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FOOD AND DRUG ADMINISTRATION
CENTER FOR DRUG EVALUATION AND RESEARCH

ENDOCRINOLOGIC AND METABOLIC DRUGS
ADVISORY COMMITTEE (EMDAC)

Wednesday, November 13, 2019

8:00 a.m. to 4:15 p.m.

FDA White Oak Campus
White Oak Conference Center
Building 31, The Great Room
10903 New Hampshire Avenue
Silver Spring, Maryland

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6 Office of Executive Programs, CDER, FDA

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1 P R O C E E D I N G S

2 (8:00 a.m.)

3 **Call to Order**

4 **Introduction of Committee**

5 DR. BURMAN: Good morning, and welcome. I
6 would first like to remind everyone to please
7 silence your cell phones, smartphones, and any
8 other computer devices if you've not already done
9 so. I would also like to identify the FDA press
10 contact, Monique Richards. If you are present,
11 please stand.

12 My name is Kenneth Burman. I'm the
13 chairperson of the Endocrinologic and Metabolic
14 Advisory Committee, and I will be chairing this
15 meeting. The meeting is now called to order.
16 We'll start by going around the table and introduce
17 ourselves. We will start with the FDA to my left.

18 DR. YANOFF: Good morning. I'm Lisa Yanoff,
19 acting director of the Division of Metabolism and
20 Endocrinology Products in FDA.

21 DR. RAUSCHECKER: Good morning. I'm Mitra
22 Rauschecker. I'm the acting clinical team leader

1 for the Division of Metabolism and Endocrinology
2 Products for the FDA.

3 DR. NIYYATI: Good morning. I'm Dr. Mahtab
4 Niyyati from the Division of Metabolism and
5 Endocrinology Products, clinical reviewer from the
6 FDA.

7 DR. PENZENSTADLER: Good morning. I'm
8 Justin Penzenstadler. I'm the clinical
9 pharmacology reviewer. I'm with the Office of
10 Clinical Pharmacology in the FDA.

11 DR. BLAHA: Hi. Mike Blaha, professor of
12 medicine and director of clinical research at Johns
13 Hopkins Ciccarone Center for the Prevention of
14 Heart Disease.

15 DR. BRITTAIN: Hi. I'm Erica Brittain. I'm
16 a statistician at the National Institute of Allergy
17 and Infectious Diseases, NIH.

18 DR. DE LEMOS: James de Lemos. I'm a
19 cardiologist at UT Southwestern.

20 DR. LOW WANG: Cecilia Low Wang, professor
21 of medicine at University of Colorado and lead
22 clinician scientist at CPC Clinical Research.

1 DR. PAI: Good morning. I'm Majunath Pai,
2 associate professor of clinical pharmacy,
3 University of Michigan.

4 LCDR BONNER: Good morning. I'm LaToya
5 Bonner, DFO for EMDAC.

6 DR. BURMAN: Ken Burman, head of
7 endocrinology at Medstar Washington Hospital Center
8 and a professor at Georgetown University.

9 DR. MUNIR: Kashif Munir, endocrinologist,
10 University of Maryland.

11 DR. EVERETT: Good morning. Brendan
12 Everett. I'm a cardiologist at the Brigham and
13 Women's Hospital and Harvard Medical School in
14 Boston.

15 DR. NEWMAN: Good morning. I'm Connie
16 Newman. I'm an adjunct professor of medicine in
17 the Division of Endocrinology, Diabetes, and
18 Metabolism at New York University School of
19 Medicine, New York.

20 DR. WEBER: Good morning. Tom Weber. I'm
21 an endocrinologist at Duke university in Durham,
22 North Carolina.

1 MS. LELLOCK: Good morning. I'm Carling
2 Lellock. I'm a patient representative.

3 DR. NASON: Good morning. Martha Mason.
4 I'm a biostatistician at the National Institute of
5 Allergy and Infectious Diseases, which is part of
6 the NIH.

7 DR. KALYANI: Good morning. I'm Rita
8 Kalyani, an adult endocrinologist in the Division
9 of Endocrinology, Diabetes, and Metabolism at Johns
10 Hopkins University School of Medicine.

11 DR. YANOVSKI: Hi. Jack Yanovski, pediatric
12 endocrinologist at the National Institute of Child
13 Health and Human Development, part of the National
14 Institutes of Health.

15 DR. CHRISCHILLES: Good morning. My name is
16 Betsy Chrischilles. I'm a pharmacoepidemiologist
17 at the University of Iowa, Department of
18 Epidemiology, College of Public Health.

19 DR. MEININGER: I guess, finally, I'm Gary
20 Meininger. I'm an endocrinologist and head of
21 pipeline development at Vertex Pharmaceuticals and
22 serving as the industry rep for EMDAC.

1 DR. BURMAN: Thank you, all
2 For topics such as those being discussed at
3 today's meeting, there are often a variety of
4 opinions, some of which are quite strongly held.
5 Our goal is that today's meeting will be a fair and
6 open forum for discussion of these issues and that
7 individuals can express their views without
8 interruption. Thus, as a gentle reminder,
9 individuals will be allowed to speak into the
10 record, only if recognized by the chairperson. We
11 look forward to a productive meeting.

12 In the spirit of the Federal Advisory
13 Committee Act and the Government in the Sunshine
14 Act, we ask that the advisory committee members
15 take care that their conversations about the topic
16 at hand take place in the open forum of the
17 meeting.

18 We are aware that members of the media are
19 anxious to speak with the FDA about these
20 proceedings, however, FDA will refrain from
21 discussing the details of this meeting with the
22 media until the conclusion. Also, the committee is

1 reminded to please refrain from discussing the
2 meeting topic during breaks or lunch. Thank you.

3 I'll now pass the microphone to Commander
4 LaToya Bonner, who will read the Conflict of
5 Interest Statement.

6 **Conflict of Interest Statement**

7 LCDR BONNER: Thank you.

8 The Food and Drug Administration is
9 convening today's meeting of the Endocrinologic and
10 Metabolic Drug Advisory Committee under the
11 authority of the Federal Advisory Committee Act of
12 1972. With the exception of the industry
13 representative, all members and temporary voting
14 members of the committee are special government
15 employees or regular federal employees from other
16 agencies and are subject to federal conflict of
17 interest laws and regulations.

18 The following information on the status of
19 this committee's compliance with federal ethics and
20 conflict of interest laws, covered by but not
21 limited to those found at 18 U.S.C. Section 208, is
22 being provided to participants in today's meeting

1 and to the public. FDA has determined that members
2 and temporary voting members of this committee are
3 in compliance with federal ethics and conflict of
4 interest laws.

5 Under 18 U.S.C. Section 208, Congress has
6 authorized FDA to grant waivers to special
7 government employees and regular federal employees
8 who have potential financial conflicts when it is
9 determined that the agency's need for a special
10 government employee's services outweighs his or her
11 potential financial conflict of interest or when
12 the interest of a regular federal employee is not
13 so substantial as to be deemed likely to affect the
14 integrity of the services which the government may
15 expect from the employee.

16 Related to the discussions of today's
17 meeting, members and temporary voting members of
18 this committee have been screened for potential
19 financial conflicts of interest of their own as
20 well as those imputed to them, including those of
21 their spouses or minor children, and for purposes
22 of 18 U.S.C. Section 208, their employers. These

1 interests may include investments; consulting;
2 expert witness testimony; contracts, grants,
3 CRADAS; teaching, speaking, writing; patents and
4 royalties; and primary employment.

5 Today's agenda involves discussion of
6 supplemental new drug application 204629/S-020 for
7 empagliflozin oral tablet sponsored by Boehringer
8 Ingelheim Pharmaceuticals, Incorporated for the
9 following proposed indication: as an adjunct to
10 insulin therapy to improve glycemic control in
11 adults with type 1 diabetes mellitus. This is a
12 particular matters meeting during which specific
13 matters related to Boehringer Ingelheim
14 Pharmaceuticals' sNDA a will be discussed.

15 Based on the agenda for today's meeting and
16 all financial interests reported by the committee
17 members and temporary voting members, no conflict
18 of interest waivers have been issued in connection
19 with this meeting. To ensure transparency, we
20 encourage all standing committee members and
21 temporary voting members to disclose any public
22 statements that they have made concerning the

1 product at issue.

2 With respect to FDA's invited industry
3 representative, we would like to disclose that
4 Dr. Gary Meininger is participating in this meeting
5 as a nonvoting industry representative, acting on
6 behalf of regulated industry. Dr. Meininger's role
7 at this meeting is to represent industry in general
8 and not any particular company. Dr. Meininger is
9 employed by Vertex Pharmaceuticals.

10 We would like to remind members and
11 temporary voting members that if the discussions
12 involve any other products or firms not already on
13 the agenda for which the FDA participant has a
14 personal or imputed financial interest, the
15 participants need to exclude themselves from such
16 involvement, and their exclusion will be noted for
17 the record. FDA encourages all other participants
18 to advise the committee of any financial
19 relationships that they may have with the firm at
20 issue. Thank you.

21 DR. BURMAN: Thank you.

22 We will now proceed with the FDA's opening

1 remarks from Dr. Lisa Yanoff.

2 **FDA Introductory Remarks - Lisa Yanoff**

3 DR. YANOFF: Good morning. As I said, I am
4 Dr. Lisa Yanoff, acting director of the Division of
5 Metabolism and Endocrinology Products at the FDA,
6 and I would like to welcome the advisory committee
7 panel, the sponsors, and members of the public to
8 today's meeting.

9 Diabetes mellitus is a serious chronic
10 disease that affects over 30 million people in the
11 United States. Approximately 5 to 10 percent have
12 type 1 diabetes due to autoimmune beta cell
13 destruction, usually leading to absolute insulin
14 deficiency. For these patients, insulin is
15 necessary therapy.

16 Patients with diabetes have an increased
17 risk for micro- and macrovascular complications,
18 and the main goal of therapy is to improve glycemic
19 control to reduce the risk of complications such as
20 diabetic retinopathy, diabetic nephropathy, and
21 diabetic neuropathy.

22 While it's established that patients with

1 diabetes are at increased risk for both
2 microvascular and macrovascular complications,
3 drugs for the treatment of diabetes are currently
4 approved based on hemoglobin A1c, a
5 glycemic-lowering surrogate.

6 HbA1c, or A1c, is formed by irreversible
7 attachment of glucose to hemoglobin. It is
8 directly proportional to the ambient glucose
9 concentration, and it correlates with average blood
10 glucose over the proceeding 2 or 3 months. A
11 standardized assay is available, making this
12 measurement reliable over time and across
13 geographic regions.

14 For drug development, we consider A1c
15 reduction to be a surrogate for benefit on
16 microvascular disease. This is based on clinical
17 trials that have established that glycemic lowering
18 results in a reduction in the onset and progression
19 of microvascular complications.

20 We now have 12 classes of drugs approved to
21 improve glycemic control, however, only two
22 classes, insulin and insulin analogs and the amylin

1 analog, pramlintide, are approved for use in
2 patients with type 1 diabetes. FDA recognizes
3 there is an unmet need for patients with type 1
4 diabetes to not only achieve better glycemic
5 control for prevention of long-term complications,
6 but also provide other tangible benefits that are
7 important to patients, especially those that may
8 affect day-to-day quality of life.

9 Certain drug classes that rely on an insulin
10 independent mechanism of action have been explored
11 for their potential use in type 1 diabetes. One of
12 these classes is the SGLT2 inhibitor class. There
13 are currently 4 SGLT2 inhibitors, which are FDA
14 approved, as an adjunct to diet and exercise to
15 improve glycemic control in adults with type 2
16 diabetes mellitus.

17 The first SGLT2 inhibitor approved was
18 canagliflozin, followed by dapagliflozin, and
19 empagliflozin, the topic of today's meeting, and
20 more recently ertugliflozin. Two of these products
21 also have additional indications for CV risk
22 reduction in type 2 diabetes patients, but it's

1 important to note that these benefits have not been
2 demonstrated in type 1 patients, and the mechanism
3 of action of these benefits is not well understood.

4 Just to clarify, at this time, there are no
5 SGLT2 inhibitors approved as adjunct to insulin in
6 the treatment of patients with type 1 diabetes.

7 Empagliflozin, as with other SGLT2
8 inhibitors, acts at the SGLT2 receptor at the renal
9 proximal tubule to inhibit reabsorption of filtered
10 glucose in the kidneys, which results in an
11 increase urinary glucose excretion, and thereby
12 lowering plasma glucose levels.

13 The intended indication for empagliflozin is
14 as an adjunct to insulin in adults with type 1
15 diabetes. While 10 milligram and 25 milligrams are
16 approved for patients with type 2 diabetes, the
17 applicant is proposing a lower currently unmarketed
18 dose, 2.5 milligrams, for the type 1 diabetes
19 population, for which the applicant believes has
20 the most favorable benefit-risk profile among the
21 doses that were studied.

22 Our agenda for the day is summarized here.

1 We will start with presentations from the sponsor
2 before hearing presentations from the FDA. There
3 will be time for questions after each of these
4 sessions. We will then take a break for lunch, and
5 after we return for lunch, we will have an open
6 public hearing followed by the questions to the
7 panel.

8 I'll now go through the questions for the
9 day. Question 1, discussion question; discuss
10 whether empagliflozin 2.5 milligrams, as an adjunct
11 to insulin, provides benefit for adult patients
12 with type 1 diabetes. Discuss your views of the
13 clinical meaningfulness of the small HbA1c
14 reduction, as well as other endpoints studied to
15 evaluate benefits of empagliflozin 2.5 milligrams,
16 including body weight and blood pressure.

17 Discuss your level of concern about the risk
18 of diabetic ketoacidosis, or DKA, with the use of
19 empagliflozin 2.5 milligrams in type 1 diabetes
20 patients. Discuss your level of confidence and the
21 ability of the available safety database to
22 accurately characterize the DKA risk, given the

1 small number of events observed in a single trial
2 that is only 26 weeks in duration.

3 Discuss your level of confidence in the
4 reliability of the adjudication process to assess
5 DKA risk, including the clinical meaningfulness of
6 the adjudication categories and the applicability
7 of extrapolating risk management in a clinical
8 trial setting to real-world use.

9 Question 3 is also for discussion. Discuss
10 the overall benefit-risk profile of empagliflozin
11 2.5 milligrams as an adjunct to insulin therapy for
12 the treatment of adult patients with type 1
13 diabetes. Discuss the sufficiency of the
14 demonstrated benefits in light of the uncertainties
15 around DKA risk and other risks of the drug.

16 Question 4 is our voting question. Do the
17 available data suggest that the benefits outweigh
18 the risks and support approval of empagliflozin 2.5
19 milligrams administered orally, once daily, as an
20 adjunct to insulin to improve glycemic control in
21 adult patients with type 1 diabetes mellitus?

22 If yes, please explain your rationale and

1 comment on whether any additional studies should be
2 required after approval. If no, please describe
3 what further data you believe the applicant should
4 provide to establish a favorable benefit-risk
5 profile to support approval.

6 Thank you again for your participation
7 today, and we look forward to an informative
8 discussion.

9 DR. BURMAN: Thank you.

10 Both the FDA and the public believe in a
11 transparent process for information gathering and
12 decision making. To ensure such transparency at
13 the advisory committee meeting, FDA believes that
14 it is important to understand the context of an
15 individual's presentation.

16 For this reason, FDA encourages all
17 participants, including the applicant's
18 non-employee presenters, to advise the committee of
19 any financial relationships that they may have with
20 the applicant, such as consulting fees, travel
21 expenses, honoraria, and interest in the sponsor,
22 including equity interest and those based upon the

1 outcome of the meeting.

2 Likewise, FDA encourages you at the
3 beginning of your presentation to advise the
4 committee if you do not have any such financial
5 relationships. If you choose not to address this
6 issue of financial relationships at the beginning
7 of your presentation, it will not preclude you from
8 speaking.

9 We will now proceed with Boehringer
10 Ingelheim's presentation. Thank you.

11 **Applicant Presentation - Jyothis George**

12 DR. GEORGE: Good morning. Chairman,
13 members of the advisory committee, FDA
14 representatives, patients, and members of the
15 public, my name is Jyothis George. I am an
16 endocrinologist, and I have had the privilege to
17 provide care for many patients with type 1 diabetes
18 for more than a decade. Thank you for this
19 opportunity to present and discuss our data.

20 Patients with type 1 diabetes live with
21 multiple challenges: inconsistent glycemic control,
22 weight gain, and the risk of hypoglycemia. The

1 unmet need in this population is to improve
2 glycemic control without weight gain and without
3 increasing the risk of hypoglycemia.

4 Type 1 diabetes is distinct from other
5 types. Patients with type 1 are depending on
6 insulin for their survival. The aim of new
7 therapies is to work with insulin to improve
8 glycemic control. Our proposal is for an adjunct
9 therapy with a dose that is specific to type 1
10 diabetes. We are building this proposal on the
11 foundation of our knowledge of SGLT2 inhibitors.

12 As you heard from Dr. Yanoff already, 4
13 SGLT2 inhibitors are approved in the United States
14 for the treatment of type 2 diabetes. This
15 includes empagliflozin, which is approved in over
16 100 countries with more than 7 million
17 patient-years of cumulative exposure.

18 The glucometabolic mechanism of action is
19 well known. The kidney is the primary site of
20 action. These drugs increase glucose excretion in
21 the urine, along with sodium, and along with water.
22 This glucose excretion leads to the reduction in

1 HbA1c, C the long-term marker of glycemic control.

2 These reductions are dependent on blood
3 glucose levels, therefore, the risk of hypoglycemia
4 is inherently low. SGLT2 inhibitors also reduce
5 body weight and blood pressure, clinical parameters
6 that are important for public health given the high
7 risk of cardiovascular and renal disease in these
8 patients. Many sponsors have therefore attempted
9 to repurpose this class of medicines as potential
10 treatment for type 1.

11 As I discussed, one key benefit of SGLT2
12 inhibitors in this population is the improvement of
13 glycemic control. This results in a reduction in
14 insulin dosage. However, as patients with type 1
15 diabetes know, under insulin [indiscernible] can
16 lead to an increased risk of DKA.

17 SGLT2 inhibitors can also induce
18 ketogenesis, and together these factors can
19 predispose patients to DKA, a well-known risk in
20 all patients with type 1 diabetes. In other words,
21 here is an inverse relationship between efficacy
22 and safety. As efficacy improves, safety worsens.

1 Benefits and risks of SGLT2 inhibitors in type 1
2 were summarized in a recent meta-analysis.
3 Expectedly, there is a reduction in HbA1c of 0.3 to
4 0.4, and body weight is also reduced meaningfully.
5 However, there is also an increased risk of DKA.

6 Here is the data from sotagliflozin trials
7 reviewed by this panel earlier this year. Both
8 doses studied were associated with an increased
9 risk of DKA. The data from dapagliflozin and
10 empagliflozin showed an increased in the DKA rate
11 in type 1 diabetes when using doses currently
12 approved for type 2. In contrast, empagliflozin
13 2.5 milligram provided meaningful efficacy with a
14 lower risk than the higher doses.

15 I will now summarize the key data for this
16 proposed type 1 diabetes specific dose. This type
17 1 specific dose showed an improvement in HbA1c, but
18 despite this HbA1c reduction, hypoglycemia was not
19 increased. While weight typically goes up in HbA1c
20 improvement, weight loss actually is observed here.
21 Insulin reductions were lower in the proposed type
22 1 specific dose. More important, we did not

1 observe an increase in the DKA rate. In summary,
2 the lower dose offered a favorable benefit-risk
3 profile.

4 The need to balance safety and efficacy is a
5 familiar concept for all of us involved in the care
6 of patients with type 1. Too much insulin, and our
7 patients develop hypoglycemia, illustrated here in
8 red. Too little insulin, and there is suboptimal
9 efficacy as shown in gray. The therapeutic sweet
10 spot lies in the middle range shown in green.

11 The picture looks similar for SGLT2
12 inhibitors. High doses of these drugs could
13 precipitate DKA, shown in red, requiring a type 1
14 diabetes specific dose that balances efficacy and
15 safety, shown in the green area. However,
16 developing a type 1 specific dose was not our
17 initial intent. In fact, based on our experience
18 with empagliflozin type 2 with 10- and 25-milligram
19 doses, we were planning to register the same doses
20 in type 1 as well.

21 In each one, we studied 2.5, 10, and 25
22 milligram, fully expecting that 10 and 25 milligram

1 would be the proposed doses. The efficacy and
2 safety results of 10 and 25 observed in each one
3 gave us the confidence in these doses. However, in
4 the months that followed, FDA issued a warning on
5 SGLT2 inhibitors and the risk of DKA. Therefore,
6 during our phase 3 planning, FDA mentioned to us
7 that safety concerns specific to patients with type
8 1 diabetes may warrant exploration of the lower
9 dose.

10 The agency also questioned whether doses
11 approved for type 2 diabetes are optimal for type 1
12 diabetes. Following this discussion, we added the
13 2.5-milligram dose of empagliflozin to one of our
14 phase 3 trials, still expecting 10 and 25 milligram
15 to be the appropriate doses for registration.

16 As our phase 3 trials read out, we saw there
17 was an increased rate of DKA with 10- and
18 25-milligram doses in these type 1 diabetes trials.
19 In 25 milligram, we saw a meaningful efficacy in
20 HbA1c and other outcomes with no strong evidence of
21 DKA. Therefore, we revisited our phase 2 studies.
22 The efficacy seen with 2.5 milligram was consistent

1 across these three randomized-controlled trials.
2 To provide supportive data, we conducted an
3 exposure-response analysis.

4 There are many strengths to our program.
5 The phase 3 randomized-controlled EASE-3, where
6 2.5-milligram dose was studied, meets many of the
7 criteria for substantial evidence from a single
8 trial. 189 sites were involved, and we employed
9 100 percent source data verification. There was
10 high patient retention rate and low missing data.
11 Multiple endpoints known to provide meaningful
12 benefit to patients were positively impacted across
13 multiple predefined subgroups. The primary
14 endpoint, HbA1c reduction, had a highly persuasive
15 p-value of 0.00003.

16 The pharmacology of empagliflozin is well
17 characterized in type 2 diabetes and can inform
18 decision making in type 1, too. Urine glucose
19 excretion observed with the 2.5-milligram dose in
20 type 1 diabetes is similar to the level observed in
21 type 2 diabetes with empagliflozin 10 milligram.

22 In addition, there is supportive evidence

1 from two other randomized-controlled trials I
2 mentioned earlier, EASE-1 and J-EASE-1, and there
3 is also exposure-response analysis conducted in
4 line with the best current practice, as well as
5 regulatory guidance supporting the efficacy of
6 empagliflozin 2.5 milligram. The totality of this
7 evidence package provides us the confidence to seek
8 an indication, an indication for empagliflozin
9 2.5 milligram as an adjunct to insulin therapy to
10 improve glycemic control in adults with type 1
11 diabetes.

12 For this type 1 specific dose, we proposed
13 to create and commercialize a dedicated brand of
14 empagliflozin. This brand will have a name that is
15 different from Jardiance, the currently approved
16 type 2 diabetes brand name. We have obtained
17 conditional approval from the FDA for a proposed
18 new brand name.

19 Having this distinction in brands will help
20 ensure that patients, physicians, and pharmacists
21 can identify the right product, for the right
22 patient, at the right time. Having a dedicated

1 type 1 diabetes brand will allow us to provide
2 specific prescribing information so that
3 prescribers and patients understand that the
4 benefit-risk ratio of this lower dose applies only
5 to patients with type 1 diabetes.

6 At the core of safe and effective use of any
7 medicine is patient and professional education.
8 Patients with type 1 are taught to recognize and
9 initiate self-management of DKA. That recognition
10 is integral to their every day survival. Most
11 cases of DKA occur with blood glucose values more
12 than 250 milligrams per deciliter, but it can also
13 occur with blood glucose levels less than 250.
14 This is likely to occur more commonly on patients
15 treated with SGLT2 inhibitors.

16 We will highlight this atypical presentation
17 to patients to minimize the delay in the diagnosis
18 and treatment. We will reinforce education on
19 avoiding those factors and the need to maintain
20 adequate insulin dosing. Current American Diabetes
21 Association standards of care recommend that
22 ketosis-prone patients, like patients with type 1,

1 require ketone monitoring. We will reinforce this
2 in all patient education material.

3 For physicians, education will focus on
4 appropriate patient selection. These are patients
5 with stable incidence regimes, are willing to
6 accept the benefit-risk profile, and are able to
7 avoid scenarios that places them at increased risk;
8 for example, a very low carbohydrate diet. This
9 importance of avoiding those factors and the need
10 for cautious dose adjustment will be emphasized.

11 Diabetic ketoacidosis is a relatively rare
12 event, which is inherently prevalent in this
13 population. This is why we believe continuing
14 surveillance in the postmarketing setting is
15 important, and we are planning to do this. Our
16 commitment to create a type 1 specific brand makes
17 the postmarketing data collection specific to
18 type 1 diabetes more feasible.

19 Our presentations this morning will provide
20 further depth on our proposal for a type 1 diabetes
21 specific dose, as well as the evidence base
22 establishing positive benefit-risk for

1 empagliflozin 2.5 milligram. Professor Jennifer
2 Green will remind us today, on the 13th of
3 November, on the eve of World Diabetes Day, that
4 patients with type 1 in the United States are still
5 not able to control their blood sugars well.

6 Dr. Marquard, clinical development lead for
7 empagliflozin and type 1 diabetes, will then
8 present efficacy data. Dr. Schorling, who heads
9 global patient safety for empagliflozin, will
10 present safety data, as well as discuss the package
11 of measures we believe are important to ensure safe
12 and effective use of this medicine. Professor
13 Perkins, principal investigator for empagliflozin
14 and type 1, will put all this data into clinical
15 context. I will then return to give closing
16 remarks.

17 Now, I would like to welcome Professor Green
18 to the podium.

19 **Applicant Presentation- Jennifer Green**

20 DR. GREEN: Good morning. My name is
21 Jennifer Green. I'm an endocrinologist and
22 professor of medicine at Duke University Medical

1 Center and a trialist at the Duke Clinical Research
2 Institute. I am here today as a consultant to the
3 sponsor. I am being compensated for my time and
4 travel expenses. I do not have equity in the
5 company, and I will not personally benefit from the
6 outcome of today's meeting.

7 In my clinical practice, I routinely care
8 for patients living with type 1 diabetes, and in my
9 presentation, I will discuss unmet needs in the
10 care of individuals with this condition. As you
11 have already heard, type 1 diabetes is an
12 autoimmune condition, which causes destruction of
13 the insulin-producing beta cells of the pancreas.
14 The hallmark of type 1 diabetes is hyperglycemia as
15 a consequence of profound lifelong insulin
16 deficiency.

17 Insulin treatment is essential in type 1
18 diabetes to reduce the risks of symptomatic
19 hyperglycemia; life-threatening acute complications
20 such as diabetic ketoacidosis, or DKA; and both
21 microvascular complications, such as damage to the
22 kidneys, eyes, and nervous system; and

1 cardiovascular complications.

2 Type 1 diabetes is estimated to reduce life
3 expectancy by between 11 to 13 years, and with over
4 1 million Americans affected by the condition, it
5 represents a major public health issue. There are
6 very significant challenges in the treatment of
7 type 1 diabetes, and management generally requires
8 a complicated regimen of care. This usually
9 includes intensive insulin therapy delivered
10 through multiple daily injections and/or
11 inhalations or use of an insulin pump, and frequent
12 glucose testing via finger sticks or use of a
13 glucose sensor.

14 There is one non-insulin therapeutic option,
15 pramlintide, which is approved for use in the U.S.
16 as an adjunct to insulin therapy, and I'll discuss
17 that in greater detail later in my presentation.

18 Despite this complexity of delivered care,
19 people with type 1 diabetes often struggle to find
20 a balance between good overall blood sugar control
21 and the risk of hypoglycemia due to their insulin
22 therapy. Diabetic ketoacidosis, or DKA, is an

1 acute complication which is inherent to type 1
2 diabetes, and the majority of persons with type 1
3 diabetes have experienced at least one episode of
4 DKA. Current annualized rates of DKA are 2 to 6
5 per 100 patient-years, but fortunately, the current
6 U.S. case fatality rate is far lower, at about 0.4
7 percent of patients who have an episode of DKA.

8 DKA prevention is an integral part of type 1
9 diabetes management. Patients should be routinely
10 educated on precipitating factors and detection of
11 and management of ketosis should it occur. The
12 American Diabetes Association, or ADA, has
13 published recommendations for a sick-day management
14 and use of ketone monitoring to detect signs of DKA
15 early and ensure prompt management should it occur.

16 Glycemic control is the fundamental means of
17 reducing the risk of complications in people with
18 type 1 diabetes. In the landmark DCCT
19 interventional trial and EDIC follow-up study,
20 patients who were originally assigned to intensive
21 glycemic control with achievement of a hemoglobin
22 A1c near 7 percent did have significantly reduced

1 risks of retinopathy, nephropathy, and neuropathy
2 at 6 and a half years, compared to patients who
3 received more conventional glyceimic control, which
4 resulted in a hemoglobin A1c of around 9 percent.

5 The patients assigned to intensive glyceimic
6 control also had a 57 percent reduced risk of
7 cardiovascular complications after a mean 17 years
8 of follow-up, and this included both the initial
9 interventional trial period and a subsequent
10 observational follow-up period. These findings
11 provide justification for current glyceimic
12 treatment targets, generally a hemoglobin A1c of
13 below 7 percent, in the care of people with type 1
14 diabetes. In addition, hemoglobin A1c lowering is
15 an accepted surrogate for reducing the risk of
16 complications in type 1 diabetes.

17 Hemoglobin A1c lowering translates into
18 meaningful reductions in the risk of complications
19 in type 1 diabetes. This slide shows data from a
20 DCCT and EDIC based type 1 diabetes model used to
21 stimulate the incidence microvascular complications
22 in patients experiencing A1c reductions between 0.1

1 and 0.8 percent from a baseline of 7.9 percent.

2 In this model, a modest hemoglobin A1c
3 reduction, for example of about 0.25 percent,
4 translates into a projected approximately 8 percent
5 reduction in the risk of retinopathy and in
6 approximately 5 percent reduction in the risk of
7 microalbuminuria over time.

8 However, despite the known benefits of good
9 glycemic control, many people with type 1 diabetes
10 are not well controlled, and data from the type 1
11 diabetes exchange clinical registry, which includes
12 over 30,000 individuals with type 1 diabetes across
13 the U.S., has shown that the mean achieved A1c in
14 that group between 2016 and 2018 was 8.4 percent,
15 so this was significantly above the recommended
16 goal of less than 7 percent.

17 Unfortunately, this appears to have changed
18 little over the proceeding decade despite
19 continuous advances in insulin formulations,
20 insulin delivery devices, improvement and
21 approaches to diabetes education, and team-based
22 care over that time. Very common barriers to

1 achievement of glycemic control in type 1 diabetes
2 include the risks of hypoglycemia and weight gain
3 associated with insulin therapy, as well as
4 problems related to regimen complexity.

5 Hypoglycemia very often accompanies
6 intensive management of type 1 diabetes, and this
7 slide shows that in the previously mentioned
8 Diabetes Control and Complications Trial, or DCCT,
9 tighter glycemic control with insulin therapy was
10 associated with a corresponding and continuous
11 increase in the risk of severe hypoglycemia.

12 Also from the DCCT, we've learned that
13 people with type 1 diabetes treated more
14 intensively with insulin and gained more weight
15 over time than did people assigned to more
16 conventional blood sugar management.

17 This slide shows the proportion of patients
18 with major weight gain; that is a more than
19 5 kilogram per meter squared increase in body mass
20 index, or BMI, occurring during that trial. And as
21 you can see, the intensively treated men and women
22 were more likely to experience this degree of

1 increase in BMI, and the risk appears to have
2 steadily increased over time.

3 So what are the patient priorities in the
4 management of type 1 diabetes? As an example, this
5 slide shows the results of a survey of more than
6 1,000 affected people and more than 300 spouses of
7 individuals with type 1 diabetes. The graphics
8 show the ranking of patient priorities in diabetes
9 care at the top by unmet needs scores.

10 Some of the issues prioritized by patients
11 in this survey included a need for simple and
12 predictable diabetes management, hemoglobin A1c
13 improvement and control, prevention of weight gain,
14 and prevention of hypoglycemic events. The issues
15 most likely to cause emotional distress in the
16 spouse are shown at the bottom, and you can see
17 that the issue most likely to cause distress for
18 the spouse was worry about partner hypoglycemia.

19 Of note, more than half of partners reported
20 that they'd personally help their partner with type
21 1 diabetes to recover from at least one severe
22 hypoglycemic episode within the past 6 months.

1 So what is the unmet need in type 1 diabetes
2 management? We need more options to improve
3 glycemic control without weight gain and without
4 increasing the risk of hypoglycemia.

5 There are limited choices for use of
6 medicines as adjuncts to insulin therapy.
7 Pramlintide, as previously mentioned, is the only
8 therapy approved in the U.S. to use as an adjunct
9 insulin therapy in type 1 diabetes management, and
10 the drug provides hemoglobin A1c reductions of
11 approximately 0.3 percent and weight reduction of
12 about a kilo. However, its use is limited by its
13 dosing complexity and side effect profile.

14 As you can see, despite availability of the
15 drug since 2004, it is not widely used. In a
16 recent type 1 diabetes registry report, only 1.6 of
17 those included were using pramlintide as part of
18 their diabetes care regimen. In fact, more
19 patients are using unapproved adjunct therapies
20 such as Metformin, GLP-1 receptor agonist, or SGLT2
21 inhibitors for the management of type 1 diabetes
22 than are using the approved medication option.

1 As Dr. Robert Ratner has previously asked,
2 "How do we make life better, not just longer, for
3 people with diabetes?" We need more options to
4 improve glycemic control without weight gain and
5 without increasing the risk of hypoglycemia. An
6 option which also reduces cardiovascular risk
7 factors such as blood pressure and weight would be
8 an added advantage. These options should be
9 convenient for patients to use and should be
10 regulated by the FDA.

11 Now, Dr. Marquard will review the EASE
12 phase 3 program and efficacy results.

13 **Applicant Presentation - Jan Marquard**

14 DR. MARQUARD: Good morning. My name is Jan
15 Marquard. I am a pediatrician by training and lead
16 of the empagliflozin and type 1 diabetes clinical
17 development program at Boehringer Ingelheim. The
18 efficacy data package for empagliflozin
19 2.5 milligram is drawn from three sources: phase 3
20 trials, phase 2 trials, and exposure-response
21 simulation studies.

22 As you heard, we originally designed the

1 phase 3 program to seek registration for
2 empagliflozin 10 and 25 milligram, but after
3 reviewing the phase 3 data, we pivoted toward the
4 2.5 milligram dose because it offered an acceptable
5 balance of benefit and risk in patients with type 1
6 diabetes.

7 Although we are not seeking an indication
8 for the higher doses, we will present the
9 empagliflozin 10- and 25-milligram data to provide
10 context for interpreting the results from 2.5.
11 These data from the higher doses also demonstrate
12 the risk relationship between high efficacy and
13 increased risk.

14 The phase 2 studies, EASE-1 one performed in
15 Europe and J-EASE-1 performed in Japan, provide
16 additional clinical data supporting the efficacy of
17 empagliflozin in patients with type 1 diabetes.
18 Since empagliflozin 2.5 milligram was included in
19 only one of the phase 3 trials, we decided to
20 conduct exposure-response simulation studies to see
21 if the effect of the low dose would be confirmed in
22 the EASE-2 setting.

1 We simulated the effect of a 2.5-milligram
2 dose in the EASE-2 clinical trial that did not test
3 this dose. EASE phase 3 consisted of two
4 randomized, double-blind, placebo-controlled
5 trials. These trials were conducted across 310
6 sites, mainly in North America and Europe, ensuring
7 the EASE global data are applicable to the U.S.
8 population and relevant for U.S. medical practice.

9 Our main objective was to evaluate the
10 safety and efficacy of empagliflozin 10- and
11 25-milligram doses, plus a lower dose of 2.5 as
12 adjunct to intensified insulin in patients with
13 type 1 diabetes. The population studied was
14 generally young and healthy with a need to improve
15 glycemic control.

16 The program was not designed to assess
17 micro- or macrovascular outcomes such as
18 progression of kidney disease or myocardial
19 infarction. Trial design and conduct were slightly
20 different: inclusion of empagliflozin 2.5
21 milligram, a shorter treatment duration, and the
22 assessment of continuous glucose monitoring as a

1 substudy in EASE-3 only.

2 An important part of the design of both
3 trials was the therapy intensification period.
4 This period was established because we wanted to
5 evaluate the effect of empagliflozin in those
6 patients who had a need to further improve glycemic
7 control despite their best efforts to do so with
8 diet, exercise, and insulin alone.

9 Following randomization, investigators were
10 advised to adjust the patient's total daily insulin
11 dose based on needs. For patients with A1c below 8
12 percent at baseline, there was a recommendation to
13 reduce the insulin dose by 10 percent to avoid
14 hypoglycemia.

15 Thereafter, adjustment to insulin therapy
16 could be implemented throughout the studies based
17 on investigator judgment. This enabled an
18 individualized but harmonized approach to achieve
19 best placebo control. Since the impact of flozin
20 2.5 milligram dose was not evaluated in the
21 long-term try EASE-2, the effect was evaluated in
22 an exposure-response simulation study.

1 The primary endpoint in both studies was the
2 change from baseline in A1c at week 26. According
3 to FDA's guidance for purposes of drug approval and
4 labeling, demonstration of efficacy should be based
5 on reduction in A1c. This guidance is based on the
6 evidence from the DCCT and EDIC studies that have
7 demonstrated clinical benefits in patients who
8 achieve better glucose control in terms of delaying
9 the chronic complications of type 1 diabetes.

10 Additional endpoints beyond A1c are highly
11 relevant for patients with type 1 diabetes.

12 Therefore, we selected key secondary endpoints such
13 as investigator reported symptomatic hypoglycemic
14 events, including severe hypoglycemia; change from
15 baseline in body weight and total daily insulin
16 dose; and systolic and diastolic blood pressure.
17 Continuous glucose monitoring parameters, including
18 time and range, were assessed as key secondary
19 endpoint in EASE-2 only. However, these parameters
20 were also evaluated in EASE-3 based on data from a
21 substudy.

22 The primary efficacy analysis included

1 on-treatment data only on the full analysis set,
2 including all treated patients with a baseline and
3 with equal or more than one on-treatment Alc
4 measurement. Subsequently, an effectiveness
5 analysis, including data after treatment
6 discontinuation, was performed hierarchically on
7 the modified intention-to-treat set, including all
8 treated patients with a baseline and with equal or
9 more than one post-randomization Alc measurement.

