

Food and Drug Administration (FDA)
Center for Biologics Evaluation and Research (CBER)

Vaccines and Related Biological
Products Advisory Committee

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This transcript appears as received from the commercial transcribing service after inclusion of minor corrections to typographical and factual errors recommended by the DFO.

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1 P R O C E E D I N G S (8:30 a.m.)

2 **Agenda Item: Opening Remarks: Call to Order,**
3 **Introduction of Committee**

4 DR. EDWARDS: My name is Dr. Kathy Edwards, I'm
5 from Vanderbilt University, and I will be the chair of the
6 committee today. I'd like to welcome the members first and
7 also the participants, the public, and the audience that's
8 viewing in the webcast. I'd like to start first by going
9 around the table and just having everyone introduce
10 themselves.

11 Phil, would you like to start?

12 DR. KRAUSE: Sure. I'm Phil Krause, deputy
13 director of the Office of Vaccines Research and Review at
14 CBER.

15 DR. NOTARANGELO: Luigi Notarangelo, deputy
16 director of the Laboratory of Host Defenses, NIAID, NIH.

17 DR. TRIPP: Ralph Tripp, University of Georgia.

18 DR. MCINNES: Pamela McInnes, deputy director of
19 National Center for Advancing Translational Sciences at the
20 NIH.

21 DR. SAWYER: I'm Mark Sawyer. I'm a pediatric
22 infectious disease physician at University of California
23 San Diego.

1 DR. JANES: I'm Holly Janes. I'm a
2 biostatistician at the Fred Hutchinson Cancer Research
3 Center.

4 DR. WHARTON: Melinda Wharton. I'm director of
5 the Immunization Services Division at the Centers for
6 Disease Control and Prevention in Atlanta.

7 DR. MONTO: Arnold Monto, Epidemiology, University
8 of Michigan School of Public Health.

9 DR. LONG: Sarah Long, pediatric infectious
10 disease doctor from Drexel University in Philadelphia and
11 do vaccine policy for the American Academy of Pediatrics.

12 DR. LYNFIELD: Ruth Lynfield, state epidemiologist
13 and medical director at the Minnesota Department of Health.

14 DR. PORTNOY: Jay Portnoy, director of Allergy,
15 Asthma, and Immunology at Children's Mercy Hospital in
16 Kansas City, and I serve as the acting consumer
17 representative.

18 DR. GREENBERG: David Greenberg, pediatric
19 infectious diseases, University of Pittsburgh, and head of
20 medical for Sanofi Pasteur and representing industry today,
21 nonvoting member.

22 DR. EDWARDS: Thank you. We would like, now, to
23 have Serina Hunter-Thomas read the conflict of interest
24 statement.

25

1 **Agenda Item: Administrative Announcements,**
2 **Conflict of Interest Statement**

3 MS. HUNTER-THOMAS: Good morning, everyone. Prior
4 to reading the conflict of interest statement, I'll start
5 with some administrative comments. And again, I'll
6 introduce myself. I am Captain Serina Hunter-Thomas, and
7 I'm the designated federal officer for this committee.

8 On behalf of the FDA, the Center of Biologics
9 Evaluation and Research and VRBPAC, we would like to
10 welcome you all today to the 146th VRBPAC meeting. Dr.
11 Kathryn Edwards is the chair of VRBPAC. Today's session
12 has one topic that is open to the public in its entirety.
13 The meeting topic is described in the Federal Register
14 Notice of April 24, 2017.

15 The FDA CBER press media representative is
16 Lyndsay Meyer. If Ms. Meyer could please stand up, Ms.
17 Meyer, so that folks can reach out to you? Okay, we'll
18 seek her out later. The transcriptionist for this meeting
19 is Mr. Chanda Chhay.

20 When you make your comment, or ask questions,
21 please speak up so that he can record all of the statements
22 today. I would like to remind everyone to please check
23 your pagers and cellphones. Please make sure that they are
24 either turned off or in silent mode. When speaking, please

1 press the microphones to talk, and when you're done, switch
2 them off.

3 Please make sure that you speak clearly and
4 loudly into the microphone, as the transcriptionist,
5 members of the public, and those listening via webcast need
6 to hear the discussion. I have also been requested by
7 staff to inform the committee members that if you haven't
8 done so already, you can preorder your lunches for \$15 plus
9 tax outside by the kiosk, and we have the pink papers
10 around the table for you.

11 This price includes coffee, tea, and decaf, as
12 well as donuts which are in the back by that magical door
13 there, and preordering your lunch helps to avoid the lunch
14 hour rush. Members will be joining and gathering for lunch
15 in the adjacent room behind here when we break.

16 I will now proceed to reading the conflict of
17 interest statement for the meeting into the public record.
18 The Food and Drug Administration is convening today, May
19 17, 2017, for the 146th meeting of the Vaccines and Related
20 Biological Products Advisory Committee under the authority
21 of the Federal Advisory Committee Act of 1972.

22 At this meeting in the open session, the
23 committee will discuss considerations for evaluation of
24 respiratory syncytial virus vaccine candidates in
25 seronegative infants. The following information on the

1 status of this advisory committee's compliance with federal
2 ethics and conflict of interest laws, including but not
3 limited to 18 U.S. Code 208, is being provided to
4 participants at this meeting and to the public.

5 This conflict of interest statement will be
6 available for public viewing at the registration table.
7 With the exception of the industry representative, all
8 participants of the committee are special government
9 employees or regular federal government employees from
10 other agencies and are subject to the federal conflict of
11 interest laws and regulations.

12 Related to the discussions at this meeting, all
13 members and consultants of this committee have been
14 screened for potential financial conflicts of interest of
15 their own, as well as those imputed to them, including
16 those of their spouse or minor children, and for the
17 purposes of 18 U.S. Code 208, their employers. These
18 interests may include investments, consulting, expert
19 witness testimony, contracts and grants, CRADAs, teaching,
20 speaking, writing, patents and royalties, and primary
21 employment.

22 FDA has determined that all members of this
23 advisory committee are in compliance with federal ethics
24 and conflict of interest laws. Under 18 U.S. Code 208,
25 Congress has authorized FDA to grant waivers to special

1 government employees and regular government employees who
2 have financial conflicts when it is determined that the
3 agency's need for a particular individual service outweighs
4 his or her potential conflict of interest. However, based
5 on today's agenda and all financial interests reported by
6 members and consultants, no conflict of interest waivers
7 were issued under 18 U.S. Code 208.

8 Dr. David Greenberg is currently serving as the
9 industry representative to this committee. Dr. Greenberg
10 is employed by Sanofi Pasteur U.S. Industry
11 representatives act on behalf of all related industry and
12 bring general industry perspective to the committee.
13 Industry representatives are not special government
14 employees and do not vote and do not participate in the
15 closed sessions.

16 Dr. Fernando Polack, who is employed by Fundacion
17 INFANT in Buenos Aires, Argentina, is currently serving as
18 guest speaker for this meeting and will make a
19 presentation. Guest speakers are not special government
20 employees. Dr. Polack has acknowledged having financial
21 interests in or professional relationships with some of the
22 affected firms identified for this meeting, namely Janssen,
23 Novavax, and Bavarian Nordic.

24 Dr. Jay Portnoy is serving as active consumer
25 representative for this meeting. Consumer representatives

1 are special government employees and therefore are screened
2 for their financial conflict of interests and cleared prior
3 to their participation.

4 At this meeting, there may be regulated industry
5 speakers and other outside organization speakers making
6 presentations. These speakers may have financial interests
7 associated with their employer and with other regulated
8 firms. The FDA asks, in the interest of fairness, that
9 they address any current or previous financial involvement
10 with any firm whose product they may wish to comment upon.
11 These individuals were not screened by the FDA for conflict
12 of interest.

13 FDA encourages all other participants to advise
14 the committee of any financial relationships that you may
15 have with any firms, its products, and if known, its direct
16 competitors. We would like to remind members, consultants,
17 and participants that if the discussion involves any other
18 products or firms not already on the agenda for which an
19 FDA participant has a personal or imputed financial
20 interest, the participants need to exclude themselves from
21 such involvement, and their exclusion will be noted for the
22 record.

23 This concludes my reading of the conflict of
24 interest statement for the public record, and additionally,
25 I would like to provide specific guidance regarding this

1 particular meeting. Please note that the topic of this
2 meeting, considerations for the evaluation of respiratory
3 syncytial virus vaccine candidates in seronegative infants
4 is determined to be a particular matter of general
5 applicability, and as such does not focus its discussion on
6 any particular product but instead focuses on the classes
7 of products under discussion.

8 Therefore, VRBPAC's role is to advise and inform
9 the FDA, CBER, OVR, on the effectiveness of current
10 strategies against RSV virus infection and disease as
11 related to the classes of products being discussed.
12 Presenters and speakers will provide data on clinical
13 trials and various RSV vaccines that serve only as examples
14 for the committee to have a scientific discussion while
15 considering various classes of RSV vaccine related products
16 or clinical trials.

17 This VRBPAC meeting is not being convened to
18 recommend any action against or approval for any specific
19 RSV vaccine or clinical trial. This VRBPAC meeting is not
20 being convened to make specific recommendations that may
21 potentially impact any specific party, entity, individual,
22 or firm in a unique way and any discussion of individual
23 products will be only to serve as an example of the product
24 class.

1 This meeting of the VRBPAC will not involve the
2 approval or disapproval, labeling requirements or post-
3 marketing requirements, or related issues regarding the
4 legal status of any specific products.

5 At this time, I would like to hand over the
6 meeting to Dr. Edwards, and thank you.

7 DR. EDWARDS: Thank you very much, Serina. I
8 think that was very important to highlight what our charge
9 is today. So as we know, we are going to be considering
10 the clinical evaluation of RSV vaccine candidates in RSV-
11 naive infants. We will begin by having a presentation by
12 Dr. Jeff Roberts, medical officer in the Division of Viral
13 Products in the Office of Vaccine Research and Review.
14 Thank you, Jeff.

15 **Agenda Item: Introduction of Presentation and**
16 **Questions**

17 DR. ROBERTS: Good morning. I wanted to start by
18 thanking the committee members, our presenters, the
19 manufacturers. We are aware that preparing for a meeting
20 like this is a lot of work. So we really appreciate you
21 joining us today to help us think through some of the
22 issues here as we consider moving forward with the
23 development of these products in RSV-naive infants.

24 What I hope to do is, what I'm planning, is a
25 really broad overview of the agenda, touching on each item

1 very briefly from a high level, and I hope that's going to
2 help frame this discussion for today. It really starts
3 with these initial studies with the formalin-inactivated
4 RSV vaccine candidates or FI-RSV.

5 These candidate vaccines were produced by growing
6 wildtype RSV in cell culture. They are formalin-
7 inactivated and they're adjuvanted with alum. There were
8 several studies. I'm just quoting one of them. In this
9 particular one, infants 2- to 7 months of age were
10 randomized either to FI-RSV or parainfluenza virus
11 candidate vaccine, and among those subjects who were
12 infected with RSV, 80 percent in the FI-RSV arm, compared
13 with 5 percent of the control subjects, required
14 hospitalization for RSV disease.

15 These findings were really unequivocal. There
16 was clearly a more severe disease in the vaccinated
17 subjects, and there were two deaths in these trials.
18 Obviously this presented a substantial challenge for the
19 development of RSV vaccines, and these trials were done
20 almost exactly 50 years ago, and we still have no licensed
21 RSV vaccine.

22 In the meantime, RSV epidemiology has been fairly
23 stable, and there is a tremendous burden of disease. Just
24 quoting some topline numbers here of global incidence per
25 year in children less than 5, 34 million hospitalizations

1 for lower respiratory tract infection, 3.4 million
2 hospitalizations, and somewhere in the neighborhood of up
3 to 200,000 deaths. In the United States, for
4 hospitalizations, around 170,000 and an estimate of 500
5 deaths in the United States.

6 So when you think about the comparison to other
7 infectious diseases, it's really striking that once you get
8 outside the neonatal period and you talk about the period
9 from 28 days to one year, RSV is second only to malaria as
10 the leading cause of death worldwide.

11 There may be some other elements to this burden
12 of disease. As an example, I've put up some data from this
13 New England journal study with the use of palivizumab,
14 which is a monoclonal antibody for prevention of RSV. I'll
15 talk about it a little more in a minute.

16 In this study, healthy premature infants 33 to 35
17 weeks were randomized to placebo or palivizumab, and they
18 had good outcomes in terms of preventing RSV, but what they
19 also showed here is a substantial decrease in the events of
20 recurrent wheeze over the first year of life, and a very
21 substantial decrease in number of days with wheeze. This
22 suggests a potential long-term impact on asthma outcomes.

23 Then there is, of course, the direct health care
24 cost, and there's also sort of an unqualifiable burden
25 parents with babies who suffer, and I think many of us are

1 intimately familiar with that. In the face of that very
2 substantial burden of disease, I think it's been really
3 encouraging over recent years to see the new developments
4 in this field of RSV vaccine development. And I think one
5 of the really fundamental breakthroughs was the approval of
6 RespiGam in 1996 and Synagis, or palivizumab, in 1998.
7 This showed proof of concept that passively administered
8 antibodies in the form of a polyclonal sera, which is
9 RespiGam, or a monoclonal antibody product could prevent
10 RSV.

11 In addition to that we've had multiple scientific
12 and technical breakthroughs in producing improved vaccine
13 antigens, like the pre-F protein, and then characterizing
14 them in the vaccine technologies that are being developed
15 to vector some of these proteins and at this point, we've
16 got at this count at least 60 vaccines in development. So
17 it's a pretty dizzying array of different vaccine products,
18 and we'll talk about it a little bit more.

19 So it wasn't surprising, I guess, that when WHO
20 did this landscape analysis a couple of years ago to think
21 about where to focus their efforts, they considered
22 criteria like the magnitude of the public health burden and
23 the chances of success of the different products in
24 development, and RSV really came to the top in terms of a
25 priority for development.

1 I have put in this slide just to recognize that
2 this space is really complicated and that there are -- it
3 includes the development of these candidates in many
4 different populations, including in older adults. We have
5 several that have advanced into late phase development. A
6 lot of activity in maternal immunization, including one
7 product in phase III, but the point of this slide is to
8 help us narrow down and focus on the specific population of
9 RSV-naive infants and for active immunization.

10 What I'm recognizing here is that some of the
11 live-attenuated vaccine products have already been studied
12 in RSV-naive infants, and there is a substantial safety
13 database alleviates to some degree the concern about
14 enhanced respiratory disease. But to our knowledge, no
15 other vaccine candidates have been studied since those
16 initial studies in the 1960s in this specific population.

17 Okay, so there are many ways to divide up and
18 think about the different vaccine technologies that are
19 going forward, and I have this slide up because one of the
20 things that we want the committee to think about as we move
21 through the day is what the science can tell us potentially
22 about these different approaches to vaccinating and
23 potentially what elements of the scientific data could be
24 filled in to help support the safety going forward with
25 some of these specific technologies.

1 That's a really brief and broad overview, and
2 we'll get into the details of each of these specific
3 topics. Susan Gerber is going to tell us more detail about
4 the latest on RSV epidemiology. Fernando Polack is going
5 to go back and really dissect some of those initial trials
6 with the FI-RSV and what they can tell us, and talk about
7 the animal modeling of ERD and what each of those animal
8 models can bring to bear.

9 Sarah Browne is going to give an overview of our
10 evaluation of these data so far, and the two manufacturers
11 here, GSK and Janssen, both have vaccine candidates that
12 they intend to develop in RSV-naive infants. They both
13 have a substantial package of preclinical data to support
14 that and the both have some clinical, some early clinical
15 data. So they've agreed to present those programs as
16 examples for us to help think through the issues.

17 Okay, what I am going to do is I am going to read
18 these questions verbatim, because I want these to be in the
19 committee's mind over the course of the day as we hear all
20 these presentations, and then we'll go back to them one at
21 a time and put them up on the screen during the committee
22 discussion.

23 In the meantime, I want you to think about these
24 discussion topics. So number one is please discuss the
25 preclinical data essential to support studies of RSV

1 vaccines in RSV-naive infants, with regard to the potential
2 risk of vaccine-associated ERD. Please consider the impact
3 of vaccine type, antigen, and/or other relevant factors.

4 Number two is please discuss the role of clinical
5 data from adults and RSV-experienced infants to support the
6 evaluation of RSV vaccines in the RSV-naive infants.

7 Number three is please discuss how studies in
8 RSV-naive infants could be designed to mitigate concerns
9 about ERD throughout clinical development, including please
10 consider aspects of initial study design such as
11 eligibility criteria, age de-escalation, and duration of
12 follow-up. Please consider relevant aspects of phase III
13 study design.

14 That's all I have for now, and I think we can
15 probably go straight into the next presentation.

16 DR. EDWARDS: Thank you very much, Jeff. Our next
17 presentation on RSV epidemiology will be presented by Dr.
18 Susan Gerber. She is the acting branch chief of the
19 Respiratory Diseases Branch in the Division of Viral
20 Diseases at NCIRD. Susan?

21 **Agenda Item: RSV Epidemiology**

22 DR. GERBER: Thank you very much. I cannot
23 possibly talk about all of RSV epidemiology in the allotted
24 time, but I am going to pick some notable topics to speak
25 about that are somewhat relevant to this meeting. First, a

1 brief review of clinical manifestations, seasonality and
2 implications for models, approach to pediatric RSV burden,
3 understanding pediatric mortality, special populations, and
4 considerations for future pediatric RSV epidemiology
5 investigations.

6 Briefly, the clinical characteristics of children
7 under 5, and this is adapted mostly from the NVSN platform
8 and a paper by Hall in 2009. In outpatients and
9 hospitalized patients -- outpatients is the darker bars --
10 but more lower tract illness in hospitalized patients, but
11 there's also ranges to less severe disease with cough and
12 nasal congestion, so a wide range of symptoms.

13 A few words about seasonality and how it will
14 factor into some future slides, this is the National
15 Respiratory and Enteric Virus Surveillance System, or what
16 we call NREVSS. It's a laboratory surveillance system. It
17 includes aggregate detections from clinical and public
18 health laboratories, automating public health laboratories
19 into NREVSS. We also have collaboration with our flu
20 program and analyzing seasonality of RSV antigen versus PCR
21 detections.

22 This is just a sample to look at the RSV season,
23 and just to point out that it's not the same in every
24 region of the United States. And Florida, particularly
25 southern Florida, has a different seasonality, but really

1 this is something that usually on the average is November
2 to April in the United States, but does vary regionally.

3 Also, this information has changed over time
4 going from antigen toward more PCR detections. PCR is more
5 sensitive, it's appropriate for all age groups for
6 diagnosis, and interpretation of seasonality data turns out
7 to be different for PCR detections and antigen detections.
8 Antigen is less sensitive, particularly in older adults,
9 culture is expensive and disappearing as a diagnostic
10 assay, but the implications for models of RSV disease
11 burden, it's important to consider the data for seasonality
12 in interpretation of the likelihood of RSV disease.

13 A couple notes about worldwide RSV estimates, and
14 then I'm going to switch to the United States. For 2005 in
15 children under 5 years, I think Jeff just showed 33.8
16 million new episodes of RSV-associated acute lower
17 respiratory infection from a paper that Dr. Nair published
18 in 2010. Approximately 3.4 million hospitalizations, and
19 it's an estimation of 66,000 to 199,000 deaths, but it's
20 important to note that 99 percent of deaths are estimated
21 to be in developing countries.

22 In terms of an overview of U.S. RSV burden in
23 children, it's the most common cause of lower respiratory
24 tract infection among hospitalized young children here.

1 Approximately 2.1 million children under 5 years with RSV
2 infection require medical attention each year.

3 In children less than 5 years in the United
4 States, RSV infection results in an estimation of one of
5 334 hospitalizations, one of 38 visits to an emergency
6 department, and approximately one of 13 visits to a primary
7 care office.

8 Now, the hallmark syndrome of RSV, which is not
9 limited to RSV, but can be caused by a few other viruses
10 but is a way to measure and understand RSV epidemiology, is
11 the clinical syndrome of bronchiolitis where RSV infects
12 the ciliated epithelium in the upper and lower respiratory
13 tract, and bronchiolar epithelium and type I pneumocytes
14 may become infected. Airway obstruction may occur due to
15 sloughed epithelium and inflammatory cells with mucus and
16 fibrin that get into small airways. So actually, being
17 able to count bronchiolitis during RSV season is one way.

18 Just a note about how to interpret what is going
19 on with RSV in the United states. There are bronchiolitis
20 guidelines. This is the most recent published by the
21 American Academy of Pediatrics in 2014, which actually
22 notes that there doesn't not need to be routine virologic
23 testing for uncomplicated bronchiolitis cases. In terms of
24 trying to measure what is going on in the United States, if

1 it isn't tested, it may not be laboratory confirmed for
2 RSV.

3 First, I am going to start with a model about
4 hospitalizations, published by Dr. Zhou in Clinical
5 Infectious Disease in 2012. These were hospitalizations
6 associated with influenza and RSV in the United States from
7 1993 to 2008 using the HCUP data, which is Healthcare Cost
8 and Utilization Project data from 13 states at this point.

9 It was a contribution of influenza and RSV to
10 hospitalizations for respiratory and circulatory disease by
11 using negative binomial regression models. And again,
12 going back to seasonality, using that NREVSS data which I
13 showed you previously, looking at RSV weekly numbers of
14 specimens tested and numbers of positive results by antigen
15 and virus isolation. This was before much PCR testing was
16 received into NREVSS.

17 Just to point out, not to look at this whole
18 slide, but just to point out that these were rough
19 estimates of children less than 1 year comparing flu and
20 RSV and to other age groups, 1 to 4, 5 to 49, and the
21 largest numbers were in the younger ages.

22 Another way people have tried to interpret
23 epidemiology of RSV in younger children is looking at
24 another kind of model, and this is basically based on
25 counting bronchiolitis likelihood. This was from a paper

1 by Stockman et al a few years ago, discharge diagnose
2 codes, at that time ICD-9 codes, looking at all lower
3 respiratory tract illnesses in children less than 5 years.

4 RSV-associated hospitalizations were all RSV-
5 specific coded hospitalizations year-round, and then
6 approximately 30 percent of wintertime unspecified acute
7 bronchiolitis and about 20 percent of wintertime
8 unspecified pneumonias.

9 Looking at the average annual hospitalization
10 rates calculated, denominator data was used from the U.S.
11 Census, and stratified by age group, and as you can see,
12 these are ages in months on the x-axis, and
13 hospitalizations per year. You can see overall for less
14 than 5 years of age, hospitalizations were about 172,000,
15 but under 1 year, which is most of the burden, 126,000 RSV-
16 associated hospitalizations or 32 per 1000 infants, and as
17 you can see, looking at months on the x-axis, really the
18 highest number of hospitalizations were in the 0- to 2-
19 month age group.

20 Now looking at the New Vaccine Surveillance
21 Network data, and this is prospective surveillance data for
22 acute respiratory infections in the United States from 2000
23 to 2009. In the first iteration of NVSN, there were three
24 locations in Rochester, Nashville, and Cincinnati, looking

1 at outpatients and inpatients in children less than 5
2 years.

3 It's important to know in this prospective active
4 surveillance system, there was a broad case definition and
5 this was diagnosis of acute respiratory infection defined
6 as illness presenting one or more of the following
7 symptoms, not necessarily requiring any of them: fever,
8 cough, earache, nasal congestion, rhinorrhea, sore throat,
9 vomiting after coughing, wheezing, and labored, rapid, or
10 shallow breathing.

11 There was an exclusion criteria that included
12 respiratory symptoms lasting more than 14 days, neutropenia
13 from chemotherapy, hospitalized elsewhere within 4 days, or
14 newborns who had been hospitalized since birth. It's
15 important to point out that this case definition is very
16 different than the standard influenza-like illness case
17 definition and SARI case definitions, which include a
18 requirement of fever often. And as data that I'm not going
19 to present today, younger infants don't necessarily have a
20 fever when they have RSV infection.

21 Just to look at a comparison between hospitalized
22 infants, emergency department, and pediatric practice or
23 medically attended RSV infections, again, the highest rates
24 for inpatients are in the 0- to 5-months age group, and
25 we'll look at that a little bit more finely in a minute.

1 But you can also note that in pediatric practice, there are
2 high rates in the 6- to 11-month age group as well.

3 Just a little bit about -- this is from the same
4 paper, this is Hall, New England Journal 2009 -- odds
5 ratios for potential risk factors in patients. These are
6 with RSV infections according to the treatment site, but
7 you can see that there were at least simple analysis
8 looking at young age and daycare, house with smoke
9 exposure, more than 1 month old, breastfeeding, high risk
10 conditions, and prematurity, but only a younger age and
11 prematurity were independently associated with RSV illness
12 requiring hospitalizations.

13 Average -- this is the same data but this is a
14 different paper now, this is 2013 Hall Pediatrics. This is
15 looking at fine slices per month of chronologic age,
16 looking at the average rates of hospitalizations for RSV
17 infection. These are in children less than 2 years of age
18 and as you can see, the highest rates per thousand children
19 on the y-axis are in the youngest infants.

20 Here is just a table of numbers, but the red box
21 really indicates the highest rate of 25.9, and these are in
22 the 1-month-olds. It does fall off after that, but just to
23 also note there is still substantial burden up until 1 year
24 in some, up until 2 years as well.

1 Now looking a little bit at average rates of
2 hospitalization for RSV infection for children less than 2
3 of age, and this is according to weeks of gestational age,
4 the weeks of gestational age are on the x-axis with
5 hospitalization rates on the y, and you can see that there
6 are high rates of premature infants, but these numbers are
7 small.

8 Most children less than 2 years of age who were
9 either hospitalized or treated as outpatients with RSV
10 infection had no underlying conditions or were perceived as
11 high risk even though very young children are perceived as
12 high risk for severe disease, but most children who are
13 hospitalized in the burden, treated as outpatients or
14 inpatients, have no underlying conditions. Most of the
15 burden of RSV hospitalizations occurs among children
16 overall, healthy children.

17 A few notes about pediatric mortality. RSV
18 deaths are not nationally notifiable in the United States,
19 and this is different than influenza deaths, which are
20 nationally notifiable. Administrative data estimates
21 depend on laboratory testing, and administrative data
22 excludes community-associated deaths where RSV testing is
23 unlikely to have occurred.

24 This is a model from Thompson et al, from a 2003
25 paper, and again looking at influenza deaths and compared

1 to underlying respiratory and circulatory deaths and all-
2 cause deaths. Basically in these models, looking at excess
3 deaths and looking at the seasonality using the NREVSS
4 data, you can estimate how many excess deaths there are
5 from either flu or RSV on the right-hand side. And again,
6 124 or the most RSV deaths for children under 1 year of
7 age. I'm not discussing the adult data today in view of
8 time.

9 Another approach to RSV mortality in children is
10 using two national pediatric databases, either the HCUP KID
11 database, and this is from a paper by Byington et al from a
12 couple of years ago, and this is again the Healthcare Cost
13 Utilization Project Kids inpatient database. Now, since
14 it's years later, state participation increased from 22 in
15 1997 to 44 in 2009. So more participation.

16 And also the Public Health Information System she
17 looked at from the Children's Hospital Association, which
18 represents inpatient data from 44 specialty children's
19 hospitals.

20 Just briefly, looking at the annual deaths, it
21 was less than what people had thought before, and annual
22 deaths, looking at the KID database, 121, and the public
23 health information systems, 56, and children with complex
24 chronic conditions accounted for the majority of deaths.

1 So it had been estimated previously but not based
2 on -- mostly estimates of 500 deaths perhaps per year, but
3 at least looking at administrative data, it appears to be
4 substantially low, but this is also based on what is
5 available in the administrative datasets.

6 Again, to look at some value of national health
7 statistics data and state medical records, and this was a
8 study for RSV deaths under 2 years from 2004 to 2007 using
9 death record data, there were 170 RSV coded deaths from 44
10 states overall. But looking at these four states,
11 California, Georgia, Michigan, and Texas, 32 deaths with
12 matching medical records were evaluated and 26 had RSV
13 positive laboratory results, one with a histopathologic
14 finding suggestive of RSV, three with a clinical diagnosis
15 of RSV, and two with no mention of RSV in hospital records
16 and no autopsy records, and 21, or 81 percent, had a
17 potential high-risk condition.

18 So seemingly, the death records seem to match the
19 medical records and are at least accurately coded, but may
20 not be inclusive.

21 A few words about special populations, this is
22 just a map of Alaska and looking at 18 years of respiratory
23 syncytial virus surveillance, and this is in a paper
24 recently published a couple years ago by Bruden et al.

1 This is some data from the Y-K delta and it has
2 been thought that rates of RSV have roughly at least been
3 threefold higher of this area among young children as
4 compared to the rest of the United States. Interestingly,
5 looking at the RSV rates over time, they seem to have
6 drifted down, but there still seems to be increased risk of
7 RSV-detectable disease and hospitalizations among infants
8 in this area.

9 This is just, interestingly, the RSV seasons have
10 also changed in this area, and this is from the same data.
11 They've grown shorter as compared to years before and how
12 that impacts the numbers. Still, a very interesting point
13 of investigation.

14 Just a couple words about increased rates amongst
15 Native American young children, and this is from a paper
16 many years ago in 2002 by Bockova et al. This is a
17 percentage of Navajo and White Mountain Apache children who
18 were hospitalized for RSV infection, had severe disease,
19 but this is from many years ago. Still, consideration of
20 special populations in risk is important.

21 I'm going to wind up talking about interpretation
22 of all these numbers and approaches to RSV epidemiology in
23 young infants and just try to talk about methods. In
24 prospective active surveillance, using a broad-case
25 definition or requiring fever in a case definition like

1 some definitions that have been published elsewhere, these
2 make a difference in terms of looking prospectively at
3 hospitalizations or medically-attended RSV infections.

4 Laboratory-based surveillance can also be
5 helpful, but that would depend on clinician testing
6 practices, but actually might be more inclusive, especially
7 a very severe disease because in acute perspective
8 surveillance, it often is very difficult to enroll very
9 severe, young infants, and to get parents' consent in those
10 types of studies.

11 Population-based surveillance is also very
12 important, knowing your denominators. The NVSN and some
13 laboratory-based surveillance systems do have population-
14 based data available. Then actually using the models based
15 on administrative data and seasonality data, really
16 understanding the seasonality data in different regions of
17 the United States. I've really concentrated on the United
18 States for most of my talk.

19 Also taking into account the administrative data,
20 looking at models where there is some matching between
21 common, let's say for hospitalizations, lower tract
22 manifestations of pneumonia and bronchiolitis and chances
23 during RSV season, it is RSV versus another virus such as
24 parainfluenza or hMPV.

