

UNITED STATES FOOD AND DRUG ADMINISTRATION  
CENTER FOR BIOLOGICS EVALUATION AND RESEARCH

PART 15 HEARING:  
DRAFT GUIDANCES RELATING TO THE REGULATION OF  
HUMAN CELLS, TISSUES, OR CELLULAR OR TISSUE-BASED  
PRODUCTS

Bethesda, Maryland

Tuesday, September 13, 2016

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7 Session 3 Speakers (in order of appearance):

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9 Foundation for the Accreditation of Cellular  
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11 WILLIAM MURRELL  
12 Info Health Global

13 BARBARA KRUTCHKOFF  
14 Institute for Regenerative and Cellular  
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## 1 P R O C E E D I N G S

2 (9:00 a.m.)

3 DR. WITTEN: Good morning to both the  
4 attendees in the conference center and those  
5 viewing the hearing through our live webcast.  
6 Welcome to the second day of the Part 15 hearing  
7 on the draft guidances related to the self  
8 regulation of human cells, tissues, and cellular  
9 and tissue based products.

10 I'm Dr. Celia Witten, Deputy Director of  
11 the Center for Biologics Evaluation and Research.  
12 I will serve as the presiding officer for this  
13 hearing. Before we begin I will provide a few  
14 housekeeping announcements. Those of you who were  
15 here yesterday have heard these announcements  
16 yesterday, but I'm repeating them for the sake of  
17 the attendees who have just joined us for the day  
18 today.

19 Please turn off any mobile devices as  
20 they may interfere with the audio in this room.  
21 We ask that all attendees sign in. Upon sign in  
22 you will be given a name tag indicating whether

1       you were speaking or attending without speaking.  
2       The hearing is scheduled from 9:00 a.m. until 5:00  
3       p.m. today. Restrooms are located in the lobby.  
4       Today we are planning for a 20 minute break in the  
5       morning session and a 15 minute break in the  
6       afternoon session. Please remember not to eat or  
7       drink in the auditorium, and if you do bring  
8       something in to take out your trash. Today's  
9       lunch break is scheduled from 12:19 p.m. to 1:34  
10      p.m. There are a variety of lunch options in the  
11      cafeteria in the basement of this building. As  
12      we're on a tight schedule we'll resume promptly.

13                 The purpose of the hearing today is to  
14      obtain broad stakeholder input on the following  
15      four draft guidances related to the regulation of  
16      human cells, tissues, and cellular and tissue  
17      based products, or HCT/Ps. Those guidances are  
18      the same surgical procedure exception, questions  
19      and answers regarding the scope of the exception,  
20      minimal manipulation of human cells, tissues in  
21      cellular and tissue based products, human cells,  
22      tissues in cellular and tissue based products from

1 adipose tissue regulatory consideration, and  
2 homologous use of human cells, tissues, and  
3 cellular and tissue based products draft guidance  
4 for industry and staff.

5 I'd like to provide some brief  
6 background on the regulatory framework. In 1997  
7 FDA first announced our propose approach to the  
8 regulation of HCT/Ps. FDA then engaged in notice  
9 and comment rulemaking. The resulting regulatory  
10 framework became fully effective May 25, 2005.  
11 Since that time FDA has issued a number of  
12 guidance documents to further assist stakeholders  
13 in implementing the regulations. We have received  
14 requests from stakeholders for further  
15 clarification, including to explain further our  
16 current thinking related to whether an HCT/P is  
17 subject to premarket approval. Specifically,  
18 stakeholders have asked questions about the same  
19 surgical procedure exception and the meaning of  
20 homologous use and minimal manipulation.

21 In addition we have received a number of  
22 questions related to products derived adipose



1 tissues. FDA issued these four draft guidances in  
2 response to these requests, thus the draft  
3 guidances are intended to provide clarity around  
4 our established regulatory framework for HCT/Ps.

5 FDA will consider the information we  
6 obtain from the speakers participating in public  
7 hearing and from information submitted to the  
8 dockets, both before and after the hearing, as we  
9 finalize these four draft guidances. As we  
10 described in the Federal Register Notice  
11 announcing this hearing, we are interested in  
12 comments on the scope of the four draft guidances,  
13 including the particular topics covered, the  
14 particular questions posed, whether there are  
15 additional issues for which guidance would be  
16 helpful, and whether FDA's recommendations for  
17 each topic are sufficiently clear and consistent  
18 within and across the documents to provide  
19 meaningful guidance to stakeholders. In addition,  
20 FDA welcomes comments that will enhance the  
21 usefulness and clarity of these documents.

22 So I've already introduced myself, but

1 I'm now going to ask the FDA panel members to  
2 introduce themselves.

3 MR. WEINER: I'm John Barlow Weiner,  
4 Associate Director for Policy for the Office of  
5 Combination Products at FDA.

6 DR. LARD: Good morning, I'm Sherry  
7 Lard; I'm the Associate Director for Quality  
8 Assurance in the Center for Biologics, and the  
9 Product Jurisdiction Officer.

10 DR. ANATOL: I'm Rachel Anatol,  
11 Associate Director for Policy in the Office of  
12 Cell, Tissue, and Gene Therapy in the Center for  
13 Biologics.

14 MS. MALONEY: Good morning, I'm Diane  
15 Maloney, Associate Director for Policy in the  
16 Center for Biologics Evaluation and Research.

17 MS. ZAVAGNO: Good morning, I'm Denise  
18 Zavagno; I'm Senior Counsel. I'm in the Office of  
19 the Chief Counsel at FDA?

20 MS. MALARKEY: Good morning, I'm Mary  
21 Anne Malarkey; I'm the Director of the Office of  
22 Compliance and Biologics Quality at the Center for

1           Biologics Evaluation and Research, FDA.

2                       MS. KRUGER: Good morning, I'm Angela  
3           Kruger; I'm an Associate Director for Guidance and  
4           Regulation in the Office of Device Evaluation in  
5           the Center for Devices and Radiological Health.

6                       DR. WITTEN: Thank you. There is much  
7           interest in this area. I'm now going to talk a  
8           little bit about the speakers and the agenda. We  
9           accepted request to speak on a first come, first  
10          serve basis and every speaking slot was allocated.  
11          To those who wish to speak but could not be  
12          accommodated, we thank you for your interest and  
13          your understanding. We encourage you to submit  
14          your full written comments to the Division of  
15          Dockets Management following the instructions in  
16          the Federal Register Notice for this meeting. We  
17          will carefully consider all comments submitted to  
18          the Docket as we work to finalize the guidance  
19          documents.

20                      We have a very full agenda, which  
21          includes of 90 scheduled presentations. In order  
22          to ensure that we can complete this agenda, I will

1 go over some ground rules. Each registered  
2 speaker has been given a five or eight minute time  
3 slot on the agenda, depending on whether they  
4 represent the interest of a single stakeholder or  
5 multiple stakeholders respectively. Give the very  
6 full agenda we request that each speaker keep to  
7 the allocated time so that we are able to keep to  
8 this tight schedule and allow everyone on the  
9 schedule an opportunity to speak. If a speaker  
10 ends early we intend to move on to the next  
11 speaker. We will need to stick to this timeframe  
12 and I thank you in advance for doing so. We have  
13 let speakers know ahead of time about the  
14 importance of sticking to the allotted.

15           And for the speakers, I'll just let you  
16 know that the yellow light will flash when you  
17 have a minute left so that you can take that into  
18 account in wrapping up. Speakers can provide  
19 additional comments that go beyond their allotted  
20 time by submission to the dockets.

21           This part 15 hearing is informal and the  
22 rules of evidence do not apply. No participant

1 may interrupt the presentation of a registered  
2 speaker. Only FDA panel members will be allowed  
3 to ask questions of the speakers. FDA may call a  
4 speaker back for questions or clarification during  
5 the allotted times for panel questions, assuming  
6 time allows and the presenter remains available.

7           Public hearings under Part 15 are  
8 subject to FDA policies and procedures for  
9 electronic media coverage of FDA public  
10 administrative proceedings. Representatives of  
11 the electronic media may be permitted subject to  
12 certain limitations to video tape, film, or  
13 otherwise record FDA's public administrative  
14 proceeding, including the presentations of the  
15 speakers today.

16           This meeting will be transcribed and the  
17 transcript will be made available at the website  
18 specified in the Federal Register Notice for this  
19 meeting. The docket will be open until September  
20 27 and we encourage you to submit your full  
21 written comments to the Division of Dockets  
22 Management following the instructions in the

1 Federal Register Notice.

2 Again, given the full agenda, we request  
3 that each speaker keep to their allotted time so  
4 that we're able to keep to the tight schedule. We  
5 thank you for your interest and participation  
6 today and look forward to a productive public  
7 hearing.

8 We'll proceed with the presentations.  
9 The first speaker represents the Foundation for  
10 the Accreditation of Cellular Therapy.

11 SPEAKER: Excuse me, ma'am -- doctor?  
12 We're going to have to reboot this computer; we  
13 have a technical problem.

14 (Recess)

15 DR. WARKENTIN: Good morning. Thank you  
16 for the opportunity to present this morning. I am  
17 Phyllis Warkentin, Professor of Pathology of  
18 Pediatrics at the University of Nebraska Medical  
19 Center and Chief Medical Officer of the Foundation  
20 for the Accreditation of Cellular Therapy.

21 The mission of FACT is to improve the  
22 quality of cellular therapies through pure

1 developed standards, education, and voluntary  
2 accreditation. FACT's goals are first to promote  
3 quality patient care and laboratory practice  
4 through a valid accreditation process that  
5 includes all three phases of cell collection,  
6 laboratory processing and storage, and clinical  
7 practice, including cell administration. Implicit  
8 in this comprehensive approach is open by  
9 directional communication to ensure that cell  
10 procurement and manufacturing are informed by  
11 clinical outcomes, safety, efficacy, and adverse  
12 events. The second goal is to improve treatment  
13 outcomes, and the third is to foster research and  
14 continued development of the field of cellular  
15 therapies.

16 FACT is the standards and accreditation  
17 arm of ASMBT, ISCT and NetCord, and collaborates  
18 in standards development internationally with the  
19 Joint Accreditation Committee of ISCT and EPMT,  
20 known as JACIE.

21 All FACTS standards are developed by a  
22 consensus of experts based on published research

1 and clinical data to the largest extent possible.  
2 The input of regulatory bodies, legal,  
3 professional organizations, and the public,  
4 including patients, is sought and is vital.  
5 Standards that may exceed regulatory requirements  
6 but are not less rigorous. FACT has three current  
7 active sets of standards, the hematopoietic cell  
8 therapy standards, core blood banking standards,  
9 and the first edition of common standards for  
10 cellular therapy.

11 FACT common standards are those  
12 fundamental standards applicable to any cell type,  
13 cell source, clinical application, phase of  
14 product development, or clinical trial. These  
15 standards require quality management instituted as  
16 early as possible in product development as a  
17 mechanism to ensure process controls for  
18 facilities, personnel, equipment, procedures,  
19 testing, labeling, and transport. These standards  
20 recognize various outcome measures, depending on  
21 phases of study, with safety as the first measure.  
22 There are two anticipated roles for the FACT



1 common standards. First, to serve as the basis  
2 for primary certification in early phase products  
3 or applications. And, second, to serve as a  
4 foundation for discipline specific standards in  
5 collaboration with relevant experts.

6           The first such discipline is the  
7 discipline of immune effector cell therapies.  
8 These standards are currently under final review,  
9 were developed in response to numerous clinical  
10 trials of products associated with unique and  
11 significant toxicities, manufactured in a limited  
12 number of facilities, but administered in diverse  
13 clinical settings. The standards unique to immune  
14 effector cells will be added to the requirements  
15 for accredited hematopoietic clinical settings.  
16 However, the primary target audiences are the  
17 clinical units outside of traditional transplant  
18 units, such as leukemia and oncology units.

19           FACT does have several specific comments  
20 to the draft guidance. First, we believe FDA  
21 should fulfill its responsibilities to protect  
22 patients in search of cellular therapies. We

1 support our parent society, ISCT, in its position  
2 on unproven cell therapies and agree on the  
3 importance of providing adequate education for  
4 patients. Development of professional standards  
5 and voluntary accreditation can play an important  
6 role in providing a bridge from basic research to  
7 clinical application. There is precedent for this  
8 in the same surgical procedure exception draft  
9 guidance wherein FDA has noted that hospitals must  
10 follow guidelines of the Joint Commission on  
11 Accreditation of Healthcare Organizations, or  
12 JCAHO, for tissue storage as a reason to permit  
13 the same surgical procedure exception.

14           Experts in respective fields who hold  
15 themselves to a higher standard are in the best  
16 position to maintain quality and safety, to  
17 collect appropriate data, and to complete clinical  
18 trials. We are to develop mechanisms to reduce  
19 and minimize the burden of clinical trials to get  
20 promising therapies to patients. Examples of how  
21 this could be accomplished include shared  
22 validation studies for microbial testing and the

1 use of accredited clinical sites for early  
2 clinical trials.

3           Second, tiered unified approach to HCT/P  
4 regulation fails to acknowledge the complexity of  
5 some tissues with multiple native functions in  
6 many cell types. It is difficult to strictly  
7 categorize complex tissues such as adipose tissue  
8 as only structural or cellular. Some possible  
9 solutions include determination of homologous use  
10 could be not dependent upon the initial  
11 categorization as whole tissue, but allow for  
12 cells and structural elements to be considered  
13 individually. The term "such HCT/P" could then be  
14 used to apply to either the cells or the  
15 structural elements depending on the intended use  
16 and the recipient. The term "homologous use"  
17 could be broadened to include any function or  
18 functions performed in the donor, not only a  
19 single basic function.

20           Third, the agency could specify and  
21 recognize the standard of care exceptions for  
22 certain procedures that have long been in place

1 without such tissue regulation, those procedures  
2 in which data exists related to the practitioners,  
3 procedures and safety. For example, breast  
4 reconstruction. Third, there appear to be a few  
5 inconsistencies that we have noted that would  
6 benefit from clarification. For example, the  
7 definition for homologous use. Although various  
8 phrases are used throughout the documents, such as  
9 perform the same basic function or functions, and  
10 perform one or more of the same basic functions,  
11 examples seem to ignore the concept of more than  
12 one function for a specific tissue. Secondly, the  
13 following example is also confusing to many  
14 people, it is considered non homologous to adipose  
15 tissue in breasts as the function of breast is  
16 lactation, ignoring the role of fat in support and  
17 shape. But it is homologous to put islets into  
18 the liver, although the liver function is  
19 certainly not glucose homeostasis.

20 Fourth, we suggest that the agency  
21 expand expectations for cord tissue to include  
22 which regulations apply and when they apply. For

1       example, whole cord tissue collected,  
2       cryopreserved, and stored as whole tissue when the  
3       future use is unknown, compared with cord tissue  
4       processed first and then cryopreserved.

5       International harmonization is also important to  
6       facilitate product development and worldwide  
7       availability of products.

8                     Thank you.

9                     DR. WITTEN: Thank you. Our next  
10       speaker represents Info Health Global.

11                    MR. MURRELL: Good morning distinguished  
12       Chairperson and assembled members of the  
13       Committee. I would like to thank you for  
14       organizing this hearing to hear comments on the  
15       four proposed draft guidances. We appreciate your  
16       attentiveness and willingness to listen to our  
17       observations and suggestions. It is no doubt a  
18       Herculean effort to balance our requests with the  
19       FDA mission.

20                    I am Bill Murrell and I am the Executive  
21       Director of Info Health. We are a healthcare  
22       consultancy that assists facilities with

1 development of clinical programs, regulatory  
2 compliance, quality management systems, and if  
3 desired, preparation for accreditation of their  
4 cellular and biological treatment programs and  
5 storage.

6           Although we've only been in business for  
7 two years we have experienced a great response,  
8 especially from the practitioners in the area of  
9 musculoskeletal space. As experienced  
10 in-processing storage and treatment with cellular  
11 and biological agents is limited in comparison to  
12 bone marrow and cord blood and other hematologic  
13 and non hematologic uses and applications of HPC.  
14 The thirst to better serve or deliver products to  
15 patients that are compliant with harmonized  
16 international standards holds great interest in  
17 many of our practitioners. Our clients are found  
18 in the Americas, Europe, and Asia currently. In  
19 addition, I am an actively practicing orthopedic  
20 surgeon.

21           My exposure and entry into the area of  
22 regulation has stemmed from a decade of trying to

1 advance clinical studies, replicating the work  
2 that has been completed elsewhere, utilizing  
3 biological agents to augment current orthopedic  
4 procedures in a non university academic private  
5 practice. In an attempt to garner approvals to go  
6 forward with both self funded and sponsored  
7 studies programs had to be designed that approval  
8 bodies cannot say no. And this largely occurred  
9 because of -- we instituted programs modeled after  
10 cord blood to get approvals. The specific area  
11 that is of great interest to me and many in our  
12 space, that is largely unsolved, is the ability to  
13 repair and regenerate synovial joint articular  
14 cartilage. Globally it is a problem of epidemic  
15 proportions where we routinely see persons  
16 undergoing joint replacements in their fourth and  
17 fifth decades of life. The long-term impact of  
18 this activity is already being with patients  
19 undergoing revision surgeries in subsequent decade  
20 of life, the cost of which is growing  
21 exponentially and likely to be unsustainable.

22 Today I will limit my comments and

1 recommendations to two of the four draft  
2 guidances. I will start with minimal  
3 manipulation. Physical culture of autologous  
4 chondrocytes for implantation for articular  
5 cartilage defects predates both the current  
6 regulation in the U.S. as well as Europe. The  
7 treatment has been found to be safe, effective and  
8 affordable. This change, however, with the  
9 hospital exemption rule in Europe and with  
10 increased regulation resulting in a tenfold  
11 increase in price. The therapy was also approved  
12 in the U.S. with rules less oppressive than the  
13 standards of today and certainly less than the  
14 draft guidances that we are considering currently.

15           Despite having an approved product  
16 globally, the application of this technology  
17 unfortunately does not make it to patients as the  
18 coverage by third party payers is quite scare.  
19 Herein lies the problem, we have treatments but we  
20 cannot use them. This makes little sense. As  
21 healthcare practitioners we held accountable for  
22 providing solutions that today when patients are



1 far better educated and are demanding that we  
2 progress, innovate, and treat their underlying  
3 conditions, this is a great opportunity and  
4 promise of regenerative medicine.

5 One of the theoretical risks for high  
6 risk assignment of culture cells is the formation  
7 of tumors. In the case of ACI no tumors have been  
8 seen clinically since being instituted with over a  
9 20 year positive track record. Additionally,  
10 culture expanded MSCs have also been used  
11 worldwide since the late 1990s. And although the  
12 data is limited studies today have shown an  
13 impressive safety profile, especially when used in  
14 an autologous fashion. A total of 149 patients in  
15 the first studies with 1-11.5 years follow up  
16 demonstrated no AEs or severe adverse events.  
17 Systematic review by Peters in 2013 based on 884  
18 treatments in 8 studies reached the conclusion  
19 that interarticular injections of culture expanded  
20 MSCs are safe. Currently there are active  
21 treatment programs in Australia, Japan, and  
22 Singapore utilizing culture expanded MSCs for

1 treatment of both traumatic chondral injury as  
2 well as degenerative disease. And I am sure that  
3 the Committee is quite aware of the recent  
4 Australian TGA regulation allowing physicians to  
5 not only culture and administer autologous cells,  
6 but also to use them in homologous and non  
7 homologous fashions.

8           So what are we recommending? We  
9 recommend that we follow some of the  
10 recommendations from the REGROW bill, the Senate  
11 REGROW bill on Section 351(b), approval for  
12 cellular therapies, specifically allow non  
13 homologous use of minimally manipulated autologous  
14 cells that are appropriately produced, allow also  
15 for more than minimal manipulated autologous  
16 cells, i.e., culture cells that are not  
17 genetically modified and appropriately produced.

18           We'd also like you to consider creating  
19 separate autologous guidelines, or better yet  
20 leave things alone. Specifically, state  
21 registration of products and treatment programs  
22 require accreditation of programs similar to what

1 hospitals currently use, JCI, using best available  
2 international guidelines. Also allow state  
3 medical boards to regulate physician activities.  
4 Additionally, we recommend the creation of a task  
5 where all stakeholders, especially patients and  
6 patient advocacy groups can make commentary,  
7 doctors, scientists, FDA, industry, Congress,  
8 state medical bodies, and accreditation bodies.

9           Recommendations on homologous use.  
10 Currently there's a lack of evidence for either  
11 side. Our specific recommendation is leave the  
12 draft guidance open until further conclusive  
13 evidence is available from both sides. If action  
14 is taken, some of the recommendations from the  
15 REGROW bill specifically allow for non homologous  
16 use of minimally manipulated autologous cells that  
17 are appropriately produced, allow for more than  
18 minimally manipulated autologous cells cultured  
19 that are not genetically modified and  
20 appropriately produced. The power to heal is  
21 within every human being, we must think about our  
22 patients first. Cellular therapies, including

1 culture cell autologous products are safe and have  
2 a long standing safety record even if produced by  
3 physicians. Culture cellular products are low  
4 risk products and are different than  
5 pharmaceuticals, especially when autologous and  
6 therefore should be regulated differently.  
7 Homologous use guidance should be left open until  
8 further evidence has been provided.

9 Thank you. (Applause)

10 DR. WITTEN: Thank you. Our next  
11 speaker represents the Institute for Regenerative  
12 and Cellular Medicine.

13 DR. RODRIGUEZ: Good morning. My name  
14 is Ricardo Rodriguez and I am a plastic surgeon.  
15 I was on the faculty at Yale Medical School and  
16 now have a private practice with a teaching  
17 appointment at Johns Hopkins. I have a grant from  
18 the Maryland Stem Cell Research Foundation to  
19 track SVF cells in vivo that have been injected  
20 into radiated breasts.

21 My comments will be restricted to the  
22 FDA draft guidance for adipose tissue and levels

1 of risk. The FDA states because connective tissue  
2 provides structure and support to the body FDA  
3 considers connective tissue, including adipose  
4 tissue, to be a structural tissue. This statement  
5 is not supported by the FDA's cited authority used  
6 in the guidances, "Junqueira's Basic Histology  
7 Textbook and Atlas".

8 In the chapter dedicated to connective  
9 tissue Junqueira recognizes that connective tissue  
10 has other functions than providing structure and  
11 support. It classifies connective tissue as  
12 follows: 1. Connective tissue proper. 2.  
13 Embryonic connective tissues. 3. Specialized  
14 connective tissues. The specialized connective  
15 tissues are defined by the principal specialized  
16 functions. They are blood, reticular connective  
17 tissue, adipose tissue, bone, and cartilage.  
18 Although the primary function of some types of  
19 connective tissue is to provide structure and  
20 support to the body, connective tissue has a wide  
21 variety of functions that depend on the types of  
22 cells and the different classes of fibers

1 involved. For example, blood is a specialized  
2 connective tissue consisting of cells and fluid  
3 whose principal function is transport. It is a  
4 connective tissue that is not structural tissue.  
5 Reticular connective tissues have a backbone  
6 composed of a delicate network of reticular and  
7 collagen III fibers with attached fiber blasts  
8 that hold the organ together. Examples of  
9 reticular connective tissue are liver, bone  
10 marrow, pancreas, and lymph nodes. It is  
11 connective tissue that is not structural tissue.  
12 In fact the FDA explicitly classifies these  
13 connective tissues as not structural because they  
14 serve predominantly metabolic or other biochemical  
15 roles in the body, including endocrine functions.

16 Adipose tissue is yet another  
17 specialized connective tissue that has structural  
18 elements but is not solely defined by them.  
19 Junqueira, the FDA's own cited authority lists the  
20 many functions of adipose tissue in Chapter 5. In  
21 the first paragraph it lists a storage depot and  
22 metabolic energy regulatory functions of adipose

1 tissue. In the second paragraph it highlights the  
2 importance of adipose tissue as circulatory  
3 endocrine organ responsive to nervous and hormonal  
4 stimuli. In the third paragraph it lists the  
5 space occupying and cushioning physical properties  
6 of adipose tissue.

7           Furthermore, in the summary key points  
8 section of the chapter, used as an authority  
9 source, it states that defining cells of adipose  
10 tissues are adipose sites. Cells of adipose  
11 tissue are supported by reticular fibers. The  
12 FDA's cited authority cites clearly and  
13 emphatically that adipose tissue is connective  
14 tissue who's defining function is metabolic and  
15 non structural co-existing with structural  
16 features. A Google Scholar search of all  
17 available on line medical databases for the  
18 primary function of adipose tissue returns 538,000  
19 journal articles. The vast majority refer to the  
20 non structural endocrine and circulatory  
21 properties of adipose tissue. A search for the  
22 exact match, or the phrase primary function of

1 adipose tissue yielded the following: It was long  
2 believed the primary function of adipose tissue  
3 was energy storage. In fact stromal adipose  
4 tissue is a complicated endocrine organ. This is  
5 critically important because it goes to the core  
6 of determining what constitutes minimal  
7 manipulation and what is homologous use of adipose  
8 tissue. CFR 1271.3 states, homologous use means  
9 the repair, reconstruction, replacement, or  
10 supplementation of a recipient's cells or tissues  
11 with an HCT/P that performs the same basic  
12 function or functions in the recipient as in the  
13 donor. Section 1271.3 correctly acknowledges that  
14 an HCT/P may have more than one function.  
15 Junqueira, the FDA cited authority for these  
16 guidelines, states unequivocally that this is a  
17 true fact for adipose tissue. FDA guidance must  
18 reflect this fact. Currently it does not.

