

Significantly Regulated Organizations Added - April 2020

| DUNS NUMBER | BUSINESS NAME | COUNTRY NAME | TICKER SYMBOL | EXCHANGE NAME |
|-------------|--|--------------|---------------|--------------------------------------|
| 113026697 | Columbia Care | USA | CCHW | AEQUITAS NEO EXCHANGE |
| 787489140 | Imricor Medical Systems, Inc. | USA | IMR | AUSTRALIAN STOCK EXCHANGE |
| 117088863 | 89bio, Inc. | USA | ETNB | NASDAQ |
| 117194895 | Apra Therapeutics, Inc. | USA | APRE | NASDAQ |
| 081222563 | Artara Subsidiary, Inc. | USA | TARA | NASDAQ |
| 116993928 | Cabaletta Bio, Inc. | USA | CABA | NASDAQ |
| 081354381 | Cns Pharmaceuticals, Inc. | USA | CNSP | NASDAQ |
| 079710503 | Frequency Therapeutics, Inc. | USA | FREQ | NASDAQ |
| 078831383 | Galera Therapeutics, Inc. | USA | GRTX | NASDAQ |
| 011597958 | Igm Biosciences, Inc. | USA | IGMS | NASDAQ |
| 116902386 | Mirum Pharmaceuticals, Inc. | USA | MIRM | NASDAQ |
| 004166005 | Nordson Corporation | USA | NDSN | NASDAQ |
| 080478750 | Oyster Point Pharma, Inc. | USA | OYST | NASDAQ |
| 117232216 | Phathom Pharmaceuticals, Inc. | USA | PHAT | NASDAQ |
| 079803617 | Rapt Therapeutics, Inc. | USA | RAPT | NASDAQ |
| 079708571 | Revolution Medicines, Inc. | USA | RVMD | NASDAQ |
| 022083893 | Rocky Mountain Chocolate Factory, Inc. | USA | RMCF | NASDAQ |
| 051723016 | Satsuma Pharmaceuticals, Inc. | USA | STSA | NASDAQ |
| 116976800 | Springworks Therapeutics, Inc. | USA | SWTX | NASDAQ |
| 080988180 | Avantor, Inc. | USA | AVTR | NEW YORK STOCK EXCHANGE |
| 808898381 | Eastman Chemical Company | USA | EMN | NEW YORK STOCK EXCHANGE |
| 845082861 | Polyone Corporation | USA | POL | NEW YORK STOCK EXCHANGE |
| 071829512 | Yeti Holdings, Inc. | USA | YETI | NEW YORK STOCK EXCHANGE |
| 363293569 | Bespoke Extracts, Inc. | USA | BSPK | OTHER OTC Market |
| 079816582 | Elev8 Brands, Inc. | USA | VATE | OTHER OTC Market |
| 117196919 | Global Diversified Marketing Group Inc. | USA | GDMK | OTHER OTC Market |
| 838259232 | John Keeler & Co., Inc. | USA | BSFC | OTHER OTC Market |
| 079159392 | Right On Brands, Inc. | USA | RTON | OTHER OTC Market |
| 191222244 | Sears Holdings Corporation | USA | SHLDQ | OTHER OTC Market |
| 007918048 | The Bon-Ton Stores Inc | USA | BONTQ | OTHER OTC Market |
| 081026710 | Veroni Brands Corp. | USA | VONI | OTHER OTC Market |
| 806788225 | Vivos Inc | USA | RDGL | OTHER OTC Market |
| 657007568 | INGENEC CORPORATION | TAIWAN | 4768 | GRE TAI SECURITY MARKET |
| 656417933 | LAGIS ENTERPRISE CO., LTD. | TAIWAN | 6730 | GRE TAI SECURITY MARKET |
| 656680902 | WILTROM CO., LTD. | TAIWAN | 6767 | GRE TAI SECURITY MARKET |
| 656141074 | GENIUS ELECTRONIC OPTICAL CO., LTD. | TAIWAN | 3406 | TAIWAN STOCK EXCHANGE |
| 656075181 | TAIWAN PROSPERITY CHEMICAL CORPORATION | TAIWAN | 4725 | TAIWAN STOCK EXCHANGE |
| 352680799 | Carbiotix AB (publ) | SWEDEN | CRBX | AKTIETORGET STOCK EXCHANGE |
