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OFFICE OF PETITIONS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re:	U.S. Patent 5,514,650
Issued:	May 7, 1996
To:	James M. Balkovec, Regina M. Black and Frances A. Bouffard
For:	AZA CYCLOHEXAPEPTIDE COMPOUNDS

Assistant Commissioner for Patents  
Box Patent Extension  
Washington, D.C. 20231

**APPLICATION FOR EXTENSION OF PATENT TERM UNDER 35 U.S.C. 156**

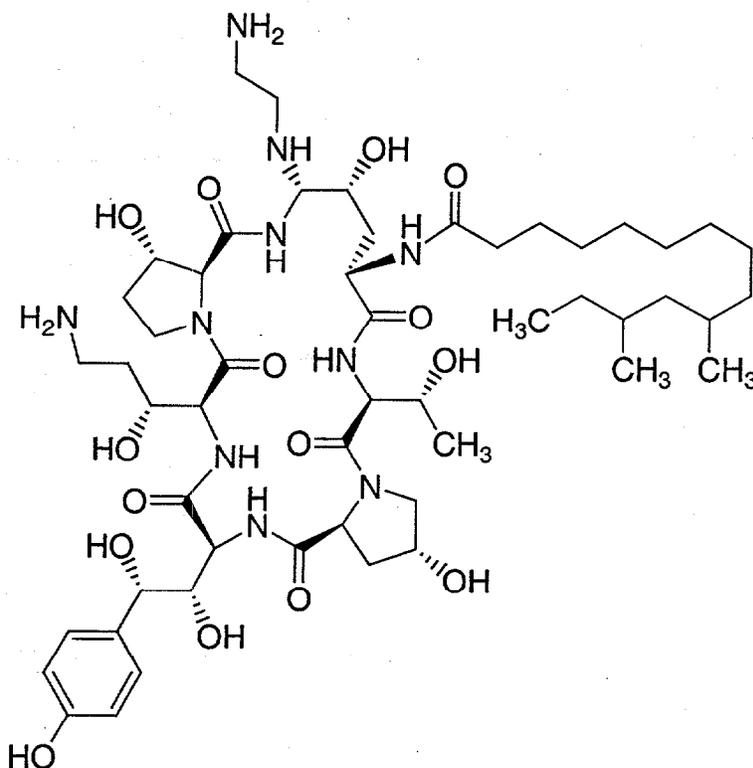
Dear Sir:

Your Applicant, Merck & Co., Inc. a corporation organized and existing under the laws of the state of New Jersey, represents that it is the assignee of the entire interest in and to Letters Patent of the United States No. 5,514,650 granted to James M. Balkovec, Regina M. Black and Frances A. Bouffard on May 7, 1996 for Aza Cyclohexapeptide Compounds by virtue of an assignment in favor of Merck & Co., Inc. recorded May 7, 1993, Reel 6531 and Frames 209-210. Your Applicant acting through its duly authorized attorney, hereby submits this application for extension of patent term under 35 U.S.C. 156 by providing the following information required by the rules promulgated by the U.S. Patent and Trademark Office (37 C.F.R. 1.740). An associated power of attorney authorizing Ms. Valerie J. Camara to act on behalf of your Applicants is attached hereto as Attachment "A." For the convenience of the Patent and Trademark Office, the information contained in this application will be presented in a format, which will follow the requirements of Section 1.740 of Title 37 of the Code of Federal Regulations.

01E-0225

APP1

- (1) The approved product, CANCIDAS<sup>®</sup> which contains as the active ingredient, Caspofungin acetate, whose chemical name is 1-[(4*R*,5*S*)-5-[(2-aminoethyl)amino]-*N*<sup>2</sup>-(10,12-dimethyl-1-oxotetradecyl)-4-hydroxy-L-ornithine]-5-[(3*R*)-3-hydroxy-L-ornithine]pneumocandin B<sub>0</sub> diacetate salt. Caspofungin is represented by the following structural formula:



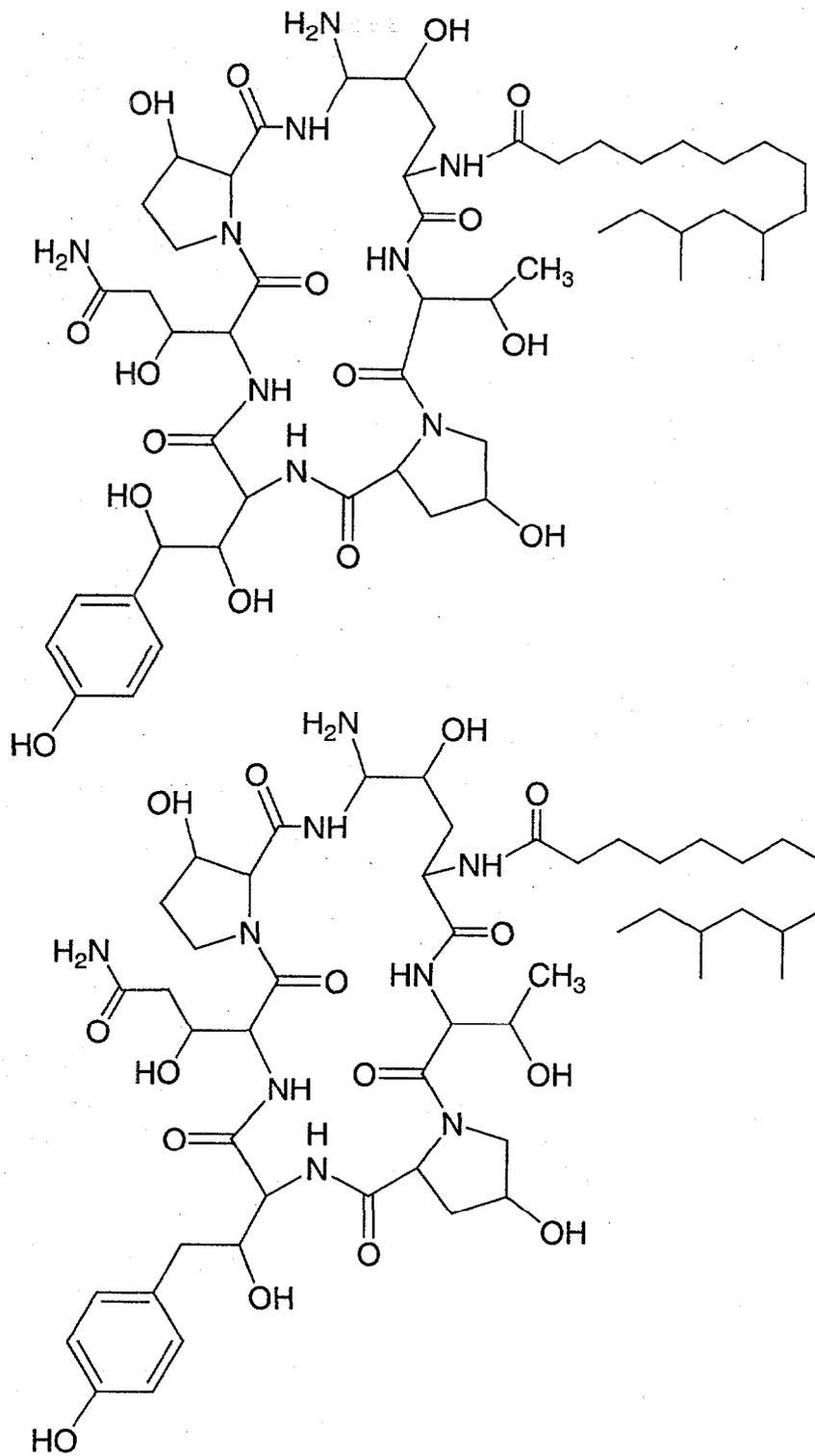
- (2) The approved product was subject to regulatory review under the Federal Food, Drug and Cosmetic Act, Section 505 (21 U.S.C. 355).
- (3) The approved product, CANCIDAS<sup>®</sup> (Caspofungin acetate) received permission for commercial marketing or use under Section 505 of the Federal Food, Drug and Cosmetic Act (21 U.S.C. 355) on January 26, 2001.
- (4) The only active ingredient in CANCIDAS<sup>®</sup> is Caspofungin acetate, which has not been approved for commercial marketing or use under Section 505 of the Federal Food, Drug and Cosmetic Act (21 U.S.C. 355) prior to the approval of NDA 21-213 by the Food and Drug Administration on January 26, 2001.

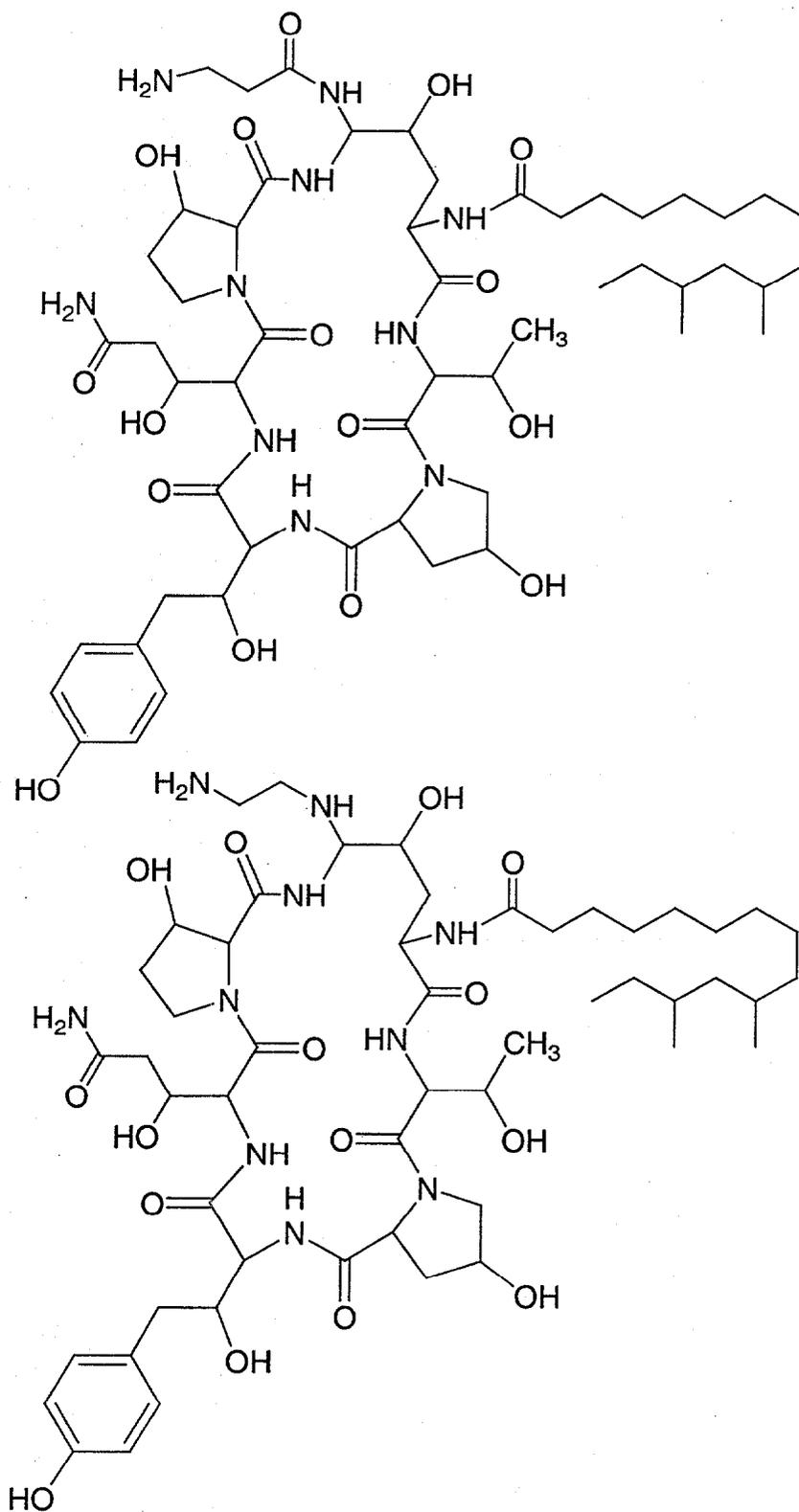
- (5) This Application for extension of patent term under 35 U.S.C. 156 is being submitted within the permitted 60-day period pursuant to 37 C.F.R. 1.720(f), said period which will expire on March 27, 2001.
- (6) The complete identification of the patent for which extension is being sought is as follows:
- Inventors: James M. Balkovec, Regina M. Black and Frances A. Bouffard  
Patent Number: 5,514,650  
Issue Date: May 7, 1996  
Expiration Date: March 16, 2013.
- (7) See Attachment "B" for a complete copy of the patent identified in paragraph (6) hereof.
- (8) A Terminal Disclaimer, attached hereto as Attachment "C," was issued with regard to US Patent No. 5,514,650. No certificate of correction or reexamination certificate has been issued with regard to US Patent No. 5,514,650. The Maintenance Fee Statement for US Patent No. 5,514,650 is attached hereto as Attachment "D"; payment was posted on our Monthly Statement of Deposit Account as October 26, 1999.
- (9) U.S. Patent No. 5,514,650 claims the approved product. Specifically, the active ingredient Caspofungin acetate is claimed as an antimicrobial composition in Claim 1 and the method of use of the Caspofungin acetate for controlling mycotic infections in Claim 2.