1 Also, the use of controls, we have seen, looking
2 at comparisons between cases and controls, a small amount
3 of RSV disease, or RSV positivity I should say, in
4 controls, but then again, that could also add value in
5 certain populations. Laboratory assays, PCR versus
6 antigen-based assays, PCR are more sensitive and actually
7 can possibly give us better indications of seasonality.
8 Understanding mortality, and again, mortality in the
9 community versus the hospital is a very important,
10 especially when using the administrative data such as the
11 studies in the United States, may miss community deaths
12 because of infants that are not tested. It's unknown if
13 they have RSV.

14 For influenza, having influenza be nationally
15 notifiable has added potentially more follow-up testing of
16 children who have died and then were subsequently
17 discovered positive for influenza. Also, evaluation of
18 pediatric deaths and utilization of administrative data
19 will be really very important to follow depending on
20 whatever intervention happens.

21 Looking at other databases, sudden infant death
22 syndrome databases and other adverse effects will be
23 important.

24 Also, surveillance for other respiratory viruses,
25 the impact for human metapneumovirus, parainfluenza 1 through 4,

1 adenoviruses, rhinoviruses and enteroviruses, other human
2 common coronaviruses, looking at their impact and the
3 actual likelihood during different times of the year for
4 them to actually cause similar syndromes of illness and
5 actually being able to follow that out after interventions
6 will be important.

7 Lastly, priorities for pediatric RSV
8 surveillance. We have felt that utilizing strengths of
9 multiple surveillance systems will help us to understand
10 burden, risk factors, and mortality. Burden by age in
11 different settings is important for hospital and then
12 medically-attended RSV infection, in the emergency
13 department, and outpatient clinic.

14 Different surveillance systems can serve as
15 inputs into economic models because I showed you a lot of
16 different numbers, but it was not so much as comparing the
17 numbers but comparing the methods and I think that all of
18 this can add value in really trying to understand this
19 population and risk of RSV infection.

20 This is just lastly a point; finding
21 opportunities within these surveillance systems to
22 integrate laboratory study, to integrate RSV sequencing
23 information and immunologic studies, and this is something
24 that when we talk about all of these types of studies, in
25 the back of our minds we look for opportunities to kill two

1 birds with one stone and figure out ways to add value
2 through laboratory investigations. Thank you.

3 DR. EDWARDS: Thank you very much. I think we
4 have an opportunity for some questions.

5 Perhaps I could start. What does it take to get
6 RSV mandated to be reported to the CDC like influenza? Is
7 that a difficult task?

8 DR. GERBER: Yes.

9 (Laughter.)

10 Maybe Ruth would like to comment from the CSTE
11 perspective.

12 DR. LYNFIELD: So the Council of State and
13 Territorial Epidemiologists work with CDC to determine
14 which diseases are nationally notifiable. When there is a
15 disease that has a large burden, one needs to figure out
16 what you want reported. I will tell you that, for example,
17 another large burden disease, Lyme disease in those
18 geographic areas where Lyme is endemic, people do not have
19 the resources to report every single case and investigate
20 every single case so that there are different approaches in
21 those states where the burden is high versus others.

22 So I would put the question back and say, what is
23 it that we want to know about RSV? Is it just
24 administrative data? Is it assessing that there are
25 virological results? Is it all age groups? Is it

1 pediatric deaths? So really figuring out what needs to be
2 notifiable and then keeping in mind that public health
3 resources are very limited and ensuring that the data are
4 accurate.

5 So the question is, does one want to know rates
6 and does one want to know the baseline and to be able to
7 follow? Because some years, clearly there are more severe
8 RSV seasons than other years, and so I think one way that
9 the United States and CDC have gone about trying to look at
10 burden and impact of interventions is using population-
11 based surveillance systems and then extrapolating to the
12 United States as a whole.

13 So right now, there is the NVSN system, but also
14 CDC's working with the Emerging Infection Program sites to
15 look at population-based surveillance in adults and there's
16 now discussion in expanding that to pediatrics. That
17 provides the opportunity to really ensure that there are
18 tight, crisp case definitions and having population base,
19 you can extrapolate.

20 A big challenge that Dr. Gerber alluded to is
21 that testing biases are going to be a problem. I mean, if
22 you're doing an NVSN study, then certainly all these
23 children have a respiratory swab if they're enrolled. If
24 you're looking at general practice and there are AAP

1 guidelines that cases of bronchiolitis don't need
2 virological testing, that will impact your results.

3 DR. EDWARDS: Thank you. It would seem
4 enumerating deaths would be helpful.

5 Other comments? David?

6 DR. GREENBERG: I apologize if I missed it. Is it
7 understood why the Native American populations are at
8 higher risk?

9 DR. GERBER: Actually, I'm going to go to my extra
10 slides. I knew I had them for a reason. If you look at,
11 and this is a slide at the end of the deck which I didn't
12 show, this is looking at village level factors associated
13 with lower respiratory tract infection, RSV hospitalization
14 rates, in children under one year in 49 villages in that Y-
15 K delta that I mentioned, and this is from the same paper
16 from Bruden, et al.

17 You can actually see P values associated with
18 maybe crowding, lack of plumbing, and actually, this paper
19 did look at risk factors including things, wood as a heat
20 source, as I said, number of people in the household,
21 location, but really, crowding, lack of plumbing for RSV
22 infections, but also for lower respiratory tract infections
23 were important.

1 So I think that some of these types of risk
2 factors, this does help further our understanding, but it
3 may contribute.

4 DR. GREENBERG: Just to carry that one step
5 further, I think some of these are associated with higher
6 risk or burden in the general population, right?

7 DR. GERBER: Yes, they have been shown to be like
8 numbers of households and young siblings, yeah.

9 DR. NOTARANGELO: Thank you for providing a very
10 comprehensive overview. My question is about variability
11 of seasonality which of course is very important in
12 monitoring of immunized infants in a prospective trial.

13 You showed a couple of slides. One of them
14 showed that south Florida was different than any other
15 regions in the country across a couple of years, and in
16 another slide you showed significant variability of
17 seasonality in Alaska across multiple years. My question
18 is what do we know about variability of seasonality in
19 other regions of the country, not just across two years but
20 in a longer period of time?

21 DR. GERBER: That's a great question. We do
22 actually have several decades of experience, but right now,
23 one notable change that has been occurring, because we rely
24 on clinical and public health laboratories to report

1 aggregate data to us, so we look at the numbers of tests
2 performed and the numbers of positive detections.

3 This is actually for RSV and for other viral
4 pathogens. However, the mode of testing is changing and we
5 have done some evaluations and investigations looking at
6 differences and testing of labs that report to NREVSS.
7 What's happening is that less labs are actually reporting
8 to us antigen and more are reporting PCR and that this does
9 reflect the practices in the laboratories.

10 So this does affect NREVSS data in our
11 interpretation and one thing that, I only had a few minutes
12 to talk today, but I have many, many slides of the
13 differences in interpretation of PCR data versus antigen
14 data, looking at percent positivity and looking at ways to
15 capture and looking at normal curves, the season. So it is
16 very different and this is something that we're doing right
17 now, is investigating differences between our PCR data and
18 antigen data over several years' time.

19 DR. LYNFIELD: I just wanted to follow up with a
20 comment on community deaths. That is also extremely
21 challenging because the highest death rate is in these
22 young infants. That's also a period of time where you have
23 SIDS deaths, and in Minnesota, we actually have an
24 unexplained death and critical illness project where we
25 work with medical examiners who do swab deaths at home that

1 they are involved in and the problem is interpreting, is it
2 true, true, or unrelated?

3 I guess the question, these children may have
4 symptoms of a respiratory infection or they may not, and
5 then you have an upper respiratory swab that's positive for
6 RSV or potentially other viruses, and the challenge is to
7 be able to understand what the component may be, that the
8 attribution may be. So one thing to be mindful of is how
9 long these children can carry RSV, but it is going to be
10 challenging even if one makes it notifiable. In many
11 places, that is not done and then, again, figuring out what
12 the attribution is.

13 DR. LONG: Since the licensure and use of
14 palivizumab, most of us in children's hospitals do have
15 some information about the seasonality and the tightness of
16 that seasonality and at the Committee on Infectious
17 Diseases at the American Academy of Pediatrics, we all talk
18 about this around the table.

19 And it is rather predictable. It's about 17
20 weeks for most areas of the country and they do vary by a
21 couple of months. So I think that for most areas, it could
22 be predictable if one was doing a surveillance following
23 immunization.

24 The other thing is, I was interested, Dr. Gerber,
25 on the slide that shows the National Respiratory and

1 Enteric Virus Surveillance System, the NREVSS system, why
2 the antigen detection seems to be higher and earlier than
3 PCR is a little interesting. We know that as people are
4 beginning to think about RSV, they do lots of antigen
5 detection, which is in some systems available for emergency
6 departments and outpatients, and PCR is available for
7 inpatients.

8 So you get different data, but many of those RSV
9 antigen detections, when it's really not on season, which
10 is 10 percent of your samples have to be positive for two
11 consecutive weeks, they're false positives. So there are a
12 lot of moving targets.

13 DR. EDWARDS: Good comment.

14 Final question. Jay?

15 DR. PORTNOY: First of all, obviously the best way
16 to avoid getting RSV is to be born in May so we should all
17 strive for that.

18 (Laughter.)

19 My question is about genetic predispositions. I
20 remember a long time ago there were studies done, I think
21 Welliver was one of the authors, looking at the development
22 of IgE to RSV and they actually developed specific IgE
23 antibodies to RSV when they had infection. My question is,
24 is there a genetic predisposition to having more severe
25 disease? Are infants who develop RSV and have a more

1 severe disease from families that have atopy or asthma as
2 an underlying condition, and is it possible that those who
3 have more severe disease tend to make a Th2 type of
4 response and develop IgE as opposed to those who don't?

5 DR. EDWARDS: Susan, would you like to answer that
6 or should we wait perhaps after Fernando has talked about
7 that to answer that and come back with that?

8 DR. GERBER: That is probably beyond my purview to
9 discuss. I mean, I couldn't include everything, but I
10 started with a children at high risk discussion and
11 certainly those who are recommended to receive palivizumab
12 is at least in the latest iteration of the recommendations,
13 and children that we know, as we saw, who are at high risk
14 for severe disease. Certainly underlying lung disease in
15 premature infants is a high-risk group. I think that I
16 really can't comment on further than that right now.

17 DR. EDWARDS: Arnold, did you have a final
18 question?

19 DR. MONTTO: I just wanted to extend the discussion
20 from special populations in the United States to those in
21 the rest of the world because what kind of information do
22 we have about the relative importance of RSV there since
23 what we decide here is often reflected in vaccines that
24 become available for the rest of the world?

1 DR. GERBER: I think that is a great comment. I
2 mean, only in the interest of time to talk, I think it's a
3 whole other huge topic to talk about worldwide RSV because
4 we know comparatively so much less, but I think that from
5 country to country in some of our collaborations, we have
6 recognized a lot of difference.

7 The case definition with SARI surveillance and
8 WHO's case definition, I just, to interpret with caution
9 RSV burden in young infants.

10 DR. EDWARDS: We are going to have to end the
11 discussion soon.

12 Karen, would you like to introduce yourself and
13 then also ask your question? Then that will be the last
14 question before Fernando.

15 DR. KOTLOFF: Sure. It's just a quick comment. I
16 am Karen Kotloff. I'm a pediatric infectious disease
17 specialist at University of Maryland Center for Vaccine
18 Development.

19 There is a study that was just completed that was
20 funded by the Gates Foundation called PERCH and it was a
21 study of WHO-defined severe and very severe pneumonia in
22 children under 5 in seven developing countries, and used
23 fast-track multiplex PCR to look at the etiology of
24 pneumonia in those children. It's unpublished, but RSV was
25 looked at very carefully and found to be very important as

1 a cause of disease, but not as important as a cause of
2 mortality.

3 DR. EDWARDS: Thank you. Thank you very much,
4 Susan.

5 The next discussion is going to be by Fernando
6 Polack from the scientific director of Fundacion INFANT and
7 he is going to talk on the history of vaccine-associated
8 enhanced respiratory syncytial virus disease and
9 characterization of the animal models designed to mitigate
10 risk in future vaccine studies.

11 Fernando, we're very pleased to have you with us.

12 **Agenda Item: History of Vaccine-Associated**
13 **Enhanced Respiratory Syncytial Virus Disease and**
14 **Characterization of Animal Models Designed to Mitigate Risk**
15 **in Future Vaccine Studies**

16 DR. POLACK: Thank you. Thank you for inviting
17 me. These are my conflicts, and I have a brief disclaimer.
18 I started working on this in the 1990s and the consensus at
19 the time that nothing but live attenuated vaccines would
20 ever be used in seronegative infants against RSV.
21 Therefore, for all of us working in the field,
22 characterization of these enhanced disease phenotypes was
23 essentially an academic exercise.

24 So the consensus was that these vaccines were
25 never going to be tested for enhanced disease, but not

1 these vaccines. The only thing that was going to be used
2 in infants was live attenuated vaccines. So characterizing
3 the enhanced disease phenotypes was essentially an
4 exercise, an academic exercise.

5 That's why almost every possible immune cell and
6 a number of cytokines were described as endpoints for this
7 loose entity that could present in different forms in
8 different papers. For this very reason, these endpoints
9 were never clearly established and every single finding was
10 contradicted, was refuted by another paper.

11 So I'm saying that because I guess I'll get
12 questions about a single paper here and there that's saying
13 something opposite to what I'll be saying, and that's
14 something that is going to happen. Everything is going to
15 be at least having an alternative explanation.

16 So I guess when Jeff asked me to talk about
17 enhanced disease, one of the issues was that enhanced RSV
18 disease has a twin illness and that is atypical measles
19 because measles was also, many years ago, one of the
20 targets for these formalin-inactivated vaccines. My talk
21 will go through both diseases, trying to build sort of a
22 process to understand the pathogenesis of enhanced RSV
23 disease. Then I'll specifically talk about different arms
24 of the immune system, talking of what we know, what are the
25 caveats of what we know.

1 I'll try to open a little bit the understanding
2 of enhanced disease, comparing it to other diseases that
3 have a similar paradigm that we often overlook. Then I'll
4 try to go over the animal models and if you have questions,
5 I'll try to answer them.

6 In 1963, there were two vaccines against measles
7 licensed on the same day, a live attenuated vaccine and an
8 inactivated product. This was a formalin-inactivated
9 measles vaccine. It was used until 1967 and it was used
10 actually in several hundred thousand subjects in the United
11 States. Initially, in the first few years, it looked as if
12 it would protect against disease. Then around 1965, during
13 a measles outbreak, people started developing this odd
14 atypical manifestation of measles.

15 They had very high fevers, they had a petechial
16 rash that was affecting the upper and lower extremities,
17 and they had bibasal pneumonia, essentially pneumonia of
18 the lower lobes of the lungs, and they were quite ill.
19 Some of the differential diagnoses for these presentations
20 were for meningococemia, severe sepsis; these patients
21 were often admitted to the hospital.

22 As the live attenuated vaccines were successful,
23 the first vaccine actually caused some side effects like
24 fever and rash but eventually was more attenuated, leading
25 to Moraten, which is the vaccine we use today in the United

1 States. The formalin activated vaccine was discarded and
2 nobody gave it much thought about what had happened with
3 this product for many years.

4 At one point in Sweden, Erling Norrby started
5 studying the antibodies, at least he did by this formalin-
6 inactivated measles vaccine, and what he showed is if you
7 looked at the sera of individuals that had been immunized
8 with the formalin-inactivated measles vaccine, this sera,
9 antibodies with fusion-inhibiting activity.

10 Now, we're talking about many years ago and the
11 techniques were essentially indirect assumptions at the
12 point of the anti-fusion activity of these antibodies, but
13 Erling Norrby postulated that a deficiency in fusion
14 inhibition was responsible for priming the subject to
15 develop atypical measles.

16 Interestingly enough, he was not only working
17 with a formalin-inactivated vaccine, but he was also
18 working with a tween-ether inactivated vaccine, which had
19 been developed in Europe at the same time and had very
20 similar manifestations and particularly immune
21 manifestations as the formalin-inactivated product, showing
22 that not only formalin but other things can do the same
23 thing.

24 In 1962 and 1963, there was a brief sort of
25 trial. There were no controls, but 54 subjects were

1 vaccinated with the formalin-inactivated RSV vaccine.
2 Twenty-one of those were infected with RSV and 48 percent
3 had severe disease and required hospitalization.

4 In 1966, four trials were initiated in the United
5 States, and you can see them here in this slide. Many of
6 the subjects, the proportions are different in each of the
7 studies, but a substantial number of the kids developed
8 very severe disease. The main characterization of the
9 disease in all these studies was children presenting with
10 pneumonia and wheezing. If you look at one of the studies
11 in particular, the one led in the report by Kim, 80 percent
12 of subjects who had been infected with RSV ended up
13 hospitalized. This was actually mentioned by Jeff a little
14 earlier.

15 Two of these kids, a 14-month-old boy and a 16-
16 month-old boy, died as a consequence of RSV disease and
17 both of them had RSV recovered from their lungs postmortem.
18 When you look in depth at these two children, these were a
19 14-month-old and a 16-month-old, immunized between 2 and 7
20 months of age. One received a vaccine at 2 months, the
21 other received a vaccine at 5 months, and I think they
22 received three doses of vaccine each.

23 There are some interesting observations in their
24 past medical history before they presented at the final
25 event. One of them had bronchiolitis at 3 months of age.

1 The other had croup at 11 months of age, and had 14 days of
2 persistent symptoms that worsened about 48 hours before
3 dying and that's when he required admission to the
4 hospital.

5 They were both quite febrile. One had almost 40
6 degrees of maximum temperature. The other had almost 39.
7 They had bronchopneumonia and in postmortem isolates, they
8 had gram negative rods, which has generated some discussion
9 over time in the field. It's true that these are
10 postmortem specimens. These children were in the ICU.
11 They were quite sick and it's hard to know what these gram-
12 negative rods were doing there.

13 This is actually, I think, very, very strong
14 image of how the lungs of these kids look. This is sort of
15 a close-up of one of those bronchioles that you see there.
16 What you can notice is that there's no epithelium. It has
17 been sloughed.

18 There's some very, very impressive mucus plug.
19 This kid is impossible to ventilate. There's everything in
20 the lungs and that was the reason precisely, I guess, why
21 this child died. In the report, this was a report in the
22 American Journal of Epidemiology in 1969, all that was said
23 about the histopathology of the disease was that there was
24 a peribronchiolar monocytic infiltrate with some excess in

1 eosinophils, and this sentence, some excess in eosinophils,
2 has shaped the field for 50 years.

3 So I'm going to try to start building, for lack
4 of a better word, a paradigm of how this was thought of at
5 the time, and then evolving to what we know now. So as I
6 showed you before, Erling Norrby's work had suggested that
7 in the measles field, atypical measles resulted from
8 failure of the formalin-inactivated vaccine to elicit
9 fusion-inhibiting antibody so the fusion element of the
10 virus was gone.

11 In the RSV field, Brian Murphy and Mark Connors
12 published a study, which I think is one of the most
13 important papers in this area, in Journal of Virology in
14 1992 where they essentially depleted CD4 T cells from
15 BALB/c mice that had received the formalin-inactivated RSV
16 vaccine, and the mice had very, very limited pathology
17 compared to control mice that received a control antibody.

18 The next year, Barney Graham essentially for the
19 first time looks at the Th2 profile of this vaccine. So
20 what you see in this slide and if you look at the second
21 line, you'll see that there is IL-4 production with use of
22 the killed vaccine in BALB/c mice. If you look below,
23 there's limited interferon gamma production.

24 So here is Brian's work showing that CD4 T cells
25 are critical for the manifestations of enhanced disease in

1 mice, and Barney's work showing that there's a Th2 bias
2 when you use these inactivated products. When you put
3 these two things together, Brian Murphy's group, and Mark
4 Connor's, conclude that the F protein, the one responsible
5 for the eliciting anti-fusion antibodies, the response was
6 being primed by the formalin-inactivated vaccine to
7 polarize to Th2.

8 So they say, well, if the F protein is not
9 present, what is driving the response is the other
10 neutralizing antigen on the surface of RSV and that's the G
11 attachment protein. So they started working with a
12 vaccinia vector that encodes for the attachment of protein
13 virus vvG.

14 A couple of years later, Peter Openshaw's group
15 and Tom Braciale's group almost simultaneously tried to
16 address why are these vaccines biasing the response to Th2?
17 And they essentially emphasized that this G protein in RSV
18 lacks the capacity to elicit cytotoxic T lymphocytes
19 against the virus. If you are able to produce CTLs against
20 the virus, the Th2 profile is gone.

21 So essentially, what comes out as the conclusion
22 at the time is that enhanced disease is a response elicited
23 by this RSV G because F had been destroyed during the
24 process of formalin-inactivation. We're going to see that
25 that is not correct, but this was the thinking at the time.

1 So this G response does not elicit CTLs and the vaccine
2 does not elicit anti-fusion antibodies, and all this leads
3 to a Th2 bias with eosinophilia.

4 I'm going to try to show you how the RSV and the
5 measles models inform themselves, how they talk to each
6 other in antibodies, T lymphocytes, and PMNs, and really
7 see what we just discussed through this next set of slides.

8 So I was a fellow at the time and I was working
9 in Diane Griffin's lab and Diane Griffin had a monkey model
10 of measles that actually was a rhesus macaque that emerged
11 from challenging these monkeys with a Bilthoven strain of
12 measles virus which was a present from Ab Osterhaus to
13 Diane.

14 What we did in the lab was immunize monkeys with
15 a formalin-inactivated measles vaccine. Some of these
16 monkeys had been immunized as long as 14 years earlier by I
17 think Arwind Diwan in Hawaii, and some monkeys were
18 immunized at Hopkins around 1996 or so.

19 A few years later, we challenged these monkeys
20 with Bilthoven measles virus and what you see on the left
21 side, the monkey that has the angry rash, well, that's
22 exactly the same rash that was present in the humans. I
23 showed you a picture of one of these subjects before.
24 Here, you see a macaque and that's the abdomen of the
25 macaque. I haven't shown these slides for many years.

1 People used to ask me if this was the biceps or what it
2 was, this is the abdomen of the macaque.

3 You can see, macaques stand like I'm standing now
4 to speak on the microphone. So they're a little bit bent
5 forward so that the disease locates right there in the
6 thighs. On the right side here, what you see is classic
7 measles. You could catch this rash if you touch the
8 monkey. So we had a model of atypical measles with the
9 same rash, and I don't know how it projects there, but the
10 same pneumonia that was experienced by individuals in the
11 1960s.

12 Now, interestingly enough, we also had systemic
13 eosinophilia in these macaques. So at the time, we thought
14 that this was essentially putting all the picture together.
15 We had a very similar illness that was observed in enhanced
16 RSV disease and was described by the papers I just told you
17 before. The way we were advancing our thoughts was
18 essentially also learning from the RSV field, but in the
19 1970s, Joe Bellanti published some work associated with
20 atypical measles and I'll explain this a little bit.

21 One of the concerns when people had been
22 immunized with formalin-inactivated measles vaccine and had
23 not contracted measles was what would happen to them if
24 they would be exposed to measles in the coming years? So
25 the decision was to immunize these subjects with the live

1 attenuated measles vaccine that had become available, and
2 that way protect them, and that actually worked quite
3 nicely and I'm going to come back to that in a little bit
4 talking about RSV.

5 That protected them from subsequent measles
6 exposures, but the other thing that happened when these
7 individuals received a live attenuated measles vaccine is
8 that if you biopsied their skin, you could see immune
9 complex deposition on the vessels. I guess you say on the
10 vessels, not in the vessels? These things, after like 30
11 years living the states, are still confusing to me.

12 (Laughter.)

13 IgG, C3, and also measles antigen, which was
14 actually quite surprising because it was different from the
15 perception that everybody has had of the disease being this
16 delayed type hypersensitivity disease, a lot of
17 inflammatory cells, and the eosinophils we have seen
18 before.

19 But interestingly enough, when we biopsied the
20 skin of the subjects, the monkeys who had developed
21 atypical measles, there they were. Here, you can see the
22 IgG in the monkeys with atypical measles by
23 immunofluorescence and C3. So these monkeys have immune
24 complexes. We performed bronchoalveolar lavages of these
25 monkeys, serial lavages, or over and over, and you can

1 clearly see that the immune complexes were also present in
2 the macaques in their lungs associated with the pneumonia.

3 So the conclusion was at the time that production
4 of atypical measles was associated with this immune complex
5 formation with eosinophils and interestingly enough, the
6 other thing we did is we had more sophisticated dose to
7 look at fusion-inhibition and anti-F antibodies, and they
8 were there, and they were clearly present. So essentially,
9 the idea that atypical measles associated with the
10 disruption of fusion-inhibiting activity was incorrect.

11 Now we knew that this was incorrect and Barney
12 Graham had shown that polarization to Th2 in BALB/c mice
13 could be elicited with the F protein, so you didn't need
14 the G protein, so this was an incorrect assumption that
15 meant this was incorrect.

16 I'm going very fast; I hope you follow me. I've
17 been doing the same thing forever, but enhanced disease
18 then is not a response elicited by vaccinia vector G and
19 has nothing to do with the anti-fusion antibody
20 specifically, but we like CTLs because this inactivated
21 product that's not processed through MHC class 1 pathways
22 so it doesn't elicit CTLs. We have these findings from, at
23 the time already, many other groups too showing that it
24 polarized to Th2 and in BALB/c mice had eosinophilia.

1 The vvG observation is not truly all, because
2 there are hundreds and hundreds of papers discussing
3 enhanced RSV disease as the result of G immunization
4 followed by RSV challenge in mice. While that is something
5 that is probably not a good idea, and if you look at the
6 histopathology of the mice and how they lose weight and how
7 they look, you wouldn't want to be a subject in one of
8 those studies, I don't think that has anything to do with
9 enhanced disease. So that is an artefact, a laboratory
10 experiment that is actually quite interesting, but does not
11 reflected enhanced RSV disease.

12 The other thing we know, and this we knew from
13 the beginning, is that no protective antibody was involved.
14 Now we knew that there were immune complexes in the skin
15 and lung of the monkeys with atypical measles, so I thought
16 it would be a good idea to look at immune complexes in
17 enhanced RSV disease.

18 I wasn't quite original, actually. If you look
19 at the last or almost the last sentence of the original
20 paper by Kim and Dr. Chanock and Dr. Parrot, what they say
21 is possibly antigen-antibody complexes at the respiratory
22 epithelial surface initiate a sequence of events involving
23 complement fixation, chemotaxis and leukocyte damage which
24 leads to the bronchiolar pathology seen in serious RS
25 disease. So this is the first report, the one reporting

1 the first two deaths, and people were already considering
2 the possibility that immune complexes could be involved in
3 severe RSV disease.

4 The other thing that the first report notes is
5 that if you look at complement fixing antibodies with no
6 correlate for protection and you compare them to
7 neutralizing antibodies, the ratio of complement fixing
8 antibodies to neutralizing antibodies is substantially
9 higher, meaning you have a lot more non-protective
10 antibodies when you use these formalin vaccines than when
11 you have a live infection with RSV.

12 That is actually further shown by Brian Murphy
13 and Mark Connors very early too and you can see that here.
14 I printed this from the internet, it's not that great, but
15 these ratios were very high compared to live infections. I
16 don't know if you can really see them there, but you have
17 to trust me. What bothered me at the time is he tested
18 passive transfer of sera and he passively infused sera from
19 mice that had received the formalin-inactivated vaccine
20 into other mice, challenged them with RSV, and nothing
21 happened, which makes a lot of sense.

22 So pneumonia is not driven by these antibodies.
23 In fact, it's only probably biased in its profile by these
24 antibodies, but some other features of the disease are.
25 One of those features is bronchial hyperreactivity. When

1 we started working on this, coming from the macaque model
2 of measles which was so florid, so good at showing disease,
3 we were quite determined to find correlates of illness that
4 would have clinical meaning or could be translated to
5 humans. So that ruffled fur or decreased activity or
6 hunched back, it's very hard to translate that to
7 bronchiolitis. So let's find something that has a direct
8 correlation to bronchiolitis.

9 One of them was airways hyperreactivity and we
10 started working on these with a scientist at the time at
11 Hopkins and then at NIH, Steve Kleeberger, and what you can
12 see here and you see it in the first bar, far left, is the
13 formalin vaccinated mice receiving RSV and having
14 significantly more airways resistance than those that
15 contracted RSV or were immunized with control vaccines.

16 So we seemed to have a model. The second thing
17 is we had a model of pneumonia and you can see there,
18 formalin vaccine, RSV challenge, top left corner,
19 peribronchial, perivascular infiltrates. There's some
20 alveolitis, it's hard to see from there. The other groups
21 don't have it except from the last group, which was also
22 formalin-inactivated vaccine generated from an RSV virus
23 that lacked protein G, which was actually generated by
24 Peter Collins's lab who has given me a million things to
25 work with over the years.

1 So you can see immune complex deposition up there
2 very clearly, C3, IgG, you can see both of them in, you can
3 confocal up and down. So neither G has anything to do with
4 this specifically and these immune complexes seem to be
5 playing a role.

6 In fact, they do play to an extent a role
7 probably through T cells. You can see here, again, airways
8 resistance. You have a mouse that has no complement,
9 complement C3, or you have a mouse without mature B cells
10 and you see exactly the same type of profile. In other
11 words, these things play a role in airways resistance in
12 the disease.

13 Now, when we were at this stage, the question was
14 how can we translate this into something meaningful in
15 terms of humans? We were lucky because Greg Prince
16 generously shared with us slides from the kids who had died
17 from enhanced disease, these two children in 1967.

18 We stained those lungs for evidence of immune
19 complex activation and deposition in the peribronchial
20 areas. So you can see there that CD4 covalently linked to
21 the lung and showing that immune complexes are indeed stuck
22 to the lung of the kids who died of enhanced disease.

23 This was actually done at the time by the only
24 group that had the antibody. Now it is commercially

1 available; everybody has it. This was a long time ago.

2 This was, I believe, University of Vienna.

3 So we said, well, there seems to be a role for
4 immune complexes in enhanced disease, so now we know a
5 little bit more and the question is, what are the immune
6 complexes doing there? Why are the pathogenic immune
7 complexes present in atypical measles and in enhanced
8 disease? I think this is an important concept. It's
9 because the antibody response generated during vaccination
10 using these vaccines can recognize the antigen, can
11 recognize the virus and can bind, but fails to protect.
12 Seems simple, but I think there is a lot in that sentence.

13 Why do they fail to protect? Well, in this case,
14 there is a particular specific explanation. I'm going to
15 try to go through it. It's probably not the only
16 explanation, but I think it's an interesting one.

17 This is a very schematic, simple slide and it's
18 very old, so forget the simplicity, but when a new antigen
19 enters the body, generate antibodies, you see them in
20 green, will be held by follicular dendritic cells in
21 regional lymph fluids and will present the antigen.

22 B cells, with the help of T cells, will start
23 undergoing affinity maturation as they start changing their
24 binding site for the antigen. They're trying to take
25 away, in simple terms, the antigen from the follicular

1 dendritic cell. Most of these random somatic hypermutation
2 events yield antibodies that are weaker than the original
3 green antibody so they can't take away the antigen and they
4 die.

