

FOOD AND DRUG ADMINISTRATION (FDA)  
Center for Biologics Evaluation and Research (CBER)

152<sup>nd</sup> Meeting of the  
Vaccines and Related Biological Products  
Advisory Committee (VRBPAC)

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FDA White Oak Campus  
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"This transcript appears as received from the commercial transcribing service after inclusion of minor corrections to typographical and factual errors recommended by the DFO".

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1                   **PROCEEDINGS**                   **(8:05 a.m.)**

2                   **Agenda Item: Welcome**

3                   DR. MCINNES: Good morning. I would like to call  
4 the meeting to order. I would like to welcome the members  
5 of the panel and the committee and the participants and the  
6 public who are attending today as well as the audience who  
7 is viewing via the webcast.

8                   I would like to invite the members and  
9 consultants to introduce themselves. Please include your  
10 name, your institutional affiliation, and perhaps a few  
11 words about your expertise. We could start. Let me start  
12 actually with Dr. Heine and very special welcome to you.  
13 Thank you.

14                  DR. HEINE: Phillip Heine. I am a maternal fetal  
15 medicine specialist from Duke University who also has a  
16 research interest and clinical focus in reproductive  
17 infectious diseases.

18                  DR. GILBERT: Peter Gilbert from the Fred  
19 Hutchinson Cancer Research Center. I am a statistician who  
20 works on clinical trials for different vaccines, mostly  
21 HIV, but also for other vaccines.

22                  DR. KOTLOFF: I am Karen Kotloff from the  
23 University of Maryland, Center for Vaccine Development. I  
24 am a pediatric infectious disease physician, principal

1 investigator of the Vaccine Treatment and Evaluation Unit  
2 at the Center for Vaccine Development.

3 DR. SPEARMAN: Paul Spearman. I am chief of  
4 infectious disease at Cincinnati Children's Hospital. I am  
5 a molecular virologist and also work through the VTUs to do  
6 vaccine trials and very interested. Thank you.

7 DR. BOK: Good morning. I am Karin Bok from the  
8 National Vaccine Program Office at HHS. I am a vaccine  
9 scientist and my specialty is maternal immunization of  
10 vaccine safety.

11 DR. SCHRAG: I am Stephanie Schrag. I am from the  
12 Division of Bacterial Diseases at the Centers for Disease  
13 Control and Prevention. I am here just for today because I  
14 also lead CDC's group B strep program.

15 DR. SUN: Wellington Sun, director of Division of  
16 Vaccines, Office of Vaccines here at CBER.

17 DR. GRUBER: Good morning. My name is Marion  
18 Gruber. I am the director of the Office of Vaccines  
19 Research and Review at CBER.

20 DR. GREENBERG: Good morning. David Greenberg,  
21 head of medical for Sanofi Pasteur and adjunct professor of  
22 pediatrics at University of Pittsburgh, history of  
23 vaccinology in pediatrics through seniors.

24 DR. MONTO: Good morning. I am Arnold Monto. I am  
25 an epidemiologist at the University of Michigan, School of

1 Public Health, mainly working on respiratory and other  
2 acute infections.

3 DR. SHANE: Good morning. I am Andy Shane. I am  
4 the interim division director of pediatric infectious  
5 diseases at Emory University and Children's Health Care of  
6 Atlanta. Thank you.

7 DR. JANES: Good morning. I am Holly Janes. I am a  
8 virus statistician at Fred Hutchinson and I work in HIV  
9 prevention efficacy trials.

10 DR. SAHLY: Good morning. Hana Sahly, Baylor  
11 College of Medicine, trained and practicing adult  
12 infectious diseases with a research interest in clinical  
13 vaccine development at the VTU as with other members here.

14 DR. MCINNES: Mr. Toubman, I believe you are on  
15 the phone. Can you hear us? Sheldon? Can you hear us? We  
16 cannot hear you. If you are on mute - was he muted? We have  
17 a lovely seat here for you with your name on it. I want you  
18 to know you are not forgotten. When we can make contact  
19 with you, we will circle back.

20 I am Pamela McInnes. I am the deputy director of  
21 the National Center for Advancing Translational Sciences,  
22 one of the newest institutes at the National Institute of  
23 Health. I am extremely privileged to be chairing this  
24 meeting. Dr. Edwards was not able to be here. I thank you  
25 for this opportunity.

1           Let me pass now to Serina to introduce yourself.

2           MS. HUNTER-THOMAS: Good morning everyone. My name  
3 is Serina Hunter-Thomas. I am the designated federal  
4 officer for VRBPAC.

5           DR. MCINNES: We will move to administrative  
6 announcements and conflict of interest statement.

7           **Agenda Item: Administrative Announcements,**  
8 **Conflict of Interest Statement**

9           MS. HUNTER-THOMAS: Good morning everyone. On  
10 behalf of the Food and Drug Administration, the Center for  
11 Biologics Evaluation and Research and VRBPAC, we would like  
12 to welcome everyone to this meeting. It is my pleasure to  
13 serve as a designated federal officer for the 152<sup>nd</sup> VRBPAC  
14 meeting.

15           The committee management specialists for this  
16 meeting are Rosanna Harvey and Joyce Mercer-Dickens. The  
17 committee management officers for this meeting is Casey  
18 Stewart, Julia Marie Keller, and Jeanette Devine. Thank you  
19 for the small village that is takes to put these meetings  
20 together.

21           Today's session has two topics, one that is open  
22 to the public in its entirety and one that is partially  
23 closed, which is Topic II. And the meeting topics are  
24 described in the Federal Register Notice that was published  
25 on April 26, 2018.

1           The FDA CBER press media representative for today  
2 is Miss Megan McSeveney. Megan, if you could please stand  
3 for a moment so that everyone can identify with you. Any  
4 press or members of the press can reach out to her as  
5 needed.

6           The transcriptionist for the meeting today is Mr.  
7 Chanda Chhay. He is from CASET.

8           I would like to remind everyone to please check  
9 your pagers and cell phones and make sure that they are  
10 either turned off or in silent mode. When making your  
11 comments, please first state your name and speak up so that  
12 your comments are accurately recorded for transcription.

13           Dr. McInnes, I would like to make a personal  
14 statement to thank you for your longstanding dedicated  
15 service to VRBPAC. You will be truly missed as this is your  
16 last meeting with us. Thank you so much.

17           DR. MCINNES: We are happy to have had Mike Levine  
18 join us. Welcome. We have gone around the table, Mike. Each  
19 person introducing themselves, saying their name, where  
20 they were from and what their interest is, their expertise  
21 perhaps for this committee. Welcome and let me ask you to  
22 do the same please.

23           DR. LEVINE: I am from the University of Maryland  
24 School of Medicine from the Center for Vaccine Development



1 and Global Health, the CVD. I am interested in vaccines,  
2 every aspect thereof.

3 DR. MCINNES: Sheldon, have you joined us by any  
4 chance? We will move on.

5 MS. HUNTER-THOMAS: We are going to proceed now  
6 with the conflict of interest disclosure statement for  
7 topic one for this meeting. The Food and Drug  
8 Administration is convening today for the 152<sup>nd</sup> Meeting of  
9 the Vaccines and Related Biological Products Advisory  
10 Committee under the authority of the Federal Advisory  
11 Committee Act of 1972.

12 Dr. Pamela McInnes is serving as the chair of the  
13 meeting for both Topics One and Topic Two. This meeting  
14 will have two separate conflict of interest disclosure  
15 statements read prior to each topic session that will occur  
16 during this meeting.

17 The entire meeting for Topic One will be  
18 conducted in an open session in which the committee will  
19 discuss approaches for demonstrating effectiveness of group  
20 B streptococcus vaccines intended for use in pregnant women  
21 to protect the newborn infant. This topic is determined to  
22 be a particular matter involving specific parties for PMI-  
23 SP.

24 With the exception of the industry  
25 representative, all participants of the committee are

1 either special government employees or regular federal  
2 government employees from other agencies and are subject to  
3 the federal conflict of interest laws and regulations.

4           The following information on the status of this  
5 Advisory Committee's compliance with federal ethics and  
6 conflict of interest laws including but not limited to 18  
7 US Code 208 is being provided to participants at this  
8 meeting and to the public. This conflict of interest  
9 statement will be available for public viewing at the  
10 registration table.

11           Related to the discussions at this meeting, all  
12 members and consultants of this committee have been  
13 screened for potential financial conflict of interest of  
14 their own as well as those imputed to them, including those  
15 of their spouse or minor children for the purposes of 18 US  
16 Code 208 and their employers.

17           These interests may include investments,  
18 consultants, expert witness testimony, contracts and  
19 grants, CRADAs, teaching, speaking, writing, patents and  
20 royalties and primary employment. The FDA has determined  
21 that all members of this Advisory Committee are in  
22 compliance with federal ethics and conflict of interest  
23 laws.

24           Under 18 US Code 208, Congress has authorized FDA  
25 to grant waivers to special government employees and

1 regular government employees who have financial conflicts  
2 when it is determined that the agency's need for a  
3 particular individual service outweighs his or her  
4 potential financial conflict of interest. However, based on  
5 today's agenda and all financial interests reported by  
6 members and consultants, no conflict of interest waivers  
7 were issued under 18 US Code 208.

8           Dr. David Greenberg is currently serving as the  
9 industry representative for this meeting. Dr. Greenberg is  
10 employed by Sanofi Pasteur. He is the industry  
11 representative that acts on behalf of all related industry  
12 and bring general industry perspective to the committee.  
13 Industry representatives are not appointed as special  
14 government employees and are non-voting members of the  
15 committee. Hence, industry representatives are not screened  
16 and do not participate in the closed sessions and do not  
17 have voting privileges.

18           Mr. Sheldon Taubman is serving as the consumer  
19 representative for this committee. Consumer representatives  
20 are appointed special government employees and are screened  
21 and cleared prior to their participation in the meeting.  
22 They are voting members of the committee and hence do have  
23 voting privileges and they do participate in the closed  
24 sessions.

1           Dr. Stephanie Schrag is employed by the Centers  
2 for Disease Control and Prevention. Dr. Schrag is a regular  
3 government employee and is serving as a speaker for this  
4 meeting. Dr. Schrag has acknowledged her expertise on the  
5 group B streptococcal disease and has clarified that she is  
6 not involved in any clinical trials involving GSK or  
7 Pfizer.

8           At this meeting, there are regulated industry  
9 speakers and other outside organization speakers making  
10 presentations. These speakers may have financial interests  
11 associated with their employer and with other regulated  
12 firms. The FDA asks in the interest of fairness that they  
13 disclose any current or previous financial involvement with  
14 any firm whose product they may wish to comment upon. These  
15 individuals were not screened by the FDA for conflicts of  
16 interest.

17           Dr. Judith Absalon and Anneliesa Anderson are  
18 both currently employed by Pfizer and will be serving as  
19 guest speakers for this meeting and will make  
20 presentations. Drs. Absalon and Anderson have acknowledged  
21 having financial interest in or professional relationship  
22 with Pfizer, an affected firm for this meeting.

23           Dr. Shabir Madhi is employed by the University of  
24 Witwatersrand, Johannesburg, South Africa, and is serving  
25 as a guest speaker for this meeting and will make a

1 presentation. Dr. Madhi has acknowledged having financial  
2 interests in or professional relationships with some of the  
3 affected firms identified for this meeting, namely Pfizer.  
4 Additionally, he is involved in clinical trials related to  
5 GBS vaccine development and epidemiology that are funded by  
6 Novartis.

7           Dr. Carol Baker is employed by the Baylor College  
8 of Medicine and is serving as a guest speaker for this  
9 meeting as well. She will make a presentation. Dr. Baker  
10 has no financial conflicts of interest with any of the  
11 affected firms for this meeting.

12           Guest speakers are not special government  
13 employees. The FDA encourages all other participants to  
14 advise the committee of any financial relationships that  
15 they may have with any firms, its products, and if known,  
16 its direct competitors.

17           We would like to remind members, consultants and  
18 participants that if the discussions involve any other  
19 products or firms not already on the agenda for which an  
20 FDA participant has a personal or imputed financial  
21 interest, the participants need to inform the DFO and  
22 exclude themselves from such involvement and their  
23 exclusion will be noted for the record.

1           This concludes my reading of the conflicts of  
2 interest statement for the public record related to Topic  
3 One.

4           DR. MCINNES: Thank you very much, Serina. This is  
5 a very special day that we have finally come to after  
6 really quite a long journey to speak very specifically  
7 about endpoints for prevention of group B strep disease in  
8 infants.

9           The topic is titled approaches for demonstrating  
10 effectiveness of group B streptococcus, otherwise known as  
11 GBS vaccines, intended for use in pregnant women to protect  
12 the newborn infants. We have quite an array of speakers and  
13 discussions. I would like to invite Dr. Cara Fiore from the  
14 FDA to present the introduction from the agency and the  
15 presentation of the questions.

16                   **Agenda Item: Topic 1: Approaches for**  
17 **Demonstrating Effectiveness of Group B Streptococcus (GBS)**  
18 **Vaccines Intended for Use in Pregnant Women to Protect the**  
19 **Newborn Infant**

20                   **Agenda Item: FDA Introduction and Presentation of**  
21 **Questions**

22           DR. FIORE: Thank you so much for coming out  
23 today. I am Cara Fiore. I am in the Division of Vaccines  
24 and Related Products Applications within the Office of

1 Vaccines Research and Review and the Center for Biologics  
2 within the FDA.

3 Today, we are going to talk about the evaluation  
4 of effectiveness of vaccines intended to prevent group B  
5 streptococcal disease in infants. I am going to give a very  
6 brief introduction and background to this.

7 Over the next few minutes, I am going to go over  
8 the bacterium that is group B streptococcus, which is  
9 *Streptococcus agalactiae* or GBS. I am going to go over very  
10 briefly at a high level of group B strep vaccine  
11 development. And I am going to present today's invited  
12 speakers and present the advisory committee questions that  
13 we are asking the Advisory Committee to opine on.

14 Today, we will discuss the approaches for  
15 evaluating the effectiveness of group b streptococcus or  
16 GBS vaccines in preventing infant disease in the context of  
17 maternal immunization.

18 Group B strep or GBS is a gram positive,  
19 diplococcus opportunistic pathogen. GBS has a variety of  
20 virulence factors that contribute to its pathogenesis.  
21 Group B strep expresses pili proteins, human lysine and  
22 other toxins that facilitate colonization and invasion of  
23 its hosts. Additionally, it is encapsulated. It has an  
24 outer polysaccharide. The capsular polysaccharide is a

1 critical virulence factor such that acapsular mutants have  
2 a decreased pathogenicity.

3           Serotyping of GBS is based on the capsular  
4 polysaccharide. To date, there have been ten clinically  
5 relevant GBS serotypes that have been identified: Ia, Ib,  
6 II, III, IV, V, VI, VII, VIII, and IX. Antibodies to the  
7 capsular polysaccharide have been shown to be protective in  
8 animal models and human studies. These studies were done  
9 early on by Carol Baker and Dennis Kasper, dating back to  
10 the 1970s.

11           As I mentioned, we will discuss evaluation of the  
12 group B strep vaccines intended for maternal immunization.  
13 Maternal immunization in this session refers to vaccination  
14 during pregnancy. It is the passive protection of the  
15 infant using antibodies passed from the vaccinated mother  
16 to the unborn child with antibodies remaining active early  
17 in the neonate afterbirth. Currently, there are no vaccines  
18 licensed for maternal immunization in the US specifically  
19 to protect the infant when administered to the pregnant  
20 woman.

21           A GBS vaccine for maternal immunizations has been  
22 identified as a priority by the World Health Organization.  
23 On November 13, 2015, we had the VRBPAC topic of  
24 considerations for evaluation of safety and effectiveness



1 of vaccines administered to pregnant women to protect the  
2 infant.

3 Today's discussion will focus on the evaluation  
4 of group B strep vaccine effectiveness and the FDA will not  
5 present any product-specific information.

6 I am just going to go over very briefly at a very  
7 high level product development for group B strep so far.  
8 Historically, there have been polysaccharide-based vaccines  
9 developed for group B strep. And although these  
10 polysaccharide-based vaccines illicit an immune response,  
11 they did not provide robust protection in humans. Based on  
12 published literature, a present approach would more likely  
13 be a polysaccharide conjugate vaccine where the  
14 polysaccharide is conjugated to a carrier protein, thereby  
15 eliciting an improved immune response.

16 Another approach could be approaching subunit  
17 vaccines.

18 Because there are ten clinically relevant  
19 serotypes for group B strep, a vaccine would likely be  
20 multivalent to provide wider clinical coverage. The  
21 approaches that we discussed today will inform group B  
22 strep vaccine development.

23 Now, I am going to go over today's invited  
24 speakers and the topics that they are going to speak about.  
25 Dr. Darcie Everett is up first. She is from the FDA and she

1 will frame the discussion of potential study endpoints,  
2 including a spectrum of clinical disease.

3           After Dr. Everett, we will hear from Dr.  
4 Stephanie Schrag. Dr. Schrag is an epidemiologist with the  
5 US Centers for Disease Control and Prevention. And the  
6 title of her talk is group B strep young infant disease  
7 burden, trends and prevention strategies: high income  
8 countries.

9           Dr. Carol Baker will be up next and she is from  
10 the Baylor College of Medicine. Her talk is entitled GBS  
11 conjugate vaccines: early development and correlates of  
12 protection.

13           Dr. Shabir Madhi will be joining us via WebEx. He  
14 is professor of vaccinology in South Africa. The title of  
15 his talk is clinical and immunological epidemiology of  
16 group B strep disease and colonization in low and middle-  
17 income countries.

18           Lastly, we will hear from Pfizer. Pfizer will  
19 present two different talks. They will discuss their group  
20 B streptococcus maternal immunization program.

21           Now, I am going to go over the questions that we  
22 are asking the advisory committee to opine on. The first  
23 question is in addition to laboratory confirmed early or  
24 late onset disease, what additional clinical disease  
25 endpoints, i.e. unconfirmed and confirmed fetal or infant

1 endpoints, maternal endpoints, could be considered to  
2 demonstrate vaccine effectiveness. Please discuss their  
3 strengths and limitations.

4           The second question is could immunological  
5 endpoints, i.e. functional and ligand binding antibody  
6 levels, be used to demonstrate vaccine effectiveness. If  
7 so, please discuss their strengths and limitations.

8           And thirdly and lastly, could colonization be  
9 used to demonstrate vaccine effectiveness? If so, please  
10 discuss its strengths and limitations.

11           With that, I would like to introduce Dr. Darcie  
12 Everett.

13           **Agenda Item: Evaluation of the Effectiveness of**  
14 **Vaccines Intended to Prevent Group B Streptococcal Disease**  
15 **in Infants**

16           DR. EVERETT: Good morning. I am Dr. Darcie  
17 Everett, medical officer at the FDA. I will be presenting  
18 on the evaluation of the effectiveness of vaccines intended  
19 to prevent group B streptococcal disease in infants in  
20 order to frame the Advisory Committee's discussion about  
21 study endpoints.

22           Today I will be providing some background for the  
23 discussion by giving an overview of the spectrum of  
24 clinical disease caused by group B streptococcus or GBS. I  
25 will talk a little bit about current prevention strategies.

1 I will then briefly discuss licensure pathways that may be  
2 considered in the development of GBS vaccines.

3           Finally, I will discuss endpoints that could be  
4 considered for pivotal studies to demonstrate vaccine  
5 effectiveness. This will include clinical disease  
6 endpoints, immunologic endpoints, and colonization.

7           I will start with an overview of the spectrum of  
8 clinical disease caused by GBS in young infants and  
9 pregnant and postpartum women. As Dr. Fiore discussed, GBS  
10 is an encapsulated gram-positive bacterium of which ten  
11 serotypes have been identified. In most cases, GBS is a  
12 commensal organism that colonizes the genital and  
13 gastrointestinal tracts of healthy adults. Globally, it is  
14 estimated that approximately 18 percent of adults are  
15 asymptomatic carriers based upon assessments of  
16 colonization in pregnant women.

17           Although most colonized individual will not  
18 develop disease due to GBS, it is an important cause of  
19 invasive disease particularly in certain groups. GBS is the  
20 leading cause of morbidity and mortality in neonates and  
21 young infants and also causes disease in pregnant and  
22 postpartum women.

23           Five serotypes, serotypes Ia, Ib, II, III, and V,  
24 account for approximately 97 percent of invasive disease in  
25 infants worldwide.

1           GBS disease in the infant is categorized by the  
2 age of the infant and disease onset with disease  
3 manifesting in the first week of life known as early-onset  
4 disease and disease manifesting from one week to three  
5 months of life known as late-onset disease.

6           In early onset disease, GBS is transmitted  
7 directly from a colonized mother to her fetus or infant.  
8 Transmission occurs via an ascending infection in utero  
9 usually after rupture of membranes, but GBS invasion can  
10 occur through intact membranes.

11           Transmission can also occur during passage  
12 through the vagina, but usually this results in  
13 asymptomatic colonization of the infant.

14           Because early onset disease is often the result  
15 of peripartum invasion, early onset disease usually occurs  
16 within the first 24 hours of life. The most common clinical  
17 syndromes are sepsis without a focus, pneumonia or  
18 meningitis.

19           Late-onset disease occurs between days 7 through  
20 89 of age. And the infant may acquire GBS from colonized  
21 household members or the community. The common clinical  
22 syndromes are sepsis without a focus, meningitis, and less  
23 commonly other focal infections such as cellulitis,  
24 pneumonia, septic arthritis and osteomyelitis. Late-onset  
25 disease is more likely to present as meningitis than early-

1 onset disease and serotype 3, which is associated with an  
2 increased risk of meningitis, is more common in late-onset  
3 disease.

4 Case fatality for early-onset disease used to be  
5 as high as 50 percent, but has improved with advances in  
6 neonatal care. In November of 2017, a series of 11 articles  
7 describing the burden of GBS disease in infants and  
8 pregnant women was published in Clinical Infectious  
9 Diseases. Our guest speakers contributed to these articles  
10 and much of my data on background incidence and impact is  
11 taken from this series.

12 In this global meta-analysis, case fatality for  
13 early-onset disease was 5 percent in high-income countries,  
14 but was as high as 27 percent in Africa. Case fatality for  
15 late-onset disease was 4 percent in high-income countries  
16 and 12 percent in Africa.

17 Data on long-term outcomes in infants with  
18 invasive GBS disease has focused on neurodevelopmental  
19 outcomes in infants with GBS meningitis. The November 2017  
20 meta-analysis estimated that worldwide 32 percent of GBS  
21 meningitis survivors had neurodevelopmental impairment at  
22 18 months of follow-up, including 18 percent who had  
23 moderate to severe impairment.

24 As stated previously, a large majority of infants  
25 born to GBS colonized women do not develop invasive

1 disease. Risk factors contributing to the development of  
2 early-onset disease have been well characterized. The  
3 primary risk factor and virtually a prerequisite for early  
4 onset disease is maternal GBS colonization. Heavy maternal  
5 colonization and maternal GBS bacteriuria, which may be a  
6 marker for heavy colonization, are also risk factors for  
7 early onset infant disease.

8           Other risk factors are preterm delivery,  
9 premature or prolonged rupture of membranes,  
10 chorioamnionitis, as determined by maternal intrapartum  
11 fever, and prior delivery of an infant with GBS disease.  
12 Deficiency in naturally acquired maternal anti-capsular  
13 polysaccharide antibody is also a risk factor for infant  
14 disease, which you will hear more about later in the  
15 presentation and in our guest speakers' presentations  
16 today.

17           Risk factors for late-onset disease are not as  
18 well as characterized as for early onset, but maternal  
19 colonization, preterm birth and HIV are risk factors for  
20 disease presenting from one week to three months of life.

21           In addition to early and late-onset disease,  
22 invasive GBS disease can also cause stillbirth, which is  
23 fetal death resulting in an infant who was born with no  
24 signs of life after a specified gestation. In the United  
25 States, stillbirth is defined at or after 20 weeks. The

1 World Health Organization defines stillbirth at or after 28  
2 weeks of gestation.

3 GBS is known to cause stillbirth with  
4 transmission occurring through the same mechanism as early  
5 onset disease, an ascending infection in utero in a  
6 colonized pregnant woman.

7 In observational studies attempting to quantify  
8 the contribution that GBS makes to stillbirth, varied  
9 diagnostic techniques have been used to identify GBS-  
10 related stillbirth. But a generally accepted definition is  
11 isolation of GBS from a sterile site in a stillbirth  
12 infant.

13 In the November 2017 series, a meta-analysis  
14 estimated that based on the studies including data since  
15 2000, 1 percent of all stillbirths in high-income countries  
16 and 4 percent of stillbirths in Africa were associated with  
17 GBS.

18 GBS can also cause maternal disease in pregnant  
19 and postpartum women, leading to infections of the urinary  
20 tract, the genital tract causing chorioamnionitis and  
21 postpartum endometritis and bloodstream infections.

22 In observational trials that have attempted to  
23 quantify the burden of maternal GBS disease, invasive  
24 disease is generally defined as isolation of GBS from a  
25 sterile site in a pregnant woman or women up to 42 days



1 postpartum with clinical symptoms such as fever. This  
2 definition does not include nonsystemic infections such as  
3 maybe the case with pyelonephritis or chorioamnionitis.

4           In the November 2017 series, a systematic review  
5 meta-analysis found limited data quantifying the burden of  
6 maternal invasive GBS disease in high-income countries and  
7 no qualifying data from low or middle-income countries.

8           Based on the limited data from high-income  
9 countries, case fatality for pregnant and postpartum women  
10 was estimated to be 0.2 percent. However, risk to the fetus  
11 or infant was greater with an estimated 7 percent of  
12 pregnancies in which the woman had invasive disease ending  
13 in stillbirth or miscarriage and an estimated 2 percent of  
14 infants born to women with invasive disease dying within  
15 the first month of life.

16           GBS has also been implicated in other clinical  
17 outcomes such as preterm birth and neonatal encephalopathy.  
18 But the bacteria's exact contribution is not known. In the  
19 November 2017 series, a global meta-analysis estimated the  
20 relevant risk for preterm birth with maternal GBS  
21 colonization to be 1.2 in cohort and cross-sectional  
22 studies and the odds ratio to be 1.85 in case control  
23 studies.

24           In this analysis, preterm birth was associated  
25 with GBS bacteriuria in cohort studies with a relative risk

1 of 1.98. It is not known whether these results are due to  
2 confounding or whether GBS bacteria can cause preterm birth  
3 without necessarily resulting in invasive disease.

4           Neonatal encephalopathy has been associated with  
5 GBS disease and mortality is approximately twice as high in  
6 infants with neonatal encephalopathy and GBS isolated by  
7 culture or molecular assay from a normally sterile site  
8 compared to cases not associated with GBS.

9           Prevention of GBS disease in infants has evolved  
10 over time. Currently invasive disease can be prevented with  
11 the use of antibiotics administered during labor.

12           There are two general approaches for identifying  
13 subjects to whom intrapartum antibiotic prophylaxis or IAP  
14 is administered. The risk-based approach identifies  
15 candidates for IAP based on maternal risk factors for  
16 having an infant with early onset disease. Risk factors  
17 used to identify women are the same risk factors for early  
18 onset disease.

19           The culture-based approach screens pregnant women  
20 by rectovaginal culture late in pregnancy and all GBS  
21 colonized women are given IAP.

22           Countries that have adopted policies for GBS  
23 prevention and IAP administration have chosen different  
24 strategies. Some have opted for the risk-based approach in

1 both high and low-income countries and others have opted  
2 for the culture-based approach.

3           For countries in which the risk-based approach  
4 has been employed, recommending bodies have selected  
5 different risk factors in order to determine who should  
6 receive IAP.

7           It is important to note that in countries in  
8 which a GBS vaccine study may be conducted, there may be a  
9 different standard of care for intrapartum antibody  
10 prophylaxis for GBS prevention than that used in the United  
11 States.

12           In 1992, different guidelines for IAP were issued  
13 separately by the American Academy of Pediatrics and the  
14 American College of Obstetricians and Gynecologists. In  
15 1996, consensus guidelines recommended that clinicians use  
16 one of the two approaches to GBS prevention but did not  
17 specify a preference.

18           In 2002, the recommendation was revised to  
19 universal screening by rectovaginal culture for GBS  
20 colonization at 35 to 37 weeks gestation and treatment of  
21 all colonized women with IAP.

22           Since these recommendations were made, the  
23 incidence of early-onset disease depicted by the red line  
24 has declined. But the incidence of late-onset disease, the  
25 blue line, has remained relatively steady.

1           Despite the demonstrated success of IAP in  
2 preventing early-onset disease, there are limitations to  
3 currently available prevention methods. Even the most  
4 aggressive prevention strategy, the culture-based approach,  
5 has not eliminated early-onset disease and has not affected  
6 late-onset disease incidence.

7           Invasive disease can occur prior to the onset of  
8 labor and administration of IAP, for example, as might be  
9 the case in GBS-related stillbirth.

10           Antibiotic administration does have its own  
11 adverse effects including allergic reactions and concerns  
12 about the emergence of antibiotic resistance. However, the  
13 risk benefit of IAP is favorable.

14           Lastly, both approaches can also be costly and  
15 logistically difficult to implement particularly in the  
16 setting of low-income countries.

17           As a consequence, there has been widespread  
18 support for the development of a vaccine against GBS  
19 disease. Because there is active transfer of maternal  
20 antibodies across the placenta particularly late in  
21 pregnancy, it is thought that a strategy of immunizing  
22 pregnant women will have the greatest impact on preventing  
23 infant disease.

24           Now, I would like to switch gears somewhat and  
25 give a brief overview of vaccine licensure. My point in

1 presenting this is to remind you of the framework that is  
2 available to approve vaccines. Rather than focusing the  
3 discussion on the best pathway for licensure, we would like  
4 to focus the discussion on possible study endpoints. But I  
5 do want to give you some background information on some of  
6 the things that the FDA is thinking about when we are  
7 making decisions regarding licensure pathways.

8           At the time of a biologics licensure application,  
9 the FDA reviews data to ensure the product is safe and  
10 effective. It is expected that the demonstration of vaccine  
11 safety and effectiveness will be based on adequate and  
12 well-controlled trials. These trials can be conducted  
13 within or outside of the United States.

14           Among the licensure pathways that are available  
15 to the FDA to license vaccines, traditional approval and  
16 accelerated approval are pathways that would potentially  
17 apply to licensure of a GBS vaccine. Traditional approval  
18 is used when vaccine effectiveness is based on a clinical  
19 disease endpoint or a well-established precursor of the  
20 clinical disease endpoint of interest.

21           For example, rotavirus gastroenteritis was a  
22 clinical disease endpoint to evaluate rotavirus vaccine  
23 effectiveness. Cervical intraepithelial neoplasia of grade  
24 2 or greater, a well-established precursor for cervical

1 cancer, was used as a clinical disease endpoint to evaluate  
2 HPV vaccines.

3           Sometimes an immune marker can be used as a study  
4 endpoint to demonstrate vaccine effectiveness by the  
5 traditional approval pathway when it is well established  
6 that the marker indicates protection from disease. For  
7 example, antibody to hepatitis B surface antigen above a  
8 certain threshold has been established as a marker  
9 indicating clinical benefit and has been used to support  
10 traditional approval of hepatitis B vaccines. Often an  
11 efficacy trial is used to establish an immune marker as an  
12 accepted marker of disease protection.

13           An accelerated approval pathway is available for  
14 serious conditions for which a product addresses an unmet  
15 medical need. This would apply to a new vaccine against  
16 GBS. In this pathway, vaccine effectiveness can initially  
17 be demonstrated using a marker that is reasonably likely to  
18 predict clinical benefit. In contrast to the traditional  
19 approval pathway, there is some uncertainty regarding the  
20 ability of the marker to predict effectiveness.

21           An example of an endpoint that was used as a  
22 basis of an accelerated approval licensure is pneumococcal  
23 antibody titer above a specific threshold as measured by  
24 opsonophagocytosis assay, which was used to support

1 approval of pneumococcal conjugate vaccine in adults for  
2 prevention of pneumonia.

3           As part of the accelerated approval pathway, the  
4 sponsors require to verify and further describe clinical  
5 benefit with an adequate and well-controlled trial that is  
6 preferably ongoing at the time of licensure or  
7 alternatively conducted post-approval.

8           Again, I will remind you that the focus of this  
9 discussion is not to decide which of these pathways is  
10 appropriate. But this information is presented to orient  
11 you to the types and rigor of data that are used to support  
12 licensure.

13           Now I am going to move on to the major topic for  
14 discussion today: how to demonstrate vaccine effectiveness  
15 for GBS vaccine and more specifically, what study endpoints  
16 are appropriate for this.

17           This is an outline of the potential study  
18 endpoints I am going to discuss. I will start with clinical  
19 disease endpoints, in particular, infant disease and GBS-  
20 related stillbirth. I will also discuss other potentially  
21 relevant clinical disease endpoints. Then I will talk about  
22 possible immunologic endpoints. Finally, I will discuss  
23 colonization as a potential study endpoint. My talk will  
24 focus on clinical disease endpoints as some of the other

1 invited speakers will discuss other potential endpoints in  
2 more detail.

3           Infant invasive disease would be an appropriate  
4 clinical outcome to evaluate in a GBS vaccine trial. While  
5 earlier late-onset disease could be evaluated separately, a  
6 combined endpoint of invasive disease identified at 0  
7 through 89 days of age would be clinically relevant.

8           A general case definition that has been used for  
9 collecting observational data and has been proposed for  
10 vaccine trials is isolation of GBS from a normally sterile  
11 site in a sick infant. Infants with a clinical history  
12 consistent with invasive disease for which GBS was isolated  
13 from sterile site postmortem might also be included in this  
14 definition.

15           Use of a combined endpoint of both early and late  
16 onset disease would be anticipated to reduce the required  
17 study size compared to either earlier or late-onset disease  
18 alone as I will show you in the next couple of slides.

19           Incidences of both early and late onset disease  
20 in the US are relatively low. Based on data from 2016, the  
21 Centers for Disease Control and Prevention estimated 0.22  
22 early-onset disease cases per 1000 live births and 0.25  
23 late-onset disease cases per 1000 live births.

24           Study size estimates have been published in the  
25 literature by our guest speakers. Based on an incidence of



1 early-onset disease in the United States in 2014 of 0.25  
2 per 1000 live births, these estimates suggest that if one  
3 were to conduct a study in the United States with a primary  
4 endpoint of early-onset disease only, a study size of  
5 greater than 200,000 could be anticipated.

6 Globally, the incidence of infant disease is  
7 estimated to be higher. In the November 2017 series, early-  
8 onset disease was estimated to occur in 0.41 per 1000 live  
9 births worldwide and in 0.71 per 1000 live births in  
10 Africa. Late-onset disease was estimated to occur in 0.26  
11 per 1000 live births and in 0.65 per 1000 live births in  
12 Africa.

13 In some of the published literature, incidence  
14 rates higher than these pooled estimates have been  
15 reported. For example, in a South African study, incidence  
16 of early and late-onset disease of 2.7 per 1000 live births  
17 has been reported. Based on this figure, a study in an area  
18 with a similar incidence with an endpoint of early and  
19 late-onset disease may require 25,000 to 33,000 subjects.