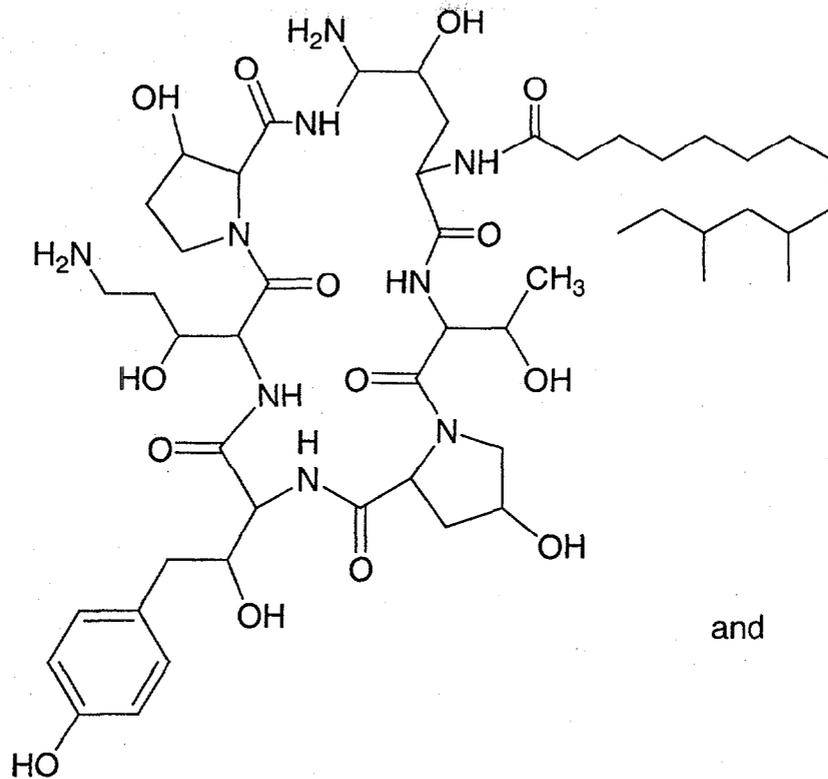
Claim 1 reads as follows:

1. An antimicrobial composition comprising a compound selected from the group consisting of :

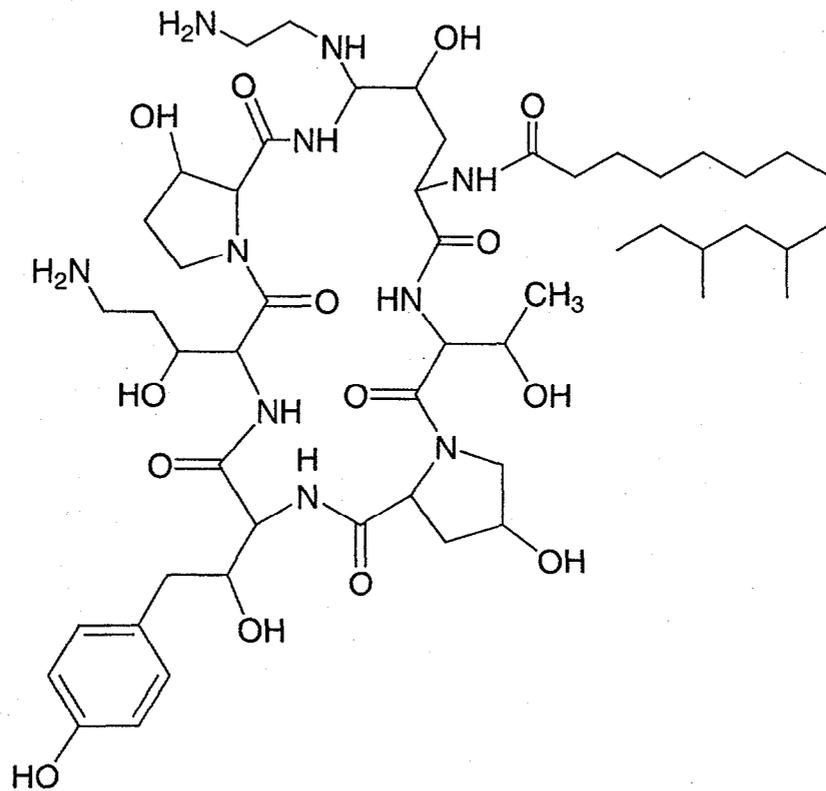








and

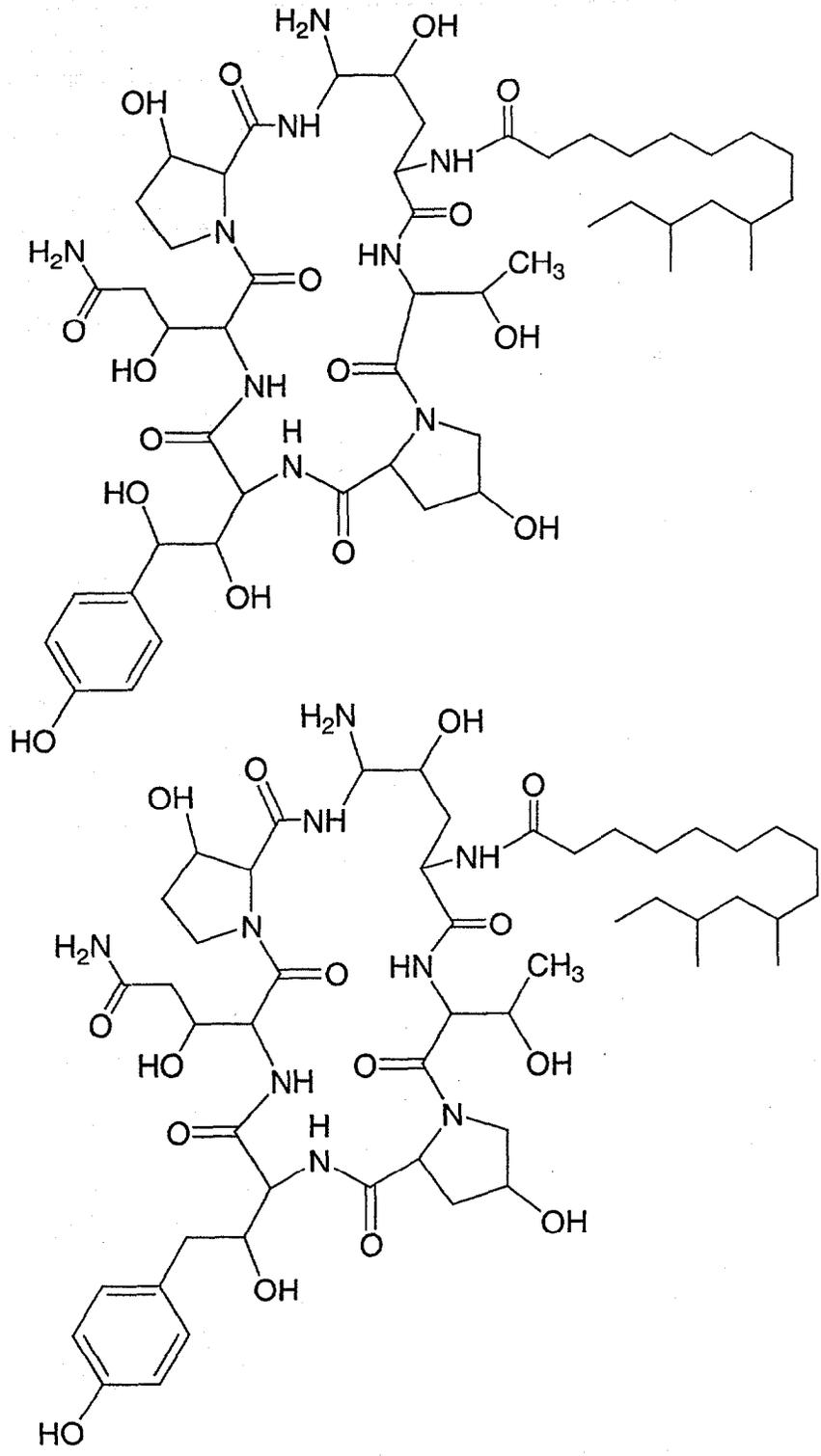


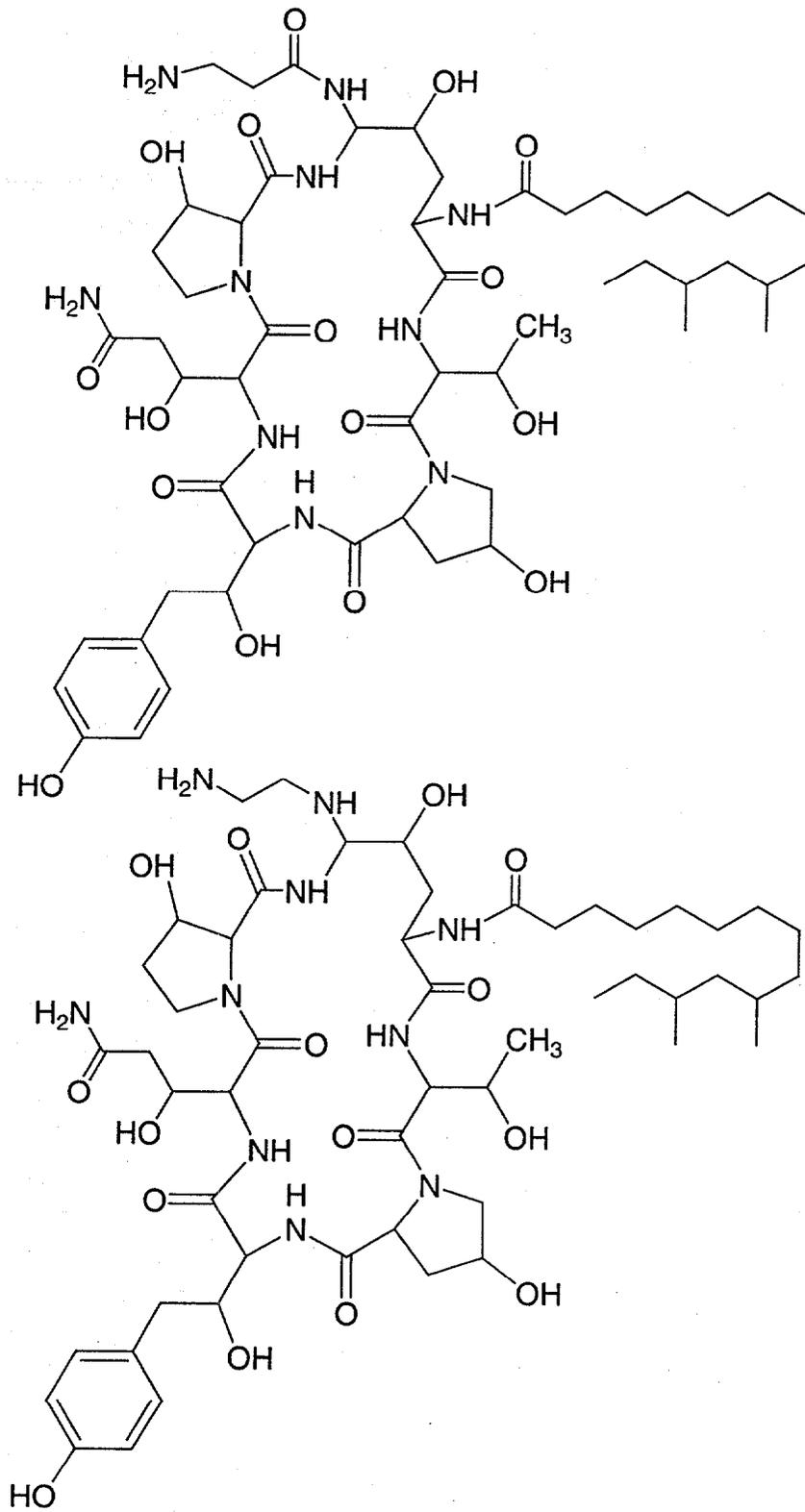
or a pharmaceutically acceptable salt thereof in admixture with a pharmaceutically acceptable carrier.

Claim 2 reads as follows:

2. A method for controlling mycotic infections comprising administering to a mammalian subject in need of treatment, an antimycotic amount of a compound selected from the group consisting of:









The approved product, CANCIDAS®, has been approved for the treatment of invasive aspergillosis in patients who are refractory to or intolerant of other therapies (i.e., amphotericin B, lipid formulations of amphotericin B and/or itraconazole) and contains Capsfungin acetate, which is a pharmaceutically acceptable salt of 1-[(4*R*,5*S*)-5-[(2-aminoethyl)amino]-*N*<sup>2</sup>-(10,12-dimethyl-1-oxotetradecyl)-4-hydroxy-L-ornithine]-5-[(3*R*)-3-hydroxy-L-ornithine]pneumocandin B<sub>0</sub>.

(10) The relevant dates and information pursuant to 35 U.S.C. 156(g) to enable the Secretary of Health and Human Services to determine the applicable regulatory review period are as follows:

- (i) Investigational New Drug Application (IND 48,484) for Caspofungin acetate was submitted on August 3, 1995 and the IND became effective on September 1, 1995.
  
- (ii) New Drug Application (NDA 21-213) CANCIDAS® (Caspofungin acetate) was submitted on July 28, 2000; and
  
- (iii) New Drug Application (NDA 21-213) CANCIDAS® (Caspofungin acetate) was approved on January 26, 2001.

- (11) As a brief description of the activities undertaken by Applicant, Merck & Co., Inc., during the applicable regulatory review period, attached hereto as Attachment "E", is a chronology of the major communication between the Applicant and the FDA from August 3, 1995 to January 26, 2001.

(12)(A) Applicant is of the opinion that U.S. Patent 5,514,650 is eligible for extension under 35 U.S.C. 156 because it satisfies all of the requirements for such extension as follows:

(a) 35 U.S.C. 156(a)

U.S. Patent 5,514,650 claims the product Caspofungin acetate as an antimicrobial composition and the method of use of Caspofungin acetate for controlling mycotic infections.

(b) 35 U.S.C. 156(a)(1)

The term of the U.S. Patent 5,514,650 has not expired before submission of this application.

(c) 35 U.S.C. 156(a)(2)

The term of U.S. Patent 5,514,650 has never been extended.

(d) 35 U.S.C. 156(a)(3)

The application for extension is submitted by the owner of record in accordance with the requirement of 35 U.S.C. 156(d) and rules of the U.S. Patent and Trademark Office.

(e) 35 U.S.C. 156(a)(4)

The product, CANCIDAS® (Caspofungin acetate), has been subjected to a regulatory review period before its commercial marketing or use.

(f) 35 U.S.C. 156(a)(5)(A)

The commercial marketing or use of the product, CANCIDAS® (Caspofungin acetate), after the regulatory review period is the first permitted commercial marketing or use of the product under the provision of the Federal Food, Drug and Cosmetic Act (21 U.S.C. 355) under which such regulatory review period occurred.

(g) 35 U.S.C. 156(c)(4)

No other patent has been extended for the same regulatory review period for the product, CANCIDAS® (Caspofungin acetate).

(B) The length of extension of the patent term of U.S. Patent 5,514,650 claimed by Applicant is 1.87 years or 682 days. The length of the extension was determined pursuant to 37 C.F.R. 1.775 as follows:

- (a) The regulatory review period under 35 U.S.C. 156(g)(1)(B) began on September 1, 1995 and ended on July 27, 2000 which is a total of 1,975 days or 5.41 years which is the sum of (i) and (ii) below:
  - (i) The period of review under 35 U.S.C. 156(g)(2)(B)(i), the "Testing Period," began on September 1, 1995 and ended on July 27, 2000, which is 1,792 days or 4.91 years and
  - (ii) The period of review under 35 U.S.C. 156(g)(2)(B)(ii), the "Application Period," began on July 28, 2000 and ended on January 26, 2001, which is 183 days or 0.50 years;
- (b) The regulatory review period upon which the period of extension is calculated is the entire regulatory review period as determined in sub-paragraph (12)(B)(a) above (1,975 days) less
  - (i) The number of days in the regulatory review period which were on or before the date on which the patent issued (September 1, 1995 to May 7, 1996) which is 250 days, and
  - (ii) The number of days during which applicant did not act with due diligence which is zero (0) days, and
  - (iii) One-half the number of days determined in sub-paragraph (12)(B)(a)(i) after the patent issued  $[(1,792-250)/2]$  or 771 days;
  - (iv) The regulatory period is calculated by subtracting the number of days determined in sub-paragraph (12)(B)(b)(i)-(iii) from the entire regulatory review period as determined in sub-paragraph (12)(B)(a) (which is 1,975 days – 250 days – 0 days – 771 days) which equals 954 days;
- (c) The number of days as determined in sub-paragraph (12)(B)(b)(iv) (954 days) when added to the original term of the patent (March 16, 2013, as determined by 35 USC 154 (c) and 37 CFR 1.321) would result in the date, October 26, 2015;

- (d) Fourteen (14) years when added to the date of NDA approval (January 26, 2001) would result in the date, January 26, 2015;
  - (e) The earlier date as determined in sub-paragraphs (12)(B)(c ) and (12)(B)(d) is January 26, 2015;
  - (f) Since the original patent was not issued and a request for an exemption was not submitted before September 24, 1984 and the commercial marketing or use of the product was not approved before September 24, 1984, five (5) years when added to the original expiration date of the patent (March 16, 2013) would result in the date, March 16, 2018;
  - (g) The earlier date as determined in sub-paragraph (12)(B)(e) and (12)(B)(f) is January 26, 2015.
- (13) Applicant acknowledges a duty to disclose to the Commissioner of Patents and Trademarks and the Secretary of Health and Human Services any information which is material to the determination of entitlement to the extension sought.
- (14) The prescribed fee as set forth in 37 C.F.R. 1.20(j)(1) for receiving and acting upon this application is to be charged to the Deposit Account of Applicant as authorized in the attached letter, which is submitted in duplicate.
- (15) Please address all inquiries and correspondence relating to the application for patent term extension to:
- Valerie J. Camara  
Merck & Co., Inc.  
Patent Department  
P.O. Box 2000  
Rahway, New Jersey 07065-0907  
Telephone: (732) 594-3902  
Facsimile: (732) 594-4720
- (16) The instant application for extension of patent term with regard to US Patent No. 5,514,650 is being submitted as one original and triplicate copies thereof.

