

1 **Testing of Retroviral Vector-Based**
2 **Human Gene Therapy Products for**
3 **Replication Competent Retrovirus**
4 **During Product Manufacture and**
5 **Patient Follow-up**

8 **Draft Guidance for Industry**

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12 Submit one set of either electronic or written comments on this draft guidance by the date
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14 Submit electronic comments to <https://www.regulations.gov>. Submit written comments to the
15 Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm.
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17 the notice of availability that publishes in the *Federal Register*.

18 Additional copies of this guidance are available from the Office of Communication, Outreach
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20 MD 20993-0002, or by calling 1-800-835-4709 or 240-402-8010, or email ocod@fda.hhs.gov, or
21 from the Internet at
22 <https://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.

23 For questions on the content of this guidance, contact OCOD at the phone numbers or email
24 address listed above.

25 **U.S. Department of Health and Human Services**
26 **Food and Drug Administration**
27 **Center for Biologics Evaluation and Research**
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61 **Testing of Retroviral Vector-Based Human Gene Therapy Products**
62 **for Replication Competent Retrovirus During Product Manufacture**
63 **and Patient Follow-up**
64

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66 **Draft Guidance for Industry**
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69 *This draft guidance, when finalized, will represent the current thinking of the Food and Drug*
70 *Administration (FDA or Agency) on this topic. It does not establish any rights for any person*
71 *and is not binding on FDA or the public. You can use an alternative approach if it satisfies the*
72 *requirements of the applicable statutes and regulations. To discuss an alternative approach,*
73 *contact the FDA staff responsible for this guidance as listed on the title page.*

74
75
76 **I. INTRODUCTION**
77

78 The potential pathogenicity of replication competent retrovirus (RCR) requires vigilant testing to
79 exclude the presence of RCR in vector-based human gene therapy products (Ref. 1). We, the
80 FDA, are providing you, sponsors of retroviral vector-based human gene therapy products,
81 recommendations regarding the testing for RCR during the manufacture of retroviral vector-
82 based gene therapy products, and during follow-up monitoring of patients who have received
83 retroviral vector-based gene therapy products. Recommendations include the identification and
84 amount of material to be tested as well as general testing methods. In addition, recommendations
85 are provided for monitoring patients for evidence of retroviral infection after administration of
86 retroviral vector-based gene therapy products.
87

88 The *Retroviridae* family is composed of two subfamilies: *Orthoretrovirinae*, which consists of
89 six genera of viruses: *Alpharetrovirus*, *Betaretrovirus*, *Gammaretrovirus*, *Deltaretrovirus*,
90 *Epsilonretrovirus*, and *Lentivirus*, and *Spumaretrovirinae* (foamy viruses) which has recently
91 been updated to consist of five genera of viruses: *Bovispumavirus*, *Equispumavirus*,
92 *Felispumavirus*, *Prosimiispumavirus*, and *Simiispumavirus* (Refs. 2, 3). RCR can be generated
93 during the manufacture of a retrovirus vector from any of these genera. At this time, the most
94 common retrovirus-based vectors are constructed from gammaretroviruses or lentiviruses, and
95 therefore further details are provided for these genera. Historically, lentivirus RCR is referred to
96 as replication competent lentivirus (RCL).¹
97

98 This guidance, when finalized, is intended to supersede the guidance entitled, “Guidance for
99 Industry: Supplemental Guidance on Testing for Replication Competent Retrovirus in Retroviral
100 Vector Based Gene Therapy Products and During Follow-up of Patients in Clinical Trials Using
101 Retroviral Vectors” dated November 2006 (2006 RCR Guidance) (Ref. 4). This guidance, when

¹ RCR and RCL are synonymous for the purposes of this guidance.

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102 finalized, is also intended to supplement the following two guidances: the “Long Term Follow-
103 Up After Administration of Human Gene Therapy Products; Draft Guidance for Industry” dated
104 July 2018 (Long Term Follow-up Draft Guidance) and “Chemistry, Manufacturing, and Control
105 (CMC) Information for Human Gene Therapy Investigational New Drug Applications (INDs);
106 Draft Guidance for Industry” dated July 2018 (CMC Draft Guidance).²

107
108 FDA’s guidance documents, including this guidance, do not establish legally enforceable
109 responsibilities. Instead, guidances describe the FDA’s current thinking on a topic and should be
110 viewed only as recommendations, unless specific regulatory or statutory requirements are cited.
111 The use of the word *should* in FDA’s guidances means that something is suggested or
112 recommended, but not required.