10 In the first step, we tested Alc efficacy
11 and effectiveness for 10 and 25 milligram. If null
12 hypotheses were rejected, then sequentially the
13 primary efficacy endpoint for empagliflozin 2.5
14 milligram in EASE-3 and key secondary en points in
15 both trials were to be tested for empagliflozin 10
16 and 25 milligram versus placebo in the hierarchical
17 order shown on this slide.

18 To clarify, the only confirmatory analysis
19 for the 2.5-milligram dose is the Alc reduction
20 based on the full analysis set after 26 weeks,
21 reflecting the initial intent of the clinical
22 development program to register only the higher

1 doses. Key secondary endpoints were included in
2 the confirmatory testing hierarchy for
3 empagliflozin 10 and 25 milligram only.

4 We selected the analysis based on the full
5 analysis set as primary analysis because physicians
6 and their patients are primarily interested in the
7 expected individual therapeutic effect for an
8 adherent patient. The effectiveness estimates by
9 contrast combines information from adherent and
10 nonadherent patients into one estimate for the
11 entire population. I will present the data from
12 both analysis sets.

13 Adult patients aged 18 years and older with
14 a diagnosis of type 1 diabetes for at least one
15 year were eligible for inclusion in the trials.
16 Based on the investigator's judgment, patients must
17 have had a good understanding of their disease and
18 how to manage it, and be willing and capable to
19 comply with study requirements. These requirements
20 included insulin adjustment, blood glucose and
21 ketone monitoring, recognizing symptoms of DKA, and
22 implementing a sick day management plan.

1 Patients had to be on multiple daily insulin
2 injections or insulin pump therapy. The A1c range
3 of 7.5 to 10 percent at randomization enabled the
4 inclusion of a broad population of patients with
5 type 1 diabetes at less than optimal glycemic
6 targets despite insulin intensification.

7 The body mass index had to be equal or more
8 than 18.5, and the estimated glomerular filtration
9 rate had to be equal or more than 30. Patients
10 with a history of diabetic ketoacidosis and/or
11 severe hypoglycemia were included unless there was
12 an episode in 3 months prior to screening and after
13 randomization. Patients with eating disorders were
14 excluded.

15 Baseline characteristics were well balanced
16 between the treatment arms within each study.
17 Approximately half of the patients were female,
18 most patients were recruited in North America and
19 Europe, and 95 percent were Caucasian. The mean
20 age was 43 to 45 years. Blood pressure was well
21 controlled. The mean body mass index was in the
22 overweight range. Most patients had good kidney

1 function.

2 The majority had been living with diabetes
3 for over 20 years. A1c at baseline, following the
4 insulin intensification period, was 8.1 to 8.2
5 percent. The insulin dose at randomization was 0.7
6 units per kilogram, and around 60 percent were
7 using multiple daily insulin injections and 40
8 percent using insulin pumps.

9 Baseline characteristics were generally
10 balanced between U.S. patients and patients
11 elsewhere. More pump use was noted in the U.S.
12 population where there's non-U.S. patients with 60
13 percent pump use in the U.S. population versus
14 30 percent in the non-U.S. population. The
15 baseline characteristics of U.S. patients were
16 comparable with data reported from the type 1
17 exchange registry and data from contemporary
18 observational studies in the United States.

19 Overall, 977 patients were assigned to
20 treatment in EASE-3, while 730 were assigned to
21 treatment in EASE-2. Overall, approximately 90
22 percent of patients completed treatment at week 26.

1 In the 2.5-milligram group, 93 percent of patients
2 completed treatment and 96 percent completed the
3 trial. Before reviewing the results for the
4 primary endpoint at week 26 in EASE-3, I will
5 present the A1c change during the lead-in period
6 prior to randomization.

7 As previously mentioned, it was an important
8 aspect of the phase 3 trials to study the effect of
9 empagliflozin as adjunct to intensified insulin
10 therapy. During the therapy intensification
11 period, investigators were advised to optimize each
12 participant therapy based on local guidelines.
13 This was effective and resulted in an A1c reduction
14 of approximately 0.5 percent. Then patients were
15 randomized, and over time, A1c increased in
16 patients on placebo.

17 This increase reflects the clinical
18 challenge for patients to adhere to recommendations
19 to healthy diet, exercise, frequent glucose
20 monitoring, and thorough insulin adjustment over an
21 extended period. But with empagliflozin, there was
22 a dose-dependent improvement in A1c over time with

1 an expected increase in A1c after 4 to 12 weeks.

2 At 26 weeks, patients on empagliflozin had
3 significantly better A1c values than patients on
4 insulin alone. The change from baseline, based on
5 the full analysis set, was minus 0.28 percent with
6 empagliflozin 2.5 milligram. Results were almost
7 identical based on both the modified
8 intention-to-treat analysis and further sensitivity
9 analysis.

10 The results for the efficacy and
11 effectiveness analysis were clinically meaningful
12 and highly statistically significant with p-values
13 less than 0.0001. Based on the primary efficacy
14 analysis, the A1c reduction with empagliflozin 10
15 and 25 milligram was minus 0.45 and minus 0.52
16 percent, respectively. These results were
17 consistent based on the effectiveness analysis.

18 The A1c reduction with empagliflozin 2.5
19 milligram was generally consistent across all
20 prespecified subgroups. No relevant changes were
21 observed for U.S. versus non-U.S. patients, sex,
22 age, and body mass index. For the subgroups, based

1 on time elapsed since diagnosis, a treatment by
2 subgroup interaction at the 10 percent level it was
3 observed.

4 For empagliflozin 2.5 milligram, the change
5 from baseline in A1c with placebo was minus 0.81 in
6 patients with no more than 5 years since diagnosis.
7 However, this result is based on a very low number
8 of patients, and no clear differences were observed
9 for the 10- and 25-milligram doses for this
10 subgroup parameter.

11 Overall, the data support the conclusion
12 that time since diagnosis has no relevant impact on
13 A1c reduction on the empagliflozin treatment in
14 patients with type 1 diabetes. For patients with
15 eGFR below 60, no benefit in terms of A1c reduction
16 was observed. Similar results were observed for
17 empagliflozin 10- and 25-milligram doses for this
18 subgroup. Despite a non-significant treatment by
19 subgroup interaction and the low number of
20 patients, empagliflozin 2.5 milligram would not be
21 recommended in this population with eGFR below 60.

22 Recent data from the type 1 exchange

1 registry show a mean A1c above 8 percent across a
2 large segment of the population. Therefore, it is
3 important to look more closely into this subgroup
4 consisting of 60 percent of the EASE-3 population.
5 The placebo-corrected A1c reduction with
6 empagliflozin 2.5 milligram in patients with
7 baseline A1c between 8 to 9 percent, with minus
8 0.33 percent and minus 0.51 percent in patients
9 with baseline A1c greater or equal to 9 percent,
10 these data suggest greater efficacy of
11 empagliflozin 2.5 milligram in patients with higher
12 baseline A1c.

13 With regard to body weight, there was a
14 significant reduction with all empagliflozin doses.
15 The 2.5-milligram dose resulted in a mean reduction
16 of 1.8 kilogram at week 26. Body weight was stable
17 on placebo while it decreased on empagliflozin. In
18 EASE-3, a clear dose dependency in insulin dose
19 reduction was observed with the reduction of 6
20 percent with empagliflozin 2.5 milligram and up to
21 13 percent with empagliflozin 25 milligram.

22 The reductions in basal and bolus insulin

1 doses were evenly distributed. The need to reduce
2 the insulin dose when initiating empagliflozin
3 occurred shortly after the start of treatment. The
4 total daily insulin dose was largely stabilized by
5 week 4. The insulin incidence dose reduction
6 itself is a known risk factor for DKA, so these
7 results are also relevant from a safety
8 perspective.

9 We also saw reductions in systolic blood
10 pressure. All empagliflozin doses reduced systolic
11 pressure by 2 to 4 millimeters of mercury. The
12 observed empagliflozin mitigated reductions in
13 blood pressure potentially have beneficial effects
14 on macrovascular outcomes in patients with type 1
15 diabetes.

16 Time in glucose target range assessed by
17 continuous glucose monitoring is an important
18 outcome in patients with type 1 diabetes. These
19 pie charts show the three categories of time and
20 range and time spent in hyper- and hypoglycemia
21 over 24 hours for each treatment group at baseline.

22 Time and range, defined as time spent with

1 blood glucose between 70 to 180 milligrams per
2 deciliter is shown in green. Time spent over 180
3 milligrams per deciliter is presented in yellow,
4 and in red, time spent below 70 milligrams per
5 deciliter. At baseline, all treatment groups were
6 comparable.

7 The CGM substudy showed a trend for
8 empagliflozin 2.5 milligram to increase the time
9 spent in the target range by 1 hour per day. This
10 effect was mainly driven by reduction of time spent
11 in hyperglycemia. The EASE-2 results for the
12 primary and key secondary endpoints for
13 empagliflozin 10 and 25 milligram were consistent
14 with the results from EASE-3. The
15 placebo-corrected A1c reduction with empagliflozin
16 10 and 25 milligram was approximately 0.5 percent
17 after 26 weeks.

18 Reductions in body weight were around
19 3 kilogram. The time spent in target glucose range
20 was increased by approximately 13 percent, which
21 translates in 3 additional hours per day in glucose
22 target range. Patients reduced the total insulin

1 dose by 13 percent. In addition, reductions in
2 systolic and diastolic blood pressure were observed
3 in the range of 2 to 3 millimeters of mercury. The
4 detailed results are reported in the briefing
5 document. Of note, all effects were sustained over
6 52 weeks.

7 We also evaluated hypoglycemia across both
8 trials and all doses. In general, data from the
9 higher doses will have to establish the upper bound
10 for potential risks of the 2.5-milligram dose.

11 From this perspective, the data for empagliflozin
12 10 and 25 milligram are important to understand the
13 impact of empagliflozin on hypoglycemia in patients
14 with type 1 diabetes. Before reviewing the results
15 from the EASE clinical program, I will provide some
16 background regarding the hypoglycemia definitions
17 used.

18 The key secondary hypoglycemia endpoint was
19 a composite endpoint, including investigator
20 reported symptomatic hypoglycemic adverse events
21 with blood glucose below 54 milligrams per
22 deciliter and/or severe hypoglycemic events. As

1 depicted on the slide, these events are a subset of
2 all hypoglycemic events with blood glucose below 54
3 milligrams per deciliter and subjective judgment
4 may influence their reporting.

5 Severe hypoglycemia with severe cognitive
6 impairment, requiring external assistance for
7 recovery, was part of the key secondary
8 hypoglycemia endpoint. Since this is the most
9 severe presentation of hypoglycemia and
10 life-threatening complications in patients with
11 type 1 diabetes, I will review these results
12 separately.

13 During the conduct of phase 3, the joint
14 position statement on hypoglycemia reporting in
15 clinical trials of the American Diabetes
16 Association and the European Association for the
17 study of diabetes was published. Based on this, we
18 prespecified a third hypoglycemia analysis. In
19 addition to the key secondary hypoglycemia
20 analysis, based investigator reporting, we also
21 assessed all patient reported hypoglycemia events
22 with blood glucose below 54 milligrams per

1 deciliter, irrespective of symptoms.

2 For empagliflozin 2.5 milligram, no
3 significant treatment difference was observed based
4 on the key secondary hypoglycemia analysis.
5 Hypoglycemic episodes requiring assistance were
6 adjudicated by an independent clinical event
7 committee. Empagliflozin 2.5 milligram did not
8 increase the risk of severe hypoglycemia.

9 The broadest hypoglycemia analysis, based on
10 all patient-reported events with blood glucose
11 below 54 milligrams per deciliter, showed a trend
12 towards a reduction of these serious and clinically
13 important events. The hypoglycemia results for the
14 higher doses were generally consistent with the
15 findings for empagliflozin 2.5 milligrams with
16 significant reductions in patient-reported events
17 with blood glucose below 54 milligrams per
18 deciliter.

19 Based on the totality of hypoglycemia
20 results, we conclude that empagliflozin did not
21 increase the risk of hypoglycemia in patients with
22 type 1 diabetes.

1 To measure patient treatment satisfaction
2 with empagliflozin, we used these established
3 Diabetes Treatment Satisfaction Questionnaire,
4 DTSQ. In a patient-centered healthcare system, it
5 is increasingly important to enable the patient's
6 voice to be heard when assessing the value of new
7 healthcare options. In EASE-3, the DTSQ total
8 score was significantly increased with
9 empagliflozin treatment after 26 weeks. This
10 effect was similar in EASE-2 and sustained over 52
11 weeks. These data are shown in the briefing
12 document.

13 To contextualize these data, we provide the
14 results from studies evaluating new insulin analogs
15 that have replaced human insulin and intermediate
16 acting insulin; hence, a standard of care. The
17 improvement in the treatment satisfaction with
18 empagliflozin 2.5 milligram was similar in
19 magnitude to higher doses of empagliflozin. It was
20 also similar to what has been observed historically
21 with the short-acting insulin analog aspart and the
22 long-acting insulin analog glargine to highly

1 effective treatments for patients with type 1
2 diabetes.

3 I will now review the supporting data from
4 the randomized-controlled phase 2 study EASE-1.
5 The results from the Japanese phase 2 study J-EASE-
6 1 were, overall, consistent with the findings from
7 EASE-1 and are reported in the briefing document.
8 Despite small sample sizes and short treatment
9 duration, the results of the phase 2 studies were
10 also consistent with the results from phase 3.

11 In EASE-1, empagliflozin 2.5, 10, and 25
12 milligram were tested over 4 weeks. All patients
13 were Caucasian Europeans with more males, a mean
14 age of 41, a BMI of 26, and a mean A1c at baseline
15 of 8.2 percent. The primary endpoint in EASE-1 was
16 the assessment of urinary glucose excretion, the
17 main pharmacodynamic parameter of SGLT2 inhibitors.

18 Empagliflozin 2.5 milligram provides 70
19 percent of the effect of the higher doses,
20 providing evidence of a meaningful pharmacodynamic
21 effect of the lower dose that translates into
22 meaningful efficacy results. In EASE-1, all doses

1 of empagliflozin studied were comparable in
2 efficacy and improved glycemic control with weight
3 loss with increased time in glucose target range
4 and without increasing hypoglycemic events. The
5 placebo-corrected A1c reduction with empagliflozin
6 2.5 milligram was minus 0.35 percent. No DKA
7 events were reported.

8 I will now present the results from the
9 exposure-response simulation studies. In order to
10 understand the data of the exposure-response
11 simulation studies, it is important to understand
12 the general concept of an exposure-response
13 simulation trial.

14 This study combines an exposure model
15 component that describes the concentration time
16 course of a drug in the blood and the response
17 model component, in our case A1c, that relates the
18 blood concentration to the drug effect. Taken
19 together, this describes the time course of the
20 effect for a specific dose.

21 As a first step, we had to build the
22 exposure-response model. For model development, it

1 was important to not use the data from EASE-3
2 because we wanted to confirm the empagliflozin
3 2.5-milligram effect independently of these
4 results. This is why we used data from the phase 2
5 study EASE-1 and the phase 3 study EASE-2. In
6 addition, we used previous knowledge from
7 exposure-response analysis conducted in patients
8 across all available patient populations.

9 The developed model was able to describe the
10 time course of A1c lowering in all dose groups in
11 the EASE-2 populations up to 52 weeks of treatment.
12 The dots represent the observed data from EASE-2,
13 and the lines, the simulated profiles with 95
14 percent confidence intervals.

15 Second, the model was validated using EASE-3
16 data. We were able to replicate the results for
17 all dose groups observed in E-3 by clinical trial
18 simulations. Simulating the outcome of a study
19 that is not included in the exposure-response
20 analysis is considered the gold standard for model
21 validation. The model validation supports the
22 conclusion that the model was suitable to conduct

1 clinical trial simulations to investigate untested
2 scenarios.

3 At the third and last step, we conducted the
4 clinical trial simulations to evaluate the effect
5 of the 2.5-milligram dose in the EASE-2 population.
6 For this purpose, 500 trials were simulated with
7 the same number of patients included in the EASE-2
8 study per dose group. The simulated,
9 placebo-corrected A1c reduction in EASE-2 with
10 empagliflozin 2.5 milligram was minus 0.29 percent
11 after 26 weeks.

12 These consistent results confirmed the A1c
13 reduction of empagliflozin 2.5 milligram in EASE-3.
14 Of note, the data suggest that the simulated effect
15 was sustained over 52 weeks. These results are
16 important because they provide additional evidence
17 of empagliflozin 2.5 milligram efficacy independent
18 of EASE-3.

19 To summarize, we observed clinically
20 meaningful A1c reductions with empagliflozin 2.5
21 milligram across phase 3, phase 2, and
22 exposure-response simulation studies in the range

1 of 0.3 percent. The A1c reduction was modest but
2 meaningful since any decrease in A1c without
3 substantial hypoglycemia or weight gain is
4 desirable in patients with type 1 diabetes.

5 The consistent effect on A1c reduction is
6 complemented by improvements of important
7 glucometabolic findings, including reductions of
8 body weight and blood pressure, together with
9 increased treatment satisfaction. No increased
10 risk of hypoglycemia was observed.

11 Dr. Schorling will now discuss the safety
12 results.

13 **Applicant Presentation - Ona Kinduryte Schorling**

14 DR. SCHORLING: Good morning. My name is
15 Ina Kinduryte Schorling. I am global head of the
16 drug safety department for metabolism at Boehringer
17 Ingelheim.

18 Dose-dependent efficacy improvements with
19 SGLT2 inhibitors are linked to a dose-dependent
20 increase in DKA. It is therefore important to
21 evaluate safety results before selecting the dose
22 with the most favorable benefit-risk profile.

1 Empagliflozin 2.5 milligrams safety data will be
2 presented versus placebo on the trial level.
3 Empagliflozin 10- and 25-milligram data helped to
4 establish the upper bound for general safety of the
5 2.5-milligram dose. For this reason, data on 10-
6 and 25-milligram doses will be presented pooled
7 across the trials.

8 Total exposure for empagliflozin was 809
9 patient-years of which 117 patient-years was on
10 empagliflozin 2.5 milligram. I will start with the
11 general safety. Overall, adverse events occurred
12 with similar frequency in empagliflozin 2.5
13 milligram and placebo arms. As expected, a
14 numerical increase in drug-related adverse events
15 by investigator and adverse events leading to
16 discontinuation could be explained by known effects
17 of SGLT2 inhibitors. These include genital urinary
18 tract infections and ketosis-related events.

19 Serious adverse events were balanced between
20 treatment arms. Overall, the same pattern was seen
21 with the higher doses. One patient died in
22 25-milligram group during in-hospital treatment of

1 DKA. The details of this case are presented in the
2 briefing document, and we are prepared to discuss
3 if needed.

4 For the 2.5-milligram dose, the proportion
5 of patients with investigator- reported DKA events
6 was similar between the 2.5-milligram dose and
7 placebo. With the 10- and 25-milligram doses,
8 there was an increase in DKA as compared to
9 placebo. Further adverse events of special
10 interest were defined and analyzed based on
11 previously identified safety data within the drug
12 class.

13 As already shown in the efficacy
14 presentation, severe hypoglycemia occurred with
15 similar frequency between treatment arms. As
16 expected, an increase in genital infections was
17 observed. The relative increase compared to
18 placebo was lower with the 2.5-milligram dose than
19 with the higher doses. For the remaining events of
20 special interest, no clinical and meaningful
21 differences were seen between the treatment arms.
22 As DKA is the primary event special interest for

1 assessing benefit-risk. The remaining part of my
2 presentation will focus on DKA.

3 All investigator-reported adverse events
4 indicated for DKA were sent for adjudication in a
5 blinded fashion by an external independent
6 adjudication committee. This committee adjudicated
7 cases based on predefined case categories and
8 clinical judgment. To ensure this committee was
9 reviewing the broadest set of cases possible,
10 elevated patient-measured ketones were also sent
11 for adjudication irrespective of symptoms.

12 This data summarizes the medical concept
13 employed in our case definitions. For the
14 diagnosis of certain DKA, the committee used the
15 American Diabetes Association definition. If both
16 acidosis and ketosis were present, the event was
17 adjudicated as sudden DKA. In addition,
18 recognizing that all data may not be available to
19 adjudicate all cases, the FDA recommended
20 implementation of case definitions that spanned
21 from certain to unlikely. Therefore, we broadened
22 the adjudication process and added a category of

1 unlikely DKA and a category of potential DKA.

2 For example, if there was confirmed absence
3 acidosis, the case was considered unlikely to be
4 DKA. If symptoms of DKA were present with either
5 acidosis or ketosis, the episode was to be
6 adjudicated as potential DKA. In addition, if
7 there was persistent high ketones, irrespective of
8 symptoms or acidosis, these cases were also
9 classified as potential DKA.

10 Events reviewed by the adjudication
11 committee with non-persistent increased ketones and
12 without evidence of acidosis or symptoms of DKA
13 were classified as ketosis. For example, a patient
14 who was described in the FDA briefing book had a
15 high glucose and was found to have elevated ketones
16 but no symptoms and normal pH with a value of 7.43
17 by blood gas analysis. Because of normal pH, this
18 case was adjudicated as unlikely DKA but ketosis.

19 Looking at adjudicated events, there was no
20 imbalance in the frequency and event rate of sudden
21 DKA for empagliflozin 2.5 milligram. The two
22 episodes reported in the 2.5-milligram arm were

1 assessed by the adjudication committee as mild,
2 based on the level of acidosis and the degree of
3 symptoms.

4 For completeness, we included episodes
5 adjudicated as potential DKA. Four patients in
6 each group had sudden or potential DKAs. None of
7 the potential DKA episodes in the 2.5-milligram
8 dose led to hospitalization. More patients with a
9 2.5-milligram dose had unlikely DKA but ketosis.
10 In the analysis of investigator-reported DKAs and
11 adjudicated DKAs shown here, irrespective of
12 whether we looked at sudden DKA on its own or
13 sudden and potential DKA together, no imbalance in
14 the event rate was observed. This is consistent
15 with what has been seen for investigator-reported
16 events.

17 This figure summarizes totality of
18 investigator-reported DKAs and adjudicated DKAs
19 across all doses. At all doses, concordance was
20 seen between investigator-reported events and
21 adjudication results. To fully explore the risk of
22 DKA over time, we considered both sudden and

1 potential DKA events.

2 This figure shows time to first episode of
3 either sudden or potential DKA in EASE-3 and EASE-
4 2. The shaded area represents the same time period
5 up to 26 weeks, which makes comparison easier. The
6 proportion of patients with at least one episode of
7 sudden or potential DKA during this period was
8 similar with 10- and 25-milligram doses. DKAs were
9 distributed equally over time in both trials for
10 these two higher doses.

11 The rate of sudden or potential DKA, except
12 with 2.5 milligram, was lower than that of 10 and
13 25 milligram. In addition, there was no time
14 dependency for sudden or potential DKA the
15 2.5-milligram dose EASE-3. Taken together, this
16 suggests that the observed pattern of sudden and
17 potential DKA risk for 2.5 can be expected to
18 follow a similar pattern for up to a year.

19 In addition to sudden and potential events,
20 it is of clinical interest to define additional
21 cases, which could have progressed to DKA. The
22 broadest analysis for this includes events

1 adjudicated as sudden DKA, as potential DKA, as
2 unlikely DKA but ketosis, and all ketone values
3 above 1.5 millimoles per liter, irrespective of
4 whether they met adjudication through your
5 criteria.

6 This analysis shows a rate ratio of 1.65
7 with confidence intervals crossing
8 cumulative [indiscernible]. Let me put this in
9 context of the other analysis for the risk of DKA
10 with 2.5 milligram. As you have seen, the rates of
11 investigator-reported and adjudicated DKA are
12 similar and balanced between 2.5-milligram dose and
13 placebo. Some risk factors for DKA are well known.

14 Also, in our studies, the patients who
15 developed sudden DKA had at least one typical
16 precipitating factor such as acute illness,
17 inadequate insulin administration, including pump
18 failure, or carbohydrate depletion. Therefore,
19 even though we observed no imbalance with 2.5
20 milligrams, it is important to inform patients
21 about these risk factors.

22 The lower incidence of DKA for empagliflozin

1 2.5 was observed in our clinical trials with risk
2 mitigation measures in place for all doses. Here
3 is a summary of mitigation measures in our clinical
4 trials. Investigators were trained on the risk of
5 DKA, including the atypical presentation and
6 caution around insulin dose adjustment.

7 Patients received and used a point-of-care
8 device to measure ketones and an electronic diary
9 for daily recording of ketone measurements and
10 symptoms suggestive of the DKA. Patients were
11 instructed to measure ketones 2 to 3 times a week
12 or in case of any symptoms, regardless of glucose
13 levels. Patients were also advised to seek medical
14 care in case of ketone levels above 1.5 millimoles
15 per liter.

16 Additionally, during this study and after
17 occurrence of the fatal DKA event, a trial
18 information card was implemented. This card
19 highlighted the need for measuring ketones in case
20 of symptoms indicative of DKA, irrespective of
21 blood glucose levels. We understand that the risk
22 of DKA might be higher in a postmarketing setting.

1 Therefore, to enhance measures beyond what we had
2 in clinical trials, we proposed the following
3 measures to discuss with the FDA.

4 Our proposed measures are based on
5 experience from clinical trials, as well as BI's
6 [indiscernible] experience in communicating with
7 patients and prescribers. We also consulted with
8 patient representatives and prescribers, and our
9 proposed measures are in line with the published
10 recommendations from medical experts.

11 Healthcare providers will see a distinctive
12 separate brand from the empagliflozin type 2 brand.
13 This will allow us to focus on type 1 diabetes
14 specific DKA risk mitigation measures such ketone
15 monitoring and will also help to reduce the risk of
16 potential medication errors. Healthcare providers
17 will be instructed to prescribe this product only
18 to patients who are on stable insulin regimen, who
19 do not have eating disorders, can abstain from low
20 carbohydrate diets and excessive alcohol intake,
21 and are who willing to adhere to insulin
22 adjustments, glucose, and ketone monitoring.

1 Further, we will provide guidance to
2 prescribers on careful insulin dose adjustments and
3 discontinuation in situations known to predispose
4 patients to ketoacidosis such as acute illness,
5 surgery, or insulin pump malfunction. Prescribers
6 will be instructed to counsel patients about ketone
7 monitoring, interpretation of ketone values, and
8 associated actions such as following a sick-day
9 protocol and ensuring adequate hydration.
10 Education regarding atypical DKA will be targeted
11 to patients, prescribers, and emergency care
12 providers.

13 One of the most important pieces of
14 information for patients are the potential
15 life-threatening consequences of DKA. Patients
16 will have detailed instruction in the medication
17 guide on how to avoid known risk factors of DKA,
18 when to measure ketones, which actions to take in
19 case of elevated ketone levels, and when to seek
20 medical care.

21 A wallet card will be dispensed to patients
22 with each prescription, and they will be instructed

1 to carry the wallet card with the at all times.
2 The card will provide an easily accessible quick
3 reference that highlights the most important
4 information from the medication guide regarding
5 ketoacidosis for the patient and for emergency care
6 providers.

7 Importantly, this information will be
8 available in hard copy and on patient's smartphone
9 in digital format to ensure easy accessibility in
10 patients' daily life. We will make every effort to
11 provide patients with continuous education, and
12 we'll collaborate with patient advocacy groups and
13 physicians to develop these resources.

14 To summarize, no new safety signals besides
15 known effects of empagliflozin were identified in
16 our phase 3 program. There was no imbalance in the
17 frequency of DKA between 2.5 milligram and placebo,
18 indicating that the low-dose selection itself is an
19 important factor for DKA risk mitigation in
20 patients with type 1 diabetes. However, we
21 understand the limitations of the clinical
22 development program, and that the DKA risk cannot

1 be excluded in the real-world cues.

2 That's why we have designated a specific
3 brands with the 2.5-milligram dose for type 1
4 diabetes. As part of this specific type 1 diabetes
5 brand distinction, we will work with the FDA on
6 implementation of necessary measures to minimize
7 the risk of DKA in the postmarketing setting.

8 Now, Professor Perkins will present the
9 clinical implications and his own clinical
10 perspective.

11 **Applicant Presentation - Bruce Perkins**

12 DR. PERKINS: Thank you, Dr. Schorling.

13 I'm Bruce Perkins. Today I serve as a
14 consultant. I've been compensated for my time, and
15 I don't stand to personally benefit from the
16 ultimate FDA decision. I'm an endocrinologist, an
17 epidemiologist, a clinician scientist, and the
18 director of the Leadership Sinai Centre for
19 Diabetes at the University of Toronto. My clinical
20 practice and my research focuses on type 1
21 diabetes, and I served as a coordinating
22 investigator in the phase 3 EASE program in type 1

1 diabetes.

2 I wanted to admit to you that my
3 professional opinions are very much flavored by the
4 fact that as a teenager, I was presented with a
5 diagnosis of type 1 diabetes myself, and it's
6 become my core mission to find ways to help people
7 with type 1 diabetes lead out their lives in as
8 great a way as they would in a life without
9 diabetes. So in this light, I'd like to give you
10 my clinical perspective, as well as personal
11 perspectives around the quantitative data that
12 you've seen so far this morning.

13 I'd like to begin with this context. It was
14 nearly a hundred years ago, this year, that Banting
15 and Best, and their team in my hometown of Toronto,
16 discovered insulin, and converted type 1 diabetes
17 from a fatal to a treatable condition. I owe
18 everything to that discovery. But if Banting and
19 Best were here with us today, they would be very
20 discouraged by the state of type 1 diabetes in the
21 U.S. and internationally.

22 People with type 1 diabetes feel isolated.

1 They carry a high disease management burden. They
2 carry diabetes-related emotional distress, fear
3 hypoglycemia, and fear complications like chronic
4 kidney disease and cardiovascular disease.

5 In the U.S., more than half of patients with
6 type 1 diabetes are overweight or obese, and it's
7 actually a small proportion who meet the
8 evidence-based guidelines for glycemic control.
9 The estimated average A1c in the population is 8.4
10 percent, where only 1 in 5 are meeting anyone an
11 A1c of 7 percent or below.

12 Banting and Best, and we ourselves, need to
13 acknowledge that there is an epidemic of high A1c
14 in the type 1 diabetes population. What do we do
15 to counteract this epidemic of high A1c? We work
16 with physiological insulin and a number of
17 innovations in its delivery, that each have a
18 modest impact, on average, in our patient
19 populations. But we work as clinicians to offer as
20 many options and choices to our patients so that
21 they can individualize their therapy and increase
22 the chance of meeting a target A1c.

1 For example, insulin pump therapy is
2 transformative for many patients with type 1
3 diabetes, but our best traditional clinical trial
4 meta-analysis data shows us a mean effective 0.29
5 to 0.3 percent. There's high burden on the patient
6 and on the education and clinical team to deliver
7 pump therapy. It's associated with an accentuated
8 DKA risk. It's a major complex intervention, and
9 on average, this is the mean estimate of efficacy
10 and similar for continuous glucose data.

11 You may know of automation of insulin
12 delivery called hybrid closed loop or artificial
13 pancreas, which we have hoped phenomenal
14 improvement, and it does, but the average efficacy
15 is 0.26 percent from our best meta-analyses,
16 pramlintide 0.3 percent A1c benefit associated,
17 though with an accentuation in severe hypoglycemia
18 and also the burden of an additional 3 injections
19 per day.

20 So if we have a strategy, an oral
21 medication, that can counteract this known
22 biological mechanism of upregulation of sodium

1 glucose transporters, even people with optimized
2 insulin therapy, and even at the low dose, can have
3 an A1c benefit approximating 0.28 percent, this is
4 of extremely high value. I'm often asked is this
5 0.28 percent A1c clinically meaningful, and my
6 first strong response is that it compares similarly
7 to some of the incredibly complex interventions
8 that we apply in type 1 diabetes.

9 Secondly, that A1c reduction is accompanied
10 by salutary glucometabolic factors, a weight
11 reduction, no increase in hypoglycemia, a blood
12 pressure reduction, and a measured increase in
13 patient satisfaction. When we improve A1c through
14 intensification of insulin, for example applying an
15 insulin pump, generally, there is weight gain. If
16 we were to apply pramlintide to have a similar A1c
17 reduction, generally there's an accentuated risk of
18 hypoglycemia.

19 Could we predict even these small
20 glucometabolic improvements from this low dose that
21 we wish could be the same magnitude as the high
22 dose, could we predict that these smaller effects

1 could have long-term benefit in terms of meaningful
2 outcomes?

3 Well, here we can apply the data to the core
4 diabetes model, the Center for Outcomes Research in
5 Switzerland. This is an independent, established,
6 referenced standard disease progression model.
7 It's designed to project long-term health outcomes
8 in diabetes. The type 1 model is derived from the
9 DCCT/EDIC findings that you were referred to
10 earlier, and there's an annual reevaluation of its
11 validity.

12 Applying the EASE-3 study empagliflozin
13 estimates to a lifelong treatment, we would
14 estimate a 12 percent relative risk reduction for
15 end-stage renal disease, 9 percent for major
16 cardiovascular events, and an increase in life
17 expectancy of 5 months. This is to say that minor
18 glucometabolic benefits are still believed to
19 translate into meaningful lifelong outcomes.

20 So let me shift to the concern on the risk
21 side of diabetic ketoacidosis. I'd like to go
22 through some key considerations. The first thing

1 is that there is a background population risk for
2 DKA in the type 1 population, 5 percent. Out of
3 every 100 patients that I follow in my clinic with
4 type 1 diabetes per year, I expect 5 episodes of
5 diabetic ketoacidosis. I know that that centers on
6 the most vulnerable, the low socioeconomic status,
7 younger individuals, highest Alc's, those
8 struggling with self-management.

9 Whether we introduce SGLT inhibition into
10 this population in the U.S., as has been introduced
11 in Europe and in Japan, we need to do better with
12 preventing DKA.

13 The second key consideration is that we know
14 about the mechanistic causal relationship between
15 these drugs in DKA. It isn't a stochastic side
16 effect that we're unable to think through as
17 clinicians. We know that there is a shift in
18 metabolism, lower insulin dose, and an increase in
19 glucagon associated with these. It increases
20 ketone production.

21 We know from historical literature that the
22 prototype from this class, or genetic mutations in

1 these transporters, are associated with a very
2 benign phenotype of glucose lowering, except in
3 particular situations, like in starvation or with
4 acute illness, infection, surgical stress, or
5 severe insulin deficiency.

6 We see in our phase 3 program this
7 relationship that shows that it's a component
8 cause, it's neither necessary or sufficient for
9 DKA, meaning we don't need to be on an SGLT
10 inhibitor to have DKA. It's not necessary nor is
11 it sufficient. In all of the episodes of DKA in
12 people on an SGLT inhibitor, there is another
13 identified precipitating cause.

14 We as clinicians, understanding these
15 mechanisms and these realities, can work clinically
16 through physiological insulin and education to
17 counteract this, as opposed to a side effect that
18 is stochastic for whom the mechanism would not be
19 known. Finally, we've successfully adapted
20 therapies with DKA risk into type 1 diabetes
21 practice, and here I refer specifically to insulin
22 pump therapy, where even recent meta-analysis

1 demonstrates, at least from the earliest studies, a
2 5-fivefold odds of increase in DKA.

3 Well, as a clinical community, knowing that
4 this is important to patients and clinical care, we
5 worked through this risk. We worked with
6 education. We worked to push for safer pumps with
7 alarms and better catheters. We can adapt to a
8 therapy with a known mechanism and an increased
9 risk.

10 Our FDA colleagues have shown us the current
11 use of SGLT inhibition in the type 1 diabetes
12 population in the U.S. is occurring. Using the
13 U.S. Sentinel pharmacovigilance data set, we know
14 that off-label use is occurring. It's in the high
15 doses, those that are approved and available for
16 type 1 diabetes, and it provides us real-world
17 evidence for increased DKA risk.

18 I feel very strongly that we need to address
19 this reality. I'm not saying that approval of this
20 type 1 specific dose will completely eliminate off
21 label use, but as a clinician, I need to know that
22 I have that option that has mitigation strategies.

1 The first mitigation strategy in itself is the
2 low-dose empagliflozin to reduce DKA risk.

3 Though we didn't see DKA risk in the
4 clinical trial setting, we did see this slight
5 increase through the mechanism of ketosis-related
6 events, and I do not know for certain how this will
7 apply in the real world. So the low-dose approach
8 on its own, though it gives me a lot of confidence,
9 is not enough, and it requires an education
10 program.

11 Already, there are these exceptionally clear
12 and pragmatic approaches that have been published
13 for mitigation of DKA by specialists and expert
14 consensus groups.

15 The first step in this education is this
16 concept of patient selection, where in my mind,
17 it's selecting patients at the right time in their
18 type 1 diabetes management, non-pregnant adults
19 adherent to monitoring and who understand
20 physiological insulin administration and the
21 foundation of type 1 diabetes management, who
22 haven't shown recent decompensation like recent

1 DKA. They're willing to abstain from avoidable
2 risk factors like a very low carb diet, and they
3 understand and can act on ketone testing and a
4 management protocol, testing regardless of a
5 glucose level and holding in certain situations
6 like sick days.

7 This is not an insurmountable task for a
8 clinician to go through these key principles, and
9 in fact, I feel that this can help with education;
10 that if I review these with every patient and
11 someone doesn't understand the foundation
12 principles of the physiological insulin regimen, or
13 doesn't understand and approach to sick-day
14 management, this is not the right time to consider
15 other therapies. Perhaps later in their diabetes
16 journey would be a time to have a further option
17 like adjunct SGLT inhibition.

18 The published education tools that exist,
19 some of them are simple, like the STITCH protocol.
20 Others are more prescriptive like the DKA or the
21 STOP DKA protocol out of Canada. They provide key
22 features around patient education and healthcare

1 provider education, and you've seen aspects of
2 these, and some of them are summarized here.

3 But I wanted to make two key points from
4 this. The first is around this concept of a wallet
5 card. Now, in clinical practice, I will review
6 with patients symptoms of DKA currently now. If
7 you feel nausea, vomiting, malaise, fatigue, or
8 unwell in any way, and you have an unexpectedly
9 high blood sugar, above, for example, 250, that is
10 a situation to test a ketone currently.

11 Having this conversation around potentially
12 prescribing empagliflozin 2.5, I would say these
13 same symptoms, but regardless of blood sugar, would
14 be an instance to test. If you wake up in the
15 morning feeling nauseous, regardless of blood
16 sugar, that is a situation to test for ketones.
17 The wallet card provides me with this opportunity
18 for every time that they open up a package of this
19 prescription, month by month and year by year,
20 there's this immediate reminder that I wish we
21 already had in clinical practice. Finally, this
22 dedicated type 1 specific brand enables these

1 educational measures to be focused on the right
2 population.

