

Preface

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Table of Contents

41		
42		
43	I. Introduction	1
44	II. Scope	2
45	III. Types of UHMWPE Materials.....	2
46	A. Conventional UHMWPE	2
47	B. Highly Crosslinked UHMWPE (XLPE).....	2
48	C. Vitamin E Highly Crosslinked UHMWPE (VEPE)	3
49	D. Non-Conventional UHMWPE.....	3
50	IV. Material Characterization	3
51	A. Conventional UHMWPE	4
52	B. Highly Crosslinked UHMWPE (XLPE).....	5
53	C. Vitamin E, Highly Crosslinked UHMWPE (VEPE)	6
54	D. Non-Conventional UHMWPE.....	8
55	V. Biocompatibility.....	8
56	VI. Shelf Life	9
57	Appendix 1. List of Applicable Device Regulations*	10
58	Appendix 2. Summary of Characterization Information for Various UHMWPE Materials*	12
59	Appendix 3. Test Reporting.....	13
60	A. Test Facility Information	13
61	B. Test Objectives.....	13
62	C. Materials and Methods.....	13
63	D. Protocol Deviations.....	13
64	E. Test Parameters and Acceptance Criteria	13
65	F. Experimental Data	13
66	G. Test Results.....	13
67	H. Data Analysis	13
68	I. Conclusions.....	14
69	J. Bibliography	14
70		

Characterization of Ultrahigh Molecular Weight Polyethylene (UHMWPE) Used in Orthopedic Devices

Draft Guidance for Industry and Food and Drug Administration Staff

This draft guidance, when finalized, will represent the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

I. Introduction

FDA has developed this draft guidance document for members of industry who submit and FDA staff who review testing of orthopedic devices using ultrahigh molecular weight polyethylene (UHMWPE) material. In this document, the terms “you” and “your” refer to members of industry, also known as sponsors, submitters, or applicants; and the terms “we,” “us,” and “our” refer to FDA. This guidance is intended to assist you in determining the appropriate information and testing to submit in premarket notifications (510(k)s), *de novo* requests, premarket approval (PMA) applications, humanitarian device exemptions (HDEs), and investigational device exemptions (IDEs) for orthopedic devices that contain UHMWPE.

This guidance addresses the characterization and testing of orthopedic devices that use UHMWPE materials such as conventional UHMWPE, highly crosslinked UHMWPE, and highly crosslinked UHMWPE containing vitamin E. This document outlines the information we recommend you include in a submission to FDA to characterize the UHMWPE material (e.g., material description, sterility, biocompatibility, mechanical properties, and chemical properties).

Many standards are referenced in this document. Please refer to the current, FDA-recognized version of these standards, as well as the extent of recognition. A searchable database of FDA-recognized consensus standards is available at <http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfStandards/search.cfm>.

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109 FDA’s guidance documents, including this guidance, do not establish legally enforceable
110 responsibilities. Instead, guidances describe the Agency’s current thinking on a topic and should
111 be viewed only as recommendations, unless specific regulatory or statutory requirements are
112 cited. The use of the word *should* in Agency guidances means that something is suggested or
113 recommended, but not required.
114

115 **II. Scope**

116
117 The recommendations in this document are applicable to Class II and Class III devices
118 intended for orthopedic applications. A list of the current devices to which this document
119 applies is provided in Appendix 1. If you intend to submit an original IDE for an
120 investigational device containing UHMWPE, we recommend you submit a pre-submission to
121 the appropriate review Branch within the Division of Orthopedic Devices to determine what
122 level of characterization is needed for the UHMWPE material. For more information on pre-
123 submissions, please see “Requests for Feedback on Medical Device Submissions: The Pre-
124 Submission Program and Meetings with Food and Drug Administration Staff”
125 ([http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceD](http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM311176.pdf)
126 [ocuments/UCM311176.pdf](http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM311176.pdf)).
127

128 This guidance does not address or discuss device-specific functional testing, such as wear
129 testing, impingement testing, or interconnection strength testing. If you have any questions
130 on these topics, please refer to the recommendations in any applicable device-specific
131 guidance, when available, or contact the appropriate review branch within the Division of
132 Orthopedic Devices.
133

134 **III. Types of UHMWPE Materials**

135
136 There are currently three types of UHMWPE materials in wide-spread clinical use in
137 orthopedics: conventional UHMWPE, highly crosslinked UHMWPE, and vitamin E containing
138 highly crosslinked UHMWPE. A fourth type of UHMWPE, non-conventional UHMWPE,
139 consists of any other UHMWPE material not currently in widespread use.
140

