I'll just note that relative to
9 yesterday, we do have a difference, and I don't
10 know how this bears out. But we do have
11 cardiovascular death benefits when you look at,
12 firstly, the American subgroup and whether that
13 would really hold out entirely on the adjusted
14 analysis. I don't know, but that's different from
15 yesterday where we did not have an effect on the
16 cardiovascular death. It was only hospitalization.
17 So that's just something that as we generalize,
18 we'd be generalizing to that added indication if
19 that were appropriate.

20 DR. LEWIS: Thank you, Dr. Emerson.

21 Dr. Nissen?

22 DR. NISSEN: Several people, led by my

1 friend Paul Ridker, have suggested that we adopt an
2 approach similar to yesterday. Let me try to point
3 out that there are three very important differences
4 from what happened yesterday.

5 Yesterday on the primary endpoint, the
6 p-value was 0.059, so we were very close to
7 significance for the overall trial without throwing
8 anything out. For this study, it's 0.14. Now,
9 that is different. Secondly, yesterday we had a
10 trial that was conducted with very high standards
11 of conduct. Missingness was essentially zero,
12 almost a hundred percent follow-up, very high
13 quality. So we don't have to worry about
14 informative censoring. Here, we have differential
15 lost to follow-up or withdrawn consent in the two
16 regions, which can color the results significantly.

17 Then finally, yesterday we had a very strong
18 interaction p-value for ejection fraction. The
19 interaction p-value in the TOPCAT study for
20 ejection fraction, using the same criteria, is not
21 significant. So these three points make the two
22 analyses very different. I was Willing to stretch

1 yesterday because all these other factors were
2 there. We had a near-miss on p-value, we had a
3 very high-quality study conduct, and we had a very
4 strong interaction p-value on ejection fraction.
5 None of those things are true for TOPCAT. Thank
6 you.

7 DR. LEWIS: Thank you, Dr. Nissen.

8 Dr. O'Connor?

9 DR. O'CONNOR: Yes. Julia, thank you.

10 I just want to get consensus around when
11 we're saying throwing out data. Are we talking
12 about 50 percent or are we talking about the
13 149 primary events out of the total of 670 events?
14 Which would really be representing a much lower
15 removal of data if we talk about the removal of
16 events. Maybe Scott can help me here.

17 DR. LEWIS: Thank you, Dr. O'Connor.

18 Dr. Cook or Dr. Emerson, do you want to
19 comment?

20 Dr. Emerson?

21 DR. EMERSON: Yes. So here's where I have
22 to argue both sides. In terms of the statistical

1 precision, if we eliminate the Russia and Georgia,
2 are we down-weighted. We're throwing out
3 relatively small as it reflects the precision.
4 However, with respect to the generalizability,
5 which is more of the patients, we'd be throwing out
6 half the patients.

7 DR. LEWIS: Okay. Great.

8 Dr. Nissen, your hand, you just didn't put
9 it down; is that correct, or do you have another
10 comment? No.

11 Dr. Cook and Dr. Rossert, I wanted to give
12 both of you an opportunity to comment.

13 DR. COOK: This is Tom Cook. I don't think
14 I have anything else to add.

15 DR. LEWIS: Thank you.

16 Dr. Rossert?

17 DR. ROSSERT: Yes. Maybe I can make a few
18 comments.

19 The first one, if industry was coming with a
20 study and asking the same question, I don't think
21 we'd have an article [indiscernible]. Having said
22 so, I don't think we should treat this study to the

1 same standard, an industry-sponsored study. I
2 think it's very valid that we're here today and
3 asking difficult questions.

4 Now, I also think that the question that is
5 most meaningful to me is whether or not to discount
6 data from Russia and Georgia and not whether or not
7 to rely on post doc group analysis. I would not be
8 comfortable relying on post doc group analysis.
9 However, based on all we've heard on the arguments,
10 that have been compelling, made by Dr. Pitt and
11 Dr. Pfeffer, I would be comfortable removing data
12 from Russia and Georgia.

13 Considering that TOPCAT was actually a
14 smaller study done in the Americas, look at that
15 part of the study, of the stand-alone study, and
16 then we could ask the question, is this study
17 compelling enough to warrant an indication. I
18 would add that based on the data that were
19 presented today and have been published, my
20 preference is the difficult question would be yes.
21 Thank you very much for now.

22 DR. LEWIS: Thank you, Dr. Rossert.

1 If there is no further discussion on this
2 question -- Dr. Cook, your hand is up.

3 DR. COOK: Yes. I've decided to make a
4 comment. I don't know if I'm allowed to comment on
5 the next question, which is a voting question, but
6 the voting question --

7 DR. LEWIS: No, you're not.

8 DR. COOK: Could I just say, the voting
9 question doesn't refer to prior evidence, whereas
10 the voting question yesterday did. So the question
11 here is, does TOPCAT provide sufficient evidence
12 supporting the indication? And the difference I
13 see between that and yesterday is I don't see the
14 evidence within this trial alone, whereas yesterday
15 we were allowed to bring in evidence from a
16 previous trial.

