		Page 1
1	F	OOD AND DRUG ADMINISTRATION
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3	FDA GENETIC TO	XICOLOGY WORKSHOP
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5	How many doses	of an Ames-positive/Mutagenic
6	(DNA Reactive)	Drug can be safely administered
7	to Healthy Sub	jects?
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9	PRE	SENTATIONS & PANEL DISCUSSION
10	DATE:	Monday, November 4, 2019
11	TIME:	8:30 a.m.
12	LOCATION:	FDA White Oak Campus
13		Building 2 (CSU), Room 2031
14		10903 New Hampshire Avenue
15		Silver Spring, MD 20993
16	REPORTED BY:	KeVon Congo, Notary Public
17	JOB No.:	3418719
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	Page 2	
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5	Dr. Bob Dorsam, CDER, Office of Generics, FDA	
6	Dr. Dayton Petibone, NCTR, FDA	
7	Dr. Jennifer Shemansky, NCTR, FDA	
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9	Dr. Kenny Crump, Louisiana Tech University	
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14	Dr. Douglas Brash, Yale University	
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1 DR. TIMOTHY ROBISON: Good morning. 2 This is the Genetic Toxicology Workshop. I just have 3 a few sort of general announcements. 4 Just to note that this is a public workshop. Both members of the public and FDA staff 5 are present. So no proprietary information should be 6 7 discussed. The workshop is being recorded and a 8 transcriptionist is present to transcribe everything 9 10 that is said. 11 The workshop serves as an educational 12 event for FDA staff and the public. Further, it's a 13 forum to seek advice from an expert panel on several 14 questions. We have a panel discussion in the 15 afternoon. There will be open question periods during the meeting, both FDA staff as well as the public are 16 17 invited to ask questions. 18 I would just like to extend thank-you's 19 to the Genetic Toxicology Subcommittee, the National Center for Toxological Research, the Office of Generic 20 2.1 Drugs, and Division of Hematology, Oncology, and 2.2 Toxicology, the Pharm-Tox Coordinating Committee, and

	Page 4
1	Pharm-Tox Coordinating Committee Educational
2	Subcommittee, who all helped with this workshop.
3	The overarching question of this
4	workshop is how many doses of an Ames-positive,
5	mutagenic, or DNA-reactive drug can be safely
6	administered in healthy subjects.
7	Healthy subjects are commonly enrolled
8	in human Phase I clinical trials of new drug
9	candidates under an IMD. So these studies are
10	typically short. They can range from two days to a
11	few weeks. The treatment may be continuous or
12	intermittent. And since intermittent, there could be
13	a washout period between each dose. Typically this
14	washout period might be up to five half-lives.
15	Just to note as an important
16	consideration is that these healthy subjects received
17	no benefit and are potentially exposed to significant
18	health risks in the proposed trial. Patients will be
19	enrolled in longer Phase 2 and Phase 3 clinical
20	trials. Our focus is primarily on Phase 1 where
21	healthy subjects are enrolled.
22	Advantages of conducting trials in

healthy subjects include investigations of
pharmacokinetics, bioavailability, and the absence of
other potentially confounding drugs, data not
confounded by disease, identification of maximum
tolerated dose, reduction in patient exposure to
ineffective drugs or doses, and rapid accrual into a
study.

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Just from personal experience, there are a number of disease indications where patients are fully subscribed and it's very difficult to find -- it's a very slow process to find patients with -- in some ways it's much easier to recruit healthy subjects.

Our new IND, the supporting

(indiscernible) receive a fairly extensive non
clinical data package that lists the studies here.

I'd like to focus on the toxicology studies, which

typically range in duration from 14 to 28 days and

they are conducted in both rodent and non-rodent.

Also there is a standard battery in genetic toxicology

studies. This includes an Ames Bacterial Reverse

Mutation Assay. That could mean for a single dose.

And then for a repeat dose, it would also be an invitro mammalian cell gene-tox assay.

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And prior to the start of Phase II

trials would receive an invitro micronucleus study.

Primarily in Phase I trials you primarily would have
the Ames assay and an invitro mammalian cell assay.

The toxicology studies are used primarily to set clinical doses using -- based on the findings of animal study in terms of dose (indiscernible) toxicity and target (indiscernible) or toxicity. This information is also used to assist with clinical monitoring.

The genetic toxicology studies are used for hazard identification to get a general sense of -- if it's a mutagen or a clastogen. Cancer drugs are often presumed to be genotoxic. The genetic toxicology (indiscernible) are not generally required for clinical trials in cancer patients.

Generally most drugs that are found to be positive for mutagenicity, i.e. Ames positive, outside of oncology indications are not developed and generally drop from development.

The ICH M3(R2) guidance provides follow-up for a positive invitro mammalian cell chromosomal aberration assay in the sense of conducting two in vivo mammalian assays typically in in vivo micronucleus tests and in vivo liver common assay, two in vivo assays with two endpoints.

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thought to indicate (indiscernible) reactivity and extensive follow-up testing to assess in vivo mutagenetic and carcinogenic potential would be warranted to assess the potential risk for treatment that's justified by appropriate risk-benefit analysis. I think it's our general thought that a positive Ames assay has a high correlation to tumor findings in a two-year rodent bioassay.

However, in the U.S., a drug with a positive in vitro Ames bacterial mutagenicity assay may still be administered in healthy subjects and are enrolled in the single dose clinical trial if they are not made aware of the study results in the informed consent.

One of the dilemmas that has come up is

that pharmacokinetic studies typically require at least two to four doses, such as a crossover design. Dr. Dorsam will go a little more into detail of this later. This has sort of led to questions about the risk with a small number of doses beyond a single dose.

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And just to note that there can be -still these can be separated by washout period or that
could be continuously dosed by a number of daily
doses.

This sort of leads to the question of how many doses of a reactive drug can be safely administered to healthy subjects. Does this concern for an Ames-positive drug only applied to chronic administration or does it extend to a small number of doses, i.e. one, two, three, or four doses? The worst-case scenario might be 14 daily doses.

There is a acknowledge of published literature or guidance, documents directed towards trying to understand the cancer risk or other potential health concerns associated with a small number of doses of an Ames-positive drug in healthy

Just to note that results of rodent

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1 subjects.

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carcinogenicity studies with a new drug candidate are typically not available until late in drug development. We normally wouldn't receive them until the sponsor files a new drug application. Applications will come in very late in Phase III trials. During the IND development stage, we are principally reliant on the standard battery of genetic toxicology studies to sort of inform the potential for Several review divisions do allow a single dose of an Ames-Positive drug in healthy subjects. However, others do not allow any dosing. And yet some others may allow more than one dose. Several review divisions have raised questions regarding the number of doses of an Ames-positive drug they can safely administer to healthy subjects. Today CDER is seeking advice of a panel Again, the overarching question for the of experts. workshop is how many doses of an Ames-Positive drug

We did reach out to Health Canada,

can be safely administered to healthy subjects.

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Japan, and EMA to get some understanding of their practice for dealing with an Ames-positive drug. Health Canada replied that a clinical trial in healthy subjects with an Ames-positive drug would not be allowed to proceed without substantial follow-up testing to demonstrate that the drug is not mutagenic in vivo. In Japan, they did not allow administration of a clearly Ames-positive drug in healthy subjects. They do note in the ICH M3(R2) guidance that it's permissible to allow a small number of microdoses. This is a dose of approximately 100 microgram per day. It's not a pharmacologically active dose, but potentially it could allow up to five doses at a dose of 100 microgram per day. Again, this is not a pharmacologically active dose. It's more than ten to a thousand fold lower than a pharmacologically active dose that would be commonly used in a clinical trial. Also, they note that they would have less concern if the drugs has no structural alerts and that there is less concern where it will be Amespositive if it does not possess structural alerts when considering mutagenicity.

Bay Pharma in Germany noted they have not dealt yet with this issue with applications for a first human trials with Ames-positive drug candidates outside of the microdosing scenario.

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MHRA UK said they would consider scientific justification as to why a sponsor thought it was acceptable to dose healthy volunteers with a product that was genotoxic, e.g. positive in the Ames test. The sponsor would need to provide specific justification as to why to conduct further -- evaluate -- further studies to evaluate the genotoxic potential of the product and the associated clinical relevance.

Examples are given in ICH S2(R1). A single dose first in human trial with an Ames-positive drug may be acceptable providing that there is adequate justification listed and a number of things based on the threshold of toxological concern, the half-life, the proposed clinical dose, the strains in which the drugs was positive in the Ames Assay. They sort of would be very negative about a dosing out to a week with an Ames-positive drug.

So today we have a series of

presentations. I'm providing an introduction. I will 1 2 be followed by Dr. Kevin Prohaska, who will speak 3 about the FDA requirements for protection of healthy 4 subjects in Phase I clinical trials. This will be followed by Dr. Bob Dorsam from the Office of Generic 5 Drugs, looking at the Considerations for a Genotoxic 6 7 API in Clinical Trials: Healthy Subjects or Patients? 8 Doctors Dayton Petibone and Jennifer 9 Shemansky at NCTR have conducted an extensive 10 literature review. And Dr. Petibone will present as 11 well as this literature sort of to try to get a bit of 12 -- Dr. Petibone will explain more about trying to 13 understand the risks of a small number of doses of Ames-positive drug, to see what's available in the 14 15 literature. They conducted a search more or less for 16 the past year, going through thousands of articles to 17 glean out information that might be helpful. 18 This will be followed by a presentation 19 by Dr. Douglas Brash from Yale University, Do the Steps between Genotoxin and Cancer Create Thresholds 20 2.1 of Dose or Time? 22 I have to note that Dr. Crump wasn't

able to make it today due to a death in the family.

I'm going to try to give a very brief presentation

that highlights his talk. He did send a video, and

I'll see if it's possible to send that video at a

later date. We weren't able to -- we just received it

last night and we weren't able to have it ready today.

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In the afternoon, we're having a panel discussion. We brought together a panel of international experts. If you look at the more extended agenda, there is a bio sketch for each of these individuals. They will also introduce themselves at the start of a panel discussion this afternoon.

For today's discussion of DNA-reactive drugs, we have chosen to principally focus on Amespositive drugs since there is a high correlation between chemicals found in positive assay and the positive Tumorigenicity findings in the two year rodent bioassay. We're assuming that the drug has the potential to be DNA reactive and form DNA adducts that can induce strand breaks of intercalate in between the DNA bases.

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We note that the many potent genotoxic carcinogens can be positive for both mutation and Ames assay as well as positive for clastogenicity, wouldn't necessarily be that uncommon if they were positive (indiscernible) more than one assay of the standard battery. Dr. Petibone will provide some supportive information.

I'm going to briefly talk about how mutations may cause cancer. And this is sort of going through the literature to sort of provide a hypothesis on how this might occur. It's not to try to exclude other mechanisms or other potential paths, just to sort of give a general overview.

DNA damage is an important first step in the carcinogenesis process. Chemical carcinogens can cause the formation of DNA adducts. They can induce other modifications to DNA, such as oxidative damage and alterations to DNA, alter structure.

In the cartoon I'm showing benzopyrene.

It can undergo metabolic (indiscernible) to form a reactive epoxide. It also could be potentially be detoxified by enzymes in the cell or it can go on to

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interact with DNA and form a DNA adduct. There is a possibility for DNA repair to remove the adduct. But what we're focusing on today is that it forms -- will react with DNA to form an adduct. And you can see the (indiscernible) the DNA structure. Potentially these changes in DNA could potentially go on and lead to the generation of the tumor.

Cells do (indiscernible) mechanisms to repair many times with DNA damage. However, these are not always completely effective. The majority of mutations may be largely neutral in that they're passenger mutations. However, mutations in an oncogene, tumor suppressor gene, or a gene that controls cell cycle can result in a (indiscernible) cell population that the proliferation or survival advantage. These mutations are known as drivers, and driver genes are defined as genes containing driver mutations. Oncogenes are defined as driver genes in which the driver mutations are activating or result in new functions. Tumor suppressors are driver genes in which the driver mutations are inactivating. Oncogenes tend to be affected by vocal amplifications

or (indiscernible) mutations (indiscernible) limited number of codons. Tumor suppressors tend to be affected by (indiscernible), frameshift, or splicesite mutations (indiscernible).

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The specific types of activating or inactivating mutations can be specific to a driver gene. Gene rearrangements almost exclusively activate the oncogene in non-Hodgkin's lymphoma. The (indiscernible) the cause of (indiscernible) oncogene activation.

There are always some pre-existing mutations, some of which amplify in the tissue because of their driver genes. Mutagens induce additional mutations (indiscernible) so the passenger mutations generally greatly outnumber driver mutations. Some driver mutations occur in DNA repair or replication of genes and induce a mutator phenotype which results in additional driver and passenger mutations with each cell division. Transformation from a normal to a tumor requires accumulation of five to eight driver mutations in the same cell. I have seen publications where it was noted that it might be as few as three

driver mutations.

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If most have accumulated already, an unlocking mutation induced by a single exposure has a small but measurable chance of initiating the tumor. And single mutation cancers are known -- single rearrangement to form. The Philadelphia chromosome is probably all that is needed to cause a chronic myeloid leukemia.

The gold standard of evidence that a mutation is a driver is that the mutation produces a cellular phenotype introduced to a selective advantage to the cells harboring it. And such phenotypes may be directly or indirectly to the survival and proliferation and clonal expansion of mutant daughter cells. Each create a pair of mutant daughters, exponentially increasing the prevalence of mutant cells.

From initiation you can have a polyclonal expansion, you can have clonal cooperativity. There is some shown in the diagram. Dr. Brash is going to go on to this in more detail. But I think it's well-known that epithelial cells,

(indiscernible) cells, immune cells can potentially cooperate and lead to expansion of the tumor.

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Just sort of moving on, there is quite a bit of literature that a single exposure to a carcinogen can lead to cancer. The is a published study with 426 agents. You can see there is a diverse set of chemicals, polyaromatic hydrocarbons, (indiscernible). So there is quite a bit of literature that a single exposure can lead to cancer.

I just wanted to briefly note, just sort of moving on to intermittent exposure to pharmacokinetic study with the drug administered to healthy subjects. Doses are typically separated by a washout period. We mentioned up to five half-lives were created in 95 percent of the drug, (indiscernible) be cleared. Considering the number of days of treatment, there are intermittent exposures where there is a washout period between treatment. There is a greater probability that the drug could never ready study's date. There's sort of -- just looking at the mode of action, there is a recovery time. This could decrease the potential risk of a

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genotoxic adverse health outcome. Continuous exposure could saturate DNA repair capacity or other physiological processes, whereas intermittent exposures would allow for DNA repair or other adaptive or invisible physiological responses. Therefore approach for intermittent exposures is to consider the potential for repeated exposure during a lifetime and we combine these into an equivalent short-term scenario. And finally, apply the same approach for that of the short-term exposure.

I just wanted to get to our concern to the single dose. Which is sort of how I see (indiscernible) might deal with this. Use of drugs that are genotoxic based on this battery of tests in healthy subjects. These subjects are exposed to all risk and no benefit. The minimized risk to healthy subjects of a virtually safe dose of a genotoxic carcinogen has generally been defined as a dose which after a lifetime exposure would result in one additional cancer case in a population of one million. Assuming for a 70-year life span, there is 25,000 days. The linear extrapolation of a virtually safe

1 dose to a one or ten-day exposure results in a daily 2 dose level of 25,000 times the virtually safe dose, or 2,500 times the virtually safe dose, at which 3 4 exposure's lifetime risk is considered acceptable. Ιf sensitive populations can be identified, an additional 5 dose correction factor of ten is applied resulting in 6 7 a tenfold lower daily dose. At these dose levels, the 8 additional lifetime cancer risk is considered to be negligible since they are set for susceptible cell 9 10 populations. 11 And I think I'm going to stop here. I 12 have a few minutes for questions if there are any. 13 We'll move on. If there are no questions, we can just 14 move on to the next presentation. Are there any 15 online questions? 16 Will the slides be available WOMAN: after the --17 18 DR. TIMOTHY ROBISON: Yes, yes. 19 Our next speaker is Dr. Kevin Prohaska. He is a bioethicist here at FDA. He is going to speak 20 2.1 about the FDA Requirements for the Protection of 2.2 Healthy Subjects in Phase 1 Clinical Trials.

1 DR. KEVIN PROHASKA: Good morning, 2 Can you all hear me? Fantastic. everyone. Well, thank you very much for -- first 3 4 of all, thank you for inviting me to speak at this meeting. But also I wanted to thank everyone for 5 showing up today. This is fantastic. 6 7 understanding there is about a hundred or so people 8 online in addition to the people here. So this is a 9 great showing. Thank you very much. This is a very 10 important topic, and we certainly want to try to get 11 some good recommendations at the end of the day so 12 that we can help this important research go forward. 13 What I've been tasked to talk about 14 today is the FDA Requirements for the Protection of 15 Healthy Subjects in Phase 1 Clinical Trials. And I 16 also want to clarify that as far as my ethics 17 consultation works go, my focus is in adult research. 18 We do have two pediatric ethicists who are involved in 19 focusing on pediatric research. There we go. 20 And then the usual disclosures. 2.1 opinions that I am going to present today are my own. 2.2 Especially later when we're having Q&As, I'm likely to

hopefully spill into some issues that might not necessarily reflect the FDA, although I hope they do. And the objectives are to provide a general overview of the FDA requirements for the protection of healthy subjects and also to give you an overview of the ethical principals that are considered when I look at Phase 1 clinical trials offering no prospect or direct benefit to healthy subjects, which is primarily the focus of today's conversation.

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Now, of course most everybody here, especially within the FDA, are familiar with this classic drug development outline if you will. The important point about this is we are going to be focusing on strictly the Phase 1 aspect of this development program. However, as we all know, the phase are starting to blend into each other. And a lot of groups are doing Phase 1 work and Phase 2 and then Phase 3 and so forth and so on. And that's an important point to remember, because sometimes it can complicate the analysis that's necessary for the ethics of all of this. But we're going to confine our conversations to the Phase 1 in the classic sense.

1 So the concerns that we have from a 2 bioethical point of view are pretty straightforward. 3 Phase 1 clinical trials do not typically offer the 4 product of direct benefit of the subjects. healthy subject is not likely to benefit from the 5 product development because they don't have the target 6 7 condition. Now, ideally it would be nice if you 8 actually were to enroll people at risk for the 9 condition, albeit healthy. That would actually 10 facilitate some of the risk discussion that might 11 occur. 12 Pre-clinical work may be suggestive of 13 safety signal. And that's the focus of today's conversation of course with the Ames studies. And we 14 15 need to assure that healthy subjects are not unduly influenced in participating in the research. 16 17 influence is sort of a nebulous phrase, and it's sort 18 of hard to pin down what exactly we mean by that. So 19 it's another one of those areas where we have to use a lot of judgement. 20 2.1 And consent document. Again, the 2.2 process needs to be clear and balanced and minimize

misunderstandings and undue influence.

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So as most everybody here knows, the IND content has a lot of different things that are required. And the point of this slide was that here at the FDA when you submit these IND applications to us, it crosses multiple disciplines, including ethics. And I probably get brought in on a minority of these, but when ethical issues arise from the initial review of these applications, they asked me to come in and give my opinions And that's about 80 percent of the work.

Now, the regulations for the protection of human subjects are primarily confined to two areas, Part 50 and 56. Part 50 are the ones that have to do with the informed consent for the most part, and 56 have to do with the IRB responsibilities.

Now, I raise that as only part of the human subjects protection, because I would argue Part 312 and all the other parts also have embedded in it human subject protection concerns. That's why we asked for all of this safety information, because we want to protect people. So that's very important to

keep in mind.

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So there are no specific unique human subject protection regulations that apply to research involving healthy subjects. So that's a point that I wanted to make.

What happens is we have to think about the general recommendations and how it applies to the population that's being enrolled in the study. And it doesn't matter what population it is, whether you're talking about a vulnerable population or say cognitively impaired individuals, or in this case healthy individuals, or maybe the full spectrum in between.

Our regulations are based on the work that was outlined in the Belmont report. And there's three principles that we think about. There's respect for persons, beneficence, and justice. Now, there's a lot of different ways of trying to carve up the bioethics if you will. But this is the foundation on which our regulations are developed. And I should say that that developed in response to the Tuskegee events in the 20th century.

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So, respect for persons come from the requirements from the informed consent. The additional protections that we have for vulnerable populations that we have, like say for subpart D for pediatric research is a good example. And then there's a growing concern about privacy and confidentiality, especially in this day and age with big data and whatnot, that it seems to be growing even more lately.

Beneficence. We want to make certain that risks for subjects are reasonable in relationship to anticipated benefit. In this particular case, we don't' have benefit to the individual, so we have to consider it in relationship to the social value that the research offers to the community, to public health. And then the risk benefit is that it has to be at least as favorable as available alternative approaches. So that's sort of a question I ask; how would this product compare to the other things that were available on the market? You know, if it's just a me-too drug, then why are we exposing people to a lot of different risk when there's 20 other me-too's

in that category. If it's a unique drug and it looks like it's going to offer great promise, then it is an area where we might consider some additional risks that we wouldn't necessarily consider otherwise.

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Again, we have to always worry about the qualification, not only of the investigator, but everybody else that's involved with the research. So that's sort of something we consider as well. And then trial design, critically important. If the trial is not adequately designed, if it's not scientifically sound, then I would argue that there's really no good reason to expose anybody to any level of risk, because you're not going to learn something at the end of the day. Very important to consider.

And then justice. We want to make certain that the selection of subjects is equitable, that when the population is not exposing all the risks for the benefit of another group or population. The exclusion criteria is reasonable and the recruitment efforts are appropriate.

I'll go over this quickly. In Part 56 there's a whole bunch of criteria that the IRB uses

for reviewing research. The one that I think is going 1 2 to be the focus of today's conversation is the second 3 element. This is the paraphrase that the risk to 4 subject is reasonable in relationship to the anticipated benefit. Again, we don't have benefit here, so we're thinking about social value. And the 7 importance of the information that may be expected to 8 result.

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So a couple of things related to FDA The FDA does not consider payment to policies. subject as a benefit. So that is not something that we -- say, well, they're getting paid a lot of money to do this research, so they can get the risk. That's off the table. That's not part of the conversation. We do want people to be adequately compensated for the time and effort that they're doing of course. they're not being paid per se to be exposed to lots of risk.

Risks should be considered in the context of the social value. I've said that a couple of times.

Social value could be thought of as the

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goal to have research hypothesis that asks an important question. For Phase 1 trials, the expectations would be that the study contributes in a significant way to the development plan of the investigational product. So we know we're at the beginning of the process, and there's a lot to be But you have to get started somewhere. But the Phase 1 trial needs to ask some important hypotheses. But there are limits as to how much risk can be justified by social value alone. Many ethicists believe that potential harm should not be irreversible, lead to permanent disability, or potentially fatal. Others believe that there is a need to balance paternalism with autonomy. And for me, it's very helpful to codify the risk whenever possible. I know that it's extremely difficult to quantify risk in any substantial way. But when that can be done, it's very helpful to try to help with the analysis. It almost always comes down to a judgment call. This slide is interesting. The concept

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have always been in conflict with each other in some And what I wanted to present here was the evolution over time that's happened since the end of World War II with the Nuremburg Code all the way up to the CIOMS quidance in 2016. There has been a greater understanding that there are sometimes appropriate to move forward with doing some research when there's no prospect of direct benefit. Unfortunately, none of these ethical codes sort of quantify what's the acceptable level of risk, while they do sort of support the use of some research in this population. Except for the Nuremburg Code. And the Nuremburg Code was funny in the sense that it sort of suggested that they start experimenting on the investigator, which is interesting. But I think that that was certainly an outcome of the atrocities of World War II.

So the general requirements for informed consent. Most everybody here knows all this stuff. The investigator shall seek consent only under circumstances that provides prospective subjects or their representatives, their legally-authorized representatives, sufficient opportunity to consider

whether or not to participate and that minimize the possibility of coercion or undue influence. The reason I read that is because it's a critically important sentence to unpack.

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Coercion I'm going to take off the table. And what that basically means is that there is a threat going on. And obviously there should be no research going to the FDA in which there is a sense of threat. But undue influence is a nuanced phrase that requires some unpacking and some thinking about to determine whether or not it's occurring.

What this sentence reminds me of is
that not only are the elements of constant important - and there are currently eight of them in the FDA
regulations -- but that the context in which consent
is obtained and how it's obtained is critically
important. People ought to be given time when it's
appropriate, be given time to think about it. If
there is a very high level of risk, we want to make
certain people understand what that risk is. And
there's ways of doing that for the consent process by
test backing and things of that sort to make certain

they understand.

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So then there's other things you want to do. Then there's the issue of compensation for injuries is one of those controversial things that we sometimes have to deal with. The right to withdraw at any time must always be respected. Now of course there are some research protocols in which it may not be safe for people to abruptly stop, in which case that's part of the consent process. So making them understand that they need to table off the drug or be followed by a short period of time for their own safety. But at the end of the day, people should be allowed or must be allowed to withdraw at any time.

I'm not going to go over these, but these are the consent elements. They're under 50.25, the required basic elements under 50.15(a). The additional elements are the ones that IRBs consider including when the conditions are appropriate. And then the applicable clinical trials is 25 (indiscernible). And that's that verbatim statement that has to be in the consent document for any applicable clinical trial.

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Page 33

As far as the consent document goes, when I look at them, I want to make certain that the risk discussion is very clear, that significant risks should be emphasized, that you might want to consider a test to assess people's understanding of the risk when they are substantial or difficult to understand. Statements about lack of benefit must be clear. not conflate the payments of other healthcare services provided during a trial. That's important. social value can be scribed, but it must be balanced. You want to avoid overly-optimistic or misleading statements when you do craft these consent documents. And the amount and process for paying subjects should be described of when creating undue influence. Here one of the things that can happen is if all the payment is weighted until the end and you must finish the (indiscernible) if you're going to get anything. Well, that may not be fair if it's a multi-visit study. So perhaps the payments should be staggered so that they won't feel compelled to finish the research if they don't want to. Grounds for clinical holds. You all

know this. But the one that I point out every once in 1 2 a while. We all think about safety, but we also have 3 to keep in mind that scientific soundness is also a 4 ground for clinical hold. So, unreasonable 5 significant risk is the one that I sometimes get pulled into, and it's a judgment call. 6 7 So what do I look for when I'm asked to 8 look at these things? I want to know are the risks reasonable, minimized, and justified by the potential 9 10 social value of the trial.

Two, are vulnerable populations

protected, meaning what sort of efforts are done to

mitigate (indiscernible). Are people being allowed

enough time to look at the consent document? If

they're cognitively impaired, are their families

involved? Is there a robust informed consent document

in process? So that goes along with what I said about

number three.

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Is the level of compensation adequate and not undue? Is their right to withdraw respected? Have independent experts reviewed and conducted -- I did check this. I don't know what happened. Have

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Page 35

independent experts reviewed and conducted -- this is sometimes very helpful and something I look for in applications and protocols. In trials that are likely to be controversial, it's very helpful to have independent experts look at it and give their opinion and may sway judgements internally. Is there a system for compensation for an injury? Is there adequate safety monitoring, both short-term and long-term? And in this we give a case where there is concern about cancer potential, long-term monitoring may be appropriate.

Are there alternative approaches or populations that would be more justifiable? And this is actually a big area where I spend some time thinking about it. The question really has to be asked; must it be done in people who have no prospective direct benefit? Can it be done in people who have the condition when possible? Because that can justify more risk because you would hopefully benefit from the potential administration of the drug. If not the people who have the condition, potentially how about the people who are at risk for the

condition? Maybe not as much risk, but more risk than a purely healthy population. So that's something to think about. There may be scientific reasons for doing it in healthy volunteers, and that ought to be explained so that we understand what the rationale is for exposing this population to these potentially dangerous drugs.

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And I put that one there to remind you that this is all judgement and it's a balancing sort of act that you have to weigh all of these things together. And reasonable people can disagree. And so risk is an area where we all have different risk thresholds of what we think is tolerable. And I respect that, and I understand that. And it's important to just hear people out and to understand where they're coming from. But at the end of the day what we have to do is we have to assure that we're moving forward in a reasonable way that respects the individuals that are involved in this research.

So, thank you. I think I have time for questions. Yes, I do.

At the end of my slides, and I think

you all have my slides, I put out a link to a number of different resources that you might find helpful, ones that I looked at while I was creating this slide deck for this.

Yes, sir.

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AUDIENCE: I found your Nuremburg Code thing interesting, because as a researcher I'm forced to think about that. There's a whole lot of, like, subliminal considerations that might actually not be on my consent forms that it forces me to think about. So is there any experiences (indiscernible) research (indiscernible) participating in these studies?

DR. KEVIN PROHASKA: That's an interesting question. To my knowledge, the FDA doesn't have a ton of experience in that. There is one experience -- and I've not prepared to think about the guy's name. But the guy from Australia that developed -- who had the hypothesis of H. pylori and peptic ulcer diseases. He self-inoculated himself. That was widely criticized by a lot of bioethicists, but ultimately I think he got an award for it. He got an award.

So attitudes change over time. But in general I would not necessarily support a researcher doing research on themselves or their family members because of the concern for undue influence.

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AUDIENCE: I probably should have asked this question before when we were preparing for this. But I was curious, have you ever encountered the specific question we're dealing with previously where a genotoxic drug was proposed and given to healthy volunteers?

DR. KEVIN PROHASKA: You know, I've been the agency's bioethicist now for about six or seven years. And to date I have not been brought into this conversation. So the answer is no. But I've been brought into analogous conversations in other disciplines and in other areas where Phase 1 trials involving potentially risky drugs were going to be given to healthy volunteers. And the analysis that I outlined on my last slide there is sort of the majority of what I do. You know, I want to as much as possible understand why they're selecting the population, could it be done in somebody who has the

condition? If they could possibly quantify the research, that would be very helpful.

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I should add that I've looked at the literature on this in preparation for today's conversation, but also for previous consultations that I've done. And the literature is all over the place as far as the bioethics literature as to what is the level of acceptable risk. And there's no real definitive answer to that question I'm afraid.

There's one study, and I think I gave the resource for it. A gentleman by the name of Resnik who in a way sort of compared it to the risk of highly-risky professions like a policeman or a fireman. And they, for one reason or another, choose to do that type of profession, for compensation of course. And we allow that as a society. So the question is, why shouldn't we allow a certain amount of risk in clinical research. It's an interesting -- he presents -- I don't know if it's his own, but he presents it in his paper that I cite. And I thought that was very interesting and worth considering.

But the physician (indiscernible) goes

back to the first rule of medicine is first, do no
harm. You know? And so I said, well, that's nice,
but we have to also be a little bit paternalistic and
make certain that we offer reasonableness.

AUDIENCE: So I assume these kinds of

AUDIENCE: So I assume these kinds of issues have been discussed among ethicists before.

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DR. KEVIN PROHASKA: Oh, absolutely.

AUDIENCE: And it sounds like there's no consensus of opinion. It's all a matter of judgement.

DR. KEVIN PROHASKA: It's judgement. You know, what's interesting -- and maybe I shouldn't say this in the public venue. But a concept or understanding of risk, and even privacy now, which is a big risk issue, evolves over time. And our understanding changes over time. And so right now I think the pendulum is swinging towards -- it's somewhere in the middle I would argue, but it's swinging towards respecting people's individual autonomy and allowing them to choose for themselves the type of research they want to be in. And that's fine, and I respect that. But we also have an

obligation to make certain they understand what 1 2 they're going into. And you can go through the 3 literature yourself and see that people sometimes 4 don't understand what doctors are telling them. 5 it's important that we evaluate that (indiscernible). So it sounds like if this 6 MAN: 7 question came to you, you'd set the bar pretty high. 8 DR. KEVIN PROHASKA: Not necessarily. It depends on -- I apologize, you know, for the 9 10 vaqueness of my response, because it really -- it's 11 the context. You know, what are the alternative 12 therapies that are available for cancer meds being 13 sought after? If there are 20 other therapies and they all seem to be doing just fine, I wouldn't 14 15 necessarily be in favor of exposing highly risky drugs to healthy people. But if it was a novel drug that 16 17 was going to potentially have an incredible promise, 18 then that might justify more risk. There's no one 19 factor to consider; there are other factors to consider, too. 20 2.1 So one last question. Genetic MAN: 2.2 toxicologists love case studies.

	Page 42
1	DR. KEVIN PROHASKA: Right.
2	MAN: Are you aware of a collection of
3	case studies dealing with risky situations like this
4	in Phase 1?
5	DR. KEVIN PROHASKA: I'm aware of them,
6	but I've not
7	MAN: Are they published?
8	DR. KEVIN PROHASKA: I'm sorry?
9	MAN: Are they published as case
10	studies? I can imagine health [OVERLAPPING SPEAKERS]
11	might have it. Completely different view of this than
12	the FDA.
13	DR. KEVIN PROHASKA: Well, actually, I
14	was looking at the report that was done Health
15	Canada seem to have a very moderate approach to this.
16	I think that they were advocating microdosing. What
17	was the presentation that preceded me? There was a
18	suggestion as to which regulatory body and what they
19	allow. You know? And I was thinking, oh, that's sort
20	of important. Certainly I would advocate for
21	microdosing wherever possible. You want to expose
22	people to the lowest dose possible and so forth.

1 My understanding is we don't like MAN: 2 microdosing for (indiscernible).

> It's not really commonly used. WOMAN:

> > MAN: Not commonly.

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DR. KEVIN PROHASKA: Yeah. Well, fair enough. If there's a sound scientific reason for not doing it, then that would be appropriate not to do it. But where it can be done, it should be done.

Hi, I'm Dan Levy from DR. DAN LEVY: the FDA Center for Foods. So I have two questions. I'm going to ask them and then -- because I think they relate to one another. The first is many of our adulteration standards for food talk about significant and unreasonable risk of illness and injury. So I'm wondering, is there a relationship between the food adulteration standard and your standard for reasonable risk for healthy volunteers.

And the second question -- and this sort of gets to what Bob Heflich was talking about later, is do we need to develop information now when we're considering the policy and what you need to collect to make a decision knowing that the ultimate

1 decision is in the hands of informed consent by the 2 patients. That is, does that mean that we need to look at this in a div way than we would for another 3 4 kind of risk? Say for a prescription drugs where the decision is made by a learned intermediary? 5 6 DR. KEVIN PROHASKA: I'm going to 7 tackle your first question first. But keep the thing 8 because I want clarification on your second question. 9 The first one as far as the standards 10 that are used within (indiscernible) standard, I've 11 not ever been brought into any conversations within 12 (indiscernible), but I would imagine that the context 13 is quite different as far as food and what's available out in the market. And so the threshold that we might 14 15 use for what's reasonable, likely to be different in 16 that context I would assume. So as it is, whether 17 you're talking about cancer treatments versus hair 18 loss treatment, the threshold that we use in the drug 19 environment varies depending on the indication. 20 And if you could please clarify the 2.1 question number two? 22 DR. DAN LEVY: So we have to think

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about risk as we develop the science behind whether we're going to do this or not. So does the fact that it's going to healthy volunteers who are going to have to give informed consent, does that change the kind of information we need to develop so that the healthy volunteer will be able to give an informed consent? DR. KEVIN PROHASKA: Okav. enough. Well, each division, if you will, there are certain standards of what's expected to be done before going into first in humans (indiscernible). And it may differ by indication and the acuity of the illness. Whether you're going into healthy subjects as a Phase 1 study or people with a condition Phase 1 study, there may be some differences as to what's required. I would probably turn to the Review Division and ask for their opinion on that. But at the end of the day, we want to have some basic understanding of the safety profile or at least some understanding of what sort of signals we might need to look for during the Phase 1 studies so that way we can adequately inform the subjects no matter what population it is, whether it's healthy people or

people with a condition. And as you go through Phase 1 2 2 and Phase 3 and so forth, you're learning more and 3 more about the drug. And so the consent document is 4 likely to change considerably. In the Phase 1 environment, I would 5 expect the informed consent document to probably have 6 7 a lot of open-ended statements about not knowing what 8 the risk profile looks like, but that we think it's 9 this based on whatever. You know? Hopefully that 10 answers your question, sir. 11 MAN: Is there any research to study 12 how well the volunteers understand the information in 13 the informed consent about (indiscernible)? 14 DR. KEVIN PROHASKA: Understanding. 15 Whether or not they understand the consent document, I think that was the question. That's critically 16 17 important, especially if there are some serious risks. And how well do I think that they understand it? 18 19 don't know. But if you look at the literature, frequently you find that people don't understand. 20 And

consideration might be given to testing their

so I think if there are very serious risks,

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1 understanding. And there's one common method for 2 testing the understanding, is the test back. You 3 present the list, perhaps, and you ask people to 4 explain it to you. You know, what did you hear? Please tell me what sort of understanding of the risk. 5 6 And if they can't explain it within a reasonable way, 7 then maybe you haven't done a good job of explaining 8 what the risks are, and you go back to scratch and explain again that these are the risks, and do you 9 10 understand and so forth. 11 Does that help? Okay, good. There are 12 other ways (indiscernible). 13 Do you think there's any MAN: difference in the way healthy subjects and patients 14 15 decide to be in clinical trial? It seems like a healthy subject could decide, well, to avoid this risk 16 17 completely, all I have to do is not be in the trial, 18 whereas a patient might decide, well, I feel sort of 19 obligated to be in the trial because I have this and maybe I could get (indiscernible) or maybe I could 20 2.1 help more? 22 DR. KEVIN PROHASKA: Yeah, very good

1	question. Thank you very much. With people with the
2	condition, a big part of the conversation is not just
3	the risk profile of the drug, but also a discussion
4	about the alternative available therapies. You need
5	to make certain or hopefully make certain that they
6	understand what else is out there, that there are
7	other if there are other if there are not, then
8	maybe they may not have other options. And again, you
9	have to be careful that they understand the risks, the
10	focus of the research, and so forth and so on.
11	All right. Glad there are questions.
12	WOMAN: I think we have to move on.
13	DR. KEVIN PROHASKA: Okay, fantastic.
14	Thank you very much, everyone.
15	DR. TIMOTHY ROBISON: Our next speaker
16	is Dr. Bob Dorsam. He is in the Office of Generic
17	Drugs. He is the Pharm-tox division director. The
18	title of his presentation is entitled Considerations
19	for a Genotoxic API in Clinical Trials: Healthy
20	Subjects or Patients?
21	DR. BOB DORSAM: Good morning and thank
22	you very much to the organizers, Dr. Tim Robison, Dr.

Aisar Atrakchi, for organizing a session a very important topic. Also I'd like to start by thanking in advance our expert panel for providing their insight on what we have, some complex but very good questions.

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I'm coming to you today as a member of the Pharm-tox discipline. I very much intend today to present a high-level view of what information from a genotoxic perspective we have available at certain points in clinical development. So in part I'll paint a picture of what information we have prior to Phase 1, because that's one of the focuses of our talk today, of our session. But then secondly, I'll also present a second frame, which is after that initial approval, there is subsequent applications that are also (indiscernible) to develop similar products, similar API. There may be more information available. And also we are interested in using that more information to the best of its value.

My intent is to present two frames, one at the Phase 1, and then secondly paint that portrait of when we're developing generic drugs, for example,

what information do we have. And then I'm simply going to pose questions that exist at each of those stages.

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Okay, so starting at the high level.

Clinical trials are integral to support the

development of new drug applications, biologics, as

well as ANDA submissions. ANDA for those of you who

don't know, stands for Abbreviated New Drug

Applications. That's a generic drug submission. All

of those submission types have trials underneath them.

And the safety of clinical trial subjects in all of

those submissions is critical. It's a

multidisciplinary issue.

Now, as pharm-tox, it is assessing the genotoxic risk of the active ingredient. And to support that assessment, we have both invitro and in vivo studies as is described in ICH guidance, ICH S2(R1). And we are assessing this to inform safety in clinical trials. And we have several things going for us, but we also have several -- in terms of standardized studies and information on how to interpret data. But there are also several areas that

warrant some further consideration. And that's one of our purposes for coming here today.

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So clinical trial safety is a complex review issue. There are many different sorts of toxicities that we aim to minimize or mitigate the risk of. Today we're strictly focused on genetic toxicology. Now, as I said, for the protocols for many of the gene-tox studies are standardized. For example, according to OECD protocols. And we have guidance such as S2(R1) and N3(R2), which provide guidance on how we interpret some of these results as well as the timing of their submissions.

Okay, so the question that we're here today to question is how do we interpret some of these study results to translate it to safety for clinical trial subjects? And as you've heard before, one of the question is whether to involved healthy subjects in these trials where there are some results to suggest an Ames-positive API, active pharmaceutical ingredient. Should we involve healthy subjects, or rather are patients really the appropriate subjects?

Also, as you've heard, there are

several mitigation strategies in a trial that also help to ensure safety. And so we look forward to panel's input on how to appropriately mitigate some of the risk using those strategies.

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So as I mentioned, ICH S2(R1) presents us with a gene-tox battery. And that will inform several mechanisms of gene-tox risk. And if an applicant chooses to take Option 1 in the guidance, they would provide a study which informs invitro mutagenicity or the Ames assay. They would also present in their submission invitro chromosomal damage information. There is also an option to provide mutagenicity in Option 2, study on mutagenicity, as well as an in vivo gene-tox assay.

So to put this a little bit more clearly, prior to the IND, we have this Option 1 and 2 from ICH S2(R1) that will inform trial subjects in clinical trials. And then as clinical trial development continues, and perhaps that's several years go by, by the time the new drug application is submitted, all of the information from the clinical trials would be submitted for assessment, whether this

product is actually safe and effective.

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And during that long development, there may be follow-up assays and perhaps carcinogenicity studies if in fact they're needed for that (indiscernible).

So pharm-tox assessors are really relying on that gen-tox battery from S2(R1) prior to Phase 1 trials and (indiscernible). This is the primary focus of today's talk.

Any questions as you've heard remain about how individual results from these studies inform patient or healthy subjects?

So let's put ourselves for a moment in the place of a pharm-tox reviewer or a pharm-tox assessor, as we call them. At the IND stage, we have invitro mutagenicity information, we have invitro clastogenicity information. And if we can just imagine a hypothetical compound A, after reviewing the studies, we find that there is a positive signal for mutagenicity and perhaps there is a negative signal for clastogenicity.

So at that point a pharm-tox reviewer

is faced with a question. And the quandary that they're in has been from (indiscernible). But one of the questions that we have is if a drug is genotoxic, and in this case mutagenic, is there a follow-up study to assess potential risk that should be done prior to conducting studies in healthy subjects? So the appropriate follow-up is a question.

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Secondly -- and this is a little bit aside the primary focus of the session today. But if we look at compound B, we have a compound that's negative for mutagenicity but positive for clastogenicity. Okay? So again, a little bit different from the primary focus. When accepting questions from various people in preparation for this session, one of the questions was for a case like this, certain drugs may be clastogenic but mutagenic. Should consideration be given to the mechanism of action of gene toxicity in designing studies with healthy subjects? So effectively does it matter if it's mutagenic or clastogenic? Should they be considered similarly? This is a lasting issue that came up in preparation. So we thought we would pose

that to the expert panel as well.

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As Dr. Robison mentioned, there is considerations on trial design. So does it matter if a healthy volunteer is exposed up to a single dose or up to four doses of an active ingredient? And I'll speak in a moment about why four doses of an active ingredient is notable or why I picked four.

In most cases is continuous daily dosing acceptable, and if so, for how long? Or otherwise, is intermittent dosing preferable, and if so, how many doses would be acceptable in those cases?

So dosing in clinical trials can take many different forms. The does level, the frequency of dosing, and the dosing interval could all be adjusted for safety. And certainly those are considerations that we pose to the expert panel for consideration and providing our feedback.

I'm providing one example of a typical clinical trial. So in this case, it's called a single dose two-way crossover trial design. This is a case where some test product is looking to establish a bridge to a reference product. So to establish that

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Page 56

bridge, they're going to dose test article and the reference product in a patient or in a healthy volunteer and establish some similarity between pharmacokinetic characteristics. Okay?

The two-way aspect of it is if you have the test dose followed by washout and then reference -- so that would be A and then B, we would then later have B, then A. Again, comparing the pharmacokinetic (indiscernible) to establish similarity. So the question is, does it matter if a healthy volunteer is exposed to a single dose for up to four doses?

And as we've seen and heard,

microdosing is of course another consideration. As we've heard, it's used relatively rarely. But altering the dose may be one option. In M3(R2) we see that a dose of 100 micrograms is reasonable, or up to five of these doses may be used at a microdose trial if that suits clinical development.

So that's at the IND stage where we have mutagenicity and clastogenicity. One of the things that I would like to show you today is that later in the lifecycle there are applications, namely

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505(b)(2) and 505(j). 505(j)is a generic drug solution. And these applications often are looking to bridge back to the safety and efficacy of an original submission. Okay? So a 505(b)(2) may rely on some information that the applicant doesn't know and maybe something that was published, it may be a more abbreviated clinical development program, as a generic drug is aiming to establish prior equivalence. Okay? So they're not showing safety and efficacy; they're really showing prior equivalence to what we call the reference listed drug, or the innovator product. Okay? So therein a pharmacokinetic bridge is pivotal to their drug development program.

Now, the benefit that we have in these sorts of applications is that the genetic toxicology and carcinogenicity information are stated in the drugs labels that's been approved. So that's a bonus. However, we do need clinical trials in these application types to develop those drugs. So we have more information. There is a need to develop these drugs. Questions still remain about a way to resolve all of these studies for clinical trials supporting

these applications.

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And so we too are faced on the generic side with the question of should we involve patients or healthy subjects in these trials and should we adopt certain risk mitigation strategies to protect their safety?

I say this because a large number of 505(b)(2) applications, as well as generic drug applications are submitted to CDER. Ninety percent of prescriptions are generic drugs. And we certainly want to ensure that the trials that are supporting them involve similar sorts of safety principles so that subjects in those trials are not put at greater risk than those of the original innovator application.

So I've told you (indiscernible)
generic drugs. We do have more information. When
generic drug has a clinical trial, okay, they need to
demonstrate bioequivalence to the reference-listed
drug. And that will involve either healthy subjects
or patients. So input from today's session is very
much important to me in this regard.

To demonstrate bioequivalence, they'll

1 dose a test article, a dose of reference product.

There will be a trial under fasting conditions, a

3 trial under fed conditions to ensure that there is

4 | similar bioequivalence across both conditions.

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And a safety review is done to consider prior use of healthy subjects in the innovative program. So currently generics are using healthy volunteers when, for example, healthy volunteers have been used in the past.

Also in collaboration with our colleagues from the Office of New Drugs, Office of Generic Drugs folks are sometimes reaching out to ensure that healthy subjects (indiscernible) for this trial for generics.

But I do note that genetic toxicology information and carcinogenicity information have been reviewed, it's in the (indiscernible) label, and we're looking to use that information to its greatest value to inform this healthy or patients topic. And we certainly look to the expert panel for some assistance in how to weigh this information. And I say that because we surveyed FDA-approved drugs labels for APIs

that have positive results in gene-tox or
carcinogenicity studies.

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Within our team, we've used a tool called FDA Label. Now, FDA Label is developed by our colleagues in NCTR. FDA Label draws from the structured product label resource. And there are about 35,000 prescription drug labels in this archive. We merely did a keyword search for the non-clinical section of drug labels using the term positive. Because oftentimes when a new study is positive, that's the way it's going to be on the drug label. So we just search all of the available labels for the word positive in this one section of the label. out of the 35,000 or so labels, you'll get about 3,200 results. But there are many duplicate applications and many duplicate drug labels. So if you remove all duplicates, you've still kind of got 250 non-duplicate APIs that have the word positive in the drug label. And we just took a subset of that. We took about 30 applications, 30 drug labels, and then just calculated what the results are.

My goal is not to show you the results

here, but merely to say when looking at those results, it does stand out that some APIs have a positive result in either neutral or in vivo assays. And there are APIs and antivirals, antihypertensives. There are some therapies for migraine, acid reflux, high cholesterol, arrythmia, or inflammation. There are positives from individual assays.

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What we want to do is use this information to the best of its abilities so that trial subjects for these studies are put at no greater risk than in other trials. So we have more info.

And our question is, now what? So if we go back to that Compound A that is positive for mutagenicity, later on after the drug was approved, we find out that it's negative for carcinogenicity. So perhaps we can (indiscernible) perhaps maybe healthy volunteers may not be a problem. Perhaps the positive clastogen also shows to be positive in carcinogenicity. Okay? So either of these compounds are anonymized, but there are examples that fit into this sort of a case. So these could be said in, you know, real cases.

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Page 62

My question to the panel is should a weighted evidence approach be used to decide whether a compound should be tested in bioequivalence studies with healthy subjects. If yes, which test results should receive the greatest consideration in the weight of evidence assessment? Also, are there any other factors relating to genetic toxicology that should be considered when determining if a study should include healthy subjects in these bioequivalence studies?

So what I've done is gone over somewhat quickly some of the questions that the panel will be encountering later in the afternoon. My hope is that I've provided some look at the sort of data that was presented to our pharm-tox assessors at the Phase 1 stage. But also later on when trials are being conducted for 505(b)(2) as well as generics.

The questions that we pose are regarding dosing, and specifically how many doses of a positive drug can be safely administered to healthy subjects. Is it one, two, three, or four doses? Is continuous dosing acceptable? So for how long? Or

rather if the dosing is intermittent, how many doses would be acceptable? These are questions that are remaining for us.

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Secondly, we've heard a question about follow-up assays. Are there appropriate follow-up studies that should be conducted prior to studies in healthy volunteers if an API is Ames-positive? We also pose a question regarding mechanism. Certain drugs may be clastogenic, but not mutagenic. Should consideration be given to the mechanism of actual genotoxicity and designating studies with healthy volunteers?

And then a question about weight of evidence. Should a weight of evidence approach be used to decide whether a compound should be tested in bioequivalent studies with healthy subjects? If yes, which studies should we give the greatest consideration to, and then are there any other factors that we should consider when doing this assessment?

So with that I'll just finish with a quick summary of saying clinical trial safety is of

paramount importance and genotoxic risk is very much

important and underlying some of the safety that we
wish to uphold for our trial subjects. We have
different information prior to first in human studies.
We have more information available at later stages

when clinical trials are also conducted.

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Our question, healthy subjects with patients is a key consideration for risk management for (indiscernible), biologics, as well as for the generic drug applications.

Not only healthy subjects or patients are a consideration, but also those trial design elements that might make a risk. And you can certainly appreciate the expert panel's insight on how to best translate available information and the appropriate safety recommendations for participants in these clinical trials.

With that I'll just briefly acknowledge my colleagues in the Office of Generic Drugs who assisted me in the formation of the slides, as well as the FDA Label exercise that we went through. My collaborators in the Office of New Drugs who were helpful in also creating the slides, as well as

formulating the questions that we are posing here 1 2 today. And my colleagues at NCTR who developed a 3 great tool to get information within FDA labels in a 4 way that I think is pretty unique and pretty powerful. 5 So with that, I'll say thank you. And happy to answer any questions. 6 DR. TIMOHY ROBISON: We have time for 7 8 one quick question. 9 So in your slides, you mentioned MAN: 10 about geno-tox, and you mentioned (indiscernible). 11 But to my knowledge, none of the standard battery 12 actually (indiscernible). So, I mean, are you 13 recommending we recommend screening with (indiscernible)? And for drugs that we said no 14 15 pharmacology on the genetic regulation, do we consider actually patients instead of healthy volunteers in 16 17 Phase 1 study? 18 DR. BOB DORSAM: Thank you for a very 19 good question. So I'm not proposing necessarily that there should be something added to the standard 20 2.1 battery. I'll leave that to my colleagues who are on 2.2 the ICH S2 to consider whether to expand the battery.

Page 66

associated with administering one or a few doses of DNA-reactive drugs, particularly Ames-positive drugs, to help these subjects during Phase 1 clinical trials.

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So here are presented some of the most informative findings from this ongoing effort. So the approach to this was fairly simple. We reviewed basic databases and search engines such as PubMed, Google Scholar, and the (indiscernible), as well as some other search engines and database to a lesser extent.

The search terms that were queried concerned the mutagenicity and related search terms to that and the Ames test, as well as exposures that were at milligram doses, which is the dose range in which APIs would be administered to healthy subjects during Phase 1 clinical trials.

We also looked for exposures that were done in animal model studies as well as reviewing the literature for any data that were present for exposures in human subjects with cancer endpoints.

We looked for data modeling of the threshold of toxological concern and the threshold of regulation for modeling of less than lifetime

exposures, and then we looked at a number of different dose levels.

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So today we've summarized the findings from over a hundred relevant and supporting documents and subject areas such as data modeling and database analysis, animal studies with single, few, or short duration exposures to DNA-reactive endpoints that also had -- or DNA-reactive agents that also had tumor endpoints. And cancer epidemiology studies for environmental, occupational, and medical exposures to DNA-reactive agents.

In addition to that, we've compiled over 1,300 manuscripts that contain single exposures to test articles in animal models that have tumor endpoints.

So to ask the question, can we use the existing data to assess the risk of administering an Ames-positive drug to healthy subjects during Phase 1 clinical trials, I have chosen two subject areas to review. And that's the data modeling and database analysis of Ames-positive chemicals. And also the second section deals with cancer data from single,

few, or short duration exposures to Ames-positive chemicals in animal models and in humans.

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So for Part 1, the first study, I've chosen to analyze the EPA GENE-TOX database to provide an assessment of the Ames assay's ability to predict carcinogenesis. The second study that we looked at reviewed the NTP Database for studies with short-term exposures as well as chronic lifetime exposures to see if the chronic exposures could predict the carcinogenic effects of the short-term exposures.

So because chemical-induced carcinogenesis can often involve both genotoxic and non-genotoxic events, it's difficult to predict how well a specific gene-tox assay would predict its carcinogenic potential. So a retrospective study was performed of the EPA GENE-TOX Database of over 3,500 chemicals with GENE-TOX data. Of those, over 1,600 had Ames-positive data, and 988 of those also had rodent cancer bioassay data.

So the investigators in this study,

Matthews and colleagues, sorted the data according to
their outcomes of the Ames assay, whether those were

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events by their findings in the rodent cancer bioassay. So in this instance, there are 275 chemicals that were positive for both mutagenicity and for carcinogenicity, as well as 282 chemicals that were positive for carcinogenicity, but negative for the mutagenicity. And then for the compounds, they were negative for carcinogenicity. There were 85 that were positive for mutagenicity in the Ames assay as well as 346 that were negative for both carcinogenicity and mutagenicity.

So when they evaluated the Ames assay for its ability to predict carcinogenicity, they looked at the causative predictive value. And for the Ames assay there was a 76.4 percent prediction that -- predictability to identify the fraction of mutants which are carcinogens. And then for the specificity, there was a 80.3 percent ability to identify the fraction of non-carcinogens which are not mutagens. So they also looked at a correlation indicator which is an indicator of a positive finding of an aerobic cancer bioassay. And they found good agreement with

the Ames assay and the ability to predict
carcinogenesis with a 78.3 percent return on that. So
this indicates that the Ames test is a reliable
indicator of a positive finding of the aerobic cancer
bioassay.

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Next we looked at a study that evaluated chronic lifetime exposures as well as stop exposures. Stop exposures refer to studies in rodents which are initiated and then terminated several months following exposure.

So cancer risk assessments assume that excess risk increase as a linear function of a cumulative carcinogen dose administered at a given rate, also known as Haber's Law. So for instance, Haber's Law would predict that an exposure for two years to a carcinogen would pose one-tenth of the risk of an exposure for 20 years to a carcinogen.

So to test this assumption, 11 carcinogens were identified in the NTP Database that had both combined lifetime and stop exposure data.

The data were then modeled to determine the maximum likelihood that corresponded to a one percent increase

in cancer risk.

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So it was identified that tumor incidence was significantly higher for six of 11 chemicals in this database, the 11 chemicals that are shown in the table to the left here. And one thing that most of them have in common are that five of the six are positive for findings in the Ames test. So ADBAQ and ortho nitro anisole were positive in two Ames assays. And then BBMP, 1,3-Butadiene, and coumarin were positive in at least one Ames assay. Methyl eugenol was the only carcinogen that produced a positive response that was negative for the Ames assay.

So what they found was that most of the carcinogens in the stop exposure studies had significantly higher -- a greater than twofold response in cancer potencies than the chronic lifetime exposures for at least one tumor site.

So as an example, in addition to having a twofold greater response, BBMP and 1,3-Butadiene and ortho nitro anisole were positive for increased tumors (indiscernible) only when the stop exposure data were

included.

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The findings from the stop exposure modeling suggest that the short-term exposures could pose cancer risks not identified in a continuous exposure studies. An example of that is 1,3-butadiene exposures for 13, 26, 40, or 52 weeks produced a much higher tumor response in heart hemangiocarciomas as compared with the continuous lifetime exposures for the same dosing rate.

So to summarize the findings of Part 1, the GENE-TOX Database analysis suggests that the Ames test is a reliable indicator of positive finding in rodent carcinogenicity bioassays for a mutagenetic agent. The majority, five out of six chemicals in stop exposure studies gave a greater than twofold response in cancer potencies. Were also positive in at least one Ames mutagenicity assay. And also the findings from the stop exposure modeling suggests that short-term exposures could pose cancer risks not identified in the continuous exposure studies.

So in the second part we looked at exposures to a single, few, or short duration of

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Page 74

exposure to Ames-Positive chemicals. The data that I will go over today are the Single Exposure Carcinogen Database, which is an accumulation of animal studies that have a single exposure to a chemical. And then I will go over some examples of epidemiological data where subjects were either exposed occupationally or to a medical exposure for a short time. And a short duration in this context refers to a time less than or up to a year.

So the chemicals for the occupational exposures are beryllium, which are in a wide range of products. And the cohort are the beryllium production workers. We then looked at the aromatic amine benzamidine and the cohort of workers involved in the manufacture, use, and purification of those compounds.

For the medical exposures, we looked at phenacetin, which is an OTC analgesic. Its use was discontinued in the U.S., Canada, and the U.K.

Chloral Hydrate, which is a prescription sleep aid, and then finally we looked at Thorotrast, which is a contrasting agent. It's a little different from the other exposures, because it is an alpha-emitting

particle. And it was used from the 1920s until it was discontinued in the 1950s.

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So as it turns out, there are numerous studies that have data for a single exposure in animal models. And these have been compiled by Calabrese and Blain into a database of tumor incidence following a single exposure in order to estimate the less than lifetime exposures. This database contains over 5,500 studies for 800 chemicals from 2,000 articles that address the single exposure carcinogenesis.

So in order to be included in the database, the agent could be administered only once with no other treatments administered. And the tumors were examined as the endpoint. The database compiles a number of metrics such as; the number of citations each study has accumulated; chemical details such as the (indiscernible) number, synonyms, and chemical class; the study design, which includes the controls, treatment groups; and other principal aspects of those studies.

So as Tim mentioned earlier, there are 426 chemicals with doses that were administered as a

single dose. And those spread across 17 chemical classes, with many of those containing mutagenic compounds such as polyaromatic hydrocarbons, nitrosamines, hydrazine, and nitrosourea classes.

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When we do a comparison of the studies that were positive for tumor induction as well as those that were negative for tumor induction, there were over 4,200 studies that were positive for tumor induction following the single exposure to a chemical, as well as nearly 1,300 studies that were negative for induction of tumors following exposure to the chemicals.

So both the studies that were positive and the studies that were negative were similar in several key aspects, some of their principal aspects. For instance, the studies that were positive and were negative, both used a similar percentage of both male and female sexes and incorporated both sexes into those studies. In addition, both positive and negative studies used histology as a endpoint.

They also were similar in their number of subjects per group in that a number of them used

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ten or more subjects per treatment group, and a similar percentage of them also used greater than 50 subjects per treatment group. They also varied somewhat in some of the principal aspects of their study design, such as mammary tissues being looked at as an endpoint for tumors and respiratory tissues.

In addition, the studies that were positive used a higher percentage of rats as compared to the studies that were negative. And those studies that were positive particularly used the Sprague Dawley rat, which might explain some of the positive findings in the mammary tumors. And the studies that were negative used a larger percentage of mice.

So what the study found was that a single dose of many agents produced tumors in both males and females and in all age groups, whether it was fetal, neonate, or adult stages. And the findings were positive in numerous animal models. You can see the table on the left that there is a diverse set of species that were positive following a single exposure to a chemical.

The doses that resulted in tumors were

generally low proportion of the LD50, between 0.1 and up to the LD50 itself, and not acutely life—
threatening. The tumorigenic responses observed for a single exposure to the DNA-reactive chemicals had wide structural diversity and were in all principal animal models and several other animal models, implies that humans are likely to exhibit qualitatively similar responses.

Next, they look at a single dose versus a fractionated dose. So the single dose was

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Next, they look at a single dose versus a fractionated dose. So the single dose was administered once and the fractionated dose was administered over the lifetime of the animal for an equal and cumulative dose.

So when they compared the single dose and the fractionated dose, they found that there were some chemicals where the single dose caused fewer tumors than the fractionated dose. That's shown on the left here.

There are also chemicals where a single dose caused more tumors than the fractionated dose for a given tumor site. And then there were chemicals where the single dose and the fractionated dose

produced similar results in tumor response.

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Also, some of the chemicals produced a mixed result, such as DMBA, benzoate pyrene, 3-Hydroxy xanthine and procarbazine, where depending on the tumor sites, they were either fewer tumors, more tumors, or an equivalent number of tumors produced in both the single and the fractionated dose. So this suggests that there are chemical-specific carcinogenic responses or varied responses to a single versus fractionated dose and that the single dose can have carcinogenic effects that aren't always observed in lifetime exposures.