19           And now I'd like to comment on levels of  
20 risk. This mischaracterization of the nature of  
21 tissues also undermines the ability of a risk tier  
22 framework to adequately assess risk. There is no



1 scientific or rational basis for treating an  
2 allogeneic, cultured, engineered IPS cell and an  
3 autologous and non expanded SVF cell as having  
4 identical risk profiles. The tragedies we heard  
5 of last Thursday were not caused by SVF cells  
6 misbehaving. They were caused by practitioners  
7 misbehaving. A general practitioner instead of a  
8 board certified ophthalmologist injecting an  
9 eyeball poses a far greater and immediate danger  
10 that whatever cells or even FDA approved drug may  
11 be in the syringe, that is the real problem that  
12 brought us there. Any meaningful solution must  
13 target this problem effectively. Studies and  
14 registries are a great start to verify claims of  
15 safety, but they happen only after the fact. They  
16 are also prone to self-reporting errors.  
17 Accreditation of stem cells facilities and  
18 practitioners is a better solution. Any  
19 practicing physician here in this audience knows  
20 that accreditation of practitioners and healthcare  
21 facilities is the industry standard for maximizing  
22 patient safety before, during, and after therapy.

1       Periodic audience and the specter of losing one's  
2       credentials are powerful motivators and  
3       deterrents.

4                 Specialist societies, like IFATS and the  
5       ASPS welcome the opportunity of working together  
6       with accreditation agencies, such as AAAASF, that  
7       accredits surgery centers and the AABB and ISCET  
8       present here to work together to help the FDA  
9       solve the problems that brought us here.

10                Thank you.   (Applause)

11                DR. WITTEN: Thank you. Our next  
12       speaker represents the International Federations  
13       for Adipose Therapeutics and Science.

14                DR. KATZ: Good morning. My name is  
15       Adam Katz; I'm a Professor in the Department of  
16       Surgery in the Division of Plastic Surgery at the  
17       University of Florida. Clinically I practice a  
18       wide spectrum of plastic and reconstructive  
19       surgery and I also direct a laboratory engaged in  
20       basic as well as translational and clinical  
21       research related to adipose derived therapies. I  
22       have been involved in this field of research since

1 1993 and I was a member of the team that published  
2 the seminal peer reviewed paper describing the  
3 multi lineage potential of adipose derived stromal  
4 cells. This was published in 2001, and according  
5 to Google Scholar it has now been cited over 6000  
6 times.

7 For purposes of full disclosure I have  
8 also founded two for profit companies, both of  
9 which have worked with the FDA and currently have  
10 two FDA approved clinical studies ongoing.

11 Today, however, I speak on behalf of the  
12 International Federation for Adipose Therapeutics  
13 and Sciences, or IFATS. I speak on behalf of them  
14 as a society cofounder, a member of the board of  
15 directors, and chair of the regulatory affairs  
16 committee. IFATS is a not for profit entity and  
17 was founded in 2003, and since that time  
18 attendance at our annual meetings has grown by  
19 nearly tenfold, drawing members from 40 countries  
20 around the world. The society brings together  
21 scientists, clinicians, translational researchers,  
22 and regulatory and biotech representatives to

1 discuss the latest advance in adipose tissue  
2 biology.

3           In addition to leading adipose  
4 biologists, the membership also includes  
5 practicing cardiologists, immunologists,  
6 neuroscientists, plastic and reconstructive  
7 surgeons, orthopedists, and vascular surgeons to  
8 name a few. As such, we believe the society has a  
9 unique expertise and wide ranging perspective to  
10 potentially serve as a resource and partner for  
11 examining and structuring policies related to  
12 adipose derived therapies in particular.

13           Like all in this room, IFATS is first  
14 and foremost committed to the ethical translation  
15 of adipose derived treatments and to ensuring the  
16 prioritization of patient safety in the  
17 application of these new treatments. In the  
18 context of patient care specifically this is  
19 guided by an oath taken by every physician in the  
20 United States that in some form or another  
21 includes the concept of primum non nocere, or  
22 first do no harm. The society also recognizes,

1 supports, and advocates adherence to the  
2 principles of the Belmont Report, which summarizes  
3 the ethical principles and guidelines for the  
4 protection of human subjects in research.

5 We certainly appreciate the time and  
6 effort that the FDA has put forth on the guidance  
7 documents related to the use and translation of  
8 adipose products in particular, and we are highly  
9 aware of the difficult challenge which the agency  
10 is faced with to find a balance between issues of  
11 patient safety and treatment efficacy with those  
12 of progress, innovation, ethical clinical  
13 research, the practice of medicine, and the  
14 autonomy of patients, which centers around the  
15 long standing doctrine of informed consent that  
16 provides a patient the right to direct his or her  
17 care in general in the use of his or her own cells  
18 and tissues in specific.

19 In addition to written comments  
20 previously submitted and those that will follow  
21 these hearings, I would like to take the time we  
22 have here today to focus the remainder of our

1        comments on one particular core issue that we  
2        believe is at the heart and influences all other  
3        guidance interpretations related to fat. In  
4        short, IFATS's request that the FDA reconsider its  
5        position that adipose tissue is exclusively or  
6        even primarily categorized as a structural tissue.  
7        The FDA guidance specifically states that adipose  
8        tissue is, "Typically defined as a connective  
9        tissue". Because connective tissue provides  
10       support and structure to the body, the FDA  
11       considers connective tissue, including adipose, to  
12       be structural. And in support of this position,  
13       the guidance references basic histology text.  
14       However, if one examines this reference in detail,  
15       and many others like it, one will find that blood,  
16       bone marrow, pancreas, and lymph nodes, along with  
17       adipose tissue, are all considered connective  
18       tissues, and specialized connective tissues at  
19       that.

20                    Based on the logic proposed by the FDA  
21       then these same tissues, namely blood, lymph node,  
22       and pancreas, which are all histologically

1 classified as connective tissue, should also be  
2 considered to be primarily structural because,  
3 "They are connective tissues and connective  
4 tissues provide support and structure to the  
5 body." Of course we do not advocate that blood be  
6 considered a structural tissue. And yet in the  
7 guidance document related to minimum manipulation  
8 of HCT/Ps, the FDA specifically lists tissues such  
9 as blood, pancreas, and lymph nodes as non  
10 structural tissues. This leads one to ask why are  
11 some connective tissues considered to be  
12 structural by the FDA, that is adipose, but others  
13 in the same histological categorization, such as  
14 blood and pancreas, are not. This categorization  
15 is inconsistent and confusing at best, and  
16 arbitrary at worst. It is unsupported by fact and  
17 even contradicted by the very source referenced by  
18 the FDA in their guidance document.

19 With respect to function, the guidance  
20 document further states, "For purposes of applying  
21 the regulatory framework we, the FDA, generally  
22 consider adipose tissue to be a structural tissue

1 with characteristics for reconstruction, repair,  
2 or replacement that relate to its utility to  
3 cushion and support the other tissues in the  
4 subcutaneous layer and skin." However, based on  
5 existing biological, scientific, and clinical  
6 realities, we submit that this blanket  
7 characterization of adipose tissue as solely a  
8 structural tissue is too simplistic and does not  
9 reflect clinical reality or establish scientific  
10 fact.

11           Indeed, I could spend the entire eight  
12 minutes today speaking on details related to the  
13 non structural functions and activities of adipose  
14 tissue alone which have previously been mentioned  
15 to include inflammation, angiogenesis, vascular  
16 genesis, cell differentiation, metabolism, and  
17 more. In fact, adipose tissue is described as an  
18 endocrine organ by the very source that is  
19 referenced by the FDA in the guidance documents.

20           In conclusion, the FDA's current  
21 guidance documents acknowledge the different  
22 components of adipose tissue, and thus, by



1       implication, acknowledge that fat does more than  
2       cushion and support. Given the wide range of  
3       functions attributable to adipose tissue we  
4       request that the classification of adipose tissue  
5       be expanded from one of an exclusively or  
6       primarily a structural tissue to one that is both,  
7       or either structural and/or non structural. And  
8       we further propose that the FDA regulate a given  
9       adipose derived product based on the specific cell  
10      type or types and/or the specific matrix component  
11      or components that are included in the product,  
12      and to do so in the context of a specific intended  
13      use.

14                   I'd like to thank the FDA for arranging  
15      the workshop last week, which was quite  
16      informative for me, and also for these hearings  
17      and for the opportunity to speak today.

18                   (Applause)

19                   DR. WITTEN: Thank you. The next  
20      speaker represents the International Society for  
21      Cellular Therapy.

22                   DR. NICHOLS: Good morning. My name is

1 Karen Nichols. I am Chief Regulatory Officer of  
2 the International Society for Cellular Therapy. I  
3 am here today presenting brief, prepared remarks  
4 on the four draft guidances before us.  
5 Specifically, as we've heard, those draft  
6 guidances are homologous use of HCT/Ps, minimal  
7 manipulation of HCT/Ps, HCT/Ps from adipose  
8 tissue, regulatory considerations, and the same  
9 surgical procedure exception under 21 CFR 27115,  
10 Q&A.

11 The International Society of Cellular  
12 Therapy, ISCT, is a global society of clinicians,  
13 regulators, researchers, technologists, and  
14 industry partners with a shared vision to  
15 translate cellular therapy into safe and effective  
16 therapies to improve patients' lives worldwide.  
17 We are focused on preclinical and translational  
18 aspects of developing cell based therapeutics in  
19 three key areas of translation, academia,  
20 regulatory, and commercialization. Through strong  
21 relationships with global regulatory agencies,  
22 academic institutions, and industry partners ISCT

1 drives the advancement of research into standard  
2 of care. ISCT thanks FDA for the opportunity to  
3 provide formal feedback on these draft guidances.  
4 ISCT support efforts that provide more clarity,  
5 consistency, and transparency in regulatory  
6 environments for HCT/Ps. And the topics covered  
7 by the draft guidances are highly relevant and  
8 timely for today's environment. ICT found a lot  
9 to like in these documents.

10 In the draft guidance on homologous use  
11 ICT requests that specific examples are provided  
12 of advertising materials that illustrate  
13 objectionable claims. Ideally claims that have  
14 already been evaluated by agency and deemed to be  
15 indicative of advertising that promotes non  
16 homologous use and also a consideration of how  
17 these examples might be evaluated if the  
18 advertising did not originate from the same source  
19 as the product. Would these claims be viewed the  
20 same way in light of the products' non homologous  
21 use with the same impact on the manufacturer  
22 themselves?

1                   ICT also requests that the agency  
2           provide specific examples of the triggering  
3           behavior that might occur to demonstrate  
4           manufacturer's objective intent that an HCT/P is  
5           being offered for non homologous use. For  
6           example, would this include hands on  
7           demonstrations in addition to oral or written  
8           statements by the manufacturers or its  
9           representatives?

10                   ISCT appreciates the clarification  
11           provided for the definition between structural and  
12           cellular non structural tissues. As already  
13           illustrated and heard here in the last day or so,  
14           a structural tissue contains cellular elements,  
15           and both may play an equally important role in  
16           product function, and perhaps both need to be  
17           considered when determining the level of  
18           manipulation each is subjected to.

19                   Similar to the amniotic membrane example  
20           and other examples already in the draft guidance,  
21           we request that an example be provided regarding  
22           the processing of umbilical cord tissues,

1 specifically the extraction and processing of  
2 umbilical cord to remove cells and/or other  
3 components for potential further therapeutic use.

4           To highlight the contrast for more than  
5 minimally manipulated we request FDA provide an  
6 example of minimally manipulated adipose tissue in  
7 this section of the guidance. For example, as  
8 suggested by the homologous use example B1,  
9 adipose tissue recovered and processed for  
10 cosmetically filling voids in subcutaneous space  
11 of the face or hands could also be minimally  
12 manipulated. In light of the recent presentations  
13 there are potentially several ideas and/or  
14 suggestions that have been offered to the  
15 Committee to this point in this hearing that could  
16 be added to this guidance to provide practical  
17 examples for the readers.

18           We suggest that you consider facility  
19 registration and periodic inspection of facilities  
20 that remove adipose tissue based products from an  
21 individual and return that adipose derived tissue  
22 to the same person at a different time. This

1 would provide oversight for HCT/P tracking, raw  
2 material control and handling for facilities,  
3 which is vital, particularly if they may not be  
4 otherwise accredited. It is critical that all  
5 tissue and product contact material are absolutely  
6 traceable and subject to a degree of quality  
7 oversight that seeks to minimize or eliminate the  
8 risk of product mix up and/or contamination.

9           Similarly we ask you consider  
10 registration and inspection oversight for surgical  
11 sites that again remove cell or tissue based  
12 products from one individual with a plan to return  
13 them to the same individual at a different time  
14 for the same reasons as noted in the previous  
15 slide. Again this would provide oversight for the  
16 HCT/P tracking, raw material control and handling,  
17 for facilities where that will be important, and  
18 again, particularly if they're not otherwise  
19 accredited. It is vital that all the tissue and  
20 product contact materials are absolutely traceable  
21 and subject to a degree of quality oversight that  
22 seeks to minimize or eliminate the risk of product

1 mix up and/or contamination, and to have  
2 practical, if not absolute assurance and support  
3 of both product and patient safety.

4 In conclusion, as previously stated,  
5 ISCT supports efforts that provide more clarity,  
6 consistency, and transparency in regulatory  
7 environments for HCT/Ps. We also suggest that  
8 these draft guidances, combined with current  
9 regulatory pathways, are part of an existing  
10 framework that should be correctly used prior to  
11 creating parallel perhaps redundant product  
12 advancement pathways as suggested by the recently  
13 proposed REGROW legislation, and in which the  
14 society has provided its current thinking on this  
15 potential legislation and a recent press release  
16 as of August of 2016.

17 ISCT requests that U.S. regulators  
18 engage with the government personnel involved in  
19 this legislative effort to ensure consistency  
20 between these draft guidances, current regulatory  
21 pathways, and the proposed REGROW legislation to  
22 facilitate safe, effective, and economical

1 cellular therapies are provided to the patients  
2 who actually need them. On September 8 Dr.  
3 Domenici provided the agency with ISCT's view on  
4 unproven cellular therapies. Finalizing these  
5 draft guidances will provide more tools that  
6 legitimate manufacturers can use as well as  
7 provide a better ability to identify the purveyors  
8 of those unproven therapies.

9 Thank you for allowing ISCT to  
10 participate in this public meeting. (Applause)

11 DR. WITTEN: Thank you. Our next  
12 speaker represents the International Society for  
13 Stem Cell Research.

14 DR. ROOKE: Good morning. I am Health  
15 Rooke, Scientific Director of the International  
16 Society for Stem Cell Research. I think the FDA  
17 for this opportunity to present and to participate  
18 in the discourse between the many different  
19 stakeholders represented here at this hearing.

20 The ISSCR is an international membership  
21 organization representing over 4000 stem cell  
22 researchers from more than 55 countries. We have



1 members from academia, industry, and clinical  
2 settings. The ISSCR was established to promote  
3 professional and public education in all areas of  
4 stem cell research and application, to foster the  
5 exchange of information and ideas relating to stem  
6 cells, to encourage the field, and to facilitate  
7 the clinical application of what is learned.

8           Our members are extremely interested in  
9 harnessing the promise of stem cell research to  
10 transform human health worldwide and to do this  
11 through the understanding of how our cells and  
12 tissues work, understanding disease and  
13 identifying new therapeutic approaches, and in the  
14 development of stem cell and cell derived  
15 treatments for repair or replacement. The ISSCR  
16 is committed to delivering scientifically sound  
17 and evidenced based stem cell treatments. And we  
18 speak to these scientific principles today. We do  
19 have concerns that stem cell treatments are being  
20 marketed directly to consumers without the  
21 safeguards in place to ensure likely safety and  
22 efficacy of experimental treatments, or indeed

1 truthfulness of the claims about so-called proven  
2 therapies. This phenomenon has been referred to  
3 as stem cell tourism, but is not restricted to  
4 individuals travelling internationally. And the  
5 marketing of purported stem cell treatments with  
6 little to no evidence of clinical utility and in  
7 some cases complete disregard of the known cell or  
8 tissue biology is also prevalent here in the  
9 United States. We therefore welcome a role for  
10 the FDA in overseeing clinical applications of  
11 human cells tissue or cell and tissue based  
12 products.

13           In 2008, in an update earlier this year,  
14 the ISSCR released our guidelines for our members  
15 for the clinical translation of stem cells. The  
16 ISSCR guidelines for stem cell research and  
17 clinical translation promote a rigorous scientific  
18 and (inaudible) medical process and aim towards a  
19 good use of resources to get the best medicines to  
20 patients. The guidelines bring together guidance  
21 for laboratory research and translation for this  
22 research to the clinic under five core principles,

1 integrity of the research process, which relies  
2 heavily on independent review and oversight,  
3 including regulation, patient welfare, respect for  
4 research subjects, transparency, and social  
5 justice. The ISSCR guidelines demand robust  
6 standards for pre clinical and clinical research  
7 as well as independent review and oversight. As  
8 potential treatments move through clinical testing  
9 towards the market the guidelines focus  
10 considerable attention on the preclinical and  
11 clinical phases of research, calling for studies  
12 to produce persuasive evidence of clinical promise  
13 before trials go forward and calling for rigorous  
14 evaluation for safety and efficacy before  
15 marketing approval of a stem cell treatment.

16           We have heard a lot about the complexity  
17 of biological products, the wide variety of  
18 methods used in processing, manufacture, and  
19 delivery. And recognizing these challenges and  
20 the resultant uncertainty, the ISSCR guidelines  
21 advocate for stringent review and oversight and  
22 that wherever possible potential stem cell

1 treatments be tested for safety and efficacy in  
2 formal clinical trials before approval. There  
3 will always be unknowns in moving into human  
4 testing, however the balance of risk and potential  
5 benefits can be improved with a sound  
6 understanding of the underlying biology and an  
7 understanding of the anticipated mechanism of  
8 action. Prudent use of resources demands that  
9 even when risk is modest studies should rest on  
10 sound scientific evidence of expected efficacy.  
11 Striking the right balance between facilitating  
12 patient access to new treatments and rigorous  
13 evaluation is an ongoing challenge for us and for  
14 regulatory authorities, however, it is important  
15 that exemptions or shortcuts do not undermine this  
16 rigorous testing.

17           The ISSCR guidelines also highlight the  
18 responsibility of all groups communicating stem  
19 cell science and medicine to present accurate  
20 balance reports of expectations progress and  
21 setbacks. The provision of accurate information  
22 about stem cell based interventions and about

1 risks, limitations, possible benefit, and  
2 available alternatives is essential in the  
3 delivery of quality healthcare. In this regard I  
4 raise the importance of how the term stem cell is  
5 used. A cell should only be defined as a stem  
6 cell if rigorous criteria are met where there is  
7 demonstrated capacity for the cells that self  
8 renew and to differentiate into mature progeny.  
9 For example, we've heard a great deal about  
10 mesenchymal stem cells, yet there is considerable  
11 skepticism in the field about whether mesenchymal  
12 cells manifest the so-called stemness, and whether  
13 mesenchymal cells from different tissue sources  
14 have the same properties. There is a very high  
15 perceived value of what stem cells can do that  
16 derives directly from the concept that stem cells  
17 are highly versatile and medically valuable. And  
18 we believe in this promise. This term stem cell  
19 has strong marketing appeal and should be used  
20 accurately. There are many examples of false or  
21 misleading product promotion using the term stem  
22 cell to promote an intervention without evidence

1 of the cell's potential. There are many different  
2 types of stem cells that come from different  
3 places in the body and these cells differ in their  
4 properties and potency. Moreover, the context of  
5 the cell, where it came from, as well as how it is  
6 treated and where it is placed in that treatment,  
7 will impact its behavior and claimed function  
8 should be evaluated rigorously for a given product  
9 and indication.

10 In closing I would like to reiterate the  
11 comments of Jonathan Kimmelman who spoke on behalf  
12 of the ISSCR last week at the FDA workshop.  
13 Biomedical research is a collective enterprise and  
14 the FDA plays an important role in balancing the  
15 varying perspectives of researchers, clinicians,  
16 industry, and patients, and ensuring that clinical  
17 applications are evidence based. We welcome this  
18 partnership and offer our support and expertise to  
19 the FDA as they address the comments received  
20 about the current guidance documents and also in  
21 looking forward to future guidance to accommodate  
22 scientific advances, new challenges, and evolving

1 social priorities.

2 Thank you. (Applause)

3 DR. WITTEN: Thank you. Our next  
4 speaker represents the National Center for Health  
5 Research.

6 DR. FOX-RAWLINGS: Thank you for the  
7 opportunity to speak today. My name is Dr.  
8 Stephanie Fox-Rawlings. I am a Senior Fellow at  
9 the National Center for Health Research. Our  
10 research center analyzes scientific and medical  
11 data to provide objective health information to  
12 patients, providers, and policy makers. We do not  
13 accept funding for the drug or medical device  
14 industry.

15 Before coming to the National Center for  
16 Health Research I worked as a developmental  
17 neuroscientist at the Children's National Medical  
18 Center. My project was to understand how cells  
19 respond to damage and how neuro stem cells respond  
20 to the changes in their environment to promote  
21 recovery. If my work in the laboratory has taught  
22 me anything it's that cells, especially stem

1 cells, are extremely dynamic. They continuously  
2 react to and are modified by their environment.  
3 Small changes can greatly affect the way cells  
4 behave. For example, exposing cells to different  
5 growth factors or signaling molecule, or even  
6 varying the oxygen level can change the number of  
7 cells and what they become. Cells and tissues are  
8 much more complicated than drugs and biologics.  
9 They are not a simple compound or a single protein  
10 that can be easily characterized in a lab test. A  
11 cell is a living, changing organism and they move  
12 throughout the body. They can make other cells  
13 change their behavior. Stem cells can change,  
14 even transform into new cells types. Because of  
15 this cells and tissues have an amazing and  
16 exciting potential to heal people and cure  
17 disease. But just as these cells have the  
18 potential to help they also carry the potential  
19 for harm. That's why cells and tissues should be  
20 properly tested and regulated before widespread  
21 use in patients.

22 The FDA's guidance provide a



1 scientifically logical distinction between which  
2 cells and tissue treatments need stricter  
3 regulation and which do not. The guidances  
4 require cells or tissue products where cells are  
5 changed or used in a new function to be clinically  
6 tested to ensure they are safe and effective.  
7 This is reasonable because we cannot assume that  
8 they will function in this new way in this  
9 environment, or that they would not do something  
10 unexpectedly to cause harm. This regulatory  
11 process, if it was equivalent to the simpler drugs  
12 and biologics, the fact that cells and tissues are  
13 more complicated does not mean that they should be  
14 less regulated. To the contrary, their complexity  
15 should warrant an increased need for testing. The  
16 FDA proposes less stringent regulation for cell  
17 and tissue treatments for rare diseases or  
18 diseases that currently lack approved treatment  
19 options. Fortunately the FDA already has  
20 mechanisms in place for reviewing those types of  
21 urgently needed treatments, but these mechanisms  
22 must not be weakened.

1                   We don't know how many people are helped  
2                   or harmed by many of the cell therapies currently  
3                   being marketed. How many of the clinics providing  
4                   treatments have studies to back up their success  
5                   rates or side effects? In some cases the harms  
6                   are sensational enough to make the news, but when  
7                   treatments are harmful there's often little  
8                   incentive to report them to the FDA. And in some  
9                   cases neither patients nor physicians will realize  
10                  that a complication is caused by the treatment.  
11                  Even if a treatment isn't dangerous an ineffective  
12                  treatment harms patients because it is so  
13                  expensive. And of course many of these treatments  
14                  offer little besides false hope. At worst  
15                  clinical side effects can occur, such as what  
16                  we've heard with the tumors and vision loss.

17                  That's why clinical trials are  
18                  absolutely necessary. Patients should be able to  
19                  make an informed decision about their treatment  
20                  with information based on data and good science,  
21                  not just hype and hope. Regulation will also  
22                  ensure that the cells that clinics claim to use

1 are actually the cells that are put into a  
2 patient's body. It can ensure that the chemicals  
3 used to process these cells are safe for this  
4 purpose. Regulation and rigorous scientific  
5 testing benefits patients now and in the future.  
6 If there are too many cases of patients who are  
7 harmed or too many treatments fail because some  
8 clinicians use untested treatments, the whole  
9 field could be disregarded as snake oil. Not only  
10 will patients be harmed by bad treatments, but  
11 also by the failure to develop real treatments.

12 In conclusion, we strongly support the  
13 FDA's regulation of cell and tissue products. The  
14 guidances are reasonable. Through regulation the  
15 FDA can protect patients and encourage innovation  
16 and the development of new treatments based on  
17 scientifically sound science. However,  
18 enforcement will be critical to stop untested and  
19 potentially harmful therapies.

20 Thank you for your time and  
21 consideration of our views. (Applause)

22 DR. WITTEN: Thank you. Our next

1 speaker is representing the Cord Blood  
2 Association.

3 DR. KURTZBERG: Good morning. My name  
4 is Dr. Joanne Kurtzberg and I'm honored to speak  
5 on behalf of the Cord Blood Association. I'm  
6 qualified to speak in this capacity as a pediatric  
7 transplanter, cord blood banker, cell therapist,  
8 and president of the CBA.

