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FOOD AND DRUG ADMINISTRATION
CENTER FOR DRUG EVALUATION AND RESEARCH

PHARMACY COMPOUNDING ADVISORY COMMITTEE (PCAC)

Morning Session

Thursday, November 3, 2016

8:31 a.m. to 12:05 p.m.

FDA White Oak Campus
10903 New Hampshire Avenue
Building 31 Conference Center
The Great Room (Rm. 1503)
Silver Spring, Maryland

1 **Meeting Roster**

2 **DESIGNATED FEDERAL OFFICER (Non-Voting)**

3 **Cindy Hong, PharmD**

4 Division of Advisory Committee and Consultant Management

5 Office of Executive Programs, CDER, FDA

6

7 **PHARMACY COMPOUNDING ADVISORY COMMITTEE MEMBERS (Voting)**

8 **Michael A. Carome, MD, FASHP**

9 *(Consumer Representative)*

10 Director of Health Research Group

11 Public Citizen

12 Washington, District of Columbia

13

14 **Gigi S. Davidson, BSPH, DICVP**

15 *(U.S. Pharmacopeial Convention Representative)*

16 Director of Clinical Pharmacy Services

17 North Carolina State University

18 College of Veterinary Medicine

19 Raleigh, North Carolina

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1 **John J. DiGiovanna, MD**
2 Senior Research Physician
3 DNA Repair Section
4 Dermatology Branch
5 Center for Cancer Research
6 National Cancer Institute
7 Bethesda, Maryland

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9 **Padma Gulur, MD**
10 *(Acting Chairperson)*
11 Vice Chair, Operations and Performance
12 Duke University School of Medicine
13 Department of Anesthesiology
14 Durham, North Carolina

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16 **Stephen W. Hoag, PhD**
17 Professor
18 Department of Pharmaceutical Science
19 University of Maryland, Baltimore
20 Baltimore, Maryland

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1 **Katherine Pham, PharmD, BCPS**

2 Senior Officer

3 Drug Safety Project

4 The Pew Charitable Trusts

5 Washington, District of Columbia

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7 **Allen J. Vaida, BSc, PharmD, FASHP**

8 Executive Vice President

9 Institute for Safe Medication Practices

10 Horsham, Pennsylvania

11

12 **Donna Wall, PharmD**

13 *(National Association of Boards of Pharmacy*

14 *Representative)*

15 Clinical Pharmacist

16 Indiana University Hospital

17 Indianapolis, Indiana

18

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22

1 **PHARMACY COMPOUNDING ADVISORY COMMITTEE MEMBERS**

2 **(Non-Voting)**

3 **Ned S. Braunstein, MD**

4 *(Industry Representative)*

5 Senior Vice President and Head of Regulatory

6 Affairs

7 Regeneron Pharmaceuticals, Inc.

8 Tarrytown, New York

9

10 **William Mixon, RPh, MS, FIACP**

11 *(Industry Representative)*

12 Former Owner

13 The Compounding Pharmacy

14 Hickory, North Carolina

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P R O C E E D I N G S

8:31 a.m.

Call to Order

Introduction of Committee

DR. GULUR: Good morning, everyone. I would first like to remind everyone present to please silence your cell phones, Blackberrys, and other devices if you have not already done so. I would also like to identify the FDA press contact for this open session meeting, Ms. Lyndsay Meyer. If you are present, please stand.

Good morning. My name is Padma Gulur. I am the acting chairperson of the Pharmacy Compounding Advisory Committee, otherwise referred to as PCAC. I will now call the committee to order. We will now ask that those at the table, including FDA staff and committee members, to introduce themselves, starting with the FDA to my far left and moving along to the right side, ending with one of the industry representatives, Dr. Braunstein.

DR. GANLEY: Charlie Ganley. I'm the director of Office of Drug Evaluation IV in the

1 Office of New Drugs at CDER.

2 MS. GEBBIA: Emily Gebbia. I'm a senior
3 advisor in CDER's Office of Compliance and the
4 acting agency lead on compounding.

5 MS. BORMEL: I'm Gail Bormel. I'm in CDER's
6 Office of Compliance, the Office of Unapproved
7 Drugs and Labeling Compliance.

8 MR. FLAHIVE: I'm Jim Flahive. I'm a
9 regulatory counsel in CDER Compliance Office of
10 Unapproved Drugs and Labeling Compliance.

11 DR. LAWSON: I'm Rosilend Lawson. I'm a
12 regulatory counsel in CDER's Office of Compliance
13 as well.

14 DR. KO: I am Hon-Sum Ko, medical officer in
15 dermatology and dental drugs products division in
16 the Office of New Drugs.

17 DR. EPPS: Good morning. I'm Dr. Roselyn E.
18 Epps. I'm a clinical reviewer in the Division of
19 Dermatology and Dental Products.

20 DR. LIEDTKA: I'm Jane Liedtka, medical
21 officer here at the FDA.

22 DR. HONG: I'm Cindy Hong, designated

1 federal officer for PCAC.

2 MS. DAVIDSON: I'm Gigi Davidson, and I
3 represent the United States Pharmacopeia.

4 DR. DiGIOVANNA: I'm John DiGiovanna. I'm a
5 dermatologist at the National Cancer Institute,
6 NIH.

7 DR. HOAG: I'm Steve Hoag. I'm a professor
8 at the University of Maryland School of Pharmacy.

9 DR. CAROME: I'm Mike Carome, director of
10 Public Citizen's Health Research Group.

11 DR. WALL: I'm Donna Wall, clinical
12 pharmacist at University Hospital in Indianapolis
13 and represent NABP.

14 DR. VAIDA: Allen Vaida, and I'm a
15 pharmacist and executive vice president at the
16 Institute for Safe Medication Practices.

17 MR. MIXON: Good morning. Bill Mixon,
18 former owner of The Compounding Pharmacy, Hickory,
19 North Carolina; and also member of the North
20 Carolina Board of Pharmacy; member of the USP
21 Expert Committee for Compounding; and surveyor for
22 ACHC.

1 DR. BRAUNSTEIN: Ned Braunstein. I'm the
2 head of regulatory affairs at Regeneron
3 Pharmaceuticals, and I'm the pharmaceutical and
4 biotechnology industry representative.

5 DR. GULUR: Thank you, everyone

6 For topics such as those being discussed
7 today, there are often a variety of opinions, some
8 of which are quite strongly held. Our goal is that
9 today's meeting will be a fair and open forum for
10 discussion of these issues, and that individuals
11 can express their views without interruption.

12 Thus, as a reminder, individuals will only be
13 allowed to speak on the record if recognized by the
14 chair. We look forward to a productive meeting.

15 In the spirit of the Federal Advisory
16 Committee Act and the Government in the Sunshine
17 Act, we ask that the advisory committee members
18 take care that their conversations about the topic
19 at hand take place in the open forum of the
20 meeting. We are aware that members of the media
21 may be anxious to speak with the FDA about these
22 proceedings. However, FDA will refrain from

1 discussing the details of this meeting with the
2 media until its conclusion. Also, the committee is
3 reminded to please refrain from discussing the
4 meeting topic during break or lunch.

5 Today, we will cover five bulk drug
6 substances nominated for inclusion on the list of
7 bulk drug substances that may be used to compound
8 drugs in accordance with Section 503A of the Food,
9 Drug, and Cosmetic Act: glycolic acid,
10 trichloroacetic acid and kojic acid,
11 diindolylmethane, and vasoactive intestinal
12 peptide.

13 For each of the five substances, we will
14 hear presentations from the FDA, ask clarifying
15 questions, hear nominators' presentations, ask
16 clarifying questions of them, hold an open public
17 hearing, and have committee discussion and voting.

18 This afternoon, we will also discuss drug
19 products that were nominated as drug products that
20 present demonstrable difficulties for compounding
21 and that cannot be compounded under Sections 503A
22 and 503B of the FD&C Act, which are transdermal and

1 topical delivery systems.

2 Let us begin. We will now have Dr. Cindy
3 Hong read the Conflict of Interest Statement.

4 **Conflict of Interest Statement**

5 DR HONG: The Food and Drug Administration
6 is convening today's meeting of the Pharmacy
7 Compounding Advisory Committee under the authority
8 of the Federal Advisory Committee Act of 1972.
9 With the exception of the National Association of
10 Boards of Pharmacy, the United States Pharmacopeia,
11 and the industry representatives, all members and
12 temporary voting members of the committee are
13 special government employees or regular federal
14 employees from other agencies and are subject to
15 federal conflict of interest laws and regulations.

16 The following information on the status of
17 this committee's compliance with federal ethics and
18 conflict of interest laws, covered by but not
19 limited to those found at 18 USC Section 208, is
20 being provided to participants in today's meeting
21 and to the public.

22 FDA has determined that members and

1 temporary voting members of this committee are in
2 compliance with federal ethics and conflict of
3 interest laws. Under 18 USC Section 208, Congress
4 has authorized FDA to grant waivers to special
5 government employees and regular federal employees
6 who have potential financial conflicts when it is
7 determined that the agency's need for a special
8 government employee's services outweighs his or her
9 potential financial conflict of interest or when
10 the interest of a regular federal employee is not
11 so substantial as to be deemed likely to affect the
12 integrity of the services which the government may
13 expect from the employee.

14 Related to the discussions of today's
15 meeting, members and temporary voting members of
16 this committee have been screened for potential
17 financial conflicts of interest of their own, as
18 well as those imputed to them, including those of
19 their spouses or minor children and, for purposes
20 of 18 USC Section 208, their employers. These
21 interests may include investments, consulting,
22 expert witness testimony, contracts, grants,

1 CRADAs, speaking, teaching, writing, patents and
2 royalties, and primary employment.

3 During this meeting, the committee will
4 discuss five bulk drug substances nominated for
5 inclusion under Section 503A bulk's list. FDA will
6 discuss the following nominated bulk drug
7 substances and the uses FDA reviewed: glycolic
8 acid for hyperpigmentation, including melasma and
9 photodamaged skin; trichloroacetic acid for common
10 warts and genital warts; kojic acid for
11 hyperpigmentation and as a chelating agent to
12 promote wound healing; diindolylmethane for cancer;
13 and vasoactive intestinal peptide for chronic
14 inflammatory response system. The nominators of
15 these substances will be invited to make a short
16 presentation supporting the nomination.

17 This is a particular matters meeting during
18 which specific matters related to the five bulk
19 drug substances will be discussed. Based on the
20 agenda for today's meeting and all financial
21 interests reported by the committee members and
22 temporary voting members, no conflict of interest

1 waivers have been issued in connection with this
2 meeting. To ensure transparency, we encourage all
3 standing committee members and temporary voting
4 members to disclose any public statements that they
5 have made concerning the bulk drug substances.

6 We would like to note that Dr. Donna Wall is
7 a representative member from the National
8 Association of Boards of Pharmacy and that Ms. Gigi
9 Davidson is a representative member from the United
10 States Pharmacopeia.

11 Section 102 of the Drug Quality and Security
12 Act, amended the Federal, Food, Drug, and Cosmetic
13 Act, with respect to the Advisory Committee on
14 Compounding, to include representatives from the
15 NABP and USP. Their role is to provide the
16 committee with the points of view of the NABP and
17 USP.

18 Unlike the other members of the committee,
19 representative members are not appointed to the
20 committee to provide their own individual judgment
21 on the particular matters at issue. Instead, they
22 serve as the voice of the NABP and USP entities

1 with a financial or other stakes in the particular
2 matters before the advisory committee.

3 With respect to FDA's invited industry
4 representatives, we would like to disclose that Dr.
5 Ned Braunstein and Mr. William Nixon are
6 participating in this meeting as nonvoting industry
7 representatives, acting on behalf of regulated
8 industry. Their role at this meeting is to
9 represent industry in general and not any
10 particular company. Dr. Braunstein is employed by
11 Regeneron Pharmaceutical, and Mr. Nixon is employed
12 by The Compounding Pharmacy.

13 We would like to remind members and
14 temporary voting members that if the discussions
15 involve any other bulk drug substances not already
16 on the agenda for which an FDA participant has a
17 personal or imputed financial interest, the
18 participants are to exclude themselves from such
19 involvement, and their exclusion will be noted for
20 the record. FDA encourages all other participants
21 to advise the committee of any financial
22 relationships that they may have regarding the

1 topic at issue that could be affected by the
2 committee's discussions. Thank you.

3 DR. GULUR: Thank you. We've just been
4 joined by one other member. Would you mind
5 introducing yourself?

6 DR. PHAM: Katherine Pham, public health
7 advocacy for The Pew Charitable Trusts.

8 DR. GULUR: Thank you. We will now proceed
9 with FDA introductory remarks from Ms. Emily
10 Gebbia.

11 **FDA Introductory Remarks - Emily Gebbia**

12 MS. GEBBIA: Good morning, everybody. My
13 name is Emily Gebbia. I am a senior advisor in
14 CDER's Office of Compliance and the acting agency
15 lead on compounding while Julie Dohm, who you met
16 at the last meeting and who is the agency's lead on
17 compounding, is on leave. I want to welcome
18 everybody to the sixth meeting of the Pharmacy
19 Compounding Advisory Committee meeting.

20 Dr. Gulur and Cindy just went through all of
21 the topics that we're going to discuss today, so I
22 won't repeat them again now. But I will note that

1 as in the June meeting, we have scheduled time for
2 nominators to speak and to have an open public
3 hearing after each of the different topics that
4 will be discussed.

5 I also wanted to take this opportunity to
6 provide you with an update on policy documents that
7 have been issued by the agency since the committee
8 last met in June. In July, FDA issued two draft
9 guidances concerning the agency's proposed policies
10 regarding compounding of drugs that are essentially
11 copies of commercially available or approved drugs
12 under Sections 503A and 503B of the FD&C Act. Each
13 draft guidance document was available for comment
14 for 90 days, and the comment period closed on
15 October 11th.

16 In August, FDA issued a draft guidance
17 concerning insanitary conditions at compounding
18 facilities and provides examples of conditions that
19 FDA considers to be insanitary under
20 Section 501(a)(2)(A) of the FD&C Act. The public
21 comment period for this draft guidance closed in
22 October as well.

1 On October 7th, FDA published a final rule
2 amending the list of drug products that may not be
3 compounded under Sections 503A and 503B of the FD&C
4 Act because they or their components have been
5 withdrawn or removed from the market for safety or
6 effectiveness reasons, which is known as the
7 Withdrawn and Removed List. The final rule added
8 24 entries to the list and modified the description
9 of one drug entry on the list. These substances
10 were discussed during the first meeting of the
11 Pharmacy Compounding Advisory Committee in February
12 2015.

13 Finally, on October 18th, we published a
14 proposed rule to amend that very same list, which
15 would add three new entries that were discussed at
16 a prior PCAC meeting and proposed rules available
17 for public comment, and the comment period closes
18 January 3, 2017. All of the FDA's policy
19 documents, including the draft guidances, final
20 rule, and proposed rule, are available on our
21 compounding website under the section titled
22 Regulatory Policy.

1 With that, I'd like to thank you for
2 participating in today's advisory committee
3 meeting. We look forward to having a productive
4 meeting and continuing to work together.

5 DR. GULUR: Thank you.

6 I would like to remind public observers that
7 while this meeting is open for public observation,
8 public attendees may not participate except at the
9 specific request of the committee. We will now
10 proceed with an FDA presentation on glycolic acid
11 from Dr. Jane Liedtka.

12 **FDA Presentation - Jane Liedtka**

13 DR. LIEDTKA: Good morning, everyone. I'm
14 Jane Liedtka. I'm a dermatologist and a medical
15 officer here at the FDA. And once we get the
16 slides going, I'm going to talk to you about
17 glycolic acid.

18 (Pause.)

19 DR. LIEDTKA: First, I'd like to introduce
20 my team. Ben Zhang is the chemistry reviewer for
21 this product. Jianyong Wang is the
22 pharmacology/toxicology reviewer. Doanh Tran is

1 the clinical pharmacology reviewer.

2 Glycolic acid at a strength of 0.08 percent
3 to 70 percent has been nominated for inclusion on
4 the list of bulk drug substances for use in
5 compounding under Section 503A of the Federal Food,
6 Drug, and Cosmetic Act for topical use in the
7 treatment of hyperpigmentation disorders and
8 photodamaged skin.

9 Glycolic acid is also known as hydroxyacetic
10 acid. It was also nominated for subcutaneous
11 injection and topical use as an anesthetic and in
12 the treatment of keratosis and warts. This review,
13 however, will focus on the topical use and
14 hyperpigmentation and photodamaged skin because
15 adequate support was not provided for the other
16 nominated uses.

17 Glycolic acid is currently available in
18 cosmetic formulations such as creams, pads, and
19 lotions, and is present as an excipient in some
20 topical drug products.

21 First, I'm going to go over a few regulatory
22 definitions to set the scene. Whether a product is

1 a cosmetic or a drug under the law is determined by
2 the product's intended use. There are different
3 laws and regulations that will apply to each type
4 of product. A drug is an article intended for use
5 in the diagnosis, cure, mitigation, treatment, or
6 prevention of disease, or an article other than a
7 food that is intended to affect the structure or
8 function of the body.

9 A cosmetic is an article, other than a soap,
10 that is intended to be rubbed, poured, sprinkled,
11 sprayed, introduced into, or otherwise applied to
12 the human body for cleansing, beautifying,
13 promoting attractiveness, or altering appearance.
14 Cosmetics are regulated by CFSAN. They do not
15 undergo premarket approval of products or
16 ingredients except for color additives.

17 Topical acids cause exfoliation or shedding
18 of the skin surface. The extent of the exfoliation
19 depends on the type and concentration of topical
20 acid on its pH and on the other ingredients in the
21 product. Examples of topical acids include
22 glycolic, lactic, citric, kojic, and

1 trichloroacetic acid. Examples of intended use of
2 acids in cosmetics would include smoothing fine
3 lines or improving skin texture and tone. Examples
4 of intended use of acids in drugs would include
5 hyperpigmentation, including melasma, or warts, or
6 genital warts.

7 With regard to the physical and chemical
8 characterization of glycolic acid, it is a small
9 organic molecule, which is pictured here. It's
10 highly soluble in water, it's easily characterized
11 with various analytical techniques, and there are
12 no stability issues reported in the literature.
13 Glycolic acid is likely to be stable under ordinary
14 storage conditions in the proposed dosage forms,
15 such as lotions and gels.

16 There are various synthetic routes that can
17 be followed to prepare glycolic acid. Likely
18 impurities can include formaldehyde and
19 monochloroacetic acid, which are starting
20 materials. Impurities can also include residual
21 reagents or sodium chloride, formic acid, and
22 methoxyacetic acid, which are byproducts from the

1 synthetic process. When potential impurities such
2 as those listed above are controlled, the
3 physical/chemical characteristics do not raise
4 significant safety concerns.

5 In summary, for physical and chemical
6 characterization, based on the available
7 information, there are no concerns about the
8 physical and chemical characterization when
9 potential impurities such as formaldehyde are
10 controlled at acceptable levels. Glycolic acid is
11 a well-characterized small molecule that is likely
12 to be stable under ordinary storage conditions.

13 Next, we're going to move on to pharmacology
14 and toxicology. One theory for the mechanism of
15 action of alpha-hydroxy acids, also known as AHAs,
16 in exfoliation is that they reduce the calcium ion
17 concentration in the epidermis and remove calcium
18 ions from the cell adhesions by chelation. This
19 causes disruption in the cell adhesions and results
20 in desquamation. Glycolic acid can also suppress
21 melanin formation by inhibition of tyrosinase
22 activity.

1 With regard to safety pharmacology, an
2 intraperitoneal dose of 1,000 milligrams per
3 kilogram of glycolic acid was a potent inhibitor of
4 oxygen consumption and glucose metabolism in rat
5 liver and myocardium in vivo, but it did not affect
6 brain oxygen consumption. With regard to acute
7 toxicity, glycolic acid in high concentrations,
8 such as a 70 percent solution, causes local effects
9 that are typical of a strong acid such as dermal
10 and eye irritation.