141 **A. Conventional UHMWPE**

142
143 Conventional UHMWPE is made from UHMWPE powder and has been exposed to a total
144 radiation dose less than 40 kilograys (kGy). This material is typically terminally sterilized by
145 gamma radiation or by non-ionizing sterilization methods.
146

147 **B. Highly Crosslinked UHMWPE (XLPE)**

148
149 XLPE is made from UHMWPE powder that has been subjected to total doses of gamma
150 and/or electron beam ionizing radiation greater than 40 kGy¹ for the purpose of generating

¹ ASTM F2565 “Standard Guide for Extensively Irradiation-Crosslinked Ultra-High Molecular Weight Polyethylene Fabricated Forms for Surgical Implant Applications.”

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151 crosslinks within the material. Following crosslinking, the material is either thermally
152 annealed, mechanically annealed, or both, to reduce free radicals. Thermal annealing can
153 either be below the melting point of the crystals in the material (typically less than 130°C) or
154 above the melting point (typically greater than 135°C). This material is typically terminally
155 sterilized by a non-ionizing sterilization method.

156
157 **C. Vitamin E Highly Crosslinked UHMWPE (VEPE)**
158

159 VEPE is made from UHMWPE powder, has α -tocopherol (an isomer of Vitamin E) added to
160 the material, and has been exposed to a radiation dose greater than 40 kGy. Antioxidants are
161 typically added to the material in one of two ways, either by soaking UHMWPE in a solution
162 containing the antioxidant or by blending the UHMWPE powder with the antioxidant prior to
163 material consolidation. Similar to XLPE, following crosslinking, the material is either
164 thermally annealed, mechanically annealed, or both, to reduce free radicals. Thermal
165 annealing for this material is typically below the melting point, since elimination of all
166 measurable free radicals is not needed to prevent material oxidation due to the presence of
167 antioxidants.

168
169 **D. Non-Conventional UHMWPE**
170

171 Non-conventional UHMWPE is a polyethylene material other than the three material types
172 discussed above. Examples may include, but are not limited to, materials that are made from
173 lower-molecular weight polyethylenes that have been extensively crosslinked, porous
174 polyethylenes, or polyethylenes whose surfaces have been modified.

175
176 Regardless of the submission type (510(k), *de novo*, PMA, HDE, or IDE) or material type, FDA
177 recommends that you provide the following general information regarding the UHMWPE
178 material to establish the type of UHMWPE in use:

- 179
- 180 • Starting resin (e.g., GUR 1020, GUR 1050, HiMont 1900);
 - 181 • Concentration and identification of antioxidant or other additives (in weight percent (wt.%)
182 and parts per million (ppm));
 - 183 • Resin consolidation method (e.g., ram extrusion, compression molding, etc.);
 - 184 • Radiation dose and type (e.g., gamma, electron beam, etc.);
 - 185 • Time and temperature of all post-consolidation thermal anneals (e.g., to reduce free radicals,
186 relieve internal stresses, homogenize dopant concentration, etc.);
 - 187 • Compression ratio of all mechanical anneals; and
 - 188 • Terminal sterilization method.
- 189

190 **IV. Material Characterization**
191

192 Depending on the type of UHMWPE, different mechanical and chemical characterization should
193 be provided, as discussed in more detail below. This characterization information is summarized
194 in Appendix 2. Appendix 3 provides information that should be provided in the test method
195 reports as discussed below. In cases where you believe the information or testing detailed in this

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196 document do not apply to your device, you should provide a rationale detailing why you are not
197 providing the recommended information or testing.

198
199 For some of the properties, such as tensile properties, impact resistance, and density, acceptance
200 criteria are set forth in ASTM F648, “Standard Specification for Ultra-High-Molecular-Weight
201 Polyethylene Powder and Fabricated Form for Surgical Implants.” If the material meets the
202 acceptance criteria, no additional information will typically be requested. However, if the
203 material’s properties are below the acceptance criteria, then additional information should be
204 provided. For example, for a 510(k), a comparison to a valid predicate device with a similar
205 UHMWPE material (and properties) for the same intended use is recommended; for a *de novo* or
206 PMA, a sound scientific rationale including supporting literature, clinical study results, etc.,
207 should be provided to support that the device is reasonably safe and effective; and for an HDE or
208 IDE, adequate information to demonstrate the safety of the material for its intended use should
209 be provided (e.g., literature, comparison to the control, animal studies, etc.).