(17) The requisite declaration pursuant to rule 37 C.F.R. 1.740(b) is attached hereto.

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Respectfully submitted,



By: Valerie J. Camara  
Reg. No. 35,090  
Attorney for Applicants  
Merck & Co., Inc.  
P.O. Box 2000  
Rahway, NJ 07065-0907  
(732) 594-3902

Date: March 21, 2001

Attachments

**CERTIFICATION**

The undersigned hereby certifies that this application for extension of patent term under 35 U.S.C. 156 including its attachments and supporting papers is being submitted as one original and triplicate copies thereof.



By: Valerie J. Camara  
Reg. No. 35,090  
Attorney for Applicants  
Merck & Co., Inc.  
P.O. Box 2000  
Rahway, NJ 07065-0907  
(732) 594-3902

Date: March 21, 2001

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re:	U.S. Patent 5,514,650
Issued:	May 7, 1996
To:	James M. Balkovec, Regina M. Black and Frances A. Bouffard
For:	AZA CYCLOHEXAPEPTIDE COMPOUNDS

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Assistant Commissioner for Patents  
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Washington, D.C. 20231

**DECLARATION**

Sir:

The undersigned Attorney for Merck & Co., Inc. which is the Applicant for Extension of Patent Term under 35 U.S.C. 156 with regard to U.S. Patent No. 5,514,650 hereby declares as follows:

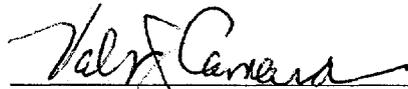
- (1) THAT she is a patent attorney authorized to practice before the Patent and Trademark Office and has general authority from the owner to act on behalf of the owner in patent matters;
- (2) THAT she has reviewed and understands the contents of the application being submitted pursuant to 35 U.S.C. 156 and 37 C.F.R. 1.740;
- (3) THAT she believes the patent is subject to extension pursuant to 35 U.S.C. 156 and 37 C.F.R. 1.710.
- (4) THAT she believes an extension of the length claimed is fully justified under 35 U.S.C. 156.

(5) THAT she believes the patent for which the extension is being sought meets the conditions for extension of the term of a patent as set forth in 35 U.S.C. 156 and 37 C.F.R. 1.720.

The undersigned hereby declares further that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any extension of patent term issuing thereon.

Further declarant sayeth not.

Signed this 21<sup>st</sup> day of March 2001.



By: Valerie J. Camara  
Reg. No. 35,090  
Attorney for Applicants  
Merck & Co., Inc.  
P.O. Box 2000  
Rahway, NJ 07065-0907  
(732) 594-3902

<b>FEE TRANSMITTAL</b>		<i>Patent fees are subject to annual revision.</i>	
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$1,120</b>	

<i>Complete if Known</i>	
Patent Number	US Patent 5,514,650
Issue Date	May 7, 1996
First Named Inventor	James M. Balkovec
Examiner Name	
Group Art Unit	
Attorney Docket Number	

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<p><b>METHOD OF PAYMENT (Check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <input type="text" value="13-2755"/></p> <p>Deposit Account Name: <input type="text" value="Merck &amp; Co., Inc."/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>320</td><td>Design filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>Provisional filing fee</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL(1)</b></td> <td style="text-align: center;"><input type="text" value="\$0"/></td> </tr> </tbody> </table> <p><b>2. EXTRA CLAIM FEES</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td><input type="text" value="20"/></td> <td>** =</td> <td><input type="text" value="0"/></td> <td><input type="text" value="0"/></td> </tr> <tr> <td><input type="text" value="3"/></td> <td>** =</td> <td><input type="text" value="0"/></td> <td><input type="text" value="0"/></td> </tr> <tr> <td><input type="text" value=""/></td> <td></td> <td>x \$270 =</td> <td><input type="text" value=""/></td> </tr> </tbody> </table> <p><i>**or number previously paid, if greater; For Reissues, see below</i></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>80</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>270</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>80</td><td>**Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL(2)</b></td> <td style="text-align: center;"><input type="text" value="\$0"/></td> </tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	Utility filing fee		106	320	Design filing fee		108	710	Reissue filing fee		114	150	Provisional filing fee		<b>SUBTOTAL(1)</b>			<input type="text" value="\$0"/>	Total Claims	Extra	Fee from below	Fee Paid	<input type="text" value="20"/>	** =	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="3"/>	** =	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value=""/>		x \$270 =	<input type="text" value=""/>	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	Claims in excess of 20		102	80	Independent claims in excess of 3		104	270	Multiple dependent claim, if not paid		109	80	**Reissue independent claims over original patent		110	18	**Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL(2)</b>			<input type="text" value="\$0"/>	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr> <td>Other fee (specify)</td> <td colspan="2">Extension of Term of Patent (37 C.F.R. 1.20(j)(1))</td> <td style="text-align: center;">1,120</td> </tr> <tr> <td>Other fee (specify)</td> <td colspan="2"></td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL(3)</b></td> <td style="text-align: center;"><input type="text" value="\$1,120"/></td> </tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		147	2,520	For filing a request for reexamination		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1,390	Extension for reply within fourth month		128	1,890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		140	110	Petition to revive - unavoidable		141	1,240	Petition to revive - unintentional		142	1,240	Utility issue fee (or reissue)		143	440	Design issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	180	Submission of Information Disclosure Statement		581	40	Recording each patent assignment per property (times number of properties)		146	710	Filing a submission after final rejection (37 CFR 1.129(a))		149	710	For each additional invention to be examined (37 CFR 1.129(b))		179	710	Request for Continued Examination (RCE)		Other fee (specify)	Extension of Term of Patent (37 C.F.R. 1.20(j)(1))		1,120	Other fee (specify)				<b>SUBTOTAL(3)</b>			<input type="text" value="\$1,120"/>
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<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	Valerie J. Camara		Reg. Number	35,090	
Signature			Date	03/21/2001	
			Deposit Account User ID		

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**P&T OFFICE ACKNOWLEDGEMENT**

ATTORNEY Valerie J. Camara		DATE 3/21/2001
<del>XXX</del> NUMBER/ Patent 5,514,650	SERIAL NUMBER	
DATE <del>XXXX</del> Issued May 7, 1996		
APPLICANT James M. Balkovec, et al.		
EXPRESS MAIL NO.		

The Patent & Trademark Office acknowledges, and has stamped hereon, the date of the receipt of the items checked below:

- AMENDMENT
- APPEAL AND FEE
- ASSIGNMENT
- ~~XXXX~~ Associate Power of Attorney
- CERTIFICATE OF CORRECTION
- ~~XXXX~~ FEE Transmittal
- LETTER
- REQUEST FOR F.F. LICENSE
- INFORMATION DISCLOSURE STATEMENT
- PTO 1449 & REFERENCES
- PETITION FOR EXTENSION OF TIME & FEE
- INVITATION TO CORRECT
- DEMAND-CHAPTER II & FEE SHEET
- Application for Patent Extension  
(in triplicate) / Attachments A-E

F4424

**ATTACHMENT A**

**Associate Power of Attorney**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): J. M. Balkovec, et al.

Patent No. 5,514,650

Serial No.:

Date Issued: May 7, 1996

For: AZA CYCLOHEXAPEPTIDE COMPOUNDS

Group Art Unit:

Examiner:

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**OFFICE OF PETITIONS**

**ASSOCIATE POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In connection with the above-identified application the undersigned attorney and/or agent of record hereby appoints Valerie J. Camara Registration No. 35,090 c/o MERCK & CO., INC., Patent Dept., RY60-30, P.O. Box 2000, Rahway, New Jersey 07065-0907, an associate attorney and/or agent, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

All communications in connection with the prosecution of the above-identified application should be sent to Valerie J. Camara c/o MERCK & CO., INC., Patent Dept., RY60-30, P.O. Box 2000, Rahway, New Jersey 07065-0907.

Respectfully submitted,



By: Mark R. Daniel  
Attorney \_\_\_\_\_ for Applicant(s)

Reg. No. 31,913  
(732)594- 6609

Date: March 19, 2001

**ATTACHMENT B**

**US Patent 5,514, 650**



US005514650A

**United States Patent** [19][11] **Patent Number:** **5,514,650****Balkovec et al.**[45] **Date of Patent:** \* **May 7, 1996**[54] **AZA CYCLOHEXAPEPTIDE COMPOUNDS**[75] **Inventors:** James M. Balkovec, North Plainfield;  
Regina M. Black, Cranford; Frances  
A. Bouffard, Scotch Plains, all of N.J.[73] **Assignee:** Merck & Co., Inc., Rahway, N.J.[\*] **Notice:** The portion of the term of this patent  
subsequent to Mar. 16, 2013, has been  
disclaimed.[21] **Appl. No.:** 298,479[22] **Filed:** Aug. 29, 1994**Related U.S. Application Data**[63] **Continuation of Ser. No. 32,847, Mar. 16, 1993, Pat. No.**  
5,378,804.[51] **Int. Cl.<sup>6</sup>** ..... **A61K 38/12**[52] **U.S. Cl.** ..... **514/11; 514/9; 514/2;**  
**530/317; 930/270; 930/DIG. 548; 930/DIG. 546**[58] **Field of Search** ..... **514/11,9,2; 530/317;**  
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*Primary Examiner*—Christina Y. Chan  
*Assistant Examiner*—T. D. Wessendorf  
*Attorney, Agent, or Firm*—Elliott Korsen; Mark R. Daniel

[57] **ABSTRACT**

Ceretaian aza cyclohexapeptide compounds have been found  
to have superior antibiotic properties. Novel processes for  
their preparation are also described.

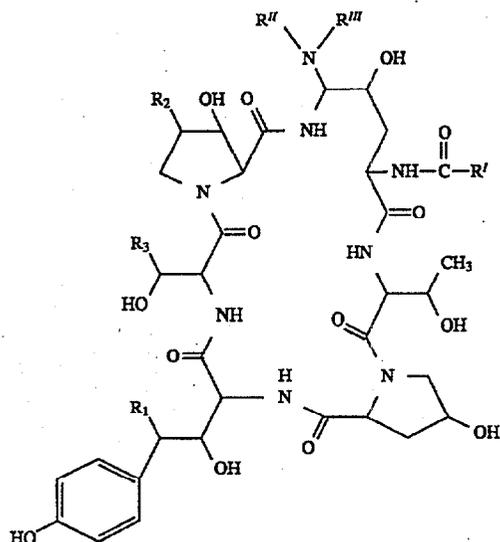
**3 Claims, No Drawings**

## AZA CYCLOHEXAPEPTIDE COMPOUNDS

This is a continuation of U.S. application Ser. No. 08/032,847, filed Mar. 16, 1993, now U.S. Pat. No. 5,378,804, issued Jan. 3, 1995.

The present invention is directed to certain aza cyclohexapeptide compounds and to processes for their preparation.

The aza cyclohexapeptide compounds of the present invention, Compound I (Seq ID Nos. 1-15) are characterized in having a nitrogen attached to the cyclohexapeptide ring at the 5-carbon of the 4-hydroxy ornithine component (hereinafter "C-5-orn") and may be represented by the formula



wherein

R<sub>1</sub> is H or OH

R<sub>2</sub> is H, CH<sub>3</sub> or OH

R<sub>3</sub> is H, CH<sub>3</sub>, CH<sub>2</sub>CN, CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub> or CH<sub>2</sub>CONH<sub>2</sub>

R<sup>i</sup> is C<sub>9</sub>-C<sub>21</sub> alkyl, C<sub>9</sub>-C<sub>21</sub> alkenyl, C<sub>1</sub>-C<sub>10</sub> alkoxyphenyl or C<sub>1</sub>-C<sub>10</sub> alkoxyphenyl

R<sup>ii</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>3</sub>-C<sub>4</sub> alkenyl, (CH<sub>2</sub>)<sub>2,4</sub>OH, (CH<sub>2</sub>)<sub>2,4</sub>NR<sup>iv</sup>R<sup>v</sup>, CO(CH<sub>2</sub>)<sub>1,4</sub>NH<sub>2</sub>

R<sup>iii</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>3</sub>-C<sub>4</sub> alkenyl, (CH<sub>2</sub>)<sub>2,4</sub>OH, (CH<sub>2</sub>)<sub>2,4</sub>NR<sup>iv</sup>R<sup>v</sup>, or

R<sup>ii</sup> and R<sup>iii</sup> taken together are -(CH<sub>2</sub>)<sub>4</sub>-, -(CH<sub>2</sub>)<sub>5</sub>-, (CH<sub>2</sub>)<sub>2</sub>O(CH<sub>2</sub>)<sub>2</sub>- or -(CH<sub>2</sub>)<sub>2</sub>-NH-(CH<sub>2</sub>)<sub>2</sub>-

R<sup>iv</sup> is H or C<sub>1</sub>-C<sub>4</sub> alkyl

R<sup>v</sup> is H or C<sub>1</sub>-C<sub>4</sub> alkyl; and acid addition salts thereof.

Where the expression "alkyl", "alkenyl" or "alkoxy" is employed, it is intended to include branched as well as straight chain radicals.

The compounds of the present invention are generally obtained as mixtures of stereoisomeric forms in which one form usually predominates. Conditions may be adjusted by means within the normal skill of the skilled artisan to obtain predominantly the desired isomer. The compounds with preferred stereoisomeric form designated herein as the "normal" form may be seen in the working examples with the dashed lines below the plane at the "C-5-orn" position. The designation "epi" has been employed for those compounds in which the group at the "C-5-orn" position is above the plane.

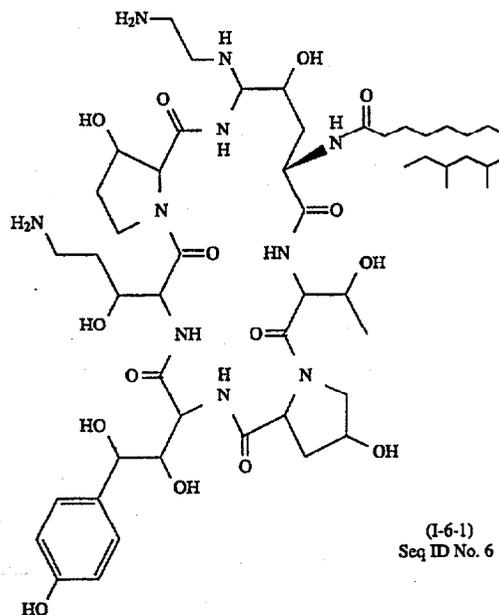
Pharmaceutically acceptable salts suitable as acid addition salts are those from acids such as hydrochloric, hydro-

bromic, phosphoric, sulfuric, maleic, citric, acetic, tartaric, succinic, oxalic, malic, glutamic and the like, and include other acids related to the pharmaceutically acceptable salts listed in Journal of Pharmaceutical Science, 66, 2 (1977).

Representative nuclei for the aza derivatives of the present invention (Compound I) and the sequence ID for these compounds may be seen in the following table. Since the peptide nuclei would be the same irrespective of substituents R<sup>i</sup>, R<sup>ii</sup> or R<sup>iii</sup>, and since the sequence identification number is assigned for the nuclear variations, the amines and salts have the same sequence ID's.