So because cancer epidemiology studies usually address the results of prolonged exposures, the short duration exposures or single exposures to a carcinogenic compound can sometimes be overlooked. And it is also difficult to associate an exposure event that might have happened 20 or more years ago with cancer. Therefore, there are limited data for DNA-reactive or mutagenic exposures in humans.

For the analysis of exposure in humans, we considered a short duration exposure to be that of

less than one year or exposures to one or a few doses.

And human subjects exclude those that have cancer or terminally ill patients. And the treatment includes drugs or chemicals with positive mutagenicity data and exclude antineoplastic drugs.

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So for short duration occupational exposures, we looked at beryllium and the aromatic amine benzidine. Beryllium was negative in the Ames assay, but it was positive for HPRT mutations in CHO cells and in Chinese Hamster B79 cells. The cohorts that were investigated were white males that entered into the beryllium case registry and that were involved in the manufacturing of beryllium.

So what the investigators of these studies found is that employment of a year or less to beryllium resulted in significant increases in the incidence of lung cancer.

Then for the aromatic amines benzidine, the subjects that were involved in this study were involved in manufactured use and purification of the AABs. What this study found is that the overall risk from dying from a bladder tumor is approximately 30

times greater than that of the general population if exposed for at least six months and exposed up to one year.

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The table shows the findings of the short duration occupational exposure of beryllium in So there are seven cases of lung cancer that were reported. All reported acute chemical bronchitis upon entry into the beryllium case registry. They were primarily involved in the extraction and smelting of beryllium. And of the seven cases, five of those had exposures that were for less than one year. workers had exposures for one month, one worker had an exposure for two months. And then there was another worker with an exposure for six months.

In a similar study, there were two lung cancer deaths reported 20 years after their last exposure. One was employed for six months and the other was employed for 21 months, which is longer than a year. So the data on smoking history was not collected as part of either one of these studies.

So then we looked at short duration exposures to the aromatic amines benzidine.

table shows the different chemical classes that were investigated. Benzidine, alpha-Naphthylamine, beta-naphthylamine, and then mixed exposures.

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What the table shows is the percentage of the observed number of bladder tumors as compared to the expected number of bladder tumors. And then measured the effect of time and the incidence of cancer risk.

So we can see even with one year for benzidine, beta-naphthylamine, and for mixed exposures, there are increased risk for development of bladder cancer. And you can see that that increases for those same chemical classes up to one year and that with increasing time there is an increasing risk for developing bladder cancer before that risk drops off at 20 years.

But all of the subjects that went into these data developed tumors. So after developing tumors, they were removed from the study, which explains the shape of these data in the graph.

So what they found was that even with less than a year exposure, that there were increased

risk for developing bladder cancer. And also, again, as I note, the data for smoking history was not collected as part of this study.

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So we then went to look at short duration medical exposures. Phenacetin, which was an OTC analgesic, had mixed results in the Ames test and was positive only in the presence of S9. It also was positive for (indiscernible) mutations in the kidneys of transgenic rodents. The dosage of phenacetin is generally 300 milligrams four to six times a day and not to exceed two grams.

One study by Ross and colleagues looked at the consecutive or continuous use of phenacetin and compared that to less frequent use of -- less than 30 days a year -- or greater than 30 days a year, less than 30 days a year, or no use. Chloralhydrate is a sleep aid and it has mixed results in the Ames assay. It's generally prescribed as a 500 milligram dose. And Haselkorn and colleagues looked at the effect of zero, one, two, three, of four doses of chloralhydrate and the incidence of cancer over a four-year period.

And then finally we looked at

thorotrast, which is positive for T-cell receptor mutations. It's negative for GPA mutations and had mixed results in the p53 and KRAS gene mutations assays. There were no data for the Ames assay for thorotrast.

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So there have been over 9,000 people injected with thorotrast between 1929 and 1956. It's generally administered acutely at a rate of 500 grams per liter and volumes that can range from one mL all the way up to 100 mLs. The study we looked at today observed that there were dose-dependent increases in the time to tumor formation with increasing volume of the thorotrast that was injected.

So phenacetin is classified as a Group 1 carcinogen, carcinogenic to humans. And it was withdrawn from the U.S. market in 1983. The long-term use of phenacetin has been shown to cause renal and ureter tumors in humans. And in a study by Ross and colleagues, they looked at men and women from the Los Angeles Case Registry and with the matching controls for those cases. The doses of phenacetin that were used were continuous exposure for more than 30

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consecutive days in a year or use of phenacetin for more than 30 days per year. And then those were compared to use of phenacetin for less than 30 days per year or no use. They calculated the (indiscernible) of phenacetin use and then adjusted that to the controls that were either no use or fewer than 30 times per year.

And what they found was that there was a slight yet nonsignificant increase in the risk for renal, pelvis, or ureter tumors in those that had 30 days of consecutive use as compared to those that were either greater than 30 days per year or less than 30 days per year or that had no use of phenacetin. So this shows that some risk was involved with exposures to a carcinogen with continuous use.

Next we looked at short duration chloralhydrate administration. So chloralhydrate is a mutagen in salmonella that was positive in four out of six assays using TA100 and was positive for two assays using TA104 strains. It was also carcinogenetic in animal studies. And chloralhydrate is a major metabolite of trichloroethylene, a general anesthetic

that was banned in the U.S. in 1987 due to its ability to induce tumors in rodents.

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So Chloralhydrate was used in a 500 milligram form, or dispensing as it's referred to it in the paper. Based on the study, most patients received a few doses of 500 milligrams for short-time use.

When they analyzed the data -- so the table on the left shows the number of cases that developed a cancer versus the other chloralhydrate users that did not develop cancers that were used to calculate the dose response. And what they found is that for all cancers overall, there was no significant increase and no dose response. However, when they looked at prostate cancer, they found that there was a dose response for induction of prostate cancer with increasing numbers of chloralhydrate doses, from three cases for one dose, five cases for two to three doses, and six cases for four or more doses. So this suggests an increased risk of prostate cancer with the increasing number of doses that were administered.

So finally, the last chemical we looked

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at for medical exposure in humans was that of thorotrast. And as I mentioned previously, it is a bit different because it an alpha-emitting agent. thorotrast contains thorium, which has a half-life of ten to the tenth years, and it has a biological half-life of 20 years. So once exposed, the subject is internally exposed to the thorium for the remainder of their life. It's typically used for cerebral angiographies to identify arterial venous malformations or to evaluate head injuries.

So the graph on the left shows the cumulative frequencies of liver tumors with time after angiography in relation to the volume of thorotrast that was injected. And the graph on the right shows the cumulative frequency of hematopoietic malignancies amongst subjects injected with thorotrast. And in most of these, you can see that there is a dosedependent response in the formation of liver tumors that correspond to the dose level that was injected. So the subjects that were injected with 20 mLs or more developed tumors more rapidly than subjects that were injected with 11 to 20 mLs or those that were injected

with one to ten mLs.

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A similar pattern is seen for the development of hematopoietic malignancies. And the subjects that were injected with greater than 19.7 mLs developed the malignancies sooner than subjects that were injected with less than 17 mls as compared to those of the control. So there is an increasing risk -- there was an increasing risk for cancer based on the level of exposure to the mutagenic thorotrast injection.

So in summary for Part 2 of the talk, the tumorigenic responses observed for a single exposure to carcinogens with wide structural diversity in all principal animal models imply that humans are also likely to exhibit quantitatively similar results. The cancer epidemiology studies provide suggestive but not conclusive evidence of a causal relationship between short duration exposures to mutagenic compounds and cancer. And of course the examples that I showed you based on the limited data available obviously have their shortcomings and limitations, but they do provide some suggestive data.

And finally, as a (indiscernible) that animal experiments have limited exposures other than that of the doses that they are administered, whereas humans are exposed to additional and environmental exposures.

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So this is an ongoing effort and we are continuing to search the literature to find relevant information as well as we are attempting to reconstruct the Single Exposure Carcinogen Database, exposures that were done in animal models. So I'll take any questions that you have now.

MAN: Do we have time for a few questions?

DR. ERROL ZEIGER: Errol Zeiger, a member of the panel. You showed that compilation of Calabrese and Blain, which is very interesting. But the problem is they failed to stratify by exposure route. I know back in the old days, in the 1960s and 50s, there were a lot of cancer experiments done with single subcutaneous injections or intratracheal injections, which are clearly not relevant to anything that we're talking about now. And without removing

1 these, you'd get a very biased view of it.

2 And I found that the study with

3 (indiscernible) is very interesting. Beta-

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4 | naphthylamine seems to be -- alpha-naphthylamine seems

5 to be the most potent carcinogen. And it's beta-

6 | naphthylamine that's classified as a carcinogen.

7 | Alpha is really -- it's not mutagenic, pure alpha.

And it's considered to be non-carcinogenic. But those results show just the opposite.

DR. DAYTON PETIBONE: I'm looking at the --

DR. ERROL ZEIGER: No, I'm sorry. No, the alpha showed the higher response, but the beta is considered to be the carcinogen.

DR. DAYTON PETIBONE: Early on, the beta-naphthylamine showed a larger response, it's the open column here, with increasing time. But as these subjects developed cancer, bladder tumors, they are removed from the study so that the remaining studies - the remaining tumors that were developed in the time after five years or ten years exposure, it does appear that the alpha-naphthylamine did result in an increase

in (indiscernible). But I'm not sure the explanation
for that.

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DR. CHARLES THOMPSON: Charles

Thompson, CDER. Excuse me if you've touched on this,

I missed it. But I'm curious, what's your single

animal exposure database that you looked at? Did any

of that involve initiation-type, promotion-type

modeling?

DR. DAYTON PETIBONE: I would note the only data that we were able to look at was a high-level overview of that database. We were not able to access that database. We are in the process of trying to reconstruct it. That's the 1,300 papers that we have with the single exposure. So we have not been able to do any detailed research into that other than we have gone in and randomly spot-checked some of the studies to see that -- to verify that the findings do match up with those that were found or reported in the Single Exposure Carcinogen Database. But hopefully reconstructing the Single Exposure Carcinogen Database is something that people will think is worthwhile. As of 1999, there were over 2,000 studies that had single

exposures in animals in the past 20 years that could 1 2 have increased quite significantly. And we'd like to compile those data for analysis. 3 4 DR. PETER CULLINS: (indiscernible) Peter Cullins, London. I think it's really 5 interesting to reconstruct that database. But in 6 7 doing that, I think we would need to look carefully at 8 at least two aspects. One is the age of administration. Because all of those studies were 9 10 done by (indiscernible), which I think adds an 11 additional biological component, which is the safe 12 rate of cell replication, which would be different 13 from an adult exposure. And the second is -- and you touched on 14 15 the thorotrast -- is the difference between a

the thorotrast -- is the difference between a bioaccumulate compound, which although it's a single (indiscernible), it's continuous internal exposure and something which is short-lived but there is a toxicodynamic component. And certainly for the inorganics there will be a significant number of them where bio persistence is a factor.

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MAN: (indiscernible), CDER. So

subjects who are taking from this to be 1 2 (indiscernible), is there any follow-up? 3 DR. DAYTON PETIBONE: For the short duration exposure to aromatic amines benzidine? 4 5 not sure if there was any follow-up that was conducted with those subjects. It wasn't reported in that 6 7 study. 8 DR. ROBERT HEFLICH: Bob Heflich from 9 (indiscernible) of business that he analyzed. 10 I did happen to read the Calabrese paper yesterday. I 11 looked at it. I was surprised at the few studies that 12 (indiscernible) on the bioassay studies. It was less 13 than 20 percent I believe. And if you look at the 14 (indiscernible), obviously there's a lot of Sprague 15 Dawley model treatment that produced mammary tumor 16 results. But it's not exclusive. I mean, there's a 17 lot more going on there. And I think one of the 18 criteria for inclusion in that database that there 19 were no other treatments other than the single dose. 20 DR. DAYTON PETIBONE: Correct, yes. 2.1 DR. ROBERT HEFLICH: So there was no 2.2 promotion (indiscernible) initiation kind of study.

1	AUDIENCE: Just a comment on the
2	beryllium workers. There is also a type of an immune
3	component to beryllium disease. It's almost like a
4	type of allergy that develops. And that also
5	continues to (indiscernible). So it's not just a
6	simple straightforward story and it was
7	(indiscernible), but it's something that
8	(indiscernible).
9	DR. TIMOTHY ROBISON: We scheduled a
10	15-minute break. I think the speakers will be around
11	if you have questions during the break.
12	(Break)
13	DR. DOUGLAS BRASH: Okay. So, first
14	question is, can people in the back row can hear me?
15	And Dan, hopefully, people out in cyberspace can hear
16	you.
17	So, my name is Doug Brash. I'm a
18	molecular biologist who hasn't thought about genetic
19	toxicology since I probably was a graduate student. I
20	think my role in here is to take what we know about
21	biology and see how much of it applies, and what can
22	we expect to see with regard to those four treatment

duration thresholds.

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But there'll be a number of things I'm going to say that are going to be obvious to some of you. So, different things will be obvious to different groups of you. But also, I think that probably none of it's obvious to graduate students, post-docs and assistant professors these day, who I think largely don't think about these things.

And a big part of the reason for that is that for the last 40 years there's been, in the cancer world, almost an obsession with genes. And I want to put that in perspective. So, let's see if I can do this here.

So, the outline, I'm going to start with why cancer is not just mutations, and then go on to (indiscernible) affect those thresholds in the literature. I'm not going to talk about tumors because that's a (indiscernible). But for stress signaling survival mutation, I'll show you kind of a zoo of data and tell you what I think it's telling us.

And in my own world, I focus on skin cancer, so I'll tell you a few things on melanoma, if

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you don't know, I think that are relevant here. then in the course of reviewing all of this, I have some opinions on things like linear agent and dose responses and thresholds, and I'll tell you what, as a biologist, the situation looks like to me.

So, ever since I was a grad student or a post-doc, this is the way the cancer world has been looking at cancer, near as I can see. And that's not the whole story.

So, one way to think about it is, if this were the whole story and what a carcinogen did was solely to mutate genes, and you have 10 genes, like five or six, that you had to mutate, well then, the cancer incidence is going to be proportionally (indiscernible) to the end. But that's not actually what happens. It's a little more like it goes to second or first power, and duration of exposure to the fifth or sixth. So, there's some biology going on And so, there are other things we'd have to here. think about.

So, I think of this as the cancer cell loop. And the genes are in the middle, but there's

things that go on before and things that go on after.

And people always at least think about the first few

steps. But I also want to talk about the last few.

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So, we're going to start out with the carcinogen and it's going to make a DNA lesion, and it's going to make a mutation. That's going to happen in some gene. That gene will have a phenotype of this cell. And one cell never killed anybody, so you've got to clonally expand that cell, and now eventually you get to a precancer or a carcinoma.

But particularly for ultraviolet light that I work on, in general, we know it's one of these numbers. And as you (indiscernible) tendonitis through her gene is cell generation. So, if you want to hit five or six genes, or two of them, you also find that six genes is even worse, this is not going to happen in one cell. So, this end step here about the clonal expansion, I think is the ballgame to getting cancer to work at all.

So, yes, you would have to have a mutation, but you also have to think about this clonal expansion step. And in normal skin, we have clones --

I've got a picture of one -- that have one

(indiscernible). This happens to be p53, but not the

other ones. They're not even just sitting there as

single cells. They're growing as clones already, but

there's no obvious defect in the skin. I'll talk

about this more in a little bit.

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And so, we go around and around this cycle. And so you clonally expand. Now you've got a bigger target, and now the carcinogen can (indiscernible) or another carcinogen, you get the second mutation and you keep going around. But now you've even got the multiple (indiscernible) you need to actually have a tumor. And people with sequencing have now looked in normal skin at (indiscernible) genes, and at the bottom is a cartoon of all the (indiscernible) of the genes they did. And you see there's even clones inside of clones. And this is just 74 genes that they looked at.

So, by the time you get to be 60 or 70, your skin is a saran wrap full of mutant clones just waiting for something to go wrong. But it still pretty much works as a skin anyway. So, that's what I

1 | call the cancer cell loop. So, it's not just genes.

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But on top of that, it's sitting in the middle of a bunch of modulators. So, on the one hand, you have metabolism, which could either get rid of your carcinogen or activate it and make it worse. Or you have repair, which is going to remove some of the (indiscernible), maybe in time, before DNA replication, maybe not.

Then there's this whole issue of (indiscernible) transregional synthesis. I guess we're (indiscernible). Anyway, so the point where this comes along and it has a decision. Can it bypass this legion? Is it going to make a mistake if it does that? Is it going to block (indiscernible) the cell dies? So, a few things can happen.

With regard to cell phenotypes, one of them is apoptosis, so cells that are abnormal tend to kill themselves. So, in a way, that's good, so that they're not going to become cancer cells. But we'll revisit that.

But (indiscernible) lesions can also induce a re-differentiation of cells. People don't

tend to think about that prospect. I'll say something 1 2 in a minute. Just doing the (indiscernible) can have 3 a lot of gloss, so you're losing all (indiscernible) 4 for large regions of chromosomes. And that also can 5 be important, not only as a mutation mechanism, but as already mentioned to initiation of promotion earlier. 6 7 And so, promotion, as most of you 8 probably know, would have an initiating event which is 9 probably mutagent. Something later could happen, but 10 it doesn't matter unless you already have the 11 initiating event. And one of the things 12 (indiscernible) can be to the (indiscernible) is the 13 tumor promotor would be (indiscernible) if there wasn't something wrong with the other (indiscernible) 14

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it's important.

Meeting

Now, these (indiscernible) so, now this is all physiology that's superimposed by your genetics. And many of these things are inducible.

So, you've got dose responses for inducing these responses. And so, this is all pretty much homeostasis at work. And it's largely helpful.

it might be okay. So, there are multiple reasons why

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do.

Page 101

I mentioned differentiation. This is a paper -- I thought it was really interesting -- from a long time ago. I couldn't get anybody interested in it, including Leo Sachs, who did it. But he was inducing a differentiation with different chemicals.

And so, here is the list in his nature paper, and what I really like is he even has the carcinogenic and non-carcinogenic analogs in polycyclic aromatic hydrocarbons, and the ones inducing differentiation and the other ones don't. I thought it was a great experiments, like he knew what

Then there's selection pressures. So, you can have mutation (indiscernible), just like in evolution, species evolution. We have mutations and we have selection pressures. So in the case of UV and p-53 in skin, what happens is the (indiscernible) of apoptosis. It's great on the first trip to the beach. You kill off the damaged cells before they can go on to make a mutation. What happens on your second trip to the beach?

he was doing. But that's not what he was trying to

But anyway, it was a differentiation effect.

1 Well, you've got (indiscernible) 2 mutations last time. You're going to kill off the normal guys. 3 The guy who's going to take their place 4 in the clonase band is the mutant, who is no longer UV-sensitive. So, sunlight becomes a selection 5 pressure favoring the outgrowth of the mutants. 6 7 in fact -- well, I'll show you how that plays out in a 8 minute. 9 Another way that this happens is the UV 10 in the presence of p53 changes the way cells behave as 11 stem cells or not. I won't go through it, but you 12 know, a stem cell can be two stem cells, or a stem 13 cell differentiated with two differentiated. You tip that balance a little bit, and now you're expanding 14 15 exponentially if you make more of the stem 16 (indiscernible) divisions. And so that also is going 17 to (indiscernible). 18 Here is an experiment we did. I won't 19 tell you the (indiscernible) because it takes too

tell you the (indiscernible) because it takes too long. But in scan cancer, there's some stages that depend on more mutations, and others that depend on clonal expansion. So, if we found (indiscernible) to

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bias the apoptosis rate, it affects the -- there are too many double negatives, so I'll just tell you without taking you through it.

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If you have more apoptosis, that's great we get rid of -- we have less of the mutant requirement steps. But you get bigger clones during the clonal expansion steps, which is just what we would have predicted. But everybody always talks about apoptosis as if it's this great anti-cancer thing. Well, maybe. It depends on when. So, it's not all that simple.

Now, the clonal expansion

(indiscernible) is the exponential growth is

important, because if you think about it, if you're

making mutations, each time you make mutations you

make some more, and the number of mutants is going up

literally. But if you're doing this clonal expansion

thing, you go out and mutant daughters (indiscernible)

exponential (indiscernible) really important.

Now, this clonal expansion may not be so important for the present question of what happens if you only get so many (indiscernible) wants. But

these other things like tilting differentiation phase well may matter, if you can do that in one exposure, for example.

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Now, everything I showed you is still sitting on the side of other stuff, more physiology. So tumor promotors, or the self-proliferation state. You know, if you've got a virus in your liver, it matters whether you get a carcinogen superimposed on that. And then there are microenvironment issues. Inflammation is another one. So, it matters whether your carcinogen is coming in through an inflammatory environment. (indiscernible) down.

Then at the end of all this, you have, hopefully, some immune surveillance that's trying to get rid of these (indiscernible). And at the very beginning, it's coming around to -- I think (indiscernible) is ahead of everybody. There's some messages the world does not want to hear. And I think this is one of them, that cancers are polyclonal, and there's not simply a single cell that's putting out. There's more than just the one cell that matter. And so, all these things factor in.

1 And so then we step back as a biologist 2 and say, do I even expect any kind of linear dose response out of this? You would think that the actual 3 4 dose response has to look like this and it's going to 5 different from one person to another. And (indiscernible) affect all this (indiscernible) stuff. 6 7 So, sorry I had to simplify things. 8 So, then, the lessons, I would say, for this part of 9 the talk, are the biology (indiscernible) no real 10 reason to expect a simple dose response is linear or 11 even monotonic. And then, if you think about why this 12 is, it goes back to (indiscernible) homeostasis 13 (indiscernible). 14 So, the cell is in many, many ways 15 trying to maintain a particular state. And on low doses, the cell is doing that. And then at some 16 17 point, you're out of its operating range, and now what 18 you're doing, you're breaking the cell. And so, 19 whatever goes on when you're breaking cells is different from whatever is going on when you're doing 20 2.1 homeostasis. 2.2 So, it seems to be (indiscernible).

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Page 106

There is no reason to expect you're going to be able to take data from high doses where you're breaking cells and extrapolate down what's going on in homeostasis. There's just too many (indiscernible). And then, how can homeostasis play out? Well, it'd be partial, in which case you have not quite as much effect of the carcinogen (indiscernible) Or it could be complete, and that could look levels. like a threshold. Or it could even overshoot and look beneficial. And (indiscernible) I know in a couple papers, that once you induce things (indiscernible), you clear out other damage that's been accumulating for other reasons just over the past 10 years or six months in an experiment. And so that's really great. And then what exactly happens, you don't know in your case with your carcinogen and your model, and probably your human volunteer, which is probably more (indiscernible) until you do the experiment. So, then you have this policy issue of, well, if you don't know, what do you decide? And (indiscernible) people (indiscernible). Oh, and homeostasis depends on age.

So, the number one characteristic of aging is not that 1 2 your basal (indiscernible) go down a lot. It's just that you can't depart very much without dying. 3 4 this is just one example of how much blood loss does it take to kill a rat? Well, it decreases with age, 5 because they can't do homeostasis. But there are 6 7 similar experiments with (indiscernible) and so forth. 8 So, that also is going to factor into the 9 (indiscernible). 10 Now, so then the question is, well, if I don't think should be a threshold, are there 11 12 (indiscernible) thresholds? Well, so let's go through 13 the data. And I'm not going to talk about cancer. 14 I'm just going to show you some data for signaling survival in mutations. 15 16 So, on the left is an apoptosis. 17 (indiscernible) experiment we did in apoptosis.

So, on the left is an apoptosis. This (indiscernible) experiment we did in apoptosis. But I just want to point out (indiscernible). On the far left one -- so, there's a (indiscernible) which is a reparative (indiscernible) knockout mouse. Increasing UV doses, how much apoptosis do I get? Well, so there is a (indiscernible) increase. Looks like for the

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wild type, there's a little curve at the bottom. 1

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down; so not linear.

2 So, there is a little curve down here. So, you could say, okay, great, there might be a 3 4 threshold. And then if you look here, there is not (indiscernible). Here's p53 induction. Now you've got different (indiscernible). MDM2 induction is really interesting. You see it goes up and comes Over here we have (indiscernible) this is a repaired (indiscernible). And so it goes up and comes

And the (indiscernible) biology we think about these things is we're not looking at how things change from the y-axis. You need to look at how things have changed on the x-axis, (indiscernible) modification factor. What repair has essentially done is scrunch everything to the left and it thinks it's at a higher dose than it is, because it wasn't comparing anything.

Now, why should it be that complicated? Well, here's the biological circuit, so you (indiscernible) it's supposed to be in charge of apoptosis in this case. This is regulated by

(indiscernible) two, which is regulated by some other guys, who form a loop with p53, and the notion is that this all keeps p53 from turning on too much.

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For UV, actually, the apoptosis done by E2F1, and this is the modulator up here. And then (indiscernible) loops here you've dose responses and we don't know what they are until we actually do the experiment. Again, that's, I think, a general problem with what we mean by causality in biology. What do we want to know here? You know, who (indiscernible).

So, that's why (indiscernible).

Survival dosage process. See, it's the same sort of theme. This is the classic. So, if the repair defect of this -- repair defect goes in a straight line, increasing those, goes straight down (indiscernible) kill it. If you can do repair, there's (indiscernible) here which kind of looks like a threshold, and now eventually you get to something like when we do loglinear (indiscernible).

Loglinear, what that means is that each (indiscernible) increase kills the same percent it killed in the (indiscernible). So, you kill a certain

percentage in the first (indiscernible) dose. 1 2 Whatever is left, you kill the same percentage 3 (indiscernible) that's going to get a straight line no 4 matter what occurs. And it's almost like the (indiscernible) hypothesis (indiscernible) kill 5 something (indiscernible) there. 6 7 And these other guys would just show 8 you that, well, this is the (indiscernible) of the 9 thing, and it doesn't really matter. You see it with 10 other kinds of agents and other kinds of 11 (indiscernible). So, over here is your 12 (indiscernible). The shoulder is your homeostasis 13 part, and here is (indiscernible) cell part. Now, mutations. So, this is HPRP for 14 15 alpha rays which are considered non-repairable 16 (indiscernible) on a straight line. You see the other 17 guys are mostly kind of curvy and (indiscernible) 18 different (indiscernible), while being resistance is a 19 similar sort of thing. 20 It doesn't depend on drug selections, 2.1 so here is something (indiscernible) whether you're 22 just (indiscernible). You can see these guys are --

the ones at the bottom are wild type and these are

(indiscernible) where it goes. So, great.

(indiscernible) graph (indiscernible)

are almost always not (indiscernible) on

(indiscernible).

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MAN: We can't hear you over here.

DR. DOUGLAS BRASH: Oh, okay. So, the mutation plots are not usually plotted to the model. Oh, yeah, before I go there, I want to show you one other cell. Here's an example where you see -- so this is a mutation (indiscernible) still plotted in the ordinary way.

You see this increase here has a little bit of a curve down here. What they did in this experiment (indiscernible) they (indiscernible) cells and let them get different lengths of time before DNA replication. How close can you get to DNA replication? And if you get really close in DNA replication, you start seeing this little curve. These guys are linear, but that just means you had enough time to do repair.

So, this little curve down here, you

1 notice it's sloped; it's the same as this other one.

2 MAN: (indiscernible) closer to this

3 microphone.

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DR. DOUGLAS BRASH: So, it's not really so much that there was a threshold dose. It's just that you didn't have this catastrophic effect of UV radiating the cell right next to DNA replication.

Now, the other thing I want to talk about. So, (indiscernible) plot mutations on a log scale, right? So, there are some papers that do that. And now, what does it look like now? Well, you get --you're not really seeing anything that looks like a threshold. In fact, it's quite steep near zero. So, why was this (indiscernible) highest (indiscernible). It's in the first finger of the (indiscernible). Oh, well, okay. (indiscernible) more survivors. But this is mutations per survivor. So, that's not it. So, what's going on here?

Here's a paper from (indiscernible) where they actually brought in the exact same data, but (indiscernible) if you look at it on a non-log plot, you'd say, oh great, a threshold (indiscernible)

down (indiscernible) it goes. And if you plot it on a log plot, you'd say, oh wow, most of the action is down here at (indiscernible). So, what's going on?

Why is this?

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And it dawned on me as I was thinking about this that, oh, this is actually just algebra, although it's going to be misleading algebra. What happens is that mutation frequency, mutations per survivor, so that's mutations per initial cell divided by surviving cells per initial cell.

Surviving cells per initial cell is not just survival. Mutant cells per initial cell is something nobody ever talks about. You know, it's not a thing. But if you do that, you see what you're going to have is one line is an exponential divided by an exponential, and no wonder you get these funny curves. And so, if you plot that on the log, you get the shape that I was telling you about. But if you plot this same thing (indiscernible) ordinary way, non-log, well, it looks like we have a threshold.

So, I'm now really suspicious of

thresholds, and I think it'd be important to be really

clear on what our definition is on that threshold.

And I'll come back to that briefly again.

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So, conclusions, two. Survival seems to show a real threshold, as reflected in homeostasis, unless the cells are repaired efficient, which is going to matter when you're looking for volunteers (indiscernible) homeostasis (indiscernible).

Mutations can show a pseudo-threshold, if you're not plotting it as a log, in one of these two ways. That absence of the catastrophe curve or this

So, lessons from (indiscernible) about thresholds and single exposures. These are more or less just (indiscernible), but they're indicative of things that we saw a lot of in the last talk. So, there's evidence of melanoma cells, or cells derived in tissue culture that came from a melanoma tumor are in fact deficient in post-replication repair.

(indiscernible) consequence.

Then there is something that -- we've got a paper coming out on in a few weeks that I call attention to this -- but there are recurrent (indiscernible) mutations in (indiscernible). The

mutation (indiscernible) are 100 percent 1 2 (indiscernible), which is the UV signature. And it 3 shouldn't be 100 percent. It should be 60, 70, 75 4 percent, maybe, and a repair effect of like 5 (indiscernible) pigmentosum patient, this may be 90 percent. So why are they 100 percent? So does that 6 7 also tell us that the patient or the cell became a 8 melanoma was (indiscernible). 9 So, Dr. (indiscernible) your volunteers 10 have a (indiscernible) dose. And so that would be 11 just for survival. 12 Then there's single UV exposure story 13 in melanoma. There's a couple of them. So, the famous one, (indiscernible), is that 14 15 epidemiologically, there's a correlation predicting 16 Sunburns in childhood are a strong risk factor. risk. 17 And so the notion is that after you get a strong 18 sunburn in childhood, you did something and that 19 predisposes you to a melanoma (indiscernible) years 20 later. There are some caveats. Sunburns in 2.1 2.2 middle age could also increase your risk. And sunburn

could just be a (indiscernible) of skin type

(indiscernible) like fair skins. Oh, I should say

that you may or may not know that product sunlight

exposure, which is to say farmers and fishermen get

basal squamous carcinoma through (indiscernible)

cancer, and that seems to be a little bit protective

with melanoma.

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And then there is this whole other story about melanoma being correlated with childhood sunburns. So there is this notion in the melanoma field of acute exposures. How solid or flimsy that is, I don't know.

But motivating that, people looked in mice and they gave mice a (indiscernible) on UV exposure, then you can get melanomas. And those melanomas have UV signature mutations. There's a caveat. These are transgenic mice. First of all, mice don't have melanocytes in the epidermis, so you break from (indiscernible). And then there are other genes to knock out. And then the notion is that, well, okay, (indiscernible) some of the steps, but we can at least do an experiment in a reasonable time.

You could ask whether, okay, waiting for those other steps is what took a long time and why this is not working (indiscernible).

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Then there is a paper that just came out from the (indiscernible) lab. They did four UPV plus UVA exposures and they got melanomas sooner than when they did clonic exposure. Which, again, reiterated some of what you heard in the last talk.

So, what are the mechanisms for the single exposure effect? So short answer is we don't know, but there are a few things proposed. The classic one, and the quite reasonable one, is that single UV exposure does make melanocyte proliferate. So the notion would be, okay, now you're stimulating the cells from (indiscernible). And then that's now written into your genome.

There's a more central story, which if anybody gets interested, I wrote a "News and Views" on it, so you can find it on (indiscernible) on somebody else's experiments. But there's a feedback (indiscernible). One is you can induce melanomas in tissue cultures with just growth factors and no

1 mutagens at all. And you get the melanoma anyway.
2 It's reversible.

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Then what the Walker lab did,

(indiscernible) is they used a (indiscernible) cross

to ask, okay, if we make all these mice with different

genotypes, can we find polymorphisms that will

accelerate the neonatal UV phenotype, neonatal

(indiscernible) phenotype.

So, they went to all this work, and what they found was that there were just a couple of genes that do this. And what they do is there are (indiscernible) related genes that are UV inducible. So it looks again like they're doing something with physiology. UV is changing the physiology of a cell and that somehow accelerated (indiscernible).

And in this paper, we've shown that your gene (indiscernible) single basis for UV (indiscernible) which we cite (indiscernible) that are a hundredfold more likely to get a (indiscernible) from elsewhere. These are sitting in regular (indiscernible) genes. They're sitting in some of these recurrent mutation sites. And they're so

1 frequent, the mutation frequency is now on the order 2 of one percent. And so, every -- oh, and 3 (indiscernible) certain metabolic pathway.

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So, if you go to the beach, every one of your cells is going to get hit in every one of these pathways at least once, given (indiscernible). So, it's almost like an epigenome mark now. whether this is required for cancer to be able to (indiscernible).

Another (indiscernible) from a paper from Jimmy Cleaver, which I think got kind of buried in the (indiscernible), but I think it's really important. What they have, they were trying to do deep sequencing of UV-induced mutations. And they started out with (indiscernible) cells, but you don't want to have to keep using different cells strains. Well, that turned out to be a bad idea because (indiscernible) cells have 10 to hundredfold higher background mutations (indiscernible) it's just a spontaneous mutation.

Then they went and (indiscernible) radiated them and the mutation frequency went down,

not up. So, that's just kind of (indiscernible). So 1 what is happening here? And so the bottom panel 2 (indiscernible) look more closely at what these were. 3 4 And so, what they have in the spontaneous 5 (indiscernible) cells were (indiscernible), subclones -- you get these big clones, little clones -- and what 6 7 happened was that the UV exposure was killing off the 8 little UV clones and sort of favoring the large 9 (indiscernible) clones. And so, they were thinking 10 about this (indiscernible) on one hand is it 11 (indiscernible), or on the other hand, just a little 12 bit like things that happen in a species' evolution, 13 where you constrict the number of genetically 14 different individuals in the population. 15 So, again, there is something that can It's sort of like purifying selection in 16 happen. 17 evolution. And it could happen with a single UV 18 exposure. So, the conclusion here is that melanoma 19 may involve some no-threshold and single-threshold (indiscernible). 20 2.1 And then last, I'm going to go out of 2.2 my area of expertise and just make some comments on

some things that I noticed as I was going through some 1 2 of this literature for this meeting. Although it's 3 sort of relevant to things that we have to deal with, 4 like the question is, oh, is it bad to get a sunburn, 5 is it bad to get a tan? And how does that affect your risk? 6 7 So, the number one thing that amazed me 8 -- I have to say, I started reading some of the (indiscernible) in particular, with the hope of 9 10 identifying what the errors were. And I came away 11 more impressed than with a list of errors. However, I 12 did find a few things. So, this is a graph from a 13 paper that was (indiscernible) is officially a part of

the (indiscernible) journal issue around -- with

different people commenting on it.

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So, they're talking about -- so this is an example of a non-linear threshold. Okay. Well, what was the equation? Well, it's r=ADQ. And r=ADQ, if I follow it on the log, it's going to be a straight line. If I magnify this part of it, it's going to just look like that. So, it's a terrible example of the threshold. It's just not really there.

1 And so, if this the kind of thing 2 people are dealing with in threshold, then you've 3 really got to get a handle on (indiscernible) of the 4 question. 5 Now, they have a linear model that had its own sketchy origins, going back -- this is mostly 6 7 taken from Calabrese's paper. First, I understand it 8 was (indiscernible) present. We're going to have to trust him on the history digging that we do. A bit of 9 10 a character, but I think we (indiscernible). 11 So anyway, initially, a lot of this 12 started with just target theory. Talking about a 13 physicist. This would be before we knew about repair 14 and any of these other homeostasis things. And so the 15 idea was we would just have like numbers 16 (indiscernible) exponential (indiscernible). So there 17 at the time, except they never published the 18 calculations, so it's a little bit scandalous, I 19 think. (indiscernible) calculations (indiscernible) hit on that. 20 2.1 Then the next generation, you had the 2.2 (indiscernible) mouse experiments, which were an

1 attempt to actually major frequency (indiscernible).

2 But I guess there was -- or I'm gathering there were

3 statistical errors in the control population.

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Then there came the models. Too bad

Kenny couldn't be here, because he could explain all

this better than I. But you have these various

mathematical models and then you ask how they can

extrapolate down to a (indiscernible)?

Well, one of them was the idea that the mechanism must be the same for spontaneous and genotoxin-induced cancer. But we know that can't be right, because we see different (indiscernible) mutations in spontaneous cancers and reduced ones. So, they are different things.

But all of these models -- and this is important (indiscernible) -- for everybody to keep in mind that I have to deal with daily whenever I'm dealing with (indiscernible). Whenever you've got an equation or a model, there is some assumption underway. You've got to find out what those are, because you don't what the guys assuming. And particularly if he's pulling off programs off the

1 | shelf. And are these models assuming (indiscernible),

2 | just to be (indiscernible) or something, for example.

Are they assuming this, that or the other

4 (indiscernible)?

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So, I've listed here some of the assumptions that go into these models. I'm in no way competent to judge any of these models. But these are the things we should all be asking your bio (indiscernible) and model makers, hey, what about this?

So a lot of the assumptions in those early models -- and I haven't even looked at the main ones; I got up to about like 1980-something -- thought that there would be a chronic exposure, assumed the tumors were (indiscernible) clonal. But there's no growth advantage until all the hits occur, so that, you know, each driver is not contributing, in those early models, anyway. Nothing happens between here to the end.

And now there's a concept of backseat drivers, which are the strong (indiscernible) drivers, but I think that's a little closer to the

(indiscernible) truth.

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Then also the assumptions that cancer increases monotonically (indiscernible). Not an experimental observation. An assumption that went into the equation. Okay? And things like no repair or cell death.

And I was looking at a recent review of Kenny (indiscernible), and he was kind of -- seemed to me, despairing of actually having a biology-based model, because it's too complicated. And I have to sort of sympathize with that. And I have some thoughts. We'll save it for discussion, as to what may be an alternative to coming up with theoretical dose responses. But I can save that for later.

So, here then are (indiscernible) which I guess the terminology has moved to (indiscernible) responses is just a way better idea, because (indiscernible) is so (indiscernible). And I see didn't people see different percentages in this. But it seems to me it happens. It seems to be what you'd expect homeostasis. There have been a few things (indiscernible) there, because you haven't broken the

cell. The cell is trying whatever this is you're doing to it.

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And so, it seems to me a reasonable phenomenon. You don't know whether it's happening to you in your system and your carcinogen or not until you do the experiment. But I think there are some conclusions, nevertheless, that you can draw. So, here are my overall conclusions.

One is that biology offers no reason to expect a linear or monotonic dose response or expect a fresh (indiscernible). You might find one (indiscernible). Homeostasis implies that the (indiscernible) within the system's operating range, a genotoxin will have a smaller impact, for some reason or another, at that low dose, because your cell is trying.

Yet -- and this is not my analogy; it's something else having to do with the skin cancer -- even if that's true, it's not a good idea, just because your office has a fire department, doesn't mean you set fire to your wastebasket to turn on the fire sprinkler to prevent a fire. And so, say we did

have low levels of radiation everywhere. And say we 1 2 even have a homeostatic (indiscernible) protecting us. 3 That's not a good reason to say to say we should have 4 our fire sprinklers on all the time, I don't think. That's a little different from today's question where 5 you're talking about just one or two doses. 6 7 still, I don't think we would want to (indiscernible) 8 on (indiscernible) as an operational living principal. 9 Then if you see toxicity, that means 10 you're outside the operating range, so you're breaking 11 the cell. And that (indiscernible) is different from 12 breaking homeostasis (indiscernible) extrapolate one 13 from the other. For survival, there do seem to be 14 thresholds if the killing is due to reparable lesion 15 and the cell is repaired proficiently. 16 17 (indiscernible) So that gives you some hope, but the 18 question is, okay, do we know which does range we're 19 in (indiscernible) percentages. 20 And for mutation, there is a no obvious 2.1 threshold dose that I can see. Because the low dose 2.2 has had a larger mutation frequency per dose.

you could ask yourself, do I care about the mutation increase per dose, the steepness of the curve, or do I care about the absolute value?

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And that's a little bit like asking do
I care how much the interest rate is on my money, or
do I only care about the amount of money I have? And
I could be getting six percent as a post-doc and not
have very much money in my bank account, I still get
six percent when I'm about to retire, but it's a
little more money by then. But it's been six percent
the whole time.

So then the question is which do you care about, the total mutation prevalence or the rate at which you may (indiscernible). And then, that pretty much covers that.

And then we have these dilemmas, which

I will only spend one minute on because it becomes

apparent. So, do we have thresholds? Do we

(indiscernible) on a threshold if we can't measure it?

Single exposures, do we (indiscernible) that they're

harmless if we haven't done the experiment?

And then this ethical question of like

	Niceting November 4, 201
	Page 129
1	how close to the railroad tracks do we want to let our
2	kids play? You know, if it's a gray area where we
3	can't measure it, what kind of decision do we make?
4	And then the question of who decides on
5	(indiscernible) add other (indiscernible). This might
6	stuff might be on the end, but okay.
7	And if I can answer questions
8	DR. AISAR ATRAKCHI: Are there any
9	questions?
10	MAN: Well, thanks a lot for that talk.
11	That was great.
12	DR. DOUGLAS BRASH: Uh huh.
13	MAN: I haven't seen some of that data
14	for (indiscernible). Thank you, thank you.
15	MAN: (indiscernible)
16	DR. DOUGLAS BRASH: The person who
17	should really be here is (indiscernible).
18	MAN: So, you really sit a spell with
19	someone who (indiscernible). Unfortunately, most of
20	the people associated with it are either dead or
21	retired.

MAN: (indiscernible)

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1 I was wondering if you have a 2 (indiscernible) the EMS that was generated with the 3 (indiscernible) if you have an (indiscernible) issue 4 where they claim to have a threshold or a practical 5 threshold permutation that was used to develop a (indiscernible) decision that there was no risk 6 7 associated with the EMS examination that was 8 experienced by some patients. 9 DR. DOUGLAS BRASH: I haven't seen it. 10 I'd be interested --11 (indiscernible) MAN: 12 DR. DOUGLAS BRASH: Yeah. Did they 13 have (indiscernible) basis for why there was such a 14 (indiscernible)? 15 DNA repair (indiscernible) was MAN: 16 reducing the (indiscernible). But it was a situation 17 where there was a really high -- this is a transgenic 18 rodent (indiscernible) where they showed a shoulder 19 and then an increase in (indiscernible). But there 2.0 was a really big background to this assay, with a big range, depending on how many animals you used in it, 2.1 22 as far as the standard deviation.

1	So, you mentioned the mega mouse
2	experiment. It looks for the world that the bladder
3	tumor incidents looks like a threshold with response,
4	but would you really look at the statistical data if
5	you have any kind of background at all with an error
6	associated with it? It's almost impossible to
7	distinguish at a low level of exposure whether or not
8	you're dealing with a true threshold or just a shadow
9	of dose response, because you're always within the
10	(indiscernible) at low doses.
11	I was wondering how you feel about
12	using benchmark dose rather than (indiscernible) for
13	accepting (indiscernible) acceptable limits.
14	DR. DOUGLAS BRASH: So, you might not
15	be so, since I'm an amateur in this
16	MAN: Okay. Maybe I'm getting into the
17	wrong (indiscernible). All right. I'll
18	(indiscernible). But I wouldn't hear about it, but
19	okay.
20	MAN: Maybe (indiscernible).
21	DR. DOUGLAS BRASH: I guess the answer
22	is I don't have a current feeling, but I may have to

Page 132 1 fill you in. 2 So in the mega mouse study, WOMAN: (indiscernible) on a parallel study (indiscernible). 3 4 And in the bladder, the adducts were (indiscernible) 5 but the idea was that the threshold was caused by an event (indiscernible) this (indiscernible) profile. 6 7 So, at a certain dose, you increase (indiscernible), 8 and that's when the tumors (indiscernible)? But you 9 had adducts (indiscernible) adducts plus 10 (indiscernible)? 11 DR. DOUGLAS BRASH: So, that would be a 12 nice mechanistic reason. 13 Yeah. WOMAN: 14 DR. DOUGLAS BRASH: Yeah. And then the 15 question is how do you ever know in each particular 16 case if that was going on. Well, you don't. 17 WOMAN: 18 DR. DOUGLAS BRASH: Yeah. 19 WOMAN: Obviously. 20 I'll admit it's (indiscernible). MAN: 2.1 Well, in addition to the mega mice study, of course, 2.2 there's the mega rat study (indiscernible), and

there's the (indiscernible) study on (indiscernible), 1 2 which were intended for higher numbers. And we vandalized all that data as well for the 3 4 (indiscernible) agency in the U.K., in trying to justify the numbers they've used to permit a genotoxic 5 substance in food. 6 7 And as you'd expect, the answer is you 8 cannot say with confidence in any of those studies 9 that there is a threshold, based on the empirical 10 observation, because you're not at the range of the 11 acceptable risk in humans. And so you need diagnostic 12 studies, and they don't exist for the majority of 13 those data. 14 DR. DOUGLAS BRASH: It's almost like 15 we're in position with the military. You have to make that decision, but you don't have enough information, 16 17 and it's serious, but what do you do? 18 Thank you for the presentation. WOMAN: 19 I really enjoyed it. Seems from the one conclusion 20

I really enjoyed it. Seems from the one conclusion that no (indiscernible) cannot be (indiscernible) from the high (indiscernible). And this also (indiscernible). So, in the clinical situation, the

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(indiscernible) is very (indiscernible) almost on the 1 2 (indiscernible) him speaking. Therefore, it's very hard to make call whether we can do the 3 4 (indiscernible) test (indiscernible) for that when you 5 find at very high dose the animal model and you see the (indiscernible). So I did not see from today's 6 7 discussion (indiscernible). So, my question is how to 8 9 (indiscernible) a rat, whether we are allowed 10 (indiscernible) we know the (indiscernible) low, much 11 lower compared to the observed (indiscernible) animal 12 model. 13 Yeah. I agree --MAN: 14 DR. DOUGLAS BRASH: I'll just say then 15 one word about what I was going to say and decided to postpone until the discussion. Getting a dose 16

response is more than any kind of equation, you're taking -- what made me really think about this was you raised, I think, the issue of weight -- somebody had mentioned the issue of weight or reference is that relating to. And what does that even mean? And if you get any kind of equation,

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1 | you've got -- okay, so it's Ames-positive,

2 | micronucleus-minus. Do those cancel each other? Do

3 they add? Or you know, what do they do? And so

4 | there's two general kinds of computations. One's

5 called a blending computation, where you just kind of

6 mix things up and out comes the numbers.

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The other sort of thing, there's a thing called a particular principle, and this is the way genes (indiscernible) is you've a gene for red, a gene for white. You mix them, you don't get a pink gene, you get a pink cloud. Okay? And you retain at the beginning the identity of the individual compounds at the lower level. But it requires this hierarchal computation. So, what I'm wondering is whether the solution to this -- well, it would be nice to get better vision so you could make (indiscernible).

But the other stuff, we've essentially got a whole bunch of carcinogens that we know a lot about. Can you come up with basically a clustering scheme where you find out, okay, there's 30 different groups of known carcinogens. I've got a new chemical. Which of the 30 does it (indiscernible) based on all

of the tumors that we know about? And then there would be a way of getting the information that we don't really have. And then this only works, of course, if you're on clusters.

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WOMAN: Thank you.

DR. AISAR ATRAKCHI: As I mentioned earlier, Dr. Crump wasn't able to be with us today due to a death in the family. We're going to try to get some of the high points of his presentation. We had originally reached out to Dr. Crump as a mathematician and might be able to provide some estimate of the cancer risk, the low number of doses of a Amespositive drug. It was sort of our original motivation for reaching out to him.

And I'm restating the question for the workshop here. And an acceptable answer to this question was somehow take into account some measure of the (indiscernible) genic or injected carcinogenic potency of the drug. Even though we have a full suite of typical data on the drug candidate, making credible estimates of cancer risk would be very difficult.

Most of these data would be typically from chronic

exposures (indiscernible) short-term level exposures
would require (indiscernible) data on chronic
exposures, making (indiscernible) of this
(indiscernible) impossible to extrapolate from
chronic exposures to the (indiscernible) doses.

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He's premising to some control of human inhalation exposure studies. I'm going to leave this to you to look at. I will try to forward this audio presentation in the next few days.

On (indiscernible), rather than estimating a risk, he sort of came up with a model that we might be able to use, using a (indiscernible) chemical. For this exercise he chose a nitrite as the comparative chemical. And that nitrate seems to be unique and then it's an Ames-positive chemical that (indiscernible) present in (indiscernible) small amounts. And it's positive in TA-100. And he's stating here that nitrate has not been shown to be carcinogenic. And yet he did two-year carcinogen studies in mice and rats that seem to be negative. However, IR has -- I don't know if it's listed -- nitrate is probably a carcinogen, based on use in food

as preservative. Maybe the panel could discuss this, if they choose to go down and talk further about this.

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maximum consumption of some (indiscernible) containing 200 parts of (indiscernible) nitrite, and this would be equivalent to 17 milligrams of nitrate per three-ounce serving of fish. The WHO maximum daily dose is .13 kilogram of nitrite. This would be equivalent 8.9 milligrams for a 150-pound person. And the WHO maximum recommends daily intake of .05 milligrams or kilograms of nitrite. It's equivalent to 3.4 milligrams.

More or less, he's wanting to use nitrate to employ this as a comparator in the Ames assay. I can't really speak to his math. More or less, he wants to use nitrate as a comparator in the Ames assay with a chemical of interest. He's making reference to (indiscernible) with 1997 paper, where protecting (indiscernible) on the Ames assay.

He suggests that nitrate may be tested concurrently with a candidate drug using the same experimental protocol, same salmonella strains,

(indiscernible) protocol, et cetera. He suggests that
the lower bound be used as a maximum (indiscernible)
exposure rather than a (indiscernible) estimate.

Decisions would need to be made
regarding how to use the data from those strains
(indiscernible) protocols, use them to find maximum
(indiscernible) exposure. This approach could not
place any restriction on the number of days a

9 volunteer could be exposed. This is in keeping with 10 the fact that based on the maximum daily exposure

limit, or nitrate, which also do not have such
restrictions. Prudence would dictate that exposures

should only last for the minimum number of days

(indiscernible) answer the scientific question.

Exposure to the drug candidates'
maximum daily exposure will entail some mutagenic
potential, as exposure to an amount of nitrite
allowable by U.S. FDA (indiscernible) positive
chemical (indiscernible) found to be capable of

causing cancer.

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Here he is showing the positive for sodium nitrate and ta-100. He's also comparing it to

some other known carcinogens. Here he is 1 2 (indiscernible) the potency of Allyl Urea versus sodium nitrite. Here sodium nitrite is supposed to 3 4 have a higher potency. Here there is (indiscernible) amino azobenzene, here (indiscernible) aminozobenzene 5 is a higher potency. The idea would be to use the 6 7 slope of the line to slope the dose response to sort 8 of a maximum daily exposure. 9 And aminoazotoluene, again, has a 10 higher (indiscernible) count than sodium nitrate, 11 which is the comparator. Similarly, for another 12 carcinogenic, it also has a higher potency than sodium 13 nitrate. 14 This is all in his essay. 15 (indiscernible) of this approach is straightforward and easily implemented. Takes into account the 16 17 mutagenic potency of a drug candidate. Does not 18 restrict the number of days that the (indiscernible) 19 exposure per day. It's based on the precedent sent by U.S. FDA. (indiscernible) positive chemical. 20 2.1 This approach ensures that maximum 2.2 daily exposure for a candidate, drug has an equal

(indiscernible) FDA's maximum daily exposure for nitrite. And then he's basically using the slope of the dose response (indiscernible) it's curved to (indiscernible) maximum daily exposure.

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And I guess the panel could address this this afternoon. Thank you.

MAN: If you're compelled to respond, because my name was flashed all over the place. But one point that hasn't been made is this all assumes that there is a correlation that goes -- a potency correlation between Ames-test mutagenicity and rodent carcinogenicity. And if there's going to -- this afternoon, I have a slide that shows that the correlation is zero. Approaching zero.

The thing is, the Ames-test potency doesn't even correlate with other in vitro endpoint tests of (indiscernible). The Ames-test potency and (indiscernible) potency do not correlate. So why would you expect it to correlate with carcinogen (indiscernible). And it assumes he doesn't. And essentially, everything that these graphs show assumes a correlation.

1 And this is the math that Yes. WOMAN: 2 he suggested -- he couldn't really answer our direct questions. 3 4 MAN: (indiscernible) He also (indiscernible) 5 WOMAN: critical. What is the threshold (indiscernible)? 6 7 (indiscernible) the last WOMAN: 8 question because he's not here. But what slope is 9 critical? So at what point do we say this is not safe 10 and not given (indiscernible). And what slope is okay 11 to give? And if it's okay to give, how many doses do 12 you give? So, I mean, this might be a start, but 13 there are lots of steps I think that needs to be filled. 14 15 (indiscernible) the assumption WOMAN: 16 that nitrite is a model for all chemicals. I mean, 17 that's really a problem. Carcinogens do a lot of 18 different things, so it's gene (indiscernible). And 19 chemicals, you just can't (indiscernible) them 20 (indiscernible) that they won't (indiscernible) and 2.1 that's (indiscernible), which otherwise is a reason 2.2 why (indiscernible).

1 I do want to call out the MAN: 2 concepts here, because I think there is merit to the 3 And that is it's trying to -- it's talking concept. 4 about quantity of risk against something that I think we understand, in this case something that is sodium 5 nitrate, at which level we think is safe. 6 So, it's 7 (indiscernible) to compare that, an unknown to a 8 And we could also compare where that unknown to a known hazard as well. That's not something we 9 10 typically do. I think even in a (indiscernible) 11 12 consent, going back to the bio (indiscernible) talk, 13 if there's an (indiscernible) that, you know, your 14 risk in this is in the ballpark of getting a dental x-15 ray. It's an experience shared by everybody. would resonate. Maybe they would have better 16 17 communication that way. 18 I think the flaw in this particular 19 approach, of course, is that the metabolic (indiscernible) is sodium nitrite is going to be 20 2.1 different, very likely different, from whatever 2.2 chemical it is that you're testing. (indiscernible)

Page 144 contrast agent, which is 10 to the 10th years. 1 2 that a half life or is that a (indiscernible) life? 3 You know, I wonder what the slopes 4 would be between sodium nitrite and something like I think that explains that the lack of 5 correlation in terms of potency. So, this is flawed, 6 7 but I do want to call out that concept because I think 8 there's merit in there. 9 Just try to show you MAN: 10 (indiscernible) doses he was proposing (indiscernible) 11 at the bottom row, the lower bound, like for 12 (indiscernible) he's saying, according to 13 (indiscernible), 58 were -- I guess the lower bound, he was proposing to administer the lower bound. 14 15 I'm not trying to endorse his proposal. I think that was what he was trying to get at. 16 I think we're scheduled to have lunch 17 18 from 12:00 to 1:00. The panel discussion will begin 19 at 1:00 P.M. 20 (Break) DR. AISAR ATRAKCHI: Okay. Good

DR. AISAR ATRAKCHI: Okay. Good
afternoon, everyone, and welcome back to the second

session of this important workshop. I am Aisar

Atrakchi. I'm a pharmacology toxicology supervisor in
the Division of Psychiatry at CDER and I am a coorganizer of this workshop.

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In the morning session, you heard Dr. Robison's introduction and background for why we are holding this workshop with the emphasis on a few key words: Healthy subjects, number of doses, mutagenic DNA reactive drug, and cancer risk estimate.

We heard why this information is of importance and the roll it's played in the development of generic drugs, discussed by Dr. Dorsam, where clinical bioequivalents, clinical study, enrolled healthy subjects relying on the information of the listed referenced drug as stated in the drug label, and also the importance of this for the beginning -- the early stages of drug development Phase 1.

This paucity of the information on the topic of this workshop was clearly presented by Dr.

Petibone through the results of the extensive and exhaustive literature search that he and Dr. Shemansky carried out over the last year for many months, and

continue to do so.

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We also heard from our two experts in the field, Dr. Brash explaining the process and steps encountered from a mutation to tumor induction and the role of pills, dose rate, and duration of exposure and the presentation by Dr. Crump, at least the slides, using mathematical and statistical approach to cancer risk prediction.

We also heard from Dr. Prohaska addressing the ethical issues and concerns enrolling healthy subjects in clinical trials. For this afternoon's session, we have assembled some of the best experts in the relevant scientific fields and have prepared a number of questions to engage and stimulate the discussion, including the points made in the morning session.

At the end of today, we hope to gather information from the panel discussion that will advise and assist the agency to better understand the current scientific thinking of allowing safe dosing of a mutagenic DNA reactive drug to healthy subjects without increasing their cancer risk.

So with that, I'm going to go through the first question, but some of those questions are also repetitive, more or less, but -- and I tried to gather, to put some of them together to address at the same time. So question one, how many doses of an Ames-positive drug, DNA reactive drug that can be safely administered to healthy subjects? Can it be administered at all? dose, two, or up to four doses? And if it is okay to administer these one or more doses to healthy subjects are acceptable with a mutagenic, how should the study be designed? I think let's go to first through the first question and then we'll go -- move on to the next one. If it's okay, then we'll move to the next question. So what I would like to do is, if possible, starting from my left, introduce the panel, introduce yourself with -- and your affiliation and very brief background. DR. ROBERT HEFLICH: Hi. My name is Bob Heflich. I'm from the FDA and NCTR, which is in Arkansas. We're a research center for the FDA.

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have no regulatory role. We're strictly here to 1 2 advise and help the product centers. I've been FDA employee since 1979, coming out of Veronica Maher's 3 4 ranch -- slides were given earlier in a talk. 5 And for the last six-plus years, I've been director of the Division of Genetic and Molecular 6 7 Toxicology. Over the hears, I've been involved in a lot of in vivo mutagenesis-type studies seeking to 8 develop methods that could be used for -- to 9 10 compliment the in vivo (indiscernible) assays that are 11 generally used for in vivo assessment of gene tox 12 using a gene mutation influence. 13 The most commonly used today is the 14 transgenic rodent assay which appeared in the late 15 '80s and has developed into an assay over the '90s and 2013, I think, is the last OECD test guideline 16

transgenic rodent assay which appeared in the late '80s and has developed into an assay over the '90s an 2013, I think, is the last OECD test guideline version. More recently, I've been involved with developing the PIG-A gene mutation assay and we're currently engaged in trying to get a OECD test guideline for that approved.

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The other -- the major research initiatives in the division are to explore the use of

error-corrected next generation sequencing for evaluating sequence changes. I think that's -- this has a great potential to revolutionize the practice of genetic toxicology and perhaps we'll find usefulness in regulatory applications.

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The other thing we do a lot of is in vitro tissue models, is a more of a risk characterization tool for genetic toxicology, so I think I'll stop there. Doug?

DR. DOUGLAS BRASH: Okay, thanks. МУ name is Doug Brash. I'm basically a biophysicist --I'm at Yale -- basically a biophysicist who ended up working on how sunlight causes skin cancer. We started out in some of the biophysical events looking for the mutagenic photo products, back in the days when we were just able to look -- use DNA sequencinglike technologies to locate them and found out which ones are mutagenic.

We found out that mutations aren't just coming randomly from (indiscernible), and then we started -- said somewhat foolishly, well, gee, can we find the genes that are hit by sunlight causing -- in

order to cause skin cancer. And in retrospect, amazingly enough, that worked and we found what came to be called UV signature mutations (indiscernible) of other genes in skin cancer.

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And then, we started to worry about (indiscernible) anyway and that got us into the apoptosis story and (indiscernible) expansion that I alluded to a little bit earlier. Lately, we've gone into some unusual chemistry where UV (indiscernible) will cause DNA damage, even in the dark for hours after leaving the beach, so we're trying to follow that up. It involved melanin.

We think it may be involved in other diseases besides skin cancer, like you have melanin in your brain, for example, and also we're trying to use detection -- sequencing-based methods toward detecting mutations in, right now, DNA photo products (indiscernible) skin to get an objective measure of what your past sunlight exposure is -- was, so that we can maybe devise a measure of risk so we can tell people, you should go see your dermatologist once a year.

DR. ALAN BOOBIS: Good afternoon. I'm Alan Boobis. I'm emeritus professor of toxicology, Imperial College London.

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I retired from my fulltime position a year or so ago where I was at the postgraduate research department of Imperial for 40 years as a academic research worker and over that time, my research has involved a variety of different activities including mechanisms of toxicity and carcinogenicity and the genetic toxicology of polycyclic aromatic hydrocarbons (indiscernible) the toxin both looking at in vitro, in vivo assays as a means to an end, to try to understand the mechanisms of activity and experimental models in humans.

The department I was in was a department of experimental medicine in the medical faculty, and as such, I have been exposed to medical research for my entire academic career, which includes conducting and participating in Phase 1 trials of new drugs and also doing exposure of human volunteers to radiation for experimental purposes.