9 The CBA is a young and vigorous  
10 international nonprofit organization. CBA members  
11 include both public and private family banks,  
12 industry partners, foundations, and individuals in  
13 and served by the cord blood community.

14 Cord blood was first used in 1988 as a  
15 source of HLA match to related donor cells in a  
16 five year old patients with fanconi anemia  
17 undergoing transplantation to treat bone marrow  
18 failure. The transplant, a first in man  
19 experiment performed in a child with minimal  
20 preclinical data, was successful. The patient,  
21 now 33 years old, is living a normal life 27 years  
22 later. Importantly, his blood and immune systems

1 are fully comprised of his sister's cord blood  
2 cells. This transplant paved the way for the  
3 fields of cord blood banking and transplantation.  
4 Today there have been more than 35,000 cord blood  
5 transplants performed and more than 160 cord blood  
6 banks have been established worldwide. Public  
7 inventories approach 700,000 units and private  
8 inventories more than 4,000,000 worldwide.

9 Cord blood was the first (inaudible) to  
10 put a stem cell product to be regulated by the  
11 FDA. To date seven public cord blood banks have  
12 successfully completed BLAs. Lessons learned from  
13 the cord blood BLA process should inform  
14 regulation of other cell therapies going forward.  
15 For example, cells do not necessarily expire.  
16 Stability protocols performed to extend expiration  
17 dates sacrifice unique cell products that cannot  
18 be replaced.

19 Excessive environmental monitoring adds  
20 little if any value to manufacturing that is  
21 performed in a closed system when appropriate  
22 qualification testing is performed and

1 specifications are met. The delivery of babies,  
2 although sanctioned by nature, is not sterile, not  
3 controlled, and a highly variable process. Cord  
4 blood and cord tissue are sourced from this  
5 disadvantaged position. Regulatory flexibility is  
6 critical to enable the use of these valuable  
7 products. Cord blood and cord tissue derived  
8 products have enormous potential for the  
9 development of novel cell based therapies that  
10 will have a critical role in the fields of  
11 cellular therapies and regenerative medicine.

12 To this end the CBA emphasizes the  
13 following points related to the proposed  
14 guidances:

15 1. Cord blood is not a back up stem  
16 cell. While it does contain small numbers of  
17 blood stem cells the majority of cells are  
18 different shaded blood cells. Some of these other  
19 cells have therapeutic value, but do not act  
20 through engraftment, tissue integration, or  
21 differentiation. Rather, they are effector cells  
22 acting through pure (inaudible). As such, we

1 strongly encourage the FDA to consider these  
2 mechanisms of action as homologous.

3           2. The regulatory framework, which is  
4 largely focused on review of drugs, is not  
5 sufficient for review of cellular therapies. We  
6 encourage the FDA to modify these regulations to  
7 address the unique properties of cells.

8           3. The designation of minimal or more  
9 than minimal manipulations should be risk based  
10 with consideration of clinical indication, writ of  
11 administration, and with the complexity of  
12 manufacturing of the product. If the cells are  
13 prepared aseptically and only exposed to FDA  
14 approved for human use free agents and devices,  
15 manufacturing should be considered minimally  
16 manipulated.

17           4. The designation of 1271 products,  
18 including autologous cells or tissues, as well as  
19 cells and tissues from first and second degree  
20 relatives is outdated. If HLA matched is the  
21 operative in this reasoning then the guidance  
22 should state that related HLA identical or

1 hapiloidentical products are included.

2 5. The FDA should consider a pathway  
3 for cellular therapy similar to that already  
4 established for hematopoietic stem cell and solid  
5 organ transplantation. Emerging therapies could  
6 be prepared and delivered in accredited  
7 facilities, monitored under IND if indicated, and  
8 outcomes could be reported to a registry, such as  
9 the CIBMTR. Expanded access studies could also be  
10 used to monitor safety. This is one way to get  
11 therapies to patients more quickly while  
12 continuing to monitor safety and efficacy.

13 The CBA has the following specific  
14 comments related to two of the guidances under  
15 discussion today: First, the guidance for HCT/Ps  
16 from adipose tissue doesn't acknowledge MSCs or  
17 mesenchymal stromal cells, the primary cell  
18 therapy extracted from adipose tissue. These  
19 cells represent a major therapeutic resource and  
20 should be considered homologous when used to exert  
21 paracrine effects. This has relevance not only to  
22 MSC derived from adipose tissue, but MSC from cord



1 tissue, bone marrow, and others.

2 I will end with comments about the  
3 homologous use guidance, which is particularly  
4 relevant for cord blood bankers and for patients  
5 who may benefit for autologous and allogeneic cord  
6 blood therapies extending beyond hematopoietic  
7 reconstitution. An example would be the treatment  
8 of young children with cerebral palsy with  
9 autologous cord blood. In the draft guidance for  
10 homologous use FDA states in Section 31C, "A  
11 manufacturer provides HPCs derived from cord blood  
12 with a package insert stating that cord blood may  
13 be infused intravenously to differentiate into  
14 neuronal cells for treatment of cerebral palsy.  
15 This is not homologous use because there is  
16 insufficient evidence to support that such  
17 differentiation is a basic function of these cells  
18 in the donor." In this instance FDA incorrectly  
19 assumes that the mechanism action of these cells  
20 in treating kids with CP is reintegration of cord  
21 blood stem cells capable of differentiating into  
22 neuronal cells. If this were the case we would

1 agree that that was non homologous use. However,  
2 in this therapy autologous cord blood cells are  
3 acting through signaling mechanisms that are  
4 innate properties of the infused cells and that  
5 act on endogenous cells in the patient through  
6 paracrine homologous mechanisms.

7           So we have an autologous not more than  
8 minimally manipulated product used for homologous  
9 or non homologous use. If the FDA accepts that  
10 this use is homologous then administration of  
11 autologous cord blood for CP, which is not more  
12 than minimally manipulated, would be viewed as  
13 practice of medicine and regulated under 1271 as a  
14 361 product. However, if the FDA designates the  
15 use as non homologous and expects a BLA then who  
16 gets the BLA? Does each family or private bank go  
17 through the BLA process for this indication? Does  
18 the treating institution obtain the BLA? Does a  
19 public bank get the BLA? The list of questions  
20 goes on and one and the CBA welcomes the  
21 opportunity to engage in meaningful conversation  
22 with the FDA regarding these questions.

1           The CBA is committed to bringing  
2           effective cord blood and cord tissue derived  
3           therapies to patients as safely and efficiently as  
4           possible and thanks the FDA for the opportunity to  
5           raise these issues. We look forward to the FDA's  
6           feedback on our comments.

7           Thank you. (Applause)

8           DR. WITTEN: Thank you. Our next  
9           speaker is from The Cure Alliance.

10           MS. ROSS: Thank you for the invitation  
11           to speak today. My name is Shelley Ross and I'm  
12           President of The Cure Alliance, a nonprofit group  
13           of leading translational researchers, surgeons,  
14           innovators, and those who support our efforts to  
15           end human suffering by curing chronic,  
16           debilitating, and fatal diseases. Our number one  
17           goal is to eliminate barriers to discovery and  
18           accelerate potential cures from the lab to the  
19           bedside.

20           I am not a scientist. Most of my career  
21           has been in broadcast news, CBS, NBC, 17 years at  
22           ABS News, where I worked with Diane Sawyer, Peter

1 Jennings, George Stephanopoulos, Robin Roberts,  
2 and more. As the Executive Producer of Good  
3 Morning America I covered four wars and  
4 broadcasted live from our Times Square studio  
5 during the 9/11 attacks. Today I am here as a  
6 witness from another battlefield -- cancer. In  
7 August 2012 I found a tiny lump in my breast that  
8 was indeed malignant. High fives for early  
9 detection, but because of my cancer type and  
10 discovery of a mutated BRCA gene I faced six  
11 months of chemotherapy followed by a double  
12 mastectomy and oophorectomy. By bilateral  
13 mastectomy was April 16, 2013, the day following  
14 the bombings at the Boston Marathon. An  
15 occupational hazard -- I still mark time by news  
16 events.

17 My surgery went well. Breast  
18 amputations with simultaneous reconstruction,  
19 tissue expanders held in place by internal slings  
20 made of cadaver tissue that had been radiated,  
21 freeze dried, and repurposed. Monday, one week  
22 after the Boston bombing, I caught another news

1 report on an amazing recovery of the 31 year old  
2 dance instructor whose foot had been blown off.  
3 She was sitting up, smiling and talking about when  
4 she could start dancing again with a prosthetic.  
5 I could barely move, feeling toxic and weak. I  
6 called my surgeon, how is the dance instructor  
7 doing so much better than I? She said, well, the  
8 dancer didn't undergo five and a half hours of  
9 surgery, her surgery didn't follow six months of  
10 chemotherapy, and you're not 31 anymore. Cruel,  
11 right? (Laughter) It turned out that toxic  
12 feeling wasn't related to any of the above. My  
13 body had failed to integrate those structural  
14 slings which had been disintegrating and rotting  
15 inside my chest. I was no longer on a garden  
16 variety breast cancer journey.

17           Just four weeks after my doubt  
18 mastectomy I underwent another surgery to remove  
19 all reconstruction materials. When I awoke I  
20 learned my chest cavity was sanitized with  
21 showerheads for more than an hour. The area now  
22 needed to heal. I can't really call it healing.

1 Without any breast tissue remaining from my  
2 collarbone down there was only one outcome, my  
3 skin scarred to my ribcage. I was no longer a  
4 candidate for reconstruction, and adding to my  
5 personal misery index, the side effects from  
6 chemotherapy included multiple tears in the  
7 rotator cuffs of both shoulders. By now dressing,  
8 washing, combing what was left of my hair, became  
9 a painful kabuki dance. Trying to heal was  
10 exhausting and frustrating.

11 As weeks of pain turned into months I  
12 came to the stark realization, I was disabled. As  
13 I looked in the mirror I saw the devastating  
14 reflection, something that resembled a plucked  
15 chicken with two broken wings. Until that point  
16 fighting cancer involved clear and time tested  
17 decisions. Now I was in uncharted territory.  
18 Incredibly, within our ranks of The Cure Alliance  
19 was a remarkable surgeon in Milan who had invented  
20 a simple sterile closed loop technology to micro-  
21 fractionalize one's own lumpy adipose fat into a  
22 fine injectable. Basically there would be nothing

1 to reject. When I first spoke to this doctor  
2 about his technology he was using it for facial  
3 reconstructions, bad knees, shoulders, and wound  
4 healing. A few months later he phoned and said, I  
5 have treated a patient just like you and it was a  
6 success. That's all I needed, just one. Any  
7 risks were mine to take.

8 On December 30, 2013 in Milan I had 370  
9 ccs of my own fat drawn from my abdomen and back,  
10 then micro- fractionalized, and injected into my  
11 breasts. This was followed by reconstruction and  
12 permanent implants. As a bonus the surgeon  
13 injected 5 ccs of micro-fragmented fat in one  
14 shoulder, 7 in the other. By mid afternoon I was  
15 back in my hotel room, 3 days later I attended a  
16 birthday party in London, and back home I felt  
17 whole again. For a long time I thought the  
18 treatment had not worked on my shoulders. It had  
19 turned out to be a delayed response. After nine  
20 months I've suddenly realized I could do this, I  
21 could do this pain free.

22 (Laughter) As a journalist and

1                   producer I suspect I am a bit  
2                   more resourceful than the average  
3           patient, which is why I'm here today, to  
4           respectfully ask the FDA to revise the draft  
5           guidance which will essentially force people like  
6           me to unnecessarily bear the pain and disabling  
7           scars of a disease we already fear could take our  
8           lives, a disease that not so long ago sentenced  
9           untold numbers of women to a life of disfigurement  
10          and social isolation. This FDA draft guidance  
11          states that clinicians can use fat grafting in the  
12          breast without restrictions only if it involves  
13          what the FDA says in the main function of the  
14          breast, lactation. If used for breast  
15          reconstruction clinicians would have to file IND  
16          applications, biologic license, be subject to  
17          extensive reporting requirements. Really? Why?  
18          That fat transfers can be used safely and  
19          effectively in breast reconstruction has been  
20          known for over 100 years. That a woman's breasts  
21          are not just for babies has been known for at  
22          least 200,000 years.



1                   (Laughter) (Applause) And what of  
2                   the 2600 American men who battle  
3                   breast cancer each year? Simple  
4                   fat transfers are often their  
5                   safest and simplest option.

6                   The protection of patients has been long  
7                   been guided by the principles of the Belmont  
8                   Report, which clearly distinguishes between  
9                   medical practice and research of humans subjects.  
10                  The fact that a procedure is experimental in the  
11                  sense of new, untested, or different, does not  
12                  automatically place it in the category of  
13                  research. Research is designed a hypothesis. In  
14                  all the Belmont Report identifies three ethical  
15                  principles, respect for human subjects,  
16                  beneficence, do no harm, justice. And injustice  
17                  occurs when some benefit to which a person is  
18                  entitled is denied without good reason, or when  
19                  some burden is imposed unduly. Please do not  
20                  restrict fat transfers for those who need breast  
21                  reconstruction. Let's address safety and efficacy  
22                  without building barriers and embrace this

1 explosive pace of progress in a way that is more  
2 respectful and just.

3 Thank you. (Applause)

4 DR. WITTEN: Thank you. Our next  
5 presentation is from the Plastic Surgery  
6 Foundation.

7 DR. CEDERNA: Thank you very much for  
8 the opportunity to speak today. My name is Paul  
9 Cederna and I'm President-Elect of the Plastic  
10 Surgery Foundation. I'm also Chief of Plastic  
11 Surgery at the University of Michigan and a  
12 Professor in biomedical engineering.

13 The Plastic Surgery Foundation was  
14 founded in 1948 and the mission of the PSF is to  
15 foster innovation in plastic surgery and to  
16 improve the quality of life of our patients  
17 through research, development, innovation,  
18 discovery, charity care, and public awareness. We  
19 support a number of different programs, including  
20 our visiting professors program, our international  
21 scholars program, and donations from the PSF go  
22 forward to support volunteers in plastic surgery

1       who go to underserved areas to provide patient  
2       care. We have a budget of about \$3.1 million a  
3       year and with that budget we support research,  
4       educational programs, workshops, and research or  
5       development.

6               During the past year we awarded 36  
7       grants for about \$800,000; 20 percent of these  
8       grants were in the area of fat grafting and stem  
9       cell research. And since 2011 we've actually  
10      funded 25 grants, for a grand total of about  
11      \$600,000 in the area of fat grafting. We've also  
12      supported three research fellowship awards in the  
13      area of fat grafting to support young  
14      investigators as they begin their academic  
15      careers. And these research awards go to some of  
16      the finest institutions in America. We've  
17      supported research in a lot of different areas  
18      trying to understand better the impact of fat  
19      grafting and stem cells on radiated bone, skin  
20      regeneration, scleroderma, radiated skin, primary  
21      fracture healing, and even areas such as  
22      peripheral nerve repair, diabetic feet, aging

1 tissue, and of course as we just heard about,  
2 breast reconstruction. And a lot of very high  
3 quality research has come out of this funding.  
4 One of the studies by Dr. Kronowitz out of MB  
5 Anderson and his colleagues actually published  
6 this paper recently in plastic and reconstructive  
7 surgery, looking at lipofilling of the breast and  
8 safety related to that procedure, and  
9 demonstrating there's actually no increased risk  
10 of breast cancer in patients who have undergone  
11 fat grafting to the breast.

12 And there have been a number of similar  
13 studies which have published in our literature as  
14 well, including studies from Dr. Delay, studies  
15 from Heath Sharvay, studies from Dr. Catherine  
16 Gail, and Jean Pittet, and of course from Regina  
17 Rogotti, all supporting the safety and efficacy of  
18 fat grafting of the breast in the presence of post  
19 mastectomy breast reconstruction.

20 The PSF's mission though has been to  
21 pursue fat grafting in the safety of that in a  
22 number of different arenas. And so we have two

1 safety initiatives that we have funded over the  
2 past few years, and since 2011 we've actually  
3 spent \$400,000 in developing these safety  
4 initiative. The first is the cancer occurrence  
5 after fat transfer or CRAFT study. The Plastic  
6 Surgery Foundation funded this and the  
7 coordinating center was out of University of North  
8 Carolina with Memorial Sloan Kettering, Wash U, MD  
9 Anderson Cancer Center, and University of Chicago  
10 participating. And we understood that fat  
11 transfer is increasingly popular in the treatment  
12 of breast cancer patients and we wanted to ensure  
13 that this was safe in the presence of breast  
14 cancer. And so looking at women with stage 1  
15 through 3 invasive ductal carcinoma we looked at  
16 cancer recurrence in that situation. And with  
17 this large study population we identified no  
18 increased risk of breast cancer in patients  
19 undergoing fat transfer to the breast.

20 We've also been very committed to  
21 forming additional registries for the purposes of  
22 understanding the safety and efficacy of fat

1 grafting in our patients as well. One of them is  
2 a general registry of autologous fat transfer or  
3 graft. We do understand that there is a lack of  
4 consensus regarding fat grafting methods and  
5 analysis of outcomes. We know there's a lot of  
6 different outcomes and we know that patient  
7 satisfaction measures haven't been carefully  
8 evaluated in the past. So the purpose of this  
9 registry is as a quality improvement initiative to  
10 collect as much data as possible to understand  
11 techniques of fat grafting, outcomes of fat  
12 grafting, and their implications on patient safety  
13 more widely. So this is a nationwide registry  
14 with a web accessible database. The aims are, as  
15 I said, to prospectively determine early and late  
16 complication rates and patient reported outcome  
17 measures of satisfaction. Our all procedures  
18 module, which looks at fat grafting to any area of  
19 the body was launched in 2015 and all of the  
20 members of the ASPS performing fat grafting have  
21 been encouraged to enter their data into this  
22 database. We've had a breast module presence

1       since 2014 to capture fat grafting into the  
2       breast. Our inclusion criteria are any patient of  
3       any variety getting fat grafting for any purpose  
4       and our exclusion criteria are those patients who  
5       are undergoing dermal fat grafting or any  
6       composite grafts of any variety.

7                We're collecting all sorts of data so  
8       that we can understand the implications of this  
9       much better. We're looking at fat harvesting  
10      techniques, processing techniques, and then  
11      looking at satisfaction measures. We are  
12      collecting a lot of data over time, including six  
13      week data, six month data, one year data, and two  
14      to three year data. So hopefully we should have a  
15      very clear understanding of the optimal ways of  
16      performing fat grafting and the outcomes related  
17      to it.

18               As of July 2016 we have 150 members of  
19      ASPS who have registered to participate in the  
20      registry. We have more than 1500 patient visits  
21      so far. So for a very young and early registry  
22      I'm excited about the progress it's making and

1 look forward to the numbers increasing  
2 dramatically in the coming years. And as you see  
3 we've had a steady increase in the numbers of  
4 patients who are being entered into the registry,  
5 which should give us very significant abilities to  
6 understand fat grafting a little bit better,  
7 optimal techniques, and approaches.

8                   Since 2011 the Plastic Surgery  
9 Foundation as invested more than \$1 million in fat  
10 grafting research and patient safety initiatives.  
11 We're focused on providing the highest quality of  
12 safe and effective care for our patients at all  
13 times. We're interested in any body trying to  
14 investigate the safety of fat grafting, the  
15 efficacy of those outcomes, and patient safety  
16 related to it. And we offer ourselves as  
17 potential partners with the FDA to help work this  
18 out going forward.

19                   I appreciate the opportunity to  
20 participate in these sessions today.

21                   Thank you very much. (Applause)

22                   DR. WITTEN: Thank you very much for



1 your comments. It's now time for questions from  
2 the FDA panel to the speakers, so I'll ask my  
3 colleagues if they have questions, otherwise I'll  
4 start.

5 DR. ANATOL: So this question is for  
6 ISCT. Thank you. In your presentation you asked  
7 that we provide examples of advertising materials  
8 in the homologous use guidance. Do you have  
9 specific examples in mind of advertising materials  
10 or what may be considered advertising materials?

11 DR. NICHOLS: Not off the top of my  
12 head. I would say that in general advertising  
13 materials we were thinking of as we were  
14 considering this request was there's a lot of  
15 electronic media out there that's being  
16 distributed and things get repurposed, if you  
17 will. They get re tweeted, they get moved around,  
18 they become -- where do you find the order after a  
19 while, I guess. So it was more along the lines of  
20 also trying to understand kind of the cascade  
21 effect of what happened with advertising as well.

22 DR. ANATOL: Thank you.

1                   MS. MALONEY: I had question for Info  
2                   Health Global, the speaker. In your presentation  
3                   you spoke about the lack of evidence and gathering  
4                   additional evidence. Can you just say a little  
5                   bit more of what evidence you're talking about and  
6                   what that might show?

7                   MR. MURRELL: That's really in reference  
8                   to the homologous use guideline. I think that as  
9                   many of the talks have demonstrated that really  
10                  there's just not a lot of credible evidence, like  
11                  the body of evidence to support or refute this  
12                  guideline. It's just not present. And what my  
13                  suggestion is, is that we reserve judgment on this  
14                  particular guideline to another time until we have  
15                  more evidence on either side of the question,  
16                  because I just don't think that there is a great  
17                  deal of evidence available.

18                  MS. MALONEY: Okay, thank you.

19                  MS. ZAVAGNO: I also have a question for  
20                  you though. I just want to push because I want to  
21                  understand this better. I had the same question,  
22                  when you're talking about a lack of evidence you

1 mean -- because it's homologous use that you're  
2 talking about -- is it that we don't know how a  
3 specific cell or tissue works and you want to wait  
4 until we get more evidence that will work -- I  
5 mean because homologous use means, you know, it  
6 acts the same way in the donor as in the recipient  
7 usually, right, or it has the same function. So I  
8 don't understand what kind of evidence you want us  
9 to wait for.

10 And then you also you said that we  
11 should leave the guidances open for further  
12 conclusions. How long would you want the FDA to  
13 wait?

14 MR. MURRELL: That's a very good  
15 question, but my example using say cultured cells,  
16 to date they're -- from the studies that have been  
17 it's only about 800 patients that we have data,  
18 especially for adipose tissue. And that would be  
19 considered in this guideline to be non homologous  
20 use. And so my comment is really stating that we  
21 just don't have the evidence to say that it's  
22 risky for our patients. We don't have evidence

1 long-term to say that it is absolutely safe. But  
2 at the same time certainly the clinical utility of  
3 these treatments are burgeoning, the data is  
4 burgeoning, it's growing. And so my thought is  
5 really until we have more data, whether it be  
6 coerced studies, prospective studies, or  
7 randomized control trials, that demonstrate either  
8 that the use of these cells are safe and  
9 efficacious, I would say that we just don't have  
10 adequate evidence on either side of the question,  
11 whether we should or shouldn't at this point. And  
12 so that's a -- I hope I've shed a little bit more  
13 light on that.

14 MS. ZAVAGNO: Yes, you did. Thank you  
15 very much.

16 DR. WITTEN: I have a couple of  
17 questions for some of the speakers who spoke on  
18 topics specifically related to the guidances. One  
19 is for the speaker from the International  
20 Federation for Adipose Therapeutics and Science.

21 So a number of your comments would speak  
22 to the minimal manipulation guidance, although not

1 directly. And I'm wondering if you can give us  
2 some idea of what you would consider minimal  
3 versus more than minimal manipulation as it  
4 relates to adipose tissue. If you can provide  
5 some examples in each category.

6 DR. KATZ: I just referenced the minimum  
7 manipulation document once in the context of  
8 certain tissues listed by the FDA as being non  
9 structural in that document, but according to the  
10 histological reference as being a connective  
11 tissue. And so logically, based on the documents  
12 presented to us at this point those tissues would  
13 be categorized as structural by say the adipose  
14 tissue guidance document, but in the minimum  
15 manipulation document they're listed specifically  
16 as non structural tissues. And so I was just  
17 pointing out an inconsistency.

18 DR. WITTEN: Okay, thank you. And I  
19 also have a question for the speaker from the Cord  
20 Blood Association, which is similar perhaps in  
21 nature. I'm just wondering if you can provide --  
22 many of your examples related to the question

1 about homologous versus non homologous use. And  
2 if you can give some examples of how you see that  
3 definition applying to cord blood.

4 DR. KURTZBERG: Yes. So the obvious is  
5 that when cord blood is used for hematopoietic  
6 reconstitution that's easily understandable as  
7 homologous use. You're taking the blood stem  
8 cells from cord blood in the context of all the  
9 other cells and using them to rescue marrow after  
10 myeloablative therapy. But the stem cells  
11 represent probably .03 or less percent of the  
12 actual cells in cord blood. And there are other  
13 populations of cells that have therapeutic value.  
14 One example is the CD14 cells, which are  
15 monocyte-like cells which produce a lot of  
16 different cytokines and other methods for  
17 paracrine signaling. And those cells have  
18 therapeutic effects in animal models of asphyxia  
19 or hypoxic injury, myelination models where they  
20 can induce re-myelination. And they're not  
21 themselves doing those activities, what they're  
22 doing is signaling endogenous cells in those

1 models or in the organism that can then act. And  
2 we think that that is homologous because that is  
3 what those cells also do in vivo and should be  
4 considered as homologous activity in therapeutics.