20 Calculations of sample size are dependent upon  
21 many factors, including incidence of disease, the  
22 proportion of disease caused by serotypes contained in a  
23 candidate vaccine, the expected vaccine efficacy, the  
24 threshold set for the lower bound of the 95 percent  
25 confidence interval around the point estimate of vaccine

1 efficacy, power, the proportion of subjects that are  
2 eligible for evaluation, in other words, those in the  
3 protocol population, and a sensitivity of identifying  
4 cases, for example, for each case, whether a blood culture  
5 was collected and the influence of factors that affect  
6 culture positivity.

7           The study size estimates provided were drawn from  
8 the article reference on the previous slide. They make  
9 assumptions based on the factors that affect study size.  
10 Estimated sample sizes may differ if any of these factors  
11 are altered. But these were shown just to give an idea of  
12 study sizes. Factors affecting study size and their  
13 estimates would require discussion with FDA.

14           However, based on the estimates of study size by  
15 disease incidence, it seems that a study conducted in the  
16 United States or other high-income countries could be  
17 difficult to conduct due to the size requirements and that  
18 an efficacy study conducted in a location or locations with  
19 a higher incidence of disease such as in lower and middle-  
20 income countries may be more feasible.

21           Moving back to possible clinical disease  
22 endpoints. Because GBS-related stillbirth is thought to be  
23 caused by a similar pathologic mechanism as early onset  
24 disease, GBS-related stillbirth could be a clinically  
25 relevant outcome to evaluate. An inclusion of this as part

1 of a combined endpoint might be expected to reduce study  
2 size.

3           As mentioned previously, a definition that has  
4 been used for observational studies and suggested for GBS  
5 vaccine trials includes GBS isolation from a normally  
6 sterile site in a stillbirth infant at autopsy.

7           Beyond early and late onset disease, the  
8 incidence of other types of invasive GBS disease such as  
9 GBS-related stillbirth is not as well described even in the  
10 United States and other high-income countries.

11           A systematic review looked at the incidence of  
12 GBS-related stillbirths and did not pull data because  
13 definitions of stillbirth and diagnostic assessments for  
14 GBS varied significantly but identified eight studies all  
15 in high-income countries reporting GBS-related stillbirth  
16 rates of 0.04 to 0.9 per 1000 births. Most of those studies  
17 reported data from before the year 2000.

18           As I previously mentioned, GBS is estimated to be  
19 associated with approximately 1 percent of stillbirths in  
20 high-income countries and approximately 4 percent of  
21 stillbirths in Africa. This is based on a meta-analysis  
22 from the November 2017 series that identified six studies  
23 reporting this information for six countries.

24           One advantage to including GBS-related stillbirth  
25 as part of an endpoint would be the potential to reduce the

1 study size. Challenges to including this as a study  
2 endpoint include the ability to conduct an autopsy and  
3 acceptability of conducting an autopsy. Given the limited  
4 data on GBS-related stillbirth, there may be limited  
5 information on the background incidence of GBS-related  
6 stillbirth where the study is conducted.

7           Additional case definitions for clinically  
8 relevant outcomes of infant disease have been proposed and  
9 are potentially more sensitive, but less specific than  
10 culture-confirmed invasive infant disease, which is  
11 represented by the smallest circle.

12           Possible or probable GBS sepsis in infants  
13 represented by the middle circle has been defined as an  
14 infant with clinical sepsis and GBS surface colonization  
15 with no other cause of sepsis identified.

16           The larger circle represents clinical sepsis,  
17 which might also be considered an outcome of interest. This  
18 could be defined as clinical signs of possible serious  
19 bacterial infection by the World Health Organization, but  
20 it has low specificity for GBS disease. In a similar way,  
21 one could consider evaluating the effect of a GBS vaccine  
22 on all stillbirths.

23           These outcomes may be easier to identify in many  
24 settings globally. However, vaccine efficacy may be

1 underestimated in a trial using endpoints that are not  
2 specific.

3           In addition, other types of disease endpoints  
4 have been proposed as potentially relevant outcomes to  
5 evaluate. Maternal invasive disease might be expected to be  
6 affected by a GBS vaccine, given that the timing of  
7 maternal GBS disease is usually during labor and delivery  
8 or postpartum.

9           A reduction in invasive GBS disease in pregnant  
10 and postpartum women might be considered a clinically  
11 relevant outcome and might support vaccine licensure.

12           The incidence of maternal disease was evaluated  
13 in the November 2017 series, which found few studies  
14 reporting the rate of maternal GBS disease even in high-  
15 income countries and that definitions and units for  
16 reporting differed between the studies.

17           They estimate an incidence of invasive maternal  
18 GBS disease of 0.38 per 1000 pregnancies based on only one  
19 recent study reporting maternal disease with pregnancies as  
20 the denominator and an incident of 0.23 per 1000  
21 pregnancies resulting in a live or stillbirth based on  
22 three recent studies.

23           All of these studies were conducted in high-  
24 income countries and no studies in lower and middle-income  
25 countries qualified for inclusion in the meta-analysis.

1           Other outcomes affecting the infant to which GBS  
2 has been linked include preterm birth and neonatal  
3 encephalopathy. An evaluation of the effect of a GBS  
4 vaccine on these endpoints might be considered relevant and  
5 they might be considered particularly as supportive  
6 evidence of effectiveness.

7           Now I am moving on to endpoints other than  
8 clinical disease endpoints. This is just to make the point  
9 that words such as correlate of protection and surrogate  
10 mean different things to different people. I would like to  
11 stay away from using these words as much as possible. I  
12 will try to refer only to markers that are well established  
13 to predict protection from disease and markers that are  
14 reasonably likely to predict protection.

15           FDA has approved vaccines using markers well  
16 established or known to predict clinical benefit via the  
17 traditional approval pathway and using markers reasonably  
18 likely to predict clinical benefit via the accelerated  
19 approval pathway.

20           As I mentioned earlier, an example of an  
21 established immune marker of clinical benefit is antibody  
22 to hepatitis B surface antigen titer above a specific  
23 threshold. An example of a marker considered reasonably  
24 likely to predict benefit is pneumococcal antibody titer  
25 above a specific threshold as measured by

1 opsonophagocytosis assay, which was used to support  
2 approval of pneumococcal conjugate vaccine in adults for  
3 protection against pneumonia.

4           Determination that is specific immune endpoint  
5 can be considered a marker predicting clinical benefit,  
6 relies upon scientific evidence, an understanding of the  
7 disease process, and an understanding of the vaccine's  
8 mechanism of protection.

9           The strength of the evidence supporting the  
10 ability of a marker to predict clinical benefit determines  
11 whether it is a well-established or reasonably likely  
12 marker and consequently which licensure pathway is  
13 appropriate.

14           Assays that could potentially be considered for  
15 immune markers for GBS disease include a ligand binding  
16 assay to measure total anti-capsular polysaccharide  
17 immunoglobulin G or IgG or an opsonophagocytosis assay to  
18 measure functional antibody.

19           In the case of GBS, multiple observational  
20 studies, some of them conducted by our guest speakers, have  
21 examined the relationship between serum, serotype specific,  
22 anti-capsular polysaccharide IgG and infant GBS disease. In  
23 these case control studies, infant and/or maternal serum  
24 IgG titers obtained from cases of early-onset disease or  
25 early and late-onset disease were compared to titers of

1 controls who were born to mothers colonized with the same  
2 serotype, but who did not develop disease.

3           These studies show an inverse association between  
4 naturally acquired serum anti-capsular polysaccharide IgG  
5 titers and the odds of developing infant invasive disease  
6 for serotypes Ia, III, and V.

7           This has led many to propose that anti-capsular  
8 polysaccharide IgG could be considered an immunological  
9 endpoint for a clinical trial assessing a GBS vaccine. In  
10 these studies, different thresholds are suggested by the  
11 authors, which provide similar estimates of risk reduction  
12 for invasive disease. These levels vary by serotype and by  
13 study. Different assay techniques and lack of standardized  
14 reference sera make comparability between these studies  
15 difficult. Threshold suggesting reduced risk may also vary  
16 by study population. Inclusion of late onset disease in the  
17 case definition might also be anticipated to affect the  
18 suggested threshold.

19           Finally, thresholds for some serotypes have not  
20 yet been proposed.

21           Despite the inverse association observed between  
22 serotype specific anti-capsular polysaccharide IgG and  
23 infant disease, some infants in these studies develop  
24 disease despite high maternal or infant serum



1 concentrations of antibody, suggesting additional factors  
2 may play a role in protection from infection.

3           Measurement of functional antibody has been used  
4 as an immunologic marker to demonstrate vaccine  
5 effectiveness such as the pneumococcal opsonophagocytosis  
6 assay.

7           Opsonophagocytosis assays or OPKA for the GBS  
8 serotypes have been developed to measure functional  
9 antibody to GBS. The host defense mechanism for  
10 opsonophagocytosis precedes from opsonization, which is  
11 antibody and complement deposition on the capsular  
12 saccharide of the bacterial surface to phagocytosis and  
13 intracellular killing of bacteria by polymorphonuclear  
14 leukocytes. This assay may mimic the in vivo process of  
15 complement mediated bacteria killing.

16           Most of the seroepidemiologic studies of invasive  
17 GBS disease mentioned on the previous slides evaluated  
18 total IgG titers. But in one of these studies conducted in  
19 Europe, opsonophagocytosis was assessed. This study found  
20 that most, but not all of the mothers of infants with  
21 invasive disease caused by serotypes Ia and III had OPKA  
22 titers that were not measurable, suggesting lack of  
23 functional antibodies as measured by this assay may be  
24 associated with susceptibility to disease.

1           This study also found that in a subset of mothers  
2 colonized with GBS serotypes Ia, Ib, or III who delivered  
3 infants without invasive GBS disease, maternal OPKA titers  
4 positively correlated with serotype-specific anticapsular  
5 polysaccharide IgG, providing support for the use of IgG  
6 titer as an immune marker.

7           As you discussed the use of immunologic markers  
8 either total anticapsular or polysaccharide IgG titer or  
9 functional antibody titer, please consider whether the  
10 marker can be used to predict clinical benefit. What is the  
11 certainty regarding the marker's ability to predict  
12 protection? And is it possible there are differences  
13 between a vaccine-induced and a naturally acquired immune  
14 response to GBS?

15           Finally, I am shifting back to clinical endpoints  
16 to discuss colonization. GBS colonization has been  
17 suggested as a possible endpoint as it is an indicator of  
18 potential for clinical disease. While colonization or  
19 carriage has not been used to date to license any vaccine,  
20 the committee is likely aware of the success of other  
21 vaccines in reducing carriage by vaccine serotypes noted  
22 post-approval, for example, as has been seen with  
23 pneumococcal conjugate vaccine. This may lead one to  
24 consider whether a GBS vaccine could result in similar

1 reductions in maternal or infant colonization along with  
2 reductions in disease.

3           The November 2017 meta-analysis found that  
4 worldwide GBS colonization in pregnant women was estimated  
5 to be 18 percent with regional variation of 11 to 35  
6 percent. Other studies have shown that approximately 50  
7 percent of infants born to colonized mothers will become  
8 colonized. And without intrapartum antibiotic prophylaxis,  
9 approximately 1 to 3 percent of these colonized infants may  
10 develop early onset disease.

11           As you discuss the potential for colonization to  
12 be used as a clinical endpoint for a trial to demonstrate  
13 vaccine effectiveness, please consider the following  
14 points. Which endpoint of colonization would be the most  
15 appropriate to evaluate? Could maternal colonization act as  
16 a marker for early-onset disease and could infant  
17 colonization act as a marker for late-onset disease? Would  
18 clearance of colonization or prevention of acquisition of  
19 colonization be evaluated? This question is closely tied to  
20 the question of who would be vaccinated, for example, all  
21 pregnant women, women colonized with GBS or women not  
22 colonized with GBS. At which time points would one need to  
23 perform assessments? An effective GBS vaccine against  
24 invasive disease might have different effects on  
25 colonization in that it could completely eliminate maternal

1 or infant colonization, reduce it or have no effect on  
2 colonization.

3           Lastly, please consider the complex interactions  
4 between the colonizing bacteria and the host immune  
5 response.

6           That concludes my presentation. I now would like  
7 to remind you of the questions for the advisory committee.  
8 Number one. In addition to laboratory confirmed early or  
9 late onset disease, what additional clinical disease  
10 endpoints, in other words, unconfirmed and confirmed fetal  
11 or infant endpoints and maternal endpoints, could be  
12 considered to demonstrate vaccine effectiveness? Please  
13 discuss their strengths and limitations.

14           Number two. Could immunological endpoints, in  
15 other words, functional and ligand binding antibody levels,  
16 be used to demonstrate vaccine effectiveness? If so, please  
17 discuss their strengths and limitations.

18           Number three. Could colonization be used to  
19 demonstrate vaccine effectiveness? If so, please discuss  
20 its strengths and limitations.

21           DR. MCINNES: Dr. Everett, thank you very much. I  
22 think we have time for some questions if there are any  
23 questions from the committee for Dr. Everett, any  
24 clarification on anything.

1 DR. EL SAHLY: Thank you for this presentation.  
2 One question regarding late-onset disease. You mentioned  
3 that horizontal transmission seems to play a role. Given  
4 that we will be vaccinating the mothers for the purposes of  
5 this vaccine under consideration, do we know that the  
6 horizontal transmission that occurs after birth in these  
7 children is from other household members or is it also only  
8 the mother?

9 DR. EVERETT: It is my understanding from the  
10 literature that there have been cases reported of  
11 transmission from other members, in particular, from the  
12 community I think in certain neonatal units or well-baby  
13 units that have reported transmission that were case  
14 clusters that were similar serotypes and not from colonized  
15 mothers.

16 DR. EL SAHLY: As I hear this discussion, it seems  
17 that inclusion of late-onset disease is critical to reach a  
18 reasonable sample size to answer some of these questions.  
19 If we are not sure that it is coming all from the mother or  
20 what fraction possibly is coming from the mother, it is  
21 going to be hard to gauge an adequate sample size.

22 DR. MCINNES: Any other questions? Dr. Greenberg.

23 DR. GREENBERG: Thank you for your presentation. I  
24 have a question. It is related to slide 27. It is the  
25 target. Most of the data presented were proportions of

1 infants where the mothers were colonized, et cetera that  
2 then resulted in invasive GBS both in their early onset and  
3 late-onset disease. My question for this slide because of  
4 the questions that the committee has been asked to  
5 consider, if one takes all clinical sepsis, let's say all  
6 clinical early on sepsis among newborn infants, then what  
7 proportion of that piece of the pie is due to GBS? Because  
8 if clinical sepsis without culture confirmation is to be  
9 considered then obviously we would need to have some  
10 understanding of what proportion of the whole universe of  
11 early onset clinical sepsis unconfirmed would be actually  
12 due to GBS.

13 DR. EVERETT: Thank you for that question. All  
14 clinical sepsis or alternatively culture negative sepsis  
15 would have a low specificity for GBS as there are other  
16 bacteria that can clearly cause this. I think some of our  
17 later presentations might actually touch on this point and  
18 I am sure it varies by location that the study would be  
19 conducted and the diagnostics that occur in that location.

20 DR. GREENBERG: Not easy. This might be a question  
21 for a later speaker. Do you understand why the proportions  
22 are so much higher in Africa?

23 DR. EVERETT: I think that reason is probably  
24 multifactorial. I am sure that Dr. Madhi will have much to  
25 say about this. I can say that it is my understanding that

1 they employ a risk-based approach to antibiotic  
2 prophylaxis. I would assume that that has something to do  
3 with it. Colonization rates might be different. Serotypes  
4 might be different. But I would definitely leave that  
5 question to some of our later speakers.

6 DR. MCINNES: Thank you, Dr. Everett. One more  
7 question. Dr. Bok.

8 DR. BOK: Great presentation. Thank you. A couple  
9 of questions. Is there any way to quantify colonization? I  
10 understand it might be difficult, but I just wanted to  
11 know. Second, do you know how long antibodies stay in the  
12 neonates if the mother has antibodies because we are  
13 talking about early onset and late onset? I am just trying  
14 to gauge how long can we expect an infant to have those  
15 antibodies if the infection might be coming from other  
16 sources.

17 DR. EVERETT: To your first question, to quantify  
18 colonization, you mean in an individual or in a population?

19 DR. BOK: Like we do with diarrhea or  
20 gastroenteritis and you have a severity score or something.  
21 I am sure it is not like viremia or anything.

22 DR. EVERETT: I believe - it is my understanding  
23 from the literature that you can quantify because they have  
24 had cutoffs that define heavy colonization. I am sure there

1 is probably someone in the room that might be able to  
2 better answer those questions than me.

3 DR. MCINNIS: There surely have been efforts to do  
4 this. I would say it is a nonspecific science at this  
5 point. We have not really honed in. But certainly, you see  
6 descriptors in the literature of heavy colonization. You  
7 see light colonization. I think actually we may have some  
8 of that addressed as we move on also.

9 I am going to move on now, Dr. Everett. Thank you  
10 very much indeed. We are back on time. I would like to  
11 invite Dr. Stephanie Schrag to present on group B strep  
12 young infant disease burden, trends and prevention  
13 strategies in high income countries.

14 **Agenda Item: GBS Young Infant Disease Burden,**  
15 **Trends, and Prevention Strategies: High Income Countries**

16 DR. SCHRAG: I think I was just supposed to begin  
17 and say my disclosures, which is that I am not part of any  
18 clinical trial as part of Pfizer or GSK's work and also to  
19 say that I do serve on two working groups convened by the  
20 World Health Organization that address issues related to  
21 maternal group B strep immunization.

22 But I was asked today - I think this talk is not  
23 going to directly give you new ideas about alternate  
24 endpoints, but instead I hope will motivate you to think  
25 really hard about that because I was asked to really focus



1 on the unmet medical need remaining around group B strep in  
2 high-income countries. And because we are VRBPAC, I am  
3 going to focus on unashamedly on the US and then at the  
4 end, shift a little bit to other countries.

5           We are actually this year marking the 60-year  
6 anniversary of the emergence of group B strep sepsis in  
7 infants. We do not fully understand still the reasons for  
8 this emergence, but it was first noted in the US in 1958  
9 and over that next decade. And the US was particularly I  
10 think hard hit by this emergence. We had high incidence  
11 rates, as high as two per thousand live births and pretty  
12 high case fatality rates at that time. I think this has led  
13 the US to take a pretty active approach when it comes to  
14 prevention.

15           As Darcie showed, I will just try to go quickly  
16 for slides that overlap with information she showed. In  
17 this pre-prevention era, most of the disease was in the  
18 first week of life, what we call early-onset disease. About  
19 80 percent occurred then. Late-onset disease in the US had  
20 a median onset around one month of age, but you can see  
21 that it does spread out over the three-month time period.  
22 There is a little bit of disease after the third month of  
23 life in the infant age group, but it really drops off.

24           If we look a little closer at early onset disease  
25 in the US, again, this shows the point that most of the

1 disease is happening on day zero. It is happening very  
2 early, which is part of the reason that we would think of a  
3 maternal immunization strategy because it is definitely too  
4 early for infant vaccination.

5           Also, as Darcie mentioned, one of the main risk  
6 factors for early-onset disease is maternal colonization.  
7 Globally, this ranges from 10 to 30 percent. In the US, it  
8 is around 20 to 25 percent of women colonized. There are  
9 some subpopulations that are more affected. In relation to  
10 one of the recent questions, we do in the US see higher  
11 colonization rates among African Americans and globally  
12 higher colonization in women with origin from Africa.

13           The colonization is usually transient and the GI  
14 tract is the main reservoir. As Darcie mentioned, early-  
15 onset disease is acquired vertically and there are few  
16 different ways this occurs. The group B strep can ascend  
17 into amniotic cavity during labor and there can actually be  
18 aspiration of the bacteria. There can be exposure during  
19 passage through the birth canal. And also group B strep can  
20 sometimes cross intact membranes, sometimes even before the  
21 labor period resulting in utero infection and sometimes  
22 stillbirth.

23           If we start with a colonized mother, the  
24 transmission dynamics suggest that about half of the  
25 newborns will be colonized and then about 2 percent will go

1 on to develop the severe early onset infection. This is  
2 just a reminder that in this instance as with some other  
3 infections, the exposure is a bit more common than the  
4 serious outcome that we are trying to prevent.

5           Unfortunately, shortly after group B strep  
6 emerged, clinical trials and a couple of well-designed  
7 observational studies demonstrated that intravenous  
8 penicillin or ampicillin given to group B strep colonized  
9 women is highly effective at preventing this first week of  
10 life disease, this early-onset disease.

11           One of the trials was actually stopped early  
12 because of overwhelming efficacy and the effectiveness  
13 estimates are 86 to 89 percent. We have a very strong  
14 intervention available in the US for early onset disease.

15           Work began when group B strep emerged also  
16 towards a maternal vaccine. And Carol Baker will talk about  
17 some of that work that she pioneered because we are still  
18 waiting for that vaccine to become a reality. Most of the  
19 debate in the US has focused on how best to target women  
20 for intrapartum prophylaxis. And Darcie mentioned that the  
21 two strategies that are primarily used a risk-based  
22 approach, monitoring women for the sorts of observable  
23 clinical risk factors shown here or late antenatal  
24 microbiologic screening for group B strep colonization.

1           We have been able to show using US data that this  
2 screening approach is 50 percent more effective than the  
3 risk-based approach because it captures group B strep  
4 colonized women who do not present with the risk factors.

5           Under both of these strategies, the concern is  
6 that a fairly large portion of deliveries will be exposed  
7 to antibiotics. There has been a wish to try to narrow this  
8 to the extent possible. But what we have seen in the US,  
9 what we do know is that we went from about 12 percent of  
10 deliveries before group B strep prevention being exposed to  
11 antibiotics to now about a third of all deliveries getting  
12 this exposure. If you think of four million live births a  
13 year, that is a lot of antibiotics and it is not usually a  
14 public health wish to have a lot more exposure than might  
15 be needed.

16           Additionally, we have to - because bacteria are  
17 always evolving, we cannot rest on our laurels and feel  
18 comfort that we will never have an issue with antimicrobial  
19 resistance. And more recently, there is a growing  
20 appreciation that there could be some unintended  
21 consequences of disrupting the newborn microbiome right at  
22 this time of birth when the microbiome is forming. I think  
23 these are the concerns around an IAP strategy that I would  
24 also encourage people to keep in mind as you think about  
25 maternal group B strep vaccine.

1           In terms of the actual US policies that we have  
2 used, two of our main professional organizations, the AAP  
3 and ACOG, came out with initial statements in the early  
4 1990s, some that live on because they were conflicting. One  
5 of the roles CDC played in 1996 was to bring the different  
6 stakeholders together to the first consensus guidelines in  
7 the US. This one was recommending either the risk-based or  
8 the microbiologic screening-based approach to identifying  
9 candidates for IAP. We were essentially an apropos at that  
10 stage.

11           By 2002 through accumulation of evidence, we  
12 transitioned to a universal microbiologic screening  
13 strategy. And then in 2010, we have updated that guidance  
14 with some small refinements. That is the policy.

15           I want to give you a feeling for how well  
16 implemented the policy is in the US. I think high-income  
17 countries have the opportunity to implement some complex  
18 strategies that are not possible in all parts of the world.

19           What we saw in the US through a large labor and  
20 delivery record review that we conducted in ten different  
21 states is that there was a rapid transition to universal  
22 screening after the 2002 guidance came out. We reviewed  
23 labor and delivery records from 2003 and 2004 births so  
24 just in the years immediately following. We found that the  
25 proportion of deliveries screened increased from 48 to 85

1 percent with 98 percent having their colonization status  
2 result available at labor. Very rapid transition.

3           We also saw an improvement in the proportion of  
4 women with an indication who actually received IAP under  
5 universal screening. You can see here that 85 percent of  
6 women with the indication received IAP.

7           We are dealing with a situation in the US of  
8 strong implementation. In 2008, we did a thorough review of  
9 our early onset cases in these ten areas to try to look for  
10 missed opportunities for prevention. While we did find  
11 some, they are in the tough areas of the guidelines where  
12 improvements may be difficult. I think we have maxed out in  
13 the US in terms of how the good the implementation is going  
14 to get.

15           Switching then to look at the impact on disease,  
16 the main tool that we have for doing that in the US is the  
17 active bacterial core surveillance system. This is a  
18 cooperative agreement between CDC and the ten areas shown  
19 here on this map. The surveillance actually began in 1989  
20 in three sites. We have the benefit of longitudinal trends  
21 for group B strep from the prevention time until now.

22           Right now, the catchment areas encompass about 10  
23 percent of US births. And the case definition that we use  
24 in this surveillance is group B strep isolated from a

1 normally sterile site. For infants, this is virtually  
2 always blood or cerebral spinal fluid.

3           Darcie showed you an older version of this graph.  
4 I have added a few more years on here. This is the 25-year  
5 picture of invasive early and late onset group B strep  
6 disease in the US. As Darcie pointed out, the early onset  
7 disease has declined. It has actually declined by greater  
8 than 80 percent over this time period. And late onset  
9 disease has stayed fairly stable between about .25 to .4  
10 cases per 1000 live births.

11           I want to pause for a moment and just talk about  
12 this Y-axis, the incidence rate, just because I know some  
13 of the VRBPAC are not always thinking about young infant  
14 diseases. I just want to point out that instead of using  
15 per 100,000 population, which is often the common measure  
16 for disease incidence, we are using per 1000 live births.  
17 It is a different entity and it is also a different order  
18 of magnitude if you are thinking why is this disease so  
19 rare. We are looking at something different.

20           I am going to spend a little time later in the  
21 talk focusing on the recent period so we can really dig  
22 into the remaining disease burden. But for the next couple  
23 of slides, I just want to take advantage of the power of  
24 ABCs to look at the trend over time data. Here, I am  
25 summarizing 16 years of serotype information from ABCs. We

1 collected isolates from group B strep in seven of the ten  
2 surveillance areas. I have listed two time periods here,  
3 1999 to 2005 as an early period, and then 2006 to 2015.

4           The top row in this slide shows early onset  
5 serotype distribution and the bottom shows the late onset  
6 distribution. What you can see first of all comparing the  
7 top to the bottom is the point that Darcie raised earlier  
8 that serotype III, which is this gray slice of the pie, is  
9 more common in late-onset disease than early-onset disease.  
10 This has been the case from when group B strep first  
11 emerged and has stayed stable.

12           The other large serotype here, the blue slice, is  
13 serotype Ia. Another thing you can see if you look at  
14 across instead of up and down is that the serotype  
15 distribution has been quite stable over time. Early onset  
16 serotype distributions have not really changed.

17           With late onset in this more recent period, we  
18 see a slight increase in serotype III and a slight drop in  
19 serotype Ia. And one of the exciting things we are able to  
20 do now through this system is whole genome sequencing  
21 starting in 2015 of all of the GBS isolates. We will be  
22 able to see strain and clone differences that are not  
23 apparent at the serotype level to try to understand the  
24 dynamics behind these changes.



1           In the table that is at the right of this slide,  
2 this just gives you a feeling for the US that with a  
3 vaccine with the five leading serotypes, we would have  
4 about 93 percent coverage of the disease-causing strains.  
5 And with a six-valent vaccine that added serotype IV, we  
6 would get above 99 percent coverage of the young infant  
7 disease causing strains in the US.

8           Still thinking longitudinally, I want to talk for  
9 a moment about antimicrobial susceptibility that we see in  
10 the US because this is also the issue I said where bacteria  
11 can change. We have to continuously monitor for this. I  
12 think the real threat to the IAP strategy and also to  
13 treatment of group B strep disease would be the emergence  
14 of beta-lactam non-susceptibility. Penicillin and  
15 ampicillin and cefazoline are really the cornerstone of the  
16 agents that we are using for prevention.

17           Group B strep historically has been pan  
18 susceptible to this class of drugs and similarly in our  
19 ABCs among infant isolates, we did not have any non-  
20 susceptible isolates through the year 2015. But this has  
21 now changed. I wanted to point this out to the group that  
22 in 2016, we had three non-susceptible isolates, invasive  
23 isolates from infants, two from early onset, one late  
24 onset. Although they were the same serotype, they have

1 different penicillin-binding protein modifications, which  
2 suggest independent evolution of the non-susceptibility.

3           With the first quarter of 2017, which are our  
4 most recent period with whole genome sequencing, we found  
5 one additional non-susceptible isolate that again is a  
6 different modified penicillin-binding protein. Different  
7 serotype.

8           When we look at our adult invasive isolates, this  
9 is just for context, but we have much larger sample size of  
10 adult strains than young infant strains through our  
11 surveillance. We now see 1 percent of adult strains are  
12 non-susceptible to beta-lactams. The emergence has happened  
13 although it remains very rare.

14           In terms of resistance to second line agents for  
15 IAP, these have been common for some time. Already our  
16 policy has had to evolve to take the resistance into  
17 account. But as of 2015, 49 percent of the infant group B  
18 strep isolates are resistance to erythromycin and 26  
19 percent have constitutive resistance to clindamycin.

20           I am going to shift now from this longitudinal  
21 look to try to focus on this more recent period 2006 to  
22 2016. And this slide has a few different graphs. But my  
23 main purpose is to show you that there are some infant  
24 populations even in this time period and you ask where we  
25 have really brought the disease burden down that are

1 disproportionately affected by invasive disease. The first  
2 graph in this series is just showing overall early and late  
3 onset disease.

4           But then the next two graphs are showing - the  
5 first is early. The second is late - are showing the  
6 incidence rates stratified by gestational age. You can see  
7 that preterm infants have much higher incidence than term  
8 infants.

9           And the last two graphs in this slide are  
10 stratified by race comparing black infants to white  
11 infants. This also shows that black infants remain at  
12 higher risk of early and late onset disease compared to  
13 white infants in the US. I think the hope with any new  
14 intervention that may come online at least from our public  
15 health standpoint would be that we could also reach and  
16 protect some of these disproportionately affected groups.

17           Focusing on the current case characteristics in  
18 the US of invasive disease, I wanted to highlight a couple  
19 of things that I think could make maternal immunization a  
20 challenging strategy so they are worth keeping in mind. The  
21 first is while early onset disease still has the vast  
22 majority of cases on day 0 of life, the median age at onset  
23 for late onset disease in the US is 34 days. If you look at  
24 the interquartile range, we have about 25 percent of the  
25 cases happening after 49 days of age. I think the question

1 that was raised earlier is relevant is if we are relying on  
2 maternal IgG that is transferred to the infant, there will  
3 be some decay over time and it will be necessary to keep  
4 high antibody levels for a long time or else we will have  
5 some portion of the late onset group that will be hard to  
6 reach.

7           Similarly, gestational age could affect maternal  
8 immunization strategy just in the amount of time available  
9 to get adequate concentrations of IgG transfer to the  
10 infant. In the US right now, 20 percent of our early onset  
11 cases and 33.6 percent of the late onset cases occur at a  
12 gestational age less than 35 weeks. These may be harder to  
13 reach infants. Again, there is a lot to see in terms of the  
14 dynamics of the antibody rise and antibody transfer.

15           Current case fatality risk in the US has actually  
16 risen a little bit from what it was when I first started  
17 working at CDC. I think this is because of the slight  
18 increase in the pre-term infants that are affected. They  
19 have much higher case fatality risks. But overall, it is  
20 now 5 to 7 percent in the US.

21           In terms of syndromes, the distributions are  
22 shown here just confirming that for late onset disease,  
23 this is where most of the meningitis is happening. It is  
24 still mostly associated with serotype III and that

1 bacteremia without a focus is still the main syndrome for  
2 both.

3           Below this table, I show you the estimated US  
4 cases for a single year 2015 based on our data. We estimate  
5 840 early onset cases and 1265 late onset cases. And then  
6 you can see below that, the associated national deaths in  
7 that single year.

8           This to me represents an important unmet medical  
9 need, but it can be a little hard to think about how to put  
10 this in a context. I talked to my pertussis and RSV  
11 colleagues before coming here to try to help us think about  
12 this a little bit. What I am showing here are - I have  
13 limited it to infants less than three months of age. I am  
14 showing the disease burden for group B strep with our most  
15 recent year of surveillance for pertussis from 2012, which  
16 was the peak year for infant disease and it was actually  
17 the year that led to the maternal Tdap recommendation. And  
18 then also average RSV hospitalizations. This is based on  
19 the study that was from 2000 to 2005.

20           What you can see in the first data column in this  
21 data is that in terms of incidence rates and in parenthesis  
22 it is the estimated total US cases for a year. Group B  
23 strep and pertussis looks somewhat similar in terms of  
24 their incidence rates. RSV has a notably higher incidence

1 rate, which is actually more similar to what group B strep  
2 would have looked like in the absence of IAP.

3           Then if you look to the other columns in the  
4 table, I am trying to give you some sense of severity of  
5 the infections. I think what you can see there is that  
6 group B strep stands out with the case fatality risk with  
7 over 100 deaths a year. For infant pertussis in the year  
8 that really led to the maternal Tdap recommendation, there  
9 were 15 infant deaths in that year. For RSV, the case  
10 fatality risk is not zero, but it is extremely low and  
11 therefore quite difficult to estimate.

12           To look a little bit more at the severity, I  
13 think Darcie did show some of the data about sequelae among  
14 group B strep survivors. In addition to the high-case  
15 fatality, there are lasting consequences of surviving an  
16 infection. This is pulled out from that group B strep  
17 global disease burden update and that came out at the end  
18 of last year. This is limited to the high income countries.  
19 And, again, the main message here is 18 percent of group B  
20 strep meningitis survivors have moderate to severe  
21 neurodevelopmental impairment at 18 months of follow up. I  
22 think there is a wish right now to also get follow up  
23 information for longer periods when the full spectrum of  
24 the impairment can be more readily assessed.

1           I also want to point out and I think this comes  
2 back maybe a little bit to the end point challenge the  
3 committee will face that I am not showing you some  
4 information on what may be important additional disease  
5 burdens that a maternal vaccine could prevent. I am not  
6 showing you those data from the US because these are  
7 difficult endpoints to capture right now, not because they  
8 are not important.

9           Maternal group B strep disease - I think the  
10 challenge here is that women particularly I think  
11 postpartum are often treated empirically and are not  
12 cultured. We are going to have a real underestimate of the  
13 disease burden. Our ABC surveillance does capture invasive  
14 cases associated with pregnancy or postpartum and we  
15 estimate right now and this is certainly an underestimate  
16 approximately 175 maternal invasive cases per year. And  
17 then the group B strep associated stillbirths that were  
18 also discussed are difficult to capture in a surveillance  
19 because we do not in the US at least typically have  
20 performed pathology on the stillbirths and understand the  
21 potential infectious causes that may have contributed to  
22 them and certainly not in a way where GBS could easily be  
23 identified.

24           And then just as a last slide about the US, I  
25 wanted to point out that although we do not yet have a

1 vaccine so we cannot be very specific in modeling, we have  
2 done some early cost effectiveness modeling of a potential  
3 maternal group B strep vaccine in the US. I think this is  
4 an interesting situation to think about because we do have  
5 screening in IAP in the US. We do have one prevention  
6 strategy in place.