Aza Compound	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	SEQ ID NO
I-1	H	H	CH <sub>2</sub> CONH <sub>2</sub>	1
I-2	H	H	CH <sub>2</sub> CN	2
I-3	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	3
I-4	OH	H	CH <sub>2</sub> CONH <sub>2</sub>	4
I-5	OH	H	CH <sub>2</sub> CN	5
I-6	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	6
I-7	OH	CH <sub>3</sub>	CH <sub>2</sub> CONH <sub>2</sub>	7
I-8	OH	CH <sub>3</sub>	CH <sub>2</sub> CN	8
I-9	OH	CH <sub>3</sub>	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	9
I-10	OH	CH <sub>3</sub>	CH <sub>3</sub>	10
I-11	OH	CH <sub>3</sub>	H	11
I-12	OH	OH	CH <sub>2</sub> CONH <sub>2</sub>	12
I-13	OH	OH	CH <sub>2</sub> CN	13
I-14	OH	OH	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	14
I-15	H	CH <sub>3</sub>	CH <sub>3</sub>	15

One of the compounds which is particularly outstanding for the control of mycotic infections is a compound identifiable as Compound I-6 wherein R<sup>ii</sup> is H, R<sup>iii</sup> is CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub> and R<sup>i</sup> is 9,11-dimethyltridecyl (DMTD), and which may be referred to specifically as Compound I-6-1 (Seq ID No. 6).



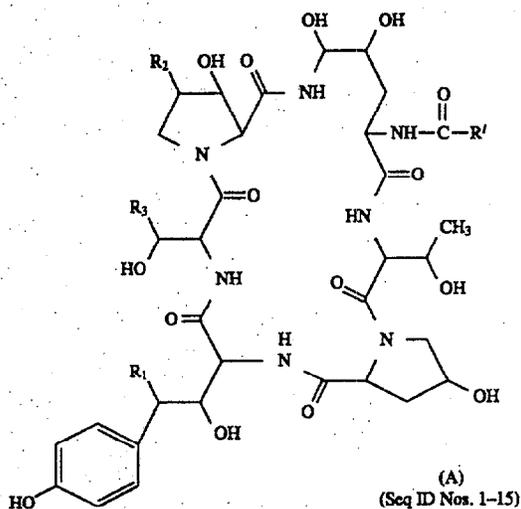
(I-6-1)  
Seq ID No. 6

In the above designation I-6-1 refers to the first compound in which the nuclear arrangement is I-6. Since in all the compounds of the present invention the substituent at the "C-5-orn" is nitrogen, the substituents on said nitrogen may vary and still all compounds which have the same R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> would be Seq ID No. 6.

The compounds are soluble in lower alcohols, and polar aprotic solvents such as dimethylformamide (DMF), dimethyl sulfoxide (DMSO) and pyridine. They are insoluble in solvents such as diethyl ether and acetonitrile.

The compounds of the present invention are useful as an antibiotic, especially as an antifungal agent or as an anti-protozoal agent. As antifungal agents they are useful for the control of both filamentous fungi and yeasts. They are especially adaptable to be employed for the treatment of mycotic infections in mammals, especially those caused by *Candida* species such as *C. albicans*, *C. tropicalis* and *C. pseudotropicalis*, *Cryptococcus* species such as *C. neoformans* and *Aspergillus* species such as *A. fumigatus*, *A. flavus*, *A. niger*. They are also useful for the treatment and/or prevention of *Pneumocystis carinii* pneumonia to which immune-compromised patients are especially susceptible as hereinafter described.

The compounds of the present invention may be prepared from cyclopeptides having the formula



by a series of reactions in which the oxygen atom at the "C-5-om" (which also may be referred to as the hemiaminal position) is ultimately replaced by nitrogen. The starting materials may be natural products or modified natural products as subsequently described. When  $R_1$  is hydrogen instead of hydroxyl, the product aza compounds may be prepared by an alternate series of reactions. The method

applicable for the preparation of compounds in which  $R_1$  may be either H or OH is first described.

The sequence IDs of the starting materials are seen in the following table:

Compound	$R_1$	$R_2$	$R_3$	Starting Material SEQ ID NO.
A-1	H	H	$\text{CH}_2\text{CONH}_2$	16
A-2	H	H	$\text{CH}_2\text{CN}$	17
A-3	H	H	$\text{CH}_2\text{CH}_2\text{NH}_2$	18
A-4	OH	H	$\text{CH}_2\text{CONH}_2$	19
A-5	OH	H	$\text{CH}_2\text{CN}$	20
A-6	OH	H	$\text{CH}_2\text{CH}_2\text{NH}_2$	21
A-7	OH	$\text{CH}_3$	$\text{CH}_2\text{CONH}_2$	22
A-8	OH	$\text{CH}_3$	$\text{CH}_2\text{CN}$	23
A-9	OH	$\text{CH}_3$	$\text{CH}_2\text{CH}_2\text{NH}_2$	24
A-10	OH	$\text{CH}_3$	$\text{CH}_3$	25
A-11	OH	$\text{CH}_3$	H	26
A-12	OH	OH	$\text{CH}_2\text{CONH}_2$	27
A-13	OH	OH	$\text{CH}_2\text{CN}$	28
A-14	OH	OH	$\text{CH}_2\text{CH}_2\text{NH}_2$	29
A-15	H	$\text{CH}_3$	$\text{CH}_3$	30

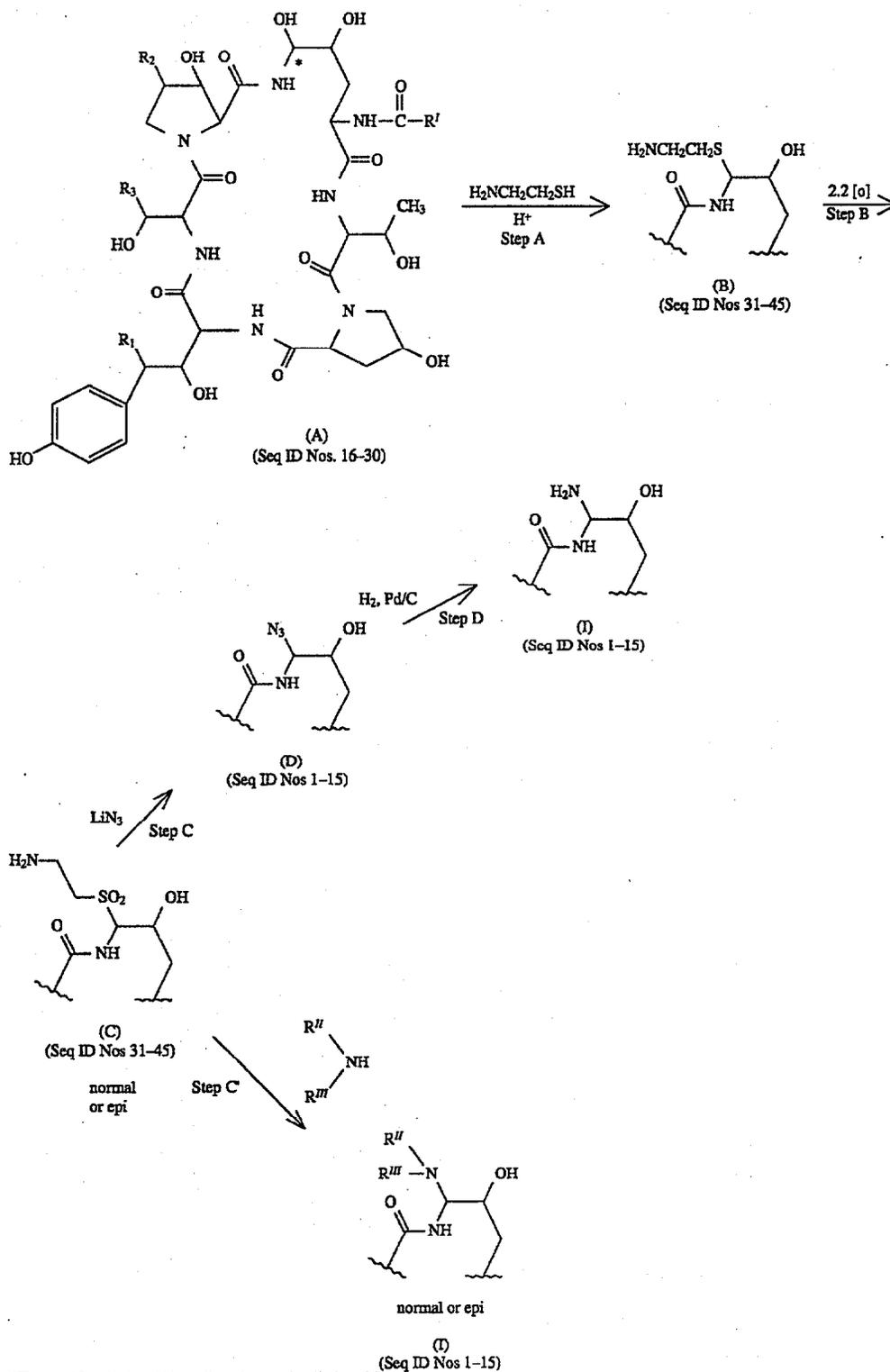
Compounds A-4 and A-7 have been identified in the literature (*J. Antibiotics* 45, 1855-60 Dec. 1992) as pneumocandin B<sub>o</sub> and pneumocandin A<sub>o</sub> when  $R^1 = \text{DMTD}$ .

When in Compound A-1,  $R_1$  and  $R_2$  are represented by any of the possible variables and  $R_3$  is —H,  $\text{CH}_3$  or — $\text{CH}_2\text{CONH}_2$  (Seq ID Nos. 16, 19, 22, 25-27 and 30), they may be directly employed in the first method. When  $R_3$  is — $\text{CH}_2\text{CN}$  or — $\text{CH}_2\text{CH}_2\text{NH}_2$ , the group — $\text{CH}_2\text{CONH}_2$  may be first converted to — $\text{CH}_2\text{CN}$  or — $\text{CH}_2\text{CH}_2\text{NH}_2$  as subsequently disclosed and all the modified compounds (Seq ID Nos. 17-18, 20-21, 23-24, 28-29) used in the first method, or alternatively, a compound in which  $R_3$  is — $\text{CH}_2\text{CONH}_2$  may be employed to produce a compound with N at the hemiaminal position, and the — $\text{CH}_2\text{CONH}_2$  of the resulting product then converted to — $\text{CH}_2\text{CN}$  or — $\text{CH}_2\text{CH}_2\text{NH}_2$ .

First, when  $R_1$ ,  $R_2$  and  $R_3$  of the starting material are the same as that in the product, the following sequence may be employed.

5

6



In Step A, the starting material Compound A (Seq ID Nos. 16-30), alkylthiol or arylthiol and acid are caused to react in an aprotic solvent under anhydrous conditions for time

sufficient for reaction to take place with the formation of Compound B (Seq ID Nos. 31-45), seen in the following table. Aminoethylthiol has been found to be useful for this

step.

Compound	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Sulfur Intermediate SEQ ID
B-1	H	H	CH <sub>2</sub> CONH <sub>2</sub>	31
B-2	H	H	CH <sub>2</sub> CN	32
B-3	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	33
B-4	OH	H	CH <sub>2</sub> CONH <sub>2</sub>	34
B-5	OH	H	CH <sub>2</sub> CN	35
B-6	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	36
B-7	OH	CH <sub>3</sub>	CH <sub>2</sub> CONH <sub>2</sub>	37
B-8	OH	CH <sub>3</sub>	CH <sub>2</sub> CN	38
B-9	OH	CH <sub>3</sub>	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	39
B-10	OH	CH <sub>3</sub>	CH <sub>3</sub>	40
B-11	OH	CH <sub>3</sub>	H	41
B-12	OH	OH	CH <sub>2</sub> CONH <sub>2</sub>	42
B-13	OH	OH	CH <sub>2</sub> CN	43
B-14	OH	OH	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	44
B-15	H	CH <sub>3</sub>	CH <sub>3</sub>	45

For Step A, suitable acids include strong organic acid and mineral acids. Examples of strong organic acids are camphorsulfonic acid, p-toluenesulfonic acid and methanesulfonic acid. Mineral acids include hydrochloric acid and hydrobromic acid. Camphorsulfonic acid is preferred.

Suitable solvents include DMF, DMSO, 1-methyl-2-pyrrolidinone and hexamethyl phosphoric triamide (HMPA). DMF or DMSO is preferred.

The reaction is generally carried out at ambient temperature for from 1 to about 10 days.

In carrying out the reaction, the cyclohexapeptide compound, the thiol compound and acid are stirred together in a suitable solvent until the reaction is substantially complete. The reaction mixture then is diluted with water and flash chromatographed on reverse phase resins using 10 to 40 percent acetonitrile/water (containing 0.1% trifluoroacetic acid) as eluant. Trifluoroacetic acid may hereinafter be designated "TFA". The fractions containing the desired product may be concentrated and lyophilized and the lyophilized material purified by preparative high performance liquid chromatography (HPLC).

Appropriate columns for HPLC are commercially available columns sold under trade mark names or trade names such as "ZORBAX" (DuPont), "DeltaPak" (Waters), Bio-Rad (Bio-Rad), "LICHROPREP" RP18 (E. Merck). The specific columns are identified in the working examples.

In Step B, Compound C (Seq ID Nos. 31-45), a sulfone is obtained by the oxidation of Compound B. Suitable oxidizing agents or oxidants include "OXONE," (KHSO<sub>5</sub>·KHSO<sub>4</sub>·K<sub>2</sub>SO<sub>4</sub>, 2:1:1, Aldrich Chemicals) metachloroperoxybenzoic acid, and peroxyacetic acid. The sequence ID of Compound C is the same as that of Compound B since the atom attached to the hemiaminal carbon is still sulfur. Thus, the sequence IDs of the sulfones are as follows:

Compound	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Sulfone SEQ ID
C-1	H	H	CH <sub>2</sub> CONH <sub>2</sub>	31
C-2	H	H	CH <sub>2</sub> CN	32
C-3	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	33
C-4	OH	H	CH <sub>2</sub> CONH <sub>2</sub>	34
C-5	OH	H	CH <sub>2</sub> CN	35
C-6	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	36
C-7	OH	CH <sub>3</sub>	CH <sub>2</sub> CONH <sub>2</sub>	37
C-8	OH	CH <sub>3</sub>	CH <sub>2</sub> CN	38
C-9	OH	CH <sub>3</sub>	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	39
C-10	OH	CH <sub>3</sub>	CH <sub>3</sub>	40

-continued

Compound	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Sulfone SEQ ID
C-11	OH	CH <sub>3</sub>	H	41
C-12	OH	OH	CH <sub>2</sub> CONH <sub>2</sub>	42
C-13	OH	OH	CH <sub>2</sub> CN	43
C-14	OH	OH	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	44
C-15	H	CH <sub>3</sub>	CH <sub>3</sub>	45

The oxidation of the thioether (Compound B) to the sulfone (Compound C) is carried out with about two molar amounts of the oxidant. When one molar amount of oxidant is employed, the product is a sulfoxide which may then be converted to the sulfone. The sulfoxides may be employed as an intermediate in the formation of the aza compounds but the sulfone is preferred. A slight excess over the two molar amount of the oxidizing agent is employed.

The reaction is carried out in an aqueous medium, preferably a mixture of acetonitrile and water. About equal amounts are preferred although a range of 1:9 to 9:1 may be employed.