5 DR. WITTEN: Thank you. END OF AUDIO

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7 MS. MALONEY: I have a question for the  
8 speaker from the Plastic Surgery Foundation.

9 On one of your slides you spoke about  
10 the wide range of outcomes. Can you just say a  
11 little bit more about that?

12 DR. CEDERNA: Yes, absolutely. When we  
13 think of the outcomes following fat grafting, we  
14 do fat grafting all over the body. We do it  
15 following traumatic injuries to the foot, the  
16 knee, the ankle, the back, the chest, the head,  
17 everywhere. Some of those areas have contaminated  
18 tissues in the region, some of them have been  
19 radiated, some of them have fractures underneath,  
20 some of them have a lot of different biologic  
21 processes going on that potentially can impact the  
22 survival of fat after transfer. And so

1 understanding that a little bit better and  
2 understanding the areas where it may be effective  
3 and may not be effective, understanding the  
4 implication of that on the surrounding tissues is  
5 really important to us.

6 And so that's why one of our graft  
7 registry modules is all of the body, not just the  
8 breast, but all of the various areas in  
9 understanding all of the indications for use of  
10 fat.

11 MS. MALONEY: Thank you.

12 MS. MALARKEY: I have a question for the  
13 speaker from ISCT. Actually a couple of questions  
14 I think. Oh, I'm sorry, I apologize -- from FACT.  
15 My apology.

16 DR. WARKENTIN: Me?

17 MS. MALARKEY: Yes. FACT. (Laughter)  
18 FACT, not fat, not fat. You ad mentioned this  
19 recognition of standard of care exemptions and had  
20 given -- that the FDA consider that for certain  
21 procedures that have been in place without tissue  
22 regulation. You mentioned breast reconstruction



1 as one example. Do you have any other examples of  
2 exactly what you mean by that?

3 DR. WARKENTIN: So I think some of the  
4 cellular therapies that we use in oncology and  
5 transplantation of hematopoietic cells are more  
6 considered standard of care. And as the  
7 professional societies have worked to develop the  
8 preparative regimes and the integration of  
9 preparative regime with cell source, these have  
10 become more standard treatments for certain  
11 diseases. That carries with it some ability to  
12 recover costs in that kind of care. So it's  
13 thinking more along that line and in the more  
14 cellular therapies outside of hematopoietic. I  
15 think obviously the fat was the best example I  
16 could think of.

17 MS. MALARKEY: Thank you. One other  
18 question. You had talked about cord tissue and  
19 suggested that we expand expectation for cord  
20 tissue in the guidance and gave some examples. My  
21 question is are you speaking of autologous or  
22 family related or allogeneic that you would like

1 examples of?

2 DR. WARKENTIN: So for cord tissue I  
3 think a lot of cord blood banks are collecting  
4 cord tissue as aside to collecting the cord blood  
5 cells into a unit. And so the comment could apply  
6 to either family related or to unrelated donor  
7 cord tissue. The confusion comes around the  
8 amount of regulatory oversight necessary if you're  
9 doing very minimal manipulation and storage up  
10 front, not knowing what the intended use will be  
11 in the future. You may or may not even know if  
12 it's to be used for related or unrelated setting.  
13 The concern is that the amount of regulation in  
14 that activity not be so burdensome that it can't  
15 be done, but yet the source which will be adequate  
16 10 years now to be a certifiable source for a  
17 product that's developed at a later time. So it's  
18 a balance between the regulation that occurs up  
19 front when something (inaudible) versus what might  
20 happen later on when there might be more  
21 regulatory oversight as compared with those folks  
22 who do a lot of processing and manipulation up

1 front before they store the cord tissue.

2 MS. MALARKEY: Thank you very much.

3 DR. ANATOL: I have another question for  
4 FACT also. In your presentation you suggested  
5 that we broaden the term homologous use to include  
6 any function or functions performed in the donor,  
7 not just the basic function. Can you give us an  
8 example or two of anything you had in mind in  
9 particular?

10 DR. WARKENTIN: So I was thinking  
11 specifically in the case of adipose tissue where  
12 there are certain structural characteristics,  
13 cellular characteristics, and there are many, many  
14 functions to that complex tissue.

15 DR. ANATOL: Okay. Okay, thanks.

16 DR. WITTEN: Okay. Any more questions?  
17 Otherwise I think we'll wrap it up. We're going  
18 to thank the speakers. We'll wrap it up and we're  
19 resuming at 11:08. So be back in your seats  
20 promptly at 11:08.

21 (Recess)

22 DR. WITTEN: So I'd like everyone to

1 take their seats. Can you all take your seats  
2 please? Are we ready to start? The first  
3 speaker, I'm not sure if he's signed in or not,  
4 Waldo Acebo. Is Waldo Acebo here? Okay. We're  
5 going to -- how about Rebecca Baergen? Thank you.

6 DR. BAERGEN: Good morning and thank you  
7 for allowing me to speak today. My name is  
8 Rebecca Baergen. I am a Professor of Pathology  
9 and Laboratory Medicine at Weill Cornell Medical  
10 College and Attending Pathologist and Chief of  
11 Obstetric and Perinatal Pathology at New York  
12 Presbyterian Hospital. I'm the author of several  
13 books and many book chapters on placental and  
14 perinatal pathology and co-author of pathology of  
15 the human placenta.

16 I am here to address the draft  
17 guidelines on minimal manipulation and homologous  
18 use as they relate to the amniotic membrane. The  
19 draft guidelines on minimal manipulation assumes  
20 that the amniotic membrane has a main function,  
21 which is to act as a cover or barrier. As such it  
22 is regulated as a purely structural tissue. The

1 draft guideline on homologous use also  
2 characterizes the amniotic membrane as a  
3 structural tissue, although it acknowledges a  
4 slightly more expanded list of functions of the  
5 amniotic membrane, to include covering,  
6 protecting, serving as a selective barrier for the  
7 movement of nutrients between the external and in  
8 utero environments, and retention of fluids in  
9 utero. It is my opinion that the premises  
10 underlying the proposed regulatory scheme are  
11 scientifically flawed.

12           The amniotic membrane has multiple  
13 functions in vivo, both structural and non  
14 structural, and one is not more important than the  
15 other. In addition to the functions listed in the  
16 draft guideline documents the amniotic membrane  
17 also produces bioactive factors and molecules,  
18 including growth factors, cytokines, leukotrienes  
19 interleukins, and a number of enzymes, chemokines,  
20 and related regulatory proteins, including anti  
21 inflammatory proteins. It secretes extracellular  
22 matrix, it serves as a substrate for supporting

1 growth of epithelial cells and modulates  
2 inflammation and serves as an anti scarring agent.  
3 Indeed, it is interesting to note that the  
4 placenta, unlike other organs, does not scar.

5           Based on review of peer reviewed  
6 literature amniotic membrane has been processed  
7 into tissue allografts and performs multiple  
8 functions in the recipient. Recognized functions  
9 and applications of the amniotic membrane include  
10 modulating inflammation, reducing scarring, pain  
11 relief, accelerated wound healing, promoting  
12 epithelialization and cell growth. The functions  
13 of the amniotic membrane in a transplant recipient  
14 are a direct result of the native tissue's  
15 inherent biological and physical properties. As  
16 an example, the amniotic membrane's ability to  
17 mediate wound healing, anti inflammation, and anti  
18 scarring are due in part to the extracellular  
19 matrix which is a component of the amniotic  
20 membrane. The extracellular matrix is composed of  
21 secreted collagen and glycoproteins. And in  
22 addition to providing structural support the

1 extracellular matrix contains molecules that are  
2 essential for cell signaling and growth factor  
3 mediated function, such as wound healing.

4           The amnion also inhibits the expression  
5 of transforming growth factor beta which activates  
6 the fibroblasts responsible for fibrosis and  
7 scarring, thus resulting in decreased scarring.  
8 In effect the extracellular matrix functions as a  
9 reservoir for regulatory proteins until they are  
10 needed for mediating healing, anti inflammation  
11 and anti scarring. Similarly, the promotion of  
12 epithelialization likely is a function of the  
13 extracellular matrix and basement membrane as it  
14 produces growth factors, acts a substrate for  
15 growth and facilitates migration, adhesion, and  
16 cellular differentiation of epithelial cells.

17           Clearly, five minutes is not enough time  
18 to discuss all of the functions of the embryonic  
19 membrane in vivo and in transplant recipients. My  
20 written presentation contains a more detailed  
21 analysis with citations, but even that is not  
22 comprehensive. Rather, this overview is intended

1 to demonstrate that scientifically and  
2 biologically the functions and characteristics of  
3 amnion and chorion are multiple, not singular, and  
4 are both structural and non structural. More  
5 importantly, these functions are derived from the  
6 inherent biological properties of these membranes,  
7 the biological properties and functions of the  
8 amnion and chorion as modified and processed into  
9 tissue grafts products is derived from the  
10 biological properties and functions of native  
11 amnion and chorion.

12 Thank you. (Applause)

13 DR. WITTEN: Thank you. Our next  
14 speaker is Harold Brem.

15 DR. BREM: Good morning. My name is  
16 Harold Brem; I'm a general surgeon, Professor of  
17 Surgery at the Stonybrook University School of  
18 Medicine, Chief of the Division of Wound Healing  
19 and Regenerative Medicine at Winthrop University  
20 Hospital.

21 My team of physicians and surgeons  
22 perform over 1000 operations per year with



1 regenerative medicine, including 24/7, and over  
2 the last 18 years we've treated over 50,000 new  
3 patients, most of them like the case reports  
4 you've heard over the last two days, very  
5 vulnerable patients who are coming to us at the  
6 end for treatment, limb salvage, and the other  
7 terrible destruction that happens with the  
8 (inaudible) and so forth.

9 We also have a robust research  
10 laboratory, a clinical research program and have  
11 been funded by (inaudible) for the last 16 years.

12 I really appreciate the opportunity to  
13 comment. I would like to begin by applauding you  
14 for the issuance of these guidelines, which will  
15 bring much needed clarity to the entire field and  
16 thereby create certainty for us clinicians that  
17 the human cell and tissue based products that we  
18 use to treat our patients are safe and effective.  
19 The tiered risk based approach embodied in the  
20 existing regulatory framework is entirely  
21 adequate. When compiled with, for determining  
22 whether a product is appropriate for regulation

1 solely under Section 361 pathway, rather than  
2 needing premarket the demonstration of the product  
3 safety and effectiveness. However, today there is  
4 a vast array of new allograft derived products in  
5 the market without proven efficacy. Many of these  
6 products make a range of therapeutic treatment  
7 claims that involve complex cellular and  
8 biochemical interactions with the body that for  
9 any other product type would require FDA pre  
10 market review commensurate with the risk level.  
11 It is clear that allograft products have made  
12 claims about their cellular activity should  
13 deregulate it as biologics, and I urge you to do  
14 so.

15 Arguments in favor of the status quo  
16 which allow allograft distributors to evade the  
17 need to generate valid level one evidence that has  
18 been subjected to rigorous peer and regulatory  
19 review by the FDA, the patients at risk do not  
20 advance care. Contrary to the assertions of many  
21 in the allograft industry is not the case in  
22 imposing premarket review requirements would delay

1 or prevent the entry of important therapies.  
2 Investment funding is well available for promising  
3 biotechnology and alternative pathways currently  
4 exist for addressing unmet clinical needs through  
5 accelerated review. Furthermore, the FDA should  
6 be perceived as a partner to our patients and to  
7 physicians, and industry, in working with them to  
8 bring safe and efficacious and high quality  
9 products that the patients richly deserve.

10 The remainder of my comments address  
11 minimal manipulation and homologous use draft  
12 guidelines specifically. In order to ensure that  
13 the tiered risk based framework outline in 21 CFR  
14 127.1 functions properly there must be clearly  
15 defined boundaries, which these guidelines do  
16 accomplish for the most part. Regulating cells  
17 and tissues based on their primary or main effect  
18 not only provide administrative efficiency, but  
19 provides certainty to the regulated industry.  
20 This principle is well established and consistent  
21 with FDA's approach for its regulation to  
22 biologics, drugs, and medical devices and is

1 entirely appropriate for human cell and tissue  
2 based products.

3           The distinction contained in both the  
4 minimal manipulation and homologous use guideline  
5 documents between structural and non structural  
6 tissue and cells is long standing and  
7 exceptionally entirely appropriate. FDA has  
8 previously explained its reasoning for this  
9 distinction, which is that structural tissues  
10 raise fewer safety concerns beyond adverse local  
11 effects.

12           Again, I urge you to articulate more  
13 fully the rationale and to implement these  
14 guidelines.

15           With respect to tissues that serve both  
16 structural and non structural functions, I believe  
17 the approach taken in the minimal manipulation  
18 guidance document, referring to, "The main  
19 function of human cells or tissue product in the  
20 donor" is appropriate and should be preserved with  
21 the documents are finalized.

22           I join all the other commentators who



1 knee surgery and in 2012 I faced my fourth and  
2 fifth knee surgery. And as I was going through  
3 this I kept having more and more knee pain and my  
4 doctor kept saying, oh you're fine, nothing is  
5 wrong with you, and I knew there was something  
6 wrong. There was something wrong. It was to the  
7 point where I couldn't stop shaking. I was in  
8 control of me shaking. You know, when you talk  
9 about a pain scale of 1-10, this was 1000. And I  
10 will always remember December 17, 2012 when my  
11 doctor walked into the examination room and said,  
12 well, part of your femur bone is dead, it's called  
13 avascular necrosis. I know what it is, I've seen  
14 it before, but I don't really know what to do with  
15 you. I don't know how to treat it and I really  
16 don't know where to send you. He continued to say  
17 that you probably will need to get a knee  
18 replacement. You could probably get two in your  
19 life and good luck, and sent us on our way.

20 I'm a pretty positive person, but I  
21 started doing the math in my head and I thought,  
22 well, crap, I'm going to be 60, young age of 60,

1       and am I going to be able to play with my family,  
2       am I going to be able to walk, what am I going to  
3       do. So I really relied on my family and friends  
4       and patient leaders on line to try to figure out  
5       the story because I had nowhere to turn to. I  
6       lost count at about 28-29 health practitioners  
7       that I went to looking for an answer. Top bone  
8       specialists, top orthopedics. I would walk in  
9       there and they'd all say well, I know what  
10      avascular necrosis is. If you were older I'd give  
11      you a knee replacement, but because I also had --  
12      well, I have psoriatic arthritis and at the time I  
13      had complex regional pain syndrome, nobody wanted  
14      to touch me with a 10 foot pole. You know, I'd  
15      walk into these top, top, top leading doctors with  
16      such hope and I'd leave just completely defeated  
17      with my family in tears because no one would give  
18      me a solution.

19                   I found my own solution though and that  
20      was a Regenexx stem cell procedure. And in March  
21      2015 I went and had the procedure done on my left  
22      femur bone. Three months out I was off all of the

1 pain meds that I had been living on three to four  
2 times a day for three years at that point. Six  
3 months out, forty percent of my bone had  
4 regenerated and I was able to get off the crutches  
5 that I was on for 3 1/2 years. And a year out 60  
6 percent of my bone had regenerated.

7 Today I stand here unassisted a year and  
8 a half out and really the only barriers that I  
9 face now in life are mental that I think I can't  
10 do things, I think I can't walk, I think I can't  
11 do physical things, but I can because my bone has  
12 solidified in a way that it's not going to  
13 crumble. And I think back to all those top  
14 doctors that I went to and the best advice that I  
15 go was, "to walk on crutches for the next  
16 years until your bone completely  
17 crumbles and then get a knee replacement". And  
18 that was just absolutely unacceptable to me.  
19 There are so many different ways to treat  
20 avascular necrosis and they all have low outcomes.  
21 And I started talking about stem cells and trying  
22 to figure out if that was an option for me and I



1 had doctors say well, we have these prefilled  
2 placenta syringes, why don't we try that. And,  
3 you know, with my autoimmunity and with no  
4 long-term studies of those things I was very, very  
5 hesitant. So I am so thankful and grateful to all  
6 of the powers that be that led me to the stem cell  
7 procedure that I had. I was able to tap into my  
8 innate healing ability which each of us have. You  
9 know, given half a chance our bodies will heal  
10 themselves by ourselves. And we need to give  
11 patients that chance. So doing the studies,  
12 making these procedures available to patients.

13 Today I just wanted to share my brief  
14 highlight of a story for you and, you know, let's  
15 do this together, let's make this readily  
16 available for all patients. I never, ever wish  
17 AVN on my worst enemy and I sure hope that you or  
18 your family never has to go through this. But  
19 drafting regulations that allow people to tap into  
20 that innate ability, you know we can solve these  
21 problems for all of these patients.

22 So thank you very much. (Applause)

1 DR. WITTEN: Thank you. Our next  
2 speaker is Georgianna Crocker. Is she here?

3 MS. CROCKER: I'm just waiting for my  
4 slides?

5 DR. WITTEN: What?

6 MS. CROCKER: I had some slides.

7 DR. WITTEN: Oh. I'm sorry, did I skip  
8 -- no, that -- yeah, that's right. Okay. Okay,  
9 good.

10 MS. CROCKER: Good morning. My name is  
11 Georgianna Crocker; I'm from Austin, Texas. I  
12 just want to say I'm a patient here. I'm  
13 advocating for myself today and to share my story  
14 with you.

15 Thank you for allowing me this  
16 opportunity to speak with you directly about the  
17 regulation of adult stem cell treatment and how  
18 this treatment has given me my health and my life  
19 back. I am a rheumatoid arthritis patient who is  
20 currently in remission because of stem cell  
21 therapy one and a half years ago. I am a  
22 passionate patient advocate for adipose autologous

1 stem cell therapy, or rather using my own fat  
2 tissue, and keeping this therapy available and  
3 increasing access for patients like myself who  
4 have failed other conventional and non  
5 conventional therapies for their disease. I'm  
6 also a professional pharmaceutical rep who has  
7 been involved with the marketing and sales in  
8 medicine, including biologics, since 1999. I  
9 believe in the power of medicine and I highly  
10 respect the FDA for their active role in keeping  
11 patients such as myself safe.

12           Why am I here today? I'm here today to  
13 request that you, the FDA, continue to allow my  
14 stem cell therapy using my own fat cells, that  
15 this will be a choice made between me, myself, and  
16 my healthcare provider. I'm here to address any  
17 concerns you have regarding the safety and  
18 efficacy of using my own stem cells by showing you  
19 my first hand experience of this life changing  
20 therapy.

21           As you know with nearly all medicines  
22 and biologics, there is a percentage of patients

1       who do not meet the primary end point remission.  
2       And stem cell therapy is a treatment that simply  
3       cannot be ignored as a viable and safe treatment  
4       for usually about half of patients who don't meet  
5       that end point in their studies.

6               A little bit about my story is I was  
7       diagnosed in 2006 with RA. I immediately sought  
8       out treatment from the best rheumatologists and  
9       healthcare providers available in Los Angeles.  
10      Progressive pills, steroids, injectable biologics,  
11      and infusible biologics were all on board in a  
12      short time. They all had some success over the  
13      years and I'm grateful for that. However, over  
14      the years they failed. By the end of 2014 my RA  
15      medication stopped working, my inflammation  
16      markers were continuing to climb, and I was  
17      incredibly sick and in pain and suffering, despite  
18      being compliant with conventional and non  
19      conventional therapies.

20             In January of 2015 I was extremely ill  
21      and out of desperation I started doing on line  
22      research for drug studies. However, I was too ill

1 and I had failed too many other drugs to qualify.  
2 It was actually on antiage.gov that I learned  
3 about stem cell therapy and the promise of help.  
4 But unfortunately it was not main stream or  
5 approved.

6 After researching ADSC and clinics  
7 offering this type of therapy, throughout the  
8 world I looked, I chose to have treatment in the  
9 United States because I felt that it was safer  
10 than traveling abroad, and with the clean safety  
11 profile of using my own fat cells I had little to  
12 lose. At this point my hands and feet were  
13 swollen, exhaustion was overwhelming, I could not  
14 sleep, I had trouble staying awake, and you can  
15 imagine how this affected my quality of life and  
16 my family.

17 In February of 2015 I had ADSC therapy  
18 with StemGenex in California. Within 48 hours  
19 after my therapy the pain in my hip was gone.  
20 Within a week I could see my knuckles for the  
21 first time in years, and over the next three  
22 months my health improved so much I was able to

1 get off conventional medicine. And, to date, I am  
2 still pain free and RA medicine free a year and a  
3 half later. Without stem cell therapy my life  
4 would literally be a different story. I believe  
5 I'd be on disability instead of working and  
6 contributing and being able to support my family.  
7 My health is great and I actually performed in a  
8 half marathon this July. I'm sorry, I get  
9 emotional.

10 In the following slides you will see a  
11 short snapshot of some of my labs. Coming from a  
12 little bit of a science background, at least in my  
13 profession, I wanted to see, is this placebo, is  
14 this snake oil, and indeed in my case and in many  
15 cases, it is not. I've also submitted my full  
16 labs along with my presentation as time  
17 constraints require I can't go through it all.

18 This is a snapshot of my CRP, or  
19 C-reactive protein, a marker of inflammation.  
20 You'll see throughout 2014 all of the sudden my  
21 inflammation started climbing, during injectable  
22 steroids, oral steroids, and monthly biologic

1 infusions, and many other medicines. Six weeks  
2 pre therapy I had my labs done. My CRP was 1.9,  
3 normal is 1. I had my CRP done again 1 week post  
4 therapy and it had already dropped to 1.2. And as  
5 you can see throughout this slide, at different  
6 points over the last year I've had these labs done  
7 and actually I'm so low that I'm actually off the  
8 graph now of less than 0.3. This is just another  
9 way to look at those numbers.

10 This is my sed rate, another marker of  
11 inflammation. Again you can see in 2014 my body  
12 just went out of whack; it was not being  
13 controlled at all. Normal is 20. Six weeks prior  
14 I was 25. One week post therapy, 22, and it has  
15 continued to fall over the last year and a half,  
16 well within normal range. It's just another way  
17 to look.

18 My white blood cell count was above  
19 normal and it was cut on half in one week post  
20 therapy and has remained normal.

21 In conclusion I ask that you strengthen  
22 my rights as a patient to be treated with my own

1 stem cells and to accelerate this availability of  
2 treatment that is safe and effective, and to  
3 please not classify my own cells as a drug. They  
4 are my own cells and I ask that you respectfully  
5 treat them that way.

6 Thank you for your time very much.

7 (Applause)

8 DR. WITTEN: Thank you. The next  
9 speaker is Fiona Cunningham.

10 MS. CUNNINGHAM: Thank you very much.

11 It's an honor and a privilege to be able to speak  
12 today. I'm here as a mother of a patient who has  
13 been incredibly sick since the day she was born.  
14 Her main diagnosis was systemic juvenile  
15 idiopathic arthritis and dysautonomia among a  
16 myriad of other very severe autoimmune and life  
17 threatening problems.

18 Her entire life has been filled with  
19 pain and hospitalizations. My identical twin  
20 sister had the exact disease and she died  
21 prematurely from this disease, so I have watched  
22 two people who I love from the bottom of my heart



1 be brutalized by their autoimmune systems.

2 There's no other word than just say brutalized.

3           Due to the aggressive nature of my  
4 daughter's disease, when she was a baby we moved  
5 to Houston, Texas to be near a world class medical  
6 center. Throughout her life she's had world class  
7 medical care. She's been the subject of many peer  
8 reviewed medical papers because of the aggressive  
9 nature of her disease, and so there is mountains  
10 of very sophisticated bio and genetic data on her  
11 case. She almost died many, many times and she  
12 was so sick her world class care in Houston also  
13 sought out the care here at the NIH. And so it's  
14 very strange being back here when -- I stayed at  
15 the Children's Inn and she was actually treated  
16 here at the NIH, so that shows how sick she was.

17           By the age of 22 her body couldn't stand  
18 it any longer. The side effects of the drugs and  
19 the progression of the disease had gotten to the  
20 point where she was dying. She'd literally run  
21 out of every traditional treatment, nontraditional  
22 treatment, experimental treatment that was

1 available in the United States. She had become a  
2 skeletal figure, was bed bound, in severe pain.  
3 And even Sarah knew her time was up, she said,  
4 this is it, mom. And then we heard about high,  
5 high dose autologous mesenchymal stem cells taken  
6 from a one-time adipose fat extraction. My Sarah  
7 is -- her name is Sarah, she's going to be  
8 speaking today -- she is highly allergic to most  
9 drugs. If you look at her allergy list, it's a  
10 laundry list of drugs. And these are severe  
11 reactions where she gets anaphylaxis, looks like  
12 elephant man. And also her body doesn't react  
13 well to biologic products. So she has to  
14 pre-med up to the hilt for any biologic product.  
15 We also understood to combat the aggressive nature  
16 of her disease that she had to have extremely high  
17 doses of incredibly pure doses of her own  
18 mesenchymal stem cells.

19           So these were taken from a one-time fat  
20 extraction. She was too weak for multiple  
21 extractions, we knew that. Just the one-time fat  
22 extraction put her into a cytokine storm. We

1 deliberately sought out the FDA regulated  
2 biotechnology company called Celltex Therapeutics  
3 to bank, expand, and culture Sarah's stem cells in  
4 their CGMP laboratory that is regulated and they  
5 look at all the safety margins and everything and  
6 really adhere closely to everything that the FDA  
7 wants, and we thank you for that and that is one  
8 of our biggest reasons it has to be CGMP lab, it  
9 had to have safety measures in place.