5 But some of these events succeed and the antibody
6 now is stronger and can take away the antigen and these B
7 cells become memory cells and plasma cells. So this is the
8 principle why people have antibodies to measles 50 years
9 after receiving immunization or Jim Crowe detected
10 antibodies against H1N1 pandemic in 85-year-olds that had
11 been exposed to the virus in 1918.

12 The trick is that these formalin-inactivated
13 vaccines cannot do it. So what you're seeing there in the
14 red circle, panel H, is white squares, open squares,
15 reflecting affinity maturation in monkeys that had been
16 immunized with a formalin-inactivated vaccine. It never
17 gets any better. What you get is what you have, or what
18 you have is what you get. What you see with the black
19 squares is actually affinity maturation with a live
20 attenuated vaccine, far better, and I think built into what
21 we're talking about.

22 What you see up there, which is still improving
23 but there's limited room now to improve, I'm showing you
24 the end of the tale, is serial vector H vaccinations. H is
25 the hemagglutinin of measles. So all these antigens

1 presented in the cytoplasm undergo affinity maturation in
2 these processes and get better and better and better. The
3 formalin-inactivated vaccine cannot do it.

4 The problem is, and you see with the arrow, that
5 affinity maturation for measles and for RSV has a good
6 correlation with protection. You can see there and we did
7 this, this is 2003, we did it for RSV a few years later,
8 lack of affinity maturation leads to enhanced RSV disease.
9 But it leads to this antibody that cannot protect in this
10 case and that was associated with enhanced RSV disease.

11 So now we have a better picture of enhanced
12 paramyxovirus diseases. Non-protective antibody responses,
13 a primed T helper response biased to Th2, and no CTLs. So
14 let's get a little deeper into non-protective antibody
15 responses.

16 The first question is is affinity maturation
17 critical for every vaccine candidate? Is this something
18 that must be present in every vaccine? Well, the answer is
19 only if high affinity responses are required to elicit
20 protection as is the case with the inactivated and subunit
21 products we are familiar with.

22 What am I saying? Well, I'm saying that let's
23 say you have a formalin-inactivated product and formalin
24 has disrupted all the antigens. So if you get affinity
25 maturation for bad antigens, you're going to get bad

1 antibodies. That is not necessarily true. It's not magic
2 that affinity is going to make it better. You need to have
3 a good antigen.

4 Or, alternatively, here's a paper by Barney's
5 group a year ago, and what he's showing, if you look at the
6 second, the third, and the fourth pair of squares, for lack
7 of a better word, what you see is that the formalin-
8 inactivated vaccine does not elicit antibodies against the
9 pre-fusion F. That's what Barney is suggesting, that the
10 pre-F epitopes are disrupted, essentially, that's what he's
11 saying.

12 So if the pre-F epitopes are good enough and you
13 then need affinity maturation, well, then maybe you don't
14 need it there, but we don't know. This is not something
15 that we know at all. What we know is that antibody has to
16 protect. If it doesn't protect, it's not going to work.

17 The second question then is how do we know if
18 antibody protects? So are neutralizing antibodies a
19 sensitive and specific assay for protection? I think
20 neutralization is as good as your cell substrate, your
21 animal species. It may sound stupid, but actually is quite
22 important.

23 For several years in our monkey models, the
24 response that we were measuring, the neutralizing response
25 elicited by the vaccine against measles was protective. We

1 thought, how on earth are these monkeys getting ill if
2 we're getting these good neutralizing titers with Vero
3 cells?

4 Well, because we were using Vero cells. Vero
5 cells lack CD150 which is the live, the receptor for
6 Bilthoven, the measles virus strain that we had, to elicit
7 disease.

8 So once we knew to be identified monkey cells and
9 CD150 was present, the sera was not protective so we were
10 actually sort of misunderstanding the nature of our assay.
11 We do not have a great neutralizing assay. I think this is
12 not new. Animal models are often, we'll use a different
13 point, are often falsely reassuring if you challenge too
14 soon after vaccination because you have a lot of antibody.

15 So this is typical. People challenge their
16 models two weeks after they've done the initial inoculation
17 and then they find protection or they're not fully
18 understanding why on earth they see some symptoms but it
19 looks like the neutralizing antibody assay is not enough to
20 show any protection. Well, you need to wait, basically.

21 Can disease reoccur? Can enhanced disease happen
22 twice? Well, when we were almost done with the immune
23 complex story, I went to LID, to NIH, and Peter Collins
24 introduced me to Dr. Chanock, and I sat briefly with Peter
25 and Dr. Chanock for a little bit. He was sort of recalling

1 this story of enhanced disease and one of the things he
2 said, I was actually quite shocked, was that one of the
3 greatest concerns was that the kids that had developed
4 severe RSV disease, enhanced RSV disease, during the winter
5 of 1967 were going to be re-exposed to RSV in the winter of
6 1968. They didn't know whether things were going to get
7 worse or were going to get better or nothing was going to
8 happen. It wasn't clear.

9 The answer is no, it should not reoccur. It
10 shouldn't reoccur because once you get live RSV infection,
11 when you're infected with RSV, affinity maturation will
12 correct the antibody mismatch. It will generate antibodies
13 that are protective. So disease should not reoccur.

14 In fact, that is the principle why live
15 attenuated measles vaccine protected those that had
16 received the formalin-inactivated vaccine in the first
17 place and had not contracted measles. So this is
18 potentially an interesting strategy if you're in the middle
19 of a problem. I'm not advocating for doing any of these,
20 but if you were to protect a child with palivizumab and let
21 him contract upper tract disease, if it's an emergency,
22 maybe that may let him slide through the danger, and then
23 be fine the next season.

24 Alternatively, if you would, I don't know, I
25 mean, this is for virologists, but if you would inoculate

1 RSV in the arm, could you alter the profile? This is
2 something that's never been looked at in animals and we
3 probably should.

4 But this is another important implication and
5 it's that even that the best antibody wins during affinity
6 maturation, if you have a subject that already has a good,
7 strong, long-lived memory B cells that respond to RSV,
8 you're not going to catch enhanced disease. That means
9 that seropositive individuals will not help you make any
10 type of a conclusion when you're testing enhanced disease,
11 when you're looking at vaccines for enhanced disease. You
12 should not rely on these subjects.

13 T cells and enhanced disease, well, this is the
14 field I'm going to try to talk about. This is an area that
15 people have done a lot of good work and we needn't do much.

16 So this is one of the original studies I showed
17 you. This is Tom Braciale's group in Journal of
18 Experimental Medicine in 1997. What he's looking at is
19 vaccinia vector G and what he's showing is that if you have
20 no cytotoxic T cells, which is what you have in the VG/22K
21 that you're seeing on this slide, you make a lot of
22 eosinophils.

23 So the CTLs have been tied to eosinophilia since
24 those papers and another paper in the European Journal of
25 Immunology by Peter Openshaw's group the next year. What

1 we know comes from the vaccinia vector G literature. So we
2 are essentially assuming the rest which is probably the
3 same, but we are essentially sort of taking one data from
4 there and extrapolating to the formalin-inactivated story.

5 In this paradigm, CTLs may contribute to sway the
6 T helper response away from T helper type 2 biases which is
7 what they do when you use the vaccinia constructs and may
8 also help by diminishing the antigen loads stimulating
9 primed T helpers. So as you think about it, the more
10 antigen, probably the more exuberant the memory response,
11 which is what you do not want, so CTLs are probably a good
12 thing to have.

13 I think in general we agree CTLs are a good thing
14 to have for most things you catch. It's good to be able to
15 clear your infected cells. But the statement is not
16 absolute. I'll get back to that.

17 This is probably the hallmark of enhanced disease
18 and its primed T helper responses biased to Th2. There are
19 two phenotypes that have led this field for years or have
20 been the preferred outcome for many studies for years. One
21 is eosinophils and the other is Th2 cytokines, and I'll
22 talk about that in a minute.

23 This is I think a very important paper for
24 eosinophils. This is work by Steve Varga's group. What

1 you see here is that if you have mice that do not make
2 eosinophils, you still get enhanced RSV disease in mice.

3 So the eosinophils are there but are not in the
4 causal pathway. They seem to be doing something else. So
5 to me, the best analogy is coming home at night and seeing
6 an ambulance at your door. This is not good news, right?
7 But the doctor is not causing the problem. So that's sort
8 of how I think of eosinophils.

9 (Laughter.)

10 But they are there. So this is a slide that we
11 never published. It's actually perhaps our best picture
12 ever and we never published it, but what you're seeing on
13 the lower panel are eosinophils in the two kids who died of
14 enhanced disease and no eosinophils in a younger child who
15 died of wildtype RSV disease.

16 What you can see is anti-myeloperoxidase
17 antibodies, and this was sustained by Jamie Lee from Mayo
18 Clinic, and very clearly shows that eosinophils are indeed
19 present and abundant in the lungs of kids who died from
20 enhanced RSV disease. But they're not present in the
21 blood. This is the CVC of the two children who died of
22 enhanced diseases in 1967 and one had no eosinophils and
23 the other had 5 percent eosinophils.

24 This has implications for vaccine testing because
25 don't look for them as a sign of relief. If you find them,

1 you should worry, but if you don't see them, it's not
2 telling you much.

3 In another of the reports, this is a report by
4 Chin in 1969, 50 percent of subjects with enhanced disease
5 compared to 10 percent of controls had eosinophils, more
6 than 250 eosinophils. The other two studies didn't look at
7 eosinophils, the four studies that were originally
8 published and the only ones that we can go back to to learn
9 about this. So the eosinophils are, I think, a warning
10 sign that should be carefully considered, but it's not
11 absolute. It's a good biomarker to discard a candidate,
12 but that's about it.

13 It works in BALB/c mice, it's not present in
14 cotton rats. You see it in some bovine models, not in
15 others. That's about as useful as it is. It is absent in
16 many models as I said. Absence does not guarantee safety.

17 The other trick is that it's important to know
18 your model and select an appropriate vaccine for your
19 control group. So the vaccinia G vaccines generate more
20 eosinophilia than the formalin-inactivated RSV vaccine. So
21 if you have a control that makes a tremendous amount of
22 eosinophils as your positive control, that doesn't even
23 reflect the disease you're trying to test, and you go back
24 and test your vaccine and you see a few eosinophils and you
25 say, this looks pretty good, look at the other one.

1 Well, the other one is wrong. So this is very
2 important. Your controls are essentially the main drivers
3 of your animal models.

4 The other thing is if you challenge too quickly,
5 you'll think the vaccine protects. This is still adherence
6 again, and you may miss them.

7 Last part. How about the Th2 bias? In 1992, as
8 I showed you before, Brian Murphy depletes CD4 T
9 lymphocytes from enhanced disease. He shows the disease is
10 nearly abrogated in terms of the histopathology in BALB/c
11 mice. Barney later does this work with BALB/c mice showing
12 the IL-4, and then there is a suggestion of a combined
13 effect of Th2 cytokines coming from studies of Mark Connors
14 and Brian Murphy depleting different cytokines in mice.

15 I think that the most definitive work may be
16 Steve Varga's work with STAT-6 deficient mice significantly
17 decreased the ERD phenotype, but let me show you something
18 from the early studies where we inferred that Th2 cytokines
19 were critical for enhanced disease. What you see there in
20 the first red bar is the effect of the regular control,
21 formalin-inactivated RSV vaccine, and what I can see from
22 here with my eyes, it's about 27 percent of the bronchioles
23 having a pathologic score, which goes down to 23 percent
24 when you use anti-IL-4; you deplete IL-4. If you deplete
25 interferon gamma, it goes to 20 percent.

1 So this is the story of the Th2 bias and I think
2 this is an important contribution I can make. We need
3 standards. We need to know what we're talking about.

4 Here is, again, the same story. This is the vvG
5 paper. This is Tom Braciale's work and you can see there,
6 vvG, high IL-4, vvF, supposedly a protective control, low
7 IL-4.

8 I think I missed the green square I had
9 underneath, but if you look directly underneath, you'll see
10 that if we were to graph this based on interferon gamma and
11 we cut our graph at 200,000 picograms per ml, I could show
12 you the same thing. Do you follow what I'm saying? So
13 yeah, there's a lot of IL-4.

14 So what do we call enhanced disease? This is the
15 battle tower. Low interferon gamma, IgG1, IgG2a ratios,
16 IL-13 levels, IL-10 levels, IL-10 levels have been blamed
17 as the Th2 marker for a long time. Now we think of them as
18 regulatory cytokines. The eosinophils, the eotaxins,
19 combined cytokines, interferon gamma, whatever, you can
20 find everything, absolutely everything, and not necessarily
21 everything aligned, not necessarily everything going the
22 same way.

23 So I think the critical thing we need is a
24 consensus to the finding. What are we talking about when
25 we say Th2 bias for preclinical evaluation? This I think

1 is paramount to any safety determination of a vaccine for
2 RSV.

3 So some form of Th2 bias has been reported in
4 every model of enhanced RSV disease with the caveats that I
5 just showed.

6 A few conclusions. I think it's important to see
7 the lung, you need some sort of histopathology correlate,
8 so bronchopneumonia and mucus production are important.
9 You know when you are seeing these reports, sometimes
10 alveolitis is present in 11 percent, 3 percent of the lung.
11 So you need to look at the whole lung because otherwise
12 you're going to see a terrible bronchiole and the rest of
13 the lung is clean.

14 This is a semipermissive virus in most of these
15 animals. I mean, the animals are semipermissive for the
16 virus, I'm sorry. Increased airways resistance is a useful
17 phenotype. I'll talk about alveolitis later.

18 So in principle, one of the few things that I
19 think everybody probably agrees is they should not elicit
20 eosinophils. These vaccines should not bias the response
21 to Th2 compared to controls.

22 They should promote the CTL response, they should
23 elicit a long-lived protective antibody response, but we
24 need to get people's minds together and figure out what are
25 we talking about exactly for all of these things? Because

1 I think it'd be a mistake to leave every single
2 determination at the discretion of individual
3 investigators. Not because of bad faith, but I may
4 understand this one way and you may understand this
5 differently, and I think for safety testing, it's a
6 completely different ballgame. So I think this is very
7 important.

8 So I know I had a lot of time. I don't know if
9 I've already talked all my time, but I figure, well, this
10 is a good chance for me to expound a little bit. I thought
11 one of the problems with enhanced disease is also that we
12 keep looking, waiting for this miracle factor that's going
13 to explain it and there's no miracle factor. This is
14 actually quite simple from my perspective because, well,
15 atypical measles and enhanced RSV, these are not unique.
16 There are probably many diseases that obey the same
17 mechanism of illness that we see with atypical measles and
18 enhanced disease.

19 So I'm going to take a detour, a little bit, to
20 show you some examples of what I think are similar types of
21 mechanisms of illness. This is a slide from the New
22 England Journal of Medicine paper from Mexico when the
23 pandemic influenza, when the pandemic influenza first
24 emerged in Mexico early on. What was always striking to me

1 from the very beginning was that the middle-aged
2 individuals were so severely hurt.

3 I was in Turkey, actually, got a call from a very
4 close friend of mine who was the president of the Central
5 Bank in Mexico and he was saying that his secretary had
6 died and they were telling everybody to go home and the
7 immediate assumption was, well, if a 30-year-old healthy
8 woman is dying, this is going to kill all the children and
9 all the elderly.

10 But it didn't. It goes for the middle-aged
11 population. So these guys have seen something that kids
12 haven't seen. We've done some work on that and we
13 identified, actually, immune complexes here again in the
14 lungs of people who died during the pandemic, in Argentina
15 in this case.

16 We also identified them in the lungs of people
17 who died in the pandemic in Tennessee in 1957, which was
18 also archaeovirology, I guess, by John Williams, who is a
19 super talented virologist who was able to rescue the 1957
20 virus from the lungs that had been at Vandy for like, I
21 don't know, maybe 70 years.

22 Interestingly enough, if your first exposure is
23 to a strain that not only elicits antibody but elicits
24 cytotoxic T cells, your cytotoxic T cells are also going to
25 be misguided. So what you see here is a CTL response, an

1 exuberant CTL response, against pandemic influenza. It's a
2 memory response from the seasonal virus, but it's not
3 working. You still recover virus from the lungs of these
4 subjects. In fact, you see this in other papers that seem
5 to indicate that this can be at least to an extent playing
6 a role in pandemic influenza. Here are studies looking at
7 CD55 which is a molecule responsible for modulating the
8 immune complex response, and when it doesn't work, you get
9 worse pandemic disease.

10 Here are four studies in Canada where immediately
11 prior to the pandemic immunizing with the regular seasonal
12 flu vaccine seemed to enhance the chance of developing
13 severe pandemic disease. What people tend to think is that
14 this may be a problem with antibodies directed to the stem
15 of the hemagglutinin influence, which are binding but not
16 neutralizing. So if you get these antibodies that target
17 the wrong side, there seem to be recognition but you get
18 into trouble.

19 At least, I'm not talking about the flu vaccines
20 at all; I'm talking about flu disease. The same thing may
21 apply to dengue hemorrhagic fever. Dengue hemorrhagic
22 fever is the hallmark of getting one certain type of dengue
23 and then coming and getting another one and getting into
24 trouble.

1 This is one of my favorite papers, this paper by
2 Gavin Screaton in England, and what you're seeing in that
3 blue square is the abrupt cytotoxic T cell response, and
4 he's done a lot of work, this is a Nature Medicine paper
5 just showing you a little bit, where he shows these are low
6 affinity CTLs primed for by the original dengue exposure of
7 his subjects that are going after the secondary -- the
8 secondaries are going after the secondary virus and
9 associating with more severe disease.

10 We actually developed a mouse model. We've
11 recently published dengue 1 and dengue 2. What you see in
12 the blue are hematopoietic centers in the liver. The arrow
13 in the spleen shows that megakaryocytes and all these show
14 that indeed the CD8 T cells are critical when you prime for
15 the wrong CD8 T cells to develop subsequently an enhanced
16 form of the disease elicited by your secondary challenge.

17 So this is nothing but original antigenic sin. I
18 mean, there's nothing new. It's every time the wrong
19 sequence of events, which may hide under other diseases
20 that we don't know.

21 So if you think conceptually under the paradigm
22 of original antigenic sin, non-protective antibodies may
23 emerge because you require affinity maturation. RSV,
24 measles, you have a high affinity interaction with your

1 receptor, you need a good antibody to protect. Flu doesn't
2 have that problem.

3 Antigens modified by treatment processes, you
4 destroy your antigen in the vaccine. You use formaldehyde.
5 Or you have a cross-reactive strain of live virus like flu
6 or you have the wrong serotype sequence like dengue. So
7 under cytotoxic T cells, they're absent after immunization
8 in the context of formalin-inactivated RSV vaccine and
9 measles. They may be mismatched in the other examples.

10 The T helper response, only in the context of
11 inactivated and subunit RSV vaccines, and considering our
12 definitions, we can say that they were biased to Th2.

13 That's not the case for flu or dengue or
14 anything, so it is conceivable that these problems could
15 emerge if you make the same mistake and you have another
16 composition of cells playing, which I can think of, but at
17 least it's there. So as I said, it may vary in other
18 conditions.

19 Eosinophils just in general are bad if present.
20 The stakes are too high. I would not sleep at night if I
21 see an eosinophil in one of these things. Then I'll talk
22 about alveolitis which is my last point.

23 About these animal models, we've been, I think
24 the mouse, we've beaten the mouse to death. So typically
25 we use 4- to 6-week-old females. These mice are

1 semipermissive for RSV. I think the BALB/c emerge because
2 it's easy to use, it's a friendly mouse, doesn't bite you
3 like Black-6, and female mice are particularly kinder than
4 male mice, and they polarize to Th2 which is also useful,
5 and they make eosinophils. If you do Black-6 mice, you're
6 not going to see eosinophils, so that's why people prefer
7 these BALB/cs.

8 You need to consider the vendor. I never had
9 this experience because we always had the same vendor, but
10 there are other investigators that are worth talking to,
11 like Steve Varga, who used different vendors and some of
12 the profiles that we're used to and familiar with may not
13 repeat themselves if you change your vendor. Of course,
14 part of the reason we are using mice is the level of
15 sophistication that you can analyze things with.

16 Well, how about the cotton rat, which is every
17 few years a big subject for discussion? I think this was
18 the paper that led the discussions with cotton rats because
19 I think what happened is Greg Prince recognized that we
20 needed a model to discuss these things and to test vaccines
21 with.

22 We were in the mouse field, basic pathogenesis,
23 we weren't thinking these may need to test vaccines and
24 give a yes or no and have a specific answer, and what Greg
25 and subsequently Jorge Blanco found is that if you look at

1 alveolitis, and the orange line is comparing day four
2 alveolitis in formalin-inactivated RSV recipients, these
3 are cotton rats versus formalin-inactivated mock so that's
4 cell lysate, you see a significant difference when you're
5 scoring at the time.

6 So that alveolitis was present, it was present in
7 mice, it was present in the original studies, so they seem
8 like a good surrogate marker of enhanced disease in Greg's
9 opinion, I guess, and that's how this got started.

10 So alveolitis had been there for a long time.
11 This is one of those unreadable slides I put here because
12 it's sort of blurry, but what you can see is that 11
13 percent of the alveolus surface in mice that receive the
14 formalin vaccine has alveolitis. This is Brian Murphy's
15 group, 1992. But if you look at RSV or you look at
16 formalin-inactivated parainfluenza vaccine, it's not
17 dramatically different. Live RSV infection will give you 6
18 or 7 percent. So it may be very sensitive, we'll go about
19 it, but it's clearly not specific. And there's a trick,
20 cotton rats have no eosinophilia so that's not going to
21 help you.

22 This is a manuscript I particularly like a lot.
23 I think it's a smart manuscript. It is work by the
24 Novartis group. This is Shaw's paper in Vaccines, 2013,
25 and the reason I like it is because this is something that

1 every one of us who worked in these models saw before. You
2 just say, well, let's clean it and keep going, but they
3 took the time to really nicely show an effect that should
4 be paid attention to.

5 What you're seeing here, in fact, this is a
6 Coomassie stain and what you're seeing, look at the
7 numbers, different vaccines that will be inoculated into
8 cotton rats. So formalin-inactivated cell lysate,
9 formalin-inactivated RSV lysate, RSV, live RSV, and
10 purified RSV or semi-purified RSV using a sucrose gradient.
11 It's interesting, the amount of protein in micrograms that
12 is present in all these preparations but the last one. So
13 you have 35 micrograms of protein in the mock and of that,
14 about a fifth, 5 of a 7th, 5 micrograms are albumin. You
15 have a little bit less in the formalin RSV, but you have a
16 lot. So you're going to be giving a lot of other things to
17 these rats in addition to your vaccine.

18 That's going to have consequences. If you look
19 at mice and rats that receive this formalin-inactivated
20 cell lysate, they do have alveolitis regardless of
21 challenging them with mock, again, or with RSV. If you use
22 the RSV vaccine and you come back with mock, you're going
23 to get significant alveolitis, moderate by these standards,
24 which is going to get a little worse with RSV. RSV is
25 going to essentially act almost as an adjuvant to the sort

1 of nonspecific protein response. I guess RSV has all these
2 pattern recognition receptor agonists that will push the
3 response or something like that.

4 Why am I saying that? Well, number one, because
5 if you purify RSV, it gets a little better, or quite much
6 better, and the vaccine substrate was never purified. They
7 didn't do formalin-inactivated purified RSV vaccination,
8 which I think would have been interesting.

9 What you see in this box is that the main driver
10 -- well, this is hard to say, you shouldn't call it a
11 driver, but the main cytokine associating with the
12 enhancement of the pathology in the lung of these rats is
13 interferon gamma production driven by the albumin. You
14 look at the second column, where it says RSV, go all the
15 way up, and you'll see that what we're saying as the worst
16 possible alveolitis, the worst possible situation, comes
17 from interferon gamma.

18 Conversely, if you use purified F protein and you
19 do the same assay, you seem to have no alveolitis, and if F
20 protein stops protecting the denature of the protein, you
21 still have no alveolitis. But if you mix those things with
22 cell substrate, you mix them with mock, you're going to get
23 into trouble. Again, you're going to see alveolitis.

24 I think beyond the issue of is alveolitis any
25 good for us to discriminate, I think there is a secondary

1 issue and it's that if you have a bad control, you're going
2 to underestimate or overestimate your vaccine over and
3 over. So a non-purified virus will lead you to think that
4 there's an incredible amount of alveolitis in the formalin-
5 inactivated RSV recipients and so I shouldn't worry because
6 I'm seeing a little bit on this other side, or if your live
7 RSV product generates enough, which it will because of
8 course it's cell-derived and you're taking it from cell
9 culture, then your vaccine may look like a negative
10 control, but it's not a negative control. There's a
11 problem with the assay.

12 Conclusions, I think I've said them all. There
13 are a lot of nonviral products in vaccines and challenge
14 virus. You need to clean it. No vaccine and mock or RSV
15 challenge will generate alveolitis. FIRSV followed by mock
16 or crude RSV generates the worst alveolitis. This
17 alveolitis seems to particularly associate with production
18 of interferon gamma driven by albumin.

19 If the inoculum is clean, F or denatured F do not
20 generate alveolitis regardless of protection. This is
21 important, the last point, because I want to go to the last
22 paper which is a paper that was recently published looking
23 at a very similar thing, alveolitis in cotton rats. I just
24 want to make a few points. What I thought would be most

1 useful was comparing these two papers and showing you again
2 how difficult it is to compare studies in the literature.

3 Everybody in the field talks about this paper and
4 everybody in the field three years ago was talking about
5 the other paper. It's interesting because what you see is
6 that F in this case does generate alveolitis and mock,
7 which are the orange arrows, I got ahead of myself, does
8 not. So mock did not elicit virtually any alveolitis in
9 this study, which was the main finding in the previous
10 paper. The F protein, pre and post, elicited alveolitis,
11 opposite of Shaw. Shaw was the first author of the
12 previous paper.

13 This was actually quite interesting to me. I
14 found this very, very informative. This, from my
15 perspective, looks like a pretty attractive antibody
16 response elicited by these adjuvants with the F proteins.
17 It looks very much, and that's I think a good example, like
18 the live attenuated measles vaccine compared to the
19 inactivated product that you see below. Remember that
20 inactivated is getting three doses, so it goes up, goes
21 down, goes up, goes down, but it should go down after
22 inactivation, after the first dose of inactivation.

23 So I thought, wow, this looks like a very good
24 response, and even though it looks like a very good
25 response, there are all these problems. The issue is, is

1 this truly showing us a problem, or is alveolitis enough
2 for us to consider that we're looking at enhanced disease?

3 A similar phenomenon occurs with dengue. The
4 dengue community has translated dengue hemorrhagic fever to
5 antibody-dependent enhancement for years, and antibody-
6 dependent enhancement does not explain the full picture of
7 dengue hemorrhagic fever, so you get into trouble if you
8 try to extrapolate. So I think alveolitis is clearly at
9 least not enough. Well, this is indifferent.

10 Large animal models, well, I think the lambs and
11 the cynomolgus macaques, are pretty attractive models. The
12 reason I'm saying that is there are some studies in lambs
13 who still need to clean your vaccine. There's formalin-
14 inactivated herpes vaccines that elicit enhanced disease in
15 lambs. So you need to be very careful about what you
16 inoculate. The fact that the animal is like 70 pounds
17 doesn't mean it's not going to get a response to your
18 substrate.

19 The cynos also did, when they used formalin-
20 inactivated measles vaccine, they got some degree of
21 disease, but Rik de Swart in the Netherlands had a study
22 that was actually quite attractive because the monkeys went
23 on to develop full-blown enhanced RSV disease and died from
24 enhanced RSV disease. That I thought was a pretty
25 interesting model that was never further explored. I think

1 there was a caveat there that the lung pathology wasn't as
2 clear or something like that, but I think they are
3 definitely attractive.

4 The cows are really what people are thinking
5 would be the most attractive thing for large animals. The
6 problem with the cows is they can look great or they can
7 not tell you much.

8 I remember the first time I ever went to an RSV
9 meeting. Ruth Karron took me to Florida in 1999, and
10 Laurel Gershwin presented a study -- I think it was 1999 --
11 where calves who were developing enhanced disease had no
12 eosinophils. None.

13 I was so excited. I said, well, that shows us
14 eosinophils are not important. Back to back, a Dutch group
15 showed calves full of eosinophils. So you see all these
16 things. So I think we really lack definitive models.

17 A few tips and I'll finish. Wait long enough to
18 challenge your animal model or you'll be fooled by steric
19 hindrance. If you do it too early, you're going to think
20 your vaccine is better than it is. Clean your positive
21 control, we said that, otherwise you will be testing dirt-
22 mediated enhanced disease.

23 You need to think mechanistically and your
24 threshold should be very low. This is, of course, I don't
25 need to say that, but think mechanistically because there's

1 no magic. This seems to be a very, very logical pathway.
2 It should have an explanation.

3 Do not rely on a single animal model to feel
4 safe. Consider more, I don't know, I think size of animals
5 makes everybody feel better. When I was doing monkeys, it
6 was so easy to publish these papers, and then you move to
7 mice, and people say, well, they're mice. So I guess if
8 you get to a bigger animal, it carries more weight.

9 Beware of your positive control. It should be
10 formalin and your negative control should be right if
11 you're going to draw any conclusion. Otherwise, you're
12 going to be fooled. Certain subjects could fare worse and
13 you know, I was actually quite interested to notice that
14 one of the children who died had bronchiolitis symptomatic
15 disease at age 3 months and then went on to develop fatal
16 disease. I have no idea what it means. It's an n of 1,
17 but it was there.

18 Enhanced disease, atypical measles, DHF, do not
19 require pandemic flu, of course. If it changes, you get a
20 new disease. Do not be falsely reassured by your human
21 seropositive studies. There is only one test. So this I
22 think is one of the critical questions and I'm glad I'm not
23 invited here to provide an answer, but I think, can you
24 rely on a mouse to test a seronegative child? I think this
25 is part of the elephant in the room, or lamb, or what

1 degree of information should you have to be confident that
2 that is fair?

3 I think the other thing is standards. I think
4 that the RSV community, we owe this to the vaccine
5 community to be able to provide more clear guidelines on
6 what we mean when we say bi-antibody or we say alveolitis
7 or we say Th2. Thank you.

8 DR. EDWARDS: Thank you, Fernando. That was a
9 tour de force. Thank you.

10 Questions? Luigi?

11 DR. NOTARANGELO: That was fascinating. I have a
12 question and a comment. In the BALB/c mouse studies, if I
13 saw correctly your slide, there were two routes of
14 immunization that were used. One was IM, the other was IN,
15 and there was a clear difference.

16 Both of them indeed result in a strong IL-4
17 production, but the IN, as opposed to the IM, was also
18 associated with an interferon gamma production, so the
19 question is, how important do you think is the route of
20 administration of the vaccine in all of these?

