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# Chronic Hepatitis D Virus Infection: Developing Drugs for Treatment Guidance for Industry

## *DRAFT GUIDANCE*

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For questions regarding this draft document, contact Aimee Hodowanec at 240-402-5752.

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**October 2019  
Clinical/Antimicrobial**

# **Chronic Hepatitis D Virus Infection: Developing Drugs for Treatment Guidance for Industry**

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## **Chronic Hepatitis D Virus Infection: Developing Drugs for Treatment Guidance for Industry<sup>1</sup>**

This draft guidance, when finalized, will represent the current thinking of the Food and Drug Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible for this guidance as listed on the title page.

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### **I. INTRODUCTION**

17 The purpose of this guidance is to assist sponsors in the clinical development of drugs for the  
18 treatment of chronic hepatitis D virus (HDV) infection.<sup>2</sup> Specifically, this guidance addresses the  
19 Food and Drug Administration's (FDA's) current recommendations regarding the overall  
20 development program and clinical trial designs for the development of drugs and biologics to  
21 support an indication for the treatment of chronic HDV infection.

22  
23 FDA encourages sponsors to communicate with the Division of Antiviral Products (DAVP)  
24 through the pre-investigational new drug application (pre-IND) consultation program to discuss  
25 the development of drugs with unique considerations based on mechanism of action, novel  
26 treatment approaches, or the use of novel biomarkers.<sup>3</sup> This draft guidance is intended to serve as  
27 a focus for continued discussions among DAVP, pharmaceutical sponsors, the academic  
28 community, and the public.<sup>4</sup>

29  
30 This guidance focuses on considerations that are specific to HDV drug development. General  
31 topics in early phase drug development, statistical analysis, and clinical trial design are addressed  
32 in the International Conference on Harmonisation (ICH) guidances for industry *E9 Statistical*  
33 *Principles for Clinical Trials* (September 1998) and *E10 Choice of Control Group and Related*

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<sup>1</sup> This guidance has been prepared by the Division of Antiviral Products in the Center for Drug Evaluation and Research at the Food and Drug Administration.

<sup>2</sup> For the purposes of this guidance, the term *drug* includes both human drugs and therapeutic biological products unless otherwise specified.

<sup>3</sup> See the FDA's Getting Started with the Division of Antiviral Products Pre-IND Process web page at <https://www.fda.gov/drugs/pre-ind-consultation-program/getting-started-division-antiviral-products-pre-ind-process>.

<sup>4</sup> In addition to consulting FDA guidances, sponsors are encouraged to contact DAVP to discuss specific issues that arise during the development of drugs for the treatment of HDV infection.

## ***Contains Nonbinding Recommendations***

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34 *Issues in Clinical Trials* (May 2001).<sup>5</sup> The draft guidance for industry *Chronic Hepatitis B Virus*  
35 *Infection: Developing Drugs for Treatment* (November 2018) also contains information that is  
36 relevant to HDV drug development.<sup>6</sup>

37  
38 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.  
39 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only  
40 as recommendations, unless specific regulatory or statutory requirements are cited. The use of  
41 the word *should* in Agency guidances means that something is suggested or recommended, but  
42 not required.

43  
44

## **II. BACKGROUND**

45  
46

47 HDV is a replication-defective virus that uses the hepatitis B virus (HBV) surface antigen  
48 (HBsAg) as its envelope protein. Therefore, HDV infection only occurs in the setting of  
49 concurrent HBV infection (Wranke and Wedemeyer 2016). According to the World Health  
50 Organization, an estimated 15–20 million people worldwide are living with HBV/HDV co-  
51 infection.<sup>7</sup> Subsequently, a meta-analysis reported a much higher worldwide HBV/HDV co-  
52 infection prevalence of 62–72 million (Chen et al. 2019). Areas of high HDV prevalence include  
53 Eastern and Mediterranean Europe, the Middle East, Central and North Asia, the Amazon basin,  
54 and parts of Africa (Chen et al. 2019). HDV prevalence is thought to be relatively low in the  
55 United States overall, but may be increased in certain subpopulations, such as in persons who  
56 inject drugs and in persons born in, or who have lived in, countries where the disease is endemic.  
57 Population-based data from the National Health and Nutrition Examination Survey estimated  
58 that the anti-HDV antibody prevalence among adults in the United States is 0.15 percent (Patel et  
59 al. 2019). There are eight recognized genotypes of HDV (1 to 8); the globally prevalent genotype  
60 1 is the predominant genotype in the United States.