In parallel, for the last 25 years or

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Page 152

so, I have been a member of national and international scientific advisory committee, assessing the risk to humans or potential risk to humans of a variety of chemicals including (indiscernible) drugs, pesticides, contaminants, and food additives and that has included having to look at the toxicology, genetic toxicity, and carcinogenicity of those compounds in both datarich and data-poor situations. DR. TIMOTHY MCGOVERN: Good afternoon. My name is Tim McGovern. I'm an associate director for pharmacology, toxicology, (indiscernible) new drugs in CDER. I'm not a genetic toxicologist by training, by I know many people who are. Part of my role, I sit on the Executive Carcinogenicity Assessment Committee in CDER.

Also a member of the gene tox subcommittee. I'm also a member of the ICH M7 working group which is for DNA reactive impurities as well as the S1 group looking at carcinogenicity assessment.

And also part of my work is working with review divisions (indiscernible) when these issues come up, where we get positive findings or

questionable findings in gene tox assays and making 1 2 that determination whether those findings rise up to the level of warranting a clinical hold or not and 3 4 what possible followup studies may need to be conducted to further evaluate the issue. 5 DR. MIRIAM POIRIER: Hi, I'm Miriam 6 7 I started out my career doing animal 8 (indiscernible) studies in the laboratory of James and 9 Elizabeth Miller at the University of Wisconsin. 10 something like 48 years, I was a paid employee of the 11 National Cancer Institute. I'm now an emeritus 12 employee -- that means I don't get paid. 13 But what -- the major part of my 14 career, we developed methodologies to measure DNA 15 adduct in human tissues and then we applied those 16 methods to look at the parameters of that information 17 in humans and to try and understand the mechanisms and 18 the consequences of DNA adduct formation in humans. 19 And -- oh, and I'm past president of the Environmental Mutagenesis and Genomics Society. 20 2.1 DR. KEVIN PROHASKA: Thank you. I do 2.2 get paid. My name is Kevin Prohaska.

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introduced earlier, obviously. Currently, I'm serving as the FDA's senior bioethicist for adult research.

I'm the only ethicist for adult research, so that, by default, makes me the senior guy, which is fine.

There are two other individuals who do the pediatric research and as you can well imagine, more issues sort of arise in research involving pediatrics, so there is

My background is -- I'm also board certified in family medicine. I'm ex-U.S. military, Army, and while I was with the Army I (indiscernible) a lot of emergency medicine and so I was probably more of an emergency room physician that a primary care doctor, family practice doctor. Let the military. Went into private practice.

Decided that it wasn't for me and then

I decided to come to the FDA where I started as a

primary reviewer in one of the review divisions in

neuropsych, which is now two different divisions,

neurology and psych department, and while I was there,

I was working on an awful lot of products including

ones related to bioterrorism which was right around

the time of 9/11 in 2001, which sort of stoked an interest in (indiscernible) protections, so I started getting involved in that, in some of the policy work around that area and decided to pursue some experience in bioethics and so I became over time the agency's bioethicist.

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In between all of that, I moved over to the Office of Compliance where I was the director of the Division of Safety and Investigation which I was responsible for (indiscernible) oversight, radioactive drug research committees, the post-marketing pharmacovigilance program, and I'm forgetting the (indiscernible) program.

So I have quite a few programs and I'm doing (indiscernible) stuff. Thank you.

DR. ERROL ZEIGER: Hello. I'm Errol Zeiger. I'm currently an independent consultant. I started working in mutagenesis or genetic toxicology at the FDA in 1969, before there was an Ames test, but I was working with Ames' bacterial strains and doing mostly testing and playing around with techniques using bacteria, using yeast as good test organisms.

In 1976, I was recruited to go down to the NIEHS to run a research lab and also to start a testing program. Interestingly, in 1975, Bruce Ames published a paper that, "Hair Dyes Are mutagenic."

For some reason, Congress picked up on it and in 1975, '76, held budget hearings and directed NIEHS to start a mutagenicity testing program to identify potential carcinogens in the environment.

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I was asked to design and develop it and started up -- we started it up in 1979, the same year that the National Toxicology Program started and without my consent, against my wishes, we were taken into the National Toxicology Program. So any NTP detox studies you see now all came out of that congressional hearing -- budget hearing, and initially it was very well funded.

I asked for 12 slots; I got 12 slots.

So, but most of my career at NIEHS, from '76 until two

-- the end of 2000 was evaluating data, running the

test program, and publishing quite a few papers,

presenting the data, because this was before the

internet so we were actually presenting it in hard

paperback publications, and also evaluating the effectiveness of different tests, alone and in combination, for detecting carcinogens and for complementarity.

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I also spent over a year working with OECD in Paris to look at, not so much gene tox but other toxicology end points. And since then, since 2001, I've been an independent consultant in Chapel Hill. Most of my consultations are related to genetic toxicology (indiscernible) carcinogenicity.

DR. AISAR ATRAKCHI: Okay, thank you very much for -- everyone. I appreciate you guys coming back this afternoon as well as the start of a very, hopefully, interesting discussion. So I would like to start with the first question, which is really the topic of this workshop and anyone who would like to start addressing how many doses of an Ames-positive DNA reactive drug can be safely administered to healthy subjects, and in the sense that not -- these are healthy subjects, so we should not, preferably, change the cancer risk from one in a million as opposed to in patients, we could accept 1 in 100,000.

1 DR. TIMOTHY MCGOVERN: I'll start out. 2 The -- one thing I wanted to bring up that was not 3 brought up this morning regarding scenarios where the 4 agency as well as other regulatory bodies already 5 allow exposure to mutagens by, in this particular scenario, both patients as well as healthy volunteers 6 7 because under the ICH M7 guidance for DNA reactive 8 impurities and a threshold approach was developed under that guidance, with a lot of work going on 9 10 before then where, essentially, for a long-term 11 exposure and a daily dose of up to 1.5 micrograms per 12 day is allowed without any further qualification data, 13 with exceptions of very high potency compounds like nitrosamines. 14 15 And the quidance also works in a stepwise approach as duration of exposure degreases 16 that that threshold will then increase to the 17 18 durations we're talking, possibly zero to five, maybe 19 up to 14-day exposure. ICH M7 has a threshold limit of 120 micrograms per day for a one-month duration of 20 2.1 exposure. 22 And that level is associated with a one

in a million increased cancer risk. You could continue that calculation (indiscernible) to even shorter durations. If you're talking about a one-day exposure, that would be the equivalent of 3.8 milligrams per day, still associated with one in a million cancer risk.

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So I just wanted to point out that we do have scenarios in place. It's slightly different than first in human, healthy volunteer trial, but for impurities, yeah, we assume for the most part that there's no inherent benefit being gained by the presence of an impurity, the same way we're talking about for a healthy volunteer trial, that there's no inherent -- for the most part, inherent benefit for healthy volunteers to be exposed to a mutagenic drug.

So you can make the argument, anyway, that through surgery we've already made a case that healthy volunteers can be exposed to mutagens and questions really -- what level of that exposure do we find acceptable. If we go with the 30-day cutoff for M7, we're saying 120 micrograms per day.

Then obviously we'll put a fairly

limiting cap on those drug development programs
without further evaluation being done, but you can
also push that argument further and say, you have 3.8
milligrams per day for a single dose could also be
acceptable, except for very extreme cases.

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So I just wanted to add that to the case that was brought up earlier regarding microdosing scenarios which allows up to 100 micrograms per day as well.

DR. ERROL ZEIGER: Well, I would answer that simply to say, it depends. It depends on the -- I would be interested in, not only, was it a mutagen in vivo or in vitro, but what was the structure? Is it something you expect to be highly DNA reactive? Is it something that would be much less DNA reactive -- much less reactive?

And I can't separate out the concept that we just had -- all of us had doses of mutagens at lunch today: The soup, the broth -- chicken broth, coffee for people that drink it. We all are doing it in that background. And that's, obviously, acceptable. But I just like keeping that in mind.

1 But as far as the rest goes, I think we 2 need to know more if it's just Ames positive, to take -- to come up with a dose, have to know a lot more 3 4 about the chemical, maybe even something about the 5 volunteer. DR. AISAR ATRAKCHI: I think we have to 6 make the distinction between what we eat and what can 7 8 be present in drugs. Eating, it's a personal choice. You can eat as many smoked meats or coffees or roasted 9 10 things, but I think the risk is different for drugs. 11 The drugs, we have to take them. We have no choice. 12 So I think, in my mind, we have to be more protective 13 of the patients. 14 In this case, what we're discussing is 15 healthy volunteers, so clearly, we all know that on a daily, on an hourly basis, we do inhale or drink or 16 17 eat these type of mutagens. But I think, really, the 18 emphasis is more the quality of a drug as well as, in 19 this case, the drug itself. Is it okay for people who -- again, these are healthy volunteers? 20 2.1 They have absolutely no benefit of 22 taking that drug except to serve, perhaps, the PK --

to determine the PK of that drug in order to give it next to patients. It's well taken, the point, but again, I think your point earlier for the structure, it's not just mutagenicity. You indicated that we do also need to know little more information.

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MAN 1: I was going to agree with that, yes. You know, hopefully we all got something out of our lunch including some nutrition, so there was some benefit there. The numbers that Dr. McGovern just mentioned are very helpful to understand, very useful putting the risk in perspective.

But when you're evaluating the risk of research, you have to take all the risks involved and so that includes the risk that might be inherent in the structure, unique things, but all the other things that might occur during that clinical trial, so all of that needs to be considered.

DR. ALAN BOOBIS: So I'm assuming when we're trying to move away from a de minimis approach like the threshold of toxicological concern, because that is established. It's based on a large database of genotoxic carcinogens, which is currently

undergoing refinement, but it's an approach that could be used to find what is the maximum dose that's associated, at worst, with (indiscernible). It's not associated with one in a million. That's the worst case because that's the extreme of the distribution.

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But if we want to try and move the dose up, it does depend, but I'm assuming that in the Phase 1 trial you don't have a in vivo tox followup on a gene mutation assay.

DR. AISAR ATRAKCHI: Sometimes, some companies will do that entire battery, but in general, based on the guidance, we do not need to do that. only need the gene mutation to make the Ames test and in vitro (indiscernible), generally.

DR. ALAN BOOBIS: Because if I had a good in vivo gene mutation followup, not a micronutrients test but I mean, actually looking at a proper gene mutation assay in vivo and it was a (indiscernible), my conclusion would be somewhat different in -- even if (indiscernible) in vitro, than if it was just an in vitro positive with no in vivo followup.

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Page 164

That's the first thing. Second thing is that not all adducts which cause mutations are equal, so that we've got to think about the kinetics and the dynamics, how reparable is the lesion which is information we generally don't get. But you might be able to (indiscernible) from the structure because we know something about the reparability of seven types of adults.

And the persistence of the compound will determine how many doses or what duration. So all that information would go into a weighted evidence conclusion. I do not think there's a single answer to this question.

DR. AISAR ATRAKCHI: I think -- so we don't -- I'm loud. So we don't have the structural similarity. These are -- so (indiscernible) a trial that there's new molecular entities, we don't have similarities to other structures for the point that was initially discussed, so what happens when we don't have any structural similarities that we can compare it to something else because we have (indiscernible) entity.

1 We don't have another data, either, so 2 we are just relying on Ames positive. That's all we 3 And there's no carcinogenicity data, either, 4 because we are so early on in the development that 5 such data are not available. DR. ALAN BOOBIS: But you do know the 6 7 structure of the chemical. 8 DR. AISAR ATRAKCHI: We know the 9 structure --10 DR. ALAN BOOBIS: So I'm not a 11 computational chemist, but I know the computational 12 chemist can tell us quite a bit about what a chemical 13 might do based on structure. And it's not based on 14 the entirety of the structure. It's based on 15 structural motifs. Which motif is -- confers on that 16 chemical Ames positivity? 17 And how reparable is that motif? A 18 compound that contains that motif, if I get an adduct 19 of a similar compound with the same (indiscernible). 20 DR. AISAR ATRAKCHI: Certainly, we get 2.1 this with M7. The M7, you do get the structure of the 2.2 QSAR (indiscernible), but I'm not sure we can or

Page 166 should do this for an API, for a drug that is NME 1 2 that's coming in and it's already, we have a test. 3 DR. ALAN BOOBIS: No, I'm just --4 DR. AISAR ATRAKCHI: The test is 5 telling us it's an Ames positive. DR. ALAN BOOBIS: No, I'm not 6 7 suggesting you place the -- I would certainly never 8 suggest a computational approach should override a biological test. 9 10 DR. AISAR ATRAKCHI: Okay. 11 DR. ALAN BOOBIS: I'm saying it adds to 12 the overall weight of evidence. 13 DR. AISAR ATRAKCHI: I see. 14 DR. ALAN BOOBIS: It helps you in your 15 interpretation of what might happen. 16

DR. AISAR ATRAKCHI: And that could be very muddy, too, because you get on page back that it could be this, it might be this, it might be that.

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MAN 2: (indiscernible) can I just pursue that for a moment? So Errol told us earlier about a lack of correlation between mutagenic potency and cancer potency, but now, it sounds like you're

going back to that and so the question is, has anybody looked at that kind of QSAR or the kinds of adducts and correlated that with cancer potency? Is there data on that?

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DR. ALAN BOOBIS: I'm not sure that we've gone all the way out to cancer potency. I think what they've done is looked at the persistence of mutations in vivo.

DR. AISAR ATRAKCHI: Because, again, I mean, we have to remember these are gene (indiscernible), we use them as a (indiscernible), so -- and they're mutagenicity (indiscernible). To take them as far as predicting, extrapolating the potency of a mutagenic to a carcinogenicity, it seems inappropriate at this time. I don't think we have -- certainly as I hear you saying that it's a weight of evidence and maybe that's what it comes down to.

MAN 3: I want to push back a little bit and also be a little bit of a devil's advocate, which I'm very good at sometimes, about this first question about healthy subjects. It kind of defies that. We're okay if it's patients. When, in fact,

1 one, two, three, or four doses is probably going to 2 have no beneficial effect on that patient 3 (indiscernible). Right?

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So what makes the difference? Why do we accept it for patients but not healthies because of this -- neither one are going to be (indiscernible) the efficacy of the drug? Is it just purely the social aspect in that the patient, if this drug were to be successful, will ultimately maybe benefit more personally because they have the disease that would be treated by that drug in the future?

Is that what makes the difference? And is that enough to make that difference?

DR. KEVIN PROHASKA: I'm going to have the review division helping me answering this, but in part, I think part of it has to do with the fact that there is a potential for benefit in some of these circumstances when you have the condition, albeit, it may be small, but the other thing to keep in mind is you might be able to roll in from a Phase 1 right into a Phase 2 with that same individual if there's -- some good basic work has been done to be able to do that.

So there is some value in that approach.

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DR. ERROL ZEIGER: And you're also going on the assumption that the patient with the syndrome will handle, will mechanicalize the drug, the drug will be similar response to a healthy person because the drugs are not going to be given to a healthy person. They'll be given to a person with the syndrome, whatever you're trying to cure. And that's the assumption that they will both respond similarly to the drug.

DR. MIRIAM POIRIER: I don't think you can answer this question the way it's written. It's written, what can be given safely, one, two, three, or four doses. I don't think there's any way of knowing that. For most new compounds that you see that (indiscernible) and you have some basic information, but if you look at the (indiscernible) paper and then what you all have done and then (indiscernible) to follow up on that, I mean, I don't think that we can, in good conscience, ignore 60, 70 years' worth of tumor studies.

And even if some -- you can make arguments that some of those studies weren't perfect, the weight of evidence, I think, with your paper and with what came before you is that there is -- there are many, many cases in which one dose or a low dose or a single dose has produced cancer. So we can go ahead and give these drugs and make our best estimates of what's going to be safe, but I honestly think in the light of the evidence that's out there, you can never really say that something is going to be safe. And, bottom line

They're going to go on and reach 70 or 80 and maybe they're going to get cancer, maybe they aren't, but you're never going to know where that cancer came from. And so there is no way of answering this question as it's written, I believe.

is, you don't have the end of that experiment because

that person is probably going to be a young person.

DR. ROBERT HEFLICH: So I get the impression that you're hesitant as for additional information when this comes up.

DR. AISAR ATRAKCHI: No, it's not the

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1 hesitation. We get the implication from a sponsor 2 with a -- they just want to do the (indiscernible) and 3 a single dose, maybe up to 14 days of an NME, new 4 molecular entity. They've done -- all the guidances 5 that we have out there in terms of the mutagenicity. They have -- sometimes, they have 14-day tox study, 6 7 (indiscernible) toxicology study (indiscernible), 8 maybe up to a month, maybe, and that's all we have, and some other information in clinical. And the non-9 10 clinical will determine what is the first dose that 11 potentially is safe that can be administered. 12 really -- that's all we have and that -- and they're 13 following what we're telling them to do. 14 DR. ROBERT HEFLICH: Can you just say 15 stop, we can't go any further with this? 16 DR. AISAR ATRAKCHI: We can. 17 DR. ROBERT HEFLICH: Because it's a 18 mutagen and you need to give us X, Y, Z before you can 19 ao --20 DR. AISAR ATRAKCHI: Yes, we can, for -- I mean, again, I think most of you know, or if you 2.1 2.2 don't know, it is rare that we get an Ames positive

drug from Phase 1. I've been here 27-plus years. 1 2 Only once I've seen that happen and we put that drug 3 on hold. So reality is we don't -- we're not faced 4 with this all the time, but we do -- you could get an 5 equivocal response, but it's still slightly positive 6 in Ames. 7 DR. ROBERT HEFLICH: Well, maybe if you 8 had a path forward, you would see more of this. 9 DR. AISAR ATRAKCHI: Meaning -- path 10 forward what? 11 DR. ROBERT HEFLICH: If, you know, the 12 drug turned out to be Ames positive and you had a 13 followup that you could recommend to the company, the 14 company might be more willing to put such a drug 15 forward as an IND. 16 DR. AISAR ATRAKCHI: Well, but I think 17 what the issues is some of us who, if we see such a 18 drug, we will say, but it's only -- they're doing one 19 dose in the healthy volunteers. I think it's okay because, let's say, the (indiscernible) was negative. 20 2.1 Others will say, no, this is a positive Ames. I'm not 22 going to let you go, but you need to give me more

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Others will say, but two or three doses, it's okay. So this is why we're asking this question and we -- I mean, that's why we're trying to get from you --

DR. ROBERT HEFLICH: I think every --

DR. AISAR ATRAKCHI: (indiscernible).

DR. ROBERT HEFLICH: Everyone here

would say it's unknowable.

DR. AISAR ATRAKCHI: Is what?

DR. ROBERT HEFLICH: It's unknowable.

12 You can't give an answer to that based on what we

know. It requires more information. I mean, there's

lots of examples where single doses produce tumors, in

15 both humans and animals.

DR. AISAR ATRAKCHI: And we could see

17 | that today, we have -- from the presentation --

DR. ROBERT HEFLICH: So in other words,

19 if you had a followup test in vivo gene mutations test

20 that was negative by statistical criteria that you can

21 set, would that be sufficient to say the Ames test is

22 not significant for in vivo effects?

1 DR. AISAR ATRAKCHI: That is a question 2 later on, so we're starting here, then we can move --3 DR. ROBERT HEFLICH: Well, maybe --4 DR. AISAR ATRAKCHI: Because we want --5 so I think what I'm -- Todd, would you like -- let's 6 hear your question and then we can... 7 It was just a comment that the TODD: 8 examples that we saw today, those are pretty well recognized mutagenic genotoxic agents, and to your 9 10 point, what we're most likely to deal with is 11 equivocal things where we have a mixed profile with a 12 standard battery. 13 It's not like the things that we were 14 seeing earlier today, so one, two, or three, four 15 doses may be if we reword it to say, it can be reasonably safely administered, is probably a more 16 17 accurate way of saying it. If you really think about 18 it, I mean, at least in our division, we do healthy 19 subject (indiscernible) trials all the time. 20 With -- and there are toxicities, 2.1 minimal amount reversible and monitorable, that we 2.2 allow them to go to in healthy people. Is that risk

any different than if this was an (indiscernible) 1 2 toxic drug, when, let's say it's a renal toxicant, 3 You can monitor for that, you let them come up 4 to a certain level but not higher to avoid brain 5 toxicity. That's a lot more of immediate 6 7 potential toxicity than cancer 40 years after that single dose of (indiscernible) toxic drug. So it 8 seems like, I don't know, maybe our priorities there 9 10 in terms of assessing that risk is a little backwards. 11 The difference, though, is we monitor for these non-12 genotoxic sort of toxicity, so we're able to do that, 13 typically. And error correct the sequence and maybe 14 reassert potential there for us to do, take blood 15 tests and look for mutational frequency in order I'm sorry? 16 [OVERLAPPING SPEAKERS]. 17 DR. AISAR ATRAKCHI: And people? 18 Yeah, and people. TODD: I know 19 companies will not like to do that. 20 DR. AISAR ATRAKCHI: They can't, you 2.1 know [OVERLAPPING SPEAKERS] but, ethically, we cannot 22 do that. That's a lot of reasons that companies would

1 not do that.

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TODD: I think we can make that -- we can argue the evidence of it, but let's forget people. What about (indiscernible).

DR. ERROL ZEIGER: Okay, if there's one thing we know about Ames and in vitro mammalian cell (indiscernible). They are tremendously imperfect predictors of potency in cancer. So, say we got something equivocal in the Ames test really is not necessarily put your mind at ease that this is only marginal.

Of course, it could be -- because it may be part of particular activation pathway that's not represented in the S9 that's used in the standard Ames test. It could be a lot of reasons why you get a weak response. So I don't think you can necessarily equate an equivocal in vitro assay with a lack of risk.

TODD: Well, when I say equivocal, it's (indiscernible) that with more than just the one test. We have several tests and it might give us marginal positives, one, maybe (indiscernible) another -- but I

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DR. AISAR ATRAKCHI: So, I think -well, Dr. Brach, you didn't say -- I'd like to hear
from every person on the panel, so would you like to
add to this in any way?

DR. ROBERT HEFLICH: (indiscernible).

Yeah, I do have a couple of thoughts. So one is, basically, you don't know and at some point have to say, oh, I don't know. And another one is -- a thought that had occurred to me (indiscernible) other times is, okay, so if you gave low doses over several times so that you had this washout period, not just for the drug but time for repair, that maybe you could talk me into it, but you don't know that until you (indiscernible).

And, that brings me to the third point which is, who's the normal volunteer here and the -- an exam question when I was at school was what's wrong with the phrase, "You have the wrong number," and the answer is, "the."

So there's lots of wrong numbers and there's lots of normal volunteers and so you have all

(indiscernible) to deal with and even -- if the patient has an inflammatory condition or something you didn't know about or is the drug going to be hitting at the same time the liver cells decided to divide? You don't know any of this.

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And so the only, I guess, coherent thought to put all this together is that only (indiscernible) test in light of the presentations about single doses, low doses, is that the existing data isn't enough to reassure me that a small number of low doses (indiscernible).

DR. AISAR ATRAKCHI: I think if I -- to summarize for question one is that there is no one answer, which we kind of knew that, but weight of evidence and also you -- if you saw a clear dose responsive, positive Ames of a drug, you would not or would you still say, for a single dose in humans I would give it? I think that's really what we're trying to understand.

Now, again, we -- like was mentioned, you do have chromosomal aberration test and it's negative. This structure -- I mean, I think what I've

- heard is that it's the weight of evidence. Everything 1 2 about that particular molecule. But if you have an 3 Ames -- clear Ames positive dose response and the 4 sponsor repeated it and it's positive, maybe their 5 dose -- the concentration, they narrowed it down. Ιt was too large and they narrowed it down and it's still 6 7 positive. 8 We don't see the (indiscernible) there. But just if we do and we're not talking about 9 10 equivocal, would you allow such drug to be tested in 11 healthy people? 12 DR. ROBERT HEFLICH: You're turning 13 this into, certainly, an Ames test interpretation. 14 DR. AISAR ATRAKCHI: I am, because 15 that's what we get. 16 DR. ROBERT HEFLICH: We know from a 17 number of different compilations that a positive Ames 18 test, if you're looking just across chemical
- 21 necessarily both. Whether it's a strong Ames test

structures, there's about 70 to 80 percent predictive

of rodent carcinogenicity as either ra or mouse, not

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22 positive or a weak Ames test positive, it's positive

and the date showed -- you look at the data, the TD50s and the Ames test, the potencies, there is absolutely no correlation.

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We did a study -- I did a study about 10 years ago using 100 chemicals that were Ames test positive in the NTP. They're looking at the slopes and lowest (indiscernible) TD50 values for the same chemicals. The correlation was 0.04-something. Not the P value, the correlation. It looked like a shotgun pattern from about 10 paces.

So where there are some -- where the Ames potency is 1 microgram -- mutation per microgram or 10,000 mutations per microgram, it's not going to make any difference as to whether or not you should be more or less concerned about that response.

DR. ALAN BOOBIS: I think that's right.

I think it's a positive ID and I would really fell

very uncomfortable giving a clear Ames positive unless

I had some reason to strongly think that this is just

an artifact of the assay and there would be a

dispositive indicator. There are compounds that we

know will give a (indiscernible) positive.

We also know they're going to be (indiscernible) because it's very difficult to make that case in the absence of the (indiscernible). So in general, the question -- the answer would be not unless there's really good reason to come to a different conclusion.

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DR. TIMOTHY MCGOVERN: So, I mean, you can get a reproducible dose response for -- in TA100 for phenobarbital, which is a little carcinogen but almost certainly not a mutagenic (indiscernible) carcinogen. So perhaps the way to ask question one is not, can you give it for one, two, three, or four doses, but what would you need to know about a drug to feel comfortable giving it for a small number of doses to a healthy volunteer.

And the other thing to keep in mind is that you're really making two decisions here, not just one. Because after you finish your Phase 1 trial, you're probably not going to have a whole lot of more pre-clinical information about the drug and you're going to have to start deciding, are you going to give this drug to patient volunteers in Phase 2.

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And so you're going to have to inform them of their risk of cancer from this mutagenic drug and so unless you're thinking ahead to that and what you're going to need to know to answer that question, because presumably most drugs make it through Phase 1, there's really a bar -- that way if the problems come up, problems come up in Phase 2 so you're also going to be giving more doses of that drug to patient volunteers.

DR. ROBERT HEFLICH: I think as part of that calculation, what we typically -- this narrative came up with the person (indiscernible) clinical trial being proposed, the division might say one dose okay, two sometimes four okay, but then it becomes a partial clinical, before you go any further you're going to need to provide this additional information to clarify or to address our concern regarding the positive Ames finding.

DR. TIMOTHY MCGOVERN: And maybe the answer is if you do that, work as one, you can go into it as one. So if you've already worked out that scenario for going into Phase 2, then maybe those are

the kinds of -- who was it, Bob, talked about case 1 2 studies -- case studies. You know, maybe you can look at some of those case studies of drugs that were Ames 3 4 positive and you had to make that decision for Phase 2 5 and what made you feel comfortable about the safety in Phase 2. 6 In some ways, I would say, okay, it's 7 Patient is more vulnerable than a healthy 8 two. volunteer because the Phase 2 patient is being 9 10 motivated by getting a drug that may or may not benefit them. 11 DR. AISAR ATRAKCHI: Okay, any other --12 13 DR. ERROL ZEIGER: Another thing about

DR. ERROL ZEIGER: Another thing about this is we're talking as if the Ames test data is written into law. Regulations can change. There's nothing to stop FDA from looking at the situation saying before you -- if you have a positive Ames, we want this additional information before we'll approve any clinical trials. That's the function of FDA's ability, not Congress or anybody else.

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DR. AISAR ATRAKCHI: No, absolutely, but I mean you don't want to -- it hasn't been even

administered to, (indiscernible) first to anyone, so potentially that drug that was developed by the company, presumably, will have some benefit down the road. So we don't want to stop that and ask for a lot more information if -- unless, of course, we feel the need for that, we're not convinced with the information.

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We think this is real finding, real toxicity. I mean, certainly, like Todd was mentioning, it's not only mutagenicity. If we have, in my division, if we have a variety of causes, brain lesions or cause -- brain lesion in the rat in a 14-day study, we are not allowing that rat to unless we have one mechanistic understanding. They need to do a lot more to show us that that finding either is reversible or it's not (indiscernible). So it's not just mutagenetic.

DR. ERROL ZEIGER: Yeah, but the discussion, as it's being directed, is strictly towards mutagenicity now. We're being asked to address the question, if we only have a positive Ames test. So, granted, you're talking about 14-day

Ames test if you're going to get kidney lesions or brain lesions or anything else, unless you've got a structural similarity to known bad actor drugs. So you just changed the question on us.

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DR. TIMOTHY MCGOVERN: No, but I think what you're -- obviously, it's not written -- I agree, it's not written in stone. We can ask for additional information. But what we're looking to get input on is, when do we need to get that additional information? Can we wait until -- can we say, okay, single dose does not represent a significant risk to the patient, and get that information later or do we need it before we go and do healthy volunteer dosing at all?

DR. ERROL ZEIGER: I think part of the answer to the question is, so you're saying that maybe we shouldn't consider four, three, or two doses, only a single dose and then make a secondary decision.

DR. TIMOTHY MCGOVERN: I mean, it could be -- I mean, that's what was presented earlier is that some divisions allow the single dose and then ask

for additional information. Some divisions have been asking or allowing two doses and then in some more limited scenarios allowing up to four doses. That's where one, two, and four are coming from. So it's really trying to get input from the panel as to, does one represent a significant risk or some number larger than one represent that risk and at what point should we really be asking for that followup information before making that decision --DR. ERROL ZEIGER: And maybe the answer is, no dose [OVERLAPPING SPEAKERS] decide what significant risk is. If four gives you a risk, significant risk, does one give you one quarter of that risk? I'm trying to remember what I was going to say. There was a time when I first joined FDA about eight years ago that if a drug came in (indiscernible) drugs that we look at or oversee are -- obviously, diabetes, endocrine related indications.

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If there was any question, there was -- it was Ames positive, whether it's a blip or extreme

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Page 187

positive or just a mixed picture from the (indiscernible) profile, it'll go on hold without dosing, pending the results of the six-month transgenic study. And then it would be released from hold if there are no tumors and they go on with their lives. It's very uncommon that we would see that situation anyway, but I'm wondering, does (indiscernible) to go back to that sort of paradigm or is the relationship between a positive outcome and a transgenic and a mutagenic or something that's clinically genotoxic or truly genotoxic? connection that strong where we're not going to get falsely assured by a negative transgenic? I'm always worried about that, so if

I'm always worried about that, so if it's a negative transgenic, go ahead. But is that really speaking to the cancer risk of whatever mechanism we're worried about in the beginning? Should we move back to that? I mean, the other element, of course, is from a very practical point of view, some -- if a sponsor comes in for developing a diabetes drug, if we have a question about its

genotoxicity, no, we're probably not going to let it go anywhere because we're just going to tell them to start over.

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We don't need -- it's not exactly an unmet medical need, so I assume that the cases, scenarios are being discussed here, there's a clinical need for testing these drugs because otherwise why even bother? It's a non-starter.

DR. AISAR ATRAKCHI: Right, I mean, certainly we will -- as you know, we will weigh the risk versus the benefit. If this is -- like you said, if this is just another diabetes drug or it's another sleep drug but it shows this equivocal or even a positive Ames, we certainly -- likely we will ask for followup. Go tell us why this is positive.

But the question about what would you follow it up with, whether it's in vivo transgenic or something else, that's going to come up in the third or fourth question.

TODD: Okay.

DR. AISAR ATRAKCHI: But right now, I think since it looks like it's a weight of evidence

1 more or less, if it's -- we can summarize this, maybe, 2 a little later, but that's why I said, the second 3 question relates to the first one which is, if we are 4 to allow this mutagenic DNA reactive drug to be 5 administered to healthy subjects, would you allow this drug to be given continuously, meaning daily, or -- if 6 7 so, for how long, how many days? Is 14 days, 10 days? Or if not, then would you allow to give it 8 intermittently, let's say, with a washout period of, 9 10 as mentioned earlier, you have five half-lives, so 11 that the drug will be cleared and then you get a 12 second dose. 13 DR. BOB BRASH: On the intermittent 14 issue, I'd like to see Paul Brown come up here. 15 DR. AISAR ATRAKCHI: Well, we're going 16 to hear --17 DR. BOB BRASH: -- put you on the spot. 18 DR. AISAR ATRAKCHI: -- from the panel 19 to see what they think and we'll move on to your questions. 20 DR. MIRIAM POIRIER: So I wanted to 2.1 22 talk about dosimetry. Phase 1 clinical trials are for

determining toxicity, right? And they're often escalated, so mean, the dose makes the poison, so, I mean, we talked about 1.5 micrograms or 3 milligrams.

What -- different doses -- different drugs probably need different doses for efficacy and to hit the toxic limit, so seems to me that the dosimetry should be somewhere in the calculation.

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And I also wanted to bring up the third rail, which is human monitoring. I mean, as -- nobody wants to do it, I guess, but along with -- I mean, the safe harbor that we had in the past and everybody was afraid of looking at transcription that would be very sensitive and it turns out it's not, hey, we could learn and healthy volunteers probably wouldn't mind giving some blood or urine.

We'd find out what -- a lot about what's going on in humans that we can't otherwise determine just by -- we have the technology. It's there an it's improving, so I think we should at least consider it in the context of understanding what a new drug might be doing in humans, and in different humans with different metabolic capabilities.

1 DR. AISAR ATRAKCHI: So, can we move on 2 to the second question? 3 DR. MIRIAM POIRIER: Oh, you wanted 4 (indiscernible). 5 DR. AISAR ATRAKCHI: Sure. 6 DR. ROBERT HEFLICH: I like what you 7 said, (indiscernible), because I think there is a role 8 for human monitoring because we're going to make the decisions based on surrogate systems, rodents, and 9 10 we're going to say okay, this positive Ames is 11 mitigated by a negative in rodents in the cancer or --12 transgenic cancer assay or a gene mutation assay. 13 But the question remains, there's a 14 reason for that and it's probably related to 15 inactivation of the chemical by some pathway or repair 16 or something, but does the same thing happen in 17 humans. 18 And, of course, this is not necessarily 19 important to the healthy volunteers, but it could be healthy to Phase 2 and Phase 3 individuals if you get 20 2.1 a surprise and the same thing doesn't happen in humans 2.2 and you can, perhaps, determine that by, if you go

ahead with this drug, finding out whether there's a genetic toxicology signal in these volunteers that take this drug. Just a thought.

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DR. AISAR ATRAKCHI: So I think we should move on to the second question, which I'm guessing is you may move fast, because we already have discussed some of it and the first question, so would anyone like to start with the Part A of the panel?

Would you give it for, I guess -- it's the same thing as we've been discussing if -- a lot of things to consider in order to give it, but I think it would be helpful if we can hear from you whether, would you give it on a daily basis for a short period of time or would you simply say, no, because of the results of the mutagenicity, let's just -- and maybe some other toxicology findings, that we're going to do it maybe twice or wait -- give a dose and then wait for five half-lives and then give it again?

Yes, please.

DR. ALAN BOOBIS: So assuming that the decisions we take (indiscernible) possible (indiscernible) in these Phase 1 studies --

DR. AISAR ATRAKCHI: Sure.

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DR. ALAN BOOBIS: I think a starting point would be simply to fractionate the dose. I would argue Haber's rule and say that worst case -- potential worst case based on information we heard this morning as well, the really -- if you think you can give a single dose of X, then if you're going to give it, basically for multiple doses, it should be the appropriate fraction of those doses, unless they're going to be so widely separated in time that you could think they're separate, single doses.

DR. ROBERT HEFLICH: But for a PK stud, you wouldn't fractionate the dose, would you?

DR. MIRIAM POIRIER: You can have -- in general, it's a single ascending dose or it's once a day for maximum 14 days. That maximum -- Phase 1, more than 14 days, at least not in my division. So it can be on a daily basis for up to 14 days, but it's usually ascending. And it depends on the -- recently, we've been having drugs that are given only once a month, so maybe that is it. It's only one dose because they have a very long half-life, so you're not

Page 194 going to wait for -- if the half-life is one week, 1 2 you're not going to wait for four weeks in healthy 3 volunteers to do the other one. 4 DR. ROBERT HEFLICH: But when you say a 5 fractionated dose, you're comparing one good dose --DR. ALAN BOOBIS: -- smaller dose. 6 7 DR. ROBERT HEFLICH: -- smaller doses 8 that add up to that one big dose. 9 DR. ALAN BOOBIS: Yeah. 10 DR. ROBERT HEFLICH: But you'd never do 11 a study like that, would you, for a PK study. 12 you do an experiment like that? 13 DR. AISAR ATRAKCHI: (indiscernible). 14 DR. ERROL ZEIGER: Going along with 15 this, if you're going along with (indiscernible), my first question would be, why are you doing this study. 16 17 What questions are you -- specific questions are you 18 asking? 19 DR. AISAR ATRAKCHI: Oh --20 DR. ERROL ZEIGER: Is this going to be 2.1 looking at clinical side? Are you going to be looking 2.2 at --

DR. AISAR ATRAKCHI: This is a clinical 1 2 PK, usually, and to determine what's the maximum 3 tolerated dose in people. 4 DR. ERROL ZEIGER: In other words --5 DR. AISAR ATRAKCHI: So the (indiscernible) dose for the patients. 6 7 DR. ERROL ZEIGER: You're looking for -8 - for a clinical size. 9 DR. AISAR ATRAKCHI: Yes, and a form of 10 the kinetics and what dose will be the most 11 appropriate dose to use for Phase 2 in patients. 12 WOMAN 1: (indiscernible) based on 13 (indiscernible). 14 DR. AISAR ATRAKCHI: I mean, it's the 15 PK. 16 WOMAN 2: -- not for the human side, but also in Phase 2 (indiscernible) the PK study 17 18 (indiscernible) somehow we can (indiscernible) 19 multiple doses, so (indiscernible) so it's really not that simple. (indiscernible) in vivo, and we cannot 20 2.1 really -- for oncology patients, we cannot draw on 22 that because patient have a lot of comorbidities.

1 We cannot -- so that's a rarity we 2 have, (indiscernible) level. Even we have 3 (indiscernible) study to provide this information, 4 otherwise the (indiscernible) information. 5 (indiscernible). 6 DR. ALAN BOOBIS: I think it's going to 7 be easier to do a single dose PK study than to do a 8 full Phase 1 study with -- looking for maximum dose with a (indiscernible). 9 10 MAN 4: One of the reasons to do the 11 study is a food effect study under fasted and fed 12 conditions, so that you like to use the same patients 13 and -- the same subjects and give them two doses, maybe spread a couple weeks apart and it reduces the 14 15 variability of the study. 16 Another one is the bioequivalent study 17 that Bob Dorsam talked about today. It's better to do 18 these studies in healthy volunteers. You reduce the 19 variability and if you're using patients making a lot of -- the underlying disease may really affect the 20 2.1 data that you get. So that's why this one dose versus 2.2 two doses is important to us, is that impacts the

1 study design. Do you do -- for, like, the food effect 2 study.

DR. AISAR ATRAKCHI: I don't know, I think you -- I'm sorry, go ahead.

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DR. MIRIAM POIRIER: No, I was going to say, it seems to me that sequence, the first thing you need to know is what your PK is because then if you're going to have a washout period, you need to know what that is, so (indiscernible) your PK and then take it from there and decide on your second dose or whatever you're going to do, but I think it has to be in a specific order and sequence if it's going to make sense.

PAUL BROWN: Paul Brown, CDER. Since
Bob told me to say something -- this issue about the
intermittent versus not intermittent, presumably
intermittent means for that space (indiscernible)
doses of, whatever, two weeks or something.

I mean, if we believe Dr. Brash's, what is it, cancer cell loop (indiscernible) hypothesis, then I'm not sure intermittent is any safer than doses right next to each other and you could also come up

with a hypothesis that maybe intermittent is actually less safe because now, you're fixing mutations and then you -- in the second dose, you now cause a mutation in that exact same cell.

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You get that second hit and you build them up over time rather than killing them all off with your dosage right at once, so I mean, it's an interesting thing to think about. I think we think about it for other toxicities, right, where you do recover from them. Okay, you can separate the doses, recover from that tox, but maybe it's a little different issue here.

The other -- I think the other point that was made about dose is important. I mean, as Tim pointed out, we're already doing this. We already accept mutagenic compounds in health subjects. It's the impurities. Now, they're very low levels. But if we just think it's a hazard (indiscernible), why do we do that? Obviously, we think there is a dose response, that there is -- you know, (indiscernible) with a straight line, whether that's right or not, with risk and dose and so on, so can we come up with a

dose that's low enough that we'd be okay regardless (indiscernible) TTC?

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It probably isn't going to be a useful dose in most PK studies. The reason to have the microdose studies is because there are sensitive assays now for looking at PK. Sometimes they can do the microdoses and get those answers.

DR. AISAR ATRAKCHI: But didn't you,
Paul, with the impurity doing the QSAR as M7 clearly
says, up to 1 milligram, you can do all of these. So
once the impurity is above 1 milligram, then they're
going to go to (indiscernible) where they have to do
general tox study. They have to do the chromosomal
abrasion and so forth, with other caveats in there.

So I think, like we have discussed internally, it's really the level of comfort with an impurity versus an API. We're okay with the impurity for a QSAR and not doing the test, but we're not that comfortable when you're getting the drug in milligram — at least a milligram dosing. So I think there's a little bit of a distinction and that underlines the reason for M7 does not apply to APIs.

PAUL BROWN: Although, if you add the 1 2 same doses --Right. 3 DR. AISAR ATRAKCHI: 4 PAUL BROWN: I mean, if anything, you'd 5 be okay with the API. 6 DR. AISAR ATRAKCHI: Exactly. 7 PAUL BROWN: In a higher dose. DR. ALAN BOOBIS: 8 But just to be clear, 9 the (indiscernible) toxicological (indiscernible) of 10 1.5 is based on cancer data not gene toxicity. 11 other words, what he says is, if I've got a compound I 12 suspect, I know, is an Ames positive, I -- we will be 13 at this end of the distribution of carcinogens in 14 potency. And so it can permit up to 1.5 micrograms 15 per day with a reasonable assurance that 16 (indiscernible) over one in a million risk. 17 So it's not based on gene tox potency. 18 And I made that point because several groups, 19 including Health Canada are trying to find a surrogate for the cancer (indiscernible) data in gene tox assays 20 2.1 and as Errol has pointed out, what we know is the Ames 2.2 test is not the answer and they're looking at various

1 in vitro and in vivo assays.

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They think they're (indiscernible) an in vivo assay. There might be a suitable surrogate for that purpose. We don't know what the distribution of the values is, so we don't know where the TDC would be if you base it on a gene tox end point, but it will probably be -- you could probably get away with a more potent compound than for the carcinogens.

DR. TIMOTHY MCGOVERN: Yeah, I think at this point when -- especially first in human trial, we're using the Ames as a (indiscernible) predictor for carcinogens, if we get to a point where we had a marker from an Ames assay to give us a reasonable estimated carcinogenic potency, that would be great.

Then we can go at it in a more educated way, but unfortunately, now we assume it's a carcinogen if it's Ames positive until shown otherwise and it's really, what further -- is that okay for one, two, et cetera dose and, as Paul was saying, at what -- how high a dose can we give, as well.

DR. AISAR ATRAKCHI: So any further discussion on -- I think we got that there is not

plans -- if I can summarize, I don't think there was -1 2 - there is any summary of this, whether you can give 3 it continuously, daily, for an Ames positive. 4 that it's better if you break it down, to fractionate the dose, but again, it's similar to the microdosing. 5 When you give the drug that it's a new 6 7 molecular entity at a microdose, that's a below the pharmacologic effect. So I'm not sure how valuable 8 that, which may be that's the reason we have not see 9 10 many companies coming in with microdosing clinical 11 trials. So from a practical point of view, I'm not 12 sure that would work. 13 So I'm not sure we got an answer to 14 this, but maybe it relates to the first question, that 15 it's all a weight of evidence type of thing. But I 16 did hear from Mr. Boobis that if it's a clear 17 positive, you will not like to see that drug 18 administered. 19 DR. ALAN BOOBIS: Unless there's a very 20 good argument. 2.1 DR. AISAR ATRAKCHI: Unless there is 22 very good argument, which is, actually, the opinion of

Health Canada based on our assessment of how they do things. They do need -- if it's clearly Ames positive, they will not allow that drug to proceed unless it has some followup studies in vivo that shows it's irrelevant, the finding.

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So I think let's move on to the third question. So now we're -- for generic drugs. As you may know, they already have -- they usually reference to the drug that's already approved, the innovator drug, which they follow the label. There is a full battery, generally speaking, of the gene mutations as then there's a (indiscernible) study, if the drug is administered chronically.

So they have this information already. So they're -- but they still need, in order to develop a generic drug, they still need to do a bioequivalent study in healthy people to show that the innovator and their -- and the generic drug have similar or comparable (indiscernible) PK data.

So the question becomes, should (indiscernible) evidence a product be used to decide whether a compound should be tested in a bioequivalent

study in health subjects? If yes -- and again, this
is assuming that it's in the label, it says it's a
mutagen.

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Already we know, and presumably we're talking, again, about the gene -- about the mutation, Ames test plus the other tests, the full battery; if yes, which test results should receive greatest consideration and the weight of evidence? Are there any other factors relating to genetic tox that should be considered when determining if that study should include healthy subjects and bioequivalent studies?

WOMAN 3: How long are the bioequivalent studies?

And I open it for discussion.

DR. AISAR ATRAKCHI: Couple weeks, max.

16 same thing.

DR. BOB DORSAM: (indiscernible) say around four doses. It's within that range.

DR. AISAR ATRAKCHI: Okay. So --

DR. BOB DORSAM: There are others where (indiscernible) focus on something (indiscernible).

DR. AISAR ATRAKCHI: So it's a simple -

- it's four doses, 14 days. Yes. Could be continuous or not. Anyone from the panel, please. Dr. Boobis.

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DR. ALAN BOOBIS: Yes is the answer to Part A, one, before Part A. Should weighted evidence of course be used? I think yes.

If yes, which test results would receive greatest consideration? I would argue that the appropriate followup for the in vitro positive, so if it's in vitro Ames test positive, you need -- you would like to see an in vivo gene mutation followup, not just a micronucleus test or (indiscernible) test and then I don't know about the determining whether I should healthy subjects. Depending on the answers to the first two.

DR. MIRIAM POIRIER: I just have a question. Do they always analyze the generic? In other words, can you assume that chemically you're talking about two products that are exactly the same? So, somebody comes along with a generic, you already have a brand drug on the market. Do you (indiscernible) analyze the generic that you're given so you know it's the same or not?

1 So by regulation, the DR. BOB BRASH: 2 generic must have the same API as the (indiscernible) 3 listed drug, must be the same exact chemically. 4 DR. MIRIAM POIRIER: (indiscernible). 5 DR. BOB BRASH: The same identity. The same (indiscernible). 6 7 DR. MIRIAM POIRIER: Okay. 8 DR. BOB BRASH: I wanted to ask a 9 followup question to doctor -- so a positive Ames 10 assay would an appropriate followup be the potent 11 carcinogenicity study? 12 DR. ALAN BOOBIS: No, because there are 13 other effects that can be a consequence of mutation --14 gene mutation. So that would be part of the weight of 15 evidence, but I think you'd also want to satisfy yourself that it's not in vivo (indiscernible) as 16 17 well. Because mutagenicity is an end point and so is 18 in vivo. 19 Okay. In vitro DR. BOB BRASH: 20 mutagenicity is the first signal? 2.1 DR. ALAN BOOBIS: Yeah. 2.2 DR. BOB BRASH: Second step, in vivo

Page 207 1 mutagenicity? 2 DR. ALAN BOOBIS: Yes. And if you've 3 got the cancer (indiscernible) assay, that would be 4 very useful confirmatory (indiscernible). 5 DR. BOB BRASH: (Indiscernible). 6 DR. ALAN BOOBIS: Yeah. 7 I already have -- right, Bob? WOMAN 3: We already have the answer -- the results of the 8 9 cancer, of the (indiscernible), right? 10 DR. BOB BRASH: Yes. 11 WOMAN 3: Yeah. 12 MAN 5: If it's chronically 13 administered. 14 DR. BOB BRASH: If it's chronically 15 administered. 16 I mean, there are two things MAN 5: 17 here. One is that technology moves on and we have 18 tests today, like the transgenic rodent assay that we 19 didn't have five or 10 years ago, and so depending on when the innovator drug was approved, you may have 20 2.1 less information now than you would, had it been 22 approved more recently.

So that's part of the weight of evidence that Alan is talking about. But one of the things I'm wondering about is at some point, somebody at CDER made a decision that here's a mutagenic API and we are going to allow it in patients, so they have been through a process of thinking through exactly what is the risk.

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We think it's okay. Why is that not -why can't you use that thought process to say, here is
the risk for one to 14 doses to a healthy volunteer,
it does or does not meet our standard for safety for a
healthy volunteer?

What's changed since you approved the NDA for (indiscernible)?

DR. TIMOTHY MCGOVERN: Well, I think part of it is, the drug is approved for a disease indication and so depending on the severity of that indication, so it may be fine for 14 days, may be fine for chronic use in that particular indication, but I would argue it's a different scenario -- if you're going back to healthy volunteers for a bioequivalent study, that's a different risk/benefit assessment.

And then, just going back to that issue of what's -- the scenario if you have a positive mutagen but you have your standard to your carcinogenicity assays could include a transgenic assay as well that was negative.

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From the CDER standpoint, we -- I think we would generally say that's sufficient for addressing potential carcinogenicity, but if that were -- we're using the gene tox battery for, I know EPA, other organizations, also use it for heritable changes as well, so, but we do have the reproductive tox battery as well. So I think from -- if I had the positive mutagen and somehow it got all the way to approval and that positive -- carcinogenicity studies were well conducted and negative, that would be my key piece of information I'd be looking at.

DR. TIMOTHY ROBISON: We had a (indiscernible) where it was a positive Ames metabolite. We allowed the drug to go forward in patients for a short period of time, 28 days. And this is several years ago. Prior to exceeding 28 days, they had to have a six-month (indiscernible)

Page 210 1 carcinogenicity studies. 2 DR. AISAR ATRAKCHI: But not -- you didn't' allow it in healthy people. 3 4 DR. TIMOTHY ROBISON: No. (indiscernible) where there was a (indiscernible). I 5 mean, today, it might look more towards an in vivo 6 7 (indiscernible). 8 MAN 6: The policy or practice of 9 allowing some of those studies in healthy volunteers 10 is not really based on any risk/benefit data, that --11 this is about 10 to 12 years ago, where 12 (indiscernible) policy assessment and that it was just 13 the belief that, well, there's a threshold of single doses, not likely to do harm, and that we needed to 14 15 not -- to aid drug development.

So it was more of a practical determination rather than any risk/benefit determination.

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Thanks for that, John, because MAN 7: this confuses me a little bit and Dan's point, weight of evidence has already been done with the reference listed drug, whether it's a (indiscernible) we

	Page 211
1	administered or even if you don't have
2	(indiscernible). It was approved with the innovator
3	with a weight of evidence conclusion of what
4	carcinogenic risk already is. So now you're talking
5	about a generic that comes along.
6	You should be able to take that weight
7	of evidence you should know enough about the
8	innovator to say, is one dose or two dose or three
9	doses cancerous. The data should already be there. I
10	certainly wouldn't advocate doing additional or new
11	studies to try to determine, well, was that old weight
12	of evidence wrong?
13	Is it actually a carcinogen? Because
14	now, you're screwing around with the innovator, too.
15	(indiscernible) something.
16	MAN 6: Can I clarify this?
17	MAN 7: Yeah, sure, because I'm a
18	little confused by this.
19	MAN 6: The studies done in healthy
20	volunteers with the innovator may not have been done
21	in the United States, and so we may not have weighed
22	in on the risk/benefit determination. Now, the

generic comes in and wants to do a study in the United

States that changes the paradigm.

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MAN 8: You do also have the opportunity to do some post-market surveillance and ask if there's any data on cancer in patients who have been taking the drug, which is something that you could never for (indiscernible) entity.

DR. AISAR ATRAKCHI: We can. We have to have a good reason to ask for it. [OVERLAPPING SPEAKERS].

MAN 9: We're also trying to protect your patients, because you have -- you now have a drug of higher risk that you know you cannot address in the normal drug development process, but you have data in the patients in the United States who've been taking the approved drug and you can ask, is --

DR. AISAR ATRAKCHI: (indiscernible).

MAN 9: You can ask. I mean, this is the hot topic de jour of Health Canada and a lot of the genetic toxicologists is whether mutagenicity is in and of itself a risk factor and so that's part of the current scientific debate, if you will, of our

1 time and so now, when you get a generic drug which is 2 Ames mutagenic, you can answer that question because 3 you have access to the data.

DR. BOB BRASH: Just to circle back on kind of (indiscernible) question about that weight of evidence and going back and reevaluating the -- I really wouldn't go back to reevaluate mutagenicity or carcinogenicity (indiscernible) for sure. That's left to the NDA. We would go on the given information in the RLD label. We would go further in characterizing the safety of the impurities in the generic formulation.

For example, if they were not present in the RLD, so it's those things outside of the RLD that we might characterize the safety of to make sure that they're in bounds, but we wouldn't further the API safety (indiscernible).

MAN 10: I just wanted to add --

DR. AISAR ATRAKCHI: Identify yourself.

Name.

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2.1 MAN 10: Oh, sorry. (indiscernible).

I'm (indiscernible). I just wanted to add that the

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	Page 214
1	bioequivalent studies done (indiscernible) are to
2	advise the viability of generic drugs for the API and
3	the reason is that (indiscernible) changed so the
4	(indiscernible) of drugs my change (indiscernible) the
5	toxicity of API. They're looking at the bioequivalent
6	(indiscernible) difference in the (indiscernible)
7	drugs.
8	DR. AISAR ATRAKCHI: So for Part A,
9	which test results should received the greatest
10	consideration and the weight of evidence? So would we
11	follow up with another mutation test or would we
12	follow up with (indiscernible).
13	DR. ALAN BOOBIS: I mean, I'm assuming
14	you have the data.
15	DR. AISAR ATRAKCHI: That's true. We
16	do.
17	DR. ALAN BOOBIS: Yes.
18	DR. AISAR ATRAKCHI: So
19	DR. ALAN BOOBIS: So you're looking to
20	see if there was an appropriate followup of any
21	positive.
22	DR. AISAR ATRAKCHI: Correct.

1 DR. ALAN BOOBIS: Because I assume 2 what's why the question was asked in the first place. 3 DR. AISAR ATRAKCHI: Right. DR. ALAN BOOBIS: So there's a positive 4 somewhere in vitro. You'd want to see what was the 5 followup on that, which would contribute to your 6 7 conclusion. I mean, as I've said, if you've got a 8 bioassay, which you should have on the innovative 9 drug, then that would also be substantial evidence it 10 was a clean bioassay and a clean followup, then you 11 see, well, I don't see there's any real concern of a 12 Phase 1 study or bioequivalent study in healthy 13 volunteers. 14 MAN 11: (indiscernible), CDER. 15 Actually, I saw one consult to the (indiscernible) for generic drug, so the results are (indiscernible) 16 toxicity is a little bit (indiscernible) and then a 17 18 followup study, like, (indiscernible), that was 19 clearly positive (indiscernible). And then the (indiscernible) toxicity study was in that case, 20 2.1 however, the two-year (indiscernible) study is clearly 2.2 (indiscernible) complications.

1 So the label is more or less misleading 2 folks, (indiscernible), because the label claims that based on (indiscernible) evidence, it's not a 3 4 mutagenic. So (indiscernible) little hard for the generic drug. It's hard, like API (indiscernible), do 5 you consider safe (indiscernible). 6 7 DR. BOB DORSUM: So you recalled a very 8 interesting case. Typically, with generics, in order to inform how generic applicants should develop their 9 10 drug, OGD, the Office of Generic Drugs, will post a 11 product-specific quidance out there that's available 12 for all applicants to see and it will inform how to do 13 the trial, and is that trial that I mentioned on the slide (indiscernible) include healthy subjects, 14 15 include patients only. 16 So in order to come to that 17 determination currently, what the reviewers will do is 18 go back and take a look at what is the overall profile 19 of safety from that reference listed drug (indiscernible). So that may be, in a sense, looking 20 2.1 for whatever signals may exist, it is certainly not 2.2 solely limited to gene toxic carcinogenicity

1 information.

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I'm actually posing some of these questions here today so that we can increasingly use triggers from that drug label to initiate (indiscernible) so that we can use the weight of evidence more appropriately. So currently, we're kind of trying to mirror what was done before, and that may be sufficient in many cases.

But in cases where we have more information that we could use better, that's what we're trying to do and aiming to do, and so that's where the questions are coming from and so I don't know exactly how that product-specific guidance was for that product, but my guess is that it was evaluated according to the prior development program and looked at by the clinical discipline to make that assessment, according to the various present safety signals for the product.

DR. AISAR ATRAKCHI: So do -- since you're up there, Bob, do you think we have enough comments to answer this question?

DR. BOB DORSUM: Yes. I think that 3B,

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Page 218

the question of are there any other factors relating to generic toxicology, in intentionally left that open as a question because, of course, we have to answer these questions -- these sorts of questions, do some thinking on it frequently.

So I had thought maybe weight of evidence would be appropriate. I thought maybe the rodent bioassay might be reasonable to use. But I really wanted to also leave it open for our expert panel to say, you know what, yeah, there's rodent bioassay but what you should really be attempting to do is this other thing.

So I'd like to leave it open. Is there anything that we should consider as a trigger for, let's look at this further, that we haven't already talked about here today?

DR. TIMOTHY MCGOVERN: The only thing that comes to mind for me is if, say, you had an Ames positive that was also positive in carcinogenicity assay and the sponsor, as part of the overall package, went forward and conducting various studies to show that that positive finding and carcinogenicity study

	Page 219
1	is not related to the mutagenic positive.
2	So that could possibly build an
3	argument to say, you have a threshold say, might be
4	more based on pharmacology, so you may have a
5	threshold effect in place that could potentially allow
6	dosing in healthy volunteers just because that
7	positive carci finding wasn't tied in with the
8	positive gene tox.
9	DR. BOB DORSUM: Thank you. So that
10	gets more towards, consider your margins, consider the
11	mechanism, science-based decision making if it is a
12	positive, is it relevant.
13	DR. TIMOTHY MCGOVERN: Right. And it
14	could be a question of how the label may just say,
15	positive gene tox, positive carcinogenicity. May not
16	have any of that underlying information that actually
17	supported approval.
18	DR. BOB DORSUM: That's right.

DR. TIMOTHY MCGOVERN: Yeah.

DR. BOB DORSUM: But fortunately, there are some cases and labels that clearly state where, perhaps, there is a signal of what are those margins

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- and sometimes the labels are (indiscernible)

  descriptive in ways that are very informative, so --
- DR. TIMOTHY MCGOVERN: Yeah.
- 4 DR. AISAR ATRAKCHI: But if you do have
- 5 -- if you do know from the label of the individual
- 6 drug that it is -- caused mutagenicity and it was
- 7 | positive in carci study, would you still be
- 8 | comfortable using healthy volunteers or at that point
- 9 you will not to the bioequivalent study in healthy
- 10 patients -- healthy subjects but you will go to
- 11 patients?
- 12 DR. BOB DORSUM: Positive
- 13 | carcinogenicity --
- 14 DR. AISAR ATRAKCHI: Yeah, positive
- 15 carcinogenicity and positive -- right. Well, Ames or
- 16 | the (indiscernible) or if they did the two-year
- 17 | bioassay on the rat and they did the transgenics in
- 18 | mice, it's positive.
- 19 DR. BOB DORSUM: Well, then data are
- 20 mounting towards, we need to be very cautious with
- 21 | this and we would have to look at the mechanism
- 22 (indiscernible).

	Page 221
1	DR. AISAR ATRAKCHI: So you
2	(indiscernible) give it to healthy subjects
3	(indiscernible)?
4	DR. BOB DORSUM: That's not what I
5	said.
6	DR. AISAR ATRAKCHI: You said you would
7	look at other things, right? But
8	DR. BOB DORSUM: Well, safety is a very
9	it's across all end points. It is not just
10	(indiscernible).
11	DR. AISAR ATRAKCHI: Correct.
12	DR. BOB DORSUM: So that's what I mean
13	by that. But that's mounting evidence towards,
14	there's risk there for healthy subjects, that that
15	would be
16	DR. AISAR ATRAKCHI: So you'd still
17	consider doing the healthy volunteers, all this
18	mounting positive gene tox and carci?
19	MAN 12: Can you (indiscernible) as
20	well?
21	DR. AISAR ATRAKCHI: So I was
22	wondering, now you have all the data you wanted to

1 decide. Are you going to say no to healthy volunteer 2 study at this point because the gene tox data and 3 positive carci study, or you still look at other data, 4 other mechanistic study or dose ranges or any other 5 evidence to show it's not -- it's no harm to healthy 6 volunteers? I didn't get your response yet. 7 Okay. I think I'm DR. BOB DORSUM: 8 just being too indirect. 9 DR. AISAR ATRAKCHI: Because, I mean, I 10 think we're (indiscernible) answer the other question, 11 so you do have -- this is for generic, so the drug's 12 already approved. It's in the label. That says this 13 drug is mutagenic and carcinogen. Right? And now, it 14 comes to generics and you want to do the bioequivalent 15 study in healthy subjects. 16 You have that information. Would you -17 - because here it's asking, are there any other 18 factors relating to genetic tox that should be

22 what else would you want to have --

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considered when determining if the study can go in

points, mutagenic and carcinogenic, would you still --

healthy subjects. So if you know those two end

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Page 223

DR. BOB DORSUM: I don't think that you can really ask for more information. I think (indiscernible) or you can try to (indiscernible), but it is data that are concerning at that point. I'd be interested if the panel would suggest that there are other information that we should be more thoughtful about, so those data in and of itself, do raise (indiscernible).