In carrying out the reaction, the oxidant is added to a solution of Compound B (Seq ID Nos. 31-45) in 1:1 acetonitrile/water and the mixture allowed to stand at ambient temperature for time sufficient to complete the reaction to obtain Compound C generally from about 30 minutes to one hour.

After completion of the reaction, the compound is recovered from the reaction mixture by diluting with water and chromatographing. Reverse phase (C18) flash column chromatography is suitable in this purification step. The preferred eluting agent is 30-45 percent acetonitrile/water (0.1% TFA) in 5 percent step gradients. The appropriate fractions are lyophilized to recover the desired sulfone intermediate, Compound C (Seq ID Nos. 31-45). The intermediate tends to be labile, thus the isolation should be carried out as rapidly as possible.

Compound C may be converted to a compound having a nitrogen directly attached to the "C-5-orn". As seen in the flow diagram, reaction of Compound C with an alkali metal azide produces an azide at that position (Compound D) while reaction with an amine compound (ammonia or amine) produces an amino group at the "C-5-orn" position, (Compound I). Compound D is an important intermediate for most of the compounds of the present invention. Although Compound D has nitrogen at "C-5-orn", since it is not a product, separate sequence ID Nos. are assigned for Compound D. Sequence ID Nos. for Compound D are found in the following table.

Compound	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Azide SEQ ID
D-1	H	H	CH <sub>2</sub> CONH <sub>2</sub>	46
D-2	H	H	CH <sub>2</sub> CN	47
D-3	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	48
D-4	OH	H	CH <sub>2</sub> CONH <sub>2</sub>	49
D-5	OH	H	CH <sub>2</sub> CN	50
D-6	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	51
D-7	OH	CH <sub>3</sub>	CH <sub>2</sub> CONH <sub>2</sub>	52
D-8	OH	CH <sub>3</sub>	CH <sub>2</sub> CN	53
D-9	OH	CH <sub>3</sub>	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	54
D-10	OH	CH <sub>3</sub>	CH <sub>3</sub>	55
D-11	OH	CH <sub>3</sub>	H	56
D-12	OH	OH	CH <sub>2</sub> CONH <sub>2</sub>	57
D-13	OH	OH	CH <sub>2</sub> CN	58
D-14	OH	OH	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	59
D-15	H	CH <sub>3</sub>	CH <sub>3</sub>	60

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The azide may be obtained by adding alkali metal azide while stirring at ambient temperature to a solution of the sulfone (Compound C; Seq. D Nos. 31-45) in an aprotic solvent for time sufficient to complete the reaction with the formation of the azide as determined by HPLC analysis. The reaction mixture then may be diluted with aqueous acid such as trifluoroacetic acid and then chromatographed to separate the desired azide (Compound D) from the reaction mixture. Reverse-phase (C18) flash column chromatography using 10-25 percent acetonitrile/water (0.1% TFA) in 5 percent step gradients is suitable for this procedure.

The azide (Compound D) may then be reduced to a compound having a free amino group which is among the products (Compound I, Seq ID Nos. 1-15) of the present invention.

The reduction may be carried out by mixing the azide compound (Compound I) with Pd/C in a solvent such as glacial acetic acid and hydrogenating under balloon pressure for 10 to 20 hours. The product then may be recovered by first removing the catalyst by filtration and the filtrate lyophilized to obtain the amine compound (Seq ID 1-15) in which the amine is a primary amine.

The amine thus obtained may be converted into a substituted amine as subsequently described.

Compound I in which  $-\text{NR}^{\text{R}''\text{R}'''}$  is represented by  $-\text{NHCH}_2\text{CH}_2\text{NH}_2$  or generically by  $-\text{NH}(\text{CH}_2)_{2-4}\text{NR}^{\text{R}''\text{R}'''}$  may be prepared from the sulfone by a method in which a diamine  $\text{H}_2\text{N}(\text{CH}_2)_{2-4}\text{NR}^{\text{R}''\text{R}'''}$  is caused to react with the sulfone (Compound C, Seq ID Nos. 31-45).

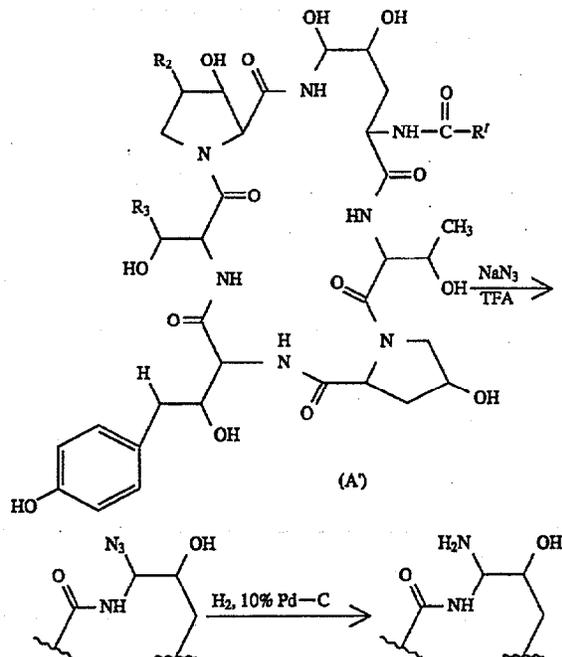
The reaction is carried out in an aprotic solvent such as those previously named and at ambient temperature. About tenfold molar excess of the amine compound is employed. The reaction may be carried out over one to several hours.

In carrying out the reaction, the appropriate amine is added to a solution of the sulfone in anhydrous aprotic solvent and the reaction mixture stirred at ambient temperature to obtain Compound I (Seq ID Nos. 1-15) in which the substituent at "C-5-orn" is  $-\text{NR}^{\text{R}''\text{R}'''}$ . The desired compound may then be recovered by diluting with aqueous trifluoroacetic acid and then chromatographing. Reverse phase (C18) flash column chromatography eluting with 10 to 25% acetonitrile/water (0.1% TFA) in 5 percent step gradients is suitable. The appropriate fractions may be lyophilized to recover the product as a trifluoroacetate salt.

The trifluoroacetate salt may be converted by dissolving the salt in water and passing through a Bio-Rad AG2-XS(Cl-) polyprep column and recovering the product as the hydrochloride salt.

When  $\text{R}_1$  in formula (I) is hydrogen, Compound I' (Seq ID Nos. 1-3, 15), the nitrogen may be introduced directly into the hemiaminal position by a reaction to form the azide, which then is reduced to an amine which optionally may be alkylated or acylated to obtain the ultimate product. The reaction is seen by the following flow diagram.

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Although  $\text{R}^1$  is hydrogen in some natural product cyclohexapeptides,  $\text{R}^1$  is more commonly hydroxyl. Thus, for a number of the compounds, Compound A' in the flow diagram is prepared as a first step from the corresponding compound in which  $\text{R}^1$  is OH.

The preparation of the reduced compound may be carried out by stirring the appropriate hydroxy compound in  $\text{LiClO}_4$ -diethyl ether at room temperature, adding trifluoroacetic acid, followed by triethylsilane and subjecting the mixture to rapid stirring for from 4 to 10 hours or until the starting hydroxy compound is no longer detectable by analytical HPLC. The reaction mixture is then poured into distilled water to obtain the reduced product as precipitate which then is recovered by conventional procedures. The reduced product thus obtained may be used with or without purification in the preparation of the azide.

Products in which  $\text{R}_1$  is H, may be obtained by adding the modified cyclohexapeptide to a preformed solution of  $\text{HN}_3$ .  $\text{HN}_3$  may be prepared from sodium azide and trifluoroacetic acid. The reaction is allowed to take place at room temperature to obtain the azide product which may be recovered by conventional procedures and purified by HPLC.

The purified azide compound may be reduced to the amine compound by hydrogenating with palladium/carbon in a manner similar to that previously described.

The amines, prepared as above and having a primary amino group  $-\text{NH}_2$  described, may then be alkylated by conventional means to obtain a substituted amino group. Briefly, alkylation may be carried out by causing an appropriately substituted alkyl halide to react with the amine (Compound I,  $\text{NR}^{\text{R}''\text{R}'''}=\text{NH}_2$ ; Sequence ID Nos 1-15) in an aprotic solvent in the presence of a base to obtain the monosubstituted amine (Compound I,  $\text{NR}^{\text{R}''\text{R}'''}=\text{NHR}^{\text{R}''}$  wherein  $\text{R}^{\text{R}''}$  is  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_3$ - $\text{C}_4$  alkenyl,  $(\text{CH}_2)_{2-4}\text{OH}$ , and  $(\text{CH}_2)_{2-4}\text{NR}^{\text{R}''\text{R}'''}$ ). The latter may be recovered from the reaction mixture by conventional procedures.

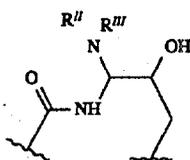
The amines, prepared as above described and having a primary amino group  $-\text{NH}_2$ , may be acylated by conventional means to obtain an acylated amino group. The acyl group contemplated is  $\text{CO}(\text{CH}_2)_{1-4}\text{NH}_2$ . Since this is a

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primary amino group, the amino of the acylating acid is protected such as with a benzyloxycarbonyl group before the acylation is carded out. An activated ester such as the pentafluorophenyl ester is preferably used. The acylation may be carded out in an aprotic solvent in the presence of base such as diisopropylethylamine at ambient temperature for from one to several hours to obtain the acylation product. The product may be recovered by diluting the reaction mixture with methanol and purifying by HPLC. The protecting group may be removed by conventional hydro-

genolysis. (Compound I,  $-\text{NR}^{\text{II}}\text{R}^{\text{III}}-\text{NHCO}(\text{CH}_2)_4\text{NH}_2$ ).

The amine compounds in which the amino group at the hemiaminal position is totally substituted, i.e. when neither  $\text{R}^{\text{II}}$  nor  $\text{R}^{\text{III}}$  is



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across the rows yielding final drug concentration ranging from 256  $\mu\text{g/ml}$  to 0.12  $\mu\text{g/ml}$ .

Four-hour broth cultures of organisms to be tested were adjusted using a spectrophotometer at 600 nm to equal a 0.5 McFarland Standard. This suspension was diluted 1:100 in YNBD to yield a cell concentration of  $1-5 \times 10^4$  colony forming units (CFU)/ml. Aliquots of the suspension (0.075 ml) were inoculated into each well of the microtiter plate resulting in a final cell inoculum of  $5-25 \times 10^3$  CFU/ml and final drug concentrations ranging from 128  $\mu\text{g/ml}$  to 0.06  $\mu\text{g/ml}$ . Each assay includes one row for drug-free control wells and one row for cell-free control wells.

After 24 hours of incubation, the microtiter plates were shaken gently on a shaker to resuspend the cells. The MIC-2000 inoculator was used to transfer a 1.5 microliter sample from each well of the 96-well microtiter plate to a single reservoir inoculum plate containing Sabouraud dextrose agar (SDA). The inoculated SDA plates were incubated for 24 hours at 35° C. The results were as follows:

COMPOUND†					ORGANISM				
					<i>C. albicans</i>			<i>C. parapsilosis</i>	<i>C. tropicalis</i>
$\text{R}_1$	$\text{R}_2$	$\text{R}_3$	$\text{R}^{\text{II}}, \text{R}^{\text{III}}$	MY 1055	MY 1028	MY 1750	MY 1010	MY 1012	
1)	H	H	$-\text{CH}_2\text{CH}_2\text{NH}_2$	H; $\text{CH}_2\text{CH}_2\text{NH}_2$	0.250	0.125	0.125	0.125	0.125
2)	H	H	$-\text{CH}_2\text{CONH}_2$	H; $\text{CH}_2\text{CH}_2\text{NH}_2$	1.000	0.500	1.000	1.000	0.500
3)	H	H	$-\text{CH}_2\text{CH}_2\text{NH}_2$	H; H	0.125	<0.060	0.125	<0.060	0.060
4)	OH	H	$-\text{CH}_2\text{CH}_2\text{NH}_2$	H; $\text{CH}_2\text{CH}_2\text{NH}_2$	<0.060	0.125	<0.060	<0.060	<0.060

\* $\text{R}^1 = \text{DMTD}$ ;

†as acid addition salts

hydrogen, are preferably prepared by reacting the sulfone (Compound B Seq ID No. 31-45) with an appropriately substituted amine  $\text{R}^{\text{II}}\text{R}^{\text{III}}\text{NH}$ . The reaction may be carried out by adding the amine to a stirred solution of the sulfone for time sufficient for reaction to take place. The product may be recovered by purifying by preparative HPLC and lyophilizing the appropriate components.

The invention also embraces acid addition salts. The compound in the normal course of isolation is obtained as an acid addition salt. Generally, it is as a trifluoroacetic acid salt. The salt thus obtained may be dissolved in water and passed through an anion exchange column beating the desired anion. The eluate containing the desired salt may be concentrated to recover the salt as a solid product.

The compounds of the present invention are active against many fungi and particularly against *Candida* species. The antifungal properties may be illustrated with the minimum fungicidal concentration (MFC) determination against certain *Candida* organisms in a microbroth dilution assay carded out in a Yeast Nitrogen Base (DIFCO) medium with 1% dextrose (YNBD).

In a representative assay, compounds were solubilized in 100% dimethyl sulfoxide (DMSO) at an initial concentration of 5 mg/ml. Once dissolved, the drug stock was brought to a concentration of 512  $\mu\text{g/ml}$  by dilution in water such that the final DMSO concentration was about 10 percent. The solution was then dispensed via a multichannel pipetter into the first column of a 96-well plate (each well containing 0.075 ml of YNBD), resulting in a drug concentration of 256  $\mu\text{g/ml}$ . Compounds in the first column were diluted 2-fold

The compounds also show in vivo effectiveness against fungi which may be demonstrated with the same compounds of the in vitro assay.

Growth from an overnight SDA culture of *Candida albicans* MY 1055 was suspended in sterile saline and the cell concentration determined by hemacytometer count and the cell suspension adjusted to  $3.75 \times 10^5$  cells/ml. Then 0.2 milliliter of this suspension was administered I.V. in the tail vein of mice so that the final inoculum was  $7.5 \times 10^4$  cells/mouse.

The assay then was carded out by administering aqueous solutions of Compound I at various concentrations intraperitoneally (I.P.), twice daily (b.i.d.) for four consecutive days to 18 to 20 gram female DBA/2 mice, which previously had been infected with *Candida albicans* in the manner described above. Distilled water was administered I.P. to *C. albicans* challenged mice as controls. After seven days, the mice were sacrificed by carbon dioxide gas, paired kidneys were removed aseptically and placed in sterile polyethylene bags containing 5 milliliters of sterile saline. The kidneys were homogenized in the bags, serially diluted in sterile saline and aliquots spread on the surface of SDA plates. The plates were incubated at 35° C. for 48 hours and yeast colonies were enumerated for determination of colony forming units (CFU) per gram of kidneys. Compounds (1), (2), (3) and (4) gave >99 percent reduction of recoverable *Candida* CFUs at 0.09 and 0.375 mg/kg I.P. twice daily for four consecutive days.

The compounds of the present invention are also useful for inhibiting or alleviating *Pneumocystis carini* infections

in immune-compromised patients. The efficacy of the compounds of the present invention for therapeutic or anti-infection purposes may be demonstrated in studies on immunosuppressed rats.