7           This model that we did compared a range of  
8 different strategy options. While it confirmed that  
9 screening and IAP does a good job of preventing early onset  
10 group B strep at a cost of about \$70,000 per QALY compared  
11 with no prevention, the analysis also showed that maternal  
12 group B strep immunization with some assumptions about a  
13 vaccine can prevent early and late onset group B strep at a  
14 somewhat lower cost per QALY.

15           The challenge with the vaccine is that if the  
16 coverage is typical of current maternal vaccines in the US,  
17 it would need to be highly effective to prevent more cases  
18 than screening and IAP. It may be that actually the  
19 maternal Tdap recommendations will help the US strengthen  
20 the maternal immunization platform and lead to increases in  
21 coverage.

22           What this analysis found was that a combination  
23 strategy that offered vaccination to all pregnant women and  
24 then offered screening in IAP to women who remained  
25 unvaccinated would prevent more disease than either of



1 these strategies alone at cost per QALY similar to  
2 screening and IAP.

3 For the last few slides, I am going to shift from  
4 the US focus to looking at the experience in a couple of  
5 other high-income countries. I have borrowed here results  
6 from a survey that Kristy LeDoare conducted as part of the  
7 global group B strep disease burden update.

8 The colors here are just showing you the  
9 different strategies that countries have adopted for group  
10 B strep prevention. This bright red is countries that are  
11 using microbiologic screening. But there are some high-  
12 income countries in this more orange/salmon color that are  
13 using the risk-based approach. I am going to focus on some  
14 of these risk-based countries as I have just told you so  
15 much about our screening experience in the US.

16 This is a slide about trends in early and late  
17 onset disease in United Kingdom and Ireland. It comes from  
18 their surveillance. The graph that is on the left of the  
19 slide is showing the trend over time from 1991 through  
20 2009. This light blue line is late onset disease. The  
21 darker line at the top is early onset disease.

22 The first point is that UK had a lower rate than  
23 US, quite a bit lower than US even in this early 1990s when  
24 US was at 1.8 per 1000 for early onset disease. UK was at  
25 .3 per 1000. But I think the more concerning trend that UK

1 is seeing right now is a trend towards an increase and that  
2 is further supported by the table on this slide, which  
3 includes a data point from 2014 to 2015. Right now, in the  
4 UK, the early onset disease incidence is twice what it is  
5 in the US whereas the US started out at this high rate of  
6 1.8. I think that the UK is concerned about the increase.  
7 They are also seeing some trend towards an increase in late  
8 onset disease. They are a country that has been using the  
9 risk-based strategy, a fairly narrow version, and have  
10 recently slightly expanded the risk factors that they are  
11 using.

12           This next graph also shows experience with  
13 invasive young infant GBS disease in Netherlands, another  
14 country that introduced risk-based strategy. They  
15 introduced is in the late 1990s. The red line here is early  
16 onset disease and the green is late onset disease.

17           Again, one point is the incidence rates here are  
18 much lower than what they were in the US even before any  
19 prevention was in place. There do appear to be some  
20 geographic differences that I think cannot all be explained  
21 away just by case ascertainment or surveillance  
22 methodology.

23           What the Netherlands has seen though that  
24 concerned them is again this trend towards an increase in  
25 both early and late onset disease. They were able to show

1 and this is in the bar chart next to the graph that this  
2 appears to be associated with an increase in one particular  
3 clonal complex 17, which is associated with some  
4 hypervirulent factors in group B strep. They have actually  
5 gone on to do further analysis and shown that there is a  
6 particular subclade that has been in an expansion mode.  
7 That may explain this increase in both early and late onset  
8 disease that has been occurring for them recently.

9           And then just the bigger, global picture for  
10 high-income countries from this global disease burden  
11 update. The point estimate across all high-income countries  
12 is .46 per 1000 live births with a somewhat higher than US  
13 early onset incidence and some lower than US late onset  
14 incidence for where we are currently.

15           The serotype distribution from this broader look  
16 is quite similar actually to what we saw in US with maybe  
17 slightly more representation of serotype III, which again  
18 is the big slice that you are looking at in this pie.

19           Just in conclusion in terms of the high-income  
20 country experience and where we are today, I think I would  
21 say there is a remaining disease burden of significance.  
22 This in the US is despite an 80 percent decline in early  
23 onset disease. We still have notably more deaths than  
24 infant pertussis and a similar disease burden compared to  
25 infant pertussis in the US.

1           For UK and Netherlands, we also are seeing this  
2 concerning increasing trend in young infant group B strep  
3 disease. We are interested in looking right now in the US  
4 whether we have the same strain that the Netherlands had  
5 because we are concerned it could lead to expansions and  
6 late onset disease in the US where we do not have a  
7 prevention strategy right now.

8           Additionally, I do not think that further major  
9 declines are going to be likely through improvement in IAP.  
10 We remain vigilant around IAP policies and I think there  
11 are some refinements that can be made that would benefit,  
12 but I do not think we are going to see a significant  
13 translation into prevention of disease burden. It  
14 definitely does not reach the late onset portion of the  
15 disease.

16           A third point is that beta-lactam non-  
17 susceptibility has not emerged and we are even seeing it in  
18 infant invasive strains. It is extremely rare right now.  
19 But if it is to become more common, it could threaten both  
20 the current prevention and also treatment strategies.

21           And then finally, I think the issues around the  
22 antibiotic exposure, the IAP is the main prevention tool  
23 are concerning. And these long-term consequences of newborn  
24 microbiome disruption need more research and understanding.  
25 We, at CDC, have been able to fund two large observational

1 studies that are trying to look at the association between  
2 IAP and risk of obesity later in childhood, which is one of  
3 the outcomes of concern. I think we need more information  
4 here.

5           And then I just wanted to throw in - this meeting  
6 is definitely focused on maternal vaccine to prevent infant  
7 disease. But I just wanted to make sure people are aware  
8 that there is also a very large adult non-pregnant group B  
9 strep disease burden in the US that has been increasing  
10 over time. Right now, we estimate an incidence that is  
11 higher than that for adult pneumococcal disease. It is an  
12 important burden with over 27,000 cases and over 1500  
13 deaths. I think in terms of unmet medical need, this is  
14 also one that is out there for this pathogen.

15           I just would like to acknowledge in particular  
16 the ABCs team and one of our staff people who have helped  
17 with some of the recent analyses. I will stop there.

18           DR. MCINNES: Dr. Schrag, thank you very much. We  
19 do have time for some questions. I will start with Dr.  
20 Kotloff.

21           DR. KOTLOFF: I am wondering how you factor in the  
22 preventable disease burden, infections that occur in  
23 prematurity where infants are born before sufficient  
24 maternal antibody may not be transferred to them to prevent  
25 disease. I guess there also may be some prevention of

1 prematurity through the vaccine. But I am just wondering  
2 what type of impact are you predicting prematurity will  
3 have on vaccine effectiveness.

4 DR. SCHRAG: I think it is a good question and I  
5 think part of the reason I wanted to show - there are two  
6 questions rolled into that. One is whether a vaccine could  
7 actually prevent or some portion of preterm deliveries all  
8 together because the group B strep may be part of the cause  
9 of the preterm delivery. I think that Darcie showed some of  
10 the data on studies trying to assess that. It is a little  
11 bit equivocal right - it is hard to put an estimate on  
12 that. We may need to do vaccine probe studies essentially  
13 once a vaccine is licensed to really understand if a  
14 vaccine will be able to prevent some portion of preterm  
15 deliveries.

16 In terms of protecting infants that are born  
17 preterm from invasive disease, I think I wanted to  
18 highlight the portion of infants that are born preterm  
19 because they may be a more difficult group to reach. I  
20 think you are correct about that.

21 And one thing we do see with intrapartum  
22 prophylaxis, which is a different intervention, is at least  
23 for early onset disease, it is just as effective for  
24 preventing disease in preterm infants as term infants. IAP  
25 has led to reductions in - if we stratify it by term and

1 look at the incidence rates, we are seeing the same kind of  
2 declines in preterm, but we still have disparities in  
3 incidence that persist. I think for a vaccine it is a  
4 challenge.

5 DR. MCINNES: Thank you.

6 DR. EL SAHLY: Thank you for this presentation.

7 One question regarding the difference in incidence between  
8 African Americans and white in the US. Can the difference  
9 be explained by the difference in uptake of IAP or delivery  
10 of IAP to these minorities or should we thinking about  
11 other factors?

12 DR. SCHRAG: I think we need to be thinking about  
13 other factors at least when we look at key measures, for  
14 example, proportion screened, proportion positive, and  
15 proportion getting the IAP. That looks similar by race. We  
16 did quite a bit of looking at that after the transition to  
17 universal screening because we were concerned.

18 But I think there could still be some  
19 intervention delivery differences that are subtle or, for  
20 example, that are harder to pull from those high-level  
21 variables that may affect quality of care.

22 I think there is also some higher risk of disease  
23 for reasons we may not fully understand and maybe Shabir  
24 will talk a little bit more about those. But we definitely  
25 see higher maternal colonization. We do not fully

1 understand what is leading to that. And it may be that with  
2 some of the antibody studies, we start to get clues also. I  
3 think it is multifactorial.

4 DR. MCINNES: Thank you. Dr. Heine.

5 DR. HEINE: Thank you for all your work in this  
6 area. It has been very helpful. We have used it over the  
7 last 20 years to really change and make an impact.

8 I think that one of the issues that has come up  
9 is that we use antibiotics so frequently in obstetrics  
10 around the time of delivery to prevent infection in the  
11 newborn that I believe that when you look at culture  
12 positive only in the newborn, you are really looking at a  
13 tip of an iceberg because we have influenced the results so  
14 much with our antibiotics and labor. Are you tracking - and  
15 I know this is a holy grail of what is suspected neonatal  
16 sepsis, how you identify it, but are you working towards a  
17 common language of that and tracking it in the ABCs?

18 DR. SCHRAG: ABCs is in invasive bacterial  
19 diseases surveillance system. It is not set up in an easy  
20 way to track syndromes that are not culture positive. As  
21 you are saying, the case definitions are also really  
22 challenging to come up with.

23 What we have been able to do for the US - at  
24 least one concern we had at an earlier phase was that maybe  
25 we were preventing the culture positives like what you are



1 saying. There are the antibiotics. They are sterilizing the  
2 blood culture. But maybe the disease burden has stayed flat  
3 and it is just shifting over to be this culture negative  
4 still ill baby. We have done some look at administrative  
5 data at hospitalization discharge data and discharge code.  
6 That, in a sense, is a very crude clinical definition of  
7 sepsis.

8           We have been able to show that there were  
9 declines actually in that very broad entity during the time  
10 period in the 1990s when the invasive disease declines were  
11 so dramatic and that it was otherwise flat in the earlier  
12 period and after that. I think there is some sense that we  
13 are not just shifting the disease from culture positive to  
14 culture negative, but it is very difficult through a  
15 platform like ABCs to track those nonspecific endpoints. We  
16 have gone to the administrative data.

17           DR. MCINNES: Thank you, Dr. Schrag. I think we  
18 are going to move on in the interest of time. Thank you  
19 again.

20           It is both a privilege and a pleasure to welcome  
21 our next speaker, Dr. Carol Baker, who is going to address  
22 us on the early development and correlates of protection  
23 for GBS conjugate vaccines.

24           **Agenda Item: CBS Conjugate Vaccine: Early**  
25 **Development and Correlates of Protection**

1           DR. BAKER: With the chair's permission, may I  
2 answer a couple of questions? With regard to late onset  
3 disease - there has been some questions about late-onset  
4 disease. Let me tell you that the late-onset disease and  
5 early-onset disease is basically bloodstream invasion.  
6 Whether it is acquired from the mother, from household  
7 context or the rare nosocomial horizontal transmissions in  
8 nurseries, it is a sufficient amount of antibody that is  
9 the theoretical aim.

10           Hannah, that is a great question because you  
11 would have to longitudinally call it - culture that baby  
12 and all contacts to really hone down on your question. We  
13 know that the mother pre-IAP horizontal transmission from  
14 the mother was in 50 percent of the late onset cases before  
15 we had any intervention. This is the mother at the time of  
16 delivery in persistent colonization.

17           The other comment about prematures. Please  
18 remember that most babies are born at term or near term.  
19 That maternal antibody transfer is passive beginning at 17  
20 weeks gestation. Depending on the amount, you get passive.  
21 And then active transport begins at 32 weeks. When you look  
22 at babies less than 32 to 34 weeks, number one, it is a  
23 small percent of the total births that are at increased  
24 risk of GBS disease. But sufficient amount and we do not  
25 know this, any of us, even me, of an antibody could be

1 protective, not just by the mechanism of preventing preterm  
2 delivery, preterm labor, but by the mechanism of passive  
3 transfer. No question. If you are born at 22, 23 weeks  
4 gestation, you are not going to get sufficient amount of  
5 antibody and probably the mother has not been immunized  
6 then.

7           Thank you very much for inviting me to this  
8 meeting. I am thrilled. I do have a couple of declarations  
9 of interest. I am a former consultant of Pfizer, but I am  
10 always confused whether they want life time potential  
11 conflicts, three years, one year, current, but that is the  
12 information to declare here on this slide that I have been  
13 a consultant of Pfizer and that terminated in August of  
14 2016.

15           I am going to begin with thank yous first to the  
16 National Institute of Health, Allergy and Infectious  
17 Diseases for the RO1 grant that began six months after I  
18 became a faculty member at Baylor College of Medicine and  
19 then contracts and working. You will see extensively under  
20 the contract.

21           Dennis Kasper, who was a fellow with me at the  
22 Channing Laboratory. I left. He stayed. He bemoans the bad  
23 winters. I bemoan the bad summers. And his group at Harvard  
24 Medical School. Morven Edwards, my first postdoctoral  
25 fellow, who stayed in the team of the Strep Lab at Baylor.

1 Marcie Rensch, who has been research associate since 1982.  
2 Clearly, she is a loyal person and could have gotten a job  
3 anywhere else.

4 I am going to talk about four things and thank  
5 you for the wonderful presentations because I am going to  
6 get to skip a bunch of my slides and shorten my talk  
7 hopefully. First, a little bit about the pathogens and  
8 population at risk, which you have heard a lot about  
9 before. Vaccine design and assay development. Now remember,  
10 this is early vaccine design, early development. And our  
11 Phase 1 and Phase 2 trials. And then some comments about  
12 correlates of surrogates. What is the holy grail of  
13 protection? I think the holy grail of choosing protection  
14 is some combination of reasonably gettable things to lead  
15 us to a vaccine trial that would be quite unique compared  
16 to the standard - hundreds of thousands or less, 50,000 of  
17 people in the typical efficacy trial.

18 Even though Dr. Schrag said that GBS emerged in  
19 1958, I want to tell you I was not in medical school in  
20 1958. I was in medical school quite a bit later after that.  
21 And GBS was a bovine pathogen. It caused epidemic mastitis.

22 Human disease was first described in 1938 in the  
23 UK. Dr. Lancefield's name is on that paper. An obstetrician  
24 in the UK sent a hemolytic isolate to Dr. Lancefield. She

1 had done the grouping system and there were three puerperal  
2 sepsis cases, so pregnant women, due to GBS.

3           But really my first case was in 1969 when I had a  
4 three week old come in with late-onset sepsis and  
5 meningitis and died. This was caused not by anything called  
6 group B strep at the time, but it was a beta hemolytic  
7 organism that was susceptible to penicillin and it was  
8 susceptible to a bunch of other antibiotics, making the  
9 fact that the lab called it an enterococcus, even to this  
10 non-microbiologist, a little suspect. I began to collect  
11 the isolates, wrote a letter to Lancefield who was an  
12 emeritus professor at the Rockefeller. She invited me to  
13 come to the Rockefeller, a non-paid, non-officially named  
14 mentor and a person who changed my life forever, both  
15 personally and professionally.

16           We know this organism colonizes the lower GI  
17 tract as a commensal in men and women of all ages. Also,  
18 infant colonization can be acquired. Children have GBS  
19 colonization in the GI tract that persists. It  
20 significantly increases as you become sexually active. But  
21 this is a commensal with secondary colonization of the  
22 genital tract. It is a common commensal. I have talked to  
23 literally thousands of pregnant women and tried to convince  
24 them that this pathogen is normal in so many people.

1           You have seen the capsular types. When I started  
2 out, there was Ia, Ib, II, and III and we are now up to X  
3 capsular types. And type V has become prominent.

4           This is a 1980s cartoon. We do know and we did  
5 know even back then that capsular polysaccharide was a  
6 major virulence factor and so was beta hemolysin. Beta  
7 hemolysin is very important in pregnancy-related perinatal  
8 losses. But, again, back then, only antibody to the capsule  
9 was associated with protection against both animal disease  
10 and early and late onset disease. This cartoon updated  
11 would show you many, many virulence factors that are  
12 surface proteins.

13           Just a comment about GBS polysaccharides. It is  
14 not like haemophilus influenza type B. It is not one PRP  
15 thing. It is multiple capsular types. We are up to type IX.  
16 The prominent clinical ones have been discussed especially  
17 in adult disease type IV is becoming prominent. Not so  
18 prominent in baby disease, but we have to consider it.

19           Look at Ia and Ib. Lancefield - these are  
20 isomers. Lancefield in 1934 distinguished these using  
21 antisera and mouse passage experiments. They have one  
22 linkage side chain that is different. And I do want to  
23 mention that if you immunize adults with Ia conjugate  
24 vaccine, you will get lots of Ib antibodies and vice versa.  
25 These are binding antibodies. That is why binding must be

1 contained with a functional assay because the binding  
2 antibodies elicited by Ib do not function in vitro to kill  
3 B strep Ia strains. Other cross reactions will be  
4 discovered, but they must be acknowledged given the  
5 likelihood of the similarities.

6 All of these polysaccharides have a terminal  
7 sialic acid. That was my gift to Dennis Kasper. This  
8 English major said I know that type III strains have sialic  
9 acid. Indeed, all of them do. But the one for type III in  
10 particular dictates the protective epitope and that is  
11 extraordinarily important because you take away that sialic  
12 acid terminal side chain and you have a type XIV  
13 pneumococcus polysaccharide. But if you immunize to protect  
14 against type III group B strep, you do not get protection  
15 with type XIV pneumococcus. This is really important stuff  
16 to be thought about as we go forward with a vaccine. See  
17 how optimistic I am. As we go forward in a vaccine. Some of  
18 these cross reactants could be very important to protection  
19 in vaccine trials.

20 I cannot tell you how many people do not  
21 understand this. This is one disease in terms of  
22 pathogenesis. In early onset, you have maternal  
23 colonization. You can have amnion invasion either silently  
24 so the membranes are intact or clinically, the membranes  
25 are ruptured. You have lung aspiration. And from the lung,

1 you get secondary bloodstream invasion. Or the baby going  
2 through the birth canal becomes mucosally colonized at  
3 mucous membranes in the respiratory tract later in the GI  
4 tract and you have bloodstream invasion. The same thing  
5 with late onset disease. The baby gets colonized either in  
6 the lower GI tract or in the respiratory tract and then in  
7 the GI tract, you have transcytosis into the bloodstream  
8 like you have with late onset E. coli or any other neonatal  
9 early infant disease. The bloodstream is the main place of  
10 attack of invasion. If you have sufficient antibody, you  
11 can block that problem.

12           Populations at risk have been well discussed:  
13 pregnant women, neonates and young infants and the elderly.  
14 The elderly are becoming more precious to me as I continue  
15 to remain on earth like some of the people in the audience,  
16 maybe even on the panel. Very important disease burden I am  
17 sure globally as well as in the United States, but we do  
18 not care about them today. We do care about them  
19 ultimately.

20           These are babies with early onset disease. In the  
21 upper panels, late onset disease. In the lower panel, this  
22 is what a brain looks like when you die of late onset  
23 meningitis. This is still after all of my career the most  
24 frequent pathogen in infants less than two months of age.  
25 This has been going on for - approaching five decades. It



1 is now the most common cause of meningitis in pediatric  
2 patients. Great blessings and good fortune to the  
3 introduction of Hib and pneumococcal conjugate vaccines in  
4 the United States that this now is the most common  
5 pediatric pathogen even though it goes away before year of  
6 age.

7           The mortality has been decreased. You have heard  
8 that. In one study that looked at patients from a minimal 3  
9 years to 12 years of age, this is a collaboration between  
10 Vanderbilt and Baylor published a couple of years ago. This  
11 was very intense neurocognitive evaluation. And 19 percent  
12 of these children had global retardation, hearing, visual  
13 loss, basically skills where learning was virtually was  
14 impossible. Thirty percent more had more limited  
15 disabilities including deafness, behavioral or learning  
16 disabilities. That leaves you with a little more than half  
17 that were "normal" by this intense evaluation.

18           This is an old slide, but it makes the point that  
19 led me into an academic career. If this is horizontal  
20 transmission for early onset disease, why do we have all  
21 these exposure of babies for colonization and yet we have  
22 such a low attack rate for disease? Why?

23           The second thing that was - this is why  
24 Lancefield invited me. I had these strains in my apartment  
25 taped up with scotch tape. GBS lives on blood agar plates

1 for weeks and months. I mailed them to her in the US postal  
2 mail like a birthday present. She had at that time only one  
3 type III strain in her entire collection from abroad and 12  
4 of my 13 strains from the cerebral spinal fluid were type  
5 III. She was very interested in type III, as you saw.  
6 Remains an extraordinarily important pathogen globally.

7           Antibody was one of the things and in this early  
8 paper, these were - people forget this. This was maternal  
9 sera that were assayed from babies who had early and late  
10 onset disease and a sufficient amount of antibody and it  
11 appeared to be protective.

12           I get a little local interview and they said - I  
13 was saying maternal vaccine. They looked at me like I was  
14 strange because we had no recommended vaccines for pregnant  
15 women in the United States. They said when do you think a  
16 vaccine will be made. I said five years. I have said five  
17 years every time I have been interviewed in the past four  
18 decades.

19           This is a better study also using the same assay  
20 with the same conclusion. The 29 cases there are babies  
21 with early and late onset disease compared to the 43  
22 healthy neonates. But all these women were colonized at the  
23 time of delivery. You see that there was a significantly  
24 higher antibody concentration in women who had healthy

1 babies, but exposed their baby to type III versus the  
2 cases.

3           Two comments. Look at all the 43 that had very  
4 low levels of antibody. Enough antibody is important, but  
5 clearly there are other factors. One of the factors is  
6 density of colonization. There was a question about this.  
7 This is very hard to get at. It can be done by streaking  
8 plates and picking colonies. But it is a very difficult.  
9 And heavy colonization is just a higher inoculum. It is  
10 like an animal model. If you give a higher dose, it may be  
11 lethal in the mouse. If you give a lower dose then you may  
12 remain healthy. That is part of the answer, but not all. A  
13 sufficient amount.

14           These earlier studies were done with the  
15 radioactive antigen-binding assay because a lysis had just  
16 begun to be talked about. This is an assay that binds IgG,  
17 IgA, and IgM so all isotypes. We know that only IgG crosses  
18 the placenta. It was frustrating to do this.

19           And the other problem was the lower limit of  
20 detection was one microgram per ml. You got the bottom and  
21 you could not have really better sensitivity. I was very  
22 interested in who the truly antigen naïve adults were in  
23 terms of antibody concentration.

24           One of the things that Dennis learned - I started  
25 with the type III is that sialic acid moiety is absolutely

1 crucial. If you leave it there and if you desialyate it,  
2 the organism is not virulent. If you leave it there, but  
3 change its change, its epitope, there is not protective  
4 immunity. You have to have it intact for functional OPKA.  
5 That is very important actually to all of serotypes, but  
6 especially type III.

7           Why do we use tetanus toxoid? Two reasons. I had  
8 met people who had actually gone to Haiti and eradicated  
9 neonatal tetanus by immunizing pregnant women through  
10 village workers. I thought cool. Two diseases. Tetanus and  
11 group B strep.

12           The other reason is that the protein available -  
13 we went to various people that had proteins for  
14 conjugation, commercial entities. They were very afraid of  
15 the word pregnant women. We got this from the Massachusetts  
16 State Public Health Laboratory as a gift and used that.

17           The target population. We have heard about. But I  
18 have always been interested in protecting the pregnant  
19 woman, the fetus, as well as the neonate and young infant.  
20 We have heard a lot about the potential impact.

21           Dr. McInnes, try not to get sleepy because Pamela  
22 McInnes was at NIAID and was my first program officer.  
23 Dennis Kasper and I participated in these trials. They went  
24 on a long time and did some things and that is what I am  
25 going to talk about.

1           But one of the things is that ELISA was  
2 available. We wanted to make IgG specific ELISA, but we  
3 wanted to be very careful and crafty. One of the literature  
4 discrepancies in levels of antibody is ELISA assay  
5 methodology. We wanted a quantitative assay. We compared  
6 it. You will see in a minute to our established radioactive  
7 antigen binding assay. We wanted to be very specific. That  
8 meets the appropriate epitope sticking up there for  
9 antibody to bind it. We wanted something that would  
10 correlate with in vivo function in terms of animal - I am  
11 not going to present it. I saw Pfizer's slides. They are  
12 going to tell you the model that works beautifully. And in  
13 vitro opsonophagocytosis and killing.

14           I really wanted to know who had been primed by  
15 GBS antigen or a cross reactive antigen in nature so that  
16 we could see who would get a booster response or who would  
17 need maybe two doses.

18           And then all these phase I trials, phase II  
19 trials, looked at both in vitro function and in vivo  
20 preclinical before we use these in humans.

21           The ELISA here - we developed from monovalent  
22 immunized adults from five to seven high responder people,  
23 a standard human - that is what we use to generate a  
24 standard curve.

1           This was quantitated again by quantitative  
2 precipitant assays, which is very difficult and I am glad I  
3 did not have to do them. Dr. Kasper's lab did that.

4           Then we tested both conjugate vaccinated and  
5 uncoupled type III capsule immunized adults and some  
6 unimmunized adults. We basically got using the ELISA both  
7 vaccine induced and natural antibodies. We got the same  
8 quantitative results.

9           This is just a comparison with the ELISA and the  
10 RABA. You see that the RABA, which are the black dots. It  
11 is hard to see. When you get down to one microgram, you  
12 pretty much cannot tell. We wanted better sensitivity, but  
13 a high correlation with an R value of .92. This is just the  
14 standard curve going down there into the .0 micrograms  
15 using the assay.

16           We started with Phase I and Phase II trials.  
17 Basically, the Phase I of monovalent conjugates to give you  
18 - read the whole five years of literature. It was basically  
19 comparing the monovalent conjugate, polysaccharide coupled  
20 to tetanus versus uncoupled polysaccharide versus placebo  
21 and bleeds before 2, 4, 8 in 26 or 52 weeks after  
22 immunization. Our Phase 2 trials were dose response  
23 studies, using three different doses of the conjugant  
24 vaccine. We did one bivalent vaccine trial. We tried alum  
25 or no alum to see if there would be adjuvant effect and did

1 not find that with type III. That was the only one that was  
2 tested. We also for type III did a booster dose and it was  
3 not needed.

4           What have we learned? We got pretty much 95  
5 percent four-fold rise response rates with a single dose in  
6 nonpregnant women. As I say, in one monovalent, no need for  
7 aluminum hydroxide as an adjuvant. We will see the  
8 placental transport. It was theoretically available because  
9 the dominant isotype was IgG. But for type II and type V,  
10 we also got IgM and IgA responses.

11           This is just summarizing things. I put red bars  
12 around everything that I think is important. And in type  
13 III, I spent years of my life on. A four-fold increase in  
14 these trials if we use 12.5 micrograms of the  
15 polysaccharide. These were chosen based on the significant  
16 dose response studies.

17           The bivalent trial. The only thing to show you  
18 here is in the red box is that this is the bivalent  
19 vaccine. You look at IgM. We are measuring the type II  
20 response. You see that we do go from 0.6 GMC before  
21 immunization to 13 at four weeks post-immunization. This  
22 persists. It does drop down.

23           The half-life to give you a ball park idea of  
24 from a peak at four to eight weeks, you end up with about  
25 half the antibody concentration, the IgG concentration at

1 26. And then it kind of levels off. It is very similar at  
2 one year too.

3           But look at the IgM responses here. Substantial  
4 IgM and IgG for the type II. We did not see this with Ia,  
5 Ib, III, and we saw it to a lesser extent with type V. Just  
6 something to keep in mind.

7           This is function. On the first panel, you have  
8 type II function log kill before and four weeks after  
9 immunization with the type II monovalent vaccine. The white  
10 bars are immunization with the type III vaccine. We would  
11 expect killing. And then gray bars are the II-III. A good  
12 response with the monovalent as well as the bivalent. And  
13 then the next is the type III. The black bars again  
14 immunized with type II. The white bars type III where you  
15 would expect any significant rise. And then the gray bars,  
16 the bivalent, very similar findings.

17           I just want to emphasize that with all these  
18 tetanus toxoid conjugates in all our trials, we correlated  
19 function with binding antibody.

20           Again, just to remind everybody who does not  
21 think about the gift of maternal IgG, the half-life is very  
22 important. Dr. Schrag mentioned pertussis. The half-life of  
23 maternal pertussis antibody is estimated at 35 days. Very  
24 rapid decay of pertussis antibody for mothers. That is fine



1 because then we are going to immunize the babies at age 2  
2 months and go forward.

3           Group B strep is a disease where we do not have  
4 to immunize again like we will have to with RSV infant  
5 disease. But for measles, we do not begin immunizing until  
6 12 months unless there is a huge risk because maternal  
7 antibody to measles lasts a very long time. We need to know  
8 both the height of the maternal antibody and how long it is  
9 actually going to go. Again, my estimate for late-onset  
10 disease based on our very small maternal studies is it is  
11 about 42 to 46 days. Not as short as pertussis, but again  
12 it is going to depend. Enough antibody and that is the  
13 question. How much is enough?

14           We did this pregnant women's study. You see Dr.  
15 McInnes' name. Do I have to declare a conflict of interest?  
16 This was prospective, randomized, double-blind, placebo-  
17 controlled. Even the husbands had to give informed consent.  
18 That is another story. Thirty low-risk pregnant women were  
19 enrolled. We did have to give placebo. This was at a mean  
20 of about 32 weeks, but the beginning of immunization was 30  
21 weeks and ended at 33 weeks. And 30 percent were ethnic  
22 minorities.

23           Here is the maternal immune response expressed as  
24 GMC. The blue is the conjugate. The red is the placebo. I

1 have felt like showing my patients - saying would you  
2 rather be blue or red. This is not a political statement.

3           This is reverse curves for the placebo in red and  
4 the TT. Depending on what you think is the protective level  
5 of antibody, you will see in a minute that I say for type  
6 III, it is .5. All but one of these women had protective  
7 levels of antibody after immunization.

8           Now, I am throwing this in. My Pfizer colleagues  
9 will have to forgive me. What is a GBS type III naïve  
10 adult? It is very important because if you are primed, you  
11 need a single dose and you will respond. If you are primed,  
12 good. If you are not primed, you may need two doses.

13           This is the lower limit of detection in our  
14 assay. These women I just summarized. We had one woman who  
15 had undetectable, who had an antibody response of 37 four  
16 weeks after immunization. Another woman who had an antibody  
17 response of 8 micrograms. Some that were lower. The blue  
18 highlighted tells you that this woman did not respond. This  
19 is a nonresponder. She did not have enough antibodies to  
20 transfer and that is why it is undetectable at one and two  
21 months in the babies.

22           I do not know the answer to the question, but I  
23 think this is a very important question. The vast majority  
24 of people with low antibodies will respond to a single dose  
25 and sometimes they will respond to a remarkable degree.

1           Same study. These 20 women that got the vaccine.  
2 We get a lot with the polysaccharide, IgG, subclass 2, and  
3 it does not transfer as well as subclass 1. But we get  
4 plenty of 1-2 to end up with these levels. Something to  
5 remember. If the mom is 10, the baby will be about 7 in the  
6 cord blood and then you have decay and obviously placebo  
7 people stay low.

8           This is a study that should not be right here. I  
9 will come back to that. Forget that slide. It should not be  
10 in that order.

11           This is function and this was actually using  
12 endogenous infant complement. And complement levels in the  
13 infants take months to fully mature. But using the infant -  
14 this is the placebo infants followed one and two months,  
15 log, kill. You look at the incredible kill. In fact, it was  
16 a little higher at 2 months even though we knew the  
17 antibody was declining because complement is now being made  
18 by the baby. Function will improve with the maturity. Even  
19 though late onset disease - let's say for early onset  
20 disease that the protective level is one or five or  
21 whatever even though it is going to go down significantly  
22 at two or three months. The complement that is required to  
23 kill these organisms in vivo may be maturing enough. It is  
24 an important question to be thinking about.

1           Correlates of protection. A huge study done by  
2 the National Institute of Child Health and Human  
3 Development. They looked at - it is sort of a case control,  
4 but it is very different than my control study I am going  
5 to show you in a minute. It said that you would see an  
6 association with protection at 5 micrograms for type Ia and  
7 10 micrograms for type III.

8           I will just tell you. There was a huge argument  
9 in the literature after this was published. We actually  
10 exchanged serum with the investigators. The bottom line was  
11 the same in that enough antibodies were correlated with  
12 disease protection. This was early onset disease only. But  
13 the magnitude of information was about 10-fold off. We  
14 think this was all a use of an appropriate antigen to bind  
15 to the ELISA plates. People can read about it if they  
16 really want to agonize.

17           The bottom line here is that we have to have  
18 agreed upon assays and that is being developed. We have to  
19 have agreed upon standards, assay procedure and we are  
20 going to get to a consensus of correlate protection sooner  
21 or later.

22           This was published. This is a prospective  
23 gathering of cases of matching of controls for Ia, III, and  
24 V. We picked these three because they are the most  
25 prevalent cases. The controls were matched by ethnicity

1 because we know that ethnicity influences density of  
2 colonization of risk and we also match by age because  
3 younger women are more likely to have a GBS, if you are  
4 less than 20 affected cases given risk factors and  
5 everything else than older women. And then of course  
6 exposure at the time of delivery with the capsular type.

7           What we found here is for types Ia and III, we  
8 had enough cases in control to show that antibodies of  
9 greater than or equal to .5 or 2 for type Ia were  
10 protective. In other words, there was a significant risk  
11 reduction. For type V, there just were not enough cases.  
12 There was a trend, but nothing statistically significant.

13           I am going to skip this one and move on to the  
14 last information. This is not published except as an  
15 abstract, but it is of interest because the SPIN Study  
16 wanted to look at the influence of monovalent conjugate  
17 vaccine on the acquisition of colonization in non-pregnant  
18 sexually active women.

19           Can a conjugate prevent acquisition of vaginal  
20 colonization? These women were enrolled to be very sexually  
21 active, given the clinics that they enrolled in. Healthy  
22 nonpregnant.