21 The comment is about complementing T cells. So I
22 think there is now clear evidence that anaphylatoxins can
23 induce T cell activation, and so I wonder whether this has
24 been evaluated in the context of ERD.

1 DR. POLACK: I'll answer the last one first. We
2 did in fact, years ago, publish a paper looking at C3 and
3 C5 and C3 and C5a, their role in enhanced disease. Of
4 course, in the context of a mouse model, but C3a promoted
5 the disease and C5 actually sort of inhibited the disease.
6 So not having them was actually affecting them in opposite
7 ways. Which that went in parallel to us, you say, findings
8 by Marsha Karp and others about C3 and their role with Th2
9 and even Th17 profiles.

10 As for route of inoculation, I don't have the
11 answer. I don't know. I don't know why, I mean, I looked
12 at that -- quite frankly, the first time I paid attention
13 to that was when I pasted it in my slides. So someone may
14 know, I don't know.

15 DR. KOTLOFF: I am thinking about a vaccine for
16 young infants and there are two things I'm wondering about.
17 One is I know that there are age related changes in Th1 to
18 Th2 bias in response to antigens. Two is that during, in
19 this age group, there are concomitant vaccinations that are
20 being used that could also drive that response. I'm just
21 wondering what your thoughts are about concerns we might
22 have related to those two aspects in vaccinating young
23 infants.

24 DR. POLACK: Well, let me be very honest. I don't
25 think I can provide any more insight than you can. I'd be

1 guessing. What I would say is that these two kids and most
2 of these kids were immunized quite young, 2 to 7 months.
3 That was one of the explanations for the age at which they
4 contracted RSV and these two died at 14 and 16 months, so
5 late compared to the typical epidemiology of RSV. I think
6 that's a big warning sign. Children don't die of RSV
7 disease at 14 and 16 months. So that's pretty bad.

8 But I don't think I'm the person to say -- I
9 understand what you're saying and I think it makes sense,
10 the Th2 bias certainly, but I don't think I'd be qualified
11 to predict that, quite frankly. I agree, but I don't know
12 what to add to your comment.

13 DR. TRIPP: That was a good talk. I would like to
14 talk a little bit about original antigenic sin and RSV
15 versus flu, because you know people are repeatedly infected
16 with the same and different strains with RSV, and the
17 courses are very different in RSV than the flu. Can you
18 give me some interpretation of that?

19 DR. POLACK: Well, the only thing I can tell you
20 is that the first thing we worry about -- when we did RSV,
21 the way we conceptualize the different with flu, first from
22 the vaccine standpoint, is that you're going to need the
23 same type of affinity maturation to elicit protective
24 responses for the interaction of flu with the cells.

1 Of course, we don't know it, but there's a
2 specific receptor somewhere there for RSV or CD150 for
3 measles that you need to interrupt that interaction. So
4 that's the first difference why you don't see it with
5 vaccination.

6 I guess the other is -- so there is an area
7 that's a little bit conflicting about, but what I would say
8 is when flu is not neutralizable but recognizable is when
9 you get into these problems with flu. RSV is always at
10 least partially neutralizable, I guess, but here that's all
11 I can say.

12 DR. LONG: A question about your cautious
13 inference that maybe a live attenuated vaccine could rescue
14 if there was enhanced disease related to a new RSV as it
15 did with measles. That would only potentially work if in
16 fact the first vaccine did not enhance neutralizing or did
17 not produce high neutralizing antibodies. Is that a
18 correct assumption, and it also -- go ahead.

19 DR. POLACK: So, let me answer this in two ways.
20 If you were to use -- this is something that has never been
21 even validated in animal models. So I'm talking completely
22 out of the blue. But if you were to think of something
23 like this in the context of a monoclonal antibody, let's
24 say palivizumab, you would never achieve protective titers
25 of palivizumab in your nose. So you would be covered, say,

1 conceptually up to here, and of course I would be very
2 scared, but what I'm thinking is if you get an RSV
3 infection in the community even, and you have palivizumab,
4 not only would you be protected from the problem, but you
5 would reset your response from the upper tract. That's
6 what I'm thinking. So if you were to allow a site where
7 you're not going to get into the lung and have problems,
8 that would be the idea. So that's one part of the answer.

9 As for live vaccines, well, we never faced it,
10 but I would infer from these that from a formalin-
11 inactivated standpoint, it wouldn't be a problem. That
12 vaccine never worked. So you could do it.

13 A better vaccine. Yeah, you would face perhaps
14 the type of situation that is faced with measles when you
15 have antiviral floating around. It's very difficult to
16 immunize.

17 DR. PORTNOY: I am intrigued by the importance of
18 the time between when the vaccine is given and when the
19 actual RSV infection takes place, and my question is if you
20 study a vaccine, these vaccines obviously have to be given
21 very early right after birth or within the first month in
22 order to be protective at the time when the infants are
23 most vulnerable. Is there a difference in the response if
24 it's given early and then the infection occurs within a few
25 weeks of the vaccine versus if it's three or four months

1 later, and has that been studied? How important is it the
2 difference between when the vaccine occurs and when the
3 infection takes place?

4 DR. POLACK: It may be important. It is clearly
5 important in the animal models, because you have enough
6 antibody that's not protected and but will cover the sites
7 and will lead you to think that you're protecting. So you
8 will be fooled into thinking you have a protective response
9 if you do have a transient protective response. Measles
10 did. So for a while, people didn't contract measles, and
11 then when the response waned, started developing atypical
12 measles. So yes, timing is important.

13 DR. MCINNES: Fernando, thank you very much.
14 Terrific talk. As I recall, the vaccine from the late
15 1960s study was hyper-alum adjuvanted. By many fold,
16 compared to what we look at now with alum adjuvanted
17 vaccines, and we know from other alum precipitated products
18 that it -- they in and of themselves bias to a Th2
19 response. So I think this characterization of the product
20 remains an enigma with this story, and a lot of it is
21 hearsay and people who have known people who were involved
22 in the time, and I think the sort of provenance of that is
23 still a little hazy.

24 You bring up a really interesting point about
25 characterization of the animal models, and I think this

1 speaks to the entire effort now around rigor and
2 reproducibility, and you mention BALB/c sexes and I think
3 so many companies that are providing animals are not
4 evaluating genetic background of these animals. They are
5 not being genotyped. They are -- we don't know about them,
6 and I think when you look at what is at stake here, every
7 aspect of this is going to need to be dissected apart
8 again, and there have been efforts.

9 I mean, I remember 20 years ago, we tried to have
10 standard pools of challenge virus made, you know, all these
11 efforts were made, but there were missing pieces as well,
12 and so I'm wondering what you think would be helpful in
13 trying to bring rigor and reproducibility to this next
14 effort, which has got to take place. Otherwise we'll be
15 another 50 years. I won't be here, but you know, some
16 other people will be talking about it.

17 DR. POLACK: I won't be here, either.

18 (Laughter.)

19 So, to answer the first part of your question, I
20 agree. I think many of these studies I showed had no alum,
21 but I think it's fair to say that if you look at the lungs
22 of the kids who died of enhanced disease, the main
23 infiltrating cell are neutrophils. So it's an exuberant
24 neutrophilic response.

1 In fact, until we did the staining, I had the
2 autopsy reports which Greg shared with me, and neutrophils,
3 neutrophils, neutrophils. There's almost nothing about
4 eosinophils, and I was really surprised when Jamie stained
5 the lungs and found these. So the problem with neutrophils
6 is neutrophils are common with wildtype RSV disease, too.
7 So what you can tell when you see them? Can you really
8 discriminate anything? So that's why I think they are a
9 little bit useless as a biomarker in this case.

10 But they are there. So I have no idea to what
11 extent alum contributed. I think you can get this problem
12 without alum. I'm sure, you know, there's been baculo-F,
13 baculo-G, subunit, purified, all sorts of colors of things
14 that have been used in the past, distant past, Brian's
15 group particularly did a lot of work on that, and you still
16 had similar problems. So yeah, there's a lot of noise.

17 The second, I agree. I think we need
18 particularly some standard definitions, which are
19 complicated. There may be knowledge outside the RSV field
20 that may be necessary in many cases on how -- you know,
21 it's clear that if we use a product we don't clean well, we
22 see things that resemble what we see with our mouse or we
23 get into trouble.

24 It's clear that if we challenge too early,
25 overinterpret our vaccine, and if we challenge late the --

1 well, you know. There's a million of these things. So I
2 think a concerted effort to look at each of these questions
3 and formulate how to solve them would be very -- I
4 particularly think it would be easy also for vaccine
5 companies, because there's so much at stake that you -- so
6 that's entirely one issue, and the other issue is if all
7 this is going to make enough information at the time and
8 that's another decision, to see how to make a decision on
9 these vaccines, which is actually -- it's going to be
10 essentially from an animal model to a 2-month-old baby.
11 That's what's going to be.

12 DR. GREENBERG: Given that you spoke of a number
13 of different animal models, is it your recommendation then
14 that because each model has its own uniqueness,
15 limitations, immunologic characteristics, that multiple
16 models should be used?

17 DR. POLACK: Yes, I think in part the confusion
18 comes from what I said at the beginning. I think we need
19 to know what the models can inform us about and what they
20 cannot, and see to what extent this information is enough.
21 So today I wouldn't say any model is a bona fide model you
22 can test and you're safe, use your vaccine. That's another
23 situation.

1 So the context is the cleanest possible
2 information with the most possible variables. I don't know
3 if that's achievable, but that's what I think.

4 DR. GREENBERG: You spoke also a lot about the
5 controls. In a sense, does a live attenuated RSV become an
6 essential control, or is it immaterial?

7 DR. POLACK: Well, the live infection I think is
8 approved something that's not going to generate enhanced
9 disease. So that is a good -- so you have a live RSV
10 inoculation. I think we have a lot of experience in
11 intranasal inoculations in children. There's even
12 intramuscular trials at some point. We don't see enhanced
13 disease. So this is informative, but you know, then again,
14 in the context of having the purified virus that you can
15 use, and I think there's context to every control, but you
16 do need your negative control. You do.

17 DR. EDWARDS: Thank you, Fernando. That was
18 amazing.

19 Okay, we will have a break until 11. So drink
20 your coffee quickly, and then we will be back at 11.

21 (Brief recess.)

22 **Agenda Item: FDA Presentation**

23 DR. EDWARDS: If people could take their seats, we
24 will begin the next presentation. This is by Sarah Browne,
25 a medical officer at FDA/CBER and Office of the Vaccines

1 Research and Review. Sarah will talk about the development
2 of vaccines for the prevention of RSV disease in RSV-naive
3 infants.

4 Sarah?

5 DR. BROWNE: Thank you. So I am going to speak
6 about the development of vaccines for prevention of RSV
7 disease in RSV-naive infants and try to outline the FDA
8 perspective on these issues. So the goals of my
9 presentation are to provide an overview of RSV disease in
10 prevention, a brief overview, review different RSV vaccine
11 approaches, and summarize immunologic mechanisms proposed
12 to underlie vaccine-associated enhanced disease, to review
13 recent workshops that addressed RSV vaccine development,
14 and to discuss types of supportive preclinical and human
15 data and the potential design of initial studies in RSV-
16 naive infants.

17 So as Dr. Gerber has pointed out and Dr. Roberts,
18 we know RSV infection has a great impact on the health of
19 infants and young children. Treatment is largely
20 supportive. There have been passive immunization
21 approaches that have been shown to confer protection
22 against RSV disease, namely RSV Ig preparations, RespiGam,
23 and subsequently the humanized anti-RSV F monoclonal
24 antibody, palivizumab, which is licensed for the prevention

1 of serious lower respiratory tract disease caused by RSV in
2 children at high risk of RSV disease.

3 So those observations that passive transfer of
4 antibody can confer protection really provided supported
5 for RSV vaccine development and accordingly, there are many
6 products that are currently under evaluation. Really for
7 three main target populations, adults 60 years of age and
8 older, pregnant women, and infants, which is the topic of
9 this advisory committee meeting.

10 And really with a focus on these observations of
11 enhanced disease. So clearly described by Dr. Polack as we
12 heard, these studies occurred with the administration of a
13 formalin-inactivated vaccine in infants back in the 1960s,
14 and there was not only an increase in proportion but there
15 was also an increase in the severity of severe RSV disease
16 in infants who were previously immunized with the formalin-
17 inactivated vaccine compared with those immunized with the
18 control vaccine.

19 These observations largely redirected vaccine
20 development at that point towards understanding the
21 etiologic mechanisms underlying these observations of
22 enhanced disease, which led to the potential mechanisms
23 being described as Th2-dominant cytokine responses and
24 absence of RSV-specific CD8 positive cytotoxic T
25 lymphocytes, immune complex deposition in the lungs, and a

1 low-affinity antibody response with minimal neutralizing
2 activity.

3 So in the context of evaluating vaccines in
4 animal models, a number of different protein targets have
5 been considered. The surface proteins G and F and then
6 internal proteins SH, P, N, and M2. Some of these antigens
7 have been shown to confer protection in mice, at least
8 partial protection. F and G have shown complete protection
9 in mouse models, N and M2 perhaps partial protection, and
10 then some other factors that may be protective against
11 enhanced disease such as a neutralizing antibody response
12 and production of CD8 positive RSV-specific cytotoxic T
13 lymphocytes have also been looked at.

14 The antigens of course have to be considered in
15 the context of the vaccine approach being utilized, and
16 here on this table we can see all the way to the left, the
17 FI-RSV vaccine, which was shown to clearly cause enhanced
18 respiratory disease in infants and toddlers who received
19 that vaccine in the 1960s, and then at the left side of the
20 table, what we see are the live attenuated products, which
21 have already been tested in seronegative infants, and
22 there's been no evidence so far of enhanced respiratory
23 disease in those subjects.

24 Then in the middle, it's a little bit blurrier.
25 There's the protein and the peptide subunit products.

1 There are the gene-based and vectored products. There are
2 many of these different approaches. In the case of the
3 protein and peptide subunits, some have shown enhanced
4 disease in animal models. In the case of the gene-based
5 and vectored approaches, many have not shown enhanced
6 disease in animal models.

7 But I think it's important to recognize that
8 there are many different approaches within this middle area
9 of the table in the context of many different antigens
10 being delivered, and so each of these different approaches
11 likely needs to be evaluated on a case-by-case basis.

12 So we already saw more of this figure than I'm
13 showing by Dr. Polack. But there are a couple things I
14 wanted to emphasize and point out. First you can see the
15 evidence for alveolitis as a marker for enhanced disease in
16 cotton rats after immunization and challenge with RSV, is
17 similar to the FI-RSV vaccine here. You can see it over
18 here as well.

19 This is with decreasing antigen dose. So the
20 things that I want to point out is that it's regardless of
21 the adjuvant that is being administered here, whether it's
22 Th1 or Th2 biasing, and then what you can see down here
23 where there's minimal alveolitis at the very lowest antigen
24 dose, there also didn't appear to be much vaccine take.

1 In another part of this paper, there is a figure
2 showing that higher doses, a tenfold higher dose of these
3 vaccines administered did confer protection and didn't show
4 alveolitis, suggesting that these vaccines really need to
5 be titrated to find the place where you can identify
6 enhanced disease.

7 This figure may also look familiar. This is a
8 paper suggesting that these evaluation of these animal
9 models is complicated and that cellular components alone
10 can induce alveolitis in the cotton model. So if we
11 quickly walk through it again, interestingly in this study,
12 the F protein alone, compared with the previous study, did
13 not induce alveolitis compared with no vaccine and
14 subsequent RSV challenge, and then you can see irrespective
15 of whether you formalin-inactivate the cell supernatant or
16 you don't formalin-inactivate it or you include the antigen
17 or you don't include the antigen, you can see a signal for
18 alveolitis in the cotton rat.

19 So moving on to conferences that have recently
20 occurred to discuss issues specific to RSV vaccine
21 development, there was the WHO conference in March of 2015
22 and what came out of that was a draft -- one of the things
23 that came out of that was a draft document that provided
24 the following perspectives, namely safety data in adults
25 and RSV-experienced children 12 months to 5 years of age,

1 should proceed evaluation of RSV-naive infants, that
2 studies in RSV-naive infants should extend over two seasons
3 for efficacy, cross-protection, and durability of immune
4 response.

5 And they also propose case definitions as
6 clinical endpoints for field efficacy trials for both
7 severe and very severe RSV lower respiratory tract
8 infection, and those endpoints used RT-PCR testing, SpO₂,
9 pulse oximetry, and clinical signs of respiratory distress.

10 The FDA and NIH a couple of months later
11 cosponsored another conference that delved more deeply into
12 the science of enhanced respiratory disease, and some of
13 the key concepts that emerged from that discussion included
14 no single animal model demonstrates all features of FI-RSV-
15 associated enhanced disease in infants, that a Th2 biased
16 immune response after challenge of immunized animals is
17 consistently associated with enhanced disease, that a high
18 magnitude of antibody response with poor neutralizing
19 activity may be causally related to enhanced respiratory
20 disease, that RSV-specific CD8 positive T cells mediate
21 viral clearance, but they can also mediate
22 immunopathogenesis in the setting of high viral loads and
23 low neutralizing activity of antibodies, and finally
24 although lung eosinophilia is probably not causal, as has

1 been mentioned before, it's probably a marker for a Th2
2 dominant cytokine response.

3 So here we are. We have animal models. We have
4 expert opinion, and we have new vaccine technologies. So
5 what I would like to do is walk through use of these
6 preclinical models to move into human models, ultimately to
7 support introduction of vaccine candidates into RSV-naive
8 infants.

9 So the idea is that extrapolation from animal
10 models that have been developed to understand enhanced
11 respiratory disease might be used to inform the risk of ERD
12 in humans. But there are a number of unique considerations
13 when evaluating these preclinical data, including the
14 specific animal model that is being used, the vaccine dose.
15 As we discussed on an earlier slide, that titration of the
16 vaccine may be critical to identifying a signal for
17 enhanced disease in those models. The timing of the RSV
18 challenge relative to immunization, and then fundamentally
19 establishing criteria for enhanced disease in those animal
20 models.

21 Moving into clinical studies in adults, they can
22 assess the general safety and reactogenicity of the vaccine
23 candidate, but probably cannot assess a risk for enhanced
24 disease. Human challenge models also might have utility in
25 downselecting for promising vaccine candidates and also to

1 help identify correlates of protection, and finally, it's
2 worth mentioning that immune responses in adults represent
3 a boosting of preexisting immunity and therefore may not
4 predict a protective immune response in RSV-naive infants.

5 Next to discuss RSV-experienced infants. Like
6 with adults, you can assess the reactogenicity and general
7 safety of a vaccine, but the usefulness in assessing risk
8 of ERD is less certain. Furthermore, persistence of
9 maternal antibody may inaccurately imply RSV experience,
10 although this is less likely after 6 months of age, due to
11 waning of maternal antibody in the newborn.

12 So using those data to support the potential for
13 moving into RSV-naive infants, we have some considerations
14 around this and some potential approaches to address those
15 considerations.

16 First, the risk of ERD might be higher at younger
17 ages. So studies in RSV-naive infants greater than 6
18 months of age might help to predict the risk of ERD in
19 younger infants. We know that enhanced disease was
20 observed in infants immunized at older than 6 months of age
21 in those initial studies conducted in the 1960s, suggesting
22 that if it is a phenomenon, we will be able to see it in
23 that older less vulnerable population.

24 Secondly, in this older age group, the RSV
25 serology is more likely to be reflective of true RSV

1 experience, whereas in younger infants the serology could
2 be confounded by presence of maternal antibody.

3 Next, there's the consideration that vaccine-
4 associated enhanced disease may not be clinically
5 discernible from naturally-occurring severe RSV disease.
6 So one approach to addressing this would be to simply
7 evaluate the relative risk of severe disease between the
8 vaccine arms and the control vaccine recipients.

9 Finally, the risk of ERD may increase as immunity
10 wanes, and one approach to this issue would be to follow
11 subjects past one RSV season or at least until their first
12 documented RSV infection. We know that RSV, that enhanced
13 respiratory disease can be seen in toddlers.

14 So in summary, prevention of RSV disease in
15 infants is an important public health need worldwide.
16 Observations of the FI-RSV vaccine-associated enhanced
17 disease in RSV-naive infants have presented a challenge to
18 the development of safe and effective vaccines for infants.

19 The proposed immunological mechanisms is a
20 dominant Th2 response, immune complex deposition in the
21 lungs, low affinity antibodies, and a lack of RSV-specific
22 cytotoxic T lymphocytes.

23 Animal models that can mimic some features of ERD
24 are being used to assess risk of ERD in vaccine candidates.
25 Studies in adults and RSV-experienced children might

1 provide support for those initial studies in RSV-naive
2 infants using an age-de-escalation approach. Finally, the
3 types of supportive data and study design may be product
4 specific depending on the parameters of that and the
5 theoretical risk of enhanced disease carried by that
6 approach.

7 Thank you.

8 DR. EDWARDS: I will ask the first one. I think
9 you brought up the point of the presence of maternal
10 antibody, and we know repeatedly that maternal antibody has
11 a big impact on infant responses. The complexities of
12 perhaps immunizing pregnant women with RSV and then
13 studying their -- and then immunizing babies with the
14 vaccine does seem to present some challenges. Have you
15 thought about that or what concerns might there be?

16 DR. BROWNE: I think that there certainly could be
17 concerns around those competing approaches potentially. I
18 think we know there's increasing evidence that the risk
19 period for severe disease and the morbidity associated with
20 RSV may extend past, well past the time when maternal
21 antibody is thought to be present at high enough levels to
22 be protective.

23 So in the end, they may turn out to be
24 complementary approaches. I think that under six months of
25 age, because of persistence of maternal antibody,

1 irrespective of prior vaccination or not, using an IgG
2 sero-status in that population is not going to be helpful
3 to assess risk.

4 DR. MONTO: Just taking it a bit further, I can
5 see a scenario where maternal immunization might come in
6 before we have a vaccine for children, and given the fact
7 that much of the severe disease occurs before 2 months of
8 age, a maternal immunization strategy would seem to be a
9 very good one to follow. But we are going to have a
10 situation where you're going to have some children who have
11 received the -- whose mothers will have received maternal
12 immunization and others who haven't, and this may be not
13 only an immunologic but a policy dilemma that we are in.

14 What should be done? Should they be different
15 rules or different vaccine schedules for those who have
16 been maternally immunized, whose mothers have been
17 immunized, and some who have not? So I think we are going
18 to have to think about that right now as we start moving
19 forward.

20 DR. LONG: Another consideration I think is what's
21 the primary goal? Is the primary goal to prevent the
22 relatively small number of deaths, and if that's the case,
23 that happens so early in otherwise healthy children that
24 it's hard to imagine any immunization schedule will protect
25 those children. So it may have to be a little bit more

1 like pertussis. Prevent deaths, maternal, prevent burden
2 of disease, would be a later vaccine, but they may be two
3 different strategies depending on the goal.

4 DR. GREENBERG: I am just trying to put together a
5 couple of the comments that have been made today. One is
6 you remarked that seronegative infants over 6 months of age
7 might be an intermediate step in terms of evaluation, but
8 yet the oldest child who developed severe enhanced disease
9 was 18 months of age, and I don't know what age that child
10 was immunized with the formalin-inactivated product, but
11 can you just help us understand a little bit; is 6 months
12 magical? Again, does it matter when they received
13 formalin-inactivated versus when they were exposed?

14 DR. BROWNE: Sure. So in those four studies, I
15 believe three of them, the youngest age of immunization was
16 at 6 months of age, and infants in those studies went on to
17 develop severe RSV disease after infection in an imbalanced
18 fashion, suggesting that enhanced disease can be seen in
19 older seronegative infants. Albeit the most, the strongest
20 imbalance, was in the study where the infants were between
21 2 and 7 months of age.

22 So the rates could conceivably be higher at the
23 lower end of the spectrum. I mean, I'm handwaving now, but
24 there's the immunologic immaturity of those infants, there
25 are the smaller airways, all of these things that may

1 contribute to a higher either risk or rate or severity of
2 enhanced disease as you get down into lower infants.

3 So the 6-month cutoff, I think to some degree is
4 somewhat arbitrary and maybe programmatic. It's discussed
5 as a time when we can be confident that the serological
6 status of the infant is really reflective of RSV experience
7 so we're not going to be misclassifying infants in that
8 population, and they may be at slightly less vulnerable to
9 the negative consequences of a vaccine the older that they
10 get.

11 DR. PORTNOY: I'll preface this by saying I am not
12 an infectious disease expert. I'm an immunologist. But I
13 don't know where the reservoir for RSV the virus itself
14 actually is, but we have been discussing the goal of trying
15 to protect these young infants from having severe RSV
16 disease; has there been a consideration to perhaps trying
17 to create herd immunity by widespread vaccination, perhaps
18 in adults, in other individuals, kind of like the way we
19 did with haemophilus influenzae, where it protected the
20 infants, but ultimately the carriage rate became so low
21 that the kids just weren't exposed to the infection to
22 begin with. Has there been a consideration of perhaps
23 taking that approach with RSV?

24 DR. BROWNE: I think that would be challenging,
25 because RSV infection occurs, reoccurs frequently

1 throughout life and adulthood and childhood. So
2 vaccination -- you know, that middle age of the population
3 from RSV-experienced children to young, younger adults, are
4 really not a target population for immunization because
5 they have already been infected with the sort of best,
6 repeatedly infected with the best potential vaccine, which
7 is the RSV infection itself over and over again, and they
8 still get sick. So I think that induction of herd immunity
9 may not be the best approach in that regard.

10 DR. NOTARANGELO: Well, I fully share the comment
11 about maternal immunization as being the best strategy to
12 prevent early death due to RSV, but we need to consider
13 also the seasonality of these infections. So month at
14 delivery will matter, and this is further complicating the
15 issue.

16 DR. BROWNE: Can I just point out that maternal
17 immunization at this point is certainly a very encouraging
18 or exciting possibility, but it is still yet unproven, and
19 I recall from one of Dr. Gerber's slides that there still
20 is considerable impact on health of children beyond the
21 timepoint, although the most severe disease we saw was at
22 zero to 2 months of age, that there still is a significant
23 public health impact in older infants as well when maternal
24 antibody may not be as protective.

1 DR. EDWARDS: The Hall paper basically said that
2 if you were a baby it wasn't a good idea to be born in
3 October or November. So I don't know whether we can have
4 some public health policy to sort of --

5 DR. MONTO: Which we said in the first paper we
6 wrote about RSV from our Tecumseh study.

7 (Laughter.)

8 DR. EDWARDS: Thank you very much.

9 Our next presentation will be from
10 GlaxoSmithKline by Ilse Dieussaert, who is the director and
11 lead vaccine development of maternal immunization with GSK.

12 **Agenda Item: GlaxoSmithKline Presentation**

13 DR. DIEUSSAERT: So good morning, everybody. My
14 name is Ilse Dieussaert, and I'm leading the RSV
15 development for both maternal and pediatric RSV vaccines at
16 GSK. I would like to thank the FDA and the members of the
17 advisory committee for giving us the opportunity to present
18 to you today the GSK's pediatric RSV program.

19 So what I would like to cover in the next 20, 25
20 minutes is show you some data that we have generated as
21 part of our pediatric program, and discuss some of the
22 challenges that are ahead of us and how we can potentially
23 mitigate those.

24 So before we dive into the challenges, I would
25 like to give a topline overview of our candidate product

1 profile. So the global intent is the active immunization
2 of infants for the prevention of lower respiratory tract
3 infection and illness caused by RSV. For that, we are
4 planning to vaccinate infants as early as possible in life,
5 so from 2 months onwards, with two doses of our vaccine.
6 This in coadministration with routine pediatric vaccines.

7 As vaccine composition, we have selected a
8 recombinant adenovector that is coding for three antigens,
9 the F, the N, and the M2-1. At stage of development, we
10 have completed our phase I first time in human clinical
11 trial in healthy adults, and we have launched a dose-
12 escalation study in seropositive infants. So that study is
13 currently ongoing.

14 Now there are many challenges that are ahead of
15 us, and most of them are linked to the development of
16 pediatric vaccines, new developments I would say in the
17 pediatric space. One of them is definitely the early
18 burden of disease that requires vaccination very early in
19 life, and I think we heard a little bit about this earlier
20 this morning.

21 We have an immature immune system, presence of
22 maternal antibodies, and there is this crowded pediatric
23 schedule that can lead to implementation hurdles and
24 potential interference either on the routine pediatric
25 vaccines or also on the RSV components. So all of this

1 will need to be evaluated very carefully during the
2 development.

3 Now, there is one challenge that is really unique
4 to the development of RSV pediatric vaccines, that that is
5 the history of the enhanced disease that we heard about
6 this morning. Now the history of enhanced disease has
7 impacted the overall development at many different stages,
8 and what I would like to do with the rest of the
9 presentation is select three of those and go in a little
10 bit more detail. So I would like to talk about the vaccine
11 candidate selection, the preclinical assessment, and the
12 clinical development.

13 Now the first stage that is heavily impacted by
14 enhanced respiratory disease is the selection of the
15 candidate vaccine, and this happens very early in the
16 development. Now GSK has selected a chimpanzee adenovirus
17 155 to mitigate the risk of enhanced respiratory disease.
18 So for the rest of the presentation, I'll refer to our lead
19 candidate as ChAd155. So the ChAd155 vector is a non-
20 replicative adenovector that is coding for three antigens,
21 the F, the N, and the M2-1.

22 We believe the adenovector to be the right
23 toolbox to develop in this target population, as it can
24 induce the appropriate immune response. We have this
25 intracellular expression of the RSV antigens, as is with

1 live RSV, and we have an induction of a more Th1 induced
2 immune response or at least a more balanced Th2/Th1
3 response. We believe that the vector is capable of
4 controlling viral replication by inducing neutralizing
5 antibodies. That is triggered mainly by the F antigen in
6 the vector, and by induction of CD8 T cells to clear
7 infected cells, driven by the three antigens, and mainly
8 also by the N and the M2-1, who are internal antigens.

9 Now the second stage that is really impacted is
10 the preclinical assessment, and we heard quite a bit about
11 it already this morning. Now GSK has generated a large
12 comprehensive dataset in small and large animals, because
13 there is not one single animal that can adequately predict
14 the risk of vaccine-related enhanced respiratory disease in
15 humans.

16 Now as you can see from the table, we have
17 evaluated different animal models. So the mouse model, the
18 cotton rat, and calf, and they all have their advantages
19 and disadvantages, but they all bring complementary
20 information, and it's really bringing the results together
21 from all these different animal models, and when the
22 results are consistently pointing in the same direction
23 that the vaccine does not show any sign of induction of
24 enhanced respiratory disease, that you can be confident and

1 reassured to move forward in the next stage of your
2 program.

3 So what I would like to do is go through each
4 model and show you some data that we have generated as part
5 of the program.