61

62 Relative to HBV monoinfection, HBV/HDV co-infection may be associated with more severe  
63 liver disease, leading to increased rates of cirrhosis, hepatocellular carcinoma, hepatic  
64 decompensation, and liver failure (Fattovich et al. 1987; Romeo et al. 2009). Although currently  
65 available HBV therapies are effective in suppressing HBV replication, the rate of HBsAg loss  
66 remains low (Tang et al. 2018). In the absence of HBsAg loss, HDV infection persists.  
67 Therefore, therapies directly targeting HDV may be of clinical benefit. At present there are no  
68 drugs approved for the treatment of chronic HDV infection, although pegylated interferon-alpha  
69 (PEG-IFN- $\alpha$ ) is commonly used. However, PEG-IFN- $\alpha$  is associated with significant toxicity  
70 and sustained virologic response rates (defined as undetectable HDV RNA levels 6 months after

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<sup>5</sup> We update guidances periodically. To make sure you have the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

<sup>6</sup> When final, this guidance will represent the FDA’s current thinking on this topic. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

<sup>7</sup> See the World Health Organization’s Hepatitis D web page at <https://www.who.int/en/news-room/fact-sheets/detail/hepatitis-d>.

## ***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

71 treatment) of only 25 to 30 percent (Erhardt et al. 2006; Wedemeyer et al. 2011). In addition, late  
72 virologic relapses are common following treatment with PEG-IFN- $\alpha$ , and it is not known if HDV  
73 sustained clearance can be achieved in the setting of persistent HBsAg positivity (Heidrich et al.  
74 2014).

75  
76 Because chronic HDV infection is considered serious and life-threatening and there are no  
77 approved treatments, investigational anti-HDV drugs may be eligible for FDA's expedited  
78 programs, such as fast track, breakthrough therapy, and priority review designations.<sup>8</sup>  
79

80

### **81 III. DEVELOPMENT PROGRAM**

82

#### **83 A. General Drug Development Considerations**

84

85 This section discusses nonclinical and early phase clinical development considerations, including  
86 the evaluation of antiviral activity and resistance, issues related to the target population for drug  
87 development, and safety considerations.

88

##### *89 1. Early Phase Development Considerations*

90

91 Early clinical evaluation should provide sufficient data to establish safety and antiviral activity in  
92 support of phase 3 trials.

93

##### *94 a. Pharmacology/toxicology development considerations*

95

96 Sponsors should refer to the following guidance documents for nonclinical development  
97 considerations:

98

- 99 • Guidance for industry *Chronic Hepatitis B Virus Infection: Developing Drugs for*  
100 *Treatment*
- 101
- 102 • ICH guidance for industry *M3(R2) Nonclinical Studies for the Conduct of Human*  
103 *Clinical Trials and Marketing Authorization for Pharmaceuticals* (January 2010)
- 104
- 105 • ICH guidance for industry *S6(R1) Preclinical Safety Evaluation of Biotechnology-*  
106 *Derived Pharmaceuticals* (May 2012)
- 107
- 108 • ICH guidance for industry *S1A The Need for Long-Term Rodent Carcinogenicity Studies*  
109 *of Pharmaceuticals* (March 1996)
- 110

111

##### *112 b. Nonclinical virology development considerations*

113

114 Sponsors should consider recommendations for general antiviral and HBV drug development  
addressed in the guidances for industry *Antiviral Product Development — Conducting and*

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<sup>8</sup> See the guidance for industry *Expedited Programs for Serious Conditions — Drugs and Biologics* (May 2014).

## ***Contains Nonbinding Recommendations***

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115 *Submitting Virology Studies to the Agency* (June 2006), and *Chronic Hepatitis B Virus Infection:*  
116 *Developing Drugs for Treatment*, including recommendations for studies of mechanism of  
117 action, determination of antiviral activity in cell culture, cytotoxicity, and mitochondrial toxicity.