17 DR. LEWIS: Dr. Cook, I'm going to ask you
18 to hold that, if you aren't finished yet, to when
19 we come to asking the FDA to clarify the next
20 question.

21 DR. COOK: Okay.

22 DR. LEWIS: I'm going to pause now and

1 summarize. Thank you, Dr. Cook, though. I'm going
2 to do my best to summarize what's been a fantastic
3 discussion, which I'm sure the FDA is going to much
4 appreciate.

5 I think that we have a spectrum of opinions,
6 but overall there is certainly expressed by many
7 people the concern about throwing out completely,
8 half if you will, of the patients, although a far
9 smaller number of the events, and Dr. Emerson
10 clarified the impact on precision versus
11 generalizability.

12 There was some support for looking, however,
13 at just hospitalizations for heart failure, where
14 without throwing out data, we find support in
15 TOPCAT, and also for looking at the group that we
16 talked a lot about yesterday, which is the lower
17 ejection fraction group. Both of those things were
18 expressed by several of our speakers.

19 There was also comments about the
20 interaction value and the interaction for ejection
21 fraction as well. And I just lost my computer
22 screen. One second here to get back on.

1 I don't mean to make it too short, that
2 summary, because I think there was a lot of detail
3 in what everybody said, but I think -- oh, one
4 other point that I think is worth it as a separate
5 point is that there was an effect on CV death, and
6 that was impressive.

7 There was a lot of concern about precedence
8 and also concern about whether there was an
9 influence of this not being a pharmaceutical
10 company study but an NIH study. Certainly,
11 Dr. Nissen eloquently detailed for us the
12 missingness data issue, the issue of the p-value
13 not even being very close, and the differences
14 between it in a well-conducted study.

15 So I will stop there with my summary, and
16 since there is no further discussion at this time
17 of this question, I will now read the next
18 question. It's a voting question, and Dr. Joyce Yu
19 will provide the instructions for the voting, and I
20 will then read the question.

21 Dr. Yu?

22 DR. YU: Yes. Thank you. This is Joyce Yu,

1 the DFO.

2 Hi. Can you hear me?

3 DR. LEWIS: Umm-hmm. Yes.

4 DR. YU: Okay. Thanks.

5 Question 2 is a voting question. Voting
6 members will use the Adobe Connect platform to
7 submit their votes for this meeting. After the
8 chairperson has read the voting question into the
9 record and all questions and discussion regarding
10 the wording of the vote question are complete, the
11 chairperson will announce that the voting will
12 begin.

13 If you are a voting member, you will be
14 moved to a breakout room. A new display will
15 appear where you can submit your vote. There will
16 be no discussion in the breakout room. You should
17 select the radio button, that is the round circular
18 button, in the window that corresponds to your
19 vote, yes, no, or abstain. You should not leave
20 the "no vote" choice selected.

21 Please note that you do not need to submit
22 or send your vote. Again, you need only to select

1 the radio button that corresponds to your vote.
2 You will have the opportunity to change your vote
3 until the vote is announced as closed. Once all
4 voting members have selected their vote, I will
5 announce that the vote is closed.

6 Next, the vote results will be displayed on
7 the screen. I will read the vote results from the
8 screen into the record. Thereafter, the
9 chairperson will go down the roster and each voting
10 member will state their name and their vote into
11 the record. You should also state the reason why
12 you voted as you did if you want to. However, you
13 should also address any subparts of the voting
14 question, if any.

15 Are there any questions about the voting
16 process before we begin?

17 (No response.)

18 DR. YU: Okay. I don't see any.

19 Dr. Lewis?

20 (No response.)

21 DR. YU: Dr. Lewis, do you want to proceed?

22 DR. LEWIS: I will now read the voting

1 question.

2 Does the TOPCAT trial provide sufficient
3 evidence to support any indication?

4 Dr. Cook, do you want to say more about the
5 question you had to the FDA about whether we should
6 be considering -- even though they don't ask us
7 that in this question, to clarify whether we should
8 be considering the RALES trial, I guess is what you
9 were asking.

10 DR. COOK: No. I was just addressing the
11 previous question in the context of this question.
12 I understand this question. And the way I would
13 view the evidence in this trial from question 1
14 would be different depending on whether it's just
15 about the information in TOPCAT versus everything
16 we know about this drug in both the HFpEF and the
17 HFrfEF population. So I have no questions about
18 this question.

19 DR. LEWIS: Okay. I will give the FDA a
20 chance to comment if they want to.

21 DR. STOCKBRIDGE: Yes. This is Norman
22 Stockbridge. I certainly think that you should

1 consider anything you think you know about
2 spironolactone in answering this question. The
3 difference in the wording here reflects my failure
4 to anticipate how interested you might be in RALES
5 as a predicate in this case, but you're certainly
6 welcome to consider it, and anything else you think
7 you know.

8 DR. LEWIS: Thank you, Dr. Stockbridge.

9 If there are no further questions or
10 comments concerning the wording of the question, we
11 will now begin the voting on question 2.

12 DR. YU: Okay. We will now move voting
13 members to a voting breakout room to vote only.
14 There should be no discussion in the breakout room.

15 (Voting.)