113

114

115 **II. BACKGROUND**

116

117 FDA’s Center for Biologics Evaluation and Research (CBER) recommendations for RCR testing
118 during retroviral vector production and patient monitoring were originally developed at a time
119 when clinical experience was limited to a small number of studies using gammaretrovirus
120 vectors (Ref. 5). At that time, the overriding safety concerns associated with the use of retroviral
121 vectors were exemplified by the findings of an animal study involving administration of
122 gammaretroviral vector-transduced bone marrow progenitor cells that had been inadvertently
123 exposed to high-titer RCR, and administered to severely immunosuppressed rhesus monkeys
124 (Ref. 1). In this setting, 3/10 animals developed lymphomas and died within 200 days. The
125 RCR was presumed to be etiologically associated with the disease by virtue of the presence of
126 multiple murine RCR sequences in the lymphomas and an inverse correlation between anti-
127 retroviral antibodies and development of disease (Refs. 6, 7). In contrast, another study in
128 moderately-immunosuppressed cynomolgus monkeys exposed intravenously to RCR showed no
129 signs of disease (Refs. 8, 9).

130

131 More than two decades of experience has generated a substantial amount of data on the safety of
132 retroviral vectors in clinical applications for gene therapy, including experience with different
133 vector designs, vector producing cells, RCR detection assays, and lack of positive results from
134 RCR testing of vector lots, ex vivo transduced cells, and patient samples collected during
135 monitoring. These data have provided the basis for public discussions, including Retroviral
136 Breakout Sessions at the 1996 and 1997 FDA/National Institutes of Health (NIH) Gene Therapy
137 Conferences, the 2010 Cellular, Tissue, and Gene Therapies Advisory Committee meeting (Ref.
138 10), and the 2014 American Society of Gene and Cellular Therapy (ASGCT) Breakout Session

² When finalized, these guidances will represent FDA’s current thinking on the topics.

The Long Term Follow-up Draft Guidance is available at this website:

<https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/CellularandGeneTherapy/UCM610797.pdf>

The CMC Draft Guidance is available at this website:

<https://www.fda.gov/downloads/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/CellularandGeneTherapy/UCM610795.pdf>

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139 on Replication Competent Virus (Ref. 11). In addition, FDA scientists published an evaluation
140 of RCR testing methods associated with the use of retroviral vectors (Ref. 12). During this time,
141 the gene therapy community has improved retroviral vector design to reduce the likelihood of
142 generating RCR during the manufacturing process (Refs. 13, 14). For instance, the likelihood
143 that recombination will generate RCR is reduced by manufacturing vectors using a split plasmid
144 design, where the vector genome is on a separate plasmid from the envelope protein and
145 packaging functions. RCR generation can be further reduced by using more than two plasmids
146 for vector production. Lentiviral vectors have been further modified to remove genes encoding
147 accessory and regulatory proteins, which would cripple the functionality of an RCR in the event
148 an RCR may be generated (Refs. 15, 16).

149

150 *Summary of Revisions from the 2006 RCR Guidance:*

151

152 With consideration of the accrued scientific evidence of safety associated with retroviral vector
153 design and testing, we are revising our current recommendations for RCR testing during
154 retroviral vector-based gene therapy product manufacture and patient monitoring. More
155 specifically, we are no longer recommending RCR testing on working cell banks for retroviral
156 producer cells. We have also revised our recommendations regarding the amount of vector that
157 should be tested (section III.B and Appendix 1-1 of this document). Briefly, rather than testing
158 based on production lot size we are recommending that you test a sufficient amount of vector to
159 demonstrate that your vector contains <1 RCR per patient dose. Additionally, we are
160 recommending that all retroviral vector transduced cell products be tested for RCR, including
161 those cultured for 4 days or less. We have found no convincing evidence that the length of
162 culture time influences the likelihood of RCR development in transduced cells. However, if you
163 have accumulated manufacturing and clinical experience that demonstrates that your transduced
164 cell product is consistently RCR-negative (section III.A.3 of this document), we recommend that
165 you provide this data to support reduction or elimination of testing ex vivo genetically modified
166 cells for RCR. Finally, we have revised our advice for active monitoring of patients following
167 administration of retroviral vector-based products (section IV of this document), and added post-
168 licensure considerations for RCR testing and risk assessment (section VI of this document).