6 So the first model is the rodent model. So the
7 mouse and the cotton rat. So these are semipermissive
8 models and they require high challenge dosages. They do
9 not reproduce clinical signs of lower respiratory tract
10 disease but are commonly used for the evaluation of
11 enhanced pathology by the use of surrogate markers. So for
12 mouse and cotton rats, you can look at viral reduction
13 post-challenge in the lungs. You can look at Th2/Th1
14 balance in the mouse model, in the mouse model lung
15 histopathology looking at goblet cells or eosinophil
16 infiltration in the lungs. For cotton rat, you would
17 typically look at the alveolitis scores.

18 Now the animals are vaccinated with two doses of
19 our vaccine, three or four weeks apart depending on the
20 animal model, and then we challenge them. It's a
21 homologous challenge with human RSV either two or three
22 weeks after the last vaccine dose.

23 The groups we have evaluated in these experiments
24 obviously is our candidate vaccine, ChAd155, the FI-RSV
25 group, which is considered to be the benchmark for enhanced

1 pathology, live RSV, which is considered to be protective
2 and not to induce enhanced pathology, and a placebo group.

3 So in the first set of results I show you coming
4 from the mouse model, prior to the challenge -- so you can
5 look at some immunological parameters such as T cell
6 responses and functional antibodies that are induced by the
7 vaccine, in panel A I'm showing you the vaccine induced CD8
8 T cell responses circulating in the blood. So you can
9 clearly see that the ChAd155 vector -- and this is the
10 first group in panel A, is inducing an M2-1 specific CD8 T
11 cell response after challenge, while the other groups that
12 are tested do not.

13 In the second panel, panel B, I show you the
14 neutralizing responses. Again, you can see that the
15 ChAd155 vector is able to induce neutralizing responses,
16 which are about in the same range as the neutralizing
17 responses induced by the animals that were vaccinated with
18 live RSV vaccine.

19 Now the overall level of the neutralizing
20 response, as I like to point out, is low. However, if you
21 challenge the animals, you can see -- and these are the
22 results that I show you in panel C, you can see that the
23 animals in the ChAd155 group and also in the live RSV group
24 have a complete reduction of viral replication in the

1 lungs, while this is not the case with the FI-RSV
2 vaccinated animals.

3 So already from this first dataset you can see
4 that the ChAd155 vector is behaving differently from the
5 FI-RSV vaccine. Now that is not enough to exclude that the
6 vaccine could induce enhanced pathology. For that we need
7 to look at other markers.

8 Now the results I show you here are post-
9 challenge in the lungs. So post-challenge, one of the
10 hallmarks you can use for enhanced pathology is the Th2/Th1
11 ratio. So we know that in animals that were vaccinated
12 with FI-RSV, upon challenge you have a skewing of the
13 immune response toward a Th2 bias. So it is associated
14 with CD4 Th2 bias. These results are consistent with what
15 I show you in panel A. You can see the FI-RSV group, which
16 is the second group on the graph, has a skewing of the
17 immune response toward Th2 bias, while this is absolutely
18 not the case for the ChAd155 or the live RSV vaccinated
19 animals. You clearly see a Th1 bias then.

20 Now in addition to the Th1 bias, the ChAd155
21 vector is also able to induce interferon gamma CD8 T cell
22 responses, and these are the results that are shown in
23 panel B. So in panel B, you can see that the ChAd155
24 vector as does live RSV is able to induce interferon gamma
25 CD8 T cell responses post-challenge.

1 Now another hallmark for enhanced pathology is
2 looking at mucus-producing cells or eosinophil infiltration
3 in the lung, and these are the results that are given in
4 panel C. So in the first graph, I show you the mucus-
5 producing cells, and you can see that the ChAd155 vector is
6 producing significantly lower levels of mucus-producing
7 cells than does the animals that are vaccinated with FI-
8 RSV. The same pattern you can see in the eosinophil
9 infiltration in the lung. The ChAd155 vector is having
10 lower, significantly lower, levels of eosinophil
11 infiltration in the lung when you compare into the FI-RSV
12 group.

13 I forgot to mention that for the Th2/Th1 ratio,
14 we used as Th2 marker the IL-13 and for Th1 interferon
15 gamma.

16 Now if you put all the results together from the
17 mouse model, at least in this model the vector is not --
18 our lead candidate is not inducing any sign of enhanced
19 pathology.

20 Now the next slide I show you some results in
21 cotton rats. So the results here is a dose ranging study
22 that we performed in cotton rats. So we have lowered our
23 dosage of the vector up to the level where we found some
24 viral replication occurring in the lungs post-challenge.

1 So we started with the highest dose, 5×10^7 , and
2 we went down to 1×10^6 , and you can see that in the last
3 two groups -- and that is the result that is shown in panel
4 A, that in the last two groups you see some viral
5 replication occurring in the lungs after challenge.
6 Nevertheless, if you vaccinate -- if you challenge the
7 animals, and these are the results I will show you in panel
8 B. When we look at the alveolitis scores, the alveolitis
9 scores for all groups in the ChAd155 vector have
10 significantly lower levels of alveolitis scores than the
11 animals in the FI-RSV group.

12 So even despite some viral replication occurring
13 in the lungs post challenge for more suboptimal dosages of
14 our vector, it still does not induce any sign of enhanced
15 pathology.

16 Now the last model I would like to discuss is the
17 calf model. The calf model has proven to be an effective
18 model for the detection of enhanced respiratory disease.
19 It shows high similarities in the epidemiology and the
20 pathogenesis of bovine RSV in calves and human RSV in
21 infants. It has a high level of genetic and antigenic
22 similarity between bovine and human RSV.

23 Interesting in this model is that it is fully
24 permissive for bovine RSV. So you only need low challenge
25 dosages that are required, and this is contrary to the two

1 other models where you need these high challenge doses. So
2 you really mimic natural infection and natural progression
3 of disease. This is also a unique disease model where you
4 can directly measure clinical signs, and you do not only
5 depend on surrogate markers as it is in mouse and cotton
6 rats.

7 So the study design of these experiments, you
8 have young calves of six weeks old, and they are vaccinated
9 with two doses of our vaccine. Here we used a dosage 5
10 10^{10} . This is the highest dosage that we use currently in
11 our human trials.

12 After vaccination, we challenged the animals, and
13 here in the results, I will show you we have used two
14 different challenge timings. So some of the animals were
15 challenged one month after the last vaccine dose and other
16 animals were challenged four months after the last vaccine
17 dose, and we did that because we wanted to evaluate the
18 impact of waning immunity before challenging and see
19 whether the animals were still protected.

20 Now after the challenge, so after infection, we
21 closely -- we intensively monitor these animals for 12 days
22 post-infection. We daily look for clinical signs. We take
23 nasal swabs and bowel samples for viral load. We look at
24 inflammation, and after the 12 days post-infection, we

1 sacrifice the animals and collect the lungs and perform
2 gross examination and histology.

3 So the results I will show you here are the
4 groups evaluated are our lead candidate and a placebo
5 group. So here I show you the clinical signs that we have
6 generated in this model. So I show you fever, general
7 illness, and respiratory rate, which is a benchmark of
8 lower respiratory tract disease.

9 Now the red lines are the placebo groups. So we
10 have two placebo groups according to the two vaccine
11 challenge regimens I should say, and the black lines -- so
12 there are two black lines -- are the animals that have been
13 challenged according to the two different challenge
14 regimens, either one month or four months post last dose.

15 You can see that there is a peak occurring around
16 day 7, day 9, in the placebo group, and this is the case
17 for fever which is shown in panel A, for general illness
18 and panel B, are the respiratory rate and panel C. This
19 peak is absent in the animals that were vaccinated with our
20 ChAd155, suggesting that they are protected against the
21 clinical signs and clinical manifestations.

22 We looked at the viral load, and again, here, the
23 same picture. So the red bars are the placebo groups
24 according to the two different challenge regimens. The
25 black bars are the ChAd155 vaccinated animals, and you can

1 clearly see that again a peak occurring at day 7, 6, 7, 8,
2 in the placebo groups, and significant reduction of the
3 virus load in both cases, either we look in panel A in the
4 bowel samples or we look at the nasopharyngeal samples. We
5 have significant reduction of the viral load in the animals
6 that were vaccinated with the vector.

7 If we look at lung histopathology, again the
8 same. We have significant reduction of the consolidated
9 lung area in the ChAd155 vaccinated animals. This is also
10 true for the alveolitis score, a significant reduction in
11 the alveolitis score in the ChAd155 vaccinated animals
12 compared to the placebo group.

13 Now in this model we have also evaluated
14 neutralizing responses. So this looks a bit complicated
15 because we have challenged all the animals at the same day,
16 but as I said before, we wanted to evaluate the challenge
17 according to two different timepoints, either one month
18 after the last dose or four.

19 So we have vaccinated the animals at different
20 timepoints prior to the challenge. So the blue arrows are
21 the animals that have been vaccinated four months prior to
22 the challenge, and the green arrows are the animals
23 vaccinated with the two doses one month prior to the
24 challenge.

1 Now the kinetics of the immune response at least
2 in neutralizing response is the same for the two groups.
3 You can see that the first dose only gives you a marginal
4 increase in the neutralizing responses. It's really the
5 second dose that is boosting the neutralizing responses up
6 to high levels.

7 Now in the group, as you can see, that has been
8 vaccinated four months prior to the challenge, you do see
9 waning immunity. You do see the neutralizing responses go
10 down. But what is interesting is when we challenge the
11 animals and they all have been challenged at the same day,
12 whatever the group and whatever despite the waning
13 immunity, we see an anamnestic response. So I think it is
14 interesting to address this is that even with a delayed
15 challenge, you do see anamnestic response in the animals
16 despite waning immunity up to the same levels as the group
17 who was only challenged one month after the last dose.

18 Now what are the key messages? Yes, we have
19 generated a very comprehensive dataset. This was a
20 snapshot of the results that we have generated, and we have
21 used different animal models to do that. We used mouse,
22 cotton rat, and the calves, and again, as I said before,
23 they all have their advantages and disadvantages, but they
24 all bring complementary information, and the power thing of

1 using different models is when all results point toward the
2 same direction, that you can be reassured to move forward.

3 I want to point out that we felt that the calf
4 model was of particular interest because it's the only
5 model that can have a direct measurement of clinical
6 manifestations of lower respiratory tract disease. So that
7 was for us an additional comfort to use that model before
8 moving into the clinic.

9 Now the third stage that has been heavily
10 impacted is the clinical development. So this is a topline
11 overview of GSK's clinical development plan, and as you can
12 see, it contains many steps, starting with the preclinical
13 package we just discussed and then moving into first time
14 in human phase I study in healthy adults.

15 So we have completed the first two steps of the
16 development and we are currently running our first trial in
17 toddlers older than 12 months old in seropositive infants,
18 and I would like to point out here that infants were
19 screened for seropositivity.

20 Now once you generate data in seropositives, you
21 can further age deescalate into the 6-month-old
22 seronegative infants to finally reach the target population
23 of 2-months-old RSV-naive infants before launching a phase
24 III, which is a huge undertaking and where you will expose
25 large number of subjects.

1 Now as we gradually age deescalate from a less
2 vulnerable to a more vulnerable population to the
3 consequences of enhanced respiratory disease, we do
4 increase the confidence and the safety profile of the
5 vaccine with every step we take. Important to note also is
6 that we have set up an independent data monitoring
7 committee or a data safety monitoring board. It's
8 basically the same thing. With members that have committed
9 to stay with us as from the first trial in seropositive
10 infants up to the end of phase III, and we really wanted to
11 establish that in order to have a consistent overview of
12 the safety profile of our vaccine. So that was an
13 important safety parameter that we put in place.

14 Now what does it take finally to get into those
15 RSV-naive infants? So I said before, you need to have the
16 convincing preclinical dataset. Then move forward into the
17 first time in healthy adults before moving into the
18 seropositive population, and said before, infants are
19 screened in this first seropositive study. Since all
20 subjects in this population have been previously primed,
21 they are considered to be at low risk for enhanced
22 respiratory disease.

23 So this is the population where we can test the
24 highest dose levels of our vaccine where we can look at
25 safety and tolerability of our vaccine, where we can

1 potentially deselect some of our vaccine dosages before we
2 move into a more vulnerable population. We can look at
3 immunogenicity, but then I think we all agree that this
4 will not be truly representative of what we may expect when
5 we go into the target population when the truly RSV-naive
6 infants. Nevertheless, it can give you an idea of your
7 vaccine take.

8 Again, important to point out, there is an
9 independent data monitoring committee that has been set in
10 place and doing the safety oversight independently from the
11 company.

12 Now once you generate data in a safety data
13 tolerability data in the seropositive population, you can
14 then further age deescalate. We are doing it in two stages
15 in healthy full-term infants, naive infants, first in the 6
16 months old, which is already closer to the target
17 population, but still less vulnerable to the consequences
18 of enhanced disease. And then later on in the 2 months
19 old, and this is the first time you will give the vaccine
20 in coadministration with routine pediatric vaccines, and
21 also in potentially the presence of maternal antibodies.
22 So again, this is why we have this careful age de-
23 escalation.

24 Now whatever the study, clinical studies must be
25 conducted with maximum care. It has to be done in settings

1 with availability of advanced medical care but also with
2 accessibility to that medical care. We have set up active
3 surveillance for RSV infection identification, and we will
4 be closely looking up to the progression of disease in
5 these first studies.

6 We will document the clinical parameters of RSV
7 disease to see whether we can detect difference in patterns
8 on severity. We keep 1:1 randomization throughout the
9 program. We follow up the infants for two years in phase
10 II, and we have decided to use the WHO case definition that
11 was referred to earlier today.

12 This is where we will measure the immune
13 response, see how our vaccine is performing in terms of
14 immunogenicity, and so all these steps have to be put in
15 place. So we have to maximize the potential to de-risk
16 enhanced respiratory disease before we launch large phase
17 III studies, and it is this delicate balance between how
18 many subjects, how many volunteers do we need to expose
19 prior to phase III so that we can conclude on the risk of
20 enhanced disease and not overexpose them.

21 So in conclusions and key messages. So GSK's
22 primary goal is to ensure the maximum safety of the
23 subjects in every single step in the development. It is
24 all about patient safety first. So yes, the risks of
25 enhanced disease are there, but there is potential to

1 mitigate that risk at different stages in the program,
2 either the risk through the vaccine candidate selection,
3 that should be designed to elicit appropriate immune
4 response, or the preclinical assessment and generating a
5 large dataset in the relevant animal models, and then
6 definitely the clinical development where there is a
7 careful age de-escalation and intensive disease monitoring
8 and also putting in place independent data monitoring
9 committees to do the oversight of safety.

10 For the GSK asset, the current preclinical
11 package does not show any evidence that the vaccine would
12 induce enhanced respiratory disease, and the approach that
13 we propose is quite conservative in the clinical overview,
14 but it will increase our confidence as we go along.

15 So together we believe that this approach is
16 supporting the evaluation of our ChAd155 vector in the RSV-
17 naive infants.

18 Thank you.

19 DR. EDWARDS: Thank you very much. It's open for
20 questions. Yes, Ruth?

21 DR. LYNFIELD: I was wondering if you could
22 describe what is involved in the active surveillance of the
23 vaccinated children to be looking for disease.

24 DR. DIEUSSAERT: Yes, so in these first trials, we
25 will actually take swabs every -- I don't know where the

1 question came from; oh, sorry -- we will take nasal swabs
2 every month and then we will follow; we will document all
3 clinical parameters, follow up the infants very closely,
4 and see how they or not progress in disease severity. So
5 it is really even identification of RSV infection in these
6 very first trials.

7 Now this is not something that we will be doing
8 later on, but --

9 DR. SAWYER: At the risk of asking an
10 immunologically naive question, since the biggest burden of
11 illness is in very young infants, is it clear that we
12 cannot immunize at birth? Why do you -- why have you
13 decided to immunize at 2 months?

14 DR. DIEUSSAERT: Yes, I think that's a really good
15 question. We have talked about that. For the moment, I
16 think we stick to the 2 months old. I think it is probably
17 possible. I think it would be more wise to have some level
18 of efficacy maybe in older children before really going
19 down to the neonates I would say, but it's not that we
20 close the door for that. It's definitely something that we
21 can evaluate, but maybe not at this very early stage of
22 this program.

23 DR. LONG: Not knowing anything about the kinetics
24 of the cellular response versus the neutralizing antibody
25 response, et cetera, I was impressed in the calf that there

1 are no neutralizing antibodies after one month. Is there
2 any concern that within the month after the first dose of
3 this vaccine, maybe also thinking we don't know everything
4 about enhanced disease, that that might be a time in which
5 there might be enhanced disease?

6 DR. DIEUSSAERT: Between month 1, month 2?

7 DR. LONG: Zero and 1. When you don't yet have
8 neutralizing antibodies but do have something related to
9 vaccine.

10 DR. DIEUSSAERT: What I have not shown, because I
11 had to make a selection of data that we have generated, we
12 did do the calf model with the one dose vaccine. So with
13 the one dose schedule, and we saw also that the animals
14 were protected. So despite the fact that the neutralizing
15 response is almost non-existing after the first dose, the
16 animals are protected. But I haven't shown these results,
17 because I had to make some selection, I'd say.

18 DR. EDWARDS: Two questions. First of all, could
19 you just remind us the structure of the F protein that you
20 are using in the vaccine? Then secondly, whether you could
21 tell us a little bit more about the immune profiling that
22 you are planning on doing in the infants?

23 DR. DIEUSSAERT: So, the F protein is a post-F,
24 because it has been deleted from -- its transmembrane

1 region has been deleted, secreted. So it probably will
2 switch into an F, post-F fusion.

3 The cytokine profiling. So in the earlier
4 trials, we are going to do the best effort we can to
5 characterize the immune response at the level of Th2/Th1
6 ratio. We do acknowledge that it is a challenge in these
7 very young infants to get the necessary blood volumes, to
8 get samples prepared at the sites. I mean, that is a
9 challenge on its own, and the interpretation of the results
10 might probably also be a challenge. But we will definitely
11 try to do this in these very trials. That is our plan.

12 DR. MCINNES: Thank you very much. I have a few
13 questions. What is the route of administration, please?

14 DR. DIEUSSAERT: Intramuscular.

15 DR. MCINNES: You said you had a lot of data that
16 you had to choose from to show us. So I'm curious, and I
17 really only have the calf data to look at in terms of
18 kinetics of the response, but it looks like a particular
19 dose concentration was selected, and I'm wondering how --
20 how much higher can you drive this titer with different
21 dose concentration at different regimen? How did you get
22 to this selection?

23 DR. DIEUSSAERT: To 5×10^{10} ? In calf, I'm looking
24 at, we have not done any dose ranging. So it was -- we had
25 another vector before, which was called the PanAd3, in

1 which they did dose selection, too. So we actually took --
2 we looked at the dosages that were tested there and we will
3 be doing a full dose ranging in infants. I think it is
4 really difficult to predict from animal models which dosage
5 should be used in infants. So for safety reasons, we just
6 took the highest dose in the calf model to look at safety
7 parameters, but we will definitely be doing three different
8 dosages in infants, too. So I probably have the answer for
9 you in a couple of years.

10 DR. MCINNES: So, by dosages you mean dose
11 concentration, not number of doses?

12 DR. DIEUSSAERT: You mean, you were thinking about
13 one or two doses, or no?

14 DR. MCINNES: No, I was really interested in how
15 you selected what you did for your calf.

16 Then my third question is can you talk a little
17 bit about your neutralization assay?

18 DR. DIEUSSAERT: It is done on Vero cells, and I'm
19 not sure exactly what you --

20 DR. STEFF: I am Ann-Muriel Steff from GSK. I am
21 working in the preclinical development of RSV pediatric
22 vaccine. So our neutralization assay is a plaque reduction
23 assay using RSV-A and Vero cells and revealed by
24 immunofluorescence with anti-RSV antibodies.

1 DR. DIEUSSAERT: There is a standardization
2 ongoing in collaboration with PATH that we are
3 participating to as well.

4 DR. NOTARANGELO: Just a comment about immune
5 profiling of the infants. I understand it is a challenge,
6 given the young age of the infants and the volume of blood
7 you can obtain.

8 There is some concern about measuring of course
9 interferon gamma and IL-13 production in lymphocytes and
10 circulating peripheral blood lymphocytes may not be
11 representative of the situation in target organs. Perhaps
12 one could give consideration to alternatives for interferon
13 gamma, a much better indicator as shown by a number of
14 studies that have to do with in situ production of
15 interferon gamma in target tissues would be CXCL9
16 measurement. So targets of interferon gamma and likewise
17 similar things could be done for the Th2 response.

18 DR. LONG: A question about the specificity of a
19 Th1 response when you're giving concurrent antigen. So we
20 give acellular pertussis vaccines and probably would with
21 this vaccine. Did you consider in -- and it drives a Th2
22 response, no question about it. So it's a question of is
23 the host set up to give a Th2 response even if you give
24 them a vaccine that wants to make a Th1 response? Did you

1 in any of your studies try to use concurrent acellular
2 pertussis vaccine when studying the bias of the responses?

3 DR. DIEUSSAERT: No, we did not do that, no.

4 DR. WHARTON: Have you looked at the formalin-
5 inactivated vaccine in the calf model to make sure that the
6 enhanced disease is detectable?

7 DR. DIEUSSAERT: Yes, we did. So as you may know,
8 the preparation of the batches of formalin-inactivated RSV
9 is very variable, and it does not always bring consistently
10 the signals of enhanced respiratory disease. So we did
11 prepare bovine FI-RSV, and we tested that, included that
12 group in an earlier experiment that we did in calves, and
13 the animals that were vaccinated in that group did not show
14 any signs of enhanced respiratory disease.

15 Now, in that particular experiment, we had
16 another candidate vaccine that we evaluated, and in
17 animals, some of the animals vaccinated with that
18 particular candidate vaccine, we did see signs of enhanced
19 respiratory disease. So it would really suggest that the
20 model is able to pick it up when it is occurring.

21 DR. JANES: Potentially very naive question that
22 potentially also could be fielded by Dr. Brown as well. So
23 pertinent to the early clinical studies in the seronegative
24 infants, so I understand that challenge studies have been
25 done in adults, and I'm wondering have challenge studies

1 been considered in the infant population, or is there an
2 obvious reason why they would not be appropriate? I'm
3 wondering if it would provide for more safety monitoring of
4 these infants that they could be monitored more closely for
5 severe disease, and would potentially need to enroll many
6 fewer subjects?

7 DR. DIEUSSAERT: It is a good point, but we have
8 not considered that to do.

9 DR. EDWARDS: To Holly's point, also would --
10 again, challenging is always a problem in little babies,
11 but what about using the live attenuated as a way to look
12 at safety before they would be exposed to the wildtype
13 virus? Again, it would involve collaboration between
14 several companies, which -- but again, is that something
15 that might be an extra measure of safety that could be
16 looked at?

17 DR. SAWYER: So related to that, how are you
18 planning to handle your naive 2-month-old studies with
19 regard to seasonality? Have you thought of immunizing them
20 first in a non-RSV season to see how they do and then
21 moving to the appropriate season?

22 DR. DIEUSSAERT: Yes, so in the very first, we
23 were planning to vaccinate before the season and then run
24 them through the season with the intensive monitoring that
25 we set up in place. Now when once we come to phase III and

1 the risked enhanced disease, probably we will be
2 vaccinating all year round.

3 DR. EDWARDS: Thank you very much.

4 So we will now have a lunch break, and we will
5 return at 1:15. Thank you.

6 (Luncheon recess.)

7

1 **Afternoon Session**

2 **Agenda Item: Open Public Hearing**

3 DR. EDWARDS: We're going to begin with the open
4 public hearing. I would like to read some general overview
5 of the open public hearing announcement, for particular
6 matters of general applicability.

7 Welcome to the open public hearing session.
8 Please note that both the FDA and the public believe in a
9 transparent process for information gathering and decision-
10 making. To ensure such transparency at the open public
11 hearing section of the advisory committee meeting, FDA
12 believes it's important to understand the context of
13 individuals' presentations.

14 For this reason the FDA encourages you, the open
15 public hearing speaker, at the beginning of your written or
16 oral statements, to advise the committee of any financial
17 relationship that you have with the sponsor, its product,
18 and if known, its direct competitors. For example, this
19 financial information may include the sponsor's payment of
20 your travel, lodging, or other expenses in connection with
21 the meeting.

22 Likewise, FDA encourages you at the beginning of
23 your statement to advise the committee if you do not have
24 any such financial relationships. If you choose not to
25 address this issue of financial relationships at the

1 beginning of your statement, it will not preclude you from
2 speaking.

3 We have two individuals who have declared that
4 they would like to speak in the open public hearing, and
5 then also if there are any others who would like to speak
6 then please come to the microphone. And then after the
7 open public hearing we will then have the presentation from
8 Janssen.

9 So the first individual who had registered to
10 speak at the open public hearing is Dr. Megan Polanin,
11 senior fellow at the National Center for Health Research.

12 DR. POLANIN: Thank you for the opportunity to
13 speak today. My name is Dr. Megan Polanin. I am a senior
14 fellow at the National Center for Health Research, and I
15 previously trained at Johns Hopkins University School of
16 Medicine. Our research center analyzes scientific and
17 medical data and provides objective health information to
18 patients, providers, and policymakers. We do not accept
19 funding from industry. So I have no conflicts of interest.

20 We fully support the development of new vaccines
21 to prevent RSV disease in seronegative infants. The
22 recommendations this advisory committee makes will likely
23 affect the progression of current and future vaccine
24 candidates, and ultimately the safety of these products for
25 infants in very early stages of development.

1 We urge the FDA to recommend extreme caution and
2 not test these vaccines in children before there is a
3 reasonable level of certainty regarding the product's
4 safety. The World Health Organization recommended that the
5 safety and efficacy of vaccines must first be determined in
6 healthy adults and then individuals who have experienced
7 RSV, before testing in infants who have never experienced
8 RSV.

9 The FDA and NIH recommended that in order to test
10 vaccines in seronegative infants, virus replication and
11 reactogenicity profiles must be acceptable. We agree. We
12 strongly encourage this committee to determine the specific
13 profiles that are deemed safe.

14 Currently the methods for testing RSV vaccines
15 are limited. Preclinical studies using animal models offer
16 preliminary evidence of effectiveness and safety to inform
17 the appropriateness of introducing vaccines in humans, but
18 cannot completely predict either in humans.

19 Clinical trials in adults can be helpful, however
20 adults have likely previously experienced multiple RSV
21 infections and adults also have the advantage of a more
22 mature immune system. Although clinical trials in
23 seropositive children can provide information about vaccine
24 reactogenicity, such studies are not conclusive regarding
25 the risk of ERD in seronegative infants. Due to the

1 significant limitations, once vaccines are deemed
2 sufficiently safe to test in seronegative infants, it will
3 also be important to conduct long-term studies of infants
4 in order to determine whether the vaccine protects infants
5 and young children through a critical period of
6 development.

7 The World Health Organization recommended that
8 follow-up for clinical studies should continue through two
9 RSV seasons in order to gather more data regarding efficacy
10 and endurance of the vaccine. We agree. Such long-term
11 studies are absolutely crucial to ensure that the vaccine
12 has not caused long-term health effects that would
13 otherwise go unrecognized.

14 In addition, all infants 6 months or younger are
15 recommended to receive at least five other vaccinations.
16 Thus testing of this particular vaccine should consider how
17 it might interact with other routine immunizations.

18 Like any public health strategy, a vaccine's
19 risks must be weighed against its benefits. Given all the
20 mistrust of vaccines in a substantial minority of Americans
21 it is especially important to determine how effective the
22 vaccine is at preventing RSV and for how long. If the
23 vaccine does not protect infants and young children through
24 a vulnerable period of development or contributes to
25 negative side effects such as ERD, then the risks of this

1 vaccine are too high. Given that there have been
2 significant issues with past RSV vaccines, the FDA should
3 ensure that they do not recommend this vaccine prematurely,
4 especially for such a vulnerable population.

5 In summary, we strongly urge this advisory
6 committee to prioritize patient safety and urge the FDA to
7 establish high standards for preclinical and clinical
8 studies.

9 Thank you.

10 DR. EDWARDS: Thank you. The second open public
11 hearing registered speaker is Dr. Ruth Karron, professor of
12 international health and director of the center for
13 immunization research at Johns Hopkins Vaccine Initiative
14 at the Bloomberg School of Public Health.

15 DR. KARRON: Thank you for giving me the
16 opportunity to speak on this topic. I'm Ruth Karron, and
17 I'm a pediatrician virologist, clinical investigator,
18 professor at Johns Hopkins. I've served as both a member
19 and chair of VRBPAC, and I've been conducting clinical
20 trials of RSV vaccines in RSV-naive children for over
21 twenty years. My university receives funding from NIH for
22 clinical trials of live attenuated vaccines developed by
23 the Laboratory of Infectious Diseases, and I've served as a
24 scientific advisory board member for Regeneron.

1 I'd like to offer a few observations about RSV
2 vaccines to be administered to RSV-naive children. First
3 is that we need these vaccines. Passive protection via
4 maternal immunization or administration of extended half-
5 life monoclonal antibodies may protect infants against RSV
6 during the first few months of life, but there's
7 substantial illness in older infants and young children.

8 A new IMPRESS estimate of the global burden of
9 RSV shows that worldwide more than 80 percent of RSV acute
10 lower respiratory tract illness and more than half of all
11 RSV in-hospital deaths occur in infants over 6 months of
12 age. So active immunization of RSV-naive infants and
13 children is critical for addressing RSV disease.

14 Second, despite the substantial burden, there is
15 no low-, middle-, or high-income country where the risk to
16 young infants of severe RSV disease or death is as great as
17 the risk that was posed by the formalin-inactivated RSV
18 vaccine. As you've heard earlier today in the study by Kim
19 and colleagues of FI-RSV administered to 2- to 7-month-old
20 infants, 80 percent of those who received FI-RSV and
21 experienced RSV infection were hospitalized, and 10 percent
22 of the RSV-infected infants died. Again, at 14 and 16
23 months of age, as long as 11 months after vaccination.

24 Prior studies of FI-RSV in RSV-experienced older
25 children did not predict this outcome, suggesting that a

1 stepwise progression in a clinical trial from RSV-
2 experienced to RSV-naive will not diminish the risk.

3 Third, despite years of research by multiple
4 groups, there is no single animal model, biomarker, or
5 immune response characteristic that can reliably ensure
6 that enhanced RSV disease, ERD, will not occur. So as we
7 look to RSV vaccines of the future, how do we mitigate the
8 risk of ERD?

9 The first answer is that some types of RSV
10 vaccines are not associated with ERD. Experimental live-
11 attenuated RSV vaccines have been administered to many
12 hundreds of RSV-naive infants, some as young as 1 month of
13 age, with no evidence of ERD.

14 RSV vaccines based on parainfluenza virus
15 vectored RSV-F protein have also been administered to small
16 numbers of RSV- and paraflu-negative children, naive
17 children, also with no evidence of ERD. There are a number
18 of these live-attenuated RSV- and PIV-vectored vaccines in
19 development, both at the NIH and at pharmaceutical
20 companies.