11 With regard to repeat dose toxicity, in a
12 3-week dermal toxicity study in hairless guinea
13 pigs, erythema and/or flaking of the skin were
14 noted at 5 and 10 percent concentrations of
15 glycolic acid. Glycolic acid was a potent calculi
16 inducer in 4- to 12-week repeat dose oral toxicity
17 studies in rats, with an increase in renal oxalate
18 and nephrotoxic effects. In a 2-week inhalation
19 toxicity study in rats, respiratory tract
20 irritation, hepatocellular degeneration, and thymus
21 atrophy were noted.

22 With regard to genotoxicity, glycolic acid

1 was negative for mutagenicity in the Ames test and
2 the mouse lymphoma assay. Glycolic acid was
3 negative for clastogenicity in an in vitro
4 chromosome aberration assay and an in vivo
5 micronucleus assay in mice. With regard to
6 carcinogenicity, glycolic acid did not show
7 photocarcinogenic potential in SKH-1 hairless mice.

8 With regard to reproductive and
9 developmental toxicity, oral, that is gavage, doses
10 of glycolic acid up to 600 milligrams per kilogram
11 per day were administered to female rats during
12 gestation days 7 to 21. Maternal toxicity was seen
13 at doses greater than or equal to 300 milligrams
14 per kilogram per day. Developmental toxicity was
15 also noted at these doses, including fetal weight
16 reduction and increases in skeletal malformation.

17 In summary for pharmacology and toxicology,
18 there is a lack of non-clinical data for the
19 evaluation of chronic dermal toxicity and dermal
20 carcinogenic potential of glycolic acid. The
21 available non-clinical data do not raise serious
22 safety concerns about glycolic acid when used

1 topically at low concentrations.

2 Next, we're going to move on to a discussion
3 of human safety. The topical application of
4 glycolic acid enhances photo-irritation by
5 ultraviolet light. Because of the potential to
6 enhance sensitivity to sunburn, CFSAN guidance for
7 industry recommends that labeling for cosmetics
8 containing AHAs include a sunburn alert. That
9 alert reads as follows.

10 "This product contains an alpha hydroxy acid
11 that may increase your skin's sensitivity to the
12 sun and particularly the possibility of sunburn.
13 Use a sunscreen, wear protective clothing, and
14 limit sun exposure while using this product and for
15 a week afterwards."

16 With regard to pharmacokinetic data, there
17 were no reports of human pharmacokinetic studies
18 following topical application of glycolic acid. In
19 vitro studies indicate pH and time dependence for
20 glycolic acid penetration of the skin with a
21 decrease in pH or an increase in the time of
22 application, resulting in enhanced penetration.

1 There are both FAERS and CAERS adverse event
2 reporting for glycolic acid. FAERS is the FDA
3 adverse event reporting system, and CAERS is the
4 cosmetic adverse event reporting system. Forty-
5 five cases were retrieved regarding glycolic acid
6 from FAERS, and 19 cases were retrieved from CAERS.

7 Clinical trials with the indication of
8 melasma revealed mainly local irritancy
9 manifestations such as burning, erythema, swelling,
10 and vesiculation. Rarely post-inflammatory
11 hyperpigmentation and scarring were seen. During
12 clinical trials for photodamaged skin, erythema and
13 dryness were predominantly seen.

14 These reported adverse reactions appear to
15 be readily manageable and temporary in duration,
16 but there is no information on long-term outcomes.

17 With regard to alternative therapies, for
18 melasma, the approved drug product Tri-Luma is
19 indicated for the short-term treatment of moderate
20 to severe melasma of the face in the presence of
21 measures for sun avoidance, including the use of
22 sunscreens.

1 With regard to photoaging, there were
2 numerous topical retinoids that were approved,
3 examples being tretinoin and the tazarotene
4 products, the indication being as "an adjunctive
5 agent for use in the mitigation, or palliation, of
6 fine facial wrinkles in patients who use
7 comprehensive skin care and sunlight avoidance
8 programs."

9 There are also numerous injectable botulinum
10 toxin type A products that are indicated for the
11 temporary improvement in the appearance of moderate
12 to severe glabellar lines. Also, Botox cosmetic is
13 indicated for lateral canthal lines. And then
14 there were procedural or non-drug therapies such as
15 laser, microdermabrasion, intense pulsed light,
16 that are also available for the treatment of both
17 melasma and for improving the manifestations of
18 photodamaged skin.

19 In summary for human safety, the available
20 information does not raise major safety concerns
21 associated with the topical use of glycolic acid.

22 Next, we'll move on to effectiveness.

1 Clinical trials for hyperpigmentation were
2 performed, and a literature search revealed that
3 there were multiple reports of studies involving
4 the use of glycolic acid for the treatment of
5 melasma and for other hyperpigmentation disorders.
6 Most of these were active controlled trials. There
7 was one trial which included vehicle as control.

8 With regard to clinical trials for
9 photoaging, some of the trials on the
10 hyperpigmentation disorders also included endpoints
11 that are traditionally associated with photoaging
12 studies. In addition, there were two clinical
13 trials that specifically addressed the effective
14 glycolic acid on manifestations of changes
15 associated with photoaging.

16 With regard to the effectiveness, a summary
17 of the clinical trial data reveals that glycolic
18 acid peels in strengths of 20 to 70 percent result
19 in improvement that is comparable to that of other
20 peels such as tretinoin, trichloroacetic acid,
21 lactic acid, Jessner solution, or capryloyl
22 salicylic acid.

1 With regard to a summary of the clinical
2 trial data for manifestations of changes associated
3 with photoaging, glycolic acid as a component in
4 the Vivite Skin Care System had a similar effect on
5 wrinkles when compared to Cetaphil. As an
6 8 percent cream, it was superior to vehicle for
7 sallowness and overall severity of photodamage.

8 With regard to the seriousness of the
9 conditions that are proposed indications for
10 glycolic acid, hyperpigmentation disorders and
11 photodamaged skin are not serious conditions per
12 se. The pathological changes predisposing to skin
13 cancer may be associated with photodamage.

14 In summary for effectiveness, there are
15 numerous active controlled trials that show
16 consistently positive results in the treatment of
17 melasma with glycolic acid either as a peel or as a
18 topical agent. Overall, the evidence suggests a
19 role for second-line treatment of melasma that has
20 failed standard therapy or as an adjunctive
21 treatment to commonly used topical medications.
22 There is some evidence from a vehicle-controlled

1 trial that may support the effectiveness of
2 glycolic acid for the mitigation of manifestations
3 of photodamaged skin.

4 With regard to the historical use in
5 compounding, glycolic acid has been used in
6 pharmacy compounding in the U.S. since at least the
7 mid 1990s. The uses of glycolic acid have included
8 ameliorating the appearance of skin aging, melasma,
9 other pigmentation disorders, calluses, keratoses,
10 acne, and psoriasis.

11 The extent of use cannot be exactly
12 determined, however, countries with reported use
13 include Brazil, Mexico, France, Singapore,
14 Thailand, Korea, India, and Turkey, in addition to
15 the United States. Glycolic acid is listed on
16 foreign pharmacopeias, including the British and
17 the European pharmacopeia.

18 Finally, as a recommendation, balancing the
19 four evaluation criteria, which include the
20 physical and chemical characterization, the safety,
21 the effectiveness, and the historical use in
22 compounding, a balancing weighs in favor of

1 glycolic acid, up to 70 percent for topical use, to
2 be added to the list of bulk drug substances that
3 can be used in compounding under the 503A of the
4 FD&C Act. Standard of care for use at strengths of
5 20 to 70 percent is in-office application by a
6 licensed healthcare professional.

7 Does anybody have any questions?

8 (No response.)

9 DR. LIEDTKA: Great. Thank you.

10 **Clarifying Questions from the Committee**

11 DR. GULUR: Actually, at this time, we will
12 accept clarifying questions from the committee. We
13 ask that you limit your questions to clarifications
14 only. Members will have further opportunity for
15 discussion at the end.

16 MS. DAVIDSON: I'm curious about the
17 characterization that the standard of practice is
18 in-office application of the 20 to 70 percent
19 solutions. Considering that 503A compounders are
20 not allowed to prepare compounds for office use,
21 have you thought about the logistics of how this is
22 going to happen?

1 MS. GEBBIA: I can help with that question.
2 You're correct that under Section 503A, you have to
3 have a patient's prescription. But there would be
4 no reason that a dermatologist couldn't write a
5 prescription for a patient, the patient gets the
6 drug, and it's provided in the office.

7 We also, as you know, in our entries don't
8 limit the setting in which the drug is provided.
9 We can do some with route of administration and
10 that sort of thing. But we wanted to provide that
11 information about the standard of care as part of
12 the presentation just for the committees and public
13 awareness.

14 MS. DAVIDSON: Thank you. That's exactly
15 what I was getting at, is would that be a
16 limitation if added to the list as the site
17 of -- or the environment --

18 MS. GEBBIA: Right. It wouldn't. It was
19 just more information that we thought would be
20 useful for people to have about how this is used.

21 MS. DAVIDSON: Great. Thank you.

22 DR. GULUR: Mr. Carome?

1 DR. CAROME: Mike Carome. I wanted to
2 question you about the level of evidence on
3 effectiveness. One of the things in FDA's review
4 packet was a Cochrane review looking at the
5 treatment of melasma with glycolic acid, among
6 other things.

7 They noted in their summary of conclusions
8 that the quality of studies evaluating melasma
9 treatments were generally poor and available
10 treatments are inadequate, and high-quality,
11 randomized controlled trials on well-defined
12 participants with long-term outcomes to determine
13 duration response are needed.

14 When I looked at many of the trials that
15 generally are small, and most of them have an
16 active control without a vehicle control, they
17 mix -- often the glycolic acid was used along with
18 multiple other agents, so it's hard to isolate,
19 really, what was the effect of the glycolic acid
20 versus vehicle or the other active ingredient.

21 Do you agree with the Cochrane review, that
22 really the level of evidence here is poor in terms

1 of effectiveness data, that these trials really
2 were not well designed, and in many ways, they're
3 small?

4 DR. LIEDTKA: I certainly agree that the
5 trials overall were small, and that from a point of
6 view of the standards that we use when we're
7 designing trials for drug approval, are different
8 from the standards that are used in other clinical
9 trials. Glycolic acid has been used by
10 dermatologists for at least 30 years on a routine
11 basis without there being either significant
12 concerns from an adverse event point of view or any
13 issues with its effectiveness.

14 Clearly, it's effective for some patients,
15 and it is generally used in combination with
16 multiple other products. There is no single
17 product that works for melasma in particular, but
18 even less so for the other types of
19 hyperpigmentation. So you're generally throwing
20 everything you've got at that condition, at those
21 conditions.

22 DR. GULUR: Dr. Pham?

1 DR. PHAM: A question about the approved
2 product, Tri-Luma for melasma, being that the
3 condition appears to be a chronic condition, and
4 then the Tri-Luma is indicated for short-term
5 treatment, did you find in the historic use of
6 glycolic acid -- I know that you mentioned in the
7 animal, the non-clinical data, there was not any
8 non-clinical data about the chronic safety -- or
9 sorry, safety of chronic use of glycolic acid.

10 Did you see anything in the historic use
11 about the duration of treatment with glycolic acid?

12 DR. LIEDTKA: Again, it's more of a clinical
13 experience than anything else. There aren't good
14 placebo-controlled, long-term chronic-use trials.
15 Melasma always comes back. Most forms of
16 hyperpigmentation come back when you stop
17 treatment, so you do serially treat. And that is
18 the standard of care both with the approved
19 products and with multiple non-approved products.

20 DR. GULUR: Dr. DiGiovanna?

21 DR. DiGIOVANNA: Yes. John DiGiovanna. I
22 have a question, but maybe just a clarification. I

1 think just for the group, there's a difference in
2 the way the different products are used. I think
3 usually the Tri-Luma or those products that are
4 prescription products are applied by the patients
5 at lower dose for long periods of time. Usually, I
6 think this is use of glycolic acid would mostly be
7 an in-office application that would rarely be done.
8 It's sort of like a booster treatment.

9 So that's done under controlled settings.
10 So these concentrations, in my experience, would
11 not be something that really would be chronically
12 used on a daily basis. Perhaps done every few
13 years, or that sort of thing, would be more likely.
14 So this is really kind of a little different, and
15 that's where it may be a little confusing to just
16 look at it on the surface.

17 Am I correct?

18 DR. LIEDTKA: Absolutely. Thank you.

19 DR. GULUR: Dr. Vaida?

20 DR. VAIDA: Yes. On the commercially
21 available, or one of the commercially available
22 products, you mentioned Proactive. Looking at the

1 adverse events that were reported -- and this is an
2 OTC product, so I wouldn't expect many, but it
3 seemed like 90 percent were from that product. But
4 I couldn't find what the concentration was in that
5 product. They also have a plus.

6 Do you know what it was?

7 DR. LIEDTKA: There are multiple, multiple
8 Proactive preparations. Proactive has about 20
9 different products that combine. Some of them have
10 glycolic acid; some of them don't. Some of them
11 have multiple other agents. They're usually all
12 used in combinations. I don't know off the top of
13 my head what the concentrations are of the various
14 Proactive products, but Proactive is not a single
15 product. It's multiple, multiple products.

16 DR. VAIDA: No. I was just curious.

17 Thanks.

18 DR. LIEDTKA: We can certainly look that up
19 and get back to you on it.

20 DR. GULUR: Any other questions?

21 (No response.)

22 DR. GULUR: We will now proceed with the

1 nominator presentations. Thank you. We have one
2 presentation, Mr. John Voliva from the
3 International Academy of Compounding Pharmacists.

4 **Nominator Presentation - John Voliva**

5 MR. VOLIVA: Good morning. My name is John
6 Voliva, and I'm the executive vice president of the
7 International Academy of Compounding Pharmacists,
8 and I have no conflict of interest to declare in
9 regards to this drug.

10 IACP represents over 3600 compounding
11 pharmacists, technicians, and pharmacy students
12 across the United States, Canada, Australia, and
13 Europe. As a fourth generation pharmacist, the
14 practice of pharmacy is not only my chosen
15 profession but is something I am proud to say runs
16 in my family. As a compounding pharmacist, I know
17 firsthand the power of our niche a pharmacy has to
18 positively affect patients' lives.

19 For this particular bulk drug substance,
20 IACP appreciates the FDA's recommendation to add
21 glycolic acid to the bulks list. I would also like
22 to provide a note of appreciation to this committee

1 who volunteers their time to serve. In the end,
2 the work put forth by the nominators of these
3 substances, the FDA, and the committee will affect
4 the provision of health care now and in the future.
5 And I hope the committee can constantly keep the
6 ultimate end user, the patient, in the front of
7 their minds while making their decisions.

8 Thank you for your time today, again, for
9 your service, and we look forward to working with
10 this committee and the agency at future meetings.
11 Thank you.

12 DR. GULUR: Thank you. We will now
13 entertain any -clarifying questions for the
14 nominator from the committee.

15 (No response.)

16 **Open Public Hearing**

17 DR. GULUR: Thank you very much.

18 We will now proceed to hear open public
19 hearing speakers. I will read the following OPH
20 statement into the record.

21 Both the Food and Drug Administration and
22 the public believe in a transparent process for

1 information-gathering and decision-making. To
2 ensure such transparency at the open public hearing
3 session of the advisory committee meeting, FDA
4 believes that it is important to understand the
5 context of an individual's presentation.

6 For this reason, FDA encourages you, the
7 open public hearing speaker, at the beginning of
8 your written or oral statement to advise the
9 committee of any financial relationship that you
10 may have with the product, and if known, its direct
11 competitors.

12 For example, this financial information may
13 include the payment by a bulk drug supplier or
14 compounding pharmacy of your travel, lodging, or
15 other expenses in connection with your attendance
16 at this meeting. Likewise, FDA encourages you at
17 the beginning of your statement to advise the
18 committee if you do not have any such financial
19 relationships.

20 If you choose not to address this issue of
21 financial relationships at the beginning of your
22 statement, it will not preclude you from speaking.

1 The FDA and this committee place great
2 importance in the open public hearing process. The
3 insights and comments provided can help the agency
4 and this committee in their consideration of the
5 issues before them. That said, in many instances
6 and for many topics, there will be a variety of
7 opinions.

8 One of our goals today is for this open
9 public hearing to be conducted in a fair and open
10 way where every participant is listened to
11 carefully and treated with dignity, courtesy, and
12 respect. Therefore, please speak only when
13 recognized by the chair. Thank you for your
14 cooperation.

15 Please introduce yourself.

16 DR. DESAI: Good morning. My name is Seemal
17 Desai. I'm a board certified dermatologist from
18 the American Board of Dermatology, and I'm here
19 representing the American Academy of Dermatology
20 Association, as well as the American Society of
21 Dermatologic Surgery Association. Of note, I
22 practice in Dallas, Texas. I have two private

1 practices where most of my clinical experience is
2 focused on pigmentary disorders in patients with
3 skin of color, and I'm also a clinical assistant
4 professor at the UT Southwestern Medical Center in
5 Dallas.

6 I'd also like to point out that I'm the
7 secretary-treasurer of the Skin of Color Society,
8 as well as the president-elect of that group, as
9 well as the international advisor to the
10 International Pigmentary Disorders Society.

11 So it's a pleasure to be here this morning
12 to speak about glycolic acid, and I'd like to thank
13 the committee for that excellent presentation,
14 particularly Dr. Liedtka on the science behind that
15 drug. And I'd like to mention that we are very
16 much in support of the FDA's proposal to include
17 this on the bulk substances list.

18 We do use glycolic acid very, very
19 frequently, especially in my patient population,
20 which includes patients of color, as well as those
21 suffering from chronic pigmentary disorders,
22 particularly melasma. And it has been mentioned by

1 some of the committee members in a very eloquent
2 way, melasma is a chronic skin condition. In fact,
3 when patients come into my office who come in with
4 melasma, many of them are frustrated having seen
5 multiple other doctors before, having been treated
6 even by other dermatologists who potentially don't
7 have an interest in pigmentary disorders.

8 I equate melasma to a chronic skin condition
9 just like psoriasis, just like chronic inflammatory
10 other skin conditions because though there are ways
11 for us to lighten the hyperpigmentation and reduce
12 the burden of the cosmetic outcome of the skin
13 condition, oftentimes it does come back. And it's
14 with the use of in-office treatments, particularly
15 with glycolic acid chemical peel treatments, which
16 was, as mentioned, we use in a controlled setting
17 very regularly, that we can actually manage these
18 chronic conditions for these patients.

19 I'll give you an example. Melasma tends to
20 be a condition that oftentimes affects women in
21 their post-partum period or oftentimes affects
22 women who are on oral contraceptives. In fact,

1 it's been linked scientifically to be hormonally
2 induced and that hyperpigmentation that happens
3 typically happens due to some estrogen-like
4 phenomenon that is causing a basal layer of melanin
5 production.

6 So you can imagine that you have a
7 post-partum female who's in the joys of the after
8 part of giving birth of their newborn, but comes in
9 6 to 8 weeks later with dark brown patches all over
10 their face. You can imagine that that's somewhat
11 of a dichotomy between the joys of early motherhood
12 and then experiencing this sort of change in their
13 skin.

14 So this is an important condition. And
15 though it may not be medically serious in terms of
16 other systemic implications, I think we as
17 dermatologists really have a heightened sense of
18 awareness that these patients do need our help, and
19 glycolic acid happens to be one ingredient, which
20 has been shown in many studies, especially here in
21 the U.S. and in Asia, to be very effective in the
22 controlled setting, especially in the 20 to

1 70 percent concentrations.

2 So I'd like to thank you all for proposing
3 to include this on the list, and I'm happy to take
4 any questions.

5 **Clarifying Questions from the Committee**

6 DR. GULUR: Any questions? Dr. Vaida?

7 DR. VAIDA: Do you use the product alone or
8 in combination with other ingredients?

9 DR. DESAI: Great question. So I actually
10 use this in combination with other therapeutic
11 steps in the armamentarium of treating the disease.
12 The easiest way to explain it, and the way I
13 explain it to my patients, is that this is not
14 going to be the type of condition where I can just
15 write you one prescription and send you on your
16 way, and hope you're better and everything's good.

17 This tends to be the type of condition where
18 I may write a topical bleaching agent such as the
19 commercially available product, which was mentioned
20 in the presentation, but that's only for a short
21 course. That is not a prescription that I would
22 provide many refills for, would have the patient go

1 unmonitored for a long period of time because that
2 in turn has its own side effects.