169

170

171 **III. RECOMMENDATIONS FOR PRODUCT TESTING**

172

173 **A. Material for Testing**

174

175 Generally, retroviral vectors are manufactured by collection of supernatant following
176 transient or stable production from cultured cells. RCR may develop at any step during
177 manufacturing, from the initial transfection or transduction steps through production of
178 the retroviral vector supernatant. In addition, the expansion of ex vivo transduced cells in
179 culture provides the potential for amplification of an RCR contaminant that may be below
180 the level of detection in the retroviral vector supernatant. Therefore, current
181 recommendations include testing of material from multiple stages of product manufacture
182 (see Table of this document).

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184 When the vector is produced by transient transfection, the cell banks should be qualified
185 according to the CMC Draft Guidance. Retroviral vector RCR-specific testing
186 requirements are outlined below for the vector supernatant (section III.A.2 of this
187 document), end of production cells (section III.A.2 of this document), and ex vivo
188 transduced cells (section III.A.3 of this document), if applicable.

189
190 We recommend use of a stably-transfected Vector Producer Cell (VPC) bank system,
191 when possible, in order to ensure an adequate and consistent supply of retroviral vector.
192 The generation of a Master Cell Bank (MCB) for the VPC allows for the collection of
193 cells of uniform composition derived from a single cell clone. The Working Cell Bank
194 (WCB) is derived from the MCB, following expansion by serial subculture to a specified
195 passage number (refer to “Points to Consider in the Characterization of Cell Lines Used
196 to Produce Biologicals” dated May 1993)³. When the vector is collected from VPC
197 banks, RCR-specific testing of the VPC MCB (section III.A.1 of this document) is
198 recommended in addition to vector supernatant (section III.A.2 of this document), end of
199 production cells (section III.A.2 of this document), and ex vivo transduced cells (section
200 III.A.3 of this document), if applicable.

201 202 1. Vector Producer Cell Master Cell Bank

203
204 Both cells and supernatant from the VPC MCB should be tested for RCR using a
205 cell line permissive for the RCR that could potentially be generated in a given
206 producer cell line. For example, VPC containing envelopes such as gibbon ape
207 leukemia virus (GALV) envelope or vesicular stomatitis virus glycoprotein
208 (VSV-G) are typically tested on a human cell line. Other retroviral envelopes
209 should be tested on a cell line permissive for infection by the relevant RCR.

210
211 If the VPC MCB was produced using a retroviral vector pseudotyped with an
212 envelope distinct from the clinical vector product, for example, an ecotropic
213 Murine Leukemia Virus (MLV), the potential exists for introduction of an RCR
214 with that distinct envelope. Even though an ecotropic MLV RCR may present a
215 minimal direct safety risk to humans, the presence of any replication-competent
216 genome in the VPC MCB is problematic because of the increased probability of
217 generating an RCR with a human host range through recombination with elements
218 within the VPC.

219
220 Therefore, in cases where VPC are derived, at any step, by transduction with an
221 ecotropic retroviral vector, testing of the MCB for the presence of ecotropic RCR
222 is recommended, in addition to amphotropic RCR testing. For example, VPC
223 possibly containing ecotropic MLV envelope should be tested for RCR on an
224 appropriate cell line, such as that derived from *Mus dunni*, which is permissive to
225

³ <https://www.fda.gov/downloads/BiologicsBloodVaccines/SafetyAvailability/UCM162863.pdf>

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226 infection by ecotropic MLV-like RCR (Ref. 17), except in the case of Moloney
227 murine leukemia virus (MoMLV). Insufficient testing of the VPC MCB may
228 necessitate additional RCR testing of the working cell bank, if applicable.
229

2. Retroviral Vector Supernatant Product and End of Production Cells

230
231 Both retroviral vector supernatant lots and end of production (EOP) cells should
232 be tested for RCR. EOP cells are defined as cells from which a single bulk
233 harvest of retrovirus-containing supernatant is taken or cells from which the last
234 of a serial set of supernatant harvests is taken. This recommendation is based on
235 data and experience reported at the 1997 FDA/NIH Gene Therapy Conference,
236 where it was reported that RCR in vector production lots was not always
237 consistently detected in both vector supernatant and EOP cells. These data
238 support the position that dual testing provides a complementary approach to
239 assuring RCR-free retroviral supernatant.
240