210
211 We recommend that you characterize the following properties of the material: crosslink density,
212 *trans*-vinylene index (TVI), oxidation index (OI), crystallinity, melting temperature, and free
213 radical concentration. If the measured values lie within the normal range, determined by
214 comparison to literature (i.e., for *de novo*, PMA, HDE, or IDE) or a predicate device with the
215 same intended use (i.e., 510(k)), no additional information will typically be requested. However,
216 for some properties, FDA recommends that certain results be achieved. For example, TVI should
217 demonstrate that the radiation dose has been absorbed consistently throughout the sample. OI
218 testing should show levels of oxidation that are stable from pre- to post-accelerated aging and are
219 not expected to adversely affect the material’s mechanical properties.² Free radical concentration
220 of materials annealed above the melting temperature should have no detectable free radicals.

221
222 Finally, some of the properties, such as biaxial mechanical properties, fatigue crack propagation
223 resistance, and consolidation, are comparative in nature. When submitted in a 510(k), the results
224 should be compared to a predicate device with the same intended use. When submitted in a *de*
225 *novo*, PMA, HDE, or IDE, the results for these properties and their anticipated impact on device
226 performance should be thoroughly discussed and supported with available literature and a
227 scientific rationale and incorporated into the benefit/risk analysis for the device.

228

229 **A. Conventional UHMWPE**

230

231 We recommend you consider the information to characterize conventional UHMWPE
232 summarized in Table 2 of the current, FDA-recognized standard ASTM F648, “Standard
233 Specification for Ultra-High-Molecular-Weight Polyethylene Powder and Fabricated Form
234 for Surgical Implants.” Please provide the following mechanical properties of your
235 conventional UHMWPE in your submission to FDA:

236

- 237 • Tensile properties (e.g., yield strength, ultimate tensile strength, and elongation at break);
- 238 • Impact resistance (either Charpy or Izod); and

² Currier, Barbara H., et al. “In Vivo Oxidation of γ -Barrier–Sterilized Ultra–High-Molecular-Weight Polyethylene Bearings.” *The Journal of arthroplasty* 22.5 (2007): 721-731.

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- 239 • Density

240

241 Standard test methods typically used to assess these properties include:

242

- 243 • ASTM D638 “Standard Test Method for Tensile Properties of Plastics”
- 244 • ASTM D792 “Standard Test Methods for Density and Specific Gravity (Relative
- 245 Density) of Plastics by Displacement”
- 246 • ASTM D1505 “Standard Test Method for Density of Plastics by the Density-Gradient
- 247 Technique”
- 248 • Annex 1 of ASTM F648 “Standard Specification for Ultra-High-Molecular-Weight
- 249 Polyethylene Powder and Fabricated Form for Surgical Implants”
- 250 • ISO 11542-2 “Ultra-high-molecular-weight polyethylene (PE-UHMW) moulding and
- 251 extrusion materials -- Part 2: Preparation of test specimens and determination of
- 252 properties”

253

254 **B. Highly Crosslinked UHMWPE (XLPE)**

255

256 XLPE primarily differs from conventional UHMWPE in that it absorbs a relatively larger

257 radiation dose and is then annealed. These differences alter the mechanical, physical, and

258 chemical properties of the material, and these properties should be characterized. In addition

259 to the information that is requested for conventional UHMWPE in Section IV.A, please

260 provide the following information in your submission to FDA:

261

- 262 • Comparison of the total absorbed radiation dose to the dose of a legally marketed device
- 263 with the same intended use;
- 264 • Percent crystallinity;
- 265 • Melting temperature;
- 266 • Biaxial mechanical properties (ultimate load, ultimate displacement, work to failure);
- 267 • Post-accelerated aging oxidation index throughout the sample;
- 268 • *Trans*-vinylene index throughout the sample;
- 269 • Crosslink density;
- 270 • Fatigue resistance crack propagation testing ($\Delta K_{inception}$, Paris exponent, Paris
- 271 coefficient); and
- 272 • Free radical concentration.