3 What I'd then have to do is have the patient
4 come back 6 to 8 weeks later after starting the
5 topical, and then incorporate glycolic acid,
6 particularly in-office chemical peels. And in the
7 20 to 70 percent concentration, I actually do use
8 it, monotherapy, where you can actually apply it
9 simply as a chemical peel agent in the office, and
10 do that every 2 to 3 weeks. On average, 4 to
11 5 sittings has a nice effect on helping reduce the
12 epidermal melanin content.

13 You can combine that also with other topical
14 products, especially retinals because, as we know,
15 retinals also help with turning over the epidermal
16 cell layers. And by doing that, we're hoping to
17 get rid of some of that pigment at the same time.
18 So I actually do use it in the in-office setting as
19 monotherapy but combine it with adjunctive at-home
20 treatments of which photo protection and retinols
21 are really one of the mainstays.

22 DR. GULUR: Mr. Nixon?

1 MR. MIXON: Thank you for being here and
2 speaking. Since 503A, conditional compounding
3 pharmacies must have a prescription for products
4 used in the practice, are you able to obtain this
5 from a 503B outsourcer, or do you write a
6 prescription for your patients and send them to the
7 pharmacy, which they return with for treatment?
8 How do you handle that?

9 DR. DESAI: I've done it in both ways
10 actually. You can get proprietary blends of
11 glycolic acid when applying them just as chemical
12 peels, and there are commercially available brands
13 that actually dispense those for in-office use.
14 But oftentimes, and in many of my patients who
15 potentially can't afford those in-office
16 treatments, this is a great ingredient for me to
17 add into a compounded mixture to allow the patient
18 to still have that effect of the epidermal cell
19 turnover without incurring the cost of coming to
20 see me every 2 weeks.

21 It's nice to have that flexibility to offer
22 that to my patients, especially those who come to

1 see me knowing that they have this chronic skin
2 condition and have already exhausted lots of money
3 on over-the-counter cosmeceuticals,
4 over-the-counter products, and potentially other
5 prescriptions to no avail.

6 MR. MIXON: Thank you.

7 DR. DESAI: Thank you.

8 Committee Discussion and Vote

9 DR. GULUR: Since there are no further
10 questions, the open public hearing portion of this
11 meeting has now concluded -- thank you -- and we
12 will no longer take comments from the audience. We
13 will now begin the panel discussion of glycolic
14 acid. Do the committee members have any comments?
15 Dr. Vaida?

16 DR. VAIDA: Two of the three groups that
17 actually proposed this drug, one had the
18 subcutaneous in there, and then the other one had
19 their usual whatever route is prescribed. So once
20 again, there isn't any restriction. And once the
21 drug gets on the list, it could be topical only. I
22 mean, this has come up at prior meetings, but I

1 don't know if it was ever definitively answered.

2 MS. GEBBIA: My understanding is we can put
3 it on the list for topical use. The subcutaneous
4 use you mentioned, there wasn't any support
5 provided for that use, and so we've only considered
6 it as topical, and we can limit it by the route of
7 administration when we put it on the list. We
8 can't do it by indication, what they use it for,
9 but we could do it for topical.

10 DR. GULUR: Any other questions?

11 (No response.)

12 DR. GULUR: We will now end our discussions
13 and start the vote. The panel will be using an
14 electronic voting system for this meeting. Each
15 voting member has three buttons on your microphone:
16 yes, no, and abstain. Please vote by pressing your
17 selection firmly three times. After everyone has
18 voted, the vote will be complete.

19 Voting will be on the drug product just
20 presented. This vote question relates to whether
21 this product should be included on the 503A bulk
22 list. After the completion of the vote, we will

1 read the vote from the screen into the record, and
2 then hear individual comments from each member.

3 FDA is proposing that glycolic acid, up to
4 70 percent for topical use, be included on the 503A
5 bulk list. Should glycolic acid be placed on this
6 list? If you vote no, you are recommending FDA not
7 to place the bulk drug substance on the 503A bulks
8 list. If the substance is not on the list when the
9 final rule is promulgated, compounders may not use
10 the drug for compounding under Section 503A unless
11 it becomes the subject of an applicable USP or NF
12 monograph, or a component of an FDA-approved drug.

13 If there is no further discussion, we will
14 now begin the voting process. Please press the
15 button three times on your microphone that
16 corresponds to your vote. You will have
17 approximately 15 seconds to vote. After you have
18 made your selection, the light will continue to
19 flash. If you are unsure of your vote, please
20 press the corresponding button again. We'll begin.

21 (Vote taken.)

22 DR. HONG: Question 1, we have 8 yeses, zero

1 nos, and zero abstains.

2 DR. GULUR: We will now entertain comments
3 from the voting members. We will start with Dr.
4 Vaida.

5 DR. VAIDA: I just want to verify or at
6 least say that it would be topical only; yes with
7 topical only.

8 DR. PHAM: Katherine Pham. I voted yes in
9 favor of adding to the bulk substance list based on
10 the historic use over decades in the U.S., other
11 countries, the seemingly temporary and readily
12 manageable adverse effect profile, though I did
13 seem to pick up on that serious reactions were
14 present but potentially confounded with other
15 agents.

16 DR. WALL: Donna Wall. I voted yes for the
17 reasons that have previously been stated, and it
18 seems to have a very appropriate use in therapy.

19 DR. CAROME: Mike Carome. I voted yes. In
20 part, I was initially concerned about the effect as
21 stated, but I'm reassured that with expertise in
22 treating this condition, that it can be used safely

1 and effectively.

2 DR. HOAG: Steve Hoag. I voted yes for all
3 the reasons mentioned. It seemed like it was
4 little downside risk, and it had a valuable
5 treatment option. One thing I would -- because
6 there's no USP monograph, I would worry about like
7 industrial sources of chemicals getting into the
8 supply chain, so that's something I think people
9 should consider.

10 DR. DiGIOVANNA: John DiGiovanna. I voted
11 yes. I want to thank the FDA for a very clear
12 presentation supporting the long-term use of a drug
13 where there is, longstanding, a number of
14 controlled studies showing efficacy and little
15 toxicity, and for the public comments that helped
16 the advisory committee members understand that this
17 is a useful product that should be available.

18 MS. DAVIDSON: I'm Gigi Davidson. I voted
19 yes based on FDA's review of the product, and I
20 also appreciate the contributions by the clinical
21 practitioners that reinforced that decision. And I
22 will take it back to USP for consideration of

1 development of a substance monograph for quality
2 attributes.

3 DR. GULUR: Padma Gulur. I voted yes for
4 reasons already stated, and again would like to
5 thank everyone for their contributions, which made
6 it very easy for us to come to this decision today,
7 and again to reinforce Dr. Vaida's comment that
8 this is being placed for topical use.

9 We will now have Dr. Roselyn Epps present on
10 trichloroacetic acid.

11 **FDA Presentation - Roselyn Epps**

12 DR. EPPS: Good morning. I'm Dr. Roselyn E.
13 Epps. I'm a clinical reviewer in the Division of
14 Dermatology and Dental Products, and I'll present
15 trichloroacetic acid. As I begin, I wish to
16 acknowledge the review team, Ben Zhang, chemistry
17 reviewer; Jill Merrill, pharmacology/toxicology
18 reviewer; Doanh Tran, clinical pharmacology team
19 leader; and Elizabeth Marek, historical use
20 reviewer.

21 Trichloroacetic acid, or TCA, has been
22 nominated for inclusion on the list of bulk drug

1 substances for use in compounding under Section
2 503A of the Federal Food, Drug, and Cosmetic Act
3 for topical use in the treatment of common warts
4 and for genital warts. TCA was also nominated as a
5 chemical peel, which refers to a procedure rather
6 than a recognized medical condition. However, we
7 have considered information about the use of TCA as
8 a chemical peeling agent where relevant, including
9 a discussion of reported adverse reactions and
10 efficacy information.

11 TCA is currently available in undiluted neat
12 also known as 100 percent form and at various
13 diluted strengths. TCA is available in cosmetic
14 formulations and skin peel kits and widely
15 available from distributors and on the internet.

16 TCA is a colorless, crystalline solid that
17 is soluble in water. No further information on the
18 influence of particle size and polymorphism on
19 bioavailability has been found in the literature.
20 TCA is stable under refrigeration and in acidic and
21 neutral solutions. TCA decomposes when heated and
22 in basic aqueous solutions. Decarboxylation also

1 occurs under basic conditions.

2 TCA is synthesized by chlorination of acetic
3 acid to yield a mixture of monochloroacetic acid,
4 or MCA, dichloroacetic acid, DCA, and
5 trichloroacetic acid, TCA. Impurities produced
6 during synthesis include MCA and DCA, residual
7 starting materials, and degradation products,
8 including chloroform.

9 Chloroform has high toxicity, and DCA and
10 MCA can have toxicities depending upon the exposure
11 level. Although DCA and MCA are progressively more
12 toxic than TCA, these unreacted impurities are
13 unlikely to be present at levels of concern in
14 medical grade TCA. Other impurities are unlikely
15 to be significantly toxic.

16 To summarize, TCA is a small organic
17 molecule stable under refrigeration as well as
18 acidic in neutral conditions. It is easily
19 characterized using various analytical techniques.

20 When regarding the pharmacology and
21 toxicology of TCA, the pharmacologic action is
22 denaturation and precipitation of proteins in the

1 laboratory and in the clinical setting. When
2 studied in rats, the acute oral lethal dose, or
3 LD50, was 5000 milligrams per kilogram. No repeat
4 dose dermal toxicity studies were located.

5 When regarding mutagenicity, TCA was
6 non-mutagenic in many strains of salmonella
7 typhimurium, however, positive mutagenicity results
8 are reported in two strains. Positive mutagenicity
9 results may have been due to high TCA
10 concentrations, which caused protein precipitation.

11 When regarding the developmental and
12 reproductive toxicity, embryofetal studies in rats
13 were conducted with oral TCA administration.
14 Maternal and embryonic toxicity was shown at
15 greater than or equal to 330 milligrams per
16 kilogram per day, and embryoletality was reported
17 at greater than or equal to 800 milligrams per
18 kilogram per day. High oral doses in rat studies
19 leading to embryotoxicity may not be relevant to
20 topical clinical use in humans.

21 When regarding carcinogenicity, no
22 carcinogenicity studies with a dermal exposure to

1 TCA were located. Long-term oral exposure to TCA
2 induced liver tumors in mice but not in rats.
3 TCA-induced liver tumors in mice are considered a
4 species-specific effect and may not have clinical
5 relevance in humans. No toxicokinetic studies with
6 dermal exposure to TCA were located.

7 In summary, the toxicity of TCA after
8 topical administration has not been fully evaluated
9 in non-clinical studies, and the available animal
10 data do not raise serious safety issues for topical
11 use in humans.

12 While no clinical trial specifically
13 designed to address the safety of TCA were located,
14 safety assessments were among the study procedures
15 reported in several clinical trials. There were
16 few published reports in FAERS, as stated, the FDA
17 adverse event reporting system. No published
18 reports of human pharmacokinetic studies following
19 topical application of TCA were located. Overall,
20 the safety profile of TCA in these trials was
21 consistent with that provided in clinical reports.

22 Typical adverse reactions have been reported

1 with TCA application, and they include mild to
2 prolonged erythema, pigmentation changes,
3 hyperpigmentation, and/or hypopigmentation, as well
4 as burning, pain, tenderness, and pruritis.

5 Site-specific reactions have been reported with TCA
6 application in the genital and the eye area,
7 including ulcerations and severe vestibulitis in
8 the genital area and corneal punctate keratitis and
9 conjunctival infection with eye area application.

10 Safety assessments were among the study
11 procedures in several clinical trials. The safety
12 profile of TCA in these trials was consistent with
13 that provided in reports. In addition to more
14 serious reactions in the eye area and ulcerations
15 reported in most studies with TCA application in
16 the genital areas, adverse events were reported
17 more frequently at higher concentrations. With
18 localized wart treatments, scars and
19 hypopigmentation were reported most frequently.

20 Alternative therapies for warts are
21 available. FDA-approved and over-the-counter
22 therapies to treat common warts and genital warts

1 include salicylic acid, imiquimod, and Podofilox.
2 Clinical trials directly comparing the safety of
3 TCA to that of FDA-approved treatments for warts
4 are not available.

5 In summary, clinical trials involving
6 genital and common wart treatment reported
7 erythema, pigmentation changes, pain, burning, and
8 erythema. More serious adverse reactions,
9 including ulcerations, were reported in the genital
10 and eye areas and at higher concentrations.
11 FDA-approved therapies are available to treat
12 warts.

13 When regarding effectiveness, the
14 concentration of TCA in clinical studies ranged
15 from 10 percent to 100 percent. Five studies were
16 conducted for external genital warts; four studies
17 had an active control; and one study was open label
18 with no comparator. The clearance rates varied
19 widely from 31 percent to 100 percent. For common
20 warts, two dose-ranging studies were identified
21 with one study comparing TCA to cryotherapy.
22 Again, there was a large variation in response

1 rates, from 12 percent to 93 percent.

2 One of the nominations included two
3 references for TCA potentially related to its use
4 as a chemical peel agent. The two references
5 cited, one was for atrophic acne scars and one for
6 melasma. We considered these studies to the extent
7 that they are relevant for consideration of the
8 chemical peel nomination.

9 In the study of atrophic acne scars, a
10 100 percent TCA was compared to a percutaneous
11 procedure. In the melasma dose-ranging study, TCA
12 was compared to glycolic acid and tretinoin
13 treatment. The comparators in these studies are
14 not approved drug therapies for these conditions,
15 and no conclusions can be drawn regarding the
16 efficacy of TCA.

17 Generally, common and genital warts are not
18 serious or life-threatening conditions, but less
19 commonly, warts may develop into extensive
20 recalcitrant infections as well as pre-malignant
21 and cancerous conditions.

22 In summary, we did not identify adequate and

1 well-controlled clinical trials evaluating TCA
2 efficacy in the treatment of genital or common
3 warts. The available information suggests that TCA
4 may be efficacious in the treatment of these
5 conditions, however, the limited data are from
6 small, open-label, active-controlled trials or case
7 reports.

8 Historically, TCA has documented use in
9 pharmacy compounding in the United States for at
10 least 20 years. Uses of TCA have included warts,
11 melasma, actinic keratoses, solar lentigines, acne
12 with secondary scarring, as well as xanthelasma.
13 While TCA has been used to treat warts and as a
14 chemical peel for more than 40 years worldwide, the
15 extent of use is unclear. Foreign recognition
16 includes European and British pharmacopeias.

17 We considered four evaluational criteria,
18 which are physical and chemical characterization,
19 safety, effectiveness, and historical use in
20 compounding. A balancing of the four evaluational
21 criteria weighs in favor of the addition of
22 trichloroacetic acid for topical use to the list of

1 bulk drug substances that can be used in
2 compounding under 503A of the Food, Drug, and
3 Cosmetic Act. The standard of care for use of TCA
4 in wart treatment is an office application by a
5 licensed healthcare professional.

6 **Clarifying Questions from the Committee**

7 DR. GULUR: Thank you, Dr. Epps.

8 We will now accept clarifying questions from
9 the committee. Dr. Vaida?

10 DR. VAIDA: So in your recommendation, it's
11 that this is only for in-office use. That's what
12 you're --

13 MS. GEBBIA: We can't make that limitation
14 on the setting in which it's used. As was the case
15 with glycolic acid, we provided the information on
16 what the standard of care is so that that
17 information was available to the committee and
18 public. We can limit it to topical versus another
19 route of administration, but we can't prescribe the
20 use only in an office setting.

21 DR. VAIDA: I didn't think that you could,
22 but I just wanted to verify that that's -- because

1 the concentrations are from, what, 0.1 to
2 90 percent. And I just want to verify that that
3 was the recommendation on standard of care.

4 MS. GEBBIA: Yes.

5 DR. VAIDA: All right. Thank you.

6 DR. GULUR: Okay. Thank you very much, Dr.
7 Epps.

8 We will now proceed with the nominator
9 presentations. We have one presentation by
10 Dr. A.J. Day from the Professional Compounding
11 Centers of America.

12 **Nominator Presentation - A.J. Day**

13 DR. DAY: Good morning, everybody. My name
14 is A.J. Day from PCCA in Houston, Texas, and we do
15 have a conflict of interest to state. PCCA does
16 provide trichloroacetic acid for use in the
17 compounding community.

18 I wanted to take this opportunity to just
19 show a quick image of what compounding looks like
20 in a community setting. I don't know how many of
21 you have actually gotten to see a compounding
22 pharmacy lab. So we do have all of your personal

1 protective equipment, working within a
2 powder-enclosed container facility.

3 Your scale is integrated with the computer
4 software. You also have on the right side of the
5 screen -- I don't know if you can see it, but
6 there's a bar code scanner, so we are identifying
7 the correct item that we're utilizing in the
8 compounding process, the specific lot number.

9 All of this is integrated into our software
10 to make sure that the right item is utilized for
11 the right process, the right amount is being
12 weighed out, and all of this is done in an enclosed
13 setting.

14 In addition to some of the data that the FDA
15 presented on TCA -- and we thank FDA for the
16 recommendation of adding it for use in compounding
17 on the bulk's list -- there is a very comprehensive
18 review article that was published in 2012 in the
19 Journal of Clinical Aesthetic Dermatology, and this
20 was specific to the application in genital warts.

21 As you can see from the recommendation for
22 under destructive and surgical options, TCA is

1 listed as an option as administered by the
2 physician. In my 10 years in compounding, this has
3 always been an office-use, office-administration
4 compound. And under the regulations of 503A, that
5 means that the physician would write a prescription
6 for TCA that would then be applied to the patient
7 in an office setting by the licensed healthcare
8 professional.

9 It does note that the level of evidence is a
10 B and that the clearance and recurrence rates are
11 as stated. High clearance rates with relatively
12 low morbidity is the conclusion there. And I also
13 included for your reference some of the specific
14 discussion points that this article utilizes, as
15 well as the literature citations utilizing that
16 review article.

17 In addition to that, the IUSTI 2011
18 guidelines talks about how they currently use and
19 recommend TCA in Europe. This is the European
20 Guideline for the Management of Anogenital Warts,
21 and it's on behalf of the European branch of the
22 International Union Against Sexually Transmitted

1 Infections, European Dermatology Federation, and
2 the Union of European Medical Specialists.

3 As you can see, they've got home therapy
4 options within clinic therapy. It's in the same
5 line as cryotherapy. This is always done in an
6 office setting. It is also in the current CDC
7 recommended regimen for external anogenital warts.
8 And you can see the specific outline for how the
9 CDC recommends it being utilized as
10 provider-administered therapy options.

11 As mentioned, it does appear on the European
12 Pharmacopeia. This is an image of the monographs
13 specifically there, and it was in the United States
14 Pharmacopeia 21. And something that's important to
15 note is that the USP 21 requirements for TCA were
16 actually a little bit more strict on the purity
17 components of it than the European pharmacopeia
18 current recommendation. And the material that PCCA
19 does carry -- and you have a copy of that
20 certificate of analysis with the nomination
21 material -- complies with the USP 21 standard,
22 which is a higher degree of purity.

1 Again, I thank the committee for your time
2 and the FDA for the recommendation, and I'm here
3 for any questions you may have.

4 **Clarifying Questions from the Committee**

5 DR. GULUR: Thank you. Dr. DiGiovanna?

6 DR. DiGIOVANNA: John DiGiovanna. So I'm a
7 little unclear. So TCA was in the USP and no
8 longer is? Can someone explain to me what that
9 means and how that happens?

10 DR. GULUR: Ms. Davidson?

11 MS. DAVIDSON: Thank you. Typically,
12 monographs are omitted from the USP if they are no
13 longer commonly used or if they don't meet more
14 contemporary requirements in USP. And I don't know
15 the story behind this particular monograph, but I
16 do know that the standards for impurities have
17 gotten even more stringent since USP 21, so I
18 suspect it has something to do with impurities, but
19 I can find out.