3. Ex Vivo Transduced Cells

241
242 It is possible that RCR may be present in your vector at undetectable levels,
243 which could be amplified during the manufacture of ex vivo transduced cells.
244 Therefore, we recommend that each lot of ex vivo transduced cells and culture
245 supernatant be tested for RCR. This recommendation applies regardless of the
246 length of time that the cells are cultured after transduction, because the length of
247 culture time (e.g., greater than 4 days) has not been shown to strongly influence
248 the likelihood of RCR development.
249

250
251 However, experience with vectors that have been deliberately designed to
252 minimize the likelihood of recombination suggests that amplification of RCR in
253 transduced cells is unlikely for many vectors. If you have accumulated
254 manufacturing and clinical experience that demonstrates that your transduced cell
255 product is consistently RCR-negative (section III.A.3 of this document), we
256 recommend that you provide this data to support reduction or elimination of
257 testing ex vivo genetically modified cells for RCR. We recommend you include a
258 discussion of safety features in the vector design that reduces the likelihood of
259 generating RCR, a description of vector testing in accordance with current
260 guidance, and your experience manufacturing RCR-free cell products. You may
261 provide information supporting removal of RCR testing for lot release of ex vivo
262 transduced cells in your IND (i.e., in the section titled: Manufacturing Process
263 Development Section 3.2.S.2.6 or 3.2.P.2.3 of the electronic Common Technical
264 Document (eCTD)) or discuss with the FDA during your pre-IND meeting.
265

266
267 If the ex vivo transduced cell product is not tested for RCR at lot release, we
268 recommend archiving a sample for at least 6 months after the product expiration
269 date. We recommend that you retain a sufficient amount (section III.B.2 and
270 Appendix of this document) of the cell product to perform RCR testing in the

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future if necessary (section IV of this document). Samples should be archived with appropriate safeguards to ensure long-term storage (e.g., a monitored freezer alarm storage system) and an efficient system for the prompt linkage and retrieval of the stored samples with the medical records of the patient and the production lot records.

Table. Recommendations for Product Testing

Material to be Tested	Frequency of Testing	Testing for Expected RCR ¹	Testing for Ecotropic RCR
		Cells and Supernatant	Cells and Supernatant
MCB -Derived by transduction with ecotropic vector -Derived by transfection of retroviral vector plasmid	One-time	Yes Yes	Yes NA ²
Vector Harvest Material -EOP cells -Vector supernatant	Lot release	Yes Yes	NA
Ex vivo Transduced Cells	Lot release	Yes OR archive ³	NA

¹ RCR testing should be based on the type of vector envelopes used. Consult text in section III.A.1 of this document for details.

² NA, not applicable.

³ If an agreement reached with FDA to discontinue testing; consult text in section III.A.3 of this document.

B. Amounts for Testing

1. Supernatant Testing

Historically, we have recommended that it would be appropriate to test at least 5% of the total supernatant, or 300 mL, to ensure absence of RCR. This volume was set based on our experience at the time with gammaretrovirus vector production lot size, reference material, and patient dosing. From this, we have concluded that current manufacturing experience indicates that <1 RCR/dose equivalent is a tolerable and achievable level for retroviral vector preparations intended for clinical use. We recommend that sufficient supernatant be tested to ensure a 95% probability of detection of RCR if present at a concentration of 1 RCR/dose equivalent. A more detailed explanation of the rationale and the mathematical formulas applied is found in Appendix 1-1 of this document. Using

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299 the provided formula, you should detail the amount to be tested in the description
300 of RCR testing procedures included in your IND (in the eCTD section:
301 Analytical Procedures 3.2.S.4.2 or 3.2.P.4.2).
302

303 To support the underlying assumption that a single retrovirus will be detected, one
304 should determine a volume in which a single RCR can be detected by an
305 individual RCR assay. Based on the determination of this volume, the total test
306 volume should then be divided into replicate samples, each containing the volume
307 demonstrated to detect a single RCR. When large volumes or high titer retroviral
308 vector preparations are used, interference in RCR detection may occur. Sponsors
309 are encouraged to develop more sensitive detection methods that overcome the
310 interference effect of high titer retroviral vector preparations in order to use the
311 alternative approach.
312