In a representative study, the effectiveness of Compound I-6-1 ( $R_1=OH$ ;  $R_2=H$ ;  $R_3=CH_2CH_2NH_2$ ;  $R'=DMTD$ ;  $R''=H$ ;  $R'''=CH_2CH_2NH_2$ ) was determined. Sprague-Dawley rats (weighing approximately 250 grams) were immunosuppressed with dexamethasone in the drinking water (2.0 mg/L) and maintained on a low protein diet for seven weeks to induce the development of pneumocystis pneumonia from a latent infection. Before drug treatment, two rats were sacrificed to confirm the presence of *Pneumocystis carinii* pneumonia (PCP); both rats were found to have infections. Five rats (weighing approximately 150 grams) were injected twice daily for four days subcutaneously (sc) with Compound I-6-1 in 0.25 ml of vehicle (distilled water). A vehicle control was also carried out. All animals continued to receive dexamethasone in the drinking water and low protein diet during the treatment period. At the completion of the treatment, all animals were sacrificed, the lungs were removed and processed, and the extent of disease determined by microscopic analysis of stained slides. The results of this study showed Compound I-6-1 reduced *P. carinii* cysts in 5 rats by at least 90 percent when dosed at 0.075 mg/kg with all rats surviving.

The outstanding properties are most effectively utilized when the compound is formulated into novel pharmaceutical compositions with a pharmaceutically acceptable carrier according to the conventional pharmaceutical compounding techniques.

The novel compositions contain at least a therapeutic antifungal or antipneumocystis amount of the active compound. Generally, the composition contains at least 1% by weight of Compound I. Concentrate compositions suitable for dilutions prior to use may contain 90% or more by weight. The compositions include compositions suitable for oral, topical, parenteral (including intraperitoneal, subcutaneous, intramuscular, and intravenous), nasal, and suppository administration, or insufflation. The compositions may be prepacked by intimately mixing Compound I with the components suitable for the medium desired.

Compositions formulated for oral administration may be a liquid composition or a solid composition. For liquid preparation, the therapeutic agent may be formulated with liquid carriers such as water, glycols, oils, alcohols, and the like; and for solid preparations such as capsules and tablets, with solid carriers such as starches, sugars, kaolin, ethyl cellulose, calcium and sodium carbonate, calcium phosphate, kaolin, talc, lactose, generally with lubricant such as calcium stearate, together with binders disintegrating agents and the like. Because of their ease in administration, tablets and capsules represent the most advantageous oral dosage form. It is especially advantageous to formulate the compositions in unit dosage form (as hereinafter defined) for ease of administration and uniformity of dosage. Compositions in unit dosage form constitute an aspect of the present invention.

Compositions may be formulated for injection and may take such forms as suspensions, solutions or emulsions in oily or aqueous vehicles such as 0.85 percent sodium chloride or 5 percent dextrose in water and may contain formulating agents such as suspending, stabilizing and/or dispersing agents. Buffering agents as well as additives such as saline or glucose may be added to make the solutions isotonic. The compound may also be solubilized in alcohol/propylene glycol or polyethylene glycol for drip intravenous

administration. These compositions also may be presented in unit dosage form in ampoules or in multidose containers, preferable with added preservative. Alternatively, the active ingredients may be in powder form for reconstituting with a suitable vehicle prior to administration.

The term "unit dosage form" as used in the specification and claims refers to physically discrete units, each unit containing a predetermined quantity of active ingredient calculated to produce the desired therapeutic effect in association with the pharmaceutical carrier. Examples of such unit dosage forms are tablets, capsules, pills, powder packets, wafers, measured units in ampoules or in multidose containers and the like. A unit dosage of the present invention will generally contain from 100 to 200 milligrams of one of the compounds.

When the compound is for antifungal use any method of administration may be employed. For treating mycotic infections, oral or intravenous administration is usually employed.

When the compound is to be employed for control of pneumocystis infections it is desirable to directly treat lung and bronchi. For this reason inhalation methods are preferred. For administration by inhalation, the compounds of the present inventions are conveniently delivered in the form of an aerosol spray presentation from pressurized packs or nebulizers. The preferred delivery system for inhalation is a metered dose inhalation (MDI) aerosol, which may be formulated as a suspension or solution of Compound I in suitable propellants, such as fluorocarbons or hydrocarbons.

Although the compounds of the present invention may be employed as tablets, capsules, topical compositions, insufflation powders, suppositories and the like, the solubility of the compounds of the present invention in water and aqueous media render them adaptable for use in injectible formulations and also in liquid compositions suitable for aerosol sprays.

The following examples illustrate the invention but are not to be construed as limiting.

Examples 1-3 illustrate the preparation of the products by the first method described, namely proceeding through the sulfone. This method may be employed in the preparation of any of the compounds but must be employed to obtain a useful yield of product when  $R_1$  is OH.

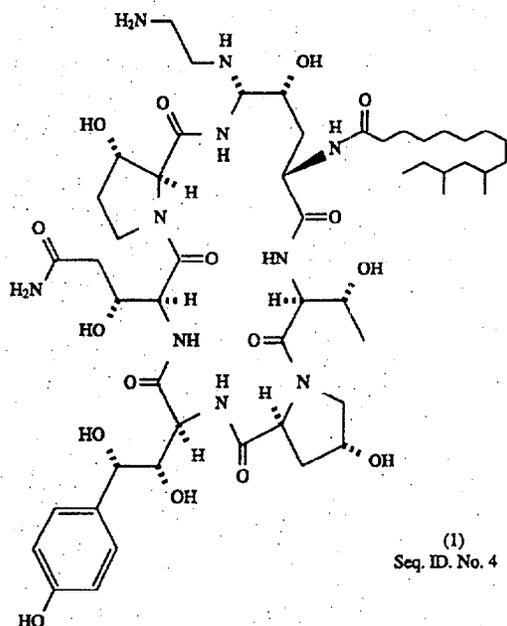
Examples 4 and following illustrate preparation of the products by direct substitution of nitrogen for oxygen into the hemiaminal position "5-orn". This method is preferred when  $R_1$  is H, and  $R''$  and  $R'''$  are H.

Example 3 illustrates employing as starting material, a compound in which  $R_3$  has already been reduced to  $CH_2CH_2NH_2$  from the natural product state where  $R_3$  is  $CH_2CONH_2$ . Similarly for compounds in which  $R_3$  is  $-CH_2CN$ , the already partially modified compound may be employed.

Examples 9 and 10 illustrate carrying out the conversion of the hemiaminal oxygen to nitrogen and then converting the  $CH_2CN$  or  $CH_2CH_2NH_2$ .

## EXAMPLE 1

2HCl



Part A. Preparation of Intermediate 1-[4-hydroxy-5-(epi-aminoethylthio-N<sup>2</sup>-(10,12-dimethyl-1-oxotetradecyl)ornithine]-5-(3-hydroxyglutamine)-6-(3-hydroxyproline)echinocandin B (Seq ID No 34)

A solution of 500 mg (0.47 mmol) of pneumocandin B<sub>0</sub> (Seq ID No 19), 5.34g (47 mmol) of 2-amino-ethanethiol hydrochloride and 109 mg (0.47 mmol) of (1S)-(+)-10-camphorsulfonic acid in 40 ml anhydrous DMF was stirred at 25° C. for 6 days. The reaction mixture was diluted with 40 ml of water and flash chromatographed on "LICHRO-PREP" RP18 (40-63 μm, 15.0g) packed with 10% acetonitrile/water. The column was eluted with 10 to 40% acetonitrile/water, collecting two 120 ml fractions at each 10 percent gradient. From the two 40% acetonitrile/water fractions was obtained 185 mg of material which was purified by preparative HPLC "ZORBAX" C8 (21.2x250 mm), eluting with 40-45% acetonitrile/water (0.1% TFA) to obtain 128 mg of 1-[4-hydroxy-5-(epi-aminoethylthio-N<sup>2</sup>-(10,12-dimethyl-1-oxotetradecyl)-ornithine]-5-(3-hydroxyglutamine)-6-(3-hydroxyproline)-echinocandin B trifluoro-

acetate as a white amorphous solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ1.34 (d, J=6.3 Hz, 3H), 2.89 (m, 2H), 4.72 (d, J=4.9 Hz, 1H) FAB-MS (Li), m/e 1131 (MH+Li)<sup>+</sup>

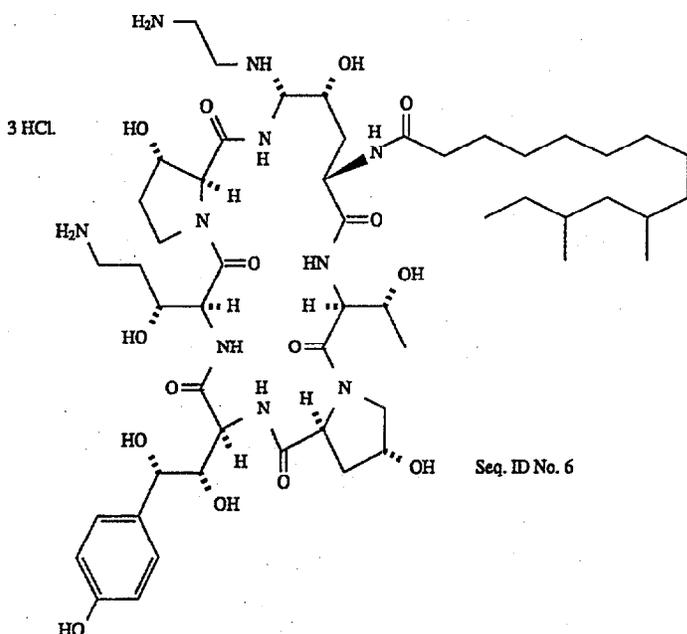
Pan B. Preparation of Intermediate Sulfone (Seq. ID 34)

To a stirred solution of the thio compound (444 mg, 0.358 mmol) obtained in Part A, in 15 mL of 1:1 acetonitrile/water was added "OXONE" (324 mg equivalent to 1.06 mmol of potassium hydrogen persulfate). After about 45 minutes, the solution was diluted with an equal volume of water and rapidly chromatographed using reverse-phase (C18) flash chromatography column eluting with 35-43% acetonitrile/water (0.1% TFA) in 2% step gradients. The product containing fractions were lyophilized to obtain 357 mg (86% yield) of the epi-sulfone. <sup>1</sup>H NMR (400MHz, CD<sub>3</sub>OD) δ3.48 (m, 2H), 3.55 (m, 1H), 3.71 (m, 1H), 3.91 (dd, 1H), 4.00 (m, 1H), 5.17 (dd, 1H), 6.76 (d, 2H), 7.16 (d, 2H)

Part C. Preparation of Product of Formula (1); Compound I-4 (Seq ID No 4)

To a stirred solution of 1.2 g (0.945 mmol) of epi-sulfone (prepared as described in Pan B) in 20 mL of anhydrous DMF was added ethylenediamine (568 mg, 9.45 mmol). After 1 hour, HPLC analysis (RP-C18, 40% CH<sub>3</sub>CN/H<sub>2</sub>O (0.1% TFA)) of the reaction mixture indicated complete conversion to two polar products in a ratio of 37:63. Reverse phase (C18) flash column chromatography eluting with 10-40% acetonitrile/water (0.1% TFA) in 5 percent step gradients was followed by lyophilization of the appropriate fractions to provide 200 mg (21% yield) of the normal product as the (bis)-trifluoroacetate salt. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ1.14 (d, J=6.2 Hz, 3H), 2.72 (dd, J=15.4 and 3.8 Hz, 1H), 4.10 (m, H), 5.04 (dd, J=8.7 and 3.2 Hz, 1H), 5.09 (dd, J=8.5 and 4.2 Hz, 1H), 5.18 (br s, 1H), 6.74 (d, J=8.6 Hz, 2H), 7.12 (d, J=8.6 Hz, 2H), 7.47 (d, J=8.6 Hz, 1H), 7.71 (d, J=10.0 Hz, 1H), 8.11 (d, J=8.7 Hz, 1H), 8.71 (d, J=8.7 Hz, 1H). FAB-MS (Li), m/z 1113.5 (MLi)<sup>+</sup>

The (bis)-trifluoroacetate salt from above was dissolved in H<sub>2</sub>O and the solution passed through a Bio-Rad AG2-X8 (Cl<sup>-</sup>) polyprep column washing with additional water. The product-containing eluate was lyophilized to give the above compounds as the (bis)-hydrochloride salt. Lyophilization of the fractions containing the major product provided epi-product <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ3.02 (m, 1H), 3.14 (m, 3H), 4.16 (m, 1H), 5.10 (dd, 1H), 6.76 (d, 2H), 7.14 (d, 2H). FAB-MS (Li), m/z 1113.9 (MLi)<sup>+</sup>



Part A. Preparation of Intermediate Sulfone (Seq. ID No. 36)

The starting compound, Compound A-6 R<sup>I</sup>=DMTD (Seq. ID No. 21), was prepared as described for such compound in the section entitled Preparation of Starting Materials.

Compound A-6 was then converted to the epi-thio compound Compound B-6 (Seq ID. No. 36) in a manner similar to that as described in Pan A of Example 1.

To a stirred solution of 285 mg (0.241 mmol) of Compound B-6 in 14 mL of 1:1 acetonitrile/water was added "OXONE" (162 mg equivalent to 0.530 mmol of potassium hydrogen persulfate). After a period of 45 minutes, the solution was diluted with an equal volume of water and chromatographed. Reverse-phase (C18) flash column chromatography eluting with 30-45% acetonitrile/water (0.1% trifluoroacetic acid) in 5% step gradients was followed by lyophilization of the product-containing fractions to provide 212 mg of the epi-sulfone (Compound C-6 Seq ID. No. 36) Yield=84%. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ3.08 (M, 2H), 3.46 (t, J=6.6 Hz, 2H), 3.68 (m), 5.05 (M), 6.77 (d, J= 8.5 Hz, 2H), 7.15 (d, J=8.5 Hz, 2H) FAB-MS (Li), m/z 1039.9

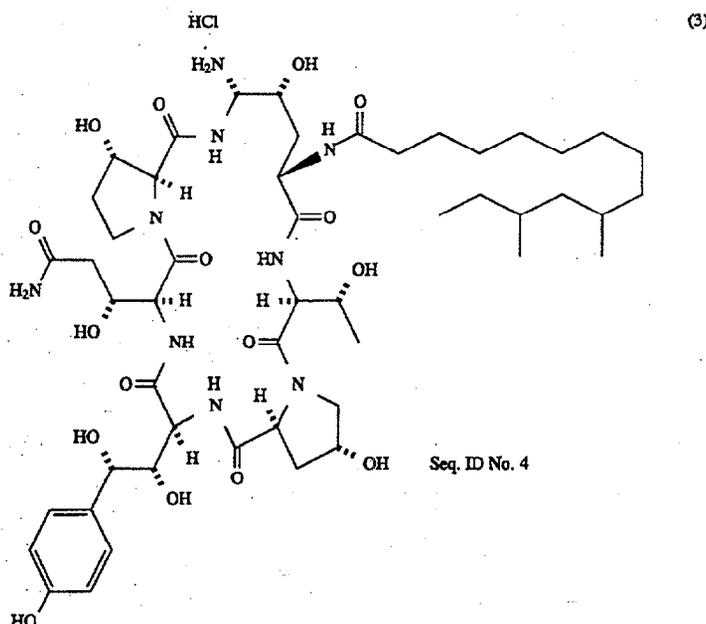
Part B. Preparation of the Product of Formula (2)

(Compound I-6; R<sup>II</sup>=R<sup>III</sup>=2-aminoethyl); .Seq ID No. 6

To a stirred solution of Compound C-6 (prepared as described in Part A, 418 mg, 0.305 mmol) in 10 mL of anhydrous N,N-dimethylformamide was added ethylenediamine (183 mg, 3.05 mmol). After a period of 1 h, HPLC analysis (RP-C18, 35% CH<sub>3</sub>CN/H<sub>2</sub>O (0.1% CF<sub>3</sub>COOH)) of the reaction mixture indicated complete conversion to two polar products in a ratio of 36:64. The reaction mixture was diluted with aqueous trifluoroacetic acid (190 mL H<sub>2</sub>O, 0.4 mL CF<sub>3</sub>COOH) and chromatographed. Reverse-phase (C18) flash column chromatography eluting with 10-25% acetonitrile/water (0.1% trifluoroacetic acid) in 5% step gradients was followed by lyophilization of the appropriate fractions

Yield=25% <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ1.17 (d, J=6.2 Hz), 2.44 (dd, J=7.0 and 13.2 Hz, 1H), 2.7-3.0 (m, 4H), 3.06 (t, J=7.0 Hz, 2H), 3.82 (m, 3H), 3.97 (dd, J= 11.2 and 3.2 Hz, 1H), 4.03 (m, 2H), 4.70 (d, J=2.3 Hz, 1H), 5.00 (d, J=3.3 Hz, 1H), 6.75 Hz (d, J=8.6 Hz, 2H), 7.11 (d, J=8.6 Hz, 2H) FAB-MS (Li), m/z 1099.9 (MLi)<sup>+</sup>, 1033.9

The (tris)-trifluoroacetate salt from above was dissolved in H<sub>2</sub>O and the solution passed through a Bio-Rad AG2-X8 (Cl-) polyprep column washing with additional water. The product-containing eluate was lyophilized to give 93 mg of the above compound as the (tris)-hydrochloride.