118

119 Sponsors should also consider the following recommendations specific for HDV drug  
120 development:

121

122 • Antiviral activity determination in cell culture: To assess the breadth of activity of the  
123 investigational drug, the effective drug concentration at which virus replication is  
124 inhibited by 50 and 90 percent (EC<sub>50</sub> and EC<sub>90</sub> values) should be determined against  
125 different genotypes of HDV, including genotype 1, in cell culture. If EC<sub>50</sub> values vary  
126 significantly across genotypes, indicating a lack of conservation of the drug target, the  
127 breadth of activity against genotype 1 should also be determined by testing multiple  
128 geographically and temporally distinct isolates of this genotype.

129

130 • Cell culture combination antiviral activity: Cell culture combination antiviral activity of  
131 an investigational drug against HDV should be determined with approved drugs for HBV  
132 and for HDV (when anti-HDV drugs are approved) to determine the likelihood of  
133 antagonism when used in combination for the treatment of HBV/HDV infection.  
134 Sponsors should assess the effect of approved drugs for HBV on the activity of the  
135 investigational HDV drug, and conversely, the effect of the investigational HDV drug on  
136 the activity of approved HBV drugs.

137

138 • Activity in animal models:<sup>9</sup> Animal models of HDV infection may be important for  
139 assessing the antiviral activity of investigational drugs, given the difficulty in propagating  
140 the virus in cell culture. Sponsors should consider the following recommendations related  
141 to animal models:

142

143 – Animal models for consideration may include immunocompromised mice with  
144 chimeric human/mouse livers and transgenic mice expressing human sodium  
145 taurocholate cotransporting polypeptide (NTCP) receptor and HBsAg (Winer et al.  
146 2018). The woodchuck model using HDV pseudotyped with woodchuck hepatitis  
147 virus envelope proteins can be considered for drugs that do not specifically target the  
148 HDV/HDV envelope protein or human NTCP receptor (Aldabe et al. 2015).

149

150 – If studies are conducted in animal models to support an HDV treatment program, we  
151 recommend including time course plots of viral load (RNA) and antigen expression  
152 data for each animal. We recommend testing different HDV/HDV genotypes and  
153 assessing resistance development where feasible.

154

155 • Evaluating HDV resistance: FDA encourages sponsors to investigate resistance in  
156 nonclinical models of infection where feasible, although such studies may be challenging

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<sup>9</sup> We support the principles of the 3Rs (reduce/refine/replace) for animal use in testing when feasible. FDA encourages sponsors to consult with review divisions when considering a nonanimal testing method believed to be suitable, adequate, validated, and feasible. FDA will consider if the alternative method could be assessed for equivalency to an animal test method.

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157 given the limitations of propagating HDV in cell culture and animal models and the  
158 dependency of HDV on HBV envelope proteins for infection.

- 159
- 160 • Evaluating HDV cross-resistance: If a drug for treatment of HDV infection is approved  
161 and HDV variants resistant to the drug are identified, these variants should be assessed  
162 for susceptibility to the investigational drug. Likewise, HDV variants resistant to the  
163 investigational drug should be assessed for susceptibility to any approved drugs for HDV.

164

165 c. Clinical pharmacology development considerations

166

167 Studies to characterize pharmacokinetics including the effect of extrinsic (e.g., drug-drug  
168 interaction studies, food effect studies) and intrinsic factors (e.g., pharmacokinetic studies in  
169 subjects with renal impairment or hepatic impairment) should be conducted early in development  
170 to inform the trial design for phase 2 and phase 3 trials. Sponsors should consider  
171 recommendations in the pertinent guidances for industry.

172

173 d. Efficacy considerations

174

175 In early clinical trials, the sponsor should measure HDV RNA levels during a short treatment  
176 period (i.e., one to three months, depending on the drug's mechanism of action) to assess  
177 activity. The sponsor should assess changes in alanine aminotransferase (ALT) as a key  
178 secondary endpoint.