16 DR. YU: The voting is closed and is now
17 complete. Once the vote results display, I will
18 read the vote results into the record.

19 (Pause.)

20 DR. YU: The vote results are now displayed.
21 I will read the vote totals into the record. The
22 chairperson will go down the list and each voting

1 member will state their name and their vote into
2 the record. You can also state the reason why you
3 voted as you did if you want to, however, you
4 should also address any subparts of the voting
5 question, if any.

6 The vote total was 8 yes; 4 nos; and 1
7 abstention. Thank you.

8 DR. LEWIS: Thank you, Dr. Yu.

9 We will now go down the list and have
10 everyone who voted state their name and vote into
11 the record. You may also provide justification of
12 your vote if you wish to. However, please remember
13 to address any of the subparts of the question that
14 correspond to your vote. We'll start with
15 Dr. Thadhani.

16 DR. THADHANI: Thank you, Dr. Lewis.

17 My vote was yes. I believe when the NHLBI
18 embarked on this study, they were hoping to bring
19 an inexpensive generic therapy for an unmet medical
20 need. On balance, I believe there's more positives
21 than negatives, and most compelling to me were the
22 data including all patients from all regions on

1 heart failure. Thank you.

2 DR. LEWIS: Thank you.

3 Ms. Alikhaani?

4 MS. ALIKHAANI: Yes. I voted yes. I think
5 that it wasn't an altogether easy decision for me
6 because of questions about the data, and I wish
7 that there had been more ethnic diversity in the
8 trial. But again, keeping my sights on helping
9 patients who are living with this terrible disease,
10 heart failure, and the quality-of-life issues that
11 exist for patients like that, I felt that, overall,
12 there was enough evidence that's positive enough to
13 help patients. So that's why I voted yes.

14 DR. LEWIS: Thank you.

15 Dr. Merz?

16 DR. BAIREY MERZ: Thank you. I voted yes.
17 I voted yes because I do value the secondary
18 outcomes, and while that establishes a precedence
19 that I think has made our colleagues concerned, I'm
20 equally concerned about establishing a precedence
21 that we cannot do generic trials on reasonable
22 budgets that our federal government would do in

1 order to provide generic, cheap medications for the
2 large population that we serve.

3 We always have to balance risk and benefits,
4 but I see that as a balance and weighing in terms
5 of wording [ph] type of trials and trying to make
6 them better. Thank you.

7 DR. LEWIS: Thank you, Dr. Merz.

8 Dr. O'Connor.

9 DR. O'CONNOR: Chris O'Connor. I voted yes,
10 and in doing so I considered the totality of
11 information presented by the investigators and in
12 the briefing document, including the RALES trial
13 and the reduced ejection fraction patients. I
14 think the investigators provided compelling
15 evidence, with or without the Georgia/Russia cohort
16 included, on the efficacy signal, on heart failure
17 hospitalization reduction, and I think this is the
18 augmented sweet spot of this data set. Thank you.

19 DR. LEWIS: Thank you, Dr. O'Connor.

20 Dr. Ridker?

21 DR. RIDKER: Yes. Paul Ridker. I voted to
22 abstain, and I want to explain why. I actually had

1 to look up the formal definition of abstain, not
2 the one about not doing something you enjoy, the
3 other definition, which was formerly declining to
4 vote, and I want to be very clear about why. Like
5 many of you, I am very concerned about the
6 precedent of dropping half the data. I think that
7 would be wrong. Even though in this case I believe
8 half the data is wrong, I just don't like the
9 precedent that it would set.

10 As I said earlier, as I was trying to
11 convince myself of the middle ground, I think I
12 would be very comfortable with the secondary
13 endpoint of HHF among people with mild and reduced,
14 but the problem is we never saw that data today.
15 We saw a lot of discussion about why we should be
16 suspect of the Russia data, but I have a feeling
17 the data that I'm looking for exists, and that
18 there probably is a combination of the RALES and
19 TOPCAT data stratified by ejection fraction. And
20 if it doesn't formerly exist, it probably could
21 easily be put together.

22 So for those of you who actually vote, it

1 says on the instructions, "yes, no, uncertain" but
2 the voting is actually yes, no, abstain. And I
3 would actually be in the uncertain group saying,
4 "If that other data was made available and
5 presented, I probably could be persuaded into a
6 yes."

7 DR. LEWIS: Thank you, Dr. Ridker.

8 Dr. Moliterno?

9 DR. MOLITERNO: Yes. Thank you, Dr. Lewis.

10 I have to say again, thanks to all my
11 colleagues. I enjoyed today tremendously, even
12 more than yesterday, by the way, just because this
13 one did take more challenge. I thought the vote
14 was actually going to be closer personally, and I
15 was moved by Dr. Ridker saying he felt torn
16 earlier.

17 Having said that, I had to ask myself what
18 was sufficient evidence and what was any
19 indication, and the disagreement I had was I don't
20 think our decision, or the FDA's decision here,
21 asked to set a precedence. We all strive for
22 consistency for sure, but I don't think we have to

1 set a precedence.