20 DR. DiGIOVANNA: So perhaps then for the
21 FDA, it was my understanding that if there was a
22 USP monograph, that it was a compound that was

1 evaluated separately than if there was not. So
2 what about something like this where there was then
3 and there is not now for nebulous --

4 MS. GEBBIA: It's not -- I think -- and I'd
5 have to pull up my statute. But we've been looking
6 for what's currently in the USP NF. There's
7 currently a monograph in there -- there's currently
8 no monograph for this product.

9 MS. BORMEL: Official monographs are those
10 that are in the current USP NF, the official
11 compendia. So this is not an official monograph
12 because it's not in the current issue of the
13 USP NF.

14 DR. DiGIOVANNA: So the reason that it's not
15 in the current monograph really doesn't relate to
16 it. It just is an accident of nature that it's
17 not, and then it falls into the regulation or out
18 of the regulation, I guess.

19 MS. BORMEL: Well, USP revises -- I mean, I
20 think Gigi Davidson gave a good explanation. But
21 USP every year issues the official USP NF, the
22 official compendia, and they may take certain

1 monographs out and put other monographs in. So
2 it's constantly being revised.

3 The statute is pretty clear that what is
4 official is the current USP NF and its supplements.
5 So every year, we have a new USP NF. I think we're
6 in USP 36. So this is 21. The USP goes back to
7 1820, so we are looking for the current one, and
8 that's what the USP, which is a non-governmental
9 organization, which issues -- what they put out
10 every year in the official compendium.

11 DR. DiGIOVANNA: I guess what I'm trying to
12 get at is for the same reason they decided to not
13 include it then, can they decide to include it in
14 the next one?

15 MS. BORMEL: Yes, they could.

16 DR. DiGIOVANNA: And then it would no longer
17 fall within this regulation.

18 MS. BORMEL: Well, it would already be -- we
19 wouldn't need to put it on a list if it were in the
20 official USP NF, correct. But right now it is not.

21 DR. GULUR: Dr. Vaida?

22 DR. VAIDA: Is the bichloroacetic acid, is

1 that a USP monograph, do you know? You said the
2 CDC recommended to TCA, and bichloro is -- does the
3 bichloro have a USP monograph?

4 DR. DAY: I have not looked into that
5 specifically.

6 DR. VAIDA: I'm just curious because
7 that's --

8 DR. GULUR: Ms. Davidson's going to check
9 for us it appears, so we'll hold on that question
10 for a few minutes.

11 Dr. DiGiovanna?

12 DR. DiGIOVANNA: Yes. John DiGiovanna. I'm
13 not sure. Perhaps the FDA has a take on this,
14 Dr. Epps. But it was my understanding that -- and
15 I may not be correct, that TCA may be a safer
16 option than the bichloroacetic acid. I've actually
17 never seen the bichloroacetic acid used, but I have
18 used the trichloroacetic acid.

19 DR. GULUR: Dr. Epps?

20 DR. EPPS: Well, the concentrations of the
21 dichloroacetic acid are so low in the TCA that it's
22 not -- in the medical grade TCA, it's not

1 considered to be toxic, in the TCA that would be
2 used medically. Does that answer your question?

3 Sorry.

4 DR. DiGIOVANNA: No. Bichloroacetic acid
5 versus trichloroacetic acid is my question, maybe
6 for one of the toxicology people.

7 DR. EPPS: Maybe I'll defer.

8 DR. DiGIOVANNA: It was my understanding
9 that TCA, as its used as a product or compound, is
10 a safer one than bichloroacetic acid, but I'm not
11 certain of that. That was my question.

12 MS. GEBBIA: I'm not sure that was part of
13 the scope of the review. Since trichloroacetic
14 acid was nominated, that's what we've looked at.

15 DR. GULUR: So while we're waiting for
16 Ms. Davidson to look things up, Dr. Day, I have a
17 question for you with regard to -- thank you very
18 much for showing us what a compounding pharmacy
19 looks like on the inside. Is that would you say
20 standard, that all compounding pharmacies follow
21 those standards: bar code scanners,
22 computer-connected weighing scales, compounding

1 under the hood so to speak?

2 DR. DAY: I can't speak to all compounding
3 pharmacies. I can say that the best practices are
4 generally regarded as having that degree of
5 integration, and all of that is for the sole
6 purpose of enhancing accuracy and safety of the
7 preparation and of the compounding personnel.

8 So is it something that is available to all
9 compounding pharmacies? It is. I can't speak on
10 behalf of all the compounding pharmacies to say
11 that they have that in there.

12 MS. GEBBIA: I would just say you can go on
13 to our website and see the list of regulatory
14 actions, which would suggest that there is still a
15 great amount of variability in compounding
16 practices. And we continue to see observations of
17 poor-quality practices at a number of compounding
18 pharmacies. So I think it is helpful to
19 illustrate, but the range of what practice actually
20 looks like is quite variable.

21 DR. GULUR: Mr. Mixon?

22 MR. MIXON: As a surveyor for the

1 pharmacists to be accredited, I would say that this
2 bar coding technology, integrating the balance with
3 the computer software is commonly used.

4 DR. GULUR: Mr. Mixon, would you take a few
5 minutes to explain to the committee what the
6 process is for accreditation and how many
7 pharmacies actually are accredited?

8 MR. MIXON: Pharmacy compounding
9 accreditation started back in -- Gigi, what would
10 you say -- 2008-2007.

11 MS. DAVIDSON: I think the first pharmacies
12 were accredited in 2008, I believe, by PCAB.

13 MR. MIXON: It's a voluntary accreditation
14 process. It's a very rigorous process. Currently,
15 there are under 500 accredited pharmacies I
16 believe. I was not prepared to fully answer this
17 question, but PCAB accreditation is, to my
18 knowledge, the only -- to use the analogy of the
19 good housekeeping seal of approval that there is
20 for -- and Donna Wall's shaking her head, that
21 there are others, or is another.

22 DR. GULUR: What is the denominator,

1 Mr. Mixon? You said 500 are accredited. How many
2 pharmacies are we looking at, compounding
3 pharmacies?

4 MR. MIXON: That's a very good question and
5 a highly debated number. I've seen as high as
6 7500, but you must realize that every pharmacist
7 that goes through pharmacy school is trained to do
8 some compounding. I would say the majority of
9 community pharmacists do a smidgen of compounding,
10 but very few compound as a full-time job relative
11 to the overall.

12 I've heard there is -- the number of
13 compounders is as high as 7500, but there's a very
14 broad range of compounding activities that are
15 included in that number. Sorry. I can't give you
16 better numbers.

17 DR. GULUR: That's okay. Thank you.

18 Dr. Pham?

19 DR. PHAM: Just as a reminder, traditional
20 and community pharmacies will fall under 503A
21 unless they register as an outsourcing facility
22 under 503B. So federal oversight by the FDA is

1 only, as of now, over 503B. And at the 503A,
2 pharmacies are going to still be regulated by
3 state. So if we're talking about accreditation and
4 quality and consistency, it's still going to vary
5 from state to state, hopefully in legislation, but
6 more likely probably regulation. But that's
7 just -- I'm not going to opine on that.

8 But the main thing also -- to go back to the
9 FDA announcement earlier about the unsanitary
10 conditions, which is also going to be a driving
11 standard. And that's still in draft guidance form,
12 so I don't know that we can really make baseline
13 standardizations on the quality of compounding
14 pharmacies.

15 MS. GEBBIA: I just want to add one
16 clarification. FDA 503A is federal statute.
17 Pharmacies that are compounding and subject to
18 Section 503A, and are seeking to qualify for the
19 exemptions in 503A, don't have to register with
20 FDA, so we don't know of all of them. There's
21 obviously far more of them than we could ever
22 possibly go out and inspect.

1 We do inspect pharmacies that are seeking to
2 qualify for those. A number of them that we have
3 inspected have been PCAB accredited and still have
4 had conditions which have caused us to issue a
5 warning letter. So I wanted to make that
6 clarification as well.

7 MS. BORMEL: I also wanted to clarify that
8 although the state boards of pharmacy generally
9 have day-to-day jurisdiction over the boards of
10 pharmacy, the agency does have jurisdiction of
11 where drugs are made, and we do get involved when
12 we have -- especially when there are poor standards
13 at state-licensed pharmacies when we're aware of
14 it.

15 I also wanted to clarify that the current
16 USP NF is 39. Also, we took a look at the database
17 that we have. We have an online version of the
18 USP NF, and we could not find bichloroacetic acid
19 or chloroacetic acid. I mean, I defer to Gigi
20 Davidson, but that was our findings.

21 DR. GULUR: Thank you very much. Mr. Mixon?

22 MR. MIXON: Thank you. I just want to

1 remind the committee that when FDA does inspect
2 compounding pharmacies under 503A, they are still
3 inspections, or have been until very recently, to
4 see CGMP standards, not USP standards.

5 MS. GEBBIA: If a pharmacy is compounding
6 and doesn't meet the conditions in Section 503A for
7 the exemptions from certain provisions of the Food,
8 Drug, and Cosmetic Act, then they are required to
9 comply with current good manufacturing practices.
10 I'm happy to spend some more time talking about
11 this if it's helpful to the committee, or we can
12 circle back to the substance at hand. I don't know
13 how relevant this --

14 DR. GULUR: I think we can circle back to
15 the substance at hand. But it would be worthwhile,
16 perhaps -- considering that we're being shown
17 pictures of what standards are, it would be good
18 for the committee to know if that's standard or
19 what else is going on.

20 MS. GEBBIA: Yes, absolutely. I think we
21 could certainly take under advisement adding a
22 presentation in the future regarding that.

1 DR. GULUR: Thank you. And we'll give
2 Ms. Davidson a chance after all the work.

3 MS. DAVIDSON: The conspicuously absent
4 standard that is not being discussed here is USP
5 compounding standards, which were culled out in the
6 DQSA, and so they are in place. They are adopted
7 by the majority of states now, and the compounding
8 standards are in the process of being significantly
9 revised to improve the processes that we saw on the
10 screen.

11 There are very good checks and balances that
12 are very granular in their description of all the
13 steps that now must be taken to ensure that even
14 though you don't have a bar coding device, you will
15 not miss an important step in the compounding
16 preparation process.

17 We've just addressed personnel protection,
18 processes, equipment, monitoring of both employees
19 and environment. So I'll let Dr. Wall speak to why
20 some of the states have decided not to follow USP
21 standards when it's culled out in federal statutory
22 requirements, but there are standards in place that

1 do greatly ensure the safety of compounding as
2 compared to previous times.

3 DR. GULUR: Dr. Wall?

4 DR. WALL: What I was going to comment on
5 when you were talking about inspections, there is
6 actually now a national inspection that you can
7 request as that pharmacist. It's called the VPP.
8 It comes out of NABP where they come in, and it's
9 an intense inspection of looking at all of the
10 standards. Where it's being used is quite often if
11 you want to ship into other states and the other
12 state wants to have that kind of an inspection,
13 that is then applicable to all the various states
14 and to meet that process.

15 So that process is being done -- I don't
16 have the numbers -- I asked for it -- because I
17 know that they've got more backed up. They're
18 working their way through it. Everything that's
19 coming along, it's getting better and better and
20 more accurate as we go along. And I'm not going to
21 answer Gigi's question right now.

22 DR. GULUR: All right. Well, thank you.

1 Any further questions for Dr. Day? Dr.
2 Hoag?

3 DR. HOAG: I have one comment. I have the
4 USP on line. I could only find glycolic acid as a
5 reagent, which kind of goes back to my concern
6 about industrial chemicals, making sure that it's a
7 proper grade.

8 I'm just curious. How is this -- going back
9 to the trichloroacetic acid, how is that
10 administered? As a solution, a suspension,
11 aqueous? What's a typical way of applying that?

12 DR. DAY: Typically, it's formulated in
13 glycerin. That's the most common way that I've
14 seen it utilized, sometimes in flexible collodion.
15 But our goal is to put it into something that has a
16 degree of viscosity so that it stays at the site of
17 application.

18 Sometimes the dermatologist will protect the
19 surrounding tissue using vaseline or other
20 methodologies. But you want something that has a
21 little bit of viscosity to it to help keep this at
22 the site of application, at that wart.

1 DR. GULUR: Dr. Pham?

2 DR. PHAM: There is some information about
3 serious reactions occurring at higher
4 concentrations. What's the highest concentration
5 that you normally would see it compounded in, or
6 what's the frequency of that higher concentration?

7 DR. DAY: Common concentration that I've
8 seen is 10 percent, 10 to 20, 25 percent, is the
9 ballpark that we typically see 10 being the most
10 common. The highest that I've seen has been about
11 80 percent. That's my personal experience.

12 DR. GULUR: Dr. Vaida?

13 DR. VAIDA: That just raised the
14 question -- looking at the studies that were
15 presented, 6 out of 7 were in concentrations of
16 greater than 35 percent. So that's what you're
17 saying, it's usually 10?

18 DR. DAY: In my experience of the requests
19 from pharmacies and dermatologists looking to
20 formulate trichloroacetic acid, the range is
21 typically between 10 and 25 percent, and the
22 dominant concentration that I've seen is

1 10 percent.

2 DR. GULUR: Dr. DiGiovanna?

3 DR. DiGIOVANNA: I think it really depends
4 on the use. I mean, TCA is really used as a
5 controlled destructive agent the same way you might
6 use a cryotherapy, which is destructive, or an
7 electrocautery, or even a laser in this modern day
8 and age.

9 So I think that from that perspective, it's
10 often used in concentrations of 25, or 50, or
11 75 percent, but it depends on what it's
12 particularly being targeted to. So that would be
13 for a very small lesion or that's very large, where
14 you wanted to create more destruction. So I think
15 that's where the leeway comes from.

16 DR. GULUR: If there are no further
17 questions, we'll -- did you have a comment?

18 MS. DAVIDSON: I just put in a request to
19 USP to find out why the monograph was omitted.

20 DR. GULUR: Thank you, Ms. Davidson. Thank
21 you, Dr. Day.

22 DR. DAY: Thank you.

1 **Open Public Hearing**

2 DR. GULUR: Appreciate your presentation.

3 We will now proceed to hear open public
4 hearing speakers. If you could introduce yourself
5 again.

6 DR. DESAI: Thank you, Madam Chair. Seemal
7 Desai, board certified dermatologist practicing in
8 Dallas, on faculty at UT Southwestern, and speaking
9 on behalf of the American Academy of Dermatology
10 Association, as well as the American Society for
11 Dermatologic Surgery Association.

12 I'd like to thank the FDA for an excellent
13 presentation, and Dr. Epps for putting together
14 that great science behind trichloroacetic acid, and
15 for all the comments, especially of the committee
16 today, and for having the permission to speak here.

17 I think the key for TCA is that it's
18 actually a very versatile ingredient. In fact,
19 it's one of those ingredients that I find to be
20 very effective in a wide range of skin conditions,
21 and that I think is the beauty of this ingredient.
22 It's quite inexpensive, so when it comes to drug

1 costs and all of the things we're dealing with in
2 society now with healthcare cost, TC is actually
3 quite inexpensive to use.

4 But the beauty of it is that depending on
5 what concentration I use it in, I can actually
6 treat lots of different skin conditions in the
7 office. And I'll give you an example. In the
8 lower strength, which I use quite frequently,
9 around 15 to 20 percent is my go-to. I actually
10 consider this to be a superficial peeling agent.
11 And it's great to use in chemical peel treatments,
12 particularly in my patients with melasma and post-
13 inflammatory hyperpigmentation.

14 In fact, just like I was speaking about
15 glycolic acid earlier, this is an additional
16 therapeutic agent. Should I have a patient who's
17 not getting a response to glycolic acid, I can then
18 do a next treatment cycle with TCA and hope to get
19 a little bit more of that desquamation and
20 epidermal cell turnover.

21 Moving into a higher concentration, I use it
22 oftentimes 35 to 40 percent for those patients who

1 really have recalcitrant hyperpigmentation and even
2 some superficial acne scarring. And it's very
3 effective in that concentration as well in a
4 controlled office setting. And though it does have
5 a little bit of irritation and burning at the site
6 of application while I'm doing the procedure, the
7 post-care if patient is instructed correctly is
8 very, very simple, and these patients do really
9 quite well no matter what their skin type.

10 Then the third indication, which is what I
11 found to be super helpful, is in patients who have
12 pitted acne scars, which we know is a permanent
13 side effect of chronic inflammatory acne, and those
14 scars are very, very difficult to treat, and also
15 for external genital warts.

16 I'll give you an example. For patients who
17 come in with genital warts, one of the cheapest and
18 quickest things we do in our office is use liquid
19 nitrogen, and we can freeze the warts. If any of
20 you have had warts, you know they're quite easy for
21 a dermatologist to treat. We're able to apply
22 liquid nitrogen, freeze the wart, and hopefully it

1 will start to reduce in size.

2 But in patients with darker skin tones,
3 particularly patients of my skin tone or darker,
4 when you apply liquid nitrogen to the skin, you
5 actually risk leaving a really white area on the
6 skin that can be quite noticeable called
7 post-inflammatory hypopigmentation or
8 depigmentation.

9 So you can imagine that I'm trying to fix
10 someone's wart and get rid of the virus, but in
11 turn I've left them with a white scarring area
12 that's quite visible. And you can imagine if this
13 is on the genitalia of either a man or a woman,
14 this can be quite concerning to patients and can
15 lead to lots of psychosocial implications.

16 Using TCA, I can actually direct the
17 application of that solution directly on the site
18 of the viral lesion without risking much spread to
19 the surrounding peripheral tissue that liquid
20 nitrogen would do, and therefore cause that
21 pigmentary issue. So I can actually control the
22 application with high-dose TCA much easier than I

1 can with liquid nitrogen, especially with patients
2 with darker skin tones.

3 Lastly, I want to mention acne scars because
4 I've had many patients who are teens and young
5 adults in college who come in, who are very
6 distressed from their inflammatory acne scars that
7 almost leave ice-pick like areas and pock marks on
8 their skin. And I think we've all seen that, and
9 that can be very distressing to these patients.

10 A quick in-office procedure applying
11 high-dose TCA -- and I go up to 85 and 90 percent.
12 And I can actually apply the acid directly into
13 each individual scar without surrounding and
14 damaging the tissue, and have a really nice
15 improvement in these patients' acne scars.

16 The last thing I'll mention is that you see
17 lots of advertisements for laser resurfacing
18 treatments and lots of cosmetic laser treatments
19 for acne scars, which costs thousands and thousands
20 of dollars. With this ingredient, we can do it for
21 a fraction of that cost. And I'm happy to
22 entertain any questions, and I thank you in advance

1 for your inclusion on the list.

2 DR. GULUR: Thank you. Do we have any
3 clarifying questions? Dr. Pham?

4 DR. PHAM: It's been mentioned previously
5 about the concerns about the higher concentrations
6 and serious reactions. With the in-office
7 application, how are you monitoring for use of the
8 higher concentrations?

9 DR. DESAI: The in-office application use of
10 the product is very, very simple. And I actually
11 only use this exclusively in office really no
12 matter what the concentration. So in low doses,
13 I'm using it as a peel where we actually apply a
14 liquid solution typically in an alcohol base to the
15 skin, allowing the acid solution to evenly
16 penetrate for usually 2 to 3 minutes.

17 Then we neutralize it either with normal
18 saline or some sort of neutralizing applicator
19 depending on the type of peel I'm using. We apply
20 post-emollient or thick ceramide-containing
21 moisturizers, sun screen, and the patient's usually
22 discharged. That procedure from start to finish

1 takes me less than 10 minutes.

2 If I'm using the higher concentrations,
3 let's say for acne scars or genital warts, that
4 procedure does take a little bit longer because
5 we're very careful to ensure that that solution is
6 only applied at the target site. And I think the
7 key here is that when you're using in an office in
8 concentration, the most important thing is when
9 you're applying the solution, just to apply it
10 very, very slowly and methodically. And that's
11 where the compounding pharmacists come in handy
12 because we can actually get this compounded in more
13 of a viscous or gel-like solution to ensure we're
14 not spreading it to surrounding tissues.

15 But usually there are no other precautions
16 that are used prior to the treatment except to
17 counsel patients to discontinue use of all
18 retinals- and retinoid-containing products at
19 least a week prior to coming in to see me for the
20 treatment, and then afterwards to limit their sun
21 exposure, wearing sun screen.