179

180 2. *Drug Development Population*

181

182 Development programs should include a diverse and representative clinical trial population, and  
183 sponsors should consider the following points related to trial populations:

- 184
- 185 • HDV infection is a global disease with the greatest burden of infection occurring in  
186 Eastern and Mediterranean Europe, the Middle East, the Amazon Basin, and parts of Asia  
187 and Africa.
    - 188
    - 189 – Under 21 CFR 312.120, FDA will accept data from a well-designed, well-conducted,  
190 non-IND foreign trial as support for an IND or application for marketing approval if  
191 the trial was conducted in accordance with good clinical practice and if FDA is able  
192 to validate the data from the trial through an onsite inspection, as necessary.<sup>10</sup>
    - 193
    - 194 – Although foreign data may be acceptable as a sole basis for marketing approval under  
195 certain circumstances (see 21 CFR 314.106), FDA encourages sponsors to include  
196 U.S. patients in development programs to provide additional experience relevant to  
197 the U.S. population.
    - 198

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<sup>10</sup> For additional information, see the guidance for industry and FDA staff *FDA Acceptance of Foreign Clinical Studies Not Conducted Under an IND: Frequently Asked Questions* (March 2012).



## *Contains Nonbinding Recommendations*

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- FDA encourages sponsors to discuss their enrollment strategies and plans for phase 2 and phase 3 trials with DAVP. Eligibility criteria should allow the clinical trial population to reflect the diversity of the patients who will be using the drug if the drug is approved.<sup>11</sup>
  - Sponsors should conduct initial trials to define antiviral activity and dose-response in patients without cirrhosis or with compensated cirrhosis, as these patients are at lower risk of imminent clinical progression or decompensation. In the later stages of drug development, enrollment of patients with decompensated liver disease may be considered (see section III.B.2., Trial Population).
  - In the absence of a serious safety signal in adults, it may be appropriate to enroll adolescent patients (for the purpose of this guidance, ages 12 to younger than 18 years of age) concurrently with adults in phase 3 trials and to make every effort to obtain confirmatory pharmacokinetic and safety data from a cohort in this age group as part of the data included at the time of filing of the original new drug application or biologics license application.

### 3. *Safety Considerations*

216

217

218 An initial marketing application should include adequate safety data, such as the following, to

219 allow for a benefit-risk assessment of the drug:

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- Safety data from 300 to 500 patients exposed to the proposed drug dose and treatment duration (or greater) may be adequate; however, the size of the safety database could be smaller for investigational drugs that demonstrate substantial efficacy and safety compared to available therapies. Nonclinical or clinical safety signals may necessitate a larger safety database or the conduct of additional safety studies. For a drug approved for use in patients without cirrhosis or with compensated cirrhosis, the safety database needed to extend use of the drug to the decompensated cirrhotic population would depend on the safety profile of the investigational drug and the overall benefit-risk profile for the indicated population.
  - Clinical trial protocols should include predefined algorithms for data collection in the setting of significant hepatic events, such as ALT flares or reactivation of HDV or HBV. FDA encourages use of an independent adjudication committee to evaluate significant hepatic events to determine whether the events represent drug-related toxicity, flares related to viral reactivation, or immunologic responses to virologic infection.
  - Severe acute exacerbations of HDV and HBV infection may occur after antiviral therapy is discontinued. Hepatic function should be monitored closely with both clinical and laboratory follow-up for at least several months in patients who discontinue anti-HDV and/or anti-HBV therapy. In certain circumstances, resumption of antiviral therapy may be warranted. The sponsor should adequately monitor and evaluate these concerns in the

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<sup>11</sup> For additional information, see the draft guidance for industry *Enhancing the Diversity of Clinical Trial Populations — Eligibility Criteria, Enrollment Practices, and Trial Designs* (June 2019). When final, this guidance will represent the FDA’s current thinking on this topic.

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242 development program and convey, as appropriate, these concerns in proposed drug  
243 labeling.

244

### **B. Phase 3 Efficacy Trial Considerations**

246

#### *1. Trial Design*

248

249 No drugs have been approved for the treatment of chronic HDV infection. Therefore, a double-  
250 blind, placebo-controlled trial is the FDA's preferred trial design for a phase 3 clinical trial.

251

252 Alternative trial design options include the following:

253

254 • Three-arm, randomized, controlled trial comparing investigational drug, standard-of-care  
255 treatment, and placebo.

256

257 – Although PEG-IFN- $\alpha$  has not been approved by FDA for the treatment of chronic  
258 HDV infection, it is used in clinical practice and is considered the standard-of-care in  
259 some parts of the world. As such, the use of PEG-IFN- $\alpha$  as a comparator in a clinical  
260 trial may be acceptable. However, the treatment effect of PEG-IFN- $\alpha$  over placebo  
261 has not been well established; therefore, superiority of the investigational drug versus  
262 placebo should be demonstrated to support efficacy. The comparison between PEG-  
263 IFN- $\alpha$  and placebo can establish the effect of PEG-IFN- $\alpha$ , and the comparison  
264 between the investigational drug and PEG-IFN- $\alpha$  can help to evaluate the efficacy  
265 and the safety profile of the investigational drug.