2 Like Dr. O'Connor, I tried to look at the
3 totality of information, and I agree there is some
4 evidence, and I agree that it's probably sufficient
5 evidence. You could be a purist, but I do think,
6 like Dr. Bairey Merz says, there is some
7 indication, and that would probably be for the
8 reduction in heart failure hospitalizations. Thank
9 you.

10 DR. LEWIS: Thank you.

11 Dr. Nissen?

12 DR. NISSEN: I voted no, which probably
13 won't surprise anybody from my comments earlier,
14 and I want to make sure I'm very clear about why.
15 I do think when we make decisions, it sets
16 precedence, and I just would point out to my
17 colleagues that if the pharmaceutical industry came
18 to us with a study like this and said, "We did this
19 study, and the p-value was 0.14, but we think a
20 bunch of our sites weren't very good, so we're
21 going to throw those sites out, and we're just
22 going to look at the data on the sites that we

1 like," the FDA would not have even brought that
2 before us.

3 I cannot hold other sponsors, including our
4 own government, to a different standard than I
5 would have had, over the last 20 years in FDA
6 panels, for industry-sponsored studies. The
7 primary endpoint here failed by a significant
8 margin. The study was marginally powered in the
9 first place. The large amount of missingness is
10 very troubling, particularly when it is different
11 in the two regions that we're trying to consider
12 differently here. It raises the rather ominous
13 question of informative censoring, which I don't
14 think we have ruled out.

15 Now, several people have articulated that,
16 "Well, we can look at the lower EF group," and you
17 will recall yesterday that there was a very strong
18 p-value for people whose EFs were below the median.
19 Here, the interaction p-value here is 0.08; it's
20 not significant. So by definition, at least using
21 the standards that were applied in this trial,
22 there is no heterogeneity based upon ejection

1 fraction.

2 In the end of the day, poor study conduct
3 cannot be an excuse for a result that we don't
4 like. We'd all love to have a cheap generic,
5 widely available drug. This is a drug that is not
6 without adverse effects. You are all aware of the
7 Gerling paper from Canada showing what happened
8 after some of the early spironolactone trials that
9 showed a big increase in hospitalizations and
10 emergency department visits for hyperkalemia and
11 other adverse effects.

12 So I'm worried about letting the genie out
13 of the bottle. I'm worried about setting a
14 precedent that we are allowed to use the data we
15 like and throw out the data that we don't like. I
16 like the idea of having the uniform approach to how
17 we review clinical trials regardless of who the
18 sponsor is; that good science is good science. It
19 doesn't matter who pays for the science. What
20 matters is the quality of the data.

21 A final point is a lot of information was
22 given about how in Europe they used history of

1 hospitalization rather than BNP as the deciding
2 factor in whether you got into the trial or not,
3 and we learned from the investigators,
4 interestingly, that a lot of the sites in Europe
5 didn't have BNP measurements available to them.
6 Well, you know, the investigators chose those
7 sites, and they knew exactly what they were getting
8 into. And if they didn't have BNP, and if BNP was
9 that important, than those sites shouldn't have
10 been in the trial in the first place.

11 So for all of those reasons, I don't want to
12 go there. I have the greatest respect for
13 Drs. Pitt and Pfeffer, enormous respect, but I
14 disagree. I don't think this would be a good
15 regulatory decision, and I hope FDA doesn't go
16 there.

17 DR. LEWIS: Thank you, Dr. Nissen.

18 So I share many of the views that Dr. Nissen
19 just expressed. I was very torn. I almost did
20 abstain. I do almost feel like this is an orphan
21 disease presentation. It is obviously not an
22 orphan disease; unfortunately, it's way too

1 prevalent, but there is no acceptable therapy for
2 it. I really would love to believe that this cheap
3 generic drug, done by wonderful colleagues of ours
4 and the NIH, which I greatly respect, and supported
5 by the FDA with Dr. Rose, all those things
6 definitely were influencing me. But when I thought
7 with my head more than my heart, I'm very
8 concerned.

9 We highlighted certain aspects of this trial
10 that showed it was not well monitored and not well
11 conducted, but we don't know what we don't know. I
12 mean, there may be other aspects. When you see
13 this kind of egregious -- and I guess, Dr. Pfeffer
14 said cancerous -- problem with the trial, there may
15 be more problems that were just not uncovered or
16 not apparent.

17 So I feel also cherry-picking one of the
18 outcomes made me uncomfortable, an extremely
19 important one, to keep people out of the hospital
20 with heart failure. I think all of those things,
21 they're just too many. As was the case for me
22 yesterday, they're just too many exceptions to have

1 to make to get where my heart says would be a great
2 place for us to go, but I can't say that my head
3 thinks that this is a mixed, assembled body of data
4 to do so.

5 I'll stop there, and I'll go to Dr. Emerson.

6 DR. EMERSON: This is Scott Emerson. I
7 voted no. I did this despite the fact that I
8 pointed out the analyses, that had they been
9 prespecified, I would have found quite compelling.
10 And in hindsight, had I been confronted at the time
11 with the differential in the event rates, I
12 certainly would have rewritten my statistical
13 analysis plan immediately to say we'll stratify on
14 this and I am cognizant of the difficulties in the
15 communication between the DSMB and the
16 investigator, some of which, in my experience, has
17 been exacerbated by NHLBI policy, but that's ok.