22 These are the sort of procedures where I

1 tell patients, if you're planning a beach vacation
2 three days after you want to do this procedure,
3 that's not something you want to do. This is
4 something where you want to really limit excess sun
5 exposure for usually 7 to 10 days. That doesn't
6 mean you have to go into hiding, but it means that
7 you really have to make sure you use a little bit
8 of caution. And normal activities can be resumed
9 almost immediately. So I even have patients come
10 in to do this in the middle of a work day, and they
11 can go back to work as long as they're using good
12 photo protection.

13 DR. GULUR: Thank you very much. Any other
14 questions? Dr. Vaida?

15 DR. VAIDA: I just have one for the FDA.
16 When they get added to the list, is there going to
17 be like a few sentences or something on the drug?
18 Since there is no monographs and you can look them
19 up, is the FDA going to -- like will the list
20 include like a little paragraph or something on the
21 drug, higher strengths, office use? Although you
22 may not be able to regulate it, is that the intent

1 of that --

2 MS. GEBBIA: We do have to go through, as
3 you noted, to make the list a rulemaking process.
4 So we'll issue a proposed rule on a rolling basis
5 where we'll discuss the substances that we're
6 proposing to put on the list, sort of what the
7 evaluation was, what the PCAC said.

8 My sense is that the entry on the list would
9 be not an explanation or we wouldn't be trying to
10 set standards or describe that. There may be some
11 discussion in the preamble to the rule about what
12 the thought process was and why we're recommending
13 something or not. And of course, we'll get
14 comments. People can comment on the proposed rule
15 when it's available, and during the rulemaking
16 process we also respond to comments.

17 So it may be incorporated into part of the
18 process, but I -- obviously, we haven't done the
19 rule yet, so I can't say what exactly it will look
20 like. But I think the idea is that they're -- like
21 with the withdrawn and removed list, if you look at
22 those entries, they're just sort of directly about

1 the substance. It's not a lot of elaboration.

2 DR. GULUR: Thank you. Any further
3 questions?

4 (No response.)

5 **Committee Discussion and Vote**

6 DR. GULUR: Thank you very much for your
7 comments.

8 The open public hearing portion of this
9 meeting has now concluded, and we will no longer
10 take comments from the audience. We will now begin
11 the panel discussion of trichloroacetic acid.

12 Do the committee members have any comments?
13 Dr. DiGiovanna?

14 DR. DiGIOVANNA: Yes. John DiGiovanna. I
15 wanted to thank Dr. Desai for his comments because
16 he made what I wanted to say a lot easier. And
17 that said, I was going to try to clarify a little
18 bit for the committee the difference between the
19 words that are sometimes used and the actual
20 activity as it actually happens.

21 Most of what's been presented has been for
22 warts. So we all have our own idea of what warts

1 are. Certainly, very specifically, they're
2 infections by human papillomavirus. However, just
3 like you would think of a spot or a mole, or more
4 specifically a nevus, or even more specifically a
5 certain type of nevus like a junctional nevus, a
6 wart is a common type of lay word used for many
7 different types of skin lesions.

8 So the data that's presented quite
9 accurately will show that there are other
10 FDA-approved treatments for warts. Probably the
11 reason there are so many is because they are so
12 poor, making it very helpful to have preparations
13 such as TCA, which can be used for specific
14 indications, as Dr. Desai has eloquently presented.

15 The issue with the commonest treatment,
16 cryotherapy, is the very debilitating
17 hypopigmentation that sometimes occurs in skin of
18 color. However, there are many other skin lesions
19 that are considered warts that may be more
20 specifically thought of by the dermatologists, like
21 seborrheic keratosis or xanthelasma, which is a
22 very common one that was up on the screen, which

1 are lesions around the eyes that tend to respond
2 very, very well to this treatment and very poorly
3 to many other types of treatment.

4 So while there are other FDA-approved
5 treatments for warts, there are many of the other
6 conditions which this is used for, where there
7 really aren't any FDA-approved treatments.

8 So this is a very useful tool, a somewhat
9 destructive tool that can be controlled like the
10 freezing of cryotherapy, or the electrodesiccation
11 of an electric needle, or many of the other
12 treatments like a laser that affords the ability of
13 the practitioner to be able to direct it
14 specifically to a lesion and create a great deal of
15 efficacy. And it's almost uniformly done under
16 controlled circumstances in the office.

17 So I was hoping -- I wanted to clarify that.
18 Again, I thank Dr. Desai for helping us understand
19 the scope of its utility.

20 DR. GULUR: Dr. Carome?

21 DR. CAROME: So I appreciate John's
22 comments. I do have concerns about the data, at

1 least for the indications that it was proposed and
2 discussed by the FDA, that there really is very
3 poor data here from clinical trials, much less so
4 than the previous drug we looked at. There is not
5 good data on effectiveness, at least for the
6 indications proposed, and we're talking about many
7 other things it might be used for, for which we
8 haven't discussed. So that raises concerns for me.

9 DR. GULUR: Dr. Epps, would you like to
10 address that?

11 DR. EPPS: TCA action is by precipitating
12 proteins, so when you apply it to the skin, it
13 causes a white frosting. So it would be very
14 difficult to have a randomized, double-blind,
15 placebo-controlled trial when what you're applying
16 causes white frosting and a vehicle or another
17 substance does not cause that.

18 So that's why it's very difficult -- you can
19 compare different strengths of TCA, but it's very
20 difficult to find a substance which would compare
21 and give you a really good clinical trial. Yes,
22 there are active comparators, and we compare them.

1 The other point I should make, there are
2 over 150 different humanpappiloma viruses. And the
3 reason that there are a lot of treatments for
4 warts -- because none works for everyone, so you
5 need different treatments. And sometimes they're
6 used sequentially. You might use one sometime if
7 someone has multiple warts. Some of them go away,
8 some don't. So the next time you treat, you might
9 use something else.

10 We're not in the business of treating. I'm
11 a dermatologist, pediatric dermatologist
12 specifically. So that was in my former life. But
13 the reason that they're a lot of treatments is
14 because none works for everyone, and clinicians
15 need options.

16 DR. GULUR: Dr. Carome?

17 DR. CAROME: I'm a little astonished by your
18 saying we can't do good clinical trials here
19 because of precipitation. You can actually have
20 hard outcomes about many things: has the scar
21 resolved, are the warts resolved? So I'm a little
22 confused by what you just said about not being able

1 to do clinical trials.

2 DR. EPPS: I didn't say they couldn't be
3 done.

4 DR. CAROME: Okay.

5 DR. EPPS: That is the data that's
6 available, and that's what was reviewed.

7 DR. CAROME: Exactly. But you could design
8 much better trials and get definitive data.

9 DR. EPPS: FDA reviews data. We do not
10 conduct clinical trials.

11 DR. CAROME: I understand that. I'm not
12 criticizing you for not doing the trials. I'm
13 criticizing the field perhaps.

14 DR. GULUR: Any further discussion? Any
15 comments?

16 (No response.)

17 DR. GULUR: We will now end our discussions
18 and start the vote. The question in front of you
19 is FDA is proposing that trichloroacetic acid for
20 topical use be included on the 503A bulk list.
21 Should trichloroacetic acid be placed on the list?
22 If you vote no, you are recommending FDA not place

1 the bulk drug substance on the 503A bulks list. If
2 the substance is not on the list when the final
3 rule is promulgated, compounders may not use the
4 drug for compounding under Section 503A unless it
5 becomes the subject of an applicable USP or NF
6 monograph of an FDA-approved drug.

7 If there is no further discussion, we will
8 now begin the voting process. Please press the
9 button firmly on your microphone that corresponds
10 to your vote. You will have approximately
11 15 seconds to vote. After you have made your
12 selection, the light will continue to flash. If
13 you are unsure of your vote, please press the
14 corresponding button again.

15 (Vote taken.)

16 DR. HONG: Question 2, we have 7 yeses, 1
17 no, and zero abstain.

18 DR. GULUR: Thank you. We will now take
19 comments on this. Dr. Vaida, if we could start
20 with you.

21 DR. VAIDA: Allen Vaida. I voted yes. It
22 was a real tough call. I still have questions on

1 why it was removed from the USP monograph that I
2 don't think were answered, and still have some of
3 the questions on the studies that were done as
4 Dr. Carome had mentioned. But I voted yes
5 basically on what the dermatologists said, that
6 there is a use for it, although there are some
7 other drugs available.

8 DR. GULUR: Go ahead, Dr. Pham.

9 DR. PHAM: Katherine Pham. I voted yes. I
10 also felt that this was a difficult decision. I'm
11 still not convinced by the level of evidence,
12 though I do appreciate thoughts from Dr. DiGiovanna
13 and Dr. Desai regarding the clinical experience
14 with this agent.

15 Ultimately, I do feel that even though
16 placing on a list may disincentivize evidence to be
17 done in a better designed trial, there is enough
18 widespread use concerning access, and concerns with
19 the serious concentrations seem to be alleviated by
20 the process that's done in the in-office
21 applications. So because of that, the fact that
22 it's an in-office application, as long as there's

1 close monitoring by the provider, ultimately will
2 swing me to a yes, but it was not an easy decision.

3 DR. GULUR: Thank you, Dr. Pham. Dr. Wall?

4 DR. WALL: I voted yes. I felt like there
5 was enough clinical data that there is a sufficient
6 need for it, and I appreciated the comments from
7 the dermatologists of how they need a large
8 armamentarium of medications to treat some of these
9 things, that it is not a one size fits all. So
10 there needs to be flexibility in what they can use,
11 and I felt like they're monitoring their patients
12 appropriately.

13 DR. CAROME: I voted no because of concerns
14 about the poor quality of data on effectiveness,
15 the availability of FDA-approved and the
16 over-the-counter products, and other compounded
17 products that this committee has allowed to go on
18 the list for the conditions being considered, and
19 again, the fact that this disorders here are not
20 serious or life-threatening.

21 DR. GULUR: Thank you, Dr. Carome. Dr.
22 Hoag?

1 DR. HOAG: Steve Hoag. I voted yes. I felt
2 that the pattern of use of the application in the
3 clinic and the -- there are some side effects, but
4 they weren't that severe that it's worth having on
5 the list.

6 DR. GULUR: Dr. DiGiovanna?

7 DR. DiGIOVANNA: Yes. I voted yes. I think
8 that in trying to make these evaluations, it's a
9 little difficult. I've been in a number of
10 advisory committees. Most of them are for drug
11 approvals, where we see a huge amount of data
12 that's been very carefully collected, with the help
13 of the FDA, in designing well-controlled studies.

14 On the other hand, in this environment,
15 really what we are often talking about are products
16 that have had a very long history of safe use not
17 only in the U.S. but worldwide. So it's hard, if
18 you're not in that scenario of using them, to be
19 able to get an understanding of exactly what real
20 life is like for the users and the receivers of
21 this.

22 It reminds me of driving in a car to get

1 here this morning. Sometimes being on the Beltway,
2 you run into a problem, and if there's traffic, you
3 have to change course. So you may have to get off
4 and go a different direction. You have a GPS that
5 helps you. And if there are more difficulties, you
6 change course again.

7 That's the scenario for a compound like this
8 in a dermatologist's office, where you will have a
9 variety of different skin lesions, warts, and in
10 some individuals they'll be easy to address with
11 standard interventions, but in others they're not,
12 in which case you have to change course and find
13 something else. And you may then choose the
14 product like this that requires extra effort of
15 having it compounded and having it made, and
16 applying with more restriction.

17 So you didn't choose that as the first one.
18 You choose that as the route to get around the
19 difficulty. And it makes studies that are
20 carefully controlled difficult to assess, and
21 you're not going to find those in the literature
22 because no one is going to do a large study to look

1 for the alternate route to the FDA when their first
2 didn't work out.

3 So I think it would be helpful sometimes to
4 get a broader sense -- I know it's difficult to get
5 that for individuals who are not in that
6 situation -- of how some of these products -- not
7 only this one but others that we will be facing as
8 the committee goes on, how they are practically
9 used, and perhaps why we're not seeing the same
10 level of stringency in the studies that we may be
11 more comfortable with in other environments

12 So in summary, I voted yes. I think it's a
13 very useful product that has been used by
14 dermatologists safely for a long period of time,
15 but not as a first-line approach for those
16 scenarios where something else needed to be thought
17 of.

18 DR. GULUR: Ms. Davidson?

19 MS. DAVIDSON: Gigi Davidson. I voted yes,
20 and I appreciate Dr. DiGiovanna's analogy of taking
21 different courses. I think that's what compounding
22 is all about. It's for individual patient

1 problems, and not everything works for all
2 patients. My daughter was a swimmer most of her
3 early years, and we struggled with plantar warts
4 for her entire swimming career. And I know how
5 many options there are out there to treat warts,
6 and very few of them work.

7 I agree with FDA's assessment of the data
8 that is available, and I appreciate the problem
9 with blinding that Dr. Epps brought up. We could
10 do clinical trials, but they would not be blinded.
11 There's no way to blind this drug, so I do
12 appreciate that challenge in finding good data.

13 I just wanted to mention that for USP
14 monographs, they're not necessarily all clinically
15 based or drug based. This monograph probably was
16 not removed for efficacy reasons or quality
17 reasons. It was probably lack of continued use as
18 maybe an excipient or some sort of vehicle binder,
19 some other reason. But again, I have put in a
20 request to USP to try to find out it was omitted,
21 and I will share that one when I have that data.
22 Unfortunately, the FDA and USP firewalls do not

1 like each other at all, so I've had to switch to my
2 phone to try to get to the USP database.

3 DR. GULUR: Thank you. I voted yes as well,
4 and I do find that I share everyone's mixed
5 emotions on this particular issue. I do respect
6 the fact that it's hard to conduct studies,
7 well-controlled studies, when it is not widely
8 used.

9 But at the same time, I find it hard -- I
10 struggle with thinking that just because it's
11 rarely used, we shouldn't worry about the risks of
12 that treatment. In fact, in many times when you
13 have these kinds of fourth option or fifth option,
14 the risk for patients are actually higher and
15 higher as you go forward.

16 This particular drug, again, the challenge
17 was that it didn't pose -- or at least we didn't
18 hear of any significant risk. It is widely used in
19 practice. That still does not absolve those of us
20 that are in the science of these votes from making
21 the effort to learn more and ensure that the safety
22 of our patients continues to be primary.

1 So I would encourage that we look at it from
2 that perspective in spite of the fact that we have
3 voted to put this on, on the list. Thank you.

4 With that, thank you, everyone, for your
5 participation. We are actually a little bit ahead
6 of time, but we will now have our morning break.
7 Committee members, please remember that there
8 should be no discussion of the meeting topic during
9 the break among yourselves or with any member of
10 the audience. Please return to your seats at
11 10:45.

12 So I would encourage that we look at it from
13 that perspective in spite of the fact that we had
14 voted to put this on the list. Thank you.

15 (Whereupon, at 10:25 a.m., a recess was
16 taken.)

17 DR. GULUR: If all members would please take
18 their seats, we will get started with the session
19 after the break. We will actually now have
20 Dr. Jonathan Jarow present on kojic acid.

21 (Pause.)

22 DR. GULUR: Dr. Jarow, if you could give us

1 a few minutes, we're going to have actually Sara
2 Rothman present on -- or clarify some comments from
3 before.

4 MS. ROTHMAN: Thank you. I'm Sara Rothman.
5 I'm in the Office of Unapproved Drugs and Labeling
6 Compliance in the CDER Office of Compliance. I
7 just wanted to make a few clarifications to address
8 the earlier discussion regarding registration,
9 GMPs, and sanitary conditions, and the types of
10 things that we're seeing at compounding facilities.

11 I just wanted to clarify that all of the
12 provisions of the Federal Food, Drug, and Cosmetic
13 Act that apply to conventional manufacturers apply
14 to compounders and compounded drugs unless
15 compounded drugs can qualify for exemptions from
16 certain provisions of the Act if they are
17 compounded in accordance with either Section 503A
18 or 503B.

19 503A is of course what we're talking about
20 mostly during this meeting. And under 503A, if a
21 drug meets all of the conditions, it can qualify
22 for exemptions from FDA approval requirements, the

1 requirement to be labeled with adequate directions
2 for use and current good manufacturing practice
3 requirements that remain subject to all other
4 provisions of the Act, including, for example, the
5 prohibition on preparing, packing, or holding drugs
6 under insanitary conditions.

7 Other provisions that apply include, of
8 course, that you can't have a drug that deviates
9 from the applicable USP monograph in strength,
10 quality, or purity, and you can't have labeling
11 that's false or misleading. There are many other
12 provisions that apply to those drugs.

13 When we do our inspections of compounders,
14 as Dr. Pham noted, most compounders do not register
15 with FDA unless they decide to elect to become
16 outsourcing facilities. So there are estimates out
17 there of thousands and thousands of compounders
18 that produce drugs, fewer that do sterile, but many
19 that compound drugs. And of the thousands that are
20 out there, we only know of a small number of them
21 based on just prior history, receipt of complaints,
22 information from states, et cetera.

1 So of the compounders that we know of, we do
2 surveillance, for-cause, and follow-up inspections.
3 When we go out and we do our inspections, we find a
4 wide variation of conditions at the compounders.
5 Some compounders are located in states that have
6 really intensive inspectional programs and
7 oversight programs. Other states, because mainly
8 of resource constraints, aren't able to oversee the
9 compounders as routinely.

10 As Emily noted, we have on our website a
11 list of inspectional observations. Many of the
12 compounders that have received warning letters have
13 insanitary conditions cited in the warnings
14 letters. There are things that we see like
15 cockroaches and ceiling construction during sterile
16 processing, really conditions that cause a great
17 deal of concern.

18 We do not cite compounders for violations of
19 current good manufacturing practice requirements
20 unless either they register as an outsourcing
21 facility or they produce drugs that do not meet the
22 conditions of Section 503A. And that's always been

1 our practice, and it remains our practice.

2 So there's a wide variation of conditions
3 that we see. I would also note that since the 2012
4 fungal meningitis outbreak, there have been
5 numerous serious adverse events that we've
6 investigated associated with both sterile and
7 non-sterile drugs.

8 Recently, we've seen patients hospitalized
9 when they've received non-sterile drugs that are
10 over a thousand times super potent. So we're
11 obviously most concerned about contaminated sterile
12 drugs, but non-sterile drugs have also been
13 associated with serious adverse events.

14 So I just wanted to clarify that all of the
15 provisions of the Act apply to these entities
16 unless they qualify for exemptions from just
17 provisions that they can be exempt from. And
18 although the states have day-to-day oversight, we
19 do have authority. We just don't know who most of
20 them are because most of them do not register with
21 FDA.

22 DR. GULUR: Thank you very much. At this

1 time, we will likely limit the discussion on this
2 topic further. The FDA has promised to do a
3 presentation on this at a subsequent meeting for
4 us, and we'll look forward to that, and have the
5 opportunity at that time to discuss it further.

6 With that, I will invite Dr. Jarow again to
7 please present kojic acid.

8 **FDA Presentation - Jonathan Jarow**

9 DR. JAROW: Thank you very much. My name is
10 Jonathan Jarow. Good morning, committee members
11 and guests. I will be presenting kojic acid on
12 behalf of the FDA review team, which is listed
13 here.

14 Kojic acid, 0.05 percent to 10 percent, has
15 been nominated for inclusion on the list of bulk
16 drug substances that can be used in compounding
17 under Section 503A of the Act for topical use in
18 the following conditions: in the treatment of
19 hyperpigmentation disorders and as a chelating
20 agent for wound healing and prevention of
21 photodamage.

22 Kojic acid is currently available in

1 cosmetic formulations and in soap bars. Kojic acid
2 is a small organic molecule. It's pKa is around
3 7.4. It's soluble in water. It's a naturally
4 occurring chelation agent. It is easily
5 characterized with various analytic techniques.

6 Kojic acid, however, is very reactive and an
7 unstable compound. It oxidizes easily in air, both
8 as a solid and in an aqueous solution. High
9 temperature, exposure to light, low pH can all
10 accelerate the decomposition or degradation
11 process. It requires special sealing and
12 formulation to protect it from decomposition,
13 although the preserving effects of this are
14 limited. As an example, just UVB exposure in an
15 aqueous solution causes all of kojic acid to
16 disappear within 2 hours, so it can be very
17 unstable, and it's particularly unstable in an
18 acidic environment.