266

267 • Randomized, controlled trial in which subjects are randomized to the investigational drug  
268 (immediate treatment group) or placebo for a prespecified duration followed by open  
269 label treatment with investigational drug (deferred treatment group). Effectiveness would  
270 be demonstrated by showing an early significant improvement over the placebo control.

271

272 • Randomized, controlled superiority trial comparing the investigational drug plus  
273 standard-of-care treatment to standard-of-care treatment alone (i.e., an add-on trial). In  
274 this case, although effectiveness is demonstrated, it would have been shown only when  
275 the investigational drug is added to the standard-of-care treatment; the sponsor would not  
276 know whether the investigational drug has an effect when used alone.

277

278 • Randomized, controlled superiority trial comparing different doses and/or durations of  
279 the investigational drug.

280

281 After approval of a drug for the treatment of HDV infection, a randomized, controlled superiority  
282 or noninferiority trial comparing the investigational drug against an active comparator is  
283 appropriate.

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### 285           2.       *Trial Population*

286  
287 Sponsors should include the following virologic and clinical characteristics in patient eligibility  
288 criteria:

- 289
- 290       • Documentation of chronic HDV infection, defined as positive serum anti-HDV  
291       antibodies
  - 292
  - 293       • Quantifiable HDV RNA of at least 6-month duration
  - 294
  - 295       • Receiving HBV treatment in accordance with current treatment guidelines. Patients who  
296       qualify for HBV treatment should be on a stable regimen for at least 3 months with  
297       documented HBV DNA suppression before initiating the HDV investigational therapy.
  - 298

299 Sponsors should enroll sufficient numbers of patients in the trials who are infected with HDV  
300 genotype 1 to assess efficacy in this population.

301  
302 Sponsors should consider the following when enrolling patients without cirrhosis or with  
303 compensated cirrhosis:

- 304
- 305       • The presence or absence of cirrhosis at trial entry should be documented. The use of a  
306       noninvasive modality to define the presence or absence of cirrhosis in a trial should be  
307       supported by references that summarize the performance characteristics and sensitivity  
308       and specificity of the modality for its intended purpose in the proposed population.
  - 309
  - 310       • FDA recommends that sponsors exclude patients with decompensated cirrhosis or a  
311       history of any prior hepatic decompensation event until data on the safety and  
312       effectiveness of a given therapy in patients without cirrhosis and with compensated  
313       cirrhosis are obtained.
  - 314

### 315           3.       *Randomization and Stratification*

316  
317 If multiple subpopulations are included in the same trial, sponsors can consider stratifying groups  
318 at randomization based on key variables such as presence or absence of cirrhosis, baseline HDV  
319 RNA level, and genotype/region.

### 320           4.       *Dose Selection*

321  
322  
323 FDA encourages sponsors to use quantitative clinical pharmacology approaches that leverage  
324 prior information to optimize dose selection for phase 3 trials. These approaches are addressed in  
325 other guidances for industry.<sup>12</sup>

326

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<sup>12</sup> See the guidance for industry *Exposure-Response Relationships — Study Design, Data Analysis, and Regulatory Applications* (April 2003) and the draft guidance for industry *Population Pharmacokinetics* (July 2019) (when final, this guidance will represent the FDA’s current thinking on this topic).

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### 327 5. *Comparators*

328  
329 See section III.B.1., Trial Design, for a description of potential comparators for use in different  
330 trial designs.

### 331 332 6. *Efficacy Endpoints*

#### 333 334 a. Primary endpoints

335  
336 FDA anticipates that initial approvals for anti-HDV drugs will be based on a surrogate endpoint  
337 that is reasonably likely to predict clinical benefit. An appropriate surrogate endpoint for the  
338 treatment of HDV should provide evidence of both a decline in virologic replication and an  
339 improvement in associated liver inflammation as evident by biochemical response (see section  
340 III.B.9., Accelerated Approval (Subpart H) Considerations, for additional information regarding  
341 approval under the accelerated approval pathway). For FDA, the following surrogate endpoint  
342 could reasonably predict clinical benefit and could be considered to support an accelerated  
343 approval:

- 344  
345 • The proportion of trial patients with undetectable serum HDV RNA (defined as less than  
346 the lower limit of quantification (LLOQ), target not detected (TND)) and ALT  
347 normalization.