18 But I was left at the end of the day with,
19 if the investigators had come to me with the
20 analysis that, a priori, made sense, I would have
21 been far more accepting of this idea. But instead
22 they came to me with also a zillion other analyses

1 that just do not meet scientific rigor at all. So
2 now we're into this world of I can't act on that
3 because I don't know, again, how many other things
4 I would have seen in other situations.

5 So some of this is that it's got to be a
6 well-conducted trial, so there were some problems
7 with it, as Steve pointed out. So I'll sign on to
8 all of Steve's opinions, and then just add in those
9 other comments of my own. And I'll come back to
10 some of them in question 3 and 4, but also noting
11 that there's nothing really stopping this drug
12 being prescribed to this patient population right
13 now.

14 DR. LEWIS: Thank you, Dr. Emerson.

15 Dr. Gibson?

16 DR. GIBSON: Based upon the totality of
17 evidence, I found the potential benefits outweigh
18 the potential harms of the drug, the drug which has
19 been in use for nearly 60 years. I did find that
20 the data met the regulatory bar, being compelling,
21 for the secondary endpoint as cumulative heart
22 failure hospitalizations and in those with an EF

1 less than 56 percent. An interaction of a p of
2 0.08 is not persuasive in my mind to reject
3 heterogeneity by EF, as it's so underpowered. My
4 decision was based upon analyses that do not
5 exclude half the patients. They include all the
6 patients. Thank you.

7 DR. LEWIS: Thank you, Dr. Gibson.

8 Dr. Kasper?

9 DR. KASPER: Yes. This is Dr. Ed Kasper. I
10 voted yes, and this I found to be a very difficult
11 decision. I wanted to thank everyone for two
12 incredibly interesting days. In fact, I would go
13 so far as to say that these were two of the most
14 interesting days I've had in the last six months.
15 I'm very sympathetic and I find Drs. Rose, Pitt,
16 and Pfeffer very persuasive. I also find
17 Dr. Nissen very persuasive as well in that we
18 certainly don't want to be setting any bad
19 precedence here.

20 If there's any reason that I'm on this
21 committee, it's because somebody thinks that I'm a
22 thoughtful clinician, and when I put my clinician

1 hat on as I went into the room, I realized that I
2 use this drug in this patient population already.
3 So somehow I've become convinced that this drug
4 worked, so I voted yes.

5 Now, after discussion of all this, if the
6 FDA decided that there really wasn't an indication
7 here, or that there were reasons above and beyond
8 that where they just didn't want to go for
9 regulatory reasons, I would be perfectly satisfied
10 with that. My suspicion is that when the next
11 iteration of the ACHA guidelines come out on this,
12 and I was part of the 2013 guidelines, this will
13 probably move from a 2B to a 2A. It's certainly
14 not going to go to a 1. Let's put it that way.
15 Thanks. That's it.

16 DR. LEWIS: Thank you, Dr. Kasper.

17 Ms. Chauhan?

18 MS. CHAUHAN: Thank you. Cynthia Chauhan.

19 To Dr. Kasper's point about the drug is
20 already in use, I think that makes an important
21 argument for this drug being approved so that the
22 use can be monitored and physicians can be really

1 strongly reminded that they must, must look at
2 potassium and the other one I'm not thinking right
3 now.

4 But I want to say, we all want good trials.
5 I enter trials all the time with the expectation
6 and understanding that they are ethical and well
7 conducted. We want trials where the data holds up,
8 but we must be willing to investigate and
9 reconsider it when it does not hold up and to
10 support and never supplant good patient care.

11 These have been two very interesting days,
12 and my learning from these two days is not in an
13 area that I expected. It's that there's confusion
14 about the diagnostic category of what is now called
15 heart failure mid-range ejection fraction by
16 putting that in with HFpEF, and that affects trial
17 development and interpretation. The approach to
18 including this group in HFpEF may be negatively
19 affecting interpretation and its making. So I
20 think it's really important that we're pulling this
21 mid-range group out into a separate category and
22 not lumping it with HFpEF.

1 Thank you, and thank you for the
2 opportunity. I appreciate the ethical standards of
3 the FDA. I appreciate the passion and standards of
4 the people who conducted this trial. Thank you.

5 DR. LEWIS: Thank you, Ms. Chauhan.

6 Dr. Cook?

7 DR. COOK: Thomas Cook. I voted no, but it
8 was a really close call. I very nearly abstained,
9 and I very nearly clicked yes. In the end I voted
10 no because none of the results that I saw were
11 terribly compelling. The confidence intervals
12 barely missed 1 for almost everything that I really
13 looked seriously at, and I was concerned about the
14 overall scientific rigor of the conduct of the
15 study. I think we would be better served by doing
16 at least one more study beyond this one before I
17 would support approval for indication. That's all
18 for me.