3 Let me conclude. We've heard that we have
4 an epidemic of high A1c in the type 1 diabetes
5 population, and that what we need are options for
6 patients, where currently each innovation has a
7 moderate average A1c benefit. But we hope as
8 individual patients take on these therapies, that
9 they will have greater benefit, more than a 0.28 or
10 0.29, for example, and those who don't will
11 consider other therapies or consider this therapy
12 at a later phase.

13 We have a medication here that clearly has a
14 biological effect on reducing A1c. It does this in
15 a similar magnitude to other complex therapies,
16 it's associated with other glucometabolic benefits,
17 and additionally, we can predict a benefit in terms
18 of long-term outcomes.

19 This dose of the medication changes the risk
20 profile compared to those drugs approved in Europe
21 and in Japan in that the DKA risk was not observed
22 in clinical trials. Though we still believe the

1 mechanism of action in the real world could
2 increase that risk, we have excellent mitigation
3 strategies: low dose, education material, and a
4 dedicated brand.

5 With a strong biological rationale except,
6 extensive clinical trial evaluation, and knowledge
7 of this adjusted risk and benefit ratio, I feel
8 strongly committed as a clinician to the fact that
9 we have a unique opportunity to provide patients
10 with an expanded panel of options for successful,
11 individualized therapy of type 1 diabetes. Thank
12 you very much.

13 **Applicant Presentation - Jyothis George**

14 DR. GEORGE: Thank you, Professor Perkins,
15 indeed. We do have an opportunity to add a
16 meaningful option that improves metabolic control
17 without waking and without increasing the risk of
18 hypoglycemia. Almost 2000 patients volunteered
19 their time and effort to make today's presentation
20 possible. I want to thank them for helping address
21 their unmet need. I also want to thank the panel
22 for the opportunity to discuss this proposed

1 indication today.

2 All speakers and respondent responders are
3 receiving compensation for the time and expenses.
4 In addition to the speakers you heard from, we have
5 professor Lori Laffel, from the Joslin Diabetes
6 Center and Harvard Medical School, who has
7 extensive experience in diabetic ketoacidosis
8 research; Professor Darren McGuire, cardiologist
9 and professor of internal medicine at UT
10 Southwestern Medical Center, with a career focused
11 on addressing cardiovascular complications in
12 diabetes; and Professor Bernard Zinman from the
13 University of Toronto, a principal investigator for
14 the NIH-funded landmark Diabetes Control and
15 Complications, DCCT, Trial. Thank you.

16 **Clarifying Questions to the Applicant**

17 DR. BURMAN: Thank you very much for the
18 nice presentation.

19 We will now proceed with qualifying
20 questions to the applicant, and I point out the
21 time. It's about 9:45. We're going to go until
22 10:10 for the break, and there will be time for

1 questions later. Please raise your hand or make
2 notice to Commander Bonner, and we'll call on you.

3 Dr. Everett?

4 DR. EVERETT: Thank you, and thank you for
5 the interesting and very informative presentation
6 from the sponsor. I was wondering if we could just
7 turn to page or the sponsor's slide number 65. My
8 question has to do specifically with the endpoints'
9 committee's adjudication process for determining
10 whether or not a case was DKA.

11 In particular, I see that in order to have
12 DKA, you had to have acidosis. My question is did
13 you have to have an ABG that demonstrated acidosis
14 or could an anion gap or a low bicarbonate be
15 sufficient to infer that there was an acidosis?

16 DR. GEORGE: We did use more than arterial
17 blood gas. Dr. Marquard can walk you through the
18 case definitions.

19 DR. MARQUARD: According to our charter, pH
20 was the primary differentiator for the assessment
21 of acidosis, followed by bicarbonate and anion gap.
22 Whenever both components were available, the

1 advice, according to the charter, was to rely
2 primarily, then, on pH.

3 DR. EVERETT: What I'm driving at is some of
4 the cases that I read, or vignettes that were in
5 the briefing document, noted that a pH could not be
6 obtained, and yet the patient was clearly acidotic,
7 based on their bicarbonate. Would that have been
8 discounted as a non-case of DKA on that basis?

9 DR. MARQUARD: Evidence of low bicarbonates,
10 together with high ketones, would be according to
11 the charter a certain DKA event.

12 DR. EVERETT: Okay. That's great. My
13 second question was just mostly concerned with
14 incomplete ascertainment of cases that may be
15 medically serious because they didn't meet the, A,
16 or potentially B, where the overlap between
17 acidosis and DKA symptoms might have been excluded
18 or considered as real DKA or potential DKA
19 cases --

20 DR. BURMAN: Thank you. Before we go to the
21 next question or comment, I'd like to introduce
22 Ms. Anna McCollister-Slipp, who came in late, and

1 welcome to the committee as the patient
2 representative.

3 The next question will be from Dr. Brittain.

4 DR. BRITAIN: My question is on slide 57,
5 although it's not about the exposure-response
6 simulation study. It's more about the pattern that
7 we see here in the curves. I'm asking about this
8 one as opposed to the EASE-3 that has the 2.5, but
9 we see kind of the same pattern that there's a
10 pretty big drop right away, and then it kind of
11 starts going away.

12 Now granted, we see a similar thing going on
13 in placebo, which is I'm sure while you're still
14 getting your big difference against placebo, but
15 given that you only have the 26-week data on the
16 2.5, and you see kind of the same pattern in both
17 studies, it does sort of make you wonder could the
18 effect be going away over time, and I wonder what
19 you think about that. And I also wanted to say I
20 thought your presentation was great.

21 DR. GEORGE: Thank you, Dr. Brittain.

22 Dr. Perkins will walk you through why the placebo

1 and the other amps [ph] are rebounding, because
2 this is a clinical question.

3 DR. PERKINS: Sure. If I appreciate your
4 question correctly, the first is this idea of the
5 upward drift over time, and then secondly, beyond
6 26 weeks, what could be envisioned at one year.
7 The first thing, I would like to highlight a couple
8 of design aspects of the trial.

9 The first is that there was this insulin
10 optimization phase, and during that insulin
11 optimization phase, prior to randomization, there
12 was a 0.5 percent A1c drop. This is a big deal in
13 type 1 diabetes, to have a 0.5 percent A1c drop,
14 and I would have imagined that the natural history
15 after this is for a gradual, at least partial
16 return because that is what we see clinically.

17 The counterfactual situation we're offered
18 is the placebo group, and it shows exactly that.
19 So mostly they're maintaining some, but there is a
20 bit of a drift in A1c. That gives us the
21 counterfactual natural history of what happens
22 after insulin optimization. What we see with the

1 medications, even the 2.5-milligram dose, is a
2 further drop, but that same counterfactual natural
3 history of a slight increase in Alc. I guess
4 that's my first point.

5 Around the durability to a year, here I'd
6 make two points. One is the observations with the
7 higher doses, 10 and 25 milligrams. There is some
8 loss of that durability, but there's still a
9 substantial difference between placebo at one year,
10 at 52 weeks. With the 2.5-milligram dose, we don't
11 have the liberty of knowing that's 52 weeks, but
12 from modeling studies and understanding of the
13 pharmacokinetics and pharmacodynamics up to a year,
14 we would estimate a durable effect.

15 I hope that sufficiently answers the
16 question.

17 DR. GEORGE: Thank you, Professor Perkins.
18 If I may add a couple of points to your question,
19 first, like Professor Perkins said, our aim was to
20 look at what the efficacy of empagliflozin would be
21 on top of what patients and physicians could
22 manage, and the bounce back reflects that.

1 The other point I would just like to add is
2 that although, as you said, there is a tendency for
3 A1c to go up over time, even in the treatment, as
4 Dr. Marquard presented earlier, other efficacy
5 markers show sustained effects. This is why at the
6 end of the day, we believe it's important that
7 patients and physicians discuss this and come to a
8 personalized management choice themselves.

9 DR. BURMAN: Thank you. Dr. Wang?

10 DR. LOW WANG: Cecilia Low Wang. Thank you.
11 I also had concerns about the adjudication
12 categories, especially the unlikely DKA but
13 ketosis, after reading the different vignettes.
14 Because of that, I wondered because it's difficult
15 to go from the EASE-3 safety data, 26 weeks to 52
16 weeks and beyond, I wonder if you have EASE-2 data,
17 for the higher doses, at 26 weeks for the rate per
18 hundred patient-years for certain or potential DKA
19 as well as ketosis. I didn't see that in the
20 documentation.

21 DR. GEORGE: Your question is about looking
22 at the broadest definition of not just certain

1 potential DKA but also adding the ketone-related
2 events to that, and whether we have that for 10 and
3 25 weeks, 25-milligram doses; correct?

4 DR. LOW WANG: Exactly; so a broader
5 definition, as well as the earlier time point at 26
6 weeks to try to figure out what the safety data
7 from EASE-3 means.

8 DR. GEORGE: Thank you. We do have the
9 analysis for the full trial ready to hand, but I'm
10 not sure we have the analysis at 26 weeks ready for
11 you to look at, but that is something that we can
12 certainly try to do over the lunch break and bring
13 it back to you.

14 Dr. Everett also raised the question about
15 adjudication that we haven't fully answered, so if
16 I may, Mr. Chairman, try to answer that in two
17 ways. First, I would like Dr. Marquard to go
18 through the clinical case definitions we used. We
19 understand it's complex. Our aim with the
20 adjudication process was, at 2-fold, one, to cast
21 as wide a net to capture all events, and two, to
22 have a range of definitions that go beyond certain

1 DKA. We understand it's complex, so please allow
2 us to walk through the case definitions.

3 Dr. Marquard, please?

4 DR. MARQUARD: Could I please have slide
5 CC-65? Slide 1 up, please. Please allow me to
6 provide some additional context, especially around
7 the unlikely category. For certain DKA, we
8 basically needed confirmation of acidosis and
9 ketosis. For unlikely, we basically needed
10 confirmation that the patient had either no
11 acidosis or no ketosis, because if there's evidence
12 that there is normal pH or normal ketones, this
13 event would not be a diabetic ketoacidosis,
14 according to the general concept of diabetic
15 ketoacidosis.

16 This is why we also introduced the potential
17 category because the potential category combines or
18 basically captures events where only pH and
19 symptoms are available or where we only have
20 ketones and symptoms. And since we had patient
21 ketone monitoring in our studies and they reported
22 this in the e-diaries, we had a lot of these

1 readings that were reported together with symptoms
2 that were sent to adjudication, and were basically
3 a big part of the outcome potential ketoacidosis.

4 We also introduced the subcategory ketosis.
5 Because of the high sensitivity of the charter, we
6 expected that there could be a high number of
7 unlikely DKA events. Therefore, we introduced the
8 subcategory unlikely but ketosis to better
9 differentiate this outcome.

10 Indeed, there are cases with clear evidence
11 that a pH value was normal, however, ketones
12 elevated in these events were, according to the
13 charter, unlikely DKA events but ketosis. The
14 adjudication committee has adjudicated most of
15 these events, also according to the charter,
16 however, they could always bring in the clinical
17 judgment.

18 DR. GEORGE: The one additional concern was
19 about sensitivity and specificity. If I may ask
20 Professor McGuire to make a brief comment about the
21 general challenge that we faced in that
22 adjudication with sensitivity and specificity.

1 DR. McGUIRE: Good morning. Darren McGuire
2 from University of Texas Southwestern Medical
3 Center in Dallas. I'm a cardiologist and clinical
4 trialists, having spent 25 years working with a
5 focus on diabetes and cardiovascular disease and
6 outcomes. I have no equity interest in the company
7 and do not stand to benefit from the results of
8 this meeting.

9 I think just to bring home, as we began to
10 dive in, and we both throughout the day, I'm sure,
11 are digging down deep into these DKA events and the
12 classification thereof, I think it's important to
13 remember the basic tenants of adjudication, one of
14 the key features of adjudication is it levels the
15 playing field. It inevitably exchanges sensitivity
16 for specificity.

17 It is very uncommon for an event to undergo
18 adjudication and be refuted. I mean, it is common
19 for an event to be refuted; it is very uncommon to
20 be elevated to a higher degree of classification.
21 The key important point of that central
22 adjudication is that it's done blinded.

1 So to do post hoc analyses of unblinded
2 data, it does yield incremental information and
3 clarifies some cases that were on the border zone,
4 but I think we do have remember, as imperfect as
5 charter definitions are, they do even the playing
6 field, especially when it's done in a blinded
7 fashion.

8 DR. BURMAN: Thank you.

9 Dr. Yanoff, you had a comment as well from
10 the FDA?

11 DR. YANOFF: Yes. I just wanted to say that
12 I believe in our presentation, or at least in the
13 backup, we have the analyses that Dr. Low Wang
14 requested. No one may need to give up their lunch
15 hour to do those.

16 DR. GEORGE: Thank you, Dr. Yanoff.

17 DR. BURMAN: Thank you. Dr. de Lemos?

18 DR. DE LEMOS: I'm wondering what else the
19 sponsor has for DKA. We're sort of being asked to
20 evaluate safety here on essentially what's a
21 hundred person-years of follow-up for DKA. Was
22 there no plan for open-label extension with the 2

1 and a half or even the higher doses? Was there
2 modeling done in the second study for this DKA
3 outcome like it was for A1c?

4 What else can you tell us about, beyond a
5 hundred person-years of follow-up, that gives us
6 confidence that A, it's no different from placebo,
7 and B, that the rate of DKA is materially lower
8 than the two higher doses?

9 DR. GEORGE: To give you confidence that the
10 lower dose indeed provides a materially lower risk,
11 we have done modeling, as you implied. Dr. Valerie
12 Nock can present that information for you.

13 DR. NOCK: Good morning. My name is Valerie
14 Nock. I'm a team lead for pharmacometrics with
15 Boehringer Ingelheim, and in that role responsible
16 for the empagliflozin development program.
17 Specific to your question whether or not we
18 performed modeling for DKA events, we were not able
19 to conduct such an analysis. The reason is that
20 DKA is an event that is not only driven by
21 empagliflozin exposure, but by a lot of
22 precipitating factors.

1 Now, for patients who experienced a DKA
2 event, we do know of those factors. It might be
3 pump failure or it might be an infectious disease.
4 However, for patients who had a pump failure on
5 infection, we did not capture this information, so
6 we could not rely on a reference group.

7 As we were not able to model DKA, what we
8 conducted was a exposure-response analysis for
9 blood ketones, and I'm happy to share those results
10 with you. Slide 1 up, please. Depicted on this
11 slide is the exposure-response analysis for blood
12 ketone values after 26 weeks of treatment.

13 Over the steady-state AUC, that is the area
14 under the concentration time curve, a measure for
15 empagliflozin exposure. And as shown here, for
16 typical subject, we see an exposure-dependent
17 increase in blood ketone levels. However, it is
18 important to note that, on average, the increase is
19 of minor magnitude and far away from the criteria
20 that defines a ketosis, which is 1.5 millimoles per
21 liter.

22 DR. BURMAN: Thank you. Dr. Pai?

1 DR. PAI: My question, again, is kind of
2 related to the exposure-response relationship. If
3 you go to slide 60, what you see is a simulation
4 after 52 weeks, showing the same effect, relative
5 to placebo. Could you clarify to us, because this
6 is a placebo-corrected event, compared to baseline,
7 what is the change at that dose level? Are we
8 seeing a negative 0.29 because placebo is going up
9 or is it a true difference?

10 DR. NOCK: The reason we see the same effect
11 after 52 weeks, as well as 26 weeks, is because we
12 describe it as placebo-corrected change from
13 baseline.

14 Slide 1, please. As you've previously seen
15 in the core presentation, not only the placebo
16 group shows an increase in Alc over time after the
17 first 4 to 12 weeks of treatment, but also
18 empagliflozin 10 and 25 milligram. And as they go
19 up in parallel, and we describe this and capture
20 this with our model, the predicted
21 placebo-corrected change from baseline is sustained
22 over time.

1 DR. PAI: Just to clarify that again, at 52
2 weeks, what is the absolute change in placebo
3 compared -- what is the -- basically what I'm
4 getting at, is it zero, and is placebo at
5 29 percent; 0.29 for example, and that Delta is now
6 negative 0.29?

7 DR. GEORGE: Dr. Pai, that is a question
8 that we do not have the answer for. Again, that's
9 something that we can come back to at lunch. But
10 from a clinical perspective, like Professor Perkins
11 put it together, these small [indiscernible]
12 decimals are probably not that relevant. Most of
13 our patients, we talk about 7 point something or
14 8 point something. But certainly we will try our
15 best to bring that information back to you over
16 lunch to see what was the difference between
17 placebo and then in the model at one year.

18 DR. BURMAN: Thank you. We have about five
19 more minutes. Dr. Yanovski?

20 DR. YANOVSKI: Thanks. I have two
21 relatively quick questions. First, if you look at
22 figure 18 in the document that you sent us, which

1 is the time course of ketone-related events per
2 patient --

3 DR. GEORGE: slide 1 one up, please.

4 DR. YANOVSKI: -- these are the data through
5 the EASE-3 trial. It would seem that the ketone-
6 related events are separating between placebo and
7 empa 2.5. Have you modeled this to a full year,
8 and how does that compare with the kind of rates
9 that might make us worried about the chances of
10 ketone-related events?

11 DR. GEORGE: As you state, there is a
12 tendency for empagliflozin 2.5 milligram to have a
13 higher degree of ketone- related events. This is
14 largely driven by ketosis with values more than
15 1.5. We certainly can put this into context with
16 the other ketone-related analysis.

17 Dr. Schorling, if you could come to the
18 podium to do that for us, please.

19 DR. SCHORLING: Could I call slide CC-17?
20 Slide 2 on, please? Here we are looking at, in the
21 first row, ketone-related events. Ketone-related
22 events analysis is the most comprehensive analysis,

1 which consists of all events that were adjudicated
2 as sudden DKA, potential DKA, unlikely DKA but
3 ketosis, and, in addition, all elevated ketone
4 values above 1.5 millimoles per liter.

5 That's the majority of the events in this
6 analysis, ketosis events. These events were
7 managed by patients at home. Based on this most
8 comprehensive analysis, the rate ratio was 1.65,
9 which is less than half of the increase with the
10 higher doses.

11 DR. BURMAN: Thank you. Ms. McCollister, we
12 have a few minutes for your question.

13 DR. YANOVSKI: Can I ask my second question?
14 May I?

15 DR. BURMAN: Sure.

16 DR. YANOVSKI: My second question, really,
17 is related. If ketone measurements are probably
18 very key in avoiding DKA events in these trials,
19 can you show us any data on the rate of use of
20 ketone measurements in the trials through an entire
21 year? Do the people stop measuring their ketones
22 with time, and could that potentially be something

1 we would be worried about?

2 DR. SCHORLING: We saw that patients adhered
3 to ketone monitoring quite well in our trials.
4 Approximately 98 percent of patients had
5 measurements. I can show you the slide.

6 Slide 4 on, please? The bottom line shows
7 the total number of measurements in patients, that
8 treatment arm, first in these three, and then four
9 across two trials for the higher dose. Based on
10 this number, 22,000 for 2.5 milligram, for example,
11 this number corresponds with 3 to 4 measurements
12 per week. Our recommendation was to measure 2 to 3
13 times a week, so there was good adherence to our
14 recommendations.

15 DR. GEORGE: Dr. Yanovski, if I also could
16 clarify your question, I believe there was a
17 concern about is there reduction [indiscernible] in
18 ketone adherence over time. That's not something
19 that we observed. We have additional data to share
20 with you if needed.

21 DR. BURMAN: Thank you. I apologize.
22 Dr. Lellock, the last question, quickly please.

1 I'm sorry. Ms. Lellock?

2 DR. LELLOCK: I've had diabetes for almost
3 30 years, and I commend the recognition of our
4 unmet needs. My biggest question is, for patients
5 who have met goal with intensive insulin therapy,
6 do you still find that this is a drug for them,
7 based on the secondary endpoints?

8 DR. GEORGE: That is a great question. Our
9 indication that we seek today is for glycemic
10 control, and your question is about would there be
11 other benefits for patients who are already at
12 target. I can only refer back to what Professor
13 Perkins said earlier. It truly is between that
14 patient and that physician, how they would choose
15 these different tools in the toolkit for that
16 particular patient.

17 DR. BURMAN: Last question, quickly, Rita
18 Kalyani?

19 DR. KALYANI: Thank you for that nice
20 presentation. My question relates to slide 71,
21 measures to minimize DKA risk. The point-of-care
22 device to measure blood ketones, unlike

1 point-of-care measures to measure blood glucose,
2 are not widely available yet. I wonder if you
3 could talk a little bit more about what was done in
4 the trial.

5 It looks like during the first month,
6 participants measured every day, and then after
7 that, 2 to 3 times a week. Given what seems to be
8 a relatively high requirement from the patients,
9 could you talk a little bit more about translation
10 into real-world board settings?

11 DR. GEORGE: Yes. I would like to ask
12 Professor Lori Laffel to put that into clinical
13 context for us.

14 DR. LAFFEL: My name is Dr. Lori Laffel. I
15 am a pediatric endocrinologist and clinical
16 investigator at the Joslin Diabetes Center and a
17 professor at Harvard Medical School. I have no
18 equity in the company. I will not benefit at all
19 from an FDA decision today.

20 I do have substantial experience in managing
21 young persons with type 1 diabetes for over 30
22 years. I take care of pediatric adolescent and

1 young adult patients, many patients of whom would
2 fall within the age range for which the sponsor is
3 seeking approval, ages 18 to 30, or so.

4 I have been studying and writing guidelines
5 for sick-day management in the setting of type 1
6 diabetes for more than two decades. We have been
7 advocating for blood ketone monitoring, and indeed
8 that has become the standard of care, as advocated
9 by the American Diabetes Association, as advocated
10 by international organizations, including the
11 International Society of Pediatric and Adolescent
12 Diabetes.

13 Checking blood ketones is fundamental at the
14 diagnosis of type 1 diabetes. Patients are taught
15 and prescribed a blood ketone monitor, as well as
16 taught sick-day rules. This is reinforced at the
17 time of any illness, and it is reinforced anytime a
18 new prescription for blood ketos strips is written,
19 and it is timely to reinforce it annually when flu
20 shots would be administered.

21 We did indeed perform a study, now about a
22 dozen years ago, that compared checking blood

1 ketones with urine ketones in a
2 randomized-controlled trial, and we actually
3 demonstrated that 50 percent more of the
4 participants, which were pediatric adolescents and
5 young adult patients, randomized to blood ketone
6 monitoring, were successful in checking blood
7 ketones over urine ketones at the time of illness.
8 More than 9 out of 10 adhered in this study to
9 blood ketone monitoring, where barely 6 out of 10
10 did in the urine ketone monitoring group.

11 I do recognize the need to ensure coverage
12 for blood ketone monitors and blood ketone
13 monitoring strips, and I as a clinician advocate
14 for such prescriptive coverage, and it indeed is
15 potentially cost saving. And in that RCT that I
16 published about a dozen years ago, we demonstrated
17 a 50 percent reduction in costly hospitalizations
18 and emergency department assessments, which would
19 be cost saving compared to the cost of blood ketone
20 meters and blood ketone strips.

21 DR. GEORGE: Thank you, Professor Laffel.
22 If I may just add one sentence, Mr. Chairman, to

1 that comment, to Dr. Kalyani's question about
2 continuing use in the real-world setting. As a
3 sponsor, we do propose to provide patients with
4 starter kits and health systems to make the
5 information and tools accessible to patients.

6 DR. BURMAN: Thank you very much for the
7 sponsor and for the questions. We're going to take
8 a break now until 10:25 when we'll reconvene.
9 Please remember not to discuss any issues during
10 the break.

11 (Whereupon, at 10:14 a.m., a recess was
12 taken.)

13 DR. BURMAN: Thank you. We'll now begin
14 with the FDA presentation.

15 **FDA Presentation - Mahtab Niyiyati**

16 DR. NIYYATI: Good morning. I am Dr. Mahtab
17 Niyiyati from the Division of Metabolism and
18 Endocrinology Products. I'll be presenting a
19 general overview of the empagliflozin clinical
20 development program. Dr. Justin Penzenstadler will
21 then discuss the clinical pharmacology; Dr. Roberto
22 Crackel will present the statistical efficacy

1 assessment; and Dr. Shanti Gomatam will present the
2 statistical safety assessment of diabetic
3 ketoacidosis.

4 I will conclude the FDA presentations by
5 presenting a summary of safety and efficacy for the
6 empagliflozin 2.5 milligram dose. Please note that
7 in the FDA talks, we will sometimes refer to
8 empagliflozin as empa.

9 The applicant conducted two phase 2 studies
10 relevant to empa 2.5-milligram dose called EASE-1
11 and J-EASE-1, and two phase 3 studies, EASE-2 and
12 EASE-3. The applicant originally designed the empa
13 type 1 clinical program with the objective of
14 registering the same doses as approved for type 2
15 diabetes, the 10-and 25-milligram once daily.

16 During communications with the agency, the
17 FDA raised concerns that doses approved but type 2
18 may not be optimal in the type 1 population due to
19 safety concerns specific to patients with type 1
20 diabetes and recommended exploration of a lower
21 dose. While the applicant did include a lower
22 dose, it was only in one of the phase 3 trials,

1 EASE-3. Therefore, to support efficacy, the
2 applicant also performed clinical trial simulation
3 based on exposure-response analyses using the EASE-
4 2 population.

5 You're already familiar with the design of
6 the phase 3 studies. Points worth emphasizing are
7 that in EASE-3, the continuous glucose-monitoring
8 data was capture in about 20 percent of patients
9 over 4 periods, each 14 days in duration. Both
10 phase 3 trials included an insulin optimization
11 period that lowered the baseline HbA1c from the
12 time of screening.

13 In addition, the randomized patients were
14 highly selected, which may not always happen in the
15 real world, even with the best possible
16 instructions in the prescribing information. EASE-
17 2 had a similar design to EASE-3. The differences
18 were the duration of the study and the doses
19 studied. EASE-2 did not study the 2.5-milligram
20 dose.

21 The baseline demographics of patients in the
22 empa 2.5-milligram group compared to placebo were

1 generally balanced. The mean age was about 42.5
2 years old. The mean HbA1c was 8.15 percent. Mean
3 body weight was about 81 kilograms. Mean BMI was
4 28 kilogram per meter squared. At baseline,
5 subjects were normotensive.

6 In this trial, the clinical benefit
7 evaluated was a reduction in HbA1c after 6 months
8 of treatment. The applicant evaluated additional
9 secondary endpoints of plasma glucose less than 54
10 milligram per deciliter and/or severe hypoglycemia,
11 body weight, total daily insulin dose, and systolic
12 and diastolic blood pressure.

13 A reduction in severe hypoglycemia would be
14 viewed as an important clinical benefit. Other
15 definitions of hypoglycemia have uncertain
16 significance in the setting of evaluations of
17 comparative effectiveness or safety. Body weight
18 and blood pressure reduction may be viewed as
19 clinical benefits for some patients. Other
20 endpoints such as time and range may be valued by
21 patients, but we're not aware of data that time and
22 range is a surrogate for long-term outcomes beyond

1 what HbA1c can already tell us.

2 The applicant also looked at total daily
3 insulin dose as an efficacy endpoint in this
4 program. We recognize the desire of patients to
5 lower insulin dose. However, in the specific
6 setting of using SGLT2 inhibitors as an adjunct to
7 insulin in the type 1 patients, it's unclear if
8 insulin dose reduction should be a goal of
9 treatment because it's linked to an increase in the
10 risk of DKA.

11 As for safety assessment, the applicant paid
12 special attention to safety issues that are known
13 to be associated with the product when used in type
14 2 patients. All other events were collected
15 routinely. DKA, severe hypoglycemia, and hepatic
16 events underwent blinded independent adjudication.
17 Patients were instructed to reduce insulin when
18 starting study drug. Insulin dose changes were
19 individualized, and investigators and patients were
20 relied on to make their own decisions.

21 Next, Dr. Justin Penzenstadler will discuss
22 the clinical pharmacology findings of the empa

1 2.5-milligram dose.

2 **FDA Presentation - Justin Penzenstadler**

3 DR. PENZENSTADLER: Hi. My name is Justin
4 Penzenstadler, and I'm with the FDA Office of
5 Clinical Pharmacology. I will present the clinical
6 pharmacology of empagliflozin in type 1 diabetes.

7 On the screen is the outline of my
8 presentation. First, I will discuss the design and
9 results of the two phase 2 studies, EASE-1 and
10 J-EASE-1. Then I will discuss the results from
11 these studies, focusing on key biomarkers and how
12 they provide supportive evidence of efficacy for
13 the 2.5-milligram dose.

14 Secondly, I will address the modeling and
15 simulation results submitted by the applicant,
16 which was proposed as confirmatory evidence. In
17 this exercise, data from EASE-1 and EASE-2 were
18 modeled to provide an estimate of HbA1c reduction
19 for the unstudied 2.5-milligram dose.

20 The FDA conducted sensitivity analyses to
21 confirm the robustness of this exercise as
22 supportive evidence. I will conclude my

1 presentation with a clinical pharmacology
2 perspective on DKA, discussing the proposed
3 mechanism of SGLT2, induced ketoacidosis, and
4 summarizing the observed data on insulin dose and
5 plasma ketones from EASE-3.

6 The applicant conducted two PK/PD studies in
7 type 1 diabetic patients, EASE-1 in Caucasian
8 patients and J-EASE-1 in Japanese patients. These
9 studies were randomized, placebo-controlled, double
10 blind, in parallel group studies with placebo,
11 empagliflozin 2.5, 10, and 25-milligram groups.
12 The primary objective in both was to assess PK in
13 24-hour urinary glucose excretion, also abbreviated
14 as UGE.

15 In addition, HbA1c was collected at baseline
16 and at 4 weeks. Both studies included an
17 open-label run-in period preceding randomization,
18 however, unlike the phase 3 studies, there was not
19 an insulin optimization period. Instead, the
20 28-day randomized treatment period was split into
21 two phases. During the first phase, patients were
22 hospitalized and kept on stable background insulin

1 for one week. During the second phase, insulin was
2 adjusted to achieve optimal glycemic control for
3 weeks 2 to 4.

4 Next, I will discuss the pharmacodynamic
5 results from these studies. This is a graph of the
6 24-hour urinary glucose excretion on the Y-axis
7 versus plasma empagliflozin levels on the X-axis.
8 For reference, the vertical dash lines are centered
9 on the mean plasma levels for the 2.5- and for the
10 10-milligram dose.

11 Empagliflozin shows exposure related
12 increase in 24-hour urinary glucose excretion in
13 subjects with type 1 diabetes shown by the top
14 curve depicted in blue, the 2.5 milligram
15 empagliflozin dose elicits an appreciable effect on
16 24-hour glucose excretion, more than three-quarters
17 of the 10 milligram once daily dose.

18 We have also included exposure-response data
19 for type 2 diabetics, depicted in the lower curve
20 in red. We note a consistent exposure-response
21 relationship for UGE between the two populations.
22 On the next slide, we will cover the short-term

1 HbA1c reductions observed in the phase 2 studies.

2 Although HbA1c reduction was not a primary
3 endpoint, both studies reported a decrease in HbA1c
4 with the 2.5-milligram dose. EASE-1 reported a
5 decrease in HbA1c of 0.35 points at 28 days, while
6 J-EASE-1 reported a decrease in HbA1c of 0.20.
7 Overall, UGE and HbA1c data from these studies
8 indicate that the 2.5 milligram once daily dose is
9 associated with pharmacodynamic responses that are
10 differentiable from placebo.

11 Now we will switch gears and briefly discuss
12 the modeling approach used to generate supportive
13 evidence of efficacy for the 2.5-milligram dose.
14 In this approach, pharmacokinetic, demographic, and
15 HbA1c data from the phase 2 study, EASE-1, and
16 phase 3 study, EASE-2, were used to develop an
17 exposure-response model.

18 The applicant used an informative Bayesian
19 prior from an exposure-response analysis in type 2
20 diabetic patients to help inform the relationship
21 between exposure and HbA1c reduction. The EASE-2
22 data was then fed into the model and used to

1 predict the results of a hypothetical 2.5-milligram
2 arm.

3 Independent data from EASE-3 was used to
4 evaluate the ability of the model to reproduce
5 observed study results at different doses and
6 different time points, so called external
7 validation. It is not clear if the use of an
8 informative Bayesian prior from a different
9 population and a different endpoint is appropriate,
10 therefore, the FDA conducted exploratory analyses
11 without it.

12 Here we present the conservative 95 percent
13 lower confidence bound estimate so called, by us,
14 the worst case estimate of placebo adjusted HbA1c
15 reduction from the modeling exercise. The
16 prediction for this model is a 0.23 point reduction
17 in HbA1c with a 95 percent confidence interval of
18 0.05 to 0.4.

19 We routinely estimate the effect size for
20 use and benefit-risk analysis, however, this is
21 usually associated with information about the
22 formal statistical test such as a p-value.

1 Modeling produces a similar estimate of the effect
2 size, but for a dose that is not directly tested,
3 and the confidence intervals and p-values
4 associated with these model-based estimates should
5 be considered descriptive. Overall, we believe
6 this model provides supportive evidence of the
7 effect size for the 2.5-milligram dose independent
8 of EASE-3.

9 Now, we will discuss the clinical
10 pharmacology perspectives on diabetic ketoacidosis.
11 Diabetic ketoacidosis is the most important safety
12 issue pertaining to the use of SGLT2 inhibitors,
13 including empa, among type 1 diabetic patients.
14 DKA occurs due to insulin deficiency, either
15 relative or absolute, and subsequent ketogenesis.

16 In general, SGLT2 inhibitors increase the
17 risk of DKA, and this risk is dose dependent. The
18 mechanisms are likely related to both indirect
19 effects through insulin dose reduction and volume
20 contraction and direct effects through ketogenesis,
21 as shown in this slide.

22 Through urinary glucose excretion, SGLT2

1 inhibitors cause a lowering in plasma glucose
2 levels, which leads to a rebound reduction in
3 insulin dose. This leads to an increase in
4 lipolysis and ketogenesis in the liver.
5 Additionally, SGLT2 inhibitors increase the renal
6 resorption of ketone bodies, thereby increasing
7 ketone levels in the plasma, while resulting in a
8 reduced ketone level in the urine. Finally, SGLT2
9 inhibitors decrease sodium resorption, resulting in
10 volume depletion, which may worsen the risk for
11 DKA.

12 A shift from carbohydrate metabolism to
13 fatty acid metabolism is accompanied by an increase
14 in plasma ketones. Beta-hydroxybutyrate, or BHB,
15 is the most abundant of the three ketone bodies
16 created by the liver, followed by acetoacetate and
17 acetone. The mean BHB and standard error from
18 EASE-3 is shown in this slide. There is a
19 dose-dependent increase in BHB with the highest
20 change apparent at week 12. Note, the reference
21 range is different between institutions and
22 laboratories, but is generally less than 0.4 to

1 0.6 millimoles per liter.

2 Upon discontinuation at 26 weeks, the BHB
3 levels return to baseline within 2 weeks. We note
4 a small dip in the BHB levels during the 6-week
5 insulin optimization period among all arms, which
6 likely reflects a shift towards increased
7 carbohydrate utilization for more optimally dose
8 insulin.

9 The increase in BHB post-randomization
10 indicates a shift towards reduced carbohydrate
11 utilization and subsequent lipolysis and fatty acid
12 oxidation. We note similar dose-response patterns
13 in routinely ordered plasma ketone labs. The
14 increases of BHB under treatment may reduce the
15 capacity to compensate to exogenous insults in
16 carbohydrate metabolism such as missed meals,
17 missed insulin doses, or sick days.

18 In addition, empagliflozin treatment caused
19 a sustained decrease in concomitant insulin use.
20 Reductions in insulin were dose dependent, with an
21 average decrease of approximately 7 and a half
22 percent in the 2.5-milligram arm and 10 to 15

1 percent in the 10- and 25-milligram arms. We note
2 that a maximal or near maximal decrease was
3 observed at 1 week post randomization, which was
4 the earliest time point in this analysis.

5 Overall, the clinical pharmacology
6 information in this application provides
7 corroborating evidence of efficacy for the
8 2.5-milligram dose. The BHB and insulin data from
9 EASE-3 indicates empagliflozin treatment causes a
10 shift away from carbohydrate metabolism.

11 Finally, we observed dose-response
12 relationships for all pharmacodynamic endpoints,
13 including those predictive of efficacy such as UGE,
14 HbA1c, and safety, such as BHB, plasma ketones, and
15 insulin reductions. In all cases, the
16 2.5-milligram dose elicited an effect intermediate
17 between placebo and the higher doses of these
18 biomarkers.

19 Now I welcome Dr. Roberto Crackel to discuss
20 the statistical efficacy results.

21 **FDA Presentation - Roberto Crackel**

22 DR. CRACKEL: Good morning. I'm Dr. Roberto

1 Crackel, the statistical reviewer from the FDA. I
2 will present the statistical assessment of efficacy
3 from empagliflozin 2.5 milligrams in comparison to
4 placebo in the EASE-3 study. Here's the outline of
5 my presentation. I will first give an overview of
6 the trial, then discuss the efficacy analyses, and
7 results for the primary and secondary endpoints.
8 Finally, I will give concluding remarks.

9 The EASE-3 trial was a randomized, 26-week,
10 double-blind, parallel group, placebo-controlled
11 multicenter study. In total, there are 975
12 subjects who were equally randomized and treated to
13 empagliflozin 25, 10, and 2.5 milligrams and
14 placebo. There are 482 subjects randomized and
15 treated to empagliflozin 2.5 and placebo, where
16 there are 241 subjects in each arm.

17 The primary endpoint was change in HbA1c
18 from baseline to week 26. Secondary endpoints
19 include rate of symptomatic hypoglycemic events
20 with confirmed plasma glucose less than
21 54 milligrams per deciliter and/or severe
22 hypoglycemic events, confirmed by adjudication per

1 patient year from week 1 to week 26; change in body
2 weight from baseline to week 26; change in total
3 daily insulin dose from baseline to week 26; and
4 change in systolic and diastolic blood pressure
5 from baseline to week 26.

6 Another endpoint of interest is the
7 proportion of patients with HbA1c less than 7
8 percent at week 26. Please note that secondary
9 endpoints for empagliflozin 2.5 were not included
10 in the testing structure. Therefore, analysis
11 results for secondary endpoints are presented for
12 exploratory purposes only.

13 I'll now discuss the proposed analysis for
14 the primary endpoint. The sponsor's primary
15 analysis model was the mixed model repeated
16 measurements or MMRM. The model included baseline
17 HbA1c and baseline eGFR as linear covariates, and
18 baseline preexisting insulin therapy, treatment,
19 visit, visit by treatment interaction, and baseline
20 HbA1c by visit interaction as fixed effects.