| 566815563 | TRANSCOM SA | ROMANIA | TRSB | BUKAREST STOCK EXCHANGE |
| 366125713 | AIRWAY MEDIX S A | POLAND | AWM | WARSAW STOCK EXCHANGE |
| 427411219 | CAMBRIDGE CHOCOLATE TECHNOLOGIES S A | POLAND | CAM | WARSAW STOCK EXCHANGE |
| 426905911 | GENERGY SP Z O O | POLAND | GNG | WARSAW STOCK EXCHANGE |
| 366092906 | INTERSPORT POLSKA S A | POLAND | IPO | WARSAW STOCK EXCHANGE |
| 522052497 | NTT SYSTEM S A | POLAND | NTT | WARSAW STOCK EXCHANGE |
| 522430057 | PRO LOG S A | POLAND | PRL | WARSAW STOCK EXCHANGE |
| 522834654 | TELEMEDYCINA POLSKA S A | POLAND | TMP | WARSAW STOCK EXCHANGE |
| 346868908 | Observe Medical ASA | NORWAY | OBSERV | OSLO STOCK EXCHANGE |
| 519502186 | Norda ASA | NORWAY | NRDAF | OTHER OTC Market |
| 367107690 | INNODIS LTD | MAURITIUS | INNODIS | MAURITIUS STOCK EXCHANGE |
| 631070661 | HYOSUNG ONB CO.,LTD. | KOREA REP OF | 097870 | KOREA EXCHANGE (KOSDAQ) |
| 688398443 | InnoTherapy Inc. | KOREA REP OF | 246960 | KOREA EXCHANGE (KOSDAQ) |
| 687754689 | Korea Zinc Company, Ltd. | KOREA REP OF | 010130 | KOREA EXCHANGE (KOSDAQ) |
| 687745372 | LOTTE Corporation | KOREA REP OF | 004990 | KOREA EXCHANGE (KOSDAQ) |
| 690491447 | Nord Mason Co., Ltd. | KOREA REP OF | 317860 | KOREA EXCHANGE (KOSDAQ) |
| 557838388 | OliPass Corporation | KOREA REP OF | 244460 | KOREA EXCHANGE (KOSDAQ) |
| 692861868 | CELL SOURCE CO., LTD. | JAPAN | 4880 | TOKYO STOCK EXCHANGE |
| 697603418 | KANTSU CO., LTD. | JAPAN | 9326 | TOKYO STOCK EXCHANGE |
| 696998389 | PERSEUS PROTEOMICS INC. | JAPAN | 4882 | TOKYO STOCK EXCHANGE |
| 564993942 | ARTERRA BIOSCIENCE SPA IN BREVE ARTERRABIO SPA | ITALY | ABS | ITALIAN SE (MERCATO CONTINUO ITALIA) |
| 338718685 | OFFICINA STELLARE SPA | ITALY | OS | ITALIAN SE (MERCATO CONTINUO ITALIA) |
| 600417351 | INMODE M.D LTD | ISRAEL | INMD | NASDAQ |
| 532309119 | .TIKRO TECHNOLOGIES LTD | ISRAEL | TIKRF | OTHER OTC Market |
| 534623077 | SEAMORGH COMPANY | IRAN | SMRG1 | TEHRAN STOCK EXCHANGE |
| 861468477 | GIAN LIFE CARE LIMITED | INDIA | 542918 | BOMBAY STOCK EXCHANGE LTD. (MUMBAI) |
| 650061435 | TAMILNADU PETROPRODUCTS LIMITED | INDIA | 500777 | BOMBAY STOCK EXCHANGE LTD. (MUMBAI) |
| 663417351 | BESUNYEN HOLDINGS COMPANY LIMITED | HONG KONG | 00926 | HONG KONG STOCK EXCHANGE |
| 687068494 | C.P. POKPHAND CO. LTD. | HONG KONG | 00043 | HONG KONG STOCK EXCHANGE |
| 664446262 | OCI INTERNATIONAL HOLDINGS LIMITED | HONG KONG | 00329 | HONG KONG STOCK EXCHANGE |
| 330402525 | Centogene AG | GERMANY | CNTG | NASDAQ |

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|-------------|--|--------------|---------------|----------------------------------|
| 401968495 | Optomed Oyj | FINLAND | OPTOMED | HELSINKI STOCK EXCHANGE |
| 223446115 | INCANTHERA PLC | ENGLAND | INC | NEX EXCHANGE |
| 224678764 | WORLD HIGH LIFE PLC | ENGLAND | LIFE | NEX EXCHANGE |
| 420827639 | Hanghai Kindly Medical Instruments Co., Ltd. | CHINA | 01501 | HONG KONG STOCK EXCHANGE |