19 DR. LEWIS: Thank you, Dr. Cook.

20 Well, I will then attempt to summarize. I
21 think that recognizing the unmet need and the
22 positive effects on decreasing a very important

1 outcome, to be patient hospitalization for heart
2 failure, many people voted yes. They were also
3 influenced by the fact that this drug may already
4 be in use. There certainly was a discussion, and I
5 think almost a clear recommendation, for the FDA to
6 do an analysis similar to what was done with
7 PARADIGM and PARAGON, using RALES and TOPCAT.

8 I think that those who voted no have concern
9 about both setting a precedent but also having a
10 different set of standards for the quality of the
11 data we would accept coming from pharma and coming
12 from the NIH, and that is something that we
13 shouldn't probably let influence us because our job
14 is to look at it from wherever it comes from by a
15 set of standards.

16 I think there were some general comments
17 about the totality of the data. I think Dr. Kasper
18 said that he felt it would not become a 1
19 indication with the heart society but a 2A. I
20 think he actually had some concerns about how this
21 will be viewed in this community.

22 I think that summarizes it, and we will now

1 move on to question 3. If an indication for
2 spironolactone were not granted on the basis of
3 available information, what would be necessary to
4 augment the support for approval?

5 Are there any questions about the wording of
6 the question itself for the FDA?

7 (No response.)

8 DR. LEWIS: I don't see anyone's hands up,
9 so if there are no questions or comments concerning
10 the wording of the question, we will now open the
11 question for discussion.

12 (No response.)

13 DR. LEWIS: And I don't see any hands up
14 yet, but I will just reiterate the comment that I
15 just made, that you could augment your support for
16 approval by using data from RALES perhaps.

17 I believe, Dr. Nissen, you were first, but
18 I'm not sure. Go ahead, Dr. Nissen.

19 DR. NISSEN: Okay. Thank you, Julia.

20 First of all, I do think it's worth
21 studying, and while I recognize that the federal
22 agency here, NIH, doesn't have an unlimited amount

1 of money, I do think that there's enough
2 information here to warrant doing the study. That
3 study can be well focused because there at least
4 are some prior expectations about what it should
5 look for, to look at heart failure hospitalization;
6 try to run the study with high quality; and enroll
7 the right patients.

8 We're now in a modern era where NT-proBNP is
9 available from almost every center that might be
10 included, and there might be greater enthusiasm for
11 doing this study now, that there is at least -- I
12 would consider the data that we have in front of us
13 from TOPCAT to be pilot data, to be preliminary
14 data that suggests that there's possibly an effect
15 that can be then confirmed with a follow-on study,
16 and I think it would be worth the investment. Not
17 everything that NHLBI is invested has worked out
18 well. This is one where the chances of a positive
19 study are high, and if conducted with high quality,
20 could be done in a very efficient fashion.

21 DR. LEWIS: Thank you, Dr. Nissen.

22 Dr. O'Connor?

1 DR. O'CONNOR: Thank you, Julia.

2 Chris O'Connor. I would say that by
3 combining, as you did, the RALES data set with the
4 TOPCAT data set, we could get a clearer
5 understanding of the spectrum of efficacy across
6 the ejection fraction and better understand if the
7 EF range between 45 to 55 is really the augmented
8 sweet spot.

9 I'd also say that there is an ongoing trial.
10 I don't know when it will end. I understand there
11 are recruitment problems. It's a registry
12 randomized trial being conducted out of
13 Sweden of spironolactone in HFpEF. I think NHLBI
14 is involved, and it's a 3,000-patient trial with
15 clinical outcomes. So that could augment this
16 application. Thank you.

17 DR. LEWIS: Thank you, Dr. O'Connor.

18 Dr. Emerson?

19 DR. EMERSON: I won't reiterate things that
20 were just said because I agree with them, but I
21 will just state that some guidance could get
22 through some more careful analysis of the data

1 that's at hand, but I don't really think it would
2 stand entirely on its own. But it may help the
3 agency understand the repercussions if, as I would
4 hope, they redefine what is HFrEF, to be a slightly
5 higher ejection fraction, and what the implications
6 would be for this indication as well.

7 DR. LEWIS: Thank you, Dr. Emerson.

8 Ms. Chauhan?

9 MS. CHAUHAN: Thank you. Cynthia Chauhan,
10 patient representative. Since this drug is now
11 being used off label, we have an obligation to
12 study the drug to either support its use with
13 appropriate monitoring or designate its use
14 inappropriate. We cannot let the patient
15 population possibly be abused or we need to support
16 them, potentially being supported, in dealing with
17 their disease. Thank you.

18 DR. LEWIS: Thank you, Ms. Chauhan.

19 Dr. Gibson?

20 DR. GIBSON: I agree with integrating the
21 RALES data. That would be a great idea. And if
22 another study was undertaken, obviously it would be

1 great to focus on those with mildly reduced EF,
2 looking at total hospitalizations. But as I said
3 yesterday, consideration could be given to a win
4 ratio so that those patients who did not sustain
5 events could be compared with age, and sex match
6 people on a continuous variable, either BNP, New
7 York Heart Association, or some other variable like
8 that. Thank you.

9 DR. LEWIS: Thank you, Dr. Gibson.

10 Dr. Thadhani?

11 DR. THADHANI: Thank you, Dr. Lewis.

12 We were told, of course, and warned about
13 the side effects of this agent, namely elevated
14 creatinine and potassium. This was evident to us,
15 it was made clear to us, and appropriate
16 precautions have to be addressed.