10           People often worry that stem cells are  
11 not safe. And firstly, it's important to remember  
12 that Sarah's stem cells were manufactured in a FDA  
13 CGMP laboratory that's regulated with a company  
14 that has proven protocols and safety records.  
15 Secondly, Sarah's overactive immune system that  
16 reacts to everything has readily accepted 5.25  
17 billion of her own stem cells over 22 infusions  
18 over the space of almost 2 years. She has not had  
19 one adverse reaction. It's like her body was  
20 saying, thank you. Not one.

21           She's not the only one that has gone  
22 through this. Sarah followed a little six year

1 old boy called Tucker Beau Hyatt, and his mother  
2 gave me permission to talk about him today. He's  
3 had the same severe autoimmune diseases. Because  
4 he's younger he wasn't as progressed. But his  
5 parents are fully aware of the path that lay ahead  
6 of him. He's now an eight year old --

7 DR. WITTEN: Excuse me. We really  
8 appreciate your comments, but you'll have to wrap  
9 up your remarks so we can move on to the next  
10 speaker.

11 MS. CUNNINGHAM: Tucker Beau and Sarah  
12 have survived because of their high dose stem  
13 cells without any, any reaction whatsoever. What  
14 saddens me is that they had to get on a plane and  
15 fly to Mexico to receive their own stem cells that  
16 had been manufactured in the United States.

17 In closing, could I ask that we look at  
18 Celltex and all from all the research they've been  
19 the leaders in regenerative medicine from  
20 everything that we could find. Look at the  
21 scientific data that has been compiled on Sarah,  
22 Tucker Beau, and Celltex, and I ask you to

1 seriously consider them as the industry model. I  
2 mean they saved my child's life, they saved Tucker  
3 Beau's life.

4 Thank you. (Applause)

5 DR. WITTEN: Thank you. The next  
6 speaker is Roxana Daftarian.

7 MS. DAFTARIAN: Good morning. My name  
8 is Roxana Daftarian and I have MS. First of all,  
9 I'd like to thank the FDA for the opportunity to  
10 speak about the draft guidances relating to the  
11 regulation of stem cells.

12 I'm 55 years old and no MS drug has  
13 worked for me. I was diagnosed in 2002 and for  
14 two years I was on nothing and I was perfectly  
15 fine. At the insistence of my neurologist I went  
16 on Avonex and a year later my legs started acting  
17 up and there was extreme weakness and I could  
18 barely walk. I changed neurologists and the new  
19 one told me that I was allergic to all  
20 interferons. I tried Tysabri for six months and  
21 after six months I showed antibodies to Tysabri as  
22 well. So I can't do that either. So basically

1 I'm allergic to most MS drugs and I've been on  
2 nothing for the past I would say six-seven years.

3 I did some research on line because my  
4 legs were getting weaker and weaker. After coming  
5 up with this center in Germany my husband and I  
6 travelled to Germany for stem cell treatment and  
7 the results were amazing. I could -- I mean my  
8 leg immediately improved, my foot drop was gone,  
9 my -- the tremors in my body were gone and most of  
10 my symptoms just disappeared.

11 When I came back a few years passed by  
12 and I did more research because I could not take  
13 any drugs, and I found this place in California  
14 for stem cell. So I decided to go there in 2013.  
15 They used my adipose stem cells. So I did it and  
16 the results were again amazing. I've done stem  
17 cell five times all together, so I would consider  
18 myself among the lucky few. The results are just  
19 simply no side effects, safe, and very, very good.  
20 I would recommend stem cells for anyone who has  
21 MS. I cannot tolerate drugs. Because this one  
22 has no side effects whatsoever, it's your own stem

1 cells.

2 I recently had two bouts of pneumonia in  
3 the past three years. I recovered extremely well.  
4 Literally, after one week and I think it's because  
5 of the stem cell because my body just rebounded  
6 back so fast from everything.

7 I had a surgery and -- there's a nerve  
8 in the base of my skull that they had to work on  
9 and I did the surgery and everything was fine  
10 after one week so I contributed all these  
11 improvements to the stem cells that I have been  
12 doing over the past few years and I ask the FDA to  
13 please consider approving my own -- one's own stem  
14 cells for treatment of diseases like MS,  
15 Parkinson's, rheumatoid arthritis, all these  
16 things and that's it. Thank you very much.

17 (Applause)

18 DR. WITTEN: Thank you. Our next  
19 speaker is Rahul Desai.

20 DR. DESAI: Good morning, thank you for  
21 having me speak today. We will have some slides.  
22 I just wanted to let you know, I am a

1 musculoskeletal radiologist, interventional  
2 changed, allopathic background, Md, grew up in  
3 Ohio, trained at Washington University and  
4 developed a pain practice in Portland, Oregon.

5 Today, what I am going to be speaking  
6 about are the interventions that we are using for  
7 pain management so joint and spine and soft  
8 tissues.

9 Right now, and I'll give you a little  
10 bit of background how I came into this. I had a  
11 very standard pain practice, interventional, using  
12 a lot of cortisone and other modalities. It was  
13 very frustrating seeing patients come in. We  
14 don't -- I don't use any narcotics and we want to  
15 get these patients healthy and it was very  
16 frustrating to see them come back over and over  
17 again and the situation worsening with their joint  
18 disease.

19 Progressive arthritis, worsening disc  
20 herniations. We'd give them more steroids, they  
21 would have side effects and gain weight and they  
22 weren't getting better. And so I was looking for



1 solutions about seven or eight years ago and I  
2 heard about the date.

3           And I am a -- out of any type of doctor  
4 out there, I am a radiologist, I am pretty black  
5 and white, I want to see that there is something  
6 going on. I was a skeptic and it took me a long  
7 time, even after doing these therapies to really  
8 believe what I was seeing.

9           We started to do these therapies on  
10 ligaments and tendons so I vetted it out. It  
11 looked like these platelets had been done in  
12 veterinary medicine, orthodontics, it would seem  
13 like it was a safe tool. We tried -- we started  
14 using them in soft tissue injuries, rotator cuff,  
15 Achilles, those types of injuries and patients  
16 were coming back after a few weeks saying: "Doc, I  
17 feel better. I'm healed. " And it was shocking to  
18 me so with the benefit of my company that I was  
19 working for, we scanned a lot of patients and they  
20 were -- the images showed that the situation was  
21 better. Over the past -- and there were paradigm  
22 shifting so I'd never seen that -- I am going to

1 skip through some of these but I had never seen  
2 that before with any other intervention that it  
3 was a change of paradigm, that you could actually  
4 repair tissue with a single injection and no side  
5 effect profile and this happened over and over and  
6 I've treated several thousand patients over the  
7 past eight years using this.

8 As we started to go through different  
9 tissues, what I am going to focus now, especially  
10 since it's a huge issue right now in our country,  
11 is low back pain and degenerative disc disease.

12 We are seeing that this actually works  
13 for that and now we are using more powerful tools,  
14 such as bone marrow adipose grafts. We don't  
15 digest the cells and PRP and we're doing those in  
16 the epidural space and on discs.

17 This was the first case I ever did on a  
18 patient who came to me and he came specifically  
19 for sciatica. He'd had other therapies and on  
20 this MRI, you can see here the red circle on the  
21 tope is the oldest image. He had a large extruded  
22 fragment in the disc space and the nerve is being

1       squashed and he's having low back pain and  
2       sciatica.

3                   He came in and wanted PRP. I said "I am  
4       going to give you steroids. The standard of care,  
5       I am a little bit afraid to go down that path"  
6       even though we do blood patches and put blood in  
7       the epidural space all the time.

8                   We did a couple of steroid injections.  
9       He had a couple of days of release and we knew the  
10      pain was coming from this. I gave him -- he came  
11      back and said: "You promised me, doc, do the PRP.  
12      " So we went ahead and we did the platelets. A  
13      week later he called me back and said: "Doc, all  
14      my sciatica is gone. I have a 1 out of 10 pain in  
15      the back. " I said: "Come back and we'll put you  
16      on the scanner, let's see what's happening and so  
17      now we have hundreds of these types of studies on  
18      imaging and we're seeing the same thing with  
19      larger disc herniations. That was just epidural.

20                   Now we are actually putting it in the  
21      disc and we're seeing this with a patient four  
22      years old. Two young kids, chronic progressive

1 low back pain, debilitating. Her choice was  
2 fusion.

3 She had had steroid injections, she had  
4 had physical therapy, she was on narcotics and she  
5 went to the surgeon and we heard the same story,  
6 that they are going to do fusion procedure on this  
7 patient and I think we have to be able to allow  
8 these types of therapies, which are minimally  
9 invasive to help them.

10 This patient came back after three  
11 weeks. Her pain started to diminish. This is a  
12 six month before and after image and you can see  
13 these large herniations, extrusion, lifting of the  
14 tubal ligament. This is all gone. This was --  
15 you can see the nerves being compressed.

16 This is -- after you see the small -- we  
17 are seeing these morphological changes over and  
18 over. I just hired as -- and I understand that we  
19 need guidelines and we need research and so I've  
20 just hired, even though we're just a small  
21 practice, hired a PhD to help us do the research  
22 and show this is another clear example of what's

1       happening with this material, an extruded fragment  
2       pressing on the nerve root. This was gone after  
3       12 weeks, after one injection and these patients;  
4       they're not showing up acute because you could  
5       say: "That could go away. "

6                 These are patients that have had this  
7       long term, with other interventions and it's not  
8       going away and then we do this simple intervention  
9       and it's helping and so what I'd like to propose  
10      for the FDA to at least consider is to use  
11      autologous material -- homologous material. This  
12      is not -- we don't think the cells are actually  
13      changing and creating new material, but they are  
14      affecting a change long term and allowing for the  
15      healing process. Thank you for your time.

16                DR. WITTEN: Thank you.

17                         (Appause)

18                DR. WITTEN: Thank you, the next speaker  
19      is Yoelma Eid Sandoval. Is she here? Ryan  
20      Fitzgerald? Okay, I am just going to ask for the  
21      other two speakers that weren't here earlier just  
22      to check and see if they are here, Waldo Acebo?

1 Kara Couch? Okay, so I think this -- we're --  
2 we've completed the speakers from this morning's  
3 session and we are going to take a break for  
4 lunch.

5                   Since we are a bit early for the lunch  
6 break, I'd like to suggest that we resume early so  
7 I am going to propose that we resume at 1:15. So  
8 can everyone be back in their seats at 1:15?

9                   (Recess)

10                   DR. WITTEN: I'd like to start with  
11 Timothy Freeman?

12                   MR. FREEMAN: Can I start now? Okay. My  
13 name is Tim Freeman and 40 months ago, in the  
14 prime of my life, I was diagnosed with early onset  
15 Parkinson's disease. Today, I am here today to  
16 address concerns related to the safe and effective  
17 use of both allograft and autograft stem cells as  
18 treatment options for many medical conditions.

19                   I am in a unique position. More unique,  
20 I am sure, than any of your presenters for these  
21 two days. I have seen firsthand the effects of  
22 allograft stem cells with corticocancellous bone

1 and the robust fusions that we've got in spine  
2 surgery, from products such as MTF5 Trinity. I am  
3 also the recipient of adipose derived mesenchymal  
4 stem cell autograft for the treatment of my  
5 Parkinson's Disease.

6 I am asking the FDA to not over regulate  
7 the usage of stem cell products and to allow my  
8 chosen medical professional to have the ability to  
9 treat me as they and I see fit. In May 2013, I  
10 was diagnosed. I knew before I even went to the  
11 neurologist because I had seen the advent and the  
12 subsequent struggles that my mother experienced  
13 with Parkinson's. At that time, there was no  
14 regenerative medicine and there was no stem cell  
15 treatment to consider.

16 There were only the toxic chemical drugs  
17 to take and within a five year span, my beautiful,  
18 healthy, wonderful mother was gone. Based on  
19 that, I made the commitment, after my diagnosis,  
20 that my life was not going to be relegated to  
21 infirmity before death and at the age of 49, with  
22 an incredibly supportive wife and family, I am,

1 and will continue to seek out the best treatments  
2 for me, even if it means going overseas.

3 I've been a firsthand witness to the  
4 toxic side effects of the current medications that  
5 are available for Parkinson's and I would prefer  
6 to never have to take them. The side effects can  
7 be as frustrating and debilitating as the disease  
8 itself and how sad is it that the best drug on the  
9 market today was approved in 1967.

10 Let that sink in. Let it sink in. We  
11 have been treating this awful disease with the  
12 same medicine for 50 years. In reality, L-DOPA  
13 isn't really a treatment. It's simply a masking  
14 agent that over time loses its effectiveness to  
15 finally not working at all.

16 I can only believe that the  
17 pharmaceutical companies haven't been interested  
18 in developing new treatments because our numbers  
19 haven't been great enough or it was considered a  
20 disease of old people.

21 There are new faces of PD now and it's  
22 mine, and it's my friend Jimmy in Chicago, who has



1       been treated with intrathecal transplant of  
2       allograft stem cells.

3               Before his treatment six years ago,  
4       Jimmy was on a walker at the age of 33. Post  
5       treatment, Jimmy has run 75 half marathons, six  
6       full marathons and countless 10Ks. Now with the  
7       advocacy of the Michael J. Fox foundation and the  
8       dollars and notoriety that they bring, we finally  
9       have significant critical research being done.  
10       Without Michael, I'd hate to think where we would  
11       be in traditional medicine and research.

12              I investigated and explored many options  
13       before I made my decision to move forward with my  
14       stem cell treatment. As a result of my treatment,  
15       I've had much more energy whereas I had been  
16       taking naps, long naps in the middle of the  
17       afternoon every day, I now can work full days and  
18       I have not had an afternoon nap since my first  
19       treatment.

20              Every time that I would sit down to  
21       watch a game or a show on TV, I was falling  
22       asleep. Going to see a movie was worthless

1       because I would miss half of it from falling  
2       asleep. Now I can actually watch a movie and not  
3       fall asleep. My focus has been clearer and  
4       sharper, I am interested in what I am doing and I  
5       am back to being social again.

6               Overall, I just feel better. My sleep  
7       habits have improved greatly and my bouts of night  
8       terrors and acting out dreams have diminished  
9       greatly. I still have tremors and I was never  
10      promised that my treatments would cure me.

11             The best part of it, I've had no side  
12      effects. As I look at the landscape that stem  
13      uses and the diseases they treat, I see the need  
14      for balancing safety and adoption of use. The  
15      primary function of the FDA is to ensure the  
16      safety of products and technologies are coming to  
17      the marketplace. Placental, umbilical, amniotic  
18      stem cells have proven safe; therefore that hurdle  
19      has been crossed.

20             Across the world, most notably Europe  
21      and Japan, others appear to be moving at light  
22      speed and utilizing stem cells to treat diseases

1       such as Parkinson's and MS. I have never been  
2       promised a cure by anyone. I have paid for these  
3       out of my own wallet and had they not been  
4       effective, I would have not gone back for a second  
5       and third treatment.

6               As a result of my experience with the  
7       use of different stem cells and their uses, I am  
8       asking the FDA to not put shackles on innovation.  
9       I ask that you help the scientific community by  
10      accelerating the use of these safe and effective  
11      stem cell treatments to all Americans.

12             In conclusion, if you were me, or your  
13      wife, or your husband, your son or daughter, would  
14      you not go to the ends of the earth to ensure that  
15      you had one more day, one more year, one more  
16      decade, one more healthy life to spend with them?  
17      Regenerative medicine, in some form or fashion, is  
18      going to be the answer in treating and eventually  
19      curing these awful debilitating neurological  
20      disorders.

21             Please do not impede this progress.  
22      Thank you again for the opportunity to speak on

1       behalf of patients across the country about the  
2       draft guidances relating to the regulation of  
3       adult stem cell treatment, thank you.

4                               (Applause)

5               DR. WITTEN: Thank you. Is Brian Gates  
6       here? Okay, next is Marie Gehling.

7               MS. GEHLING: Good afternoon, my name is  
8       Marie Louise Gehling and I am a nurse practitioner  
9       and a certified wound ostomy continence nurse at  
10      the regional medical center in Orangeburg, South  
11      Carolina. I have been a registered nurse for 32  
12      years.

13              I founded the wound center at the  
14      regional medical center in 1992 after seeing far  
15      too many lower extremity amputations in patients  
16      both with diabetes and vascular disease and after  
17      taking care of many patients suffering from the  
18      stress of having a chronic wound that wouldn't  
19      heal and little available resources.

20              Wound care has changed a lot since the  
21      early 90s and we as providers have many more  
22      resources to manage patients with chronic wounds.

1                   We have a viable limbs salvage program  
2                   at our hospital because of both advancements in  
3                   science and technology and therefore have fewer  
4                   lower extremity amputations than in the past.

5                   These advances in science and technology  
6                   have led to an explosion in the growth in the  
7                   wound care industry. Many times, this growth has  
8                   been at the expense of true scientific evidence.

9                   One area of ongoing concern has been  
10                  about the lack of rigorous evidence supporting  
11                  therapeutic claims for a growing number of  
12                  allograft derived products that are promoted as  
13                  healing agents.

14                  The claims made of wound healing,  
15                  reduction in inflammation and reduction in  
16                  scarring are made by various product  
17                  manufacturers. These products have been brought  
18                  to market under section 361 of the public health  
19                  and service act, which only concerns the  
20                  transmission of infectious diseases when  
21                  additional concerns for safety and efficacy are  
22                  not addressed.

1           In order to promote wound healing, the  
2 product would have had to have gone through the  
3 much more rigorous PMA or BLA approval. Despite  
4 this, manufacturers are marking products under  
5 section 361 pathway without any pre-market review  
6 and then making claims that are not supported by  
7 FDA trials as is required under the premarket  
8 approval process.

9           The current reimbursement by centers for  
10 Medicare services increases the confusion about  
11 proper use and provides a good example of the  
12 confusion created as a result of lack of  
13 regulatory clarity for industry around the  
14 meanings of homologous use and minimal  
15 manipulation. When CMS bundled the payments for  
16 tissue products, this allowed products with FDA  
17 reviewed clinical trials to be lumped in with  
18 products that have limited level one evidence.

19           As a result of this, reimbursement  
20 methodology, products without FDA reviewed safety  
21 and efficacy data adopted claims from the products  
22 approved through the premarket process. They

1       stated: "We are just like product A" and the  
2       company who had correctly achieved the premarket  
3       approval, this is very problematic.

4                If providers are not educated on the  
5       difference or the standards of the products  
6       regulated under section 351 in section 361.

7                When manufacturers realized they could  
8       get payment without FDA approval, the marketplace  
9       for human cellular products and tissue products  
10      erupted and continues to grow exponentially. This  
11      unregulated growth in the industry, not supported  
12      by valid scientific evidence or rigorous research  
13      has taken guidance documents that were clear in  
14      their verbiage and manipulated them to meet their  
15      own needs, thus leading to false or misleading  
16      claims of wound healing for which the FDA has very  
17      defined specific criteria.

18              If a manufacturer wants to cite a  
19      therapeutic claim for healing, or reducing  
20      inflammation, then it must be supported through  
21      rigorous human trials.

22              The allowance of payment for a product

1 does not lend legitimacy to its claims, however it  
2 does lend to confusion. Why would the CMS allow  
3 the same payment for a product that is not an FDA  
4 approved therapy when there are safe and proven  
5 therapies backed by sound FDA reviewed evidence  
6 and that meet the FDA's high standards for safety,  
7 efficacy and quality.

8 Our patients are entitled to the highest  
9 quality care available. They are entitled to know  
10 that their care providers are not being misled as  
11 to the nature of or the risk associated with these  
12 therapeutic products they are receiving. They are  
13 entitled to rely upon the natural assumption that  
14 we all make that someone other than the companies  
15 who stand to profit from the product, or the sale  
16 of that particular therapy has reviewed the data  
17 to support the therapeutic claim being made for  
18 that product and has determined that that data is  
19 robust and derived from a well designed, well  
20 executed clinical trial and that they are relevant  
21 to the particular claim being made for healing.

22 Unfortunately, today, patients are being



1        lied to by omission. The system is failing them  
2        because in fact, many of the products being  
3        marketed as advanced wound healing biotherapies  
4        have never been reviewed on a premarket basis and  
5        neither is there any oversight of their claims in  
6        a post market basis and in fact, there is  
7        virtually no adverse advent reporting for these  
8        products.

9                    To make matters worse, this reality is  
10       beginning to play out in other therapeutic areas  
11       as well. For these reasons, I urge the FDA to  
12       finalize the guidance documents under discussion  
13       toady with all possible haste in order to  
14       strengthen the boundaries between the properly  
15       regulated and solely -- those products properly  
16       regulated under 361 and 351 and I would like to  
17       thank you for your time and attention.

18                                    (Appause)

19                    DR. WITTEN: Thank you. Our next  
20       speaker is Ted Gradel.

21                    MR. GRADEL: Hello and thank you for the  
22       opportunity to speak today. My health issues are

1 relatively modest compared with so many of the  
2 fine presenters today so I will gloss over those  
3 rather quickly.

4 I was diagnosed with moderate  
5 osteoarthritis in both knees four years ago at age  
6 48 and told I had no other options other than to  
7 endure the pain and eventually have knee  
8 replacement surgery.

9 I sought out the alternative, stem cell  
10 therapy and the results have been fantastic. When  
11 you deal with chronic pain, even though mine was  
12 modest, on a regular basis, it's quite liberating  
13 to wake up pain free every day. Now, four years  
14 later, I have been diagnosed with moderate to  
15 severe osteo in my left hip and two different  
16 orthopedic surgeons have told me I have no options  
17 other than endure the pain and eventually get hip  
18 replacement surgery.

19 I am very thankful that I know about the  
20 procedure I already had. I am very thankful that  
21 I have the option of stem cell therapy right now  
22 and I plan to schedule a procedure soon.

1                   A couple of things I am having a hard  
2                   time understanding, and I do have a different  
3                   perspective than that last speaker and I respect  
4                   her opinion. I respect how difficult this is to  
5                   process but I am having a hard time understanding  
6                   and talking about just the autologous stem cells,  
7                   my own stem cells. How is this being considered  
8                   regulated as a drug?

9                   When I look at that little vial, the  
10                  little sliver of SVF, stromal vascular fraction  
11                  that is sitting at the bottom of that test tube,  
12                  those came out of my body and those are my cells.  
13                  I am having a hard time understanding how -- I  
14                  don't really care if the lab technician added an  
15                  enzyme or if they have been manipulated either  
16                  minimally or maximally, I feel like I should have  
17                  the right to have those cells injected back into  
18                  my own body, without having to deal with  
19                  government regulations and extensive testing or  
20                  anything like that.

21                  That decision should rest between me and  
22                  my physician. If you surveyed the average U.S.

1 citizen and asked whether they should be allowed  
2 access to their own blood, tissue or cells or  
3 whether they would prefer the FDA restrict that  
4 access, I have a very strong opinion that the vast  
5 majority would say that decision should be theirs  
6 and their physician's.

7           The other thing I am confused on is it  
8 just seems that there are so many people who are  
9 again, very smart, educated, experienced people,  
10 PhDs, Mds, that are so violently opposed to what  
11 is going on and it's almost like they take any  
12 success stories, which we have heard so many of  
13 today and they are awesome stories. Julie and  
14 Georgianna and Shelley -- all the people that have  
15 talked about how they have benefited, it just  
16 seems like so many people want to downplay those  
17 and say: "Well that's just anecdotal evidence and  
18 they didn't have proper testing. "

19           Whereas you take a couple of adverse,  
20 negative adverse events and these critics want to  
21 blow those up, highlight them conspicuously and  
22 hold them up as a reason to disallow these

1 procedures for everyone. It doesn't make sense to  
2 me as an average, non-medical, layperson.

3 I ask that you -- there will be negative  
4 outcomes and those are extremely unfortunate when  
5 they happen, absolutely but we must learn from  
6 those rather than running from those. I ask that  
7 you consider how many thousands of U.S. citizens  
8 will be negatively impacted if these procedures  
9 are restricted in big ways.

10 People suffering from chronic  
11 debilitating conditions -- as mentioned by so many  
12 speakers, the demand is there and other countries  
13 have approved these procedures. If we force  
14 thousands of patients to look overseas, it will  
15 likely be more costly and less safe. Many  
16 thousands more won't be able to afford those  
17 procedures in traveling and so they'll be forced  
18 to live with debilitating conditions when they  
19 might otherwise have had an option.

20 I truly believe that these stem cell  
21 therapies have the ability to positively impact so  
22 many lives and I ask that you keep that decision

1 making ability where it belongs, in the hands of  
2 the individual patient and their physicians.  
3 Thank you.

4 (Applause)

5 DR. WITTEN: Thank you. Is Scott Graham  
6 here? Our next speaker is Sarah Hughes.

7 MS. HUGHES: Good afternoon. My name is  
8 Sarah Hughes. I am here today on behalf of 117  
9 million Americans who are chronically ill. That  
10 is a little over one third of the United States  
11 population suffering from chronic disease  
12 according to the CDC.

13 Did you know that seven of the top ten  
14 causes of death in the United States are chronic  
15 diseases, with arthritis being the most common  
16 cause of disability in America.

17 I am turning 25 years old in a few weeks  
18 and I have lived with systemic, severe systemic  
19 juvenile idiopathic arthritis my entire life and  
20 if not for the help of high dose autologous  
21 mesenchymal stem cell therapy, I would not be here  
22 today.