21 The analysis was performed on the full
22 analysis set, which was defined as all randomized

1 subjects who were treated with at least one dose of
2 study drug who had a baseline and at least one
3 on-treatment HbA1c measurement. Further, only data
4 while patients were on treatment were used.

5 The FDA preferred analysis is using an
6 Ancova model after missing data are imputed with a
7 washout method. All available data from the
8 treated set, defined as all randomized subjects who
9 were treated with at least one dose of study drug,
10 should be used. For the washout methodology,
11 missing week 26 measurements for patients on
12 empagliflozin were imputed based on placebo
13 completers.

14 For each complete data set, an Ancova was
15 run using prespecified factors and covariate.
16 Rubin's rule was then applied to synthesize results
17 to obtain an estimate of the treatment effect.
18 This table summarizes data capture for the primary
19 endpoint. We see that 3.3 percent of patients had
20 missing week 26 data on empagliflozin 2.5 and 7.9
21 percent of patients had missing week 26 data on
22 placebo.

1 I'll now discuss efficacy results based on
2 the FDA preferred analysis. This table presents
3 the results on change in HbA1c from baseline at
4 week 26. The mean baseline HbA1c was 8.15 percent
5 on empagliflozin 2.5 and 8.19 percent on placebo.
6 The reduction in HbA1c compared to placebo is 0.26
7 percent, which is similar to a reduction of 0.28
8 percent, based on the sponsor's primary analysis.

9 The result on HbA1c is statistically
10 significant since the 95 percent confidence
11 interval for the difference excludes zero.
12 However, please note that the reduction of 0.26
13 percent in HbA1c change is mainly due to an
14 increase of 0.2 percent on placebo. The decrease
15 on empagliflozin 2.5 is only 0.05 percent.

16 These plots depict the change in HbA1c and
17 total insulin over time, based on patients with
18 complete data. We see that for HbA1c, between
19 baseline and week 4, empagliflozin 2.5 and placebo
20 decrease; between week 4 and week 18, empagliflozin
21 2.5 and placebo increase; and between week 18 and
22 week 26, empagliflozin 2.5 and placebo are fairly

1 stable.

2 For total insulin, there was a slight
3 decrease of approximately 1 unit at week 26 from
4 baseline in the placebo arm. For empagliflozin
5 2.5, there was a decrease between baseline and week
6 4 and remains generally steady after week 4. There
7 was approximately a 5-unit reduction in total
8 insulin on empagliflozin 2.5 at week 26 from
9 baseline.

10 This table describes the analysis results
11 for adjudicated severe hypoglycemic events between
12 week 1 to week 26. In comparing the number of
13 patients with at least one severe hypoglycemic
14 event between empagliflozin 2.5 and placebo, the
15 adjusted odds ratio is 0.357. We note that there
16 was one patient on empagliflozin 2.5 with 7 events.

17 The adjusted event rate ratio comparing
18 empagliflozin 2.5 to placebo is 0.846. The
19 confidence intervals for both the odds ratio and
20 event rate ratio include 1, therefore, we conclude
21 that empagliflozin 2.5 offers no benefit in
22 reducing severe hypoglycemic events.

1 This table describes the analysis results
2 from investigator-reported symptomatic adverse
3 events with confirmed plasma glucose less than 54
4 and/or adjudicated severe hypoglycemic events
5 between week 1 to week 26. In comparing the number
6 of patients with at least one event between
7 empagliflozin 2.5 and placebo, the adjusted odds
8 ratio is 0.936.

9 We observed a difference of 380 events
10 between empagliflozin 2.5 and placebo over week 1
11 to week 26. This difference is mainly due to an
12 imbalance in prerandomization hypoglycemic event
13 rates. Pre-randomization hypoglycemic event rates
14 were estimated from the number of events occurring
15 28 days prior to randomization.

16 The mean pre-randomization rate for placebo
17 was 1.26 events per 30 days compared to 1.05 for
18 empagliflozin 2.5. If we multiply the difference
19 in pre-randomization event rates by 241 patients
20 and 26 weeks, this will result in an expected
21 difference of 300 events. This is why adjusting
22 for pre-randomization hypoglycemic event rate in

1 the model yields similar event rates for
2 empagliflozin 2.5 and placebo over week 1 to week
3 26.

4 The adjusted event rate ratio comparing
5 empagliflozin 2.5 to placebo is 0.94. The
6 confidence intervals for both the odds ratio and
7 event rate ratio include 1. Therefore, we conclude
8 that empagliflozin 2.5 offers no benefit in
9 reducing symptomatic adverse events with confirmed
10 plasma glucose less than 54 and/or adjudicated
11 severe hypoglycemic events.

12 As I mentioned earlier, secondary endpoints
13 from empagliflozin 2.5 were not included in the
14 testing structure, therefore, analysis results for
15 body weight and blood pressure presented in the
16 next few slides are for exploratory purposes only.

17 This table presents the results on body
18 weight. The mean baseline body weight was 81.39
19 kilograms on empagliflozin 2.5 and 80.92 kilograms
20 on placebo. The reduction on body weight compared
21 to placebo at week 26 is 1.77 kilograms. The 95
22 percent confidence interval for the difference

1 excludes zero.

2 This table presents the results on systolic
3 blood pressure. Please note that patients were
4 normotensive at baseline. The mean baseline
5 systolic blood pressure was 123.48 millimeters of
6 mercury on empagliflozin 2.5 and 120.63 millimeters
7 of mercury on placebo. The reduction in systolic
8 blood pressure compared to placebo at week 26 is
9 2.01 millimeters of mercury. The 95 percent
10 confidence interval for the difference excludes
11 zero.

12 This table presents the results on diastolic
13 blood pressure. The mean baseline diastolic blood
14 pressure was 75.19 millimeters of mercury on
15 empagliflozin 2.5 and 74.7 millimeters of mercury
16 on placebo. The reduction in diastolic blood
17 pressure compared to placebo at week 26 is 0.35
18 millimeters of mercury. However, since the 95
19 percent confidence interval for the difference
20 includes zero, we conclude that empagliflozin 2.5
21 offers no benefit in reducing diastolic blood
22 pressure.

1 This table presents the number of patients
2 achieving treatment goal of HbA1c of less than 7
3 percent at week 26. There were 14 patients
4 achieving goal on empagliflozin 2.5 and 4 patients
5 on placebo. While all 4 responders on placebo
6 began with HbA1c greater than 7 percent at
7 baseline, we note that of the 14 responders on
8 empagliflozin 2.5, 2 patients began with HbA1c less
9 than 7 percent at baseline, one of whom experienced
10 an increase at week 26.

11 In conclusion, empagliflozin 2.5 resulted in
12 a statistically significant reduction of 0.26
13 percent in HbA1c change from baseline at week 26
14 compared to placebo. However, this reduction of
15 0.26 percent is mainly due to an increase of
16 0.20 percent in HbA1c on placebo. The decrease on
17 empagliflozin 2.5 is only 0.05 percent.

18 There were numerically significant decreases
19 in body weight and systolic blood pressure for
20 empagliflozin 2.5 compared to placebo. However,
21 these endpoints were not prespecified in the
22 testing structure. Further, there is no benefit

1 from empagliflozin 2.5 in reducing hypoglycemic
2 events.

3 I now welcome back Dr. Niyyati to the
4 podium to discuss DKA assessments.

5 **FDA Presentation - Mahtab Niyyati**

6 DR. NIYYATI: DKA is an acute
7 life-threatening complication of diabetes that can
8 lead to severe consequences and can be fatal.
9 While all type 1 patients are at risk of DKA, SGLT2
10 inhibitors generally further increase that risk.

11 The applicant utilized multiple ways to
12 identify possible DKA events. One way was by
13 capturing investigator-reported DKA as documented
14 in the electronic case report forms. Another way
15 was through a search of the adverse event database
16 by using predefined MedDRA preferred terms,
17 indicative of ketoacidosis or acetonemia.

18 The applicant also captured BHB values less
19 than 1.5 and greater than 3.8 millimoles per liter
20 accompanied by symptoms suggestive of ketoacidosis
21 or hospitalization, as well as any BHB value equal
22 or greater than 3.8 millimoles per liter from the

1 lab or the patient's e-diary. It's important to
2 note that the applicant categorized asymptomatic
3 BHB values between 1.5 and 3.8 millimoles per liter
4 as ketosis, and these events were not to be sent
5 for adjudication.

6 In order to adjudicate cases of DKA, the
7 applicant created categories based on a
8 prespecified set of criteria. Possible DKA events
9 were to be adjudicated in one of these categories.
10 While this may be a reasonable approach for
11 categorizing events in a clinical trial, this may
12 not be how DKA is experienced in the real world.

13 Multiple DKA prevention strategies were
14 implemented to prevent DKA. Patients were selected
15 who were able to manage their insulin regimen and
16 comply with study procedures such as ketone
17 monitoring. All patients received a point-of-care
18 device capable of measuring blood glucose and BHB.

19 The investigators were to educate the
20 patients on the risk of DKA. The patients were
21 instructed not to reduce their insulin dose below
22 the investigator's recommendations. Patients were

1 instructed to test their ketones in case of any
2 symptoms of ketoacidosis irrespective of the
3 glucose value or in case of repeated elevated blood
4 glucose without explanation.

5 Patients were to be reminded about signs and
6 symptoms of ketoacidosis, the interpretation of
7 ketone values measured via the meter, and
8 appropriate actions to take in the event of
9 increased ketone levels. If ketone levels were
10 elevated, patients had to either follow the
11 investigator instructions or contact the site.

12 Patients were instructed to refer to the
13 investigator and/or to hospital in case of a blood
14 ketone more than 1.5 millimoles per liter, and the
15 investigator had to ensure appropriate tests were
16 performed in case of a suspected DKA.

17 Patients were reminded about appropriate
18 management of their diet and physical activity,
19 including a reminder to maintain adequate daily
20 fluid intake to avoid dehydration. They were to be
21 reminded about the importance of following a
22 sick-day management plan and corresponding insulin

1 adjustments should they become unwell during the
2 trial. Extreme diets were to be avoided.

3 During the conduct of the trial, an
4 additional information card was given to patients
5 to explain the potentially atypical presentation of
6 DKA with the use of SGLT2 inhibitors. Patients
7 were advised to present this card to healthcare
8 providers when appropriate.

9 Despite the intensive monitoring and
10 prevention strategies implemented in the program, a
11 patient randomized to empa 25-milligram died during
12 the study as a result of DKA. The patient was a
13 28-year-old female who on study day 107 was
14 evaluated by the investigator for flu-like
15 symptoms, fever, and an elevated BHB of 4.6
16 millimoles per liter. Of note, this event was
17 eventually adjudicated as unlikely ketoacidosis but
18 ketosis.

19 The next day, blood glucose was 96 milligram
20 per deciliter. BHB was 2.1 millimoles per liter.
21 The investigator told her to keep controlling blood
22 glucose and did not stop the study drug. There is

1 no documentation of any further interaction between
2 the patient and the investigator after this point.

3 She developed severe vomiting and a BHB of
4 6.3 millimoles per liter, and went to the emergency
5 room. Blood glucose was 190 milligram per
6 deciliter. The patient didn't inform the emergency
7 room about the high BHB levels or participation in
8 the study, and the emergency room did not measure
9 ketones.

10 In the emergency room, she was diagnosed
11 with sinusitis. She was treated with antiemetics,
12 IV fluids, and antibiotics. She was discharged
13 home. Later, she developed vomiting, abdominal
14 pain, a blood glucose of 300 milligram per
15 deciliter. Paramedics recommended hospitalization,
16 but she declined. Later, the BHB was still elevated
17 at 6.1 millimoles per liter.

18 It appears that at this point in the event,
19 no one had stopped the study drug. She developed
20 severe nausea, vomiting, confusion, drowsiness,
21 obtundation, and a blood glucose of 457 milligram
22 per deciliter.

1 In the hospital, labs showed a severe
2 metabolic acidosis with a pH of 6.96 and a low
3 bicarbonate of 4.4 milliequivalents per liter. She
4 was treated with IV fluids, electrolytes, insulin
5 drip, bicarb fusion, antiemetics, and antibiotics.
6 Despite treatment, the patient died due to cardiac
7 arrest and respiratory arrest secondary to DKA. An
8 autopsy showed cerebral edema with herniation of
9 cerebellar tonsils into the occipital foramen.

10 This case clearly was adjudicated as a
11 certain DKA with a fatal outcome. Beyond this,
12 it's important to consider the reliability of the
13 DKA adjudication process. In other words, is the
14 adjudication process in the development program
15 reliably informing DKA risk in the real world with
16 the use of this drug?

17 DKA occurs along the spectrum, and caveats
18 to this approach of having five categories include
19 questions about the clinical meaningfulness of the
20 classifications as they apply to the real world. A
21 review of the narrative of events adjudicated as
22 unlikely ketoacidosis but ketosis showed that some

1 of these events were clinically significant,
2 serious adverse events, requiring hospitalization.
3 They also usually required an intervention to
4 prevent further worsening of the clinical
5 condition.

6 In addition, some of the criteria utilized
7 for adjudication seemed to be designed for
8 enhancing specificity to classify an event, which
9 may have sacrificed sensitivity of categorizing
10 clinically meaningful events in the certain DKA
11 category.

12 For example, the requirement of two
13 corroborating BHB values of 3.8 millimoles per
14 liter or greater, at least 60 minutes apart but
15 within 24 hours to classify an event as a potential
16 DKA event. For this reason, the statistical
17 assessment of DKA risks that will be discussed in a
18 subsequent presentation will show not only certain
19 DKA events but all adjudicated events.

20 Next, I'll review some example narratives in
21 patients treated with empa 2.5 milligram that
22 illustrate these points. Here's an example of a

1 serious adverse event adjudicated as unlikely
2 ketoacidosis but ketosis.

3 A 32-year-old woman was evaluated in the
4 emergency room for intense colicky abdominal pain,
5 vomiting, dehydration, and ketones in the urine.
6 The patient was hospitalized with a diagnosis of
7 mild DKA and gastroenteritis. Treatment with
8 infusion of insulin and intravenous fluids was
9 initiated, and empa 2.5 milligram was temporarily
10 discontinued.

11 The narrative states the next day, blood
12 glucose was 170 milligram per deciliter and BHB was
13 2.8 millimoles per liter. Three hours later, a
14 repeat BHB was 0.6 millimoles per liter and blood
15 glucose was 60 milligram per deciliter. Later, and
16 arterial blood gas showed a low bicarbonate of 14.3
17 milliequivalents per liter, a pH in the normal
18 range, along with a low pCO₂ of 20 millimeters
19 mercury, suggesting the patient had a metabolic
20 acidosis compensated by respiratory alkalosis to
21 keep the pH normal. Patient was discharged two
22 days later, and empa 2.5 milligram was restarted.

1 In another example, a 51-year-old woman went
2 to the emergency room for nausea, vomiting,
3 confusion, hyperglycemia, and an elevated BHB of
4 1.9 millimoles per liter and a blood glucose of 396
5 milligram per deciliter. She was told to replace
6 her insulin pump and given oral hydration.

7 Patient's condition did not improve, and the
8 next day, she was hospitalized for ongoing symptoms
9 of nausea, vomiting, blood glucose of 340 milligram
10 per deciliter, and elevated ketones, and was found
11 to have a worsening low bicarb level of
12 16 milliequivalents per liter, suggesting metabolic
13 acidosis along with a normal pH of 7.37. The
14 maximum BHB was 4.4 millimoles per liter. Empa 2.5
15 milligram was temporarily discontinued, and she was
16 treated with insulin and fluids.

17 The investigator documented that the patient
18 had mild ketoacidosis, which was serious due to
19 requiring hospitalization. We believe this event
20 was adjudicated as unlikely ketoacidosis but
21 ketosis at least in part because the pH was normal.

22 One more example is a 20-year-old woman

1 evaluated in the emergency room for generalized
2 malaise, abdominal pain, vomiting, and an elevated
3 BHB of 2.2 millimoles per liter, and a blood
4 glucose is 164 milligram per deciliter. On
5 examination, the patient had ketotic breath, and
6 the abdomen was painful to palpation. An arterial
7 blood gas showed a high anion gap of 16, a normal
8 pH of 7.4, and a normal bicarb of 21
9 milliequivalents per liter. She was also diagnosed
10 with an urinary tract infection.

11 The patient received treatment with fluids
12 and insulin. Later, ketone levels returned to
13 normal, and she was discharged from the emergency
14 room. However, overnight she had recurrent
15 elevated BHBs up to 2.4 millimoles per liter, which
16 returned to normal by the next day.

17 The patient took the study drug. Ketone
18 levels increased to 2.9 millimoles per liter, along
19 with a tender abdomen and discrete tachypnea. The
20 patient was hospitalized and serial, arterial blood
21 gas measurements showed a normal pH of 7.36 and a
22 worsening bicarb of 17.7 milliequivalents per

1 liter, suggesting metabolic acidosis; and according
2 to the narrative, a compensatory low pCO₂ of 32
3 millimeters mercury. Empa 2.5 milligram was
4 permanently discontinued after which the ketone
5 levels and symptoms improved.

6 The patient was hospitalized for 3 days and
7 treated with IV fluids and insulin. The maximum
8 BHB was 4.7 millimoles per liter. This event was
9 considered serious due to requiring
10 hospitalization. Note that this event was
11 adjudicated as unlikely ketoacidosis but ketosis,
12 but if the maximum BHB value was considered, this
13 event would have been classified at least as
14 potential.

15 The table on this slide shows total event
16 counts for adjudicated cases. We believe looking
17 at all three categories shown here in our analysis
18 is the most clinically meaningful approach. To
19 summarize, there were 3 certain, 1 potential, and
20 2 unlikely ketoacidosis but ketosis events in the
21 placebo arm, and there were 2 certain, 3 potential,
22 and 8 unlikely ketoacidosis but ketosis events in

1 the empa 2.5 milligram arm, for a total of 6 events
2 in the placebo arm versus 13 events in the
3 empa 2.5-milligram arm. Note in this tabulation,
4 some patients could have more than one event.

5 In the next presentation, Dr, Gomatam will
6 show the statistical analysis for DKA risk for the
7 empa 2.5 milligram for all three categories using
8 time to first event analyses.

9 **FDA Presentation - Shanti Gomatam**

10 DR. GOMATAM: Good morning. I'm Shanti
11 Gomatam from the Division of Biometrics VII in the
12 Office of Biostatistics. During my presentation
13 today, I will focus on the statistical assessment
14 of the risk of diabetic ketoacidosis or DKA.

15 No hypotheses were prespecified for the
16 diabetic ketoacidosis endpoint in the protocol or
17 the statistical analysis plan. There was, thus, no
18 attempt to power the study to establish that
19 empagliflozin 2.5 milligram dose was either
20 noninferior or superior to the placebo arm in terms
21 of risk of diabetic ketoacidosis.

22 We present descriptive analyses of the risk

1 of DKA using unadjusted 95 percent confidence
2 intervals. All randomized subjects who took at
3 least one dose of randomized treatment were
4 included in these analyses, and DKA events that
5 happened up to 7 days after the last treatment dose
6 were included.

7 The following analyses were conducted by the
8 FDA to assess the risk of DKA. Hazard ratios for
9 the time to first CEC-adjudicated DKA event were
10 estimated using a Cox proportional hazards model
11 with fixed treatment effect for empagliflozin
12 versus placebo.

13 Exposure-adjusted risk differences were
14 computed using patient-years of exposure in the
15 denominator instead of number of patients. For
16 exposure-adjusted risk differences, only the first
17 event was considered for each subject. The
18 Newcombe hybrid score method was used to obtain 95
19 percent confidence intervals.

20 Key analyses compared the 2.5-milligram arm
21 to the placebo arm. Supporting analyses provided
22 comparisons of hazard ratios and exposure-adjusted

1 risk differences for DKA events in the EASE-2 and
2 EASE-3 trials. Hazard ratios and exposure-adjusted
3 risk differences for certain DKA, or potential DKA,
4 or unlikely ketoacidosis but ketosis events, are
5 also provided. For convenience, we may also refer
6 to unlikely ketoacidosis but ketosis events as UKBK
7 in the rest of our presentation.

8 The analyses presented in the next few
9 slides focus on -- sorry. As Dr. Niyiyati mentioned
10 earlier, the Clinical Events Committee charter
11 predefined the categories for CEC adjudication of
12 DKA events. The CEC classified the DKA events sent
13 for adjudication as certain, potential, unlikely
14 ketoacidosis but ketosis, or unlikely ketoacidosis,
15 or unclassifiable.

16 The analyses presented in the next few
17 slides focus on CEC adjudicated certain DKA events.
18 The EASE-3 study had 241 patients each randomized
19 and treated in the empagliflozin 2.5-milligram arm
20 and the placebo arm, and there were a total of
21 5 certain DKA events in these two arms in the EASE-
22 3 study. Analyses that include certain potential

1 and UKBK events are provided later as supporting
2 evidence.

3 The graph on this slide shows cumulative
4 event probabilities that is the complement of the
5 Kaplan-Meier survival probabilities for the EASE-3
6 trial. The X-axis represent days from
7 randomization and the Y-axis represents cumulative
8 event probability for events adjudicated as certain
9 DKA by the Clinical Events Committee.

10 The curve in orange represents the placebo
11 arm; that in green represents the empagliflozin
12 2.5-milligram arm, the only dose under
13 consideration for the type 1 diabetes mellitus
14 claim. The curve in blue represents the
15 10-milligram arm, and the one in purple represents
16 the 25-milligram arm. The cumulative event
17 probabilities for the empagliflozin 10-milligram
18 and 25-milligram arms are numerically higher than
19 that for the 2.5-milligram arm.

20 We have here estimates of the hazard ratios
21 and exposure-adjusted risk differences for the
22 occurrence of CEC adjudicated certain DKA in EASE-3

1 for the 2.5-milligram dose of empagliflozin. As
2 mentioned earlier, the trial was not powered for
3 the DKA endpoint. Only 241 patients each were
4 randomized and treated in the 2.5-milligram dose
5 arm and the placebo arm in the EASE-3 trial.

6 Although the estimate of the hazard ratio
7 for the empagliflozin 2.5-milligram arm versus
8 placebo was 0.66, since there were only 5 events
9 between the empagliflozin 2.5 arm and the placebo
10 arm, the upper bound of the 95 percent confidence
11 interval is 3.92. Therefore, an increased risk of
12 almost 4 times as much as that in the placebo arm
13 cannot be ruled out.

14 The estimated exposure-adjusted risk
15 difference of negative 0.89 had an associated 95
16 percent confidence interval of negative 5.71 to
17 3.60. Also included here are the estimates for the
18 incidence of certain DKA in the 10-milligram and
19 25-milligram doses of the EASE-3 trial, which were
20 the only doses studied in the EASE -- sorry -- of
21 the EASE-2 trial, which were the only doses studied
22 in the EASE-2 trial. I'm sorry. That's a mistake.

1 Also included here are the estimates for the
2 incidence of certain DKA in the 10-milligram and
3 25-milligram doses of the EASE-3 trial, and these
4 were the only doses studied in the EASE-2 trial.
5 Hazard ratios of 1.93 and 1.98 for these doses are
6 numerically above 1, but the associated nominal
7 95 percent confidence intervals include the null
8 value of 1. Exposure-adjusted risk difference
9 estimates show similar patterns. Although point
10 estimates are higher than zero, the associated
11 confidence intervals include zero.

12 There was only one trial, EASE-3, which
13 studied the 2.5-milligram dose for which the type 1
14 diabetes mellitus indication is being sought. One
15 concern is whether the results for the DKA risk
16 from this trial are consistent with the results for
17 the DKA risk from the other phase 3 trial, EASE-2.

18 The graphs in the plot on this slide compare
19 the probabilities of cumulative incidence of
20 certain DKA in the EASE-2 and EASE-3 trials. Along
21 the X-axis, we have days from randomization and the
22 Y-axis represents a cumulative event probability.

1 The 52-week EASE-2 trial is on the left, while the
2 26-week EASE-3 trial is on the right. The orange
3 curves represent placebo; the green curve
4 represents the empa 2.5-milligram dose. The blue
5 represents the empa 10-milligram dose, and the
6 purple represents the empa 25-milligram dose.
7 Recall that the EASE-2 trial did not include the
8 2.5-milligram dose.

9 A dashed red line is drawn at day 190, the
10 day after the 26-week plus 7-day follow-up for DKA
11 in the EASE-3 trial ended. The placebo curves look
12 similar in both trials. The empa 25-milligram arm
13 also looks similar across the two trials up to
14 26 weeks, but the incidence curve for the
15 10-milligram dose in the EASE-2 trial is visibly
16 different from that in the EASE-3 trial.

17 This table compares the hazard ratio and
18 exposure-adjusted risk difference estimates for the
19 52-week EASE-2 trial on the left and for the
20 26-week EASE-3 trial on the right. The hazard
21 ratio and exposure-adjusted risk differences,
22 highlighted in green, are those for the

1 2.5-milligram empagliflozin dose in EASE-3.

2 We see differences in the results for the
3 10-milligram dose across the two trials. The
4 10-milligram arm in the EASE-2 trial had a hazard
5 ratio estimate of 4.87 with a 95 percent confidence
6 interval for the hazard ratio that went from 1.41
7 to 16.83.

8 In the EASE-3 trial, the hazard ratio
9 estimate for the 10-milligram dose was 1.93 with a
10 95 percent confidence interval from 0.48 to 7.72.
11 The 95 percent confidence interval for the
12 exposure-adjusted risk difference for the 10-
13 milligram dose in the EASE-2 trial excluded and was
14 above zero, while that for the 10-milligram dose in
15 the EASE-3 trial included zero.

16 A review of baseline demographic and
17 clinical characteristics for the EASE-3 and EASE-2
18 trials did not provide potential explanations for
19 apparent differences in the 10-milligram group
20 across the two trials; neither did a review of the
21 pharmacokinetic exposures. Trial conduct also
22 appeared similar across the two trials as did DKA

1 prevention strategies.

2 As Dr. Niyiyati discussed earlier, due to
3 concerns that the events in the potential and
4 unlikely ketoacidosis but ketosis categories were
5 clinically meaningful events, we performed analyses
6 of events adjudicated as certain DKA, potential
7 DKA, or unlikely ketoacidosis but ketosis. These
8 results are presented in the next few slides.

9 Cumulative event probabilities for certain
10 DKA, potential DKA, or UKBK events are plotted on
11 this slide. The X-axis represents days from
12 randomization and the Y-axis represents the
13 cumulative event probability. The table at the
14 bottom gives the number at risk for each of the 4
15 arms in the EASE-3 trial. The estimated cumulative
16 event probability for the empa 2.5-milligram dose
17 is now numerically higher than that for the placebo
18 arm.

19 As seen for certain DKA, the 25-milligram
20 empa dose in the purple curve is numerically higher
21 than the cumulative event probability for the
22 10-milligram dose in the blue curve, which is

1 numerically higher than the cumulative event
2 probability for the 2.5-milligram dose.

3 For CEC-adjudicated certain DKA, potential
4 DKA, or UKBK events, estimated hazard ratio versus
5 placebo for the 2.5-milligram arm was 1.5 with an
6 associated confidence interval with an upper bound
7 of 4.20. These estimates were based on a total of
8 15 events across the empa 2.5-milligram arm and the
9 placebo arm in the 26-week long EASE-3 trial.

10 An exposure-adjusted risk difference of 2.49
11 was estimated for the 2.5-milligram dose versus
12 placebo with an associated 95 percent confidence
13 interval that included the null value of zero.

14 I'm sorry; my animations have a mind of
15 their own. For the 10-milligram and 25-milligram
16 doses, the estimated hazard ratios were 3.96 and
17 4.65, respectively -- sorry, I'm still here -- with
18 associated 95 percent confidence intervals that
19 excluded and were above the null value of 1.

20 Similarly, exposure-adjusted risk differences for
21 the two higher doses were estimated to be greater
22 than zero with associated 95 percent confidence

1 intervals that excluded and were above the null
2 value of zero.

3 In summary, hazard ratios and
4 exposure-adjusted risk differences for all three
5 doses versus placebo are greater than the null
6 value of 1 and 0, respectively. The associated 95
7 percent confidence intervals for the 2.5 dose
8 include the null value, while those for the 10-
9 milligram and 25-milligram doses do not.

10 Cumulative event probabilities are compared
11 here for the EASE-2 and EASE-3 trials. Along the
12 X-axis, we have days from randomization and the Y-
13 axis represents the cumulative event probability.
14 The 52-week EASE-2 trial is on the left, while the
15 26-week EASE-3 trial is on the right.

16 The orange curve represents placebo; the
17 green curve represents empa 2.5-milligram dose.
18 The blue represents the empa 10-milligram dose, and
19 the purple represents the empa 25-milligram dose.
20 Recall that the EASE-2 trial did not include the
21 2.5-milligram dose.

22 As before, the dashed red line is drawn at

1 day 190, the day after the 26-week plus 7-day
2 follow-up for the EASE-3 trial ended. As mentioned
3 earlier, we did not find obvious differences in
4 baseline demographic or clinical characteristics,
5 pharmacokinetic exposure, trial conduct, or DKA
6 prevention strategies that could explain the
7 apparent numerical differences in the estimates
8 across trials.

9 Hazard ratio estimates for all doses are
10 greater than 1 in both trials. To recap, a hazard
11 ratio estimate of 1.5 was obtained for the empa
12 2.5 dose with associated 95 percent confidence
13 intervals from 0.53 to 4.2. The 95 percent
14 confidence interval for the exposure-adjusted risk
15 difference for the 2.5-milligram dose arm was
16 greater than zero, but the associated CI included
17 zero. Ninety-five percent confidence intervals for
18 risk difference estimates for the two higher doses
19 in both trials exclude and are above zero.

20 Sorry. I should also have mentioned that
21 for the two higher empa doses, the 95 percent
22 confidence intervals for the hazard ratio in both

1 trials exclude and are above the null value of 1.

2 There are limited data available for the
3 assessment of DKA risks for the 2.5-milligram arm.
4 A total of 482 patients were randomized and took at
5 least 1 dose across the empa 2.5-milligram and
6 placebo arms. Five patients in total across the
7 2.5-milligram and placebo arms had a certain DKA
8 event. The hazard ratio estimated for certain DKA
9 was less than one with a CI that covered 1.

10 A negative exposure-adjusted risk difference
11 was estimated for certain DKA with an associated
12 confidence interval that included zero. Fifteen
13 patients in the 2.5-milligram arm and the placebo
14 arm had either certain DKA, potential DKA, or
15 unlikely ketoacidosis but ketosis events.

16 The hazard ratio estimated here for the
17 2.5-milligram arm of EASE-3 versus placebo was 1.5.
18 The 95 percent confidence interval had a lower
19 bound of 0.53 and an upper bound of 4.20. The
20 upper bound estimated for the exposure-adjusted
21 risk difference confidence interval was 9.28.

22 The results from EASE-3 did not appear

1 consistent with those from EASE-2, raising concerns
2 about potential generalizability of the results
3 from EASE-3. No differences that could potentially
4 explain inconsistencies were noted in baseline
5 demographic or clinical characteristics,
6 pharmacokinetic exposures, trial conduct, or
7 prevention strategies for DKA.

8 Subgroup analyses were not possible for the
9 2.5-milligram dose alone in the EASE-3 trial,
10 however, we did conduct subgroup analyses with all
11 doses combined for certain DKA in EASE-3, and noted
12 no subgroup interactions.

13 Dr. Niyiyati will now summarize and present
14 concluding remarks.

15 **FDA Presentation - Mahtab Niyiyati**

16 DR. NIYYATI: I'm now going to summarize
17 the safety and efficacy of the empa 2.5-milligram
18 dose and provide our benefit-risk assessment. In
19 EASE-3 at week 26, a statistically significant,
20 placebo-adjusted reduction in HbA1c of 0.26 percent
21 from baseline was observed in patients treated with
22 empa 2.5 milligram. The change in HbA1c the

1 placebo group was an increase of 0.2, and the
2 change in HbA1c in the empa 2.5 milligram was a
3 decrease of 0.05 percent. Data suggests no
4 difference from placebo with regard to hypoglycemia
5 risk.

6 In addition, a continuous glucose monitoring
7 substudy, which included a relatively small number
8 of patients, examined blood glucose profiles. The
9 small sample size limits interpretation, but the
10 findings suggested a small reduction in time spent
11 in hyperglycemia and no impact on time spent in
12 hypoglycemia.

13 Empa 2.5 milligram showed the reduction of
14 1.77 kilograms in body weight when compared to
15 placebo. Note the baseline mean BMI for the empa
16 2.5-milligram dose was 28 kilogram per meter
17 squared and the baseline mean weight was 81.4
18 kilograms. Empa 2.5 milligram showed the reduction
19 of 2.01 millimeters mercury in systolic blood
20 pressure compared to placebo. The mean baseline
21 systolic blood pressure for empa 2.5 milligram was
22 123.5 millimeters mercury.

1 A reduction of 0.35 millimeters mercury in
2 diastolic blood pressure for empa 2.5 milligram
3 dose compared to placebo was also observed. The
4 mean baseline diastolic blood pressure was
5 75.2 millimeters mercury.

6 Putting this all together in a benefit-risk
7 framework, when considering efficacy findings for
8 empa 2.5-milligram group, we have a small reduction
9 in HbA1c, a surrogate endpoint for microvascular
10 outcomes. Indeed, the magnitude is within the
11 noninferiority margin that we often use in
12 comparative effectiveness studies for diabetes
13 drugs.

14 The magnitudes of change for the other
15 clinical benefits, including blood pressure and
16 body weight, is also quite small and of uncertain
17 clinical relevance, especially in patients who are
18 normal weight or normotensive at baseline. When
19 considering the risk of DKA for empa 2.5 milligram,
20 there's insufficient information to be reassured
21 about the risk of DKA.

22 The size of the database submitted to

1 support safety of the 2.5-milligram dose is
2 relatively small and of short duration. Only
3 241 patients were randomized to the 2.5-milligram
4 dose in a single phase 3 trial. When looking at
5 only the certain DKA category, the small number of
6 DKA events limits the ability to discern meaningful
7 differences between groups.

8 In addition, it's unclear whether
9 categorization made clinical sense, as some of the
10 DKA events adjudicated as unlikely ketoacidosis but
11 ketosis were clinically meaningful. In addition,
12 the intense monitoring and clinical support in the
13 clinical trials raises questions about the
14 generalizability of these findings to the real
15 world, where less rigorous implementation of
16 monitoring may result in more frequent and more
17 severe DKA events.

18 Lastly, further differences in DKA risk
19 between the two phase 3 trials conducted in the
20 type 1 population were observed for the empa
21 10-milligram dose, which could not be explained,
22 highlighting that with a small safety database,

1 results can be variable across trials, raising
2 concerns about the generalized ability of the
3 finding.

4 As an exploratory benefit-risk assessment,
5 we considered the 6-month time horizon, the EASE-3
6 trial duration, as well as a 6 and a half year time
7 horizon to leverage outcomes data from the DCCT.
8 For benefit, we estimated a 12.8 percent reduction
9 in microvascular complications associated with
10 glycemic control achieved in DCCT out to 6.5 years
11 of trial intervention.

12 Clinically, the microvascular complications
13 prevented could have very different severities,
14 from a reduced risk of microalbuminuria, mild
15 vision problems or nerve pain, to reduced risk of
16 end-stage renal disease, blindness, or amputation.
17 The absolute risk reduction will vary based on the
18 baseline risk of each microvascular complication.

19 There's a potential, but as yet,
20 undemonstrated reduction in the risk of
21 microvascular complications resulting from the
22 reduction in HbA1c associated with empa 2.5

1 milligram. The quantification of estimates of this
2 benefit is difficult because the estimates assume
3 that patients are able to maintain the 0.26 percent
4 HbA1c reduction beyond 6 months; but we note that
5 in EASE-2, that studied the higher doses over 52
6 weeks, the HbA1c reduction at 52 weeks was roughly
7 10 to 30 percent less compared to week 26, based on
8 the sponsor's results.

9 Given these uncertainties, the true benefit
10 to patients treated with empa 2.5 milligram may be
11 smaller.

12 For DKA risk at 6 months, we considered DKA
13 risk estimates based on the phase 3 trial. Based
14 on the exposure-adjusted risk difference estimate
15 for certain, potential, and unlikely ketoacidosis
16 but ketosis events, we estimate there would be 125
17 additional patients with events or up to 468
18 additional patients with events per 10,000 patients
19 treated.

20 As for DKA risk at 6 and a half years
21 follow-up, without long-term data, we must estimate
22 risk based on the observed data from the 6-month

1 trial. We estimate that treating 10,000 patients
2 for 6 and a half years could result in 1,494
3 additional patients with events of certain,
4 potential, or unlikely ketoacidosis but ketosis if
5 risk is constant over time.

6 We recognize that our estimates are
7 uncertain and subject to certain assumptions. For
8 benefit, we assume that the quantitative
9 association between HbA1c reduction and
10 microvascular risk reduction observed in DCCT
11 applies in light of evolving clinical practice,
12 such as therapies to control risk factors from
13 microvascular disease, such as ACE inhibitor and
14 ARB therapy.

15 As for the risk of DKA, limited data is a
16 source of significant statistical uncertainty, but
17 it's clinically unlikely that empa reduces the risk
18 of DKA. The possible likely higher risk of DKA in
19 the real world, compared to the clinical trial
20 setting, means estimates provided here, including
21 their statistical bounds, likely underestimate the
22 true risk of DKA.

1 This concludes the FDA presentations. We
2 look forward to hearing the committee's discussion
3 and recommendations on this application. Thank you
4 in advance for participating in this meeting and
5 helping FDA fulfill its mission of protecting and
6 promoting public health by helping ensure human
7 drugs are safe and effective for their intended
8 use.

9 **Clarifying Questions to FDA**

10 DR. BURMAN: Thank you very much.

11 We will now proceed to clarifying questions
12 for the FDA. We have about 15 or 20 minutes for
13 those. Dr. Nason is first.

14 DR. NASON: Okay. I hope you'll actually
15 permit me two quick questions; I think they're both
16 quick. The first one was on slide, I guess, 13.
17 On the statistical assessment of DKA risk, you're
18 using 9 out of 241, I believe, events in the empa
19 2.5-milligram dose group to come up with a hazard
20 ratio of 1.5. But the presentation just before you
21 with Dr. Niyyati had had a 13 there, and I just
22 wanted to clarify whether it was 13 events among

1 9 patients.