273

274 The following current, FDA-recognized standards describe test methods typically used to

275 assess this information:

276

- 277 • ASTM E647 “Standard Test Method for Measurement of Fatigue Crack Growth Rates”
- 278 • ASTM F2003 “Standard Practice for Accelerated Aging of Ultra-High Molecular Weight
- 279 Polyethylene after Gamma Irradiation in Air”
- 280 • ASTM F2102 “Standard Guide for Evaluating the Extent of Oxidation in Ultra-High-
- 281 Molecular-Weight Polyethylene Fabricated Forms Intended for Surgical Implants”
- 282 • ASTM F2183 “Standard Test Method for Small Punch Testing of Ultra-High Molecular
- 283 Weight Polyethylene Used in Surgical Implants”

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- 284 • ASTM F2214 “In Situ Determination of Network Parameters of Crosslinked Ultra High
285 Molecular Weight Polyethylene (UHMWPE)”
- 286 • ASTM F2381 “Standard Test Method for Evaluating Trans-Vinylene Yield in Irradiated
287 Ultra-High Molecular Weight Polyethylene Fabricated Forms Intended for Surgical
288 Implants by Infrared Spectroscopy”
- 289 • ASTM F2625 “Standard Test Method for Measurement of Enthalpy of Fusion, Percent
290 Crystallinity, and Melting Point of Ultra-High-Molecular Weight Polyethylene by Means
291 of Differential Scanning Calorimetry”

292
293 There currently is no standard test method for determining free radical concentration. Free
294 radical concentration is typically assessed using electron paramagnetic resonance (EPR)
295 spectroscopy, also known as electron spin resonance (ESR) spectroscopy. The test method
296 you choose should be fully described and justified in your submission. For materials
297 annealed above the melting temperature, the free radical concentration should yield no
298 detectable free radicals. When submitted in a 510(k), the results should be compared to a
299 predicate device with the same intended use. When submitted in a *de novo*, PMA, HDE, or
300 IDE, the results of free radical concentration testing and their anticipated or known impact on
301 device performance should be thoroughly discussed and supported with available literature
302 and scientific rationale. We recommend that, in addition to supplying the measured
303 concentration of free radicals, spectrographs showing the free radical spectra should also be
304 provided.

305 306 **C. Vitamin E, Highly Crosslinked UHMWPE (VEPE)**

307
308 VEPE primarily differs from XLPE in that an antioxidant (Vitamin E, α -tocopherol) is added
309 either before or after exposure to radiation and the material is not annealed above its melting
310 temperature. In addition to the information that is requested for conventional UHMWPE in
311 Section IV.A, and XLPE UHMWPE in Section IV.B., the following additional
312 characterization information should be provided to address specific concerns raised by the
313 addition of Vitamin E:

- 314
315 1. Stability of antioxidant in the material: It is possible that the added antioxidant may
316 leech out over time due to loading and/or in-vivo fluids that act as a solvent for Vitamin
317 E. The loss of the antioxidant may undermine the material’s oxidation resistance. This
318 concern can be addressed by demonstrating adequate oxidation resistance following wear
319 testing where the material is exposed to clinically relevant loads and solvents. When
320 submitted in a 510(k), the results should be compared to a predicate device with the same
321 intended use. Alternatively, it may be possible to address this concern by supplying a
322 scientific rationale comparing the antioxidant concentration, radiation dose, and radiation
323 type (i.e., gamma or electron beam) to a legally marketed predicate device with the same
324 intended use.

325
326 When submitted in a *de novo*, PMA, HDE, or IDE, the results of the oxidation resistance
327 testing, and their anticipated or known impact on device performance, should be
328 thoroughly discussed and supported with available literature and a scientific rationale.

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- 330 2. Effect of antioxidant on the wear mechanism: α -tocopherol is a small molecule that can
331 act as a plasticizer and may affect the mechanism by which the material wears. When
332 submitting a 510(k), FDA recommends that you assess if the wear mechanism has been
333 altered by characterizing the wear debris from wear testing of the new device and a
334 legally marketed predicate device with the same intended use per ASTM F1877,
335 “Standard Practice for Characterization of Particles.” In addition, wear testing under
336 normal and abrasive wear conditions should be performed. An analysis of the wear
337 surfaces in terms of type and extent of damage modes should also be provided.
338 Alternatively, it may be possible to address this concern by supplying a scientific
339 rationale comparing the antioxidant concentration, radiation dose, and radiation type (i.e.,
340 gamma or electron beam) to an antioxidant-containing predicate device.