DR. ERROL ZEIGER: Well, presumably, if you're talking about later date, you hopefully -- you may have a lot more information about the clinical effects of that particular substance or that class of substances. You may also have, as was mentioned, more information about the mechanisms of carcinogenicity and how irrelevant that mechanism may be for humans as opposed to compared to rats or hamsters.

So, which means, you might have to go back and reassess the initial chemical, but it gives you some guidance as to what data gaps you may have for the equivalent and are there any areas of knowledge that are now relevant to your question that may not have been relevant 10 years ago when it was

1 | first approved.

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DR. AISAR ATRAKCHI: Yes, this was exactly what I was going to say. I mean, the drug is approved. It's been on the market for a while and now it's coming as a generic, so -- and it's positive with the Ames or any other genotoxic, it's positive with the carci, so this must have been for a serious indication. So probably it was given to healthy volunteers when they started with the actual drug, so I would go to the original NDA and see what happened, did they give it to healthy volunteers, how many doses, and just go from there.

WOMAN 4: But healthy volunteer study may have been conducted without the (indiscernible) point.

DR. AISAR ATRAKCHI: Yes.

WOMAN 4: So now, you have a different stage to decide.

DR. AISAR ATRAKCHI: Yeah, we do have the carci data but it's probably for a serious indication. If it wasn't for a very serious indication, I don't think we would see it, right?

1 DR. BOB DORSUM: My FDA label 2 (indiscernible), but there's some pretty interesting 3 profiles for gene tox and carci out there. Do the search, and these are things 4 5 that are sometimes either available over the counter, 6 there are things that are commonly used mainstream, 7 and there are these results that are either positive 8 or negative in one study or another and I just want to make sure that we're asking the experts the right way 9 10 to navigate those because sometimes a positive is 11 popping a way that's seemingly problematic when we do 12 have 10, 20 years of apparent mainstream usage 13 (indiscernible). What about the signals (indiscernible)? 14 15 DR. ALAN BOOBIS: Can I just ask for 16 clarification? When you say there's some positives, 17 do those positives always include an Ames test? you talking about somewhere in the in vitro gene tox 18 19 battery there's a positive which could be carcinogenicity or aneugenicity but not gene mutation 20 2.1 as well? I mean, alternatively. 2.2 DR. BOB DORSUM: Right. There are some

- that are positive Ames and then end up being negative
  in carcinogenicity, for example.
- DR. ALAN BOOBIS: But are there some that are negative in Ames but positive in other in vitro gene tox --
- DR. BOB DORSUM: Yes.

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- DR. ALAN BOOBIS: Because the reason

  I'm asking that is that I don't think that all in

  vitro gene toxicity is equal. I think the

  interpretation of a positive Ames is a little bit

  different from the interpretation of a positive

  aneugenicity assay or even a (indiscernible) exchange

  assay.
- DR. AISAR ATRAKCHI: Yeah, we're going to get -- that's question four.
- DR. BOB DORSUM: I'm looking forward to that answer. Thank you.
  - DR. ROBERT HEFLICH: So presumably when the reference compound was approved, you didn't do the transgenic gene mutation assay and that would be a new piece of information that might inform the decision over and above the cancer (indiscernible).

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Page 227

DR. ERROL ZEIGER: Yeah, what I was going to say is the various in vitro tests are not complimentary. If something is positive in Ames and it's not a carcinogen, it's more likely to be positive in the other in vitro tests as well because we're not measuring carcinogenicity in these tests. We're measuring DNA reactivity or chromosome reactivity. So the fact that it's positive in Ames and also positive in in vitro micronucleus or mouse lymphoma? doesn't add anything to the weight of evidence that, wow, it's positive in three tests as opposed to one; therefore, it's more likely. doesn't work that way. And for a number of the in vivo tests, they're not -- and I don't know the data -- the current data for the transgenic or the PIG-A, but as a rule, they were not as sensitive as the in vivo -- as the in vitro. There are quite a few mutagenic in vitro carcinogens that are negative in the bone marrow assay, for example, and bone marrow micronucleus assay.

So a positive in the in vivo assay may

add some feel-good assurance to the positive in vitro, 1 2 but a negative in vitro -- in vivo assay doesn't 3 detract from the predictivity of that positive Ames 4 test and there are a number of publications on this 5 from the NTP database and from larger databases that show the in vivo bone marrow assay is not that 6 7 sensitive and not -- it doesn't correct the negative 8 Ames assay all the time. 9 I'm using the word "correct" as 10 providing the right concern. 11 DR. ROBERT HEFLICH: I think the lack 12 of sensitivity, though, (indiscernible) based on 13 target exposure. I mean, as far as any end point 14 (indiscernible) into that, but you're only measuring 15 bone marrow. 16 DR. ERROL ZEIGER: Yeah, exactly. 17 DR. ROBERT HEFLICH: If it doesn't get 18 to the bone marrow, you're not going to see anything. 19 DR. ERROL ZEIGER: Exactly. another concern I have for in vitro assay is that 20 2.1 (indiscernible) by the NCTR data on acrylamide, is 2.2 where you've looked at a number of tissues for

mutation and looked at a number of tissues for cancer and the mutation tissues to not -- tissues that show mutation do not always show tumors and the tissues that show tumors do not always show mutation.

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So there is a disconnect there, some sort of mechanistic disconnect that we don't understand.

DR. ROBERT HEFLICH: Yes. Well, the next step is to measure the mutations that are actually relevant to the end point, which means measuring cancer-driving mutations which I'm not suggesting that you do, but I mean, the reason why you get expanded call maybe a data or mutation or it may be promotion of a particular preexisting clone, and both would be relevant to carcinogenicity.

DR. ALAN BOOBIS: Could I just clarify?

As I understand it, genetic toxicology community do not consider an in-needle bone marrow micronucleus assay as an adequate followup of a positive Ames in vitro. They are talking about different set of assays and we have come across this and we're writing the guidance on it now for WHO; we should make this very

Page 230 1 clear. 2 DR. AISAR ATRAKCHI: Well, (indiscernible) micronucleus as a followup to the 3 4 Ames. 5 DR. ALAN BOOBIS: Yes. DR. AISAR ATRAKCHI: Yes. I mean, it's 6 a different end point. 7 DR. ALAN BOOBIS: It's a different end 8 point. 9 10 DR. AISAR ATRAKCHI: Right, it's a 11 different end point and you need to follow up, which, 12 we'll get to that question. You need to follow up at 13 the end point. If it's a mutagenicity in Ames, you 14 need to follow it up with a mutagenicity test. 15 MAN 13: I have a very simple question 16 to all the expert panelists regarding mutagenicity and 17 carcinogenicity. Do we have a list of the two-year 18 study tumor list from rat, from mice that we know this 19 to be positive, if they are relevant to human? you tell us what they are? 20 2.1 DR. ERROL ZEIGER: Well, I'll ignore 22 that last half question, but through the NTP database,

going onto the NTP bioassay database, I think they can 1 2 -- you can break it out by tumor type because we've 3 done it -- we did it a few years ago for mesothelioma. 4 You could identify all the chemicals that produce mesothelioma and then look in the database to see if 5 they were Ames positive or Ames negative. 6 7 This doesn't go to the relevance, whether this particular tumor is relevant to humans, 8 that's another issue and that's going to be decided by 9 10 the people who know rodent tumorgenicity and know 11 human cancer because there are certain -- for example, 12 the Zymbal gland carcinoma, I think it's in rats, we 13 don't have a corresponding Zymbal bland. Ιf something's positive just in the Zymbal gland, it's 14 15 called a carcinogen. 16 So I don't know how to bridge that gap 17 at this point. You need to get the pathologists from 18 both disciplines talking to each other. 19 MAN 13: And I believe there's one (indiscernible) cancer is not relevant to human. 20 2.1 DR. ERROL ZEIGER: Well, it depends on 22 -- I think it's a mouse or rat thyroid cancer,

depending on the particular cell type, that's 1 2 considered not relevant to humans. But then again, 3 there are good mutagens that only produce thyroid 4 cancers. Is that relevant for humans? Only produce thyroid in rats or mice. Is that relevant to humans, 5 6 because they are genotoxic. 7 A lot of the thyroid carcinogens are 8 not genotoxic, so --9 MAN 13: I assume somebody should have 10 complete list of those. 11 DR. ERROL ZEIGER: Those lists are 12 available from the NTP studies and you may be able --13 even able to get them through the IR, if there are IR 14 compilations, that let you search across 15 (indiscernible). 16 Thank you. MAN 13: 17 DR. ALAN BOOBIS: Can I --18 DR. AISAR ATRAKCHI: Yes. 19 DR. ALAN BOOBIS: I just wanted to say, it's not as simple as a list of tumors, types. 20 2.1 also, has to include mode of action. It's quite clear 22 hepatocellular carcinoma can occur in humans from

1 certain chemicals that do it in rats. But it's also

2 | true there are many chemicals that cause

3 hepatocellular carcinoma in rats by a mode of action

4 | that's totally irrelevant to humans.

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The same with kidney. (indiscernible) rat's kidney is a mode of action that is irrelevant to humans, but we can get real tumors by other mechanisms or modes of action, so you have to look at tissue and mode of action.

DR. ROBERT HEFLICH: I think the issue of using rodent carcinogenicity data to make decisions is somewhat problematic. I mean, but it's the best we have.

Of course, we know there are differences between rodents and humans as far as their mechanisms of carcinogenesis and just to go back to cancer driving mutations, I mean, there are different sets of cancer driving mutations in rodent than human — tumorgenicity, finding those that overlap and relate to one another is the trick for making the rodent carcinogenicity assay truly predictive of human cancer.

1 I think that's where there's 2 (indiscernible). 3 MAN 13: I just wanted to follow up 4 (indiscernible) for you, sir. I assume when we make a 5 decision, whether that's relevant or not, depends on the mechanism of action, correct? 6 7 DR. AISAR ATRAKCHI: Yes. 8 MAN 13: But we might not know, are 9 there mechanism of action that we don't know. 10 that true? 11 DR. ALAN BOOBIS: Yes, and you're not 12 going to assume, therefore, on the side of caution 13 that if we don't know that it's potentially relevant. 14 That's just the way risk assessment works. 15 DR. AISAR ATRAKCHI: So if -- we should move on to question four. Moving a little bit away 16 17 from mutagenicity and the question is, certain drugs may be clastogenic but not mutagenic. Should 18 19 consideration be given to the mechanism of action and genotoxicity, in designing studies is healthy 20 2.1 subjects? 2.2 We have talked about this but I think

the questions is here, so I'd like to hear from the panel.

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DR. ERROL ZEIGER: The nice thing about clastogenicity is that it's something you could easily monitor in human subjects as opposed to gene mutation which is not as easy to monitor.

DR. AISAR ATRAKCHI: What do you mean, you can do it in humans?

DR. ERROL ZEIGER: Well, you draw the blood from somebody and you essentially can look for chromosome damage in the white blood cells or --

DR. AISAR ATRAKCHI: We have tried to do this over the years, but it's not a very -- sponsors don't like to do that, for liability issues because, especially in healthy -- well, it's actually neither one, healthy or patients -- if their test becomes positive, the result is positive for chromosomal aberration, what's the obligation of the sponsor to inform or not to inform that patient or that subject?

So it is difficult to do. Otherwise, even lymph (indiscernible) test can be done and -- but

Page 2	236
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it's not done. I think that's a little bit --1 2 ideally, yes. 3 DR. ERROL ZEIGER: Well, there is 4 enough data to show that an increase in the peripheral blood micronucleus or chromosome aberration is 5 associated with increased cancer risk. So to answer 6 7 this question, I don't see, if you have a scientific -8 - forget about the legal, the other thing -- if you have a scientific way of simply answering the 9 10 question, is there an increase in chromosome damage in 11 patients, then that essentially addresses your 12 question here. 13 DR. AISAR ATRAKCHI: Sure. 14 DR. ROBERT HEFLICH: You can measure -excuse me -- PIG-A and HBRT in humans --15 16 DR. AISAR ATRAKCHI: Right. 17 DR. ROBERT HEFLICH: -- correctly, 18 although the end point is not validated the way --19 same way the micronucleus and the chromosome aberrations were, respect to the kind of study. I 20 2.1 mean, that was an incredible undertaking to do that. 2.2 DR. KEVIN PROHASKA: I'd like to add to

that last point that was made. I'm looking to go 1 2 about being able to monitor for something. It's not 3 my area of expertise, but if there's a reasonable way, 4 a reliable way of monitoring for an adverse event, it ought to be included in the safety mitigation plan for 5 the study. 6 7 And with regards to the liability that 8 sponsors may have for finding these problems, it's hard to have much sympathy on that, I'm afraid. You 9 10 guys, there is not only just a legal liability to 11 identify these problems but a moral liability. They 12 really are (indiscernible) they've identified some 13 serious adverse event they should be aware of. 14 DR. ROBERT HEFLICH: Well, that's 15 always been the pushback, the liability problem. 16 DR. AISAR ATRAKCHI: I mean, I just --17

DR. ROBERT HEFLICH: -- industry is

18 (indiscernible).

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I quess one way DR. AISAR ATRAKCHI: around that is probably to collect -- especially in healthy subjects -- you can collect the blood from any -- X number of subject, therefore, you're not going to

really know -- as long as they're healthy, you're not going to know the blood belongs to who and you can do the study that way.

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DR. ROBERT HEFLICH: There should be a way of knowing whose blood is whose, you know, I would hope. Yeah, so (indiscernible) conversation.

DANIEL LEVY: A followup comment for -question for -- (indiscernible) from Biogen.

(indiscernible) going back to in vitro testing with

(indiscernible) NIEHS.

So your comment about monitoring patients for, say, increasing their chromosomal breaks and lymphocytes or micronucleus in the blood, but isn't that a population-based analysis in that you can't be precise for each individual whether their increase in micronuclei will be a liability. Is that correct?

DR. KEVIN PROHASKA: Well, it's not my area of expertise, of course, but that's why I added the caveat, if it's reliable and actionable (indiscernible). So if there's some reason to believe that the information is not reliable, then there could

be an argument for not informing people, but if it's reliable, people ought to be informed.

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DR. ERROL ZEIGER: Actually, everything we've been talking -- all the numbers we've been throwing around about genotoxicity and its predictive value, that's in a way, all population based. It's all retrospective study. The fact that 75 percent of the salmonella positives are rodent carcinogens doesn't tell you what that -- what this chemical today that's positive, whether or not it'll be a rodent carcinogen. Leave it at that.

DAN LEVY: You can -- this is Dan Levy again. You can design a study where you take samples before and after administration of the drug and see if there's an increase in micronuclei and I think most of us would think that's a pretty reliable way, if it's positive, of saying there's a pretty high risk of clastogenic damage in that individual.

I will remind you that the micronucleus assay, while it, in terms of correlation of rodent micronucleus results and rodent cancer results it's extremely specific but very insensitive. That is,

many compounds including Ames positive compounds that are carcinogens, are negative in the rodent micronucleus assay.

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In human micronucleus assay, there are a lot of studies in the literature of biomonitoring of people who are exposed to a variety of known clastogens and known carcinogens and, for example, cigarette smoke is known to have a variety of both mutagenic and clastogenic compounds in it, but most micronucleus studies of cigarette smokers do not find an increase in peripheral blood micronuclei.

It's simply not sensitive enough to detect it in people who are exposed to what we know is an environmental carcinogen -- an environmental genotoxic carcinogen. So getting a positive result in a patient or a healthy volunteer in the micronucleus assay, I think, would be a very strong indicator of risk and I think very actionable and I -- considering relative noninvasiveness and inexpensiveness of that test, I don't understand why you wouldn't be doing it.

But a negative is not as definitive as a positive result, which is fine. I mean, in some

1	ways, you want to get the most potent things. You
2	want to remove the most potent risks, and if you had a
3	relatively simple and reliable test to get rid of some
4	of them, you can consider using it.
5	DR. ALAN BOOBIS: I think this is I
6	have some thoughts on it, but I think this doesn't
7	really get to the answer to question four. But before
8	I do try and answer question four, I agree what Dan
9	said, but the fact is, I suspect I know of no study
10	of that type. Do you? Do you know of that
11	DAN LEVY: I'll send you a list.
12	DR. ALAN BOOBIS: Sorry?
13	DAN LEVY: I'll send you
14	DR. ALAN BOOBIS: In which they gave
15	they did the study in a group of healthy volunteers
16	before and after
17	DAN LEVY: Oh, no, no.
18	DR. ALAN BOOBIS: No, I know
19	(indiscernible) studies in populations as far as
20	but I suspect the reason they'd be doing C-positives
21	is because pretty well nobody is going to get a drug
22	which is going to cause a positive micronucleus test

in a patient -- in a volunteer. That would have to be a pretty good genotoxic, and to do that.

3 DR. ROBERT HEFLICH: It was done with

4 AZT.

DR. ALAN BOOBIS: Sorry?

DR. ROBERT HEFLICH: It was done with

 $7 \mid AZT.$ 

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DR. ALAN BOOBIS: Yeah, but that's a particular compound, particular class -- group of patients. I mean, the sorts of drugs we're talking about here today, which is the present forms of that. But that's -- I mean, this is (indiscernible). I think the answer to question four is, very much so.

I would want to know about the mechanism of action, so how does that compound cause a non-gene mutation, genotoxic effect because we know of many modes of action or mechanisms which are thresholded and would not translate into a significant risk at the sorts of exposures we're talking about in these clinical trials.

There are others that would, but there are many that would not. So that information would

1 | really help moving forward.

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DR. AISAR ATRAKCHI: Thank you. I just

3 want to say, AZT pretty much does it always.

DR. ALAN BOOBIS: Yeah.

DR. AISAR ATRAKCHI: It's a

transplacental carcinogen. It's also a clastogen.

It's also a mutagen. So it doesn't... Okay.

DR. ROBERT HEFLICH: So just to follow up on Dan's, the problem with cigarette smoke is that it's an inhalation exposure which is very inefficient in exposing the bone marrow. That's the basic problem, why cigarette smoke is negative in most bone marrow type assays.

DR. AISAR ATRAKCHI: Yes. I do have a question from people online. I was going to go through the questions and then ask or, should I do it now? I could. You want me to do it now? Okay, so one of the -- the first question, I'm just going to read them. For Kevin, Dr. Prohaska's presentation, what is told by FDA currently to patients in the informed consent or exposure to an Ames positive drug? I don't know what that --

1 DR. KEVIN PROHASKA: I'm sorry, I don't 2 know if I follow the question. They asking whether or 3 not we have any policy as to what --4 DR. AISAR ATRAKCHI: I think so, yes. 5 DR. KEVIN PROHASKA: Okay. None that I'm specifically aware of; however, if there are 6 7 preclinical concerns, my thought is they should be 8 discussed or described in the consent document. 9 Okay. Would this DR. AISAR ATRAKCHI: 10 drug be suitable for a healthy volunteer study? 11 parent was negative in Ames without S9, but there are 12 human-specific metabolites that are Ames positive in 13 TA100. Is there an allowable threshold for the level 14 of these metabolites, 1 percent, 10 percent versus 50 15 percent of total exposure? 16 DR. TIMOTHY MCGOVERN: Sounds like a 17 case-by-case type evaluation. 18 But getting back to that initial 19 question, I mean, what I typically would see for any kind of positive gene tox result, it would be in the 20 2.1 informed consent stating what the response is, our 2.2 concern being that there's an association with that

positive response to induction of cancer and some type
of -- it's always difficult words, especially -- I
haven't seen one for a positive Ames assay, but some
statement regarding risk -- potential risk to the
subject.

So there definitely would be something in the informed consent.

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DR. AISAR ATRAKCHI: Okay. The next question is from -- this is for (indiscernible) presentation. From what was presented so far, it is still not clear to me what Ames positive IND does mean here. Ames positive is the only information we have as gene tox information. The drug is Ames positive but negative for clastogenicity in vitro and/or in vivo.

The drug is positive in Ames and both in vitro and in vivo for clastogenicity or the drug is Ames positive and considered clearly mutagenic in vivo in humans. The different scenarios imply different potential risk for the patients as potential of in vivo relevance may be different.

Does the single dose causing cancer

Page :	246
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- correlate with the 25,500-fold compound-specific TTF -
- 2 which is the TD50 over 50,000 -- for those compounds
- 3 with lifetime carci studies available?
- 4 DR. BOB DORSUM: I think the first part
- 5 | --
- DR. AISAR ATRAKCHI: Can you use the
- 7 mic?
- DR. BOB DORSUM: I think the first part
- 9 there, inquiring what type of data are available and
- 10 | what's the relevance of that, so as we discussed
- 11 earlier, the only data that are available at the point
- of Phase 1 clinical trials are the Ames data. For the
- 13 | second part of the question, I'm not sure I have the
- 14 expertise to answer that.
- DR. AISAR ATRAKCHI: What is TTF?
- 16 | Maybe it's TTC? It's a typo? So the question is,
- 17 does the single dose causing cancer correlate with the
- 18 TD50 divided by 50,000 for those -- I guess that's the
- 19 | acceptable intake -- for those compounds with lifetime
- 20 carci studies available.
- 21 DR. BOB DORSUM: I don't know that
- 22 those comparisons were ever made for any of the

	Meeting November 4, 201
	Page 247
1	studies we looked at.
2	DR. AISAR ATRAKCHI: Okay. So this
3	next question
4	DR. TIMOTHY MCGOVERN: I'll take a
5	stab. So you have, yes, TTC is based on lifetime
6	carcinogenicity studies. I don't think it
7	incorporates any of the single dose-type studies
8	(indiscernible). So it probably does not I mean,
9	it may call into the point that TTC of 1.5 micrograms
10	per day is still protective in considering the results
11	of the single-dose studies, but it wasn't used to
12	develop that curve, if you will.
13	DR. AISAR ATRAKCHI: The next question
14	is, are there certain Ames assay strains that are
15	particularly predictive or suggestive of positive
16	carci studies.
17	DR. ERROL ZEIGER: No.
18	DR. AISAR ATRAKCHI: Some better than
19	others?
20	DR. ERROL ZEIGER: No. They're
21	measuring different target sites, so the fact that

something hits a 5C sequence or 5G sequence, this one

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hits a 6G sequence doesn't tell you anything about a 1 2 relative potency or relevant predictability. 3 DR. AISAR ATRAKCHI: Is exposure to a 4 metal really the best approach when considering 5 duration? Maybe that was beryllium question. exposure to a metal really the best approach when 6 7 considering duration? I'm not sure why not. Okay. 8 Currently ICH S1 only requires carcinogenicity testing for drugs used for a total of six months or more. Do 9 10 these data suggest that all drugs should be tested 11 regardless of their duration of use? 12 DR. ALAN BOOBIS: I mean, I don't think 13 it does. I think we're talking about the value of 14 genotoxicity testing data and (indiscernible) what 15 more information you would be asking for, I think that 16 if you have good negatives in genotoxicity, good 17 repeat dose toxicity, you can certainly get to a 18 situation where you wouldn't be asking for a 19 carcinogenicity study for all drugs, as we do now. 20 DR. AISAR ATRAKCHI: Tim? 2.1 DR. TIMOTHY ROBISON: I just want to 2.2 come back the Ames positive metabolite that -- in a

lot of situations, we (indiscernible) the same as the 1 API, sort of pursued them, and I think there was one 2 3 case example where it was extremely low and we sort of 4 went through an argument where maybe nothing was made 5 of it. For the most part, we treated them equivalent to the API and it needed to be pursued in terms of 6 7 testing. 8 DR. AISAR ATRAKCHI: But presumably 9 that metabolite is, like present -- it's a major 10 metabolite. 11 DR. TIMOTHY ROBISON: Well, I mean, 12 I mean, generally, but we didn't use the 10 13 percent threshold for an Ames positive metabolite. We 14 pursued them in terms of further testing. 15 DR. AISAR ATRAKCHI: Then moving on to question five relating to ICH S2R1 guidance provides 16 17 recommendation for followup for a positive in vitro 18 (indiscernible) clastogenicity assay. If a drug is a 19 mutagenic, Ames positive, are there followup studies to assess risk that should be conducted prior to 20

If so, would a 28-day transgenic rodent

conducting studies in healthy volunteers?

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1 mutation assay, which includes a PIG-A end point, be 2 appropriate or -- well, okay, and if it was positive, 3 then you stop there. If it was negative, the tissue 4 evaluation should proceed. Alternatively, instead of the 28-day 5 transgenic, would a 26-week (indiscernible) mouse 6 7 carci study or (indiscernible) bioassay be requested? 8 So now, we need to know if -- what would be the followup test which we kind of talked 9 10 about a little bit earlier, about the followup for

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positive Ames test.

PATRICIA ESCOBAR: Patricia Escobar from Merck. I just have a clarifying question in your (indiscernible) you're assuming that we do have (indiscernible) mutation assay and to that, we advocate the PIG-A end point. Actually, there are two different assays. You can do a transgenic 28-day study and you can do a PIG-A Assay, so they're different -- two different (indiscernible) so I just

DR. AISAR ATRAKCHI: Yeah, I think the point is the followup with in vivo mutation.

want to clarify (indiscernible).

	Page 251
1	PATRICIA ESCOBAR: But it could be
2	either.
3	DR. AISAR ATRAKCHI: It could be
4	either.
5	DR. MIRIAM POIRIER: build the end
6	point into the transgenic mutation assay.
7	DR. AISAR ATRAKCHI: Oh, yeah, yeah.
8	That's right.
9	DR. MIRIAM POIRIER: (indiscernible)
10	end point into the assay.
11	DR. ROBERT HEFLICH: the idea was to
12	make it easier. If you got a positive PIG-A, the
13	transgenic becomes not important.
14	PATRICIA ESCOBAR: Yeah, but, for
15	example, they said we're going to use it. You can do
16	a 28-day study as your first (indiscernible) 28-day
17	study and you have an inform a PIG-A end point.
18	DR. ROBERT HEFLICH: Right, but by
19	PATRICIA ESCOBAR: positive, you
20	stop it, right?
21	DR. ROBERT HEFLICH: Yeah.
22	PATRICIA ESCOBAR: Like, you're not

	Page 252
1	going to do a 28 (indiscernible) study.
2	DR. ROBERT HEFLICH: The problem is if
3	the PIG-A is negative, then can't really rule out a
4	tissue-specific response you could pick up with the
5	transgenic.
6	PATRICIA ESCOBAR: But I thought that
7	(indiscernible) between the PIG-A assay was actually
8	to be another a surrogate in vivo mutation assay
9	comparable to the 28 transgenic.
10	DR. ROBERT HEFLICH: I'd like to think
11	that, but I mean, that's not an accepted
12	PATRICIA ESCOBAR: Because I
13	(indiscernible). As far as I understood, the
14	(indiscernible) that's how we've been kind of thinking
15	about it. We have not used it a lot. There's a lot
16	of information out there, just not as much, but that's
17	the idea, to do one or the other, both of them in vivo
18	mutation end points, which is the way
19	DR. ROBERT HEFLICH: The way we
20	recommend doing it is combined assay at this point
21	because of the uncertainty about the PIG-A.

DR. AISAR ATRAKCHI: Thank you.

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MAN 14: So I have a question about the 28-day transgenic. Even the most mutagenic carcinogens generally only cause tumors in three, maybe four tissues in a rodent study.

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Bob mentioned earlier about the acrylamide study where the mutations and the tumors weren't in the same tissues or there was some overlap but not total overlap, and so the question is, how do you decide how -- in which and how many tissues you need to sample in the transgenic assay before you've got enough data to make a decision and how can you say that that's equivalent to a single PIG-A end point?

So we know -- we really know very little about the relative sensitivity of various tissues in the transgenic mutation assay. There's very, very little data on that. I spent two years, three years on a HESI committee looking over a lot of those data and it's very sparse. And so it's not clear to me how you come to a conclusion that you have enough data to understand which tissues and how many tissues to sample. And so I would be curious how you plan to develop that recommendation.

DR. ROBERT HEFLICH: I think in TG488, there's recommendations as to how we choose tissues, based on what you know about the distribution of the chemical and its metabolism. Just follow that -those quidelines, as far as setting up a transgenic assay. The data in the transgenic gene mutation database has been collected over 30 years and some of it's pretty bad. I mean, it was generated using antiquated methods, so I think if you look at it in total, we can say, this is junk, you know. But if you -- I think, I'd like to believe, if you conduct a transgenic assay following the current quidelines, that you'll get a reasonable estimate of mutation in particular tissue. It's clear that there's a lot more data in some tissues than others, but anyway, in theory you can look at

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anything.

DR. AISAR ATRAKCHI: But I just wanted to clarify, I think the point here from A is you're doing the 28 transgenic rodent mutation test, but incorporate into it the PIG-A. But you're saying

Page 255 that's -- you're saying they're two different studies. 1 2 I don't think we will object to how it's incorporated unless there's technical issues that --3 4 PATRICIA ESCOBAR: No, no, you can 5 incorporate them if you want to, but we were seeing 6 those assays as separate assays, so you could choose 7 one or the other. So not necessarily that you needed 8 to do the two at a time. Here, you're suggesting to 9 do them at the same time. 10 Doing the assay at the same time is 11 feasible because at the end of the day for PIG-A, the 12 only thing you take is blood and then you just run the 13 PIG-A. So that's the easy part. That's why we thought, if you do a 28-14 15 day study, the (indiscernible) study or a 28-day study, you could actually at the PIG-A end point and 16 17 get that important -- not necessarily going into this 18 28 transgenic --

DR. AISAR ATRAKCHI: So 28 days, nontransgenic?

PATRICIA ESCOBAR: Yes, or 28 days nontransgenic. Yes.

1 DR. ROBERT HEFLICH: If I can predict 2 how the guideline is shaping up, I would say that it's 3 possible to do just PIG-A if you can -- like the in 4 vivo micronucleus assay, if you can argue that you're 5 getting adequate exposure of the bone marrow to the reactive metabolites, that may be by alteration in the 6 7 reticulocyte frequency or something like that. 8 But you'd be taking a risk of having your data -- if you got a negative in PIG-A, the FDA 9 10 might come back to you and say, well, you should do 11 this (indiscernible) or something. 12 DR. ERROL ZEIGER: And as you know, if 13 you do it all in the transgenic, you can still look at 14 PIG-A, but freeze away other tissues and then you have 15 -- you might throw them out or you might need to 16 analyze them or want to analyze them. 17 DR. ROBERT HEFLICH: That was exactly 18 the argument. 19 No, that's a good PATRICIA ESCOBAR: I'm just saying, the way we were interpreting 20 2.1 it the last couple of years was completely separate. 2.2 This is a different way of seeing it. I'm not saying

Page 2	57
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- 1 | it's right or wrong.
- DR. ROBERT HEFLICH: Well, we're
- 3 forward thinkers here at the FDA.
- 4 DR. AISAR ATRAKCHI: So just to wrap up
- 5 | this question, is there a preference of using either
- 6 the 28-day transgenic or the 26-week Tg.rasH2 as a
- 7 | followup?
- DR. ERROL ZEIGER: I'm not sure what --
- 9 how much information is available on the effectiveness
- 10 of the Tg.rasH2. I know it was tested with a lot of
- 11 known carcinogens, a lot of alkylating agents, but as
- 12 far as other chemical classes, I have no idea of that.
- 13 I don't know if the data exist or if somebody just
- 14 | hasn't pulled them together yet.
- DR. AISAR ATRAKCHI: So you're saying
- 16 | the 28 -- you'd go the 28 day?
- DR. ERROL ZEIGER: I don't know enough
- 18 about the Tg.rasH2 to say yes.
- DR. AISAR ATRAKCHI: Okay.
- DR. ROBERT HEFLICH: I think without
- 21 knowing very much about it either, I think there is a
- 22 history of positives in it that may not be

1 informative.

DR. ERROL ZEIGER: Looks like --

DR. ROBERT HEFLICH: To put it

4 elegantly.

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DR. ERROL ZEIGER: It's like the early TGR transgenic studies. People only tested chemicals they expected to be positive. I don't think anybody put a Ames negative -- more than one or two Ames negative chemicals into a TGR study in the early days, because they were looking for positives. They were trying to develop the system and see how well it works.

And from the little I know about the Tg.rasH2, we might be in the same situation. We know these are carcinogens. Let's see how this responds to it, which doesn't really tell you anything.

The example was, a number of years ago somebody came into a lab saying, I have this wonderful bacterial test that identifies all carcinogens. And he showed that the dozen or two dozen alkylating agents were positive and carcinogens. And one of us asked the question -- I don't remember who -- well,

how does this test do with non-carcinogens? And the answer was, well, we're not interested in finding non-carcinogens.

So yes, if you're going to only test chemicals you think will be positive, the test will look good.

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DR. ALAN BOOBIS: The other point about the transgenic mutation assay is it's available in both rat and mouse; whereas, the Tg.rasH2 is only available in a mouse. That could be significant.

It's a lot quicker to get turnaround on results and I think there's a lot more data now in positives and negatives. And there's fewer false positives in the transgenic mutation assay. The Tg.rasH2 assay responds to not only genotoxic carcinogens.

patricia escobar: I wanted to clarify this and actually, and actually some other information out there, I don't know the literatures, but (indiscernible) part of (indiscernible). They're accepting the Tg.rasH2 to cover a full set of chemicals, genotoxic and non-genotoxic (indiscernible). So it is a known and well accepted

1 assay.

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DR. TIMOTHY MCGOVERN: I was going to add that maybe more of a practical stepwise approach, if you have a positive Ames assay, you might want to start with a 28-day study just to get their clinical program up and rolling again eventually, assuming it is a long-term administration drug, you'll need your two rodent model carcinogenicity which could include the 26-week Tg.rasH2.

But you could, probably, if you wanted to bypass the 28-day study, you could go straight to the 26-week Tg.rasH2 as well.

DR. BOB BRASH: Well, one thing about - Dan probably would like to comment on this is
there's an interest in substituting the in vivo common
assay for a gene mutation end point and there's data
in the literature that just came out this year,
arguing that this is inadequate substitute. I wonder
how other -- whether you'd accept common assay data in
lieu of gene mutation data for a decision like this.

DR. ALAN BOOBIS: It depends. I think common assay has a lot of merit, but I think it also

has to be interpreted very carefully because we know you can get high dose false positives from non-genotoxic modes of action. If you -- because it's not measuring a direct gene mutation (indiscernible).

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It's something consequence to that and that's a valid end point, but I think as long as it's interpreted properly and the study was designed correctly, then yes, it can (indiscernible). I think in the absence of other in vivo follow-ups, it could be (indiscernible).

DR. DOUGLAS BRASH: One thing to keep in mind with the common is (indiscernible) assay is very sensitive to the first couple of hits and then it just plateaus and so if you're also looking at DNA (indiscernible) for example, you're going to see a dosage bounce. It goes up, it's flat (indiscernible) higher doses.

Now if you wait for repair, you're going to think, (indiscernible) there's nothing, nothing, nothing's happening, then boom, the last couple of things (indiscernible) you see it. So you do have to be very careful.

1 DR. AISAR ATRAKCHI: We have three or 2 so questions from online. With respect to ICH M7 3 referred to earlier were 120 micrograms for 30 days 4 would be acceptable for an impurity with clear Ames 5 positive data and not belonging to a class of well-6 known, highly potent mutagenic carcinogens, how likely 7 would you assume such an Ames positive compound to 8 represent a new class or highly potential mutagenic 9 carcinogen? 10 DR. TIMOTHY MCGOVERN: It's a tough --11 how likely? Repeat that last part. 12 DR. AISAR ATRAKCHI: Okay, not 13 belonging to a class of well-known, highly potent 14 mutagenic carcinogens, how likely would you assume 15 such an Ames positive compound to represent a new 16 class or highly potential mutagenic carcinogen? 17 DR. TIMOTHY MCGOVERN: I say we 18 generally would assume it not likely to represent a 19 high potency. 20 DR. AISAR ATRAKCHI: Yes, I think so. 2.1 If a drug is shown to have an equivocal Ames result, 2.2 what followup studies would constitute an acceptable

- 1 rate of evidence argument as shown to be negative?
  2 For example, a panel member mentioned the in vivo PIG-
- 3 A, or would more need to be done?
- 4 | WOMAN 6: (Indiscernible).
- DR. ERROL ZEIGER: If it's equivocal,

  you go back to basic scientific principles. You do a
- 7 repeat assay and you do it with maybe a little bit
- 8 more substance to it, more doses or additional
- 9 strains. But if it's equivocal, it calls out for
- 10 repeat tests because the difference between a negative
- 11 | and equivocal and a positive could just be a few
- 12 mutants on a couple of plates.
- MAN 15: Have you published a paper
- 14 recently on that?
- DR. ERROL ZEIGER: So did you.
- DR. ALAN BOOBIS: Can I just say that
- 17 | this is a decision -- mission-critical decision. In
- other words, the answer to the question is, do you
- need a resolution of the answer or could you move
- 20 forward, assuming a positive? And maybe you can. If
- 21 you come up with a strategy that says there's a
- 22 certain amount you could give as a single dose or two

doses to your volunteers, then making that assumption allows you to move forward, okay. If it's critical to resolve the question, then yes, you'd follow the strategy of repeat dose and then think of followups. So I think it's not necessarily essential that you resolve every question, if you can move forward (indiscernible).

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DR. ERROL ZEIGER: Well, based on some studies that were done a number of years ago, these weak positives are much less likely to repeat than a clear -- something that's clearly negative. So I've always been an advocate of repeating your results with a positive or negative, doing a repeat test because these weak positives, like I said, two people with slightly different interpretations of the twofold rule.

They have one coming up called negative (indiscernible) equivocal or positive. It takes less time and less effort to repeat it than to discuss it.

MAN 15: One of the things I want to point out is there's a big difference between repeating a two-year cancer bioassay and repeating an

Ames test. It is the cheapest, quickest test in our 1 2 armament and in a few weeks, you can get an answer and if that settles your question, that's a lot easier 3 4 than a lot of other things you might want to work on. 5 WOMAN 7: Yeah, actually (indiscernible) panel of experts, especially from FDA 6 7 so the (indiscernible) a question. For example, 8 (indiscernible) clinical (indiscernible) for first the 9 human side (indiscernible) for Phase 2 study, it can 10 be (indiscernible) and of course there are some (indiscernible) for the formulation (indiscernible) 11 12 test, but for the solution for (indiscernible) 13 clinical test. And also (indiscernible) to rely on a 14 15 possible Phase 2 we will rely on Phase 2 16 (indiscernible) and the (indiscernible) move forward, the healthy volunteer study (indiscernible) or we need 17 18 to provide all this (indiscernible) more relevant 19 Phase 2 study. It's a (indiscernible). 20 DR. TIMOTHY MCGOVERN: I think you'd 2.1 want to submit all the data. And I would be looking 2.2 for rationale as to why you're getting different

results in your two assays because sometimes you could 1 2 be purifying material, the original result could've been due to potent impurity that was present and no 4 longer there, but I think it would probably raise some eyebrows initially.

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They had one positive, one negative, and we'd be looking for some investigation as to why you saw a difference in that result and why we should rely on the negative one as opposed to the positive one.

WOMAN 7: Yeah, that's a very good comment. We all think about that, actually. (indiscernible) in different labs, but all (indiscernible) and (indiscernible) from one country to another, so the labs (indiscernible) different vision, too, and of course the Phase 2 one is more mature clinical (indiscernible) potential.

DR. AISAR ATRAKCHI: I mean, that's like Tim was saying. You need to -- once we see differences in results, clearly it's better -- we will ask the questions. So instead of us asking you the question, just provide the explanation why you think

there was a difference in (indiscernible) as opposed 1 2 to the back and forth. WOMAN 7: Okay, thank you. 3 So 4 basically the whole information need to provided and a real story line thing, you guys will judge on that. 5 6 DR. AISAR ATRAKCHI: Another question 7 is, it was mentioned that the PKC for mutagenic 8 impurities was 3.8 milligram for one day for ICH M7. If so, then what is the justification for giving one 9 10 to four doses of an Ames positive compound to healthy 11 volunteers that is likely a much higher dose than for 12 the impurity?

DR. DOUGLAS BRASH: That's why we're here today.

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DR. AISAR ATRAKCHI: Correct. Okay.

Coming back to the main topic, how would the panel rate negative results from a combined comet micronucleus study? I assume it means, if you have results from comet micronucleus test and they are negative, how would --

DR. ERROL ZEIGER: If the Ames test was positive, I would --

1 DR. AISAR ATRAKCHI: And those are 2 negative. I think that's what --3 DR. ERROL ZEIGER: And those are 4 negative, they -- going along with what was said, I 5 would not denigrate or I would not reduce the impact of the Ames positive. 6 7 DR. AISAR ATRAKCHI: Right. DR. ERROL ZEIGER: The fact that it's -8 9 - and we did a study of this for -- in was a couple of 10 (indiscernible) committee that was formed five years 11 ago to look at this. What does it take to cancel out 12 the implications of the Ames positive? And it clearly 13 came out at -- two papers were published. Kirkland is the first author on it. If the Ames test 14 15 is positive or negative in any of the in vivo studies, 16 did not -- an eliminate the probability that it would 17 be a carcinogen, but did not affect the probability it 18 would be a carcinogen. 19 DR. AISAR ATRAKCHI: It's different end The assay (indiscernible). 20 points. 2.1 DR. ERROL ZEIGER: Different end 22 points.

1	DR. ALAN BOOBIS: That may be the
2	conclusion in that paper, but there are other groups
3	who's come to different conclusions, who's concluded
4	that if you've got good negative data on a measure of
5	the same end point, so comet assay and/or transgenesis
6	in vivo, then it might and with no evidence of
7	precursor effects in repeat dose study up to 90 days
8	or six months, it's enough to discount the positive in
9	vitro.
10	DR. ERROL ZEIGER: Sounds as if we need
11	a new group to get together and pull together all
12	these data.
13	DR. AISAR ATRAKCHI: But I think you're
14	saying is the overall weight of evidence.
15	DR. ALAN BOOBIS: Absolutely.
16	DR. AISAR ATRAKCHI: Yes. I don't
17	think you're saying the results of the Ames, ignore
18	it.
19	DR. ALAN BOOBIS: No.
20	DR. AISAR ATRAKCHI: Right. It's a
21	weight of evidence.
22	MAN 16: (indiscernible). All of us

know that the Ames positive mean something. We need
to deal with that. But I don't know if we remember
that as about -- over 20 percent of Ames test positive
will be negative in carci studies, so do we overemphasize the positive if there's other in vitro study
or in vivo study (indiscernible) study is negative and
we say decrease a little bit (indiscernible) Ames

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test?

DR. AISAR ATRAKCHI: The 80 percent prediction of the Ames test, it's -- when it's positive, that's giving you its predicted -- these compounds going to be likely they will be carcinogens. So you will be -- they are two positive (indiscernible).

You're missing the point percent and it's a decision that we have all made that we are okay with (indiscernible) missing 20 percent of the data as a false negative. Or rather, false positive, actually. False positive.

DR. ERROL ZEIGER: Part of that, there's a fallacy in looking at sensitivity and specificity, because a lot of these studies were done

with 50 percent to 90 percent carcinogens in the population. So when you've got -- and the population that you're looking at is -- Ames positives are much less or the -- much less than that, carcinogens (indiscernible) be much less than that.

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If you look at -- there are some publications that Ames test is 90-some-odd percent of the publications where the Ames test is 10 to 20 percent. The more carcinogens you'll have in your population of chemicals, the better your sensitivity will be. Ideally, you have 100 chemicals and all of them are carcinogens, you check every one, you've got sensitivity of 100.

Ten percent of non-carcinogens, you check off every one, you've got a sensitivity of 90. It still looks good. But if only 10 percent of them are carcinogens, you check off every one, your sensitivity is only 10 percent.

This is something that was pointed out by Cooper in one of his originally two-by-two table presentations, that the proportion of true positives in the population that you're looking at will look at

1 how effective the test looks.

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MAN 16: Yeah, another problem with these correlations is that we really do not have a very accurate list of genotoxic carcinogens and non-genotoxic carcinogens and genotoxic non-carcinogens, so for example, estrogen is definitely a carcinogen. There are actually some studies that show it's genotoxic.

But nobody thinks that it's a carcinogen because of its genotoxicity. The mechanism is well known that its qualities as a hormone binding to a receptor are what makes it carcinogenic.

DEHP, non-genotoxic carcinogen. We know a lot about how it causes liver cancer. It has nothing to do with genotoxicity. If you were to do a two-by-two table it would seem, oh, the Ames test misses DEHP because it doesn't detect it as a carcinogen. Well, of course it doesn't. We don't want it to detect it as a carcinogen.

And a lot of the lists that you see of genotoxic carcinogens and non-genotoxic carcinogens are merely based upon Ames results without knowing,

without mechanism of action when it's known, and there 1 2 are a fair number -- unfortunately, many of the compounds for which the mode of action, mechanism of 3 4 action is really, really well understood, the data comes from studies that are not in open literature but 5 they're in the files of CDER, the Office of Pesticide 6 7 Programs, and so forth where they -- not a lot of 8 these kinds of studies and as far as I know, nobody's really assembled that very high-quality data into an 9 10 overall study and so you have to understand the 11 correlations in those two-by-two tables are just a 12 rough estimate and you cannot live and die by them. 13 Another problem is, there was MAN 17: 14 no clear, agreed-upon definition of what is a 15 genotoxic. There are dozens of tests that measure 16 mutation, recombination, strand breakage. Where do 17 you stop? Where do you stop? Right now, the current is, if it's positive in one of the ICH assays it's 18 19 considered genotoxic. 20 I mean, glyphosate is MAN 16: 2.1 genotoxic because it causes (indiscernible) exchanges. 22 MAN 17: Yeah. (indiscernible) nobody

does anymore, because it was very (indiscernible) is very sensitive to the particular protocol you're using. That's why nobody does it, but yes. There's no definition. John Ashby used to use, if it's positive in salmonella, it's a genotoxin. But then, he would define genotoxin by positive in salmonella, positive in salmonella --

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DR. TIMOTHY MCGOVERN: Circular.

MAN 17: But this is part of the problem and there are a number of chemicals that we know are genotoxic that cause rodent tumors but do not cause rodent tumors based on their genotoxicity.

One example that I was involved with that came to FDA is something that was weakly mutagenic in the Ames test, which doesn't mean that much, but it produced tumors only in the presence of chronic inflammation and chronic necrosis, and only in the animals where you had the chronic inflammation and chronic necrosis.

Now, is that -- is it a genotoxic chemical? Is it a genotoxic carcinogen? Or is it some other mechanism? We don't have the science at

this point and I don't think we have the discipline at this point to say, yes, it's genotoxic but no it is not a genotoxic carcinogen.

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DR. ALAN BOOBIS: Well just to -- for information, the chemical (indiscernible) which is the European counterpart of (indiscernible), I think it is, long range initiative, has funded the construction of a database along exactly the lines you're suggesting where they're try -- I mean, it is a judgment call, but they have people like David Kirkland involved, to try to determine which of the carcinogens are carcinogenic by a genotoxic mode of action and by non-genotoxic mode of action and which chemicals have they got which are negative.

And they're going to release a curated database of several hundred chemicals later -- early next year, probably, that -- and the idea was, the start of this was to try to underpin the TDC for genotoxic carcinogens more substantially with a curated database, but grounded to try to provide the sort of information we're asking for now. Which of the carcinogens are carcinogenic by genotoxic mode of

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1	action	and	wnicn	are	not?

So hopefully we'll get a quantify of data (indiscernible).

MAN 17: I thought ECVAM had already done that.

DR. ALAN BOOBIS: Excuse me?

7 MAN 17: I thought ECVAM had already

8 done that.

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DR. ALAN BOOBIS: They did, putting together a list of genotoxicants but not -- they haven't curated the CPDB database.

MAN 17: (indiscernible).

DR. ALAN BOOBIS: Well, David

14 Kirkland's involved in both.

MAN 17: Okay, yeah, I know it was the first offer from the ECVAM study. One thing I've learned from doing two-by-two tables for the PIG-A gene mutation validation exercise with David Kirkland is that the outlier, the non-concordant chemicals in explaining why they're non-concordant, is often the most valuable part of the study because there are always going to be things that are negative from what

1 your prediction you would like to have because of a
2 reason.

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And the reason is very informative as to the nature of the assay.

DR. AISAR ATRAKCHI: So I'd like to move on because the last question I'd like the panel to address -- and I think question six, perhaps, can move on quickly because we've discussed a lot of the points here.

Can you provide guidance for a path forward for development of a DNA reactive drug, for example, the need for a mechanism of action, structural considerations, functional groups at the molecular level, (indiscernible) cross comparisons (indiscernible) molecules with a known safety information, observed genotoxic response, mutagenic, clastogenic, aneugenic, or followup assays that described in the earlier question, which is the alternative (indiscernible) test or the two-year bioassay?

Is there anything else we can add to this information? I think there is an agreement that

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Page 278

we would like -- it's helpful to know the mechanism of action. It's helpful if we know there is a structural alert that can add to the weight of evidence. Whether -- is it more important to know if it's mutagenic or clastogenic or aneugenic?

But if we have this information, I think we can add that to the weight of evidence and I think we just discussed the followup assays. Is there anything else that we can add to this?

DR. ALAN BOOBIS: I think C, read across from (indiscernible) can be extremely powerful. If you know what -- you've got a very good idea what the chemical reactivity of the new entity that's driving the positive and you've got an existing compound with the same reactivity and a similar profile in vitro, but you've got a vast amount of human experience because it's been used for years as a human medicine. Then, you can use that information for read-across very effectively.

MAN 17: I think you're saying here if you have an Ames positive (indiscernible), we say stop, not going to allow this to be given in humans

- because there is a risk, although we can't clarify it
- 2 | very well, you're going to have to give us more
- 3 information.
- 4 DR. ALAN BOOBIS: This is sort of
- 5 (indiscernible).
- 6 MAN 17: And that's what we're -- yeah.
- 7 DR. ALAN BOOBIS: Yeah.
- B DR. AISAR ATRAKCHI: Okay. And the --
- 9 oh, and I guess Part F is to allow microdosing of such
- 10 drug without any followup assessment. I think we've
- 11 addressed that as well earlier.
- The last question, are there drug
- 13 classes or specific drugs targeted to the
- 14 (indiscernible) that should never be administered to
- 15 | healthy subject? Think we had some slides to show on
- 16 this. Okay, there we go. So this is an introduction
- 17 to the epigenome consist of specific (indiscernible)
- 18 | modifications of chromative components which include
- 19 DNA, RNA, and proteins that (indiscernible)
- 20 inheritance of differentiating states.
- 21 Structure and function of the epigenome
- 22 are controlled by these covalent marks which are

applied by enzymes which are the riders to the 1 2 (indiscernible) 47 base pair of DNA and the eight (indiscernible) components of a nuclear cells. 3 4 marks instruct and the proteins that recognize them, the readers, to identify and remodel particular genomic regions to modulate gene expression, plasticity of the epigenome (indiscernible) much to the existence of erasers that is the enzymes capable of (indiscernible) active and repressing marks.

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Tumor cells not only are activated by genetic, epigenetic alterations, but also (indiscernible) epigenetic processes to ensure their escape from chemotherapy and host immune surveillance. And there has been a growing emphasis of recent drug discovery efforts on targeting the epigenome that includes (indiscernible) modification. Several new drugs are being tested and some are already approved by the FDA.

Neoplastic, for example, lymphoma and pre-neoplastic legions have been observed in toxicology studies with (indiscernible) as short as three months in duration which is highly unusual.

it appropriate to use healthy subjects for these types 1 2 of (indiscernible)?

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DR. ALAN BOOBIS: There are a number of drugs which we've been giving to healthy volunteers and patients for a long, long time which affect the epigenome, so valproic acid is one of them. (indiscernible) modulator. And we have never been concerned about the risk of giving a few doses to volunteers of these drugs.

The question is, are we going to reappraise our entire approach to giving any drug to ensure that it doesn't affect epigenome before we give it to a patient, because it may -- or a volunteer, because it may not be designed to hit as an anticancer drug. It might just be an incidental effect. And we have the clinical experience of some of these compounds already.

DR. ROBERT HEFLICH: Isn't it somewhat outside the scope of this workshop.

> DR. AISAR ATRAKCHI: It is.

DR. ROBERT HEFLICH: I mean, because

these things are likely to be Ames positive.

Page 282 1 DR. AISAR ATRAKCHI: Yeah. It was a 2 question that --3 DR. ROBERT HEFLICH: Unless you 4 (indiscernible). 5 DR. AISAR ATRAKCHI: -- wanted an 6 answer to. 7 WOMAN 8: There's been no correlation 8 between results of gene tox and findings of 9 carcinogenicity in animals or in humans, so that's why 10 the question is out there. We don't know which ones 11 are carcinogenic and after how many doses. 12 So while we're seeing an animal 13 carcinogenicity, we hear carcinogenicity in animals with several of these drugs, we don't know if that --14 15 a single dose will be priming the gene such that 16 effects could be seen later on or this is after chronic administration or multiple dose administration 17 18 that these effects would be seen in animals. 19 again, it's been seen in animals, medications. 20 DR. BOB BRASH: What's the indication? 2.1 Are these anti-cancer drugs? 2.2 WOMAN 8: Yes, but animals are healthy.

	Page 283
1	Yes, so if we see carcinogenicity in the animals, but
2	patients you see it also in patients
3	(indiscernible) is not strong.
4	DR. AISAR ATRAKCHI: It is anti-cancer
5	drugs, yes?
6	WOMAN 8: Yeah.
7	DR. ALAN BOOBIS: Are these both types
8	or the methylase demethylase types? The
9	methylation demethylation or with the histo
10	modulations as well?
11	WOMAN 8: Well, I don't know how how
12	much information
13	MAN 18: Methylating inhibitors, not
14	with the (indiscernible).
15	DR. ALAN BOOBIS: Okay, that makes
16	sense.
17	WOMAN 8: Yeah, not the yeah. Okay.
18	DR. ALAN BOOBIS: (indiscernible) that
19	we know, that don't
20	DR. AISAR ATRAKCHI: Have you mentioned
21	the target? No.
22	MAN 18: We had experience with the

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Page 284

Ames positives. We have some basis of making a decision, but we have -- with these drugs that particularly target the epigenome and single dose, we don't really know what a single dose might do multi generation. So without that data gap, question is, is that that under the current climate, should healthy volunteer studies be excluded with drugs designed to target the epigenome? (indiscernible).

DR. DOUGLAS BRASH: So I'm guessing that this class of drugs is going to get bigger and bigger over the next few years. And so the concern I have about it for the fact (indiscernible) although nobody talks about it, we (indiscernible) mutations. Years later, you become mottled with tumors (indiscernible) which by itself does nothing.

But if you have a mutation you now get the tumor. These go back to experiments. You know, the most famous (indiscernible), so forth. These go back to the (indiscernible). So then if you have these, this class of drugs, it becomes relevant -- maybe not whether this one is the Ames -- the positive drug, but was there something else ever, or your

hamburger last week or whatnot, that then does show up (indiscernible).

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WOMAN 8: So some of these are negative in all three battery of assay. A mechanistic study -
DR. DOUGLAS BRASH: Thank for reminding me to say it. So in the '70s, there was a push to have an assay system or (indiscernible) support promoters and for various reasons it never took off.

But so the answer is, I don't think we have any database to compare to as to when this would happen.

MAN 18: Yeah, I mean, remember, the battery is based on specific mechanisms, none of which apply to that particular class. I'll point out that there are a lot of things that affect methylation -- folic acid, folate, vitamin D (indiscernible) -- so I think the problem we have is that we don't have a screening assay that can tell you whether your drug is a potent enough inhibitor to create those tumors, and so that's a huge hole and without any assay, the answer is, we can't tell anybody what to do or not to do because we don't have any rational way of approaching it.

1 I mean, if we know that these drugs are 2 being developed specifically as anti-tumor agents, what's the chance that somebody will accidentally 3 4 develop a similar compound for another indication against which it appears to have efficacy and it turns 5 out it's doing the same thing that these drugs are 6 7 doing on -- the same effects on the epigenome, do we have a mechanism that will find that out in our entire 8 9 armament of pre-clinical screens? 10 And that's the question I think we 11 really need to answer is, what do we need to start 12 looking at to pick up the most potent or at least --13 either this mechanism or at least the most potent 14 versions of it? Now, if a 90-day study is all you 15 need because you're seeing tumors in a 90-day study, 16 then maybe that's your answer. 17 Well, we see pre-neoplastic WOMAN 8: 18 lesions in one-month studies with some of these drugs, 19 but -- so until such a day that we have the mechanistic studies or something else, should we 20 2.1 exclude healthy volunteers? 2.2 MAN 18: I don't think anybody -- I

1 think that's an easy question to answer. I think, to 2 me, the real conundrum is whether there are other 3 drugs that are being developed like that that we 4 should start worrying about. That, to me, is the 5 bigger -- drugs and other products. That's the big concern that -- you've uncovered the tip of the 6 7 iceberg of a new mechanism of toxicity for which we 8 may not have an adequate screen, for not only healthy 9 volunteers but also for patients. 10 DR. BOB BRASH: For sure, you should 11 exclude healthy smokers. 12 WOMAN 9: So if carcinogenesis is --13 carcinogenesis is complex. So we're still talking 14 about DNA damage, but now we know there's 15 inflammation, so to me the tipping point is metastasis and I remember reading one paper, there's a model and 16 17 this was in a mouse and metastasis was dependent on 18 epigenetic changes. 19 So I think we have to leave open the concept that different interactions are -- may affect 20 2.1 different steps in carcinogenesis and maybe we need to

develop some tests to look to these mechanisms.

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MAN 19: Talking about oncology, I mean, I'm in the Division of Psychiatry. We have a lot of neurodevelopmental diseases and now they're supposed to start entertaining this kind of epigenetic (indiscernible). They're trying to do something like that.

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Then, the other concern for epigenetics, it could be transgenerational so you might not pick up anything in the -- in your carci study, but you can pick up in their offspring, but we usually don't do that. I mean, we don't do carci analysis in their offspring.

For repro studies it go up to data or something like that or a little bit longer, but that's not long enough to pick up any tumors, so I'm just wondering what's the approach here or do the experts have any recommendation for that?

DR. TIMOTHY MCGOVERN: Sounds like you should reexamine the yellow mouse and model. Maybe Trosko's metabolic cooperation test -- I mean, going back a ways there, but, I mean, people have thought about this in the past.

DR. ERROL ZEIGER: Also, if you're
thinking transgenerational, then you're thinking a lot
more than cancer reduction. You're thinking unknown
mental
MAN 19: Right.
DR. ERROL ZEIGER: effects.
MAN 19: Right.
DR. ERROL ZEIGER: Which would be
easier to detect because they'd be detectable at the
young age of (indiscernible) and that could be
(indiscernible).
MAN 19: So for, like, specific to the
question, should the drug be administered to healthy
subjects, I mean, especially for neurodevelopmental
diseases and
DR. ALAN BOOBIS: You could start by
I don't know how ethically permissible it would be,
but you could probably make an argument that you avoid
women of childbearing age or potentially pregnant
women. That would overcome a transgenerational
wollen. That would overcome a transgenerational
impact.

	Meeting November 4, 201
	Page 290
1	DR. ALAN BOOBIS: Most likely.
2	DR. AISAR ATRAKCHI: But so sorry,
3	you're done?
4	MAN 19: Yeah, I'm done.
5	DR. AISAR ATRAKCHI: So are there other
6	classes of drugs not necessarily just the epigenome
7	but anything else that you can that the panel can
8	think of that should not be administered to healthy
9	subjects?
10	DR. BOB BRASH: Well, you're talking
11	about a whole series of non-genotoxic carcinogens. I
12	think a lot of mechanisms
13	DR. AISAR ATRAKCHI: Yeah, I mean
14	DR. BOB BRASH: Several mechanisms.
15	DR. ALAN BOOBIS: You don't give them
16	to healthy volunteers (indiscernible).
17	DR. BOB BRASH: Well, then there might
18	be a different answer for each class.
19	DR. ERROL ZEIGER: Well, at this point,
20	where we are now we don't know they're non-genotoxic
21	carcinogens. We just know they're non-genotoxic.

DR. AISAR ATRAKCHI: Right.

