

May 22, 2019

**EXEMPT** 

Santa Fe Natural Tobacco Company, Inc. ATTENTION: Michael W. Ogden, Ph.D. Senior Vice President, Scientific & Regulatory Affairs RAI Services Company 401 North Main Street Winston-Salem, NC 27101

FDA Submission Tracking Number (STN): EX0000490-EX0000498

Dear Dr. Ogden:

The Food and Drug Administration (FDA) completed review of your Requests for Exemption from Substantial Equivalence (EX REQs), submitted under section 905(j)(3) of the Federal Food, Drug, and Cosmetic Act (FD&C Act), for the tobacco products specified in Appendix A.

Based on our review of your EX REQs, we find the new tobacco products specified in Appendix A are exempt from the requirements of section 905(j) of the FD&C Act relating to the demonstration that the tobacco products are substantially equivalent (see section 905(j)(3)(A) of the FD&C Act).

To market each of the new tobacco products that are the subject of these EX REQs, the following must be met:

- 1. Submit a report under section 905(j)(1) (Abbreviated Report) that includes the information required in sections 905(j)(1)(A)(ii) and 905(j)(1)(B); and
- 2. Ninety days have passed since FDA <u>receipt</u> of your Abbreviated Report.

See Appendix B for FDA's recommended format for the submission of an Abbreviated Report.

In accordance with 40 CFR 1506.6, we will make your environmental assessment publicly available.

Our finding does not mean FDA "approved" the new tobacco products specified in Appendix A; therefore, you may not promote or in any way represent the modified tobacco products specified in Appendix A, or their labeling, as being "approved" by FDA. See Section 301(tt) of the FD&C Act. These orders are subject to reconsideration and rescission to the extent authorized by law. See 21 CFR 1107.1(d).

We remind you that all regulated tobacco products, including the new tobacco products specified in Appendix A, are subject to the requirements of Chapter IX of the FD&C Act and its regulations. These requirements currently include, but are not limited to, annual registration, listing of products, listing of ingredients, reporting of harmful and potentially harmful constituents, and payment of user fees. There are also labeling and advertising requirements with which you must comply. It is your responsibility to ensure the tobacco products specified in Appendix A comply with all applicable statutory and regulatory requirements, including those which may be forthcoming. FDA will monitor your compliance with these applicable statutes and regulations.

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For more information on your responsibilities under the FD&C Act, we encourage you to visit our website at <a href="http://www.fda.gov/TobaccoProducts">http://www.fda.gov/TobaccoProducts</a>. You may also obtain information by contacting FDA's Center for Tobacco Products at 1-877-CTP-1373, <a href="https://www.fda.gov/TobaccoProducts">AskCTP@fda.hhs.gov</a>, or <a href="mailbliz.Tobacco@fda.hhs.gov">SmallBiz.Tobacco@fda.hhs.gov</a>.

We encourage you to submit all regulatory correspondence electronically via the CTP Portal <sup>1,2</sup> using eSubmitter<sup>3</sup> (<a href="http://www.fda.gov/ForIndustry/FDAeSubmitter">http://www.fda.gov/ForIndustry/FDAeSubmitter</a>). Alternatively, submissions may be mailed to:

Food and Drug Administration Center for Tobacco Products Document Control Center (DCC) Building 71, Room G335 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

The CTP Portal and the FDA Electronic Submission Gateway (ESG) are generally available 24 hours a day, seven days a week; if the upload is successful, submissions are considered received by DCC on the day of upload. Submissions delivered to DCC by courier or physical mail will be considered timely if received during delivery hours on or before the due date 4; if the due date falls on a weekend or holiday the delivery must be received on or before the preceding business day. We are unable to accept regulatory submissions by e-mail.

If you have any questions, you may contact Jennifer Schmitz, M.P.H., Regulatory Health Project Manager, at (240) 402-5892 or Jennifer.Schmitz@fda.hhs.gov.