19 Kojic acid can be obtained from the
20 fermentation of starches and sugars by a variety of
21 microorganisms. Likely impurities include
22 bioburden, residual starting materials, and

1 degradation products. In summary, regarding the
2 physical and chemical characterization, kojic acid
3 is a small, easily characterized molecule, however,
4 it is very reactive and unstable, and this can
5 affect the stability of compounded drug products.

6 In regards to pharmacology and toxicology,
7 kojic acid, as I mentioned before, is a chelation
8 agent and an antioxidant. It is also a
9 pigmentation inhibitor in plant and animal tissues
10 and is used in foods and cosmetics to preserve or
11 change the color of products. Kojic acid is used
12 in dozens of cosmetics at concentrations from as
13 low as 0.1 percent to 4 percent. It also has
14 antibacterial and antifungal properties and is
15 produced by many species of aspergillus.
16 Non-clinical published data on topical use of kojic
17 acid is limited.

18 Kojic acid does not appear to be irritating
19 to the skin or eyes up to 3 percent, and is
20 non-phototoxic at up to 5 percent in available
21 animal studies. At concentrations up to
22 30 percent, kojic acid does not demonstrate skin

1 sensitizing ability. The subcutaneous LD50 of
2 kojic acid in mice and rats is 2.7 grams per
3 kilogram and 2.6 grams per kilogram, respectively.
4 The dermal and oral LD50s in Wistar rats are
5 greater than 2 grams per kilogram.

6 A 4-week dermal study in Wistar rats using
7 doses of zero, 100, 300, and 1,000 milligrams per
8 kilogram per day revealed mildly decreased
9 lymphocyte counts in males and female rats,
10 receiving greater than 300 milligrams per kilogram
11 per day of kojic acid. The no observed adverse
12 effect level of this study was determined to be
13 100 milligrams per kilogram.

14 Kojic acid appears to be genotoxic as
15 demonstrated by positive results in the Ames test
16 and chromosomal aberration test in vitro, however,
17 kojic acid does not appear to be genotoxic in an
18 in vivo mice micronucleus assay or an in vivo rat
19 Comet assay. Reproductive toxicity studies in rats
20 demonstrated slight changes in fertility parameters
21 at 900 milligrams per kilogram orally. The results
22 of carcinogenicity studies are mixed, and the

1 carcinogenetic [ph] potential of kojic acid is
2 unclear.

3 With limited dermal absorption shown in the
4 in vitro human skin penetration study, the use of
5 kojic acid in the compounding of dermal drugs may
6 be reasonable from a pharmacologic and toxicologic
7 perspective, however, non-clinical data suggests
8 that its possible genotoxic potential and equivocal
9 carcinogenicity data are of some concern.

10 In summary, there's limited published
11 non-clinical data on topical use. It appears to be
12 not irritating to skin or eyes at concentrations up
13 to 3 percent. It's not phototoxic up to 5 percent.
14 In rat studies, we've seen a mildly decreased
15 lymphocyte count genotoxicity as observed in
16 in vitro studies but not in vivo studies.

17 Reproductive toxicity suggests lack of
18 developmental or reproductive toxicity.
19 Carcinogenicity is equivocal, and toxicokinetics
20 demonstrate some dermal absorption but quite
21 limited. Studies in rats did show, however,
22 placental transfer and milk secretion of kojic

1 acid.

2 In regards to human safety, we performed two
3 searches for spontaneous adverse events with kojic
4 acid. The first was of the FDA FAERS database by
5 the Office of Surveillance and Epidemiology, and
6 the second was by CFSAN of its CAERS database.
7 Neither of these searches found any reports for
8 kojic acid. It may be that the reporting in these
9 databases may not be sufficient to link a report of
10 an adverse event to a product containing kojic
11 acid.

12 The available data suggests that the topical
13 use of kojic acid may be associated with local
14 irritation. Generally, reported adverse reactions
15 appear to be transient and manageable with standard
16 procedures. There have also been cases of allergic
17 contact dermatitis documented in literature reports
18 and confirmed with patch testing. There have been
19 no reports of systemic adverse reactions associated
20 with kojic acid.

21 Both in vitro and in vivo studies have
22 demonstrated the ability of topically applied kojic

1 acid to penetrate intact skin and lead to systemic
2 exposure. There's been no studies of non-intact
3 skin or wounds to determine whether the exposure is
4 greater in that setting.

5 In 2012, the European Commission Scientific
6 Committee on Consumer Safety reevaluated the non-
7 clinical and clinical data regarding the safety of
8 kojic acid and stated the following. Reexamination
9 of the available data for kojic acid used as a skin
10 whitening agent at a concentration of 1 percent in
11 leave-on creams, which are generally applied to the
12 face and/or hands, leads to the conclusion that it
13 is safe for consumers.

14 There are products with established safety
15 approved for the treatment of hyperpigmentation
16 disorders such as melasma. Tri-Luma is an FDA-
17 approved product for topical use for treating this.
18 For indications related to iron chelation by kojic
19 acid, there are a number of products, both devices
20 and drugs, approved for wound healing. There are
21 no approved products for photodamage prevention.

22 In summary on human safety, clinical data

1 suggests that the adverse effects of topical kojic
2 acid are minor, transient, and manageable. Data
3 regarding the safety of kojic acid as a single
4 active agent in the treatment of hyperpigmentation
5 disorders are limited. The data are confounded by
6 the use of formulations with multiple active
7 ingredients and poor trial designs without adequate
8 controls. Most trials include sunscreen
9 application as a concomitant procedure.

10 Regarding the use of wound healing, the
11 safety of the proposed concentration up to
12 10 percent has never been studied in open wounds.
13 There are no available data regarding the systemic
14 exposure for this use, which may depend on many
15 clinical variables included but not limited to the
16 size of the wound and presence of infection. There
17 are no safety data on kojic acid in prevention of
18 photodamage.

19 Moving on to effectiveness, the majority of
20 the trials evaluating the use of kojic acid in the
21 treatment of melasma or hyperpigmentation disorders
22 included combination products containing kojic acid

1 compared with active controls. These combination
2 products contained other topical therapies such as
3 retinoids, hydroquinone, glycolic acid, and
4 botanical ingredients. All of the trials used
5 adjunctive measures such as sun protection with
6 sunscreens and protective clothing.

7 Many of these trials showed improvement in
8 the severity of melasma compared to baseline using
9 kojic acid combined with products either as a
10 topical agent or with a peeling agent. However,
11 the data are often confounded by the use of
12 formulations with multiple active ingredients,
13 inappropriate comparators, poor trial designs,
14 incomplete descriptions of statistical methodology,
15 and variable outcome measures.

16 The standard criterion of treatment success
17 used by FDA for approval of drugs for this disorder
18 is clearance of melasma, and this is not usually
19 presented in the reports. Thus far, there are
20 insufficient quality data from clinical trials to
21 assess whether kojic acid aids in the treatment of
22 melasma or other disorders of depigmentation.

1 In addition, the clinical data from such
2 trials may only provide limited support for
3 extrapolation to use in a compounding setting
4 because of formulation differences, especially
5 considering the instability of kojic acid, which
6 may be aggravated by the presence of acidic peeling
7 ingredients often used in combination.

8 I will review three of the eight studies
9 that we found. Hyperpigmentation disorders. In
10 1999, Lim evaluated 40 Chinese women with epidermal
11 melasma in a double-blind, randomized,
12 within-subject, 12-week trial comparing
13 hydroquinone 2 percent with glycolic acid 10
14 percent, with add-on therapy of kojic acid
15 2 percent gel. The difference in clearance of
16 melasma was not significant different between the
17 treatments, and the p-value was 0.9.

18 In a study by Deo in 2013, he conducted a
19 12-week, randomized, single-blind, parallel group
20 trial of 80 adults with melasma comparing kojic
21 acid alone at 1 percent, kojic acid combined with
22 hydroquinone, kojic acid with betamethasone

1 valerate, and kojic acid with the two other agents.

2 Information on the rate of clearance of
3 melasma in the study subjects was not provided in
4 this report, but they used the reduction of the
5 MASI score, and this was achieved in the following
6 percentages in the various groups, so it ranged
7 from 59 percent to 36 percent. Of note, all of the
8 arms had kojic acid in them. The fact that kojic
9 acid combined with other agents did less well than
10 group A makes it very difficult to interpret this
11 study.

12 The next study by Garcia in 1996 conducted a
13 12-week, randomized, active-control, bilateral
14 comparison, so a split-face trial, in 38 subjects
15 with melasma comparing kojic acid with glycolic
16 acid to hydroquinone to glycolic acid.

17 The clearance rates for melasma were not
18 provided, while reduction in hyperpigmentation
19 showed the following percentages, which was not
20 statistically significant. Efficacy of kojic acid
21 2 percent in combination with glycolic acid as gel
22 formulation is not established. Clearance rates

1 for melasma are unknown for this study.

2 Iron chelation uses, it was nominated for
3 both wound healing and photodamage prevention.
4 There is no published human clinical experience to
5 support use of kojic acid in wound healing or
6 prevention of skin photodamage. There was one
7 published animal study of kojic acid as an iron
8 chelator to promote wound healing with an active
9 control and a placebo control. The active control,
10 deferiprone, was superior to kojic acid, and kojic
11 acid was not found to be better than vehicle.

12 One published study of kojic acid used in
13 hairless mice as an iron chelator for photodamage
14 prevention, kojic acid prevented wrinkling from
15 solar-simulated UV irradiation for 20 weeks.

16 The seriousness of the conditions for
17 proposed use of kojic acid, hyperpigmentation
18 disorders, and photodamaged skin are not serious
19 conditions per se, but pathologic changes
20 predisposing to skin cancer may be associated with
21 photodamage. Wounds can be serious conditions
22 depending on the location, size, depth, concomitant

1 fluid/electrolyte loss, vascular supply, free
2 radicals, and wound infection.

3 In summary on effectiveness, most clinical
4 trials assessing treatment of melasma included use
5 of kojic acid in combination with other drug
6 substances. It is very difficult to quantify the
7 effect of kojic acid alone. Insufficient quality
8 data from clinical trials makes it difficult to
9 assess whether kojic acid aids in treatment of
10 hyperpigmentation. There is no human clinical data
11 to support the use of kojic acid in either wound
12 healing or prevention of photodamage.

13 In regards to the historical use of kojic
14 acid in compounding, kojic acid has been used often
15 in combination with other substances in pharmacy
16 compounding in the United States for decades. The
17 most common uses are melasma and other
18 hyperpigmentation disorders. The extent of use
19 cannot be precisely determined. Kojic acid
20 products are regulated in Japan as quasi-drugs. It
21 is not in the USP or European, British, or Japanese
22 pharmacopeias.

1 The recommendation, a balancing of the four
2 evaluation criteria weighs against kojic acid being
3 added to the list of bulk drug substances that can
4 be used in compounding under 503A of the Food,
5 Drug, and Cosmetic Act. The criteria include
6 physical and chemical characterization. The key
7 finding for this criteria is that it is highly
8 unstable unless adequate measures are taken to
9 stabilize this.

10 It is certainly possible to do that, but
11 without any USP monograph, there will be no
12 standardization of how this is compounded in
13 practice. And as I mentioned before, it can
14 decompose as rapidly as 2 hours after exposure to
15 light.

16 The safety, it appears to have a very good
17 safety profile. The safety findings, the adverse
18 events are all mild, transient, and manageable.
19 Effectiveness, there's very little data to support
20 that this drug, kojic acid, has any substantial
21 effect in the management of pigmentation disorders,
22 and there's no evidence whatsoever on wound healing

1 or photodamage prevention.

2 In terms of historical use in compounding,
3 kojic acid has been compounded for use in the
4 treatment of hyperpigmentation skin disorders such
5 as melasma in the United States and other countries
6 for decades, often in combination with other
7 substances. The extent of use cannot be precisely
8 determined. Thank you very much.

9 **Clarifying Questions from the Committee**

10 DR. GULUR: Thank you, Dr. Jarow.

11 At this time, we will take any clarifying
12 questions from the committee members. Dr.
13 DiGiovanna?

14 DR. DiGIOVANNA: Yes. John DiGiovanna. You
15 mentioned that there were a number of preparations,
16 cosmetic preparations available. Actually, a quick
17 Google search shows quite a bit. Is there any
18 sense whether there's any active kojic acid in any
19 of those, or is there any understanding as to how
20 people may have tried to stabilize the product in
21 those preparations?

22 DR. JAROW: Yes. There was one study that

1 we found, and I don't have the reference up here
2 with me, where they looked at a variety of cosmetic
3 products for the content of the labeled ingredients
4 of them, including kojic acid. And someone help me
5 with the numbers. I think it was approximately
6 half that were labeled to have kojic acid, had
7 kojic acid present.

8 So it can be maintained in the product for a
9 period of time. The stability in these cosmetic
10 products is unknown. There was actually one
11 product that didn't have kojic acid labeled as an
12 ingredient that they found some kojic acid in it.
13 So we don't really know for -- it's hard to make
14 any firm conclusions regarding that.

15 In vitro studies have shown that you can
16 stabilize kojic acid, particularly if it's an
17 alkaline pH, and there are ways to stabilize it.
18 The problem is there's no standard formulation for
19 this that would be used. And if it's on the list
20 for 503A, that would require that it be made in a
21 fashion that is stable.

22 DR. DiGIOVANNA: So when you say that they

1 found kojic acid in it, you would mean -- you would
2 assume that would be active kojic acid.

3 DR. JAROW: Yes.

4 DR. DiGIOVANNA: Yes.

5 DR. GULUR: Dr. Carome?

6 DR. CAROME: Did that same study address how
7 much kojic acid it was, the amount?

8 DR. JAROW: So that's the problem. So they
9 did measure it, and they could tell you the
10 amounts. And I don't remember the amounts off the
11 top of my head. If there's someone at the table
12 that has that reference handy, we can supply that
13 to you because I don't know if it was in the
14 review. But nevertheless, it was not listed as to
15 how much was actually put in. We don't know for
16 certain what was put in when it was made.

17 DR. GULUR: Dr. Pham?

18 DR. PHAM: I just wanted to clarify under
19 the animal data or non-clinical data, there were
20 studies that suggest a lack of developmental or
21 reproductive toxicity, but then with the melasma
22 and pregnancy in rats, it showed that it did pass

1 to the fetus and possibly get excreted in milk. So
2 I'm just trying to make the connection between the
3 lack of developmental toxicity in one bullet point,
4 but then the possible placental transfer in the
5 animals.

6 DR. JAROW: Right. So the animal studies
7 did not demonstrate any developmental or
8 reproductive toxicity. However, there could be
9 exposure to nursing infants through breast milk or
10 fetuses through the placenta.

11 DR. GULUR: Dr. Vaida?

12 DR. VAIDA: Yes. Just as a follow-up with
13 the other products, too, when I was looking for the
14 concentration of the glycolic acid in the
15 Proactive, I see several of their products also
16 advertised that it contains this, but I don't see
17 any concentrations in that either.

18 MS. GEBBIA: Sorry. I can help. And
19 Dr. Ganley, please step in if I get it wrong. But
20 my understanding is that for the OTC products, it's
21 confidential what the concentrations are. So they
22 are required to list the ingredients but not the

1 concentrations. So that's why you didn't see that
2 when you looked.

3 DR. GULUR: Any further questions from the
4 committee members?

5 (No response.)

6 DR. GULUR: Thank you very much, Dr. Jarow,
7 for your presentation.

8 DR. JAROW: Thank you.

9 DR. GULUR: We will now proceed with
10 nominator presentations. We have one presentation
11 on kojic acid, Mr. Tom Wynn from Fagron.

12 **Nominator Presentation - Tom Wynn**

13 MR. WYNN: Thank you all for allowing me to
14 come today. My name is Tom Wynn, and I represent
15 Fagron North America, and we're here with the
16 nomination of kojic acid.

17 Kojic acid, as the FDA has stated, is a
18 fungal metabolite, certain species of acinetobacter
19 and penicillium. It's even produced in some fungus
20 as well. Its depigmentation properties originate
21 from a potent inhibition of tyrosine by chelating
22 copper at the active site of the enzyme. So it's

1 showing its chelation ability right at the site of
2 the receptor in order to cause its response.

3 A key factor is its skin lightening effects
4 are not irreversible. It's a slow competitive
5 inhibition of tyrosine. And I think this can be
6 important because whenever we're talking about a
7 receptor that we want to modulate, we don't want to
8 have any kind of irreversible response to that
9 receptor and damage it, and kojic acid does show
10 the ability to not damage the receptor while it's
11 producing its effect. It acts as an antioxidant
12 and free-radical scavenger, and has been shown also
13 to have some antibacterial activity as well.

14 As far as safety, what I found is in
15 mammalian dominant lethal assay, kojic acid was
16 proven negative, so it was not passed on from male
17 to female. In a 14-year dermatological study in
18 humans, kojic acid was found to have no adverse
19 local effects and no adverse systemic effects.

20 In another study on 6 menopausal women,
21 volunteers received a single dose of kojic acid in
22 topical cream. The application of 1 percent cream

1 at a 500-milligram dose was applied both to the
2 hands and face. Kojic acid did not undergo any
3 type of enterohepatic recirculation, or
4 circulation, and resulted in a maximum plasma level
5 of 1.54 nanograms per mL. No adverse effects were
6 observed in any of the participants in this study
7 as well.

8 In another study, it provided that exposure
9 to Japanese populations to kojic acid through
10 consumption, usually through miso and soy sauce,
11 could be as much as 103 milligrams per day. Kojic
12 acid is regarded by the Japanese Ministry of Health
13 and Welfare to be safe when it's added to foods,
14 and it actually can be found in a variety of foods.

15 If we look at this slide here, it just kind
16 of gives an idea of where you're going to find
17 kojic acid and different references on how they
18 looked at the different amounts that are in those
19 types of foods. So it's something that you
20 commonly ingest, a lot of times if you're taking
21 in, especially getting into more affirmative foods
22 because that's really how it's mainly produced.

1 Another study, we looked at a penetration
2 study of human skin found that the flux rate of
3 kojic acid at 24 hours was 0.142 to 0.65 micrograms
4 per centimeters, or 0.698 percent of the applied
5 dose. So not really a whole bunch was getting
6 through I guess within 24 hours. There was no
7 histopathological changes associated with it. And
8 based on the changes observed in the white blood
9 cell counts, a NOAEL of 100 milligram per kilogram
10 a day [indiscernible] was established.

11 Also, in another study, we looked at the
12 treatment of cholasma, or tan or dark
13 discolorations, of 107 patients, where 2.5 percent
14 kojic acid was applied twice a day for a mean
15 period of 2 months. Only two developed skin
16 sensitivity out of the 107, and when they reviewed
17 the actual sensitivity they had, they did a patch
18 test with the actual base they were using and found
19 the sensitivity was more likely due to the base
20 than the actual kojic acid preparation that they
21 had made.

22 So with the evidence of safety, NOAEL, or

1 the no observed adverse effect levels, determined
2 at which there's no biological, statistically
3 significant increase in frequency of severity and
4 adverse effect. It's a lot of times used in
5 clinical trials to establish a safe starting dose.

6 This becomes a little bit more important
7 when the FDA did mention a study that was done, or
8 review, from the scientific community on consumer
9 safety. They did look at the absorption of kojic
10 acid, and they did determine at a 1 percent dose,
11 that it was safe to be utilized that way.

12 This is kind of the NOAEL that they came up
13 with from that particular review. The thing I find
14 most important is if we look at the no observed
15 adverse effect level, it's 6 milligram per kilogram
16 body weight per day.

17 Now, the FDA does have a guidance out that
18 they utilize for determining -- this was more
19 from -- taking this dose of the no observed adverse
20 effect from 6 milligram per kilogram per body
21 weight per day. And you can convert that over to
22 an actual milligram -- excuse me, milligram per

1 meter squared for a topical dose. In humans, they
2 would say then to take that 6 and multiply it by
3 37, and you wind up within 222 milligrams of a dose
4 that they would find to be safe based on this
5 particular NOAEL that you could utilize in a
6 patient.