21 However, for other types of vaccines we do need
22 to think about the possibility of ERD. This is
23 particularly true for subunit vaccines and is also
24 something we need to consider for vectored vaccines and
25 nucleic acid vaccines.

1 Small animal models of ERD, particularly the
2 cotton rat model, as you heard earlier today, have been
3 used to assess risk for potential vaccine candidates. In
4 that context a recent study mentioned earlier by Schneider,
5 Oram, and colleagues, is sobering and raises some
6 particular concerns. That study showed that alveolitis,
7 one potential marker for ERD in the cotton rat model,
8 occurred regardless of RSV F glycoprotein antigen
9 conformation, with highly purified, presumably dirt-free,
10 high-quality, genetically stabilized prefusion and post-
11 fusion RSV F-antigen, regardless of whether Th1 or Th2
12 response-promoting adjuvants were used -- GLA-SE or alum --
13 and in the presence of high titers of neutralizing
14 antibodies.

15 Alveolitis was only observed when lower doses of
16 RSV-F were used to mimic waning immunity. These results
17 suggest two conclusions. First, that for an RSV subunit
18 vaccine, there is no obvious antigenic conformation,
19 adjuvant, or type of immune response that will mitigate
20 risk, at least as observed in the cotton rat model.

21 And secondly, that this model itself can produce
22 false negative results, that is, absence of alveolitis, if
23 sufficient dose ranging and time interval between
24 vaccination and challenge are not used. For this reason my

1 own view is that RSV-F subunit vaccines present
2 unacceptable risks to RSV-naive children.

3 The committee therefore may want to consider a
4 recommendation that, given the current state of knowledge,
5 RSV subunit vaccines should not be evaluated in RSV-naive
6 children of any age, regardless of preclinical testing
7 results, and regardless of clinical trial results in non-
8 RSV-naive populations.

9 Given the present state of knowledge, I would
10 also extend this caution to any RSV vaccine that involves
11 preformed protein, such as inactivated virus, subunits, or
12 particles. How can vectored nucleic acid RSV vaccines be
13 evaluated for use in RSV-naive populations? As noted in
14 the briefing document, these types of vaccines may behave
15 more like live attenuated vaccines, because antigens are
16 processed intracellularly, and CD8 responses are induced.

17 However, a very cautious and comprehensive
18 approach is required, in both the preclinical and early
19 clinical evaluation of these products. Preclinical
20 evaluation should be informed by the experience in the
21 previously mentioned study. A range of doses and of time
22 intervals should be assessed, with deliberate suboptimal
23 dosing and evaluation of the longest possible interval
24 between vaccination and challenge.

1 Consideration might be given to evaluating each
2 of these vaccines using more than one animal model of ERD,
3 again as we heard earlier today. While stepwise clinical
4 trials, first in adults and then in RSV-experienced
5 children, are important to assess other safety features of
6 these vaccines, it should be understood that these data
7 will not predict ERD.

8 Clinical evaluation of these vaccines in RSV-
9 naive children might be considered if there are no safety
10 signals from any of these preclinical or clinical
11 evaluations, with initial evaluation in small numbers and
12 follow-up over two RSV seasons, to allow virtually all
13 participants to be exposed to natural RSV infection, and to
14 allow for some of the exposures to occur in the context of
15 waning immunity.

16 In addition to careful clinical follow-up,
17 primary immune responses to the vaccine and immune
18 responses induced by natural infection in the first and
19 second RSV seasons following immunization should be
20 carefully assessed.

21 Thanks again for the opportunity to share my
22 thoughts.

23 DR. EDWARDS: Thank you, Dr. Karron.

24 Okay. Is there anyone else who would like to
25 comment in the open public hearing?

1 Okay.

2 I would like now to introduce our next speakers,
3 Drs. Roland Zahn and Melanie Saville, from Janssen Vaccines
4 who will discuss the development of their RSV vaccine in
5 naive children.

6 **Agenda Item: Janssen Vaccines and Prevention B.V.**

7 **Presentation**

8 DR. ZAHN: First of all, I would like to thank the
9 FDA to give us the opportunity to present our data here
10 today in this meeting, and to show what we have put
11 together for the development of an RSV vaccine in naive
12 infants. Just to give you some introduction to the vaccine
13 we have under development, it's an Ad26-vectored RSV
14 vaccine, it's based on the replication-incompetent human
15 type 26 adenoviral vector. And it is produced on a human
16 PER.C6 cell line.

17 We have used this vector in the past in humans --

18 DR. EDWARDS: Could you get a little closer to the
19 microphone; it's hard to hear.

20 DR. ZAHN: Okay. We have used this vector in the
21 past in humans and animal studies, and it elicited a very
22 strong Th1 response in this context. And as you have heard
23 this morning already, it's expected to be similar more to a
24 natural infection for RSV or live attenuated vaccines,
25 which do not predispose to ERD in humans.

1 The vaccine encodes a fusion protein of RSV A2
2 strain, and we have a prototype vaccine, Ad26.RSV.FA2,
3 which expresses the native RSV FA2 protein F. The
4 optimized version which we are having now as a lead
5 candidate is primarily expressing the prefusion stabilized
6 F protein -- which you can see on the left side of the
7 protein slide, under the antigen -- which exposes more
8 neutralizing epitopes than the post-fusion format. This
9 was achieved by introducing five additional mutations to
10 the original FA2 sequence.

11 We plan to administer this vaccine at the
12 beginning of immunization of 2 months of age, and then use
13 two to three doses intramuscularly given in these infants.
14 And it's planned to be administered in co-administration
15 with other childhood vaccines.

16 The Ad25.RSV.PreF vector which we have developed
17 was tested in the cotton rat model for efficacy, which were
18 challenged with RSV A2, which is depicted on this slide.
19 And we have on the left, that's the lung viral load, and
20 the right, the nasal viral load. And we have tested it in
21 comparison with a prototype vaccine, RSV.FA2, and as you
22 can see both vaccines provide full protection at the doses
23 given here, as a prime-only regimen.

24 However, if you observe in the nasal viral load,
25 we see a higher efficacy of a Pre-F construct than the FA2

1 construct as the amount of animals which had nasal
2 breakthrough are lower in that at both doses given. Which
3 obviously is a hint that the Pre-F conformation provides a
4 higher amount of neutralization of the vector, which has
5 also been shown in humans to be the case, that the most
6 potent neutralizing antibodies are against Pre-F-specific
7 sites of the protein.

8 In this context also I would like to mention
9 again that FI-RSV predominantly displays post-F, and also
10 induces post-F antibodies.

11 The nonclinical data package we want to use to
12 demonstrate Ad26.RSV.PreF is effective as a vaccine and
13 does not predispose to ERD is depicted on this slide. It's
14 a two-stage approach, where we want to provide first a
15 rationale to move into RSV-naive infants and toddlers, and
16 then in a second step to also provide additional data to
17 move into infants of 6 months and lower.

18 The first part of our preclinical plan was to
19 really show in mice of immunogenicity of product, show
20 neutralization, and a high ratio of pre to post binding
21 antibodies, and also to show that we have a Th1-inducing
22 vaccine.

23 In the cotton rat model we want to show also,
24 obviously, humoral immune response. In this model, a high
25 virus neutralization to ELISA titer ration to really show

1 that we have preferential antibody induction, as well as a
2 high lung viral replication inhibition and no disposition
3 to ERD.

4 In addition the latest studies will provide and
5 also data for the young infants, where we want to show that
6 we also can elicit an immune response in an immature immune
7 system by dosing neonatal mice, and to also show that we
8 would achieve priming of an immune response in the presence
9 of passively transferred RSV-specific antibodies to mimic
10 maternal antibodies, which are likely present in the
11 infants which we plan to dose.

12 The first data which is presented on this slide
13 shows a Th1 biased immune response of this vaccine, and we
14 have here in blue the animals which were dosed with Ad26;
15 and the pre-F vector either dosed once or twice, and we
16 have similar cells to look at. If you can have an
17 interferon gamma induction on member of the Th1 cytokines -
18 -

19 DR. EDWARDS: Could you move closer again, it's
20 getting harder to hear again, thank you.

21 DR. ZAHN: IL-4 and IL-5, as well as IL-10, was
22 used for Th2 cytokines. And we build a ratio of these to
23 show a contrast of RSV, which did not induce a strong
24 interferon gamma response in both prime and prime-only in
25 these neonatal mice, did induce a very strong Th1 bias in

1 contrast to FI-RSV. We observed a similar Th1 bias in
2 adult mice.

3 The cotton rat which we have used to develop ERD
4 and were predisposition, ERD by our vaccine, is the only
5 model we have used to investigate ERD, as we think this
6 model can be used to study in depth the vaccine-induced
7 immune response, efficacy, and the predisposition to ERD.

8 As you have heard as well, FI-RSV is inducing in
9 this model as high ratio of binding antibodies to
10 neutralization. So very little neutralization is elicited.
11 There's only limited protection in this model with using
12 FI-RSV, and multiple histopathological parameters in the
13 lung of infected animals are changed due to FI-RSV. And we
14 study peribronchiolitis, perivascularitis, alveolitis,
15 interstitial pneumonia in this model.

16 We included multiple control groups in our cotton
17 rat challenge studies to ensure well-controlled conditions.
18 First of all, of course, FI-RSV, which is known to induce
19 ERD in humans. We actually used original material which
20 was used in these vaccine studies in the past, in the
21 1960s, as a positive control. And then two control
22 groups, one of course buffer control group to show there is
23 no background histopathology due to infection with RSV
24 itself, and then intranasally given live RSV at a lower

1 dose to mimic previous exposure to RSV which in humans does
2 not predispose to ERD.

3 For our study to be valid, these controls should
4 be significantly different from each other in the overall
5 histopathology scoring.

6 To give an example of one of the studies we have
7 performed, here we depict the control groups of that
8 studies. On the left, the alveolitis score, and on the
9 right, a cumulative score of the alveolitis,
10 peribronchiolitis, perivascularitis, and interstitial
11 pneumonia, to give a better overall picture. What you can
12 see is that buffer and RSV A2 given intranasally is
13 significantly lower in histopathology scoring than FI-RSV
14 for both alveolitis and the cumulative score. However,
15 also, the buffer and the RSV A2 intranasally given are
16 significantly different from each other, indicating A2
17 intranasally potentially also induces some pathology in
18 this model. This we don't see in each study, but it can be
19 possible.

20 In general we think that a comparison to RSV A2
21 intranasally given which would mimic a normal situation in
22 humans is most appropriate.

23 Further, we decided that next to one dose range
24 we have shown on the previous slide, we would also
25 investigate the vaccine over a bigger dose range to mimic

1 waning immunity on the low end of immune response elicited,
2 and on the high end, which should provide full protection.
3 This should give a good picture of overall likelihood that
4 a vaccine would predispose to ERD, and also to include not
5 only one challenge strain but multiple challenge strains,
6 going from RSV A and RSV B challenge, to investigate also
7 that likelihood, because it will be encountered in infants
8 as well.

9 Of course detectable RSV lung replication, like
10 it is the case for FI-RSV, where immune response is
11 elicited but no full lung protection is seen may be
12 important to identify animals which are especially at risk
13 for developing ERD. However, as we have heard today as
14 well, depending on vaccine you might see ERD also in the
15 absence of RSV lung replication and relatively high
16 neutralization titers.

17 The data I'm going to present in the next slide
18 are based on this general study design. We have obviously
19 included the control groups in this study, buffer RSV
20 intranasally given at a lower dose, FI-RSV given at two
21 doses, and the Ad26.RSV.PreF ranging from a low dose, 10^5
22 virus particle dose, to a high dose, two times 10^{10} virus
23 particle dose, given as a single immunization.

24 The animals were then challenged 49 days after
25 initial prime immunization and sacrificed five days later

1 for assessment of lung histopathology and efficacy of the
2 vaccine. And in addition we had an immunological outcome
3 parameter as well, at day 49.

4 We pooled data of three independent studies which
5 we deemed to be valid based on the outcome of individual
6 control groups, to provide a better overview of the data we
7 have obtained in this model system.

8 The Ad26 Pre-F induces high neutralization titers
9 which are dose-dependent, which you can see on the left in
10 blue. From the low dose, 10^5 , to two times 10^{10} .
11 Whereas FI-RSV we as expected does not provide any
12 neutralization in this model, similar to a buffer control
13 group.

14 For binding antibody titers which are here
15 determined based on a Post-F coded ELISA, you see a similar
16 dose increase, leading to an increase in the ELISA titer
17 for the Ad26, and is in about the same range of elicited
18 titers as FI-RSV given two times. The ratio of VNA to
19 ELISA titer is roughly the same across all doses for the
20 Ad26 and significantly different from the ratio of FI-RSV
21 in these studies.

22 The protection provided from this vaccine across
23 these studies is depicted here. You see a dose-dependent
24 inhibition of nasal RSV replication in this model in a nice
25 dose response as well here, whereas the control groups FI-

1 RSV and mock do not provide any protection from nasal RSV
2 replication.

3 The lung is also completely protected in most of
4 the animals we have dosed with Ad26.RSV.PreF, except the
5 animals on the lowest dose group; however, these animals,
6 which you can see, which have the same RSV replication as
7 the mock control group, did not show any ELISA or VNA
8 titers measured by -- with humoral immune response. The
9 FI-RSV immunized animals have also some level of protection
10 against RSV replication, however only a few animals were
11 fully protected from replication.

12 The Ad26.RSV.preF vaccination did not induce ERD
13 in this RSV A2 cotton rat challenge model, as you can see
14 with looking at all the parameters described before,
15 peribronchiolitis, perivascularitis, alveolitis, and
16 interstitial pneumonia. Again the animals for Ad26 are in
17 blue, and at the same scoring on average as the mock or RSV
18 intranasally dosed animals, or even lower, depending on the
19 parameter you look at. And they're significantly from FI-
20 RSV induced histopathology scoring.

21 If we then have a closer look at the overall
22 induced inflammatory state, using again the cumulative
23 histopathology score, the control groups are significantly
24 different from FI-RSV, as expected, and as I said,
25 Ad26.RSV.preF dosed animals have a histopathology scoring

1 which is significantly different from FI-RSV, and about the
2 same level as one of mock or RSV intranasal, providing the
3 rationale that at least in this RSV A2 cotton rat challenge
4 model we do not see any predisposition to ERD based on this
5 vaccine.

6 To summarize the nonclinical data that we have
7 obtained so far, to provide that Ad26.RSV.PreF is an
8 effective vaccine, and does not predispose to ERD. We have
9 achieved in adult mice a higher virus neutralization titer
10 and high pre-F/post-F binding antibody ratio, in data which
11 we haven't shown today, and a good Th1 biased cellular
12 immune response in contrast to FI-RSV.

13 In A2 challenge models we have shown as well that
14 we see a high efficacy of vaccine-induced immune responses
15 and no ERD predisposition. In addition, we have shown in
16 neonatal mice, dosed at 5 days of age, a high potency and a
17 Th1 biased cellular immune response.

18 Additional data we will generate in the RSV B
19 challenge model, and to also evaluate if you could achieve
20 a good priming in the presence of passively transferred
21 antibodies in mice.

22 With this summary of the nonclinical data, I will
23 pass on to my colleague, Melanie Saville, for the clinical
24 development.

1 DR. SAVILLE: Thank you. So I will move on to
2 talk about the clinical development plan for our vaccine.
3 So first of all, by way of introduction, just a reminder of
4 the target product profile for the vaccine, it is to begin
5 immunization from 2 months of age with a two- to three-dose
6 schedule, co-administered with childhood vaccines, as a
7 routine immunization, not as a seasonal immunization.

8 So there are the three key elements that need to
9 come together indeed to ensure that we can develop a
10 vaccine for a high unmet medical need. You've heard a lot
11 about the supportive preclinical data that is being
12 generated. We also have supportive platform data from our
13 adenovirus platform in the context of safety and
14 immunogenicity. Together with the clinical development
15 plan, where we aim to safely progress into the vulnerable
16 target population, while ruling out the risk of ERD.

17 First of all, to look at a summary of our
18 platform data. So we have the AdVac platform-based
19 vaccines where we have a sizable safety data base in adults
20 of the Ad26 vectored vaccines that we have had in
21 development. We are developing a number of vaccines using
22 the platform, and we have ten completed clinical trials
23 with over 700 doses being administered, and 14 ongoing
24 clinical trials, with over 3,000 adult subjects immunized.

1 In addition to that, in the pediatric population,
2 we have a related serotype, the Ad35 serotype, having been
3 administered to a number of infants, in a tuberculosis
4 vaccine, with over 300 infants having received the vaccine.
5 They've received these vaccines at a number of different
6 dose levels, all within the range of the vaccine dose
7 levels that we anticipate to give in the pediatric program.
8 And both the Ad26 and Ad35 vectors have shown a
9 satisfactory safety profile, with mostly mild and moderate
10 adverse events of early onset and short duration.

11 We also have human safety already in RSV vaccine.
12 We have completed two phase I clinical trials in adults,
13 with the Ad26, with a prototype vaccine of the FA2 insert,
14 and we have one ongoing clinical trial in the old adult age
15 population, with the candidate vaccine, with the Pre-F
16 transgene.

17 Again, the dose levels that we anticipate to use
18 in children have been administered, and again the safety
19 profile is similar to that that we saw in the platform data
20 that I showed you previously, and we have had no related
21 adverse events or adverse events that led to
22 discontinuation from the trials.

23 Looking at the immunogenicity in the adult
24 population, this is again data with the prototype vaccine
25 with the FA2 insert. Looking at both neutralizing antibody

1 and also the cellular response with ICS. If you look at
2 the left-hand side of the slide you'll see that indeed this
3 is a pre-exposed population where you see VNA titers
4 already at baseline, but you see strong response to a
5 single dose of the vaccine, which is durable over the six
6 months period follow-up.

7 If you then look at the right-hand side of the
8 slide, looking at the Th1 and Th2 balance, you can see that
9 prior to vaccination there was already a Th1 bias in terms
10 of the background ICS data, and you saw that, indeed, the
11 interferon gamma increases with a single dose of the
12 vaccine, so the Th1 prominence is maintained with
13 vaccination.

14 We also have preliminary data with the pre-F
15 insert in our old adult study, which shows comparable or
16 even higher immunogenicity data with these parameters.

17 So then moving on to look at the plan in infants
18 and toddlers moving forward. The key objective is to
19 safely progress into the vulnerable RSV-seronegative
20 populations, while ruling out the risk of ERD, measured by
21 the frequency of severe RSV-associated low respiratory
22 tract infections.

23 It's important that we assess reactogenicity in
24 adults and seropositive children first. In terms of ERD,
25 we feel that there is limited value in assessing ERD in

1 seropositive children, as it's considered that they are at
2 minimal risk of ERD. Evaluating the immune response also
3 has some limitations due to the bias that we would see from
4 the pre-exposure antibodies in such a population. So we
5 are proposing an age de-escalation in the seronegative
6 population, from the less- to the more-vulnerable
7 populations. So starting at 12- to 24-months-old before
8 moving on to 6 to 12 months, and then finally to the target
9 population from 2 months of age.

10 Looking at the evaluation of ERD risk in these
11 pediatric studies, for the seronegative population, in
12 terms of the case definition, as we cannot distinguish ERD
13 from severe RSV-LRTI, our definition is an increase in the
14 frequency of severe RSV-LRTI. It's very important to have
15 a clear case definition, and we have chosen the WHO
16 definition. It's also important to have laboratory
17 confirmation of each of the cases. And the cases will be
18 reviewed by an independent clinical endpoint committee to
19 decide on what is a case.

20 RSV will be monitored through the seasons, and we
21 will not just be monitoring for severe disease, we will be
22 monitoring for any respiratory tract infection with a
23 predefined symptom score during the influenza seasons. We
24 will also be conducting serological evaluations at the end
25 of each of the seasons to see what we can indeed pick up in

1 relation to infections by serology. We will be conducting
2 an immunological evaluation, looking at both the VNA and
3 ELISA titer ratios, and the Th1/Th2 balance in the
4 seronegative population.

5 In terms of duration of follow-up, all children
6 will be followed up for two RSV seasons, with age de-
7 escalation occurring after successful review of one
8 season's data follow-up.

9 In terms of the ongoing monitoring within the
10 clinical trials, there'll be both active and passive
11 surveillance, with regular reminder calls to the parents to
12 report all the RTIs that their children are suffering from,
13 and the sites would follow up all RTIs regardless of
14 severity until resolution. There will also be routine
15 surveillance of hospitals and pediatric records.

16 Focusing specifically on the RSV severe disease,
17 we would be looking at virological confirmation of all of
18 those, and as mentioned before, an evaluation of all
19 suspected cases would be conducted by a blinded clinical
20 endpoint committee.

21 We would also convene a program IDMC that would
22 be monitoring per study and between studies the incidence
23 of severe LRTI with statistical algorithms supported by the
24 sponsor. And indeed if there were any signal of ERD, there
25 would be immediate communication to the sites, regulators,

1 and ethics committee, pausing of vaccination, and
2 increasing surveillance of the subjects to ensure their
3 safety.

4 This next slide just demonstrates at a high level
5 the approach that we take to age de-escalation. So as
6 mentioned before, the first study would be a study in 12-
7 to 24-month-olds, which would include some seronegative
8 infants. They would be followed up for one RSV season
9 before commencing a study in seronegative 6- to 12-month-
10 olds. There would be a second season from the first study,
11 and first season from the second study, data being reviewed
12 before moving into the target population of 2- to 6-month-
13 old infants. Then a further follow-up season before moving
14 into larger phase III efficacy trials in the target
15 population of 2 months of age.

16 What I will focus on, though, today, is really
17 these early trials, and our thoughts in terms of how to
18 assess the ERD risk in these first trials. So looking at
19 the 12- to 24-month-old trial, I showed you already that we
20 have some data with the vaccine in an older adult
21 population. We would add to that a population of healthy
22 adults before moving on to healthy toddlers 12- to 24-
23 month-olds, starting off enrolling seropositive toddlers,
24 and following them up for safety in a subset for seven days

1 after the first dose of vaccine, before moving in to 12- to
2 24-month-old seronegative population.

3 In total, we would be enrolling 72 toddlers, of
4 which 40 will be receiving the vaccine and 24 of those will
5 be seronegative.

6 In terms of the objectives of the study, I think
7 the important thing to highlight here in this size, is
8 relating to the sample size. This is a descriptive study,
9 but it does give us the opportunity to have a preliminary
10 ERD risk assessment in a small of RSV seronegative 12- to
11 24-month-olds that will be followed for two RSV seasons.
12 With 24 subjects per arm, this will give us the ability to
13 detect the risk of ERD, which is similar to that observed
14 in the formalin-inactivated trials in the 1960s.

15 Then moving on to the second trial, which is the
16 trial in seronegative 6- to 12-month-olds. We will build
17 obviously from the data from the previous trial. We would
18 have general safety and reactogenicity, immunogenicity
19 data, and one season of follow-up. Within this study we
20 would start with a lower dose of vaccine in a subset of
21 subjects, follow all of these subjects for 7 days for
22 safety and reactogenicity, before moving into a high dose
23 regimen of another group of 6- to 12-month-olds, so
24 enrolling a total of 108 subjects, of which 72 are
25 seronegative and will have received vaccine.

1 In addition, this gives us a first opportunity to
2 look at the regimen, whether it's a two-dose or a three-
3 dose regimen, that would be needed to optimize the
4 potential efficacy of the vaccine.

5 So again, then, looking at a little bit more
6 detail in this study, one of the primary objectives here
7 being monitoring of severe RSV-LRTI, and the assumptions
8 that we use for that are to show that the severe LRTI rate
9 is not increased in the vaccine group compared to the
10 placebo.

11 So we are looking specifically at a difference --
12 we're choosing not to look at relative risk, because this
13 is still really quite a small trial, and we do run the risk
14 of not seeing any cases in the placebo group, so a relative
15 risk calculation cannot be performed. With the sample size
16 that we have of 108 subjects, this gives us more than 90
17 percent power to demonstrate non-inferiority with a non-
18 inferiority margin of 10 percent.

19 So with the plan that I've showed you so far,
20 this brings data to then move into the target population.
21 So it brings safety data, it brings immunogenicity data in
22 seronegative toddlers and infants. It gives you two
23 seasons of follow-up in a small group of seronegative 12-
24 to 24-month-olds, and a single season follow-up of 6- to
25 12-month-olds. In addition, preclinical data will support

1 moving into the 2-month-old population in a study that will
2 mimic vaccination in the context of maternal antibody.

3 So following thorough review of all of this data,
4 a dose finding, regimen selection, and proof-of-concept
5 study would be initiated in a 2-month-old age category.

6 So then overall, in conclusions, first of all,
7 thinking about the preclinical data. The preclinical
8 assessment of ERD in the cotton rat model should be
9 sufficient to initiate clinical studies. With this model
10 we can have enough animals to test overall a wide range of
11 vaccine-induced immune responses, and a vaccine can be
12 compared with immune regimens that do not predispose to ERD
13 such as the live RSV pre-exposure, and to those that do
14 predispose to ERD, such as the formalin-inactivated
15 vaccine. And using the cumulative histopathology score, we
16 can compare the vaccine to the control.

17 And with the data that we have to date with the
18 Ad26.RSV.PreF vaccine, the histological score in the cotton
19 rats has shown to be similar to the control, which is the
20 live RSV pre-exposure, and lower to that of the formalin-
21 inactivated vaccine following RSV challenge.

22 The preclinical data are supportive, but cannot
23 provide evidence of complete absence of ERD predisposition,
24 and vaccine-associated ERD risks need eventually to be
25 ruled via cautious clinical development.

1 Thank you.

2 DR. EDWARDS: Thank you very much. Are there
3 questions?

4 I have a couple questions. In terms of the
5 repeated doses, does there look like there can be any adeno
6 antibody generate such that subsequent doses of the
7 vectored product will not be as immunogenic? Is there any
8 information about that?

9 DR. SAVILLE: Yes. We do have some other vaccines
10 in development, where we do give repeat adeno, and you do
11 see an increase in the immune response with subsequent
12 doses of the vaccine. So you can give it repeatedly and
13 see an impact indeed on the response to the transgene.

14 DR. EDWARDS: I guess the other question is will
15 the vaccine that you'd be giving to the youngest children,
16 will that be pre- or post-fusion?

17 DR. SAVILLE: We're planning -- indeed, the
18 transgene is a pre-F transgene. So that is the vaccine we
19 would be using in the infants, yes.

20 DR. NOTARANGELO: If I understand correctly, the
21 two versus three doses, the two-dose would be used in
22 individuals who do have a previous history of exposure to
23 RSV. So I wonder, can you clarify how you will be able to
24 define whether eventually two or three doses will be
25 necessary? That's the first question.

1 DR. SAVILLE: First of all, to look in the first
2 study, indeed, in the first study there are the two groups.
3 There's the seropositive group, and in that group we only
4 give two doses of vaccine, and then we move to a three-dose
5 regimen in the seronegative group in that study.

6 We really need to get down into seronegative
7 infants to really address the two- versus three-dose
8 schedule, and actually maybe if I can go back to the slide.
9 Here, this slide here, shows a very first look at do you
10 need two or three doses in the high-dose group, where you
11 have adeno, adeno, adeno, versus adeno, placebo, adeno,
12 versus placebo.

13 Now we also recognize there are a number of
14 different regimens that we would have to fit into. So this
15 is really just a first look. I would imagine that we would
16 really need to get down to 2 months and do some more work
17 in terms in terms of the regimen selection.

18 DR. NOTARANGELO: My second question is about the
19 AEs that I've been seeing about the adenoviral vaccine.
20 Can you tell us more about those?

21 DR. SAVILLE: In term of the general safety
22 profile -- so first of all reactogenicity, so we solicit
23 the common reactogenicity local and systemic events, and we
24 see a profile very similar to what you see in relation to
25 licensed vaccines, so most common events being local

1 reactions. In terms of systemic reactions, it's headaches,
2 some myalgia, some chills, very standard types of
3 reactions, and we see little in the way of fever.

4 DR. NOTARANGELO: But no severe reactions
5 following subsequent exposure to adenoviral infection?
6 Have you ever encountered that?

7 DR. SAVILLE: In terms of subsequent exposure to
8 adenovirus, we do have a number of studies where we give
9 several doses, and what we tend to see is the reaction
10 rates go down.

11 DR. KOTLOFF: I am just wondering if -- what your
12 thoughts are about addressing the children who are at
13 highest risk for hospitalization and death? So part of
14 that is defined by age. So if you're vaccinating at 2
15 months of age, you may only be able to protect a small part
16 of that age group. And then the second question is your
17 thoughts on how you would develop an evidence base to make
18 recommendations for children who have an indication for
19 monoclonal antibody. So that presents a very difficult
20 group to do clinical trials in, because they have
21 antibodies present.

22 DR. SAVILLE: Indeed, very good questions. In the
23 context of the population, if I'm understanding you, are we
24 looking perhaps at risk or healthy -- I think really it's
25 very important to say we're really starting off looking at

1 very healthy population. I know from the presentation
2 today most of the RSV infection is in healthy individuals,
3 but we would start in healthy individuals. So, term
4 infants, good birth weight, so we would have very careful
5 inclusion/exclusion criteria, just to make sure that they
6 are healthy.

7 In terms of your second question, indeed that is
8 something that we will need to address, and we will need to
9 address those specific risk groups and indeed those who
10 receive monoclonal antibodies, and I would envisage in a
11 second phase that you would need specific studies to do
12 that.

13 DR. KOTLOFF: My first question actually also
14 covered whether you have any thoughts or plans of trying to
15 vaccinate younger, children younger than 2 months of age.

16 DR. SAVILLE: Our thought is the first indication
17 being from 2 months of age, and really based on seeing
18 satisfactory safety profile and efficacy, and obviously
19 with the medical need we would consider studies lower. But
20 we would start with the assumption of 2 months.

21 DR. EDWARDS: Any other questions?

22 DR. GREENBERG: I didn't hear you mention
23 concomitant vaccines. Are the plans with these initial
24 studies to be alone, or with concomitant?

1 DR. SAVILLE: So for these first two studies,
2 which are really the ones which we've fleshed out the most,
3 it would not be with concomitantly. And I think there are
4 the windows of time where you could do those studies
5 without falling, having difficulty with fitting it in with
6 the vaccines that would need to be given.

7 DR. GREENBERG: I think it is interesting to think
8 about the, quote unquote, healthy children in the sense
9 that, I'm assuming you and others would look at history of
10 any bronchiolitis or any bronchoconstriction episodes, but
11 I also wonder if the genetics would play into that at all,
12 and family history. It's not for you to answer; I was just
13 thinking out loud that some of these other factors might be
14 interesting to look at.

15 And then, I meant to ask earlier today -- so this
16 isn't specific to your studies -- but I'm really unfamiliar
17 with the histopathologic scoring, and I don't know in my
18 mind how to compare 0, 1, 2, 3 -- can you give us some
19 sense of it?