| 544901226 | China Medical System Holdings Limited | CHINA | CHSY | OTHER OTC Market |
| 528198426 | Appotronics Corporation Limited | CHINA | 688007 | SHANGHAI STOCK EXCHANGE |
| 529379019 | Beijing Hotgen Biotech Co., Ltd. | CHINA | 688068 | SHANGHAI STOCK EXCHANGE |
| 544914187 | CHISON MEDICAL TECHNOLOGIES CO., LTD. | CHINA | 688358 | SHANGHAI STOCK EXCHANGE |
| 421129774 | Espressif Systems (Shanghai) Co., Ltd. | CHINA | 688018 | SHANGHAI STOCK EXCHANGE |
| 545272705 | Fujian Forecam Optics Co., Ltd. | CHINA | 688010 | SHANGHAI STOCK EXCHANGE |
| 421322155 | Guangdong Marubi Biotechnology Co., Ltd. | CHINA | 603983 | SHANGHAI STOCK EXCHANGE |
| 530269083 | Micro-Tech (Nanjing) Co., Ltd. | CHINA | 688029 | SHANGHAI STOCK EXCHANGE |
| 421282965 | Quectel Wireless Solutions Co., Ltd. | CHINA | 603236 | SHANGHAI STOCK EXCHANGE |
| 527041681 | Shenzhen Chipscreen Biosciences Co., Ltd. | CHINA | 688321 | SHANGHAI STOCK EXCHANGE |
| 421271653 | Traffic Control Technology Co., Ltd. | CHINA | 688015 | SHANGHAI STOCK EXCHANGE |
| 547444120 | Willfar Information Technology Co., Ltd. | CHINA | 688100 | SHANGHAI STOCK EXCHANGE |
| 525984468 | Beijing Zuojiang Technology Co.,Ltd. | CHINA | 300799 | SHENZHEN STOCK EXCHANGE |
| 421302786 | Dongguan Yutong Optical Technology Co., Ltd. | CHINA | 300790 | SHENZHEN STOCK EXCHANGE |
| 528772556 | Jiangsu Apon Medical Technology Co.,Ltd. | CHINA | 300753 | SHENZHEN STOCK EXCHANGE |
| 544947385 | Qingdao Richen Foods Co., Ltd. | CHINA | 603755 | SHENZHEN STOCK EXCHANGE |
| 526882437 | Sirio Pharma Co., Ltd. | CHINA | 300791 | SHENZHEN STOCK EXCHANGE |
| 421355734 | Three Squirrels Inc. | CHINA | 300783 | SHENZHEN STOCK EXCHANGE |
| 529918091 | Zhejiang Tailin Bioengineering Co., Ltd. | CHINA | 300813 | SHENZHEN STOCK EXCHANGE |
| 203713685 | American Aires Inc | CANADA | WIFI | CANADIAN NATIONAL STOCK EXCHANGE |
| 203743570 | Cannabis Strategies Acquisition Corp | CANADA | AYR.A | CANADIAN NATIONAL STOCK EXCHANGE |
| 203995998 | Sona Nanotech Inc | CANADA | SONA | CANADIAN NATIONAL STOCK EXCHANGE |
| 243575912 | Terranueva Corporation | CANADA | TEQ | CANADIAN NATIONAL STOCK EXCHANGE |
| 207032780 | Medipharm Labs Inc. | CANADA | LABS | TORONTO STOCK EXCHANGE |
| 203738690 | Planet 13 Holdings Inc | CANADA | PLNHF | OTHER OTC Market |
| 203053152 | Skynet Cloud Systems Inc | CANADA | SKKY | OTHER OTC Market |
| 204050348 | Andlauer Healthcare Group Inc. | CANADA | AND | TORONTO STOCK EXCHANGE |
| 203785092 | POCML 4 Inc | CANADA | LABS | TORONTO STOCK EXCHANGE |
| 756705286 | CARBON REVOLUTION LIMITED | AUSTRALIA | CBR | AUSTRALIAN STOCK EXCHANGE |
| 745253951 | CRONOS AUSTRALIA LIMITED | AUSTRALIA | CAU | AUSTRALIAN STOCK EXCHANGE |
| 748948783 | TERRAGEN HOLDINGS LIMITED | AUSTRALIA | TGH | AUSTRALIAN STOCK EXCHANGE |
| 740755905 | TOTAL BRAIN LIMITED | AUSTRALIA | TTB | AUSTRALIAN STOCK EXCHANGE |
| 757689112 | ZICOM GROUP LIMITED | AUSTRALIA | ZGL | AUSTRALIAN STOCK EXCHANGE |