23           This is the study. 1525 were screened twice to  
24 have no GBS colonization of any serotype. They met other  
25 inclusion criteria. If they did, we lost a lot because of

1 colonization and other reasons. They were randomized. A  
2 little over 300 patients got tetanus diphtheria vaccine.  
3 This was pre-Tdap when this was done. TD was the control  
4 vaccine. The other got the monovalent type III conjugate.

5           These women were cultured and bled often. You can  
6 count up all the months that they were cultured and had  
7 blood samples. The primary endpoint in the study was time  
8 to first vaginal type III colonization. The secondary  
9 endpoints were several but included the proportion of  
10 positive swabs. These women were swabbed both at the vagina  
11 and rectum and they were processed at a central  
12 microbiology laboratory.

13           I can tell you that in this large group of women  
14 over 300 that the vaccine response was what we would  
15 expect. It peaked at 12 months at 12.6 micrograms with the  
16 confidence interval as shown there and remained quite low  
17 in the Td vaccine group.

18           Adverse events were similar. That is because the  
19 Td conjugate is the main culprit for causing local  
20 inflammation after the injection. There were no SAEs.

21           You cannot see this slide. Isn't that nice? I am  
22 going to have to tell you that the primary endpoint was  
23 time to first vaginal acquisition. You cannot see that, but  
24 it is in the box on the left-hand side. That is the time to  
25 first positive. And the top number is 35 percent vaccine

1 effectiveness against preventing type III vaginal  
2 acquisition over an 18-month period of time. The second  
3 number is 42 percent effectiveness at preventing rectal  
4 acquisition over an 18-month period of time. Both numbers  
5 were statistically significant.

6           Down on the bottom what you cannot see is  
7 basically an adjusted model where the statisticians looked  
8 at multiple things that would affect effectiveness, race,  
9 heavy colonization or light colonization, the microbiome,  
10 healthy or adverse, sexual activity, months since  
11 immunization. And, again, you see for interestingly  
12 according to the adjusted information, rectal acquisition  
13 again was statistically significant with an almost  
14 statistical significance for vaginal. Modest efficacy at  
15 preventing vaginal acquisition.

16           I will tell you. We did great for type III, but  
17 at the end of the 18 months, we had the same prevalence of  
18 total GBS colonization in the two populations because  
19 nature avoids and other serotypes filled in and took their  
20 place. Clearly, we need a multivalent vaccine if we are  
21 going to target colonization.

22           The conclusion here is that maternal immunization  
23 to prevent perinatal disease could modestly reduce  
24 acquisition of GBS during pregnancy thereby reducing fetal

1 and neonatal exposure in addition to the maternal antibody  
2 protection.

3           What do we know today to sum up? We know that CPS  
4 is an essential virulence factor for infant GBS infant,  
5 actually, for perinatal disease. We know that sufficient  
6 amounts of conjugate vaccine-induced IgG in all the studies  
7 against these polysaccharides are functional in vitro and  
8 protective in animal models. We know that given a half-life  
9 of about six weeks, maternal immunization could prevent  
10 most early-onset and late-onset disease at least  
11 theoretically.

12           We think that antibodies of capsular  
13 polysaccharides are protective, but we need appropriate  
14 quantitative epitope specific assay to determine the  
15 protective concentration. We need assay agreement and  
16 consensus. We need functional assay agreement and consensus  
17 because not all binding antibodies may kill this organism.  
18 Both serocorrelates if you use immune correlates and  
19 colonization should be considered as endpoints.

20           Some people think this is a sunset and it is. But  
21 I interpret it as a sunrise based on this meeting. I am so  
22 glad that God allowed me to live long enough to be here.  
23 Thank you to the FDA.

24           DR. MCINNES: Thank you very much, Carol. Carol,  
25 would you entertain a couple of questions if we have. I



1 know Dr. Madhi is on the phone waiting, but I think this is  
2 such a comprehensive - yes, Dr. Spearman. Please.

3 DR. SPEARMAN: Carol, thank you for that great  
4 presentation and for your leadership in this field. Could  
5 you enlighten us a little bit about the functional versus  
6 non-functional antibodies that bind? Is it all epitope  
7 specificity or is it antibody subclass or are there other  
8 things we should be thinking about with correlates that  
9 will really be functional?

10 DR. BAKER: Not enough work has been done in this  
11 area because again this is - our main job was to do Phase I  
12 and Phase II trials and then industry would jump with open  
13 arms and pick up the vaccine and go forward.

14 What I can tell you is that you cannot guess. The  
15 isomers, Ia, Ib. Ib makes Ia antibodies that do not kill  
16 Ia. We know that from one paper. We know nothing about  
17 subclass. We only know as IgG. I cannot answer your  
18 subclass question. I think it needs to be looked at. This  
19 is the kind of thing that Pfizer and maybe five other  
20 companies will jump in, this is so exciting, and address.  
21 Given the structure, there is going to be cross-reactive  
22 binding antibodies and it needs to be looked at more  
23 carefully.

24 For type III, we have not discovered any except  
25 that I can tell you in the SPIN Study, the one I just

1 presented about colonization, it looks to me like three is  
2 a two-three cross. Whether it is functional or not, I do  
3 not know. Paul, the major answer is we do not know enough  
4 to answer your question except Ia and Ib.

5 DR. MCINNES: Thank you. Dr. Greenberg.

6 DR. GREENBERG: Thanks for the presentation. In  
7 the SPIN study, the more or less 40 percent efficacy  
8 against colonization. I think you said it was at 18 months  
9 or through 18 months. It must have been the primary. But is  
10 there indication that there was a higher efficacy in  
11 shorter periods after vaccination?

12 DR. BAKER: That probably has been looked at Dr.  
13 Greenberg, but I do not know because again this has not  
14 been published. I just wanted to give the final primary  
15 endpoint with a comment about rectal because I do not know  
16 the vaccine that had prevented acquisition of rectal  
17 colonization. I was scared to death you were going to say  
18 explain to me by what mechanism. No one is allowed to ask  
19 that question because I do not know any explanation, but I  
20 believe it is a valid observation.

21 DR. MCINNES: Carol, thank you again. That was  
22 wonderful. Dr. Shabir Madhi has very patiently been  
23 waiting. He is on the phone, I presume, in South Africa.  
24 We look forward to welcoming Dr. Madhi to address us on the

1 clinical and immunological epidemiology of Group B Strep in  
2 low and middle-income settings. Welcome, Dr. Madhi.

3 **Agenda Item: Clinical and Immunological**  
4 **Epidemiology of GBS in Low-Middle Income Settings**

5 DR. MADHI: Thank you very much. It is a pity  
6 that I can't be there in person. I just wanted to mention  
7 that in case I am breaking up, or if I can't be heard  
8 clearly, please feel free to interrupt me to let me know.

9 So just in terms of declarations, I wanted to  
10 declare that my institution has been receiving grant  
11 support from the Gates Foundation, the CDC, GSK/Novartis  
12 and Pfizer with regards to GBS work, as well as the  
13 advisory board of the Gates Foundation, BIOVAC and Pfizer  
14 also in relation GBS vaccine development and epidemiology.

15 So what I am going to do over the course of the  
16 next half an hour is really to touch on the clinical  
17 epidemiology of invasive GBS disease in low or middle  
18 income countries. Although Darcie has sort of already  
19 provided the framework, I am going to focus more to the  
20 experience in South Africa, as well as illustrate what our  
21 learnings from the systematic review that was undertaken in  
22 relation to the feasibility of bringing vaccine efficacy  
23 trials in low middle income countries, as well as what are  
24 the clinical endpoints and what the challenges might be in

1 terms of addressing some of those clinical endpoints with  
2 regard to vaccine efficacy.

3           And then, I am briefly just going to touch on our  
4 experience in terms of trying to establish a correlate of  
5 protection against invasive GBS, very much already under  
6 work, which Dr. Baker has already presented on. And then  
7 spend a fair amount of time addressing the whole issue of  
8 prevalence and dynamics of GBS recto-vaginal colonization  
9 in low and middle income settings. And the data that we  
10 have been able to generate and collaborate the findings  
11 that were presented from the study in the context of the  
12 dynamics of colonization during pregnancy, as well as the  
13 new mediators that might be influencing that.

14           Darcie presented this data in some detail  
15 already. This was a systematic review that was undertaken  
16 that was published in November of last year, which tried to  
17 basically establish the global burden of GBS disease across  
18 different age groups, as well as specifically in infants as  
19 well as in stillbirths. Actually, an important thing to  
20 appreciate from this is that although we have been able to  
21 now generate some estimates, these estimates are largely  
22 founded on a really limited data, especially when it comes  
23 to low-income countries. In some instances, that might  
24 actually be working against our estimates. So they might  
25 be conservative estimates, rather than a true reflection of

1 the burden of disease. I will come back to that a little  
2 bit later.

3           At the high level, and this was the data which  
4 has been presented, the estimates are that about one-fourth  
5 of all women that deliver globally are colonized with GBS.  
6 And invasive disease amongst pregnant women is estimated at  
7 about 33,000. Fifty-seven thousand stillbirths attributed  
8 to GBS, and these are stillbirths, which are probably  
9 culture-confirmed cases. And that fifty-seven thousand  
10 obviously needs to be interpreted in the context globally,  
11 approximately 2.6 million stillbirths occurring. So 57,000  
12 is a fairly minor small amount of the global burden. But  
13 it might well be that there is some level of under  
14 estimation in terms of the contribution of GBS through the  
15 stillbirth, which might be influencing this estimate.

16           In addition to which, there are about 320,000  
17 cases of invasive disease that are estimated to occur, 90  
18 percent of which occurs in low-income countries. Those  
19 contributed actually about 90,000 infant deaths globally.  
20 And Stephanie has already mentioned neurodevelopmental  
21 disabilities that occur especially in those that survive  
22 from the meningitis.

23           And then must also suggest approximately about  
24 three and a half million preterm births have been  
25 attributed to GBS. And once again, I think that is really

1 based on fairly limited data, mainly from high-income  
2 countries in terms of the hospitalization. It is work that  
3 still needs to be dealt with in more detail, especially in  
4 low-income countries.

5           An important message obviously, and of all of  
6 these diseases, the only one that is amenable to prevention  
7 from intrapartum antibiotic prophylaxis, as we have heard,  
8 is the early onset disease where there is a potential for  
9 many of the other disease endpoints to be influenced by  
10 maternal GBS vaccination.

11           One of the major challenges, as I have already  
12 alluded to, is porosity of data, and especially the  
13 porosity of data from low-income countries. On the top of  
14 this slide, you basically see the few countries which  
15 actually contributed to data for incidence estimates. As  
16 it is quite evident, there is almost a complete absence of  
17 data from the majority of African countries. Even for  
18 those African countries where we do have data from, there  
19 is actually a limited number of studies that have been  
20 undertaken, except that for South Africa and less so for  
21 India.

22           But what they don't obviously want to present is  
23 the prevalence of heterogeneity both within low and middle-  
24 income countries, as well as between high-income and low-  
25 income countries if what is presented. And specifically,

1 in terms of the low and middle-income countries, based on  
2 the data that was available from the published literature,  
3 we find a much higher incidence in Southern Africa. There  
4 are some studies from South Africa and Mozambique, lower  
5 incidence in West Africa in a study from Gambia, as well as  
6 low incidence in Kenya, which was sort of some lesser  
7 incidence that has been described in India. This is what  
8 the information that was available to us in terms of trying  
9 to get to those estimates, which I have made mention of  
10 earlier.

11           Now, I think the lens that we perhaps need to  
12 interpret this data in terms of my suggestion that there  
13 might be an under estimation of cases in the low-income  
14 setting is looking at an incidence of invasive disease  
15 between high-income countries and low-income countries, and  
16 specifically in terms of incidence among babies who are  
17 born to mothers that are colonized. This is again Dangor  
18 was the senior author when this was published.

19           In essence, what it basically shows that as  
20 Stephanie had mentioned earlier, roughly about 1 to 3  
21 percent of babies born to mothers that are colonized were  
22 developing invasive disease. Now, looking at the data from  
23 Africa and counting the numbers from Kenya, and again they  
24 are obviously small in terms of the number of cases, but  
25 overall, we will define exactly .7 percent of babies that

1 are born to mothers that are colonized who develop early  
2 onset disease in Africa.

3           In contrast, those figures are about 1.34 percent  
4 in high-income countries. As you can see in some of the  
5 studies from the United States and all of this data from  
6 the US, before the implementation of intrapartum antibiotic  
7 prophylaxis, in some of the studies, the incidence was as  
8 high as 3 percent of babies being born to pregnant women  
9 that are colonized developing invasive disease. This  
10 really suggests to us that there might be some systematic  
11 under estimation of early onset disease in low and middle-  
12 income countries. That might be for a number of different  
13 reasons.

14           Perhaps one of the most important reasons for the  
15 possibility of under estimation goes back to an early slide  
16 which Stephanie has also made mention of. That was the  
17 experience of the diagnosis of early-onset disease in the  
18 United States before intrapartum antibiotic prophylaxis.  
19 In the United States, over 90 percent of the early onset  
20 disease was actually occurring on day 0 of life.

21           What you also see is data from South Africa over  
22 a 10-year period where we basically show that in South  
23 African countries, about 70 percent of all early onset  
24 disease is occurring on day 0 of life. Roughly about 90



1 percent by day one of life. So the majority of disease is  
2 occurring within the first three or four days out.

3           But what is also important in the South African  
4 context is almost all of the cases that I diagnosed on day  
5 0 of life are actually based on the culture, which is done  
6 at the time of birth of the child. I think that is really  
7 important, especially in terms of our discussion about the  
8 possible acquisition of GBS with stillbirth, which I will  
9 come back to. So what this really tells us is that the  
10 acquisition of GBS in these sort of settings is more likely  
11 occurring in utero rather than during the course of the  
12 birth of the child.

13           So the likely reasons for the under-detection of  
14 early onset disease in low and middle income countries in  
15 my own mind probably first might well relate to differences  
16 in terms of the thresholds investigating for invasive  
17 disease. Especially because of the non-specificity of the  
18 science and symptoms. There might be a higher threshold  
19 for investigating in resource-limited settings. And as an  
20 example, in the United States, any mild respiratory  
21 distress might warrant the blood culture being done.

22           In addition to that, there are other challenges  
23 that we face in low and middle income countries. The  
24 majority of births don't take place in hospitals. Even  
25 though they might occur in the child care centers, those

1 child care centers are usually primary health care centers.  
2 A big proportion of births in South Asia might actually be  
3 taking place at home.

4           The challenge that you face is that very few of  
5 these children that are born with respiratory distress,  
6 which might be the cause of GBS, would actually have a  
7 blood culture done before actually repeating antibiotics,  
8 which is what the WHO actually recommends. In addition to  
9 which, we obviously might be facing challenges and concerns  
10 of the actual taking of blood, the venipuncture techniques,  
11 as well as issues in terms of the laboratory methods.

12           I think all of these things put together possibly  
13 might indicate the reason for under estimation of early  
14 onset disease in the context of the percentage of children  
15 that are born to mothers that develop the disease. But it  
16 also might explain some of the heterogeneity that cuts  
17 across the different low and middle-income countries in the  
18 studies which I have shown.

19           Perhaps to say the point that these are data  
20 again from South Africa which basically shows the incidence  
21 of invasive disease in the different provinces. The red  
22 dots basically shows you the sight where I work at, which  
23 have really generated most of the data which I have been  
24 presenting on, where we have consistently shown an

1 incidence of between two and a half and three per thousand  
2 live births for the past 20 years.

3           But at the same time, using a national  
4 laboratory-based in a public sector, which about 80 percent  
5 of the population would make use, we find tremendous  
6 heterogeneity in terms of incidence estimates. Incidence  
7 estimates being highest in the Western Cape and Gauteng,  
8 which are the two best resource providences in South Africa  
9 and have the highest percentage of births taking place in  
10 hospitals.

11           We have neighboring North West and Limpopo  
12 provinces next to Gauteng, there is almost no invasive GBS  
13 diseases actually diagnosed. Obviously, that is not  
14 because of heterogeneity in the population, but merely an  
15 issue of case detection and the challenges with regard to  
16 case detection even within the same country.

17           One of the issues where they are giving their  
18 data is whether there are actually any temporal changes in  
19 terms of incidence of invasive GBS disease in low and  
20 middle-income countries. This is Kenya data over in Soweto  
21 again. It basically indicates that there really hasn't  
22 been any change in the incidence of either overall or early  
23 or late onset disease between the year 2005 and 2014. And  
24 in fact, the first studies we actually did on invasive GBS

1 disease dates back to 1997. The incidence at that stage  
2 was almost identical.

3           This is data has been generated in the context of  
4 a setting which uses a risk-based strategy for prevention  
5 of early onset disease. Unfortunately, the logistics and  
6 the resource constraints, given in a country such as South  
7 Africa, makes it implausible that you would be able to do a  
8 screening-based approach in terms of prevention of early  
9 onset disease.

10           Looking at age group distribution of the late  
11 onset disease case, as I mentioned for the early onset  
12 disease, the median age was one day of age to zero days of  
13 age. The median age for late onset disease in South Africa  
14 is perhaps a bit earlier, a bit lower than it is in the US.  
15 It is actually about 15 days.

16           And by two months of age, it constitutes roughly  
17 about 90 percent of all of the late onset disease that is  
18 observed. But in essence, there is really one thing to  
19 protect at least until two months of age. We should be  
20 able to protect again with over 95 percent of all of the  
21 invasive disease overall.

22           It was also mentioned, and obviously this is  
23 especially important in a country such as South Africa,  
24 where about one-third of all pregnant women are HIV  
25 infected. The increased susceptibility of HIV-exposed

1 infants, even if they themselves are not infected by HIV or  
2 invasive disease. That was estimated to be roughly about  
3 4.4 fold greater of late onset disease.

4           Again, this data largely informed from estimates  
5 from studies done in South Africa, as well as the more  
6 recent study done in Mozambique, but included among this,  
7 in fact, was the first study that demonstrated this, which  
8 actually came out from Belgium. One of the issues that we  
9 are faced with is that in the South African context where  
10 HIV-exposed children might be contributing to a  
11 disproportionate burden of the invasive disease. We  
12 obviously would need to know how well the vaccine works in  
13 that particular population.

14           The systematic review, which was presented, and I  
15 think Stephanie alluded to this, was also presented data on  
16 invasive disease in terms of serotyping. And again, there  
17 was a limited number of cases that were available from East  
18 Asia, as well as South America. But in essence, I think  
19 the story is very similar across different settings. We  
20 are more than 95 or 98 percent of all the invasive disease  
21 isolates in different regions, which is either five or the  
22 six serotypes that are currently being considered in the  
23 vaccine that is in clinical development.

24           As to whether there are changes, temporal  
25 changes, in terms of serotype distribution, again this is

1 the data from South Africa over a 10-year period. What we  
2 show is that there are some temporal fluctuations in terms  
3 of serotype, the proportion of disease caused by specific  
4 serotypes. In particular, those changes over time relate  
5 to serotype 3 and serotype 1A.

6           So as you can see is an example of what we have  
7 observed over this 10-year period is there was roughly  
8 about a 9 percent year on year increase in serotype 1A  
9 disease. And there was about the 7 and a half percent  
10 decrease in serotype 3 disease. This picture was fairly  
11 similar for earlier onset disease.

12           But again, it doesn't really take away from the  
13 bottom line, which is that it is the same serotype that has  
14 been considered for inclusion in a vaccine that is  
15 responsible for causing the majority of the disease. What  
16 we are currently busy with this sero is basically doing  
17 genome sequencing on the serotypes, specific and getting to  
18 the understanding to the possible reason for the  
19 fluctuation in terms of the relative contribution of the  
20 different serotypes to invasive disease.

21           The one point that also been discussed also in  
22 the context of the possibility of including it as part of a  
23 clinical endpoint for invasive disease is stillbirth. As I  
24 mentioned, in those systematic reviews, the conservative  
25 estimate was roughly about 57,000 stillbirths were possibly

1 attributed to invasive GBS disease. This again  
2 unfortunately was based on very limited data. There were  
3 three studies. As you can see, the count of number of  
4 cases of stillbirths associated with GBS was relatively  
5 few. The percentage of the cases where GBS was cited in  
6 range between 3 percent in Kenya to 17 percent in  
7 Mozambique.

8           But some of the issues, I think, which need to be  
9 dealt with right now is that there are differences in terms  
10 of the methods that are using the different studies for  
11 attributing GBS as a cause of the stillbirths. So as an  
12 example, in the South African study, these estimates are  
13 based purely from post-mortem blood culture, whereas the  
14 study from Kenya and Mozambique, the extended investigation  
15 to include lung culture. In fact, in Mozambique, they also  
16 extended that to include more accurate diagnostics. In all  
17 of the cases in Mozambique, they were actually based on the  
18 PCR concerns.

19           What we also need to appreciate to put into  
20 context is the incidence of GBS-associated stillbirths and  
21 compare that to incidence of early onset disease.  
22 Interestingly in Kenya, what they actually showed in a  
23 paper that was published two years ago, the estimate for  
24 GBS confirmed stillbirths was, in fact, similar, if not  
25 higher, than for earlier onset disease. Just for overlap

1 of the confidence intervals obviously, but the estimate was  
2 .9 per thousand births for stillbirths and .76 for early  
3 onset disease.

4           In South Africa, in this unpublished data, our  
5 estimates for GBS culture confirmed stillbirth is 1 per  
6 1000 compared to 1.4 for early onset disease. So these  
7 estimates are fairly close to each other. I think in  
8 essence, based on the knowledge that 90 percent of early  
9 onset disease is pretty much occurring on day 0 of life, I  
10 think the GBS stillbirth really represents a continuum of  
11 disease through the early onset disease rather than being a  
12 completely separate entity.

13           I think Dr. Greenberg and others actually raised  
14 the question as to how much might we be under estimating  
15 the burden of GBS by focusing on the invasive disease.  
16 This is a thesis that was recently published, but not yet  
17 in the literature, which Stephanie was, in fact, part of  
18 the study team, which tried to interrogate this issue.

19           So in the study, what we did is we had physician-  
20 diagnosed bacterial infections. These were newborns that  
21 were less than three days of age, that were treated  
22 empirically by the attending physician for suspected  
23 sepsis. Then we had the category of them that followed  
24 clinical laboratory algorithm definition of protocol-  
25 defined sepsis. Lastly, we had the group that was actually



1 blood culture confirmed. So all of these children were  
2 investigated with blood culture.

3           What it basically shows us is that even compared  
4 to those with protocol-defined sepsis, which uses a fairly  
5 stringent definition of sepsis, only 5 percent of those  
6 cases would actually have a positive blood culture. This  
7 is not just for GBS. But only 5 percent of them would have  
8 a positive blood culture.

9           Importantly also is that the case fatality rate  
10 for the suspected sepsis is 9 percent, which is lower than  
11 the 13 percent for bacteremia sepsis. But in terms of the  
12 actual number of children that died from that particular  
13 syndrome, it far exceeds at 240 compared to the 8 for  
14 bacteremia sepsis. I think this is a part which really, we  
15 wouldn't be able to tease out in the absence of a vaccine  
16 probe to be able to understand the contribution of GBS to  
17 the spectrum where we are not getting culture-confirmed  
18 disease.

19           The next slide, so what we did in the same study,  
20 and this is exactly the same study, just represented in a  
21 different way, is we tried to see whether we could improve  
22 our detection of invasive GBS disease using molecular  
23 diagnostics, in particular TACMAN Array PCR. What we found  
24 is that the amount of healthy controls was the background  
25 positivity rate of 1.6 percent, indicating that it is not

1 100 percent specific measure. But actually, having a  
2 positive PCR for GBS was 4.5 for greater among the cases,  
3 sitting at 7 percent.

4           Putting this data together, and in a sense of  
5 this graph, you basically see the BGS component of it is  
6 that we have GBS was the most common bacteria identified  
7 among the bacteremia cases in the children less than 72  
8 hours of age, of which there were 23. And of those 23, 19  
9 were also PCR positive.

10           In addition, we had another that were PCR  
11 positive, but blood culture negative. So at the bottom of  
12 the slide, after adjusting for the positivity in the  
13 control, what we were able to show is that the incidence of  
14 GPS, our estimate at least, increased roughly by about 73  
15 percent by using a combination of cultures relative here,  
16 diagnosed invasive disease compared to culture alone.

17           I am not going to spend too much time on  
18 correlate because obviously Carol has been the world leader  
19 in this, and she has done a marvelous job on this. Just to  
20 again highlight perhaps one or two issues around that.

21           We undertook a study, again these were cases that  
22 were retrospective enrolled that were within 24 hours of  
23 them being confirmed as being invasive GBS disease. We  
24 matched with them and draw models where basically the  
25 controls for those models that were colonized with the same

1 serotype. And we tried to again derive a correlate of  
2 protection.

3           And on the left-hand side is a study which Dr.  
4 Baker has presented earlier. As you can see from the  
5 graph, they looked remarkably similar in terms of the  
6 distribution of the curve. But where they differ is the  
7 access in terms of the actual concentrations. Our  
8 estimates are, in terms of the concentration of antibodies  
9 that are required to about the 90 percent reduced risk, it  
10 is about 6 to 10-fold greater than what was proposed by Dr.  
11 Baker.

12           But I guess the big issue, as Dr. Baker pointed  
13 out, is that a challenge that we face is that even though  
14 we use the same, she was kind enough to provide us with the  
15 reference for our assays, again the assays themselves are  
16 not necessarily that actually comparable. But I think the  
17 other issue that needs to be addressed in terms of the  
18 correlate discussion is whether the correlate should be  
19 established based on maternal or correlate antibodies or  
20 newborn blood.

21           The data that we presented in and the data that  
22 Dr. Baker has presented are largely based on maternal  
23 antibody. Obviously, we know that efficiency of transfer  
24 of polysaccharide antibody is not for entities for protein  
25 antibodies. We need to, I think, tease out the newborn

1 blood that needs to be used to derive the correlates, or  
2 whether we satisfy through the maternal blood or maternal  
3 measures.

4           And then there is the question as to whether  
5 there should be a single correlate for both early, as well  
6 as late, onset disease. In my own mind, I think that is  
7 the case. There is no need for different correlates,  
8 especially where the majority of the disease is occurring  
9 pretty much in the first month of life.

10           But then the other challenge, which we are going  
11 to face, is that I think most of the studies have indicated  
12 that we probably would have sufficient power to derive  
13 correlates for serotype 1A and serotype 3, but not  
14 necessarily so for some of the other serotypes. And then  
15 the issue arises obviously as to how are we going to bridge  
16 in terms of getting some sort of estimate for correlates  
17 for the other serotypes, if indeed there is a difference in  
18 terms of correlates between serotypes.

19           This is just to introduce a study that is  
20 currently under analysis. It is another study that we do  
21 correlate of protection. In this particular instance, we  
22 enrolled 35,000 mother-newborn pairs, and those that are  
23 prospective cohorts. It just gives you an idea of the  
24 number of cases that we have been able to accumulate, both

1 early, as well as late, onset disease in children that were  
2 born before 34 weeks of gestational age.

3           So we have 35,000 mother-newborn pairs. Roughly,  
4 we were able to obtain about 38 cases in children older  
5 than 34 weeks, which is the incidence of about 1000, which  
6 is very different from our data. That is a different  
7 discussion. But I think it is just really just to say some  
8 of the data that will be forthcoming within the course  
9 hopefully of the next year, as well as some of the  
10 challenges in terms of even trying to divide the correlate  
11 of protection with suitable number of cases. The  
12 retrospective cases again are basically infant, where we  
13 are only able to obtain the blood after we have actually  
14 diagnosed the case, of which we didn't get cord blood  
15 available to us in those cases.

16           I am going to not touch on the issue of  
17 colonization. This again, from the systematic review. I  
18 think without going into detail, the summary of this is  
19 that generally studies from Africa show a much higher  
20 prevalence of colonization compared to studies from Asia in  
21 the immediate levels of colonization in Europe and America,  
22 except in the Caribbean where prevalence of colonization is  
23 as high, if not higher, than it is in Southern Africa. And  
24 again, the graph basically just illustrates the countries  
25 where these studies have been done, and a relative

1 heterogeneity in terms of the prevalence of colonization  
2 between different countries.

3           Again, without spending too much time on this  
4 because these are data that is obviously published, the  
5 serotype distribution in terms of colonizing isolates. And  
6 we see the dominance of serotype 1A and serotype 3. But in  
7 some places, you also have got a much greater  
8 representation of serotype 5 as an example in Southeast  
9 Asia, less in West Africa. But again, just to caution that  
10 some of the studies involve a very limited number of cases  
11 that were actually analyzed, as indicated in the  
12 parentheses.

13           A study that is currently underway in nine  
14 different countries, including three South Asian countries  
15 and five African countries, involved about 780 mother-  
16 newborn pairs to try to see whether differences which were  
17 identified in a systematic review might be related to study  
18 methodology. But the study is also designed to try to  
19 address some of the other reasons why there might be a  
20 disconnect between the prevalence of colonization and the  
21 reported incidence of invasive disease.

22           So whereas the prevalence of colonization only  
23 differs at death by a twofold margin between South Asia and  
24 Africa, the difference in terms of incidence of early onset  
25 disease is more than a 60-fold difference. There is

1 something else is happening, which we don't really ever put  
2 and in terms of what, explain the differences.

3           Anyway, in that particular study, which will be  
4 completed in the course of this year, what we have shown as  
5 an example is that in India, the prevalence of colonization  
6 is much higher than has been previously reported in the  
7 literature. I think it is about 20 percent. And this  
8 slide also just shows you the different serotypes that are  
9 involved in the different studies where we have completed  
10 the serotyping at this point.

11           What the study will also be doing is basically  
12 measuring maternal, as well as newborn capsular antibody  
13 measuring GBS bacteriuria in the mothers, measuring GBS  
14 surface and mucosal colonization in the newborn, as well as  
15 looking at the density of GBS colonization in the mothers,  
16 and whole genome sequencing of the GBS isolates. This is  
17 data which we are hoping would become publicly available  
18 over the course of the next 12 months.

19           The big issue, and I think there was a fair  
20 amount of skepticism around whether a vaccine in pregnant  
21 woman would be able to protect against colonization.  
22 Obviously, Dr. Baker presented the case as to why that  
23 might happen. But the reason for the skepticism is sort of  
24 summarized in this systematic review, where all of the  
25 studies, and most of the cross-sectional studies looked at

1 antibody concentration in women that were colonized at a  
2 point in time. These were cross-sectional studies.

3           And consistently what all the studies actually  
4 showed is that mothers that were colonized at the time of  
5 birth generally had much higher antibody concentration than  
6 mothers that were not colonized. This led to some level of  
7 skepticism if every antibody would actually be able to  
8 prevent colonization.

9           What we actually did is we actually did a  
10 longitudinal cohort study really to address the issue as to  
11 whether antibodies prevent acquisition, rather than just  
12 looking at the presence of colonization and antibody  
13 concentration. And in this study in pregnant women, we  
14 sampled women at four different time points, from 20 weeks  
15 of gestational age to 37 weeks of gestation age.

16           We included both rectal, as well as vaginal,  
17 swabs. In addition to which we basically measured the  
18 antibody concentrations to serotype type 1A, IB, 3 and 5.  
19 I am not going to go into the details because again they  
20 have been published. But I am just going to try to  
21 highlight some of the key issues.

22           The first issue was that these changes in terms  
23 of the prevalence of colonization during pregnancy, in  
24 fact, colonization seems to be slightly lower at the time  
25 of delivery between 8 percent compared to at 20 to 24 weeks



1 where it sat about 33 percent. But I think another  
2 striking message from this particular study is that 50  
3 percent of women would be colonized at least once between  
4 20 weeks and 37 weeks of gestational age. Also, 28 percent  
5 would be persistently colonized by the same serotype, and  
6 39 percent actually cleared their colonization.

7           But in addition to that, perhaps where a vaccine  
8 is most likely to work is the prevention of acquisition.  
9 And what we see in this study is that roughly about 20  
10 percent of women would actually require the serotype,  
11 including about 10 percent of serotype of 1A and 7 percent  
12 of serotype 3. In this particular setting where we worked,  
13 there is a high acquisition rate of GBS within a short  
14 period of time in pregnant women.

15           So what we were able to show in this study, and  
16 perhaps also just to focus on the OPA data rather than the  
17 antibody concentration, is that women that developed a new  
18 acquisition get much lower OPA titers than women that  
19 remain colonized throughout pregnancy for that particular  
20 serotype. And on the bottom, what we show is that  
21 protection against acquisition at a rate of greater than  
22 1.32 was about 95 percent reduced of being colonized. If  
23 the mother OPA titer than greater than 1 in 52 for serotype  
24 1A and about 80 percent less likely without being colonized  
25 with serotype three at a titer greater than 52 in the OPA

1 assays. There was no association between serotype antibody  
2 concentration of the OPA titer and clearance of  
3 colonization in this particular study.

4           This is the summary of what Dr. Baker presented.  
5 I think the data is a longitudinal cohort, natural immunity  
6 data. It really makes the case as to why a GBS is seen in  
7 a very likelihood would be able to actually prevent  
8 acquisition and even acquisition during pregnancy. The  
9 issue obviously would be the timing of the vaccine during  
10 pregnancy to maximize, to reduce exposure of the newborn to  
11 GBS at the time of delivery.

12           Another interesting study which was also  
13 published last year was the study from Gambia. In this  
14 particular study where they tried to define whether  
15 functional antibody derived by the newborn from the mother  
16 was able to actually protect the newborn from becoming  
17 colonized either in vaginal or the rectum. And again, the  
18 data has been published, so I am just going to summarize  
19 it.

20           In essence, what it basically illustrates that  
21 high-end, high-concentrations of functional antibodies was  
22 positively associated with reduced risk of the baby  
23 becoming colonized mucosa with serotypes 2, 3 and 5, as  
24 well as associated with clearance of serotype 1A, 2, 3 and  
25 5 in a baby. So babies that acquired high-concentrations

1 of functional antibodies from the mother were less likely  
2 to actually develop mucosal colonization and, in fact, more  
3 likely to subsequently clear the colonization. Yet, they  
4 have already been colonized at the time of birth.

5 I think as a conclusion, I think the first point  
6 that I would like to highlight is that although there is a  
7 high burden, and a much higher burden of invasive GBS  
8 disease in low and middle-income countries, especially in  
9 Southern Africa, given the few countries from which data is  
10 available, and given the innate issues in terms of behavior  
11 and where deliveries occur, et cetera, there is probably  
12 very limited potential for a vaccine efficacy trial to be  
13 done with culture-confirmed disease as an endpoint. It is  
14 something that we could probably do in South Africa, but it  
15 would probably take us a huge amount of investment to  
16 actually get it done in multiple settings. I think it will  
17 become even more difficult if you go outside of your  
18 resource setting.

19 The next point is that I think both in high, as  
20 well as in low, income countries, there is a strong  
21 association between antibody derived from the mother in  
22 protection against invasive disease, both for early, as  
23 well as late, onset disease, and possibly also for  
24 stillbirths. As I mentioned, the vaccine efficacy against  
25 specific maternal colonization is for early onset disease,

1 as well as colonization of infants as a proxy for late  
2 onset disease might probably be a more pragmatic pathway in  
3 supporting corroborative evidence together with the  
4 correlate of protection, the vaccination of pregnant women  
5 is actually able to protect the infant or the newborn  
6 against invasive disease.