2. Cell Testing

313 We recommend that you test 1% or 10^8 (whichever is less) pooled vector-
314 producing cells or ex vivo transduced cells by co-culture with a permissive cell
315 line. This recommendation is unchanged from previous recommendations and is
316 consistent with public consensus expressed at the 1996 and 1997 FDA/NIH Gene
317 Therapy Conferences.
318
319
320

C. Assays for Testing

321
322 Vector supernatant assays should include culture of supernatant on a permissive cell line
323 for a minimum of five passages in order to amplify any potential RCR present. Similarly,
324 cell testing should be accomplished by co-culture with a permissive cell line for a
325 minimum of five passages in order to amplify any potential RCR present. Sponsors are
326 encouraged to develop RCR assays that support virus entry, amplification, and particle
327 production specific to vector design (e.g., *Mus dunni* for ecotropic MLV (Ref. 17), C8166
328 cells for VSV-G pseudotyped HIV-1 (Ref. 18), or 293F-DCSIGN-CD4 cells for E1001
329 enveloped HIV-1 (Ref. 19). The amplified material may then be detected in an
330 appropriate indicator cell assay (e.g., PG-4 S+L- (Ref. 20), XC (Ref. 21)), or by PERT
331 (Ref. 22), or by psi-gag or VSV-G polymerase chain reaction (PCR) (Ref. 23), or by a
332 commercially available p24 ELISA. All assays should include relevant positive and
333 negative controls to assess specificity, sensitivity, and reproducibility of the detection
334 method employed. Each lot of retroviral vector supernatant should be tested for
335 inhibitory effects on detection of RCR by using positive control samples that are added to
336 vector supernatant.
337
338

339 Alternative methods, such as PCR, may be appropriate for lot release testing of ex vivo
340 transduced cells in lieu of culture based methods; particularly, when time constraints are
341 present or when you have accumulated sufficient data with the culture based methods.
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343 Any alternative methods should be developed in consultation with CBER. Data on
344 sensitivity, specificity and reproducibility should be provided to support the use of
345 alternative methods.
346

347 For assay development, you should develop a reference standard for use as a positive
348 control and for method validation. The reference standard can be used for determination
349 of the volume in which a single RCR can be determined. A gammaretrovirus RCR
350 standard has been developed, its infectious titer has been determined, and it is available
351 through the American Type Culture Collection (ATCC). Refer to Appendices 1-2 and 1-
352 3 of this document for detailed information about the gammaretrovirus RCR standard and
353 how it can be used to determine the replicate size and number for RCR detection.
354 Standards have not yet been developed for other retrovirus vectors. We recommend that
355 you develop an in-house reference standard that represents your clinical vector attributes,
356 including, the genetic background, envelope protein, and deletion of accessory proteins.
357 The reference standard should be characterized for growth kinetics in the cells used
358 during the RCR assay and tested for stability. For more information on reference
359 materials, please refer to FDA’s “Analytical Procedures and Methods Validation for
360 Drugs and Biologics; Guidance for Industry,” dated July 2015.⁴
361
362

363 **IV. RECOMMENDATIONS FOR PATIENT MONITORING**

364
365 Previous FDA guidance for active patient monitoring recommended RCR testing and/or
366 archiving of patient samples at regular intervals for fifteen (15) years. To date, RCR or delayed
367 adverse events related to RCR have not been reported in patients who have received retrovirus-
368 based gene therapies (Refs. 5, 25, 26, 27, 28).
369

370 **A. RCR Testing Schedule**

371
372 We recommend the monitoring schedule to include analysis of patient samples at the
373 following time points: pre-treatment, followed by testing at three, six, and twelve months
374 after treatment, and yearly for up to fifteen (15) years. However, if all post-treatment
375 assays are negative during the first year, collection of the yearly follow-up samples may
376 be discontinued. If any post-treatment samples are positive, further analysis of the RCR,
377 and more extensive patient follow-up should be undertaken, in consultation with CBER.
378

379 After you have accumulated patient monitoring data with your product, you may provide
380 a rationale to discontinue active testing of patient samples for RCR in the safety
381 monitoring section of your clinical protocol. The rationale may include a discussion of
382 safety features in the vector design that reduce the likelihood of generating RCR, as well
383 as results of your previous clinical testing experience.
384