22

1 DR. ERROL ZEIGER: But where do you go 2 from there? Well, one thing -- didn't mention that 3 relates to some of the other questions, one thing we 4 haven't discussed is look at the chemical class. There are some chemical classes that seem to be the 5 predictivity of a positive (indiscernible) 6 7 carcinogenicity is 90 percent or more. There are 8 others, like single aromatic amines. 9 Half of the false positives in the NTP 10 database are these aromatic amines; although they're 11 very good mutagens and not coming up positive in the 12 animal. So looking at the chemical structure and the 13 relationship of that chemical structure to 14 carcinogenesis can give you a lot of interesting 15 information. 16 DR. AISAR ATRAKCHI: Okay, any other 17 questions? I only have one more question from online, 18 but I thought we had discussed this. If a compound 19 has (indiscernible) specific metabolite S9 related which caused Ames positive but not in mice and human, 20 2.1 what is the suggested followup study? 2.2 DR. ALAN BOOBIS: What do you mean by

	Page 292
1	not in mice and human?
2	DR. AISAR ATRAKCHI: I guess it was not
3	positive.
4	MAN 20: (indiscernible) positive in
5	the Ames (indiscernible) S9 and it (indiscernible)
6	like other
7	DR. AISAR ATRAKCHI: Oh, other S9.
8	MAN 20: (indiscernible) study and
9	(indiscernible).
10	DR. AISAR ATRAKCHI: Oh, it's a
11	(indiscernible) specific metabolite. I think if it
12	is, then I don't think we care. Right, I mean
13	what?
14	DR. ALAN BOOBIS: Well, your weighted
15	evidence would argue
16	DR. AISAR ATRAKCHI: Yes.
17	DR. ALAN BOOBIS: that there is not
18	a cause for concern.
19	DR. AISAR ATRAKCHI: Right. Exactly.
20	Okay, I think in absence of any more questions and
21	we're one minute away from 4:00, I really appreciate
22	all of you coming in and listening to this workshop as

well as a great and big thank you to all our panelists 1 and I think it was a very helpful workshop and 2 3 discussion and hopefully we can -- as an agency, we 4 can come up with some information that can advise us 5 and give us recommendations to move forward for --6 have some plan in place to address these types of 7 compounds. Thank you so much. 8 (Whereupon, at 3:58 p.m., the 9 proceeding was concluded.) 10 11 12 13 14 15 16 17 18 19 20 2.1 22

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I, KEVON CONGO, the officer before whom the 3 4 foregoing proceedings were taken, do hereby certify that any witness(es) in the foregoing proceedings, 5 prior to testifying, were duly sworn; that the 6 7 proceedings were recorded by me and thereafter reduced 8 to typewriting by a qualified transcriptionist; that 9 said digital audio recording of said proceedings are a 10 true and accurate record to the best of my knowledge, 11 skills, and ability; that I am neither counsel for, 12 related to, nor employed by any of the parties to the 13 action in which this was taken; and, further, that I am not a relative or employee of any counsel or 14 15 attorney employed by the parties hereto, nor 16 financially or otherwise interested in the outcome of 17 this action.

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[**& - 47**] Page 1

&	115:3,6 137:17	<b>1969</b> 155:19	<b>26</b> 73:6 250:6
<b>&amp;</b> 1:9	139:22 160:8	<b>1975</b> 156:3,5	257:6 260:9,12
	180:5 271:11,13	<b>1976</b> 156:1	<b>27</b> 172:1
0	<b>100,000</b> 157:22	<b>1979</b> 148:3 156:10	<b>275</b> 70:3
<b>0.04</b> 180:8	<b>10903</b> 1:14	<b>1980</b> 124:13	<b>28</b> 5:18 209:20,21
<b>0.1</b> 78:1	<b>10th</b> 144:1	<b>1983</b> 84:16	249:22 250:5,17
<b>05</b> 138:10	<b>11</b> 71:18 72:3,4	<b>1987</b> 86:1	251:16,16 252:1,9
1	87:22 215:14	<b>1997</b> 138:18	253:2 254:21
<b>1</b> 4:20 20:22 21:15	<b>12</b> 156:17,17	<b>1999</b> 91:22	255:14,15,18,19
22:7,14,17,22	210:11 221:19	<b>1:00</b> 144:18,19	255:21 257:6,16
23:3 29:2,8 38:16	<b>120</b> 158:20 159:21	2	257:16 260:5,11
42:4 45:13,13,20	262:3	<b>2</b> 1:13 4:19 22:17	<b>282</b> 70:5
46:5 49:12,21	<b>12151</b> 295:15	46:2 52:13,16	3
52:8,16 53:8	<b>12:00</b> 144:18	57:1,4 58:8 62:17	<b>3</b> 4:19 22:18 46:2
62:15 65:17 67:3	<b>13</b> 73:6 138:8	88:11 166:19	79:3 167:18 190:3
67:15 68:18 69:3	230:15 231:19	168:21 181:22	191:20 204:13
73:10 84:15	232:9,16 234:3,8	182:7,22 183:4,6	207:7,11
145:17 151:19	<b>14</b> 5:18 8:17	183:9 191:20	<b>3,200</b> 60:14
157:22 162:6	158:19 171:3,6	195:11,16,17	<b>3,500</b> 69:16
163:8 168:20	184:12,22 189:7	265:9,15,15,19	<b>3.4</b> 138:11
172:1 180:12	193:16,17,18	266:16	<b>3.8</b> 159:4 160:3
181:18 182:5	205:1 208:10,18 253:1	<b>2,000</b> 75:9 91:22	267:8
189:22 192:22	<b>15</b> 94:10 263:13	<b>2,500</b> 20:3	<b>30</b> 60:19,20 80:22
193:16 195:12	264:20	<b>20</b> 26:22 41:13	83:14,15,16 84:22
196:8 199:10,11	<b>150</b> 138:9	71:17 79:18 81:16	85:2,3,7,10,12,12
215:12 244:14	<b>16</b> 269:22 272:2	82:16 87:6,20,22	135:20,22 159:20
246:12	273:20	92:1 93:13 225:12	254:8 262:3
<b>1,3</b> 72:9,20 73:5	<b>17</b> 76:1 88:6 138:6	270:3,17 271:8	<b>300</b> 83:10
<b>1,300</b> 68:13 76:10	273:13,22 274:9	292:4,8	<b>312</b> 24:19
91:13	276:4,7,12,15	<b>200</b> 138:5	<b>3418719</b> 1:17
<b>1,600</b> 69:17 <b>1.5</b> 158:11 190:3	278:20 279:6	<b>2000</b> 156:19	<b>346</b> 70:10
200:10,14 247:9	<b>17004</b> 294:18	<b>2001</b> 155:1 157:8	<b>35,000</b> 60:7,14
<b>10</b> 96:12 106:13	<b>18</b> 283:13,22	<b>2013</b> 148:16	<b>3:58</b> 293:8
119:18 144:1	285:11 286:22	<b>2016</b> 30:5	<b>3b</b> 217:22
180:5,10 189:7	<b>19</b> 288:1 289:5,7	<b>2019</b> 1:10 <b>2031</b> 1:13	4
207:19 210:11	289:12,22 290:4	<b>20993</b> 1:15	<b>4</b> 1:10 196:10
213:18,21 223:22	<b>19.7</b> 88:4	2043 1.13 20th 25:22	224:13,17
225:12 244:14	<b>1920s</b> 75:1	<b>20th</b> 23.22 <b>21</b> 81:18	<b>4,200</b> 76:8
249:12 271:8,16	<b>1929</b> 84:7	<b>25</b> 32:19 151:22	<b>40</b> 73:6 95:10
271:18	<b>1950s</b> 75:2	<b>25,000</b> 19:21 20:2	151:6 175:7
<b>10,000</b> 180:13	<b>1956</b> 84:7	<b>25,500</b> 19.21 20.2 <b>25,500</b> 246:1	<b>426</b> 18:6 75:22
<b>100</b> 10:11,14	<b>1960s</b> 89:18	<b>250</b> 60:17	<b>47</b> 280:2
56:16 84:10 115:1			

Meeting

November 4, 2019

[48 - add] Page 2

<b>48</b> 153:10	<b>8.9</b> 138:8	absence 5:2	acknowledge 8:18
<b>4:00</b> 292:21	<b>80</b> 24:10 170:15	114:10 181:3	64:17
5	179:19 270:9	261:9 292:20	acrylamide
	<b>80.3</b> 70:18	absolute 128:3	228:21 253:6
<b>5</b> 207:12,16	<b>800</b> 75:9	absolutely 40:7	act 36:10
<b>5,500</b> 75:8	<b>85</b> 70:8	161:21 180:2	action 18:21 54:18
<b>50</b> 24:14,14 77:2 244:14 271:1	<b>8:30</b> 1:11	183:21 269:15	113:2 232:21
<b>50,000</b> 246:2,18	9	academic 151:7	233:3,6,8,9 234:6
<b>50.15</b> 32:16	9 212:11,18	151:18	234:9,19 242:15
<b>50.15</b> 32.10 <b>50.25</b> 32:15	287:12	accelerate 118:7	242:17 261:3
<b>500</b> 83:18 84:8	<b>9,000</b> 84:6	accelerated	273:1,3,4 275:13
86:3,6	<b>9/11</b> 155:1	118:15	275:13 276:1
<b>505</b> 57:1,1,1,4	<b>90</b> 115:5 269:7	accept 157:22	277:12 278:2
58:8 62:17	271:1,7,15 286:14	168:5 198:16	294:13,17 295:9
50s 89:19	286:15 291:7	260:19	295:13
<b>50s</b> 69.19 <b>52</b> 73:6	<b>95</b> 18:15	acceptable 11:7	actionable 238:20
<b>53</b> 101:18	<b>988</b> 69:18	11:15 20:4 30:10	240:18
<b>56</b> 24:14,15 27:21		39:8 55:9,11	<b>activate</b> 16:7 99:5
<b>58</b> 144:13	a	62:22 63:2 131:13	activated 280:10
<b>5c</b> 247:22	<b>a.m.</b> 1:11	133:11 136:16	activating 15:19
5 <b>g</b> 247:22	<b>aabs</b> 80:21	147:11 159:20	16:5
6	abbreviated 50:8	160:5,22 246:19	activation 16:10
	57:7	262:4,22	176:13
6 210:8 211:16,19	<b>aberration</b> 7:3	accepted 252:11	active 10:12,15,16
263:4	178:21 235:18	259:22	50:15 51:19 55:5
<b>60</b> 98:19 115:3	236:5	accepting 54:13	55:6 280:9
169:21	aberrations	131:13 259:20	activities 151:9
<b>6g</b> 248:1	236:20	access 91:12 213:3	activity 151:14
7	abilities 61:9	accidentally 286:3	actor 185:4
<b>7</b> 210:19 211:17	<b>ability</b> 69:5 70:13 70:18 71:1 86:1	account 128:8	actual 63:10 105:3
265:5 266:11	183:20 294:11	136:17 140:16	224:9
267:3	295:8	accrual 5:6	acuity 45:11
<b>70</b> 19:21 98:19	<b>able</b> 13:1,5,6 45:6	accumulated 17:2	acute 81:7 116:11
115:3 169:21	91:10,11,15 106:1	75:16	acutely 78:2 84:8
170:14 179:19	119:8 136:7,11	accumulating	adaptive 19:4
<b>74</b> 98:18	137:12 149:16	106:12	adbaq 72:8
<b>75</b> 115:3 239:7	164:6 168:20,22	accumulation	add 39:3 129:5
<b>76.4</b> 70:15	175:12 211:6	16:20 74:3	135:3 160:6 177:5
<b>78.3</b> 71:2	232:12,13 237:2	accurate 174:17	194:8 200:1
8	<b>abnormal</b> 99:17	272:4 294:10 295:6	213:18,22 227:10 228:1 236:22
<b>8</b> 212:3 282:7,22	abrasion 199:14	acid 61:5 281:6	260:3 277:21
283:6,11,17 285:3	abruptly 32:8	285:15	
286:17	aniupuj 52.0	403.13	278:3,7,9

[added - aisar] Page 3

<b>added</b> 65:20	86:21 89:3 147:7	<b>afraid</b> 39:9 190:12	aid 74:19 83:17
238:19	147:8 157:18	237:9	210:15
addition 21:8	171:11 174:16	afternoon 3:15	aim 51:5
68:12 72:19 76:19	184:1 189:5	13:7,13 62:13	aiming 57:8
77:7 132:21	202:18 203:13	66:5 141:6,13	217:11
additional 16:13	207:13,15 211:1	144:22 151:1	aisar 2:12 49:1
16:18 19:20 20:5	279:14 289:13	152:9 157:13	129:8 136:6
20:8 26:3 27:3	290:8	afternoon's	144:21 145:1
32:17 89:4 92:11	administering	146:12	157:11 161:6
170:20 182:16	67:1 68:17	age 26:7 77:16	163:10 164:14
183:18 185:8,10	administration	92:8 106:22 107:5	165:8,20 166:4,10
186:1 211:10	1:1 8:15 10:7	115:22 289:10,19	166:13,16 167:9
263:8	35:20 85:17 92:9	agency 133:4	170:22 171:16,20
additives 152:5	239:14 260:7	146:19 158:4	172:9,16 173:7,10
address 75:10	282:17,17	293:3	173:16 174:1,4
79:14 141:5 147:4	admit 132:20	<b>agency's</b> 38:12	175:17,20 177:2
182:17 184:21	adopt 58:5	agency's 155:5	178:12 179:14
212:13 277:7	adq 121:18,18	agenda 13:10	183:12,21 188:9
293:6	<b>adult</b> 21:17 77:17	<b>agent</b> 73:14 74:21	188:21 189:15,18
addressed 66:4	92:13 154:2,3	75:12 87:3 96:3	191:1,5 192:4
279:11	adulteration	144:1	193:1 194:13,19
addresses 236:11	43:13,16	<b>agents</b> 18:6 68:8	195:1,5,9,14
addressing 146:10	adults 164:8	68:11 77:15	197:3 199:8 200:3
157:17 209:8	advance 49:3	110:10 174:9	200:6 201:21
<b>adds</b> 92:10 166:11	advantage 15:16	257:11 258:21	202:21 204:15,19
<b>adduct</b> 15:1,2,4	17:11 124:16	286:2	204:22 210:2
153:15,18 165:18	advantages 4:22	<b>aging</b> 107:1	212:8,17 213:19
adducts 13:20	adverse 19:1	<b>ago</b> 79:18 101:3	214:8,15,18,22
14:16 132:4,9,9	237:4,13	151:5 180:5	215:3 217:19
164:2 167:2	advice 3:13 9:18	186:17 207:19	220:4,14 221:1,6
adequate 11:16	advise 146:18	209:21 210:11	221:11,16,21
34:19 35:7 229:19	148:2 214:2 293:4	223:22 231:3	222:9 224:2,16,19
256:5 287:8	advisory 152:2	258:17 264:9	226:14 230:2,6,10
adequately 27:10	advocate 42:20	268:11	232:18 234:7,15
28:15 45:21	167:19 211:10	<b>agree</b> 134:13	235:7,12 236:13
adjusted 55:15	250:16 264:12	162:6 185:7 241:8	236:16 237:16,19
85:5	advocating 42:16	agreed 273:14	243:2,5,14 244:4
<b>administer</b> 9:17	aerobic 70:21 71:4	agreement 70:22	244:9 245:8 246:6
144:14 147:10	<b>affect</b> 95:16 105:6	277:22	246:15 247:2,13
<b>administered</b> 1:6 4:6 7:18 8:13 9:21	121:5 196:20	ahead 104:17	247:18 248:3,20
18:12 62:20 67:14	268:17 281:5,12 285:14 287:20	170:7 182:3 187:16 192:1	249:8,15 250:21 251:3,7 252:22
71:13 75:12,13,22	<b>affiliation</b> 147:18	197:4	251:3,7 252:22
78:11,12 84:8	aiiiiauvii 147.10	17/. <del>'1</del>	257:4,15,19 262:1
70.11,12 04.0			231. <del>1</del> ,13,17 202.1

262-12 20 266 12	170.10 195 22	72.0 10 12 72 11	202.5
262:12,20 266:18	179:10 185:22	72:9,10,12 73:11	292:5
267:6,15 268:1,7	189:4,5,8 203:3	73:17 74:1 80:8	ames' 155:20
268:19 269:13,16	208:5 210:3 219:5	83:6,17 84:4	amine 74:13 80:8
269:20 270:9	278:22 279:9	135:1 136:12	amines 80:18
277:5 279:8	allowable 139:18	137:15 138:14,17	81:22 93:4 291:8
281:20 282:1,5	244:13	138:19 141:11,15	291:10
283:4,20 290:2,5	allowed 10:5	141:17 147:6	<b>amino</b> 140:5
290:13,22 291:16	32:13,13 34:13	155:19 156:3	aminoazotoluene
292:2,7,10,16,19	134:9 158:12	157:17 161:2	140:9
<b>alan</b> 2:13 151:1,2	209:19	163:13 165:2,16	aminozobenzene
162:18 163:15	allowing 40:20	166:5 171:22	140:5
165:6,10 166:3,6	146:20 184:13	172:6,12,21	<b>amount</b> 33:13
166:11,14 167:5	186:2,3 210:9	173:21 176:6,9,15	39:17 128:6
180:16 192:20	<b>allows</b> 138:3 160:8	178:16 179:3,3,13	139:17 174:21
193:2 194:6,9	264:2	179:17,21,22	263:22 278:16
196:6 200:8	alluded 150:8	180:2,5,12,18	<b>amounts</b> 137:17
202:19 205:3	<b>allyl</b> 140:2	182:17 183:3,14	amplifications
206:12,21 207:2,6	<b>alpha</b> 74:22 82:2	183:17 184:21	15:22
208:2 214:13,17	87:3 90:4,7,7,13	185:2 186:22	amplify 16:12
214:19 215:1,4	90:22 110:15	188:14 191:10	analgesic 74:17
225:15 226:3,7	<b>alter</b> 14:18	200:12,21 201:11	83:6
229:16 230:5,8	alteration 256:6	201:13,17 202:3	analogous 38:15
232:17,19 234:11	alterations 14:18	203:2 204:6 205:9	analogs 101:8
241:5,12,14,18	280:11	206:9 209:18	<b>analogy</b> 126:17
242:5,8 243:4	altering 56:15	213:2 218:18	analysis 7:12
248:12 259:7	alternative 26:17	220:15 224:6	22:20 29:19 38:18
260:21 263:16	35:12 41:11 48:4	225:17 226:1,4,10	68:6,21 73:11
269:1,15,19 275:4	125:13 277:19	227:3,8 228:3,8	79:21 92:3 238:14
276:6,9,13 278:10	alternatively	229:19 230:4,13	288:12
279:4,7 281:3	225:21 250:5	231:6,6 240:1	analyze 69:4
283:7,15,18	amateur 131:15	243:21 244:11,12	205:16,21 256:16
289:16 290:1,15	amazed 121:7	245:3,11,12,13,16	256:16
291:22 292:14,17	amazingly 150:2	245:18 246:12	analyzed 86:8
<b>albeit</b> 23:9 168:18	ames 1:5 4:4 5:21	247:14 248:22	93:9
alert 278:3	6:6,20 7:7,13,17	249:13,19 250:11	<b>anda</b> 50:7,7
alerts 10:19,21	8:14,22 9:12,16	258:8,8 260:4	anesthetic 85:22
<b>algebra</b> 113:6,7	9:20 10:2,4,8,20	262:4,7,15,21	aneugenic 277:17
alkylating 257:11	11:3,8,14,19,21	265:1 267:10,21	278:5
258:20	12:14 13:15 14:2	268:6,12,14	aneugenicity
allergy 94:4	23:14 51:19 52:10	269:17 270:1,3,7	225:20 226:12
<b>allow</b> 9:11,13,14	63:7 67:2,12	270:10 271:3,7,8	angeles 84:20
10:7,10,13 19:4	68:18,21 69:1,5	272:16,22 274:15	angiographies
39:16,17 42:19	69:18,22 70:9,12	278:21 281:22	87:9
158:5 174:22	70:15 71:1,3 72:7	284:1,21 291:20	
	, ,	, · · · · · · · · · · · · · · · · · · ·	

angiography	<b>anti</b> 103:9 281:14	appears 286:5	appropriately
87:13	282:21 283:4	applicable 32:19	52:3 217:6
<b>animal</b> 6:9 67:17	286:2	32:22	approval 49:15
68:6,14 69:2 74:3	anticipated 26:12	applicant 52:8	209:14 219:17
75:4 77:18 78:5,6	28:5	57:5	approve 183:18
78:12 85:21 88:14	antihypertensives	applicants 216:9	approved 57:17
89:2,10 91:6	61:4	216:12	59:22 61:14
134:5,11 153:7	antineoplastic	application 9:6	148:20 203:9
282:12 291:12	80:5	52:20 57:19 58:14	207:20,22 208:13
animals 92:1	antiquated 254:10	applications 9:7	208:16 211:2
130:21 173:15	antivirals 61:4	11:2 24:5,9 35:3	212:16 222:12
274:18 282:9,13	anybody 27:12	49:15 50:6,9	224:1,4 226:19
282:18,19,22	97:8 101:3 117:18	56:22 57:2,15	280:17
283:1	167:1 183:20	58:1,8,9 60:15,20	approximately
<b>anisole</b> 72:8,21	258:7 285:20	64:9 149:5	10:11 80:22
announce 66:10	286:22	<b>applied</b> 8:14 20:6	archive 60:7
announcements	anymore 274:1	153:15 280:1	area 27:3 35:14
3:3	<b>anyway</b> 98:22	<b>applies</b> 25:7 94:21	36:12 120:22
anonymized 61:20	99:11 101:13	<b>apply</b> 19:9 25:3	129:2 155:4 237:3
answer 38:14 39:9	118:1 122:11	199:22 285:13	238:19
65:5 117:10 129:7	124:18 150:6	appreciate 64:13	areas 23:19 24:13
131:21 133:7	159:16 187:8	157:12 292:21	38:16 50:22 68:5
136:16 139:14	254:17	approach 19:6,9	68:19 223:20
142:2 160:10	<b>apart</b> 196:14	42:15 62:2 63:14	<b>aren't</b> 149:19
164:12 169:13	<b>api</b> 12:7 48:19	67:6 139:7 140:15	170:16
173:12 177:20	49:17 51:19 63:7	140:21 143:19	<b>argue</b> 24:18 27:11
178:14 181:4	166:1 199:17	146:7 158:8,16	40:18 176:3 193:4
182:4,20 185:17	200:5 206:2 208:4	162:19 163:1	205:7 208:20
186:10 200:22	213:17 214:2,5	166:8 169:2 248:4	256:4 292:15
202:13 205:3	216:5 249:2,6	248:6 260:3	arguing 260:18
207:8 213:2	<b>apis</b> 59:22 60:18	281:11 288:16	argument 159:16
217:21 218:3	61:2,4 67:14	approaches 26:18	160:3 202:20,22
222:10 226:17	199:22	35:12	219:3 239:1 249:4
236:6 241:7,8	apologize 41:9	approaching	256:18 263:1
242:13 246:14	apoptosis 99:17	141:14 285:22	289:18
259:2 263:18,19	101:19 103:1,4,9	appropriate 7:12	arguments 170:2
265:2 282:6 285:9	107:16,17,21	27:20 30:6 31:18	arkansas 147:22
285:20 286:11,16	108:22 109:4	32:18 35:11 43:7	armament 265:2
287:1 290:18	150:7	51:21 54:7 63:5	286:9
answering 168:15	apparent 128:18	64:15 193:9	<b>army</b> 154:11,11
170:17 236:9	225:12	195:11 205:8	aromatic 74:13
answers 46:10	appear 90:21	206:10 214:20	80:7,18 81:22
199:7 205:13	appeared 148:14	218:7 250:2 281:1	93:4 101:9 151:11
			291:8,10

arrythmia 61:6	227:22 228:2,6,8	<b>assist</b> 6:11 146:19	163:10 164:14
arterial 87:9	228:20 229:19	assistance 59:20	165:8,20 166:4,10
<b>article</b> 56:1 59:1	233:21 239:20	assistant 95:7	166:13,16 167:9
articles 12:16	240:3,4,17 245:3	assisted 64:19	170:22 171:16,20
68:14 75:9	247:14 249:18	associate 79:17	172:9,16 173:7,10
artifact 180:20	250:1,15,18 251:6	152:10	173:16 174:1,4
ascending 193:15	251:10 252:7,8,20	associated 8:21	175:17,20 177:2
193:19	253:10,15 254:6	11:12 67:1 129:20	178:12 179:14
ashby 274:4	254:13 255:10	130:7 131:6	183:12,21 188:9
aside 54:9	256:4 259:8,14,14	158:22 159:5	188:21 189:15,18
asked 24:9,21	260:1,4,16,19,22	163:3,4 236:6	191:1,5 192:4
34:7 35:16 38:5	261:12 263:7	association 244:22	193:1 194:13,19
66:10 156:9,17	268:20 269:5	assume 40:5 44:16	195:1,5,9,14
184:20 215:2	277:4 285:4,7,17	71:11 159:10	197:3 199:8 200:3
258:22	285:19	188:5 201:16	200:6 201:21
asking 124:8	assay's 69:5	205:17 215:1	202:21 204:15,19
128:4 173:3 186:2	assays 7:4,6 53:3	232:9 234:4,12	204:22 210:2
186:8 194:18	61:3,7 63:5 72:9	262:7,14,18	212:8,17 213:19
222:17 225:9	84:4 85:19,19	267:18	214:8,15,18,22
226:8 244:2	148:10 151:12	assumed 124:14	215:3 217:19
248:15,18 266:21	153:1 199:6	assumes 141:9,20	220:4,14 221:1,6
275:21	200:20 201:1	141:21	221:11,16,21
asks 29:1	209:4 229:20	assuming 13:19	222:9 224:2,16,19
<b>aspect</b> 22:14 56:5	243:13 250:17	19:21 123:21	226:14 230:2,6,10
168:8	255:6,6 266:1	124:1,3 162:18	232:18 234:7,15
aspects 75:19	273:18 277:17	163:7 192:20	235:7,12 236:13
76:15,15 77:4	278:8	204:2 214:13	236:16 237:16,19
92:8	assembled 146:12	250:14 260:6	243:2,5,14 244:4
assay 5:22 6:2,6,6	273:9	263:20	244:9 245:8 246:6
7:3,6,14,17 11:19	assess 7:9,11 33:5	assumption 71:18	246:15 247:2,13
13:17 14:3,5	54:5 68:17 249:20	123:19 125:4	247:18 248:3,20
52:10,14 69:14,22	assessing 50:14,18	142:15 169:4,10	249:8,15 250:21
70:9,12,15 71:1	152:2 175:10	264:1	251:3,7 252:22
72:10,13 73:17	assessment 50:16	assumptions	254:19 255:19
80:9 83:17 84:4	52:22 62:6 63:19	124:6,11 125:2	257:4,15,19 262:1
130:20 138:15,17	69:5 148:11	assurance 200:15	262:12,20 266:18
138:19 148:14,15	152:15,19 203:1	228:1	267:6,15 268:1,7
148:18 163:9,18	208:22 210:12	assure 23:15	268:19 269:13,16
176:17 180:20	217:17 234:14	36:17	269:20 270:9
191:12,12 201:3	279:10	assured 187:14	277:5 279:8
201:13 206:10	assessments 71:11	atrakchi 2:12 49:1	281:20 282:1,5
207:3,18 209:5	assessor 53:15	129:8 136:6	283:4,20 290:2,5
218:20 226:12,13	assessors 53:6	144:21 145:2	290:13,22 291:16
226:20 227:20,21	62:15	157:11 161:6	292:2,7,10,16,19

[atrocities - beta] Page 7

atrocities 30:16	114:2 122:6	135:22 137:22	197:19 231:19
attempt 123:1	143:12 144:22	139:10 140:19	238:21 254:13
attempt 123.1	149:15 157:13	150:16 162:21	belmont 25:15
218:11	166:17 167:1,18	163:12 165:13,13	belonging 262:5
<b>attention</b> 114:21	187:9,19 208:21	165:14 173:12	262:13
attitudes 38:1	209:1 213:4,6,7	191:9 193:5	belongs 238:2
attriudes 38.1 attorney 294:15	216:18 223:18	195:12 200:10,17	benchmark
295:11	233:16 238:9	203:1 210:10	131:12
audience 37:6	244:18 248:22	216:3 219:4,11	beneficence 25:17
38:5 40:5,8 94:1	256:10 263:6	228:12 238:14	26:10
<b>audio</b> 137:8 294:9	267:2,16 284:17	239:6 247:5 254:3	beneficial 106:10
295:5	284:19 288:21	264:8 272:22	168:2
australia 37:17	background	274:12 285:12	<b>benefit</b> 4:17 7:12
author 268:14	119:19 130:20	bases 13:22	19:16 22:8 23:4,5
authorized 30:21	131:5 145:6	basic 32:16 45:17	26:12,13,16 27:18
autonomy 29:14	147:19 154:9	67:6 168:22	28:5,5,11 30:8
29:22 40:20	160:21	169:17 243:11	33:7 35:17,20
available 9:4	<b>backing</b> 31:22	263:6	57:14 159:11,14
12:14 20:16 26:17	backseat 124:20	basically 31:6	161:21 162:9
26:20 41:12 44:13	backwards 175:10	135:19 141:2	168:9,17 183:11
48:4 49:9,17	bacteria 155:22	149:11,12 177:8	184:3 188:11
60:12 64:4,14	bacterial 5:21	193:8 267:4	208:22 210:10,17
88:20 165:5	7:17 155:20	basis 118:17	211:22
216:11 225:5	258:19	130:13 161:16	benzamidine
232:12 246:3,9,11	<b>bad</b> 119:17 121:4	192:13 193:18	74:14
246:20 257:9	121:5 123:4 185:4	284:1	benzidine 80:8,18
259:8,10	254:9	<b>battery</b> 5:20 9:9	81:22 82:2,10
avenue 1:14	balance 29:14	14:6 19:14 52:6	93:4
avoid 33:11 47:16	102:14	53:7 65:11,21,22	benzoate 79:3
175:4 289:18	balanced 23:22	163:11 174:12	benzopyrene
award 37:21,22	33:10	203:11 204:6	14:19
aware 7:20 42:2,5	balancing 36:9	209:9,12 225:19	beryllium 74:11
237:13 244:6	ballgame 97:18	285:4,12	74:12 80:7,8,12
awful 154:21	ballpark 143:14	<b>bay</b> 11:1	80:13,16 81:5,8
axis 108:13,14	<b>band</b> 102:4	<b>bbmp</b> 72:9,20	81:10 94:2,3
azobenzene 140:5	<b>bank</b> 128:8	beach 101:19,22	248:5
azt 242:4,7 243:3	banned 86:1	119:4 150:11	<b>best</b> 49:19 61:9
b	<b>bar</b> 41:7 182:6	beginning 29:6	64:14 146:13
<b>b</b> 54:10 56:7,8	<b>basal</b> 107:2 116:5	104:16 135:12	170:8 233:12
57:1,4 58:8 62:17	<b>base</b> 201:6 280:2	145:16 187:18	248:4,6 294:10
<b>b79</b> 80:10	<b>based</b> 6:8 11:17	<b>behave</b> 102:10	295:7
back 40:1 47:2,8	19:14 25:14 46:9	<b>belief</b> 210:13	<b>beta</b> 82:2,10 90:3
57:3 61:13 89:18	86:5 88:8,20	<b>believe</b> 29:11,13	90:5,13,16
94:14 105:1,12	125:9 133:9	93:13 170:18	
74.14 103.1,12			

[better - brash's] Page 8

<b>better</b> 123:6	bioethicists 37:20	hlin 196.22	220.16 220.5 9
125:17 135:16	bioethics 25:19	<b>blip</b> 186:22 <b>block</b> 99:14	229:16 230:5,8
			232:17,19 234:11
143:16 146:19	39:7 155:5	blood 107:4	241:5,12,14,18
196:17 202:4	biogen 238:8	175:14 190:15	242:5,8 243:4
217:10 247:18	<b>biological</b> 87:5	235:10,11 236:5	248:12 259:7
266:20 271:10	92:11 108:20	237:21 238:2,5,13	260:21 263:16
beyond 8:5	166:9	240:11 255:12	269:1,15,19 275:4
bias 103:1	biologics 50:6	<b>board</b> 154:9	276:6,9,13 278:10
<b>biased</b> 90:1	64:8	<b>bob</b> 2:5 12:5 43:19	279:4,7 281:3
big 26:8 35:14	<b>biologist</b> 94:18	48:16,21 65:18	283:7,15,18
40:15 48:2 95:9	96:5 105:1	93:8 147:21 183:1	289:16 290:1,15
120:6 130:20,20	<b>biology</b> 94:21	189:13,17 196:17	291:22 292:14,17
194:8 264:21	96:18 105:9	197:15 204:17,20	<b>boom</b> 261:20
287:5 293:1	108:11 109:9	206:1,5,8,19,22	<b>bother</b> 188:8
<b>bigger</b> 98:9 103:6	125:9 126:9	207:5,7,10,14	<b>bottom</b> 98:15
284:10,11 287:5	biomonitoring	213:4 216:7	108:1 111:1 120:2
binding 272:11	240:5	217:20,22 219:9	144:11 170:11
<b>bio</b> 13:10 92:21	biophysical	219:18,20 220:12	bounce 261:16
124:8 143:12	149:14	220:19 221:4,8,12	<b>bound</b> 139:2
bioaccumulate	biophysicist	222:7 223:1 225:1	144:11,13,14
92:16	149:11,12	225:22 226:6,16	<b>bounds</b> 213:16
bioassay 7:15	bioterrorism	246:4,8,21 253:5	<b>brach</b> 177:3
13:19 69:19 70:3	154:22	260:13 282:20	<b>brain</b> 150:15
70:22 71:5 93:12	<b>bit</b> 12:11 18:4,8	287:10 290:10,14	175:4 184:11,12
215:8,10 218:8,11	40:3 52:15 54:8	290:17	185:3
220:17 231:1	54:12 66:4,8 87:3	<b>bodies</b> 158:4	<b>brand</b> 205:20
250:7 264:22	98:6 102:14	body 42:18	brash 2:8,14
277:20	111:14 116:6	bone 227:19,20	12:19 17:21 94:13
bioassays 73:13	120:12 122:9,18	228:6,15,18	94:17 111:7 112:4
bioavailability 5:2	128:4 150:8	229:18 243:11,12	129:12,16 130:9
bioequivalence	165:12 167:19,19	256:5	130:12 131:14,21
58:18,22 59:4	199:21 210:20	bonus 57:17	132:11,14,18
62:3,10	215:17 226:10	boobis 2:13 151:1	133:14 134:14
bioequivalent	234:16 236:1	151:2 162:18	146:3 149:10,11
63:16 196:16	250:10 263:7	163:15 165:6,10	189:13,17 206:1,5
203:16,22 204:11	270:7 288:14	166:3,6,11,14	206:8,19,22 207:5
204:14 208:21	<b>bladder</b> 80:22	167:5 180:16	207:10,14 213:4
214:1,5 215:12	82:5,6,12,15 83:1	192:20 193:2	260:13 261:11
220:9 222:14	90:18 131:2 132:4	194:6,9 196:6	267:13 282:20
bioequivalents	<b>blain</b> 75:6 89:16	200:8 202:16,19	284:9 285:5
145:13	<b>bland</b> 231:13	205:2,3 206:12,21	287:10 290:10,14
bioethical 23:2	blend 22:16	207:2,6 214:13,17	290:17
bioethicist 20:20	blending 135:5	214:19 215:1,4	<b>brash's</b> 197:19
38:12 154:2 155:6		225:15 226:3,7	

## [break - carcinogenicity]

break 94:10,11,12	calabrese's 122:7	123:11 125:2	246:3,20 247:16
116:19 144:20	calculate 86:12	126:18 136:12,21	250:7 270:4 288:9
202:4 231:2	calculated 60:20	139:20 145:9	288:11
breakage 273:16	85:4	146:7,22 149:13	carcinogen 18:5
breaking 105:18	calculation 159:2	150:1,4,14 153:11	19:18 71:13,16,17
105:19 106:2	182:11 190:7	157:21 159:1,6	72:11 74:2 84:15
127:10,12	calculations	166:22 167:3,6	85:15 89:9 90:5,6
breaks 13:21	122:18,19	170:6,15,17 175:7	90:14 91:19,20
238:12	<b>call</b> 29:20 34:6	176:8 182:2	96:11 97:5 98:9
<b>bridge</b> 55:22 56:1	53:15 57:10 99:1	187:17 191:11,12	98:10 99:5 104:8
57:3,12 231:16	114:20 134:3	197:20 200:10,20	104:11 106:7,16
<b>brief</b> 13:2 147:19	143:1 144:7	207:3,9 212:5	126:5 137:19,22
<b>briefly</b> 14:8 18:10	229:13 247:9	226:22 229:1,11	141:19 181:9,11
64:17 114:2	275:10	231:11,20,22	201:17 211:13
<b>bring</b> 158:2 190:8	<b>called</b> 55:19 60:4	233:17,18,22	222:13 227:4
<b>brings</b> 177:16	135:5,8 150:3	236:6 239:21	231:15 239:11
<b>broken</b> 125:22	231:15 264:17	245:1,22 246:17	240:14,15 243:6
<b>bronchitis</b> 81:7	<b>calls</b> 263:9	264:22 272:14	262:9,16 268:17
<b>broth</b> 160:19,19	campus 1:12	281:15 282:21	268:18 272:6,10
<b>brought</b> 13:8 24:7	<b>canada</b> 9:22 10:3	283:4 289:3	272:13,18,19
38:13,15 44:11	42:15 74:18	cancerous 211:9	274:21 275:3
112:20 158:3	200:19 203:1	cancers 17:5	carcinogenesis
160:7	212:19	86:11,13 104:19	14:15 69:6,12
<b>brown</b> 189:14	cancel 135:2	123:13 232:4	71:2 75:10 233:16
197:14,14 200:1,4	268:11	candidate 9:3	287:12,13,21
200:7	<b>cancer</b> 2:19 6:15	136:20 138:21	291:14
<b>bruce</b> 156:3	6:18 8:20 9:11	140:17,22	carcinogenetic
<b>budget</b> 156:6,15	12:20 14:9 18:5,9	candidates 4:9	85:20
<b>build</b> 198:5 219:2	19:20 20:8 35:10	11:3 139:15	carcinogenic 7:10
251:5	41:12 44:17 67:19	can't 160:17	69:10,15 79:8,11
building 1:13	68:9,22 69:19	171:15 173:12	79:16 84:15 90:8
<b>bunch</b> 27:22 99:3	70:2,22 71:4,11	175:20 190:17	101:8,8 136:18
135:18	72:1,17 73:4,16	208:9 238:15	137:19 140:12
<b>buried</b> 119:11	73:19 79:13,19	252:3 279:1	201:14 211:4
business 93:9	80:2,17 81:6,16	285:20	222:21 272:12
<b>butadiene</b> 72:9,20	82:8,12,15 83:1	<b>cap</b> 160:1	275:12,22 282:11
73:5	83:21 86:10,15,16	capabilities	carcinogenicity
<b>bypass</b> 99:12	86:20 88:8,16,19	190:22	9:3 53:3 57:16
260:11	89:19 90:18 95:11	<b>capable</b> 139:19	59:16 60:2 61:15
С	95:15,22 96:7,8	280:8	61:19 70:5,6,8,11
<b>c</b> 2:1,19 241:20	96:14,21 97:19	capacity 19:2	70:13 73:13
278:10	99:1,19 102:20	carci 219:7 220:7	141:12 151:10
calabrese 75:5	103:9 107:13	221:18 222:3	152:7,14,19
89:16 93:10	116:6 119:8	224:7,20 225:3	157:10 165:3

167:14 179:20	cartoon 14:19	causing 139:20	cellular 17:11
206:11 209:4,8,14	98:15	149:22 245:22	center 2:16 3:20
210:1 213:8	carve 25:18	246:17	43:10 147:22
216:22 218:19,22	case 8:17 19:20	caution 234:12	centers 148:2
219:15 220:13,15	25:11 26:12 32:8	cautious 220:20	central 117:17
223:14 225:20	35:9 41:22 42:3,9	caveat 116:17	century 25:22
226:2 227:6	54:4,15 55:19,20	238:20	cerebral 87:8
229:15 230:17	61:21 80:12 81:8	caveats 115:21	certain 26:10
233:11,21 247:6	84:20 101:17	199:14	27:16 31:20,22
248:8,19 260:8	106:6,16 108:22	<b>cder</b> 2:3,4,5,12,18	33:2 39:17 40:4
282:9,13,13 283:1	132:16 143:5	9:18 58:9 91:4	41:1 45:9 48:5,5
291:7	159:17 160:7	92:22 145:3	49:9 54:16 58:5
carcinogens 14:2	161:14,19 163:5	152:12,15 197:14	63:8 109:22 119:3
14:15 70:17,19	181:3 183:1,2,3	208:4 209:6	132:7 175:4
71:19 72:15 88:13	193:4,5 215:20	215:14 273:6	231:11 233:1
135:18,21 140:1	216:8 244:17,17	<b>cell</b> 6:2,6 7:2	234:17 247:14
142:17 156:8	249:3	14:22 15:14,15	263:22
157:3 162:22	cases 55:8,11	16:19,21 20:9	certainly 21:10
200:13 201:8,12	61:22 81:6,10	84:1 92:12 96:21	30:15 42:20 55:15
227:19 232:7	84:21 86:9,18,18	97:8,8,9,14,17	58:10 59:20 64:13
239:8 240:2,7	86:19 160:5 170:5	99:1,14,16 102:12	92:19 165:20
253:3 257:11	188:5 217:8,9	102:13 104:20,21	166:7 167:16
258:15,19,21	219:21	105:14,16,18	179:13 181:10
259:1,3,15 262:6	catastrophe	110:13 111:10	184:9 188:10,14
262:14 270:12	114:10	112:7 113:9,10,11	211:10 216:21
271:1,4,9,12,14	catastrophic	113:12 115:7	248:17
271:17 272:4,5,5	112:6	118:14 125:6	certificate 294:2
272:21,21 275:12	category 27:1	126:1,1,15 127:11	295:2
275:19,22 290:11	causal 88:17	127:16 176:6	certified 154:10
290:21	causality 109:9	197:20 198:4	certify 294:4
carcinoma 97:10	causative 70:14	232:1	295:3
116:5 231:12	<b>cause</b> 14:9,16 16:9	cells 15:8 17:12,15	cetera 139:1
232:22 233:3	17:7 84:17 150:1	17:17,22 18:1,1	201:19
care 128:1,3,5,6	150:10 164:2	80:10,10 98:4	<b>chance</b> 17:4 286:3
128:13 154:13	184:12 198:3	99:17,19,22	<b>change</b> 38:1 45:4
292:12	233:2 241:22	101:20 102:10,11	46:4 108:13
<b>career</b> 151:18	242:15 253:3	102:12 105:19	157:21 183:15
153:7,14 156:18	274:11,12 292:18	106:3 111:15	214:4
careful 48:9	caused 78:16,20	113:10,11,12	changed 108:14
261:22	132:5 220:6	114:5,16,16	185:5 208:13
carefully 92:7	291:20	117:15 119:5,15	214:3
261:1	<b>causes</b> 149:13	119:16,18 120:5	changes 15:6
carried 145:22	184:11 272:14	178:4 235:11	40:16 102:10
	273:21	280:3,10	149:2 209:10

212:2 287:18	78:21 79:2 80:4	124:14 136:22	277:17 278:5
changing 118:14	101:5 142:16,19	137:2,5 208:19	clastogenicity
chapel 157:8	152:4 180:5,8	274:17,17,18,19	14:3 53:17,21
character 122:10	231:4 233:1,2	282:17	54:12 56:20 235:4
characteristic	258:6,9 259:5,21	chronically	245:14,17 249:18
107:1	271:10,11 274:10	203:13 207:12,14	clastogens 240:7
characteristics	275:14,16 276:19	cigarette 240:8,10	clean 215:10,10
56:4	<b>chemist</b> 165:11,12	243:9,12	clear 23:22 33:3,7
characterization	chemistry 150:9	<b>cioms</b> 30:5	106:12 114:1
149:8	chemotherapy	circle 213:4	178:15 179:3
characterize	280:13	circuit 108:20	180:18 200:8
213:15	<b>chicken</b> 160:19	circular 274:8	202:16 230:1
characterizing	childbearing	circumstances	232:21 245:11
213:10	289:19	30:20 168:18	253:19 254:15
<b>charge</b> 108:21	childhood 115:16	citations 75:15	262:4 264:11
<b>charles</b> 91:3,3	115:18 116:9	<b>cite</b> 39:20 118:18	273:14
cheapest 265:1	chinese 80:10	<b>claim</b> 130:4	cleared 18:16
<b>check</b> 34:22	chloral 74:19	<b>claims</b> 216:2	189:11
271:12,15,17	chloralhydrate	clarification 44:8	clearly 10:8 52:16
checked 91:16	83:16,20 85:17,17	225:16	66:12 89:21
chemical 14:15	85:21 86:3,10,17	clarify 21:16	145:19 161:15
69:11 74:4 75:16	<b>cho</b> 80:9	44:20 182:16	199:9 203:2
75:17 76:1,9	<b>choice</b> 161:8,11	211:16 229:16	215:19,21 219:21
77:21 79:8 81:7	cholesterol 61:6	250:20 254:20	245:18 264:11
82:1,13 86:22	choose 39:14	259:16 279:1	266:20 268:12
135:21 137:13,14	40:20 138:2 254:2	clarifying 250:13	cleaver 119:11
137:15 138:17	255:6	class 75:18 223:12	climate 284:6
139:19 140:20	chooses 52:8	242:9 262:5,8,13	clinical 4:8,19
143:22 161:4	<b>chose</b> 137:13	262:16 284:10,20	5:16 6:8,12,18
165:7,12,16	chosen 13:15	285:13 290:18	7:19 10:3,17
179:18 191:15	68:19 69:4	291:4	11:12,18 12:4,7
223:18 239:9	chromative	classes 76:2,4 82:1	20:22 21:15 22:7
254:4 257:12	279:18	82:13 257:12	23:3,12 32:19,22
274:21 275:5	chromosomal 7:3	279:13 290:6	33:22 34:4 39:18
278:13 291:4,5,12	52:11 178:21	291:5	47:15 48:19 49:10
291:13	199:13 235:18	classic 22:12,22	50:5,11,19 51:3
chemically 205:17	238:12	109:13 117:12	51:15 52:18,18,21
206:3	<b>chromosome</b> 17:6	classified 70:1	55:12,19 56:18
chemicals 13:17	227:7 235:11	84:14 90:6	57:7,18,22 58:17
18:7 68:21 69:2	236:5,10,19	<b>clastogen</b> 6:15	60:8 63:21 64:5
69:17 70:4,5 72:4	chromosomes	61:18 243:6	64:16 67:3,15
72:4 73:14 74:1	100:4	<b>clastogenic</b> 54:16	68:19 133:22
74:10 75:9,22 76:12 78:4,16,19	<b>chronic</b> 8:14 17:7 69:8,9 71:7 72:17	54:20 63:9 234:18 239:18 240:9	145:13,13 146:11 153:3 162:16
10.12 10.4,10,19	03.0,3 /1./ /2.1/	437.10 2 <del>4</del> 0.7	155.5 102.10

	1	I	
171:9,10 181:20	collaborators	<b>coming</b> 36:16 49:6	compare 26:19
182:12,15 183:19	64:21	51:2 104:11,16	143:7,8 164:20
188:6 189:22	colleagues 59:11	114:20 125:13	285:10
194:21 195:1,8	60:5 64:18 65:2	148:3 149:20	compared 39:12
202:10 217:16	65:21 69:21 83:12	157:13 166:2	73:8 77:8 78:14
223:11 242:20	83:19 84:19	186:4 202:10	82:5 83:14 85:3
246:12 260:5	collect 43:22	217:12 224:5	85:11 88:6 134:11
265:8,13 266:17	237:20,21	264:17 267:16	223:16
281:16 286:9	collected 81:20	291:11 292:22	comparing 56:8
clinically 187:12	83:3 254:8	comment 94:1	108:18 139:22
<b>clonal</b> 17:14,19	collection 42:2	174:7 238:7,11	194:5
97:18,21 102:22	<b>college</b> 2:13 151:3	260:14 266:12	comparison 76:5
103:7,12,17,20	<b>column</b> 90:17	commenting	comparisons
124:15	combination	121:15	246:22 277:14
<b>clonally</b> 97:9 98:8	157:3	comments 120:22	compelled 33:20
clonase 102:4	combine 19:8	217:21	141:7
clone 229:14	combined 71:20	committee 3:22	compensated
clones 97:22 98:4	252:20 267:17	4:1 152:2,15	28:15
98:17,17,20 103:6	<b>come</b> 7:22 9:7	253:17 268:10	compensation
120:6,6,8,9	24:9 26:1 114:2	committees	32:3 34:19 35:7
<b>clonic</b> 117:7	135:19 152:22	155:11	39:15
close 111:17,18	154:17 161:3	<b>common</b> 7:5 47:1	competent 124:7
129:1	175:3 181:5 182:6	72:6 260:15,19,22	compilation 89:15
closely 120:3	182:7 188:18	261:12	compilations
<b>closer</b> 112:2	189:14 197:22	commonly 4:7	179:17 232:14
124:22	198:22 216:16	10:17 43:3,4	compile 92:3
<b>cloud</b> 135:11	229:21 248:22	148:13 225:6	compiled 68:12
clustering 135:19	253:19 256:10	communication	75:5
clusters 136:4	263:21 269:3	143:17	compiles 75:14
<b>code</b> 30:4,12,12	293:4	community 26:15	complementarity
37:6	comes 29:19 99:12	229:17	157:4
<b>codes</b> 30:9	108:7,9 135:6	comorbidities	complete 106:8
<b>codify</b> 29:15	167:17 170:21	195:22	232:10
codons 16:2	187:21 205:19	companies 163:11	completely 15:10
coercion 31:2,5	211:5 212:1	175:19,22 202:10	42:11 47:17
<b>coffee</b> 160:20	218:18 222:14	<b>company</b> 172:13	256:21
coffees 161:9	273:5	172:14 184:3	<b>complex</b> 49:4 51:3
cognitively 25:11	<b>comet</b> 267:17,19	comparable	287:13
34:15	269:5	203:19 252:9	compliance 155:8
coherent 178:6	<b>comfort</b> 199:16	comparative	complicate 22:20
<b>cohort</b> 74:12,14	comfortable	137:14	complicated
cohorts 80:10	181:14 183:5	comparator	108:19 125:10
collaboration	199:19 220:8	138:14,16 140:11	complications
59:10			215:22

compliment	38:4 67:21 162:20	confidence 133:8	65:22 185:18
148:10	182:17 215:11	confidentiality	190:20 192:11
complimentary	228:10,20 244:22	26:7	216:6 218:14
227:3	284:11 287:6	confine 22:21	219:10,10 221:17
component 92:11	288:7 292:18	confined 24:13	229:18 241:4
92:19 94:3	concerned 67:11	confirmatory	considerably 46:4
components	180:15 281:8	207:4	consideration
279:18 280:3	concerning 223:4	conflate 33:8	4:16 46:22 51:1
compound 53:18	concerns 8:21	conflict 30:1	54:17 55:17 56:13
54:10,10 61:13	23:1 24:20 146:10	confounded 5:4	62:5 63:10,18
62:3 63:15 79:16	244:7	confounding 5:3	64:7,11 66:3
92:16 164:9	concluded 269:3	confused 211:18	204:8 205:7
165:18,19 200:11	293:9	confuses 210:20	214:10 234:19
201:8 203:22	conclusion 120:18	<b>congo</b> 1:16 294:3	considerations
226:19 242:9,15	133:19 163:19	294:19	12:6 37:9 48:18
246:1 262:7,15	164:12 181:6	congress 156:5	55:3,16 277:13
267:10 278:15	211:3 215:7	183:20	considered 20:4,8
286:4 291:18	253:19 269:2	congressional	22:6 28:19 54:21
compounds 61:19	conclusions 114:3	156:15	62:8 79:22 90:8
70:7 74:15 76:3	126:7,8 269:3	connection 187:13	90:14 110:15
88:19 135:12	conclusive 88:17	conscience 169:21	162:17 204:10
152:7 158:13	concordant	consecutive 83:13	222:19 232:2
169:16 180:21	276:19,20	85:1,11	245:18 273:19
198:16 240:1,1,9	concurrently	consensus 40:9	considering 10:22
246:2,19 270:12	138:21	consent 7:21	18:16 39:21 43:21
273:3 281:17	condition 23:7,9	23:21 24:15 26:2	240:18 247:10
293:7	35:18,21 36:1	30:18,19 31:15,21	248:4,7
computation	39:1 45:13 46:1	32:9,15,21 33:1	<b>consist</b> 279:17
135:5,14	48:2 168:18 178:2	33:12 34:14,16	constant 31:13
computational	conditions 32:18	37:10 44:1 45:4,6	constitute 262:22
165:11,11 166:8	59:2,3,4 196:12	46:3,6,13,15	constrict 120:13
computations	<b>conduct</b> 11:10	143:12 156:12	construction
135:4	254:13	243:21 244:8,21	275:7
concentration	conducted 5:19	245:7	consult 215:15
179:5	12:9,15 34:21	consequence	consultant 2:21
concept 29:21	35:1 62:17 63:6	114:11 206:13	155:17 157:8
40:13 124:20	64:5 66:21 93:5	261:5	consultation
143:3 144:7	153:5 209:15	consequences	21:17
160:17 287:20	224:14 249:20	153:18	consultations 39:5
concepts 29:22	conducting 4:22	consider 11:5 19:6	157:9
143:2	7:4 54:6 151:19	26:14 27:3,4,8,14	consumption
concern 8:13	218:21 249:21	28:10 30:22 32:17	138:4
10:19,20 11:17	confers 165:15	33:4 41:19,20	contain 68:13
19:11 26:6 35:9		59:5 63:19 65:15	

containing 15:17	<b>cooper</b> 271:20	<b>couple</b> 28:9,20	<b>cullins</b> 92:4,5
76:2 138:4	cooperate 18:2	106:10 115:13	culture 114:17
<b>contains</b> 75:8 87:4	cooperation	118:10 177:7	cultures 117:22
165:18	288:20	196:14 204:15	cumulative 71:13
contaminants	cooperativity	256:21 261:13,21	78:13 87:12,15
152:5	17:20	263:12 268:9	<b>curated</b> 275:15,20
content 24:3	coordinating 3:22	<b>course</b> 22:10	276:11
context 28:20	4:1	23:14 28:16 32:6	<b>cure</b> 169:9
31:15 41:11 44:12	correct 93:20	39:16 56:13 88:19	<b>curious</b> 38:7 91:5
44:16 74:8 190:20	175:13 214:22	96:2 132:21 136:4	253:21
continue 146:1	221:11 228:7,9	143:19 176:12	<b>current</b> 131:22
159:2	234:6 238:17	184:5 187:20	146:19 212:22
continues 52:19	267:15	191:18 205:5	227:15 254:14
94:5	corrected 149:1	218:3 233:14	273:17 284:6
continuing 89:7	correction 20:6	238:19 265:10	currently 31:14
continuous 4:11	correctly 236:17	266:16 272:18	59:7 148:19 154:1
19:1 55:8 62:22	261:8	covalent 279:22	155:17 162:22
73:4,8,20 83:13	correlate 141:16	<b>cover</b> 259:20	216:17 217:6
84:22 85:15 92:17	141:18,19 246:1	<b>covers</b> 128:15	243:20 248:8
205:1	246:17	<b>cpdb</b> 276:11	<b>curve</b> 108:1,2
continuously 8:9	correlated 116:9	<b>craft</b> 33:12	111:14,19,22
189:6 202:3	167:3	create 12:20 17:15	114:10 128:2
contrast 144:1	correlation 7:14	285:18	247:12
contrasting 74:21	13:16 70:20	created 18:15	curved 141:3
contribute 215:6	115:15 141:10,11	creating 33:14	curves 113:17
contributes 29:3	141:14,22 144:6	37:3 64:22	curvy 110:17
contributing	166:21 180:3,8,9	credible 136:20	<b>cutoff</b> 159:20
124:17	239:20 282:7	criteria 27:19,22	cyberspace 94:15
	correlations 272:3	93:18 173:20	cycle 15:14 98:8
137:6	273:11	critical 50:12	d
controlled 279:22 controls 15:14	correspond 87:19	142:6,9 263:17 264:2	<b>d</b> 26:4 285:15
75:18 84:20 85:6	corresponded 71:22	critically 27:9	<b>daily</b> 8:9,17 20:1,7
controversial 32:4	corresponding	31:3,16 46:16	55:8 123:17 138:7
35:4	231:13	criticized 37:20	138:10 139:10,16
conundrum 287:2	could've 266:2	cross 118:4 277:14	140:8,22 141:1,4
conversation 22:9	coumarin 72:10	crosses 24:6	158:11 161:16
23:14 28:2,14	counsel 294:11,14	crossover 8:2	189:6 192:13
38:14 39:5 48:2	295:8,11	55:20	193:18 202:3
238:6	count 140:10	crump 2:9,15	damage 14:14,18
conversations	counter 225:5	12:22 136:7,10	15:9 52:11 106:12
22:22 38:15 44:11	counterpart 275:6	146:6	150:10 235:11
convinced 184:6	country 266:14	csu 1:13	236:10 239:18
10110	J 200.11		287:14

	T		
damaged 101:20	262:5 265:21	days 4:10 5:18	133:16 183:4
dan 43:9,9 44:22	269:4,12 270:17	18:17 19:22 83:15	185:19 186:9
94:15 239:12,12	273:4,9 276:3	83:15,16 85:1,2,3	208:4 219:11
241:8,11,13,17	284:5 288:13	85:11,12,13 89:18	226:21 234:5
260:14	database 67:9	137:9 139:8,13	253:11 260:20
dangerous 36:7	68:5,20 69:4,7,16	140:18 149:15	263:17,17 270:16
<b>daniel</b> 238:7	71:19 72:4 73:11	171:3 189:7,7,7	284:2
dan's 210:20	74:3 75:6,8,12,14	193:16,17,18	decisions 139:4
243:9	89:9 91:6,11,12	205:1 208:18	181:17 191:9
dark 150:10	91:19,20 92:6	209:20,22 255:19	192:21 233:11
data 5:3,16 26:8	93:18 162:21	255:21 258:9	<b>deck</b> 37:4
50:22 62:14 67:18	228:5 230:22	262:3 269:7	decrease 18:22
67:20 68:5,17,20	231:1,5 254:8	<b>dayton</b> 2:6 12:8	270:7
68:22 69:17,18,19	275:8,16,20	66:19 90:10,15	decreases 107:5
69:21 71:20,21	276:11 285:10	91:9 93:3,20	<b>deep</b> 119:14
72:22 74:1,5 75:4	291:10	<b>de</b> 162:19 212:19	default 154:4
79:19 80:4 81:19	databases 67:7	<b>dead</b> 129:20	<b>defect</b> 98:5 109:14
82:18,20 83:2	228:5	<b>deal</b> 19:13 32:5	109:14
84:4 86:8 88:20	<b>date</b> 1:10 13:5	121:3 123:17	deficient 114:18
88:22 91:10 92:3	18:20 38:13 180:1	174:10 178:1	<b>defies</b> 167:21
95:20 106:2	223:10	270:2	define 274:6
107:13,14 112:20	daughter 17:14	<b>dealing</b> 10:2 38:8	<b>defined</b> 15:17,18
129:13 131:4	daughters 17:15	42:3 122:2 123:18	19:18
133:3,13 136:20	103:18	131:8	definitely 245:6
136:22 137:2	<b>david</b> 268:13	<b>deals</b> 68:22	272:6
139:5 152:7,8	275:10 276:13,18	dealt 11:2	definition 114:1
156:19,21 158:12	dawley 77:11	<b>death</b> 13:1 125:6	273:14 274:4
165:1,3,5 167:4	93:15	136:8	definitive 39:9
178:10 180:1	dawned 113:5	deaths 81:16	240:21
183:14 196:21	day 10:11,14 20:1	<b>debate</b> 212:22	degreases 158:16
200:10,20 203:19	21:11 26:7 27:14	<b>decide</b> 47:15,16	<b>dehp</b> 272:13,17
210:10 211:9	32:12 36:16 45:17	47:18 62:2 63:15	demethylase
212:5,14 213:3	83:10 95:7 140:19	106:20 186:11	283:8
214:14 220:19	158:12,19,20	197:10 203:21	demethylation
221:22 222:2,3	159:3,5,20,21	222:1 224:18	283:9
223:4,7,19 224:20	160:4,8 171:6	253:9	demonstrate 10:6
227:14,15 228:21	184:13,22 193:16	decided 134:15	58:18,22
229:13 233:11	200:15 247:10	154:16,17 155:4	denigrate 268:5
236:4 246:9,11,12	249:22 250:5,17	178:4 231:9	<b>dental</b> 143:14
248:10,14 253:11	251:16,16 253:2	decides 129:4	depart 107:3
253:16,18,20	255:11,15,15	deciding 181:21	department
254:7,16 256:9	257:6,16 260:5,11	decision 43:22	126:20 151:6,15
257:13 259:12	267:8 286:14,15	44:1,5 99:12	151:16 154:20
260:16,19,20	286:19	129:3 130:6	
	1	l .	<u> </u>