7           I think I have experienced both from pneumococcal  
8 conjugate vaccine, as well as a Hib conjugate vaccine, is  
9 even that vaccine has about 50 to 60 percent efficacy  
10 against colonization. Generally, it translates into a  
11 tremendous amount of indirect protection and possibly even  
12 direct protection. I think together with invasive disease,  
13 being able to show that the vaccine is able to impact on  
14 colonization would make a fairly strong case in my mind as  
15 to why the vaccine will likely actually protect the babies.

16           That being said, I think from a public health  
17 perspective, what would really be required to really fully  
18 understand the role of GBS in terms of stillbirths, in  
19 terms of its contribution to preterm birth, in terms of its  
20 contribution to non-culture confirmed disease, is a vaccine  
21 probe study. For that sort of a study to take place, it  
22 really does require a randomized control trial. That is  
23 something that you certainly should keep in the back of our  
24 minds, at least in terms of a phase IV study to actually

1 confirm that protection actually translates into vaccine  
2 efficacy in the long term.

3 At that point, I will pause.

4 DR. MCINNES: Dr. Madhi, thank you very much.  
5 That was a feat well accomplished. Thank you. I think we  
6 have one or two clarification questions from folks, and  
7 then we need to move on.

8 DR. EL SAHLY: This question pertains to the  
9 correlate of protections. Dr. Baker's data, the cutoff for  
10 serotype 3 antibody levels was .5. Am I right? It was  
11 associated with the decline in infant disease. But in your  
12 data, it was at three using the same methodology? Or are  
13 we speaking different methodologies here?

14 DR. MADHI: No. It uses the same reference sera,  
15 but it uses different methodologies. We have analyzed a  
16 Luminex assay. There might be a whole lot of issues that  
17 on the differences in the assay. As Dr. Baker mentioned, I  
18 don't think we can make much in terms of head-to-head  
19 comparisons of these measures until there are standardized  
20 assays by which we basically analyze different samples. I  
21 think it is more to do with the assay and not necessarily  
22 because of differences between the populations, which might  
23 be the case.

24 DR. MCINNES: Any other questions?

1 DR. SHANE: I have a question about the loss and  
2 gain of colonization. It was very interesting to see the  
3 long-term measurements of that. I was wondering what your  
4 thoughts were with regards to when a vaccination would be  
5 optimal based on some of the data about loss and gain of  
6 colonization?

7 DR. MADHI: I think the two big issues related to  
8 what are we trying to achieve by reducing colonization. As  
9 an example, if there is an association with GBS in preterm  
10 labor, for vaccination to actually impact upon that preterm  
11 labor would require vaccination at the relatively early  
12 stage of pregnancy. Or else it is not likely that you are  
13 going to impact on preterm births, at least the early  
14 preterm births.

15 An example of what we are trying to achieve by  
16 reducing colonization. If the focus is mainly on  
17 preventing, and again, the same thing holds true for  
18 prevention of invasive disease in preterm babies in that it  
19 is unlikely that the very preterm babies will be protected  
20 through maternal acquisition of antibodies. But they could  
21 be protected by actually reducing acquisition or GBS  
22 colonization in the pregnant woman, and consequently,  
23 reducing the exposure of the preterm babies through GBS.

24 In my own mind, I think what we are needing to do  
25 is try to target vaccination as early as possible in the

1 early third trimester, late second trimester at the latest.  
2 Of course, what the longitudinal studies also show is that  
3 the antibody really only protects against acquisition. As  
4 I mentioned, it doesn't actually clear existing  
5 colonization.

6           What it has shown is that the median time for  
7 colonization varies between the serotypes, but is roughly  
8 about seven to nine weeks in terms of the median duration  
9 of colonization.

10           DR. MCINNES: We have one question from Dr.  
11 Gilbert for you.

12           DR. GILBERT: So your talk and also Dr. Baker's  
13 talk before it was showing that both the binding antibodies  
14 and some functional assays are consistently inverse  
15 correlates of disease in the infants from a variety of  
16 clinical endpoints in infants. And there seems to be  
17 consistency in that monotonic pattern. Maybe the  
18 thresholds are different, but the pattern is always in the  
19 right direction, correlation and that consistency could be  
20 important for thinking about whether some of these assay  
21 responses could be reasonably likely to predict clinical  
22 benefit.

23           But my question is, is there literature showing  
24 examples where these assay readouts did not correlate

1 inversely with some disease endpoints? Or is it really  
2 pretty uniform and consistent in the literature?

3 DR. MADHI: So the systematic review which I  
4 referred to, in fact, also looked at invasive disease.  
5 There was a handful of studies which didn't find an  
6 association. But that being said, those studies  
7 unfortunately generally had a very limited number of cases  
8 for it to be taken seriously.

9 I think across the studies, which were probably  
10 adequately powered or had sufficient number of cases, the  
11 direction of association is in the same direction. That is  
12 in support of possibly being established.

13 DR. MCINNES: I think one final question from Dr.  
14 Levine.

15 DR. LEVINE: In one of your final slides, you were  
16 pessimistic about the possibility of a randomized control  
17 trial using culture-confirmed disease as an endpoint. I  
18 wonder if you could be a bit more specific because your  
19 group has done pioneering trials in your youth with Hib and  
20 with pneumococcal conjugate. What do you see as the  
21 hurdles that make this so much more difficult with respect  
22 to specifics? Is it the incidence? Is it the level of  
23 expected efficacy, et cetera?

24 DR. MADHI: So I think there is a limited number  
25 of places where such studies would be able to be done. One



1 of the main reasons for that, as I tried to point out in  
2 the data, was that for these studies to be successful in  
3 terms of case ascertainment, you really need to be  
4 investigating for the early onset disease at the time of  
5 birth, which effectively means that you need to have a  
6 presence in the health care centers. If a child comes out  
7 with strep, you need to investigate. I think that is the  
8 first challenge.

9           That being said, that sort of study could pretty  
10 much be done in my setting. But I think we face a lot of  
11 challenges. And part of my skepticism around this really  
12 relates to the experience as an example of involvement in  
13 the vaccine study in pregnant women. That is what is  
14 understandably a risk aversion on the part of the companies  
15 that have funded the studies with regard to the inclusion  
16 and exclusion criteria.

17           It becomes almost impossible to mitigate to a  
18 reasonable level to allow for high numbers of involvement.  
19 So as an example, in our vaccine studies, for every one  
20 patient that we are enrolling, we are needing to screen  
21 roughly about 10 pregnant women. Then there are other  
22 issues in terms, issues regarding of what  
23 inclusion/exclusion criteria we would pose on the study for  
24 a vaccine that is not yet licensed in pregnant woman. That  
25 would make it really difficult to actually enroll.

1           Even in a South African context, we are using a  
2 composite of early and late onset disease, as well as  
3 stillbirths. We would require a sample size of roughly  
4 about 50,000. Again, that is 50,000 in the first part  
5 would need to be among HIV uninfected women obviously. But  
6 I think it would be a challenge getting 50,000 women  
7 enrolled with all the inclusion/exclusion criteria  
8 constraints that are imposed with three IMB studies.

9           DR. MCINNES: Shabir, thank you very much. I know  
10 Serina has emailed you about your time availability a  
11 little bit later on. We are most appreciative of your  
12 presentation. Thank you.

13           So I am commending everybody in this room and  
14 those are on the webcast for their focus, commitment and  
15 grace. I beg you for a little bit more of it. We are  
16 going to have our final presentation. We particularly want  
17 to thank these last two speakers who have waited so  
18 patiently. Then we will just look at the time, adjust it,  
19 and with your permission, probably truncate lunch, and then  
20 come back in.

21           The final presentation before lunch is from  
22 Pfizer, Dr. Annaliesa Anderson and Dr. Judith Absalon,  
23 about the burden of group B strep disease and Pfizer's  
24 group B strep vaccine. It is Dr. Anderson first.

1                   **Agenda Item: The Burden of GBS Disease and**  
2 **Pfizer's GBS Vaccine**

3                   DR. ANDERSON: Thank you. I am Annaliesa  
4 Anderson, an employee of Pfizer and the chief scientific  
5 officer for bacterial vaccines. I would like to thank the  
6 FDA for the opportunity to participate in this meeting  
7 today and look forward to receiving input from the panel  
8 regarding approaches to demonstrate effectiveness for GBS  
9 vaccines.

10                  This morning, I will begin by sharing some  
11 information on the burden of Group B Streptococcal disease  
12 and the Pfizer GBS vaccine. And then Dr. Judy Absalon will  
13 review the potential clinical development pathways for this  
14 vaccine.

15                  We will be sharing with you information on the  
16 maternal vaccine that Pfizer is developing to prevent GBS  
17 disease in infants. The vaccine is comprised of six  
18 polysaccharide serotypes, each individually conjugated to  
19 the carrier, CRM197. It is designed to be administered to  
20 pregnant women who will subsequently develop antibodies  
21 that can be transferred to their babies in utero for  
22 protection. No regulatory precedent is available for  
23 vaccine to be licensed in pregnant women. Pfizer is  
24 therefore looking for guidance.

1           GBS colonization is a well-established risk  
2 factor for GBS disease. Approximately 25 percent of women  
3 are colonized with GBS in their recto-vaginal area. This  
4 colonization can lead to several disease states for the  
5 mother and the baby. These include invasive maternal  
6 disease, stillbirths and preterm births, and invasive  
7 infant disease, which presents as pneumonia, sepsis or  
8 meningitis.

9           Doctors Everett and Schrag have already described  
10 to you the benefit of intrapartum antibiotic prophylaxis,  
11 or IAP for short, in reducing the cases of early onset  
12 disease in the US. This approach has reduced the rate of  
13 early onset disease nine-fold. However, the burden still  
14 persists. There has been no impact on late on set disease.

15           Additional shortcomings, including missed  
16 isolates, women being colonized after screening, and the  
17 high level of antibiotic exposure for up to a third of  
18 pregnant women and newborn babies with unknown consequence  
19 in child development and antimicrobial resistance. For  
20 these reasons, some high-income regions have been reluctant  
21 to introduce this approach into their health care systems.  
22 GBS disease also has a higher burden in regions that do not  
23 have the infrastructure to screen pregnant women and to  
24 administer IAP.

1           As Dr. Madhi mentioned earlier, this is  
2 exemplified by rates observed in South Africa, which is  
3 shown here. Taken together, a vaccine that could prevent  
4 invasive GBS infections could have a positive effect in  
5 reducing the disease burden.

6           GBS strains are coated with capsular  
7 polysaccharides, which define their serotype. And as has  
8 been described previously this morning, capsular  
9 polysaccharide antibodies are protective and therefore good  
10 candidates for prophylactic vaccines. We are developing a  
11 polysaccharide conjugate vaccine that represents the most  
12 prevalent serotype shown here.

13           Though there may be differences in the  
14 distribution of specific serotypes by region, as  
15 exemplified on the left in the US and South Africa, six  
16 serotypes caused the majority of infant invasive disease  
17 globally with serotypes 1A and 3 being the most prevalent.  
18 We have included these six polysaccharides individually  
19 conjugated to CRM197 in our vaccine, which we will refer to  
20 as GBS 6.

21           I would now like to show you some preclinical  
22 data we have generated with GBS 6 to demonstrate the  
23 mechanism of action for a maternal vaccine. In this study,  
24 female mice were vaccinated either with GBS 6 or a control  
25 vaccine, and then mated. The resulting pups were

1 challenged with serotype-specific GBS isolates and  
2 monitored for protection compared to pups born to immunized  
3 mice.

4           As you can see in the figure, a high survival  
5 rate is observed for the GBS 6 vaccinated pups shown in  
6 dark blue compared to the immunized pups shown in orange  
7 for each of the six serotypes included in our  
8 investigational vaccine. This model effectively mimics a  
9 proposed mechanism of action for the maternal vaccine,  
10 antibodies induced in the dam are transferred to the pup in  
11 utero and protecting the pup after birth.

12           I would now like to discuss this in relation to  
13 the mechanism of action of the vaccine and how preclinical  
14 and seroepidemiology studies are important for us to assess  
15 the potential effectiveness of our vaccine. A critical  
16 question for any vaccine development program is what are  
17 the concentrations of antibodies that the babies will need  
18 at birth to provide protection over a defined period of  
19 time?

20           One can appreciate the defined level of  
21 protection could be established for different serotypes.  
22 Then it could great enhance our understanding of what a  
23 vaccine would need to achieve in terms of immune responses.  
24 We have explored this using a passive maternal preclinical

1 animal model that can directly measure the amount of  
2 antibody that is required for protection.

3           Pregnant mice are infused with unvaccinated  
4 seropositive human sera, and then the pups are challenged  
5 with type-specific GBS strains. In this example, we have  
6 used sera-positive human sera with titers of between zero,  
7 one and two micrograms per mil. And observing increasing  
8 levels of survival for the pups that receive the higher  
9 levels of antibody.

10           This model provides the opportunity to assess the  
11 different serotypes and also compare vaccinated and  
12 unvaccinated sera from human clinical studies. This can  
13 provide supportive data for the development of protective  
14 antibody thresholds for the different serotypes.

15           As has been described this morning, several sera  
16 epidemiology studies have been conducted that clearly link  
17 the protective IGG antibody levels to protection of  
18 infants. Here I am showing three of these studies. They  
19 all identified antibody thresholds in the mother that  
20 reduced disease risk for the infant.

21           I am showing these three as they all have the  
22 same standardized reference serum in common that was  
23 developed by Dr. Baker and provide derived protective  
24 thresholds using the Bayesian calculation, as opposed to  
25 the Odds Ratio calculation that was shown earlier. The

1 studies by Dr. Baker and Fabbrini assessed thresholds for  
2 early onset disease. Dr. Dangor extended the assessment out  
3 to include infants up to one month of age.

4           Each study was large, ranging in size from 25,000  
5 to 135,000 subjects. Even with these relatively large  
6 numbers, protective thresholds could only be identified  
7 definitively for two of the most prevalent disease-causing  
8 serotypes type 1A and 3 with some supportive data for type  
9 5.

10           It is important to also note that the complexity  
11 associated with these large studies are a magnitude lower  
12 than the level of complexity that would be associated with  
13 the study that incorporated an investigational vaccine for  
14 the purpose of demonstrating vaccine efficacy. Looking  
15 within the study, one can see differences in the protective  
16 level of antibody that is needed. Specifically, in Dr.  
17 Baker's study, an estimated titer of 2 micrograms per mil  
18 reduced the risk of infection for type 1A disease by 51  
19 percent. And in contrast for serotype 3, 1 microgram per  
20 mil had 100 percent probability of reducing the disease  
21 risk.

22           Current drawbacks for these studies include that  
23 they have been conducted independently by different groups.  
24 The samples, assays, regions and assessments are all  
25 different. Despite these limitations, these data do



1 provide an indication that antibody titers can be  
2 predictive of protection. However, they can't be linked to  
3 current investigational vaccines because they are not  
4 conducted using a standardized assay.

5           Such an assay is in development by an  
6 International Academic Industry Consortium led by Dr.  
7 Ladoray(phonetic) at St. George's Hospital in London and  
8 funded by the Maternal Immunization Group headed by Dr.  
9 Vandermolen at the Bill and Melinda Gates Foundation. Dr.  
10 Madhi, as he also discussed, is conducting a large  
11 seroepidemiology study in South Africa that may also be  
12 possible if assessed using a standardized assay to link the  
13 seroepidemiology data to vaccine-immune titers.

14           I will now provide a perspective of what a  
15 vaccine may need to achieve in relation to immune  
16 responses. Despite natural GBS exposure, GBS capsular  
17 polysaccharide titers are naturally low in the general  
18 population. These low levels of antibodies transferred to  
19 the baby over time as illustrated by the red line. And  
20 these may be too low to protect the baby as indicated in  
21 the gray area representing the minimum protective threshold  
22 titer.

23           Vaccination, in contrast, could raise the  
24 mother's antibody titers, resulting in high levels of  
25 protective antibody being transferred to the baby and

1 protecting the newborn for a long period of time,  
2 illustrated here in the green line. The work by Dr. Baker,  
3 Marty, Lador, Vandermolten and Clerkman will be instrumental  
4 to the field by providing a standardized assay and defining  
5 the minimum protective threshold titers that may be  
6 required for a maternal GBS vaccine.

7 I would now like to introduce Dr. Judy Absalon,  
8 who is a senior medical director within Pfizer, and who  
9 will describe the possible approaches to demonstrate  
10 efficacy of GBS 6 to support licensure of vaccine for the  
11 prevention of infant invasive GBS disease.

12 **Agenda Item: Potential GBS Vaccine Clinical**  
13 **Development Pathways**

14 DR. ABSALON: Thank you. I would like to thank  
15 the FDA and the advisory committee for the opportunity to  
16 share Pfizer's thoughts on potential pathways for the  
17 clinical development of a GBS vaccine. We initiated our  
18 clinical development program for this vaccine in healthy,  
19 non-pregnant women and men in June of last year and are  
20 planning to begin the studies in pregnant women by the end  
21 of this year. There are different pathways that can be  
22 pursued to demonstrate effectiveness to support vaccine  
23 licensure in the later stages of clinical development,  
24 which I would like to discuss during this presentation.

1           Three pathways can be considered that include  
2 demonstration of vaccine effectiveness in a clinical  
3 efficacy trial where the study endpoint would be incidence  
4 of an infant-invasive GBS disease. The next is  
5 demonstration of vaccine effectiveness using an established  
6 immunologic correlate of protection. Here, the proportions  
7 of infants who achieve the protective antibody level would  
8 be measured. In both of these scenarios, vaccine licensure  
9 would be obtained via the traditional approval pathway.

10           A third option would be to demonstrate vaccine  
11 effectiveness using a combined approach, where the initial  
12 licensure would be obtained using a surrogate of protection  
13 via the accelerated approval pathway. The endpoint would  
14 be the proportion of infants achieving antibody levels that  
15 are predictive of protection. However, per the regulation,  
16 a clinical disease endpoint study would need to be  
17 completed post-licensure to confirm the initial results.

18           I would now like to speak to each of these  
19 pathways in a bit more detail. Let's start the discussion  
20 with the efficacy trial using a disease endpoint. This  
21 figure shows incidence of infant invasive GBS disease on  
22 the X axis and the number of evaluable pregnant women who  
23 would need to be enrolled on the Y axis.

24           Assuming a vaccine efficacy of 70 percent, with a  
25 typical assumption for a phase three clinical trial, a

1 study conducted in the US where incidence, and here we are  
2 using incidence that is a little bit older than what was  
3 discussed this morning, but where incidence is  
4 approximately 0.53 cases per thousand live births, would  
5 require a minimum enrollment of 200,000 pregnant women,  
6 which is not feasible.

7           What if, however, we were to adjust some of the  
8 parameters under which we conducted such a trial? For  
9 example, if we conducted this trial in a higher incidence  
10 region, could the efficacy trial size be reduced? In this  
11 figure, we have plotted the approximate number of pregnant  
12 women needed for enrollment in South Africa, which, as you  
13 have heard, has one of the highest incidences of invasive  
14 GBS disease in infants. We have used 2.5 cases per  
15 thousand live births. In this scenario, at least 40,000  
16 pregnant women would need to be enrolled.

17           While this number is fewer than what would be  
18 required in the United States, the sample size remains  
19 quite large and is likely an underestimate, as other  
20 countries with lower GBS incidence would need to be  
21 included. It is likely that even in South Africa, disease  
22 incidence in the clinical trial might be lower than what we  
23 find from the published literature.

24           We could further decrease the sample size by  
25 reducing the lower bound of the 95 percent confidence

1 interval of the point estimate. For example, here, I am  
2 showing you the effect of reducing the lower bound to 0  
3 percent from 20 percent. Ultimately, the parameters used  
4 to power clinical efficacy studies would be determined in  
5 consultation with regulatory agencies.

6 Another important point to note is that the study  
7 size is driven by the event rate. So if we increased that  
8 rate, the sample size could potentially be decreased. A  
9 composite disease endpoint, for example, might address this  
10 issue and potentially facilitate more rapid assessment of a  
11 GBS vaccine. Prevention of infant invasive GBS disease is  
12 the standard clinical trial endpoint.

13 A vaccine that impacts additional complications  
14 from GBS disease in infants, such as stillbirth or preterm,  
15 which we have heard quite a bit about this morning, could  
16 potentially contribute to the clinical trial event rate.  
17 For both stillbirth and preterm birth, data are limited on  
18 GBS-specific disease incidence. In addition, there are  
19 multi-factorial causes for both of these infant diseases,  
20 such as maternal hypertension, obstetric emergencies, and  
21 in the case of preterm birth, data are not conclusive  
22 regarding whether a GBS vaccine is the definitive causative  
23 agent in some of these outcomes.

24 Finally, a confirmatory diagnosis may be  
25 difficult, particularly for stillbirth where autopsy is

1 likely the most specific method and is unlikely to be  
2 accepted by many mothers in a large clinical trial setting.  
3 The inclusion therefore of either stillbirth or pre-term  
4 birth, as a composite endpoint, are not appropriate at this  
5 time and may dilute the ability to detect the vaccine effect  
6 due to the lack of GBS specificity.

7           What if we considered the addition of an  
8 endpoint, such as vaccine impact on maternal GBS disease?  
9 There is currently no regulatory precedent for different  
10 populations being considered in a single composite  
11 endpoint. And while this endpoint might be of value in an  
12 efficacy trial, the burden of maternal invasive GBS disease  
13 is not well described globally, and is less so in regions  
14 where rates of infant disease are highest, and where we  
15 would expect maternal disease to be high, as well.

16           Finally, if evaluated as a composite endpoint,  
17 impact on the trial would be contingent on the incidence of  
18 maternal invasive disease and the relationship to disease  
19 in the infant. More information would therefore be needed  
20 before this disease endpoint could be considered.

21           We have established that a vaccine clinical  
22 efficacy trial would be quite large. It is critical to  
23 understand also that these trials would be very complex.  
24 Let's assume that approximately 50,000 mothers would need  
25 to be enrolled in a clinical efficacy trial. I have used

1 this estimate because it accounts for inclusions of  
2 countries with both moderate to high disease incidence  
3 rates. And again, as I have previously mentioned, the fact  
4 that incidence in clinical trials are often lower than the  
5 published data.

6           The estimate does not account for changes in  
7 standard of care for the prevention of GBS in pregnant  
8 women that might occur in the future. For example, if  
9 microbiological screening for GBS and widespread use of IAP  
10 are instituted, this would dramatically increase the sample  
11 size needed in the clinical efficacy trial.

12           So getting back to the complexity, the complexity  
13 comes from the fact that before the end of the study, the  
14 sample size would double with the delivery of the infants.  
15 Using assumptions based on recent ongoing maternal  
16 immunization studies, a trial of this size could take more  
17 than eight years to enroll if we used approximately 100  
18 experienced sites in moderate and high-incidence regions.

19           The study would take an additional two or so  
20 years to complete, assuming infant follow-up is restricted  
21 to one year. This is an unprecedented timeframe for  
22 completion of a vaccine study. It is Pfizer's assessment  
23 that a vaccine developed along this pathway would not be  
24 available for at least 12 years from study start.

1           If an efficacy trial is too large or may be too  
2 lengthy to conduct, another approach to consider is the  
3 demonstration of vaccine effectiveness using an established  
4 immunologic correlate of protection. This approach has  
5 been used with vaccines to prevent disease from other  
6 encapsulated bacteria.

7           Correlates of protection for haemophilus  
8 influenzae B, Neisseria meningitidis and streptococcus  
9 pneumoniae were determined from natural history  
10 seroepidemiology data followed by vaccine clinical trials.  
11 In the cases of Neisseria meningitidis and strep  
12 pneumoniae, the initial data were then used to support the  
13 development of correlate of protection for subsequent sero-  
14 groups and serotypes, respectively, without the need for  
15 additional clinical disease endpoint studies.

16           Could such an approach be used for Group B Strep?  
17 In principle, if a correlate was established for Group B  
18 Strep based on natural history data, this correlate could  
19 be used as an endpoint in an efficacy trial. As Dr.  
20 Anderson has previously mentioned, sera epidemiological  
21 data are suggestive immunologic threshold that could confer  
22 protection. However, validation of a correlate is  
23 difficult without efficacy data.

24           The third option is a combined pathway that could  
25 reasonably predict efficacy using a surrogate of protection



1 for initial licensure, which could subsequently confirmed  
2 in a clinical disease endpoint study. As previously  
3 discussed, a clinical efficacy trial is the basis for  
4 initial licensure as represented here using the traditional  
5 approval pathway.

6           As you heard from Dr. Baker, establishment of a  
7 correlate of protection is an alternative approach that  
8 would eliminate the need to conduct a clinical endpoint  
9 efficacy study. However, validation of a correlate in the  
10 absence of efficacy data, as I just mentioned, is  
11 unprecedented and is expected to take a significant amount  
12 of time.

13           The third and likely most feasible pathway uses a  
14 surrogate of protection to assess vaccine effectiveness as  
15 the basis for initial licensure, using accelerated approval  
16 pathway which is shown here. The surrogate would be  
17 developed by taking advantage of the ongoing work on assay  
18 development and standardization, the ongoing and future  
19 work from sera epidemiologic studies, as we heard from Dr.  
20 Madhi, as well as data from preclinical models previously  
21 discussed by Dr. Anderson.

22           Results from pre-licensure clinical trials using  
23 a surrogate, which would use an immunologic endpoint and  
24 assess safety in a minimum of 3000 vaccinated pregnant  
25 women would be confirmed in disease endpoint studies post-

1 licensure. The confirmatory effectiveness study might  
2 either be a controlled clinical trial or an observational  
3 effectiveness study, which are both shown on the slide.  
4 This approach would make a GBS vaccine available to those  
5 in need sooner.

6           In order to support an accelerated approval  
7 pathway to licensure, there are a number of areas that  
8 require further consideration. As discussed previously,  
9 there are ongoing studies in the academic community that  
10 are attempting to define protective antibody levels using  
11 standard assays. This work will need to be completed and  
12 shared prior to development of a surrogate.

13           In addition, we will need to determine whether  
14 different thresholds are required for early versus late  
15 onset disease, as well as assess the need for protective  
16 thresholds for each serotype, or whether thresholds for the  
17 more prevalent serotypes would be sufficient. We  
18 anticipate that resolution of these outstanding questions  
19 could be attained in the next few years.

20           In summary, I think it is agreed that there is an  
21 important unmet medical need for a vaccine to prevent GBS  
22 disease in infants. We have a mechanism of action for  
23 immune protection that is well established. Despite the  
24 high burden of disease, the relatively low global incidence

1 rate means that a clinical disease endpoint study alone  
2 will take many years and may not be practical.

3           While additional approaches can be considered,  
4 more data are needed to support an immunological threshold,  
5 whether a correlate or a surrogate. Pfizer is interested  
6 in working with the FDA to develop approaches that could  
7 bring us safe and effective vaccine to licensure to meet  
8 this unmet medical need. Thank you.

9           DR. MCINNES: Thank you very much. Are there any  
10 clarification questions from the committee?

11           DR. KOTLOFF: In order to consider the third  
12 option, the combined option, I would kind of need to have a  
13 sense of what type of effectiveness study we are thinking  
14 about, what the feasibility is, what the duration of time  
15 it would take to do that, et cetera.

16           DR. ABSALON: Thank you for the question. That  
17 would be something that we would have to -- there are a  
18 number of different options for a post-licensure  
19 effectiveness study, for example. And so those would be  
20 discussions we would need to have in consultation with the  
21 regulators.

22           DR. MCINNES: Sheldon, I believe you have a  
23 question.

24           MR. TOUBMAN: I have a question about the  
25 feasibility of the disease endpoints study. There is a

1 little bit of a difference there as far as the size needed.  
2 You had just said 40 to 50,000. Dr. Madhi said 50,000. In  
3 the briefing documents from the FDA, they say a study size  
4 of 25,000 to 33,000 had been proposed based on estimated  
5 incidence of early infant GBS disease in South Africa, 2.7  
6 per 1000 live births.

7 My first question is why is there this  
8 discrepancy on the size needed? The second question is  
9 that sounds like a feasible study in terms of what is  
10 commonly done. Tell me why it is not, if it is unusual.  
11 It sounds like it is something. What I have seen of other  
12 studies, those are reasonable numbers.

13 My third question, I apologize, is why do you  
14 say, okay, eight years? That is obviously a very long  
15 time. Then the last question related to that is are there  
16 options for reducing that period of time, so that we could  
17 get something tested and fully vetted sooner?

18 DR. MCINNIS: I am going to start with the last  
19 question first because I think it pertains to your time  
20 estimate, right, the eight years. Do you have a comment on  
21 how you might truncate that?

22 DR. ABSALON: I think there were two questions  
23 around how we came up with that estimate. The first part  
24 is we looked at an ongoing maternal immunization trial. I  
25 think it was referenced earlier by others. And that trial,

1 while a different disease, is taking quite some time to  
2 enroll. In fact, we used a higher potential enrollment  
3 rate. We used 100 sites as a benchmark that we would need.  
4 If you assume approximately 500 pregnant women could be  
5 enrolled in a month, with 100 sites, that actually comes  
6 out to 8.3 years to enroll. Our current studies would  
7 follow infants out to a year.

8           If you think about when we would enroll moms,  
9 which is in the third trimester, it is about 18 months, so  
10 maybe a little less than two years. So it is going to take  
11 9 to 10 years to complete the study. Then we would have to  
12 compile the data, file a submission, ask the regulators to  
13 review it, and thus it would take a couple more years to  
14 actually have the vaccine available if we were successful.

15           DR. MCINNES: Is there any comment regarding the  
16 number estimated in the briefing document versus what Dr.  
17 Madhi put on the table?

18           DR. SUN: I think I am going to let Dr. Everett  
19 provide the assumptions by which we came up with those  
20 numbers.

21           DR. EVERETT: So the numbers were drawn from the  
22 literature. And my understanding based upon the article  
23 that they were from was that the following assumptions were  
24 used. A vaccine efficacy of 75 percent, a power of 90  
25 percent, that 75 to 85 percent of disease is caused by

1 vaccine serotypes. That 70 to 80 percent of subjects were  
2 eligible. I believe those calculations were based on an  
3 assumption that the lower bound of the 95 percent  
4 confidence interval of vaccine efficacy would be zero.

5 I presented those just to kind of show the range  
6 of possibilities that would be thinking about as far as  
7 sample sizes. As I said in my presentation, those  
8 assumptions would need to be discussed.

9 DR. MCINNES: So I think you probed a little bit  
10 about the doability, feasibility of the conduct of such. My  
11 interpretation, from what he said, was it was largely  
12 around operational issues. It is not the cases aren't  
13 there. It is just operation will be very challenging for  
14 medically-attended births. All the procedures going  
15 correctly, the diagnoses going correctly, that resources  
16 that would be required at multiple sites. That was my  
17 interpretation of what he said.

18 DR. MADHI: That is what -- I am on the call. You  
19 pretty much summarized what the key constraints are in  
20 terms of being able to do such a study.

21 DR. MCINNES: Thank you. Mike, you have a  
22 question?

23 DR. LEVINE: It is related to this question of  
24 feasibility. Obviously, the tension is wanting to get a  
25 vaccine for this health burden out as quickly as possible.

1 But also, nothing takes the place of having real efficacy  
2 data. Even one trial goes such a long way.

3 In relation to South Africa, you folks did the  
4 influenza immunization where you had limited time period  
5 for enrollment. You did a great job. From what you said  
6 earlier, the main constraints were in a pre-licensure  
7 trial, all the exclusion criteria, which understandably  
8 makes sense from a company's point of view.

9 And the other one you mentioned was having to  
10 have access to births where there is somebody who can make  
11 a clinical diagnosis and say this newborn needs a blood  
12 culture. But you have incidence data. In Soweto, you know  
13 what the incidence is where there is a clinical suspicion,  
14 and you get a blood culture. So the real constraint in  
15 your environment would be these exclusion criteria.

16 My question for Pfizer then is if this were some  
17 sort of post-licensure effectiveness trial that were  
18 somehow controlled, how much would that change the  
19 exclusion criteria for entry into it would be a licensed  
20 vaccine presumably given in a place like South Africa at  
21 the same time that tetanus toxoid is given? What would be  
22 your exclusions post-licensure?

23 DR. ABSALON: Thank you. I am not sure I can go  
24 through what our exclusion would be specifically.  
25 Certainly in an effectiveness real-world field trial, they

1 would be probably less stringent than what we would do in a  
2 randomized placebo-controlled trial pre-licensure. But  
3 again, these are things that we would have to discuss,  
4 develop, gain agreement. But I think the point may be that  
5 the question is I think less rigorous than a prelicensure  
6 clinical trial efficacy study because the vaccine would  
7 have been licensed.

8 DR. MCINNES: Thank you very much. I appreciate  
9 it. What a wonderful morning. With the permission of the  
10 committee, I would like to propose. It is about four  
11 minutes before noon. I would like to suggest that we now  
12 stop, and everybody can go and find something to eat and  
13 stretch their legs. We have just a small number who are  
14 signed up for the open public hearing portion.

15 I would like to please start very promptly at  
16 12:45 pm, which will still keep us on tract. Is everybody  
17 all right with that? Fine, thank you very much. Please be  
18 at your seats 12:45.

19 (Luncheon recess)

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**AFTERNOON SESSION****Agenda Item: Open Public Hearing**

1  
2  
3 DR. MCINNES: Good afternoon everybody. Welcome  
4 to the Open Public Hearing Session. Please note the Food  
5 and Drug Administration and the public believe in a  
6 transparent process for information gathering and decision  
7 making. To ensure such transparency at the Open Public  
8 Hearing Session of the Advisory Committee Meeting, FDA  
9 believes that it is important to understand the context of  
10 an individual's presentation.

11 For this reason, FDA encourages you, the Open  
12 Public Hearing speaker, at the beginning of your written or  
13 oral statement, to advise the committee of any financial  
14 relationship that you may have with the sponsor, its  
15 product, and if it's known its direct competitors.

16 For example, this financial information may  
17 include the sponsor's payment of your travel, lodging, or  
18 other expenses in connection with your attendance at this  
19 meeting. Likewise, FDA encourages you at the beginning of  
20 your statement to advise the committee if you do not have  
21 any such financial relationships.

22 If you choose not to address this issue of  
23 financial relationships at the beginning of your statement,  
24 it will not preclude you from speaking. We have I believe  
25 one person signed up, two people signed up. Dr. Keith

1 Klugman, please come to the podium. You have no more than  
2 five minutes, and the light system will be used Dr.  
3 Klugman.

4 DR. KLUGMAN: Thank you very much Dr. McInnes, a  
5 great pleasure to be here. I am Keith Klugman, I head up  
6 the Pneumonia Program of the Bill and Melinda Gates  
7 Foundation. I have no personal conflicts of interest at  
8 all. The Gates Foundation is embarking on a program to fund  
9 manufacturers to reduce GBS vaccines, and I'll allude to  
10 specific funding as I make my presentation.