20 DR. ZAHN: So, basically this is a non-linear
21 scale, so basically 0 is with no lesions detected, 1 is 5
22 percent, 2 is 25 percent, and then 3 is 75 percent, and 4
23 would be 100 percent of severe lesions in the
24 histopathology.

25 DR. GREENBERG: Percent of the area.

1 DR. ZAHN: On the slide, of the analyzed area.

2 DR. GREENBERG: Thank you.

3 DR. LONG: Was there any indication in your
4 immunization of experienced individuals that pre-existing
5 antibody interfered or blunted response to vaccine?

6 DR. SAVILLE: Previous RSV, or -- RSV. So we saw
7 a good response in all individuals. There were very few
8 that we didn't see a response to at all.

9 DR. JANES: Can you expand on the collection
10 analysis of the severe RSV cases? I assume that you would
11 be collecting and analyzing those in real time, in order to
12 do interim monitoring, to detect early on an increased rate
13 of those events ion the vaccine group. And so if you could
14 just briefly comment on that, and to what extent can you
15 actually act on that information? Assuming that by the
16 time, I assume, most of those events would be detected, the
17 vaccination series is complete, and so what you could do on
18 the basis of that evidence?

19 DR. SAVILLE: Just to be sure I am answering your
20 question properly. Talking in relation to the ERD risk and
21 the capturing of cases? Indeed, the approach that we are
22 taking is -- during the RSV season, that indeed that we
23 would be actively monitoring the children and swabbing them
24 as soon as possible. We would also do a near-patient test,
25 that would become a probable case of RSV infection, and we

1 would be doing PCR as well to finally have a definitive
2 diagnosis. So as soon as any cases become available, they
3 will be reviewed by the clinical endpoint committee and
4 forwarded to the IDMC so they would be able to do ongoing
5 monitoring.

6 To your point about children already being
7 vaccinated by the season, indeed, our first study we would
8 want to vaccinate before the season, and I think the
9 important thing is that we are getting the balance right of
10 the individuals in the study, so not to expose too many too
11 soon. Hence the vary staged approach and the careful
12 thought about number of subjects that can be useful to draw
13 some conclusions versus not putting too many of those
14 children at risk.

15 DR. MCINNES: Dr. Saville, are you able to share
16 whether, is this a U.S. development, your clinical
17 development piece? Are you going internationally, are you
18 splitting it? What are your thoughts in terms of sites and
19 how well your epidemiology is characterized in these
20 places?

21 DR. SAVILLE: To start off with -- well, obviously
22 we have the possibility of both northern and southern
23 hemisphere -- but we are planning to start off in Europe.
24 The main study is in Europe and the United States, where
25 they have good characterization, and also good medical

1 care. There are also countries in the southern hemisphere
2 such as Australia who would also be good countries to do
3 that and who generally have quite good epidemiology. Yeah,
4 so we're really going for the countries that have well-
5 characterized epidemiology and good healthcare, for the
6 children taking part in the study.

7 DR. EDWARDS: Could you comment on what immune
8 studies that you might be doing to assess immune responses
9 in the infants, or have you designed those yet?

10 DR. SAVILLE: So yes, indeed, we would be looking
11 at both the humoral and cellular immune response, with all
12 of the caveats of the limitations of the volume of samples.
13 So the main focus being on the VNA and looking at binding
14 antibody with an ELISA, but also looking at the cellular
15 response with ELISPOT and ICS. And there are a number of
16 potential exploratory assays that we can look at, but
17 obviously we would have to prioritize those with the
18 limited blood sampling.

19 DR. NOTARANGELO: A related question. Some of
20 these studies are rather sophisticated, and they might
21 benefit from centralization. On the other hand, you will
22 be dealing with a variety of centers, so how can you handle
23 that problem?

24 DR. SAVILLE: Yes, these are not studies that can
25 be done in a single center. So what we're trying to do is

1 limit each study to even a couple of countries, and try and
2 standardize things as much as possible there, across the
3 study. Importantly, in terms of diagnosis, for example, of
4 RSV infection, we would be using a centralized PCR so that
5 we would get the same quality of data out of each of those.
6 But yes, I appreciate that there are some challenges that
7 we need to work on.

8 DR. EDWARDS: Are there any other questions?

9 Thank you very much.

10 **Agenda Item: Committee Discussion**

11 DR. EDWARDS: We have Dr. Roberts and Dr. Browne
12 at the table now to help us in the discussion, and we will
13 see the questions that are posed to us for committee
14 discussion on the screen. Jeff or Sarah, would you like to
15 read the questions? The first question?

16 DR. BROWNE: Sure. So, the first question is
17 please discuss the preclinical data essential to support
18 studies of RSV vaccines in RSV-naive infants with regard to
19 the potential risk of vaccine-associated ERD. Please
20 consider the impact of vaccine type, antigen, and/or other
21 relevant factors.

22 DR. EDWARDS: Let's discuss each question as they
23 come. Anyone would like to start with the first question?

24 DR. KOTLOFF: I think there were a couple of
25 thoughts that I had. One goes back to the issues of

1 whether there's an animal model that can be used to really
2 specifically look at the impact of age. So, you know, the
3 target population is going to be 2-month-olds who, my
4 understanding, who have a Th2 bias in their responses. And
5 so I think one of the models that is used is the neonatal
6 mouse model, but I'm not sure if any of the other models
7 really address the immaturity of the immune system that
8 mimic the neonatal human immune system, and I think if we
9 could get some data specifically on that phenomena that it
10 would be very helpful, because we have so little experience
11 in that age group with this type of vaccine.

12 And the second issue that I was wondering whether
13 animal models could address is co-administration of
14 vaccines. I think that's a big unknown at this point.

15 And then a third issue that I think we just heard
16 will be addressed to some extent, is the idea of doing
17 heterologous challenges in the animal models, because the
18 quality of antibody that is induced by vaccination against
19 heterologous strains may not be the same, and I think it
20 would be helpful to get more information on that.

21 DR. EDWARDS: Thank you. Additional questions or
22 concerns?

23 DR. LONG: I don't know about questions or
24 concerns, but considerations -- I think from what we've
25 heard, I think it would be best to have three animals.

1 Mice, cotton rat, and calf, rather than relying on one to
2 try to understand both the kinetics and the types of
3 responses. And I think we haven't heard enough about the
4 longevity and the times of challenge in those animal models
5 at different points in the waning response, because we know
6 that disease doesn't protect more than a season the first
7 time around, doesn't even protect for a whole season in
8 nurses who are re-exposed frequently during the RSV season.
9 So I think that that timing is something that is important.

10 I think we're convinced that any of these
11 vaccines must induce a neutralizing antibody response
12 that's robust and that there be cytotoxic T cell response.
13 Ruth just reminded me about the age and the Th2 biased 2-
14 month-old. I don't know that so well, but we do remember
15 in whole-cell pertussis vaccine days that that was a very
16 good Th1 response induced in 2-month-olds and 6-week-olds
17 with an endotoxin containing, or whatever was in whole-cell
18 vaccine. So I don't know about that.

19 DR. NOTARANGELO: A couple of comments. First of
20 all, I also agree that we should use three animal models.
21 Personally I have some concern that the neonatal mouse
22 model may not be fully representative of the immaturity of
23 the immune system in newborns, in humans, or in young
24 infants.

1 And I also share the concern that -- I'm not sure
2 that we should just be looking for Th2 responses, and it's
3 not absolutely clear that infants are that Th2 skewed early
4 in life, so I agree.

5 DR. TRIPP: I have a question about the vaccine
6 type, and whether or not we have -- what kind of
7 transmission can we block that with some of the vaccines.
8 Is it possible, since we're using infectious
9 (indiscernible) -- is that a possibility to consider that
10 we can actually get blocking antibodies and stuff in
11 transmission?

12 DR. LONG: If we're continuing in all of these
13 things, very personally, I don't think we know enough to
14 say that we should not -- there should not be pursuit of
15 subunit vaccines, from what we know to date.

16 DR. EDWARDS: I was intrigued by the primate
17 model, and wonder whether we need to think a little bit
18 more about that. I must say that Tod Merkel's beautiful
19 model of the primates has taught an awful lot about
20 pertussis that we really didn't know before, and so I
21 wonder if some additional assessment or looking at that
22 model might be helpful as sort of that Fernando suggested
23 that it might be. And so that maybe we need to enhance
24 some of the models we have.

1 DR. PORTNOY: So I agree that three different
2 animal models seems to be the standard, and it seems to
3 provide a good signal for whether the vaccines have an
4 increased risk of ERD. But my concern is that even in
5 humans there are different kinds of responses to RSV.

6 Some humans have really severe disease, others
7 have very mild disease. In other words, native RSV
8 infection seems to cause ERD in some humans just naturally,
9 perhaps due to genetic variation in the humans, and I'm
10 wondering if additional strains of animals or types of mice
11 or rats that can get RSV might be studied just to see if
12 there was this same genetic variation in development of ERD
13 in animals that maybe wouldn't be identified if we only
14 look at three strains, three different animals.

15 DR. MONTTO: I agree about the variation in humans,
16 and I think we need to reconsider that as we start looking
17 at the human studies. I just wanted to echo -- support use
18 of primate, nonhuman primates. After all, this virus
19 started out life as the chimpanzee coryza agent.

20 DR. EDWARDS: I am not sure who's going to answer
21 this, but are there well enough established risk factors
22 for severe RSV disease in terms of various cytokine
23 responses that we could, that we should screen for?
24 Populations of individuals that are aberrant in that way?
25 Luigi, perhaps you could answer that best.

1 DR. NOTARANGELO: I don't think we have enough
2 data to support it. There are obviously categories at risk
3 that you would exclude from the trial, but I don't think
4 you can use cytokine profiling to assess eligibility.

5 DR. LONG: For me, I am confused between severest
6 RSV disease, which I see in otherwise healthy children in
7 the hospital all the time, and ERD, which I think is very
8 different. I don't think these children who have severe
9 RSV disease that are in our intensive care unit likely have
10 antibody immune deposits in their lung or anywhere else.
11 They're very young, they sometimes have apnea and don't
12 breathe, they sometimes have pulmonary hypertension because
13 they're so premature and they're prone to that. So I think
14 they're different things. Clinical RSV in small children
15 is not the same as ERD.

16 DR. EDWARDS: Are there any specific issues about
17 antigens, have we heard that there is an antigen that
18 should not be included in the vaccine, or other relevant
19 factors? Have we really heard that today?

20 DR. MCINNES: I can't answer that question, but I
21 have another thought. I asked several questions about the
22 dose concentrations and the dose calibrations, and I have
23 the sense that the dose calibration that is used in the
24 preclinical models -- in the animal models -- is a really
25 important parameter, because we're going to be potentially

1 in challenge studies, and in certain types of vaccines in
2 viral replication, and to be sure that we are being able to
3 detect ERD within a range of dose concentrations, not just
4 number of doses.

5 DR. EDWARDS: And certainly the paper that we saw
6 is a little bit concerning, that the finetuning of those
7 doses may be exceedingly important.

8 DR. PORTNOY: I am still confused about the F
9 protein, and the fact that the purified F protein in one
10 study showed good response without ERD compared to the
11 formalin-inactivated. Whereas in another study, the F
12 protein, the same purified F protein, showed ERD. It seems
13 like a third study ought to be done, or somebody ought to
14 figure out whether it actually is harmful or not. We need
15 to know that information, because otherwise we don't know
16 what to do with purified F protein as a vaccine material.

17 DR. JANES: To me, what that brings to mind is Dr.
18 Polack's comment about the need for standardization in
19 these preclinical studies, for understanding the animal
20 models and the stock of the animals and the immunogenicity
21 assays and antigens and so on, and I don't know the
22 mechanism for that, but obviously there's some need for
23 someone to take ownership of standardizing those attributes
24 of the studies.

1 DR. EDWARDS: Certainly the NIH has done some of
2 those repository and having stock strains and those sorts
3 of initiatives, right, Pam?

4 DR. MCINNES: There are other organizations that
5 are involved in that now, but certainly for years there
6 have been efforts to try to standardize, but there are so
7 many aspects to standardization. I go back to the genetic
8 background of particular strains. I think it's not being
9 done uniformly.

10 DR. WHARTON: So, there is a lot in the
11 preclinical data that's been presented and additional work
12 that hasn't been presented that actually suggests that
13 we've learned an awful lot about the likely pathogenesis of
14 the enhanced respiratory disease, and these approaches seem
15 really, really promising to help reassure us that
16 proceeding down a clinical development pathway is likely to
17 not result -- or decrease the risk that we're going to see
18 the enhanced respiratory disease.

19 But following up on Pam's point about the
20 importance of looking at a full range of doses, there's
21 also the issues about timing between vaccination and
22 exposure to the RSV, which I think is the other half of
23 that dose ranging question, in terms of where you are in
24 the kinetic response. So I think that's also an important

1 variable that probably needs to be looked at in a number of
2 different ways.

3 DR. KOTLOFF: I agree with that. And I think some
4 thought needs to go into how far out we should go, because
5 some of the examples of the children who died were
6 vaccinated at 0, 1, and 2, or 0, 1, and 4, and then when
7 they were toddlers they got disease, which is a much longer
8 time than I think any of the preclinical models have used.

9 DR. EDWARDS: Yes, Jay.

10 DR. PORTNOY: Again, the fact that I'm not an
11 infectious disease expert will show up, but I'm still kind
12 of curious about how many strains of RSV there are and how
13 much variability there are in those strains. Which of
14 these proteins varies between one strain and the other? Is
15 it the F protein, the G, or some other protein? And if we
16 make a vaccine against a particular version of the protein,
17 will it protect against the other strains, and how
18 important is that?

19 DR. NOTARANGELO: One other comment I have is
20 about the variability of data that have been obtained in
21 various animal models. In particular, histopathology
22 score. But I've seen across the board some significant
23 variability within the same animal model, in terms of each
24 of the responses. So that calls for a large number of
25 animals that have to be studied, which might be a challenge

1 with primates, but still I do think that you need to
2 investigate quite a number of animals in order to get a
3 clear picture.

4 DR. EDWARDS: In term of the question of the
5 heterogeneity of the responses or the neutralization of the
6 different A and B strains, do any of the companies want to
7 comment on those responses in terms of the vaccine? Does
8 it seem to be working, neutralizing both strains?

9 DR. ZAHN: So, we have performed studies against
10 RSV A and B strains, in vitro at least, and we see good
11 neutralization against multiple strains, with the previous
12 FA2 vaccine, but also the prefusion F vaccine which we
13 presented today. So we see a good cross-neutralization,
14 also protection also challenge studies, which we didn't
15 present today. So the F protein is also more conservative
16 than the G protein, to just come back to your point; the F
17 is relatively highly conserved across strains as well.

18 DR. STEFF: If I may just add to also, maybe to
19 clarify about heterologous challenge models. In the calf
20 model we also verified that by immunizing with an
21 adenovector carrying an F protein from human RSV, we were
22 also inducing bovine RSV neutralizing antibodies. So not
23 only we have crossreactivity between A and B human RSV
24 strains, but also two bovine RSV.

1 DR. LYNFIELD: One question that came up is
2 concomitant vaccines. And I'm wondering if people are also
3 looking in the animal studies and other studies at the
4 potential impact of regularly scheduled vaccines on type of
5 response.

6 DR. LONG: We focused a lot on the enhanced
7 respiratory disease and severe disease. Almost surely this
8 vaccine is not going to be applicable to -- it'll be
9 applicable, but not protect from infant disease that ends
10 up in the intensive care unit.

11 So it will be very important, I think, to know
12 how much it does impact on non-hospitalized burden of
13 disease, and if palivizumab is an indication, antibody
14 alone probably does not protect against infection and
15 probably not much against clinical disease that's doctor-
16 attended, as it by itself only decreases by 50 percent the
17 likelihood that you'll be hospitalized. So we know that
18 antibody alone is not the answer. So I think we're looking
19 for something with vaccine that's much more than
20 palivizumab can deliver.

21 DR. EDWARDS: One of the interesting aspects I
22 think is the administration, as you said, of routine RSV
23 vaccine for seasonal illness, which is sort of different
24 than what we've generally been doing with seasonal

1 respiratory illnesses. And maybe that's because we have to
2 use it every year because the seasons change.

3 But the evolution of the kinetics, when the virus
4 comes, how old the child will be when they're vaccinated,
5 will be a very complicated model, and I think if there are
6 data from some of the studies that are being done with NVSN
7 or any of the other surveillance populations to allow
8 people to get some sort of burden of illness in various age
9 groups in children longitudinally, I think that will be
10 really helpful. Because the complexities of all of these
11 moving parts are a little bit mind-blowing, as well.

12 DR. KOTLOFF: So I wanted to also get back to the
13 concept that both adults and children have recurrent RSV
14 disease. And we also know that there are bad RSV seasons,
15 followed by quiet RSV seasons.

16 And I -- my own ignorance is, what is largely
17 responsible -- I don't know what's largely responsible for
18 that ability to become re-infected, and how much of it is
19 the host's immune response, and how much of it is the
20 virus's ability to change. And I'm just wondering if we
21 have any sense is this like flu, where you have a drifting
22 virus, and that would affect the efficacy of vaccines? Or
23 is this more related to the host's immune response?

24 DR. EDWARDS: Fernando, do you have any thoughts
25 about that?

1 DR. POLACK: There are other people that can
2 provide more sophisticated explanation than I can, but
3 clearly it's not an issue of drift, it is essentially
4 waning immunity, and protecting the upper respiratory tract
5 is a lot harder than protecting the lower tract. Adults
6 and the elderly will get these upper tract infections --
7 you can't hear me?

8 So what I was saying is there are people in the
9 audience that can give you a more sophisticated response
10 than me, but essentially it is waning immunity happen back
11 and forth, and protecting the upper respiratory tract for
12 RSV is not easy, so you know you will get upper respiratory
13 tract infections over and over through life. That's
14 something that just routinely happens, but it's not that
15 you have shifting RSVs that behave a little bit like flu.
16 That's not the case.

17 DR. MONTTO: In terms of what goes on in other
18 populations, I think we're learning more and more about
19 what goes on in adults, especially older adults. We're now
20 involved in a CDC-sponsored hospital prevention study which
21 started only involving flu and now has expanded to RSV.
22 And we see in a fairly influenza-immunized population that
23 RSV disease causing hospitalization is about 50 percent as
24 frequent as influenza.

1 I think that as RSV vaccines are developed for
2 other populations, other than very young children, we need
3 to be looking at what protects and what doesn't protect and
4 other factors, to try to inform what we do in terms of
5 design of trials of vaccines for very young children. We
6 also -- there's also a consideration, because of waning
7 immunity, which results in reinfection in adults unrelated
8 to shift and drift, there's discussion about annual
9 vaccination with RSV vaccines for older individuals and
10 then will we have a repeat vaccination issue with RSV
11 vaccines as we now have with influenza vaccines? So just
12 to add to the complexity rather than give us simple
13 answers.

14 DR. EDWARDS: Are there any other comments that
15 people want to make about the preclinical data? And also
16 from our FDA colleagues, are there any other specific
17 preclinical questions that you'd like us to address that we
18 haven't, for the first discussion topic?

19 DR. SUN: In listening to the committee -- first
20 of all, Wellington Sun, Division of Vaccines. In talking
21 about preclinical models, we've focused on animals. Are
22 there any ex vivo human preclinical models that can be
23 informative in this instance?

24 DR. EDWARDS: Certainly not that I would be
25 knowledgeable to discuss. I think that organ cultures and

1 those sorts of things might be able to be looked at but I'm
2 not quite sure that they're where the animal models are at
3 this point.

4 Are there others that have knowledge of in vitro
5 models that they would like to mention?

6 DR. LONG: Not on that, but we don't have listed
7 in there route of administration. We heard a little bit
8 about in some animal models they were using intranasal, but
9 it sounds like for human studies the plan is intramuscular,
10 and it certainly seems like mucosal immunity is important
11 for a vaccine for RSV, so just wanted to mention perhaps
12 that the route of administration and the impact of that
13 needs to be considered as well.

14 DR. MCINNES: I want to give a shout out for
15 assay, again, and that the assay -- a lot of attention be
16 given to the assay in the preclinical development phase,
17 because it's going to have to carry over into the clinical
18 development phase in order to have confidence between being
19 able to map back to both of them.

20 DR. EDWARDS: So, I think then that the
21 preclinical data, everyone feels it's very important, and
22 it needs to be more than a single animal, and whether it
23 needs to be more than three, and how the additional primate
24 models need to be developed. I think everyone agrees that
25 we need to have standardized assays, standardized viruses,

1 and animals that can be compared one with another. And
2 indeed, this is a very important aspect. Any other?

3 DR. NOTARANGELO: Again, in favor of the studies
4 in primates, there are better tools investigating immune
5 response in primates than in cows. So that's another
6 argument, if you want to characterize carefully, the immune
7 response, that's another point to consider.

8 DR. KRAUSE: We're very pleased with the breadth
9 of the discussion that we've heard here, and this of course
10 is not a voting topic, and we've certainly heard a lot of
11 suggestions for things that could be done to investigate
12 this issue further. As you've heard there are companies
13 who are moving forward with these ideas and who have
14 described studies at least in seropositives that are
15 ongoing or nearly planned, with the idea of potentially
16 moving forward into RSV-naive infants fairly quickly.

17 And so what I'm not getting a complete sense of
18 from the discussion here yet, and maybe it's not fair for
19 me to ask this, but nonetheless it's -- hearing the
20 suggestions, one could for instance develop a primate
21 model, and based on my understanding of what's known about
22 that, it might take five years to get there, or more.

23 And so what I would like to understand is, of
24 these suggestions that we've heard, which of these are
25 things that members of the committee feel strongly really

1 need to be done in order to support progressing into human
2 infants who are RSV naive? And so perhaps this can be
3 asked, based on the kind of data and the models that have
4 been described so far, is there a sense that there are
5 strong reasons not to proceed and that substantial
6 additional research needs to be done before companies can
7 proceed? Or is it a question of taking the existing models
8 and making sure that the doses, the timing of challenge,
9 inclusions of perhaps an additional model that has some
10 characterization or whatever, that that is adequate.

11 Sorry to do this, but I would like to hear a
12 little more on the preclinical topic.

13 DR. NOTARANGELO: So, my two-cents answer, I would
14 favor at least the three animal models that have been
15 discussed, although I am still in favor of the primate. I
16 would say, exploring alternative routes, I think this is
17 important. Multiple doses. And standardization of assays.
18 These are critical points in my mind.

19 DR. MONTTO: Just taking off from the comments, I
20 just wonder how much of our discussion really is focused on
21 the word essential that's in the question. How much of
22 this is essential, and how much of this is something that
23 would be great if it could be done within a relatively
24 limited period of time, given the state of development and

1 the -- what we've heard about some of the lack of
2 predictability of some of the models?

3 DR. WHARTON: I think the standardization issues
4 and assay standardization issues are essential. Looking at
5 the dose variation and the interval variation is essential.
6 I think it's essential to look at more than one animal
7 model. I'm not actually sure it's essential to look at all
8 three of the existing models, I don't know that it's
9 essential to look at additional ones, but I do think that
10 making sure that findings are in general consistent across
11 models is probably important given that we're using these
12 models to provide reassurance to move forward to a very
13 vulnerable population.

14 DR. LONG: I think the core value of what has to
15 be done is to do no harm to young infants. So I think that
16 would sort of help us with essentials, and I think that
17 might differ by the kind of vaccine that it is. We're
18 probably none of us as worried about an attenuated or a
19 vectored as a subunit for causing enhanced respiratory
20 disease. So that's one thing is be sure that we would do
21 no harm when it got to young infants. It would get worked
22 out if it was effective or not, and that can happen in
23 clinical trials.

24 But the other thing is then to do all the
25 standardization that is essential so that you can translate

1 what you learn from one thing to another and to try to
2 evaluate the immunologic response so that we could better
3 predict or feel assured that some other kind of vaccine
4 would not cause harm when it got to the naive.

5 DR. SAWYER: I want just to add in support of the
6 importance of the interval measurement, is if this is going
7 to be proposed as a year-round vaccine, there are some
8 babies who may get it 9 months before they get exposed to
9 RSV, so that really seems essential to me, to look at that
10 interval question.

11 DR. KOTLOFF: Yes, I was going to mention the
12 interval. And then, this is actually more of a question.
13 So I think the concomitant vaccines is a very important
14 issue, but I don't know whether you can use the animal
15 models to assess that. So I'm wondering if that's feasible
16 to do. I don't think it would be exorbitantly difficult to
17 do if the model would support the information.

18 DR. EDWARDS: It would seem to me that an approach
19 that separates the standard from the new experimental RSV
20 first would be a prudent one, because I think that that --
21 giving multiple antigens and with alum and different kinds
22 of substances at the same time may make a vaccine that
23 looks very different given by itself quite different when
24 it's given in a combination. So I think it would be safer
25 to study it by itself rather than combined. In humans.

1 DR. KOTLOFF: What about studying it first in
2 animals? That was what I was asking.

3 DR. EDWARDS: Certainly, we've learned a lot, and
4 perhaps should have learned a lot sooner, about some of the
5 mouse data with pertussis. It does seem to be interesting.
6 I mean, obviously mice are not men, but I think that there
7 may indeed be looking at concomitant vaccines, or some of
8 the vaccines, that may be helpful in that regard. In
9 animal models.

10 I think, Phil, no matter what the preclinical
11 data show, that if we were going to be doing studies in
12 human infants and when they will be done, I think that we
13 will be reassured, but we'll all be wanting to make sure
14 that those are done very cautiously.

15 DR. MCINNES: And at the highest rigor and
16 quality. A negative answer is reassuring, but we don't
17 understand enough to know that if we picked another
18 variable we might have triggered a positive. So that's --
19 I feel bad that I can't sort of have some prescient answer
20 for you, but that's what I think you're seeing around the
21 table. Negative is great. But is it expansive enough?
22 Have we looked at enough variables? Had we just happened
23 to pick some, and is the quality and rigor with which the
24 study's done sufficient? And I would insist on that. I
25 think that has -- everything has to be put on the table.

1 DR. MONTO: I think some of this uncertainty is
2 reflected to what we're going to hearing about our
3 discussion of item two. How much of this is going to be
4 useful in being able to predict what's going to happen in
5 seronegative children? Because this is, in general when we
6 look at animal models, this is just to clear something
7 before we start really learning what goes on in humans, but
8 here it's a bit different.

9 DR. PORTNOY: That reminded me, there is one
10 difference between the preclinical studies in animals and
11 the results in naive infants in that none of them are going
12 to be antibody negative. All of them are going to have
13 some RSV antibodies from their mother. What effect does
14 having those residual antibodies have on immune responses?
15 And in these animal models, none of them have preformed
16 antibodies already there, and maybe it would be necessary
17 to look at what effect that has on the immune response.

18 DR. EDWARDS: I think we're ready for question
19 two. Sarah, would you like to, discussion topic, would you
20 like to review that?

21 DR. BROWNE: Please discuss the role of clinical
22 data from the adults and RSV-experienced infants to support
23 evaluation of RSV vaccines in the RSV-naive infants.

24 DR. EDWARDS: Well maybe I can start, since no one
25 seems to want -- I think that it's all very nice to know,

1 it's certainly very nice to know that the vaccines would
2 make a brisk immune response. It's nice to know what kind
3 of cellular and humoral immune responses are given, are
4 induced, when the adults and seropositive children are
5 immunized. And certainly a measure of safety would be
6 helpful. But it really isn't the same as the naive infant.
7 So I think it's all very necessary, but won't provide all
8 that needs to be provided.

9 DR. LONG: I think I would be interested to see
10 viral titers in I guess the nasal pharynx, in these
11 experienced in adults, just to be sure that at the time
12 they are exposed naturally that there isn't a major rise in
13 titer that was unexpected.

14 DR. NOTARANGELO: I also think this would provide
15 an opportunity to investigate in greater detail the immune
16 response to the vaccine, given for granted, of course, that
17 we're targeting at a different population than the naive
18 infants, but at least you would have an opportunity to
19 standardize, again, a number of assays that might be
20 relevant when studying the naive infant cohort.

21 DR. EDWARDS: Would there be any benefit of
22 challenge studies in these populations, of RSV challenge
23 studies? I mean, certainly you could time the challenge,
24 and you wouldn't just have to depend on when RSV
25 circulated, and certainly that has been done with some of

1 the pharmaceutical, RSV pharmaceutical agents. Would that
2 be helpful?

3 DR. PORTNOY: I think you almost have to, because
4 how do you know when somebody is infected with RSV?
5 Especially adults. They may just have a cold. Are you
6 going to test them every time they get a runny nose? And a
7 lot of them are getting subclinical infection. You don't
8 even know if they have RSV. So the only way you can really
9 tell what response they have is by challenging them and
10 seeing what they do.

11 DR. EDWARDS: Arnold, you look like a doubting
12 Thomas.

13 DR. MONTO: I've always felt that there are
14 enormous limitations with challenge studies. They appear
15 to be a nice experiment, but there are always questions
16 about the potency of the challenge virus, how much this
17 really resembles natural infection. There are limitations
18 in size. These studies generally have IRB issues and often
19 can be interpreted only in terms of if they come up with a
20 negative result in terms of protection, for example.

21 If you're not protected in these challenge
22 studies, chances are you're not going to be protected
23 against natural infection. I think here, if we're really
24 worried about ERD, I'm not sure what they would contribute.
25 We know how to do a reasonably large scale clinical trials

1 now. They're not simple. They require good surveillance
2 and good specimen collection, but you learn a lot more for
3 your investment.

4 DR. KOTLOFF: I think it depends on the question
5 that you're asking. I think if the question is safety,
6 challenge studies in experienced adults are not going to be
7 helpful at all. I think that challenge studies can be very
8 helpful for infections that, for example, aren't endemic in
9 the study population. So if you're looking at cholera or
10 shigella in the United States.

11 But I think we know multiple examples of vaccines
12 being efficacious in primed individuals, but not
13 efficacious in naive individuals. So I think as Arnold
14 said, maybe if it doesn't work, that's helpful, but if it
15 works, I'm not sure that's going to really predict what
16 will happen in a naive individual.

17 DR. LONG: There is more and more experience with
18 continual surveillance in families, looking for respiratory
19 viruses over a year's time, and both from Utah and from
20 Wisconsin. The Wisconsin one was very nicely done, where
21 you put saline up the nose at home and have them let it
22 flow into a baggie and then test it for viruses every week.
23 So you can get around how clinical it is or isn't.

24 I don't think we discussed this, but by necessity
25 these are going to be placebo controlled? We're going to

1 require placebo controlled? If that was the case, then you
2 could do these kinds of surveillance over a year's time.

3 DR. EDWARDS: Let me just summarize, that we all
4 would like the clinical data in the adults and the RSV-
5 experienced infants to provide immunogenicity and safety
6 data, and would have to be positive. But in spite of that,
7 going to RSV-naive infants still -- all the questions have
8 not been answered.