348  
349 There are some data suggesting that a 2- $\log_{10}$  decline in HDV RNA is associated with clinical  
350 benefit (Farci et al. 2004; Yurdaydin et al. 2019); therefore, in certain situations, such as for  
351 drugs that are intended to be used as chronic suppressive therapy, a greater than or equal to 2-  
352  $\log_{10}$  decline in HDV RNA and ALT normalization on-treatment could be considered an  
353 acceptable surrogate endpoint reasonably likely to predict clinical benefit (see section III.B.9.,  
354 Accelerated Approval (Subpart H) Considerations). The sponsor can request a Type C formal  
355 meeting to discuss the use of a novel surrogate endpoint as the primary basis for drug approval.<sup>13</sup>

356  
357 The timing of the primary endpoint assessment (whether on-treatment, at the end-of- treatment,  
358 or off-treatment after a specified duration of follow-up) will depend on the treatment strategy  
359 used (i.e., finite duration of therapy versus chronic suppressive therapy) for a specific drug. FDA  
360 encourages the sponsor to discuss its proposed primary efficacy endpoint and the timing of the  
361 endpoint assessment with DAVP.

362  
363 Approval based on a surrogate endpoint reasonably likely to predict clinical benefit will require  
364 subsequent confirmation using a clinical endpoint. FDA's preferred clinical endpoint is  
365 improvement in clinical outcomes such as decrease in progression to cirrhosis, progression to  
366 decompensated liver disease, liver transplantation, hepatocellular carcinoma, and liver-related  
367 death. These clinical outcomes should be collected as long-term follow-up data.

368

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<sup>13</sup> See the draft guidance for industry *Formal Meetings Between the FDA and Sponsors or Applicants of PDUFA Products* (December 2017). When final, this guidance will represent the FDA's current thinking on this topic.

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b. Secondary endpoints

Sponsors should consider the following secondary endpoints:

- Greater than or equal to 2- $\log_{10}$  decline in serum HDV RNA
- HDV RNA less than LLOQ (TND)
- ALT normalization
- Histological response or change in liver stiffness
- Change in Model for End-Stage Liver Disease scores
- Change in Child-Turcotte-Pugh scores

7. *Trial Procedures and Timing of Assessments*

The optimal timing of the primary endpoint assessment is unknown. Sponsors should consider the following for timing of assessments:

- For therapies intended to be administered indefinitely, an on-treatment assessment after a predefined time period can be acceptable for efficacy.
- For therapies intended to be administered for a finite duration, FDA’s preferred endpoint is an off-treatment assessment of efficacy.

8. *Statistical Considerations*

For recommendations and considerations on statistical analysis methods and issues, see the guidance for industry *Providing Clinical Evidence of Effectiveness for Human Drug and Biological Products* (May 1998) and the article “Statistical Considerations on Subgroup Analysis in Clinical Trials” (Alosh et al. 2015).

a. Efficacy analyses

The preferred primary endpoints for phase 3 trials are described above in section III.B.6., Efficacy Endpoints. Sponsors should consider the following recommendations for analyzing the primary efficacy endpoint:

- The primary analysis should compare the proportion of responders across trial treatment arms. This analysis determines whether effectiveness has been demonstrated.
- The analysis of the primary efficacy endpoint should be performed within important subgroups based on demographic and baseline characteristics (e.g., geographic region, sex, race, age group, screening HDV RNA level, HDV/HBV genotypes, baseline weight and body mass index, baseline ALT, baseline fibrosis/cirrhosis, (if applicable) response to previous treatment regimens). The purpose of these analyses is to explore the consistency of the primary efficacy endpoint result across these subgroups.