11 The Gates Foundation as you all are aware is  
12 essentially a private charity with a focus on reducing the  
13 burden of mortality and morbidity of children in developing  
14 countries. And although initially the program of the  
15 foundation was focused entirely on pneumonia, recognizing  
16 that a large number of children still dying in developing  
17 countries die very early on in life.

18 We are now focused on trying to prevent neonatal  
19 sepsis, and it's in that context that we are interested in  
20 preventing GBS disease. The foundation has been responsible  
21 so far for funding the meta analysis, which was used to  
22 define the burden of disease presentations that were made  
23 so far, and in particular we are intrigued by the role of  
24 GBS in still births. This is a large burden of mortality,

1 equal in fact to all neonatal mortality, which has not been  
2 addressed to date by vaccines.

3           Secondly then, we have looked very carefully at  
4 the possibility of conducting large clinical trials in high  
5 burden areas, and have come to the same conclusion that was  
6 presented this morning. So that is that even though in a  
7 country like South Africa, and I would say in parentheses  
8 that the research program led very adequately today by Dr.  
9 Madhi was started by myself many years ago. And in that  
10 setting unfortunately a burden of disease of around 2.7 per  
11 thousand is not in fact the burden of disease likely to be  
12 achieved in a clinical trial.

13           In fact the data presented by Dr. Madhi on 35,000  
14 children who were prospectively collected in the last year,  
15 they were only able to prospectively take specimens from 35  
16 children. So that was a rate of one per thousand, which  
17 would translate into a trial size of around 100,000. In the  
18 light thereof, we have been very interested in the  
19 possibility of a correlate of protection, and in that  
20 regard we are funding the assay, which was mentioned by a  
21 few people, to try and come up with a standardized assay.

22           Thirdly then, aside from the burden of disease  
23 and the assay, we are also supporting the opportunity to  
24 expand the correlate of protection by prospectively helping  
25 to recruit additional patients into that study in southern

1 Africa. What I would like to say finally is that we  
2 envisage countries not being persuaded by a correlate alone  
3 to actually introduce vaccine into developing countries.

4           So we very much see the path forward that if the  
5 FDA were prepared to give an accelerated license to a  
6 manufacturing, that the way forward would in fact be for  
7 randomized trials post licensure to be performed in  
8 developing countries using the vaccine as a probe, and we  
9 would envisage, I can't promise this, but we would  
10 certainly look at supporting a number of such studies, both  
11 in Africa and in Asia.

12           Asia is an enigma for GBS, like pneumococcus and  
13 Hib before there's been very little evidence of burden of  
14 invasive disease, but having a probe of a vaccine I think  
15 would be highly likely to show whether or not there is a  
16 burden of disease.

17           So effectively I just want to say that in summary  
18 that the Gates Foundation believes that GBS is a major  
19 cause of neonatal sepsis that is potentially preventable  
20 and that an accelerated pathway to vaccine licensure could  
21 allow subsequently post-licensure probe studies to be  
22 supported in a number of developing countries.

23           DR. MCINNES: Thank you very much Dr. Klugman. Are  
24 there any questions from the committee for clarification  
25 from Dr. Klugman?

1 DR. KLUGMAN: Perhaps I should add, because I  
2 don't think I did specifically mention, that we are then  
3 funding both Pfizer in their pre-clinical work, and we are  
4 funding a South African manufacturer Biovac to make a  
5 tetanus based conjugate. And we have funded MinervaX who  
6 are trying to make a protein vaccine, at least in some of  
7 their correlate work. And we are also in discussion with  
8 other potential biotechs looking at alternative approaches  
9 to this vaccine.

10 DR. MCINNES: Thank you very much. Are there  
11 other members of the public who would wish to make a  
12 statement? Can you please stand and go to the podium?  
13 Welcome.

14 DR. MEHRING-LE DOARE: My name is Cathy Mehring-Le  
15 Doare, I'm a clinical senior lecturer at Imperial College  
16 London, and I'm leading the serological assay,  
17 standardization consortium that you've heard about at this  
18 morning. I just wanted to talk to the panel very briefly  
19 about the UK perspective.

20 Professor Paul Heath, who is online, and I are  
21 working on several studies at the moment to try to answer  
22 some of the questions surrounding serocorrelates of  
23 protection. Because if you've heard from Dr. Schrag the UK  
24 has a particular concern with an increasing burden of early  
25 onset and late onset disease.

1           We have two studies currently ongoing, looking at  
2 serocorrelates of protection based on the serosurveillance  
3 undertaken countrywide in 2014, where we have 800 cases of  
4 early and late onset disease, and we are retrieving the  
5 newborn blood spot cards of those cases and comparing them  
6 to Guthrie cards collected at 2014 and also to a  
7 prospective cohort of healthy controls, to try and  
8 establish whether Guthrie cards would be a useful method of  
9 measuring antibody against group B streptococcal disease.

10           The second study we have that has recently been  
11 funded by the NIHR is a feasibility study looking at  
12 collecting maternal and cord serum from initially a cohort  
13 of 5000 women who would be randomized to either culture  
14 based screening or current standard of care in the UK,  
15 which is risk factor based screening to assess natural  
16 antibody in the context of GBS colonization.

17           If this feasibility study, which is due to start  
18 recruitment in June of this year and report its results in  
19 December as successful, this will then be embedded in a UK  
20 countrywide study of approximately 600,000 UK women where  
21 we will be able to collect in a proportion of those women  
22 maternal and cord samples in a cluster randomized control  
23 trial of universal versus swap based screening to assess  
24 antibody in colonization and disease. This is just a quick  
25 summary of the UK's efforts.

1 DR. MCINNES: Thank you very much. I wonder if it  
2 would be possible for you to summarize such a large  
3 undertaking by the assay standardization effort. Can you  
4 just give us some of the guiding principles?

5 DR. MEHRING-LE DOARE: Certainly. So, we are a  
6 consortium comprised of Pfizer, GSK, MinervaX, academic  
7 partners in South Africa, Professor Madhi's group, and then  
8 within the UK who have previously worked on pneumococcal  
9 serocorrelates, such as David Goldblatt the idea being to  
10 develop standard reagents in terms of capsular  
11 polysaccharide for use in their ligand binding assays, and  
12 reference serum for use in both the ligand binding assays  
13 and opsonophagocytosis killing assays, using standard  
14 protocols which we will all then be able to adopt, or we  
15 will all be able to use the standard reagents to bridge  
16 between different studies to try and standardize the output  
17 of any future serocorrelate studies.

18 DR. MCINNES: Any questions on the committee on  
19 the standardization effort? Karen?

20 DR. KOTLOFF: I am struggling a little bit with  
21 how colonization might be used as correlative, if that were  
22 being considered. I think the comment that Shabir made that  
23 antibody will prevent acquisition of new colonization, but  
24 will not eradicate existing colonization. So when you're  
25 looking to correlate antibody levels with colonization, do

1 you have the ability to distinguish those events and see if  
2 there's a differential effect?

3 DR. MEHRING-LE DOARE: I think the studies would  
4 be slightly different because we're not using those studies  
5 to assess antibody and positive or negative colonization,  
6 but because colonization demonstrates exposure to GBS we  
7 will then have a population who have been exposed to GBS  
8 and who we can measure their antibody concentrations when  
9 the babies are healthy, compared to babies exposed who then  
10 go on to have disease.

11 DR. MCINNES: Thank you very much. I have a sense  
12 that you did actually sign up to speak, and somehow we lost  
13 you. And so we're very pleased we found you again. So thank  
14 you very much for your contribution. Is there anybody else  
15 who wishes to make comment from the public? I don't see any  
16 more hands raised, so we should close the open public  
17 hearing. 1:00 PM, we're good.

18 So I think the agenda now suggests that it's time  
19 for us to move into the discussion, the committee  
20 discussion period. And I have very good instructions here  
21 to ask all members to contribute. Before we lead off, I  
22 would like to have the questions put back up please, that  
23 would be helpful to keep us focused.

24 I also want to encourage people that these are  
25 the questions that are being put to us, not other



1 questions. And we are not here to tell the FDA what  
2 regulatory path they should follow, that is not what we're  
3 being asked to do here. So we should stick to these and  
4 take each of the topics, and we can certainly discuss  
5 guiding principles, conceptual issues, but it's not our job  
6 to design the regulatory pathway for the FDA. Arnold,  
7 please take us away.

8 **Agenda Item: Committee Discussion**

9 DR. MONTO: I just want to jump in because the  
10 word effectiveness is being used here. And I think  
11 correctly we heard from Keith Klugman that randomized  
12 trials, even though I am a proponent of observational  
13 studies, and that's mostly what we're doing right now,  
14 still they do not have the persuasive value, especially  
15 when correlates are still up in the air a little bit, of  
16 using a randomized design.

17 And I would hope that we could consider  
18 effectiveness and efficacy studies in terms of the mixed  
19 design that we heard, accelerated approval and then some  
20 kind of a clinical approach thereafter. I would also hope  
21 that we could consider as we discuss this the effect of  
22 following colonization, and the ethical issues about  
23 whether such colonization would need to be treated or not,  
24 and its effect on the trial.

1 DR. MCINNES: Arnold, I think where you are going  
2 with this, many debates we've had of efficacy versus  
3 effectiveness, trials and trial design, my sense is that  
4 the word effectiveness is being used in a kind of catch-all  
5 way, and I will ask Marion for confirmation of them.

6 DR. GRUBER: That is correct, Pam. So regarding  
7 question one, we use the term effectiveness deliberately,  
8 and not efficacy. And because, well we randomized pre-  
9 licensure clinical studies with clinical disease endpoint.  
10 That's usually what we mean or have in mind when FDA talks  
11 about demonstrating vaccine efficacy.

12 But here we have put additional considerations on  
13 the table, we were also talking about composite endpoints,  
14 we're looking at immunologic endpoints, colonization. So  
15 this is why we're using the term effectiveness versus  
16 efficacy.

17 But we realize that this is sort of like an FDA  
18 dictionary if you want, and there are other regions and  
19 other entities that even define these terms differently. I  
20 don't think we need to be getting hung up on these terms. I  
21 think our point, one was really do you discuss the  
22 strengths and limitations of doing studies with a clinical  
23 disease endpoint. And then B, immunological endpoints, and  
24 C, colonization. And I think the terms to be used here,  
25 efficacy versus effectiveness is secondary to this.

1 DR. MONTO: I think that is very helpful. The  
2 problem of course is that certain terms in certain  
3 disciplines convey certain characteristics. I think often  
4 what we do now is use the term VE so that we don't have to  
5 say efficacy or effectiveness, to try to get around this  
6 problem in terminology. But often it's  
7 efficacy/effectiveness. As long as we've noted this, we're  
8 moving in the right direction.

9 DR. MCINNES: So if we go to question one to guide  
10 us through here, there is sort of a presupposition about  
11 that laboratory confirmed early or late onset disease is  
12 accepted, and then what else can be added to that. I think  
13 the acknowledgment of the feasibility challenges of studies  
14 that utilize those, while these are somewhat purist and not  
15 subject to much debate, this is potentially a challenge.

16 But moving on, what additional clinical disease  
17 endpoints, unconfirmed and confirmed fetal or infant  
18 endpoints as well as maternal endpoints can be considered  
19 to demonstrate vaccine effectiveness. So aspects that were  
20 raised during the discussion included group B strep related  
21 still births. Maternal disease was brought up as a  
22 possibility. Pre-term birth with maternal group B strep  
23 bacteria. And then neonatal encephalopathy.

24 And I wonder if it might be helpful for us, and  
25 there may be others that people would like to add. But it

1 might be helpful for us to pick them and walk through them,  
2 with the guiding principle discussion in mind. So if we  
3 took group B strep related still birth as an additional  
4 endpoint, could we start with that as a discussion?

5 DR. HEINE: I think that is a very difficult  
6 endpoint to use for a study such as this. One, we could  
7 really get into how do you determine if that's a group B  
8 strep still birth. If you're going to say okay well I'm  
9 going to go in to do an autopsy and get an organ that  
10 otherwise should be sterile, I would argue that if you have  
11 a still birth and you induce her for 36 hours and she has  
12 high colony counts group B strep, that may be just a  
13 product of the labor and not a mechanism of the still birth  
14 at all.

15 There are many arguments around trying to, do I  
16 think group B strep causes still birth, yes I do, but I  
17 think it's a very difficult thing to ascertain, and I think  
18 doing a study where these studies would be done, I think it  
19 would be near impossible to identify and really document  
20 group B strep still-birth.

21 DR. KOTLOFF: So, there is a procedure that's  
22 ongoing in South Africa and other countries called MITS,  
23 the Minimally Invasive Tissue Sampling, I just wanted to  
24 put that on the table, where with permission postmortem  
25 infants are sampled with needle biopsies in multiple organs

1 and blood. That being said, I think it's subject to  
2 contamination effects.

3 DR. HEINE: I will give you an example. Somebody  
4 ruptured membranes and had a prolapsed cord for five days,  
5 and then you induce, and okay, you get group B strep out of  
6 whatever organ you minimally invade, and well she  
7 prolapsed, so labor puts so much into it, and the issue of  
8 group B strep transmission at the time of just a prolonged  
9 labor could account for that.

10 DR. GREENBERG: And further to the discussion  
11 about still births, as pointed out it would be difficult to  
12 identify those that are truly group B strep, and then I  
13 think if you look at it from the other aspect of  
14 unconfirmed, then I think it's a challenge because of the  
15 relatively few that are truly caused by group B strep, that  
16 it's not as if you would expect even in a fairly large  
17 trial to see a reduction in overall unconfirmed still  
18 births. So both confirmed and unconfirmed I think would be  
19 --

20 DR. MCINNES: Is problematic for you. Dr. Bok?

21 DR. BOK: I just have a clarifying question  
22 because I don't remember hearing it. Is this intended to be  
23 administered for pertussis, third trimester, 30-something  
24 week?

1 DR. MCINNES: Could you repeat the question  
2 please?

3 DR. BOK: Is this vaccine going to be administered  
4 like for pertussis, in the third trimester, late weeks for  
5 active transfer? I just want to clarify when do those --

6 DR. MCINNES: I did not hear anything other than  
7 third trimester. So you could be early third trimester, and  
8 I think Dr. Baker brought that up. So Dr. Baker is  
9 clarifying that 27 weeks begins when the pertussis can be  
10 administered.

11 DR. BOK: But this vaccine would be administered  
12 there too, at that range?

13 DR. MCINNES: I did not hear a particular number,  
14 but every context was presented in the same vein. Is that a  
15 correct statement? Are there any comments here or thoughts  
16 about confirmed or unconfirmed still birth? Dr. Madhi is on  
17 the phone and would like to talk about this. Welcome back.

18 DR. MADHI: I just want to make a point on, like I  
19 mentioned there are variabilities between the dozen studies  
20 that have been delegated the role of GBS in still birth,  
21 and I do agree with the comment that we're not looking at  
22 all cause still birth, because that would be too  
23 nonspecific as an outcome. So what we're looking at is GBS  
24 associated stillbirths. So Karen mentioned that the program  
25 that's currently under way is minimally invasive tissue

1 sampling, and a great extent that actually mitigates  
2 against the unacceptability of doing autopsies and what it  
3 really involves is taking immediate post-delivery sampling  
4 of the blood, or it could also be of the lungs.

5           So the cases we've been describing from South  
6 Africa as an example, all of the still births are  
7 investigated almost within a few hours of delivery. And in  
8 general, in South Africa at least, the timing in terms of  
9 the still birth is completely -- the timing of the  
10 diagnosis of the still birth in relation to delivery is  
11 usually less than 24 hours as well.

12           So what I'm saying is that these cases are being  
13 diagnosed based on blood culture positivity and not any  
14 other organ system, which certainly could be subject to  
15 contamination. I think blood culture contamination for GBS,  
16 provided that you are purely diligent in terms of ensuring  
17 sterility when doing the sampling, is probably less likely  
18 to be the cause of contamination, and probably purely  
19 indicative of invasive disease.

20           And as I mentioned, for me I think the turning  
21 point in terms of association of GBS still births is really  
22 what we see with early onset disease in South Africa, where  
23 90 percent of our diagnosed cases, if not more, actually  
24 diagnose based on a blood sample that's taken at the time  
25 of birth.

1           And what it really tells us is there is a  
2 spectrum of invasive fetal disease from GBS, some of the  
3 children end born with invasive disease, unfortunately  
4 others actually demise before they're born. But in terms of  
5 the pathogenesis for both cases the early onset disease,  
6 the majority of the early onset disease is related to still  
7 births, and infections actually occurring in utero,  
8 probably during labor rather than during passage of the  
9 baby.

10           DR. MCINNES: Are there any comments to that?

11           DR. HEINE: Although again I agree that group B  
12 strep is a cause, I think you just hit the nail on the  
13 head, that labor is what produced it. So if you have a  
14 still birth who then goes through labor, was it the still  
15 birth or was it the labor? If I really wanted to prove it,  
16 what I could do is if you had a still birth before you did  
17 an induction you do an invasive procedure of whatever  
18 tissue you wanted to get. But I imagine as part of a trial  
19 you wouldn't want to do invasive procedures to try to get  
20 sample, because that would be very difficult to do. So  
21 controlling for the effects of labor is the real problem.

22           DR. MCINNES: So, this would fall under the  
23 limitations of that particular end point is what you are  
24 bringing up. And I think obviously for people who do want  
25 to propose it as a particular clinical additive endpoint,



1 understanding exactly what was going to be done when it was  
2 going to be done would be critical to whether you could  
3 move it from a limitation up into the strengths. Did I say  
4 that correctly for you? Are there any other conversations  
5 or comments, questions people would like to raise about  
6 still birth as an additional clinical disease endpoint?

7 DR. SCHRAG: I guess I would just add that maybe  
8 one thing I agree with is I think laboratory confirmed  
9 early and late onset disease are probably the only things  
10 everyone in the room just 100 percent feels comfortable  
11 with, so we're venturing into the territory of accepting  
12 somewhat less specificity.

13 But the stillbirth endpoint I feel a bit more  
14 comfortable with than some of the others we may discuss, so  
15 I just want to put that out there. And while there may be  
16 some that get a false positive result, I think we're not  
17 seeing from the studies that have come out of South Africa  
18 and Kenya, the two that I'm the most familiar with.

19 We're not seeing certainly nearly the rates of  
20 positive results that would suggest it's just maternal  
21 colonization that you're picking up, because you would  
22 expect to find it much more frequently, and we're seeing  
23 these rates that are somewhat similar to the invasive early  
24 onset disease rates, which make some sense. And so there  
25 may be some mis-classification going on there, but I don't

1 see it from the data. The degree of concern is what we're  
2 hearing right now, and I just wanted to add that as  
3 context.

4 DR. MCINNES: Any other comment on this?

5 DR. HEINE: Just to add back, the colonization of  
6 skin, stomach, when we look at colonization we're invading  
7 deep spaces, so that may take a little while longer during  
8 the labor process for you to see deep space involvement. So  
9 again I just think the labor process, you just have to be  
10 concerned what contribution that made.

11 DR. LEVINE: I have been sitting here grappling  
12 for a couple of hours now with the burden numbers, and  
13 we've heard somewhere between one to four live births would  
14 have culture confirmed clinical GBS disease, and right now  
15 a number of countries in the past few months have just been  
16 affirmed or validated by WHO as having reached the  
17 definition of neonatal tetanus elimination, which to me  
18 means control, which brings down the incidence to below one  
19 per thousand.

20 In other words, the goal of that program, which  
21 is the grandmother of what we want to latch onto that's  
22 working so well in the developing world, is where this  
23 disease is starting. So that's one thing that's -- This is  
24 only essentially the first week of life, the early disease.

1           So if you multiple that by 52, you're actually up  
2 to a credible pattern that would be similar to certain  
3 other capsular invasive diseases that occur throughout  
4 infancy. It's a narrow window that makes that number seem  
5 small, but in fact I think it's very high. But for neonatal  
6 tetanus there's also the problem of non-specificity.  
7 There's no culture, there's no definitive microbiological  
8 assay to say this fatal case with seizures and with spasms  
9 was really neonatal tetanus.

10           So I just bring that out, and yes there appears  
11 to be success even in validation using the combination of  
12 seroprevalence and population of women of child bearing  
13 age, various other measures of immunization coverage and he  
14 endpoints, the clinical reports of that disease. So I don't  
15 think that lack of specificity is a huge problem if you  
16 consider this a study looking at prevention of that broad,  
17 broad syndrome, which may be important, but it doesn't make  
18 me personally happy, I'd like something a little bit  
19 tighter.

20           DR. MCINNES: Any other comments at this point,  
21 questions? All right, so the still birth piece I think  
22 we've talked about, we've laid out the possible strengths  
23 of using it, and then limitations that would have to be  
24 expressed technique-wise, criteria-wise, very carefully in  
25 order to be assed for its liability. So another one that

1 has been raised is pre-term birth, and in some contexts it  
2 was added to maternal GBS bacteria. So are there any  
3 comments about preterm birth being used as an additional  
4 clinical disease endpoint?

5 DR. HEINE: Because they're multifactorial. I  
6 don't mind using it, but they're going to have to rethink  
7 about when they give the vaccine if you're going to try to  
8 reduce preterm birth. Because yes, preterm birth happens in  
9 the third trimester more commonly, but the ones you really  
10 worry about are the ones that happen between 23 and 28  
11 weeks, and if you're going to give it at 28 weeks you  
12 overlook that whole group.

13 So likely you'll want to give your vaccine  
14 probably even in the first trimester. What I need to know  
15 is how long the response is sustained and the maternal  
16 response, because you want transmission cross in the third  
17 trimester that's high, but if I'm really going to make a  
18 prematurity impact I'm going to have to give it earlier if  
19 we think that's an etiology.

20 DR. LEVINE: I think that make sense in the first  
21 world. In this big randomized trial we did of maternal  
22 immunization of flu vaccine versus Menactra, in West Africa  
23 a woman does not seek antenatal care until deep into the  
24 second trimester. This is cultural. And although it's very  
25 strong in Mali, we have heard this is true in many other

1 sub-Saharan African countries or regions, including in East  
2 Africa. And so that would be very difficult.

3           And the other thing is relating to prematurity,  
4 birth weight may be a better measure in terms of cutoffs,  
5 because again in an effectiveness trial in certain parts of  
6 the world, very difficult to put an age on the infant at  
7 time of birth. Infants just in birth weight are much  
8 lighter even at full term birth.

9           DR. MCINNES: Anybody else wish to comment on pre-  
10 term birth?

11           DR. HEINE: It would be a secondary outcome of  
12 mine, and I would hope that I would see a signal if I gave  
13 it at 28 weeks, that saw a signal that I had a reduction in  
14 less than 37 weeks, and then I'd take it to first world,  
15 because I agree that it's just not something that I'd use  
16 as my primary outcome.

17           DR. MCINNES: What about maternal disease? That  
18 was discussed. What are people's thoughts about that?

19           DR. HEINE: So, Group B strep is a leading  
20 etiologic agent of most maternal infections that, I'm not  
21 relating this to the newborn, but Chorioamnionitis and  
22 amniotic infection, whatever name you give it, up to a  
23 third have group B strep that grow out. Then you're going  
24 to go on to endometritis which is post-delivery wound  
25 infections, pyelonephritis.

1           And one of the things that we all in the  
2 infection world and OB have noticed since we've done group  
3 B strep interpartum prophylaxis, we have seen decreased  
4 rates of endometritis and chorio in labor. And I think that  
5 is definitely an outcome, that grouping of maternal  
6 infections. Now what I included in my primary, the Holy  
7 Grail here is the decreased neonatal sepsis, but I  
8 certainly would look at the grouping of labor related  
9 infections in the mother.

10           DR. EL SAHLY: I would second that, I mean it can  
11 be more easily ascertained with relatively acceptable  
12 invasive means.

13           DR. GREENBERG: I think it is an important area to  
14 focus on, it might be the secondary outcomes, but it's  
15 important I think to study because both of the burden of  
16 disease, and for the mothers. And because obviously there's  
17 got to be attention to that because of the design of  
18 studies, because it's of the mother and not the infant. But  
19 I would strongly support evaluating that.

20           DR. EL SAHLY: Would it be secondary or part of  
21 the composite? Statistically does it make a difference in  
22 the sample size?

23           DR. JANES: A comment along those lines, that I  
24 think in order for it to have value in terms of decreasing  
25 the size of the study, you would want to consider it in

1 conjunction with the infant endpoints, and doing so would  
2 thus require one to wrestle with the relative importance of  
3 the events that occur to the mother and infant, which is  
4 obviously a difficult issue to wrestle with.

5 DR. HEINE: Although not common, it's certainly  
6 more common than neonatal sepsis. So if I had a three to  
7 five percent chorio in labor rate, and so you have a third  
8 of that, I would need far fewer patients to show benefit,  
9 and I would worry that you would stop at just showing  
10 benefit in there, and does it weigh enough to immunize  
11 every pregnant woman across the world, when I could treat  
12 the chorio with antibiotic. I think that's what you're  
13 getting at. I'd probably do it as a secondary, not as part  
14 of the primary.

15 DR. KOTLOFF: This point may be obvious to  
16 everyone, but I just want to mention it. And that is if  
17 this is a randomized trial, then even these nonspecific  
18 effects may come out just because of the randomization. But  
19 I think with the still births there is some caution even  
20 with that, because it's possible that if you just have  
21 decreased maternal colonization, it could look like you  
22 have less GBS associated still births, when in fact you may  
23 just be measuring decreased maternal colonization. So I  
24 think that's another concern there, because you have a  
25 still birth, the group B strep gets into the organs as the

1 child goes through labor as having died, and it could still  
2 be contamination.

3 DR. SCHRAG: I guess I understand the point you're  
4 saying, but I think if really there were a decrease in  
5 colonization that could also be a real mechanism for  
6 decreasing GBS associated still births, which really has  
7 the same pathophysiology as early onset disease, and we  
8 know that reducing colonization reduces early onset  
9 disease. So we're stuck with that, and I don't know that I  
10 would use that as a reason to say that still birth results  
11 would be suspect, particularly if it's concomitant with  
12 really a reduction in the exposure.

13 DR. SHANE: So I think one other comment that  
14 might be helpful, and I'm not sure how to operationalize  
15 this, but I do think it would be important to know both  
16 fetal or infant and maternal outcomes together, meaning  
17 keeping those paired, because if you look at just the  
18 mother or just the infant, you may not really get a true  
19 understanding of the association. So therefore as best as  
20 we can keeping maternal and infant outcomes group together.

21 DR. MCINNES: Any more comments on this? We can  
22 loop back again if something comes up.

23 DR. GILBERT: Just a thought, kind of what we  
24 refer to as the easily acceptable endpoints, the invasive  
25 disease, early and late in the infants, assuming those are



1 only valuable in the live births, there's an issue with how  
2 does the analysis account for the still births.

3           Because if one is comparing disease rates between  
4 vaccine recipients that are live birth and placebo  
5 recipients that are live birth, that's not a randomized  
6 comparison. There could be post-randomization selection  
7 bias if the vaccine had an effect on still birth. So it's  
8 just a comment that we can't totally consider the EOD and  
9 LOD in isolation from the death of the infant.

10           DR. MCINNES: So I am going to put the fourth one  
11 on my list on the table for discussion, and that was the  
12 neonatal encephalopathy. Which nobody really talked about,  
13 except got mentioned a few times. Are there any comments  
14 about that as a contributing clinical disease endpoint?

15           DR. HEINE: I would expand that. What was exciting  
16 to me was the South African experience with suspected  
17 neonatal sepsis, expanding the diagnostic, using PCR. I  
18 would love to see that. Because that raised your overall  
19 rate, almost doubled it, and if you're able to use that  
20 technology. Because notice how suspected by strict criteria  
21 was so much higher than GBS proven sepsis.

22           So if you could expand your positivity rate, that  
23 does make a randomized trial, whether still feasible or  
24 not, may make it more doable. So instead of encephalitis, I

1 think expanding your diagnosis of suspected neonatal sepsis  
2 and coming up with a clinical criteria for that.

3 DR. MCINNES: Dr. Greenberg?

4 DR. GREENBERG: I agree completely. One of the  
5 concept I think to keep in mind is that whether it be  
6 encephalopathy or all neonatal sepsis, which they both have  
7 the limitation of specificity, but I think what many of us  
8 are recognizing more and more often is that a disease can  
9 be uncovered through these vaccine trials, and that if the  
10 mothers in this case are randomized that one can be  
11 surprised by these secondary outcomes, they seem to be  
12 reduced at a greater proportion than one would expect just  
13 from the laboratory confirmation.

14 And that doesn't mean that it's wrong, in fact it  
15 can mean that you're uncovering disease that is underlying  
16 that you would not have found if you hadn't been doing a  
17 clinical endpoint clinical trial.

18 DR. MONTO: I agree. I think we need to keep a  
19 separation between all neonatal sepsis and using new  
20 techniques or techniques that haven't been used in the past  
21 such as PCR, which to me confirm that you're dealing with  
22 GBS. Because the other question will be whether you want to  
23 use all neonatal sepsis as an outcome.

24 And the other thing is that we're being asked to  
25 discuss this in the context of endpoints for a clinical

1 trial, and I think you discover all sorts of things during  
2 a clinical trial, especially in an area that you really  
3 haven't got as much natural history incidence data as you  
4 would like, but they are not outcomes in the clinical  
5 trials, and I think we need carefully to distinguish these,  
6 because some of the endpoints such as still births may turn  
7 out to be an interest, but to my mind shouldn't be used as  
8 an outcome.

9 DR. SCHRAG: Just to kind of second that, about  
10 the dangers of picking some of these. And I am a little  
11 unclear from some of the discussion, my read of that first  
12 question is looking for something to add to early and late  
13 onset, to have more power. Which means that it's all going  
14 to be a part of the primary endpoint.

15 And I would express definite concern around  
16 things like clinical sepsis being in that category, because  
17 I think Shabir showed, and I know from the work I've done  
18 in South Asia as well, this is an extremely common, high  
19 incidence entity.

20 In South Asia it was like 80 or 90 per 1000 live  
21 births, and we've seen what the incidence of group B strep  
22 is. And even with pneumococcal conjugate vaccines using a  
23 less specific definition like pneumonia made it very  
24 difficult in some trials to be able to find the kind of

1 vaccine advocacy that was really important to know for  
2 invasive disease.

3           So while I think they are important, I would make  
4 a big distinction and say it's dangerous to start to lump  
5 these things in with a primary endpoint, and I think there  
6 could be consideration potentially of PCR positive from a  
7 sterile site, but we have to remember what Shabir showed  
8 that we also can get that positivity in a healthy baby. And  
9 so somehow that needs to be noted and would need to be  
10 taken into account, that we are introducing some lack of  
11 specificity there.

12           DR. MCINNES: Are there any other clinical  
13 disease endpoints that people would like to put on the  
14 table for discussion, either a secondary endpoint or  
15 additive endpoints, which is now a new term for talking  
16 about this afternoon in the context of GBS? Mike?

17           DR. LEVINE: I was just going to ask if everybody  
18 is speaking about the same specific definition when we talk  
19 about neonatal sepsis. Do we mean physiological sepsis, as  
20 in intensivists would define it in an older kid or adult,  
21 versus just bacteremia? One of the syndromes that was  
22 mentioned in ABC was bacteremia, no focus.

23           To me a syndrome is really a clinical picture,  
24 and it wasn't clear to me if this means -- it wasn't clear  
25 what the drivers were to collect the blood culture. A

1 question arises if one just did a couple hundred blood  
2 cultures on newborns within the first day or two, what  
3 percentage would have a positive blood culture. When we are  
4 talking about sepsis, does this mean objective evidence of  
5 physiologic derangement, or is this a purely grab basket  
6 clinical term like it was four decades ago?

7 DR. MCINNESS: Carol, I wonder if we might call on  
8 you for your response to this question?

9 DR. BAKER: I don't think there are enough mothers  
10 and fathers in this room. Most of you have had children,  
11 and most of your children when they were born were healthy.  
12 We don't draw blood cultures from healthy people. Remember  
13 that. Bacteremia without a focus in a neonate means from  
14 ABC surveillance, bless them, that there's a good reason to  
15 collect a blood culture. And in the fact majority the  
16 clinical syndrome is like sepsis, adult people would say  
17 septicemia, according to clinical criteria, without a  
18 focus.

19 So they may have a lung focus, they may have  
20 meningitis if they look, but some babies with mother has  
21 bad Chorioamnionitis. Maybe term and look healthy, but  
22 because it's such a risk factor for the baby who's term to  
23 develop sepsis in the first 24-48 hours, a blood culture is  
24 done.

1           So I think the terminology is confusing.  
2 Bacteremia means that GBS and a neonatal pathogen is  
3 collected from the blood stream. Most of those babies have  
4 clinical sepsis. Does that help at all? The other thing  
5 about efficacy is this is one vaccine, maybe one dose given  
6 to the mother to prevent disease in two people, three if  
7 you count the fetus different than the newborn.

8           DR. LEVINE: Carol, it is my understanding that  
9 intensivists across the world have gotten together and have  
10 defined sepsis.

11          DR. BAKER: Not for newborns.

12          DR. LEVINE: That's my question. So this is not,  
13 when we see neonatal sepsis we're talking about a purely  
14 clinical baby, it's like 50 years ago being in a newborn  
15 nursery, it's not with the modern criteria.

16          DR. BAKER: Modern criteria, using all the modern  
17 things you would use for adults, and even older children,  
18 have not been consensus for the neonate. I think we'll be  
19 moving in that direction. But it's different than the adult  
20 situation. And the same with the mother. I mean I agree,  
21 using maternal disease even though we can't detect  
22 bloodstream infection very often, it makes sense if you're  
23 immunizing a person to prevent disease in her offspring to  
24 look at disease in the mother however defined as well as in  
25 the infant.

1           And I just want to say we need to see published  
2 more of the stillborn data. Because you know how I as a  
3 house officer discovered that prematures didn't have  
4 Hyaline membrane disease in the 1960s or early 1970s,  
5 because we didn't work them up, we thought they all had  
6 Hyaline membrane disease. When they did I did an immediate  
7 heart puncture, and pure group B strep grew from a  
8 substantial portion.

9           Now of course we evaluate these preterm with any  
10 breathing. But the sepsis is very nonspecific, and we  
11 evaluate probably I would say at least eight to ten babies  
12 for every baby that will actually have a positive blood  
13 culture. So you can't tell because it's so nonspecific.  
14 With clinical criteria, whatever sepsis is, we could  
15 consider it, but right now if you say sepsis it's like I  
16 like blue, you like green, they're very close colors, it's  
17 very nonspecific now, so you're going to have a lot of  
18 noise.

19           DR. MCINNES: Dr. Greenberg?

20           DR. GREENBERG: Just to clarify my point, I was  
21 thinking of the physiologic. So if a study was designed  
22 with criteria for definition of clinical sepsis in a  
23 newborn, that's the scenario I was thinking of when I said  
24 if there is a reduction of those clinically ill infants  
25 that then go on to a blood culture, and even if the blood

1 culture is negative, if there was a reduction of those  
2 cases that would show benefit of the vaccine in a  
3 randomized study, and I did not mean to have that in the  
4 primary analysis, I meant that to be secondary or  
5 exploratory, because it shouldn't detract from the primary  
6 analysis of laboratory confirmed.