7 Now, keeping that in mind, we are nominating
8 this for a dose from 0.5 to 10 percent, so it comes
9 down more to not really the strength or the
10 percentage, but to how much in milligrams we're
11 actually going to deliver based on the preparation
12 that we have. Even if you had a 10 percent kojic
13 acid that was prepared, you then could apply 1 gram
14 twice a day in different spots, and then wind up
15 within that 200 milligrams.

16 So I think more important here is looking at
17 not so much that the safety was just in 1 percent,
18 but the safety can actually be in more percentage
19 of doses if we'd look at the actual milligrams
20 based on this NOAEL that we're allowed to deliver.
21 And this is just the other half of that.

22 Mutagenicity, kojic acid appeared to be

1 mutagenic in bacterial mutant assays, gene mutant
2 assays, but these findings could not be confirmed
3 in hamster or mouse lymphoma testing assays.
4 Testing in sunlight had no relevant influence on
5 the mutagenic potential, meaning that when creams
6 were applied, that actual exposure to sunlight was
7 not making that particular kojic acid preparation
8 any more mutagenic.

9 In vivo testing showed no DNA adducts. In
10 liver and thyroid, there was no clastogenetic
11 findings in the liver, stomach, or colon. This
12 suggests that kojic acid is not DNA binding.
13 Female mice dermally exposed to 0.3 to 3 percent
14 kojic acid for 19 weeks showed no initiation or
15 promotion of potential for skin carcinogenesis.
16 Kojic acid was not found to be mutagenic in in vivo
17 gene mutation assay tests and in transgenic mice.

18 Stability of kojic acid. Stability is
19 something that really was one of the main focal
20 points of the FDA's argument, that it's difficult
21 to maintain stability of kojic acid. There was a
22 study that I found that looked at microemulsion

1 surfactants of lecithin using kojic acid in various
2 strengths. They found an increased stability of pH
3 5 while you're using these types of lecithin
4 microemulsions.

5 Kojic acid is subject to oxidation in the
6 presence of air and heat, but stability can be
7 achieved with chemical antioxidants such as sodium
8 metabisulfite, EDTA, ascorbyl palmitate, and BHT,
9 very similarly to what we do to help maintain the
10 stability of some of the commercially available
11 preparations when we're actually putting them
12 together.

13 This is also a study that Fagron did on
14 kojic acid, and they put it in two different of
15 their particular bases. They did it in Nourivan,
16 an antioxidant which contains some of those
17 antioxidants that I mentioned, and they did a 4
18 percent concentration. They also put it in
19 Fitalite cream, which is just a basic vanishing
20 cream that really doesn't have any of those
21 antioxidant properties.

22 They found that after 30 days, both fell

1 within the recommended BUD at 795 to be compliant
2 to be stable, or listed as stable, for that
3 beyond-use date. So we actually have a 30-day BUD,
4 and this has been done with other companies as well
5 doing their own studies to prove that there is
6 stability in the bases that they have.

7 When we talk about stability and
8 compounding, we're not really looking like we are
9 for cosmetics or for something that's commercially
10 available, but we don't need two years. Thirty
11 days is very appropriate because we can put
12 something together, and we want that patient to
13 return. We want to see them again so then we can
14 evaluate how things are going. So 30 days is well
15 appropriate for a BUD to have in something like
16 this.

17 Now, if we look a little bit at efficacy,
18 here's a study with, again, the combination of
19 glycolic and hydroquinone or kojic acid in the
20 treatment of melasma. We did 39 patients, kojic
21 acid on one side of the face, hydroquinone on the
22 other. The patients applied the cream to each side

1 of the face for 3 months. And again, what they saw
2 was that 28 percent had more dramatic reduction,
3 and 21 percent had more dramatic improvement with
4 hydroquinone.

5 So again, it was mentioned that this may not
6 be statistically significant between the two, but
7 it does show that at least it was being equally as
8 effective as the hydroquinone in the actual
9 treatment of the menasia [ph ??] [melasma].

10 The use of chemical peelings treatment is
11 another study we looked at, and there were 20
12 patients with diffused melasma [?], were treated
13 with a solution of 50 percent glycolic acid and
14 10 percent kojic. Treatments were applied, left on
15 for 15 minutes, and then removed, and this was done
16 biweekly for 3 to 6 months. Six patients showed
17 complete regression and 12 showed partial. No side
18 effects were reported. So we did actually have
19 50 percent of those patients actually show a
20 complete regression of that particular
21 hyperpigmentation disorder.

22 Another study, again this is another

1 combination of hydroquinone -- of betamethasone
2 valerate, and it was kind of one of the bigger
3 ones, and we looked at kojic acid by itself. I
4 know the FDA mentioned this one as well. But the
5 kojic acid 1 percent did show with the MASI score,
6 a 58.72 percent. And I know they did mention that
7 there was no documentation of how much of the
8 regression that was there. But we were showing
9 that there were some depigmentation and coloration
10 based on this particular study.

11 I just want to throw out a little bit about
12 some of the things that are out there that are
13 available to be utilized for different
14 hyperpigmentation disorders. Hydroquinone is one,
15 and it does have a known instability due to
16 oxidation, which would be very similar to what
17 kojic acid has. It's a well known cause of
18 ochronosis. Ochronosis is something that is
19 considered rare. So the mentioned rare in the
20 studies that I looked at.

21 Then I tried to determine, well, how rare is
22 that; how do we define rare. I did find studies in

1 India that were looking at the prevalence of this
2 particular disorder in that population, and they
3 looked at probably 100 people and got about
4 0.9 percent, which doesn't seem very significant.
5 But if we ramp that up and say, well, let's
6 estimate that, there's 300 million people in the
7 U.S. That may be 3 million people that actually
8 could come down with this particular disorder. And
9 we know that hydroquinone is something that does
10 push that into effect. It's one of the actual
11 stimuli to cause that. So something to keep in
12 mind, even though it's a rare effect, that it could
13 be a lot more significant based on the U.S.
14 population.

15 Possible toxic to melanocytes. This is an
16 example where it can have some irreversible effects
17 on the actual receptors. We talked about kojic
18 really doesn't do that. They combine and let go,
19 and allows a receptor to not be damaged. It did
20 cause cancer in rodent studies. And topical
21 toxicity from hydroquinone arises from a strong
22 oxidant that rapidly converts to melanocyte toxic

1 products. Dihydroxy benzoquinone and
2 p-benzoquinone and those can actually cause
3 destruction of the melanocytes altogether.

4 A couple of other things. Mequinol, I am
5 not sure. I was having trouble finding if this one
6 is still currently available on the market. I did
7 see some listings for it. It's an competitive
8 inhibitor of the melanocyte substrates. It was
9 never really considered super effective, and
10 pigmentation can return over time from that
11 treatment. Then we have retinoids. Retinoids,
12 again they can be strong irritants. They tend to
13 have a bit more, I would believe, dermatitis,
14 erythema, dryness, and scaling.

15 These are some of the references that we
16 have. So I guess keeping in mind what we talked
17 about, definitely kojic acid is something that does
18 bind as a chelator to the receptor site. It is a
19 irreversible. It is something that doesn't have as
20 many of the possible side effects as some of the
21 commercially available items.

22 To me, it's kind of thinking of you don't

1 always need a cannon when you're going after
2 something of this nature. It's nice to have
3 something that might be considered a bit milder,
4 then maybe we could do as an additive effect to
5 some other ingredients, maybe such as glycolic acid
6 or some of the other things in the study, where
7 we're going to be able to help with patients and
8 not have to bring out something as strong as maybe
9 some of the commercially available ones that are
10 out there.

11 **Clarifying Questions from the Committee**

12 DR. GULUR: Thank you.

13 Do the committee members have any clarifying
14 questions for our presenter?

15 (No response.)

16 DR. GULUR: All right. Thank you very much.
17 You do?

18 DR. HOAG: I'm just curious how prevalent or
19 how widely used is this.

20 MR. WYNN: As far as --

21 DR. HOAG: Number of prescriptions.

22 MR. WYNN: No. I have not been practicing

1 in the pharmacy for a number of years, so offhand,
2 I'm not sure the number of prescriptions. That may
3 be something that's going to come up in the open
4 discussion because I know that someone's going to
5 be talking about that as well, and maybe can answer
6 more to that question, to how much in their
7 practice they see kojic acid.

8 I know we talked about it being
9 commercial -- excuse me, available OTC. There are
10 some issues with stability there. But I think it's
11 something -- that, again, it's a tool. We need
12 other tools that can be utilized in dermatology to
13 treat some of these conditions, and we need
14 options.

15 DR. GULUR: Dr. DiGiovanna?

16 DR. DiGIOVANNA: Yes. John DiGiovanna. So
17 if I were to ask you to make a preparation of this
18 for me, would you recommend -- how would you
19 recommend it be made so that it would be stable?

20 MR. WYNN: Sure. If it was me, I would
21 consider either using a product like Nourivan
22 because I already know that there's a published

1 study showing it is effective for 30 days. So I
2 would go ahead and utilize that base, or if there
3 was another supplier who had the study for me, I
4 would utilize that base.

5 If that was unavailable to me, then I would
6 consider the antioxidants that were mentioned
7 before. BHT is very commonly used, like
8 0.1 percent; sodium metabisulfite, 0.2. You can do
9 ascorbyl palmitate, 0.5 to 1 percent. You can do
10 vitamin E and add that in there, too, to 0.1
11 percent; a lot of antioxidants that you can add to
12 maintain that stability. And again, I'm looking to
13 go 30 days. I don't need to go for years. I just
14 need to go for that 30 days for your patient so
15 that we can go ahead and start the treatment
16 process for whatever pigmentation disorder they
17 have.

18 DR. GULUR: Mr. Mixon, did you have a
19 question still?

20 MR. MIXON: Not a question, just a comment.
21 In my experience, it's widely used as a component
22 of preparations used on the skin.

1 DR. GULUR: Ms. Davidson?

2 MS. DAVIDSON: I had one question about your
3 Durabrand, I think you called it, stability study.
4 You referenced in the slide that the stability
5 indicating assay was performed according to EP and
6 USP monographs. I'm not aware that there are any
7 monographs for kojic acid in any of the world
8 pharmacopeias. So could you clarify how you did
9 your --

10 MR. WYNN: Do you mean on the Fagron study?

11 MS. DAVIDSON: Yes.

12 MR. WYNN: Yes. What I was mentioning was
13 that you have to fall within the 10 percent rule.
14 So anytime that you're doing a study to make sure
15 that it's actually effective -- just like if I
16 would send off a potency study of something that I
17 did in my pharmacy, I wouldn't want it to fall
18 within the USP 795 guidelines of what something
19 needs to be to be effective.

20 So they give you that 90-110, and that's
21 really what I was referring to, that those
22 guidelines are there to help us make sure that we

1 make continually effective preparations, and that's
2 the guidelines I was looking at.

3 Now, the exact effectiveness, we didn't
4 actually put into that study, something I could
5 probably get. I'm sure it was probably better than
6 that. Most of the time when I did my own in my own
7 pharmacy, I was even looking more stricter. I was
8 trying to keep things within 5 percent. I wanted
9 95 to 100. But we get 90.

10 MS. DAVIDSON: To clarify, that's not
11 effectiveness; that is strength that you're talking
12 about. But I was concerned about that and also the
13 study that Dr. Jarow mentioned, that looked at the
14 assay of potency of the cosmetic products. I've
15 looked and looked, and I can't find a
16 stability-indicating assay to determine the
17 recovery of that. So I'm concerned that there may
18 not be the ability to determine exactly how much
19 kojic acid there is in something, number one.

20 The other question I had was I found, in
21 preparation for this meeting, quite a bit of
22 reference to kojic acid dipalmitate being a much

1 more stable presentation of kojic acid. And I
2 wondered if the providers of kojic acid provide
3 that salt of kojic acid since it seems to be
4 relatively more stable.

5 MR. WYNN: Correct. No, not at this time.
6 I did see those as well, and that's not something
7 that currently is available from suppliers that I
8 know of.

9 MS. DAVIDSON: Okay. I couldn't find that
10 it was either. I just wanted to see if there was
11 something I didn't know.

12 I have one final question. It's more a
13 comment. Even though you might be able to
14 formulate a stable preparation of kojic acid, I was
15 concerned about the concomitant use of really
16 acidic co-therapies that Dr. Jarow mentioned, and
17 it might really decrease the efficacy of kojic acid
18 by completely inactivating it at low pH.

19 MR. WYNN: Well, one thing I mentioned in
20 that one study with the microemulsions, that they
21 found it stable to pH 5. So it would be something
22 to where you could consider making sure that pH is

1 high enough to prevent that. So these are things,
2 again, that you can look at while you're going
3 ahead and adding it in and making your preparation.
4 But I did notice that in the particular creams that
5 we put them in, we did not look at that in the
6 Fagron studies. There was an HPLC study looking at
7 the actual amount that came out in the end, and
8 they didn't do that to that effect. But it could
9 be done.

10 MS. DAVIDSON: And I guess that's my
11 concern, is even though you might make a perfect
12 compound, and somebody could come up with a formula
13 for a perfect compound, there would have to be
14 counseling of those patients to not use anything
15 else that had a real acidic pH because it would
16 inactivate the kojic acid since it is so unstable
17 in the presence of acid. So that was just a
18 comment more than a question.

19 DR. GULUR: Thank you. Yes?

20 MS. BORMEL: We just wanted to clarify that
21 the kojic acid that is in the OTC products, it's in
22 as an inactive ingredient.

1 DR. GANLEY: Just to clarify that further,
2 it's an OTC drug product.

3 MS. BORMEL: Correct.

4 DR. GULUR: Yes, Dr. DiGiovanna?

5 DR. DiGIOVANNA: Perhaps he can clarify a
6 little more. If you look on the Web, there's a
7 wide variety of cosmetic preparations that are not
8 drug products that advertise some specific
9 concentrations of kojic acid. A study that
10 Dr. Jarow was talking about I think was in looking
11 at products that actually somehow managed to
12 achieve what they said they were going to achieve.
13 Was that the cosmetic products, or was that only
14 the OTC drug products?

15 I guess what I'm getting to is part of the
16 balance of the assessment here is how difficult or
17 how easy is it to actually make an effective
18 product because it seems to me that one of the real
19 issues is the ability to actually compound an
20 effective product, a stable product.

21 DR. JAROW: So those were cosmetic, not drug
22 products, in that study. So you can look at it as

1 cup half full or half empty. The fact that half of
2 them that said they had kojic acid in it had it is
3 potentially a good sign that you can do it. Half
4 of them didn't. But we don't -- it's not the same
5 oversight of cosmetic products, so just because
6 it's listed as an ingredient, we don't know that it
7 was actually put in that specific cosmetic.
8 Moreover, we don't know the exact amount, or at
9 least it wasn't stated in the study. Again, there
10 was just one product, which didn't have it listed
11 as an ingredient, that they found it.

12 So again, I'm not sure how much you could
13 take home from that other than the fact that it
14 is -- we certainly recognize that it's possible to
15 create a formulation of kojic acid that may be
16 stable under certain conditions, and that's all we
17 can say.

18 DR. DiGIOVANNA: But also that a number of
19 over-the-counter producers have actually done that.
20 They've actually -- different manufacturers have
21 managed to accomplish this apparently without some
22 extraordinary unusual apparatus or jumping through

1 hoops. I mean, it's not a rare thing for them to
2 do.

3 DR. JAROW: I can't speak to the apparatus,
4 but it's certainly possible. The question is will
5 it be done and stored -- even just the compounding
6 pharmacy receiving the substance, how will it be
7 stored there and what will happen to it while it's
8 at the compounding pharmacy. Even before it goes
9 out, there won't be any testing.

10 DR. GULUR: Yes, Ms. Davidson?

11 MS. DAVIDSON: Just one more clarification.
12 USP recently revised its general notices to take
13 out the 90 to 110 percent requirement, and it's now
14 monograph-specific. So if you are shooting for a
15 USP standard, you need to go to the individual
16 monograph for that product, that substance, or that
17 preparation to find out what your expected strength
18 range is. I didn't know if that was common
19 knowledge or not, but I did want to make that
20 clarification.

21 DR. GULUR: Thank you, Ms. Davidson.

22 Yes, Dr. DiGiovanna?

1 DR. DiGIOVANNA: So another question maybe
2 for Dr. Davidson. If there isn't a monograph, then
3 how does a compounding pharmacist go about
4 determining how to compound something?

5 MS. DAVIDSON: Mr. Wynn did allude to the
6 USP defaults, and so you have to use professional
7 judgment on how to put some things together. But
8 after you do that, there are limitations on the
9 beyond-use data, which would be the expiration date
10 equivalent for a manufactured product that you can
11 assign to that, which are pretty conservative. And
12 he mentioned 30 days, which is the default for
13 water-containing topical compounds. But it is much
14 better to have a monograph if possible.

15 DR. GULUR: Yes?

16 MS. BORMEL: Just another clarifying
17 comment. If kojic acid is in an over-the-counter
18 cosmetic, it's not active. Once it becomes active,
19 as doing something pharmacologic, it would be a
20 drug. And so we're looking at it in this arena,
21 and as it was nominated, which is as a drug to be
22 placed on the 503A bulks list.

1 DR. GULUR: Thank you. Yes, Dr. Braunstein?

2 DR. BRAUNSTEIN: So it seems to me that one
3 of the reasons -- one of the aspects of this
4 product or this chemical that we're discussing is
5 whether it's difficult to compound. And actually
6 there's a separate list that talks about difficult
7 to compound products. I mean, is this really
8 a -- should really we be talking about whether this
9 should be on the list, on that list?

10 But related to that, I have a separate
11 question for the agency. And that is, if for
12 example there were formulations of kojic acid that
13 could be demonstrated with appropriate studies to
14 be stable, would that be something that instead
15 they might come back with to propose be put on the
16 503A list? I mean, I'm just trying to understand
17 what the different rules are here regarding
18 something like this.

19 MS. GEBBIA: With respect to difficult to
20 compound, the reason this came up is because one of
21 the criteria for the bulk drugs substance list is
22 physical and chemical characterization, and we

1 consider stability to be part of that, and that's
2 why it's come up. With respect to formulations,
3 this is a bulk drug substance list, and so it's not
4 really this first specific formulation. So we have
5 to take that into consideration when we're deciding
6 whether or not something should go on the list.

7 **Open Public Hearing**

8 DR. GULUR: Thank you all. At this time,
9 thank you very much for your presentation. We will
10 now proceed to hear the open public hearing
11 speakers. Please introduce yourself again.

12 DR. DESAI: Thank you, Madam Chair. Seemal
13 Desai, board certified dermatologist speaking on
14 behalf of the American Board of Dermatology
15 Association, as well as the American Society for
16 Dermatologic Surgery Association. And thank you
17 for allowing me to speak.

18 I'd like to thank Dr. Jarow for his thorough
19 presentation on the characteristics of this
20 product, and overall, I do agree with much of what
21 he stated behind the science. However, I must
22 disagree with one component of the presentation,

1 which I think should be the most important thing
2 that the committee looks at on this drug, is that
3 melasma and hyperpigmentation as a disease state is
4 a multifactorial disease. And therefore, the
5 studies for any chemicals or products to treat
6 these diseases tend to not be studying the
7 ingredient as a monotherapy.

8 I suspect the committee has concerns that
9 the kojic acid studies have not been done entirely
10 in large cohorts as a monotherapy ingredient. One
11 of the reasons for that is because melasma as a
12 disease state really does not respond to
13 monotherapy drug treatment. And a lot of what
14 we've talked about this morning with the other
15 products, and now with kojic acid, is that these
16 conditions really require a multifactorial
17 approach, and really me as a provider using what I
18 have in my therapeutic armamentarium to combine
19 therapy for my patients.

20 I do find kojic acid actually to be very
21 beneficial in my patients, but I will comment that
22 this is not meant to be first-line treatment for

1 melasma. And many of you've heard this morning,
2 we've talked about Tri-Luma, which I do not have a
3 conflict of interest with, by the way, but I'll
4 mention it because it's been discussed.