Sincerely,

Digitally signed by Matthew R. Holman -S Date: 2019.05.22 12:59:42 -04'00'

Matthew R. Holman, Ph.D. Director Office of Science Center for Tobacco Products

Enclosures – Appendix A: List of New Tobacco Products Subject of This Letter

Appendix B: FDA's Recommended Format of the Submission of an Abbreviated Report

http://www.fda.gov/TobaccoProducts/GuidanceComplianceRegulatoryInformation/Manufacturing/ucm515047.htm

<sup>&</sup>lt;sup>1</sup> For more information about CTP Portal, see:

<sup>&</sup>lt;sup>2</sup> FDA's Electronic Submission Gateway (ESG) is still available as an alternative to the CTP Portal.

<sup>&</sup>lt;sup>3</sup> For more information about eSubmitter, see: <a href="http://www.fda.gov/ForIndustry/FDAeSubmitter">http://www.fda.gov/ForIndustry/FDAeSubmitter</a>

<sup>&</sup>lt;sup>4</sup> See <a href="https://www.fda.gov/tobacco-products/about-center-tobacco-products-ctp/contact-ctp">https://www.fda.gov/tobacco-products/about-center-tobacco-products-ctp/contact-ctp</a>

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#### Appendix A

List of new tobacco products that FDA has found exempt from the requirements of section 905(j) of the FD&C Act relating to the demonstration that the tobacco products are substantially equivalent

Common Attributes of EX REQs

Date of Submission: March 15, 2019 Date of Receipt: March 15, 2019

**Product Manufacturer:** Santa Fe Natural Tobacco Company, Inc.

**Product Category:** Cigarettes

**Product Sub-Category:** Combusted, Filtered

**New Tobacco Product Specific Attributes** 

**Submission Tracking Number** EX0000490

Product Name:5 Natural American Spirit 100% US Grown Tobacco Mellow Taste

Package Type:

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm Ventilation: 40% **Characterizing Flavor:** None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

Addition of filter seam adhesive

**Original Tobacco Product Specific Attributes** 

Product Name: 5 Natural American Spirit 100% US Grown Tobacco Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes Length: 84 mm 7.9 mm Diameter: Ventilation: 40% **Characterizing Flavor:** None

**Eligibility Status:** Previously Found SE Under SE0000118

**New Tobacco Product Specific Attributes** 

**Submission Tracking Number** EX0000491

Product Name:5 Natural American Spirit Full-Bodied Taste

Package Type: Box

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm Ventilation: 20% None Characterizing Flavor:

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

<sup>&</sup>lt;sup>5</sup> Brand/sub-brand or other commercial name used in commercial distribution.

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**Original Tobacco Product Specific Attributes** 

Product Name:5 Natural American Spirit Full-Bodied Taste

Package Type:

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm

Ventilation: 20% **Characterizing Flavor:** None

**Eligibility Status:** Previously Found SE Under SE0006276

**New Tobacco Product Specific Attributes** 

**Submission Tracking Number** EX0000492

Product Name<sup>5</sup> Natural American Spirit Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm Ventilation: 35% **Characterizing Flavor:** None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive Deletion of filter seam adhesive

Addition of filter seam adhesive

**Original Tobacco Product Specific Attributes** 

Product Name:5 Natural American Spirit Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm Ventilation: 35% Characterizing Flavor: None

**Eligibility Status:** Previously Found SE Under SE0006280

**New Tobacco Product Specific Attributes** 

**Submission Tracking Number** EX0000493

Product Name:5 Natural American Spirit Made with Organic Tobacco Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes Length: 84 mm Diameter: 7.9 mm 54% Ventilation: **Characterizing Flavor:** None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

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**Original Tobacco Product Specific Attributes** 

Product Name: 5 Natural American Spirit Made with Organic Tobacco Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 54%

Eligibility Status: Previously Found SE Under SE0006279

None

**New Tobacco Product Specific Attributes** 

**Characterizing Flavor:** 

Submission Tracking Number EX0000494

Product Name:<sup>5</sup> Natural American Spirit Made with Organic Tobacco Full-Bodied Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 32%
Characterizing Flavor: None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