2 Is that the discrepancy?

3 DR. GOMATAM: Yes, that is correct, 13
4 events and 9 patients.

5 DR. NASON: Okay. Because this is a little
6 misleading because it says events in the heading of
7 this table --

8 DR. GOMATAM: Oh.

9 DR. NASON: -- so that would be good to
10 clarify.

11 DR. GOMATAM: Thank you. I apologize for
12 that.

13 DR. NASON: No, that's fine. That's just
14 why I wanted to clarify. So there were 4 patients
15 or maybe less that had multiple events.

16 DR. GOMATAM: Right. On the analysis page,
17 we did clarify that we were only analyzing the
18 first events in patients.

19 DR. NASON: I see.

20 DR. CHRISCHILLES: But, yes, I agree that it
21 can be confusing if you just look at the table.

22 DR. NASON: Okay. Then my second question,

1 which again I think is just clarification, this is
2 for Dr. Crackel. On the primary endpoint, you'd
3 made a comment that the 95 percent confidence
4 interval for the HbA1c did not include zero, and
5 therefore that was statistically significant. But
6 as I understood it, the alpha spending rule for the
7 protocol would have only had about alpha 0.01 by
8 the time you got to judgment of statistical
9 significance.

10 So I was just trying to figure out if that
11 was an adjusted confidence interval, because I
12 would think a 99 percent confidence interval would
13 be used to judge significance there.

14 DR. CRACKEL: The confidence interval
15 [inaudible - off mic].

16 DR. NASON: Sorry. This was slide 8 of
17 Dr. Crackel's presentation.

18 DR. CRACKEL: The confidence interval
19 reported is a 95 percent confidence interval.

20 DR. NASON: So to be consistent with the
21 protocols alpha spending, it would have been a 99
22 percent confidence interval, I think; at least

1 that's how I would -- since it's 0.01 allocated to
2 that comparison?

3 DR. CRACKEL: I'd have to double check that.

4 DR. NASON: Okay. Thank you.

5 DR. BURMAN: Thank you. Just a reminder to
6 state your name and who you are specifically
7 addressing for the question.

8 Thank you. Dr. Munir?

9 DR. MUNIR: Kashif Munir. I guess the crux
10 of the matter really is DKA risk. You had shown
11 three representative examples of this UKBK
12 classification in patients that clearly had
13 symptoms, so they shouldn't be classified that way.

14 I guess the question is there were 8 UKBK
15 patients in the 2.5-milligram group; what about the
16 other 5 patients, or since there's such a low
17 number, should we reclassify all of these and
18 really do a proper analysis of which patients were
19 really at higher risk versus not? Because that's
20 really going to come down to, I think, a major part
21 of how people go with this and the safety that's
22 really expected from this dose.

1 DR. NIYYATI: To answer the first part of
2 your question, there are additional patients that
3 may belong to the higher risk category, based on
4 the case definitions provided in the adjudication
5 charter.

6 Do you want to comment further?

7 DR. YANOFF: So we did consider
8 re-adjudicating, but didn't feel that was a really
9 fair way to do the analysis, given that we had
10 already seen the unblinded data. So our next best
11 approach was to look at all the categories
12 together, recognizing there is uncertainty there.

13 So if you feel that there's some uncertainty
14 in the way the adjudication was done, we would like
15 to hear what you would prefer and if you think any
16 additional data are needed to help clarify the
17 risk.

18 DR. MUNIR: I don't know if there's
19 uncertainty. I guess the whole question is that,
20 these three cases, there were definitely symptoms.
21 So it looks like, by definition, you can't be UKBK
22 if that's the case.

1 DR. YANOFF: We went back and forth between
2 are these misadjudicated, not following the rules,
3 or is something about the adjudication category or
4 process causing this to happen. It was difficult
5 to figure that out because of how complicated the
6 adjudication categories were.

7 We have that in a backup slide if it would
8 be helpful for you to see exactly how complicated
9 it was and how many different doors you could go
10 through once you found one lab value or symptom
11 where you were supposed to go next. And
12 ultimately, where the patient ended up was just
13 super complicated. This is I think the most fair
14 way to relook at it.

15 DR. BURMAN: Do you want to show that slide?

16 DR. NIYYATI: I would also like to clarify a
17 point that the applicant made about certain DKA
18 that would have been acidosis plus ketosis. If you
19 look carefully at the potential DKA category, they
20 also have an acidosis plus ketosis category as
21 classified as potential. I just wanted to clarify
22 that, that, dependent on the level of bicarb, if

1 it's less than 15, it would fall into certain, but
2 if it's less than 18, it would fall into potential.

3 DR. BURMAN: Thank you. This slide is
4 complicated.

5 (Laughter.)

6 DR. BURMAN: Do you want to summarize it a
7 minute?

8 DR. NIYYATI: I'll try. So as the applicant
9 mentioned, if there is an established acidosis, pH
10 of less than 7.34, or a bicarb of less than 15,
11 that would fall into a certain DKA category. The
12 potential category is a wide spectrum from elevated
13 BHBS with various cutoffs, along with symptoms, all
14 the way to acidosis plus ketosis, or just acidosis,
15 or just ketosis.

16 The unlikely ketoacidosis but ketosis group
17 are any blood BHB that would fall between 1.5 to
18 3.8 and one of the below criteria. Either the pH
19 has to be normal or the patient has to be
20 asymptomatic. It's a little complicated.

21 DR. BURMAN: I think we'll be glad to look
22 at that further, later. Thank you.

1 Dr. Wang?

2 DR. LOW WANG: Cecilia Low Wang. Actually,
3 just following up on that, I have a couple of
4 questions. This is going back to slide 11 of
5 Dr. Niyyati's. Of those 8 cases in the empa group
6 that were unlikely ketoacidosis but ketosis, there
7 were the three that looked like those were SAEs.
8 So which of the other five were SAEs?

9 DR. NIYYATI: The other five were not SAEs.
10 They're an additional, at least 2 patients,
11 adjudicated as unlikely ketoacidosis but ketosis,
12 that according to the case definition will fall
13 into potential. For example, there is one patient
14 with a BHB of 6 millimoles per liter, which is
15 pretty high, in addition to a bicarb of 18. By
16 definition, that falls into potential, and that has
17 been adjudicated as unlikely ketoacidosis but
18 ketosis.

19 In order to find out about further
20 information about this particular patient, we had
21 to look at narratives in other places such as the
22 CSR. That information was not provided in the

1 adjudication package in order to put it together.
2 The other patient was a recurrent BHB elevation of
3 3.8 millimoles per liter, which is one of their
4 definitions for potential DKA; that if you have
5 recurrent BHB elevations, that would fall into
6 potential, and this particular patient had
7 recurrent BHBs more than 3.8.

8 DR. LOW WANG: So in addition to the three
9 that were outlined, there were two additional ones.
10 Okay.

11 Then the other question I have is related to
12 Dr. Crackel's presentation. I was wondering what
13 the FDA thought about the Diabetes Treatment
14 Satisfaction Questionnaire results. There was no
15 comment about that.

16 DR. YANOFF: I'd like to invite to the
17 microphone
18 a member of our clinical outcome assessment staff.

19 DR. DASHIELL-AJE: Hi. This is Ebony
20 Dashiell-Aje. We recognize the results that you
21 presented in slide CC-50, and while the application
22 is still under review at FDA, we are concerned that

1 the Diabetes Treatment Satisfaction Status
2 Questionnaire is not fit for purpose to assess
3 improvement in treatment satisfaction in the
4 proposed context of evaluating the efficacy of
5 empagliflozin.

6 DR. BURMAN: Thank you. Dr. Blaha?

7 DR. BLAHA: Mike Blaha. A follow-up
8 question, I guess, to any of the FDA staff that
9 really is similar to Dr. Low Wang's question. The
10 two incremental potential cases in the 6 potential,
11 incremental, unlikely ketoacidosis but ketosis
12 cases -- and I think the question was sort of asked
13 in a different way, and I'm trying to get at the
14 clinical significance -- do we have the numbers on
15 how many of those were hospitalizations, for
16 example?

17 DR. NIYYATI: Three of them are
18 hospitalized, and those are the ones that were
19 presented --

20 DR. BLAHA: In phase [inaudible - off mic].

21 DR. NIYYATI: Yes.

22 DR. BURMAN: Thank you. Dr. Brittain?

1 DR. BRITTAIN: I guess my question, you
2 could look at slide 16 of the statistical
3 assessment of DKA risk. I did want to say the FDA
4 presentation was also great.

5 I'm interested in whether there's any way of
6 finding risk factors for the DKA. In that slide,
7 that slide 16, there's a bullet point that says,
8 "No subgroup interactions noted for certain DKA."
9 A test of interaction tends to have very low power,
10 so it could be hard to detect an interaction that
11 is real, plus you use certain rather than the
12 bigger three-level category of DKA we'd been
13 talking about.

14 So I'm wondering did you look at that, the
15 broader categories, and also was there anything
16 that looks suggestive
17 at all?

18 DR. GOMATAM: Shanti Gomatam, FDA. Yes, I
19 agree, and with only 5 events, there wasn't much
20 hope of seeing anything in the certain DKA. I did
21 look at all three categories together. I wasn't
22 done in time to get it on to the slides. The only

1 thing I saw there was when I combined all doses,
2 sex, protective in males and harmful in females.
3 That was the only interaction I saw; no others.

4 DR. BRITTAIN: I have a little bit of a
5 follow-up to this. One of the things I'm wondering
6 about -- and this is more a clinical question -- is
7 the patients who have the biggest drop in their
8 hemoglobin A1c, are they possibly at higher risk
9 for DKA? That's not a baseline characteristic, but
10 I'm wondering about that tension between efficacy
11 and safety that we've heard about.

12 DR. YANOFF: While this program is limited,
13 there is literature on this issue, in general, that
14 there are risk factors for DKA, but they don't seem
15 to be -- there doesn't seem to be any interaction
16 with the SGLT2 treatment. So higher A1c, pump use,
17 and female gender are risk factors, but they don't
18 seem to be affected by treatment with the SGLT2.

19 DR. BURMAN: Thank you.

20 DR. YANOFF: We have one FDA comment also to
21 address Dr. Nason's previous question.

22 DR. BURMAN: Sure.

1 DR. WANG: Hi. This is Yun Wang,
2 statistical team leader supporting antidiabetic
3 product development. For Dr. Nason's question for
4 Dr. Crackel's slide 8, about 95 percent confidence
5 interval for the change in HbA1c, yes, based on the
6 testing hierarchy, it only assigned 0.01 alpha to
7 testing HbA1c change.

8 If we stick with that, that would be 99
9 percent confidence interval. We did calculate
10 that. The 99 percent confidence interval for the
11 difference in change in HbA1c will be minus 0.44 to
12 minus 0.07. So the 99 percent confidence interval
13 still excludes zero. It's still statistically
14 significant. We present the 95 percent confidence
15 interval for common sense. Thank you.

16 DR. BURMAN: Thank you very much.

17 We have 4 minutes before break for lunch and
18 we have 9 or 10 questions. We will ask you to be
19 brief and state your name. We'll probably get
20 through one or two more questions before lunch, and
21 then try to catch up, if we can, later.

22 Dr. de Lemos?

1 (Dr. de Lemos gestures no.)

2 DR. BURMAN: Okay. Dr. Everett?

3 DR. EVERETT: Just a quick question to
4 follow up on Dr. Nason's question about slide 11.
5 Have you calculated, in sort of a total events
6 analysis, a rate ratio or looked at the incidence
7 rate for this global DKA event, rather than a first
8 event analysis? Do you have a number or rate ratio
9 that we can --

10 Do you understand my question?

11 DR. GOMATAM: Could you please repeat it?

12 DR. EVERETT: You did a great job presenting
13 the time to first event. I'm interested in the
14 overall risk in a more of a total events analysis,
15 with the perspective of how much risk and what the
16 incidence rate is in each treatment group, and then
17 what a rate ratio might be with a negative binomial
18 model approach.

19 DR. GOMATAM: Sure. We did look at rate
20 ratios. I personally didn't look at recurrent
21 events, but one of our data analysis teams did, and
22 the results look very similar. They're

1 qualitatively pretty much the same as I obtained
2 with my analysis.

3 For certain DKAs, obviously, for the 2.5 and
4 placebo, there were no repeat events, so 5 events
5 and 5 patients. For the certain, potential, and
6 UKBK, there were 13 events and 9 patients, but the
7 results looked very similar as far as I could see
8 using recurrent event analysis. I did not do risk
9 ratios on that case.

10 Did that answer your question?

11 DR. EVERETT: Thank you.

12 DR. BURMAN: Thank you. Last question
13 before lunch, Dr. Newman?

14 DR. NEWMAN: I have a question about DKA
15 identification. Did you say that there were
16 possible cases of DKA that were not identified and
17 not sent to adjudication? And if so, do you have
18 an estimate, too?

19 DR. YANOFF: No. Dr. Niyiyati outlined BHB
20 levels that were not sent, and I'll let her clarify
21 that.

22 DR. NIYYATI: That was according to the

1 charter. The charter specified that ketone levels
2 between 1.5 and 3.8, without symptoms, are not to
3 be sent to adjudication.

4 DR. NEWMAN: Oh. Thank you.

5 DR. BURMAN: Thank you for keeping that
6 short. Dr. Weber, the last question, please.

7 DR. WEBER: Sure. This is Tom Weber. This
8 is for Dr. Penzenstadler. The slide 7 worst-case
9 analysis, I was interested in that. I am to
10 conclude from this -- this is the worst-case
11 analysis, so the average run on the simulations is
12 substantially higher in terms of the estimated
13 difference in Alc versus placebo?

14 DR. PENZENSTADLER: Yes.

15 DR. WEBER: Okay.

16 (Laughter.)

17 DR. BURMAN: Even though it's lunchtime,
18 could you expand for just a minute?

19 (Laughter.)

20 DR. PENZENSTADLER: You said keep it short.
21 We did the 95 percent confidence interval in this
22 worst-case scenario because there's a lot of

1 uncertainties with the modeling approach. People
2 aren't very comfortable with it. I do have an
3 estimand for what the model would predict on a
4 median level. I don't have that estimand ready
5 right now, but I can have that after lunch.

6 Thanks.

7 DR. BURMAN: That would be great, and thank
8 you for keeping it short.

9 We will now break for lunch. We will
10 reconvene again in this room in one hour from now
11 at 1:00. Please take any personal belongings with
12 you. Committee members, please remember there may
13 be no discussion of the meeting during lunch
14 amongst yourself, with the press, or with any other
15 member of the audience. Thank you.

16 (Whereupon, at 12:00 p.m., a lunch recess
17 was taken.)

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1 A F T E R N O O N S E S S I O N

2 (12:59 p.m.)

3 **Open Public Hearing**

4 DR. BURMAN: Good afternoon. We will be
5 starting the afternoon session.

6 Both the FDA and the public believe in a
7 transparent process for information gathering and
8 decision making. To ensure such transparency at
9 the open public hearing session of the advisory
10 committee meeting, FDA believes it is important to
11 understand the context of an individual's
12 presentation.

13 For this reason, the FDA encourages you, the
14 open public hearing speaker, at the beginning of
15 your written or oral statement to advise the
16 subcommittee of any financial relationships you may
17 have with the sponsor, its product, and if known,
18 indirect competitors. For example, this financial
19 information may include the sponsor's payment of
20 your travel, lodging, or other expenses in
21 connection with your attendance.

22 Likewise, FDA encourages you at the

1 beginning of your statement to advise the committee
2 if you do not have any such financial
3 relationships. If you choose not to address this
4 issue of financial relationships at the beginning
5 of your statement, it will not preclude you from
6 speaking.

7 The FDA and this committee place great
8 importance in the open public hearing process. The
9 insights and comments provided can help the agency
10 and this committee in their consideration of the
11 issues before them.

12 That said, in many instances and for many
13 topics, there will be a variety of opinions. One
14 of our goals today is for the open public hearing
15 to be conducted in a fair and open manner, where
16 every participant is listened to carefully and
17 treated with dignity, courtesy, and respect.
18 Therefore, please speak only when recognized by the
19 chair. Thank you for your cooperation.

20 Will speaker number 1 please step up to the
21 podium and introduce yourself. Please state your
22 name and organization you are representing for the

1 record. Thank you.

2 DR. KURIAN: Good afternoon. My name is
3 Martin Kurian, and I'm here to speak on behalf of
4 Dr. --

5 DR. BURMAN: Could you be a little closer to
6 the microphone?

7 MR. KURIAN: My name is Martin Kurian, and
8 I'm here to speak on behalf of Dr. Charlie
9 Alexander.

10 "Dr. Alexander is an endocrinologist with
11 more than 35 years of experience in diabetes.
12 Since 2016, he has worked part time for Kinexum and
13 others providing consulting services to
14 pharmaceutical and medical device companies. He
15 had worked at Merck for many years and retired in
16 2015. Prior to Merck, he treated many patients
17 with diabetes. He has no relevant disclosures

18 "After the discovery of insulin treatment,
19 which prevented immediate death from diabetic
20 ketoacidosis, cardiovascular and renal
21 complications of diabetes have become an
22 increasingly serious problem for people with

1 diabetes.

2 As this study shows, the female advantage
3 with women having less coronary heart disease than
4 men disappears with diabetes. Unfortunately,
5 insulin does not prevent either cardiovascular or
6 renal complications, and there has been a long-term
7 need for more effective treatments for both of
8 these complications.

9 SGLT2 inhibitors have repeatedly shown their
10 ability to improve both cardiovascular and renal
11 outcomes in large clinical trials enrolling study
12 participants with type 2 diabetes. This slide
13 shows results from the EMPA-REG study with fear of
14 the primary endpoint of cardiovascular events with
15 empagliflozin treatment.

16 Importantly, the cardiovascular and renal
17 benefits do not correlate at all with glycemic
18 efficacy. For example, patients with renal
19 impairment have little glucose lowering from SGLT2
20 inhibitors but saw substantial improvement in renal
21 outcomes in the CREDENCE study.

22 "Indeed, SGLT2 inhibitors are a proven oral

1 therapy, however, patients with type 1 diabetes,
2 the risk of DKA is the major limiting factor. With
3 this slide showing data for sotagliflozin, DKA is
4 not just an inconvenience for those living with
5 type 1 diabetes. It can be lethal, as seen in the
6 empagliflozin clinical development program for type
7 1. An important complicating factor is that DKA in
8 this situation can have near normal blood glucose
9 levels or only mild hyperglycemia, which can delay
10 recognition and treatment.

11 "An SGLT2 inhibitor, which does not increase
12 the risk of DKA compared to placebo, would be very
13 helpful and would markedly improved the
14 benefit-to-risk ratio of the medicine. Reduction
15 of glucose-lowering efficacy when people with type
16 1 diabetes are treated with SGLT2 inhibitors may
17 not be at all relevant for their ability to improve
18 cardiovascular and renal outcomes.

19 It is not known if the CV and renal benefits
20 correlate with the dose of SGLT2 inhibitor. It is
21 possible that much lower doses will continue to
22 provide these important benefits.

1 "Dr. Alexander expects that there will be
2 continued off-label use of SGLT2 inhibitors among
3 patients with type 1 diabetes, eager to benefit
4 from the improved cardiovascular and renal outcomes
5 observed in studies of type 2 patients. FDA
6 approval of an SGLT2 inhibitor for type 1 diabetes
7 may increase the number of patients with diabetes
8 able to benefit from this class of medicines.
9 Thank you."

10 DR. BURMAN: Thank you very much. Will
11 speaker number 2 step up to the podium and
12 introduce yourself? Please note your name and any
13 organization you are representing.

14 MS. CLOSE: Good afternoon. My name is
15 Kelly close. I'm a cofounder of the diaTribe
16 Foundation, Close Concerns, dQ&A. dQ&A is a
17 diabetes market research organization that has a
18 panel of 18,000 patients throughout the United
19 States, Canada, and multiple countries in Europe,
20 and I'm going to be sharing some data today that
21 reflects opinions of the thousands of type 1
22 patients about their diabetes care, broadly

1 speaking, and specifically about some of their
2 views on SGLT2 inhibitors.

3 The data isn't meant to be generalizable,
4 but it's illustrative of some people taking SGLT2s
5 that aren't currently regulated. By way of
6 disclosure, the sponsor, as well as dozens of other
7 for-profit and nonprofit organizations, work with
8 dQ&A, Close Concerns, and diaTribe.

9 diaTribe is a 501(c)(3) nonprofit. It's
10 funded by the Helmsley Charitable Trust, the Ella
11 Fitzgerald Foundation, as well as a number of
12 manufacturers, and families, and individuals. I
13 don't have any relevant personal disclosures,
14 except that I've had type 1 diabetes for 35 years.

15 Hold on one quick second. I just received a
16 really meaningful quote from someone who couldn't
17 be here today, Dr. Jen Scherer of Yale. A lot of
18 what we care about -- she also has diabetes -- is
19 the barriers that people with diabetes face, and I
20 just wanted to read this to you quickly.

21 "Diabetes is a daily battle of minimizing
22 highs and lows, avoiding and avoiding hypoglycemia.

1 It's imperative to find new ways to help patients
2 achieve success. So how can we safely employ
3 agents that may increase the risk of DKA?
4 Education. We must replace our glucose-centric
5 approach to ketone assessment with new and existing
6 tools to guide placement and provider use of
7 SGLT2s."

8 The renowned John Buse of University of
9 North Carolina said that he believes that there's a
10 95 percent chance that SGLT2 inhibitor use in
11 people with type 1 diabetes with chronic kidney
12 disease or heart failure would reduce heart failure
13 hospitalizations and chronic kidney disease
14 progression in patients; and he and other
15 [inaudible - mic fades] would like to see this
16 studied. People with type 1 diabetes would also.

17 So I just want you guys to remember the
18 chance that you have to public health, by thinking
19 very creatively about a conservative approval of
20 this drug is a big deal.

21 We all know, obviously that there is a
22 massive unmet need with people with type 1

1 diabetes. And I'm not going to go through this in
2 depth, but to say I'm in that last group, the over
3 50 group. The fact that over 70 percent of us are
4 not at our A1c targets, given all of the good that
5 FDA has done, is tragic, and this we know can
6 change over time, and we hope that it will.

7 We also wanted to just go through a little
8 bit of the barriers that people have in addressing
9 type 1 diabetes. You can see that high and low
10 blood sugar come into the conversation really
11 quickly. We just want to be normal. We just want
12 to be normal. Okay? People with type 1 have gone
13 on to SGLT2s for multiple reasons. According to
14 the 90 people with whom dQ&A has communicated,
15 what's driving off-label use, you can see there
16 it's lower A1c. People want to have fewer highs
17 and lows. They want to have more normal lives.

18 FDA, of course, obviously doesn't practice
19 medicine, but it can really help with advice on
20 patient selection, and I would love for you to keep
21 that in mind. FDA has done so much good on risk
22 stratification, and we would love for you to

1 consider guiding doctors on the types of patients
2 who would benefit and what risk mitigation bucket
3 to put them in; and which patients should be
4 discouraged from taking SGLT2 inhibitors. That's
5 possible, and that will happen with a conservative
6 approval.

7 Otherwise, particularly doctors, who have
8 little time with patients, might just prescribe off
9 label without education, and then inadvertently a
10 decision against approval by you has a chance of
11 prompting broader use without education, and we all
12 know that sends chills up and down our backs.
13 That's an unintended consequence that we really
14 want to avoid.

15 That's what happens with popular unregulated
16 drugs that payers cover, and we know, by the way,
17 that many, many payers are covering this drug for
18 people with type 1 because of the impact on blood
19 glucose, mainly and particularly reduction of
20 hypoglycemia.

21 This is really scary. These are 90 people
22 who are taking this drug, and most of them actually

1 haven't been trained, and you would think that they
2 would have been. This can change, and this can be
3 the result of something that you do. If you want
4 to know if patients will spend time on this, they
5 will. Also, if patients don't want to spend time
6 on training, you can tell them that they can't have
7 it, and the medical community will follow what you
8 say.

9 I'm going to go through the end of this just
10 very quickly to say that it's a new day in type 2.
11 All of us in type 1 are watching what's happening
12 in type 1. They're not just taking medicine to
13 reduce glycemic benefits. They're also taking it
14 to improve their cardiovascular risk and kidney
15 risk, and this is an amazing impact that FDA has
16 had on public health.

17 I would just love for you to spend some time
18 thinking about the impact that FDA has had and
19 thanking yourselves, because you made some pretty
20 big decisions in what you are prompting with so
21 many sponsors in the last days. Those are some
22 pieces that will be on diaTribe.org tonight.

1 There are some ideas from patients. We want
2 to work with you as stakeholders to keep patients
3 as safe and healthy as possible. This is a piece
4 that we worked on with JDRF with Beyond Type 2,
5 with ADA, with ACE, with ENDO, and with AADE. We
6 can work together as stakeholders. This has made
7 many people who have had DKA from pump use, not
8 SGLT2 use, even smarter about how to address it.

9 The last thing is if you think that time and
10 range, it might not be fully validated, the work
11 that Roy Beck and Rich Bergenstal has shown in
12 starting to validate it, you guys are the ones who
13 made time in range through this bold, bold work
14 that you did in 2017. Every time my time in range
15 move 5 percent, you might think that 6 percent you
16 saw on those slides is not a big deal. It is a big
17 deal. It's 10 hours a week that I'm more in range.

18 Thank you very, very much for your attention
19 and for all the incredible work that we have from
20 FDA as patients.

21 DR. BURMAN: Thank you very, very much.
22 Will the next speaker step up to the podium and

1 introduce yourself? Please state your name and any
2 organization you're representing.

3 DR. DUTTA: Good afternoon. My name is
4 Dr. Sanjoy Dutta. I'm the vice president of
5 research with JDRF International, the leading
6 charitable organization funding type 1 diabetes, or
7 T1D research, with a mission to accelerate
8 life-changing breakthroughs, to offer better
9 treatments along the way to curing and eventually
10 preventing T1D. JDRF does not have any financial
11 disclosures.

12 The key points I'll focus on today are,
13 1) the unmet need in T1D; 2) the benefits of SGLT2
14 inhibitors, including the clinical meaningfulness
15 of the HbA1c C reduction, as well as outcomes
16 beyond A1c; and 3) the risks of SGLT2 inhibitors
17 and appropriate risk management. The mainstay of
18 T1D disease management, insulin, has been around
19 for almost a hundred years now, but it is not a
20 cure. Significant unmet needs and disease
21 management burdens still exist, particularly
22 considering the lower age of onset and longer

1 disease duration in people with T1D.

2 Today, people with T1D and their caregivers
3 are responsible 24 hours a day and often
4 minute-to-minute disease management needed to
5 survive. While technology to administer insulin
6 and monitor glucose levels have improved,
7 subcutaneous, exogenous insulin replacement does
8 not work the same as endogenous insulin in those
9 without diabetes, leading to significant challenges
10 with glucose control and subsequent risks of
11 increased complications.

12 Data published earlier this year from the
13 T1D Exchange clinic Registry tells us that less
14 than a third of the adults and a fifth of the
15 children in the U.S. meet recommended glyceimic
16 targets as measured by hemoglobin A1c. The
17 average patient spends 7 hours a day hyperglycemic
18 and over 90 minutes a day hypoglycemic. Because of
19 the state of diabetes care, there is a need for
20 innovative, safe, and effective therapies for
21 people with T1D, in addition to insulin that
22 improve glyceimic control and both short- and

1 long-term outcomes.

2 As we have heard today, data from the phase
3 EASE program shows that empagliflozin improved
4 hemoglobin A1c statistically significantly, and
5 there was no increase in hypoglycemia below 54 mg
6 per deciliter. There is published consensus among
7 the diabetes community of clinicians, patients,
8 researchers, and foundations and funders that
9 hemoglobin A1c, as well as hypoglycemia,
10 hyperglycemia, time in range, and diabetic
11 ketoacidosis are all clinically meaningful outcomes
12 for T1D.

13 While these outcomes may be seen as short-
14 term benefits, patients' repeated exposure to these
15 outcomes over time contribute to the long-term
16 consequences of T1D. These outcomes are not
17 insignificant and are clinically meaningful.
18 Therapies that offer improvement in these outcomes,
19 even if small, and balanced against appropriate
20 risk can help address some of the unmet needs in
21 this disease.

22 Turning to the risks, as we have heard, a

1 known safety concern with the use of SGLT2
2 inhibitors is the increased risk of diabetic
3 ketoacidosis. Considering that this drug class has
4 important benefits for people with T1D, a risk
5 evaluation and mitigation strategy, or REMS, would
6 be an appropriate mechanism to manage the known
7 risks.

8 We believe a REMS is appropriate since its
9 purpose is to focus on preventing, monitoring, and
10 managing a specific serious risk, such as DKA in
11 this case, by informing, educating, and reinforcing
12 actions to reduce the frequency and severity of the
13 event.

14 Importantly, the clinical community of
15 experts have carefully considered this risk, and
16 international consensus on the safe management of
17 DKA risk in T1D patients taking SGLT2 inhibitors
18 has been established. The consensus has been
19 published in diabetes care, a recognition of the
20 importance of information for the safe use of this
21 class of drugs in patients with T1D.

22 JDRF is committed to an offers our support

1 in working with the community to provide this
2 information and education around the safe use of
3 SGLT2 inhibitors in people with T1D. We are
4 pleased that empagliflozin may represent an option
5 as an adjunct to insulin for people with T1D to
6 address the unmet need in this disease by improving
7 glucose control and potentially reducing the risk
8 of complications.

9 If determined by FDA to be safe and
10 effective, and with appropriate risk management,
11 therapies from this drug class as an adjunct to
12 insulin therapy will positively impact the lives of
13 people with T1D.

14 We thank the committee, FDA, and the sponsor
15 for the careful consideration of the benefits and
16 risks of this therapy in an unfortunately largely
17 growing number of people with T1D. Thank you all.

18 DR. BURMAN: Thank you. Will the next
19 speaker step up to the podium, please, and
20 introduce yourself? And state your name and any
21 organization you are representing for the record.

22 DR. RODBARD: Dr. Burman, members of the

1 committee, ladies and gentlemen, my name is
2 Dr. Helena Rodbard. I'm an endocrinologist in
3 Rockville, Maryland with over 40 years of
4 experience as a practicing physician. I have
5 previously served as president of the American
6 Association of Clinical Endocrinologists, the
7 American College of Endocrinology, and as a
8 principal author of guidelines for the treatment of
9 diabetes. I'm here today speaking as an individual
10 with no financial support from any source. I'm
11 here representing by patients.

12 I was previously at the NIH conducting both
13 clinical and basic research related to diabetes. I
14 have conducted more than a hundred clinical trials
15 related to diabetes and have been an author of 130
16 manuscripts in the peer-reviewed medical scientific
17 literature.

18 I've had the opportunity of conducting
19 several randomized-controlled clinical trials with
20 SGLT2 inhibitors, including canagliflozin,
21 dapagliflozin, and sotagliflozin in patients with
22 type 1 diabetes. The SGLT2 inhibitors are a very

1 important class of drugs that's rapidly becoming
2 the standard of care because of their consistent
3 and well-documented cardiovascular and renal
4 benefits.

5 There are many critical unmet needs in the
6 management of people with type 1 diabetes. The
7 only currently approved medications are insulin and
8 pramlintide, both with significant risks for
9 hypoglycemia. Only a small percentage of patients
10 achieve the target levels for hemoglobin A1c
11 recommended by the ADA to reduce the risk of
12 serious micro- and macrovascular complications of
13 diabetes and with the low risk of hypoglycemia.
14 There is a critical need for additional forms of
15 therapy to be used combined with insulin.

16 I would like to share my experience from
17 randomized placebo-controlled clinical trials of
18 SGLT2 inhibitors and from my personal experience
19 with SGLT2 inhibitors and off-label use in people
20 with type 1 diabetes. The benefits that my
21 patients obtained with the use of these drugs
22 included improvement in hemoglobin A1c levels; less

1 glycemic variability; increased sense of general
2 wellbeing; and improved satisfaction with
3 treatment. As an added bonus, there is some weight
4 loss, reduced insulin doses, and reduced risk of
5 hypoglycemia.

6 In my opinion, the benefits of SGLT2
7 inhibitors used in conjunction with insulin, in
8 patients with type 1 diabetes, greatly outweigh
9 potential risks. The risks of DKA can be greatly
10 reduced by appropriate patient selection,
11 education, and clinical management. I had the
12 opportunity to participate in a consensus
13 conference to develop methods to mitigate the risk
14 of DKA in people with type 1 diabetes. The
15 proceedings of this conference were recently
16 published and provide a framework for patient care.

17 In view of my very positive experience with
18 several SGLT2 inhibitors or SGLT2 and SGLT1/2
19 inhibitors in the treatment of people with type 1
20 diabetes, I hope these drugs will be approved soon.
21 Having FDA-approved SGLT2 inhibitors would be of
22 great benefit to many of my patients and to

1 patients elsewhere. Physicians would no longer
2 have to prescribe these medications off label, and
3 patients would be more likely to have access and
4 insurance coverage. Thank you very much for your
5 attention.

6 DR. BURMAN: Thank you. Will the next
7 speaker step up to the podium and introduce
8 yourself? Please state your name and any
9 organization you are representing for the record.

10 MR. KURIAN: Good afternoon and thank you to
11 the chairperson, committee, and FDA for the
12 opportunity to speak on this important issue for
13 people with diabetes. My name is Martin Kurian,
14 and this is Rhea Teng. We're speaking as
15 representatives of Close Concerns, a healthcare
16 information company that aims to improve patient
17 outcomes by bridging knowledge gaps in the diabetes
18 ecosystem. For disclosure, multiple for-profit and
19 nonprofit organizations in diabetes subscribe to
20 our fee-based newsletter called Closer Look,
21 including empagliflozin sponsors.

22 At the core of today's debate is the

1 question of whether the risks associated with SGLT2
2 inhibitors outweigh their benefits. Given the
3 elevated risk of diabetic ketoacidosis that has
4 been seen across many of the trials in people with
5 type 1 diabetes and the baseline risk of DKA in
6 people with type 1 diabetes not taking SGLT2
7 inhibitors, the question might now be how to
8 appropriately manage the risk of DKA in clinical
9 practice.

10 To this end, multiple protocols have been
11 developed with the aim of minimizing DKA risk. Our
12 literature search has identified at least six such
13 protocols that have been developed in recent years.
14 The STITCH protocol published in 2018; an
15 international consensus document published in 2019;
16 the Stop DKA Protocol published in 2019 and an
17 unpublished protocol from endocrinologist Dr. Anne
18 Peters; the EMA product information for
19 dapagliflozin and sotagliflozin in Europe; and the
20 United Kingdom NICE guidance for dapagliflozin also
21 contain specific guidance to minimize DKA risk.

22 Each of these protocols is referenced in our

1 submission to the public docket. We have examined
2 these protocols and identified what appeared to be
3 the most pressing areas of consensus and difference
4 divided into three categories. Patient selection,
5 management of SGLT2 inhibitor usage, and management
6 of DKA.

7 MS. TENG: Regarding patient selection, one
8 of the most relevant questions is whether or not a
9 higher BMI decreases risk of DKA. Both the EMA
10 indications for dapagliflozin and sotagliflozin
11 require a BMI of greater than or equal to 27
12 kilograms per meter squared. While the
13 dapagliflozin data seemed to support this BMI
14 cutpoint, the sotagliflozin data is not as
15 convincing.

16 Dr. Anne Peters has specified a minimum BMI
17 of at least 21. Dr. Peters has also emphasized the
18 importance of a baseline A1c requirement of below
19 9.0 percent, a selection criterion not included in
20 any of the other protocols despite research showing
21 that a higher A1c is associated with greater risk
22 of DKA.

1 Similarly, a specific insulin dose
2 requirement of 0.5 units per kilogram is only
3 identified in the NICE guidance on dapagliflozin.
4 While other protocols and thought leaders have
5 specified low insulin need as an exclusion
6 criterion for using an SGLT2 inhibitor, little work
7 has been done to identify a set threshold.

8 In terms of drug management, the use of
9 urinary or blood ketone monitoring is another
10 choice that needs to be made. It's widely accepted
11 that blood ketone monitoring is the more accurate
12 method of testing, but its requirement can pose as
13 another cost barrier for patients.

14 The Stop DKA protocol is the only protocol
15 to maintain that blood ketone monitoring is the
16 only method that should be used, while other
17 protocols find either method to be acceptable but
18 generally prefer blood ketone testing.

19 Furthermore, the frequency of routine ketone
20 checks has not been formalized. Generally
21 speaking, the various protocols state that ketone
22 monitoring should be done either as a matter of

1 routine or in an individualized manner.

2 When treating ketosis in DKA, protocols
3 deviate between ketone checks every 2 to 4 hours.
4 It's understood -- [inaudible - mic fades] -- but
5 we find it imperative to generate the data to
6 enable patients and practitioners to have at least
7 a baseline frequency for ketone measurement.

8 While we sincerely believe that
9 empagliflozin and SGLT2 inhibitors more broadly may
10 have the potential to profoundly benefit patients
11 with type 1 diabetes, it's clear that greater
12 research into how these drugs can most safely be
13 implemented is needed.

14 Moving forward, we hope to see data
15 regarding these various points of difference so
16 that evidence-based strategies can be put into
17 place to minimize the risk of DKA. Thank you very
18 much.

19 DR. BURMAN: Thank you both. Will the next
20 speaker step up to the podium and introduce
21 yourself? Please state your name and any
22 organization you are representing for the record.

1 DR. TAYLOR: My name is Simeon Taylor. I am
2 a professor at University of Maryland. When I was
3 vice president of cardiovascular and metabolic
4 disease research at Bristol-Myers Squibb, I
5 contributed to R&D, leading to the approval of
6 dapagliflozin. I retired from BMS six years ago
7 and no longer own any pharmaceutical company's
8 stock.

9 I was going to start with talking about the
10 safety of empagliflozin 2 and a half milligram
11 dose, but having sat through the FDA analysis,
12 which was striking for its clarity, eloquence, and
13 scientific rigor, I would just like to underscore
14 some of the points they made.

15 No doubt, 2 and a half milligrams is safer
16 than the higher doses, but that doesn't mean it's
17 safe. It's clear that 241 patients for 6 months is
18 not a sufficient safety database for a drug that's
19 going to be given for 10, 20, or 30 years, and all
20 of the concerns and limitations they identified in
21 the classification of ketosis and ketoacidosis
22 patients I think are really important to keep in

1 mind.

2 I draw the conclusion that it's really
3 premature to make any inferences about the safety
4 of this dose other than it probably is safer than
5 the higher doses. While the data may not
6 rigorously prove it causes ketoacidosis, it clearly
7 doesn't exonerate the drug in that regard. I must
8 say, having gone to a number of advisory committees
9 over the years, I was particularly struck by the
10 high quality of the FDA's presentation today.