1 to be a drug, I had to leave the United States to  
2 have adult stem cell therapy from an FDA regulated  
3 biotechnology company based in Houston, Texas  
4 called Celltex Therapeutics.

5 I was running out of time but I was  
6 willing to put my life at risk to get on an  
7 airplane. My quality of life had become so  
8 dismal, even one small improvement from my own  
9 stem cell would have been enough for me.

10 What happened in the days, weeks, and  
11 years following my first infusion has changed my  
12 outlook. It's hard to believe in my sick body, I  
13 had a wealth of healthy adult stem cells with the  
14 ability to so significantly improve my quality of  
15 life. Before stem cell therapy, I wasn't planning  
16 my future because simply, I didn't have one.

17 Now I wake up every day and I am  
18 grateful but overwhelmed thinking about all of the  
19 choices I have now that I didn't have before. I  
20 also think about the millions of Americans --  
21 millions of people in this country who are still  
22 living as I was, a shell of a human being, dealing



1 with constant pain and unable to think about  
2 tomorrow.

3 It's sad knowing the people who could  
4 benefit most from adult stem cell therapy are  
5 probably too sick to get on an airplane so I ask  
6 you this, if we consider American to be the  
7 greatest country in the world, why are we making  
8 it so hard for sick people to get better? Why do  
9 the laws call our stem cells a drug?

10 Regulation states that if our stem cells  
11 are expanded in large numbers through  
12 self-culturing, then they are drugs that have been  
13 more than minimally manipulated.

14 If you look at the science, my cells  
15 were not manipulated. Despite my overactive  
16 immune system, I have received over 5 billion of  
17 my own adult stem cells over the course of two  
18 years with no adverse effects.

19 The power of that many MSEs has been  
20 researched and documented over the past four and a  
21 half decades and yet America lags behind the rest  
22 of the world in the area of regenerative medicine.

1                   Still, this experience has taught me the  
2                   power of hope and my greatest hope now is that the  
3                   FDA will work to shape a new path that will make  
4                   stem cell therapy a reality.

5                   I am alive today because my amazing team  
6                   of doctors and many FDA approved drugs. I  
7                   received my own stem cells cultured by a company  
8                   whose product I knew to be safe because it is  
9                   regulated by the FDA so I want to thank you.

10                   In closing, I implore you to change the  
11                   road we are on because we can do so much more with  
12                   stem cell therapy. We have the innovators and the  
13                   scientists in this country who can and will and  
14                   are developing new and better drugs and therapies  
15                   for Americans who are suffering and have no  
16                   quality of life. We can do better than this,  
17                   thank you.

18   (Appause)

19                   DR. WITTEN: Thank you. Our next  
20                   speaker is Scott James.

21                   DR. JAMES: Good afternoon, my name is  
22                   Scott James. I am a vascular surgeon at the Beth

1 Israel Deaconess Plymouth hospital in  
2 Massachusetts. I have been in practice for 14  
3 years. I am Board certified in vascular surgery  
4 and general surgery. I commend the FDA on  
5 focusing on the need for greater clarity in the  
6 regulation of human cell and tissue products.

7           The need is particularly great with  
8 respect to human cell and tissue products intended  
9 as regenerative medicine therapies, an area that  
10 is driving new innovation and growth and holds  
11 much promise for patient treatments. In the  
12 future, and for meeting unmet medical needs, this  
13 is very important.

14           It is also an area in need of greater  
15 regulatory attention to ensure the safety of  
16 patients and to protect the public health.

17           Over the last ten years, an inadequately  
18 led regulated industry of large scale manufactured  
19 biological products has sprung up under the cover  
20 of a minimalist regulatory scheme originally  
21 designed to oversea, without undue regulatory  
22 interference, the distribution of traditional

1 organs and tissues for transplant.

2           The widespread marketing of section 361  
3 allografts that do not meet the criteria of  
4 section 1271. 10 has been possible because the  
5 regulatory scheme leaves distributors of allograft  
6 products to make their own determinations as to  
7 whether their products qualify as section 361  
8 human cell and tissue products. There are  
9 powerful financial incentives for these  
10 distributors to determine that their products can  
11 legally go to market under the section 361 pathway  
12 and few, if any incentives for them to determine  
13 that they require premarket review.

14           Not surprisingly, then, allograft  
15 distributors almost always conclude that no  
16 premarket review is necessary for their products.  
17 As a result, we see a disturbing number of product  
18 promoted to healthcare providers like us for uses  
19 that the FDA has never reviewed or approved up to  
20 including claims that these products are  
21 comparable or even superior to products that have  
22 faced rigorous FDA premarket review.

1                   As a vascular surgeon, my own  
2                   observations of these issues have occurred in the  
3                   wound care, limb salvage and vascular surgical  
4                   areas. In these areas, there are a large number  
5                   of tissue products being marketed without robust  
6                   evidence demonstrating their safety and  
7                   effectiveness.

8                   The marketing claims for these products  
9                   have not appropriately substantiated and in some  
10                  cases, they are also being marketed as novel  
11                  applications.

12                 The lack of premarket review over these  
13                 products has sewn confusion on payers with the  
14                 very real effect that the patient's access to  
15                 therapies that are proven to be safe and effective  
16                 has become much more limited.

17                 The patients that we see in our practice  
18                 have devastating conditions and the consequences  
19                 of using treatments that are not backed by  
20                 rigorous science can be disastrous. Our patients  
21                 deserve to know that the therapies we give them  
22                 have been proven to be both safe and effective.

1           It's that simple. Section 361 simply  
2 ensures the safety of cells and tissues from an  
3 infectious disease standpoint, that's really all  
4 it does but preventing tissues from transmitting  
5 disease is just the beginning of determining  
6 whether tissues or cells are safe and effective  
7 for indications that implicate complex  
8 biomechanical processes to achieve an intended  
9 therapeutic effect.

10           From the beginning, it was the FDA's  
11 intention that human cell tissue products intended  
12 for complex interactions that fall outside normal  
13 use for conventional tissue would place these  
14 products squarely in a higher risk category  
15 meaning that they would be subject to premarket  
16 scrutiny and greater post-market controls.

17           Allograft distributors who have taken  
18 advantage of the ability to self-designate their  
19 products as section 361, human cell tissue  
20 products, have thoroughly distorted the regulatory  
21 framework to the detriment of our patients.

22           In short, it's critical for the

1       wellbeing of all our patients that the AFDA take  
2       consistent and definitive actions to bring human  
3       cell products that are intended to interact with  
4       the body in complex ways, for example, in the  
5       manner of cell therapies be subjected to the same  
6       degree of regulatory scrutiny as other biologic  
7       products with more complex mechanisms of action.

8               The draft, manipulation and homologous  
9       use guidance documents are a critical first step  
10      in restoring the regulatory scheme and making it  
11      work as it was intended to work. For that reason,  
12      I join with the other commenters in urging the FDA  
13      to proceed with all possible speed in this  
14      approval. Thank you again for allowing me to give  
15      my comments.

16                               (Applause)

17               DR. WITTEN: Thank you. Is Kristen King  
18      here? The next speaker is John Klimkiewicz.

19               DR. KLIMKIEWICZ: Good afternoon.  
20      Thanks for the opportunity to speak. I am a local  
21      orthopedic surgeon specializing in sports  
22      medicine. The topic will be the application of

1       musculoskeletal allografts within my sub-  
2       specialty of sports medicine.

3               The application of musculoskeletal  
4       allografts within sports medicine has increased  
5       dramatically over the course of the last decade.  
6       As formalization of the tissuemaking process has  
7       been verified and these tissues have been deemed  
8       safe, use within my field of sports medicine has  
9       increased dramatically. It's allowed application  
10      of procedures in a less invasive fashion and has  
11      also opened doors in aspects of sports medicine  
12      that were previously untreatable.

13              Today, we'll talk about the utilization  
14      of allograft tissue in ACL surgery, multi-ligament  
15      knee injuries, meniscal insufficiency and focal  
16      chondral defects or a low form of osteoarthritis.

17              In terms of ACL allograft  
18      reconstruction, ligament stability has been  
19      shown to be similar to autograft tissue. The  
20      rehabilitation has been easier, thus allowing the  
21      application of this technique to an older  
22      population that previously was unavailable too.



1 Overall, in this population, it allows  
2 these individuals to be more active and results in  
3 an overall cost savings when looking at both  
4 future and current activity levels and further  
5 medical treatment.

6 It also allows the application of  
7 different principles to revision ACL  
8 reconstruction when autograft tissue is not  
9 available. Metanalysis studies have been done  
10 that have demonstrated equivalent results to  
11 autograft tissue and in certain populations,  
12 allograft can actually be shown to be superior  
13 than autograft for function and overall outcomes.  
14 Application of allograft surgery to multi-ligament  
15 knee injuries has allowed us, as surgeons, to  
16 address all aspects of the injury without going to  
17 the opposite leg for tissue in order to  
18 reconstruct the ligaments.

19 It's allowed us to improve the lives and  
20 functions of these patients dramatically. The  
21 success of allografts has also opened up  
22 treatments that previously were unavailable.

1       Meniscal allograft transportation was popularized  
2       in this country about two decades ago.

3                 It's helpful to patients where the  
4       meniscus has been removed who are not yet  
5       arthritic but have pain. The traditional approach  
6       to a meniscal tear is the removal of the meniscus,  
7       which will only lead to arthritis in the future.

8                 Some patients have pain despite the lack  
9       of arthritis and meniscal allograft  
10       transplantation has allowed us as surgeons to  
11       restore their activity and their way of life that  
12       previously was not able.

13                Biomechanics have stimulated this  
14       technique and have driven it and it's a technique  
15       that has been done with a lot of forethought both  
16       in the laboratory and in our medical clinics.  
17       Meniscus transplantation has been found to be  
18       successful in an intermediate period of five to  
19       ten years at

20                percent. Again, it allows us to address  
21       patients that

22                otherwise were untreatable until their

1 knees have become arthritic. Focal chondral  
2 defects is another area within sports medicine  
3 where allograft tissue has been instrumental in  
4 achieving patient success.

5           These lesions are either traumatic and  
6 they're degenerative and there is no intrinsic  
7 ability for the body to repair these.

8           There has been, up until this point, no  
9 consensus on treatment. Osteochondral allografts  
10 have been indicated for larger defects with the  
11 hope that the underlying bone will heal to allow  
12 the overlying cartilage to remain viable and  
13 functional.

14           Success rates for this procedure have  
15 been at 80 percent at the 10 year mark. In  
16 summary, as safety issues have been addressed  
17 through better tissue standards, allografts and  
18 sports medicine has allowed the expansion of  
19 current surgical techniques in a less invasive  
20 fashion that allow restoration of function and  
21 activity, increasing patient satisfaction and  
22 overall health.

1                   Additionally, it has added to the  
2                   treatment scenarios of sports medicine with  
3                   currently few alternatives with the biologic  
4                   potential to restore the biomechanics within the  
5                   joint and potentially prevent further and future  
6                   arthritic breakdown, thank you.

7                   (Applause)

8                   DR. WITTEN: Thank you. Our next  
9                   speaker is Jeanne Loring.

10                  MS. LORING: My name is Jeanne Loring.  
11                  I am a stem cell researcher at the Scripps  
12                  Research Institute in La Jolla, California.  
13                  Today, I am speaking only for myself, not for my  
14                  institution.

15                  I want to speak about just one issue and  
16                  that is having a scientific rationale for a cell  
17                  therapy. Most of the speakers have been concerned  
18                  with arguing that the FDA should have less  
19                  oversight over the use of adipose tissue and  
20                  amnion for transplantation.

21                  Unfortunately, the lack of understanding  
22                  or a deliberate ignorance of the regulations has

1 led to an increasing exploitation of desperate  
2 patients by incompetent clinics. The FDA needs to  
3 take action to improve regulation and I favor  
4 approval of the guidelines proposed. I do wish it  
5 would happen sooner.

6 I want to bring out the completely  
7 different idea about cell therapy because it  
8 hasn't been raised before. I want to make sure  
9 that people know about this. Adipose cell therapy  
10 is governed by that overused axiom, if the only  
11 tool you have is a hammer, you will treat  
12 everything as if it is a nail.

13 It isn't logical or scientific to assume  
14 that all disorders can be treated with a single  
15 type of cell. There is another approach, the use  
16 of pluripotent stem cells which is guided by  
17 defining the disease and deciding the cell therapy  
18 to treat it.

19 Pluripotent stem cells can be made by  
20 reprogramming any person's skin cells; they only  
21 exist in culture. They can make every single cell  
22 type in the body and they are currently being used

1 in clinical trials. They have been differentiated  
2 into retinal pigment cells to treat macular  
3 degeneration and to glial cells to treat spinal  
4 cord injury and into insulin producing pancreatic  
5 cells to treat type I diabetes.

6 Cell replacement therapy is designed to  
7 be, in this case, to be a onetime treatment. My  
8 group is working on cell therapy for Parkinson's  
9 disease. We've made induced pluripotent stem  
10 cells from individual Parkinson's disease  
11 patients. We have differentiated them into the  
12 precise neuronal type that is lost in the disease,  
13 neurons, and we are working toward obtaining FDA  
14 approval to transplant them back into the same  
15 patients but this approach, in which we rely on  
16 scientific evidence to design the tools to treat  
17 each disease is novel and currently has a  
18 complicated pathway to the clinic.

19 As Randy Mill said yesterday, there must  
20 be a way to redirect the FDA's unfortunately  
21 limited efforts so that they can efficiently  
22 identify the cell therapies that are safest and

1 most effective and apply their expertise to those  
2 as a priority.

3 I wish you well, and if you need any  
4 help from scientists, you should ask us. Thank  
5 you.

6 (Applause)

7 DR. WITTEN: Thank you. Our next  
8 speaker is Norman Marcus.

9 DR. MARCUS: Good afternoon. Thank you  
10 for inviting me to discuss growth factor treatment  
11 for non-surgical therapy of osteoarthritis of the  
12 knee.

13 I am an orthopedic surgeon in  
14 Springfield, Virginia specializing in cartilage  
15 repair. The demand for non-surgical treatment of  
16 the mild to moderate osteoarthritic knee is quite  
17 large and is based upon both elevated expectations  
18 of the baby boomer population as well as the well  
19 known poor results from some implant  
20 arthroplasties. Frequently, patients with knee  
21 pain undergo knee arthroscopy and so called  
22 menisectomy and this population, even minimal

1 surgery can result in actually increasing  
2 symptoms, mainly because the true problem was not  
3 the meniscus but the articular cartilage.

4 Many MRI findings of so called meniscal  
5 tears in this population are irrelevant and lead  
6 to unnecessary surgery. I have been using  
7 platelet rich plasma for the last seven years  
8 based upon variety of commercial and  
9 non-proprietary methods. There have been no  
10 complications in over 2,000 injections. The  
11 technique is based upon a minimal phlebotomy and  
12 differential centrifugation predicated by cell  
13 counting both before and after purification so we  
14 know the dose.

15 The number of circulating platelets in  
16 our blood is highly variable, even at different  
17 times within the same patient and certainly  
18 between different patients at different times. By  
19 measuring the concentration and volume of  
20 platelets, a simple calculation yields the precise  
21 dose.

22 The procedures performed with non



1       proprietary lab equipment. The material values is  
2       leukocyte poor. Patient selection is critical and  
3       dose is important for this type of therapy to  
4       work. Five billion platelets over a six week  
5       period in mild to moderate osteoarthritis produces  
6       a 90 percent favorable outcome as judged by at  
7       least a 50 percent reduction in pain and a marked  
8       increase in activity levels.

9                 The injections are performed with  
10       ultrasonic guidance to ensure placement within the  
11       knee. The knee is then iced and other than  
12       nominal precautions, there is no therapy or post  
13       injection therapy or medication of any sort. The  
14       normal duration of a favorable response is about a  
15       year. This morning, a lady came in that I  
16       injected four year ago with a good result until  
17       recently. She wants a new series.

18                Some have been durable even longer.  
19       Should a second course of PRP be necessary, there  
20       is seldom any falloff in efficacy and the second  
21       course is again, usually effective for about a  
22       year.

1           Autologous platelet therapy, replete  
2           with growth factors is a very useful, safe,  
3           powerful and effective treatment for moderate  
4           osteoarthritis of the knee. Improvements could be  
5           made by dose standardization and further  
6           investigation into potentially useful subgroups of  
7           white cells, such as is being done in oncology.

8           These studies are unlikely to be  
9           performed by for profit enterprises as the  
10          commercial benefit would be very limited.

11          Patient selection is the key to  
12          achieving these results. Many people have been  
13          prematurely advised to have total knee surgery  
14          when in fact, injection therapy appears optimal  
15          for many years. It is a treatment, not a cure.  
16          We should all want that each patient who needs a  
17          total knee gets one and only one for his whole  
18          life.

19          One final word about amniotic  
20          preparations, the material from amniotic fluid  
21          arrives frozen from the tissue bank and I've used  
22          it in about 10 people in conjunction with PRP. It

1 has no shelf life. It is unclear whether it is of  
2 any benefit at the present time. The material  
3 does not come with a manifest, a cell count or a  
4 growth factor analysis.

5 The quality assurance process remains  
6 obscure even when you phone these companies to ask  
7 what it is. There is no dose response  
8 relationship and it's unclear whether growth  
9 factors are even present in these amniotic  
10 preparations. Appropriate labeling on allogenic  
11 growth factors would seem to be indicated, such  
12 that we can all determine which combination of  
13 non-surgical methods achieves the most consistent  
14 results. Thank you very much.

15 (Applause)

16 DR. WITTEN: Thank you. Our next  
17 speaker is Brian Marr.

18 MR. MARR: Thank you. Thank you. I  
19 appreciate the opportunity to come before you all  
20 today. My wife is going to speak after me. I  
21 want to thank you for allowing -- I am going to  
22 talk to you a little bit from a different

1 perspective as more of a caregiver. My wife has  
2 primary progressive MS and we've been dealing with  
3 it for quite a while.

4 I have two great children but these  
5 diseases that we are hearing about today affect  
6 much more than just the victim themselves. It  
7 affects the family members, it affects our  
8 abilities.

9 Now we have gone through all the  
10 standard treatments that we can for primary  
11 progressive MS and there is very limited -- we  
12 have gotten on lots of medications.

13 All these medications had toxic effects  
14 on my wife and from going from one drug to a  
15 secondary drug to a third, to a fourth, to a  
16 fifth, each has some type of different issue that  
17 comes up a little bit later so the standard  
18 protocol by which we were using to try to fight  
19 her MS was just not working for us so after we had  
20 tried all that, we started researching out and  
21 seeing what could we do to benefit, you know, my  
22 wife and her ability to interact with us on a

1 daily basis.

2 We were lucky enough to come across a  
3 company out west that dealt with stem cells and it  
4 has been the only thing that has helped mitigate  
5 some of the conditions with my wife's MS. Now,  
6 one of our major issues -- we're from the south.  
7 We live in Arkansas, humidity, they affect her on  
8 a daily basis. The brain fog that they get with  
9 when they can't really just seem to be with it  
10 during the day, just the energy levels. We went  
11 out to Stemgenex and when we approached them and  
12 discussed my wife's issues, we were made acutely  
13 aware that -- we know that there's no cure for  
14 what she has and I understand that but if I can  
15 mitigate some of the symptoms that she has, she is  
16 much more productive, she is more engaged on a  
17 daily basis with us and the family and you know,  
18 when we talk to them, they told us: "Hey, let's go  
19 ahead and try it. "

20 When we went there and engaged in the  
21 stem cell treatments with my wife's own stem  
22 cells, the response was immediate. When we got

1 home, flew back to Arkansas, my wife, who was  
2 confined to a wheelchair was able to get up and  
3 walk down our hallway. That's a big deal for us  
4 and for the kids, you know.

5 My wife is the head of a -- we sponsor  
6 the Little Rock Lacrosse Club --

7 DR. WITTEN: Excuse me a second. Could  
8 whoever is having that dinging, can you turn it  
9 off? So we can listen to the speaker?

10 MR. MARR: It's okay. I can talk over  
11 it; I'm loud. So my wife is the director of our  
12 Little Rock Lacrosse so we are playing Lacrosse in  
13 the heat and we are playing Lacrosse in the  
14 humidity. That's all we have down south so  
15 anyway, as soon as we took these stem cell therapy  
16 -- it was amazing, the turn around that happened  
17 with her. The ability to stand up and cook, the  
18 ability to get out of bed, the ability to go to  
19 the bathroom, to not have somebody walk her to the  
20 bathroom and help her go to the bathroom has -- it  
21 just frees up -- we have a new normal because of  
22 MS and that's what we have to live with and we

1 understand that but to mitigate some of these  
2 symptoms that are out there, this is the only  
3 thing that works for us and so when it works for  
4 her, it also works for me, it works for our kids,  
5 it works for my family, my parents, her parents  
6 because it just doesn't affect one individual; it  
7 affects multiple individuals so I know there is a  
8 lot of stuff going on with regards to what you're  
9 looking at but I don't think we need to stop  
10 what's working for a patient utilizing their own  
11 stem cells.

12 Let them continue on. We can look at  
13 this later down the line. This works for us and I  
14 want to thank you all for the ability to come and  
15 talk to you today from more of a caregiver's point  
16 of view and if you have any questions, I'll be  
17 happy to answer them but I would like to pass on  
18 to my wife.

19 (Applause)

20 DR. WITTEN: Thank you. The next  
21 speaker is Kristin Marr.

22 MS. MARR: Hi, good afternoon. I'm

1 Kristen Marr. I am a 51 year old mom and a wife.  
2 When I was diagnosed in 2007 with primary  
3 progressive multiple sclerosis, our kids were  
4 three and five years old. My disease, because it  
5 is in the 5 percent of multiple scleroris, normal  
6 95 percent have primary relaxing remitting -- you  
7 have a chance for the body to go into  
8 exacerbations, for the body to heal itself. With  
9 primary progressive, we are the five percent that  
10 progressed very rapidly at a downhill slide and  
11 there are no medications currently on the market  
12 for primary progressive to slow the progression of  
13 this disease.

14           The only answers my doctor had for me  
15 when we were diagnosed, and I say we because as  
16 Brian said, it affects a family. It doesn't just  
17 affect me as an individual. First thing he asked  
18 was if we had any long term care insurance. To  
19 prepare for the worst, to enjoy my time with my  
20 kids now, that in three years, pretty much I would  
21 be confined to a wheelchair and to make those  
22 arrangements.



1                   The only thing he could do for me  
2                   palliatively, because of the extreme amount of  
3                   pain I was in, the difficulty I had walking, the  
4                   brain fog, the sleep deprivation just simply  
5                   because you could not hold your head up at 2:00 in  
6                   the afternoon if you tried.

7                   It didn't matter how many Redbulls, soda  
8                   or whatever you had, forget it, it wasn't  
9                   happening. The fatigue was debilitating.

10                  I would tell my friends it's about like  
11                  if you were unlucky enough to get the flu, your  
12                  worst day of the flu, how you felt, that's how I  
13                  felt every day.

14                  I was to the point where I couldn't take  
15                  care of our kids. It's horrifying. As a mother,  
16                  it is absolutely a nightmare. The doctors  
17                  basically tried any type of anti-inflammatory,  
18                  massive quantities of steroids, other approaches  
19                  that didn't help so in 2010, I detoxed off of  
20                  everything and I said I can't live like this.

21                  I was a fighter, I worked for numerous  
22                  charities, I ran my own business and I couldn't do

1 those things anymore and I wasn't about to give up  
2 so we detoxed, we looked at nutrition, I read  
3 every study there was on MS treatments and stem  
4 cells. I was willing to go out of the country, my  
5 husband wasn't. He didn't trust the medical care,  
6 he trusted the medical care here. Thank goodness  
7 we found a stem cell company on the west coast  
8 that was able to take on our case.

9           They, exactly like Brian said, they  
10 never said there would be a cure, our only hope,  
11 that it would alleviate symptoms and I was fine  
12 with that. We came back within 24 hours. I  
13 walked off the plane, I walked into my kids on my  
14 feet. I walked down the hall. Within three  
15 weeks, I was fixing their dinner, I was helping  
16 with homework. I could do all those things.

17           Within three months, I was back to  
18 driving them to school every day and picking them  
19 up at school. We were about to have what was a  
20 normal family life. Now granted, I wasn't running  
21 around like a lot of people would be. I was going  
22 at my own pace and that was fine. I'm fine to go

1 with my own pace now. The only reason I am going  
2 to transport chair today is simply because I had a  
3 kidney stone, I am out of the hospital ten days, I  
4 needed to have surgery and my doctor advised if  
5 you're going to make this trip, you better make it  
6 as easy as possible and you don't need to throw  
7 your MS back into a flare and the kidney stone was  
8 because of an FDA approved medication, it was to  
9 help with my speed of walking and we found that  
10 that was actually what caused my kidney stone and  
11 caused ten days in the hospital.

12           It may work for some people. It doesn't  
13 work for me. My stem cells worked for me. I've  
14 had two stem cell treatments in 2010 and 2013. I  
15 want to read from you my MRI report. This is  
16 three months after I had stem cells and some  
17 people can say it's placebo effect.

18           When you're on your feet and you're  
19 cooking dinner and you haven't done that in a  
20 year, it's not a placebo effect. This is not a  
21 placebo effect. To my neurologist, this was proof  
22 that something was going on in my body that was a

1 good thing. Not that it always healed but that it  
2 was a good thing.