341
342 When submitting a *de novo*, PMA, HDE, or IDE, FDA recommends that you assess if the
343 wear mechanism has been altered by characterizing the wear debris from wear testing of
344 the new device per ASTM F1877. In addition, wear testing of the new device under
345 normal and abrasive wear conditions, and an analysis comparing the type and extent of
346 damage to the wear surfaces should be provided. The anticipated, or known, impact of
347 the wear test results on device performance should be thoroughly discussed and
348 supported with available literature and scientific rationale (e.g., comparison to the
349 control, animal studies, etc.).

350
351 The following current, FDA-recognized standards may be helpful when performing wear
352 testing:

- 353 • ASTM F732 “Standard Test Method for Wear Testing of Polymeric Materials Used
354 in Total Joint Prostheses”
- 355 • ASTM F1714 “Standard Guide for Gravimetric Wear Assessment of Prosthetic Hip
356 Designs in Simulator Devices”
- 357 • ASTM F2423 “Standard Guide for Functional, Kinematic, and Wear Assessment of
358 Total Disc Prostheses”
- 359 • ISO 14242 “Implants for surgery -- Wear of total hip joint prostheses”
- 360 • ISO 14243 “Implants for surgery -- Wear of total knee joint prostheses”
- 361 • ISO 18192 “Implants for surgery -- Wear of total intervertebral spinal disc
362 prostheses”

363
364 Please refer to FDA’s consensus standards database
365 (<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfStandards/search.cfm>) to identify
366 the most current version of the standard recognized by FDA, as well as the extent of
367 recognition.

- 368
369 3. Effect of antioxidant on material consolidation: When an antioxidant is added to the
370 UHMWPE powder prior to consolidation, its presence may hinder powder consolidation.
371 Therefore, FDA recommends that the consolidation of the material be assessed as
372 described in Annex 2 of the current, FDA-recognized standard ASTM F648. When
373 submitting a 510(k), the consolidation results of the new material should be compared to
374 a predicate device with the same intended use.

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376 When submitting a *de novo*, PMA, HDE, or IDE, the anticipated impact of the
377 consolidation results on device performance should be thoroughly discussed and
378 supported with available literature and a scientific rationale.
379

380 The concerns discussed in this section may also apply to UHMWPE materials containing
381 antioxidants other than α -tocopherol. If additional concerns not discussed above are
382 identified based on the material characterization, additional information, such as obtaining
383 clinical data, may be recommended to mitigate these concerns.
384

385 **D. Non-Conventional UHMWPE**
386

387 As non-conventional UHMWPE is not clearly defined, it is not possible to provide specific
388 testing recommendations at this time. We encourage you to submit a pre-submission with
389 specific questions to be discussed for non-conventional UHMWPE devices. For additional
390 information regarding the pre-submission process please refer to the Guidance for Industry
391 and FDA Staff, “Requests for Feedback on Medical Device Submissions: The Pre-
392 Submission Program and Meetings with Food and Drug Administration Staff”
393 (<http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM311176.pdf>).
394

395 In addition to the testing discussed above, we recommend you consider the following points:
396

- 397 • Compression properties of the material;
 - 398 • Crystal size and structure;
 - 399 • Creep resistance; and
 - 400 • Durability of modified surface.
- 401

402 Clinical data may be requested to support the safe and effective use of non-conventional
403 UHMWPE for its intended use.
404

405 **V. Biocompatibility**
406

407 For Class II devices, if the subject device has *identical* UHMWPE materials and manufacturing
408 processes as a predicate device, with the same type and duration of patient contact, we
409 recommend that you identify the predicate device as part of your biocompatibility evaluation in
410 lieu of providing specific testing. If your device differs in terms of material or manufacturing
411 process, we recommend that you evaluate the biocompatibility of the materials in your device as
412 described in Blue Book Memorandum #G95-1, Use of International Standard ISO-10993,
413 “Biological Evaluation of Medical Devices Part 1: Evaluation and Testing”, dated May 1, 1995,
414 and available at <http://www.fda.gov/RegulatoryInformation/Guidances/ucm080735.htm>.
415

416 For *de novos* and class III devices, PMA/HDE/IDE, we recommend you evaluate
417 biocompatibility of UHMWPE materials in accordance with the above referenced Blue Book
418 Memorandum #G95-1.
419
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421 The addition of α -tocopherol (or other antioxidants) raises concerns regarding the
422 biocompatibility of the antioxidant itself and the biocompatibility of radiation-induced
423 degradation products. The second concern generally applies to materials that contain the
424 antioxidant and have been exposed to radiation. For tissue/bone contacting, permanently
425 implanted devices, FDA recommends that you submit biocompatibility testing as per Blue Book
426 Memorandum #G95-1, referenced above.