⁴ <https://www.fda.gov/downloads/drugs/guidances/ucm386366.pdf>

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385 As part of the long-term follow-up protocol, a yearly long-term follow-up clinical report⁵
386 should be submitted to the IND. This history should be targeted towards determination
387 of clinical outcomes suggestive of retroviral disease, such as cancer, neurologic disorders,
388 or other hematologic disorders. Relevant clinical samples should be collected and tested
389 for RCR upon development of an adverse event suggestive of a retrovirus-associated
390 disease. If patients die or develop neoplasms during a gene therapy trial, every effort
391 should be made to assay for RCR in a biopsy sample of the neoplastic tissue or the
392 pertinent autopsy tissue. Sample collection and storage should be compatible with the
393 expected testing strategy. Additional recommendations for long-term follow-up of
394 patients in clinical trials using retroviral vectors are discussed in the Long-Term Follow-
395 up Draft Guidance.

B. Recommended Assays

397 We recommend two methods that are currently in use for detecting evidence of RCR
398 infection in patients: 1) serologic detection of RCR-specific antibodies; and 2) analysis
399 of patient peripheral blood mononuclear cells by PCR for RCR-specific DNA sequences.
400 The choice of assay may depend on the vector, mode of vector administration, and the
401 clinical indication. For example, it has been shown that direct administration of VPC or
402 repeat direct injection of a vector can result in vector-specific antibodies that do not
403 correlate with the presence of RCR (Refs. 29, 30). Therefore, in cases where vector or
404 VPCs are directly administered, a PCR assay may be preferable over serologic
405 monitoring. Additionally, monitoring of patient samples by PCR may be preferable over
406 serologic monitoring if the patients are immunocompromised to an extent that antibody
407 production may be minimal or not at all. In either situation, all confirmed positive results
408 should be pursued by direct culture assay to obtain and characterize the infectious viral
409 isolate.
410
411
412
413

V. DOCUMENTATION OF RCR TESTING RESULTS

414 RCR testing results from production lots and patient monitoring should be documented in
415 amendments to the IND file. Positive results from patient monitoring should be reported
416 immediately as an adverse experience in the form of an IND safety report (21 CFR 312.32).
417 Negative results should be reported by way of the IND annual report (21 CFR 312.33). In
418 addition, to enhance the accumulation of data on RCR testing assays, CBER encourages
419 members of the gene therapy community to publish data and/or discuss data publicly
420 regarding their experience with different vector producer cell lines, patient monitoring, and
421 safety.
422
423
424
425

⁵ For more information, refer to section V of the Long Term Follow-up Draft Guidance (“Recommendations for Protocols for Long Term Follow-Up Observations: Clinical Considerations”).

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426 **VI. POST-LICENSURE CONSIDERATIONS**

427

428 We recommend that labeling for retroviral vector-based gene therapy products incorporate
429 relevant data and information to clearly present the immediate and long-term risks associated
430 with RCR. As a critical safety test for retroviral vectors, testing for RCR during vector
431 manufacture and release should continue after licensure.

432

433 At the time of submission of your Biologics License Application (BLA),⁶ you should have
434 accumulated sufficient manufacturing and clinical safety data to determine whether there is a
435 significant risk of RCR developing with your product. This risk assessment may be used to
436 propose that periodic patient monitoring for RCR would not be warranted for your product post-
437 licensure. However, you should include a provision in the BLA to collect relevant clinical
438 samples from patients for RCR testing upon development of an adverse event suggestive of a
439 retrovirus-associated disease. In the event patients die or develop neoplasms following product
440 administration, every effort should be made to assay for RCR in a biopsy sample of the
441 neoplastic tissue or the pertinent autopsy tissue.

442

443 We also recommend continued long term patient follow-up, up to fifteen (15) years, after
444 licensure of retroviral-based gene therapy products to monitor for delayed adverse events. For
445 more information, refer to section VI of the Long Term Follow-up Draft Guidance (“General
446 Considerations for Post-Marketing Monitoring Plans for Gene Therapy Products”).

⁶ 21 CFR 601.2

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530 APPENDIX

531

532 1-1. Derivation of Recommendation for Test Volume for RCR Detection

533

534 Assuming the RCR are present in the production lot at a concentration (**c**) and that an assay will
535 detect a single retrovirus in the sample, the probability (**p**) of detecting retrovirus in a volume
536 (**Vt**) is given by the formula: $p = 1 - \exp(-cVt)$, because the number of RCR in **Vt** follows a
537 Poisson distribution with a parameter **cVt**. Solving for **Vt**, one gets the following equation:

538

539

$$Vt = - (1/c) \ln (1-p)$$

540

541 where **ln** denotes the natural logarithm.