5 Tri-Luma contains hydroquinone, and
6 hydroquinone is the gold standard as a skin
7 lightening agent due to its inhibition of
8 tyrosinase. The problem is that hydroquinone
9 monotherapy can be very irritating and has a lot of
10 side effects, and therefore, it's been combined
11 with a topical steroid and a retinoid to make the
12 Tri-Luma or tri combination.

13 The problem is that when I'm treating
14 melasma, as I mentioned to you earlier, this is a
15 chronic condition. It does not go away. I can get
16 patients better, but the pigment is always lurking
17 in the background. And therefore, they need to be
18 on some sort of maintenance therapy.

19 Hydroquinone or Tri-Luma cannot be that
20 maintenance therapy. And the main reason it cannot
21 be that maintenance therapy is because if I have
22 someone use it uncontrolled for weeks and weeks and

1 weeks and weeks, I risk that patient getting
2 permanent disfigurement from hydroquinone pigment,
3 which is called exogenous ochronosis. And I have
4 had many, many patients who have had exogenous
5 ochronosis who have used uncontrolled amounts of
6 hydroquinone for long periods of time without being
7 supervised.

8 Let me just describe to you what exogenous
9 ochronosis is. It is a very disfiguring condition
10 because what it does is small blue, particle-like
11 dots develop along the face, particularly on the
12 upper cheeks bilaterally. And once those pigment
13 drops and ochronotic deposits are in the skin, they
14 cannot be removed. There is no cream, there is no
15 laser, there is no peel that's going to get rid of
16 that ochronotic pigment.

17 So what I tell my patients is I'm going to
18 give you this triple combination hydroquinone-based
19 therapy for 6 to 8 weeks max, and at that junction,
20 if you're not doing any better, or if you are
21 better and I need to maintain you, that's when I'm
22 going to incorporate something like kojic acid or

1 azelaic acid to keep things going because I know
2 that even though this is a milder lightening agent
3 that does not work as well, I know I'm not putting
4 you at risk of a permanent side effect from your
5 condition by treating it with the gold standard.

6 So in my opinion, what we really need to
7 look at is that though this may not be a very
8 prevalent drug that every dermatologist uses, those
9 of us who specialize in pigmentary disorders, like
10 myself and many others throughout the U.S. and
11 abroad, really find this to be a very safe,
12 effective, additional option to keep people going
13 on therapy while we're trying to figure out what
14 else I can do to make their pigment better. And
15 that may be the glycolic acid chemical peels we
16 talked about this morning. That may be the TCA
17 peels. That may be using azelaic acid. That may
18 be doing laser.

19 But the point is that we have to do
20 something because if you stop the gold standard
21 hydroquinone, which you should to avoid ochronosis,
22 what are you going to do to keep these people from

1 getting the pigment coming back with a vengeance?

2 Unfortunately, what happens in many
3 societies and in many cultures is these patients
4 who have this recurrent hyperpigmentation end up
5 having a lot of psychosocial impact from this
6 disease. I've had two patients who have been
7 suicidal because of their melasma coming back. One
8 of those patients actually also had post-partum
9 depression and had recurrent melasma after the
10 third pregnancy.

11 So this is a serious condition, and though
12 Dr. Jarow mentioned that it's not serious
13 medically, and I do understand his implication of
14 that, it is serious to my patients who are
15 suffering from the disease, and it's important that
16 I have these other options to treat them. So I'm
17 happy to entertain any questions regarding that
18 specifically.

19 DR. GULUR: Questions for our presenter?
20 Yes, Dr. Wall?

21 DR. WALL: I actually have three questions.
22 One, I guess one you answered as sort of where you

1 use it in therapy. What would happen if that was
2 not an option for you anymore? And number two,
3 have you seen any types of side effects that we
4 have not reflected upon today?

5 DR. DESAI: So in terms of side effects,
6 I've actually found this to be pretty
7 non-irritating. Now, I will say in full fairness,
8 anytime I prescribe a topical, especially a
9 compounded topical, which in my practice usually
10 contains a retinal or a retinoid like the Tri-Luma
11 combo, or when I compound kojic acid with my
12 retinal and steroid, I do counsel the patients that
13 irritations, redness, and dryness is a very common
14 side effect. And I have to disclose that, and I
15 let everyone know that in advance. Overall, this
16 is very well tolerated.

17 I will also mention that I make sure the
18 patient is only using this at night. And to
19 Ms. Davidson's comment, I think it's important to
20 mention that these patients are also careful about
21 what they're using concomitantly at the same time,
22 especially with cosmeceuticals and other products.

1 So usually when I'm prescribing something
2 like this for maintenance, it's usually as a
3 compound, and that's all they're using, except for
4 sunscreen. It's at bedtime to avoid UV light and
5 stability, and then they use a sunscreen throughout
6 the day, and then I usually follow the patient up
7 again in 6 weeks, and then move on.

8 To answer your second question, what if I
9 didn't have this, well, in full fairness, if I
10 didn't have it, there are other things I could use,
11 especially the chemical peel treatments, and then
12 third-line, the laser treatments. The problem with
13 those is access for many patients to be able to
14 afford those therapies in my practice, and how
15 they're going to be able to come in oftentimes to
16 do those treatments.

17 Physical modality therapy for pigmentary
18 disorders, which includes peels and lasers are
19 great things to do, and I do them all the time.
20 But each and every patient can't afford coming in
21 and spending \$125 every 2 weeks for a chemical peel
22 treatment that they're going to have to do five

1 times, or come in for a several-hundred dollar to
2 several-thousand dollar laser procedure.

3 So yes, there are other things I could do,
4 absolutely. However, I think it would limit access
5 to care for many of the patients, especially my
6 underserved patients, which we treat a lot in the
7 inner city part of Dallas who have skin of color
8 and don't have insurance, where I can still get a
9 compound for a decent price.

10 Yes, Mr. Mixon?

11 MR. MIXON: As a compounding pharmacist, we
12 know that hydroquinone is unstable. We know that
13 kojic acid is unstable. We know how to prepare
14 these drugs so they are relatively stable. You
15 know, it's not up to us to decide what the patient
16 needs; that's his job. Our job is to make it and
17 make it correctly, and I think we can do that. And
18 I don't think that this committee should take this
19 drug out of his box of tools that he needs to take
20 care of his patients.

21 DR. GULUR: Ms. Davidson?

22 MS. DAVIDSON: Dr. Desai, have you used the

1 kojic acid containing OTC products, realizing
2 they're not monotherapy? And we don't know what
3 the concentration is, but what's your impression of
4 those?

5 DR. DESAI: So I was following that
6 discussion intently about the OTC formulations, and
7 there is actually one cosmeceutical formulation
8 that I have tried. There are several different
9 companies that make it. There is one company in
10 particular -- I won't mention the name just for
11 conflict-of-interest reasons -- and I have tried
12 that product.

13 The problem with that product is the cost.
14 It is, the cosmeceutical that I can dispense in
15 office and the ones that I trust because they have
16 at least some science behind them, they're very,
17 very, very expensive, and many patients can't
18 afford those cosmeceutical products. In fact, the
19 one that I do dispense in my office if a patient
20 really wants that in lieu of a compound, a
21 one-month supply is about \$96.

22 So these aren't inexpensive things we're

1 recommending. Granted, patients who have
2 pigmentary disorders, a lot of them come to see me
3 are so frustrated, they will spend the money to get
4 better. But if I can offer them something where I
5 know I'm not having them spend as much money that
6 has a good effect, and I know that I can do that in
7 a controlled setting with continuous follow-ups,
8 I'd be doing a disservice to my patient, just
9 forcing them to use a more expensive option.

10 DR. GULUR: Go ahead.

11 MS. DAVIDSON: And one final question.

12 DR. DESAI: Sure.

13 MS. DAVIDSON: Could you characterize maybe
14 a percentage of your patients that you use this in?

15 DR. DESAI: And that's a very valid point,
16 is that this, again, is not my first line by any
17 means. But the kojic acid discussion about
18 maintenance therapy I bring up with each and every
19 one of my hyperpigmentation patients, because when
20 someone comes to see me on their first visit, I
21 have a detailed discussion about the journey we're
22 going to take together in trying to get their

1 condition better.

2 What I set from the ground work is that this
3 is not a one time, come in and see me one day, and
4 you're good kind of thing. This needs to be a
5 relationship that happens long term to prevent you
6 from relapsing and recurring. I always mention
7 when I write that triple combination therapy on
8 visit one that you are not getting any refills.

9 This is meant to be used for no more than
10 8 weeks. And if you don't want to come back and
11 see me, that is fine. But if I would have you
12 continue using this and not switch you to a
13 second-line topical like kojic acid, or azelaic, or
14 peels, then I'm doing you a disservice and only
15 going to create another problem for you down the
16 road.

17 DR. GULUR: I'm sorry. I'll clarify again.
18 I didn't understand. How many patients do you use
19 this on?

20 DR. DESAI: I couldn't even give you an
21 exact number, but I can tell you that, for example,
22 on a daily clinic, I see usually 10 to 12

1 hyperpigmentation patients per day. At least half
2 of those are on a second-line topical agent,
3 including kojic acid. If you wanted me to quantify
4 that, maybe 10 to 15 patients a week are on some
5 formulation that contains this and/or azelaic.

6 DR. GULUR: And is kojic acid your primary
7 treatment when you move to the second line? Is it
8 what you're depending on? What other agents are in
9 the compounded mix you dispense?

10 DR. DESAI: I'm glad you asked that. I
11 actually still compound it with a retinoid and a
12 topical steroid. And what's really easy for me to
13 do is explain to the patient, your Tri-Luma product
14 contains three ingredients, one of which is
15 hydroquinone. At the end of 6 weeks, we're just
16 going to drop that hydroquinone ingredient and add
17 this other ingredient instead.

18 So we really just incorporate the kojic acid
19 and/or the azelaic acid in there. And the way I
20 usually choose that oftentimes depends on the
21 patient's pregnancy status and nursing status. And
22 I'll clarify that, because women who are pregnant,

1 I can use azelaic acid, which is a pregnancy
2 category B. I wouldn't use this ingredient, for
3 example.

4 Also, azelaic acid has become harder and
5 much more expensive to get because the
6 concentration that we usually have studied in
7 melasma is 20 percent, but the brand formulation we
8 have here is 15 percent that's actually being
9 marketed. So it's a matter of figuring out which
10 one the patient can either afford and/or have
11 access to with their insurance. I use between
12 azelaic and kojic both.

13 DR. GULUR: And what is the percentage of
14 kojic acid?

15 DR. DESAI: I like 3 percent.

16 DR. GULUR: You use 3 percent.

17 DR. DESAI: I use 3 percent.

18 DR. GULUR: And you're very convinced that
19 it's stable in the formulation that you
20 are -- after hearing the concerns here?

21 DR. DESAI: I think the instability concerns
22 are valid. I have no reason to refute that. I've

1 seen the data as well. In fact, as I mentioned
2 earlier, I'm on the International Board of the
3 Pigmentary Disorder Society, and we've brought this
4 up at a global consensus conference that we had in
5 Delhi earlier this year.

6 I think instability for all of our
7 hyperpigmentation products is an issue, and that's
8 one of the reasons that we don't have a good
9 product to treat these conditions because, one, of
10 their pharmacodynamics and, two, we don't have
11 large randomized controlled trials. In my
12 experience, I have not had any issues with this
13 ingredient, and I've found it to be very well
14 tolerated.

15 DR. GULUR: Dr. DiGiovanna?

16 DR. DiGIOVANNA: Yes. John DiGiovanna.
17 Just wanted to make one comment. And that is that
18 in addition to the issue of cost of cosmeceuticals
19 or cosmetics, there's also the issue of content
20 over time, in that in various products, the
21 formulations are often proprietary and can be
22 changed at any time without the knowledge of the

1 user, and certainly without the knowledge of the
2 physician. And if you are actually using a product
3 that you are observing, it's a bit easier for you
4 to determine the lack of efficacy than if a patient
5 is purchasing something and the formulation's been
6 changed and it no longer has the activity. It's
7 very difficult to determine that there's actually
8 been a change.

9 So I think it's useful for the committee
10 members to understand that in the real-world
11 practice, merely the fact that a cosmeceutical with
12 the active agent is available is not the same thing
13 as having it available to be compounded and then
14 used under the observation of a physician.

15 DR. DESAI: Ma'am, may I make a comment?

16 DR. GULUR: Before you do that, just for the
17 committee members' benefit, all discussion should
18 be maintained for later. We would request that you
19 only direct clarifying questions to the presenters
20 at this time.

21 DR. DESAI: Madam Chair, may I comment to
22 that just for the committee's sake?

1 DR. GULUR: Yes.

2 DR. DESAI: Thank you for bringing that up.
3 And that is the exact reason, which is why I don't
4 dispense a lot of cosmeceuticals in my practice,
5 because I honestly don't know what's in them. The
6 issue with why the compounding of pigmentary
7 disorders medications is so important and for me to
8 have control is because in many countries, and even
9 here in Dallas, in D.C., in New York, you can go
10 into an ethnic food store, or into a retail store
11 in certain parts of the city, and you can buy
12 products containing 8-10 percent hydroquinone,
13 containing high-potency topical steroids in OTC
14 formulations.

15 I have many of my patients -- Dallas has a
16 very large Indian population. Many of these
17 patients when they go back to India or to the
18 subcontinent can actually buy clobetasol and
19 8 percent hydroquinone combinations OTC. Then they
20 come back here using these products, thinking
21 they're getting better, and then I see the side
22 effects not knowing what they've been using.

1 So it's really important to be able to
2 control what we're using as best as possible. So I
3 think that's a really important point for the
4 committee to know.

5 **Committee Discussion and Vote**

6 DR. GULUR: Thank you very much. We will
7 end the open public hearing portion of this
8 meeting, and we'll no longer take comments from the
9 audience.

10 We will now begin the panel discussion of
11 kojic acid. Dr. Pham?

12 DR. PHAM: I just wanted to comment on the
13 known instability of hydroquinone because that
14 keeps getting brought up as a comparator. But that
15 said, if that's a component of the FDA-approved
16 product, then that means it's actually going
17 through the rigorous testing of the approved
18 products, which also will speak to why there may be
19 a difference in price, because you are taking this
20 product through the NDA, through the testing, going
21 through the current manufacturing practices to
22 produce the product.

1 So to say, well, it's just as potentially
2 unstable, that's fine, but then this product should
3 also go through the same rigorous testing to
4 validate, the same stability in that combination.
5 There are a lot of other combination products that
6 go through the NDA approval process, and I feel
7 like if this is something that shows significant
8 population need, it should also go through that
9 testing.

10 There are still questions about its
11 stability. It would then get the appropriate
12 labeling to warn also concomitant topicals in that
13 areas, things that may deactivate the product. I
14 feel like there's a lot of safety that comes
15 through taking this through the NDA process. And I
16 still have a lingering question about the potential
17 with the placental transfer regardless of whether
18 there is documented developmental toxicity or not.
19 Again, you're not going to get that information
20 unless it goes through more rigorous studies.

21 So I feel like we need to think about
22 incentivizing the drug approval process for this

1 potential --

2 DR. GULUR: Thank you. Any other comments?

3 (No response.)

4 DR. GULUR: If not, we will move forward
5 now. We will end our discussion and start the
6 vote. The question put forth is, FDA is proposing
7 that kojic acid not be included on the 503A bulk
8 list. Should kojic acid be placed on the list?

9 If you vote no, you are recommending FDA not
10 place the bulk drug substance on the 503A bulks
11 list. If the substance is not on the list when the
12 final rule is promulgated, compounders may not use
13 the drug for compounding under Section 503A unless
14 it becomes the subject of an applicable USP, or NF
15 monograph, or a component of an FDA-approved drug.

16 If there is no further discussion, we will
17 now begin the voting process. Please press the
18 button firmly on your microphone that corresponds
19 to your vote. You will have approximately
20 15 seconds to vote. After you have made your
21 selection, the light will continue to flash. If
22 you are unsure of your vote, please press the

1 corresponding button again.

2 (Vote taken.)

3 DR. HONG: Question 3, we have 3 yeses, 4
4 nos, and 1 abstain.

5 DR. GULUR: All right. We will start with
6 the comments on the votes. Dr. Vaida?

7 DR. VAIDA: Allen Vaida. I voted no. I'm
8 not convinced of the effectiveness, and I also am
9 not convinced that the amount in the product is
10 actually what may be there in a week or so because
11 of the stability.

12 DR. PHAM: Katherine Pham. I voted no for
13 similar reasons regarding its effectiveness and
14 still some concerns about the reactivity of the
15 active ingredient as well as some lingering
16 questions about toxicity.

17 DR. WALL: Donna Wall. I voted yes because
18 I think that there is a place. Granted it's
19 further down the chain of where you need to use it
20 in your therapy, but I think it's an option that is
21 needed and appears to be watched by the dermatology
22 community.

1 DR. CAROME: Mike Carome. I voted no
2 because of the concerns raised by the FDA about
3 stability of the product and the efficacy data
4 lacking.

5 DR. HOAG: Steve Hoag. I abstained because
6 I agreed with everything that was said by everyone.
7 One of the problems is the stability of these
8 compounds. I know how complicated formulations
9 are, and so I just really worry about having the
10 correct amount of drug. And then also, I can see
11 the point of view of this as a treatment.
12 Obviously, it must have some efficacy or people
13 wouldn't be using it. I don't know if that's the
14 scientific justification. So I stayed in the
15 middle.

16 DR. DiGIOVANNA: John DiGiovanna. I voted
17 yes. I think the FDA's position was that this
18 assessment was a balance. There are no real safety
19 issues. It appears to be efficacious for
20 individual patients. As I think the discussion has
21 held before, for most of these compounding issues,
22 they are of greatest value for the unusual patient,

1 and it's not likely that we are going to see them
2 go through the NDA process, the IND process. And I
3 think it's useful for us to understand what we are
4 trying to add to patient care.

5 The issue of stability is, of course, of
6 concern. However, it seems that a number of
7 over-the-counter companies and a number of cosmetic
8 companies have successfully been able to do this,
9 and it seems to not be a barrier under certain
10 circumstances. So I think in my balance, this was
11 a product that should be available for its limited
12 use.

13 MS. DAVIDSON: Gigi Davidson. I, in
14 preparation for this meeting, came prepared to vote
15 no on this because of the quality attributes for
16 this bulk drug substance when prepared as the
17 compound. But after hearing Dr. Desai's
18 presentation, Dr. DiGiovanna's contributions to
19 that, I now believe that it is a therapeutic tool
20 that dermatologists do need, so I voted yes.

21 I think the stability issues, although
22 significant, can be addressed. Mr. Wynn convinced

1 me that there are chemical ways to destabilize this
2 preparation, and then again, Dr. Desai alluded to
3 the fact that patients are counseled to not use
4 concomitant therapies that might contribute to the
5 instability of this.

6 I also feel that in the compounding arena,
7 this substance will be restricted more carefully to
8 supervision under a physician to observe for
9 adverse effects or lack of efficacy, which is my
10 bigger concern. There isn't a safety signal here
11 in my mind, but lack of efficacy is. And as
12 opposed to forcing people to use cosmeceuticals
13 because we don't put this drug on the list, I think
14 it pulls it back into the triad relationship so
15 that it can be monitored, and the adverse events
16 from hydroquinone are very, very serious and very
17 concerning.

18 **Adjournment**

19 DR. GULUR: I voted no on this and agreed
20 with the FDA. And the concerns were primarily on
21 the stability of this formulation. I respect
22 completely my dermatologist colleagues who feel

1 like this is necessary and useful in their
2 practice, but at the same time did not hear
3 anything convincing as far as they can be sure that
4 they are getting a stable product, that the patient
5 is receiving a stable product. And instructions on
6 how best to use it, et cetera, can be provided.
7 But nonetheless, again, we're not really certain,
8 in this circumstance, what this patient is
9 receiving. And just from that aspect, it seemed a
10 little bit more difficult to vote otherwise. Thank
11 you.

12 We will now break for lunch. We will
13 reconvene again in this room in one hour from now
14 at 1 p.m., five minutes short of an hour, but at
15 1 p.m. nonetheless. Thank you.

16 (Whereupon, at 12:05 p.m., the morning
17 session was adjourned.)

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