

December 05, 2019

EXEMPT

R.J. Reynolds Tobacco Company
Attention: Michael W. Ogden, Ph.D.
Senior Vice President, Scientific & Regulatory Affairs
RAI Services Company
401 North Main Street
Winston-Salem, NC 27101

FDA Submission Tracking Numbers (STNs): Multiple STNs, see Appendix A

Dear Dr. Ogden:

We completed review of your EX REQs¹ and determined that the new tobacco products listed in Appendix A are exempt from the requirements of Substantial Equivalence.²

Our finding does not mean we “approved” the new products specified in Appendix A; therefore, you may not promote or in any way represent the new tobacco products specified in Appendix A, or the labeling, as being “approved” by FDA (see Section 301(tt) of the FD&C Act).

To market the new tobacco products that are the subject of these EX REQs, the following must be met:

- 1. Submit a report under section 905(j)(1) (Abbreviated Report) that includes the information required in sections 905(j)(1)(A)(ii) and 905(j)(1)(B); and**
- 2. Ninety days have passed since FDA receipt of your Abbreviated Report.**

See Appendix B for FDA’s recommended format for submitting of an Abbreviated Report.

In accordance with 40 CFR 1506.6, we will make your Environmental Assessment (EA) publicly available.

All regulated tobacco products, including the tobacco products specified in Appendix A, are subject to the requirements of the FD&C Act and its implementing regulations. It is your responsibility to ensure the tobacco products specified in Appendix A comply with all applicable statutory and regulatory requirements. FDA will monitor your compliance with all applicable statutes and regulations.

¹ Requests for Exemption from Substantial Equivalence (EX REQs) submitted under section 905(j)(3) of the Federal Food, Drug, and Cosmetic Act (FD&C Act)

² See section 910(a)(3)(a) of the FD&C Act

We encourage you to submit all regulatory correspondence electronically via the CTP Portal^{3,4} using eSubmitter.⁵ Alternatively, submissions may be mailed to:

Food and Drug Administration
Center for Tobacco Products
Document Control Center (DCC)
Building 71, Room G335
10903 New Hampshire Avenue
Silver Spring, MD 20993-0002

The CTP Portal and FDA's Electronic Submission Gateway (ESG) are generally available 24 hours a day, seven days a week; if the upload is successful, submissions are considered received by DCC on the day of upload. Submissions delivered to DCC by courier or physical mail will be considered timely if received during delivery hours on or before the due date⁶; if the due date falls on a weekend or holiday, the delivery must be received on or before the preceding business day. We are unable to accept regulatory submissions by e-mail.

If you have any questions, please contact Jennifer Schmitz, M.P.H., Regulatory Health Project Manager, at (240) 402-5892 or Jennifer.Schmitz@fda.hhs.gov.

Sincerely,

Digitally signed by Matthew R. Holman -S
Date: 2019.12.05 12:53:01 -05'00'

Matthew R. Holman, Ph.D.
Director
Office of Science
Center for Tobacco Products

Enclosures:

Appendix A – New and Corresponding Original Tobacco Products Subject of This Letter
Appendix B – FDA's Recommended Format for Submitting an Abbreviated Report

³ For more information about CTP Portal, see

<https://www.fda.gov/tobacco-products/manufacturing/submit-documents-ctp-portal>

⁴ FDA's Electronic Submission Gateway (ESG) is still available as an alternative to the CTP Portal.

⁵ For more information about eSubmitter, see <http://www.fda.gov/ForIndustry/FDAeSubmitter>

⁶ <https://www.fda.gov/tobacco-products/about-center-tobacco-products-ctp/contact-ctp>

Appendix A
New and Corresponding Original Tobacco Products Subject of This Letter

| Common Attributes of EX REQs | | |
|---|--|--|
| Date of Submission: | October 15, 2019 | |
| Date of Receipt: | October 15, 2019 | |
| Product Manufacturer: | R.J. Reynolds Tobacco Company | |
| Product Category: | Cigarettes | |
| Product Sub-Category: | Combusted, Filtered | |
| | New Tobacco Product | Original Tobacco Product |
| | EX0000812: Carlton Menthol Green Soft Pack ⁷ | GF1501207: Carlton Menthol King Soft Pack ⁷ |
| Package Type: | Soft Pack | Soft Pack |
| Package Quantity: | 20 Cigarettes | 20 Cigarettes |
| Characterizing Flavor: | Menthol | Menthol |
| Eligibility Status: | N/A | Grandfathered |
| Length: | 84 mm | 84 mm |
| Diameter: | 7.9 mm | 7.9 mm |
| Ventilation | 76% | 76% |
| Modifications: | | |
| Addition/Deletion of tobacco additives: | | |
| | <ul style="list-style-type: none"> • Deletion of white tipping paper (b) (4) • Addition of white tipping paper (b) (4) • Deletion of filter tow (b) (4) • Addition of filter tow (b) (4) | |