Part A: Preparation of Azide (Seq. ID No. 49)

To a stirred solution of 297 mg, 0.257 mmol epi-sulfone 30 (Example 1, Pan B) in 10 milliliters of anhydrous dimethylformamide was added lithium azide (126 mg, 2.57 mmol). After a period of 1 hr, HPLC analysis (RP-18, 40% CH<sub>3</sub>CN/H<sub>2</sub>O (0.1% of CF<sub>3</sub>COOH)) of the reaction mixture indicated complete conversion to a single substantially less polar 35 product. Reverse phase (C18) flash column chromatography eluting with 30–65% acetonitrile/water in 5% step gradients was followed by lyophilization of the product-containing fractions to provide crude azide. Preparative HPLC (C18, 40–45% CH<sub>3</sub>CN/H<sub>2</sub>O (0.1% CF<sub>3</sub>COOH) in one 5% step 40 gradient) produced an azido compound, Compound D-4, (Seq. ID No. 49). <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ 1.14 (d, J=6.1 Hz, 3H), 2.50 (dd, J=15.6 and 9.9 Hz, 1H), 2.84 (dd, J= 15.6 and 3.3 Hz, 1H), 3.95 (dd, J=11.2 and 3.1 Hz, 1H), 4.05 (m, 2H), 4.56 (m, 3H), 4.98 (dd, J=8.5 and 3.5 Hz, 1H), 5.10 (dd, J=8.3 and 4.2 Hz, 1H), 5.26 (dd, J=8.5 and 2.2 Hz, 1H), 6.74 (d, J=8.6 Hz, 2H), 7.12 (d, J=8.6 Hz, 2H), 7.44 (d, J=8.3 Hz, 1H), 7.76 (d, J=9.9 Hz, 1H), 8.26 (d, J=8.1 Hz, 1H), 8.83 (d, J=8.7 Hz, 1H), 9.00 (d, J=8.5 Hz, 1 H) 50 FAB-MS (Li), m/z 1096.9 (MH+Li)<sup>+</sup> IR (Nujol mull, cm<sup>-1</sup>) 2110

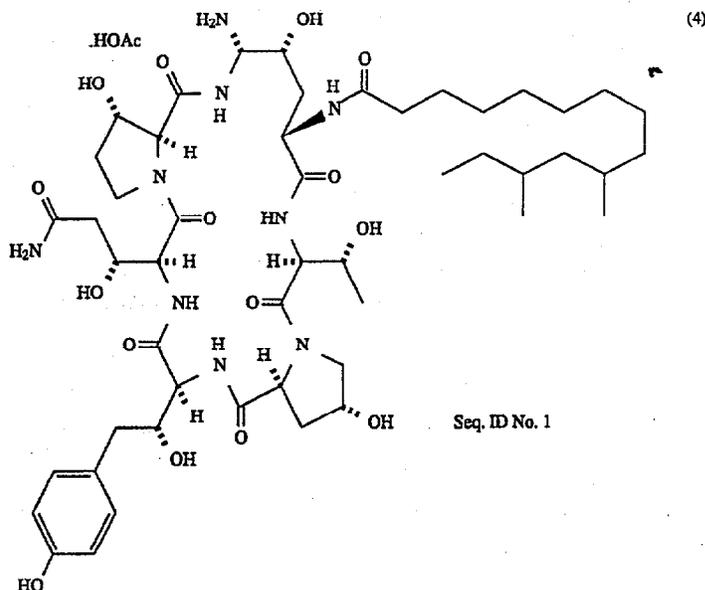
Part B: Preparation of the Amine (Seq. ID No. 4)

A mixture of azido compound D-4, prepared in Part A, 55 (137 mg, 0.126 mmol) and 10% Pd/C (137 mg) in glacial acetic acid (10 mL) was hydrogenated under balloon pressure for a period of 14 h. The catalyst was removed by filtration and the filtrate was lyophilized to obtain the crude amine. Purification by preparative HPLC (C 18, 35– 60 CH<sub>3</sub>CN/H<sub>2</sub>O (0.1% CF<sub>3</sub>COOH) in 3% step gradients), followed by lyophilization of the appropriate fractions provided the aza compound, Compound I-1, R<sup>II</sup>, R<sup>III</sup>=H (Seq. ID No. 1) as the trifluoroacetate salt: Yield=48% <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ 1.13 (d, J=6.1 Hz, 3H), 2.49 (dd, J=15.6 and 9.8 Hz, 1H), 2.81 (dd, J=15.6 and 3.4 Hz, 1H),

1H), 4.47 (dd, J=11.7 and 5.5 Hz, 1H), 4.57 (m, 2H), 5.00 (m, 1H), 5.10 (m, 1H), 5.14 (d, J=2.2 Hz, 1H), 6.74 (d, J=8.6 Hz, 2H), 7.12 (d, J=8.6 Hz, 2H), 7.42 (d, J=8.3 Hz, 1H), 8.89 (d, J=8.8 Hz, 1H) FAB-MS(Li), m/z 1071.0 (MLi)<sup>+</sup>

The trifluoroacetate was dissolved in H<sub>2</sub>O and the solution passed through a Bio-Rad AG2-X8 (Cl<sup>-</sup>) polypropylene column, washing with additional water. The product-containing eluate was lyophilized to obtain 66 mg of compound 14, R<sup>II</sup>, R<sup>III</sup>=H (Seq ID No. 1) as the hydrochloride.

In the following experiments, Solvent A=95% water/5% acetonitrile/0.1% trifluoroacetic acid and Solvent B=95% acetonitrile/5% water/0.1% trifluoroacetic acid. When the expression "in vacuo" or "rotovaped" is used, it refers to removal of solvent on a rotary evaporator.



#### A. Preparation of Intermediate Azide Compound D-1 (Seq ID No. 46)

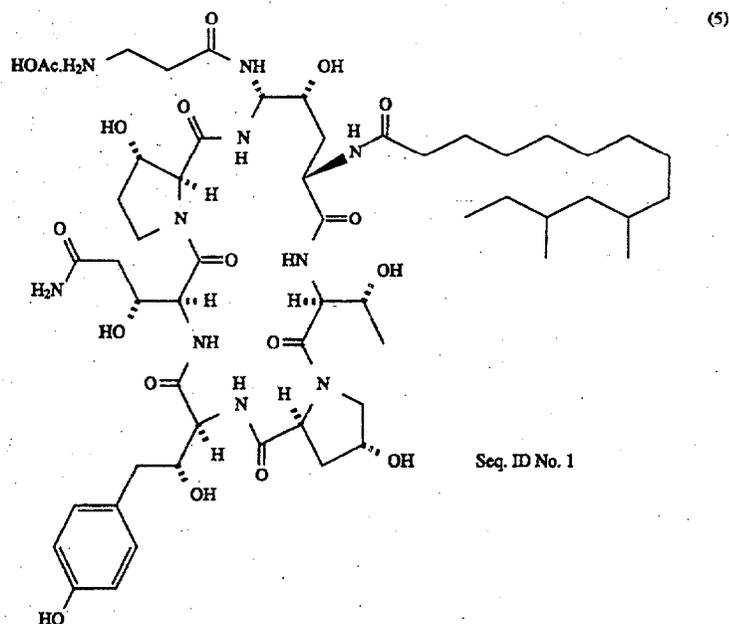
Pneumocandin B<sub>0</sub> (Compound A-4; Seq ID No. 19) (5.00 g, 4.69 mmol) was dissolved in 2M LiClO<sub>4</sub>-diethyl ether at room temperature. Trifluoroacetic acid (2.50 ml) was added to the stirring solution followed by triethylsilane (5.00 ml). The heterogeneous mixture was stirred rapidly for 6 hours after which time little or no starting pneumocandin B<sub>0</sub> was detectable by analytical HPLC (C18 "ZORBAX", 45% Solvent A/55% Solvent B/0.1% TFA, 1.5 ml/min). The mixture was poured into 200 ml of distilled water, filtered and air dried. The wet solid was stirred with diethyl ether, filtered and air dried to obtain 5.6 g of crude monoreduced pneumocandin B<sub>0</sub>. (Compound A-1; Seq ID No. 16).

The crude isolate from above was added, as a solid, to a preformed solution of HN<sub>3</sub> prepared by dissolving NaN<sub>3</sub> (3.06 g, 47.0 mmol) in 100 ml of trifluoroacetic acid with cooling. After stirring at room temperature for 30 minutes, the reaction mixture was poured into 350 ml of distilled water and stirred for 15 minutes. The precipitate was filtered, dissolved in methanol and the solvent removed in vacuo. The residual water was removed by azeotropic removal with 100% ethanol. The final solid was subjected to high vacuum to remove volatiles. The mixture was purified in two equal batches by preparative HPLC (C18 "DELTAPAK", 60 ml/min, 48 ml fractions) using a step gradient elution from 70% A/30% B to 50% A/50% B. The appropriate fractions were combined (determined by UV monitoring at λ=220 and 277 nm). Impure fractions were combined and reprocessed in a similar fashion as described above. A total of 1.78 g (35% yield) of azide D-1 (Seq ID No. 46) was obtained in this manner. <sup>1</sup>H NMR, (400 MHz, CD<sub>3</sub>OD): δ7.02 (d, 2H), 6.69 (d, 2H), 5.30 (d, 1H), 5.11 (d, 1H), 4.98 (d, 1H), 2.74 (dd, 1H), 1.13 (d, 3H). FAB-MS (Li), m/z 1081 (MH+Li)<sup>+</sup>.

#### B. Preparation of Amine of Formula (4) Compound I-1 (R<sup>II</sup>, R<sup>III</sup>=H (Seq ID No. 1)

The purified azide compound D-1 prepared above (1.50 g) was dissolved in 40 ml of methanol. 33% Aqueous acetic

then the reaction vessel was flushed with N<sub>2</sub>. The atmosphere inside the flask was replaced with H<sub>2</sub> and the mixture was stirred rapidly under an atmosphere of H<sub>2</sub> for 3 hours. The suspension was filtered through a 0.2 μm frit and the clear solution was concentrated to dryness in vacuo. The residue was dissolved in approximately 20 ml of distilled water, frozen and lyophilized to obtain 1.47 g (95%) of the desired amine compound (Seq ID No. 1) as a white solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): δ7.02 (d, 2H), 6.69 (d, 2H), 5.09 (d, 1H), 5.01 (d, 1H), 2.77 (dd, 1H), 1.15 (d, 3H). FAB-MS (Li), m/z 1055 (MH + Li)<sup>+</sup>



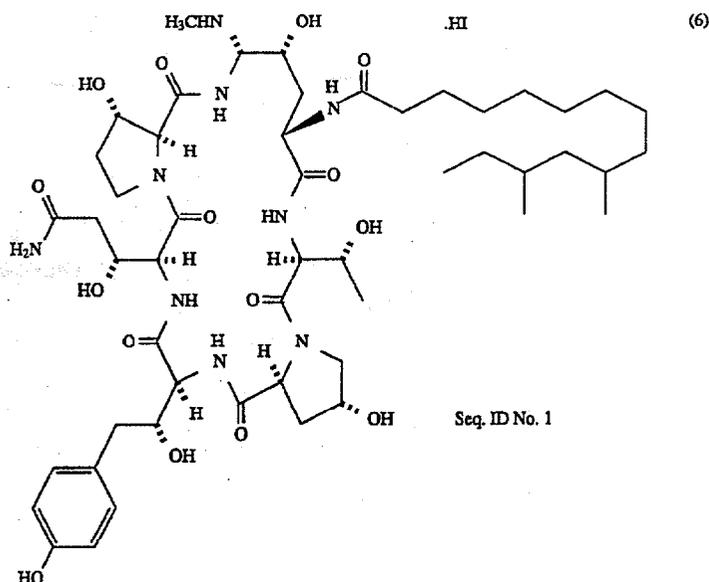
**A. Preparation of Intermediate Benzyloxycarbonyl Compound (Seq ID No. 1)**

The amine of formula (4) from Example 4 (200 mg, 0.180 mmol) and pentafluorophenyl N-benzyloxycarbonyl-3-aminopropanoate were dissolved in 1 ml of dimethylformamide. Diisopropylethylamine (0.035 ml, 0.198 mmol) was added and the mixture was stirred at ambient temperature for 1 hour. The reaction mixture was diluted with 2 mls methanol and purified by preparative HPLC (C18 "DELTA-PAK", step gradient: 70% A/30% B to 48% A/52% B, 48 ml fractions). The appropriate fractions as determined by UV absorbance (220, 277 nm) were combined, frozen and lyophilized to produce 100 mg (44%) of the desired intermediate. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): δ 7.32 (m, 5H), 7.01 (d, 2H), 6.69 (d, 2H), 5.64 (bd, 1H), 1.18 (d, 3H). FAB-MS (Li), m/z 1259 (MLi)<sup>+</sup>

**B. Preparation of 3-aminopropanoyl Compound of formula (5); Compound I-1 R<sup>II</sup>=H; R<sup>III</sup>=CO(CH<sub>2</sub>)<sub>2</sub>NH<sub>2</sub> (Seq ID No. 1)**

Benzyloxycarbonyl compound from Part A (94 mg, 0.075 mmol) was dissolved in a mixture of 3 ml methanol, 1 ml of water and 0.2 ml of acetic acid. 10% Pd-C (48 mg) was added and the vessel was flushed with N<sub>2</sub> gas. Next, the vessel was flushed with H<sub>2</sub> and the mixture was stirred vigorously under 1 atm H<sub>2</sub> for 2 hours. Removal of the volatiles in vacuo gave a solid. The solid was dissolved in about 4 ml of 50% aqueous acetonitrile, frozen and lyophilized to give 80 mg (91%) of the desired compound of formula (5) as a white solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): δ 7.01 (d, 2H), 6.69 (d, 2H), 6.67 (d, 1H), 5.10 (d, 1H), 4.99 (d, 1H), 3.12 (m, 2H), 1.91 (s, 3H), 1.17 (d, 3H). FAB-MS (Li), m/z 1125 (MLi)<sup>+</sup>