7           And then as Dr. Baker has raised, that  
8 classification of infants whose mother has  
9 Chorioamnionitis, and then the child looks healthy, it  
10 doesn't matter, he's brought into some sort of a staffed up  
11 area or ICU, and has a blood culture drawn before the  
12 antibiotics are started, but never gets clinically ill?  
13 That's a separate kettle of fish. I think there are  
14 multiple categories of disease here. So it is different.

15           DR. MCINNES: Let me ask the FDA whether we have  
16 covered adequately for you this first question, or whether  
17 you have any follow-up that perhaps we could reflect on.

18           DR. GRUBER: We appreciate the discussion. We know  
19 this is very difficult. We've had a lot of internal debates  
20 over this, and I think some of this, we feel a little bit  
21 reassured and we heard other interesting comments and  
22 comments made here. So thank you for that.

23           DR. MCINNES: That doesn't mean we can't talk  
24 about it if somebody gets an idea a little bit later on,



1 but I just would like to get this moved along here. Now,  
2 we will move to immunological endpoints.

3           The impression is functional and ligand binding  
4 antibody levels. Could they be used to demonstrate vaccine  
5 effectiveness? If so, please discuss their strengths and  
6 limitations. So now we move on to the correlates, the  
7 serological correlates, the concept that we understand the  
8 mechanism of protection, we know how to measure it, we just  
9 don't all measure it the same way.

10           The challenges that are always vested in this.  
11 For those of you who didn't live the hemophilus, the  
12 meningococcus, the pneumococcus wars, this was a  
13 fundamental underpinning of every one of those activities,  
14 and it went on for a long time, and there were wonderful  
15 friendships that formed, and there were wonderful  
16 friendships that were ruptured.

17           This is just the way it is until we all agree on  
18 how we're going to do things and what reagents are going to  
19 be used, and how we're going to interpret things. So it's  
20 not new to this field at all. A lot of progress has been  
21 done, and I was personally delighted to hear about the  
22 standardization effort, as you can't get anywhere without  
23 such an undertaking. And it is not for the faint of heart,  
24 and don't expect a medal, because there has not yet been  
25 one forthcoming for previous activities.

1           So let's move to the question, could they be used  
2 to demonstrate effectiveness? And then it's a look at both  
3 the role of the functional assay as well as what really is  
4 a higher throughput workhorse assay for binding. Who would  
5 like to talk about this? Does anybody have any feelings  
6 about this?

7           DR. EL SAHLY: I would like to begin by saying  
8 that while the data point to the higher the better,  
9 generally speaking, the cutoffs from the data in South  
10 Africa versus the data in the US versus the data in Italy I  
11 think was the third study, are not uniform, and some of it  
12 has to do with the assay, either the reagents or the  
13 methods or both.

14           And we just heard very encouraging news that  
15 large studies are underway looking at standardization of  
16 these assays and correlating them in mother-infant pairs  
17 looking at specific disease endpoints as I understand it.  
18 So until we have those data showing a reasonable cutoff,  
19 and that can be used in different populations, and that has  
20 been correlated to a particular endpoint of interest, it's  
21 hard to say beyond the general feeling, yes higher is  
22 better, but we just don't know what to ask from the vaccine  
23 manufacturer to demonstrate.

1 DR. MCINNES: Preliminary vote of confidence  
2 ideally in the idea of this. Would anybody like to add to  
3 this?

4 DR. SPEARMAN: I would like to give you my  
5 impression from the morning's discussion that this is  
6 really a great way to go, but we need a lot more data,  
7 basically adding to what Hana has said. That to understand  
8 the level of which assay is really going to be associated  
9 with protection, it didn't sound like we're really there,  
10 but it's very promising, and I think that's where a lot of  
11 effort needs to be.

12 DR. EL SAHLY: I think one of the commentators  
13 from the collaboration mentioned that as early as December  
14 of this year we'll start having some of these data, did I  
15 get that right?

16 DR. MCINNES: I heard not for another year or so  
17 from the large study.

18 DR. LEVINE: I'll summarize what I think I heard  
19 today in my comments on that number two. If one had a  
20 correlate of protection, a particular antibody assay or  
21 assays, one could if one had confidence of course use that  
22 to draw conclusions about how this vaccine would work. So  
23 to have an immunologic correlate of protection you need  
24 protection.

1           What I heard today was epidemiologic studies, and  
2 Carol's, one of her many beautiful studies where she  
3 immunized and showed an impact on colonization, which is a  
4 biological activity due to the vaccine. in the case  
5 control, what one saw from several different sources was  
6 that kids or mothers of kids who developed early onset GBS  
7 disease had significantly lower titers of antibody, anti-  
8 capsular antibody than matched kids who didn't develop  
9 disease.

10           The only question or problem with that is there  
11 you're using an antibody as a marker, taking the mother who  
12 somehow saw the whole bug, you're picking out one  
13 particular antibody against a capsule, and it's possible  
14 that there was transfer of other antibodies that could play  
15 a role. I think that that can be tweezed out easily.

16           Pamela mentioned Hib, you go way back to  
17 Fothergill and Wright and you have overlapping inverse  
18 curves, there is bactericidal activity in the first couple  
19 of months of life, it disappears in the infancy when kids  
20 have the highest incidence of Hib, and then it builds up  
21 again. And later one showed that most of that antibody was  
22 anti-PRP, anti-capsule, but you could absorb that with  
23 purified PRP. You put all that together and you're on your  
24 way.

1           And first generation vaccine, that was a vaccine,  
2 non-conjugated PRP that actually gave us the cutoffs we  
3 still use today, one microgram per mil for long-term  
4 protection of anti-capsular, and 0.15 at a particular point  
5 in time, I haven't heard that total package today, but I've  
6 heard enough encouraging that this is a way to go, and  
7 going back to other vaccines, conjugate vaccines, one  
8 should be able to do similar studies to tweeze this out.

9           And the analogy then to Carol's protection  
10 against colonization would be Ron Dagan's studies with  
11 pneumococcus where there were multiple studies showing that  
12 if you can prevent acquisition that those vaccines went on  
13 to be very powerful protective vaccine.

14           DR. MONTO: To go a little further, from Mike's  
15 comments, the issue to me is also the question of types,  
16 because we're heard data almost exclusively for 1A and  
17 three. There seem to be some differences. So we really need  
18 broadening of information. We also need to remember that we  
19 need more antibody for late onset than we do for early  
20 onset, because of the timeframe. And we've got to be able  
21 to prevent both. That would certainly be the ideal. So we  
22 need to be able to look at the half-life as well when we  
23 make these decisions.

24           DR. MCINNES: So just to expand a little bit, I  
25 think what you're saying may be different for different

1 serotypes. The question always comes up about isotype and  
2 subclass, but in fact we were just thinking about that in  
3 the context of Hib, all the work that was done on avidity  
4 and all that now, it was IGG, and it was a very good  
5 measure of protection. It was not necessarily the only  
6 mechanism of protection, and I think this comes again, it  
7 may not be the only mechanism of protection, but it surely  
8 is apparently a very important mechanism of protection. So  
9 I think those - trying to understand avidity and  
10 understanding the role of - all of that I think is terribly  
11 important, but I'm not sure that it's a deal breaker, in  
12 fact I know it's not a deal breaker in terms of thinking  
13 about an immunologic endpoint for group B strep. And  
14 clearly your point is well taken.

15           I think we should also remember from those old  
16 lessons that that one microgram per milliliter, if we go  
17 back to that one-page paper from the Helenas in Finland was  
18 actually never intended on an individual basis, it didn't  
19 predict on an individual basis. You would get breakthrough  
20 disease in some children at that level. As a community, as  
21 a herd, as a group, it worked, and the efficacy data showed  
22 that, and in fact on an individual basis for some you could  
23 go much lower than that, and that's where the 0.15 came  
24 from.

1           So I think we don't want to fall into that same  
2 trap again with group B strep. I think it may be as a group  
3 the mean that you need, and you need to have your  
4 confidence intervals around that, but I think it's not  
5 intended on an individual basis. You will always have  
6 children who have some immunologic mechanism where one  
7 milliliter is not going to be enough for them.

8           DR. LEVINE: There are some other data that came  
9 from John Robins and Rachel Schneerson who followed  
10 individuals, this goes way back, individuals with Bruton's  
11 hypogammaglobulinemia who had to have exogenous  
12 immunoglobulin to stay alive, and they thoughtfully looked  
13 at the point at which empirically and clinically it had  
14 been found you had to give the immunoglobulin, and they  
15 looked at antibody levels there, and their cutoff of what  
16 was protective was as I recall 0.06, which one can argue is  
17 not 0.15. But it's only half of it, it's in the same  
18 ballpark.

19           I think if we could get to a situation where we  
20 are with Hib and weren't with Hib, with a cutoff of if you  
21 have 0.15 you're protected today. If you have one microgram  
22 per mil you're protected through young childhood. I think  
23 that's a great place to be if we could get that far. It  
24 would be a bit further than we are now, but that would be  
25 good.

1 DR. GREENBERG: I am challenged by this question.  
2 If there's a surrogate, which I probably think is more the  
3 case here, where there's an antibody level of that's the  
4 one thing that you're measuring, is anti-capsular antibody.  
5 There might be other factors, but if it's a surrogate for  
6 protection I think that's adequate. But it is true that  
7 then it has to be correlated to protection.

8 And traditionally that's done in large studies  
9 like with Hib and others where it's not so hard to study  
10 20,000-30,000 children, or people. But as we heard today  
11 that's going to be a huge challenge for this vaccine for  
12 maternal immunization. So if we're going to progress the  
13 science and have vaccine that would be available to protect  
14 mothers and children, we probably have to meet somewhere in  
15 the middle.

16 So if the estimates are correct that it's an  
17 eight year study, I don't think any of us want to wait 10  
18 to 12 years for that to be conducted, filed, and approved.  
19 But that's really going to be the ultimate of knowing  
20 whether or not a surrogate is truly indicative of that  
21 protection. So there can be and there have been studies in  
22 the animal model that provide some important information,  
23 and then here's the epidemiologic natural history data that  
24 are being collected now in the UK.



1           Those are critical, and I think they inform how  
2 the randomized clinical trial would be conducted. Whether  
3 that's pre-licensure or post-licensure, that's the FDA's  
4 purview. But I think if it's going to be perhaps post-  
5 licensure, then one has to be confident enough of all of  
6 the data, animal and epidemiologic data to at least have an  
7 estimate and have a vaccine that induces antibody that is  
8 either at or well above that, and then one can proceed.

9           And not only there are going to be differences in  
10 serotype, but then of course there are going to be  
11 differences in what the surrogate level is, or correlate  
12 for all the different things we've been talking about this  
13 afternoon. True, clinical, neonatal sepsis from GBS versus  
14 colonization of the mother or the infant, versus some of  
15 the other endpoints that we've discussed. It could be  
16 different for each of those. But if the primary is to  
17 reduce sepsis, and it is, then hopefully at least focus in  
18 that area.

19           DR. MCINNES: Thank you David. Dr. Kotloff?

20           DR. KOTLOFF: I agree very much with the  
21 discussion that using observational studies to try to  
22 understand correlates of protection is very powerful. But I  
23 think there are some examples where that correlate doesn't  
24 necessarily correlate with protective efficacy from a  
25 vaccine. And so I think a lot of us are giving our opinions

1 about acceptable endpoints with the requirement that there  
2 would be a clinical trial.

3           And if we're talking about a post-licensure  
4 clinical trial, and I've heard a randomized clinical trial  
5 mentioned a couple times, where I keep getting stumped is  
6 what would be done that would allow that to be feasible  
7 when a pre-licensure trial is not feasible. And so I think  
8 that we're making these recommendations assuming that  
9 something would be developed that's feasible, but none of  
10 that has been put on the table yet.

11           DR. MCINNES: So the one area that was the  
12 feasibility of the trial, following a licensure status of  
13 some description appeared to be easier in terms of  
14 inclusion and exclusion criteria, acceptability in the  
15 population and the authorities. Is Shabir still on the  
16 phone? Shabir, I think it was you who brought this up.

17           DR. MADHI: Exactly as you mentioned, I think it's  
18 really a loosening of the criteria for inclusion in the  
19 study. In this study, we are wanting licensure for efficacy  
20 trials. I think what is going to happen and what is very  
21 much sort of in a sense constrained involvement into  
22 vaccine trials which is currently involved in pregnant  
23 women is the inclusion/exclusion criteria, including things  
24 such as the timing of vaccine and the staging of  
25 gestational age, which you don't get confirmation

1 experiment in middle income countries, and as Mike had  
2 mentioned many of these women actually present at the  
3 relatively late stage, perhaps too late for accurate  
4 gestational age staging.

5           So I think what makes it more feasible, being  
6 done post licensure, is that there would be a loosening of  
7 the criteria for inclusion into the study, which would  
8 allow you to enroll many more women over a shorter period  
9 of time.

10           DR. KOTLOFF: The part of it that I was wondering  
11 about was the impediment that you discussed about getting  
12 culture confirmation when so many children are born with  
13 this infection, but they're born in primary health centers,  
14 community health centers, places where you can't get  
15 culture confirmation. So do you think that will also be  
16 something that's difficult to overcome?

17           DR. MADHI: Unfortunately, that part of it will  
18 remain. I think if babies are not being born in hospital  
19 with adequate laboratory services you are going to always  
20 be constrained in terms of the case detection. But I think  
21 what that lends itself to at the same time is the  
22 possibility of the use of PCR in terms of detecting those  
23 cases that we had a culture who might be inhibited because  
24 of preceding antibiotic therapy, but obviously this is  
25 something that still needs to be investigated in terms of

1 what the use of PCR would be in that context. But you are  
2 correct that in terms of case detection, even if it's done  
3 in more pragmatic manner in terms of enrollment, your  
4 constraint would be timely investigation of the suspected  
5 cases.

6 DR. MCINNES: Sheldon, I think you would like to  
7 have a comment or ask a question?

8 MR. TOUBMAN: It concerns the question of the  
9 meaning of feasibility. I actually understood feasibility  
10 to have two aspects, one of which was not stated. The first  
11 which was stated was the long duration that will be  
12 required due to the full clinical study. Eight years and  
13 then four years more is unacceptable, I think everybody  
14 agrees on that.

15 The other aspect of feasibility though not stated  
16 is cost. And I'm wondering whether it has accelerated  
17 approval using some compromised endpoint, that would  
18 address the long time period issue, because obviously we go  
19 forward right away, and then post licensure you require the  
20 full study, which will take maybe that long period of time,  
21 that's okay. But the second piece of course unstated is the  
22 cost to the company.

23 And although we were asked not to address the  
24 question of the FDA's regulatory model here, it was raised  
25 by the speaker in the public hearing, the gentleman from

1 the Gates Foundation, Dr. Monto raised it as well, that  
2 this group could suggest that whatever compromised endpoint  
3 is used or endpoints are used, that there should be a full  
4 robust randomized study post-licensure as a condition.  
5 Thank you.

6 DR. MCINNES: I think it is important at this  
7 point, even though I really didn't want to go here, is to  
8 just articulate the criteria for expedited approval that a  
9 clinical endpoint has to be done, could you just say that?

10 DR. GRUBER: That is a challenging question. If  
11 you go by the letter of the law or the regulation, it says  
12 if you do an accelerated approval based an endpoint that is  
13 reasonably likely to predict benefit -- let's assume in  
14 this case it's an immunological endpoint of some sort,  
15 let's say functional antibody -- then we could approve this  
16 product, but the company is then required to confirm, or it  
17 says to verify the clinical benefit of this product by  
18 conducting adequate and controlled studies.

19 Now, we have had the same internal discussions  
20 that you brought up, Karen. And that is if I have to do  
21 some study post licensure, why is it less difficult than  
22 doing it pre-licensure. But I think there are some  
23 differences, and I think the approval status in and of  
24 itself could really impact, the use of the vaccine,  
25 distribution of the vaccine. But the other thing, and I

1 think this is what you want me to address, it's a little  
2 bit how would these studies look like.

3           And I want to bring this up, and I think this is  
4 challenging perhaps also to FDA colleagues, but in 2015 we  
5 had an advisory committee here on maternal immunization,  
6 and that was for vaccines that were already licensed, such  
7 as influenza and Tdap vaccines that are recommended for us  
8 in pregnant women.

9           And if a vaccine manufacturer were to develop or  
10 would like a specific indication for use of these vaccines  
11 to protect the young infant from disease, flu disease or  
12 pertussis, then how could this be done and demonstrated,  
13 because randomized controlled pre-licensure studies cannot  
14 be done, because the vaccine is licensed and recommended  
15 for use in pregnancy.

16           So there we approached the committee and said  
17 could observational studies be used to confirm the clinical  
18 benefit. Let's say we would give such a vaccine an  
19 accelerated approval, let's say influenza vaccine to  
20 protect the newborn infant from flu disease for instance.

21           Then the committee actually said that  
22 observational studies, post-licensure studies to confirm,  
23 to verify the benefit, should be considered, and it's on  
24 the table, realizing challenges around conducting these  
25 studies, and these are observational data, they come with

1 certain concerns, but it was sort of agreed upon that this  
2 could be a way forward.

3           And in my opinion, and I have to clarify this,  
4 there are discussions ongoing not only in the Office of  
5 Vaccines. There are at the center level and at the agency  
6 level about the use of these real world evidence data to  
7 inform prescription drug labeling. And a final decision has  
8 not been made, but I see what we're discussing here today  
9 under the umbrella of these types of discussions.

10           DR. HEINE: I applaud that, because I'll use group  
11 B strep as its own example. We do not have a randomized  
12 trial showing that antibiotics reduce neonatal sepsis in  
13 the newborn. What we do is we have antibiotics reduce  
14 colonization, and we extrapolated that it reduced sepsis,  
15 and we followed them, we did population-based studies after  
16 that and showed a reduction. But we never did the  
17 randomized trial to show it in large populations that it  
18 reduced the risk of sepsis. It's its own example. If you  
19 got approval here and you want to this well-defined  
20 population and you showed a reduction, I think that would  
21 be reasonable.

22           DR. MCINNES: Thank you, Dr. Heine. Dr. Baker,  
23 would you like to comment?

24           DR. BAKER: I would, but Dr. Schrag will do a  
25 better job.

1 DR. SCHRAG: I think Carol just wants to make the  
2 point, and I agree with her, that there actually were  
3 randomized trials for group B strep using a disease  
4 endpoint, one of them was the one I talked about that was  
5 stopped early because of overwhelming efficacy. That was  
6 using a disease endpoint, but there were also observational  
7 studies that contributed.

8 DR. HEINE: Who was the randomized trial?

9 DR. SCHRAG: Boyer and Gotoff.

10 PARTICIPANT New England Journal of Medicine June  
11 1985.

12 DR. HEINE: Wasn't that colonization?

13 DR. SCHRAG: No, that was disease. It was using  
14 colonization as an indication for IAP, but that was not the  
15 endpoint. The endpoint was invasive disease.

16 DR. MONTO: The difference between the situation  
17 here and with influenza vaccine is the randomized trials  
18 for the clinical endpoint are already done, and this is  
19 adding another indication. Again, this is from somebody who  
20 does observational studies. I think the problem that we  
21 have is there is a climate out there in the world which is  
22 not yet ready to accept licensure on the basis of  
23 observational studies for the clinical endpoints.

24 My mind would be changed I think if we were  
25 further along in terms of the immunologic correlates. If we



1 were, and they were very convincing, this might change my  
2 mind. But right now sitting where we are, I think there  
3 really would be a requirement for at least some kind of a  
4 mixed design. We're getting very good at designing novel  
5 study designs, but there's got to be some, you can't be an  
6 open label study.

7 DR. LEVINE: A few comments on this very rich  
8 discussion. One is that the Gates Foundation did support  
9 three large randomized control trials of maternal  
10 immunization with flu vaccines, in three very different  
11 populations. We did one in Mali, and unquestionably, even  
12 though we're working in a very vulnerable population,  
13 pregnant women, it is a world of difference to do a post-  
14 licensure trial. It's much easier in terms of the rigor of  
15 the GCP paperwork.

16 We called pre-licensure, randomized the level of  
17 the individual if you could do it, placebo controlled  
18 trial, the gold standard. And I think it is for efficacy,  
19 but there are some instances where post licensure trials  
20 have been done where I think they unquestionably show the  
21 impact of the vaccine.

22 But they do it in a real world situation, and  
23 I'll give two examples which lead into kind of a crazy  
24 question or comment. One was in the early days of Gavi,  
25 with Hib vaccine having been routine in the USA, this fund

1 became available to get Hib conjugate to the poorest  
2 populations in the world. We got Hib conjugate vaccine in  
3 Mali, and the Ministry of Health introduced it in three  
4 steps.

5           The first step in the Capital City of Bamako,  
6 using the surveillance, the bacteriologic invasive disease  
7 surveillance that was in the One Children's Hospital as a  
8 measure, that showed this huge burden where nobody had ever  
9 heard of Hib, it was a major cause of hospitalization and  
10 death.

11           They introduced the vaccine, and in the same  
12 surveillance system over three years, not as a study, but  
13 as a passive surveillance showed an 88 percent drop in  
14 culture confirmed invasive Hib from the day the first kid  
15 got the first dose in this EPI normal delivery. Now, is  
16 that ecologic? It could have been.

17           But we did serosurveys before the first doses  
18 were given, and 18 months and 30 months after the first  
19 dose, and found that in the six to seven-month-old infants,  
20 the age of highest age specific incidence of invasive Hib,  
21 instead of having zero out of 200 at the baseline having a  
22 titer of one microgram per mil, when you go back at 18  
23 months it was 72 percent, and at 30 months it was 82  
24 percent, a mirror image in seropositivity.

1           But we also had in Mali very high incidence of  
2   invasive pneumo disease in the same age group, in the same  
3   clinical syndrome, no clinician could tell the difference,  
4   transmitted the same way, and when we look at invasive  
5   pneumococcal disease, no change whatsoever. You put that  
6   package together, how can you argue that this was not hid  
7   with specific antibody creating this public health impact.

8           The second example post licensure, we were  
9   getting ready to do a randomized at the level of the  
10  individual trial of Hib conjugate PRPT in Chili, when the  
11  FDA licensed PRPT on the basis of serological non-  
12  inferiority for anti-capsular antibody.

13           And we had a large number of doses, and what we  
14  ended up doing was a randomly allocated by health center  
15  among the 70-odd health centers, and they either introduced  
16  the Hib or they didn't. And over 30 months of surveillance  
17  there was a 90 percent effectiveness. It was open, but this  
18  is a hard endpoint.

19           So I give all this background to say if there was  
20  some other pathogen in parallel with the group B strep that  
21  you could use the way we use pneumo to do sero surveys  
22  before, to show the full in NGBS and to show no change in  
23  the other, I don't know of another bug, but this is not my  
24  area of expertise.

1           But thinking of is it possible that industry and  
2 the FDA could get together where everybody agrees that we  
3 really have to know that this first vaccine truly has an  
4 impact, could one if industry were willing to do it, could  
5 they manufacture two vaccines, one having 1A, 1B, and one  
6 other, and the other conjugate having three plus a couple  
7 of others, get them licensed, do a randomized trial post-  
8 licensure with those two vaccines, each serving as a  
9 control for the other, like has been done for both live  
10 Shigella and conjugate Shigella vaccines where everybody  
11 gets benefit. I'm sure my friends are cringing now, I don't  
12 mean to do it, I'm just thinking off the top of my head.

13           But if at the same time a large safety trial is  
14 done with the full six ready to go by a serological bridge,  
15 one would have actual hard data where each of the group is  
16 serving as the control for the other. And then one would be  
17 able with the whole package to license the six valent,  
18 purely theoretical, I apologize.

19           DR. MCINNES: Thank you.

20           DR. GRUBER: I want to make a comment. Maybe  
21 bringing us back perhaps to question number two. What I'm  
22 hearing is that immunological endpoints could be a way  
23 forward, but what I'm also sensing is there are differences  
24 in opinion in terms of the rigor or the type of study that  
25 should then be conducted to verify the clinical benefit.

1 And I think this is where of course more discussions would  
2 be necessary, but what I'm hearing is that the FDA in  
3 discussions with vaccine manufacturers should consider  
4 looking at immunological endpoints as markers.

5 But what I also wanted to say, and I don't want  
6 to prolong the discussion on post licensure studies, but  
7 the point that I wanted to make is that observational  
8 studies or real-world evidence studies are not all equal,  
9 and one could certainly define the criteria by which we  
10 would find them acceptable to verify clinical benefits. So  
11 that's all I wanted to say.

12 DR. MCINNES: I think we collectively agree that  
13 what you heard is I think what we all heard.

14 DR. MONTO: I think what set me off was I think I  
15 heard the word open label at some point.

16 DR. MCINNES: I think you said it.

17 DR. MONTO: I think I heard it when the word  
18 effectiveness was used in the industry presentation. I may  
19 have been wrong. But I agree totally with Mike, there are  
20 multiple ways to look at this. There has to be some kind of  
21 randomization, group randomization, or other ways that we  
22 could be confident that this is not ecologic.

23 DR. MCINNES: I think that is a guiding principle.  
24 I don't even want to tell them how to do it, I think we  
25 need to have convincing data, and I think there is support

1 for immunologic endpoints with all the provisos that we put  
2 on the table. We think we understand the mechanism, we  
3 think we know what it is, it's not the only thing that  
4 contributes, but it's probably enough.

5           We need to be well characterized, it needs to be  
6 matched to a functional antibody assay so that we are sure  
7 it's not just finding antibodies that do not have function,  
8 and we have some stories of that in the Group B Strep  
9 literature. And then I think it's plausible to then be  
10 followed up with a clinical study that is rigorously  
11 conducted and thoughtfully negotiated between those  
12 parties. Is there anybody who wants to add anything to  
13 that.

14           DR. MADHI: I think the main point I want to make  
15 is that in terms of the Phase IV integration I do agree  
16 that doing observational and ecological study would  
17 certainly be able to determine the effectiveness against  
18 culture confirming base of disease. I think where it  
19 becomes more difficult is the nonspecific endpoints, which  
20 I think are equally important if not more important. And I  
21 think if we're truly trying to establish the role of GBS,  
22 be it for preterm labor, or be it for the non-culture  
23 confirmed sepsis, for those particular endpoints you need  
24 to require a randomized control trial.

1           So it really speaks to the issue of the ethics of  
2 being able to do a randomized controlled trial in the  
3 context of a licensed vaccine. I think as Mike has pointed  
4 that the influenza vaccine trials that were conducted in  
5 pregnant women in Nepal, Bali, and South Africa were done  
6 in the scenarios of it being recommended for pregnant women  
7 in high income countries.

8           And certainly our own ethics regulatory report,  
9 Perspectives, wonders why that the courts didn't form part  
10 of standard of care, and unlikely to form part of standard  
11 of care in the absence of data which shows impact on severe  
12 disease, it was unlikely that the vaccine was ever going to  
13 be introduced into the public immunization program. On that  
14 basis, they agreed for placebo controlling the mice trial.  
15 And I think for GBS, at least from a Gavi perspective,  
16 they're going to require much more than simply invasive  
17 disease as an endpoint, because I think in the broader  
18 scheme of things, despite being relatively high, it's  
19 fairly modest in term of its contribution to mortality,  
20 than just looking at culture confirmed disease and the need  
21 for a vaccine probe, essentially.

22           DR. MCINNES: Peter?

23           DR. GILBERT: So, I agree with what others have  
24 said, that the markers that have been measured, because  
25 they're consistent universal correlates of disease that

1 they're highly promising to be able to reasonably predict  
2 benefit. So I would just add two comments. One is that the  
3 Phase IV study I guess would need to store samples on just  
4 about everyone in the study to try to validate the  
5 surrogate endpoint directly. I think David made the comment  
6 too that eventually getting some more validation of the  
7 surrogate endpoint would be important.

8           The second comment is that, so now there is a  
9 very welcome effort on standardized assays and running  
10 those in observational studies I assume and being able to  
11 study correlates of risk in those contexts, I think it  
12 would be worth considering more multivariate statistical  
13 analysis where you have multiple immune responses measured,  
14 and then also the prognostic factors measured, and then  
15 basically use machine learning to build the best predictive  
16 models that could be rich combinations of the markets, and  
17 used pretty flexibly in non-parametric learning, because  
18 there may be some discoveries. It might not be a threshold  
19 from one assay that is really what predicts best, it might  
20 be some nonlinear combination, and be good to let the data  
21 teach us that.

22           DR. MCINNES: Any other comments on this point  
23 number two?

24           DR. SCHRAG: I would make one comment from the CDC  
25 standpoint, just to say that our division at CDC has kind



1 of what Marion was saying that we have decided that  
2 immunological endpoints look like they could be a way  
3 forward, and so we are actively trying to figure out with  
4 the US active surveillance that we do if there is a way we  
5 can contribute to evidence base.

6 DR. MCINNES: Thank you. Let me ask the question,  
7 have we massaged this one sufficiently now, FDA, anything  
8 else?

9 DR. GRUBER: I think we are good.

10 DR. MCINNES: So, number three, could colonization  
11 be used to demonstrate vaccine effectiveness? If so, please  
12 discuss its strengths and limitations. So let's move on to  
13 the colonization discussion here. And I think we certainly  
14 got some preliminary look at a trial that was done, it was  
15 a randomized trial that had an impact potentially on  
16 colonization, and it had an impact on colonization, it had  
17 an impact therefore on potential efficacy.

18 Are we talking purely here about maternal  
19 colonization, or are we also going to infant colonization  
20 here? I just want to know what people think about that. Are  
21 we looking at this prior to pregnancy, are we looking at  
22 child bearing aged women here? What do people think about  
23 being able to demonstrate -- If the organism isn't there,  
24 if it's the black and white case, then it's sort of easier

1 to talk about. That doesn't seem to be what happens, but  
2 let's start with something. David?

3 DR. GREENBERG: I had the same question, either  
4 maternal or infant. Maybe others can correct me, I'm  
5 thinking of this as being another surrogate. So it's a  
6 clinical endpoint surrogate, but it would be an indication  
7 of effect of the vaccine and hopefully reduction of  
8 disease. So I think in that sense I'd encourage it, I'm all  
9 for it. I don't see it replacing clinical effectiveness of  
10 sepsis.

11 DR. EL SAHLY: I would second that, especially  
12 note of the data that after introduction of the IAP in the  
13 Netherlands and the UK, which I think would have impacted  
14 or treated colonization, they saw an increase in the  
15 disease in those two countries, and in the African American  
16 subpopulation where they seem to have the same rate of  
17 decolonization or treatment inter-partum, but still have  
18 higher incidence of disease. So it would be data to be  
19 collected and analyzed in the bigger scheme of the clinical  
20 trial, but not necessarily a vaccine effectiveness  
21 endpoint.

22 DR. KOTLOFF: I guess two things. One is are we  
23 talking about maternal or infant colonization. I think what  
24 we're most familiar with is maternal colonization. So it  
25 seems like that's the easiest target. There's another

1 issue, something that Shabir mentioned that made me think,  
2 and that is that antibody acquisition would affect  
3 acquisition of new colonization, but it wouldn't eradicate  
4 existing colonization, and so it would seem that the  
5 endpoint would have to be acquisition of new colonization,  
6 which is more difficult to ascertain, but I thought that  
7 would be something that's worth discussing, whether we  
8 would have to parse those two things out.

9 DR. MCINNES: So Carol, can I ask you to address  
10 this again? So in terms of your and Sharon's trial, you had  
11 time, right, time to colonization or serotype specific  
12 colonization was your endpoint, correct?

13 DR. BAKER: That is correct. The primary endpoint  
14 was time to acquisition of vaginal. The rectal data were  
15 sort of exploratory, ad hoc, not the gold standard kind of  
16 thing, because we kind of looked at the data and noticed  
17 it. Let me make some things clear. Intrapartum  
18 Chemoprophylaxis or IAP, it is prophylaxis, it is not  
19 treatment, it does not eradicate colonization.

20 We have tried to do that in mothers using  
21 parental antibiotics, including bicillin, historically,  
22 long-term oral treatment. You cannot eradicate this  
23 commensal from the GI tract. You can decrease the number of  
24 organisms. That's why we use high doses of ampicillin or  
25 penicillin to immediately reduce the number of organisms

1 that the baby is exposed to. So it's prophylaxis, it's not  
2 treatment.

3           But all kinds, that was the very first thing  
4 people tried to do, was get rid of maternal colonization  
5 back in the 1970 and early '80s. So we are transiently  
6 decreasing the inoculum, and then immediately when the  
7 mother goes home, she goes home colonized, the organism is  
8 not gone. So our trial was 18 months, you had to be  
9 negative for 12 or 13 sampling points, vaginal or rectal  
10 colonization, you had to be negative every single time to  
11 be perfect, or time to first acquisition.

12           And the rectal part was an extreme surprise, I  
13 don't know of a vaccine that has had any effect on a GI  
14 commensal given parenterally, but Dr. Levine might be able  
15 to think of one. Our concept for vaginal delay in  
16 acquisition was vaginal fluid would have about 10 percent  
17 of serum IGG circulating. Nobody has studied IGA very well  
18 in group B strep, whether that perineural vaccine does that  
19 also we don't know. So there is a lot more to know about  
20 colonization.

21           Again, I think this could be something that could  
22 be considered intellectually, but think about the  
23 difficulty of sampling so frequently, Dr. Madhi's studies  
24 show you that the dynamics of acquiring and losing  
25 colonization due to GBS. So this might be a little piece of

1 a package, but adding it even as a secondary analysis I  
2 think might be difficult. I'm very positive for anything  
3 that could make things go forward. Does that answer your  
4 question?

5 DR. MCINNES: Thank you. I think certainly we are  
6 here to discuss group B strep. I want to, and I think it  
7 makes sense in that context, I just think in general for  
8 infectious diseases though, if you have an intervention  
9 that has an impact on colonization, colonization has to be  
10 on the table for consideration as an endpoint. I think it  
11 doesn't make a lot of sense here in terms of the data we're  
12 seeing, I don't think it's off the table, I think it should  
13 be studied and looked at, but it's not black and white. I  
14 mean the data you got were not black and white.

15 And then I think the question always comes around  
16 sampling error, which we've had lots of examples of. You  
17 could have multiple serotypes around, and are you picking  
18 the right colonies off the plate in order to go and type  
19 them, I think all of those are interesting issues, even  
20 though you developed a technique to try to --

21 DR. BAKER: Speaking of technique, these colonies,  
22 poor Sharon Hilliard, the PI and her lab techs, they  
23 probably all resigned since the study was done, this was a  
24 multiple colony pick from each swab. So the difficulty of

1 doing this for all the reasons that we heard would be quite  
2 an undertaking.

3 DR. MCINNES: It might have been easier with a  
4 multi-valent vaccine. It was particularly difficult because  
5 it was mono-valent.