⁷ Brand/sub-brand or other commercial name used in commercial distribution.

| | New Tobacco Product | Original Tobacco Product |
|--|---|--|
| | EX0000813: Carlton Menthol Green 100 ⁷ | GF1501234: Carlton Menthol 100s Box ⁷ |
| Package Type: | Box | Box |
| Package Quantity: | 20 Cigarettes | 20 Cigarettes |
| Characterizing Flavor: | Menthol | Menthol |
| Eligibility Status: | N/A | Grandfathered |
| Length: | 97 mm | 97 mm |
| Diameter: | 7.9 mm | 7.9 mm |
| Ventilation | 81% | 81% |
| Modifications: | | |
| Addition/Deletion of tobacco additives: | | |
| <ul style="list-style-type: none"> • Deletion of filter center line adhesive (b) (4) • Addition of filter center line adhesive (b) (4) • Deletion of white tipping paper (b) (4) • Addition of white tipping paper (b) (4) • Deletion of filter tow (b) (4) • Addition of filter tow (b) (4) | | |
| Increasing/Decreasing the quantity of existing tobacco additives: | | |
| <ul style="list-style-type: none"> • Increase in the quantity of filter sideseam adhesive | | |
| | New Tobacco Product | Original Tobacco Product |
| | EX0000814: Carlton Red 100 ⁷ | GF1501233: Carlton 100s Box ⁷ |
| Package Type: | Box | Box |
| Package Quantity: | 20 Cigarettes | 20 Cigarettes |
| Characterizing Flavor: | None | None |
| Eligibility Status: | N/A | Grandfathered |
| Length: | 97 mm | 97 mm |
| Diameter: | 7.9 mm | 7.9 mm |
| Ventilation | 81% | 81% |
| Modifications: | | |
| Addition/Deletion of tobacco additives: | | |
| <ul style="list-style-type: none"> • Deletion of filter center line adhesive (b) (4) • Addition of filter center line adhesive (b) (4) • Deletion of white tipping paper (b) (4) • Addition of white tipping paper (b) (4) • Deletion of filter tow (b) (4) • Addition of filter tow (b) (4) | | |
| Increasing/Decreasing the quantity of existing tobacco additives: | | |
| <ul style="list-style-type: none"> • Increase in quantity of filter sideseam adhesive | | |

| | New Tobacco Product | Original Tobacco Product |
|--|-------------------------------------|--|
| | EX0000815: Carlton Red ⁷ | GF1501235: Carlton King Box ⁷ |
| Package Type: | Box | Box |
| Package Quantity: | 20 Cigarettes | 20 Cigarettes |
| Characterizing Flavor: | None | None |
| Eligibility Status: | N/A | Grandfathered |
| Length: | 83 mm | 83 mm |
| Diameter: | 7.9 mm | 7.9 mm |
| Ventilation | 78% | 78% |
| Modifications: | | |
| Addition/Deletion of tobacco additives: | | |
| <ul style="list-style-type: none"> • Deletion of filter center line adhesive (b) (4) • Addition of filter center line adhesive (b) (4) • Deletion of white tipping paper (b) (4) • Addition of white tipping paper (b) (4) • Deletion of filter tow (b) (4) • Addition of filter tow (b) (4) | | |
| Increasing/Decreasing the quantity of existing tobacco additives: | | |
| <ul style="list-style-type: none"> • Increase in quantity of filter sideseam adhesive | | |

Appendix B
FDA's Recommended Format for Submitting an Abbreviated Report

Mock-up Tobacco Company

April 3, 2015

US Department of Health and Human Services
Food and Drug Administration
Center for Tobacco Products
Document Control Center
Building 71, Room G335
10903 New Hampshire Avenue
Silver Spring, MD 20993-0002

RE: Abbreviated Report

To Whom It May Concern:

Mock-Up Tobacco Company provides this Abbreviated Report at least 90 days prior to the introduction or delivery for introduction into interstate commerce for commercial distribution of the new product, Cigarette Brand A. We submitted an Exemption Request (EX0000XXX) under section 905(j)(3) for the new product on February 1, 2015, and received a found exempt order from FDA on March 20, 2015.

I, John Doe, on behalf of Mock-Up Tobacco Company, certify that Cigarette Brand A is modified within the meaning of section 905(j)(3), the modifications are to a product that is commercially marketed and in compliance with the requirements of the Federal Food, Drug, and Cosmetic Act, all the modifications are covered by exemptions granted by the Secretary pursuant to section 905(j)(3), and I have taken actions to comply with the requirements under section 907 that are applicable to the product. I certify that this information is true and correct, and that I am authorized to submit this on the company's behalf. I understand that under section 1001 of title 18 of the United States Code, anyone who knowingly and willfully makes a materially false, fictitious, or fraudulent statement to the Government of the United States is subject to criminal penalties.

Sincerely,
John Doe **[ink or digital signature]**
Vice President
Mock-Up Tobacco Company