11 So I'd like to ask a few questions that I
12 think need to be addressed. The first question is
13 will glycemic efficacy be sustained beyond
14 6 months? These are data from a review article we
15 wrote that recently came out in Lancet Diabetes and
16 Endocrinology, and this plots the drug associated
17 decrease in A1c over time.

18 Now, please note, this is not the A1c per
19 se; it's the drug effect. It's the delta. So you
20 will see that the maximum delta is seen at about
21 8 weeks, and it's on average about 0.6 percentage
22 points. The A1c lowering wanes over time, and

1 between 26 weeks and a year, the decrement is
2 somewhere in the range between 0.1 and 0.15
3 percent. So if you start with a 0.26 percentage
4 point decrease, at the end of the year, if it were
5 to follow the same course, you'd only be left with
6 about 0.1 to 0.16 percent. [Inaudible - mic
7 fades].

8 DR. BURMAN: Dr. Taylor, your microphone
9 doesn't seem to be on.

10 DR. TAYLOR: It has been on -- [inaudible -
11 mic fades]?

12 DR. BURMAN: Yes, up until now.

13 DR. TAYLOR: Thanks.

14 In any case, if the same decrement occurred,
15 starting with a 2.5-milligram dose at the end of
16 the year, the residual A1c lowering would only be
17 between 0.11 and 0.16 percent, and I think that's
18 food for thought.

19 We wondered what caused this decrease with
20 the waning A1c lowering, and one hypothesis that we
21 included in our paper is that it's well known that
22 glucosuria causes calorie loss, the body senses its

1 calorie loss, and it's known that after around 20
2 weeks or so, there's an increase in food intake.
3 And we wondered if these type 1 diabetes patients
4 are increasing their food intake, whether that can
5 contribute to the decrement in A1c lowering.

6 I did, however, over the past week,
7 actually, while preparing for this, come up with
8 another thought about what might cause it, and I
9 would like to share it with you because I do think
10 it's potentially important. It's known -- and this
11 has been known for decades -- that the hemoglobin
12 molecule is not glycated when it's first
13 synthesized, but undergoes chemical reaction with
14 glucose over time as the red cells age.

15 This is a graph showing erythrocytes from a
16 type 2 diabetes patient, and the erythrocytes are
17 fractionated by age with young red cells on the
18 left and the old red cells on the right. You'll
19 see that the young red cells on average have an A1c
20 of about 4 percent and the old ones have an A1c of
21 about 13 percent, and the total blood is a
22 reflection of the average. But we know that

1 empagliflozin increases the hematocrit. This was
2 reported by in N. Suzuki [ph] and others with data
3 from the EMPA-REG study; and further, Ferrannini
4 and others have shown that empagliflozin increases
5 erythropoietin.

6 Erythropoietin, of course, stimulates the
7 creation, the production, of new red blood cells,
8 so that would necessarily decrease the average Alc,
9 but that's completely unrelated to glucose levels
10 in the body. That's a non-glycemic artifact, in a
11 sense, that's causing the Alc to seem lower than it
12 seems to be. It would probably take months, based
13 on the 120-day lifetime of a red cell, to achieve a
14 new steady state.

15 So I submit that the Alc at 26 weeks
16 probably overestimates the actual change in glucose
17 lowering, but rather the one-year data may be more
18 reflective of the change. So I think caution
19 should be exercised before interpreting this
20 decrease at 26 weeks as significant.

21 The last thing I'd like to talk about is the
22 question of whether the modest possibly transient

1 glycemic efficacy will be translated into approved
2 clinical outcomes. These are data from DCCT, and
3 you'll see that there's a striking decrease in A1c
4 in that study as a result of intensive insulin
5 therapy of about 2 percentage points, and this is
6 sustained over 10 years. You can see it's really
7 well sustained. So it takes a huge leap of faith
8 to extrapolate from these data to the EASE-3, where
9 you have what's possibly a transient and much
10 smaller A1c lowering.

11 Also, I want to emphasize, shown on the
12 left, that in the DCCT study, in the first two
13 years, improved A1c actually accelerated the
14 progression of diabetic retinopathy; and in the
15 right, it took 3 to 5 years to actually slow the
16 progression of albuminuria.

17 So I think it's really critical to show
18 whether the 2.5-milligram dose of empagliflozin
19 will actually sustain for at least 3 or 5 years to
20 have the ability to really believe it's going to
21 have a beneficial effect on clinical outcomes.

22 I think I'm sort of going over time because

1 I deviated from the script, and I won't go through
2 all of this. But I do want to emphasize I don't
3 really believe that the current data package proves
4 that the benefits outweigh the risks. I think it's
5 really important to show that the A1c reduction
6 will be sustained and to see how much it will be.
7 And I would recommend placebo-controlled studies of
8 at least three and possibly five years would be
9 helpful.

10 Also, I think we should understand that the
11 clinical profile depends only, in part, on the
12 drug, but it depends critically on this skill of
13 both the patients and the physicians to manage the
14 insulin, and it's really far from clear that even
15 the results that have been reported in the clinical
16 trial would be replicated in real-world practice,
17 so thank you very much.

18 DR. BURMAN: Thank you, Dr. Taylor. Will
19 the next speaker step up to the podium and
20 introduce yourself? Please state your name and any
21 organization you are representing.

22 DR. WOLFE: I'm Sidney Wolfe, Public

1 Citizen, no conflicts of interest. Serious
2 problems preclude FDA approval, at least thus far,
3 of empa, sota, and dapagliflozin. The FDA, after
4 the advisory committee meeting in January, turned
5 down sota, and without an advisory committee turned
6 on dapa; and, obviously, the data from the 10- and
7 25-milligram trials with empagliflozin were not
8 thought by the company to mirror approval, and so
9 they've not sought approval.

10 The three serious problems I just want to
11 briefly describe are, one, that the surrogate
12 marker, or the hemoglobin A1c, improves maximally,
13 and it is true with all three of these drugs,
14 including the current one, between 4 and 12 weeks
15 after starting therapy, but then starts worsening,
16 continuing to do so for at least one year.

17 In the case of the full year, which we do
18 not have, as mentioned by many people, including
19 the company -- we don't have a full year for the
20 2.5. But in the ones where there is a full year,
21 the difference between the maximum at 4 to 12 weeks
22 in the end is it loses between 30 and 50 percent,

1 or more in one case, of the benefit. In other
2 words, the benefit disappears at a fairly steady
3 rate and could even disappear longer than that.
4 There are no trials published with data more than
5 one year.

6 Secondly, the ketoacidosis, which was
7 described, including by me and others, as the
8 elephant in the room in the sotagliflozin study,
9 the life-threatening harm caused by these drugs can
10 occur less than 3 weeks after therapy begins.
11 You've seen the slides of incremental increases in
12 DKA, going all the way through the time that the
13 drug is given. The plateau in the end of those
14 graphs are after they stop taking the drug.

15 So you essentially have the harm benefit
16 ratio getting worse over time because the benefit
17 decreases after the first 8 to 12 weeks, and
18 there's a continuing risk, and it would probably
19 continue longer than a year if anyone had any data
20 for that. So thus, the harm benefit ratio soon
21 becomes unfavorable for patients with continued
22 occurrence of life-threatening DKA but decreasing

1 benefit.

2 The last thing, which has been alluded to a
3 number of times today and at the meeting in
4 January, is the question can a better risk
5 reduction program, than was done in the clinical
6 trials and to any of them -- this one, the
7 sotagliflozin, the dapagliflozin, is it possible to
8 do better than what's going on in the clinical
9 trials? Whereas people have put up protocols and
10 published articles, if you look carefully at them,
11 they have mainly the same kinds of information that
12 were used during the clinical trials.

13 The one most disturbing thing about that is
14 the real-world evidence that was presented by the
15 FDA at the meeting in January from the Sentinel
16 data. What it showed was that for empagliflozin
17 and for dapagliflozin, the risk ratio was 6 extra
18 cases of DKA per hundred patient-years, which is
19 higher by a significant amount -- there are a lot
20 of adjustments that have to be made and were made
21 already -- that you would get in the clinical
22 trials.

1 So common sense would have it that with the
2 variety of doctors and the variety of patients in
3 the real world that would get prescribed these
4 drugs, including the 2.5 if it ever got approved,
5 you're going to do worse, not better. And as the
6 FDA pointed out in January, there is no evidence of
7 any kind of risk modification program that's ever
8 been tested. Therefore, to say, oh, well, let's
9 approve it and do the risk modification afterwards
10 is I think irresponsible.

11 Just to briefly go through the first point I
12 made, which is the risk reduction, the decrease in
13 hemoglobin A1c being evanescent, again, even in the
14 26 weeks, it went down maximum at 4 weeks, and by
15 the time you got to the 26 weeks, it had gone up a
16 significant amount. There's no reason to think,
17 from the other studies that did go longer, that
18 this would not continue. For example, in both
19 sotagliflozin and dapagliflozin, the peak was
20 anywhere from 4 to 12 weeks, the peak benefits, so
21 to speak, of hemoglobin A1c, and by the time you
22 got out to 52 weeks, you had lost 30, 40, 50

1 percent of it.

2 One of the other things that's clear is that
3 in the second half a year in the other trials,
4 sotagliflozin, for example, more than half of the
5 cases of DKA occurred in the second half of the
6 year on the 200-milligram dose.

7 You've already seen some of the data with
8 the ketone-related events. If you look at all of
9 the events that I think need to be looked at in
10 terms of risk, you see an almost statistically
11 significant increase at the 2.5-milligram dose
12 compared to placebo in, again, just 26 weeks.

13 As Dr. Taylor said, I was surprised to see
14 the important depth to which the FDA went to try
15 and raise serious questions about the validity of
16 the adjudication process, knowing, for example,
17 that the patient who died was not classified as
18 having definite DKA.

19 In summary, the vote, I think it is not
20 reasonable that this drug be approved because there
21 is no evidence, no significant evidence, plausible
22 evidence, that the benefits, even if they are the

1 0.28 over 26 weeks, outweigh the risks because the
2 risks are not clearly defined. It is not just the
3 trial was underpowered with 241 patients or that it
4 only continued for 26 weeks. There is the
5 adjudication problem in terms of defining that kind
6 of risk.

7 So given the tens of thousands of type 1
8 diabetes patients that are currently being
9 prescribed off-label flozins with unacceptably high
10 DKA rates, such as a Sentinel study showed, the FDA
11 approval of the 2 and a half milligram dose, after
12 larger doses of empagliflozin, sotagliflozin, and
13 dapa have been found unimprovable or in fact were
14 not approved by the FDA, may send a dangerous false
15 green signal to those doctors who are already
16 prescribing off-label SGLT2 inhibitors. And it may
17 easily increase the idea of the false sense that we
18 have found the sweet spot that was referred to by
19 the company.

20 It's always nice in any disease to find a
21 sweet spot where the benefits outweigh the risks.
22 There is no evidence, at any dose, for this drug

1 that that's the case, and I cannot see how the FDA
2 or the advisory committee would suggest approval.

3 DR. BURMAN: Thank you. Will speaker
4 number 8, the last speaker, step up to the podium
5 and introduce yourself? Please state your name and
6 any organization you are representing for the
7 record.

8 MS. ZELDES: Thank you for the opportunity
9 to speak here today. My name is Nina Zeldes, and
10 I'm a senior fellow at the National Center for
11 Health Research. Our center analyzes scientific
12 and medical data to provide objective health
13 information for patients, health professionals, and
14 policy makers. We do not accept funding from drug
15 and medical device companies, so I have no
16 conflicts of interest.

17 Drugs that help patients manage their type 1
18 diabetes and improve their quality of life would
19 greatly benefit patients. However, it is not clear
20 that this drug fulfills those goals. The data for
21 the 2.5-milligram dose rests on a single phase 3
22 trial. As we all know, replication is the key to

1 scientific evidence. Independent clinical trials
2 could have a smaller or larger effect due to the
3 difference in the demographics or comorbidities of
4 patients and other factors.

5 This is of particular importance here, as
6 there was a lack of diversity in the study. For
7 example, 97 percent of the 241 patients in the
8 treatment arm were white. Although a subgroup
9 analysis was performed on non-whites, there was
10 obviously too few, 7 in the treatment arm, to have
11 meaningful data about safety and efficacy.

12 Additionally, only about 30 percent of
13 patients were enrolled in North America, and no
14 numbers were provided regarding the number of
15 patients in the United States. There are vast
16 differences in obesity, eating, and drinking habits
17 and other health habits such as smoking and
18 exercise, as well as access to healthcare. Many of
19 these variables could affect the risks and benefits
20 of this drug for you as patients.

21 It is, therefore, not clear how applicable
22 the data are for the U.S. population that the

1 sponsor seeks approval for. The trial was also a
2 very short duration considering this is a drug that
3 is expected to be taken for many years by patients.
4 The long-term risks and benefits were not studied,
5 so we don't know whether the benefits are likely to
6 outweigh the risks long term. And while reduction
7 of HbA1c is an accepted surrogate endpoint for
8 these products, it is not clear if the small
9 improvement seen in the clinical trial is
10 clinically meaningful for patients.

11 It is also important to point out that
12 patients in this one trial were much more carefully
13 selected and monitored than they will be in the
14 real world of medical practice.

15 There is no urgency to approve this drug
16 given that there are so many unknowns. The mission
17 of the FDA is to provide patients with real
18 clinically meaningful benefits. As advisors to the
19 FDA, it is essential that you speak on behalf of
20 patients' safety and to carefully consider and
21 weigh how this drug could harm or benefit patients.
22 Thank you for your time.

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Clarifying Questions to FDA and Applicant

DR. BURMAN: Thank you all very much. This closes the OPH session. Normally, with this schedule right now, is that we would go to the questions for the discussion for the panel. However, we do have some time to make up the questions that were raised this morning for the FDA or for the sponsor. We'll do that for about 20 to 30 minutes, and then go to the questions.

Dr. Yanovski?

DR. YANOVSKI: Thanks. I had a question for the FDA. Regarding the efficacy of this medication, I can't remember who mentioned a statement of noninferiority. I wonder if you'd just expand exactly noninferiority versus placebo or the higher doses, did you mean. What was your noninferiority statement there?

DR. GOMATAM: Sorry. I reviewed the safety, the DKA risk, not --

DR. YANOVSKI: It may have been Dr. Niyiyati.

DR. YANOFF: Yes. I think we were trying to put the A1c reduction into context, noting that

1 when we review active comparator studies for
2 diabetes drugs, the noninferiority margin is often
3 set at 0.3 or 0.4 percent, which means that, in
4 general, stakeholders believe that that's an amount
5 of effect you're willing to lose to say a drug
6 still works compared to something else; so here we
7 have something that's smaller than that.

8 DR. YANOVSKI: Right. So this would be
9 considered equivalent to placebo in terms of
10 noninferiority? Am I stating that accurately?

11 DR. YANOFF: I would prefer my statistician
12 colleagues to comment if that's accurate, but I
13 think what we're saying is that in an active
14 comparator study, if you were to lose 0.26 percent,
15 you would say it's not statistically worse or it's
16 within a margin that we're willing to accept that
17 loss of efficacy and still say the product is
18 effective.

19 DR. YANOVSKI: Right. So then, in general,
20 the FDA requires more than one phase 3 trial for
21 approval of drugs in general. Are there other
22 examples for common disorders where the level of

1 evidence that's been presented today, short term,
2 one big phase 3 with the relevant dose have
3 approval?

4 DR. YANOFF: Without coming up with any
5 specific examples, I think that, in general, that's
6 within the legal framework, regulatory framework,
7 that that can be acceptable as long as there's one
8 adequate and well-controlled trial with either
9 confirmatory evidence or supportive evidence that's
10 convincing. We outlined the evidence in the
11 clinical pharmacology slides about the other
12 supportive evidence that we're using. I think our
13 main question is, is it meaningful and how does it
14 balance against the risks?

15 DR. BURMAN: Thank you. Dr. Chrischilles?

16 DR. CHRISCHILLES: Actually, my question was
17 answered just before lunch by the answer to
18 Dr. Brittain's question.

19 DR. BURMAN: Thank you. Dr. Meininger?

20 DR. MEININGER: Thank you. My question's
21 more for the FDA about postmarketing incidence DKA.
22 As was already noted by many, the available doses

1 for SGLT2 inhibitors are those that are much higher
2 for type 2 diabetes, and there's quite a bit of
3 off-label use. I believe earlier it was cited that
4 3 percent of type 1 diabetics are taking SGLT2
5 inhibitors.

6 Obviously, a lower dose, as shown today by
7 the sponsor, while there may be some uncertainties
8 about the specific rates, they're obviously lower.
9 So I wanted to understand, from the FDA's
10 perspective, what the postmarketing incidence is
11 for DKA, because, presumably, a lower dose with
12 lower risk would reduce that use.

13 DR. YANOFF: We looked at cases that are
14 reported in postmarketing for approved products,
15 but keep in mind they're only approved for type 2.
16 This is really an exploratory study, and I'm going
17 to invite Dr. Hampp up to explain to you what we
18 did. One of applicant's presentations alluded to
19 the paper. There's a reference to his paper in
20 their handout.

21 DR. HAMPP: My name is Christian Hampp. I
22 was the lead author on that study that was put up

1 by the applicant and most recently published in
2 Diabetes Care. We looked at Sentinel data for
3 canagliflozin, dapa, and empa for off-label use for
4 type 1 diabetes, and we had two different diabetes
5 definitions. One was narrow; one was broad. But I
6 think for this discussion, the narrow definition is
7 most important.

8 If I remember correctly, on average, we
9 found about a rate of 6 per 100 person-years of DKA
10 events, but that varies highly by age. So this
11 average could be different in a different
12 population at a different average age. The highest
13 rates we found among women under the age of 44 up
14 to 20 per 100 person-years. I cannot really
15 comment on low-dose effects because these were
16 approved as SGLT2 inhibitors that were used off
17 label, so they are no low-dose ones.

18 Does that answer your question?

19 DR. MEININGER: Yes. Thanks.

20 DR. BURMAN: Thank you. Dr. Kalyani?

21 DR. KALYANI: Hi. I had a question for the
22 sponsor in terms of ketone-related events. I was

1 curious. How many of those people that didn't have
2 potential or certain DKA -- so in the unlikely DKA
3 but ketosis or just elevated ketones -- may have
4 eventually went on to evolve to potential or
5 certain DKA?

6 DR. GEORGE: In fact, Dr. Kalyani, the
7 conservative thing to estimate is that all patients
8 with ketones between 1.5 and 3.8 could progress to
9 DKA, and that's what was presented by Dr. Schorling
10 earlier. Since there was a lot of discussion
11 around adjudication, if I might take a few minutes
12 just to inform the discussion about our clinical
13 objectives and the criteria we employed, that might
14 be helpful. Dr. Perkins can do that for us.

15 DR. PERKINS: Thank you. I have a lot of
16 empathy for the discussion around classification
17 that Dr. Niyiyati spoke about earlier, the structure
18 for the criteria for classification, and then the
19 structure for adjudication. Also, we noticed back
20 here that some of you were sort of Googling the
21 difference between ketosis and ketoacidosis, and I
22 understand that it's a difficult concept. So I

1 just want to take a few moments to provide some
2 background.

3 People without diabetes make ketones all the
4 time. It is the source of energy during fasting,
5 and it's sort of mediated by lower insulin and
6 higher glucagon. In someone with diabetes, we
7 would expect the same variation in ketones, except
8 it can be exaggerated if there are occasional
9 deficiencies in ketones or excess in glucagon and
10 other stress hormones.

11 We have a current surveillance bias, you
12 could say, in that we only ask people to check
13 ketones when they have high sugars and they have
14 symptoms. But even people with more normal sugars
15 and without symptoms have a large variability in
16 ketone levels. The other fact is that these
17 medications raise the average ketone by a small
18 amount. Part of that may be the benefit, the
19 metabolic benefit of the drug. This is the concept
20 of ketosis.

21 Ketoacidosis is a decompensation, where
22 ketones are high enough that there is acidification

1 of the blood, and the body's normal system for
2 managing that acidity is decompensated. We call
3 that diabetic ketoacidosis. Making this definition
4 is complicated from a clinical standpoint. Maybe
5 I'll just have slide 2 up.

6 This would be a standard definition for
7 making a diagnosis of diabetic ketoacidosis. This
8 is an example from the American Diabetes
9 Association, and there are many other examples from
10 consensus committees internationally. We applied
11 these concepts. The idea is that DKA involves a
12 decompensation from ketosis, so we need evidence of
13 acidosis, which could be from a pH, a bicarb, and
14 the presence of ketones, and generally, or an anion
15 gap, I should say. So these are measures of the
16 presence of a ketone or an anion gap, and then
17 clinical manifestations.

18 We applied the principles of this kind of
19 classification to the criteria with three
20 modifications. The first is that because the
21 mechanism of this medication could involve
22 decompensation to ketoacidosis with more normal

1 blood glucose levels, we ignored the plasma glucose
2 requirement. That's the first modification.

3 The second thing is we understand in
4 clinical practice there can be missing data. So
5 someone could have ketoacidosis, but through
6 clinical management, a pH wasn't measured, for
7 example. So in the absence of information, we want
8 to be able to use other supportive information to
9 classify someone as having ketoacidosis.

10 For example, if someone is missing a pH, we
11 could use a bicarb or an anion gap to have a
12 classification of ketoacidosis. However, if
13 someone does not have a blood sample taken for
14 pH -- I'm sorry; that's the situation I gave. If
15 there is no blood sample at all, we could use
16 supportive evidence. But if there is a blood
17 sample and the result doesn't show acidosis, then
18 that would be used to exclude.

19 Now, there are some exceptions to this. I
20 think Dr. Niyiyati showed a good example of that in
21 one of the cases, that someone could come in with
22 two conditions. She presented someone who had

1 alkalosis, so a high pH, so there was something
2 else happening. I don't know in that particular
3 situation, but something else was happening, a
4 respiratory illness. And at the same time, there
5 may have been ketoacidosis. I agree that it
6 couldn't have been perfectly excluded, it may have
7 been present as well; but, overall, it wasn't a
8 simple diabetic ketoacidosis classification.

9 In other examples of misclassification --

10 DR. BURMAN: Excuse me. Please be a little
11 succinct. We have other questions.

12 DR. PERKINS: Of course. I apologize.

13 DR. BURMAN: Please. No, no problem.

14 DR. PERKINS: So my key point is we used
15 traditional criteria with some modifications, and
16 the final one is just a detail about the bicarb,
17 that most others would use a bicarb under 15. We
18 essentially did as well, except we only exclude
19 acidosis of the bicarb over 18.

20 Let's move to slide number 1. What we could
21 do is assume that everyone who has a significant
22 level of ketosis -- even though that might be

1 normal and not actually a clinically relevant
2 outcome, assume that they could move on to actual
3 decompensation and diabetic ketoacidosis. On this
4 forest plot, it is the first effect measure, where
5 anyone, even outpatients feeling well regardless of
6 their clinical situation, has a ketone level
7 exceeding 1.5. This would give the broadest
8 definition.

9 What we see is a hazard rate ratio of 1.65.
10 So, to me, this is the hazard rate ratio that is
11 giving the broadest situation where we would have
12 the most sensitive definition of diabetic
13 ketoacidosis. Those are the key points that I
14 wanted to make.

15 DR. KALYANI: Thank you very much for that
16 background. I guess my question was focused on
17 ketone-related events, if we have information on
18 whether those people with that ketone above 1.5 did
19 go on to evolve to ketoacidosis or certain DKA.

20 DR. GEORGE: Some of them did, and that's
21 where you have the investigator reporting them as
22 DKA. I think what we need to bear in mind is that

1 there are two sources of information going into
2 that plot. There is the adjudicated events, as
3 presented by the FDA, going into that plot, and
4 every patient was given a ketone monitoring system,
5 and they were capturing the values through
6 electronic diary. So both those sources were
7 actually pooled to provide that information
8 together.

9 DR. BURMAN: Thank you. Ms. McCollister?

10 MS. MCCOLLISTER-SLIPP: I actually have a
11 couple questions about study design from both the
12 agency as well as the sponsor. You'd mentioned, as
13 part of the Q&A in the prior session, there was a
14 brief reference to the DTSQ, and I forget the name
15 of the agency staff that referenced it. There
16 seemed to be some degree of dissatisfaction with
17 that, and I was curious if we could explore that a
18 bit more, or maybe if I misunderstood what you were
19 saying.

20 Then, there were a couple of references to,
21 I guess, secondary endpoints that were -- and I may
22 get this term wrong, but it was something to the

1 effect of they were gathered outside of the study
2 structure. I don't know exactly what that means;
3 and, again, I may be misremembering the term that
4 was specifically used.

5 Then, the third question that I have is in
6 terms of like phase 3 trials, I'm a little unclear
7 as to how it works. I thought that when a company
8 or sponsor takes something into a phase 3 trial,
9 that they negotiate or discuss what the trial
10 design is with the agency. So I'm a little
11 perplexed as to how we have application for a phase
12 3 trial with 240 patients. I was just wondering
13 how that works and what exactly that process is.

14 DR. YANOFF: Our clinical outcomes
15 assessment staff person had to leave, but she'll be
16 back later to discuss the DTSQ if you'd like to get
17 into that more later.

18 DR. BURMAN: Sure.

19 DR. YANOFF: The second question, you were
20 asking about what it meant by outside the
21 structure. That means outside the statistical
22 testing hierarchy, so not controlled for type 1

1 error.

2 MS. McCOLLISTER-SLIPP: So does that just
3 mean it's unreliable?

4 DR. YANOFF: I'm going to have to have my
5 statistician come up and explain that to you, to
6 make sure I don't say anything imprecise there, but
7 not necessarily.

8 Then the last question, I think that the 241
9 is really -- we have a program that, after the
10 fact, it was determined that marketing
11 authorization would only be sought for the
12 2.5-milligram dose. So the 241 is really for that
13 dose only. The larger program is sufficiently
14 powered for a hemoglobin A1c outcome.

15 MS. McCOLLISTER-SLIPP: Thank you.

16 DR. BURMAN: Thank you. Dr. Brittain?

17 DR. YANOFF: We can explain to you what it
18 means to be outside of a testing hierarchy or not
19 controlled for type 1 error, if you'd like.

20 MS. McCOLLISTER-SLIPP: Sure.

21 DR. BURMAN: Sure.

22 DR. WANG: This is Yun Wang again, the team

1 leader for antidiabetic product development. We
2 all know that a clinical trial may have a type 1
3 error, which means when we may find something by
4 chance alone. So we always set aside a type 1
5 error, which is the alpha that we talk about.

6 Dr. Nason mentioned earlier that in EASE-3
7 studies, they only assigned 0.01 alpha for testing
8 HbA1c for 2.5 milligram alone. They have not set
9 aside any alpha for testing other secondary
10 endpoints. That's what we are talking about. It
11 means all those findings may be by chance alone.
12 We don't know whether it's real or not. Thank you.

13 MS. McCOLLISTER-SLIPP: Then a couple of
14 questions for the sponsor, similar. Is that
15 possible?

16 DR. BURMAN: Yes, if they're quick, please.
17 We certainly want to be inclusive.

18 MS. McCOLLISTER-SLIPP: Sure. I feel weird
19 not addressing you, but you can't hear me if I do
20 that. I just have questions about the study size
21 and how you think that a phase 3 trial of 241
22 patients is sufficient to come up with an

1 indication.

2 Then secondly, I was intrigued that there
3 was a lack -- or that there wasn't more, I should
4 say, data using CGMs referencing time in range,
5 since that, from the patient perspective, is one of
6 the most beneficial aspects of this drug that's
7 driving off-label use. Then similar to that, why
8 aren't there more references to patient-reported
9 outcomes in the data that was presented? There was
10 the one use of the DTSQ, which is about treatment
11 satisfaction, but that's not necessarily the same
12 as quality of life.

13 DR. GEORGE: Thank you. Mr. Chairman, with
14 your permission, I would like to answer those
15 questions. Firstly, as Dr. Yanoff mentioned, these
16 randomized-controlled trials, and as we
17 acknowledged in the presentation this morning, we
18 set out with an idea that 10 and 25 would be the
19 dose and 241 was the number of patients at
20 2.5 add-on.

21 The reason why we feel that provides
22 sufficient evidence for registration is the fact

1 that there was consistency of effect across three
2 randomized trials. There was the EASE-2, as well
3 as EASE-1, and J-EASE-1. Second is we've tried to
4 model those results in the EASE-2 trial, where 2.5
5 milligram was not studied. And thirdly, there is a
6 lot of information that we can borrow from other
7 diseases, in this particular case, type 2 diabetes.
8 We do know that empagliflozin 2.5 milligram gives
9 70 percent of the urine glucose excretion of the 10
10 and 25. So therefore, the biology is well known,
11 and we can actually extrapolate that.

12 Your second question was -- if you don't
13 mind repeating it, Ms. McCollister-Slipp?

14 MS. MCCOLLISTER-SLIPP: I believe it was
15 around why so little CGM data and data related to
16 time in range, given the importance of that in
17 terms of driving off-label use of this class of
18 drugs; then why so little patient-reported outcomes
19 in terms of quality of life.

20 DR. GEORGE: One of the challenges, really,
21 about patient-reported outcomes in diabetes drug
22 development is the lack of validated tools that was

1 mentioned by our FDA colleagues early this morning.
2 We use DTSQ because that's been around for years
3 and it's being used in the literature quite a bit,
4 but there are limitations to any patient-reported
5 outcome. This is actually an unmet need. We need
6 to develop better tools together.

7 To your question about CGM, in fact, indeed,
8 time in range is a true benefit for patients, and
9 there was a workshop that FDA and patients did
10 together around outcomes beyond HbA1c. In the
11 long-attempt trial of 52 weeks, continuous glucose
12 monitoring was done in every one and was indicated
13 as part of that. But in the 2.5-milligram trial,
14 it was a self-study only a proportion of patients
15 went to. And I agree with you. If you were to do
16 these trial designs today, unlike 4 or 5 years ago
17 when CGM was still coming into range, we would
18 certainly integrate CGM into that trial design
19 better.

20 DR. BURMAN: Thank you. I'd like to note
21 that, as far as I know, and if I'm wrong, that time
22 in range is not a validated surrogate endorsed by

1 the FDA, but is something of interest. But the
2 hemoglobin A1c is the issue raised with the
3 questions as a more validated surrogate.

4 MS. MCCOLLISTER-SLIPP: I think that's a
5 perfectly valid point, but it is what is driving a
6 lot of the off-label use, so I -- anyway --

7 DR. BURMAN: Of course. Thank you.

8 DR. GEORGE: Mr. Chairman, your point about
9 HbA1c, there were a couple of questions this
10 morning, or discussion, that we would like to
11 provide some answers for that we promised after
12 lunch. When would you like us to do that?

13 DR. BURMAN: Thank you. We only have about
14 five more minutes --

15 DR. GEORGE: Okay.

16 DR. BURMAN: -- and we have three other
17 questions. Could you answer that question really
18 quickly, like in a minute?

19 DR. GEORGE: Yes, indeed.

20 DR. BURMAN: Thank you.

21 DR. GEORGE: So the key question is about
22 the totality of benefits over time. Professor

1 McGuire, please?

2 DR. McGUIRE: In one minute. Darren
3 McGuire, UT Southwestern Medical Center. A big
4 conundrum in interpreting these data is
5 extrapolating both the net clinical benefit of the
6 observed changes not just in A1c but in body
7 weight, and in blood pressure, and in other metrics
8 of cardiovascular risk and microvascular disease
9 risk.

10 We've heard several times today about the
11 Diabetes Control and Complications Trial. Just to
12 remind everyone, that was a roughly 1400-patient
13 trial of patients with type 1 diabetes, young
14 adults randomized to more versus less intensive
15 control. The trial was conducted in 1983 to 1993,
16 and, on average, the patients on randomized trial
17 protocol had an observation period of 6.5 years.

18 Beginning in 1994, when that randomized
19 trial completed, the investigators embarked on the
20 EDIC study, and now in its 37th year of annual data
21 collection of granular data -- and these data are
22 now fed into the core type 1 diabetes model that

1 Dr. Perkins introduced earlier.

2 This is an annually updated model managed by
3 IQVIA Data Solutions, and this core type 1 diabetes
4 models is used for health technology assessment for
5 health authorities around the world. This is the
6 best type 1 diabetes model in existence. It takes
7 not only the baseline data but annually updates and
8 uses time variable, co-variable update analysis, so
9 it changes, and the parameters can also be modeled.

10 So with that introduction, if I can have
11 slide 1 up first, the sponsor in collaboration with
12 a IQVIA Data Solutions has done some modeling to
13 predict the clinical benefits of the observed
14 changes, not only in A1c but also modeling changes
15 in weight; changes in blood pressure, both systolic
16 and diastolic; and changes in lipid parameters.

17 What you see on this slide are the relative
18 risk estimates for risk reduction for individual
19 micro and macrovascular disease complications.
20 Fortunately, these data align very nicely with the
21 projections from the FDA using these 6.5 year DCCT
22 observed randomized trial period.

1 Understand that patients entering DCCT were,
2 on average, 26 years old. So at 6.5 years of
3 observation, we're talking about 32.5 years in a
4 patient population that live with a lifetime of
5 type 1 diabetes. The core type 1 diabetes model
6 actually models outcomes throughout the projected
7 lifespan of the patients.

8 What you see here is a projection of roughly
9 10 to 15 percent for each of the selected
10 microvascular disease complications and ranging
11 from 5 to 9 percent relative risk reductions for
12 the cardiovascular complications, including
13 atherosclerotic cardiovascular disease and heart
14 failure.

15 If we can see slide 2?

16 DR. BURMAN: Well, there really is a time
17 crunch. Could you just summarize?

18 DR. MCGUIRE: Yes. So to summarize here,
19 these are the projected per 1000 patient-years
20 absolute total number of events prevented, micro
21 plus macrovascular, totaling, as they add all
22 together, 142 clinically relevant outcome events

1 prevented by the changes observed in EASE-3.

2 DR. BURMAN: Thank you. Let me outline the
3 schedule. We definitely want to get to the
4 questions and the panel discussion. We may have
5 time for one more question to FDA or the sponsor.

6 Dr. Brittain, I think you were next.

7 DR. BRITTAIN: My question should be very
8 quick. Maybe there will be time for another.
9 Again, I'm still a little trying to understand
10 about why the two phase 3 studies were done as they
11 were. Obviously, if the second phase 3 study had
12 had the 2.5 dose, I think we'd all be in a lot
13 better place in understanding the risk-benefit of
14 that dose.

15 So I just want to make sure I understand
16 what was the history. Was the 2.5 not really
17 recognized as the best dose until after the phase 3
18 studies were done; and that's why only the one
19 short study had the 2.5? I'm just trying to
20 understand, and I'm also wondering what the FDA
21 advice was at the time that these would were
22 designed.

1 DR. YANOFF: I believe you're correct. I
2 don't want to speak for the company about their
3 business decisions, but the 10 and 25 are approved
4 for type 2, and that was what they believed would
5 work for type 1. The studies were already
6 designed, but when we met with you, it was around
7 the time that the signal was emerging of DKA, and
8 we advised the company that they should look at a
9 lower dose.

10 Now, why 2.5 specifically was selected and
11 not 5, I actually don't really know. And why the
12 2.5 was only added to one of the two trials, I
13 don't know. But I believe what I heard this
14 morning was that they really were not expecting the
15 trial to read out the way it did and really to be
16 applying only for the 2.5 for approval.

17 DR. GEORGE: If I might just add a sentence,
18 Chairman, to what Dr. Yanoff said of 2.5 only and
19 the dose selection, slide 1 up, a please? This
20 slide shows empagliflozin in 2.5, 5, and
21 10 milligram all plotted across an
22 exposure-response here. Our intention was to

1 select a dose that is distinctly different from the
2 10 milligram, and as you can see if we go up to 5,
3 it will be too close to 10, to Dr. Yanoff's point.

4 Mr. Chairman, there were a couple of other
5 questions about net benefit-risk, and we have done
6 a calculation. I don't want to interrupt the
7 proceedings, but we can come back to it later if
8 you have to.

9 DR. BURMAN: Well, we do have to move on,
10 but, obviously, that's important. This time, could
11 you do it really in a minute?

12 (Laughter.)

13 DR. GEORGE: I will have to start where
14 Dr. McGuire left it. That is BU-407, please, and,
15 obviously, we had to start with the benefits of
16 patients. This is the totality of benefits that
17 we've modeled our patients' life-years. So we
18 tried to do the same on the risk side to see
19 whether this would come up. We made two further
20 conservative assumptions.

21 One conservative assumption we made was that
22 everybody with 1.5 or more of ketones could

1 progress to DKA. In clinical reality, we know
2 patients can self- treat or take insulin or carbs
3 to fix that. The second conservative assessment we
4 made was that patients would stay on drug despite
5 DKA. And again, the clinical reality, we know
6 that's unlikely to happen.

7 I'm trying to be succinct in the one minute
8 that Mr. Chairman has given me. Slide RR-1 up,
9 please. This shows the 142 clinical events
10 prevented that Professor McGuire has mentioned and
11 the possible number of events over a lifetime with
12 the two assumptions that I mentioned.

13 It could be up to 23 events. My
14 statisticians, like Dr. Yanoff, I need to rely on
15 them. They tell me this is consistent with the
16 summary slide that was presented as exploratory
17 benefit results met by the FDA. The difference
18 here is this is expressed in 1, 000 patient-years
19 over the lifetime, like Dr. McGuire said. The
20 other one is a 6.5 year estimate, and only going up
21 to 6.5 years. Sorry; 10,000 patient-years
22 calculation going up to 6.5, whereas here it is

1 1,000 patient-years so you can get a like
2 comparison.

3 **Questions to the Committee and Discussion**

4 DR. BURMAN: Thank you very much.

5 There are other questions, but maybe they
6 will come up in the discussion session. The
7 committee will now turn its attention to the task
8 at hand, the careful consideration of the data
9 before the committee as well as the public
10 comments. I would like everyone to participate, to
11 the extent possible, voting and nonvoting members.
12 We want everyone's opinion.

13 Let me give you an outline of the time
14 schedule. It's around 2:15 now. There are three
15 questions before the voting question. We'll spend
16 a half an hour on each. If that works out, then
17 we'll have a 15-minute break from 3:45 to 4:00, and
18 then from 4:00 to 5:00, we'll take the time
19 necessary for the voting question and ask everyone
20 in the panel for their explanation of the voting
21 question.

22 If that meets with your approval, let me

1 read the first question. Discuss whether
2 empagliflozin 2.5 milligrams, as an adjunct to
3 insulin, provides benefit for adult patients with
4 type 1 diabetes. Discuss your views of the
5 clinical meaningfulness of the small A1c reduction,
6 as well as other endpoints studied to evaluate
7 benefits of the empagliflozin 2.5 milligrams,
8 including body weight and blood pressure.