17 As far as risk-benefit, if the agency
18 believes there is a benefit, obviously the question
19 is does the risk outweigh the benefit? And given
20 that my guess would be 30 to 40 percent, at least,
21 of the patients with this condition that have some
22 form of chronic kidney disease, the risk-benefit

1 ratio would have to be looked at very carefully, if
2 especially there are alternatives for this
3 population. Thank you.

4 DR. LEWIS: Thank you, Dr. Thadhani.

5 Ms. Alikhaani?

6 MS. ALIKHAANI: Yes. Jacqueline Alikhaani,
7 consumer representative. I would really like to
8 see a new trial, a new and better trial addressing
9 all of the shortcomings that we've discussed today,
10 and also a better trial monitoring planning so that
11 we can best protect the data integrity for all of
12 the data, and also more ethnic diversity in a new
13 trial. Thank you.

14 DR. LEWIS: Thank you.

15 If those of you who don't have another
16 comment could lower your hands. Dr. Nissen, your
17 hand is up. Dr. Emerson, your hand is up. There
18 it goes. Dr. Emerson, your hand is up, and --

19 DR. EMERSON: And I still have another
20 comment.

21 DR. LEWIS: -- Ms. -- oh, good. Thank you,
22 Dr. Emerson. Go ahead.

1 DR. EMERSON: I just wanted to acknowledge
2 the statements that I made. Of course, my major
3 contribution to public health is that I don't treat
4 patients. That having been said, when I was saying
5 that this drug could be given, it's a diuretic, and
6 in HFpEF, my understanding is that's an avenue of
7 treatment that you'd have.

8 So that was a major element, that I was
9 saying that you wouldn't be running up against
10 payers ever saying what's your indication for this
11 drug, as opposed to a brand new drug that comes
12 with a narrow indication, that you could really run
13 into the payers doing it. I would welcome being
14 corrected by those cardiologists who do in fact
15 earn a living based on their knowledge.

16 The other aspect that I just will note is
17 that the sad fact of life is, is this an orphan
18 drug? Well, it's telling that nobody who makes
19 this generic drug wanted to go through the trouble
20 of signing on to this idea. You won't have the
21 marketers. You just will not have the marketers
22 coming in and telling you -- on the other hand, I

1 don't know if anybody looked at the press release
2 from Novartis yesterday, but my impression of the
3 meeting that went down yesterday is very different
4 than what Novartis' impression was in their press
5 release. So that's what you're up against.

6 DR. LEWIS: Thank you, Dr. Emerson.

7 Does anyone else have a comment? Oh, I'm
8 sorry.

9 Dr. Ridker?

10 DR. RIDKER: Yes. I actually just wanted
11 to, more or less, put in the record something very
12 similar today to what I said yesterday about my
13 fundamental beliefs, and I think we've really
14 learned this over the last two days. I just want
15 to underscore, again, this fundamental importance
16 of why we do randomized, double-blind,
17 placebo-controlled trials.

18 I think we've seen over the last two days
19 extraordinarily sophisticated thoughts, tremendous
20 care by both the presenters and the commentators,
21 and also, frankly, our FDA colleagues having some
22 flexibility and openness about how they think about

1 trial data, all, from my perspective, in the
2 context of we need to be very, very careful and,
3 from my perspective, pushback fairly hard against
4 those who want us to be shifting into observational
5 data.

6 I just don't think the kinds of effects we
7 saw and we're talking about here today and the kind
8 of nuance we're talking about here today could ever
9 come from that direction. And I just want us to be
10 clear, again, that I think this has been an
11 excellent example of why it's so important that we
12 do these studies, try to make them cost-effective,
13 and try to monitor them appropriately and, again,
14 thank the FDA, actually, for holding these two-day
15 meetings at all. I think it's the right thing to
16 do.

17 DR. LEWIS: Thank you, Dr. Ridker, and I
18 agree with you completely that randomized,
19 double-blind trials are what we need to have
20 confidence to recommend any drug. There's no such
21 thing as a completely safe drug. Also, asking
22 patients just to swallow one more pill and pay for

1 one more pill is a burden if it is of their
2 benefit. So I echo your sentiment strongly.

3 We now move on to our last and fourth
4 discussion question. If spironolactone warranted
5 an indication, how would you describe the patients
6 in whom such benefit applies?

7 Are there any questions for the FDA about
8 the wording of this question?

9 (No response.)

10 DR. LEWIS: Okay. Then if there are no
11 questions or comments concerning the wording of the
12 question, we will now open the question to
13 discussion.

14 Dr. Emerson? Oh, I'm sorry. Can I pause
15 for a second? I forgot to summarize what we all
16 just said, and I apologize for that.

17 I think for discussion question number 3 for
18 the FDA, I think it was certainly the suggestion
19 that you look at the continuous data from RALES
20 through TOPCAT. Dr. Emerson certainly thought that
21 maybe there could be some more careful analysis of
22 the current data. Looking at it in the ranges of

1 different left ventricular ejection fractions was
2 also suggested, and concern about the side effects,
3 which I share, about increased serum creatinine and
4 potassium and being taken care of and advising
5 those precautions, particularly for patients who
6 are at great risks who have chronic kidney disease.