427
428 In addition, as the body's response to wear debris is critical, the effect of these extracts on the
429 biological response to wear debris should be investigated. This may be accomplished via
430 injecting wear particles from the wear simulator or other representative particles into the
431 pertinent joint of an appropriate animal model. The results of the test should be compared to a
432 control. We encourage you to submit a pre-submission with a testing protocol for review prior to
433 initiating animal testing. As an alternative to the animal testing, it may be possible to
434 demonstrate that the antioxidant and its degradation products are not bioavailable. This may be
435 accomplished by performing exhaustive extractions on the powdered material. Extractions
436 should employ both polar and non-polar solvents. The extract solution should be compared to a
437 legally marketed device to determine if new extracts are occurring. The analysis should be
438 performed with both liquid chromatography mass spectroscopy (LCMS) and gas
439 chromatography mass spectroscopy (GCMS) to capture all non-volatile, semi-volatile, and
440 volatile residues. If new residues or larger amounts of residues are found, their effect may be
441 assessed via a toxicological risk assessment. If the extracts cannot be adequately identified or if
442 adequate toxicological data for the residues do not exist, the animal testing discussed above
443 should be provided.

444

445 **VI. Shelf Life**

446

447 FDA recommends that you do not package UHMWPE containing unstable free radicals in air-
448 permeable packaging because shelf-aging may degrade the mechanical properties of UHMWPE.
449 The shelf life of UHMWPE that has not been exposed to ionizing radiation, or that has been
450 irradiated but packaged in inert environment, is limited by the integrity of the packaging
451 material.

452

Appendix 1. List of Applicable Device Regulations*

Class II

1. 888.3040 Smooth or threaded metallic bone fixation fastener.
2. 888.3100 Ankle joint metal/composite semi-constrained cemented prosthesis.
3. 888.3110 Ankle joint metal/polymer semi-constrained cemented prosthesis.
4. 888.3150 Elbow joint metal/polymer constrained cemented prosthesis.
5. 888.3160 Elbow joint metal/polymer semi-constrained cemented prosthesis.
6. 888.3310 Hip joint metal/polymer constrained cemented or uncemented prosthesis.
7. 888.3340 Hip joint metal/composite semi-constrained cemented prosthesis.
8. 888.3350 Hip joint metal/polymer semi-constrained cemented prosthesis.
9. 888.3353 Hip joint metal/ceramic/polymer semi-constrained cemented or nonporous uncemented prosthesis.
10. 888.3358 Hip joint metal/polymer/metal semi-constrained porous-coated uncemented prosthesis.
11. 888.3390 Hip joint femoral (hemi-hip) metal/polymer cemented or uncemented prosthesis.
12. 888.3490 Knee joint femorotibial metal/composite non-constrained cemented prosthesis.
13. 888.3500 Knee joint femorotibial metal/composite semi-constrained cemented prosthesis.
14. 888.3510 Knee joint femorotibial metal/polymer constrained cemented prosthesis.
15. 888.3520 Knee joint femorotibial metal/polymer non-constrained cemented prosthesis.
16. 888.3530 Knee joint femorotibial metal/polymer semi-constrained cemented prosthesis.
17. 888.3535 Knee joint femorotibial (uni-compartmental) metal/polymer porous-coated uncemented prosthesis.
18. 888.3540 Knee joint patellofemoral polymer/metal semi-constrained cemented prosthesis.
19. 888.3560 Knee joint patellofemorotibial polymer/metal/polymer semi-constrained cemented prosthesis.
20. 888.3565 Knee joint patellofemorotibial metal/polymer porous-coated uncemented prosthesis.
21. 888.3650 Shoulder joint metal/polymer non-constrained cemented prosthesis.
22. 888.3660 Shoulder joint metal/polymer semi-constrained cemented prosthesis.
23. 888.3670 Shoulder joint metal/polymer/metal non-constrained or semi-constrained porous-coated uncemented prosthesis.
24. 888.3800 Wrist joint metal/polymer semi-constrained cemented prosthesis.
25. 888.3810 Wrist joint ulnar (hemi-wrist) polymer prosthesis.