6 DR. HEINE: What is the status of quantitative PCR  
7 as far as looking at level of colony counts? Because it may  
8 be that the vaccine may not eradicate, but it may take you  
9 from high colony count to very low colony count. We know  
10 that high colony count correlates with transmission.

11 DR. BAKER: We already knew that by routine  
12 microbiology. I don't know of studies that have looked with  
13 PCR. I would suspect that PCR, because all it does is  
14 detect DNA --

15 DR. HEINE: That may be easier to where you're  
16 doing these studies to get the sample.

17 DR. BAKER: It depends on whether you're in Africa  
18 and have a \$50,000-\$100,000 machine or not.

19 DR. MCINNES: Any other comments on colonization?  
20 Shabir, you're free to weigh in if you'd like to.

21 DR. MADHI: I can hear what Carol is saying and I  
22 can hear that she sounds a bit cautious about it. I think  
23 in term of if you're not going to be measuring early onset  
24 disease as a pathway to licensure, I think we need to have

1 something, a reasonable proxy, or that we are actually  
2 going to be impacting on early onset disease.

3           And obviously we know that colonization is the  
4 major risk factor for early onset disease. And given the  
5 study that we did, the longitudinal cohort study, it  
6 basically requires a sample size of about 600 women who had  
7 an acquisition rate of 20 percent between 20 weeks of  
8 gestational age and 57 weeks of gestational age. And that  
9 was sampling at four time points.

10           So I think it's something that is much more  
11 pragmatic in terms of numbers, and I think that we would be  
12 able to actually show vaccine efficacy, even if the vaccine  
13 efficacy is only 40 to 50 percent, I think that itself is  
14 telling in terms of the reduced risk of exposure and  
15 consequently the reduced risk of early onset disease in  
16 babies of mothers who are vaccinated.

17           In terms of the other issues that were raised, so  
18 currently we're using the Fluidigm assay which is sort of a  
19 PCR assay basically to address the issues of multiple  
20 colonization, using sort of a quantitative measure of  
21 quantitative quantity of colonization or density of  
22 colonization. So that's work that's currently underway, and  
23 it would include all of those nine different countries  
24 which I referred to earlier. But I think that showing some

1 impact on colonization would be an invaluable adjunct to  
2 the correlate in terms of making a case for licensure.

3 DR. MCINNES: Any other comments?

4 DR. SUN: I just want to follow up on one of the  
5 points brought up, whether this was maternal colonization  
6 versus the newborn colonization, whether any consideration  
7 should be given to the effect of a vaccine on newborn  
8 colonization.

9 DR. MCINNES: Even though we asked the question  
10 we've been talking largely around vaginal and rectal  
11 colonization. Any comment about neonatal colonization?

12 DR. SCHRAG: I would just add a little complexity  
13 I think in thinking about the newborn colonization is the  
14 timing and then what it means in terms of the disease that  
15 could be prevented, because if you swab a newborn right at  
16 birth they might not be colonized, it might just be surface  
17 contamination from passing through the birth canal. But if  
18 you are interested in early onset disease, you can't wait a  
19 couple of days to see if the bacteria has really  
20 established, and early onset disease is the high burden  
21 disease in countries that haven't done widespread IAP.

22 So I think it's tricky because you could be  
23 looking at just surface contamination, and Shabir could  
24 comment more, but some data I've seen is kind of the more  
25 samples you take from the newborn right at that time of



1 birth, it seems like if you have colonized mother you are  
2 almost guaranteed to find some evidence of the bacteria,  
3 particularly if you add in PCR to the mid. So it's tricky  
4 to get more meat. For late onset disease you could say that  
5 you're going to wait a couple of days and if colonization  
6 establishes maybe that is a risk for late onset disease.  
7 That's just something from an immunologic context.

8 DR. MCINNES: I think we are at the bewitching  
9 hour. On reflection about all the challenges that this  
10 brings to mind, I think we agree, there's no disagreement  
11 that this is an important disease in infants, and it  
12 certainly merits our full and collective attention to fully  
13 engage in intervention development to get licensure through  
14 whatever creative means needs to be, and to get full  
15 dissemination into clinical care in order to impact this  
16 disease in infants.

17 So it was done for other pathogens, they were not  
18 easy either, and I want everybody to just keep positive  
19 about this. It is in fact our new imperative in neonatal  
20 and young infant disease, and it's one that we have to  
21 strap in for and work together to make this happen. I want  
22 to thank everybody for their contributions to the meeting  
23 today, and not easy discussions but most appreciated. Yes,  
24 Dr. Gruber?

1 DR. GRUBER: I just want to also add my sincere  
2 thanks on behalf of the Office of Vaccines and the FDA for  
3 this very thoughtful discussion and the comments, I think  
4 you gave us a lot of good insight, and I am hopeful that  
5 this field would move forward. I also want to really thank  
6 our speaker, Shabir and Carol because they're really  
7 informed with their contribution and the work done in this  
8 discussion, so thank you so much to you.

9 And Pam, you were thanked this morning for your  
10 contributions to the VRBPAC and again on behalf of the FDA  
11 thank you for all that you have done, you came here for  
12 years and years to serve, I think long before I ever had a  
13 VRBPAC meeting attendance. Thank you, thank you for your  
14 efforts here, for your work and your help, and on a  
15 personal note I will really miss you, not only on the  
16 VRBPAC but I know we have been colleagues for I don't know,  
17 25 years, when you were a program officer at DMID and I was  
18 a primary reviewer in the Office of Vaccines, and guess  
19 what we discussed, group B strep vaccines. So thank you  
20 again Pam.

21 DR. MCINNES: So we are moving to topic two, and  
22 it is an open session that leads off. I know those of you  
23 who came for group B strep are definitely going to stay for  
24 the site visit report, no, that's a joke. Those who are  
25 here for the site visit report, we just wait a few minutes

1 for those who are leaving, and then we will have the open  
2 session, and then we will have a closed session. So we will  
3 take a ten minute break.

4 (Break)

5 **Agenda Item: TOPIC II: Presentation of the**  
6 **Laboratory of Respiratory Viral Diseases (LPRVD) Division**  
7 **of Viral Products (DVP), Office of Vaccines Research and**  
8 **Review (OVR), Center for Biologics Evaluation and Research**  
9 **(CBER)**

10 DR. MCINNES: Good afternoon. I would like to  
11 call the meeting to order for this topic II of this VRBPAC  
12 meeting, which is a presentation of the Laboratory of  
13 Respiratory Viral Diseases, Division of Viral Products,  
14 Office of Vaccines Research and Review, Center for  
15 Biologics Evaluation and Research.

16 We'll move to the conflict of interest statement.  
17 This will have an open session and a closed session.

18 **Agenda Item: Conflict of Interest Statement**

19 MS. HUNTER-THOMAS: Thank you, Dr. McInnes. Good  
20 afternoon, everyone. The Food and Drug Administration is  
21 convening today, May 17, 2018, for the 152nd meeting of the  
22 Vaccines and Related Biological Products Advisory Committee  
23 under the authority of the Federal Advisory Committee Act  
24 of 1972.

1           I will proceed to read the conflict of interest  
2 statement for topic II. Dr. Pamela McInnes is serving as  
3 the chair for the topic II session, as well. The following  
4 information on the status of this advisory committee's  
5 compliance with federal conflict of interest laws,  
6 including but not limited to 18 US code Section 208 of the  
7 Federal Food, Drug, and Cosmetic act is being provided to  
8 participants at this meeting, and to the public.

9           The conflict of interest statement will be  
10 available for public viewing at the registration table  
11 outside. Under topic II, during the open session the  
12 committee will hear an overview of the research program in  
13 the Laboratory of Pediatric and Respiratory Viral Diseases,  
14 LPRVD Division of Viral Products, Office of Vaccines  
15 Research and Review, Center for Biologics Evaluation and  
16 Research.

17           Topic II is determined to be a non-particular  
18 matter and there are no affected firms determined to be a  
19 non-particular matter and there are no affected firms  
20 identified for this topic and no prescreening of the  
21 members and consultants was conducted. Based on this  
22 agenda topic, it has been determined that the overview  
23 presentations on the research programs do not pose actual  
24 or an appearance of conflicts of interest.

1           Following this open session in accordance with 21  
2 CFR Section 14.27 implementing 5 USC 552b(c)(6), the Center  
3 for Biologics Evaluation and Research is authorized to hold  
4 a closed session of the Vaccines and Related Biological  
5 Products Advisory Committee meeting on May 17, 2018,  
6 between 4:10 p.m. and 4:45 p.m. The purpose of this closed  
7 session meeting is to review matters of which the  
8 disclosure would constitute an unwarranted invasion of  
9 personal privacy of permanent CBER staff with regards to  
10 their personnel actions and or staffing decisions.

11           Dr. David Greenberg is serving as the industrial  
12 representative. He is employed by Sanofi Pasteur. It is  
13 to be noted that the industry representatives are not  
14 special government employees and are not voting members of  
15 the committee. Hence, they do not participate in the  
16 closed session and do not have voting privileges.

17           Mr. Sheldon Toubman is serving as the consumer  
18 representative for this committee. Consumer  
19 representatives are appointed special government employees  
20 and are the voting members of the committee. Hence, they  
21 do participate in the closed sessions and do have voting  
22 privileges.

23           This concludes my reading of the conflict of  
24 interest statement for the public record regarding topic II  
25 of this meeting. Thank you.

1 DR. MCINNES: Thank you, Serina. So the first  
2 presentation is an overview of research and the site visit  
3 process within CBER, and this is Dr. Carolyn Wilson, who is  
4 the associate director for research for CBER at the FDA.

5 **Agenda Item: Overview of Research and Site Visit**  
6 **Process**

7 DR. WILSON: Thank you. I want to start by  
8 thanking Drs. Kathryn Edwards and Hana El Sahly who co-  
9 chaired this particular site visit that we will be talking  
10 about later this afternoon, and also to mention that Dr. El  
11 Sahly was very generous and offered her time yesterday in a  
12 second site visit, which is quite appreciated, and I am  
13 giving fair warning to the other members of the VRBPAC that  
14 we will likely at some point count on your expertise and  
15 time to help oversee site visits in the future for the  
16 office of vaccines, and we're really appreciative of the  
17 work that's being done by these site visit teams.

18 So as was mentioned, I'm going to try to give a  
19 quick overview. So I know that this group is well aware  
20 that the center regulates vaccines, but you may not realize  
21 that also within the office of vaccines are a very complex  
22 set of allergenic products which represents actually over  
23 1,200 different extracts that are used both for diagnosis  
24 and treatment of disease, therapeutic probiotics including  
25 things like phage therapies, fecal transplantation, as well

1 as blood, blood components, blood derivatives, and certain  
2 devices that are used not only in the blood industry but  
3 also, for example, selecting cells for cell therapies. We  
4 also regulate gene therapies, tissues, and  
5 xenotransplantation products.

6           The complexity of the biologic products that we  
7 regulate, as well as some of the devices, and combined with  
8 the fact that most of these products cannot be terminally  
9 sterilized or subject to things like inactivation  
10 procedures to remove or inactivate infectious agents, and  
11 the complexity of the types of products that we regulate,  
12 we feel that it's very important that we have a robust  
13 intramural research program to augment the regulatory  
14 oversight of these product areas.

15           This is a graphic that developed now quite a  
16 number of years ago, and I apologize for those of you who  
17 may have seen this a million times by now, but I find that  
18 it is instructive, and really what it's saying is that  
19 everything starts with a public health issue that drives,  
20 for example, the development of a novel product to address  
21 that need, but those novel products often pose a challenge  
22 from the perspective of a regulator trying to evaluate  
23 whether or not it's ready to go into humans, whether it's  
24 safe, whether it's effective. Perhaps there's no good

1 animal model, or we don't understand the mechanism of  
2 protection in the case of a vaccine, for example.

3           So that's where regulatory science helps through  
4 a combination of discovery research and targeted  
5 development of new tools to fill in the gaps in the science  
6 and help provide a better framework for informing our  
7 regulatory policy, to help advise sponsors better and our  
8 decision making. From that we have improved data from our  
9 sponsors that help us be in a better position to make  
10 benefit/risk decisions about the safety and efficacy of  
11 these products, and at the end of the day, we share the  
12 goal of everybody to have a positive impact on that public  
13 health need and have a safe and effective product licensed,  
14 but it doesn't stop there because as you know, in  
15 particular in the vaccine world, that really for our entire  
16 product portfolio, the post-market surveillance is critical  
17 to ensure ongoing safety and efficacy of these products.

18           So we view our regulation of biologics to be  
19 collaborative so that research is one of several different  
20 dimensions of fulfilling our regulatory mission, and our  
21 research scientists are what are called researcher  
22 reviewers, which means that they not only have their own  
23 research program or participate in a research program, but  
24 they also all the same duties as full time reviewers,  
25 meaning they're reviewing submissions, they may be going



1 out on inspections, they're writing guidance documents,  
2 they're participating in advisory committees and workshops,  
3 and this ability to transcend both worlds, both as a  
4 regulator and as a member of a scientific community, allows  
5 us to integrate our research and review, making sure that  
6 we're addressing the most important issues.

7           So again, the benefits of the research program  
8 are to prepare for the future in terms of both innovative  
9 products, as well as public health challenges, to develop  
10 tools and data that are available to all stakeholders and  
11 support developmental product classes, recruit and retain  
12 highly-trained scientists with the necessary expertise to  
13 inform our review processes, and to fill gaps to inform  
14 policy development and regulatory decision making.

15           To do this we have a variety of scientific  
16 expertise within the center, a variety of applied  
17 technologies that help with analyzing the types of products  
18 we regulate as well, as you would imagine, a diversity of  
19 microbiology, immunology, biochemistry, molecular biology,  
20 cell developmental biology; most recently tissue  
21 engineering and microphysiological systems has been added  
22 to our staffing, and of course epidemiology and  
23 biostatistics, and also in the last five to six years we've  
24 developed a bioinformatics core.

1           In addition, we have core facilities supporting  
2 flow cytometry, confocal and electron microscopy, a variety  
3 of different biotechnology needs, and the bioinformatics,  
4 as I mentioned. We also have a state of the art vivarium  
5 that allows for imaging using a variety of modalities,  
6 animal procedure rooms that allow for use of agents at both  
7 BSL2 and BSL3, and a transgenic derivation facility.

8           I want to mention because especially this body,  
9 the VRBPAC, has on several occasions suggested to us the  
10 need for more mentoring of some of our PIs. Because we're  
11 a fairly small center we felt that a formal mentoring  
12 process that is being done in some of the larger  
13 organizations might be challenging. So what we've  
14 developed instead is an informal CBER peer mentoring group  
15 which is a monthly meeting open to all PIs, but we have  
16 always at least one or more senior PIs who volunteer to be  
17 present each month to facilitate the discussions, and we've  
18 received really positive feedback from the individuals who  
19 meet in these meetings, both in terms of the assistance and  
20 guidance that they've received there, but also importantly,  
21 this group has become a little bit of a brainstorming group  
22 to help provide suggestions back to upper management about  
23 things that could be approved or addressed, which is really  
24 helpful.

1           We don't do all this work by ourselves. As any  
2 scientists, we need to collaborate, and we collaborate  
3 extensively within the United States, globally, and the  
4 types of collaborations are in a variety of different  
5 sectors, primarily academia, or other government agencies,  
6 but we also do collaborate with industry and nonprofit  
7 organizations, as well.

8           So our research management processes really  
9 involve the development of CBER regulatory science and  
10 research goals and, you'll hear shortly, also development  
11 of office goals and objectives. We also have developed and  
12 implemented a research impact framework for evaluation of  
13 the research, and then we do evaluation of the research  
14 program by leadership and something called the Regulatory  
15 Science Council, and then by peers through a combination of  
16 internal and external review.

17           So our research goals currently for the center  
18 are to advance the scientific basis for regulation of  
19 biologics, tissues, and blood, by developing and evaluating  
20 technology reagents and standards to inform CMC, developing  
21 and assessing non-clinical models and methods predictive of  
22 clinical performance, improving clinical evaluation pre-  
23 and post-licensure, and importantly preparing for future  
24 regulatory and public health challenges, and of course the

1 group that you'll be hearing more about shortly is very  
2 much in the thick of that with influenza preparedness.

3           The research impact framework really identifies  
4 portfolio and project level review elements, and so of  
5 course we want to make sure that the work we are doing is  
6 aligning with our center and office goals and objectives,  
7 that the work is building up expertise to allow us to  
8 address our review needs, and that we also have agility  
9 within the research program to address unexpected and  
10 urgent public health needs.

11           We want to make sure our research is addressing  
12 those scientific gaps and questions that are unique to our  
13 regulatory mission, but always at the bottom, at the  
14 foundation of all of this is to make sure that the work we  
15 do has strong scientific merit and the PI is continuing to  
16 have acceptable levels of productivity.

17           So as I mentioned, we do internal review of our  
18 research program, and some of this was going on before  
19 FY17, but this is what we've implemented starting in FY17  
20 as a formal review process, and one of those is internal  
21 peer review of 25 percent of each research program, of all  
22 research programs, and any new project proposals are  
23 reviewed on an annual basis. That means that every  
24 research program would undergo internal peer review once  
25 every four years, but annually all programs are reviewed by

1 the management chain of supervisor, division, and office,  
2 and then there's a portfolio review by the Regulatory  
3 Science Council where we're taking each year a review of  
4 each of the four offices that engage in research. So again  
5 each office has a portfolio level review once every four  
6 years, and again we're applying the research impact  
7 framework to that.

8 I'm not going to go through this but I just have  
9 this list to remind me that we use an online research  
10 reporting database, and we collect a number of important  
11 details and information that allow us to manage the  
12 programs at both the program level as well as the project  
13 level, and it includes things like experimental approach,  
14 progress and plans and anticipated results, but also  
15 various administrative or compliance-related things like  
16 IBC, RHISC, ACUC, and so on.

17 So, in addition to this internal peer review that  
18 we're doing every four years, we also have external review  
19 by site visits, and that's where we bring in outside  
20 experts to review in a very thorough way our programs, and  
21 that's what you'll be hearing about today, which is a draft  
22 report of that site visit process. In addition to coming  
23 here as one stop to get reviewed and finalized and  
24 approved, there's also one more stop which is an internal

1 additional peer review committee for promotion and  
2 evaluation of researcher reviewers.

3           And so this diagram is intended to help you  
4 decipher the complexity of our career pathways, and so the  
5 top row is senior staff fellow, and that is for a principal  
6 investigator. The idea is that this is an individual who  
7 is an independent scientist who receives resources to  
8 support his program from the office or division, and then  
9 there's a staff fellow or visiting associate, which is a  
10 support scientist. In both cases these individuals have up  
11 to seven years, and during that time they go through at  
12 least one or more site visits, as well as the CBER review.

13           In addition, at any point in this process and  
14 certainly at the end of it, there may be an open  
15 competition, and these individuals can apply for those  
16 positions, and, if they are deemed to have appropriate  
17 credentials, may be chosen for conversion to permanent  
18 status.

19           In rare cases, we have individuals who become  
20 permanent staff scientists and over time their scientific  
21 capabilities really mature under the mentorship of the PI,  
22 and there may be an opportunity to recognize that through  
23 providing them an opportunity to go into what we call an  
24 acting PI track, where then that dotted line is essentially  
25 going back to going through a site visit and a CPERR to

1 confirm that this individual should be in PI status rather  
2 than a staff scientist. In the event that it turns out  
3 that this individual really isn't going to make it as a  
4 principal investigator, they can always return back to  
5 their staff scientist position.

6           So again, to come back to what you're doing here  
7 today, you're looking at a draft report that the site visit  
8 team developed, and we're asking you to look at it and  
9 either accept the report as written, you have the liberty  
10 to add amendments to the report or you can reject the  
11 report and send it back to the site visit team, but once it  
12 has been approved by the full advisory committee, then that  
13 final report is very useful, and again, it becomes part of  
14 a larger package that goes for internal peer review. The  
15 PIs take the recommendations very seriously to improve  
16 their own research program, and of course, management takes  
17 your recommendations into serious consideration as well.

18           So I want to again thank the site visit team and  
19 your attention to looking at this report this afternoon.  
20 I'm happy to answer any questions.

21           DR. MCINNES: Any questions for Dr. Wilson? Thank  
22 you, Carolyn. So we move on to the next topic which is the  
23 overview of DVP, which is the Division of Viral Products  
24 from Jerry Weir.

25           **Agenda Item: Overview of DVP**

1 DR. WEIR: So I am going to give a brief overview  
2 of the Division of Viral Products. The Laboratory of  
3 Pediatric Respiratory Viral Diseases is one of seven  
4 laboratories in the Division of Viral Products. You did  
5 not get an overview of the Office of Vaccines, but the  
6 Division of Viral Products is one of three divisions in the  
7 Office of Vaccines, one of two divisions that have a  
8 laboratory component.

9 The respiratory viral diseases is headed by Dr.  
10 Zhiping Ye as the chief, and this laboratory's focus both  
11 in review and research is almost exclusively in the area of  
12 respiratory viruses.

13 The mission and function of the Division of Viral  
14 Products can be summarized simply as we regulate viral  
15 vaccines and related biological products, ensuring their  
16 safety and efficacy for human use. We also try to  
17 facilitate the development, evaluation, and licensure of  
18 new viral vaccines that positively impact the public  
19 health.

20 The staff in the division in this laboratory have  
21 several major responsibilities. We're involved all aspects  
22 of premarketing activities, including the review of  
23 investigational and new drugs and biologic license  
24 applications, and any other premarketing activities. The  
25 staff is involved in all post-marketing activities, such as



1 BLA supplement review, lot release review. The staff  
2 participates both in pre- and post-licensure manufacturing  
3 inspections, and we have a very heavy consultation role  
4 with other public health agencies, in particular the WHO.

5 Last, but not least, the staff is responsible for  
6 conducting research related to the development,  
7 manufacturing, evaluation, and testing of viral vaccines.

8 The role of research in the Division of Viral  
9 Products is summarized on this slide. Our research and  
10 laboratory activities are designed to complement the  
11 regulatory mission. They're designed to address issues  
12 related to regulated viral vaccines, but they're also  
13 designed to anticipate and address issues related to the  
14 development and evaluation of new viral vaccine products.  
15 Sometimes these issues are very general and apply to many  
16 products, for example, cell substrate issues. Sometimes  
17 they're very specific for a product, and I gave the example  
18 of correlates of protections necessary for our efficacy  
19 evaluation.

20 The next two slides give a snapshot of the  
21 division of FY17. Our fiscal year runs from October 1 to  
22 September 30. In FY17, the division had about 75 fulltime  
23 equivalents. These are government employees. But the  
24 division staff is supplemented by about 40 contractors.  
25 Most of these are on our ORISE program. Probably most of

1 them are postdoctoral fellows, but there are quite a few  
2 that are post-bac students. Post-bac contractors are only  
3 involved in research; they're not part of the regulatory  
4 program. In FY17, the division budget had a basic  
5 operating budget of a little less than \$6 million. We had  
6 additional targeted FDA support of about \$0.5 million, and  
7 we had external DVP support of about \$2.8 million.

8           The FY18 budget is not completely final yet, but  
9 we're pretty far along in the fiscal year, and it looks  
10 like the budget will be somewhat similar to last year. The  
11 division of staff in the laboratories, you can see on this  
12 slide, respiratory viral diseases is actually one of the  
13 larger laboratories. Right now we have two principal  
14 investigators, Zhiping Ye and Judy Beeler, but we are  
15 recruiting for a third one, and when we had the site visit  
16 in January I mentioned that the recruitment was underway,  
17 and actually the status now is that we have brought  
18 candidates in and we're in the process of interviewing a  
19 final four candidates, and we hope that we will be able to  
20 find someone for the third PI position in this laboratory.

21           So, the site visit evaluation that you're  
22 discussing today is designed to assess our progress, the  
23 progress of the individuals on the projects that they  
24 pursued since the previous site visit. There is a  
25 component of individual review, because certain staff are

1 in consideration for promotion, and as always, we value  
2 your input on the future directions that have been laid out  
3 by the different PIs and staff members.

4 And I'll stop there, if there are any questions.

5 DR. MCINNES: Any questions for Jerry? Thank you.  
6 That laid it out very nicely. We are going to move on now  
7 to an overview of the Laboratory of Respiratory Viral  
8 Diseases, Zhiping Ye.

9 **Agenda Item: Overview of LRVD**

10 DR. YE: Thank you very much. Carolyn and Jerry  
11 gave overview from CBER to divisions, and I just wanted to  
12 focus on the activity of the laboratory of respiratory  
13 disease. As Jerry said, this lab contains three initial --  
14 contains three PIs: myself, Judy Beeler, and Maryna  
15 Eichelberger. She left OVRR to take a position in a  
16 different office in November last year. As Jerry said, we  
17 are looking for a new PI to replace this position.

18 Our regulatory review responsibilities are based  
19 upon the viruses. Here is an example and production,  
20 product review for the virus vaccines, which include  
21 influenza virus vaccines and other respiratory viruses,  
22 such as respiratory syncytial virus, parainfluenza viruses,  
23 human metapneumoviruses, measles, mumps, and rubella. And  
24 here I wanted to emphasize that my lab, and initially  
25 Maryna's lab, is focused on influenza viruses. In Judy's

1 lab they are focused on the rest of the viruses. I think  
2 this group carries on a lot of responsibility for the non-  
3 influenza respiratory viruses.

4           The whole lab includes the review responsibility,  
5 it includes the clinical and the preclinical review for the  
6 virus vaccines, and lot release, responsible for the  
7 vaccine lot release, and then the manufacturer inspections,  
8 because doing the inspection they do need to know, people  
9 know the facilities itself. Also they need to know from  
10 experts from a science point of view. We also participate  
11 and make presentation to the VRBPAC meeting, such as the  
12 one in strain selection.

13           This is a snapshot of the regulatory workload,  
14 and this represents the workload from August 2013 to 2018,  
15 and we have 10 scientists, and here for the regulatory, we  
16 are involved in reviewing the pre-INDs and INDs and  
17 amendments, BLAs, and BLA supplements. As you can imagine,  
18 that in Judy's lab it involve a lot of viruses, and those  
19 are usually involved in the phase I, phase II IND trials.  
20 The flu lab are involved in not only the INDs, we do have  
21 quite a few BLAs, because we have quite a few licensed  
22 influenza vaccines, so we have some review related to the  
23 BLA supplements.

24           There's other regulatory and public  
25 responsibilities of this lab, and we are involved in

1 preparation of potency reagents for testing of the  
2 candidate pandemic influenza vaccines, to standardize the  
3 vaccines. We're also involved in the seasonal, as well.

4           We participate in selection and recommendation of  
5 strain selection for seasonal influenza viruses, and CBER  
6 and our lab as well, too, is one of the WHO essential  
7 regulatory laboratories, and we participate in serological  
8 analysis of vaccine response in northern and southern  
9 hemisphere strain selection. To select the adequate  
10 viruses for vaccine production or for the update, the  
11 composition of the vaccine, we need to evaluate the serous  
12 response of the current vaccine to identify those  
13 circulating viruses needed to be used for replace vaccine  
14 production for next year. We are also participating in  
15 preparation of WHO guidelines on influenza and RSV viruses.

16           Here is the highlights of my group, which is  
17 influenza viruses molecular biology. The personnel, we  
18 have myself, and we have other staff: Hang Xie, Ewan  
19 Plant, Xing Li, Olga Zoueva, Hongquan Wan, and Jin Gao.  
20 And we are involved in, of course, as I said, influenza  
21 vaccines.

22           And the research areas involved in molecular and  
23 genetic approach to improving influenza vaccine candidates  
24 and vaccine potency reagents. And we're also involved in  
25 molecular mechanisms of influenza virus attenuation and

1 virus virulence. So, I think the reason we are doing this  
2 one is to make sure that the virus candidate for vaccine  
3 production is adequate for vaccine production, and also to  
4 make sure the viruses, especially those pandemic viruses,  
5 are attenuated and adequate for the vaccine production.

6           The last site visit was, as I said, in October  
7 2013.

8           Here is the research update in my group. As I  
9 said, our group is involved in the support influenza  
10 vaccine production, and the research emphasizes how to  
11 support vaccine production. The first research area is  
12 evaluating antigenic and immune response of the influenza  
13 viruses to identify issues to maybe improvement over the  
14 strain selection, and this area was led by Hang Xie,  
15 another one of the personnel actions.

16           The second was is identify in the NA antigenic  
17 epitopes and studying antigenic drift and to help to  
18 improve the candidate vaccine viruses selection, and this  
19 work actually is continued from Dr. Maryna Eichelberger,  
20 and staff Hongquan Wan and Ms. Jin Gao, worked under  
21 Maryna, and this project and continue in that group right  
22 now.

23           We're also involved with develop and evaluate  
24 influenza candidate vaccine viruses, and the related  
25 potency reagents to have the potency reagents ready for a

1 pandemic situation, and because every year we need to have  
2 150 million doses of the vaccine, we have to make sure that  
3 the viruses, the candidate viruses have higher yield so  
4 that you can achieve -- manufacturer can produce a vaccine  
5 to ensure that 150 million doses of the vaccine every year  
6 in the United States.

7           So we are working to make sure the viruses grow  
8 well and have a high yield, and this work is done by Ewan  
9 Plant and Xing Li. I think that a few persons I mentioned  
10 here are those persons who had personnel actions.

11           Here is Judy's lab, Antigenic Structure and  
12 Functions. They only have three staff, Judy herself, Lynne  
13 Crim, and Susette Audet, and as I said, the major  
14 regulatory responsibilities, RSV and other respiratory  
15 virus vaccines and measles virus vaccines, as well.

16           Areas of research related to the serological  
17 correlates of the infection and protection of RSV and  
18 measles viruses. I think since the studies are quite  
19 important, because for development of RSV, we need to --  
20 especially to conduct clinical trial and to see if the  
21 positive or negative individuals, that really have a lot of  
22 impact on the design of the clinical trial and vaccine  
23 evaluation and approval.

24           The research update follows. The antigenic  
25 structure and function research on RSV and the project 1 is

1 the characterization of a human immunoglobulin to be used as  
2 a reference standard for RSV utilizing assay, and as you  
3 can imagine that to conduct the clinical trial, you do need  
4 to have good standards so that you can measure the adequate  
5 antibody response in human. The project 2 is antibody  
6 profiling by immunoprecipitation of luciferase-targeted  
7 protein to detect anti-RSV IgG response. So this is also  
8 related to the assays and to measure the antibodies.

9           The next area is related to the measles viruses,  
10 and one of the projects is for the development of a high-  
11 throughput assay to measure the neutralizing antibody  
12 against the measles and using qPCR readout. Then the last,  
13 not least, the collaborative clinical studies to support  
14 measles elimination efforts.

15           These are all the projects in the current labs.  
16 Thank you.

17           DR. MCINNES: Thank you, Dr. Ye. Are there any  
18 questions for Dr. Ye? Yes, Dr. Levine.

19           DR. LEVINE: On your last slide from Judy Beeler's  
20 lab, I was looking through the report, and the ability to  
21 measure measles neutralizing antibodies in one day instead  
22 of a week or more with plaque reduction, that is  
23 spectacular. How far along are you on that? That's major.

24           DR. YE: This group really contributed to  
25 standardize the assay not only to give accurate but also



1 more time, more robust, in the study. As they said, to  
2 implement this, so they are working on the study with --  
3 they said originally WHO or PATH come over to look at the  
4 assay, and I would like Susette Audet -- and she works on  
5 her staff -- to answer this question. This is Susette  
6 Audet, and the staff is in Judy's lab.

7 MS. AUDET: My name is Susette Audet in Dr. Judy  
8 Beeler's lab. In response to your question regarding the  
9 high throughput qPCR assay, we are quite far into that  
10 project. We have funding from -- we are collaborating with  
11 William Moss in Johns Hopkins, and we have funding through  
12 the Gates Foundation to hire someone to start this project.  
13 So we are, I would say, like 80 percent complete in this  
14 project, and it is going from the traditional plaque  
15 reduction neutralization assay, which I've done over 25  
16 years, is a five-day assay. So this is going to be a 24-  
17 hour, and it seems to be as equally sensitive to the PRN  
18 assay.

19 DR. MCINNES: Thank you.

20 Zhiping, I have a question, just in terms of  
21 production of the potency reagents, you're only doing those  
22 now for pandemic, not for the seasonal strains?

23 DR. YE: We are involved in seasonal reagents  
24 preparation, too, but I think that that mainly ticked over  
25 in DBSQC of the office, but we are involved in that, but I

1 think my lab -- because for the pandemic vaccine viruses,  
2 unlike a seasonal, the pandemic viruses, you needed to have  
3 a different lab facility to prepare for the reagents.

4 DR. MCINNES: Thank you.

5 Yes, Dr. Greenberg?

6 DR. GREENBERG: Just a comment. I think I can  
7 speak for all of industry to thank you sincerely for all of  
8 the research and the work that you do, especially around  
9 the influenza vaccines and the work to improve strain  
10 selection, the candidate vaccine viruses. Obviously, we  
11 depend on yourself and your lab and CDC and others around  
12 strain selection, paying attention to neuraminidase, which  
13 really hasn't been an extensive area of research in the  
14 past. These are all critical areas for industry, and I  
15 want to thank you.

16 DR. JANES: One question; I noticed in the  
17 description of the two labs a difference in the terms of  
18 the numbers of support staff between the two labs, and I  
19 wondered if you could elaborate on that in light of the  
20 regulatory burden, and I don't know if that was an  
21 observation on the part of the committee and if there were  
22 any recommendations based on that.

23 DR. YE: Yes, I think so. I really appreciate  
24 Judy Beeler, really. She, this group, take a lot of  
25 responsibility in review and just take over this job not

1 too long ago, the thinking that -- talk to Judy too, if she  
2 has some review, she really needed to get help. I think we  
3 can help her to review by assigning some of the review  
4 within the group with such a -- my group or if new PIs come  
5 in. So we can share this responsibility to try to take off  
6 some of their burdens, as well.

7           Right now, this lab chief, I know try to learn  
8 and try to involve in some regulatory if I can help to her,  
9 but this, she is expert on the RSV for the R01, but I'm  
10 learning it and also try to help her from myself and also,  
11 as I said, if we can, we try to assign some review to other  
12 people, as well, to help her to lower the burdens.

13           DR. MCINNES: Thank you, Dr. Ye. This may come up  
14 in the closed session of the discussion. Any other  
15 questions?

16           Thank you very much, Dr. Ye, for your  
17 presentation.

18           **Agenda Item: Open Public Hearing**

19           I would like to ask if there is anybody who  
20 wishes to make a statement in the open public hearing  
21 section of this topic II.

22           (No response.)

23           Seeing none, I believe we are going to move to  
24 closed session, and then we need to be sure that the  
25 webcast is closed down and that all attendees other than

1 committee members and leadership and anybody who is not  
2 supposed to be here will leave the room, and then we will  
3 take a roll call to identify who is in the room.

4           So thank you very much, everybody who came to the  
5 open session.

6           (Whereupon, the Open meeting was adjourned at  
7 3:40 p.m.)

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10