542

543 Value for **p**

544 For the use of this formula, it is recommended that the value for **p** be set at 0.95. With
545 the recommended replicate size and number defined in Appendix 1-3 of this document, **p**
546 becomes the probability of detecting an RCR in the production lot.

547

548 Value for **c**

549 We recommend that the value for **c** be set no higher than 1 RCR/dose equivalent. If the
550 concentration of RCR in the production lot is 1 RCR/dose equivalent or greater, then the
551 probability of detection is at least 0.95. If the production lot contains RCR at a
552 concentration of <1 RCR/dose equivalent, the RCR may not be detected and would be
553 administered to the patient. We also recommend that a dose equivalent be defined as the
554 maximum amount of vector expected to be administered at one time. For ex vivo
555 genetically modified cells, a dose equivalent is the amount of vector used to transduce the
556 maximum number of target cells for each production lot.

557

558 Value for **Vt**

559

560 With the recommended value for **p** and **c**, the total volume of retroviral supernatant to be
561 tested, independent of lot size, is calculated as follows:

562

$$Vt = - (1 / (1 \text{ RCR/dose equivalent})) \ln (1 - 0.95)$$

563

564

565 Direct administration example:

566 If your product is administered at 1×10^{10} TU (transducing unit)

$$567 Vt = - (1 / (1/1 \times 10^{10} \text{ TU})) \ln (1 - 0.95) = 3 \times 10^{10} \text{ TU}$$

568

569 Ex vivo genetic modification example:

570 If you aim to transduce up to 1×10^8 cells at an MOI (multiplicity of infection) of
571 0.5 with a titer of 5×10^7 TU/mL:

$$572 \text{Dose equivalent} = (1 \times 10^8 \text{ cells}) (0.5 \text{ TU/cell}) / (1 \times 10^7 \text{ TU/mL}) = 5 \text{ mL}$$

573

$$574 Vt = - (1 / (1/5 \text{ mL})) \ln (1 - 0.95) = 15 \text{ mL}$$

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575 Proposals to use smaller volumes should be developed and reviewed in consultation with
576 CBER.

577

578 **1-2. Empirical Determination of Assay Sensitivity**

579

580 In collaboration with the ATCC, a standard gammaretroviral stock (ATCC # VR-1450) has been
581 established for use in determination of sensitivity and validation of assays used to detect the
582 presence of RCR which would be produced from VPC containing an amphotropic envelope.
583 This stock can be used to determine the relative assay sensitivity for detecting RCR. This
584 information can subsequently be used to determine the size of replicates of retroviral supernatant
585 to be tested that will ensure detection of a single retrovirus and thus, the number of replicates to
586 ensure an adequate total volume, **V_t**, as specified in this guidance (Appendix 1-3 of this
587 document). The virus stock is derived from a cell line which has been transfected with a
588 molecular clone encoding MoMLV with a substitution of the envelope coding region from the
589 4070A strain of amphotropic MLV (Ref. 31). Therefore, this virus stock represents a typical
590 recombinant virus that could be generated in a retroviral packaging cell line containing coding
591 sequences for a MLV envelope.

592

593 The standard virus stock and its infectious titer can be used as a positive control to empirically
594 determine the relative sensitivity of assay methods used for detection of RCR in retroviral
595 vectors. In particular, this stock will allow investigators to determine the largest test volume in
596 which a single RCR can be detected. The determination should be performed in the presence of
597 a retroviral vector supernatant typical of a production lot in order to control for inhibitory effects
598 of the retroviral vector particles on detection of RCR. Availability of this standard should allow
599 individual investigators to establish this methodology in their own laboratories, as well as allow
600 exploration of alternative methods for detection of RCR.

601

602 **1-3. Formula to Determine Replicate Size and Number**

603

604 Depending on the volume in which a single RCR can be detected by an individual RCR assay (as
605 determined by use of the RCR standard, Appendix 1-2 of this document), it may be necessary to
606 divide the total test volume into several replicate samples to ensure the detection of RCR in the
607 sample. The number of replicates (**r**), can be determined using the formula,

608

609

$$\mathbf{r} = \mathbf{Vt} / \mathbf{Vs}$$

610

611 where **V_s** is the volume in which one RCR can be consistently detected (Appendix 1-1 of this
612 document for determination of **V_t**).

613