3 To read through the basics, distribution  
4 areas of demonetization is grossly stable as  
5 compared to the two prior studies. There are no  
6 definitive new active or enhanced MS plaques. The  
7 plaques or the demyelination in my brain, my  
8 spinal cord, my C2, C3 and T6, T7, I have no  
9 active lesions, all the activity in my brain as  
10 far as demyelination is gone. As you can see  
11 where it says it's grossly stable.

12 I'll take that any day. I'll take being  
13 on my feet being in front of you. I am happy to  
14 be where I am at. If you give me the capability  
15 and the power to use my own stem cells to  
16 regenerate and help my body heal. The body has a  
17 natural ability to heal itself.

18 DR. WITTEN: I'm afraid I am going to  
19 have to ask you to wrap it up.

20 MS. MARR: Thank you, I appreciate.

21 (Applause)

22 DR. WITTEN: Our next speaker is Carl



1 the web, we found stemgenex, they offered stem  
2 cell therapy treatment, whatever you wish to call  
3 it. I had it done. The very next day on the  
4 airplane, on the ride home, I could feel my feet,  
5 my helper Brittany Waller here helped me get my  
6 shoes on so I would be more comfortable and in  
7 doing so, I felt sensation on my feet. They  
8 tickled. I wondered what further results I'd see.  
9 Upon arriving at home, I saw many and many other  
10 improvements so I was able to feed myself and  
11 bathe myself.

12 I am not saying I can run a marathon,  
13 but I am able to stand up. I am not doing it  
14 today because it's been a long couple of days,  
15 very emotional, very hard on me and it affects me  
16 -- stress is a big issue with MS as well as heat.  
17 Now with everything that the stem cells have done  
18 for me over the time, they plateau, they level so  
19 I did it again and I am seeing further and further  
20 improvement.

21 After these improvements, I decided to  
22 do it once more and I continued to see more

1 improvement so I did it a third time and it was  
2 back in February of this year and I am still  
3 seeing improvements. Just last week, I was able  
4 to tie my shoe and I wasn't able to do it in many  
5 of years, probably five or six years and to me,  
6 it's quite an accomplishment.

7 Now, drastic concerns that you have  
8 without -- the rest, side effects I already told  
9 you the price of the results. As far as the risk  
10 goes, it's not very clear that some of the drugs  
11 that you had already approved for me to take and  
12 they caused disease which ultimately leads to  
13 death and I tried it. I tried it for a year. I  
14 am not going to mention what drug it was. I am  
15 sure you already know so the stem cells being that  
16 the only risk that there is for the stem cells,  
17 it's not to stem cells, it's a procedure that I am  
18 giving them.

19 Stemgenex is very clean. They seem to  
20 be very knowledgeable, to have the top staff for  
21 the job. So far as the side effects go, I have  
22 not seen anything negative. I managed to gain 30

1 pounds since then. My hair is growing back and I  
2 can speak.

3 I didn't mention it before but my speech  
4 was so slurred that you couldn't even understand  
5 me at all. With all that being said, the stem  
6 cells are a universal drug for many illnesses and  
7 I speak on the behalf of anybody that has an  
8 illness that is having trouble getting treatment  
9 for it. I believe that we are in the beginning  
10 stages of the stem cell to be used on a regular  
11 basis to be in competition with the medical field  
12 of other countries as well as ourselves. I don't  
13 think we should be hindered. If I cut my finger  
14 and sew it back on, it's still my finger, it's not  
15 my drug.

16 (Applause)

17 DR. WITTEN: We really appreciate your

18 --

19 MR. NICASTRO: And I owe this all to my  
20 friend who looked this up in the internet and  
21 brought me to stemgenex. His name is Sean Bailey  
22 and with that, thank you again for letting me



1 speak.

2 DR. WITTEN: Thank you.

3 (Applause)

4 DR. WITTEN: Our next speaker -- our  
5 next speaker is Michael Sabolinski.

6 DR. SABOLINSKI: Thank you for the  
7 opportunity to speak at this meeting. My name is  
8 Mike Sabolinski. I am commenting as a private  
9 physician trained in dermatology and cardiology  
10 with 36 years of experience in academic medicine  
11 and industry. I fully support the existing FDA  
12 HCT/P guidance documents and the agency's  
13 interactive approach with all stakeholders.

14 Today I advocate for two positions: one,  
15 limiting inappropriate claims for 361 products and  
16 two, suitable FDA oversight of all HCTPs. In  
17 short, if claims of safety are to be made, then  
18 FDA should approve them. Addressing my first  
19 position on product claims, given that companies  
20 are permitted to self proclaim that their products  
21 are 361 HCT/ps, abuses of the system do occur. A  
22 so called 361 HCT/P often carries claims that it

1 interacts with the body therapeutically and in  
2 complex ways. Some examples include delivery of  
3 growth factors, reduction of inflammation,  
4 enhanced healing of soft tissue, reduction in scar  
5 formation, stimulation of mesenchymal stem cells,  
6 decreasing pain and modulating the immune system.  
7 These are biologic claims.

8 Unsubstantiated claims of positive  
9 clinical outcomes have become common. In wound  
10 healing alone, effectiveness claims of increasing  
11 the frequency and decreasing the time to healing  
12 are often disseminated in testimonials, on  
13 websites and in private, printed promotional  
14 materials.

15 The code of federal regulations did not  
16 anticipate the claims of slowing, preventing, or  
17 curing disease would be promulgated without  
18 premarketing approval. The imperative of FDA  
19 review and approval of the design of clinical  
20 trials, primary end points, statistical methods  
21 and all safety and efficacy data is indisputable.

22 I was here in the early 2000s at the

1       evidentiary hearings in wound care and at those  
2       hearings, we established the existing wound  
3       treatment guidance and regulations that largely  
4       are in place today.

5                   And today I see that more products --  
6       that there are products that were required then to  
7       undergo rigorous clinical development and  
8       regulatory review, premarket review. 361 products  
9       have no such requirements and yet I see similar  
10      claims. What I ask for today is a level playing  
11      field. What I think we've heard today have been  
12      requests for changes to existing guidance and  
13      regulations.

14                   I don't think that these requests have  
15      any sound basis or regulatory justification. So  
16      inappropriately circumventing the FDA approval  
17      process by self proclaiming 361 status should be  
18      curtailed. The homologous use guidance states the  
19      361 HCT/Ps must be intended for homologous use  
20      only and only homologous use is permitted to be  
21      reflected by the labeling, advertising or other  
22      indications of the manufacturer's objective of

1 intent. If these criteria are not met, then the  
2 HCTP is not homologous by definition and cannot be  
3 considered a 361 product so claims of safety and  
4 effectiveness are generally considered by  
5 practitioners as being FDA approved.

6 For 361 products, they are not. I  
7 strongly support the position that the labeling of  
8 361 products clearly and prominently state that  
9 the product is not FDA approved and no clinical  
10 trials have been done under an IND.

11 Addressing my second position on  
12 appropriate FDA oversight, regarding homologous  
13 use, I urge FDA to clarify 21 CFR, 1271. 3 and  
14 sections of their guidance specifically pertaining  
15 to the terms of repair and reconstruction. I  
16 recommend that the guidance define repair and  
17 reconstruction solely in terms of mechanical and  
18 physical functions. This is consistent with the  
19 agency's original position adopted in 1997 and its  
20 proposed approach to the regulation of cellular  
21 and tissue based products. I thank the agency for  
22 the opportunity to comment.

1 (Applause)

2 DR. WITTEN: Thank you. Our next  
3 speaker is Sheila Sabon DeCastro.

4 MS. DECASTRO: Greetings and thank you  
5 for the opportunity to provide comments. My name  
6 is Sheila Sabon DeCastro and I am a nurse  
7 practitioner at Mass General Hospital and a  
8 consulting clinical director to the tissue program  
9 at Beth Israel Deaconess Hospital Plymouth.

10 I have over 15 years of experience in  
11 clinical and regulatory and tissue donation, in  
12 regenerative medicine and wound care. These  
13 personal reflections on the proposed draft  
14 guidance do not reflect the opinions of the  
15 aforementioned institutions.

16 The guidance documents under discussion  
17 today are urgently needed and a major step forward  
18 in providing clarity for the manufacturers of  
19 HCT/Ps and healthcare providers for the benefit of  
20 patients.

21 Although the regulatory scheme set forth  
22 in part 1271 works well for a traditional

1 allograft products such as cadaver skin used to  
2 cover burns, the tiered, risk based approach laid  
3 out in part 1271 is not functioning as it was  
4 intended.

5           The market is saturated with products  
6 that are represented as section 361 HCT/Ps but may  
7 not actually meet the criteria of section 1271. 10  
8 but actual status of these products is not  
9 ambiguous under existing policy and precedent.

10           These guidances simply compile prior FDA  
11 policy interpretations as discussed in preamble  
12 language proposed and final rules, tissue  
13 reference group decisions and various enforcement  
14 letters issued over the past several years.  
15 Nevertheless, the guidances are needed because  
16 certain segments of the allograft industry have  
17 disregarded applicable precedents or have  
18 leveraged vague language from these sources to  
19 provide a rationale for marketing certain products  
20 without FDA premarket oversight.

21           This regulatory gap creates a potential  
22 safety problem in that it may permit the

1 distribution of cell therapy products without  
2 appropriate FDA oversight. Because some of these  
3 products have not been demonstrated with valid  
4 scientific evidence reviewed by FDA on a premarket  
5 basis to be clinically safe and effective,  
6 healthcare providers are becoming surrogate safety  
7 and efficacy reviewers.

8           Providers are put in a position of  
9 determining the safety and efficacy of the  
10 products based on the information available and  
11 maybe led unknowingly to make clinical decisions  
12 to the detriment of patients.

13           Patients may receive treatments that do  
14 not do what they claim or may not receive FDA  
15 approved products that have been shown to be safe  
16 and effective. The remainder of my comments  
17 concern the homologous use draft guidance.

18           First, I want to emphasize that the  
19 distinction between structural and non-structural  
20 tissues is not novel. In the preamble to the  
21 section 1271.10 regulations, FDA expressly  
22 affirmed the continuing validity of the concept

1 for the application of the term "homologous use. "  
2 The distinction makes clinical sense because it is  
3 useful in distinguishing HCTPs for which clinical  
4 data are necessary from those where safety and  
5 efficacy are readily apparent.

6 Second, the guidance is not arbitrary or  
7 capricious by virtue of providing for the  
8 disparate treatment of similar products. In  
9 particular, the argument that ground amniotic  
10 tissue must be treated the same as ground bone is  
11 premised on a false equivalency because while they  
12 are both structural tissues, micronized amniotic  
13 tissue, unlike ground bone, is not intended for a  
14 structural purpose.

15 For this reason, there are meaningful  
16 differences between the products and disparate  
17 treatment is appropriate as noted in the guidance  
18 document. Third, it is appropriate and consistent  
19 with historical precedent for the guidance to take  
20 the position as it does.

21 The homologous use requires a tissue to  
22 be intended for the same basic function in both



1 the donor and the recipient. In particular, the  
2 guidance appropriately asserts that tissues that  
3 are structural in the donor must be intended to  
4 perform structural function in the recipient.

5           When FDA finalizes this guidance, it  
6 should clarify that reducing inflammation or  
7 scarring are not homologous uses of tissues when  
8 it did not perform this function in the donor.  
9 Finally, I urge FDA, when it finalizes the  
10 guidance, to expand the discussion of its existing  
11 regulation which provides that intended use may be  
12 determined by referring not only to advertising  
13 and labeling but also to other indications of the  
14 manufacturer's objective intent.

15           It has been long standing agency policy  
16 that a product's intended use can be inferred,  
17 even in the absence of expressed claims when the  
18 product's actual uses are well known and  
19 understood by the products and users.

20           FDA should reiterate this principle in  
21 the draft guidance to make clear that the agency  
22 has the legal authority to take action, to enforce

1 premarket review requirements for HCT/Ps that have  
2 been pervasively promoted for non-homologous uses  
3 even when the written labeling and the advertising  
4 has subsequently been cleaned up. I thank you for  
5 your attention to these comments.

6 (Applause)

7 DR. WITTEN: Thank you. I'd like to  
8 find out if any of the other speakers that were  
9 going to speak at this session have shown up.  
10 Brian Gates? Scott Graham or Kristen King? Are any  
11 of them in the audience?

12 Okay, well we are scheduled for a break  
13 from 3:21 to 3:41. We're a bit early so how about  
14 if we reconvene at 3:00? Is that good? We'll  
15 reconvene at 3:00.

16 (Recess)

17 DR. WITTEN: Okay, we are going to get  
18 started again. Our first speaker is John Samies.

19 DR. SAMIES: Good afternoon, my name is  
20 John Samies and I am a board certified infectious  
21 disease specialist and a certified wound  
22 specialist practicing at the regional medical

1 center in Orangeburg, South Carolina. I received  
2 my medical degree from Hahnemann Medical College  
3 in Philadelphia and I have been in practice for  
4 about 30 years.

5 My focus on the draft guidance documents  
6 relates primarily to those HCTPs that are intended  
7 for wound healing. It is estimated that at any  
8 given time, about one percent of the population is  
9 suffering from a chronic wound and these wounds  
10 obviously have profound costs both emotionally,  
11 financially, socially on our society.

12 It is therefore very important that we  
13 products that are approved for care of those  
14 wounds. Unfortunately, we had emergence of  
15 products with somewhat unsubstantiated claims  
16 entering the market. In fact, I would say I am  
17 bombarded by marketing of new products that imply  
18 homologous use and then they imply things beyond  
19 that homologous use in their marketing yet they  
20 assert that they are under homologous use  
21 statutes, including section 361.

22 Additionally, the adverse event

1 reporting in section 361 of these products is  
2 limited to reporting of transmission of infectious  
3 diseases largely and it does not go beyond that  
4 into other potential adverse outcomes.

5 Section 361 HCT/Ps are obviously an  
6 important part of the tool kit of wound care  
7 specialists and as an example, cadaver dermis and  
8 amniotic tissues are well suited as wound  
9 coverings and the regulations under part 1271  
10 provide pretty sufficient oversight into these  
11 products.

12 The objective of the regulations is to  
13 largely prevent transmission of infectious disease  
14 from the donor to the recipient but when claims of  
15 complex biologic interactions are made for these  
16 HCT/Ps such as modifying wound healing, those  
17 regulations in part 1271 are not sufficient alone  
18 since they really don't assure the safety and  
19 efficacy of the products beyond the transmission  
20 of infectious disease. For example, how does one  
21 assess the oncogenic potential of these products?

22 I am here to today to urge FDA to

1       finalize the guidance that mandates that  
2       biologically driven products intended for active  
3       wound healing are adequately regulated. I'd like  
4       to stress three points: first, it's appropriate  
5       for FDA to use the terms minimum manipulation and  
6       homologous use narrowly. If not narrowly used,  
7       361 will continue to be a loophole through which  
8       products will continue to be inappropriately  
9       marketed to clinicians.

10               The guidelines state that an HCT/P  
11       that's intended for use as an unproven treatment  
12       for a "myriad of diseases or conditions" is  
13       "likely not intended for homologous use only. " I  
14       would agree and I would urge FDA to delete the  
15       likely and the reference to myriad conditions  
16       since it leaves some doubt.

17               To void any doubt, it's important that  
18       the final guidance states clearly that if an HCT/P  
19       is intended for use as an unproven therapeutic  
20       treatment for any disease or condition is probably  
21       not intended for homologous use therefore it's not  
22       supposed to be regulated under part 1271.

1           The types of data that are needed to  
2           support wound healing claims have been set forth  
3           in documents by the FDA including guidance for  
4           industry on chronic, cutaneous, ulcer, and burn  
5           wounds developing products for treatment. When  
6           FDA finalizes homologous use guidance, the agency  
7           should make clear that the claims of therapeutic  
8           treatment require clinical trials under  
9           established FDA guidelines and regulation. Such  
10          products will generally not be considered to be  
11          homologous use products then under section 361.

12                 Second, I would urge FDA to clarify that  
13           homologous use does not imply the function of the  
14           tissue in any way that it could conceivable  
15           function but rather it's appropriate to limit the  
16           definition of homologous use to the same basic  
17           function that it serves in the donor.

18                 In particular, it's appropriate to hold  
19           that homologous use of tissue that is structural  
20           in the donor is limited to the same basic  
21           structural function in the recipient.

22                 Lastly, I would submit that this is a

1 dynamic process. I would submit that initial  
2 assertions of homologous use and intermobile  
3 manipulation products should be defined by clear  
4 and basic science. The current regulation scheme  
5 allows for incentives for immediate product  
6 availability without FDA premarket review but not  
7 proof that the products continue to serve with  
8 that anticipated homologous use.

9 The lack of oversight allows the payers  
10 and others to claim marketing beyond the original  
11 scope of homologous use, thank you.

12 (Applause)

13 DR. WITTEN: The next speaker is George  
14 Sauter.

15 MR. SAUTER: Hello, my name is Gus  
16 Sauter. Prior to retiring from Vanguard at the  
17 end of 2012, I was the company's first chief  
18 investment officer. I am happy and appreciate the  
19 opportunity to present to you today.

20 I am here representing myself. I am a  
21 strong advocate of stem cell treatment and I would  
22 like to tell you about the experiences and

1 benefits that I have had with stem cell therapy  
2 that was qualified under the same surgical  
3 procedure exception. I'd also like to describe  
4 the experiences of two family members and a friend  
5 of a friend have had and I will admit right off  
6 that my infirmity was minor compared to many of  
7 the people who have spoken here today.

8 I had osteoarthritis in my hip, which  
9 caused me constant pain from about 2009 to about  
10 2013, preventing me from really doing anything  
11 strenuous whatsoever and then in the middle of  
12 2013, I had a stem cell procedure using stem cells  
13 harvested from my bone marrow and after about four  
14 months, I had no pain except for perhaps an  
15 occasional minor twinge.

16 After 12 months, I really had no pain.  
17 My procedure enabled me to play golf again without  
18 any pain whatsoever but to be fair, I still  
19 couldn't take the pounding of strenuous activity  
20 like running so I did have a second procedure and  
21 this time using stem cells from my adipose tissue  
22 and again, while I had improvement, I still can't



1 take the pounding of running but I am really quite  
2 pleased with the progress that I've made and the  
3 increased quality of life that I have regained.

4 I also appreciate the fact that I have  
5 avoided having to do a hip replacement. I  
6 mentioned that I have other family members that  
7 have benefited from stem cell procedures.

8 My wife is a competitive ballroom dancer  
9 and she developed osteoarthritis in her knee and  
10 subsequently tore the meniscus in her knee. She  
11 had injections that really did not -- were not  
12 effective in reducing the pain whatsoever and  
13 ultimately, her orthopedic surgeon concluded that  
14 trying to repair the meniscus was rather fruitless  
15 because she had bone on bone on her knee and he  
16 recommended that the only remedy was a knee  
17 replacement.

18 Instead, before pursuing that more  
19 extreme option, she elected to try a stem cell  
20 procedure. Her recovery has been absolutely  
21 remarkable. After six months, she was back  
22 dancing and she competed in two competitions seven

1 or eight months after the procedure. At the time,  
2 she had perhaps a twinge in her knee every now and  
3 then.

4 Today, 14 months later -- yesterday she  
5 told me she has no pain and she said she never  
6 even thinks about it. Another family member, my  
7 mother in law, suffers from Alzheimer's. She was  
8 really quite depressed about her loss of memory  
9 and expressed that she really didn't feel like  
10 herself. In total despair, she claimed that she  
11 couldn't live that way. In my research on stem  
12 cells for myself, I had read that they were used  
13 to treat Alzheimer's as well so we arranged to  
14 have a procedure for her and her progress was  
15 really remarkable and quite swift.

16 She expressed, immediately feeling much  
17 better about herself and at ease with herself.  
18 All the family members agree that she made  
19 remarkable progress for about 10 months but even  
20 potentially reversing some of the Alzheimer's that  
21 she previously had but Alzheimer's as you know is  
22 a terrible disease and due to its relentlessly

1 destructive nature, she has started to decline  
2 again.

3           Finally, I told a friend of a friend  
4 about the improvement that my mother in law had  
5 experienced from her stem cell treatment. The  
6 friend's mother was also suffering from  
7 Alzheimer's and despite some initial skepticism,  
8 they enrolled the mother in the stem cell program  
9 and by all accounts, they are extremely happy with  
10 the improvements the mother made. In some of the  
11 other cases, obviously not a cure but improvement  
12 in their quality of life, giving them some  
13 remaining quality of life so I'd say that we're  
14 four for four and we're very pleased with the  
15 outcomes from the use of stem cells qualified  
16 under the same surgical procedure exception and  
17 while I still can't take the pounding of running,  
18 I am really excited about the prospect of  
19 improvement in stem cell technology.

20           As I mentioned to begin with, I come  
21 from the investment industry so I certainly  
22 recognize and support the need for appropriate

1 regulation. I also recognize that in the  
2 investment industry that there is also harmful  
3 overregulation that we have so I hope the FDA will  
4 exercise its oversight to support the development  
5 of stem cell technology that has really benefitted  
6 so many people in such a profound way. Thank you  
7 for your time today.

8 (Applause)

9 DR. WITTEN: Thank you. The next  
10 speaker is Rosemary Tambouret.

11 DR. TAMBOURET: Hello, thank you for  
12 holding this meeting. My name is Rosemary  
13 Tambouret, I am a pathologist at Massachusetts  
14 General Hospital and a good portion of my work  
15 deals with obstetrical pathology so that's -- and  
16 my comments today actually reflect my own opinions  
17 and not that of the hospital and I wanted to come  
18 speak to you today because I believe that you  
19 know, the FDA may not be completely aware of all  
20 the functions of the amniotic membrane so that's  
21 really what I am going to speak about.

22 So the amniotic membrane, as you know,

1 is a complex tissue and it has multiple functions,  
2 both structural and non- structural so you can  
3 think of the amniotic membrane as just simply  
4 being a barrier, as avascular tissue barrier but  
5 in fact it also has other activities, metabolic  
6 activities and it can secrete different growth  
7 factors, cytokines and what not and these, as I  
8 will discuss in a bit, also impact the donor use  
9 of amniotic -- or the recipient use of the  
10 amniotic membrane and I've included a very  
11 detailed reference here on this first page review  
12 article that goes through all the different  
13 aspects of use of the amniotic membrane so the  
14 mechanism of action of the amniotic membrane deals  
15 with of course first a physical barrier in utero  
16 but even there it's a metabolically active as it  
17 helps regulate the volume of amniotic fluid,  
18 allows transport of water and oxygen and it also  
19 controls the PH of the amniotic fluid.

20 The amniotic membrane also contains  
21 several growth factors, antiangiogenic factors,  
22 anti-inflammatory factors, natural inhibitors to

1 proteases as well as natural inhibitors to  
2 scarring so in utero, they speak often of healing  
3 without scarring in the infant.

4           The amniotic -- part of the amniotic  
5 membrane is basement membrane and this basement  
6 membrane also facilitates the establishment and  
7 the integration of epithelial cells and thirdly  
8 there is the extra cellular matrix which provides  
9 the tensile strength for the amniotic membrane  
10 plus actually acting as a reservoir for different  
11 proteins like collagen and growth factors.

12           So these same functions apply to the  
13 clinical applications of the amniotic membrane.  
14 The clinical use actually of amniotic membrane  
15 dates to over 100 years ago so it's been in use  
16 quite a long time and the examples currently of  
17 its use include treatment of burns, ulcers, acute  
18 and chronic wounds and ocular applications so  
19 results from clinical use have shown that there is  
20 reduced fibrosis, reduced scarring, reduction in  
21 inflammation, enhanced healing, even pain  
22 allevement and promotion of epithelial growth.

1                   So -- and the clinical results, I  
2                   believe, stem from the same factors that are  
3                   active in utero that the amniotic membrane has a  
4                   barrier properties. It's permeable. It produces  
5                   growth factors, these antiangiogenic, anti-  
6                   inflammatory proteins, these natural inhibitors to  
7                   proteases, and it's the amniotic membrane promotes  
8                   establishment of an epithelial cell layer and  
9                   again, the extra cell provides the tensile  
10                  strength of the whole membrane. Those are my  
11                  comments and I hope you take into account all the  
12                  many functions of the amniotic membrane.

13   (Applause)

14                  DR. WITTEN: Thank you. I just want to  
15                  know whether Tracy Thompson or Amy Tucker have  
16                  signed in? Tracy Thompson, Amy Tucker? Okay, our  
17                  next speaker is Leigh Turner.

18                  MR. TURNER: Hi, I am Leigh Turner. I  
19                  am an associate professor at the University of  
20                  Minnesota Center for Bioethics. Brevity has made  
21                  me blunt so I'll try to be concise. I'd like to  
22                  put the draft guidances in context by drawing

1 attention to the hundreds of U.S.clinics selling  
2 unimproved stem cell inventions. I am concerned  
3 years of inadequate regulatory oversight by the  
4 FDA fuel the nationwide spread of such businesses.

5 Over 350 U.S. businesses advertise  
6 purported stem cell treatments provided at nearly  
7 600 clinics. Many of these clinics sell costly  
8 stem cell interventions for ALS, Alzheimer's  
9 disease, Parkinson's disease, MS, muscular  
10 dystrophy, cerebral palsy. Autism, I think you  
11 get the idea, and dozens of other conditions.