<b>depend</b> 102:21,21	determine 31:11	<b>devise</b> 150:20	229:20 230:7,8,11
110:20 163:7	71:21 162:1	diabetes 186:19	233:17 245:19,19
dependent 84:11	164:10 171:10	187:22 188:12	245:21 247:21
87:18 287:17	190:18 191:22	diagnostic 133:11	250:17,19,19
depending 44:19	195:2 211:11	diagram 17:20	255:1 256:22
79:4 130:21	275:11	dictate 139:12	264:15 265:22
205:13 207:19	determining 62:8	didn't 177:3 178:3	266:13,15 268:19
208:17 232:1	190:1 204:10	199:8 207:19	268:21 269:3
depends 41:9	205:12 222:19	222:6 226:19	287:20,21 290:18
103:10 106:22	<b>detox</b> 156:14	249:12 291:2	differentiated
160:11,11 193:19	detoxified 14:22	<b>didn't'</b> 210:3	102:13,13
231:21 234:5	detract 228:3	die 273:12	differentiating
260:21	develop 43:20	<b>dies</b> 99:15	279:20
derived 114:16	45:1,5 49:16	<b>differ</b> 45:11	differentiation
dermatologist	57:19,20 86:11	difference 47:14	99:22 101:1,5,10
150:21	130:5 148:9 156:9	92:15 168:4,12,13	101:13 104:1
described 33:14	203:15 216:9	175:11 180:14	difficult 5:10
50:17 244:8	247:12 253:22	214:6 263:10	29:16 33:6 69:13
277:18	258:11 286:4	264:21 266:8	79:17 136:21
descriptive 220:2	287:22	267:1	181:2 235:21
<b>design</b> 8:2 27:9	developed 6:21	differences 45:14	245:2
55:3,20 64:11	25:20,21 37:18	233:15 266:20	digging 122:9
75:18 77:5 156:9	60:4 65:2 82:18	different 24:3	digital 294:9
197:1 239:13	86:10 87:21 88:5	25:18 26:22 36:12	295:4
designating 63:11	90:18,20 148:15	37:2 42:11 44:13	dilemmas 7:22
designed 27:10	153:14 158:8	44:15 51:4 54:13	128:16
147:12 261:7	184:2 286:2 287:3	55:13 64:3 68:1	<b>direct</b> 22:7 23:4
281:14 284:7	developing 49:22	74:21 82:1 87:3	30:8 35:17 142:2
designing 54:18	82:15,18 83:1	92:12 95:4,5	261:4
234:20	148:18 187:21	101:5 105:5,20	directed 8:19
despairing 125:9	development 6:22	108:6 110:18	156:6 184:19
<b>detail</b> 8:3 17:21	9:5,8 22:12,15	111:16 118:5	directly 17:13
detailed 91:15	23:6 29:4 49:10	119:16 120:14	<b>director</b> 48:17
<b>details</b> 75:16	50:6 52:19 53:2	121:15 123:12,14	148:6 152:10
detect 240:13	56:18 57:7,13	125:19 127:5,11	155:8
272:17,19 289:9	82:11 88:3 145:11	135:20 142:18	disability 29:12
detectable 289:9	145:17 160:1	143:21,21 151:8	disagree 36:11
<b>detecting</b> 150:16	165:4 210:15	154:19 157:2	<b>discipline</b> 49:7
157:3	212:14 217:15	159:8 161:10	217:16 275:1
detection 150:16	277:11	163:20 175:1	<b>disciplines</b> 24:6
determination	develops 94:4 deviation 130:22	179:17 181:6	38:16 231:18
153:2 210:17,18 211:22 216:17	<b>devil's</b> 167:19	190:4,4,5,21,22	disclosures 21:20 disconnect 229:5
211.22 210:17	uevii s 10/:19	198:12 208:20,22 224:17 226:11	229:6
		424.11 220.11	<i>LL</i> 7.0

discontinued	division 3:21	228:17 231:7	257:13,17 258:7
74:18 75:2	16:19 45:8,16	239:9 241:6 243:7	258:22 259:18
discount 269:8	48:17 145:3 148:6	248:1 258:16	269:16 270:2
discovery 280:15	148:22 155:9	272:17,18 274:15	272:18 274:22
discuss 138:1	168:15 174:18	281:12	275:1 282:10,14
264:19	182:13 184:11	<b>doing</b> 22:17 28:16	283:11,19 284:4
<b>discussed</b> 3:7 40:6	193:17 288:2	30:7 31:21 36:4	285:9,16,21
145:12 164:19	divisions 9:11,15	38:3 41:14 43:7	286:22 288:11,11
188:6 192:7	102:16 152:21	63:19 92:7 100:2	289:17 290:15,20
199:15 244:8	154:18,19 185:22	101:12 103:17	292:12
246:10 277:8	186:1	105:16,18,20	<b>dorsam</b> 2:5 8:3
278:8 291:4,18	<b>dmba</b> 79:3	118:13 126:2	12:5 48:16,21
discussing 161:14	<b>dna</b> 1:6 4:5 13:14	151:20 153:7	65:18 145:12
192:10	13:20,20,22 14:14	155:15,20 160:20	196:17 204:17,20
discussion 1:9	14:16,17,18 15:1	172:18 190:21	<b>dorsum</b> 216:7
3:14 13:8,12,14	15:1,2,4,5,6,9	194:16 198:15	217:22 219:9,18
23:10 33:3 48:3	16:16 19:2,4 67:2	199:9,18 211:10	219:20 220:12,19
66:5 125:12 134:7	68:7,8,11 78:4	221:17 240:20	221:4,8,12 222:7
134:16 144:18	79:20 97:5 99:7	241:20 252:20	223:1 225:1,22
146:15,18 157:14	111:16,17,18	254:21 255:10	226:6,16 246:4,8
184:19 201:22	112:7 130:15	264:13 276:17	246:21
204:12 293:3	145:9 146:21	286:6,7	dosage 83:9
<b>disease</b> 5:4,9 94:3	147:6 149:16	<b>don't</b> 26:13	109:12 198:7
168:10 196:20	150:10,17 152:18	153:12 163:8	261:16
208:16	153:14,18 157:18	164:5,15,15,17,19	<b>dose</b> 4:13 5:5,22
diseases 37:19	158:7 160:14,15	165:1 167:15	6:1,9 7:19 8:6
150:14 288:3	189:4 227:7	169:12,15,20	9:12,14 10:11,12
289:15	261:14 277:11	170:12 171:22	10:13,15,17 11:7
dispensing 86:4	279:19 280:2	172:3 175:9	11:14,18 12:21
dispositive 180:21	287:14	176:16 177:8,9,14	19:12,17,18 20:1
distinction 161:7	doc 96:7 128:7	178:5 179:8	20:2,2,3,6,7,7
199:21	docs 95:7	183:22 184:4	42:22 55:4,20
distinguish 131:7	<b>doctor</b> 154:14,14	188:4 197:3 201:4	56:1,6,11,15,16
distribution 163:5	206:9	201:5 202:1	59:1,1 67:13 68:2
200:13 201:4	doctors 12:8 41:4	205:12 211:1	71:13 76:1 77:15
254:3	66:13	215:11 217:12	78:9,10,10,11,13
div 44:3	document 23:21	223:1 224:22	78:14,15,16,17,20
diverse 18:6 77:19	32:21 33:1 34:14	226:8 227:14	78:20,22,22 79:7
<b>diversity</b> 78:5 88:13	34:16 46:3,6,15	229:6 231:13,16	79:10,10 83:18
88:13 <b>divide</b> 178:4	244:8 <b>documents</b> 8:19	234:9,13 235:14 236:7 240:20	84:11 86:12,14,16 86:18 87:17,19
	33:12 68:4	243:22 244:1	93:19 96:3 100:20
<b>divided</b> 113:9,15 246:18	doesn't 191:21	246:21 247:6	105:2,4,10 108:17
240.10	227:10,13 228:2,7	248:12 255:2	103:2,4,10 108:17
	221.10,13 220.2,1	240.12 2JJ.2	107.0 110.1 112.3

[dose - dr] Page 18

117 10 107 14	107.5.140.11	0.10.10.00.01.0.1	102 12 21 104 10
115:10 125:14	137:5 142:11	2:18,19,20,21 3:1	183:13,21 184:18
126:10,15 127:21	144:10 145:8	8:3 12:2,5,10,12	185:6,16,20
127:21,22 128:2	147:5,9,10 157:17	12:19,22 14:6	186:10 188:9,21
131:9,12 132:7	160:18 164:10	17:21 20:18,19	189:13,15,17,18
134:5,16 138:7	168:1 169:15	21:1 37:13 38:11	189:21 191:1,3,5
140:7 141:3 146:5	173:3,14 174:15	40:7,11 41:8 42:1	191:6 192:4,20
147:9 158:11	177:11 178:9,9,11	42:5,8,13 43:5,9	193:1,2,12,14
160:4 161:3 163:2	181:13,14 182:8	44:6,22 45:7	194:4,6,7,9,10,13
163:6 170:5,5,6	185:18 186:2,3	46:14 47:22 48:13	194:14,19,20
171:3,10 172:19	190:4,5 193:8,9	48:15,16,21,22,22	195:1,4,5,7,9,14
175:8 178:15,17	193:11 194:7	55:2 65:7,18 66:9	196:6 197:3,5,19
179:3,5 181:8	195:19 196:13,22	66:17,19 89:14	199:8 200:3,6,8
182:13 185:12,19	197:18,21 198:10	90:10,12,15 91:3	201:9,21 202:19
185:22 186:11	200:2 204:18	91:9 92:4 93:3,8	202:21 204:15,17
189:12 190:2	205:1 208:10	93:20,21 94:9,13	204:19,20,22
192:17 193:3,7,13	210:14 211:9	111:7 112:4 115:9	205:2,3,15 206:1
193:15,21 194:5,5	224:12 261:17	129:8,12,16 130:9	206:4,5,7,8,12,19
194:6,8 195:3,6	263:8 264:1	130:12 131:14,21	206:21,22 207:2,5
195:10,11 196:7,8	267:10 281:8	132:11,14,18	207:6,10,14
196:21 197:10	282:11	133:14 134:14	208:15 209:17
198:3,14,19,22	dosimetry 189:22	136:6,7,10 144:21	210:2,4 212:8,17
199:1,4 200:7	190:6	145:5,12,19,21	213:4,19 214:8,13
201:19,20 202:5	<b>dosing</b> 9:13 11:20	146:3,6,9 147:20	214:15,17,18,19
211:8,8 222:4	55:9,10,12,14,14	149:10 151:1	214:22 215:1,3,4
245:22 246:17	62:19,22 63:1	152:9 153:6,21	216:7 217:19,22
247:7,11 248:17	73:9 146:20	155:16 157:11	218:17 219:9,13
261:2 263:22	185:14 187:3	158:1 160:10	219:18,19,20
264:4 267:11	199:20 219:6	161:6 162:9,18	220:3,4,12,14,19
269:7 282:15,17	<b>double</b> 103:2	163:10,15 164:14	221:1,4,6,8,11,12
284:3,4	<b>doug</b> 94:17 149:9	165:6,8,10,20	221:16,21 222:7,9
dosed 8:9	149:11	166:3,4,6,10,11	223:1,9 224:2,16
doses 1:5 4:4 5:6	<b>douglas</b> 2:8,14	166:13,14,16	224:19 225:1,15
6:8 8:2,5,10,12,16	12:19 94:13 111:7	167:5,9 168:14	225:22 226:3,6,7
8:16,17,22 9:16	112:4 129:12,16	169:3,12 170:19	226:14,16,18
9:20 10:13 12:13	130:9,12 131:14	170:22 171:14,16	227:1 228:11,16
18:13 55:5,6,11	131:21 132:11,14	171:17,20 172:7,9	228:17,19 229:8
56:11,17 62:19,21	132:18 133:14	172:11,16 173:6,7	229:16 230:2,5,6
63:1 66:16 67:1	134:14 149:10	173:8,10,11,16,18	230:8,10,21
67:13 75:22 77:22	261:11 267:13	174:1,3,4 175:17	231:21 232:11,17
80:1 83:20 84:21	284:9 285:5	175:20 176:5	232:18,19 233:10
86:6,17,18,19,21	dozen 258:20,20	177:2,3,6 178:12	234:7,11,15 235:3
89:3 105:16 106:2	<b>dozens</b> 273:15	179:12,14,16	235:7,9,12 236:3
107:21 127:6	<b>dr</b> 2:3,4,5,6,7,8,9	180:16 181:7	236:13,14,16,17
131:10 136:12	2:12,13,14,15,16	182:10,19 183:12	236:22 237:14,16
		,	

[dr - easier] Page 19

237:17,19 238:4	draws 60:5	186:17 187:22	283:5 284:2,7,10
238:18 239:3	drink 160:20	188:12,13 189:4,6	284:20 286:1,6,18
241:5,12,14,18	161:16	189:11 190:21	287:3,5 290:6
242:3,5,6,8 243:2	<b>driver</b> 15:17,17,18	192:1,3 199:19	drug's 222:11
243:4,5,8,14,19	15:19,20,21 16:6	202:6,17 203:3,9	due 13:1 86:1
244:1,4,5,9,16	16:13,15,16,18,20	202.0,17 203.3,9	127:15 136:7
	17:1,10 124:17	205:20 206:3	266:3
245:8 246:4,6,8	drivers 15:16	207:20 208:16	duly 294:6
246:15,21 247:2,4			•
247:13,17,18,20	124:21,21	209:19 210:15,22	<b>duplicate</b> 60:15,16 60:17
248:3,12,20,21	driving 229:11	212:6,12,14,16	
249:8,11,15	233:17,18 278:14	213:1 215:9,16	duplicates 60:17 duration 5:18
250:21 251:3,5,7	drop 6:22	216:5,10,19 217:4 220:6 222:13	68:7 69:1 73:22
251:9,11,18,21	drops 82:15		
252:2,10,19,22	<b>drug</b> 1:1,6 4:5,8	224:3,9 239:14	74:8 79:15,22
254:1,19 255:19	7:16 8:12,14,22	241:21 243:21	80:6 81:5,21 83:5
256:1,12,17 257:2	9:3,4,6,12,16,20	244:10 245:13,16	85:16 88:18 93:4
257:4,8,15,17,19	10:2,4,6,8 11:3,15	245:17 249:18	95:1 96:17 146:5
257:20 258:2,3,5	11:21 12:14 13:19	260:7 262:21	158:16,20 164:10
259:7 260:2,13,21	18:12,15,19 22:12	277:11 279:10,12	248:5,7,11 280:22
261:11 262:1,10	26:21 27:1 32:10	280:14 281:11,15	durations 158:18
262:12,17,20	35:20 38:9 41:16	284:22 285:17	159:3
263:5,15,16 264:8	44:18 46:3 48:3	289:13	dyes 156:4
265:20 266:18	50:6,8,9 52:20	<b>drugs</b> 3:21 5:3,6	<b>dying</b> 80:22 107:3
267:6,13,15,21	54:3 57:1,8,11,13	6:15,19 10:19	dynamics 164:4
268:1,3,7,8,19,21	58:8,17,19 60:7,9	11:19 12:6 13:15	e
269:1,10,13,15,16	60:11,16,18,20	13:16 19:13 36:7	<b>e.g.</b> 11:8
269:19,20 270:9	61:14 62:20 64:9	38:17 41:15 44:4	<b>e2f1</b> 109:5
270:20 274:8	66:16 68:18	48:17 49:22 54:16	earlier 75:21
275:4 276:6,9,13	110:20 136:13,19	57:17,19,21 58:10	100:6 136:7 148:4
277:5 278:10	136:20 138:21	58:16 59:11,12,22	150:8 154:1 160:7
279:4,7,8 281:3	139:15 140:17,22	63:9 64:18,21	162:3 166:20
281:18,20,21	145:9,15,15,17	65:14 67:2,2 80:4	174:14 185:21
282:1,3,5,20	146:21 147:6,6	80:5 145:12	189:10 246:11
283:4,7,15,18,20	155:11 157:18	151:20 152:4,12	250:10 253:5
284:9 285:5	159:15 160:1	161:8,10,11 169:7	262:3 277:18
287:10 288:18	161:18,19,22	170:7 182:5 183:3	279:11
289:1,6,8,16	162:1 166:1 168:7	185:4 186:18	early 90:15 124:12
290:1,2,5,10,13	168:8,11 169:5,6	188:7 190:4	124:18 145:17
290:14,15,17,19	169:11 172:1,2,12	193:20 203:7	165:4 258:5,9
290:22 291:1,16	172:14,18 175:2,8	214:2,4,7 216:10	275:16
291:22 292:2,7,10	177:13 178:3,16	234:17 242:10	ease 176:10
	150 10 101 10 50		
292:14,16,17,19	179:10 181:13,20	248:9,10,19	easier 5:12 196:7
	179:10 181:13,20 181:22 182:2,8 183:10 184:2	248:9,10,19 279:13 280:17 281:4,9 282:14,21	

[easily - errol] Page 20

easily 140:16	286:13	<b>engage</b> 146:14	288:4
235:4	elegantly 258:4	engaged 148:19	epigenetics 288:8
easy 235:6 255:13	element 28:3	<b>engines</b> 67:7,9	epigenome 119:7
287:1	187:20	enjoyed 133:19	279:17,21 280:7
eat 161:7,9,17	elements 31:13	enroll 23:8	280:15 281:6,12
eating 161:8	32:15,16,17 64:12	<b>enrolled</b> 4:7,19,21	284:3,8 286:7
ecvam 276:4,7,16	eliminate 268:16	7:19 25:8 145:13	290:6
educated 201:15	elizabeth 153:9	enrolling 146:10	epithelial 17:22
educational 3:11	else's 117:20	ensure 52:2 58:11	epoxide 14:21
4:1	ema 10:1	59:3,13 280:12	<b>equal</b> 78:13
<b>effect</b> 66:2 82:7	embedded 24:19	281:12	140:22 164:3
83:19 101:13	emergency 154:12	ensures 140:21	226:9
106:7 112:6 115:4	154:13	<b>entail</b> 139:16	<b>equate</b> 176:17
117:10 168:2	emeritus 151:2	entered 80:11	equation 121:18
196:11 197:1	153:11	entertaining	123:19 125:5
202:8 219:5	emitting 74:22	288:4	134:17,22
242:16 281:15	87:3	<b>entire</b> 151:18	equitable 27:16
effective 15:10	emphasis 145:7	163:11 281:11	equivalence 57:8
53:1 272:1	161:18 280:14	286:8	57:10
effectively 54:19	emphasize 270:5	entirety 165:14	equivalent 19:8
278:19	emphasized 33:4	entities 164:17	79:6 138:6,8,11
effectiveness	empirical 133:9	entitled 48:18	159:4 223:20
157:2 257:9	<b>employ</b> 138:14	<b>entity</b> 164:22	249:5 253:12
effects 69:10	employed 81:17	171:4 202:7 212:7	equivocal 172:5
79:11 173:22	81:18 294:12,15	278:13	174:11 176:9,17
206:13 223:12	295:9,12	<b>entry</b> 81:8	176:19 179:10
269:7 282:16,18	employee 148:3	environment	188:13 262:21
286:7 289:6	153:10,12 294:14	44:19 46:5 104:12	263:5,9,11 264:18
efficacy 57:3,9	295:11	156:8	erasers 280:8
168:7 190:5 286:5	employment	environmental	errol 2:21 89:14
efficient 114:5	80:15	68:10 89:4 153:20	89:14 90:12
<b>effort</b> 28:16 67:5	ems 130:2,7	240:14,14	155:16,16 160:10
89:6 264:19	encountered 38:7	enzymes 14:22	166:20 169:3
efforts 27:20	146:4	280:1,8	176:5 183:13
34:12 280:15	encountering	<b>epa</b> 69:4,16 209:9	184:18 185:16
eight 16:20 31:14	62:13	epidemiological	186:10 194:14,20
186:17 280:2	ended 46:7 149:12	74:5	195:4,7 200:21
either 58:19 61:3	endocrine 186:19	epidemiologically	223:9 227:1
61:19 74:6 79:5	endorse 144:15	115:15	228:16,19 230:21
81:20 85:6,12	<b>endpoint</b> 75:14	epidemiology 68:9	231:21 232:11
99:4 129:20 165:1	76:20 77:6 141:16	79:13 88:16	235:3,9 236:3
165:3 179:20	endpoints 7:6	epidermis 116:18	239:3 247:17,20
184:15 225:5,7	67:19 68:7,9,15	epigenetic 280:11	256:12 257:8,17
251:2,4 257:5,21		280:12 287:18	258:2,5 263:5,15

264:8 267:21	ethically 175:21	222:5 227:11	exchanges 273:21
268:3,8,21 269:10	289:17	263:1 269:6,14,21	exclude 14:11
270:20 289:1,6,8	ethicist 154:3	278:3,7 292:15	80:2,5 286:21
290:19 291:1	ethicists 21:18	<b>evolution</b> 30:3	287:11
error 131:5 149:1	29:11 40:6	101:16,16 120:12	excluded 284:7
175:13	ethics 21:16 22:21	120:17	exclusion 27:19
errors 121:10,11	24:6	evolves 40:15	exclusive 93:16
123:3	eugenol 72:11	ex 154:10	exclusively 16:7
es 294:5	european 275:6	exact 112:20	excuse 91:4
escalated 190:2	evaluate 11:10,11	198:4 206:3	236:15 276:6
escape 280:13	41:5 87:10 153:5	exactly 23:18	<b>executive</b> 152:14
escobar 250:12,12	evaluated 70:12	106:15 188:4	exercise 64:20
251:1,14,19,22	71:7 217:15	200:6 205:18	137:13 276:18
252:6,12 255:4,21	evaluating 149:2	208:6 217:13	<b>exhaustive</b> 145:21
256:19 259:16	156:19 157:1	224:3 228:16,19	exhibit 78:7 88:15
especially 21:22	162:12	256:17 275:8	exist 50:2 133:12
22:11 26:7 46:17	evaluation 160:2	292:19	216:21 257:13
201:10 235:15	244:17 250:4	exam 177:18	existence 280:8
237:20 245:2	event 3:12 66:21	examination	existing 16:11
265:6 289:14	79:18 100:8,11	130:7	68:17 178:9
essay 140:14	132:6 237:4,13	examined 75:14	278:14
essential 264:5	events 25:21 69:13	example 26:5	<b>expand</b> 65:22 97:9
essentially 108:15	70:2 149:14	49:22 51:9 55:18	98:8
135:17 141:21	eventually 97:9	59:8 72:19 73:5	expanded 229:13
158:10 235:10	109:18 260:6	104:3 107:4	expanding 102:14
236:11	everybody 22:10	111:10 121:17,21	expansion 17:14
<b>establish</b> 55:21,22	24:2 27:7 30:18	124:2 150:15	17:19 18:2 97:18
56:3,9 57:8	103:8 104:17	213:13 226:2	97:22 102:22
established	123:16 143:15	227:20 231:11	103:7,12,17,20
162:21	190:11	240:7 249:3	150:7
estimate 75:7	<b>evidence</b> 17:9 62:2	251:15 258:17	<b>expect</b> 46:6 94:22
136:11 139:3	62:6 63:14,14	261:15 263:2	105:2,10 106:1
145:9 254:15	88:17 114:16	265:7 272:6	125:21 126:10,10
273:12	164:11 166:12	274:13 277:12	133:7 141:19
estimated 201:14	167:17 170:3,10	280:19	160:14
estimates 136:21	176:3 178:15	examples 11:13	expectations 29:3
170:8	179:1 188:22	61:20 74:5 88:19	expected 28:7
estimating 137:11	202:15 203:21	173:14 174:8	45:9 82:6 258:7
estrogen 272:6	204:8 205:4	exceed 83:11	experience 5:8
et 139:1 201:19	206:15 208:2	exceeding 209:21	37:15,16 143:15
ethic 29:22	210:21 211:3,7,12	exceptions 158:13	155:4 278:17
ethical 22:6 24:8	213:6 214:10	excess 71:12	281:16 283:22
30:9 128:22	215:9 216:3 217:6	exchange 226:12	experienced 130:8
146:10	218:7 221:13	<b>.</b>	

experiences 37:11	<b>expose</b> 27:12	69:10 71:7,8,8	284:12
experiment	42:21	72:18 73:3,6,8,19	<b>factor</b> 20:6 41:19
102:18 106:14,19	exposed 4:17	73:22 74:11,16,22	92:21 104:22
107:17 109:8	19:15 28:17 55:4	75:8 79:12,14,15	107:8 108:15
111:15 116:22	56:11 74:6 81:2,2	79:15,20 80:1,7	115:16 212:21
126:6 128:21	87:6,7 89:4 139:9	81:11,12,22 82:3	<b>factors</b> 41:19 62:7
131:2 170:12	151:17 159:15,18	82:11 83:5 85:14	63:18 117:22
194:12	240:6,13	88:18 89:2,5,10	204:9 218:1
experimental	exposing 26:21	92:1 114:13	222:18
125:4 138:22	27:17 36:6 41:15	116:11 117:6	faculty 151:17
151:14,16,21	243:11	128:20 137:1,1,3	<b>failed</b> 89:17
experimenting	<b>exposure</b> 5:5 17:3	137:5 139:12	fair 33:18 43:5
30:14	18:4,9,11 19:1,7	242:19	45:7 116:2 273:2
experiments 89:2	19:10,19 20:1	expression 280:6	<b>fairly</b> 5:15 67:6
89:19 101:11	66:15 71:10,15,17	<b>extend</b> 3:18 8:15	159:22
107:7 117:20	71:20 72:15,22	extended 13:10	<b>fallacy</b> 270:21
122:22 284:17	73:2,5,15,18,20	<b>extensive</b> 5:15 7:9	<b>false</b> 259:13 261:2
<b>expert</b> 3:13 49:3	74:1,2,4,7 75:4,7	12:9 145:20	270:18,18,19
55:1,16 59:20	75:10 76:9,11	extent 67:9	291:9
64:13 66:6 218:9	77:20 78:4 79:17	extraction 81:9	<b>falsely</b> 187:14
230:16	79:21,22 81:5,13	extrapolate 106:3	familiar 22:11
expertise 120:22	81:14,17 82:22	123:8 127:12	families 34:15
237:3 238:19	84:22 87:1 88:9	137:4	<b>family</b> 13:1 38:3
246:14	88:13 89:9,17	extrapolating	136:8 154:10,14
<b>experts</b> 9:19 13:9	90:21 91:6,14,19	167:13	<b>famous</b> 115:14
34:21 35:1,5	91:20 92:13,17	extrapolation	284:18
146:2,13 225:9	93:4 96:17 104:2	19:22	fantastic 21:2,6
265:6 288:16	115:12 116:4,15	extreme 160:5	48:13
<b>explain</b> 12:12 47:4	117:7,10,13 120:7	163:5 186:22	<b>far</b> 21:16 33:1
47:6,9 77:11	120:18 124:14	extremely 29:16	39:7 44:9,13
123:5	131:7 137:7 139:3	239:22 249:3	107:18 130:22
explained 36:5	139:7,10,15,16,17	278:11	161:1 167:13
explaining 47:7	140:8,19,22 141:1	eyebrows 266:5	228:13 233:15
146:3 276:20	141:4 146:5	f	241:19 245:10
explains 82:20	150:19 151:20	<b>f</b> 279:9	252:13 254:5
144:5	158:5,11,16,19,21	<b>faced</b> 54:1 58:2	257:12 273:8
explanation 91:1	159:4,19 228:13	172:3	farmers 116:4
266:22	243:10,21 244:15	facilitate 23:10	<b>fast</b> 192:6
<b>explore</b> 148:22	248:3,6 256:5	fact 45:2 53:4	<b>fasted</b> 196:11
exponential	exposure's 20:4	102:7 112:13	fasting 59:2
103:13,19 113:15	exposures 18:17	114:18 139:10	<b>fatal</b> 29:13
113:16 122:16	19:4,6 67:12,16	167:22 168:16	<b>favor</b> 41:15
exponentially	67:19 68:1,7,10	227:8 239:7 241:9	favorable 26:17
17:16 102:15	68:13 69:1,8,8,9	247:21 268:8	

favoring 102:6	<b>find</b> 5:10,11 37:2	192:7 194:16	230:12,14 234:3
120:8	46:20 53:19 61:15	197:6 201:10	243:8 244:2 254:4
<b>fda</b> 1:3,12 2:3,4,5	89:7 97:16 117:19	202:14 205:14	261:9 264:3
2:6,7,12,17,18,20	118:6 121:12	206:20 215:2	<b>followed</b> 12:2,5,18
3:5,12,16 12:3	123:20 126:11	224:1 243:18	32:11 56:6
20:20,21 21:14	134:5 135:20	246:4,8 251:16	following 71:10
22:2,4,11 24:5	139:6 149:4,22	261:13 265:8	75:6 76:9,11
28:9,10 31:8,14	159:20 163:2	268:14 276:16	77:20 171:13
37:14 42:12 43:10	190:16 200:19	<b>fish</b> 138:7	254:13
59:22 60:4,4,5	240:10 286:8	fishermen 116:4	followup 153:4
64:20 65:3 139:18	<b>finding</b> 70:21 71:4	<b>fit</b> 61:20	163:8,16,22
140:20 147:21,22	73:12 182:18	<b>five</b> 4:14 10:13	172:13 173:19
148:2 154:17	184:8,15 192:1	16:20 18:14 56:17	186:9 188:15
155:19 183:16	203:5 218:22	72:6 73:14 81:10	203:4 205:8,10
186:17 225:1	219:7 233:19	86:18 90:21 96:13	206:9,10 214:20
243:20 256:9	237:8 259:2	97:15 158:18	215:6,10,18
257:3 265:6	<b>findings</b> 6:9 7:14	189:10 192:18	229:19 230:3
274:14 280:18	13:18 67:5 68:3	207:19 249:16	238:7 249:17,19
<b>fda's</b> 141:1	70:2 72:7 73:2,10	268:10	250:9,10,22 257:7
<b>fda's</b> 154:2 183:19	73:18 77:12,17	<b>fixing</b> 198:2	262:22 277:17
feasible 255:11	81:4 91:17 152:22	flashed 141:8	278:8 279:10
<b>fed</b> 59:3 196:11	153:1,2 192:16	<b>flat</b> 261:16	291:21
feedback 55:17	282:8	<b>flaw</b> 143:18	followups 264:4
117:20	<b>fine</b> 40:22 41:14	<b>flawed</b> 144:6	<b>food</b> 1:1 43:13,15
<b>feel</b> 33:20 47:18	154:4 208:18,18	<b>flimsy</b> 116:11	44:13 133:6
131:11 181:14	240:22	<b>focus</b> 4:20 5:17	137:22 152:5
183:5 184:5 228:1	<b>finger</b> 112:15	13:15 21:17 22:9	196:11 197:1
<b>feeling</b> 131:22	<b>finish</b> 33:17,20	23:13 28:2 48:10	<b>foods</b> 43:10
<b>fell</b> 180:17	63:20 181:18	53:9 54:9,13	foolishly 149:21
<b>female</b> 76:18	<b>fire</b> 126:20,21,22	95:21 204:21	forced 37:7
females 77:16	126:22 127:4	focused 51:6	<b>forces</b> 37:10
<b>fetal</b> 77:17	fireman 39:14	focuses 49:12	foregoing 294:4,5
fewer 78:16 79:5	<b>first</b> 11:3,14 14:14	focusing 15:3	295:5
85:6 259:13	21:3 40:1,1 43:12	21:19 22:14	<b>forget</b> 176:3 236:8
<b>field</b> 116:11 146:3	44:7,7,9 45:10	<b>folate</b> 285:15	forgetting 155:12
<b>fields</b> 146:13	64:3 69:3 94:13	<b>fold</b> 10:16 246:1	<b>form</b> 13:20 14:20
<b>fifth</b> 96:18	96:17 97:2 101:19	folic 285:15	15:1,4 17:6 86:4
<b>files</b> 9:6 273:6	110:1 112:15	folks 59:12 216:2	109:2 195:9
<b>fill</b> 132:1	116:17 122:7	<b>follow</b> 7:2,9 10:5	formation 14:16
filled 142:14	147:2,12,13	53:3 54:4,7 63:5,5	64:19 84:12 87:18
finally 19:9 74:20	157:15 159:9	93:2,5 121:19	153:18
83:22 86:22 89:1	164:1 167:20	150:11 169:20	<b>formed</b> 268:10
financially 294:16	171:10 184:1	188:17 203:10	forms 15:3 37:10
295:12	186:16 189:3	214:11,12 230:11	55:13 242:11

## [formulating - genetic]

formulating 65:1	fractionate 193:3	<b>future</b> 168:11	78:1 83:10,18
formulation	193:13 202:4	g	84:8 148:11
213:12 265:11	fractionated		163:14 164:5
<b>forth</b> 22:18 42:22	78:10,11,15,17,20	gained 159:11	203:11 209:7
46:2 47:10 48:10	78:22 79:7,10	gap 231:16 284:5	249:12 253:3
107:7 199:14	194:5	gaps 223:19	262:18
267:2 273:7	<b>frame</b> 49:14	<b>gather</b> 146:17 147:4	generated 130:2
284:18	<b>frames</b> 49:20		254:9
fortunately	frameshift 16:3	<b>gathering</b> 123:2 <b>gee</b> 149:21	generation 15:7
219:20	<b>freeze</b> 256:14	0	97:14 122:21
<b>forum</b> 3:13	frequencies 87:12	gen 53:7	149:1 284:5
forward 21:12	frequency 55:13	<b>gene</b> 6:2 15:13,13 16:7,7 51:8 52:6,7	generic 3:20 12:5
30:7 36:18 52:2	87:15 113:8 119:1	52:14 54:18 60:1	48:16 49:22 50:9
137:8 172:8,10,15	119:22 123:1	69:4,14,16,17	57:1,7 58:2,8,10
209:19 218:21	127:22 175:15	1 1 1	58:16,17 59:12
226:16 243:1	256:7	73:11 84:3 97:7,7 97:14 118:17	64:9,18 145:12
257:3 263:20	frequent 83:14		203:7,16,18
264:2,6 265:16	119:1	135:9,10,11 142:18 148:11,12	205:16,19,21
277:11 293:5	frequently 46:20	142.18 148.11,12	206:2 211:5 212:1
<b>found</b> 6:19 13:17	218:5	153:1 157:6 163:9	213:1,11 214:2
37:6 70:22 72:14	fresh 126:11	163:13,16,18	215:16 216:5,9,10
77:14 78:15 80:15	<b>full</b> 25:12 98:20	167:10 173:19	218:2 222:11
80:21 82:21 85:8	136:19 196:8	191:12 200:10,17	224:5
86:12,15 90:2	203:10 204:6	200:20 201:6	<b>generics</b> 2:5 59:7
91:18 102:22	259:20	203:11 204:5	59:14 62:17 216:8
118:10 139:19	fulltime 151:4	205:10 206:14	222:14
149:17,19 150:2	<b>fully</b> 5:10	209:9 216:22	genes 15:17,17,18
foundation 25:19	function 71:12	219:8,15 221:18	15:20 16:13,17
<b>four</b> 8:2,16 55:5,6	183:19 279:21	222:2 225:3,18,20	95:11 96:12,12,22
55:7 56:11 62:21	functional 277:13	226:5,9,20 235:5	97:15,16 98:15,16
83:10,20,21 85:18	functions 15:20	242:16 244:20	98:18 99:1 116:20
86:19 94:22 117:5	<b>funded</b> 156:16	245:13 254:7	118:11,12,21
147:9 168:1	275:7	260:16,20 261:4	135:9 149:22
169:15 174:14	<b>funny</b> 30:13	276:18 280:6	150:4
181:12 182:14	113:16	282:8,15	<b>genetic</b> 1:3 3:2,19
185:18 186:3,4,12	<b>further</b> 3:12 11:10	general 3:3 6:14	5:20 6:13,16 9:9
194:2 204:18	11:11 51:1 66:5	7:13 14:13 22:3	41:21 51:6 57:15
205:1 226:15	138:2 153:5	25:7 30:17 38:2	59:15 62:7 65:15
234:16 241:7,8	158:12 160:2,3	81:1 85:22 97:12	94:18 148:6 149:4
242:13 253:4	171:15 182:15	109:8 135:4	149:8 151:10
267:10	201:18,21 213:10	163:11 181:4	152:6,12 155:18
<b>fourth</b> 188:19	213:16 218:15	193:15 199:13	157:9 192:2 204:9
<b>fraction</b> 70:16,19	249:14 294:13	generally 6:17,19	212:20 222:18
193:9	295:10	6:22 16:15 19:18	229:17 280:11

genetically 120:13	265:22	98:21 101:20	99:19 102:2,3,16
genetics 100:19	give 13:2 14:13	102:11 103:18	103:16 105:4,20
<b>genic</b> 136:18	22:5 24:10 35:5,9	107:2,12 111:9	106:1,3 107:8,13
<b>geno</b> 65:10	45:4,6 63:17	119:4 120:21	107:14 110:3
<b>genome</b> 117:16	142:11,11,12	124:6 138:2 147:1	112:18 113:3,7,15
genomic 280:6	162:1 170:7	147:12,13 150:21	114:6 119:5
genomics 153:20	171:18 172:22	156:1 159:20	120:21 121:1,19
genotoxic 6:16	173:12 176:21	164:11 170:7,14	121:20 122:6,8
11:8,11 12:6 14:1	178:18 180:22	171:15,19 172:22	132:16 134:15
19:1,14,17 38:9	181:12,21 186:13	174:22 182:15,20	136:8 137:7
48:19 49:9 50:15	189:8 192:9,11,13	185:14 187:2,5,9	141:12 143:12,20
54:3 63:22 66:16	192:17,18 193:7,8	187:16 188:2,15	147:1 158:9 162:6
69:12,13 133:5	196:13 201:13,20	191:22 197:4	167:1 168:1,6,14
162:22 174:9	202:2,6 221:2	199:12 201:15	169:4,7 170:8,11
175:12 187:12,12	224:11 263:22	209:19 213:7,9,10	170:13,14,15,16
224:6 232:6,8	279:2 281:12	216:18 220:10	172:22 178:3
240:15 242:2,16	290:15 291:14	222:19 223:17	180:13 181:1,19
259:15,21,21	293:5	224:10,12 231:7	181:21,21 182:1,4
261:3 272:4,5,5,8	<b>given</b> 11:13 31:17	233:16 237:1	182:7,15,22 185:1
272:13,21,21	31:18 38:9,18	243:15 257:16	185:2 186:16
273:15,19,21	46:22 54:17 63:10	260:11 263:6	187:13 188:1,2,18
274:11,20,21	71:13 78:21 119:6	279:16 284:17,18	189:15 190:17
275:2,3,12,13,19	142:10 148:4	288:13 291:1	191:8,10 192:16
275:22 277:16	169:7,8,14 189:6	<b>goal</b> 29:1 60:22	193:7,10 194:1,2
290:11,20,21	193:20 205:21	goes 33:1 34:17	194:14,15,20,21
genotoxicants	213:9 224:8	39:22 96:16	196:6 197:5,8,11
276:10	234:19 278:22	105:12,19 108:7,9	197:12 199:3,12
genotoxicity 63:11	<b>gives</b> 127:17	109:14,15 111:2	208:5,21 209:1
188:1 234:20	186:12 223:18	113:1 141:10	213:6 222:1 224:3
239:5 248:14,16	<b>giving</b> 180:18	161:1 261:16	226:14 227:2
272:10,15 274:12	181:14 182:8	going 12:16 13:2	228:18 231:1,9
genotoxin 12:20	190:15 267:9	14:8,9 17:21	234:12 237:22
123:11 126:14	270:11 281:4,8,11	20:11,20 21:21	238:2,9 241:21,22
274:5,6	<b>glad</b> 48:11	22:13,21 27:2,13	243:15,18 251:15
genotypes 118:6	gland 231:12,14	28:1 31:5,7,8	252:1 255:17
gentleman 39:11	glean 12:17	32:14 33:17 38:17	259:4 260:2
germany 11:1	gloss 100:3	41:2,17 43:11	261:15,19 268:4
getting 28:12	glyphosate 273:20	44:6 45:2,3,3,10	270:12 275:15
97:19 128:7	<b>go</b> 8:3 14:22 15:6	45:12 50:2,19	276:22 278:22
131:16 134:16	17:21 21:12,17,19	56:1 60:11 66:7	279:2 281:10
136:2 143:14	27:21 32:14 41:2	93:17 95:3,3,14	284:10 288:20
155:3 183:10	46:1 47:8 52:20	95:17 96:14,18	gold 17:9
199:19 240:15 244:18 256:5	61:13 74:2,5 95:15 97:1,1 98:7	97:4,5,6,6,16 98:11 99:6,13,14	<b>good</b> 3:1 21:1,11 26:5 27:11 47:7

[good - healthy] Page 26

47:11,22 48:21	ground 34:4	h	harm 29:11 40:2
49:4 65:19 66:1,6	grounded 275:20		210:14 222:5
70:22 99:18	grounds 33:22	<b>h</b> 37:18	harmless 128:21
126:19 127:3	group 27:18 76:22	haber's 71:14,15	haselkorn 83:19
144:21 151:1	77:1,3 84:14	haber's 193:4	hasn't 183:22
152:9 155:22	152:18,19 241:15	hair 44:17 156:4	257:14
163:16 167:20	242:9 269:11	half 4:14 11:18	haven't 218:15
168:22 169:21	groups 22:17	18:14 87:4,5	245:3 276:11
181:5 194:5	75:19 77:16 95:5	144:2 189:10	291:4
202:20,22 212:9	135:21 200:18	192:18 193:22	hazard 6:14 143:9
228:1 232:3 242:2	269:2 277:13	194:1 230:22	198:18
248:16,16 256:19	growing 26:6,8	291:9	hbrt 236:15
259:6 266:11	98:4 280:14	hamburger 285:1	head 87:10
269:4 271:16	growth 103:13	hampshire 1:14	health 4:18 8:21
278:12 291:11	117:22 124:16	hamster 80:10	9:22 10:3 19:1
google 67:7	guess 99:10 123:2	hamsters 223:16	26:16 42:10,14
gpa 84:2	125:16 131:21	<b>hand</b> 99:3 120:10	66:16 198:16
<b>grad</b> 96:6	141:5 144:13	120:11	200:19 203:1
graduate 94:19	178:6 190:10	handle 122:3	204:1 212:19
95:6	192:9 217:14	169:5	healthcare 33:8
grams 83:11 84:8	237:19 246:18	<b>hands</b> 44:1	healthies 168:5
granted 184:22	279:9 292:2	happen 33:15	healthy 1:7 4:6,7
graph 82:20 87:11	guessing 192:6	93:10 97:6,17	4:16,21 5:1,12
87:14 111:3	284:9	99:15 100:9	7:18 8:13,22 9:12
121:12	guidance 7:1 8:19	120:12,16,17	9:17,21 10:3,8
graphs 141:21	10:9 30:5 50:17	166:15 172:2	11:7 12:3,7 18:13
graphs 141.21 gray 129:2	51:10,11 52:8	191:16,21 285:10	19:15,16 20:22
•	158:7,9,15 163:12	happened 30:3	
<b>great</b> 21:9 27:2 65:3 66:20 101:11	216:11 217:13	34:22 79:18 120:7	21:15 22:4,8 23:5 23:9,15 25:4,12
101:19 103:5,9	223:19 229:22	224:10	36:2,4 38:9,18
101.19 103.3,9	249:16 277:10	happening 120:2	41:16 43:17 45:3
111:2 112:22		126:4 261:20	45:5,12,22 47:14
129:11 149:3	guidances 171:4 guideline 148:16	happens 25:6	47:16 48:19 51:17
201:14 293:1	148:20 256:2	96:16 98:2 101:18	51:20 53:12 54:6
		101:21 102:9	
greater 18:19 30:5 58:13 61:10 72:16	<b>guidelines</b> 254:5 254:14	103:21 106:15	54:19 55:4 56:2 56:10 58:4 10
72:20 73:15 77:2		113:8 124:18	56:10 58:4,19
	<b>guy</b> 37:17 102:3	125:20 164:19	59:6,7,8,13,19
81:1 83:15 85:12		<b>happy</b> 65:5	61:16 62:4,9,20
88:4	guy's 37:17	<b>harbor</b> 190:11	63:7,11,16 64:6 64:10 65:16 67:14
greatest 59:18	guys 102:3 109:2	harboring 17:12	
62:5 63:17 204:7	110:7,17,22	<b>hard</b> 23:18 134:3	68:18 145:8,14
205:7 214:9	111:20 123:21	156:22 216:4,5	146:11,21 147:7
greatly 16:15	157:12 237:10	237:9	147:10 157:19,20
	267:5		158:6 159:9,13,15

159:18 161:15,20	147:21 170:19	hesitant 170:20	holds 33:22
167:21 169:6,8	171:14,17 172:7	hesitation 171:1	hole 285:19
172:19 174:18,22	172:11 173:6,8,11	hey 124:9 190:13	homeostasis
179:11 181:15	173:18 174:3	<b>hi</b> 43:9 147:20	100:22 105:12,21
183:8 185:14	177:6 179:12,16	153:6	106:4,5,22 107:6
189:5 190:14	182:10 191:6	hierarchal 135:13	110:12 114:4,7
191:19,20 194:2	193:12 194:4,7,10	<b>high</b> 7:14 13:16	122:14 125:21
196:18 203:17	226:18 228:11,17	31:19 41:7 49:8	126:12 127:12
204:11 205:13	229:8 233:10	50:4 61:5 91:10	homeostatic 127:2
208:10,12,21	236:14,17 237:14	106:2 130:17	honestly 170:9
210:3,9 211:19	237:17 238:4	133:21 134:5	hope 22:2 62:13
215:12 216:14	242:3,6 243:8	136:9 158:13	121:9 127:17
219:6 220:8,9,10	251:11,18,21	201:20 239:17	146:17 238:6
221:2,14,17 222:1	252:2,10,19 254:1	261:2 262:19	hopefully 22:1
222:5,15,20 224:8	256:1,17 257:2,20	273:9	35:19 46:9 48:5
224:11,13 234:20	258:3 281:18,21	<b>higher</b> 72:3,16	91:19 94:15
235:15,16 237:21	282:3	73:7 77:8 90:13	104:14 157:14
238:1 240:16	<b>held</b> 156:6	108:17 119:18	162:7 223:10
241:15 244:10	<b>hello</b> 155:16	133:2 140:4,6,10	276:2 293:3
249:21 265:17	<b>help</b> 21:12 29:18	140:12 175:4	<b>hormone</b> 272:11
267:10 279:15	47:11,21 52:2	200:7 212:13	host 280:13
281:1,4 282:22	67:3 148:2 243:1	261:17 267:11	<b>hot</b> 212:19
284:6 286:21	helped 4:2	highest 112:14	<b>hourly</b> 161:16
287:8,11 289:13	helpful 12:17	highlights 13:3	hours 150:10
290:8,16	29:15,18 35:2,4	<b>highly</b> 39:13 41:15	<b>hprp</b> 110:14
hear 21:2 36:15	37:2 39:2 64:22	160:14 262:6,8,13	<b>hprt</b> 80:9
47:4 94:14,15	100:22 162:10	262:16 280:22	<b>huge</b> 285:19
104:18 111:6	192:12 278:1,2	<b>hill</b> 157:9	<b>huh</b> 129:12
131:18 167:16	293:2	<b>histo</b> 283:9	<b>human</b> 4:8 11:3
174:6 177:3	<b>helping</b> 168:15	histology 76:20	11:14 24:13,18,20
189:16 192:12	<b>helps</b> 166:14	<b>history</b> 81:19 83:2	25:2 64:3 67:19
202:16 235:1	hemangiocarcio	122:9 257:22	80:2 106:17 137:6
282:13	73:7	<b>hit</b> 97:15 119:5	151:20 153:15
<b>heard</b> 51:16,22	hematology 3:21	122:20 149:22	159:9 190:9 191:8
53:10 56:12,14	hematopoietic	190:5 198:5	195:16 201:10
63:4 117:8 145:5	87:15 88:3	281:14	230:19 231:11,20
145:10 146:2,9	hepatocellular	hits 124:16 247:22	233:18,21 235:5
179:1 193:5 202:3	232:22 233:3	248:1 261:13	240:4 244:12
<b>hearing</b> 156:15,15	<b>hereto</b> 294:15	hitting 178:3	265:9 278:17,18
hearings 156:6	295:12	hodgkin's 16:8	291:20 292:1
<b>hears</b> 148:7	here's 208:4	<b>hold</b> 34:4 153:3	<b>humans</b> 45:10
<b>heart</b> 73:7	heritable 209:10	172:3 187:2,5	69:2 78:7 79:20
<b>heflich</b> 2:16 43:19	hesi 253:17	<b>holding</b> 145:7	79:21 81:6 84:15
93:8,8,21 147:20			84:18 87:1 88:14

89:4 133:11	<b>ideal</b> 185:1	<b>imply</b> 88:14	incidental 281:15
151:14 152:3,3	ideally 23:7 236:2	245:19	incidents 131:3
153:17,18 173:15	271:11	importance 28:7	<b>include</b> 5:1 62:9
178:17 190:17,21	identification 5:4	63:22 145:11,16	204:11 209:4
190:21 191:17,21	6:14	important 4:15	216:14,15 225:17
223:15 231:8	identified 20:5	14:14 21:10,12	232:21 260:8
232:2,4,5,22	71:19 72:2 73:4	22:13,19 24:22	279:18
233:4,7,15 235:8	73:20 237:12	27:9,14 29:2,8	included 73:1
236:15 245:19	identifies 258:19	31:4,13,17 33:9	75:11 152:5 237:5
278:22 282:9	<b>identify</b> 66:12,22	36:15 41:5 42:20	includes 5:21
<b>hundred</b> 21:7 68:4	70:16,18 87:9	46:17 49:2 58:21	75:18 80:3 151:18
275:16	156:7 213:19	64:1 66:3 100:5	162:14 250:1
hundredfold	231:4 237:11	100:16 103:14,19	280:16
118:19 119:18	280:5	103:21 113:22	including 24:6
<b>hyde</b> 295:3,16	identifying 121:10	119:13 123:16	32:18 101:4
hydrate 74:19	identity 135:12	145:1 191:19	146:15 151:9
hydrazine 76:4	206:5	196:22 198:14	152:4 154:21
hydrocarbons	<b>ignore</b> 169:21	251:13 255:17	162:8 200:19
18:7 76:3 101:9	230:21 269:17	278:4	240:1
151:11	<b>ii</b> 6:3 30:4,16	impossible 131:6	inclusion 93:18
hydroxy 79:3	<b>iii</b> 9:7	137:4	incorporate
hypotheses 29:8	illness 43:14 45:12	impressed 121:11	254:22 255:5
hypothesis 14:10	imagine 42:10	impression 170:20	incorporated
29:1 37:18 110:5	44:12 53:18 154:6	improving 190:19	76:18 255:2
197:20 198:1	<b>imd</b> 4:9	impurities 152:18	incorporates
hypothetical	immediate 175:6	158:8 159:10	247:7
53:18	immune 18:1 94:2	198:17 213:11	increase 71:12,22
i	104:14 280:13	267:8	85:9 86:14 90:22
<b>i.e.</b> 6:20 8:16	<b>impact</b> 126:14	impurity 159:12	107:22 109:21
	268:5 289:21	199:9,11,17,17	111:13 115:22
iceberg 287:7 ich 7:1 10:9 11:13	<b>impacts</b> 196:22	262:4 266:3	128:2 130:19
	impaired 25:11	267:12	132:7 158:17
50:17,17 52:5,17 65:22 152:17	34:15	inactivating 15:21	236:4,10 238:16
	imperfect 176:7	16:6	239:15 240:11
158:7,19 248:8 249:16 262:2	imperial 2:13	inactivation	increased 72:21
267:8 273:18	151:3,6	191:15	82:11,22 86:20
idea 119:17	implemented	inadequate	92:2 159:1 236:6
122:15 123:9	140:16	260:18	increases 80:16
125:17 126:19	implication 171:1	inappropriate	82:12 84:11 125:3
132:5 140:6	implications	167:15	increasing 17:16
251:11 252:17	268:12	incidence 72:3	82:14,14 84:12
257:11 252:17 257:12 275:17	implies 78:6	75:6 80:17 82:7	86:17,21 88:7,8
278:12	126:12	83:21 96:14	90:17 107:20
2/0.12			109:15 146:22

238:12	93:12,14,22 94:5	124:4,9,15,21	169:17,18,19
increasingly 217:3	94:7,8 95:16,18	125:1,3,8,15,16	171:2,7,7 172:20
incredible 41:17	96:15 97:13 98:2	125:18,18,22	173:7 174:19
236:21	98:10,12,14,16	126:11,12,13	175:1,8 176:4,7
ind 5:14 9:8 24:3,5	99:7,10,11,14,21	120:11,12,13	176:20,22 177:6
52:16 53:15 56:19	100:2,3,12,12,13	127:17,19 128:14	177:10,15 178:1,8
172:15 245:11	100.2,3,12,12,13	128:19,20 129:5,5	177.10,13 178.1,8
independent	100.14,17 101.13	128.19,20 129.3,3	180:7,22 181:2,3
34:21 35:1,5	101.18 102.1,10	129:14,13,17,19	181:10 182:12
155:17 157:8	, , ,	, ,	
indicate 7:8	103:13,18,19,22	130:6,11,13,14,15	184:1,16 186:18
	104:12,15,17	130:16,18,19	187:2,9 191:4,7
indicated 162:4	105:6,6,9,12,13	131:10,12,13,17	192:21,22 194:13
indicates 71:3	105:22 106:4,7,10	131:18,20 132:3,3	194:15 195:6,12
<b>indication</b> 44:19	106:11,18,21,21	132:4,6,6,7,8,9,10	195:13,17,18,18
45:11 208:17,18	107:2,7,9,12,17	132:20,22 133:1,1	195:19,20 196:2,3
208:19 224:8,21	107:18,19,20,22	133:4,20,20,21,22	196:4,5,9 197:9
224:22 282:20	108:5,6,8,9,11,14	134:1,1,2,4,4,6,7	197:17,20 198:18
286:4	108:21 109:1,6,10	134:9,10,10,11	198:20 199:2,12
indications 5:9	109:11,16,17,19	135:9,16,22	200:9,9,16,20
6:21 186:20	109:21,22 110:1,3	136:18 137:1,2,3	201:2,11 203:12
indicative 114:14	110:5,5,6,8,11,12	137:4,5,10,12,16	203:19,21 204:17
indicator 70:20,21	110:13,16,17,18	137:16 138:3,4,5	204:21,21 205:11
71:4 73:12 180:21	110:21,22 111:2,3	138:18,19 139:1,2	205:21 206:2,4,6
240:17	111:3,4,5,11,15	139:3,6,7,14,18	206:16 207:3,4,5
indirect 222:8	111:15 112:2,9,14	139:19 140:2,4,5	207:9 208:14
indirectly 17:13	112:14,15,16,19	140:10,15,18,20	209:18,22 210:5,5
indiscernible 5:15	112:21,22 113:1,3	141:1,3,4,17,18	210:7,12,22 211:2
6:10,10,17 7:8	113:19 114:7,7,11	141:20 142:4,5,6	211:15 212:7,17
14:5,20 15:5,8,14	114:12,14,22,22	142:7,10,15,18,19	213:5,8,17,21,22
16:1,1,3,4,9,9,14	115:1,2,5,8,9,10	142:20,20,21,22	214:1,3,4,4,6,6,12
18:1,8,16 19:13	115:14,19 116:1,2	143:7,11,12,13,20	215:14,15,16,17
32:20 33:17 34:13	116:5,14,19,21	143:22 144:2,10	215:18,19,20,21
37:11,12 39:22	117:3,5,15,19,21	144:10,12,13	215:22 216:2,3,4
41:5 43:2 44:10	118:4,4,8,12,15	148:10 149:20	216:5,6,14,20
44:12 45:10 46:13	118:17,18,18,19	150:3,6,7,9,18	217:5 220:1,16,22
47:12,20 49:16	118:21 119:3,6,9	151:11 152:4,11	221:2,3,10,19
53:5,8 54:2 56:9	119:10,12,15,18	152:21 153:8	222:10 223:3,3,8
58:15 59:13,17	119:19,21 120:1,3	154:11 155:2,10	224:14 225:2,13
61:16 64:8 65:10	120:5,5,9,10,11	155:13,15 157:10	225:14 226:12,22
65:12,14 66:2,8	120:20 121:9,13	159:2 163:3,14,19	228:12,14,21
67:8 72:22 75:17	121:14 122:3,8,10	163:20 164:6,16	230:3 231:20
83:8 85:5 89:1	122:16,16,19,19	164:21 165:19,22	232:15 233:5
90:3 91:1 92:4,10	122:22 123:1,8,12	166:19 167:11,11	234:2,4 235:22
92:17,22 93:2,9	123:16,18 124:1,2	167:12 168:3,6	237:12,18 238:6,8

	T	1	1
238:9,10,21	86:2 99:22 106:11	145:10,14,18	inhibitors 283:13
241:19 242:12	117:21	146:18 153:16	<b>initial</b> 24:8 49:14
245:9 247:8	induced 17:3	162:5 164:5,11	113:9,10,11,12
248:14 249:1,18	69:11 119:14	169:17 170:21	223:18 244:18
250:6,7,14,15,19	123:11	171:9 173:1,13	initially 122:11
250:20 251:9,16	inducible 100:19	181:20 182:16	156:15 164:19
252:1,7,13,14	118:12	183:18 184:5,7	266:5
255:15 256:11	inducing 100:20	185:9,11,13 186:1	initiate 217:4
259:19,19,22	101:5,10	186:9 193:5 196:3	initiated 71:9
261:4,8,10,12,15	<b>induction</b> 76:6,7,9	196:4 203:14	initiating 17:4
261:16,19,21	76:11 86:16 108:5	207:21 209:16	100:8,11
263:4 264:7,18	108:6 146:4 245:1	213:9 217:1,10	initiation 17:18
265:6,7,8,8,9,10	industry 237:17	219:16 222:16	91:7 93:22 100:6
265:11,11,12,14	ineffective 5:6	223:2,6,11,14	initiative 275:7
265:16,16,17,18	inefficient 243:10	226:21 238:22	initiatives 148:22
265:19 266:13,14	inexpensiveness	242:22 245:12,13	<b>injected</b> 84:7,13
266:14,15,17	240:19	248:15 252:16	87:14,16,19,20,22
267:1 268:10,20	inflammation	257:9 259:17	87:22 88:4,6
269:22 270:6,7,14	61:6 104:10	267:4 275:5,21	136:18
270:17 271:5	274:17,18 287:15	277:16,22 278:6	injection 88:10
273:21,22 274:1	inflammatory	278:18 279:3	injections 89:20
275:5,6 276:3,12	104:11 178:2	283:12 291:15	89:21
277:14,15,19	influence 23:17	293:4	injuries 32:4
278:11,21 279:5	24:1 31:2,9 33:14	informative 67:5	87:10
279:14,17,19	38:4 148:12	220:2 258:1 277:3	<b>injury</b> 35:7 43:14
280:2,3,7,9,12,16	influenced 23:16	informed 7:20	innovative 59:6
280:21 281:2,7	<b>info</b> 61:11	24:15 26:2 30:18	215:8
282:4 283:3,14,18	<b>inform</b> 9:10 45:21	34:16 44:1 45:4,6	innovator 57:11
284:8,12,13,15,18	50:18 52:6,17	46:6,13 239:2	58:14 203:9,17
284:19 285:2,7,15	53:11 59:19 66:22	243:21 244:21	207:20 211:2,8,14
288:5 289:10,11	182:1 216:9,12	245:7	211:20
290:16 291:6,19	226:21 235:19,19	informing 239:1	inoculated 37:19
292:4,5,5,8,9,11	251:17	informs 52:9	inorganics 92:20
individual 26:13	information 3:6	ingredient 50:15	<b>input</b> 52:3 58:20
40:19 53:11 61:7	6:11 12:17 14:7	51:20 55:5,7	185:9 186:5
135:12 168:21	24:21 28:7 43:20	inhalation 137:7	inquiring 246:9
220:5 238:15	45:5 46:12 49:8	243:10	insensitive 239:22
239:18	49:11,17,19 50:1	<b>inhale</b> 161:16	<b>inside</b> 98:17
individuals 13:11	50:21 52:12,21	inherent 159:11	<b>insight</b> 49:4 64:13
25:11,12 36:19	53:16,17 57:5,16	159:14,14 162:14	instance 70:3
120:14 154:5	57:20 58:16 59:16	inheritance	71:14 76:16
191:20	59:16,18,21 61:9	279:20	institute 2:19
<b>induce</b> 13:21	64:3,4,14 65:3	inhibitor 285:18	153:11
14:17 16:13,17	89:8 133:16 136:2		

[instruct - it's] Page 31

instruct 280:4	internet 156:22	involved 21:18	173:9,11 174:13
intake 138:10	interpret 50:22	27:7 34:16 36:19	175:2 176:19
246:19	51:11,14	51:17 74:14 80:13	178:21 179:1,4,6
integral 50:5	interpretation	80:19,20 81:9	179:21,22 180:13
intend 49:7	166:15 179:13	85:14 148:7,17	180:17 181:2
intended 133:2	226:10,11	150:12,13 151:8	183:7 184:10,16
<b>intent</b> 49:20	interpretations	155:3 162:13	184:16,19 185:7,8
intentionally	264:15	274:13 275:11	186:5,22 187:7,16
218:2	interpreted 261:1	276:14	188:4,8,12,17,22
interact 15:1	261:7	involving 25:4	189:1 190:13,18
interactions	interpreting	38:17 154:7	190:19 191:14
287:20	256:20	<b>ir</b> 137:21 232:13	192:9 193:15,15
intercalate 13:21	interval 55:14	232:13	193:18,21 195:14
interest 128:5	intratracheal	<b>irb</b> 24:16 27:22	195:19 196:6,17
138:17 155:2	89:20	<b>irbs</b> 32:17	197:12 198:7,11
260:15	introduce 13:11	irrelevant 203:5	198:16,18 199:16
interested 49:18	147:17,18	223:15 233:4,6	200:17 201:16,17
101:3 117:18	introduced 17:11	irreversible 29:12	201:18 202:4,5,6
130:10 160:12	154:1	<b>isn't</b> 178:10 199:3	202:15,16 203:2,5
223:5 259:2	introduction 12:1	234:9 238:14	204:2,2,18,22
294:16 295:13	145:6 279:16	281:18	205:1,9,22 206:16
interesting 29:21	investigated 80:11	issue 11:2 32:3	207:12,14 208:8
30:15 37:7,14	82:2	40:15 50:13 51:4	208:20 210:22
39:18,21 40:12	investigating	54:21 99:9 106:19	213:14 216:3,5
89:16 90:3 92:6	66:14	121:14 130:3	220:18 221:9
101:2 108:7	investigation	134:19,20 153:5	222:5,5,12,17
157:14 198:8	155:9 266:7	189:14 197:15	224:4,5,5,6,20
216:8 225:2	investigational	198:12 209:1	227:4,4,8,11,12
291:14	29:5	231:9 233:10	230:6,8,10,13
interestingly	investigations 5:1	issues 22:1 24:8	231:12,14,22
156:3	investigator 27:6	40:6 104:9 146:10	232:20,20,21
intermediary 44:5	30:14,19	152:22 154:6	233:1,12 234:13
intermittent 4:12	investigators	172:17 235:14	235:4,13,15 236:1
4:12 18:11,17	69:20 80:14	255:3	237:2,8 238:18,20
19:3,6 55:10 63:1	invisible 19:5	it'd 106:6 113:22	239:1,6,16,21
189:13 197:16,16	invited 3:17	it'll 187:2 239:10	240:12 243:5,6,7
197:17,21 198:1	inviting 21:4	it's 145:11 147:14	243:10 245:2
intermittently	<b>invitro</b> 6:2,4,6 7:2	159:8 161:2,8	246:16,16 249:9
189:9	50:16 52:9,11	162:2,4,21 163:1	253:18,18 254:9
internal 92:17	53:16,16	163:3 165:13,14	254:15 255:2
internally 35:6	involve 51:20 58:3	166:2,5 167:16,22	256:2 257:1 258:5
87:7 199:16	58:12,19 69:12	169:13,13 170:18	259:8,11 261:3,5
international 13:9	91:7 120:19	170:22 171:17	261:6,16 262:10
152:1		172:5,18,19 173:3	263:5,9 264:2,5

[it's - know] Page 32

265:19 266:20	215:7 264:11	43:5 44:6 45:7	knew 101:11
268:8,19 269:8,20	276:16	46:14 47:22 48:13	122:13 178:14
270:10,10,16	j	153:21,22 168:14	knock 116:20
272:7,9 273:1,18		236:22 238:18	knockout 107:20
273:18 274:4,5	<b>j</b> 57:1,1	243:19 244:1,5	know 22:15 26:20
275:2 278:1,2,4	<b>james</b> 153:8	<b>kevon</b> 1:16 294:3	29:5,16 34:1,8,22
278:17 281:6	<b>japan</b> 10:1,7	294:19	38:11,20 39:19
282:19 286:6	<b>jennifer</b> 2:7 12:8	key 64:7 76:15	40:2,12 41:9,11
292:10	<b>jimmy</b> 119:11	145:7 209:15	42:19 46:9,19
i'd 177:3 189:14	<b>job</b> 1:17 47:7	keyword 60:8	47:4 50:8 57:5
209:16 218:13	<b>john</b> 210:19 274:4	kidney 185:2	61:22 89:18 94:20
223:4 235:1	<b>joined</b> 186:17	233:5,6	96:1 97:12 100:8
236:22 252:10	jour 212:19	kidneys 83:8	102:12 104:7
254:12 277:5,6	journal 121:14	kids 129:2	106:10,16,20
<b>i'll</b> 149:9 158:1	judge 124:7 267:5	<b>kill</b> 99:18 101:20	109:7,10,10
230:21 241:11,13	<b>judgement</b> 23:20 36:9 40:10,11	102:2 107:5	113:13 116:3,12
247:4 285:13	· · · · · · · · · · · · · · · · · · ·	109:16,22 110:2,5	117:11 123:11
i'm 145:2 147:1,21	judgements 35:6 judgment 29:19	<b>killed</b> 97:8 109:22	124:17 126:4
149:11,12 151:1,2	34:6 275:10	killing 120:7	127:18 129:2
152:10,12,17	junk 254:11	127:15 198:6	132:15 134:10
153:6,11,19 154:1	justice 25:17	<b>kills</b> 109:21	135:3,18 136:1
154:3,9,10 155:12	27:15	kilogram 138:8	137:21 143:13
155:14,16,17	justifiable 35:13	kilograms 138:11	144:3 152:13
162:18 163:7	justification 11:6	<b>kind</b> 44:4 45:4	161:2,3,15 162:5
164:15 165:10,22	11:10,16 267:9	60:17 93:22 95:19	162:7 164:7 165:3
166:3,6,11 167:5	justified 7:12	105:2 109:17	165:6,8,11 170:16
167:20 168:14	29:10 34:9	110:17 119:11	171:21,22 172:11
172:21 174:5	justify 35:19	120:1 122:1 125:8	173:13 175:9,18
175:16 186:15	41:18 133:5	129:3 131:5	175:21 176:6
187:8,15 192:5	k	134:17,22 135:5	177:8,9,14 178:3
197:4,21 202:8,11		167:2,21 178:14	178:5 179:16
202:13 208:3	keep 25:1 34:3	213:5 217:6	180:22 181:1,13
211:17 213:22	44:7 98:11 119:16	236:20 244:20	182:4 183:2 185:1
214:13 217:2	123:16 168:19	250:9 252:14	188:10 197:3,7,8
222:7 226:8,16	181:16 261:11	288:4	198:20 200:12,21
228:9 229:11	<b>keeping</b> 139:9	kinds 40:5 110:10	201:4,5 203:8
237:1,9 243:18	160:22	110:10 135:4	204:4 205:12,22
244:1,6 246:13	keeps 109:3	167:2 183:1 273:8	209:9 211:7
248:7 256:20,22	kenny 2:9,15	kinetics 164:3	212:13 217:13
257:8 284:9 288:2	123:5 125:8	195:10	218:10 220:5
288:15 290:4	kevin 2:4,20 12:2	kirkland 268:14	222:20 227:14
i've 148:2,5,7,17	20:19 21:1 37:13	275:11 276:18	230:18 231:10,10
157:8 172:1,2	38:11 40:7,11	kirkland's 276:14	231:16 233:14
178:22 200:11	41:8 42:1,5,8,13		234:8,9,13 238:1
	I .	I .	I .