9 The floor is now open for discussion, and as
10 I said, we'd really like to encourage everyone to
11 make a comment.

12 Ms. McCollister, you first.

13 MS. MCCOLLISTER-SLIPP: I think this
14 evidence and evidence that we've seen from other
15 sources -- and I know that we're just supposed to
16 focus on the evidence presented, and there are good
17 reasons for that. But there are definitely
18 benefits, and clinically meaningful benefits, to
19 this class of drugs, specifically. I think that
20 was sort of demonstrated in the data that was
21 presented, but probably not as well as I would
22 like.

1 In terms of the clinical meaningfulness of
2 the A1c reduction, it's meaningful. It's not what
3 would drive somebody like me to take this
4 medication. The stuff that isn't under direct
5 consideration are the things that would drive
6 somebody like me to take this medication, which,
7 again, I just think speaks to the inadequacy of
8 hemoglobin A1c, but that's a different discussion.

9 I think that there are significant benefits
10 for this class of drugs that we've seen evidence of
11 in the data presented; otherwise, people wouldn't
12 be assuming the risk of DKA to be able to take
13 these drugs. Weight reduction and blood pressure
14 and kidney disease are also significant concerns
15 for type 1 patient that I don't think get as much
16 attention as needed.

17 DR. BURMAN: Thank you. Dr. Newman?

18 DR. NEWMAN: After reviewing the data and
19 listening to the presentations, I feel that this
20 database on the dose of 2.5 milligrams is too small
21 and too short of a time period to even know what
22 the efficacy in terms of glycemic control may be.

1 The reported reduction of 0.26 may not actually be
2 clinically meaningful, in my opinion, and that may
3 not be what would happen at year 1 or year 2. It
4 might be lower. So I am not certain about the
5 clinical benefit with A1c reduction.

6 Also, there was reduction in systolic blood
7 pressure, which was, I believe, an exploratory
8 endpoint. Then there was a small reduction in
9 weight, but I don't believe it was anywhere near
10 the 5 percent reduction in weight that is used to
11 determine whether medications for obesity will have
12 a significant effect in reducing the comorbidities.
13 I think it's very small.

14 DR. BURMAN: Thank you. Dr. de Lemos?

15 DR. DE LEMOS: I would agree. I would say
16 the clinical meaningfulness of this small reduction
17 is completely unclear. To extrapolate 100
18 person-years of follow-up to many years of
19 follow-up in DCCT and EDIC is not appropriate. We
20 have no idea what the durability of this is and
21 what the clinical translation is. And when
22 balanced against the safety concerns that are also

1 unclear, it's not nearly enough evidence, in my
2 opinion, that the drug has clinical benefit.

3 DR. BURMAN: Dr. Pai?

4 DR. PAI: Majunath Pai, University of
5 Michigan. Again, I think during the public
6 hearing, Dr. Taylor, gave a pretty eloquent
7 presentation, and I concur with a lot of the
8 comments that were presented in that slide deck. I
9 think the effect is very small. It's not durable.
10 It's wedged on the placebo going higher, basically.
11 The delta is only 0.05 at 26 weeks and is likely to
12 be much lower at 52 weeks.

13 There's a lot of information in the briefing
14 document that was not discussed, potential
15 differences based on sex, perhaps, sex-related
16 differences; individuals with low GFR, estimated
17 GFR. There's not enough data in those populations
18 either. Most of the population was Caucasian,
19 again, so there's not enough data in other specific
20 populations.

21 DR. BURMAN: Thank you. Dr. Low Wang?

22 DR. LOW WANG: Cecilia Low Wang. I would

1 agree. I think that the magnitude of benefit is
2 small in both A1c lowering, as well as weight
3 reduction and blood pressure lowering, and there no
4 impact on severe hypoglycemia. I think the
5 durability is really the biggest question. With
6 the small magnitude of benefit, we need to see how
7 long this is going to last.

8 DR. BURMAN: Thank you. Dr. Kalyani?

9 DR. KALYANI: Yes. I also agree that the
10 A1c reduction is relatively small, and while on par
11 with pramlintide, which is the only other
12 adjunctive therapy currently approved, that's a
13 therapy that's not really widely used. The
14 evidence presented to date doesn't demonstrate
15 whether this A1c reduction would be sustained
16 beyond 26 weeks, and that's where I think the
17 uncertainty still remains.

18 DR. BURMAN: Thank you. Dr. Everett?

19 DR. EVERETT: Yes. Brandon Everett. With
20 respect to the benefits, I want to emphasize that I
21 see a very real clinical need in patients with type
22 1 diabetes that's a large population with

1 substantial morbidity and risk of mortality. There
2 are limitations in the therapies that are
3 available. In spite of that comment, we're now
4 asked to make a decision about the benefits based
5 on 240 patients treated for 26 weeks, which, given
6 the stakes, seems like not a lot of information.

7 I think with respect to the hemoglobin A1c C
8 reduction, I think 0.3 percent seems something that
9 I would be willing to consider is clinically
10 meaningful, and I think the right way to look at it
11 is the placebo adjusted or placebo subtracted
12 results. I know that's been somewhat of a topic of
13 conversation.

14 I think the key features for me -- and these
15 are not ones that necessarily gain you approval as
16 clear surrogate endpoints -- is the fact that you
17 can treat hyperglycemia without necessarily
18 increasing the risk of hypoglycemia is an important
19 adjunctive benefit of these therapies, in
20 particular, postprandial hyperglycemia and other
21 things that as a cardiologist without much detailed
22 knowledge of this, as I understand it, can drive

1 risk both for macro- and microvascular events.

2 Then finally, I have friends whose children
3 have type 1 diabetes, and the amount of time they
4 spend worrying about time within the therapeutic
5 range is on the verge of obsession. So I think
6 that while that, again, is not a surrogate endpoint
7 that might merit the FDA's attention at this point,
8 I think it's worth considering it in the future
9 because that is clearly what drives a lot of their
10 alterations in insulin use, and changes in pump
11 dosage, and all sorts of concerns for these people.

12 I think that if you could potentially find
13 an adjunctive therapy that would enhance time
14 within therapeutic range without increasing the
15 risk of hypoglycemia, that would be a valuable
16 addition to the therapeutic armamentarium.

17 DR. BURMAN: Thank you. Dr. Munir?

18 DR. MUNIR: As somebody who treats people
19 with type 1 diabetes on a daily basis, I think
20 reducing the hemoglobin A1c without increasing the
21 risk of hypoglycemia is often a big challenge. I
22 do think even a small 0.3 percent potential

1 decrease in hemoglobin A1c is clinically important.
2 The question, I think, becomes durability of that
3 change.

4 This was one of my questions, but maybe we
5 can get to this later. As far as other endpoints,
6 I would like to see the time in range difference,
7 like does that change over time or is that
8 sustained, because that could actually be
9 important. The second thing is if it's one hour
10 per day and that's persistent, that's 365 hours per
11 year, which if my math is correct, is about 15 days
12 of being in range, which is a half a month of being
13 in range during the whole year, which actually does
14 seem like a fair amount of time to be better
15 controlled.

16 So I don't know if there's representative
17 data of CGM curves or if there's data on greater
18 than 250 just to see if we're taking the top off of
19 these severe hyperglycemias that we could
20 potentially see later. But I think, although it's
21 not a validated measure, there are emerging data on
22 time in range, and retinopathy, and some of these

1 microvascular complications. I think it is an
2 important question and something that clinically we
3 see all the time, and what's been mentioned, and
4 that patients really find very beneficial.

5 DR. BURMAN: Thank you. Ms. Lellock.

6 MS. LELLOCK: I think there's definite
7 benefit to this drug. As type 1 diabetics, we try
8 really, really hard to get in range and to decrease
9 all these parameters. But I do have concerns over
10 the obvious potential increase in DKA, and I'd be
11 interested in hearing about the other endpoints as
12 well. So I think longer studies would be more
13 beneficial, if that make sense.

14 DR. BURMAN: Dr. Meininger?

15 DR. MEININGER: Thank you. I just want to
16 underscore some of the points that were already
17 made and maybe add some additional ones. There's
18 clearly an unmet medical need. I think all are
19 aligned with that. Speaking not only as an
20 endocrinologist but also an individual with type 1
21 diabetes, I can also comment on the tremendous
22 amount of effort that goes into lowering Alc.

1 I think the effect that we're seeing here of
2 about 0.3 percent is consistent, as shown by the
3 sponsor, with available therapies, which are
4 largely insulin, or modifications of insulin, or
5 insulin delivery, and that there aren't additional
6 therapies other than pramlintide. Alc I think is
7 an important endpoint and is a continuous endpoint.
8 It's doesn't stop at 0.3. Obviously, 0.5 would be
9 better, but I think, long term, that's important to
10 think about.

11 Then, there have been some comments about
12 the duration of study. I would point out that in
13 many type 2 diabetes programs, where a lot of these
14 drugs and other classes of drugs have been studied,
15 the placebo-controlled period, actually, is only
16 6 months. So what the sponsor studied in terms of
17 an Alc effect versus placebo is not that distinct.
18 Of course, it was in one study -- in one phase 3
19 study I should say -- but they did study it in
20 other phase 2 studies and also modeled out the
21 effects from a much larger database with higher
22 doses.

1 DR. BURMAN: Thank you. Dr. Kalyani?

2 DR. KALYANI: I just wanted to make an
3 additional comment that one of the things
4 clinically that we often hope for when we add
5 adjunctive therapy to people on insulin is a
6 reduction in hypoglycemia. And while I appreciated
7 that the time in range was increased by an hour per
8 day, mostly because of the decrease in
9 hyperglycemia range, and that there was no increase
10 in serious adverse hypoglycemia in empa 2.5 versus
11 placebo, it would have actually put the drug more
12 in favor, even balanced with a smaller A1c
13 reduction, if we had seen a reduction in
14 hypoglycemia events, even given the relatively
15 modest metabolic change.

16 DR. BURMAN: Dr. Brittain?

17 DR. BRITTAIN: First, I want to agree with
18 Dr. Everett that the effect size that matters is
19 the change from placebo. There may be some
20 legitimate reasons why placebo is going up. If
21 they were doing some kind of optimization during
22 run-in, I can see how that might be happening.

1 That said, I do think the duration of the
2 study being 26 weeks -- that's just a fact, it only
3 went 26 weeks, and there seems to be some
4 suggestion in the long-term study of perhaps a
5 lessened benefit. I don't think we know. But
6 that's the problem; we don't know. In terms of
7 whether 0.3, 0.25, or 0.23 -- I mean, 0.3 or 0.25
8 change in hemoglobin A1c is important seemed all to
9 depend on how you feel about the DKA risk.

10 DR. BURMAN: Thank you. Dr. Weber?

11 DR. WEBER: I just wanted to echo some of
12 the comments earlier about the unmet need and the
13 need to improve glycemic control, but not at the
14 risk of hypoglycemia, so agents that can improve
15 that. But I also wanted to put it in the big
16 picture. We saw a slide earlier today about how
17 additional interventions that are
18 non-pharmacologic -- CGM, insulin pumps, and
19 perhaps soon to becoming closed-loop systems -- can
20 additively improve control. To my knowledge,
21 they're not mutually exclusive. So I think
22 understanding that in the big picture, and looking

1 at the potential benefits versus risks is really
2 important.

3 DR. BURMAN: Thank you. Dr. Blaha?

4 DR. BLAHA: Thanks. Mike Blaha. Sticking
5 to the question at hand on benefit -- and I'll
6 certainly have remarks later about safety -- I
7 agree, actually, with Dr. Everett, that the
8 analysis for A1c lowering compared to placebo is
9 the right analysis. I think that the 0.3, let's
10 call it, is real and meaningful in my view. I
11 think that's probably quite important. I don't
12 treat type 1 diabetes, but I imagine that that's
13 quite important. I think it's reasonable to make
14 some projection of microvascular benefit with that.

15 Now, a few people have commented on the
16 weight loss and blood pressure benefit, which I
17 think it's consistent with what we know about SGLT2
18 inhibitors, and I think it's clinically significant
19 as well in an additive way. I'm less concerned
20 about the durability question.

21 Now, I'll come back to make several remarks,
22 I'm sure, about the length of study as far as

1 safety. As far as the remark about studying Alc
2 and how long one requires to make a durable
3 measurement of Alc lowering, I actually found this
4 persuasive. So on the benefit side, I think there
5 are some clear benefits with the drug.

6 DR. BURMAN: Thank you. Dr. Pai?

7 DR. PAI: Majunath Pai, University of
8 Michigan. Again, going back to this point if we're
9 hanging our hat on this 0.3 reduction, in the
10 pramlintide studies, the reduction was 0.5. The
11 placebo was also a reduction of 0.2. So that delta
12 was 0.3, but they're both going in the same
13 direction.

14 This is the opposite. The placebo is going
15 up and this going down and then going back up, so
16 it is different. Even though we were talking about
17 it as a 0.3 difference, it's not all the same. The
18 placebos were going in different directions.

19 DR. BURMAN: Thank you. I'd like to make a
20 few comments as well. As a member and chair of the
21 panel, I really appreciate all the comments.
22 Obviously, it's very controversial. I do agree

1 that there's an unmet need. As an endocrinologist,
2 it's really a difficult question, is a 0.3 percent
3 decrease in A1c meaningful?

4 The sponsor had a nice graph that was
5 somewhat projected but indicated that there would
6 be a significant decrease in microvascular
7 complications with 0.3. If I understood correctly
8 from this morning, several of the other agents that
9 have been approved, mainly SGLT2 inhibitors, for
10 treating type 2 diabetes, of course, had a
11 hemoglobin A1c reduction in this same range of
12 about 0.3 percent.

13 It's a difficult issue also because some of
14 the speakers and some of the speakers in the open
15 form suggested that a longer study would be
16 necessary, and the question always is how long. A
17 study of 2 to 3 years seems exorbitant and may be
18 necessary, but it's always a balance of how many
19 years do you do a study, does it project out, and
20 could you have a reasonable time frame and then do
21 a mitigation, a REMS study afterward, or another
22 study after approval? That's always a difficult

1 question.

2 I would emphasize tremendously the
3 importance of selection of patients regardless of
4 whether you agree or don't agree with the
5 hemoglobin A1c reduction. The article by Danne, at
6 which Dr. Rodbard referred to and was on in
7 diabetes care, was really instructive to me
8 regarding the potential benefits and the potential
9 risks, but really emphasized the importance of
10 patient selection, and we can talk more about that
11 later.

12 I would note as well, as in any study,
13 clinical trial data may or may not be extractable
14 to the real world. And I think in this
15 circumstance, the patients were really highly
16 selected, and there was a run-in period that
17 selected them even further for a potential benefit.
18 I'm not criticizing that; I'm just commenting on
19 it. But the difference in the real world in terms
20 of many aspects in patient care, and diabetic
21 analysis, and following up on ketones, glucose
22 management, and education of the patient and

1 physician are going to be very different.

2 However, I was impressed with the PK
3 analysis from the FDA that indicated that the PK
4 looked favorable to a large degree, and if I
5 remember right, approximately 70 percent effective,
6 if you will, compared to the higher doses of 10 to
7 25.

8 Does anybody have any other -- sure. Might
9 you go first, please?

10 DR. NASON: I actually just wanted to
11 respond to what Dr. Pai said because I think the
12 problem with that is it depends on when you start
13 the clock because of their run-in at the beginning.
14 The sponsor had a slide at CC-37, where before the
15 intensification, all the groups' average were up
16 around 8.6, and by the time they started the drugs,
17 they were down to about 8.1. So it just depends on
18 which one you're calling times zero.

19 The reason I felt it was important to say
20 something is because I wouldn't want the message to
21 go back that for the next trial, they shouldn't do
22 that, and they should start the clock before the

1 intensification, let's say. If they were to do
2 that, it would make it look like both groups
3 decreased, but you'd then have the mix of the
4 intensification effect and the drug effects. It
5 would be very difficult to untangle.

6 So I think they did it appropriately in that
7 sense, and that's why it doesn't worry me that the
8 placebo looks like it's trending up because it's
9 trending up relative to their nadir, relative to
10 the lowest they could get after the
11 intensification, not relative to where they started
12 at screening. That's all I wanted to add.

13 DR. BURMAN: Thank you. But of course, in
14 the real world, there's no intensification period.

15 Ms. McCollister?

16 MS. MCCOLLISTER-SLIPP: I just wanted to add
17 one final note about the clinical benefit of that
18 kind of an A1c reduction. I take a drug called
19 pramlintide, which is the only other drug besides
20 insulin, or class of drugs besides insulin that's
21 been improved, and it had a similar A1c reduction.

22 I don't actually know that it reduced my A1c

1 at all, but I'm one of the few people that takes it
2 because it's truly been a life changer for me. I
3 was the first severe hypoglycemic -- unconscious
4 hypoglycemia adverse event after it was approved.
5 It has substantial risks, but the benefits far
6 outweigh those risks, and the risks are things that
7 I can mitigate. Now I've been taking it for a long
8 time, but in the beginning I worked with my
9 physician, we figured it out, and made it through.

10 So there are ways to mitigate very
11 substantial life-threatening risks, and if the
12 patient is committed to the kind of care and
13 regimen they need, I think it's important to give
14 them the arsenal of tools that could be available
15 to them to be able to do that.

16 DR. BURMAN: Thank you. Any other comments?
17 Yes?

18 DR. CHRISCHILLES: Betsy Chrischilles.

19 DR. BURMAN: Sorry. I should have mentioned
20 it to everyone; I apologize. Please mention your
21 name.

22 DR. CHRISCHILLES: Betsy Chrischilles,

1 University of Iowa. I'm an epidemiologist. I have
2 been fascinated by the conversation about clinical
3 benefit and am sympathetic to the particular unique
4 PK/PD characteristics of this class of drugs. The
5 one thing I'm not sure I have heard explicitly said
6 in this round of statements is that we still only
7 have one trial at this dose.

8 To me, with the inconsistencies between
9 EASE-2 and EASE-3 for the other doses, that just
10 kind of leaves an open question. Moving into the
11 postmarketing arena, I'm pretty comfortable with
12 observational data, but I would like to feel that
13 that solid efficacy finding is there. So that's
14 what is currently in my mind.

15 DR. BURMAN: Thank you.

16 Let me summarize, then, if there's no other
17 question. This is obviously difficult, so, please,
18 if you strongly disagree with this summary, let me
19 know. I think there was active discussion
20 regarding the impact of a small decrease in A1c,
21 with some people saying it may have significant
22 clinical impact, and it may decrease retinopathy

1 and nephropathy over time.

2 On the other hand, the Alc wasn't maintained
3 or sustained for the whole -- that delta wasn't
4 maintained for the whole period of time, and
5 therefore it is unknown, over a longer period of
6 time, whether the Alc decrease would be significant
7 over a year, or 2 years, or 3 years.

8 The blood pressure, systolic and diastolic
9 changes were minor. I yield to my cardiology
10 friends and colleagues as to whether that is
11 clinically meaningful. My understanding is it's
12 small. It might depend on the time of day that it
13 was measured, et cetera, but if that was
14 maintained, that would be a benefit. The weight
15 gain -- the weight loss was mild and may not be
16 persistent over a longer period of time.

17 I think all of us agree there's only one
18 phase 3 trial with a modeling analysis as well, and
19 that longer studies over at least a year would be
20 important if they were possible to be done.

21 Does anyone have any strong comments about
22 that?

1 (No response.)

2 DR. BURMAN: Thank you.

3 Let's go to question number 2, which, again,
4 emphasize that we'd love everyone to talk and give
5 their opinion. The question is discuss your level
6 of concern about the risk of DKA with the use of
7 empagliflozin 2.5 milligrams in type 1 diabetic
8 patients.

9 Discuss your level of confidence in the
10 ability of the available safety database to
11 accurately characterize the DKA risk given the
12 small number of events observed in a single trial
13 that is only 26 weeks in duration.

14 Discuss your level of confidence in the
15 reliability of the adjudication process to assess
16 DKA risk, including the clinical meaningfulness of
17 the adjudication categories and the applicability
18 of extrapolating risk management in a clinical
19 trial setting to the real world.

20 Dr. Brittain will start it off.

21 DR. BRITTAIN: I don't think we have a
22 really good idea what risks, if any, that the 2.5

1 gives for DKA. But what strikes me is, both, when
2 they use the certain DKA as the endpoint or when
3 they use the broader category with the three
4 subcategories put together.

5 The upper end of the confidence interval was
6 4 for the relative risk or hazard ratio; for both
7 cases, it was 4. That's what I'm thinking of. It
8 is probably not that high. That would be like
9 worst-case scenario, but because the database is so
10 small, it's a big confidence interval.

11 DR. BURMAN: Ms. McCollister? And please
12 say your name.

13 MS. MCCOLLISTER-SLIPP: Sure. It's Anna
14 McCollister. You'll get it by the end of the
15 meeting, I'm sure, and you can drop the Slipp part.
16 In terms of the risk of DKA, I think that DKA is a
17 big risk as a clinical issue, but I don't know that
18 this drug introduces that much of a greater risk in
19 the relative scheme of things.

20 I have an insulin pump. Two years ago, I
21 had a series of pump failures. I ended up in the
22 ER with DKA twice. It was a very unpleasant

1 experience with long-term implications. It didn't
2 mean I stopped using the pump, nor did it mean that
3 the pump doesn't have significant demonstrated
4 clinical benefits, both to A1c, as well as a whole
5 bunch of quality-of-life measures.

6 So a lot of us live with insulin pumps.
7 It's been a tremendous improvement, but there is a
8 risk of DKA. Those risks are things that we have
9 learned to mitigate through education, and
10 understanding, and staying on top of it. Those are
11 things that a clinician discusses with their
12 patient when they have an insulin pump.

13 These are things that can be mitigated
14 through discussion, and education, and risk
15 mitigation. I think we need to keep that in mind.
16 And again, to bring it home, two months ago,
17 because I was trying to keep my blood sugar in
18 range, I had a series of extreme excursions 2 times
19 in one day from extreme high, like about 400, down
20 to 40, back up, and ended up in the ER with
21 supraventricular tachycardia, and was admitted in
22 the hospital for a few days until the troponin

1 level dropped.

2 So that is a very significant, immediate
3 risk of excursions, not just in terms of how
4 functional I was, which, by the way, not very, but
5 in terms of like the risk of immediate death, and
6 that is all because of the impact of extreme
7 excursions on my cardiovascular health.

8 These are very real risks that people are
9 attempting to mitigate. That's what's driving the
10 off-label use of this medication. So I would
11 prefer to have those risks discussed through the
12 doctor, with a doctor, with FDA's oversight, rather
13 than just being used off label in the clinical
14 setting where that risk isn't required to be
15 studied, and observed, and mitigated.

16 DR. BURMAN: Thank you. Dr. Everett?

17 DR. EVERETT: Thanks. Brandon Everett. I
18 have significant concerns about the risk of
19 diabetic ketoacidosis, and in addition, other
20 ketosis syndromes that are short of a full-on
21 diabetic ketoacidosis. I think the vignettes that
22 were provided, while not run the risk of being an N

1 equals 1 kind of approach to the problem,
2 nonetheless give you a sense of the severity of
3 illness and the seriousness of this as a category
4 of adverse event, whether it's truly DKA or
5 something short of that.

6 I think with respect to whether or not the
7 database is adequate to really characterize the
8 risk, I think the answer is no. I expressed some
9 concerns about the adequacy of the database to
10 characterize efficacy, which occurs in every
11 patient and is a continuous outcome. So something
12 that is much less common only happens to 15
13 patients in both treatment arms. I think you have
14 to be left with the sense that it's not adequate to
15 characterize that. Of course, that's the problem
16 with adverse event reporting generally, is that
17 there are infrequent events that occur out of the
18 blue and are not consistently seen as efficacy
19 endpoints.

20 To that point, I appreciate Dr. McGuire's
21 comments earlier about specificity and sensitivity,
22 in it being a balance when you're using an

1 endpoints committee. I run endpoints committees
2 for large trials, and we're typically focused on
3 specificity when we're interested in the efficacy
4 endpoint. We're typically focused on sensitivity
5 when we're interested on a safety endpoint.

6 So I think there's a fundamental difference
7 in terms of how you look at those two events that
8 occur in a trial population and how you ascertain
9 them and how you adjudicate them. I think we've
10 expanded the different definition, if you will,
11 through the course of the discussion here, to
12 broaden it beyond just purely adjudicated DKA. I
13 think it's worth noting in some of the vignettes,
14 the patients had pH's that were in the normal
15 range, but that was, of course, after the ketosis
16 had resolved and that kind of thing.

17 That brings me to my next point, which is
18 that I applaud the sponsor for working so hard to
19 protect the patients within the trial by giving
20 them point-of-care monitors to look at beta
21 hydroxybutyrate, but that is not going to happen in
22 the real world. And from reading these vignettes,

1 again, it's very clear how much having information
2 from that machine allowed patients and their care
3 providers to manage the patients successfully and
4 avoid serious adverse events.

5 So they were able to keep patients out of
6 the emergency room, keep them out of the hospital
7 because they could tell them to hydrate, check
8 another ketone, et cetera. That's not going to
9 happen, and that concerns me when you let the drug
10 loose in a larger population of patients who are
11 going to be less attuned than the highly selected
12 group within the context of a clinical trial.

13 So I think there's a risk that rates of DKA,
14 which are poorly characterized, are going to be
15 much higher outside of the context of these trials.
16 I think it's difficult to extrapolate what we've
17 seen, with sparse data as they are, to any context
18 outside of the 100 patient-years of active therapy
19 in 100 patient-years of placebo therapy that we
20 have in front of us.

21 DR. BURMAN: Thank you. Ms. Lellock?

22 MS. LELLOCK: I think there's a definite

1 concern over the risk of DKA in everyday life if
2 you have diabetes. Like Anna said, our pumps could
3 malfunction; we could miss a dose of insulin. So
4 there's definitely concern over DKA in everyday
5 life. It's definitely concerning as well that this
6 could potentially increase DKA, but that's a risk
7 we take all the time, anyway. I guess that's all I
8 have to say about it, is it's a risk we're already
9 taking.

10 DR. BURMAN: Sure. Thank you. Dr. de
11 Lemos?

12 DR. DE LEMOS: In a trial that's efficacy
13 outcome is a lab value that's modestly reduced, the
14 safety profile has to be really clean to approve,
15 based on small amounts of follow-up. This drug at
16 this dose may actually be materially safer with
17 regard to DKA than other SGLT2 inhibitors at higher
18 doses, but we just don't know.

19 I think this is a promising first stab at
20 this question, can you achieve some better glycemic
21 control with a mitigated risk of DKA? I think that
22 may be true, and there are some signals here that

1 are encouraging. But this is minimum of an order
2 of magnitude too small; and the sample size and the
3 duration of exposure have to be much larger because
4 it's such an important question for all the reasons
5 that Brandon and others have raised about the
6 differences in the real-world application of this
7 without serum ketone monitoring, as well as what
8 will happen with longer durations of exposure,
9 where you see this cam curve showing steady rates
10 of DKA over time.

11 So I am optimistic about this dose. I'm
12 just completely unconvinced by the data provided
13 just because it's too small and too short an
14 exposure period.

15 DR. BURMAN: Thank you. Dr. Weber?

16 DR. WEBER: This is Tom Weber. I'm also
17 concerned about the DKA risk, and I just want to
18 make a couple of points. One is we know, based on
19 the available data, this is a class effect. This
20 happens with all of the agents that have been
21 studied in type 1 diabetes, and it's present in
22 type 2 as well.

1 Now, there are dose differences, obviously,
2 but when looking at the data -- and it was more
3 apparent from the FDA presentations and from the
4 sponsors -- there was discordance between the
5 trials in terms of dosage and DKA risk. That
6 raises the question that this may not be a purely
7 dose-response relationship. So those give me
8 pause.

9 Then I'll echo the other concerns about not
10 being able to do this pragmatically in the real
11 world, and I can see perhaps an increase in 4, or
12 5, or 10-fold risk if it's not done right. So that
13 that's my concern in terms of the data.

14 DR. BURMAN: Thank you. Dr. Yanovski?

15 DR. YANOVSKI: All my points have been said.
16 Thanks.

17 DR. BURMAN: Sure. Dr. Newman?

18 DR. NEWMAN: I agree with much of what has
19 been said by my other colleagues on this committee.
20 I am concerned about the risk of DKA with
21 empagliflozin 2.5 milligrams, but I don't think
22 it's been adequately assessed by this one study in

1 240 patients for 6 months. I appreciate the FDA's
2 presentation, which I believe showed to me that the
3 adjudication process did not err on the side of
4 safety; that patients were excluded from having
5 ketoacidosis when they probably did have
6 ketoacidosis.

7 I'm also concerned that there were patients
8 in this trial who were not even sent. Their
9 records were sent for adjudication who might have
10 DKA. And as we all know, extrapolating this to a
11 real-world use, although I don't like the term
12 "real world," will result in more cases of DKA.
13 But I think there's room, I think this is
14 promising, and I appreciate the work that the
15 company, the sponsor, has done, and I think there
16 is room to provide more data that would be more
17 reassuring.

18 DR. BURMAN: Thank you. Dr. Kalyani?

19 DR. KALYANI: In terms of the level of
20 confidence and the availability of the safety
21 database, I really applaud the sponsor in seeing
22 the need to monitor ketones ahead of time, and

1 having such a high compliance for patients and
2 monitoring blood ketones 2 to 3 times per week on
3 average after the first month. That being said,
4 this study really represents the best-case scenario
5 in terms of the number of DKA events that we will
6 see, even given that high degree of motivation with
7 the self-selected patients.

8 In real-world settings, again, using that
9 term, we may not have patients who monitor ketones
10 as regularly, even though there will be those that
11 are very motivated. I also wondered, the ketone
12 related events that were present, but not in the
13 initial analysis but in the FDA subsequent analysis
14 that showed unlikely ketoacidosis but ketosis, we
15 know that ketosis and ketoacidosis are on a
16 spectrum. Some have a greater degree or less
17 degree.

18 So in this trial, people who were detected
19 earlier on to have ketosis were given appropriate
20 intervention, an education tool to hydrate. But
21 what will happen in the real-world setting, and
22 will they evolve to ketoacidosis? What will happen

1 during illness? I think these are the uncertain
2 areas that will make it challenging for us to truly
3 gauge the safety of this drug.

4 DR. BURMAN: Thank you. Dr. Blaha?

5 DR. BLAHA: Mike Blaha. I think we've had
6 some outstanding commentary from the group. I
7 agree with virtually everyone that's made comment
8 so far. I think that almost for sure we have a
9 non-zero risk of DKA with this. I think it is a
10 class effect. I'm not convinced at all that
11 sparing insulin is a good strategy. Even in type 1
12 diabetes, it seems like that just brings on more
13 DKA. But I think almost surely the risk, though,
14 is lower, of course, at the higher doses.

15 So there's this discussion of a sweet spot,
16 but for now all I'm seeing is something that's a
17 little less effective and a little less harmful,
18 and the precision of that estimate is just
19 completely unclear to me. I think we really don't
20 have the precision to make a reasonable estimate of
21 that trade-off.

22 So really, it comes down to the inadequate

1 safety database. I do think it's not on the order
2 of -- we need a hundred more patients, and we need
3 a lot more patients to really make that estimate.
4 Unfortunately, we're way off, I think, what we need
5 to really make that estimate.

6 I guess I'll echo what Dr. de Lemos said,
7 which is that as a clinician and someone who wants
8 great outcomes for patients, I do think this is
9 promising. I think that's a good way of saying it,
10 although I'm not sure we're here to evaluate
11 promising things; we're here to evaluate proven
12 things. But it is promising strategy.

13 DR. BURMAN: Thank you. Ms.
14 McCollister-Slipp?

15 MS. MCCOLLISTER-SLIPP: Just a final point
16 about this issue, well, for now. And I also want
17 to congratulate the FDA on their presentation. I
18 thought you guys did a really good job of bringing
19 out some important points that weren't apparent in
20 the sponsor's presentation.

21 I think that this is one of many things
22 recently that has highlighted our complete lack of

1 understanding, in a real way, of diabetic
2 ketoacidosis in the real-world setting. It's not
3 something that we're really focused on unless we
4 get sick. Very few people actually measure it.
5 I've never had a blood ketone meter. The strips
6 are always expired when I need them.

7 I would love to see if there's a way that
8 the FDA can do this or whether it's through -- I
9 don't know if you have any mechanisms for doing
10 this, but I would love to see this on some sort of
11 new research into diabetic ketoacidosis because we
12 clearly have a lack of understanding of how
13 prevalent it is and what the implications of
14 experiencing it might be.

15 DR. BURMAN: Thank you. Dr. Low Wang?

16 DR. LOW WANG: Thank you. Cecilia Low Wang.
17 I also have a low level of confidence in the
18 adequacy of the safety data, and just a couple of
19 points. One is that I do think that that
20 adjudicated category needed to be broader to
21 include ketone-related SAEs, and there are plenty
22 of people with mixed acid-based disorders out

1 there, so you can't go just by the pH.

2 I think the other thing is also the point
3 about DKA with SGLT2 inhibitors. I don't think
4 that we fully understand that, and that's been
5 pointed out already. But over and over, I think we
6 have all this evidence to show that we don't have
7 enough evidence.

8 DR. BURMAN: Thank you. I'd like to make a
9 few comments and agree with almost all of what the
10 panel said and appreciate their critical comments.
11 I also appreciate, of course, the FDA comments and
12 the sponsor's comments.

13 To me, one of the major issues regarding
14 this question is the difference between the
15 clinical trial data and real life. Part of what
16 I'm going to comment on is from the article in
17 Diabetes Care by Danne. It emphasizes that the
18 patient group should be very selective if you're
19 using this drug in type 1 diabetes. They emphasize
20 patient compliance and patient education, as well
21 as doctor education.

22 If a patient comes into the emergency room

1 on these medications, they understand the
2 pathophysiology and the patient willingness to
3 comply and monitor closely ketones and glucose. I
4 think ketones have to be measured in this
5 circumstance, which adds extra costs; carbohydrate
6 diet; ketogenic diets; and fasting diets all play a
7 role.

8 The degree of hyperglycemia has to be
9 considered. The future willingness to get pregnant
10 has an issue on this. Surgery, illness, and, other
11 systemic illnesses, obviously, have to be
12 considered in the patient selection. Obviously,
13 avoidance of alcohol, an appropriate Alc, I think
14 we heard earlier less than 9, but less than 10
15 should also be considered.

16 I don't think we've talked sufficiently
17 about patients on pumps. In the sponsor's
18 presentation, if I remember correctly,
19 approximately 40 percent of their patients were on
20 an insulin pump. That's way more than my
21 real-world experience, and I looked this up
22 recently to confirm my suspicion in our discussion

1 that patients on insulin pumps have a higher risk
2 of diabetic ketoacidosis, not because they're not
3 compliant, probably, but because there's some
4 problem with the pump. It gets interrupted or it
5 gets kinked, something like that. And, of course,
6 the patients and the physicians have to recognize
7 the symptoms of nausea, vomiting, and of diabetic
8 ketoacidosis.

9 So I agree that there's a stark difference
10 potentially between the clinical trial and the real
11 world, but maybe the truth is somewhere in between.

12 Does anybody have any other comments on this
13 point?

14 (No response.)

15 DR. BURMAN: Then my summary will be -- oh,
16 sure. Yes, please?

17 DR. CHRISCHILLES: Betsy Chrischilles,
18 epidemiology from the University of Iowa. There
19 were just two other points I was going to try to
20 put in there that haven't been raised. One, I
21 think we haven't specifically seen any evidence of
22 the evidence base in support of the effectiveness

1 of risk management strategies. There are a lot of
2 recommendations and variability among the
3 recommendations and what should be done. But I
4 don't think we know if they're effective at all.

5 But secondly, Dr. Hampp in his paper in
6 *Diabetes Care*, did provide us with perhaps an upper
7 bound of the diabetic ketoacidosis rates that we
8 might have observed in type 1 diabetes, and it
9 looked like it was about 80 percent higher. That
10 would be expected based on clinical trial findings,
11 and that was with the conventional doses of these
12 therapies.

13 So that's a piece of information I think
14 that we can think of in terms of an upper bound on
15 the expected real rate in the real world.

16 DR. BURMAN: Thank you. Let me just ask the
17 FDA on this question, are there any other specific
18 issues you wanted brought up for discussion?

19 DR. YANOFF: Lisa Yanoff, FDA. None from
20 me.

21 Anyone else from the FDA?

22 (No response.)

1 DR. BURMAN: Good. Thank you. Then my
2 summary, again, open to your criticism and
3 comments, is that, in answer to the specific
4 question, the risk of diabetic ketoacidosis seems
5 increased, and I think we all agree with that. The
6 extent of increase is difficult to know, and there
7 have been extrapolations, both for single patients
8 and for populations.

9 The safety database, as we've seen from
10 individual cases, was not particularly sensitive or
11 not as sensitive as we would have liked regarding
12 picking up patients with diabetic ketoacidosis in
13 the trial, so it could have missed some or many
14 patients in that regard. The adjudication process
15 itself could be improved.

16 With regard to comparison to the real world,
17 I think I just mentioned -- and so did the other
18 group, other patients, other people, regarding some
19 of the potential problems -- how much they
20 influence the risk of DKA and other complications,
21 as well as the benefit in the real world, versus
22 the clinical trial is impossible to know. We could

1 just guess, and everyone can make their own opinion
2 regarding the further questions.

3 Any further comments? Sure.

4 DR. MEININGER: Maybe just a clarification.
5 I'm not sure I would --

6 DR. BURMAN: Please mention your name; I'm
7 sorry.

8 DR. MEININGER: Oh, I'm sorry. Gary
9 Meininger, industry rep.

10 DR. BURMAN: Thank you.

11 DR. MEININGER: I'm not sure I would say
12 that the sponsor missed cases. I think they had to
13 do with the categorization, and obviously they're
14 more specific, and less specific, and more
15 sensitive cases. I think even in the most
16 sensitive analysis, the risk was increased. I
17 think we all acknowledge that. The question is to
18 what degree and how much data there is.

19 DR. BURMAN: Thank you.

20 DR. YANOFF: I would let the record stand
21 with the original summary. I'm not sure I agree
22 with your statement, but perhaps the committee can

1 debate further if there is disagreement there.

2 DR. BURMAN: I think we have a minute or
3 two. Thank you for bringing that up, and thank
4 you, Dr. Yanoff. I personally think that some of
5 the cases that were presented were missed. Maybe a
6 more appropriate way to say it is not appropriately
7 categorized, but I would love the input of the
8 committee.