12 Children are among the individuals  
13 receiving unapproved stem cell products.  
14 Advertised interventions include autologous stem  
15 cells attained from adipose tissue, bone marrow  
16 and blood, allogeneic products derived from  
17 amniotic material like placentas, xenogenic stem  
18 cells and even embryonic stem cells.

19 It's understandable that individuals  
20 with serious health problems respond to the  
21 compelling marketing claims that stem cell clinics  
22 make. Less comprehensible is how companies get



1       away with making unsubstantiated claims about  
2       cellular therapies without prompting swift  
3       regulatory action. Clinics advertise using the  
4       rhetoric of stem cell treatments and IRB approved  
5       patient funded studies, numerous companies use the  
6       NIH's clinicaltrials.gov registry as a marketing  
7       platform for so called studies that have serious  
8       scientific, ethical, and regulatory flaws.

9                 Some falsely claim their studies are NIH  
10       or FDA approved. When journalists have contacted  
11       the FDA and asked questions about such studies,  
12       the FDA has responded by stating that it cannot  
13       comment on trials conducted under investigational  
14       applications.

15                Since these studies are not conducted  
16       under IND, such replies provide regulatory cover  
17       for clinics selling unapproved stem cell products.

18                For many years now, the FDA has failed  
19       to regulate the U.S. direct to consumers stem cell  
20       marketplace on a risk based, timely and consistent  
21       manner. This is a marketplace where regulatory  
22       action is rare, even when businesses have spent

1 the last five years selling unapproved stem cell  
2 products for 20 to 30 diseases.

3 Acknowledging this failure, I commend  
4 the FDA for issues the draft's guidances. The  
5 documents clarify when premarketing authorization  
6 is required, they provide insight into how the FDA  
7 defines, interprets and applies concepts such as  
8 the same surgical procedure exception, homologous  
9 use and minimal manipulation.

10 Addressing these concepts is crucial  
11 because such criteria are abused by clinics.  
12 Perhaps the guidances are assigned, the FDA now  
13 plans to take action against marketing claims and  
14 business practices that often are based on nothing  
15 more than the unsubstantiated assurances of clinic  
16 owners, however I am concerned meaningful  
17 regulatory action will not follow this hearing.

18 In 2012 and 2013, I contacted the FDA  
19 and urged them to investigate numerous businesses  
20 selling autologous adipose derived stem cell  
21 interventions for ALS, Alzheimer's disease,  
22 Parkinson's disease, MS, muscular dystrophy, COPD,

1 stroke, spinal cord injuries and dozens of other  
2 diseases and injuries. Over three years later,  
3 these companies continue to profit for  
4 administering stem cell products. The FDA states  
5 require premarketing authorization. During their  
6 advocacy for the 21st Century Cures Act, Senator  
7 Lamar Alexander and former Senate majority leader  
8 Bill Frist have used a pay to participate study  
9 run by a Florida based physician as an example of  
10 dramatic progress being made in stem cell  
11 therapies.

12           They presumably did not know that at  
13 least two patients whose eyes were injected with  
14 autologous bone marrow derived stem cells suffered  
15 serious complications. These outcomes were  
16 reported not by the doctor charging 20,000 dollars  
17 per so called research subject to inject stem  
18 cells but by the physicians who treated the  
19 patients he injured.

20           I urged you to investigate this business  
21 back in January 2013 before these patients were  
22 injured. Disciplinary actions by medical boards

1 in California, Florida and elsewhere had published  
2 case reports reveal that numerous patients have  
3 been harmed by clinics selling unapproved stem  
4 cell interventions. Recall for example, Domenica  
5 Fitzgerald and Richard Pohling, two patients who  
6 died after their autologous stem cell transplants  
7 -- lawsuits filed by former patients of various  
8 stem cell clinics also contain troubling  
9 allegations of injuries and fraud.

10 Widespread clinical use of unapproved  
11 stem cell products combined with continued  
12 regulatory inaction will likely be followed by  
13 additional reports of harmed patients. This is to  
14 be expected when so called stem cell treatments  
15 have not been subjected to preclinical studies and  
16 tested for safety and efficacy and properly  
17 designed and conducted in regulated clinical  
18 trials.

19 The out of control marketplace for stem  
20 cell interventions needs effective regulatory  
21 oversight. I therefore hope the draft guidances  
22 are more than stage props and this hearing is more

1 than public theatre. When patient safety and  
2 public health are stake, the FDA must do more than  
3 function as a paper tiger. It is time for action,  
4 thank you.

5 (Applause)

6 DR. WITTEN: Thank you. Our next  
7 speaker is Eliza Tyler.

8 MS. TYLER: Good afternoon. You've hear  
9 a lot, I'm sure. I'd like to thank the panel for  
10 the opportunity to speak today and I come asking  
11 you -- my name is Eliza Tyler and I have  
12 cohabitated with Type I diabetes since I was nine  
13 years old. It's an autoimmune disease for which I  
14 lived 44 of  
15 years with. I come to you today to  
16 voice my concerns and hopes  
17 regarding safety and regulation of adult  
18 stem cells as a method of treatment for many  
19 diseases and conditions for which pharmaceutical  
20 means have run their course and do more harm.  
21 These adult cells which reside in my very own body  
22 have the ability to heal and improve my quality of

1 life with little to no side effects.

2 Type I diabetes is an autoimmune  
3 disease. Nothing I did at the age of nine could  
4 have caused it or prevented it. Type I in depth  
5 is something I can't explain in five minutes. The  
6 list of complications and rising death rates  
7 associated with Type I are long and I am currently  
8 dealing with several complications.

9 I am a firm believer in the science and  
10 medicine which includes stem cells and I would  
11 encourage the FDA to allow my medical providers to  
12 offer such treatments to treat, maintain and  
13 enhance one's quality of life. My providers have  
14 seen marked improvement in my disease status and  
15 have not deterred me from undergoing treatment  
16 again.

17 Stem cells have been used for decades in  
18 the United States and around the world with  
19 success. Having learned from clinical trial  
20 rejection that I would be difficult to match with  
21 a donor and no doubt run a higher risk for  
22 rejection for the islet cell transplant, my

1 options were limited.

2 I began a focus driven search on stem  
3 cell options. My prognosis looking dim and  
4 continued deterioration, pain and suffering. I  
5 chose to undergo adipose derived adult stem cell  
6 treatment with Stengenex out of California. My  
7 first treatment in 2010 was conducted outside the  
8 United States and I knew this was not a cure. I  
9 was never promised a cure, however, I was willing  
10 to take the risk having followed research for many  
11 years, my issues included hyperglycemic  
12 unawareness, a dangerous inability to sense an  
13 oncoming low blood sugar which can lead to coma  
14 and death, pain associated with peripheral  
15 neuropathy and arthritis, retinopathy and falling  
16 vision, gastroparesis a paralysis of the gut,  
17 which includes malabsorbption issues and glucose  
18 levels which are near impossible.

19 I also suffer with psoriatic arthritis  
20 and have suffered a traumatic brain injury. All  
21 of these issues for which I was concerned showed  
22 almost immediate improvements. My first low blood

1       sugar in over 20 years was felt coming on within  
2       24 hours of my first treatment.

3               Others continued to improve over the  
4       months afterwards. My response to these  
5       treatments was neither placebo effect nor  
6       anecdotal. My lab work done with ongoing medical  
7       lab work results were on the thing here. With  
8       medical supervision has shown stability and  
9       improvement with my A1C and overall glucose  
10      levels.

11             We have the knowledge, the passionate  
12      scientist and doctors on many levels looking at  
13      many disease processes, let us allow them to move  
14      forward in the research and application of adult  
15      stem cells. Please let us not classify my cells,  
16      that reside in my body, as a drug for they've  
17      brought me complete quality of life that I would  
18      not have otherwise.

19             I've been listening as a patient for 40  
20      years about the babble of a cure on the horizon  
21      for Type I. In all reality, we are being held  
22      back from something that could already be making



1       our lives easier with no side effects, cost  
2       effective and no chance of rejection.

3                If we continue to withhold adult stem  
4       cells from the U.S. citizens who can benefit from  
5       them, then more medical tourism to places of  
6       unknown or poorly overseen practices will be our  
7       only option.

8                I understand and respect the FDA's job  
9       of balancing a patient's safety with alternative  
10      treatments. Please accelerate the availability  
11      and I see the lady off to my left, I thank you  
12      again for the opportunity to speak before you  
13      today.

14                        (Appause)

15                DR. WITTEN: Thank you. Our next  
16      speaker is Newton Vaughn.

17                MR. VAUGHN: Thank you for this  
18      opportunity to speak in front of this committee.  
19      My name is Newton Vaughn and I reside in Lakewood,  
20      Colorado. I am asking the FDA to represent myself  
21      and others in the approval of stem cell research  
22      in surgery. Approval by the FDA may make this

1 treatment more affordable to others.

2 I was able to pay for this out of my own  
3 pocket and it's a possibility that it would be  
4 paid by insurance, if this is approved.

5 Approximately 20 years ago I asked my doctor about  
6 the shaking in my right hand. He said he didn't  
7 want to alarm me but it could be the beginnings of  
8 Parkinson's disease. Five to six years ago, I  
9 noticed this shaking getting worse and I was  
10 referred to a neurologist who prescribed  
11 medications.

12 A friend of my sister had stem cell  
13 treatment with some improvement for Parkinson's I  
14 researched the stem cell surgery and Stemgenex was  
15 recommended by this friend. I decided to go ahead  
16 with the procedure.

17 On June 5th, 2015, I received stem cell  
18 surgery for Parkinson's disease. This treatment  
19 was provided by Sam Jennings of La Jolla,  
20 California. After this surgery, it was  
21 recommended that I spend an hour and a half to two  
22 hours daily for 45 days in a hyperbaric chamber.

1           I purchased my own chamber and in August  
2 of 2015, I took delivery and I have been using it  
3 since. It was also recommended that I continue to  
4 take the medication and vitamins that I was  
5 previously prescribed. Since that time, I noticed  
6 some improvement in my ability to control the  
7 shakes in my right hand.

8           Since January -- in January of 1916 --  
9 or 2016, I was able to thread a needle and sew on  
10 some buttons, something I could not do before that  
11 treatment. I also had been able to reach above my  
12 head and put tools on board hooks in my shop.  
13 There are times that I am able to control the  
14 shakes. In August of 2016, I was able to trim  
15 tall hedges and paint windowframes on my house.

16           For almost a year, I have been able to  
17 make lamps out of wood and I have pictures with  
18 the lamps. Since March, I have been taking dance  
19 lessons. August this year, I was able to prepare  
20 and freeze peaches. I made a peach pie and I've  
21 been playing golf. I live alone and without the  
22 treatment, I am not sure that would be possible.



1 next presentation is a videotape presentation from  
2 Samantha Wilkinson. I am not sure, is someone  
3 playing it? They are going to do something.

4 (Video plays)

5 MS. WILKINSON: Hello, my name is Sammy  
6 Jo Wilkinson. I am going to talk to you about  
7 patient perspective. Today I will tell you about  
8 my positive personal experience with stem cell  
9 therapy for multiple sclerosis and what the  
10 patient community wants in cellular medicine, to  
11 highlight the plight of both the acute and the  
12 chronic patients; it could be helped by cellular  
13 therapy.

14 We should not have to pursue this as  
15 medical tourists. My experience, I've had MS  
16 since 1995 when I was 30 years old and just  
17 starting a dotcom business in the financial  
18 publishing area.

19 This disease has no known cause, no  
20 cure, and the approved disease modifying drugs  
21 only offer to slow the disease's progression but  
22 with a heavy side effect profile. I tried these

1 approved drugs, they all failed me. I am in a  
2 wheelchair, suffering from over 28 symptoms and I  
3 am looking at nursing homes because I am becoming  
4 so paralyzed. I have always followed cell  
5 research because I kept hearing from MS patients  
6 who found relief in foreign clinics.

7           Then I heard about Celltex Therapeutics  
8 in Houston, Texas. They use one's own adipose  
9 stem cells. When the dose is needed, only  
10 perinatal stem cells are expended in the lab  
11 through therapeutic dose. Similar to a higher  
12 dosing, I was seeing the university clinical  
13 trials.

14           I spent three weeks in Houston receiving  
15 a weekly IV of 200 million of my own mesenchymal  
16 stem cells. My response was very positive, very  
17 immediately. Feeling returned to my hands. I  
18 could feel my fingerprints again. My heat  
19 tolerance was regained, my energy levels soared,  
20 the stiffness abated so my husband and I were able  
21 to enjoy touring for the first time in many years  
22 with my schedule of treatment for October 2012.

1                   Then, disaster struck. The FDA blocked  
2                   access to using one's own stem cells in September  
3                   2012. This delayed my therapy plan but Celltex  
4                   found a topnotch certified hospital in Cancun,  
5                   Mexico under regulations established by both the  
6                   FDA and COFEPRIS, the Mexican equivalent, they  
7                   were able to import and export cells.

8                   I was able to resume treatment but the  
9                   extra cost associated in a 14 hour day of  
10                  international travel was an extra burden patients  
11                  should not have to bear and all of this for a one  
12                  hour IV that I should be able to get in my local  
13                  doctor's office without the tireless support of my  
14                  husband of

15                  years. I would not have been able to  
16                  access this therapy. Now after my fourth  
17                  treatment in May of 2014, I can only describe my  
18                  state as long term remission from secondary  
19                  progressive MS. I don't know how long this will  
20                  last for but I am very happy with it and my health  
21                  is improving and so is my function. I can sit out  
22                  outside everyday in 80 degree sunshine and the

1 heat doesn't bother me. I don't feel sick and  
2 miserable anymore.

3 I have enough energy to exercise every  
4 day. The time for change is now. Proposals  
5 already exist from leaders in this field on how to  
6 accelerate the approval process. Professor Kaplan  
7 who first discovered mesenchymal stem cells in  
8 1991 and is a distinguished presenter at this  
9 conference, has published a detailed roadmap  
10 entitled Progressive Approval. Japan has already  
11 implemented such mechanisms in their Regenerative  
12 Medicine Act of 2014.

13 It's time for the U.S. to move forward  
14 with cellular therapies. Faster access to  
15 cellular therapy, especially for no option  
16 patients needs to be a national priority. The  
17 regulators need to work with us, not against us.  
18 Patients, caregivers, doctors, researchers,  
19 regulators, we all have a role to play in making  
20 this happen. Thank you so much.

21 (Applause)

22 DR. WITTEN: Our next speaker is Joan



1 Woodward.

2 MS. WOODWARD: Good afternoon, to  
3 introduce myself, my name is Joan Woodward. I am  
4 59 years old and I have primary progressive  
5 multiple sclerosis. To date, there are no cures,  
6 no medicines which prolong the inevitable  
7 progression of disability which is characteristic  
8 of this form of MS. My definitive journey began  
9 on May 6th, 2014 after limping for over a dozen  
10 years and being treated for possible hip  
11 replacement, I researched and found a new  
12 orthopedic surgeon that referred me to Emory  
13 Neurology in Atlanta.

14 I had a single lesion which appeared on  
15 my brain stem MRI. It took until September 2nd,  
16 2014 for a second lesion to appear. I am in  
17 excellent health, have never had a so called  
18 attack, still, the dreaded words: "You have  
19 primary progressive multiple sclerosis. "

20 Since then, I have spent at least an  
21 hour a day researching this disease not for one  
22 minute accepting the dreadful diagnosis of no

1 relief in sight. I have joined a clinical trial  
2 for a new drug and have exhausted nearly all  
3 avenues.

4 Multiple MRIs have shown my disease to  
5 be progressing. The clinical trial drug is a  
6 double blind so I deduced that either I was  
7 receiving the placebo or the drug was not helping  
8 my symptoms, therefore, earlier this year, my  
9 family and I elected for me to receive mesenchymal  
10 stem cells harvested from my own adipose tissue.  
11 Literally my fat may save me.

12 After carefully researching several  
13 clinics, protocols and doctors, I chose a  
14 facility. My cells, my blood, my decision and my  
15 father's money. How could this possible be  
16 considered a new investigational drug? Every  
17 individual I spoke to that received this therapy  
18 was well informed and had completed the same  
19 amount of research.

20 At no point have I been promised results  
21 or a cure. Already I have stopped taking an  
22 extremely expensive drug for fatigue. That in

1       itself is a huge plus. Prior to stem cell  
2       treatment, I was becoming increasingly fatigue to  
3       the point of not being able to fathom exercise let  
4       alone work for a full day in the comfort of my own  
5       home.

6                   Occasionally I suffered through  
7       difficult bouts of vertigo which my doctor  
8       attributed to MS. Currently, I self administer  
9       interferon injections in an effort to strengthen  
10      my blood brain barrier. I have been taking  
11      interferon since October of 2014. Despite the  
12      interferon in the clinical trial drug, I can tell  
13      the disease was progressing.

14                   Now seven months after treatment with my  
15      own stem cells, I am walking a mile a day with  
16      walking sticks for balance, my restless leg  
17      syndrome has been minimized and I feel the best  
18      that I've felt in years.

19                   I know I am not cured but I am hopeful  
20      that this improvement in my general health will  
21      prolong the disease progression until a cure is  
22      discovered and enough to repeat my stem cell

1 procedure, should my disability progress.  
2 Curiously, 25 years ago, I was diagnosed with a  
3 condition which resulted in multiple miscarriages,  
4 actually four in a row.

5 I had a condition called pregnancy  
6 immune syndrome. My body did not recognize my  
7 husband's cells and therefore rejected my  
8 pregnancy as foreign bodies. I qualified for a  
9 clinical trial of a process called lymphocyte  
10 immune therapy. Today, I have a 25 year old  
11 daughter and a 23 year old son thanks to the  
12 efforts of science and the medical community.

13 January 30th, 2002, the FDA closed the  
14 clinics offering LIT. They said the use of blood  
15 cells qualified as an investigational new drug.  
16 As a result, this procedure is no longer offered  
17 in the United States and young women must leave  
18 our country in order to receive this simple  
19 injection of your husband's blood cells.

20 The Food and Drug Administration  
21 recently issued draft guidelines clarifying that  
22 the stem cells used in most clinics are drugs and

1       require rigorous approval process before they can  
2       be used in patients.

3                 I sincerely hope and pray that this does  
4       not result in others not having the opportunities  
5       that I've had. The FDA has its hands full with  
6       regulating new investigational drugs. Their  
7       efforts are greatly appreciated by the general  
8       public. Let's keep their efforts where they  
9       belong, studying drugs, not the cells that god  
10      gave us.

11                         (Applause)

12                 DR. WITTEN: Yes, is Jennifer Ziegler  
13      here? Great, and the two speakers who were  
14      scheduled to speak earlier in this section? Tracy  
15      Thompson, Amy Tucker? Okay, well we are going to  
16      move on to the panel questions for the speakers.  
17      Hopefully the speakers are still around so maybe  
18      I'll start.

19                 I have a question both for Rosemary  
20      Tambouret and also Rebecca Baergen.

21                 So first, Dr. Tambouret, you both  
22      discussed functions of amniotic membrane and I am

1 just wondering if you have any comments  
2 specifically about interpretation of homologous  
3 use for amniotic membrane for clinical use?

4 You discussed the functions of amniotic  
5 membrane in your presentation.

6 DR. TAMBOURET: Right.

7 DR. WITTEN: And I am wondering if you  
8 -- you know given all the various ways that it's  
9 used clinically, if she can comment on your  
10 interpretation of homologous use for amniotic  
11 membrane?

12 DR. TAMBOURET: Well granted that the  
13 amniotic membrane is found in the uterus  
14 surrounding the baby, right, that's natural  
15 function but one aspect you could say that may be  
16 homologous to use on skin, on the recipient's skin  
17 is analogous to the baby because it's been known,  
18 as I mentioned before that you can have injury to  
19 the infant in utero and they seem to heal without  
20 any scar and it's believed that that function in  
21 part comes from the amnion.

22 Now in other body sites, I don't know if

1 I can comment on it but it seems to, as far as I  
2 know, from the studies that I've read, in the  
3 sites where amnion is used currently, it may not  
4 be totally homologous but it is -- it does act as  
5 a barrier and so in that sense it is homologous  
6 but you know, used in ocular situations or use in  
7 different wound healing situations where you have  
8 actually an open wound and if you use amnion and  
9 -- to my knowledge there has been a great deal of  
10 success, does that answer your question?

11 DR. WITTEN: Yes, thanks. I have the  
12 same question for Dr. Baergen.

13 DR. TAMBOURET: I don't think she is  
14 here now.

15 DR. WITTEN: Okay, thank you. Other  
16 questions? Go ahead.

17 DR. LARD: So we heard from several  
18 physicians and healthcare practitioners regarding  
19 concerns about wound healing claims related to  
20 allografts and specifically, claims regarding  
21 complex tissue interactions and I was wondering if  
22 those individuals, and I think it was Dr. James,

1 Dr. Sabolinski, Sheila Sabon DeCastro, Marie  
2 Gehling and I believe Dr. Samies also spoke to  
3 this.

4 If you could, or if any of you are still  
5 here, speak to the adequacy of the homologous use  
6 guidance in terms of making it clearer what is  
7 homologous use in this arena? If any of them are  
8 still here. Thank you.

9 DR. SAMIES: Well I think part of that  
10 goes back to the question of conceivably. If  
11 something conceivably has a use that's homologous,  
12 that's different than what we are stating is the  
13 homologous use.

14 So if we say it's a structural function,  
15 then it should be a structural function that gets  
16 through the 361 pathway.

17 I kind of see this more as a dynamic  
18 thing. If a company wishes to make claims of  
19 other activity of their 361 pathway product, then  
20 there should be no reason why they can't go back  
21 and then with randomized controlled trials, go  
22 through biologic licensing to come to those



1 claims.

2 The real issue is to decide what is the  
3 true function that we are describing as the  
4 homologous use and maybe that needs to be clearly  
5 defined when a product is brought forward to  
6 market.

7 DR. LARD: Okay, so do you have  
8 suggestions for the guidance that could make it  
9 clearer?

10 DR. SAMIES: Well part of it is I think  
11 they need to make a statement as to this is the  
12 homologous use that we are anticipating this  
13 product for. I don't think that means that they  
14 can't go back and find other things that they  
15 believe are important about their product but that  
16 should go through an entirely different pathway.

17 DR. LARD: Okay, thank you.

18 DR. WITTEN: Could you state the name.  
19 I should ask this for all the speakers. Just  
20 state your name for the transcriptionist.

21 DR. SAMIES: John Samies.

22 DR. WITTEN: Thank you.

1 DR. SABOLINSKI: Mike Sabolinski. I am  
2 going to focus on something that I don't think was  
3 talked about but I tried to was to me, something  
4 that excludes a part from being considered  
5 homologous is -- are the claims so if you make  
6 claims, then by definition that exceed your  
7 structure and what is intended in the other  
8 criteria for homologous, you are not homologous.

9 So some of these claims for instance,  
10 relate this to amniotic membrane.

11 A claim of covering, wound care  
12 covering, that I believe is a homologous use and  
13 homologous claim. When you get into deliver of  
14 growth factors and the litany of other things that  
15 amniotic membrane does, you haven't proven it.  
16 There were statements like "I believe that" or  
17 "decrease in scarring." These are things that have  
18 been well defined in the regulations and I agree  
19 with the existing regulations. I think the  
20 regulations have adequately anticipated the issues  
21 that come up so with regard to homologous use, I  
22 would ask that manufacturers and people who are

1 distributing, people who are acting as agents of  
2 the company are mindful of the claims that they  
3 make, whether it's in their presentation to  
4 doctors, their patient brochures and even the  
5 literature that is being generated and dropped on  
6 doctor's offices because the assumption is that  
7 these are FDA reviewed and approved data.

8           They are not. They may look virtually  
9 identical but doctors can be misled so that's  
10 what I have to say.

11           DR. LARD: Thank you.

12           DR. WITTEN: Okay, well I think if there  
13 are no more questions from the panel, we are going  
14 to close and on behalf of the FDA panel, I'd like  
15 to thank the speakers for their presentations.

16           Everyone in the audience, whether in  
17 person or by webcast for your attention to this  
18 meeting, we've had a two very full days of  
19 interesting and insightful comments that will be  
20 considered by FDA along with the comments of the  
21 docket as we finalize the guidance. The hearing  
22 is now concluded. I'd like to thank everyone.

1                   So I am reminded that September 27th is  
2                   the day the docket closes so if you have  
3                   additional written comments, please submit them by  
4                   September 27th. Thank you for your participation.

5                                   (Whereupon, the PROCEEDINGS were  
6                                   adjourned.)

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CERTIFICATE OF NOTARY PUBLIC

DISTRICT OF COLUMBIA

I, Carleton J. Anderson, III, notary public in and for the District of Columbia, do hereby certify that the forgoing PROCEEDING was duly recorded and thereafter reduced to print under my direction; that the witnesses were sworn to tell the truth under penalty of perjury; that said transcript is a true record of the testimony given by witnesses; that I am neither counsel for, related to, nor employed by any of the parties to the action in which this proceeding was called; and, furthermore, that I am not a relative or employee of any attorney or counsel employed by the parties hereto, nor financially or otherwise interested in the outcome of this action.

(Signature and Seal on File)

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Notary Public, in and for the District of Columbia

My Commission Expires: March 31, 2017

