

SE ACCEPTANCE PHASE METRICS

Data as of: 1/1/2021

Metric	Product Category	FY 2020 Total	Oct. 2020	Nov. 2020	Dec. 2020	Jan. 2021	Feb. 2021	Mar. 2021	Apr. 2021	May 2021	Jun. 2021	Jul. 2021	Aug. 2021	Sep. 2021	FY 2021 To-Date	Total To-Date
Accepted	Cigarette	158	14	6	6										26	3,795
	Roll-Your-Own	96	0	25	0										25	1,748
	Smokeless	59	0	1	11										12	1,053
	ENDS	0	0	0	0										0	0
	Cigars	916	390	1,529	63										1,982	2,963
	Pipe	1,010	215	285	0										500	1,523
	Waterpipe	204	485	40	0										525	730
	Other	1	0	0	0										0	1
	Total		2,444	1,104	1,886	80										3,070
Refuse-to-Accept (RTA)	Cigarette	0	0	0	0										0	25
	Roll-Your-Own	8	0	0	0										0	24
	Smokeless	0	0	0	0										0	6
	ENDS	0	0	0	0										0	16
	Cigars	58	9	3	29										41	104
	Pipe	0	0	5	186										191	191
	Waterpipe	0	136	786	244										1,166	1,410
	Other	0	0	0	0										0	0
	Total		66	145	794	459										1,398
Total Applications Received	Cigarette	136	9	0	5										14	3,834
	Roll-Your-Own	135	10	8	7										25	1,804
	Smokeless	60	3	5	5										13	1,069
	ENDS	0	0	0	0										0	20
	Cigars	3,040	11	1	14										26	3,137
	Pipe	1,695	36	0	0										36	1,759
	Waterpipe	2,323	0	0	1										1	2,569
	Other	1	0	0	0										0	1
	Total		7,390	69	14	32										115

SE REVIEW AND ACTION METRICS

Data as of: 1/1/2021

Metric	Product Category	FY 2020 Total	FY 2021 Q1 (Oct.-Dec.)	FY 2021 Q2 (Jan.-Mar.)	FY 2021 Q3 (Apr.-Jun.)	FY 2021 Q4 (Jul.-Sep.)	FY 2021 To-Date	Total To-Date
Number of Discipline Reviews completed	Cigarette	968	88				88	18,833
	Roll-Your-Own	342	110				110	8,343
	Smokeless	408	14				14	7,004
	ENDS	0	0				0	4
	Cigars	257	600				600	1,225
	Pipe	931	173				173	1,141
	Waterpipe	99	177				177	276
	Other	7	0				0	7
	Total		3,012	1,162				1,162
Deficiency letters issued	Cigarette	122	0				0	5,649
	Roll-Your-Own	42	7				7	2,030
	Smokeless	54	2				2	1,559
	ENDS	0	0				0	0
	Cigars	19	41				41	118
	Pipe	26	29				29	55
	Waterpipe	5	9				9	14
	Other	1	0				0	1
	Total		269	88				88
Environmental Information Request letters issued	Cigarette	0	0				0	48
	Roll-Your-Own	2	0				0	154
	Smokeless	0	0				0	28
	ENDS	0	0				0	0
	Cigars	0	0				0	1
	Pipe	114	0				0	162
	Waterpipe	0	0				0	0
	Other	0	0				0	0
	Total		116	0				0

SE REVIEW AND ACTION METRICS

Data as of: 1/1/2021

Metric	Product Category	FY 2020 Total	FY 2021 Q1 (Oct.-Dec.)	FY 2021 Q2 (Jan.-Mar.)	FY 2021 Q3 (Apr.-Jun.)	FY 2021 Q4 (Jul.-Sep.)	FY 2021 To-Date	Total To-Date
Substantial Equivalence (SE) Orders	Cigarette	104	14				14	515
	Roll-Your-Own	31	5				5	683
	Smokeless	16	0				0	188
	ENDS	0	0				0	0
	Cigars	27	18				18	58
	Pipe	29	11				11	40
	Waterpipe	6	0				0	6
	Other	0	0				0	0
	Total	213	48				48	1,490
Not Substantially Equivalent (NSE) Orders	Cigarette	9	0				0	282
	Roll-Your-Own	0	0				0	50
	Smokeless	8	0				0	75
	ENDS	0	0				0	0
	Cigars	0	0				0	0
	Pipe	0	0				0	0
	Waterpipe	0	0				0	0
	Other	0	0				0	0
	Total	17	0				0	407
Withdrawn by Applicant	Cigarette	58	2				2	1,340
	Roll-Your-Own	6	2				2	459
	Smokeless	17	20				20	366
	ENDS	0	0				0	4
	Cigars	2	0				0	33
	Pipe	0	0				0	0
	Waterpipe	6	4				4	10
	Other	0	0				0	0
	Total	89	28				28	2,212
Closure	Cigarette	10	0				0	335
	Roll-Your-Own	0	0				0	141
	Smokeless	0	0				0	70
	ENDS	0	0				0	0
	Cigars	2	1				1	3
	Pipe	0	0				0	0
	Waterpipe	1	0				0	1
	Other	0	0				0	0
	Total	13	1				1	550