9 So the third discussion topic, Sarah?

10 DR. BROWNE: Please discuss how studies in RSV-
11 naive infants could be designed to mitigate concerns about
12 ERD throughout clinical development. Please consider
13 aspects of initial study design, such as eligibility
14 criteria, age de-escalation, and duration of follow-up.
15 Please consider relevant aspects of phase III study design.

16 DR. EDWARDS: Very difficult questions. I guess
17 one of the things that goes without saying is that the
18 parents of the children who participate in these studies
19 will have to be very, very carefully educated, will have to
20 understand all the nuances of the questions that are going
21 to be addressed, will have to really fully understand the
22 risks and the benefits in ways that have to be reassured.

23 And so whether there's testing, or whether
24 there's individual monitors, or how this looks, I think
25 it's going to have to be very, very carefully done, and

1 people are going to need to understand all of the nuances
2 in a way that is clearly described to the lay parents.

3 DR. NOTARANGELO: In regard to what we heard from
4 the two companies, one thing I would personally recommend,
5 is a different kind of monitoring. I heard one monitoring
6 was based on monthly nasal swabs. The other one was based
7 on swabs at a time when you have any signs of respiratory
8 tract infection. I think this, in naive infants, calls for
9 a much more active and continuous monitoring, with weekly
10 phone calls and recording of the situation.

11 DR. EDWARDS: Perhaps weekly home visits,
12 something of that nature.

13 DR. PORTNOY: This is a study that's really
14 different than most pharmaceutical studies that I
15 participate in. Usually the consent form says that you
16 have a right to withdraw from the study whenever you want
17 to. Once the vaccine is delivered, you no longer have the
18 right to withdraw from the study. You have to stay in the
19 study, and be monitored for the full year-and-a-half, two
20 years, even if you don't want to be in the study anymore,
21 because you can't undo the vaccine.

22 So it's really a different kind of a study, and I
23 think parents need to be aware of that fact, and that it's
24 really quite different. IRBs might have a difficult time
25 dealing with that concept also. It's not something they

1 can just withdraw from. I do agree that it needs to be a
2 two-year study, because the ERD can happen months 18 months
3 later, so you have to monitor them for at least two years.

4 These are naive infants, you're worried about
5 ERD, you have to know when they're vaccinated. I agree, I
6 think maybe weekly swabs need to be happening, plus in
7 addition any time you have respiratory symptoms, you need
8 to do another swab. So it would be more than weekly swabs
9 need to be done. These infants just need to be monitored
10 very closely.

11 DR. EDWARDS: What about eligibility criteria?
12 Should these children have siblings, or no siblings?
13 Certainly we know that the RSV bearers are generally
14 siblings. Should that be something that would be looked at
15 first, or what other eligibility criteria or conclusions or
16 concerns might you have?

17 DR. MONTTO: Other than lack of underlying
18 conditions, I really think it could introduce unnecessary
19 complexity. It's hard enough to recruit a study like this.
20 I think the key thing is going to be timing, in terms of
21 when during the year you want to be doing this study,
22 whether you want to have a challenge quickly or longer.

23 Given the natural history, if you want to call it
24 that, of the old inactivated studies, even this doesn't
25 seem to make any difference, because the events occurred

1 further out, and if anything, I think you'd want to know
2 sooner rather than later, because you might be proceeding
3 down the path given the small numbers. And I think this is
4 going to be very, very tricky because of the numbers
5 involved. When you think of the frequency of this kind of
6 event, how long it will it take to detect it if it does
7 occur?

8 DR. EDWARDS: I guess it depends on how common
9 the event is, yeah.

10 DR. KOTLOFF: Along those lines, I think that one
11 of the studies that we saw was excluding a 30 percent
12 incidence of ERD, and I think that's the worst-case
13 scenario, but I actually think the bar should be lower than
14 that.

15 DR. GREENBERG: A naive question about these naive
16 children: is there any antiviral on the horizon that would
17 be considered as a rescue if something bad were to occur?

18 DR. EDWARDS: There have been those reported, so
19 that is an interesting question. However, it might not be
20 the virus. It might be the immune response that we're
21 dealing with.

22 DR. WHARTON: Would we expect an antiviral to be
23 effective, given what the thinking about pathogenesis is?

24 DR. EDWARDS: What do people think about, instead
25 of -- certainly when we had LAIV in the very beginning, we

1 used it sort of as a challenge of natural flu, and is that
2 a way that we could conceivably look at the live attenuated
3 RSV vaccine as a model to see whether more disease would
4 occur instead of waiting for the natural disease? I'm just
5 saying is that a safer approach?

6 DR. MONTO: It all depends. The problem -- the
7 good part is that it's attenuated and is not supposed to be
8 causing disease. The bad part is, is that really what you
9 want in this situation? Again, I think it's like any
10 challenge model; if the results are positive, then you know
11 not to proceed.

12 If the results are negative, then this might give
13 you assurance, and in this case, this might be valuable,
14 because it's a different question, and with the questions
15 we usually come up with or try to answer in the challenge
16 model.

17 DR. WHARTON: Just in thinking about your
18 question, is that a question that could be answered in an
19 animal model, about would the live attenuated be less
20 likely to elicit enhanced respiratory disease following --
21 rather than wildtype virus challenge?

22 DR. EDWARDS: I guess you could use the formalin-
23 inactivated vaccine and then challenge with the live to see
24 whether -- yeah.

1 DR. SAWYER: The question was asked earlier about
2 cytokine profiling as a predictor of trouble, and the
3 answer was we didn't think that would work. Is there --
4 how about simply family history of immunologically-mediated
5 disease? Is there any likelihood that that would predict a
6 more severe reaction, and should that go into the study
7 design, is why I ask?

8 DR. EDWARDS: Were there any data from the old
9 studies that suggested that these patients were from atopic
10 families or Fernando or anything that --

11 DR. POLACK: One child had had bronchiolitis at 3
12 months of age. That's all I know at least.

13 DR. EDWARDS: So that bronchiolitis wasn't
14 confirmed to be RSV. Because that would have been
15 worrisome if it was, right?

16 DR. POLACK: You know, all I know is reading the
17 autopsy reports. There is a case of bronchiolitis. One,
18 the other child had croup. So 11 months. But I don't know
19 that there is anything else, and nothing about the parents.
20 I think these kids were actually had no parents at the
21 time.

22 DR. EDWARDS: It is an interesting question, for
23 sure.

24 DR. PORTNOY: My understanding, as I recall, the
25 Tucson cohort, there was the respiratory study that

1 Fernando Martinez did for many years. They looked at
2 infants who were born and did bronchial challenges.
3 Actually they measured hypo-responsiveness using cold air
4 challenges to see which infants had airways hyper-
5 responsiveness. Then they followed them to see which ones
6 got bronchiolitis due to RSV and how severe was it, ended
7 up in the hospital, and they were able to show a
8 correlation between bronchial hyper-responsiveness prior to
9 getting the RSV and the severity of the RSV infection.

10 So there may be a predictor in that respect.
11 That predicts severity of bronchiolitis infection. It
12 doesn't predict ERD, but I don't know whether that can be
13 useful information on designing a study like this.

14 DR. JANES: We are following up on the question
15 about the criterion for what constitutes an unacceptable
16 increased risk of ERD in these trials. So I may have
17 misunderstood, but I thought based on the Janssen studies
18 that the criterion that was laid out was an absolute risk
19 of 10 percent or more, which is substantially lower than
20 the increased risk that was seen in the earlier studies. I
21 don't know what the right number is, and obviously that
22 would be a key parameter that would drive the size of these
23 trials with the smaller that margin is, the larger the
24 trial would necessarily be.

1 DR. KRAUSE: I'll just add we don't necessarily
2 need you guys to tell us exactly what that number is. We
3 understand that the tradeoff is that the lower that number
4 is, the larger the initial studies have to be and the
5 larger the number of children who are theoretically placed
6 at risk if in fact there's a problem. So that's going to
7 be a fine line to walk for sure.

8 DR. KOTLOFF: Another question for a phase III
9 study is are we aiming to try to prevent all RSV disease or
10 are we aiming to prevent severe RSV disease, and then this
11 is probably even in the post-licensure phase, but apnea is
12 another cause of morbidity and mortality that we see in
13 these kids, and at least at some point during the lifespan
14 of evaluating this vaccine, I think we should look to see
15 if there is an impact of vaccination on apnea if that's
16 possible, or sudden death.

17 DR. EDWARDS: Which would take large sample sizes.
18 And fortunately sudden infant death is much less common now
19 with positions.

20 Okay so the eligibility criteria, I think we have
21 talked over a little bit. Some people feel just sort of
22 healthy babies. Others have concern about asthma, reactive
23 airway disease. So that any other eligibility criteria
24 that we -- Sarah?

1 DR. LONG: I think that the gestational age would
2 be 37 weeks and more. At that point, there are not data
3 that RSV is more likely to lead to hospitalization at
4 least. So I think that would be the cut point, and it also
5 would presume that you would have your mother's antibodies,
6 that a term infant would have or close to a term infant,
7 and anybody under that would be quite different.

8 DR. EDWARDS: So should there be any screening of
9 maternal antibody? Should the --

10 DR. LONG: Well, aren't the studies of naive going
11 to only be done in infants who have them not experienced
12 themselves RSV and who are antibody-negative at the start?
13 Or would that -- did I misunderstand that?

14 DR. EDWARDS: I think that will have to be defined
15 whether you -- by definition they wouldn't have been
16 infected, because they weren't around in a season, but if
17 their mothers had antibody, then they still would be naive,
18 but they would have antibodies. So will that be something
19 that needs to be looked at in the studies as well? Do you
20 want -- or should it, would it be better to have a little
21 bit of maternal antibody for the first patients to be -- or
22 is that irrelevant? Probably needs to be known at least
23 what the maternal antibody is, but whether it's a
24 restriction, I think it --

1 DR. MONTO: Logistically, how will you do that in
2 terms of --

3 DR. EDWARDS: You just have to get maternal. I
4 mean, you just, well, you'd have to get the baby's antibody
5 I guess.

6 DR. MONTO: Yeah, it would be the baby's antibody.

7 DR. ROBERTS: So, Kathy, are you are suggesting
8 that we maybe ask for cord bloods? Cord bloods are very
9 hard to --

10 DR. EDWARDS: Well, that would be nice, but you
11 know, it is hard to know -- it's hard to know at that the
12 time of birth that you're going to enroll in this study in
13 2 months, particularly one this complicated. So that I
14 think would be difficult. I think you just have to measure
15 antibody levels in the baby, which is reflective of the
16 mother's.

17 DR. LONG: And go ahead with enrollment not
18 knowing the answer and then looking at it after the fact I
19 think would be what it was. I think we know from antibody
20 decay in the clinical onset of hospital-type RSV disease
21 that it goes away really quite fast. I mean, it's why you
22 see that big uptake at the -- in disease and
23 hospitalization and mortality at the end of the first
24 month, and others probably know that decay better.

1 But it's pretty darn rapid and I guess it would
2 depend on what time of the year you were born and did your
3 mother just get boosted or is she six months away from her
4 last boost, might all be variable, but I think you would
5 need to know these data when you analyzed whether these
6 were naive and antibody-negative or naive and antibody-
7 positive or what they were.

8 DR. NOTARANGELO: I agree. And in fact I would
9 actually collect serum at the time the vaccine is given and
10 then follow the antibody titers following immunization.
11 That would be the best way to assess the situation. I
12 would personally not consider eligible any infant who has a
13 previous history of bronchiolitis, of course any infant
14 with newborn screening positive for CF, any heart disease,
15 lung disease. Those should not -- and premature babies.
16 Those should not be eligible.

17 DR. GREENBERG: One thing that strikes me is it
18 seems as though the whatever study is taking place for any
19 vaccine, candidate vaccine, in this, say, the 12- to 24-
20 month-olds or the 6- to 12-month-olds who are seronegative,
21 because if you have a toddler who is seronegative, I don't
22 know what immunologic assays are going to be helpful there,
23 but if that's your last chance to understand whatever you
24 can prior to going to that 2-month-old who is seronegative,
25 who is naive.

1 So I don't know what that is or what those
2 endpoints, critical endpoints, are, but if there's anything
3 that could be learned from an 18-month-old who is
4 seronegative that would help understand what's going to
5 happen in the very younger infant, obviously that would be
6 reassuring to everyone.

7 DR. EDWARDS: So, we sort of talked about in a way
8 age de-escalation. I mean, the older that you could have,
9 the older that you could be and be seronegative, those
10 would be the ones you would study first, and then younger
11 in terms of -- okay, Sarah?

12 DR. LONG: I am just thinking about your comment,
13 David, and you might get at it a little bit about in your
14 enrollment of 18 months old did they, were they born in
15 such a timeframe and did they have a history of any kind of
16 wheezing diseased observed or not observed. Since most of
17 these infections are clinical and they're not colds, they
18 are some kind of wheezing event if you get in the first
19 year of life.

20 DR. MCINNES: I have a question for the
21 manufacturer who is proposing that study. I think it's a
22 Janssen. What do you anticipate your screening to
23 enrollment ratio to be to identify those seronegs that are
24 12 to 24?

1 DR. SAVILLE: That is a very good question. It
2 really also depends a little bit on where we do the study.
3 So for example, we have looked at some countries like
4 Finland and the UK, and from that we believe that we might
5 see some differences, and I think that's largely a little
6 bit different -- the differences are related to the
7 countries and how early kids go to daycare and all of that
8 sort of thing. So it will be variable. So for example, in
9 Finland it's the second year of life they go to daycare.

10 So the data that's available in Finland suggests
11 you might have a higher rate than the UK. So it is
12 variable, but likely to be more seropositives than
13 negatives. So it might take a while to find those toddlers
14 who are seronegative.

15 DR. MCINNES: I was thinking, I mean, it may bias
16 towards finding only the 12-month-old. I just wasn't quite
17 sure what your spectrum would be between 12 and 24 months,
18 what your distribution might look like in thinking a little
19 bit about David's question. It sounds awfully civilized to
20 get thrown into daycare at least in your second year of
21 life.

22 DR. LONG: Are they collecting, Kathy, are they
23 collecting serum before immunization? You're planning on
24 in the toddlers. So you might be able to get to it. I
25 don't know; you probably know if you had it in your first

1 year, would you potentially be seronegative 12 months
2 later? But at least if you weren't, you would know they
3 were not naive.

4 DR. EDWARDS: Natural RSV infection isn't always a
5 good immunizer.

6 DR. MCINNES: Aren't there some T and B cell
7 assays though that -- no, they may be in experimental
8 stages, that can detect natural infection, prior infection,
9 even though your levels are low?

10 DR. EDWARDS: And certainly we agree with the
11 duration. Yes, Holly?

12 DR. JANES: Did I understand correctly that the
13 planned studies in the 6- to 12-month-olds would not screen
14 for seropositivity? Is there a sense that there would be
15 some variation in seroprevalence in that population?

16 DR. SAVILLE: In the 6- to 12-month-old screening
17 and taking the seronegatives specifically, because we
18 really want to get in that population. When you get down
19 to the 2-month-old, though, there's obviously the maternal
20 antibodies. So we would anticipate taking all comers into
21 the study, but measuring the antibody.

22 DR. EDWARDS: Yes, Luigi?

23 DR. NOTARANGELO: Just to emphasize the point of
24 RSV. So what I think Pam was talking about is CD8-positive
25 T cells that are stained by RSV-specific tetramers. I

1 think that would be a much better way to investigate the
2 frequency of RSV-specific CD8-positive T cells in
3 peripheral blood and should probably be encouraged in such
4 a trial.

5 DR. EDWARDS: Thank you. Duration of follow-up,
6 everyone agrees it needs to be at least two seasons? Okay.

7 DR. LONG: Kathy, just on that. Two seasons
8 depending on when you were immunized. Two seasons distant
9 from your immunization. Two seasons after you get your
10 third dose if there are three doses. So if you started in
11 October, I don't think the first season would really be
12 your first season, if we're thinking about ERD.

13 DR. EDWARDS: Maybe just as WHO, two years.

14 DR. LONG: Well, and then for others, I think two
15 years might be more time than you needed. I don't know how
16 you make those rules, but it sounds like you could figure
17 it out that you could halt some a little sooner than the
18 other, depending on the -- or change your month of
19 enrollment so that everybody would be 4 months old 4 months
20 after their vaccine when they got to their first season.
21 Then I think two seasons would be fine, and you would be
22 ending up with just under 24 months. Am I right?

23 DR. NOTARANGELO: What about 30 months? Would 30
24 months actually help there?

1 DR. MONTO: What kind of surveillance would be
2 necessary during that time?

3 DR. EDWARDS: Well, going to their house every day
4 for 30 months, they would be ready to shoot you by the time
5 that they --

6 (Laughter.)

7 DR. MONTO: That is why I am bringing it up. Are
8 you looking at intermittent collection of bloods? Are you
9 looking at illnesses of all types to detect whether they're
10 getting infected with RSV?

11 DR. EDWARDS: Probably would need to be
12 respiratory.

13 DR. KARRON: I was wondering if I might comment on
14 surveillance, because we have done it for many, many years
15 in our studies of live attenuated vaccines, and I think we
16 have learned something about what's feasible. So we
17 followed children from every medically attended febrile or
18 respiratory illness. If you see children for every runny
19 nose, you will be seeing them constantly, all the time.

20 So during the RSV season and then when children
21 have those events, we then go and examine them and sample
22 them, and that seems like a reasonable balance between
23 burden on families and study personnel and gathering the
24 information you need in terms of ERD.

1 DR. EDWARDS: Certainly there are certain
2 respiratory assessment tools that have been recently
3 published from your group and also from the Rochester Group
4 in terms of how to assess or stage or qualify those. Have
5 those been helpful, Ruth?

6 DR. KARRON: We have not used those specifically,
7 and a lot of those -- so the Rochester grading criterion
8 and the one that was from Argentina with Fernando was for
9 hospitalized children and, again, if you are talking about
10 the United States, the rate of hospitalization is still
11 very little. I mean, we have had one or two children
12 hospitalized over years and years, and part of it is we
13 preselect children who haven't wheezed and are otherwise
14 healthy. So we are still in our early stage trials we're
15 selecting very healthy people, but we don't have a good
16 scale for the kind of outpatient medically attended illness
17 that I think we are mostly talking about.

18 DR. EDWARDS: And you have been -- you sort of
19 excluded children that have had any respiratory issues
20 before or what about family histories of wheezing or
21 anything?

22 DR. KARRON: We have not excluded children with
23 family histories, but we have excluded children with their
24 own histories.

1 DR. PORTNOY: I think one thing that we haven't
2 really discussed is how are we going to define ERD in these
3 infants if they get it, because how do you differentiate
4 between ERD and just a really bad episode of bronchiolitis,
5 since ERD is the endpoint of the study and we are assuming
6 that these kids aren't going to necessarily die so that we
7 can do histopathology on their lungs. They're just going
8 to have a really bad episode of bronchiolitis. How are we
9 going to identify who they are and whether that is what
10 they have when we're trying to determine whether the
11 vaccine caused that?

12 DR. EDWARDS: I think it will be difficult.
13 Probably one might want to look at amount of virus, whether
14 that would be helpful in terms of titer, whether there
15 would be eosinophilia or other kinds of markers which we
16 heard if they're not there, it's not helpful anyway. So I
17 think that's an excellent point.

18 DR. PORTNOY: But there are host variabilities in
19 production of those things. I mean, could we maybe look at
20 cytokine profiles, draw blood from these infants and look
21 at Th2/Th1 profiles like they did in the animal models as a
22 way of doing that? We could take sputum and look for
23 eosinophilia perhaps. Those types of things could be done,
24 but we really need to think about this, because otherwise
25 kids with severe bronchiolitis are going to be grouped with

1 ERD. Maybe they are the same thing. I just don't know the
2 difference. I haven't heard anyone provide evidence that
3 they really are a different thing.

4 DR. NOTARANGELO: I think Fernando showed that
5 actually eosinophilia would not be indicative of ERD. You
6 may find no eosinophilia and yet have ERD. So I don't
7 think eosinophilia would help. Personally, I think there
8 is no real way to do this. That's a challenge. I mean,
9 then I don't think you can easily distinguish, unless you
10 have a lung biopsy, which of course you don't do.

11 DR. POLACK: I don't know the answer. I would
12 still get blood. I would still look at the differential,
13 because a negative won't say anything, but frequency plus,
14 you know, there's one of the studies, one of the
15 epidemiologic studies by Chin, that does show that you can
16 see some increasing eosinophils.

17 So a negative, the kids that died didn't have
18 anything but a positive may go a long way, and I think it
19 is also frequency, and they had very high fevers. They had
20 39-degree fevers. So it's not that anything is going to be
21 the answer, but I think frequency plus all these things may
22 help you recognize the risk.

23 DR. NOTARANGELO: I agree on collecting blood.
24 However, if you include eosinophilia to define ERD, then
25 one negative is already means something, that you can't --

1 that patient would have not been diagnosed with ERD,
2 whereas pathology clearly show that it was ERD.

3 So I would not make it an absolute criterion to
4 define ERD. So yes, that's what I wanted to mean. There
5 is no way to define in an obvious manner that a patient has
6 ERD. You can probably either define a constellation of
7 criteria, but this will be after hoc(?). I don't know that
8 right now we have enough elements that allow us to predict
9 which patients really have ERD versus those that don't.

10 DR. POLACK: No, I don't think we do.

11 DR. EDWARDS: So certainly, that's something that
12 has to be defined and really dissected in the outcomes of
13 the study.

14 Karen?

15 DR. KOTLOFF: I just wanted to go back to the
16 efficacy endpoint and the idea that you would have to visit
17 the families every day for three years. I think that it's
18 possible just to look for efficacy endpoints during the RSV
19 season, and I think there's also a pretty good model. So
20 the pivotal LAIV studies that we did through the VTU did
21 surveillance every 7 to 10 days during the season.

22 So then parents were instructed to call for
23 certain other endpoints. So I think there is a feasible
24 model that was able to demonstrate efficacy in a 6- to 23-
25 month age group.

1 DR. EDWARDS: We actually had drive-by swabbings.

2 (Laughter.)

3 DR. MONTO: Actually that's become more and more
4 easy to do, because now we have home swabbing and all sorts
5 of things. What I wanted to comment on was I really think
6 that we need to follow up in terms of defining ERD, because
7 there are going to be vaccine failures, and a simple
8 vaccine failure with a relatively severe disease is going
9 to look superficially a fair amount like ERD.

10 DR. PORTNOY: I am hoping that perhaps this group
11 can come up with criteria for defining ERD or perhaps some
12 research can be done to do that, but in lieu of that, we
13 may have to just rely on statistical difference between the
14 vaccine and the nonvaccine in terms of severe episodes in
15 order to determine whether the rate of ERD/severe
16 bronchiolitis is greater in one group than the other,
17 because otherwise we're not going to be able to point to
18 any one individual and say they have it, but statistically
19 we can determine whether there's an increased risk among
20 vaccinated versus unvaccinated infants.

21 DR. EDWARDS: So certainly the placebo group is
22 very important.

23 DR. PORTNOY: The placebo group has a lower
24 prevalence of severe episodes, we can say that the
25 vaccinated group probably is having some increased signal.

1 DR. KOTLOFF: I am wondering if there are any
2 proxies that correlate with ERD, for example in the primate
3 model. So if you can look for circulating immune
4 complexes, if there's anything that you can look at in the
5 nose as a proxy for what's going on in the lung, if that
6 has been examined at all. So I'm wondering if there are
7 any noninvasive ways of getting a sense that this is going
8 on.

9 DR. MCINNES: I am a little confused, because the
10 Janssen group presented a case definition and defined it
11 and then defined how they were going to monitor infection
12 and assess the vaccine, et cetera. So are we saying we
13 don't agree with that case definition, or that they didn't
14 have one? I'm not sure where this is going.

15 DR. LONG: I think seeing an awful lot of children
16 hospitalized with RSV now that obviously don't have ERD and
17 reading a lot about those and living when the measles
18 business was going on, they are quite different. The RSV,
19 you know, you get sick, you have some fever, you're at your
20 worst three days later. It's not that you're chugging
21 along and then as the ERD seemed to be have high fever, low
22 respiratory tract symptoms, and abnormal chest x-ray that
23 would be in an unusual way for bronchiolitis, but I think
24 clinically they are quite different in the way that they
25 act.

1 Can you definitively say? No, but I think with
2 some other things that you might look at, maybe nasal
3 eosinophilia, maybe nasal cytokines, nasal immunologic
4 responses, I'm not sure, or virus titer. Because these are
5 going to be high virus titer situations if they have ERD.

6 DR. EDWARDS: So, clearly, there needs to be a
7 consensus on that definition.

8 Let's go to the phase III study design as the
9 final aspect, and are there any other -- obviously the
10 numbers will be complicated because the larger the study
11 will be, the greater the risk, but the more information
12 that will be engendered. Certainly we'll need to make sure
13 that there's not a single episode of RSV that's missed. So
14 there will need to be very, very close assessment. I think
15 in that, in the LAIV study that was done in the VTU, I
16 think that during the winter season, the mean time the
17 patients were studied was six or seven times.

18 So we're going to have to get a lot of samples to
19 make sure that there's not any missed. Would we be
20 comfortable in doing that in a home situation where people
21 are getting their own samples or maybe not the first time,
22 but maybe subsequently we could look at that to make it a
23 little easier.

24 DR. LONG: It seems like weekly through the RSV
25 season would certainly be enough, and then keep track of

1 the clinical symptoms of course all that time, but you are
2 certainly still going to get colonization or you are going
3 to find the RSV, the one before, the one after they became
4 symptomatic if they became symptomatic on day 2, 3, 4. The
5 first one is going to be positive or it's going to still be
6 positive a few days later. So I think weekly through the
7 RSV season. So that might be 20 a season. I don't think
8 that's overwhelming.

9 DR. NOTARANGELO: Something I missed actually when
10 I discussed the exclusion criteria, now the newborn
11 screening for -- it's not really just for severe combined
12 immune deficiency but for T cell lymphopenia. It's widely
13 used in this country, and there are many other causes of T
14 cell lymphopenia, much more common than severe combined
15 immune deficiency. All of those infants that are positive
16 newborn screening, they should be excluded.

17 DR. EDWARDS: Any other comments about relevant
18 aspects of phase III study design? Phil, do you have any
19 other really hard questions that you'd like us to address?

20 DR. KRAUSE: This may not be that difficult, but
21 one thing that was mentioned and discussed on some level
22 was actually your idea, Kathy, of doing challenge studies
23 using -- well, initially you suggested even a wildtype
24 virus, but then you thought maybe the live attenuated virus
25 in children who are immunized, and of course that's

1 something which has some theoretical advantages which you
2 know when the exposure was, you can follow the children
3 very closely if something bad starts happening, you know it
4 immediately. You presumably can minimize the number of
5 children who are exposed to risk.

6 So there are a lot of things that sound very
7 appealing about that idea, and of course, Melinda pointed
8 out that if one were going to use the live attenuated
9 virus, you would like to know that it actually mimicked
10 what one saw with wildtype virus, but given the potential
11 advantages of that kind of thing, and this is a totally
12 hypothetical and theoretical question, I wouldn't mind
13 hearing more broadly from the group.

14 Are there people who have concerns about doing
15 something like that? Because in general, we also worry a
16 little bit perhaps about doing challenge studies,
17 especially in infants or vulnerable populations. So are
18 there people -- maybe I'll sort of put it this way. Are
19 there members of the committee who think that the concerns
20 associated with that kind of an approach might outweigh the
21 potential benefits of pursuing that a little further?

22 DR. KOTLOFF: So, we were talking about the VTU
23 LAIV study. That was done. So there was no H1N1
24 circulating during this season when the LAIV vaccine was
25 evaluated. So children were challenged with monovalent

1 LAIV to try to get an assessment of efficacy. So these
2 were 6- to 23-month-olds. It was accepted by the
3 scientific community.

4 DR. EDWARDS: I think that RSV infection, like
5 income tax, is everybody's going to have to be subjected to
6 it. So I guess that it's probably better to get it --
7 well, you might say it's better to get it naturally than to
8 get it from someone else like a vaccine.

9 But I think it's not that everyone wouldn't be
10 exposed. So I think that you're not giving someone
11 something that they won't see. So it would seem to me that
12 it might be a gentler kinder way to give it, if we're
13 looking for the safety of the vaccine. So I guess that
14 would be my thought.

15 DR. SAWYER: So I think it might pose some
16 challenges to recruitment to your clinical trials. It
17 would delay the ultimate answer, because you're not only
18 asking parents to get this vaccine that might have a
19 negative effect when you're naturally infected and then
20 you're going to let your child get challenged to virus on
21 purpose that might do that. So I am not sure it's worth
22 the decrement in enrollment that might happen.

23 DR. KOTLOFF: I guess I was thinking that this
24 wouldn't be the efficacy trial. There's enough RSV that
25 the efficacy analysis would be with natural infection. I

1 guess this is to -- would it be acceptable to answer
2 specific questions in a limited number of people? I think
3 if you're giving a safe agent and for the reasons that
4 Kathy said and because it's been done safely before, that's
5 the setting where I thought it would be okay.

6 DR. LONG: I agree. I think it is a great idea to
7 answer the first pass of safety, and the logistics are just
8 that these would undoubtedly be two unapproved vaccines
9 made by different people, different companies having to
10 work together to do this in a limited number of children.
11 But I think it would be great to be able to do.

12 DR. PORTNOY: That would be a great phase II trial
13 looking at safety, but when the question was phase III,
14 that's a study of efficacy. In that case, you do a
15 randomized placebo controlled trial. You enroll as many
16 patients as you need to get statistical power, and then you
17 follow them over time and look at the difference between
18 those, how many of them get RSV and how many of them don't.

19 My understanding is all of them are going to get
20 it, but some of them will have milder disease than others.
21 So the outcome won't be you get it or you don't get it,
22 like in a lot of vaccines. It is the severity of the
23 infections. So there has to be a severity score with all
24 the way from maybe 6, where it's the most severe, down to 1
25 where it's the least severe.

1 Somehow it has to be a scoring system and then
2 you have to look at the difference between the two groups
3 to determine whether there is a statistically significant
4 difference in the severity of the disease in those who are
5 vaccinated versus those who are not. That's the kind of
6 model I would expect to see in a phase III trial.

7 DR. EDWARDS: Very well said.

8 Any other comments? Any other questions that
9 people would -- any other comments from the committee? Any
10 other questions that the FDA would like us to address?

11 DR. KRAUSE: Thank you very much. We appreciate
12 the comments.

13 DR. EDWARDS: Well, I think then we are at the end
14 of the day. I think that we certainly have had some
15 wonderful presentations and a lively discussion, and I hope
16 that this has been helpful to the agency.

17 Thank you very much.

18 (Whereupon, the meeting was adjourned at 3:42
19 p.m.)

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