7 There was also certainly enthusiasm for
8 learning from this study, and from what the study
9 showed, to go ahead and design another study that
10 would make good use of the data that we heard
11 today, and I apologize.

12 Go ahead, Dr. Emerson --

13 DR. EMERSON: My only comment is --

14 DR. LEWIS: -- for discussion --

15 DR. EMERSON: This is Scott Emerson, and my
16 only comment is that careful thought needs to be
17 given; that some of the evidence is coming from the
18 existing indication of spironolactone, and that in
19 TOPCAT, certainly there was the suggestion that the
20 effect was larger in the lower ejection fraction.
21 So my best guess would be that the patient
22 population that you would look at would be those

1 with below normal ejection fraction.

2 DR. LEWIS: Look at them for safety. Is
3 that what you said, Dr. Emerson?

4 DR. EMERSON: No, for the indication, for
5 the indication.

6 DR. LEWIS: Oh, for the indication.

7 DR. EMERSON: Yes. They already use
8 spironolactone just as a diuretic. The indication
9 is are we helping the heart failure
10 hospitalizations and possibly cardiovascular
11 mortality? But the composite endpoint certainly
12 looks strongest in the subgroup with lower ejection
13 fraction, and certainly if you were going to go
14 with taking this data and going ahead and making an
15 indication, I certainly think it should be limited
16 to that group arguing borrowing from RALES and also
17 what this data showed.

18 DR. LEWIS: Thank you.

19 Dr. O'Connor?

20 DR. O'CONNOR: Chris O'Connor, and I would
21 articulate the indication and agree with
22 Dr. Emerson, Ridker, Bairey Merz, and Moliterno,

1 that spironolactone would be indicated for the
2 treatment of heart failure with mildly reduced
3 ejection fraction, defined as EF between 45 and 55,
4 for the reduction of heart failure hospitalization.
5 This is based on the totality of data presented
6 today, the RALES data, the entire briefing
7 document, and what's in the literature, which I
8 must admit I may have an advantage as a heart
9 failure clinical trialist and editor of JACC: Heart
10 Failure. Thank you.

11 DR. LEWIS: Thank you.

12 Dr. Merz?

13 DR. BAIREY MERZ: Noel Bairey Merz. I
14 completely agree with that. I would simply modify
15 it to extend up to 57 for women or 57 for everyone,
16 for the reasons stated yesterday.

17 DR. LEWIS: Thank you.

18 Ms. Chauhan?

19 MS. CHAUHAN: Cynthia Chauhan, patient
20 representative. I agree with what's been said and,
21 once again, I think this really calls us to look at
22 removing heart failure with mid-range ejection

1 fraction from heart failure with preserved ejection
2 fraction, and making it its own very distinct
3 diagnostic category. Thank you.

4 DR. LEWIS: Thank you, Ms. Chauhan.

5 Dr. O'Connor, do you have another comment
6 for this discussion question or is your hand still
7 up.

8 (No response.)

9 DR. LEWIS: Okay. Great.

10 So I will summarize this fourth question. I
11 think the summary is that the group to which it
12 would apply would be the group with ejection
13 fraction. I think it's varied amongst the
14 different speakers, but somewhere in the area of 40
15 to 45 to 55 to 57 for the indication of reducing
16 hospitalization for heart failure primarily,
17 although Dr. Emerson once again noted that there
18 may be a benefit on CV death.

19 It was also, I think, well pointed out, and
20 I think it's been mentioned several times, that the
21 term "HFpEF" implying that these benefits may
22 extend to truly normal ejection fraction would be a

1 mistake, and that definitely either a new name,
2 "mid-range, mildly reduced" or a specific numerical
3 range, as I mentioned, would be the population to
4 which we would recommend application.

5 If there are no more questions, before we
6 adjourn, are there any last comments from the FDA?
7 I will say that I've lost Joyce Yu in even my chat,
8 so I hope she doesn't have anything she needs to
9 tell me. But are there any comments from the FDA?

10 Dr. Stockbridge?

11 DR. STOCKBRIDGE: Yes. This is Norman
12 Stockbridge, and I want to express my great
13 appreciation to the committee for a second day of a
14 terrific set of discussions. I think this has
15 raised some issues we hadn't really thought much
16 about that we'll have to delve into before we do
17 anything, if we're going to. So it's great. It's
18 been a very rich experience, and I'm grateful to
19 everybody who's participated in it today.

20 DR. LEWIS: Thank you.

21 Any other comments from the FDA?

22 (No response.)

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Adjournment

DR. LEWIS: If not, I will share my thanks to our presenters today, and to the entire committee, and to the FDA for bringing us very interesting topics to discuss. I think we've all appreciated having the opportunity to think about these things the last few days and hopefully make some contribution to helping you.

We will now adjourn the meeting; two for two, adjourning a bit early. Thank you all again.

(Whereupon, at 1:37 p.m., the meeting was adjourned.)