## ***Contains Nonbinding Recommendations***

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414 b. Noninferiority trials

415  
416 Because there are no approved therapies for the treatment of chronic HDV infection at this time,  
417 a noninferiority trial design is not possible. In the future, should there be approved therapies for  
418 the treatment of chronic HDV infection, noninferiority trials may be acceptable. Sponsors should  
419 justify proposed noninferiority margins and discuss with DAVP.<sup>14</sup>

420  
421 c. Combination regimens

422  
423 Sponsors planning to evaluate a combination regimen of two or more drugs should consult 21  
424 CFR 300.50 regarding combination drugs. Additional recommendations for codevelopment of  
425 two new investigational drugs can be found in the guidance for industry *Codevelopment of Two*  
426 *or More New Investigational Drugs for Use in Combination* (June 2013).

427  
428 9. *Accelerated Approval (Subpart H) Considerations*

429  
430 For HDV infection, no surrogate endpoints have been definitively shown to predict clinical  
431 benefit. Trials aimed at demonstrating the clinical benefit of an HDV therapy would likely  
432 require a prolonged follow-up period. Therefore, FDA anticipates that development programs  
433 may opt to pursue accelerated approval pathways based on a surrogate endpoint reasonably  
434 likely to predict clinical benefit (see section III.B.6., Efficacy Endpoints). An accelerated  
435 approval pathway will require confirmation of clinical benefit through a long-term extension of  
436 the original trial or a subsequent additional clinical trial or trials. Sponsors should consider  
437 planning for the confirmatory trial(s) during the development of the phase 3 program.

438  
439 **C. Other Considerations**

440  
441 1. *Clinical Virology Considerations*

442  
443 Sponsors can find general recommendations for clinical virology assessments in the guidances  
444 for industry *Antiviral Product Development — Conducting and Submitting Virology Studies to*  
445 *the Agency* and *Chronic Hepatitis B Virus Infection: Developing Drugs for Treatment*. Sponsors  
446 should consider the following recommendations specific for HDV infection:

- 447  
448 • *Virologic Assessments*
- 449
  - 450 – For virologic assessments in clinical trials, we recommend the use of FDA-approved
  - 451 or FDA-cleared assays, if available, and a central laboratory. If an investigational
  - 452 assay or assays are used, the sponsor should provide performance characteristics of
  - 453 the assay(s) determined from analytical validation studies using geographically and
  - 454 temporally distinct isolates in addition to detailed descriptions of the methodology.
  - 455 Viral loads should be reported in international units per milliliter (IU/mL).
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<sup>14</sup> For additional information on determining noninferiority margins, see the guidance for industry *Non-Inferiority Clinical Trials to Establish Effectiveness* (November 2016).

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- Because HDV requires the HBV envelope protein to propagate, clinical efficacy assessments should include virologic parameters for both HDV and HBV.
  - Samples for HDV and HBV quantification, genotypic, and phenotypic analysis should be obtained at multiple time points during treatment and follow-up.
  - Where feasible, we recommend determining the genotypes/subtypes of both HDV and HBV present at baseline and, hence, determine if the investigational drug exhibits antiviral activity against all the HDV/HBV genotypes/subtypes represented in the trial.
- 468 • *Resistance Assessment*
- 469
- In general, for treatment of HDV infection, virologic failure is defined as a confirmed increase in HDV RNA levels of greater than or equal to 1.0 log<sub>10</sub> IU/mL above the nadir value (assuming an initial response of at least 1.0 log<sub>10</sub> IU/mL compared with baseline) or having quantifiable HDV RNA after being less than LLOQ (TND). In general, virologic nonresponse is defined as less than or equal to 1.0-log<sub>10</sub> IU/mL reduction in HDV RNA levels compared with baseline.
  - Genotypic assessment of resistance should include sequencing of the HDV genome and, for drugs that act through the HBV envelope protein or NTCP receptor, sequencing of the HBsAg coding region where feasible. Any changes, including mixtures, in the amino acid sequence of the target protein (or nucleotide sequence for genome targeting drugs) present in on-treatment or follow-up samples, but not in the baseline sample, can be reported as having developed during therapy.
  - Phenotypic assessment of resistance should include analysis of HDV variants in cell culture, if feasible, and determination of loss of susceptibility to the investigational drug.
  - Before submission of resistance data, contact FDA to obtain the most recent format recommendations for submitting resistance datasets.
  - For drugs with a host target, the frequency of polymorphisms in the target in key U.S. racial groups should be reported and their effect on efficacy assessed in clinical trials.
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