Addition of filter seam adhesive

**Original Tobacco Product Specific Attributes** 

Product Name: Natural American Spirit Made with Organic Tobacco Full-Bodied Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 32%
Characterizing Flavor: None

Eligibility Status: Previously Found SE Under SE0006278

**New Tobacco Product Specific Attributes** 

Submission Tracking Number EX0000495

Product Name:<sup>5</sup> Natural American Spirit Smooth Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 60%
Characterizing Flavor: None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

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**Original Tobacco Product Specific Attributes** 

Product Name:<sup>5</sup> Natural American Spirit Smooth Mellow Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 60%

Characterizing Flavor: None

Eligibility Status: Previously Found SE Under SE0006289

**New Tobacco Product Specific Attributes** 

Submission Tracking Number EX0000496

Product Name:<sup>5</sup> Natural American Spirit Perique Blend Rich Robust Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 21%
Characterizing Flavor: None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

Addition of filter seam adhesive

**Original Tobacco Product Specific Attributes** 

Product Name:<sup>5</sup> Natural American Spirit Perique Blend Rich Robust Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 21%
Characterizing Flavor: None

Eligibility Status: Previously Found SE Under SE0006288

**New Tobacco Product Specific Attributes** 

Submission Tracking Number EX0000497

Product Name:<sup>5</sup> Natural American Spirit Perique Blend Rich Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 45%
Characterizing Flavor: None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

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## **Original Tobacco Product Specific Attributes**

Product Name:<sup>5</sup> Natural American Spirit Perique Blend Rich Taste

Package Type: Box

Package Quantity: 20 Cigarettes

Length: 84 mm
Diameter: 7.9 mm
Ventilation: 45%
Characterizing Flavor: None

Eligibility Status: Previously Found SE Under SE0000116

## **New Tobacco Product Specific Attributes**

Submission Tracking Number EX0000498

Product Name:<sup>5</sup> Natural American Spirit 100% US Grown Tobacco Full-Bodied Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 25%
Characterizing Flavor: None

Modifications: Addition/Deletion of tobacco additives:

Deletion of filter center line adhesive

Addition of filter center line adhesive

Deletion of filter seam adhesive

Addition of filter seam adhesive

## **Original Tobacco Product Specific Attributes**

Product Name:<sup>5</sup> Natural American Spirit 100% US Grown Tobacco Full-Bodied Taste

Package Type: Box

Package Quantity: 20 Cigarettes
Length: 84 mm
Diameter: 7.9 mm
Ventilation: 25%

Characterizing Flavor: None

Eligibility Status: Previously Found SE Under SE0000117

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# Appendix B FDA's Recommended Format of the Submission of an Abbreviated Report

Mock-up Tobacco Company

April 3, 2015

US Department of Health and Human Services Food and Drug Administration Center for Tobacco Products Document Control Center Building 71, Room G335 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

**RE: Abbreviated Report** 

To Whom It May Concern:

Mock-Up Tobacco Company provides this Abbreviated Report at least 90 days prior to the introduction or delivery for introduction into interstate commerce for commercial distribution of the new product, Cigarette Brand A. We submitted an Exemption Request (EX0000XXX) under section 905(j)(3) for the new product on February 1, 2015, and received a found exempt order from FDA on March 20, 2015.

I, John Doe, on behalf of Mock-Up Tobacco Company, certify that Cigarette Brand A is modified within the meaning of section 905(j)(3), the modifications are to a product that is commercially marketed and in compliance with the requirements of the Federal Food, Drug, and Cosmetic Act, all the modifications are covered by exemptions granted by the Secretary pursuant to section 905(j)(3), and I have taken actions to comply with the requirements under section 907 that are applicable to the product. I certify that this information is true and correct, and that I am authorized to submit this on the company's behalf. I understand that under section 1001 of title 18 of the United States Code, anyone who knowingly and willfully makes a materially false, fictitious, or fraudulent statement to the Government of the United States is subject to criminal penalties.

Sincerely,
John Doe
Vice President
Mock-Up Tobacco Company