9 DR. DE LEMOS: I would just say this
10 isn't --

11 DR. BURMAN: I'm sorry. Please mention your
12 name.

13 DR. DE LEMOS: James de Lemos; sorry. This
14 is not a unique problem. If you looked at bleeding
15 outcomes in an antiplatelet or antithrombotic
16 trial, it's in the sponsor's interest to have a
17 rigorous definition that's specific, when you know
18 that the safety outcome is only going to go in one
19 direction across all three doses.

20 I think in the future, the key is that the
21 next series of trials designed to address the
22 safety of this class of agents, along with what Dr.

1 Low Wang said, is they need to focus on clinically
2 relevant, ketone-related events in a much broader
3 panel, and then report secondary diagnoses within
4 there. But the process of picking a tight
5 definition of DKA will systematically underestimate
6 the clinically relevant cases. It's not an
7 adjudication problem per se; it's a definition
8 problem.

9 DR. BURMAN: I agree with that. And I would
10 emphasize, from my viewpoint, the sponsor did a
11 reasonable job working with the FDA to try to give
12 these categories, but now that we have the data, it
13 may not have been sensitive enough.

14 Sorry. Dr. Yanoff?

15 DR. YANOFF: I thank everyone for discussing
16 this point. I think it's very important. I think
17 maybe it's the outcome of whether you have
18 confidence in the data or not, rather than how we
19 got there because while we didn't get into some of
20 these details, we did find evidence that there
21 were, perhaps, maybe one or two actual missed cases
22 where information was in a CRF but not on the

1 adjudication worksheet, and then the case was not
2 identified. But I don't want to sidetrack the
3 discussion. I think that the point is the larger
4 issue, whether the committee feels that you have
5 confidence in the available data.

6 DR. BURMAN: Thank you all.

7 Dr. Newman, do you have a final comment on
8 this topic?

9 DR. NEWMAN: Very briefly, I wanted to say
10 what Dr. Yanoff said, that I think the FDA pointed
11 out there were people in this study who may have
12 had DKA because they had elevated beta
13 hydroxybutyrate levels, but they weren't referred
14 to the adjudication committee.

15 DR. BURMAN: Thank you. Let's go on to the
16 next discussion topic. We'll spend 30 minutes on
17 this if it works out, and then we'll take a break
18 from three 3:45 to 4:00, and then come back for the
19 final question and discussion after the vote.

20 Question number 3 or discussion topic number
21 3 is discuss the overall benefit-risk profile of
22 empagliflozin 2.5 milligrams as an adjunct to

1 insulin therapy for the treatment of adult patients
2 with type 1 diabetes. Discuss the sufficiency of
3 demonstrated benefits in light of the uncertainties
4 around the DKA risk and other risks of the drug.

5 Obviously, this is an important risk-benefit
6 question. Dr. Blaha will start us off.

7 DR. BLAHA: Okay, great. I'll kick us off,
8 and probably lots of good comments here. Mike
9 Blaha. Well, I think there's been a lot of great
10 discussion about the right patients, and I think
11 clinically we can agree there are probably certain
12 patients who are very good at self-care and
13 monitoring self that might receive more benefit
14 than other patients. Those patients might be more
15 highly represented in the clinical trials,
16 especially per protocol.

17 But I think the question for me is not the
18 clinical question and are there certain patients
19 who might benefit from this, but the larger
20 question of the approval of this drug for this
21 indication, can I confidently say that there's a
22 net benefit of that? And I think based on the

1 discussion we've had and my comments personally,
2 I'm not confident in that net benefit, particularly
3 because of the lack of precision of the risks with
4 this drug.

5 DR. BURMAN: Dr. Yanovski, please?

6 DR. YANOVSKI: I think that we actually have
7 insufficient data on both the benefits and the
8 risks of this drug because of the small number of
9 clinical trial data points and virtually none more
10 than 6 months. So I think we're really asked to
11 approve a medication with inadequate data on both
12 risk and benefit.

13 I do expect, actually, that this might turn
14 out to be a very effective and relatively safe
15 medication if it were adequately studied, but I
16 really can't say that yea or nay.

17 DR. BURMAN: Dr. Brittain?

18 DR. BRITTAIN: I also am optimistic about
19 this treatment. what I keep thinking is if the 2.5
20 had been included in that other trial and the
21 results had been pretty much like they were in the
22 other trial, that probably would be enough.

1 DR. BURMAN: Thank you. Dr. Munir?

2 DR. MUNIR: I think this is a very a
3 tricky -- I think it's very balanced right now.
4 Like I think Dr. Blaha said, there's less benefit
5 and less risk. Even if we take all the DKA, going
6 back to the risk issue, all the DKAs that were
7 potential DKAs and all that, we do see a higher
8 risk with empa versus placebo versus the data with
9 the certain DKA. But even then it's less -- it
10 seems very dose related, at least from the data
11 that we have, and it seems like that's the case
12 across all of the different drugs in the SGLT
13 classes, in that class.

14 So it does seem reasonable the data that we
15 have is probably the data that would be reproduced,
16 but we don't know until it's reproduced. Again,
17 the study duration is a little bit worrisome that
18 it's only 26 weeks, and then the benefit, again, we
19 see it there. It's just nice to see it replicated
20 or maybe, like Dr. Burman said, how long, maybe one
21 year or something like that.

22 So I think it's really right on the fence in

1 terms of where is the benefit and risk ratio here,
2 for me. So I'll hear what other people have to say
3 and kind of make a determination.

4 DR. BURMAN: Dr. Newman?

5 DR. NEWMAN: I want to say that I agree with
6 what Dr. Yanovski said, and I don't think we have
7 sufficient data to actually know the benefit nor
8 sufficient data to know the risks of this therapy
9 in patients with type 1 diabetes.

10 DR. BURMAN: Thank you. Dr. de Lemos?

11 DR. DE LEMOS: James de Lemos. I would
12 agree with that, but having that second trial would
13 not provide enough evidence there. So the next set
14 of trials that are going to need to be done need to
15 be materially larger to get a precision around the
16 DKA signal. You can't do another 2[00]- or
17 400-person study of 2.5 milligrams and have any
18 sense of what the risk is in the real world about
19 DKA. So I agree with all that, but the next steps
20 have to be much more rigorous.

21 DR. BURMAN: Dr. Low Wang?

22 DR. LOW WANG: Cecilia Low Wang. I think

1 right now we just don't have enough information to
2 answer this question of benefit-to-risk ratio. I
3 think there are uncertainties about the benefits,
4 uncertainty about the risks. We don't really know
5 who would best benefit and how best to mitigate the
6 risk. So I would love to see a larger, longer
7 trial, as well as more information about
8 quality-of-life measures. Even though I know time
9 in range is not a validated marker, I would love to
10 see more of that as well.

11 DR. BURMAN: Thank you. Dr. Everett?

12 DR. EVERETT: Thanks. Brandon Everett. As
13 James mentioned, I think that the sponsor's really
14 trying to thread the needle here by finding a dose
15 that offers some degree of efficacy while
16 simultaneously minimizing the risk. I also agree
17 that the data are promising in the sense that I
18 think they've probably have found the right dose,
19 and with enough clinical data, enough evidence, and
20 enough patient-years of exposure, you're likely to
21 see that this hemoglobin A1c reduction is sustained
22 and consistent, and hopefully that the risk of DKA

1 and other ketosis outcomes is low.

2 I'm optimistic that that would be the case,
3 but I think at present, we have a benefit, that
4 while it seems to be small and is only based on
5 observations in a small number of patients and a
6 risk that is poorly characterized, unfortunately,
7 it can be potentially severe and, as we saw, lead
8 to death, and deserves to be better characterized.

9 I think with respect to managing that
10 benefit-to-risk ratio, I think the sponsor's plan
11 to market the drug separately, with a separate
12 label, as a separate dose, is really important. I
13 think that that's a key proposal that they've made
14 and should be maintained going forward because I
15 think that's important.

16 I also think that we're sort of living and
17 dying by the hemoglobin A1c here, and I would just,
18 without questioning the validity of that measure,
19 raise the possibility that there may be other
20 measures of efficacy that may be of interest to
21 patients with diabetes, and those should be
22 considered as potential secondary endpoints.

1 Coming from the world of type 2 diabetes and
2 cardiovascular outcomes trials, I would also
3 encourage the sponsor to think about other
4 endpoints in this high-risk population that might
5 be of particular interest such as nephropathy and
6 renal outcomes, where while maybe not the first
7 reason for approval, would be one that would be
8 quite persuasive if the results were similar or
9 parallel to what we've seen in patients with type 2
10 diabetes, albeit with a higher dose of the
11 medication.

12 DR. BURMAN: Thank you.
13 Ms. McCollister-Slipp?

14 MS. MCCOLLISTER-SLIPP: I have significant
15 concerns about the application. I think the size
16 of the patient population measured is borderline
17 insulting as a patient.

18 Having said that, I think that this is a
19 class of drugs that's currently being used by a lot
20 of people off label. We don't have a very good
21 sense of the risk of DKA in the general patient
22 population. We certainly don't have a very good

1 sense of the risk of DKA in people who are using it
2 off label because not that much DKA gets reported
3 to the adverse events, and a lot of it doesn't even
4 make its way into claims data. Even if we're
5 looking at Sentinel data, there's significant gaps
6 in it just because of the nature of that data and a
7 way this stuff gets reported.

8 So I think, from my perspective as a
9 patient/consumer, I think the real question that we
10 need to consider is do we want people to take this
11 class of medications without knowing what's
12 happening and without having any kind of rigorous
13 requirements on the part of the manufacturers to
14 study it, and to track it, and to take
15 responsibility for evolving the science. From my
16 perspective, I think I would rather have that
17 happen in a regulated environment where there is a
18 degree of responsibility.

19 Secondly, if you don't have an indication,
20 you don't get coverage. I take another drug,
21 Victoza. It's a type 2 drug. I get lots of
22 benefits in terms of glycemic variability. It is

1 really expensive, and because it is only indicated
2 for type 2 but still used by a lot of type 1's,
3 because of the indication for type 2, I was kicked
4 off of it, summarily, a few months ago by my
5 insurance company and had to engage in this really
6 big fight. So I ended up getting it, but I spent
7 six weeks with significant problems as a result.

8 My point is that we don't need the agency to
9 create a stratification on behalf of people who
10 can't afford and can fight battles with their
11 insurance company, whether it's inadvertent or not.
12 That is the reality of what happens when drugs do
13 and don't get approved when we know that people are
14 using them off label, is that some people who are
15 wealthy or educated enough get access to
16 medications that are paid for, and those who don't
17 have wealth, or education, or access to people who
18 can help lobby an insurance company, do not.

19 That's just the reality of living with this
20 disease. I know the agency is limited by statute
21 on what they can and can't decide on, and I
22 certainly respect that, but these decisions don't

1 happen in a vacuum, and there are broader
2 implications.

3 DR. BURMAN: Thank you. Dr. Kalyani?

4 DR. KALYANI: Hi. Rita Kalyani. In terms
5 of the overall benefit versus risk profile, in
6 terms of benefits, I think that the sponsor
7 demonstrated the A1c lowering of 0.26 or 0.3
8 percent within the 26-week trial and was
9 significant using all the different methodologies
10 that the FDA looked at as well. Whether it's a
11 durable decrease or not, beyond that, we can't
12 extrapolate. But I think that's a question that
13 we've already talked about.

14 There were secondary benefits in terms of
15 blood pressure, decrease in weight, decrease in
16 insulin dose, which for people with diabetes is
17 important. As mentioned earlier, what would have
18 been even more compelling as a benefit is if there
19 was a reduction in hypoglycemia as well.

20 In terms of the risks, I think we still have
21 uncertainty in terms of how significant this risk
22 of ketoacidosis is. What is a ketone-related

1 event? What is ketoacidosis? These may just be
2 semantics, but in the real-world setting, they can
3 really become significant.

4 We also have uncertainty in terms of the
5 implementation of the risk mitigation strategy.
6 The sponsor did a tremendous job in educating
7 participants in terms of ketone monitoring and
8 providers as well, but will this actually be
9 implementable in the real world, and will it
10 actually work?

11 So I think the question that we're faced
12 with today is there are clearly patients that are
13 self selected, that are motivated, that will be
14 monitoring their blood ketones for whom the benefit
15 versus risk may be favorable, but for the
16 population at large, I think we have to ask whether
17 this will really be the case for many people who
18 may not be as motivated to monitor their ketones
19 and considering the specificity of the risk.

20 DR. BURMAN: Thank you. My personal
21 comments sort of mirror what was said before, that
22 it is a very difficult question, as I mentioned,

1 comparing the trial to the real world. But on the
2 other hand, as was mentioned as well, the
3 medications, the SGLT2 inhibitors, are already on
4 the market and being used, I think we heard before
5 in many patients. I forget the exact number; it
6 might have been millions of patients. And there
7 are no guidelines for those used that are supported
8 by the FDA.

9 Is it better -- I raise just a theoretical
10 question -- to have something on the market that is
11 monitored and has a very strict REMS program, so
12 that the patients who are on it, number one, have
13 support with regard to getting the medication but
14 also are monitored in terms of very select
15 patients; and while maybe given less select
16 patients, it would have a higher risk? Those are
17 unanswerable questions. Everybody has to answer
18 for themselves. But I do agree there is an unmet
19 need.

20 Anybody have any further comments?

21 (No response.)

22 DR. BURMAN: Then let me try to summarize,

1 and this will be difficult and appreciate your
2 comments as well. We were asked to assess the
3 risk-benefit ratio. It seems to me that most of
4 the panel thinks the risks probably are a little
5 greater than the benefits, especially if it's
6 translated to the real-world setting.

7 However, it's recognized that there is a
8 need for such an agent, and further agents, in type
9 1 diabetes, and that the benefits probably are in
10 A1c as we've talked about earlier, as well as blood
11 pressure, both systolic and diastolic, although
12 that is somewhat controversial.

13 Everyone agrees longer studies are needed,
14 but it gets to the practical point, how long should
15 the studies be, and how many patients in the
16 meantime will be given the medication off label and
17 have consequences, when maybe if it was under a
18 stricter regimen and it was approved, it would be
19 less. That's up for everyone to decide for
20 themselves.

21 As my summary, please, all questions and
22 comments appreciated. Dr. de Lemos?

1 DR. DE LEMOS: No --

2 DR. BRITTAIN: I think you made the comment
3 that we thought that risk was greater than the
4 benefit, and I don't think that's what I heard. I
5 think what I have heard is there's just a lot of
6 uncertainty about the risk versus the benefit.

7 DR. BURMAN: That's fair. Thank you.

8 DR. DE LEMOS: James de Lemos. I would just
9 push back strongly against both points, about this
10 concept of approving a drug so that we can monitor
11 the use when we don't know that it's safe and
12 effective seems like a incredibly slippery slope,
13 and absolutely no would be my answer to that. Our
14 role, and the agency's role, is to make sure that
15 in the package that submitted, we have great
16 evidence that the risk-benefit is favorable. If we
17 don't have that, putting it on the market so that
18 we can learn more about it in a regulated way does
19 not seem like the right way to go.

20 DR. BURMAN: Thank you. I appreciate that.
21 Of course, it was raised for our discussion.

22 Anybody else have any other comments?

1 (No response.)

2 DR. BURMAN: Then, thank you. From the
3 FDA's standpoint, is there anything we haven't
4 mentioned that you wanted to talk further about?

5 DR. YANOFF: I can't think of anything, Dr
6 Burman.

7 DR. BURMAN: No problem. Thank you very
8 much.

9 DR. YANOFF: You guys have been very
10 thoughtful.

11 DR. BURMAN: Thank you.

12 Amazingly enough, we're a little bit ahead
13 of time, so I think we do have time for a break.
14 It's 3:30. We'll come back at 3:45 and address the
15 final question and voting question.

16 (Whereupon, at 3:27 p.m., a recess was
17 taken.)

18 DR. BURMAN: I'll call the meeting to order.
19 Thank you.

20 We will now proceed with the voting question
21 of the committee. I would like to remind public
22 observers that while this meeting is open for

1 public observation, no comments will be recognized.
2 We will be using an electronic voting system for
3 this meeting.

4 Once we begin the vote, the buttons will
5 start flashing and will continue to flash even
6 after you have entered your vote. Please press the
7 button firmly that corresponds to your vote. If
8 you're unsure of your vote or you wish to change
9 your vote, you may press the corresponding button
10 until the vote is closed.

11 After everyone has completed their vote, the
12 vote will be locked in. The vote will then be
13 displayed on the screen. The DFO will read the
14 vote from the screen into the record. Next, we
15 will go around the room, and each individual who
16 voted will state their name and vote into the
17 record. You can also state, and should also state,
18 the reason why you voted as you did. We will
19 continue in this same manner until all questions
20 have been answered or discussed.

21 If there are no specific questions, we will
22 read the question. Do the available data suggests

1 that the benefits outweigh the risks and support
2 approval of empagliflozin 2.5 milligrams
3 administered orally once a day, as an adjunct to
4 insulin to improve glycemic control on adults with
5 type 1 diabetes mellitus?

6 If yes, please explain your rationale and
7 comment on whether any additional studies should be
8 required after approval. If no, please describe
9 what further data you believe the applicant should
10 provide to establish a favorable benefit-risk
11 profile to support approval.

12 Are we ready to vote? Any questions about
13 the voting process?

14 (No response.)

15 DR. BURMAN: No? Then I think we should
16 proceed with the vote. It keeps flashing.

17 (Voting.)

18 DR. BURMAN: Has everyone completed voting?

19 LCDR BONNER: For the record, 2 yes; 14 no;
20 zero abstain.

21 DR. BURMAN: Thank you. Now that the vote
22 is complete, we'll go around the table and have

1 everyone who voted state their name, their vote,
2 and if you want to, you can state the reasons why
3 you voted as you did into the record. Dr.
4 Chrischilles??

5 DR. CHRISCHILLES: Yes. Elizabeth
6 Chrischilles. I voted no, and my reason was
7 predominantly related to lack of sufficient
8 evidence around efficacy.

9 DR. YANOVSKI: Jack Yanovski. I voted no
10 because I think the data, unfortunately, are not
11 quite adequate to support evidence for safety or
12 efficacy. I think the trial that needs to be done
13 has been well described. There needs to be a
14 sufficient number of participants for long enough;
15 that a better estimate of both benefit and risk
16 over at least a year needs to be determined.
17 Additional outcomes that might help the likelihood
18 of this medicine being found beneficial would
19 include outcomes related to kidney health and
20 perhaps other aspects of diabetic type 1 disorder.
21 I also think that there needs to be more
22 study of the subgroups whom might best benefit to

1 determine whether there is a subgroup that could be
2 approved with great alacrity for long-term therapy,
3 and there also needs to be more investigation of
4 how to build an adequate risk evaluation and
5 mitigation strategy for medications like the SGLT2
6 inhibitors in general; in other words, how do we
7 assure adequate testing with ketone monitoring, as
8 well as for glycemia, in order to do our best to
9 minimize the risks from such medications.

10 Lastly, in terms of the case definitions,
11 we've had a lot of discussion about how specific
12 the categories should or should not be when
13 assessing safety, and I think we should accept the
14 fact that these medications, as a class, are likely
15 to have risk related to a generation of ketones,
16 and therefore it would be best to be most inclusive
17 and maybe even considering categories like aborted
18 DKA, or illness addressed early on may be very
19 important to know, and then discover how best to
20 manage those using patient data.

21 DR. BURMAN: Thank you. Dr. Kalyani?

22 DR. KALYANI: Rita Kalyani. While there's

1 an acknowledged unmet need for people with type 1
2 diabetes to have new and innovative treatments, as
3 we've heard about today, as an endocrinologist, I
4 share that excitement and enthusiasm for new
5 therapies for people with type 1 diabetes. The
6 safety balanced with the benefit, primarily
7 efficacy, for any new treatment for the broader
8 population has to be concerned and not just those
9 who are truly self-selected.

10 The evidence presented today, primarily
11 EASE-3, which was one randomized control trial,
12 raised uncertainty regarding the adjudication of
13 ketoacidosis and the reliability of those
14 categories. As we've already discussed, there were
15 many more ketone-related events when the combined
16 broader category was considered in empagliflozin
17 2.5 versus placebo. To me as a healthcare
18 provider, I wonder what the clinical significance
19 of this will be in real-world settings, where
20 patients won't be as closely monitored or checking
21 blood ketones probably as often.

22 The A1c reduction of 0.3 percent was

1 significant. There was uncertainty regarding the
2 durability, and I think that there needs to be
3 longer term studies to demonstrate greater
4 certainty both for the benefit and also for the
5 safety of this dose of empagliflozin.

6 DR. BURMAN: Thank you.

7 DR. NASON: My -- sorry.

8 DR. BURMAN: Excuse me. Dr. Nason?

9 DR. NASON: My name is Martha Nason. I
10 voted no. The question says do the available data
11 suggest that the benefits outweigh the risks, and
12 I'd say yes to this, but suggest is not enough for
13 approval. It's enough for optimism. It's enough
14 for enthusiasm for the next study. I hope it will
15 be enough that you will have an easy time enrolling
16 in a further study, especially given the unmet need
17 and the amount and danger of the off-label use.
18 That seems like a crucial step.

19 As others have said, this just comes down,
20 to me, to not enough largely safety data. The fact
21 that all the confidence intervals for ketone
22 events, or for even DKA, go up to a hazard ratio of

1 3 or 4, that's too high a risk, still, within the
2 realm of possibility, to me. I think from a safety
3 point of view, we need longer term data and more
4 diversity.

5 Also, from an efficacy point of view, this
6 would benefit that longer term study, durability,
7 replication, and hopefully continuous glucose
8 monitoring, even though I do think it looks like
9 there was a significant effect on week 26 Alc.

10 One more quick point about the case
11 definition that, as I've mentioned, we've talked
12 about a lot. I agree that there should be a wide
13 net cast for that, but one comment I want to make,
14 because I don't think it's come up, is that it also
15 does still need to have some sort of specific
16 algorithm for how it's going to be defined because,
17 as the sponsor pointed out, it is important that
18 the case and the adjudication be blinded, but
19 sometimes there can be things that can unblind in
20 maybe other side effects of the drug or something
21 like that.

22 So I would just want to see that even as the

1 case definition or the criteria for going to
2 adjudication is broadened, it's still, as much as
3 possible, clear, algorithmically, who's going to be
4 considered a case or who's going to be sent for
5 adjudication in order that no biases sneak in.
6 There might be a suggestion that somebody's glucose
7 was still lower, even though everything else went
8 up, so maybe they could be on the drug, for
9 instance. So that's just an aside that I don't
10 think came up.

11 MS. McCOLLISTER-SLIPP: I voted yes.

12 DR. BURMAN: Please state your name.

13 MS. McCOLLISTER-SLIPP: Oh, I'm sorry.

14 DR. BURMAN: No problem.

15 MS. McCOLLISTER-SLIPP: Anna McCollister,
16 consumer representative. I voted yes simply
17 because I think that the data did suggest that the
18 benefit was commensurate with other studies that
19 we've seen in other classes. The study population
20 was low, and I think that was unfortunate. And as
21 a result, I'm not exactly heartbroken over the fact
22 that the committee vote went the way that it did

1 because I think we do need to hold sponsors to a
2 high standard for the data they submit.

3 Having said that, I do think we need to have
4 these discussions with a very sober understanding
5 of the real risks that are currently present
6 amongst patients with the current therapies
7 available. We live with risks. You hear all the
8 time about patients dying; not because of this
9 study drug or other study drugs, but because of the
10 inadequacy of treatments. We need to press for
11 more treatment options, and I think patients are
12 willing to take a risk and mitigate against that
13 risk if there is a clinical benefit that they find
14 meaningful.

15 I do hope this gives the agency the ability
16 to -- and as a patient, I would like to challenge
17 the diabetes research community to do whatever it
18 is we need to do to validate time in range, or come
19 up with other measures that are validated, whatever
20 that level of evidence needs to be. We have to do
21 that. We've got so much evidence that A1c is
22 inadequate for actually assessing benefit that's

1 meaningful to patients.

2 I also hope that this can be a challenge to
3 the diabetes research community to do more work to
4 understand diabetic ketoacidosis. This seems to be
5 way understudied and very poorly understood.

6 MS. LELLOCK: Carling Lellock, patient
7 representative. I voted no because while there is
8 a risk, and as a patient, I'm willing to take
9 risks, and I think a lot of us are, I would
10 potentially like to see a bigger benefit, so if we
11 could have a longer study to see if the benefit is
12 longstanding. As well, as some of those other
13 secondary endpoints that I think are more important
14 to me as a patient, I do appreciate that there is
15 that need. I need it as well. So that's the
16 reason why I voted no. I think there needs to be
17 some more to it.

18 DR. WEBER: Tom Weber. I clearly recognize
19 the need for additional non-insulin --

20 DR. BURMAN: Dr. Weber, excuse me. Could
21 you, for the record, say how you voted?

22 DR. WEBER: Oh, I'm sorry. Tom Weber. I

1 voted no. I just want to say I clearly recognize
2 the unmet need for additional non-insulin,
3 glucose-lowering therapies that limit the risk of
4 hypoglycemia and actually may facilitate weight
5 loss and improve blood pressure control. There's
6 also a need to address and improve patient-related
7 outcomes that are increasingly recognized as
8 clinically important, such as time and target.

9 That said, I don't feel that the data
10 presented today on empagliflozin 2.5 milligrams
11 were acceptable from a risk-benefit profile to
12 qualify for approval by the FDA. I do feel the
13 data is very promising and would recommend a more
14 robust assessment of efficacy and safety,
15 specifically at least a two-year clinical trial
16 adequately powered, and adequate to establish
17 efficacy in hemoglobin A1c, and also gather
18 adequate patient-year exposure to more definitively
19 and acceptably characterize the risk of diabetic
20 ketoacidosis.

21 DR. NEWMAN: Connie Newman. I voted no. I
22 feel, as many here do, or probably all of us, that

1 there is an unmet need for additional safe and
2 effective treatment for people with type 1
3 diabetes. However, the data available to us and
4 the data that are available for empagliflozin 2.5
5 milligrams are not sufficient to adequately
6 characterize the benefit and the risk.

7 More data are needed, and I believe that
8 there should be at least one additional clinical
9 randomized-controlled trial of at least one year
10 duration, with a consideration to including
11 microvascular outcomes and also a consideration to
12 extending the trial beyond one year, perhaps in an
13 open-label fashion so we can get more data about
14 safety.

15 Also, I think it would be very helpful to
16 revise the adjudication strategy so that it is more
17 sensitive, and also it would be helpful to test the
18 risk mitigation strategy in a clinical trial.

19 DR. EVERETT: Brandon Everett. I voted no.
20 I think, as we've all discussed -- and I don't want
21 to rehash our discussion from the past couple
22 hours -- there's a substantial unmet medical need

1 here. So I think, compared to perhaps some other
2 conditions, with respect to efficacy, there's a
3 lower bar in terms of what we might accept.

4 In that regard, I think I'm willing to
5 accept that a real hemoglobin A1c of 0.3 percent is
6 of clinically meaningful benefit to patients with
7 type 1 diabetes, but I don't feel like that has
8 been adequately characterized with the small number
9 of patients who were tested on the dose that's at
10 issue today, the 2 and a half milligrams per day.

11 I think given that our recommendation is to
12 do another study that is larger and of longer
13 duration, that affords the opportunity to
14 prespecify some secondary endpoints and to reserve
15 alpha for those, including important ones such as
16 weight reduction and blood pressure reduction, but
17 also others that may be important to patients with
18 diabetes, including episodes of hypoglycemia and
19 also time in the therapeutic range. Those, of
20 course, would have to be determined a priori
21 probably with help from the agency.

22 With respect to what data I would need to

1 actually approve this, it would be more
2 patient-years of exposure, both for the efficacy,
3 as I mentioned, but, in particular, for the risks
4 and for the risks of diabetic ketoacidosis. I
5 think, in addition, strategies to mitigate that
6 risk are appropriate and should be included as they
7 have been today, but consideration of what that
8 might mean, whether it's with your prescription,
9 you get a point-of-care ketone monitoring device or
10 something along those lines.

11 Finally, I think it's important to emphasize
12 that we owe it to patients with type 1 diabetes to
13 do this right and to provide sufficient evidence to
14 know that we're offering them a benefit and to well
15 characterize the risks.

16 I think doing a study with 240 patients on
17 active therapy probably doesn't quite meet that bar
18 in terms of respecting the breadth and severity of
19 illness of the patient population that we're hoping
20 to address with this therapy and that
21 characterizes that patient population. So I think,
22 out of respect for them, I voted no and feel that a

1 larger study of longer duration is appropriate.

2 DR. MUNIR: Kashif Munir. I voted yes, and
3 I knew I was going to be in the significant
4 minority on this. Like I said, I was kind torn
5 both ways. I think at the end of the day, I agree,
6 it would have been nice to see more data and a
7 longer trial, but I feel like the data were what I
8 expected them to be. I felt like the DKA risk
9 would be there, it's real, but it would be less
10 than the higher doses, and I felt like the efficacy
11 would be less than the higher doses, and that's
12 exactly what we saw.

13 So I didn't feel like this trial was a
14 surprise. Even though it was one trial and maybe
15 not as big as we wanted or as long as we wanted, I
16 didn't feel like it was really anything unexpected
17 or anything that I wouldn't have predicted to see.
18 So I feel like, with that being said, there is
19 definitely a role for these medications -- I've
20 used them myself -- in people with type 1 diabetes.
21 I feel like, really, using a lower dose,
22 sacrificing some efficacy but really helping on the

1 safety end of things, is a wise way to go. Some of
2 us do use existing medications and have people cut
3 them as they're using them now as well.

4 So that was mainly the reason I felt like
5 there was enough, even though it was borderline,
6 but enough there to give it a yes vote.

7 DR. BURMAN: Ken Burman. I voted no. As
8 always, it is a very difficult decision to balance
9 the benefits and risks. My opinion as an
10 endocrinologist is that the A1c decrement was mild
11 or slight, but statistically significant and
12 probably clinically significant, and borne out by
13 other previous studies of drugs that are already
14 approved with a small 0.3 or so percent, a decrease
15 in A1c.

16 I think the effects beneficially on blood
17 pressure, both systolic and possibly diastolic,
18 were real, and the effects on weight were real as
19 well. But on the other hand, as an
20 endocrinologist, of course we are worried about the
21 increased risk of diabetic ketoacidosis, as we've
22 seen earlier with a mortality rate -- I think it

1 was quoted as something like 0.4 percent -- and a
2 high morbidity rate as well.

3 I think there was an increased risk of
4 diabetic ketoacidosis in this trial that probably
5 would be magnified in the real world. Comparing
6 the real world to the trial, of course, the
7 patients were more compliant in the trial. They
8 measured ketones more frequently. Many were on
9 CGM, and they had education that was meticulous,
10 that could be given in the real-world setting as
11 well.

12 It then comes down to risk versus benefit,
13 and to me it was a slight increased risk compared
14 to the benefit. It's relevant, of course, to have
15 a longer term study in a real-world setting, and
16 the question is, could this be performed before
17 approval or after approval?

18 I certainly understand it would emphasize
19 the practical issues of having this study performed
20 prior to approval in terms of time and how long it
21 should be, and probably it should be for a year if
22 we were going to do it with some of the outcomes

1 that were noted, and specifically more attention,
2 if possible, to diabetes and kidney DKA. Thank
3 you.

4 DR. PAI: Majunath Pai. I voted no,
5 primarily because I felt like the effect was not
6 durable in terms of benefit. I think a key feature
7 for the study design was, again, the dose
8 selection. This idea that dose equals exposure is
9 not exactly correct. So I think the dose
10 justification, which was based on urinary glucose
11 excretion, how that translates to hemoglobin A1c or
12 DKA risk is not really clear to me.

13 So this idea that one dose would fit all may
14 not be true in this population, such that for a
15 future trial design, if someone is at 2.5
16 milligrams, there may be a subpopulation that needs
17 5 milligrams or a population that needs less. So I
18 think there needs to be a lot more work done to
19 justify the dose. In terms of safety, I think,
20 clearly, longer patient exposure and a larger study
21 sample size is going to be very important to answer
22 that question.

1 DR. LOW WANG: Cecilia Low Wang. I voted
2 no. As an endocrinologist and diabetologist, a
3 significant proportion of patients that I see, both
4 in the clinic setting as well as in the hospital,
5 have type 1 diabetes. So I was really excited when
6 I saw the topic of today's meeting, that I might be
7 able to recommend approval for a new drug for type
8 1 diabetes because there's such significant unmet
9 need. But unfortunately, I do think that, of
10 course, when the FDA approves a drug means that
11 they feel it's safe and it's supported by
12 significant and adequate safety data, and in this
13 case, we haven't seen that.

14 So as others have said, I think we need a
15 larger, longer randomized-controlled trial on the
16 2.5-milligram dose. I think we do have some
17 evidence that that is the dose to go with, but I
18 think we need to see demonstrated reproducibility
19 and durability of the benefits both on A1c
20 reduction and possibly other benefits as well,
21 maybe decreased microvascular complications. We
22 need to see more accumulated safety data.

1 I would love to see testing of the DKA risk
2 mitigation strategies. We don't even know how
3 often to test for ketones. And as mentioned
4 before, I think we need to see a broader definition
5 of the positively adjudicated DKA cases or ketosis
6 cases. And lastly, I would also like to see some
7 of this information about subgroup benefits fleshed
8 out.

9 DR. DE LEMOS: James de Lemos. I also voted
10 no. It was, actually, a fairly clear decision for
11 me, not because I don't think the drug is
12 potentially approvable in the future, but because I
13 just don't have a sense of whether this class of
14 agents is safe, even at low doses in this patient
15 population. Giving a drug that can cause DKA to
16 patients with type 1 diabetes makes me nervous
17 intrinsically, and the database here is not
18 adequate to ensure safety, and nor would a second
19 trial of this size.

20 I think that the next study that needs to be
21 done has to focus on safety with regard to DKA and
22 has to be large enough to do that. It's highly

1 likely that the Alc findings will replicate; it's a
2 continuous measure. I'd be very surprised if that
3 doesn't, but we need a trial large enough to get a
4 precise signal about DKA rates, and that trial
5 might even include randomization to different risk
6 mitigation strategies, including a higher and lower
7 intensity one that would be more likely reflective
8 of what happens when the drug rolls out into
9 clinical practice.

10 I'd suggest that that trial would have to be
11 at least several thousand individuals but would not
12 need to randomize 1 to 1. You could have a strong
13 excess of patients randomized to the low dose
14 empagliflozin because the real question is the
15 precision around the estimate of DKA in the
16 treatment group and, really, a hard estimate of
17 what that number might be so that individuals can
18 decide whether this drug is approvable.

19 Without that, I don't think I will -- even
20 if this came back with a second study, without
21 adequate confidence that the DKA signal has not
22 materially increased, I would not be comfortable

1 approving it.

2 DR. BRITTAIN: Erica Brittain. I voted no.
3 I remain optimistic that the regimen will
4 ultimately prove to be useful. There wasn't
5 anything very worrisome about the results of the
6 study, but just that a one 6-month study was
7 insufficient to assess the trade-off between
8 benefit and risk.

9 In terms of designing the next trial, it
10 seems like someone has to decide what's an
11 acceptable upper bound for the relative risk of
12 DKA, given the benefit of 0.25 and the hemoglobin
13 A1c. Someone has to make -- or not someone. Some
14 group has to decide what that is, and then that
15 will naturally be through a study design. I also
16 agree it will help the ability to conduct this
17 study to have a broader definition of DKA, so it's
18 not such a rare event.

19 DR. BLAHA: Mike Blaha. I also voted no in
20 this case. I struggle a lot with the perceived
21 imbalance, in my view, of the outcomes here, of A1c
22 on the efficacy side, which is a lab measure, but

1 certainly over the long term has benefit on
2 microvascular, perhaps macrovascular, outcomes, and
3 then a DKA, which has immediate effects on the
4 patient that can be fatal. So balancing those is
5 difficult, especially in light of a very small
6 margin of efficacy.

7 Of course, like I said before, and everyone
8 has said, in balancing those, it becomes much more
9 complicated with the very limited safety database
10 and with very poor precision, in my view, of the
11 point estimate of risk of DKA.

12 I personally think that a low-dose SGLT2
13 inhibitor strategy is promising. I actually don't
14 have a lot of questions about efficacy. I tend to
15 agree that the A1c benefit will probably be
16 sustained, although small, especially if low doses
17 are used. So therefore, I'd encourage the sponsor
18 to do another large study as was mentioned, but
19 this should be a safety study. It's different than
20 what's been presented to us so far.

21 The primary outcome here would be what's
22 called broad DKA, and we have to think carefully

1 about what that means. But it would be a safety
2 study like we're used to seeing and that we've
3 required for other classes of drugs. We have to
4 prove this is safe. That's the outstanding
5 question; and certainly data on A1c, blood
6 pressure, and weight loss would be good.

7 I would just say that I think this is really
8 worth the investment. I guess from the company's
9 point of view, I would certainly think that was
10 worth the investment. But also for the biology and
11 understanding this disease, it would be extremely
12 worth the investment. I think it would teach us a
13 lot about type 1 diabetes, DKA, as people have
14 mentioned, and I think it would teach us a lot
15 about SGLT2 inhibitors, but also just the concept
16 of adding on therapies for insulin reduction in
17 type 1 diabetes where there seems to be a large gap
18 in knowledge.

19 So I think that would be extremely
20 worthwhile to do. I think if one were to determine
21 the safety margin that would be reasonable would be
22 and what a DKA definition would be, the trial could

1 then be designed.

2 DR. BURMAN: Thank you. Before we adjourn,
3 are there any further comments from the FDA?

4 DR. YANOFF: Well, I just want to thank the
5 applicant for all their hard work on behalf of
6 patients with type 1 one diabetes; and the
7 committee for their thoughtful discussion; and the
8 open public hearing speakers, their helpful
9 comments.

10 Just to reiterate what I said this morning,
11 we do recognize the unmet need, and FDA is
12 dedicated to helping to facilitate the development
13 of new therapies for patients with type 1 diabetes
14 that are safe and effective. We are open to
15 development of novel endpoints for diabetes that
16 are important to patients beyond Alc, but more work
17 needs to be done there. We look forward to
18 continuing our work on behalf of all the
19 stakeholders, so thank you very much.

20 **Adjournment**

21 DR. BURMAN: Thank you. I'd like to thank
22 all of the members for their diligence and

1 appropriate comments; the sponsor for a very nice
2 presentation; the FDA for their presentation as
3 well; and of course the OPH members and speakers.

4 With that, let's adjourn the meeting.

5 (Whereupon, at 4:15 p.m., the meeting was
6 adjourned.)

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