[know - liter] Page 33

238:2,5 240:13	labels 57:17 59:22	legally 30:21	lifecycle 56:22
241:9,10,18	60:7,9,12,14,16	legion 99:13	<b>lifetime</b> 19:7,19
242:14,16 243:22	60:20 65:3 219:21	legions 280:20	20:4,8 67:22 69:8
244:2 246:21	220:1	lengths 111:16	71:7,20 72:17
250:8 253:13,13	laboratory 153:8	leo 101:4	73:8 75:8 78:12
254:3,11 256:12	labs 266:13,15	<b>lesion</b> 97:5 127:15	79:12 246:3,19
257:10,13,17	lack 33:7 144:5	164:4 184:12	247:5
258:13,14 259:18	166:21 176:17	lesions 99:21	<b>light</b> 97:11 170:9
261:1 270:1,2	228:11	184:12 185:2,3	178:8
272:14 273:8	large 58:7 100:4	286:18	likelihood 71:22
274:11 276:15	120:8 162:21	lesser 67:9	<b>limit</b> 139:11
278:1,2,4,12	179:6	lessons 105:8	158:19 190:6
282:10,14 283:11	largely 15:11 95:8	114:12	limitations 88:21
283:19 284:4,17	100:22	<b>let's</b> 147:12	<b>limited</b> 16:1 79:19
286:1 287:14	<b>larger</b> 77:13 90:16	172:20 174:5	88:20 89:2 186:3
289:17 290:20,21	127:22 186:7	175:2 176:3 189:9	216:22
knowing 43:22	228:5	192:15 203:6	limiting 160:1
46:7 169:15 238:5	lasting 54:21	218:15 258:15	<b>limits</b> 29:9 131:13
257:21 272:22	<b>late</b> 9:4,7 148:14	leukemia 17:8	<b>line</b> 109:15 110:3
knowledge 37:14	<b>lately</b> 26:9 150:8	level 20:2 27:12	110:16 113:15
65:11 223:21	<b>law</b> 71:14,15	30:10 31:19 34:19	121:20 140:7
294:10 295:7	183:15	39:8 49:8 50:4	170:11 198:21
<b>known</b> 15:16 17:5	ld50 78:1,2	55:13 87:19 88:9	267:5
17:22 71:14	<b>lead</b> 15:6 18:2,5,9	91:11 131:7	linear 19:22 71:12
135:21 140:1	29:12	135:13 137:1	96:3 105:2,10
143:8,9 185:4	leads 8:11	143:6 153:3	108:10 111:20
240:6,7,8 257:11	learn 27:13	158:22 159:19	121:17 122:5
259:22 262:6,13	190:14	175:4 196:2	126:10
272:11 273:1	learned 44:5	199:16 244:13	lines 275:8
277:15	276:17	277:14	<b>link</b> 37:1
knows 24:2 30:18	learning 46:2	levels 20:7 68:2	list 47:3 101:6
kras 84:3	leave 65:21 137:7	106:8 127:1	121:11 230:17,18
l	218:9,13 239:11	198:17	232:10,20 241:11
<b>lab</b> 117:5 118:3	287:19	levy 43:9,9 44:22	272:4 276:10
156:2 258:18	leaving 150:11	238:7 239:12,12	<b>listed</b> 11:16 57:11
<b>label</b> 59:17 60:4,4	led 8:4	241:11,13,17	58:18 124:5
60:5,6,11,13,18	ledanski 295:3,16	liability 235:14	137:21 145:15
64:20 145:15	left 72:5 77:19	237:7,10,11,15	206:3 210:22
203:10 204:2	78:18 86:9 87:11	238:16	216:19
213:10 216:1,2	107:16,19 108:16	lieu 260:20	listening 292:22
217:4 219:14	110:2 147:17	life 11:18 19:21	lists 5:16 232:11
220:5 222:12	213:8 218:2	78:2 87:4,6,8	272:20
225:1	legal 236:8 237:10	144:2,2 193:22 194:1	<b>liter</b> 84:9
		174.1	

literally 103:17	loglinear 109:19	83:19,22 84:10,19	93:14,17 100:3
literature 8:19	109:20	85:16 86:15,22	107:2 114:15
12:10,11,15 14:10	london 2:13 92:5	91:6 93:11 98:14	122:11 124:11
18:4,9 39:4,6,7	151:3	98:18 116:13	129:10 135:18
41:3 46:19 66:15	long 35:8,10 53:2	124:12 167:2,7	142:17 148:8
66:18,21 67:18	55:9 62:22 84:16	180:9 217:16	149:6 154:12,21
89:7 95:17 121:2	101:3 102:20	228:22 229:1	158:9 161:3 175:6
145:21 240:5	117:2 158:10	247:1	175:22 176:15
260:17 273:5	189:7 193:22	looking 12:6 18:21	181:19 184:4,15
literatures 259:18	204:13 238:1	42:14 55:21 57:2	190:16 192:10
little 8:3 40:3	260:7 261:6 275:7	59:18 61:1 90:10	195:22 196:19
52:15 54:8,12	281:5,5 288:15	96:8 108:12 114:6	212:19 223:11
66:4,8 74:21	longer 4:19 81:18	125:7 149:14	232:7 240:5 249:1
96:16 98:6 102:14	102:4 266:4	151:12 152:19	252:15,15 253:17
108:1,2 111:13,19	288:14	163:17 179:18	254:16 257:10,11
111:22 116:6	look 13:9 22:6	180:6 183:16	259:11,12 260:22
120:6,8,11 122:18	33:2 34:7,8,14	185:9 190:12	265:3,4 270:22
124:22 127:5	35:2,5 44:3 45:20	194:21,21 195:7	272:14,20 273:7
128:4,10 150:8	46:19 52:2 54:10	196:8 199:6	277:8 285:14
162:5 167:18,19	59:20 62:14 78:9	200:22 209:16	288:3 289:2
175:10 181:9	83:4 91:10 92:7	214:5,19 216:20	290:12 291:14
189:2 198:11	93:13 105:4 106:8	226:16 237:1	lots 28:17 142:13
199:21 210:20	106:9 108:4,13	253:17 258:10	173:14 177:21,22
211:18 215:17	112:11,21 120:3	261:14 265:21	<b>loud</b> 164:15
216:4 226:10	121:21 131:4	266:7 270:21	louisiana 2:9,15
234:16 236:1	137:8 149:16	271:3,22 286:12	love 41:22
250:10 253:14,16	152:6 153:16	291:12	low 78:1 105:15
258:13 263:7	157:6 169:18	looks 27:1 46:8	126:15 127:1,21
270:7 288:14	175:15 180:1	96:5 107:22	131:7,10 134:10
<b>live</b> 273:12	183:2 186:18	109:17 112:12	136:12 170:5
<b>lived</b> 92:18	210:6 216:18	113:20 118:13	177:11 178:9,11
liver 7:5 87:12,18	218:15 220:21	131:2,3 188:22	198:17 199:1
104:7 178:4	221:7 222:3 231:5	258:2 271:16	249:3
272:14	233:8 235:10	272:1	<b>lower</b> 10:16 20:7
lives 4:14 18:14	254:10,17 256:13	<b>loop</b> 96:22 99:1	134:11 135:13
187:6 189:10	259:6 268:11	109:2 197:20	139:2 144:11,13
192:18	271:6,22 287:22	<b>loops</b> 109:6	144:14
<b>living</b> 127:8	291:4	los 84:19	lowest 42:22 180:7
<b>locate</b> 149:17	<b>looked</b> 37:3 39:3	<b>losing</b> 100:3	<b>lunch</b> 144:17
location 1:12	67:16,20 68:1	loss 44:18 107:4	160:19 162:8
<b>log</b> 112:9,21 113:2	69:6 70:14,20	<b>lot</b> 22:17 23:20	lung 80:17 81:6,15
113:17,20 114:9	71:6 73:21 74:13	24:3 25:18 26:22	lymph 235:22
121:19	74:16,20 77:5	28:12 29:6 37:8	lymphocytes
	80:7 81:21 83:12	37:20 46:7 89:19	238:13

lymphoma 16:8	89:12 92:22 111:6	224:4	<b>md</b> 1:15
227:10 280:19	112:2 129:10,13	marketing 155:11	<b>mdm2</b> 108:6
m	129:15,18,22	marks 279:22	mean 5:22 23:18
	130:1,11,15	280:4,9	44:2 65:12 93:16
<b>m</b> 295:3,16	131:16,20 132:20	marrow 227:19,20	109:9 126:21
<b>m3</b> 7:1 10:9 56:15	134:13 141:7	228:6,15,18	134:21 142:12,16
<b>m7</b> 152:17 158:7	142:4 143:1 144:9	229:18 243:11,13	163:17 167:10
158:19 159:21	162:6 166:19	256:5	169:20 171:21
165:21,21 199:9	167:18 196:10	maryland 294:21	173:4,13 174:18
199:22 262:2	207:12,16 210:8	match 91:18	178:22 181:7
267:8	210:19 211:16,17	matching 84:20	183:22 184:9
magnify 121:20	211:19 212:3,11	material 266:2	185:20,21 187:19
maher's 148:3	212:18 213:18,21	math 138:15	188:9 190:2,3,9
main 124:12	215:14 221:19	142:1	190:10 195:14
267:16	230:15 231:19	mathematical	197:19 198:7,14
mainstream 225:6	232:9,16 234:3,8	123:7 146:7	200:4 207:16
225:12	253:1 263:13	mathematician	210:6 212:18
maintain 105:15	264:20 269:22	136:10	214:13 215:7
major 85:21 123:1	272:2 273:13,20	matter 25:9 40:9	221:12 222:9
148:21 153:13	273:22 274:9	45:21 54:19 55:3	224:3 225:21
249:9	276:4,7,12,15	56:10 100:10	228:13 229:12
majority 15:10	278:20 279:6	104:2,21 110:4,9	230:6 233:12,17
38:20 73:14	283:13,22 285:11	114:6	235:7 236:21
133:12	286:22 288:1	matters 104:8,10	237:16 240:22
makers 124:9	289:5,7,12,22	matthews 69:21	242:10,12 244:19
making 32:9	290:4 292:4,8	<b>mature</b> 266:17	245:11 247:8
103:15 136:20	management 64:7	max 204:15	248:12 249:11,12
137:3 138:17	manufacture	maximum 5:4	252:11 254:9
153:1 181:17	74:15	71:21 138:4,7,10	266:18 270:1
186:9 196:19	manufactured	139:2,6,10,16	273:20 274:15
219:11 233:20	80:20	140:8,21 141:1,4	275:9 281:21
264:1 284:1 <b>male</b> 76:17	manufacturing	163:2 193:16,16	285:11 286:1
males 77:16 80:11	80:13	195:2 196:8	288:2,11,20,21
malformations	manuscripts	mcgovern 2:18	289:14 290:13
87:10	68:13	152:9,10 158:1	291:22 292:12
malignancies	marginal 176:11	162:9 181:7	meaning 34:12
87:15 88:3,5	176:21	182:19 185:6,20	172:9 189:6
mammalian 6:2,6	margins 219:10	201:9 208:15	means 31:6
7:2,4 176:6	219:22	218:17 219:13,19	109:20 111:20
mammary 77:5,12	<b>mark</b> 119:7	220:3 244:16	127:9 151:13
93:15	marker 201:13	247:4 260:2	153:12 197:17
man 41:6,21 42:2	market 26:20	262:10,17 265:20	223:17 229:10
42:7,9 43:1,4	44:14 84:16	274:8 288:18	267:18
46:11 47:13 65:9	205:20 212:4		
+0.11 +7.13 03.9			

measurable 17:4	meeting 3:16 21:5	messages 104:18	microenvironment
measure 128:19	121:2	metabolic 14:20	104:9
129:3 136:17	mega 131:1 132:2	119:3 143:19	microgram 10:11
150:18,20 153:14	132:21,22	190:22 288:20	10:14 180:12,12
229:9 236:14	melanin 150:12,14	metabolism 99:4	180:13
269:4 273:15	melanocyte	254:4	micrograms 56:16
measured 82:7	117:13	metabolite 85:22	158:11,20 159:21
measuring 227:6	melanocytes	209:19 248:22	160:8 190:3
227:7 228:14	116:18	249:9,10,13	200:14 247:9
229:11 247:21	melanoma 95:22	291:19 292:11	262:3
261:4	114:16,17 115:8	metabolites	micronuclei
<b>meats</b> 161:9	115:13,19 116:7,9	244:12,14 256:6	238:16 239:15
mechanicalize	116:10 118:1	metal 248:4,6	240:11
169:5	120:18	metastasis 287:15	micronucleus 6:4
mechanism 54:17	melanomas	287:17	7:5 135:2 205:11
63:8,10 100:5	116:15,16 117:6	method 47:1	227:9,20 229:18
123:10 187:18	117:21	methodologies	230:3 236:5,19
219:11 220:21	member 49:6	153:14	238:13 239:19,21
223:15 234:6,9,19	89:15 152:1,16,17	methods 148:9	240:3,4,10,16
242:15 272:10	263:2	150:16 153:16	241:22 256:4
273:1,3 274:22	<b>members</b> 3:5 38:3	254:10	267:18,19
277:12 278:1	men 84:19	methyl 72:11	micronutrients
286:8,13 287:7	mental 289:4	methylase 283:8	163:17
mechanisms 14:12	mention 291:2	methylating	microphone 66:11
15:8 52:7 117:9	mentioned 18:14	283:13	112:3
151:9,13 153:17	52:5 55:2 65:9,10	methylation 283:9	middle 40:18
223:14 233:7,16	75:21 87:2 100:6	285:14	96:22 99:3 115:22
242:17 285:12	101:1 131:1	metrics 75:15	migraine 61:5
287:22 290:12,14	134:20 136:6	<b>mhra</b> 11:5	<b>mike</b> 66:9
mechanistic	162:10 178:20	<b>mic</b> 246:7	military 133:15
132:12 184:14	189:10 216:13	mice 77:13 116:14	154:10,14
222:4 229:6 285:4	223:13 253:5	116:14,17,18	miller 153:9
286:20	263:2 267:7	118:5 132:21	milligram 67:13
medical 68:10	283:20	137:20 220:18	83:18 86:4 199:10
74:7,16 83:5 87:1	mentioning	230:18 232:5	199:11,19,20
151:16,17 188:5	184:10	291:20 292:1	267:8
medications	merck 250:13	microdose 56:17	milligrams 83:10
282:19	merely 60:8 61:1	199:5 202:7	86:6 138:6,9,10
medicine 40:1	272:22	microdoses 10:10	138:12 159:5
151:16 154:10,12	merit 143:2 144:8	199:7	160:4 190:3
278:18	260:22	microdosing 11:4	million 19:20
meds 41:12	mesothelioma	42:16,21 43:2	157:21 159:1,6
meet 208:11	231:3,5	56:13 160:7 202:5	163:4 200:16
		202:10 279:9	

mind 25:1 34:3	<b>ml</b> 84:9	molecules 277:15	227:9 231:22
123:17 160:22	mls 84:10 87:20	moment 53:13	250:6 259:9,10
161:12 168:19	87:22 88:1,4,6	55:6 166:20	287:17 288:19
176:10 181:16	<b>mode</b> 18:21	monday 1:10	move 20:13,14
190:14 218:18	232:21 233:3,6,9	money 28:12	30:7 48:12 147:13
261:12	273:3 275:12,13	128:5,6,8,10	147:14 162:19
<b>minimal</b> 174:21	275:22	monitor 175:3,11	163:6 174:2
minimis 162:19	<b>model</b> 67:17 93:15	235:5,6 237:2	187:19 189:19
minimize 23:22	106:17 111:8	monitorable	191:1 192:5,6
31:1 51:5	122:5 123:19	174:21	203:6 234:16
minimized 19:16	124:9 125:10	monitoring 6:12	263:19 264:2,6
34:9	134:5,12 137:11	35:8,10 190:9	265:16 277:6,8
<b>minimum</b> 139:13	142:16 260:8	191:8 237:4	293:5
minority 24:7	287:16 288:19	238:11	<b>moved</b> 125:16
minus 135:2	modeled 71:21	monotonic 105:11	155:7
<b>minute</b> 94:10	<b>modeling</b> 67:20,22	126:10	moves 207:17
100:2 102:8	68:5,20 73:3,18	monotonically	<b>moving</b> 18:3,11
128:17 292:21	91:8	125:3	36:18 234:16
minutes 20:12	<b>models</b> 68:14 69:2	<b>month</b> 81:12	243:1 249:15
<b>miriam</b> 2:19 153:6	75:5 77:18 78:6,6	158:20 171:8	<b>muddy</b> 166:17
153:6 169:12	88:14 89:10 123:4	187:3 193:21	<b>multi</b> 33:19 284:4
189:21 191:3	123:7,15 124:1,6	209:22 286:18	multidisciplinary
193:14 197:5	124:7,12,18 149:7	<b>months</b> 71:9 81:2	50:13
205:15 206:4,7	151:14	81:13,14,17,18	multiple 24:6
251:5,9	moderate 42:15	106:14 145:22	98:12 100:15
<b>mirror</b> 217:7	moderator 2:12	248:9 269:8	193:8 195:19
misleading 33:11	<b>modes</b> 233:8	280:22	282:17
113:7 216:1	242:17 261:3	<b>moral</b> 237:11	mutagen 6:15
missed 91:5	modification	<b>morning</b> 3:1 21:1	85:18 160:12
misses 272:17	108:15 280:16	48:21 145:5	171:18 204:3
missing 270:15,17	modifications	146:16 158:3	209:3,13 243:7
mission 263:17	14:17 279:18	193:6	mutagenesis 148:8
mistake 99:13	modulate 280:6	<b>motif</b> 165:15,17	153:20 155:18
misunderstandin	modulations	165:18	mutagenetic 7:10
24:1	283:10	motifs 165:15	73:13 184:17
<b>mitigate</b> 34:13	modulator 109:5	motivated 183:10	mutagenic 1:5 4:5
51:5 52:3	281:7	motivating 116:13	10:6 54:4,16,20
mitigated 191:11	modulators 99:3	motivation 136:13	63:9 76:2 79:20
mitigation 52:1	molecular 94:18	mottled 284:14	88:9,18 90:7
58:5 237:5	148:6 164:17	mounting 220:20	139:16 140:17
mix 135:6,10 mixed 79:3 82:3	171:4 202:7	221:13,18	145:8 146:21
	277:14	mouse 107:20	147:11 149:15,18
82:10 83:6,17	molecule 179:2	122:22 131:1	156:4 159:15
84:3 174:11 187:1		132:2 179:20	166:21 167:14

## [mutagenic - negatives]

174:9 181:10	119:1,20,22		162:5 163:12,13
182:2 187:11	127:20,22 128:1	n	171:18 172:22
189:4 198:16	128:13 146:4	<b>n</b> 2:1	181:13 182:4,16
208:4 213:2 216:4	148:12,18 163:9	<b>n3</b> 51:10	184:6,14 185:10
219:1 222:13,21	163:13,16,18	name 37:17 39:11	185:14 188:4,5,7
227:18 234:18	180:12 191:12	94:17 141:8	190:5 197:7,8
240:9 245:18	198:4 204:5	147:20 149:11	·
249:19 253:2		152:10 153:22	203:2,15,16 205:9
	205:10 206:13,14 214:11 225:20	213:20	220:20 230:11,12 230:14 231:17
262:6,8,14,16 267:7 274:15		named 66:1	
277:16 278:4	226:20 229:1,2,3	naphthylamine	250:8 253:10 256:15 260:7
	229:4,13 235:5	82:2,3,10 90:4,4,6	263:3,19 265:17
mutagenicity 6:20	242:16 250:1,15	90:16,22	· · · · · · · · · · · · · · · · · · ·
7:17 10:22 52:10	250:22 251:6	narrative 182:11	266:19 267:4
52:13,13 53:16,20	252:8,18 253:15	<b>narrowed</b> 179:5,6	269:10 270:1
54:11 56:20 61:14	254:8,15,21 259:8	<b>national</b> 2:16,19	277:12 286:11,11
67:11 70:4,7,9,11	259:14 260:16,20	3:19 152:1 153:11	286:15 287:21
73:17 80:4 141:11	261:4 273:16	156:11,13	needed 17:7 53:4
156:7 162:4	276:18 284:16	nature 101:6	210:14 249:6
167:12 171:5	mutational 175:15	277:4	255:7
184:10,20 192:15	mutations 14:9	navigate 225:10	needle 229:18
206:17,20 207:1	15:11,12,12,16,18	nctr 2:6,7 12:9	needs 23:22 29:8
212:20 213:7	15:19,21 16:1,4,6	60:5 65:2 93:9	142:13 162:17
220:6 230:13,14	16:12,14,14,15,16	147:21 228:21	negative 11:20
230:16 234:17	16:18,21 17:1	<b>nda</b> 208:14 213:9	53:20 54:11 61:15
mutagens 16:13	80:9 83:8 84:2,2,3	224:10	70:1,6,8,10 72:12
70:19 118:1 158:5	95:15 101:16	near 96:8 112:13	76:7,10,14,17,20
159:18 160:18	102:2,21 103:15	nearly 76:10	77:9,13 80:8 84:2
161:17 232:3	103:15 107:15	nebulous 23:17	137:20 172:20
291:11	110:14 112:9,17	necessarily 14:4	173:20 178:22
mutagent 100:9	113:8,9 114:8,22	22:2 27:4 38:2	187:14,16 191:11
<b>mutant</b> 17:14,15	116:16 119:14,19	41:8,15 65:19	209:5,15 225:8
17:16 98:20 102:4	123:13 149:19	176:10,16 179:21	226:1,4 227:19
103:5,18 113:12	150:3,17 164:2	191:18 255:7,17	228:2,7 231:6
mutants 70:16	167:8 173:19	264:5 290:6	240:2,21 243:12
102:6 103:16	180:13 198:2	necessary 22:20	244:11 245:14
263:12	203:11 229:9,11	necrosis 274:17,19	250:3 252:3 256:9
<b>mutate</b> 96:12,13	233:17,18 253:6	need 11:9 23:15	258:8,9 263:1,10
mutation 5:22	284:13	29:14 32:10 43:20	264:11,13,17
14:2 17:3,5,10,10	mutator 16:17	43:21 44:2 45:5	266:6,9 267:17,20
95:19 97:6,21	<b>mute</b> 66:10	45:19 48:4 57:18	268:2,4,15 269:4
98:11 100:5	myeloid 17:7	57:20 58:17 92:7	270:4,6,18 275:14
101:15,21 111:8		98:12 108:13	276:22 285:3
111:11 113:8		133:11 139:4	negatives 103:2
115:1 118:22		153:4 154:8 161:2	248:16 259:13

negligible 20:9	139:22 140:10,13	nothing's 261:20	nuremburg 30:4
neither 168:6	143:6	notice 112:1	30:12,12 37:6
235:16 294:11	nitrite 137:13	noticed 121:1	nutrition 162:8
295:8	138:5,8,11 139:17	<b>notion</b> 109:2	0
neonatal 118:7,7	140:3,3 141:2	115:17 116:10,20	
neonate 77:17	142:16 143:20	117:14	oak 1:12
neoplastic 280:19	144:4	<b>novel</b> 41:16	object 255:2
280:20 286:17	nitro 72:8,21	november 1:10	objective 150:18
neurodevelopm	nitrosamines 76:4	<b>ntp</b> 69:7 71:19	objectives 22:3
288:3 289:14	158:14	156:13 180:6	obligated 47:19
neurology 154:20	nitrosourea 76:4	228:5 230:22	obligation 41:1
neuropsych	<b>nme</b> 166:1 171:3	231:1 232:12	235:18
154:19	nobody's 273:8	291:9	observation 125:4
<b>neutral</b> 15:11 61:3	<b>non</b> 5:15,19 16:8	nuanced 31:9	133:10
never 18:20 97:8	60:8,17 69:13	nuclear 280:3	<b>observed</b> 78:3
122:17 166:7	70:19 90:8 101:8	number 5:9 8:5,9	79:11 82:5 84:11
170:10,16 194:10	110:15 112:21	8:15,22 9:15	88:12 134:11
212:7 279:14	113:20 121:17	10:10 11:16 12:13	277:16 280:20
281:7 285:8	171:9 175:11	16:2 18:16 34:18	obsession 95:11
nevertheless	188:8 242:16	37:1 44:21 58:7	<b>obtained</b> 31:16,16
126:7	255:19,21 259:1,2	66:15 68:1 75:15	<b>obvious</b> 95:3,4,6
new 1:14 4:8 5:14	259:21 261:2	75:15,17 76:21,22	98:5 127:20
9:3,6 15:20 50:6,8	271:14 272:4,5,13	79:6 82:5,6 86:9	<b>obviously</b> 31:7
52:20 59:11 60:10	272:21 275:13	86:21 92:20 95:2	88:21 93:14
64:21 135:21	276:19,20 290:11	103:16 107:1	132:19 154:1
151:19 152:11	290:20,21	120:13 121:7	159:22 160:21
164:17 169:16	noninvasiveness	136:12 139:8,13	185:7 186:19
171:3 190:20	240:19	140:18 145:8	198:19
202:6 211:10	nonsignificant	146:14 177:19	occupational
226:20 262:8,15	85:9	178:10 179:17	68:10 74:10 80:6
269:11 278:13	<b>normal</b> 16:19	181:14 186:7	81:5
280:16 287:7	97:22 98:14 102:3	227:13 228:4,22	occupationally
news 117:18	177:17,22 212:14	229:1 237:22	74:6
nice 23:7 40:2	normally 9:5	258:17 264:9	occur 14:11 16:16
132:12 135:15	notable 55:7	273:2 274:10	23:11 124:16
235:3	<b>notary</b> 1:16 294:2	281:3	162:16 232:22
niehs 156:2,6,18	294:20	numbers 86:17	occurred 177:10
238:10	<b>note</b> 3:4 4:15 8:7	97:13 122:15	occurring 31:11
<b>night</b> 13:6	9:2 10:9,18 12:22	133:2,5 135:6	occurs 110:4 odd 271:7
<b>nih</b> 2:19	14:1 18:10 59:15	162:9 177:21	
ninety 58:9	83:2 91:9	239:4	oecd 51:9 148:16
<b>nitrate</b> 137:14,18	<b>noted</b> 11:1 16:22	numerous 75:3	148:19 157:6
137:22 138:6,14	<b>notes</b> 138:3	77:18	offer 23:3 27:2
138:16,20 139:11			40:4 276:16

[offering - paid] Page 40

offering 22:7	200:5 201:18	opinions 21:21 24:10 96:3 opportunity 30:22 212:4 opposed 157:22 223:16 227:12 235:5 266:9 267:1 opposite 90:9 optimistic 33:11 option 52:8,12,13	outline 22:12
offers 26:15 126:9	204:19 206:7,19		95:14
office 2:5 3:20	208:8 222:7 243:7		outlined 25:15
12:5 48:16 59:11	243:17 244:5,9		38:19
59:11 64:18,21	245:8 247:2 248:7		outnumber 16:15
126:20 155:8	250:2 257:19		outside 6:21 11:4
216:10 273:6	262:12 264:2		127:10 213:14
officer 294:3	267:3,15 270:16		281:19
officially 121:13	276:15 279:8,16		overall 80:21
offspring 288:10	283:15,17 291:16		86:13 126:8
288:12	292:20	52:16 56:15	166:12 216:18
oftentimes 60:10	old 89:18 211:11	256:20	218:20 269:14
ogd 216:10	once 34:1 75:12	options 48:8	273:10
<b>oh</b> 40:7 42:19	78:11 87:6 106:11	order 66:20 75:7	overarching 4:3
106:22 111:7,9	119:6 150:21	75:11 119:1 150:1	9:19
112:15,22 113:2,6	172:2 193:15,20	162:1 175:15	overcome 289:20
116:2 119:2 121:4	198:7 199:11	192:11 197:12	overlap 233:19
153:19 177:9	266:19	203:15 216:8,16	253:7,8
191:3 194:19	oncogene 15:13	ordinary 111:12	overlapping 42:10
213:21 241:17	16:8,9	113:19	175:16,21 186:11
251:7 272:16	oncogenes 15:18	organisms 155:22	212:9
279:9 292:7,10	15:22	organizations	overlooked 79:16
<b>okay</b> 45:7 47:11	oncology 3:21	209:10	overly 33:11
48:13 50:4 51:13	6:21 195:21 288:1	organizer 145:4	overside 166:8
54:12 56:4 57:4,8	one's 135:4	organizers 48:22	oversee 186:18
57:12 58:17 61:19	ones 24:14 32:17	organizing 49:1	overshoot 106:9
66:8 94:13 100:15	37:3 98:3 101:9	original 57:3	oversight 155:10
108:3 111:7	101:10 111:1	58:14 136:13	overview 14:13
112:16 116:21	123:13 124:13	224:10 266:2	22:3,5 91:11
117:1,14 118:5	149:18 154:22	originally 136:10	p 2:1,1 101:18
121:17 125:5	282:10	271:20	
127:18 129:6	ongoing 67:5 89:6	origins 122:6	
131:16,19 135:1 135:11,20 142:10 142:11 144:21 147:9,14 149:10	online 20:15 21:8 243:15 262:2 291:17 open 3:15 46:7	ortho 72:8,21 otc 74:17 83:6 ought 31:17 36:4 237:5 239:2	180:9 <b>p.m.</b> 144:19 293:8 <b>p53</b> 84:3 98:2
157:11 161:19 166:10 167:22 172:19 173:3	90:17 204:12 218:2,9,13 273:5 287:19	ounce 138:7 outcome 19:1 30:16 187:10	102:10 108:5 109:2,3 paces 180:10 package 5:16
176:5 177:11 182:13,14 183:7 183:12 185:11 188:20 191:10 198:10 199:1,17	operating 105:17 126:13 127:10 operational 127:8 opinion 35:5 40:9 45:16 202:22	294:16 295:13 outcomes 69:22 outgrowth 102:6 outlier 276:19	218:20 <b>page</b> 166:17 <b>paid</b> 28:12,17 153:10,12,22

[paint - percent] Page 41

<b>paint</b> 49:10,21	28:14 32:9 48:2	paternalism 29:14	paucity 145:18
pair 17:15 280:2	49:10 69:3 73:10	29:22	paul 189:14
panel 1:9 3:13,14	73:21 81:20 83:3	paternalistic 40:3	197:14,14 199:9
9:18 13:7,8,12	88:11 95:9 105:8	path 172:8,9	200:1,4,7 201:19
49:3 55:1,16	110:13,13 121:13	277:10	paying 33:13
59:20 62:1,12	121:20 152:13,20	pathologists	payment 28:10
66:6 89:15 120:2	153:13 159:10,14	231:17	33:16
138:1 141:5	168:16,16 176:13	paths 14:12	<b>payments</b> 33:8,19
144:18 146:18	182:10 185:16	pathway 119:3	pediatric 21:18,19
147:17 177:4	192:8 205:4,4	176:13 191:15	26:5 154:5
186:6 189:18	206:14 208:1,16	pathways 119:6	pediatrics 154:7
192:8 205:2	212:21 214:8	<b>patient</b> 5:5 47:18	pelvis 85:10
218:10 223:5	218:20 246:4,8,13	53:12 56:2 115:5	pending 187:3
235:2 263:2 265:6	249:5 255:13	115:7 168:2,8	pendulum 40:17
267:16 277:6	259:19 262:11	169:4 178:2	<b>people</b> 21:7,8 23:8
290:7	270:20 274:9	181:22 182:8	24:22 26:21 28:15
panel's 52:3 64:13	276:21 279:9	183:8,9 185:13	31:17,20 32:8,12
panelists 2:11	partial 106:6	195:22 235:19	34:13 35:16,17,21
230:16 293:1	182:14	240:16 242:1	35:22 36:11,15
paper 39:20 86:5	participants 64:15	281:13	41:3,16 42:22
93:10 101:2,7	participate 31:1	<b>patients</b> 4:18 5:9	45:13,22 46:1,20
112:19 114:20	participating	5:11 6:18 12:7	47:3 48:1 54:14
117:4 118:16	23:16 37:12	44:2 47:14 48:20	66:10 84:6 91:21
119:10 121:13	151:19	51:21 58:3,20	94:14,15 97:2
122:7 138:18	particle 75:1	59:19 64:7,10	98:13 99:22
156:4 169:18	particular 26:12	65:16 80:3 86:5	106:21 116:13
170:3 263:13	105:15 121:9	130:8 157:22	121:15 122:2
269:2 287:16	132:15 135:8	158:6 161:13	125:19 129:20
paperback 157:1	143:18 158:5	162:2 167:22	150:21 152:13
papers 91:13	176:13 179:2	168:5 195:6,11,21	160:20 161:19
106:11 112:10	208:19 223:12	196:12,19 208:5	174:22 175:17,18
156:20 268:13	229:14 231:8	209:20 212:5,12	176:3 179:11
paradigm 187:9	232:1 242:9,9	212:15 216:15	195:3 203:17
212:2	254:15 274:2	220:10,11 235:16	210:3 231:10
parallel 132:3	280:5 285:13	236:11 238:12	239:1,2 240:6,13
151:22	particularly 67:2	242:10 243:20	243:15 258:6
parameters	77:10 97:11	245:20 281:5	264:14 275:10
153:16	123:22 247:15	283:2,2 287:9	288:21
paramount 63:22	284:3	<b>patricia</b> 250:12,12	people's 33:5
paraphrase 28:3	parties 294:12,15	251:1,14,19,22	40:19
<b>parent</b> 244:11	295:9,12	252:6,12 255:4,21	<b>peptic</b> 37:19
<b>paris</b> 157:6	parts 24:19 138:5	256:19 259:16	percent 18:15
part 24:14,14,15	passenger 15:12	pattern 88:2	24:10 58:9 70:15
24:17,18 27:21	16:14,18	180:10	70:18 71:2,22

[percent - point] Page 42

93:13 109:21	pesticide 273:6	191:20,20 192:22	263:2 276:17
115:1,3,4,6,6	pesticides 152:4	193:16 195:11,17	pigmentosum
119:2 128:7,9,10	peter 92:4,5	196:8 215:12	115:5
179:19 239:7	petibone 2:6 12:8	246:12 265:9,15	pills 146:5
244:14,14,15	12:10,12 14:6	265:15,19 266:16	pin 23:18
249:13 270:3,9,15	66:13,17,19 90:10	phenacetin 74:17	pink 135:10,11
270:17 271:1,1,7	90:15 91:9 93:3	83:5,9,13 84:14	pivotal 57:12
271:9,14,16,18	93:20 145:20	84:17,21 85:1,3,5	pk 161:22 162:1
291:7	pharm 3:22 4:1	85:13	193:12 194:11
percentage 76:17	48:17 49:7 50:14	phenobarbital	195:2,15,17 196:7
77:2,8,13 82:4	53:6,14,14,22	181:9	197:7,9 199:4,6
110:1,2	62:15	phenomenon	203:19
percentages	pharma 11:1	126:4	pkc 267:7
125:19 127:19	pharmaceutical	phenotype 16:17	place 39:6 53:14
perfect 170:2	51:19	17:11 97:7 118:7	102:3 139:8 141:8
performed 69:16	pharmacokinetic	118:8	159:8 166:7 215:2
period 4:13,14 8:8	8:1 18:12 56:4,8	phenotypes 17:12	219:5 293:6
18:14,18 32:11	57:12	99:16	<b>plan</b> 29:4 237:5
83:21 177:12	pharmacokinetics	philadelphia 17:6	253:22 293:6
189:9 192:13	5:2	phones 66:11	<b>plans</b> 202:1
197:8 209:20	pharmacologic	<b>photo</b> 149:15	plasticity 280:7
periods 3:15	66:2 202:8	150:17	plateaus 261:14
peripheral 236:4	pharmacologica	<b>phrase</b> 23:17 31:9	<b>plates</b> 263:12
240:11	10:12,15,16	177:19	<b>play</b> 106:5 129:2
permanent 29:12	pharmacology	physician 39:22	<b>played</b> 145:11
permissible 10:10	65:15 145:2	154:13	playing 155:21
289:17	152:11 219:4	physicist 122:13	<b>plays</b> 102:7
<b>permit</b> 133:5	pharmacovigila	physiological 19:3	<b>please</b> 44:20 47:5
200:14	155:12	19:5	66:10 192:19
permutation	<b>phase</b> 4:8,19,19,20	physiology 100:18	205:2
130:5	6:3,5 9:7 12:4	104:5 118:14,14	<b>plot</b> 112:9,22
persistence 92:21	20:22 21:15 22:7	pick 252:4 286:12	113:1,2,17,19
164:9 167:7	22:14,16,17,17,18	288:9,10,15	<b>plots</b> 111:8
person 105:5	22:22 23:3 29:2,8	<b>picked</b> 55:7 156:5	<b>plotted</b> 111:8,11
129:16 138:9	38:16 42:4 45:13	<b>picture</b> 49:11 98:1	plotting 114:9
169:6,8,8 170:13	45:13,20 46:1,2,5	187:1	<b>plus</b> 117:6 132:9
170:13 177:4	49:11,21 53:8	<b>piece</b> 209:16	148:5 172:1 204:6
182:12	62:15 65:17 67:3	226:21	<b>point</b> 22:13,19
<b>personal</b> 5:8 161:8	67:15 68:18 104:1	<b>pig</b> 148:18 227:15	23:2 24:4 25:4
personally 168:10	145:17 151:19	236:15 250:1,16	34:1 53:22 99:11
persons 25:17	163:7 168:20,21	250:18 251:12,17	105:17 107:18
26:1	172:1 181:18,22	252:3,7,21 253:12	141:9 142:9 159:7
perspective 49:9	182:5,7,22 183:4	254:22 255:11,13	162:2,3 164:18
95:12 162:11	183:6,9 189:22	255:16 256:3,9,14	174:10 177:8,16

Meeting

November 4, 2019

[point - potency] Page 43

1060107.20	1 101.0	120 10 21 140 20	270 1 2 5 11 12
186:8 187:20	polycyclic 101:9	139:18,21 140:20	270:1,3,5,11,13
193:3 198:13	151:11	147:6 152:22	270:18,19 273:18
200:18 201:6,10	polymorphisms	157:17 161:2	274:5,6,7 278:14
201:12 202:11	118:6	163:21 165:2	278:21 281:22
206:17 208:3	<b>poor</b> 152:8	166:5 171:22	284:21 291:6,11
210:20 220:8	popping 225:11	172:5,12,21	291:20 292:3,4
222:2 223:4	population 15:15	178:16 179:3,4,7	positives 61:7
224:15 228:13	19:20 25:8,9,10	179:17,22,22,22	176:22 225:16,17
229:10 230:7,9,11	27:17,18 30:11	180:6,17,18,22	239:8 241:20
230:13 231:17	36:2,6 38:22	182:17 183:4,17	257:22 258:10
236:18 237:1	45:22 81:1 120:14	184:21 186:22	259:12,13 261:2
246:11 247:9	123:3 238:14	187:1,10 188:14	264:10,14 271:3
250:1,16,22 251:6	239:6 271:2,2,10	188:15 191:10	271:21 284:1
251:10,17 252:20	271:22	200:12 201:17	291:9
253:12 254:20	populations 20:5	202:3,17 203:3	positivity 165:16
255:16 259:7	20:10 26:4 34:11	205:8,9 206:9	possess 10:21
260:16 261:6	35:13 241:19	209:2,13,14,18	possibility 15:2
264:21 269:5	portrait 49:21	214:21 215:4,19	31:2
270:15 275:1,2	<b>pose</b> 50:2 54:22	218:19,19,22	possible 13:4
285:13 287:15	55:16 62:18 63:8	219:1,7,8,12,15	29:16 35:18 38:21
290:19	71:16 73:4,19	219:15 220:7,12	42:21,22 147:17
<b>pointed</b> 198:15	<b>posing</b> 65:1 217:2	220:14,15,18	153:4 192:21
200:21 271:19	position 133:15	221:18 222:3	256:3 265:15
<b>points</b> 49:10 136:9	151:4	224:5,6 225:7,10	possibly 39:1
146:15 157:7	<b>positive</b> 1:5 4:4	225:19 226:1,4,10	158:18 219:2
221:9 222:21	6:20,20 7:2,13,17	226:11 227:3,4,8	<b>post</b> 95:7 96:7
252:18 268:20,22	8:14,22 9:12,16	227:9,11,22 228:1	114:18 128:7
277:9	9:20 10:2,4,8,21	228:3 229:19	155:11 212:4
<b>poirier</b> 2:19 153:6	11:3,8,14,19,21	230:19 231:6,14	216:10
153:7 169:12	12:14 13:16,17,18	235:17,17 239:10	postgraduate
189:21 191:3	14:2,3,4 51:19	239:17 240:1,15	151:5
193:14 197:5	53:19 54:11 60:1	240:22 241:22	postpone 134:16
205:15 206:4,7	60:9,10,13,18	243:21 244:12,20	potencies 72:17
251:5,9	61:2,13,17,18	245:1,3,11,12,13	73:16 180:2
<b>poison</b> 190:2	62:20 63:7 67:2	245:16,18 247:15	<b>potency</b> 136:19
policeman 39:13	68:18,21 69:1,18	248:22 249:13,17	140:2,4,6,12,17
policies 28:10	70:1,4,6,9,21 71:4	249:19 250:2,11	141:10,15,17,18
<b>policy</b> 43:21	72:7,8,10,12,21	251:12,19 258:7	144:6 158:13
106:19 155:3	73:12,16 74:1	258:21 259:5	166:21,22 167:3,6
210:8,12 244:3	76:6,8,13,16,19	260:4 262:5,7,15	167:13 176:8
polyaromatic 18:7	77:8,10,11,18,20	263:11,20 264:13	180:12 200:14,17
76:3	80:4,9 83:7,8 84:1	264:18 266:6,9	201:14 248:2
polyclonal 17:19	85:18,19 135:1	267:10,22 268:6	262:19
104:19	136:13 137:15,17	268:12,15 269:8	

<b>potent</b> 14:1 90:5	<b>predict</b> 69:5,9,13	47:3 49:8,14,20	prevent 126:22
201:8 206:10	69:14 70:13 71:1	52:11 66:17 67:18	previous 39:5
241:1,2 262:6,13	71:15 256:1	103:21 122:8	previously 38:8
266:3 285:18	predictability	137:16 161:8	87:2
286:12,13	70:16 248:2	213:13 217:17	<b>primarily</b> 4:20 6:5
<b>potential</b> 7:10,11	predicted 103:8	242:11 249:9	6:5,8 22:8 24:13
8:21 9:10 11:11	270:11	266:3	81:9
13:20 14:12 18:22	predicting 115:15	presentation	<b>primary</b> 53:9 54:9
19:7 29:11 34:9	167:13	12:18 13:2 20:14	54:13 154:13,18
35:10,20 54:5	prediction 70:15	42:17 48:18	priming 282:15
66:16 69:15	146:8 270:10	133:18 136:9	principal 75:19
139:17 149:3	277:1	137:9 146:6	76:15 77:4 78:5
152:3 156:7	predictive 70:14	173:17 243:19	88:14 127:8
168:17 175:7,14	179:19 233:21	245:10	principally 9:9
193:5 209:8 245:4	239:5 247:15	presentations 1:9	13:15
245:20,20 262:8	predictivity 228:3	12:1 178:8 271:21	principals 22:6
262:16 266:17	291:6	presented 62:15	principle 135:8
potentially 4:17	predictor 201:11	67:4 145:19	principles 25:16
5:3 10:13 14:21	predictors 176:8	185:21 245:10	58:12 263:6
15:5,6 18:1 29:13	predisposes	presenters 2:2	<b>prior</b> 6:3 49:11
35:21 36:6 38:17	115:19	presenting 156:21	52:16 53:7 54:5
41:17 171:11	preexisting	156:22	57:8,10 59:6 63:6
184:2 219:5	229:14	<b>presents</b> 39:19,20	64:3 209:21
234:13 289:19	preferable 55:10	52:5	217:15 249:20
<b>pound</b> 138:9	preferably 157:20	preservative	294:6
<b>power</b> 96:17	preference 257:5	138:1	priorities 175:9
powerful 65:4	pregnant 289:19	president 153:19	privacy 26:6
278:11	premising 137:6	pressure 102:6	40:14
practical 130:4	preparation 39:4	pressures 101:14	private 2:21
187:20 202:11	54:14,22	101:17	154:15
210:16 260:3	prepare 66:20	presumably 182:5	<b>probability</b> 18:19
practice 10:2	prepared 37:16	184:3 197:16	268:16,17
149:3 154:14,15	146:14 295:4	204:4 223:9	probably 17:7
210:8	preparing 38:6	226:18 249:8	24:7 38:5 45:15
<b>pre</b> 16:11 23:12	prescribed 83:18	presumed 6:16	46:6 94:19 95:6
181:20 280:20	prescription 44:4	<b>pretty</b> 23:2 41:7	100:8,9 106:17,18
286:9,17	60:7 74:19	65:4,4 98:22	137:22 154:12
precancer 97:10	prescriptions	100:21 128:15	168:1 170:13
preceded 42:17	58:10	174:8 225:2	174:16 181:19
precedent 140:19	presence 83:7	239:16,17 241:21	188:1 190:4,14
precise 238:15	102:10 159:12	242:2 243:3 254:9	191:14 199:3
preclinical 244:7	274:16	prevalence 17:16	201:7,7 224:8,20
precursor 269:7	present 3:6,9	128:13	237:20 247:8
	12:10 21:21 30:2		260:10,14 266:4

	T		
275:17 289:18	205:18 287:5	promotors 104:6	275:20 277:10
problem 61:17	profession 39:15	<b>proper</b> 163:18	provided 33:9
89:17 109:8	professions 39:13	properly 261:7	62:14 267:4
142:17 237:15	professor 151:2	<b>proportion</b> 78:1	<b>provides</b> 7:1 30:20
243:9,12 252:2	professors 95:7	271:21	249:16
272:2 273:13	proficiently	proportionally	providing 11:15
274:10 285:16	127:16	96:14	12:1 49:3 55:17
problematic	<b>profile</b> 45:18 46:8	proposal 144:15	55:18 228:10
225:11 233:12	48:3 132:6 174:11	proposed 4:18	prudence 139:12
<b>problems</b> 182:6,7	187:2 216:18	11:18 38:9 117:11	pseudo 114:8
237:8,11	278:16	182:13	<b>psych</b> 154:20
procarbazine 79:4	profiles 225:3	proposing 65:19	psychiatry 145:3
proceed 10:5	program 22:15	144:10,14	288:2
203:3 250:4	57:7,13 59:7	proprietary 3:6	<b>public</b> 1:16 3:4,5
proceeding 293:9	155:12,13 156:3,7	<b>prospect</b> 22:7 30:8	3:12,16 26:15
295:5	156:11,13,20	100:1	40:13 294:2,20
proceedings 294:4	217:15 260:6	prospective 30:20	publications
294:5,7,9 295:7	programs 123:22	35:17	16:21 157:1 228:4
<b>process</b> 5:11 14:15	155:14 160:1	<b>prostate</b> 86:15,16	271:7,8
23:22 29:6 31:21	273:7	86:20	published 8:18
32:9 33:13 34:17	prohaska 2:4,20	<b>protect</b> 24:22 58:5	18:5 42:7,9 57:6
91:12 109:12	12:2 20:19 21:1	212:11	122:17 156:4
146:3 208:6,9	37:13 38:11 40:7	protected 34:12	263:13 268:13
212:14	40:11 41:8 42:1,5	protecting 127:2	publishing 156:20
processes 19:3	42:8,13 43:5 44:6	138:19	pubmed 67:7
280:12	45:7 46:14 47:22	protection 12:3	<b>pull</b> 269:11
<b>produce</b> 173:14	48:13 146:9	20:21 21:14 22:4	<b>pulled</b> 34:6 257:14
231:4 232:3,4	153:21,22 168:14	24:12,18,20 25:3	<b>pulling</b> 123:22
produced 72:11	236:22 238:18	protections 26:3	<b>pure</b> 90:7
73:6 77:15 79:1,2	244:1,5	155:2	<b>purely</b> 36:2 168:7
79:6 93:15 170:6	prohaska's	protective 116:6	purification 74:15
274:16	243:19	161:12 247:10	80:20
produces 17:10	proliferate 117:13	proteins 279:19	purifying 120:16
<b>product</b> 11:8,12	proliferation	280:4	266:2
23:4,6 26:19 29:5	15:15 17:14 104:6	protocol 138:22	purpose 201:4
53:1 55:21,22	prolonged 79:14	139:1 274:2	purposes 51:2
56:2 57:11 59:1	promise 27:2	protocols 32:7	151:21
60:6 116:3 148:2	41:17	35:3 51:7,9 139:6	pursue 155:4
203:21 216:11	promoters 285:8	<b>provide</b> 11:9 14:6	166:20
217:13,14,18	<b>promotion</b> 91:7	14:10 22:3 51:10	<b>pursued</b> 249:2,6
<b>production</b> 74:12	93:22 100:6,7	52:9,12 69:4	249:14
products 49:16	229:14	88:16,22 136:11	<b>push</b> 160:3 167:18
74:12 149:15	promotor 100:13	182:16 196:3	285:6
150:17 154:21		265:18 266:22	

pushback 237:15	122:4 127:5,18	48:11 49:5 50:2	ranch 148:4
put 36:8 37:1	128:12,22 129:4	53:10 54:3,14,15	randomly 91:16
52:15 53:13 58:13	132:15 134:8	57:21 62:12,18	149:20
61:10 95:12 147:4	136:15,17 139:14	63:2 65:1,6 89:11	range 4:10 5:18
		89:13 94:11 129:7	67:13 74:11 84:9
159:22 172:2,14	142:8 147:2,5,13		
176:10 178:7	147:15 157:15	129:9 142:3	105:17 126:13
189:17 258:3,8	164:13 167:1,21	146:14 147:2	127:10,18 130:21
<b>putting</b> 104:20	169:13 170:18	159:19 189:20	133:10 204:18
162:11 276:9	173:4 174:1,6	194:17,17 217:3	275:7
pylori 37:18	177:18 178:13	217:12 218:4,4	ranges 222:4
pyrene 79:3	181:4,11 182:4	235:1 243:16	rapid 5:6
q	184:21 185:5,17	262:2 266:21	rapidly 87:21
<b>q&amp;as</b> 21:22	186:21 187:22	291:3,17 292:20	rare 171:22
qsar 165:22 167:2	188:16,19 189:3	<b>quick</b> 63:21 65:8	rarely 56:14
199:9,18	191:2,13 192:5,7	quicker 259:11	<b>rarity</b> 196:1
qualification 27:6	194:16 202:14	quickest 265:1	rat 77:11 107:5
158:12	203:7,20 205:16	quickly 27:21	132:22 134:9
qualified 294:8	206:9 213:2,5	62:12 277:8	184:12,13 220:17
qualitatively 78:7	215:2 217:21	<b>quite</b> 18:3,8 44:13	230:18 231:22
qualities 272:11	218:1,3 219:14	92:2 106:7 112:13	259:9
quality 161:18	222:10 223:21	117:12 155:14	rate 71:14 73:9
273:9	226:15 230:12,15	156:20 165:12	84:8 92:12 103:1
quandary 54:1	230:22 234:16,17	227:18 232:21	128:5,13 146:5
quantify 29:17	236:7,10,12 238:8	r	263:1 267:17
30:9 39:1 276:2	241:7,8 242:13	r 2:1 121:18,18	rational 285:21
quantitatively	243:15,18 244:2	r1 11:13 50:18	rationale 36:5
88:15	244:19 245:9	51:10 52:5,17	265:22
quantity 143:4	246:13,16 247:3	53:7	rats 77:8 137:20
quarter 186:13	247:13 248:5	<b>r2</b> 7:1 10:9 51:10	223:16 231:12
queried 67:10	249:16 250:13	56:15	232:5 233:1,3
question 3:15 4:3	253:1,8 257:5	ra 179:20	rat's 233:6
8:11 9:19 26:18	258:22 263:18	radiated 119:22	<b>ray</b> 143:15
29:2 35:15 37:14	264:3,6 265:3,7	radiating 112:7	rays 110:15
38:6,8 39:9,17	266:22 267:6	radiation 127:1	<b>reach</b> 9:22 170:14
· · · · · · · · · · · · · · · · · · ·	277:6,7,18 279:12	151:21	reached 136:10
41:7,21 43:18 44:7,8,21 46:10	281:10 282:2,10	radioactive	reaching 59:12
46:16 48:1 51:13	284:5 286:10	155:10	136:14
	287:1 289:13		react 15:4
51:14,17 54:1,7	291:17	rail 190:9	reactive 1:6 4:5
56:10 58:3 61:12	questionable	railroad 129:1	8:12 13:14,20
62:1 63:4,8,13	153:1	raise 24:17 223:7	14:21 67:2 68:7,8
64:6 65:8,19 66:1	questions 3:14,17	266:4	68:11 78:4 79:20
66:4,7 68:16	8:4 9:15 20:12,13	raised 9:15 66:6	145:9 146:21
94:14 103:21	20:15 36:21 43:10	134:19	147:6 152:18
107:10 121:4			