Class III

26. 888.3120 Ankle joint metal/polymer non-constrained cemented prosthesis.
27. 888.3200 Finger joint metal/metal constrained uncemented prosthesis.
28. 888.3220 Finger joint metal/polymer constrained cemented prosthesis.
29. 888.3410 Hip joint metal/polymer or ceramic/polymer semiconstrained resurfacing cemented prosthesis.

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- 496 30. 888.3550 Knee joint patellofemoral tibial polymer/metal/metal constrained cemented
497 prosthesis.
498 31. 888.3640 Shoulder joint metal/metal or metal/polymer constrained cemented prosthesis.
499 32. 888.3680 Shoulder joint glenoid (hemi-shoulder) metallic cemented prosthesis.

500

501 The following are post-amendments class III devices, listed according to their three-letter FDA
502 assigned product code.

503 33. NJL – Prosthesis, Knee, Patellofemoral tibial, Semi-Constrained, Metal/Polymer, Mobile
504 Bearing

505 34. NRA – Prosthesis, Knee, Femoral tibial, Unicompartmental, Semi-constrained,
506 Metal/Polymer, Mobile Bearing

507 35. MJO – Prosthesis, Intervertebral Disc

508

509 * Please note that this document may also be relevant to new device types that contain
510 UHMWPE for which the above regulations or product codes may not apply.

511

512 **Appendix 2. Summary of Characterization Information for**
513 **Various UHMWPE Materials***

Property	Conventional	XLPE	VEPE	Acceptance criteria
Tensile Properties	X	X	X	See ASTM F648
Crystallinity Melting Temperature		X	X	Comparison to predicate (510(k)) Comparison to literature (PMA, IDE)
Impact Resistance	X	X	X	See ASTM F648
Biaxial Mechanical Properties		X	X	Comparison to predicate (510(k)) Comparison to literature, scientific rationale (PMA, IDE)
<i>Trans</i> -vinylene index		X	X	Uniform throughout sample
Post-accelerated Aging Maximum Oxidation Index		X	X	Stable pre- and post-aging
Density	X	X	X	See ASTM F648
Crosslink Density		X	X	N/A
Fatigue Resistance		X	X	Comparison to predicate (510(k)) Comparison to literature, scientific rationale (PMA, IDE)
Free Radical Concentration		X	X	N/A
Consolidation testing			X	Comparison to predicate (510(k)) Comparison to literature, scientific rationale (PMA, IDE)

514
515 * Please note that this table is not all-inclusive. Please refer to the main body of this guidance
516 document for additional information that should be provided to characterize the material.
517

518 **Appendix 3. Test Reporting**

519 We recommend that you present test data in a complete test report that includes the elements
520 described below.

521 **A. Test Facility Information**

522 You should provide the name and address of the facility performing the test. You should also
523 provide the names of the study director, investigators, and supervisors participating in the
524 study, as well as the dates that testing was initiated and completed and the date the final
525 report was completed.

526 **B. Test Objectives**

527 You should state the purpose of the test.

528 **C. Materials and Methods**

529 You should describe the samples tested, including the differences, if any, in the composition,
530 material structure, and processing methods between the test samples and your device. You
531 should also submit your test method or protocol. It should contain enough detail so an
532 individual familiar with the appropriate standard test method can interpret the test results.

533 **D. Protocol Deviations**

534 You should describe any protocol deviations and their effect on the ability of the test data to
535 support your conclusions.

536 **E. Test Parameters and Acceptance Criteria**

537 You should report the test parameters and acceptance criteria that you use, including:

- 538 • an explanation of and rationale for critical test parameters;
- 539 • specifications or acceptance and rejection criteria; and
- 540 • a rationale that the specifications or acceptance and rejection criteria you selected are
541 adequate for the clinical use of your device.

542 **F. Experimental Data**

543 We recommend that you submit all experimental data that includes enough information to
544 support an independent analysis and conclusion.

545 **G. Test Results**

546 You should summarize your test results and include a statistical analysis, where appropriate.
547 The results should include a mean plus or minus standard error, or standard deviation. You
548 should provide a statistical analysis of the differences between the test results, where
549 appropriate.

550 **H. Data Analysis**

551 You should analyze the data, including any outlying points and anomalous results, and
552 explain whether the data meet acceptance criteria.

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553 **I. Conclusions**

554 We recommend that you describe the conclusions drawn from the test results and the clinical
555 significance of the conclusions.

556 **J. Bibliography**

557 You should provide a bibliography and include copies of all cited references pertinent to the
558 report.