157:18 158:7	253:13 258:16	receptor 84:1	reevaluate 213:7
160:14,15,16	272:3 273:4,4,9	272:12	reevaluating
189:4 256:6	284:4 286:11	recognize 280:4	213:6
277:11	292:21	recognized 174:9	reexamine 288:19
reactivity 7:8	reappraise 281:11	recombination	refer 71:8
227:7,7 278:13,15	rearrangement	273:16	reference 55:22
<b>read</b> 31:3 93:10	17:6	recommend 65:13	56:2,6 57:11
243:19 278:10,19	rearrangements	172:13 252:20	58:18 59:1 134:20
readers 280:5	16:7	recommendation	138:18 203:8
reading 121:8	reason 27:12 31:3	249:17 253:22	210:21 216:19
287:16	39:14 43:6 95:9	288:17	226:19
ready 13:6 18:20	105:10 106:1	recommendations	referenced 145:15
real 39:8 61:22	126:9,14 127:3	21:11 25:7 64:15	referred 86:4
105:9 114:4 184:8	132:12 142:21	254:2 293:5	262:3
184:8 215:11	156:5 180:19	recommending	refers 74:8
233:7 267:5 287:2	181:5 191:14	65:13	refinement 163:1
reality 172:3	199:4,22 202:9	recommends	reflect 22:2
really 27:11 35:15	212:9 214:3 226:7	138:10	reflected 114:4
41:10 43:3 51:21	229:12 238:21	reconstruct 89:9	reflux 61:5
53:6 57:10 90:7	241:20 277:2,3	91:13 92:6	regard 58:21
92:5 101:2,7	reasonable 26:11	reconstructing	94:22 99:16
103:19 106:14	27:19 28:4 34:9	91:20	regarding 9:15
108:7 110:9	36:11,18 43:16	<b>record</b> 294:10	62:19 63:8 139:5
111:18 112:4,12	44:15 47:6 56:16	295:6	158:3 160:7
113:21,22 119:12	116:22 117:12	recorded 3:8	182:17 230:16
121:22 122:3	126:3 200:15	294:7	245:4
129:17,18 130:17	201:13 218:8	recording 294:9	regardless 199:1
130:20 131:4	237:3 254:14	295:5	248:11
133:19 134:18	reasonableness	recover 198:10,11	
136:3 138:15	40:4	recovery 18:21	regions 100:4
142:2,17 157:15	reasonably 174:16	recruit 5:12	280:6
159:19 161:17	reasons 36:3	recruited 156:1	registry 80:12
170:10 171:12	100:15 106:13	recruitment 27:19	81:8 84:20
174:17 176:9	175:22 176:15	recurrent 114:21	regular 118:20
178:18 180:17	196:10 285:8	118:22	regulated 108:22
181:5,17 182:6	reassert 175:14	<b>red</b> 135:9	109:1
186:5,8 187:17	reassess 223:18	<b>reduce</b> 196:18	regulation 65:15
193:6 195:19,21	reassure 178:10	268:5	67:22 206:1
196:20 199:16	recalled 216:7	reduced 123:13	regulations 24:12
201:18 210:10	receive 5:15 6:4	294:7	25:3,14,20 31:15
213:7 218:9,11	9:5 62:5 204:7	reduces 196:14	183:15
223:2 237:12	205:7	reducing 130:16	regulatory 42:18
238:1 241:7 243:1	received 4:16 13:5	reduction 5:5	148:1 149:5 158:4
248:4,6 252:3	86:6 214:9	289:3	

reiterated 117:8	reliant 9:9	264:4,10,13,19	research 2:17 3:20
<b>relate</b> 43:12	<b>rely</b> 57:4 265:14	269:7	21:12,17,19 23:16
233:20	265:15 266:9	repeated 19:7	25:3 26:5,15 27:7
<b>related</b> 28:9 67:11	relying 53:7	179:4	28:1,13 29:1 30:7
118:12 154:22	145:14 165:2	repeating 264:12	30:11 31:8 32:7
157:9 186:19	remain 53:10	264:22,22	33:21 36:19 37:11
191:14 219:1	57:21	repetitive 147:3	38:3 39:2,18
291:19 294:12	remainder 87:7	replication 16:16	40:21 46:11 48:10
295:8	remaining 63:3	92:12 99:8 111:17	91:15 147:22
relates 189:3	90:19,20	111:18,19 112:7	148:21 151:6,7,8
202:14 291:3	remains 191:13	114:18	151:18 154:2,3,6
relating 62:7	remember 22:19	replied 10:3	154:7 155:11
134:21 204:9	167:10 186:15	report 25:15	156:2 162:13
218:1 222:18	258:22 270:2	42:14	researcher 37:7
249:16	285:11 287:16	reported 1:16	38:2
relation 87:13	remind 36:8	81:7,7,16 91:18	resistance 110:18
relationship 26:11	239:19	93:6	resnik 39:12
26:14 28:4 43:15	reminding 285:5	represent 185:12	resolution 263:19
88:17 187:10	reminds 31:12	186:6,7 262:8,15	resolve 57:21
291:13	remodel 280:5	262:18	264:3,6
relative 240:19	remove 15:2 60:16	representatives	resonate 143:16
248:2 253:14	99:6 241:2	30:21,22	resource 39:11
294:14 295:11	removed 82:19	represented	60:6
relatively 56:14	90:19	176:14	resources 37:2
241:3	removing 89:22	repressing 280:9	respect 25:16 26:1
release 275:15	renal 84:17 85:10	<b>repro</b> 288:13	36:14 40:22
released 187:4	175:2	reproducible	236:20 262:2
relevance 11:12	<b>repair</b> 15:2,9	181:8	respected 32:6
231:7 245:21	16:16 19:2,4 99:6	reproductive	34:20
246:10	108:15 109:14,14	209:11	respecting 40:19
<b>relevant</b> 68:4 89:7	109:16 111:21	requested 250:7	respects 36:18
89:21 96:1 121:3	114:18 115:4	require 8:1 137:2	respiratory 77:6
146:13 219:12	122:13 125:5	required 6:17	respond 141:7
223:21,22 229:10	130:15 177:13	24:4 32:16 45:15	169:10
229:15 230:19	191:15 261:18	119:8	responds 258:15
231:8,20 232:2,4	repairable 110:15	requirement	259:15
232:5 234:5,13	repaired 108:9	103:6	response 25:21
248:2 265:18	114:5 127:16	requirements 12:3	41:10 72:12,17,20
284:20	reparability 164:7	20:21 21:14 22:4	73:7,16 79:1
reliable 71:3	reparable 127:15	26:2 30:17	86:12,14,16 87:18
73:12 237:4	164:4 165:17	requires 16:20	90:13,16 105:3,4
238:20,22 239:2	reparative 107:20	31:10 135:13	105:10 126:10
239:16 241:3	<b>repeat</b> 6:1 248:17	173:13 248:8	131:3,9 134:17
	262:11 263:7,10		140:7 141:3 169:6

172:5 176:16	267:17,19 269:17	198:7,9,21 200:3	185:12 186:6,7,12
179:3 180:15	272:22 282:8	207:7,9 215:3	186:12,13,14
181:8 198:20	<b>retain</b> 135:11	219:13,18 220:15	187:17 188:11
222:6 244:21	reticulocyte 256:7	221:7 222:13	198:22 200:16
245:1 252:4	retire 128:9	224:22 225:9,22	208:7,10,22
277:16	retired 129:21	228:10 230:10	210:10,17 211:4
responses 19:5	151:4	236:16 251:8,18	211:22 212:13,21
78:3,8 79:9,9	retrospect 150:1	251:20 257:1	221:14 234:14
88:12 96:4 100:20	retrospective	268:7 269:20	236:6 239:17
100:21 109:6	69:15 239:7	273:17 289:5,7	240:18 242:19
125:14,17	return 71:2	290:22 292:12,19	245:4,4,20 249:20
responsibilities	reverse 5:21	rise 153:2	256:8 279:1 281:8
24:16	reversible 118:2	risk 7:11,12 8:5,20	risks 4:18 12:13
responsible	174:21 184:16	18:22 19:16,16	26:11 27:3,17
155:10	<b>review</b> 9:11,14	20:4,8 23:8,10	28:19 33:3 34:8
responsive 178:16	12:10 24:8 45:15	26:16,22 27:12	46:17,21 47:8,9
rest 161:1	51:4 59:5 66:18	28:3,13,18 29:10	48:9 66:17,22
restating 136:15	66:21 68:20 125:7	29:15,17 30:10	73:4,19 162:13
restrict 140:18	152:21 154:18	31:19,20 33:3,5	241:2
restriction 139:8	168:15	34:5 35:19,22	risky 38:17 39:13
restrictions	reviewed 34:21	36:1,1,12,12 39:8	41:15 42:3
139:12	35:1 59:17 67:6	39:12,18 40:14,15	<b>rld</b> 213:10,14,14
result 15:14,19	69:7	41:18 43:14,17	<b>rna</b> 279:19
19:19 28:8 61:3	reviewer 53:14,22	44:4 45:1 46:8	<b>road</b> 184:4
79:3 90:22 235:17	154:18	47:5,16 48:3	roasted 161:9
240:15,22 244:20	reviewers 216:17	50:15 51:6 52:4,7	<b>robert</b> 2:16 93:8
262:21 266:2,8	reviewing 28:1	54:5 58:5,14	93:21 147:20
resulted 77:22	53:18 67:17 96:2	61:10 63:22 64:7	170:19 171:14,17
80:16	revisit 99:20	64:12 68:17 71:11	172:7,11 173:6,8
resulting 20:6	revolutionize	71:12,16 72:1	173:11,18 174:3
results 7:7,20 9:2	149:3	80:21 82:8,11,14	177:6 179:12,16
16:17 20:1 51:11	reword 174:15	82:15 83:1 85:9	182:10 191:6
51:15,18 53:11	rich 152:8	85:14 86:20 88:7	193:12 194:4,7,10
60:1,15,21,22	rid 99:4 103:5	88:8 115:16,16,22	226:18 228:11,17
61:1 62:4 66:18	104:15 241:3	121:6 130:6	229:8 233:10
79:1,14 83:6,17	riders 280:1	133:11 136:12,21	236:14,17 237:14
84:3 88:15 90:9	right 32:5 34:20	137:11 143:4,14	237:17 238:4
93:16 145:20	40:16 42:1 48:11	145:9 146:8,22	242:3,6 243:8
187:3 192:15	87:14 112:7,10	149:7 150:20	251:11,18,21
204:7 205:6 207:8	123:12 131:17	152:2,3 157:21	252:2,10,19 254:1
214:9 215:16	150:17 154:22	159:1,6 161:10	256:1,17 257:2,20
225:7 239:21,21	168:3,20 175:3	162:11,12,14	258:3 281:18,21
247:10 259:11	180:16 188:9,21	174:22 175:10	282:3
264:12 266:1,20	190:1 197:22	176:18 182:2	

[robison - see] Page 50

<b>robison</b> 2:3 3:1	<b>s2r1</b> 249:16	185:17 201:19	67:11 89:7 145:21
20:18 48:15,22	<b>s9</b> 83:7 176:14	239:17 254:22	225:4 232:14
55:2 65:7 66:9	244:11 291:19	255:1 256:20,22	second 28:2 43:18
94:9 209:17 210:4	292:5,7	257:15 258:18	44:8 49:14 68:22
248:21 249:11	sachs 101:4	266:19 269:14,17	69:6 73:21 92:14
robison's 145:6	safe 19:17,22 20:2	278:20	96:17 98:11
robust 34:16	20:3 32:8 53:1	says 199:10	101:21 144:22
rodent 5:19,19	92:11 142:9 143:6	200:11 204:2	164:1 189:2,12
7:15 9:2 13:19	146:20 170:9,11	222:12 263:21	191:2 192:5
69:19 70:2 73:13	171:11 190:11	scale 112:10	197:10 198:3,5
130:18 141:11	198:2 216:6	scan 102:20	206:22 246:13
148:14 179:20	safely 1:6 4:5 8:12	scandalous 122:18	secondary 185:19
207:18 218:8,10	9:16,21 62:20	scenario 8:17 11:4	secondary 183.19 secondly 49:13,21
231:10 233:11,18	147:7 157:18	19:9 158:6 182:22	54:8 63:4
233:21 239:8,10	169:14 174:16	208:20 209:2	section 60:9,13
239:20,21 240:2	safer 197:21	scenarios 158:3	68:22
249:22 253:4	safety 23:13 24:21	159:8 160:8 186:3	see 12:14 13:4
254:21 260:8	32:12 34:2 35:8	188:6 245:19	15:4 18:6 19:12
274:11,12	45:18 50:11,18	scheduled 94:9	41:3 56:15 69:8
rodents 71:8 83:9	51:3,15 52:2	144:17	77:18 82:9,12
86:2 191:9,11	55:15 57:3,9 58:6	scheme 135:20	87:17 91:17 94:21
233:15	58:12 59:5 63:21	scholar 67:8	94:22 95:12 96:8
role 94:20 146:5	64:1,15 155:9	school 177:18	98:16 108:7
148:1 152:14	183:5 208:11	science 45:1	
191:7	213:11,15,17	219:11 274:22	109:12 110:9,16 110:22 111:10,13
roll 145:11 168:20	216:19 217:17		110.22 111.10,13
	221:8 237:5	scientific 11:6 34:3 36:3 43:6	
<b>rolling</b> 260:6 <b>room</b> 1:13 154:13	277:15	139:14 146:13,20	125:18,19 127:9
ross 83:12 84:18	salmonella 85:18	152:2 212:22	127:21 134:5,6 150:21 156:14
rough 273:12	138:22 239:8	236:7,9 263:6	166:13 169:16
route 89:18		,	
row 94:14 144:11	274:5,6,7	scientifically 27:10	172:8,17 173:16 179:8 187:7
rule 40:1 193:4	<b>sample</b> 253:10,21 <b>samples</b> 239:13	scope 281:19	189:14,19 202:9
227:16 252:3	samples 239.13 saran 98:20	scope 281.19 scratch 47:8	202:17 205:10
		scratch 47.8 screen 287:8	
264:16 run 156:2 255:12	satisfy 206:15 saturate 19:2		214:20 215:5,11 215:11 216:12
		screening 65:13 285:17	
running 156:19	save 125:12,14 saw 114:15 174:8		224:10,22 228:18
S		screens 286:9	231:5 236:7
s 2:1	178:15 215:15	screwing 211:14 scribed 33:10	239:14 244:19
<b>s1</b> 152:19 248:8	266:8		258:11,15 261:15 261:21 266:19
<b>s2</b> 11:13 50:18	<b>saying</b> 63:21 144:12 159:21	scrunch 108:16	
51:10 52:5,17		se 28:17	272:20 283:1,2
53:7 65:22	166:11 167:16	search 12:15 60:8	286:17
	174:17 183:17	60:12 67:7,9,10	

[seeing - single] Page 51

seeing 111:19	sequencing 98:13	209:20 280:21	225:14
112:12 174:14	119:14 149:1,16	shortcomings	signature 115:2
255:5 256:22	150:16	88:21	116:16 150:3
282:12 286:15	series 11:22	shorter 159:3	294:18 295:15
seek 3:13 30:19	290:11	<b>shotgun</b> 180:10	significant 4:17
<b>seeking</b> 9:18 148:8	serious 46:17,21	shoulder 110:12	29:4 33:3 34:5
seemingly 225:11	133:17 224:7,20	130:18	43:13 80:16 86:13
seen 16:21 56:12	224:21 237:13	shouldn't 185:18	92:20 173:22
88:2 129:13 130:9	serve 161:22	<b>show</b> 56:21 60:22	185:12 186:6,12
172:2 245:3	serves 3:11	90:9 95:19 102:7	186:13 242:18
282:16,18,19	services 33:8	107:14 110:7	259:10
selecting 38:21	serving 138:7	111:9 114:4,8	significantly 72:3
selection 27:16	154:1	141:21 144:9	72:16 92:2
101:14,17 102:5	<b>session</b> 49:1,13	184:15 203:17	silver 1:15
120:16	54:9,15 58:20	218:21 222:5	<b>similar</b> 49:16,17
selections 110:20	145:1,5 146:12,16	228:6 229:2,3,4,4	58:12 59:4 76:14
selective 17:11	<b>set</b> 6:8 18:7 20:9	236:4 272:7	76:17,21 77:2
<b>self</b> 37:19 104:6	41:7 77:19 126:21	279:15 285:1	78:7 79:1 81:15
<b>send</b> 13:3,4	173:21 229:20	<b>showed</b> 88:20	88:2,15 107:7
241:11,13	259:20	89:15 90:13,16	110:19 165:19
senior 154:2,4	sets 233:18	104:4 130:18	169:6 202:5
sense 6:14 7:3	setting 254:5	180:1 258:20	203:18 278:15
22:22 30:13 31:8	settles 265:3	showing 14:19	286:4
157:19 197:13	seven 38:13 81:6	21:6,9 57:9,10	similarities 164:18
216:20 283:16	81:10 164:7	139:21	164:20
sensitive 20:5	severity 208:17	<b>shown</b> 17:20 72:5	similarity 56:3,9
102:5 190:13	sexes 76:18,18	78:17 84:17	164:16 185:4
199:5 227:16	shadow 131:8	118:16 137:18	similarly 54:21
228:7 240:12	<b>shape</b> 82:20	201:17 262:21	140:11 169:10
261:13 274:2	113:18	263:1	<b>simple</b> 67:6 94:6
sensitivity 228:12	shaping 256:2	shows 61:18 81:4	103:11 105:10
253:14 270:21	<b>shared</b> 143:15	82:1,4 85:14 86:9	195:20 204:22
271:10,13,15,18	shelf 124:1	87:11,14 141:13	230:15 232:20
sent 140:19	shemansky 2:7	188:13 203:4	241:3
sentence 31:4,12	12:9 66:14 145:21	side 58:3 104:5	simplify 105:7
<b>separate</b> 160:17	<b>short</b> 4:10 19:8,10	194:21 195:16	simply 50:1
193:11 198:10	32:11 35:8 68:6	234:12 265:9	104:20 160:11
255:6 256:21	69:1,7,10 73:3,19	signal 23:13 53:19	192:14 193:3
separated 8:8	73:22 74:7,7	53:20 192:2	236:9 240:12
18:13 193:10	79:15,22 80:6	206:20 219:22	single 5:22 7:19
sequence 149:2	81:5,21 83:4	<b>signaling</b> 95:19	8:5 9:11 11:14
175:13 197:6,12	85:16 86:6 88:18	107:14	17:3,5,5 18:4,9
247:22,22 248:1	92:18 93:3 117:10	signals 45:19	19:12 55:4,19
	137:1 192:13	216:21 217:18	56:11 68:6,13,22

November 4, 2019

[single - specific] Page 52

73:22 74:2,4 75:4	269:8 277:7	smoking 81:19	109:13 110:19
75:7,10 76:1,9	<b>sixth</b> 96:18	83:2	120:8,16 121:3
77:15,20 78:4,9	size 195:8	social 26:14 28:6	125:11 135:7
78:10,14,16,19,22	<b>sketch</b> 13:10	28:20,22 29:10	136:13 137:11
79:7,9,10,15	sketchy 122:6	33:10 34:10 168:8	140:7 154:6 155:1
88:12 89:9,20	skills 294:11 295:7	<b>society</b> 39:16	175:12 187:9
91:5,14,19,20,22	skin 95:21 97:22	153:20	229:6 249:2,3
92:16 93:19 98:4	98:5,14,20,22	<b>sodium</b> 139:22	275:21 279:4
104:20 114:13	101:18 116:1	140:3,3,10,12	sorted 69:21
115:12 117:10,13	126:18 149:13	143:5,20 144:4	sorts 51:4 57:15
118:17 120:17,19	150:1,4,14,18	<b>solely</b> 96:12	58:12 218:4
128:20 160:4	skins 116:2	216:22	242:10,19
164:12 170:6	<b>sleep</b> 74:19 83:17	<b>solid</b> 116:11	<b>sought</b> 41:13
171:3 173:14	188:13	solution 57:2	<b>sound</b> 27:11 43:6
175:8 178:9,17	<b>slide</b> 24:4 29:21	135:15 265:12	soundness 34:3
185:12,19,22	37:3 38:19 141:13	somebody 38:22	<b>sounds</b> 40:8 41:6
193:7,11,15 196:7	216:14	117:19 134:19	166:22 244:16
210:13 245:22	<b>slides</b> 20:16 36:22	205:19 208:3	269:10 288:18
246:17 247:7,11	37:1 64:19,22	232:9 235:10	<b>soup</b> 160:19
253:12 263:22	65:9 66:5 146:6	257:13 258:18	<b>space</b> 197:17
282:15 284:3,4	148:4 279:15	286:3	<b>span</b> 19:21
291:8	slight 85:9	something's	<b>sparse</b> 253:18
sir 37:5 46:10	slightly 159:8	231:14	<b>speak</b> 12:2 20:20
234:4	172:5 264:15	somewhat 62:11	21:4 55:6 66:12
sit 129:18 152:14	<b>slope</b> 140:7,7	77:4 149:21	66:12 138:15
<b>site</b> 16:4 72:18	141:2 142:8,10	163:19 233:12	speaker 20:19
78:21	<b>sloped</b> 112:1	281:18	48:15
sites 79:5 118:22	<b>slopes</b> 144:3 180:6	sonya 295:3,16	speakers 42:10
247:21	<b>slots</b> 156:17,17	sooner 88:5 117:6	· ·
<b>sitting</b> 98:3 99:2	slow 5:11	sorry 42:8 90:12	186:11 212:10
104:5 118:20,21	<b>small</b> 8:5,15,21	105:7 175:16	speaking 66:11
situation 96:5	10:10 12:13 17:4	197:4 213:21	134:2 187:17
130:16 133:22	66:15 137:16	241:12 242:5	203:11
183:16 187:8	168:19 178:10	244:1 290:2	species 77:20
248:18 258:14	181:14	sort 3:3 8:4,11	101:16 120:12
situations 42:3	<b>smaller</b> 126:14	9:10 11:20 12:11	<b>specific</b> 11:9 16:5
152:8 249:1	194:6,7	14:9,10,13 18:3	16:6 25:2 38:8
six 38:12 72:3,7	smelting 81:9	18:11,20 19:12	69:14 79:8 194:17
73:14 81:2,14,17	smoke 240:8	23:17,17 26:18	197:12 216:11
83:10 85:19 86:19	243:9,12	27:8 30:9,10,13	217:13 239:22
96:13 97:15,16	<b>smoked</b> 161:9	31:22 34:12 36:9	244:12 246:1
106:13 128:7,9,10	smokers 240:10	38:19 39:12 42:19	252:4 279:13,17
148:5 187:3	287:11	43:19 45:19 47:5	285:12 289:12
209:22 248:9		47:18 61:21 62:14	291:19 292:11

specifically 62:19	174:12 176:14	steep 112:13	strategy 263:21
244:6 286:2	208:11 209:3	steepness 128:2	264:4
specificity 70:17	standardized	stem 102:11,12,12	stratify 89:17
270:22	50:21 51:8	102:12,15	<b>stress</b> 95:18
spectrum 25:12	standards 43:13	<b>step</b> 14:14 97:17	<b>strictly</b> 22:14 51:6
<b>spell</b> 129:18	44:9 45:9	97:22 105:1	148:1 184:19
<b>spend</b> 35:14	standpoint 209:6	206:22 229:9	<b>strong</b> 115:16,17
128:17	stands 50:8	<b>steps</b> 12:20 97:3	124:21 179:21
<b>spent</b> 66:14 157:5	<b>start</b> 6:3 13:12	103:6,7 116:21	187:13 240:17
253:16	30:14 49:2 95:14	117:2 142:13	283:3
<b>spill</b> 22:1	97:4 111:19	146:3 287:21	strongly 180:19
splice 16:3	142:12 156:2,6	stepwise 158:16	structural 10:19
<b>sponsor</b> 9:6 11:6,9	157:13,15,17	260:3	10:21 78:5 88:13
171:1 179:4	158:1 181:21	stimulate 146:15	164:15,20 165:15
187:21 218:20	188:3 192:8 260:5	stimulating	185:4 277:13
235:19	275:18 286:11	117:14	278:2
sponsors 235:14	287:4 288:4	<b>stoked</b> 155:1	structure 14:18
237:8	289:16	<b>stone</b> 185:8	15:5 160:13 162:3
spontaneous	started 29:7	<b>stop</b> 20:11 32:8	162:15 164:6
119:20 120:4	119:15 121:8	71:7,8,20 72:15	165:7,9,13,14,21
123:10,13	122:12 149:14,21	72:22 73:2,15,18	178:22 279:21
<b>spot</b> 91:16 189:17	150:5 153:7	149:9 171:15	291:12,13
sprague 77:10	154:17 155:2,18	183:16 184:4	structured 60:6
93:14	156:10,10,11	250:3 251:20	structures 164:18
spread 76:1	224:9	273:17,17 278:22	179:19
196:14	starter 188:8	<b>story</b> 94:6 96:9,11	<b>stud</b> 193:12
spring 1:15	starting 22:16	115:12 116:9	<b>student</b> 94:19 96:6
sprinkler 126:22	50:4 147:17 174:2	117:17 150:7	students 95:6
sprinklers 127:4	193:2	267:5	<b>studies</b> 4:9 5:16
squamous 116:5	state 104:6 105:15	straight 109:15,15	5:17,21 6:7,13 8:1
stab 247:5	219:21 294:21	110:3,16 121:19	9:3,10 11:11
staff 3:5,12,16	<b>stated</b> 57:16	198:21 260:11	23:14 37:12 41:22
stage 9:8 53:15	145:15	straightforward	42:3,10 45:20
56:19 62:16	statement 32:20	23:2 94:6 140:15	50:17,21 51:8
224:18	245:4	strains 11:18	53:4,11,19 54:6
stages 50:3 64:4	statements 33:7	85:20 119:16	54:18 57:22 60:2
77:17 102:20	33:12 46:7	138:22 139:5	61:10 62:3,10
145:17	states 211:21	155:20 247:14	63:6,6,11,16,17
staggered 33:20	212:2,15 279:20	263:9	64:3 66:22 67:17
stand 61:2	<b>stating</b> 137:18	strand 13:21	68:6,9 69:7 71:8
standard 5:20 9:9	244:21	273:16	72:15 73:5,15,20
14:5 17:9 43:16	<b>statistical</b> 123:3	strategies 52:1,4	74:3 75:4,9,20
43:16 44:10 65:11	131:4 146:7	58:5	76:5,8,10,13,14
65:20 130:22	173:20		76:16,19,20 77:7

77:9,9,12 79:13	196:3,7,8,11,11	12:4,7 18:13	substances 223:13
80:15 81:20 85:21	196:15,16 197:1,2	19:15,15,17 20:22	substantial 10:5
88:16 90:19 91:17	190:13,10 197:1,2	21:15 22:5,8 23:4	29:17 33:6 215:9
91:22 92:9 93:11	204:1,10 206:11	23:15 24:13,18	substantially
93:12 133:8,12	208:22 212:1	25:4 26:11 27:16	275:19
137:7,20 148:8	215:12,12,18,20	30:20 33:13 45:12	substitute 260:18
157:7,20 148.8	215:21 218:22	45:21 47:14 48:20	substituting
169:22 170:2	220:7,9 222:2,3,4	50:11 51:16,17,20	260:15
183:2,2,3 185:1	222:15,19 224:13	51:21 52:17 53:12	successful 168:9
192:22 196:18	225:8 230:18	54:6,19 58:4,13	sufficient 30:22
199:4,5 203:4	236:20 237:6	58:19 59:6,13	173:21 209:7
204:11,14 209:14	238:3 239:7,13	61:10 62:4,9,21	217:8
210:1,9 211:11,19	241:9,15 244:10	63:16 64:2,6,10	suggest 51:19 73:3
214:1 218:21	248:19 250:7.18	67:3,14,19 68:18	166:8 223:5
232:12 234:20	251:16,17 252:1	74:6 76:22 77:1,3	248:10
240:5,10 241:19	253:4,6 255:15,15	80:2,19 82:17	suggested 30:13
246:3,20 247:1,6	255:16 258:9	87:16,20,21 88:4	142:2 291:21
247:7,11,16	260:5,11 261:7	88:5 90:18 93:1,6	suggesting 166:7
249:19,21 255:1	265:9,17,19	145:8,14 146:11	229:12 255:8
258:6 262:22	267:18 268:9	146:21 147:7,10	275:9
264:9 268:15	269:7 270:5,6,6	157:19,20 167:21	suggestion 42:18
270:4,22 272:7	273:10 276:16,21	189:5 196:13	suggestive 23:12
273:5,8 280:21	285:4 286:14,15	198:16 204:1,11	88:16,22 247:15
284:7 286:18,20	288:10 291:21	205:13 216:14	suggests 73:11,18
288:13	292:8	220:10 221:2,14	79:8 86:20 138:20
study 5:7 6:4,9	study's 18:20	222:15,20 234:21	139:1
7:20 18:6,12 25:8	stuff 30:19 104:5	235:5 237:21	suitable 201:3
29:3 33:19 39:10	105:6 129:6	281:1 289:14	244:10
45:13,14 46:11	135:17 155:15	290:9	suite 136:19
51:15 52:9,13	subclones 120:5	subliminal 37:9	suits 56:18
54:4 60:10 62:8	subcommittee	submission 50:9	summarize 73:10
65:17 69:3,6,15	3:19 4:2 152:17	50:10 52:11 57:4	178:13 189:1
69:20 71:6 75:16	subcutaneous	submissions 50:7	202:1
75:18 77:5,14	89:20	50:12 51:12	summarized 68:3
80:19,21 81:15	<b>subject</b> 23:5 24:20	submit 24:5	summary 63:21
82:19 83:3,12	25:3 28:4,11	265:21	88:11 202:2
84:10,18 86:5	47:16 68:5,19	submitted 52:21	sunburn 115:18
90:2,19 93:7,22	87:6 174:19	52:22 58:9	115:22 121:4
132:2,3,21,22	235:20 237:22	<b>subpart</b> 26:4	sunburns 115:16
133:1 145:13	245:5 279:15	subscribed 5:10	115:21 116:10
147:11 171:6,7	subjects 1:7 4:6,7	subsequent 49:15	sunlight 102:5
180:4,4 184:13	4:16,21 5:1,13	subset 60:19	116:3 149:13,22
187:4 194:11,11	7:18 8:13 9:1,12	substance 133:6	150:19
194:16 195:17	9:17,21 10:4,8	223:12 263:8	
	2.2.,22.20.1,0		

superimposed	survivors 112:16	takes 102:19	team 60:3
100:18 104:8	susceptible 20:9	140:16 264:18	tech 2:9,15
supervisor 145:2	suspect 200:12	talk 13:3 14:8	technical 255:3
support 30:11	241:9,20	21:13 43:13 49:12	techniques 155:21
38:2 50:5,16	suspicious 113:21	53:9 66:13 88:11	technologies
285:7	sway 35:6	95:17 97:3 98:5	149:17
supported 219:17	<b>swinging</b> 40:17,19	105:9 107:13	technology 190:18
supporting 5:14	sworn 294:6	112:8 114:15	207:17
57:22 58:11 68:4	sympathize	117:8 129:10	tell 47:5 95:20,22
supportive 14:6	125:11	138:2 143:12	96:4 102:19 103:2
supposed 108:21	sympathy 237:9	148:4 177:14	115:7 150:20
140:3 288:4	<b>syndrome</b> 169:5,9	189:22	165:12 188:2,15
suppressor 15:13	synonyms 75:17	<b>talked</b> 183:1 190:3	230:20 239:9
suppressors 15:20	synthesis 99:10	196:17 218:16	248:1 258:16
16:2	<b>system</b> 35:6 126:5	234:22 250:9	285:17,20
<b>sure</b> 91:1 93:5	258:11 285:7	talking 25:10	<b>telling</b> 41:4 95:20
165:22 167:5	<b>system's</b> 126:13	43:19 44:17 89:22	113:18 166:5
191:5 193:1	systems 191:9	121:16 122:12	171:13
197:21 202:8,12	t	127:6 143:3	ten 10:15 20:1,6
202:13 211:17	t 2:1,1 84:1	158:18 159:3,12	77:1 87:5 88:1
213:8,15 225:9	ta 137:17 139:22	179:9 183:14	90:21 271:14
236:13 246:13	ta 137.17 133.22 ta100 85:19 181:8	184:22 204:5	<b>tend</b> 15:22 16:2
248:7 257:8	244:13	205:18 208:2	99:17 100:1
287:10	ta104 85:20	211:4 223:10	tendonitis 97:13
<b>surgery</b> 159:17	table 28:14 31:6	225:18 229:20	tenfold 20:7
surprise 191:21	32:10 72:5 77:19	231:18 239:4	<b>tenth</b> 71:16 87:5
surprised 93:11	81:4 82:1,4 86:9	242:10,19 248:13	term 19:8,10 35:8
surrogate 191:9	271:20 272:16	287:13 288:1	35:8,10 60:9 69:7
200:19 201:3	tables 273:11	290:10	69:10 73:3,19
252:8	276:17	talks 103:8 113:13	84:16 137:1
surveillance	tackle 44:7	284:13	158:10 260:7
104:14 212:4	take 31:5 52:8	tan 121:5	terminally 80:3
280:13	55:12 89:11 94:20	target 6:10 23:6	terminated 71:9
surveyed 59:22	102:3 106:2 107:5	98:9 122:12	terminology
survival 15:15	136:17 161:2,11	228:13 247:21	125:16
17:13 95:19	162:13 167:12	283:21 284:3,8	terms 6:9 50:20
107:15 109:12	175:14 192:3,21	targeted 279:13	66:15 67:10,11
113:12 114:3	197:9 211:6	targeting 280:15	144:6 171:5
115:11 127:14	216:18 239:13	tasked 21:13	175:10 239:20
surviving 113:10	247:4 255:12	td50 180:7 246:2	249:6,14
113:11	268:11	246:18	terrible 121:21
survivor 112:17	taken 122:7	td50s 180:1	test 7:7 11:9 31:22
113:9	156:12 162:2	tdc 201:5 275:18	33:5 47:2 55:21
	294:4,13 295:10		56:1,6 59:1 62:4

[test - things] Page 56

67:12 68:14 71:3	287:22	232:1 233:4 234:1	270:5,21 274:3
71:18 72:7 73:12	tg.rash2 257:6,18	234:5,14 236:1	282:7 287:14,16
83:6 134:4 141:11	258:14 259:9,14	237:14 238:19	they'd 241:20
141:15,17 148:16	259:20 260:12	239:6,10,16 242:8	289:9
148:19 155:19,22	tg.rash2. 257:10	242:12 243:11	they'll 169:8
156:20 163:13,17	260:9	246:18 251:8	they're 167:12
166:2,4,9 173:19	tg488 254:1	252:11,14,16	170:14,15 171:12
173:19,21 176:9	tgr 258:6,9	253:12 255:1,13	172:18 180:6
176:15,20 178:8	thank 3:18 21:3,4	255:14 256:19	181:1 190:1
178:21 179:13,18	21:5,9 36:20 48:1	261:6 264:11	193:10,11 198:17
179:21,22 180:2,5	48:14,21 65:5,18	265:3 266:11,18	199:11 200:22
183:14 184:22	66:8,18,19 129:14	267:13 268:2	201:2 203:15
185:2 199:18	129:14 133:18	270:11 274:3	213:16 214:5
200:22 204:6,7	136:5 141:6	278:13 279:6	227:14 238:1
205:6,9,11,11	153:21 155:15	282:9 285:19	247:20 250:18
214:9,11 225:17	157:11 219:9	286:10,16 287:1,5	255:1 259:19
228:4 230:14	226:17 232:16	288:14 289:22	273:6 275:9,15
235:16,22 240:20	243:2 252:22	theme 109:13	276:20 288:3,5
241:3,22 250:9,11	267:3 285:5 293:1	theoretical 125:13	290:20,21 291:10
254:21 258:19	293:7	theory 122:12	they've 167:7
259:1,4,5 264:13	thanking 49:2	254:17	171:4 237:12
265:1,1,12,13	<b>thanks</b> 66:20	<b>therapies</b> 41:12,13	thing 37:7 44:7
267:19,21 268:14	129:10 149:10	48:4 61:5	72:5 103:10,18
270:3,8,10 271:7	210:19	there's 159:11,13	110:9,19 112:8
271:8 272:1,16	that's 149:2	164:12,17 165:3	113:14,19 121:7
274:15 277:19	160:21 163:2,4,5	168:21 169:15	122:1 135:7,8
288:20	164:1 165:2 166:2	173:13 176:5	141:15 149:6
<b>tested</b> 62:3 63:15	167:17 169:9	177:21,22 179:19	158:2 164:1,1
138:20 179:10	170:10 171:8,12	181:5 182:6	168:19 176:6
203:22 248:10	173:4 175:6,22	183:15 188:6	181:16 183:13
257:10 258:6	176:13,14 178:18	191:13 192:1	191:16,21 192:10
280:17	179:15 180:16	199:20 202:19	197:6 198:8
testifying 294:6	183:19 185:21	203:12 210:13	202:15 204:16
testing 7:9 10:6	186:3 187:11	212:5 215:4,11	218:12,17 235:3
46:22 47:2 143:22	188:18 189:2	218:10 221:14	236:8 255:12
155:21 156:3,7	196:1,21 198:21	225:2,16,19	260:13 261:11
188:7 238:9 248:8	199:1 202:7,9	231:19 234:1	267:5 276:16
248:14 249:7,14	203:9 208:1,22	237:3 238:21	286:6 291:2,3
tests 7:5 19:14	209:7 212:21	239:15,17 244:22	things 11:16 24:3
141:17 157:2	213:8 214:15	252:15 253:15	26:19 28:9 31:22
175:15 176:21	216:11 217:10,11	254:2,16 255:3	32:2,4 33:15 34:8
204:6 207:18	219:18 221:4,12	259:12,13 260:15	36:10 50:19 56:21
227:2,5,6,11,14	221:13 225:11	260:16 261:19	95:2,4,8,22 96:3
263:10 273:15	226:15 231:9,9	263:21 264:21	96:19 97:1,1

[things - time] Page 57

00.17.100.11.10	1 < 1 < 1 0 1 0 1 0 1 0	25015205015	1511110110
99:15 100:11,19	161:1,6,10,12,17	279:15 285:9,16	174:14 181:12
104:1,22 105:7	162:3 164:3,12,14	286:10,22 287:1,1	185:18 211:8
106:11 108:12,13	167:6,15 168:16	287:19 290:8,12	227:11 253:3,17
108:14 114:15	169:12,15,20	292:11,12,20	262:1 280:22
117:11 120:12	170:3,9 171:21	293:2	285:4
121:1,3,12 122:14	172:16,19 173:6	thinkers 257:3	threshold 11:17
123:14 124:8	174:5,17 176:2,16	thinking 28:6	44:14,18 67:21,21
125:5,21 135:6	177:2 178:12,18	31:10 35:15 42:19	106:9 107:11
142:18 161:10	178:22 180:16,17	113:5 120:9	108:4 109:18
162:15,15 174:11	180:19 182:10	146:20 182:3	112:5,13,22
174:13 192:11	184:8 185:6,16	208:6 218:5	113:20 114:1,4,8
203:2 207:16	188:22 189:19	252:14 289:2,2,3	120:19,19 121:17
208:3 213:14	190:19 191:7	<b>thinks</b> 108:16	121:22 122:2
221:7 225:4,6	192:4,11 193:2,6	272:9	127:21 128:19
241:1 261:21	193:11 196:6	<b>third</b> 177:16	130:4,5 131:3,8
264:20 265:4	197:4,11 198:8,8	188:18 190:8	132:5 133:9 142:6
276:22 281:22	198:8,13,18,19	203:6	158:8,17,19
285:14	199:15,20 201:2,9	<b>thompson</b> 91:3,4	162:20 210:13
<b>think</b> 7:13 17:22	201:22 202:1	<b>thorium</b> 87:4,7	219:3,5 244:13
20:11 25:6,16	203:6 205:5	thorotrast 74:20	249:13
28:1 30:15 31:18	206:15 208:8,15	84:1,5,7,13 87:2,4	thresholded
34:2 36:3,13,20	209:6,12 217:20	87:13,16 88:9	242:18
36:22 37:8,10,16	217:22 222:7,10	92:15	thresholds 12:20
37:21 39:10 40:17	223:1,2 224:22	<b>thought</b> 7:8,13	36:13 95:1,16
42:16 43:11 44:22	226:8,9 228:11	11:6 28:22 39:20	96:4 107:12
46:8,16,18,21	231:1,12,22	54:22 94:18 101:2	113:22 114:13
47:13 48:12 65:4	233:10 234:1,22	101:11 124:13	127:15 128:18
66:2,6,7 91:21	236:1 239:15,16	177:10 178:7	throw 256:15
92:5,7,10 93:17	240:17,18 241:5,6	192:3 208:9 218:6	throwing 239:5
94:10,20 95:5,8,8	242:13 244:4	218:7 244:7 252:6	<b>thyroid</b> 231:22
95:20 96:1,10,20	246:4,8 247:6	255:14 276:4,7	232:3,5,7
96:21 97:2,18,21	248:12,13,15	288:21 291:18	<b>tied</b> 219:7
100:1 103:14	249:2 250:21	thoughtful 223:6	<b>tilting</b> 104:1
104:16,18 105:3	252:10 254:1,10	thoughts 125:12	tim 48:22 75:21
105:11 107:11	254:12,20 255:2	177:7 241:6	152:10 198:14
108:12 109:8	257:20,21 258:7	thousand 10:16	248:20 266:19
113:22 119:11,12	259:5,12 260:21	thousands 12:16	time 1:11 12:21
122:10,19 124:22	260:22 261:6,8,19	threat 31:7,9	18:22 28:16 30:3
126:6 127:4,7	262:20 264:4,5	threatening 78:3	31:17,18 32:6,11
134:18,19 142:13	265:20 266:4,12	three 8:16 16:22	32:13 34:14 35:14
143:2,4,6,11,18	266:22 268:2	25:16 34:18 62:21	36:20 38:1 40:15
144:5,7,15,17	269:13,17 275:1,6	81:11 83:20 86:17	40:16 52:20 65:7
147:12 148:16	277:7,22 278:7,8	86:18 138:6 168:1	74:7,8 82:7,14
149:2,9 150:13	278:10,20 279:10	169:14 173:2	84:12 86:6 87:12

89:12 90:17,20	title 48:18	tough 262:10	151:2,10 152:6,11
98:19 99:7 101:3	today 9:18 11:22	tox 3:22 4:1 6:2	155:18 156:11,13
102:2 103:15	13:1,6 15:3 21:6	48:17 49:7 50:14	157:7,10 171:7
111:16,21 116:22	21:14,21 49:6,7	51:8 52:6,7,14	192:2,16 218:2
117:2 122:17	49:13 51:2,6,14	53:6,7,14,14,22	229:17 280:21
127:4 128:11	54:9 56:21 65:2	60:1 62:15 65:10	toxin 151:12
147:5 151:7 155:1	66:17 68:3 74:2	69:4,14,16,17	toxological 3:20
155:5 167:15	84:10 136:7	73:11 148:11	11:17 67:21
172:4 174:19	146:17 148:13	152:16 153:1	tracks 129:1
177:13 178:4	160:19 173:17	157:6 163:8 171:6	training 152:13
186:16 192:14	174:8,14 196:17	198:11 199:13	transcribe 3:9
193:10 198:6	207:18 210:6	200:17,20 201:6	transcriber 295:2
209:20 213:1	217:3 218:16	204:9 209:9,11	transcript 295:4,6
228:8 255:8,9,10	239:9 242:11	219:8,15 221:18	transcription
264:19 281:5	267:14	222:2,18 225:3,18	190:12
times 15:9 20:2,3	today's 13:14 22:9	226:5 244:20	transcriptionist
28:21 81:1 83:10	23:13 28:2 39:4	245:13 282:8	3:9 294:8
85:7 177:11,12	53:9 58:20 134:6	toxic 175:2,8	transformation
<b>timing</b> 51:12	today's 127:5	190:5 216:22	16:19
<b>timohy</b> 65:7	todd 174:5,7	toxicant 175:2	transgenerational
<b>timothy</b> 2:3,18 3:1	175:18 176:2,19	toxicities 51:5	288:8 289:2,20
20:18 48:15 66:9	184:9 186:15	174:20 198:9	transgenesis
94:9 152:9 158:1	188:20	<b>toxicity</b> 6:10,11	269:5
181:7 182:19	<b>told</b> 58:15 166:20	54:18 127:9 151:9	transgenic 83:9
185:6,20 201:9	197:15 243:20	152:6 175:5,7,12	116:17 130:17
208:15 209:17	tolerable 36:13	184:9 190:1	148:14 187:4,11
210:4 218:17	tolerated 5:5	200:10 214:5	187:14,16 188:17
219:13,19 220:3	195:3	215:17,20 226:9	191:12 207:18
244:16 247:4	ton 37:15	248:17 287:7	209:4 226:20
248:21 249:11	too's 26:22	toxicodynamic	227:15 249:22
260:2 262:10,17	<b>tool</b> 60:3 65:3	92:19	250:6,17 251:6,13
265:20 274:8	149:8	toxicologic 2:16	252:5,9 253:2,10
288:18	top 99:2	toxicological	253:15 254:5,7,13
<b>tip</b> 102:13 287:6	<b>topic</b> 21:10 49:2	162:20 200:9	254:21 255:18,20
<b>tipping</b> 287:15	59:19 145:19	toxicologist	255:22 256:13
<b>tissue</b> 16:12	157:16 212:19	152:12	257:6 258:6 259:8
114:17 117:22	267:16	toxicologists	259:14
149:7 233:8 250:3	total 128:13	41:22 212:20	transgenics
252:4 254:15	244:15 248:9	toxicology 1:3 3:2	220:17
<b>tissues</b> 77:5,6	253:8 254:11	3:19,22 5:17,20	translate 51:15
153:15 228:22	totally 233:4	6:7,13,17 9:10	64:14 242:18
229:1,2,2,3 253:4	touched 91:4	51:7 57:15 59:15	transplacental
253:7,9,15,20,21	92:14	62:7 94:19 145:2	243:6
254:2,16 256:14		148:7 149:4,8	
	I .	I .	I .

transregional	trichloroethylene	79:1,5 80:22	43:10 44:21 49:20
99:10	85:22	84:12 93:15 98:13	55:20 56:5 62:21
treated 168:11	trick 233:20	100:13 104:6	68:19 71:15 72:8
249:5	<b>tried</b> 147:3 235:12	114:17 131:3	81:13,15 83:11,20
treatment 4:11	trigger 218:14	146:4 169:22	85:19 86:18 92:8
7:11 18:17,18	triggers 217:4	230:18 231:2,8	97:15 102:12,13
44:18 75:19 77:1	<b>trip</b> 101:19,21	280:10 284:17	109:1 114:3,9
77:3 80:3 93:15	trosko's 288:20	286:2	127:6 135:4
94:22	<b>true</b> 126:19 131:8	tumorgenicity	137:19 146:2
treatments 44:17	214:15 233:2	231:10 233:19	147:9 154:5,8,19
75:13 93:19	234:10 271:21	tumorigenic 78:3	156:18 168:1
tremendously	289:22 294:10	88:12	169:14 173:2
176:7	295:6	tumorigenicity	174:14 181:12,17
<b>trial</b> 4:18 7:19	truly 187:12	13:18	182:14 183:8
10:3,17 11:14	233:21	<b>tumors</b> 72:21	185:18 186:2,4
27:9,9 29:8 32:22	trust 122:9	75:13 76:11 77:6	196:13,22 197:18
33:9 34:10 47:15	<b>truth</b> 125:1	77:12,15,22 78:17	201:19 205:14,18
47:17,19 50:11	<b>try</b> 12:11 13:2	78:20 79:5,6,6	207:16 211:8
51:3,16 52:1,17	14:11 21:10 29:18	82:5,6,18,19	215:21 220:16
52:18 55:3,19,20	136:8 137:8 144:9	84:18 85:10 86:2	222:20 230:17
56:17 58:17 59:2	151:13 153:17	87:12,18,21 90:18	250:16,19 253:16
59:3,14 61:9	163:6 211:11	90:20 95:17	255:1,8 258:8,20
63:21 64:2,11	223:3 241:8 275:9	124:15 132:8	260:8 263:22
159:9,13 162:16	275:11,18,20	136:1 173:14	264:14,22 266:1
163:8 164:16	trying 8:20 12:12	187:5 229:3,4	268:13 270:13
181:18 182:12	25:18 91:12	232:20 233:7	271:20,20 272:16
201:10 216:13,13	101:12 104:14	253:3,6 274:11,12	272:16 273:11,11
trials 4:8,20,22	105:15 119:13	274:16 284:14	276:17,17 277:19
6:4,5,18 9:8 11:3	126:1,16 133:4	285:18 286:15	<b>twofold</b> 72:16,20
12:4,7 20:22	143:3 144:15,16	288:15	73:15 264:15
21:15 22:7 23:3	148:19 150:11,15	turn 45:15 126:21	<b>type</b> 39:15 40:21
29:2 32:19 35:3	162:19 169:9	turnaround	91:7,7 94:2,4
38:16 48:19 50:5	173:4 178:19	259:11	108:1 111:1 116:1
50:10,19 51:18	186:5,15 200:19	turned 119:17	148:8 161:17
52:18,22 53:8	212:11 217:7,11	172:12	202:15 231:2
55:12 57:18,22	258:11 288:5	<b>turning</b> 109:3	232:1 241:10
58:4,11,13 61:11	ttc 199:2 246:16	179:12	243:13 244:17
62:16 64:5,16	247:5,9	turns 75:3 190:13	245:1 246:9 247:7
67:3,15 68:19	ttf 246:1,15	286:5	types 16:5 50:10
146:11 151:19	tumor 7:14 15:7	tuskegee 25:21	57:19 164:7
174:19 183:19	15:13,20 16:2,20	twice 192:17	232:20 281:1
189:22 202:11	17:4 18:2 68:8,14	two 4:10 7:4,6,6	283:7,8 293:6
242:20 246:12	72:2,18 73:7 75:6	7:15 8:2,16 13:18	typewriting 294:8
	76:6,7,8 78:21	21:18 24:13 34:11	

[typical - video] Page 60

typical 55:18	162:10 177:1	<b>ups</b> 261:9	v
136:20	178:19 229:7,17	<b>upv</b> 117:5	vagueness 41:10
<b>typically</b> 4:10,13	240:20 253:20	urea 140:2	valid 261:6
5:18 7:4 8:1 9:4	273:10	ureter 84:18 85:10	validated 236:18
18:13 23:3 87:8	understanding	urine 190:15	validation 276:18
136:22 143:10	10:1 21:7 30:6	usage 225:12	
175:13 182:11	33:5 40:14,16	use 19:13 23:19	valproic 281:6 valuable 202:8
216:8 244:19	43:1 45:18,19	30:11 44:15,18	276:21
<b>typo</b> 246:16	46:14 47:1,2,5	59:6,18 61:8	value 26:14 28:6
u	184:14 190:20	68:16 74:15,17	28:20,22 29:10
<b>u.k.</b> 74:18 133:4	understood	80:20 83:13,14,16	33:10 34:10 49:19
<b>u.s.</b> 7:16 74:18	252:13 273:4	84:17 85:1,3,4,5,6	59:18 70:14 128:3
84:16 86:1 139:18	undertaking	85:11,13,15 86:7	169:1 180:9 239:6
140:20 154:10	236:21	137:12,22 138:13	248:13
uh 129:12	underway 123:20	138:16 139:5,6	values 180:7 201:5
uh 129:12 uk 11:5	<b>undue</b> 23:16 24:1	140:6 148:22	vandalized 133:3
uk 11:3 ulcer 37:19	31:2,9 33:14	149:16 150:15	variability 196:15
ultimate 43:22	34:20 38:4	167:11 195:11	196:19
ultimately 37:21	<b>unduly</b> 23:15	196:12 208:9,19	varied 77:3 79:9
168:9	unfortunately	209:10 217:3,5,10	varies 44:19
ultraviolet 97:11	30:8 129:19	218:8 246:6	variety 151:8
uncertainty	201:16 273:2	248:11 249:12	152:3 184:11
252:21	<b>unique</b> 25:2 27:1	251:15 274:4	240:6,8
uncomfortable	65:4 137:15	278:18 281:1	various 54:14
180:18	162:15	<b>useful</b> 162:10	123:6 200:22
uncommon 14:4	<b>united</b> 211:21	199:3 207:4	217:17 218:21
187:7	212:1,15	usefulness 149:4	227:2 253:14
uncovered 287:6	university 2:8,9	<b>users</b> 86:11	285:8
undergo 14:20	2:14,15 12:19	uses 27:22	vast 278:16
undergoing 163:1	153:9	<b>usual</b> 21:20	venous 87:9
underlines 199:21	unknowable	usually 79:14	venue 40:13
underlying 64:1	173:9,11	111:8 193:19	verbatim 32:20
196:20 219:16	<b>unknown</b> 143:7,8	195:2 203:8	<b>verify</b> 91:17
underneath 50:10	289:3	288:11	veronica 148:3
underpin 275:18	unlocking 17:3	<b>uv</b> 101:17 102:5,9	version 148:17
understand 8:20	<b>unmet</b> 188:5	107:21 109:4	versions 286:14
12:13 31:20 32:1	unpack 31:4	112:6 115:2,12	versus 44:17 78:9
32:10 33:6 36:5	unpacking 31:10	116:14,16 117:13	79:9 86:10 140:2
36:14,15 38:21	unreasonable	118:7,12,14,17	188:11 196:21
41:1,4 46:12,15	34:4 43:14	119:14 120:7,8,17	197:16 199:17
46:18,20 47:10	unusual 150:9	150:3,9	244:14
48:6,9 122:7	280:22	<b>uva</b> 117:6	viability 214:2
143:5 146:19	uphold 64:2		<b>video</b> 13:3,4
151:13 153:17			·

[view - weeks] Page 61

view 23:2 42:11	volumes 84:9	want 21:10,16	197:8
49:8 90:1 187:21	volunteer 45:6	24:22 26:10 27:15	wasn't 154:16
202:11	55:4 56:3,10	28:15 31:19 32:2	219:7 224:21
views 117:18	106:17 139:9	33:2,4,11,21 34:8	247:11
<b>virtually</b> 19:17,22	159:9,13 161:5	38:20 40:21 42:21	wastebasket
20:2,3	177:17 181:15	44:8 45:17 58:11	126:21
<b>virus</b> 104:7	183:9 185:14	61:8 95:12 97:3	way 29:4,17 30:4
<b>vision</b> 135:16	208:10,12 222:1	97:14 104:18	36:18 39:12 44:3
266:16	224:13 240:16	107:18 109:10	45:20 47:6,14
<b>visit</b> 33:19	242:1 244:10	111:9 112:8	55:20 56:5 57:21
<b>vitamin</b> 285:15	265:17 281:13	119:16 127:7	60:11 65:4 84:10
<b>vitro</b> 7:17 141:16	284:7	129:1 143:1 144:7	96:7,10 99:18
149:7 151:12	volunteers 11:7	163:6 167:18	102:9,10 111:12
160:13 163:14,20	36:4 38:10,18	171:2 174:4	113:19 124:6
163:21 176:6,17	43:17 45:3 46:12	183:18,22 184:4	125:17 135:9
201:1 205:8,9	59:8,8 61:17 63:7	206:15 215:5	136:2 143:17
206:19 215:5	63:12 65:16 114:6	222:14,22 225:8	159:12 167:6
225:18 226:5,9	115:9 151:20	241:1,2 242:14	169:13,15 170:17
227:2,5,9,17,19	158:6 159:15,18	243:3,17 248:21	174:17 177:5
228:1,2,20 229:20	161:15,20 172:19	250:20 255:5	181:11 182:6
238:9 245:14,17	177:22 181:22	256:16 260:4	201:16 209:13
249:17 269:9	182:9 190:14	264:20 265:4,21	225:9,11 227:13
270:5 278:16	191:19 192:2	272:19	234:14 236:9,18
<b>vivo</b> 7:4,5,5,6,9	194:3 196:18	wanted 18:10	236:19 237:3,4,19
10:7 50:17 52:14	208:21 210:9	19:11 21:5 25:5	238:3,5 239:6,16
61:3 148:8,10,11	211:20 215:13	30:2 158:2 159:7	252:18,19 256:20
151:12 160:13	219:6 220:8	160:6 189:21	256:22 285:21
163:8,16,18,21	221:17 222:6	190:8 191:3 206:8	ways 5:12 25:18
167:8 173:19,22	224:9,11 241:15	213:18,22 218:9	30:2 31:21 47:12
188:17 195:20	249:21 264:1	221:22 232:19	105:14 114:9
201:1,3 203:4	267:11 281:4,9	234:3 254:19	183:7 220:2 241:1
205:10 206:16,18	286:21 287:9	259:16 260:10	288:21
206:22 210:6	290:16	282:5	we've 56:12,14
227:14,17,22	<b>vulnerable</b> 25:10	wanting 138:13	60:3 63:4 68:3,12
228:2,6 245:15,17	26:3 34:11 183:8	wants 103:22	114:19 118:16
245:18,21 250:22 252:8,17 256:4	W	138:16 190:10 212:1	135:17 weak 176:16
260:15 261:9	<b>w</b> 2:3	·	179:22 264:10,14
263:2 268:15	wait 185:11	war 30:4,16 warrant 51:1	weakly 274:14
269:6 270:6	192:17,17 194:1,2	warrant 31:1 warranted 7:11	week 11:21 194:1
vocal 15:22	261:18	warranting 153:3	250:6 257:6 260:9
volume 84:12	waiting 98:21	washout 4:13,14	260:12 285:1
87:13	117:1	8:8 18:14,18 56:6	weeks 4:11 73:6
07.13	walker 118:3	177:12 189:9	114:20 194:2
		1	1120 17 1.2

[weeks - wrote] Page 62

196:14 197:18	187:13,18 188:1,2	wishes 156:12	workers 74:13,14
204:15 265:2	189:15 191:8,10	withdraw 32:5,13	81:12 94:2
weigh 36:10 59:21	192:16 198:15	34:20	working 117:3
188:10	199:17,18 201:11	withdrawn 84:16	149:13 152:17,20
weighed 211:21	203:7 204:4 209:9	witness 294:5	154:21 155:18,20
weight 62:6 63:13	212:11 217:6,11	woman 20:16 43:3	157:5
63:14 134:19,20	222:10 225:9	48:12 132:2,13,17	works 21:17 98:22
166:12 167:16	226:14 227:5,6	132:19 133:18	136:3 158:15
170:3 178:14	229:21 242:10,19	136:5 142:1,5,7	234:14 258:12
179:1 188:22	248:13 251:15	142:15 195:12,16	<b>workshop</b> 1:3 3:2
202:15 204:8	257:2 259:2	204:13 207:7,11	3:5,8,11 4:2,4
206:14 208:1	267:13 275:21	224:13,17 263:4	9:20 136:16 145:1
210:20 211:3,6,11	279:6 282:12	265:5 266:11	145:4,7,19 157:16
213:5 214:10	287:13 292:21	267:3 282:7,22	281:19 292:22
217:5 218:6	<b>we've</b> 150:8	283:6,11,17 285:3	293:2
227:10 269:14,21	159:17 164:3	286:17 287:12	<b>world</b> 30:4,16
278:3,7	167:6 192:10	<b>women</b> 84:19	95:11,21 96:7
weighted 33:16	193:20 231:2	289:19,20	104:18 131:2
62:2 164:11 205:4	239:4,4 252:14	<b>wonder</b> 113:16	<b>worried</b> 187:15,18
292:14	277:8 279:10	144:3 260:18	worry 27:5 150:5
welcome 144:22	281:4	wonderful 258:18	worrying 287:4
went 64:20 82:17	whatnot 26:8	wondering 43:15	worse 97:16 99:5
83:4 118:9 119:21	285:1	130:1 131:11	worst 8:17 163:3,4
119:22 125:4	<b>what's</b> 170:8	135:14 187:8	193:4,5
154:15 218:21	177:18 190:17	208:3 221:22	<b>worth</b> 39:21
249:4	195:2 208:13	288:16	169:21
weren't 170:2	209:2 215:2	<b>word</b> 60:13,18	worthwhile 91:21
253:7	235:18 246:10	134:15 228:9	<b>wouldn't</b> 190:14
<b>we'd</b> 190:16 199:1	282:20 286:3	<b>words</b> 145:8	193:13 211:10
266:7	288:16	173:18 195:4	213:7,16 240:20
we'll 147:13,14	<b>white</b> 1:12 80:11	200:11 205:17	248:18
149:4 159:22	135:10 235:11	245:2 263:18	wow 113:2 227:11
183:18 189:19	who's 177:17	work 22:17 23:12	wrap 98:20 257:4
230:12 276:2	269:3,3	24:11 25:14 97:12	writing 229:21
we're 147:22	who've 212:15	97:19 100:22	written 117:16
148:1,18 150:11	wide 74:11 78:4	118:9 152:20	169:13,14 170:18
150:15 158:18	88:13	155:3 158:9	183:15 185:7,8
159:12,21 161:14	widely 37:20	168:22 182:20	wrong 98:21
162:19 167:22	193:10	202:12 227:13	100:14 131:17
171:13 172:3	wild 108:1 111:1	265:4	177:18,19,21
173:3,4 174:2,10	willing 172:14	worked 150:2	211:12 257:1
175:12 178:18	wisconsin 153:9	182:21	wrote 117:18
179:9 183:14	<b>wish</b> 64:2	worker 81:12,14	
184:6,20 185:9		151:7	

[x - '90s] Page 63

X	years 38:13 52:20	211:4,14 214:19	<b>zoo</b> 95:20
	71:16,17 79:18	217:20 223:10	zymbal 231:12,13
x 108:14 143:14	81:16 82:16 87:5	228:14,18 234:11	231:14
171:18 193:7	87:6 90:21,21	237:22 238:1	,
237:22	92:1 95:10 106:13	250:14 251:22	<b>150</b> 205 6
xanthine 79:4	115:19 144:1	254:20,22 255:1,8	'70s 285:6
y	148:5 151:6,22	256:4 257:15	<b>'76</b> 156:6,18
<b>y</b> 108:13 171:18	153:10 172:1	259:4 261:14,15	'80s 148:15
<b>yale</b> 2:8,14 12:19	175:7 180:5	261:18 265:22	<b>'90s</b> 148:15
149:12	186:17 207:19	269:13,17 270:15	
<b>yeah</b> 43:5 47:22	209:21 210:11	271:3,22 274:2	
111:9 130:12	223:22 225:12	275:8 278:20	
132:13,14,18	231:3 235:13	279:2 286:15	
134:13 159:10	253:16,17 254:8	289:1,2,3 290:3	
175:18 177:7	256:21 258:17	290:10	
184:18 194:9	264:9 268:10	you've 182:21	
200:7 201:9	278:17 284:11,14	185:3 207:2 215:7	
206:21 207:6,11	years' 169:21	228:22 253:10	
211:17 218:10	yeast 155:22	269:4 271:2,12,15	
219:19 220:3,14	<b>yellow</b> 288:19	278:12,14,16	
224:19 226:14	yesterday 93:10	287:6	
227:1 228:16	you's 3:18	Z	
238:6 242:8 243:4	young 170:13		
249:12 250:21	289:10	<b>z</b> 171:18	
251:7,7,14,21	you'd 194:10	zeiger 2:21 89:14	
265:5 266:11	200:4 206:15	89:14 90:12	
272:2 273:22	215:5 221:16	155:16,17 160:10	
276:15 279:6,7	256:8 257:16	169:3 176:5	
282:1 283:6,17,17	260:19 264:3	183:13 184:18	
285:11 290:4,13	265:20	185:16 186:10	
<b>year</b> 7:15 12:16	you'll 254:14	194:14,20 195:4,7	
13:18 19:21 66:14	260:7 271:9	223:9 227:1	
74:9 80:1,15 81:3	you're 159:3	228:16,19 230:21	
81:11,19 82:9,13	162:12 166:22	231:21 232:11	
82:22 83:15,15,16	169:3,9 170:16,20	235:3,9 236:3	
83:21 85:1,2,4,7	179:12,18 181:17	239:3 247:17,20	
85:12,13 137:19	181:19,20 182:1,3	256:12 257:8,17	
145:22 150:22	182:4,7,15 184:22	258:2,5 263:5,15	
151:5 156:11	185:1,2,7,17	264:8 267:21	
157:5 215:21	193:7,22 194:2,5	268:3,8,21 269:10	
220:16 230:17	194:15 195:7	270:20 289:1,6,8	
260:17 264:22	196:19 197:7,11	290:19 291:1	
275:17 277:19	198:2 199:19	<b>zero</b> 83:20 112:13	
	205:17,21 208:20	141:14,14 158:18	
		rtingCompany com	