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EXAMPLE 6

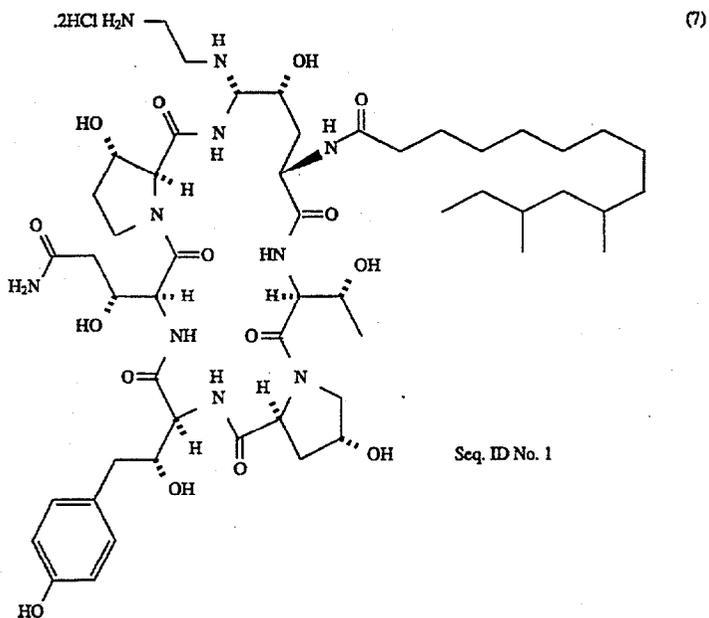


Preparation of N-Methylamino Compound of formula (6); Compound I-1 ( $R^{II}=H$ ;  $R^{III}=CH_3$ ) (Seq. ID No. 1)

The amine of formula (5) from Example 5 (45.6 mg, 0.135 mmol) was dissolved in 0.5 ml of dry dimethylformamide. Iodomethane (0.021 ml, 0.338 mmol) was added followed by diisopropylethylamine (0.0824 ml, 0.473 mmol). After stirring at ambient temperature for 24 hours, the volatiles were removed in vacuo and the crude product was analyzed by mass spectrometry. FAB-MS (I.,i), m/z 1068 (MLi)<sup>+</sup>

The amine compound prepared as described in Example 4 (500 mg, 0.451 mmol) was dissolved in 3 ml of dry dimethylformamide. Bromoacetonitrile that had been prepurified by passing through a small plug of magnesium sulfate-sodium bicarbonate (0.063 ml, 0.902 mmol), was added followed by diisopropylethylamine (0.157 ml, 0.902 mmol). The clear reaction mixture was stirred for 12 hours and then diluted with a small volume of water. The solution was purified by preparative HPLC (C18 "DELTAPAK", step gradient: 70% A/30% B to 47% A/53% B, 48 ml fractions). The appropriate fractions, as determined by UV absorbance at 220 and 277 nm, were pooled, frozen and lyophilized to

EXAMPLE 7



A. Preparation of Intermediate Nitrile(N-Cyanomethyl) Compound I-1;  $R^{II}=H$ ;  $R^{III}=CH_2CN$  (Seq. ID No. 1)

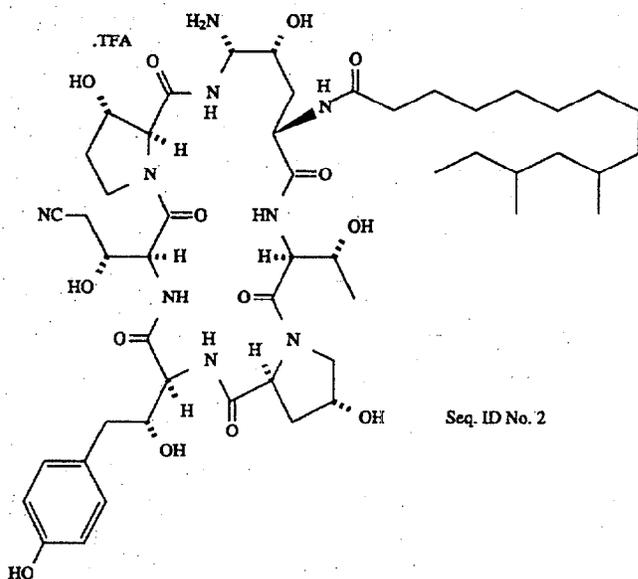
yield 338 mg (62%) of the desired intermediate cyanomethyl compound as a water insoluble solid. <sup>1</sup>H NMR (400 MHz,

CD<sub>3</sub>OD): 87.01 (d, 2H), 6.69 (d, 2H), 5.12 (dd, 1H), 5.01 (dd, 1H), 3.80 (s, 2H), 2.76 (dd, 1H), 1.15 (d, 3H). FAB-MS (Li), *m/z* 1094 (MH+Li)<sup>+</sup>

B. Preparation of N-aminoethyl Compound of formula (7); Compound I-1; R<sup>II</sup>=H; R<sup>III</sup>=(CH<sub>2</sub>)<sub>2</sub>NH<sub>2</sub> (Seq ID No. 1)

The nitrile (cyanomethyl) compound prepared above (300 mg, 0.249 mmol) was dissolved in 5.0 ml of methanol. Next, nickel (II) chloride hexahydrate (237 mg, 0.997 mmol) was added. Sodium borohydride (189 mg, 4.99 mmol) was added to the solution in three portions. A black precipitate formed immediately and the mixture was stirred for 15 minutes at ambient temperature. The heterogeneous mixture was diluted with about 20–40 ml of water and approximately 10–15 ml of 2N HCl was added. Stirring was continued for 45 minutes until the black precipitate had dissolved and a blue-green solution remained. Purification was accomplished by preparative HPLC (C18 "DELTA-PAK", step gradient: 70% A/30% B to 55% A/45% B, 48 ml fractions). The appropriate fractions, as determined by UV absorbance at 220 and 277 nm, were pooled, frozen and lyophilized to yield 180 mg (55%) of the desired product. The material was dissolved in 30 ml of water and passed through an ion exchange column (Cl<sup>-</sup> form), rinsing with distilled water. The solution was frozen and lyophilized to obtain 149 mg (94% recovery) of the desired aminoethyl compound of formula (7) Seq ID NO. 1 as a white solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): 87.01 (d, 2H), 6.69 (d, 2H), 5.11 (dd, 1H), 5.07 (dd, 1H), 1.14 (d, 3H). FAB-MS (Li), *m/z* 1098 (MH+Li)<sup>+</sup>

### EXAMPLE 8



A. Preparation of Intermediate Azide Compound (Seq ID No. 47)

Pneumocandin B<sub>0</sub> nitrile (Seq ID No. 20) (2.00 g, 1.91 mmol) was dissolved in 24 ml of 2M LiClO<sub>4</sub>-diethyl ether. Triethylsilane (2.00 ml) followed by trifluoroacetic acid (1.00 ml) was added and the mixture was rapidly stirred at ambient temperature for 6 hours. The mixture was poured

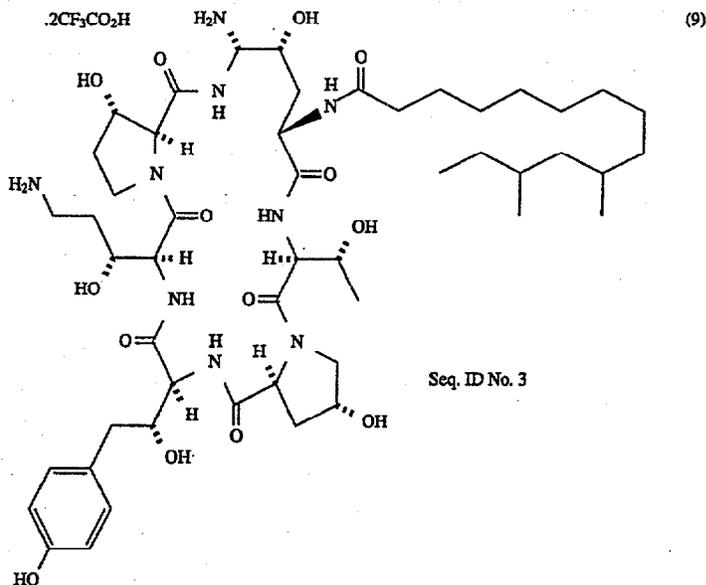
into 300 ml of water, stirred for 15 minutes and filtered. The filter cake was dissolved in a minimal amount of methanol and the solvent removed in vacuo. The residual water was azeotroped with 100% ethanol and the residue was subjected to high vacuum overnight to remove volatiles to obtain a product (Seq ID No. 17) mono-reduced at the benzylic carbon.

The crude solid from above and sodium azide (1.26 g, 19.4 mmol) were placed in a roundbottom flask equipped with a stirring bar and cooling bath. Trifluoroacetic acid (50 ml) was slowly added, the cooling bath was removed and the mixture was stirred for 2 hours. It was poured into 300 ml of water and filtered. The solid was dissolved in methanol, rotovaped and pumped under high vacuum to remove volatiles. The crude material was purified by preparative HPLC (C18 "DELTA-PAK", step gradient: 55% A/45% B to 45% A/55% B, 56 ml fractions). The appropriate fractions, as determined by UV absorbance at 220 and 277 nm, were pooled, frozen and lyophilized to yield 0.59 g (29%) of the desired intermediate azide (Seq ID No. 47). <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): 87.00 (d, 2H), 6.69 (d, 2H), 5.34 (d, 1H), 5.07 (d, 1H), 5.00 (m, 1H), 2.88 (dd, 1H), 1.17 (d, 3H). FAB-MS (Li), *m/z* 1036 (M-N<sub>2</sub>+Li)<sup>+</sup>

B. Preparation of Compound of Formula (8) (Seq ID No. 48)

The purified azide from Part A (0.15 g, 0.142 mmol) was dissolved in a mixture of 4 ml methanol, 1 ml water and 0.5 ml of acetic acid. 10% Pd-C (50 mg) was added to the solution. The reaction flask was flushed with N<sub>2</sub>, then with H<sub>2</sub>. The mixture was rapidly stirred at ambient temperature for 5 hours under 1 atmosphere of H<sub>2</sub>. Subsequent filtration

through a 0.2 μm frit and removal of the volatiles in vacuo produced 0.124 g (80%) of the desired compound of formula (8) Compound I-2; R<sup>II</sup>, R<sup>III</sup>=H; R<sup>I</sup>=DMTD (Seq ID No. 2) as a white solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): 87.00 (d, 2H), 6.69 (d, 2H), 5.04 (d, 1H), 5.01 (m, 1H), 2.79 (dd, 1H), 1.18 (d, 3H). FAB-MS (Li), *m/z* 1037 (MH+Li)<sup>+</sup>



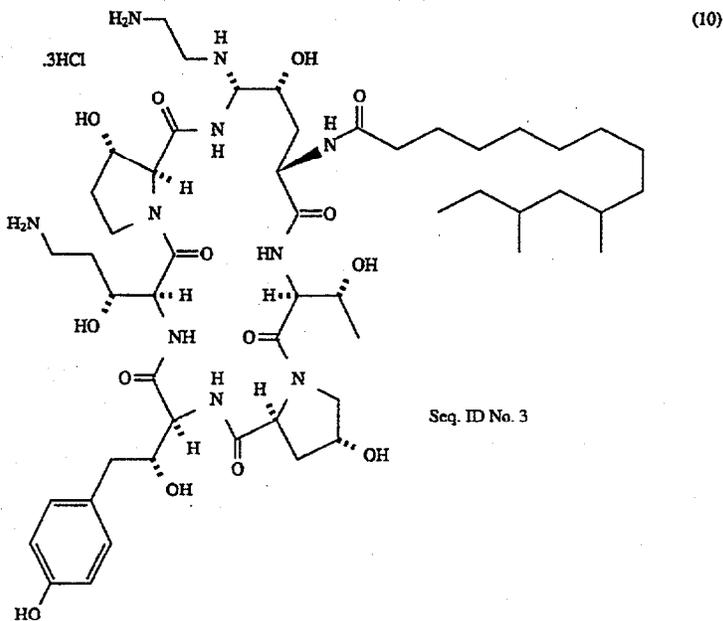
**Preparation of Amine Compound of Formula (9) (Seq ID No. 3)**

The purified azide-nitrile from Example 8, Part A (44 mg, 0.0416 mmol) was dissolved in 1.5 ml of methanol followed by  $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$  (59 mg, 0.25 mmol). Next,  $\text{NaBH}_4$  (8x12 mg, 2.50 mmol) was added cautiously in portions. The black, heterogeneous reaction mixture was stirred for 30

minutes at ambient temperature. The reaction was quenched by adding about 1.5 ml of 2N HCl and enough acetic acid to dissolve the precipitate. The pale solution was diluted with 3 ml of water and purified by preparative HPLC (C18 "ZORBAX", step gradient: 70% M30% B to 60%A/40% B, 15 ml/min, 15 ml fractions). The appropriate fractions as determined by UV absorbance at 210 and 277 nm, were

desired compound of formula (9) as a white solid.  $^1\text{H NMR}$  (400 MHz,  $\text{CD}_3\text{OD}$ ):  $\delta$ 6.99 (d, 2H), 6.70 (d, 2H), 5.11 (d, 1H), 5.0 (m, 1H), 3.05 (m, 2H), 1.17 (d, 3H). FAB-MS (Li),  $m/z$  1041 (MH+Li) $^+$

**EXAMPLE 10**



minutes at ambient temperature. The reaction was quenched by adding about 1.5 ml of 2N HCl and enough acetic acid to dissolve the precipitate. The pale solution was diluted with 3 ml of water and purified by preparative HPLC (C18 "ZORBAX", step gradient: 70% M30% B to 60%A/40% B, 15 ml/min, 15 ml fractions). The appropriate fractions as determined by UV absorbance at 210 and 277 nm, were

**A. Preparation of Intermediate Bis-nitrile Compound (Compound I-2;  $\text{R}''=\text{H}$ ;  $\text{R}'''=\text{CH}_2\text{CN}$ ;  $\text{R}'=\text{DMTD}$ ) (Seq ID No. 2)**

The nitrile-amine compound of Example 8 Part B (500 mg, 0.459 mmol) was dissolved in 3 ml of dry dimethylformamide. Bromoacetonitrile that had been prepurified by

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passing through a small plug of magnesium sulfate-sodium bicarbonate (0.064 ml, 0.917 mmol), was added followed by diisopropylethylamine (0.155 ml, 0.917 mmol). The reaction mixture was stirred at ambient temperature for 18 hours. It was diluted with water and purified by preparative HPLC (C18 "DELTA-PAK", 60 ml/min, step gradient: 70% A/30% B to 50% A/50% B, 48 ml fractions). The appropriate fractions, as determined by UV absorbance at 220 and 277 nm, were pooled, frozen and lyophilized to obtain 198 mg (36%) of the desired Compound I-2; R<sup>II</sup>=H; R<sup>III</sup>=CH<sub>2</sub>CN <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): 87.00 (d, 2H), 6.69 (d, 2H), 5.08 (dd, 1H), 5.01 (dd, 1H), 3.73 (s, 2H), 2.79 (dd, 1H), 1.18 (d, 3H). FAB-MS (Li), m/z 1076 (MH+Li)<sup>+</sup>

B. Preparation of Compound of formula (10) (Seq ID No. 3)

The bis-nitrile from Part A (184 mg, 0.155 mmol) was dissolved in 3 ml of methanol. NiCl<sub>2</sub>·6H<sub>2</sub>O (148 mg, 0.621 mmol) was dissolved in the methanol and NaBH<sub>4</sub> (117 mg, 3.1 mmol) was added in three portions. After 5 minutes, COCl<sub>2</sub>·6H<sub>2</sub>O (148 mg, 0.621 mmol) was added and stirred about 1 minute. An additional 117 mg of NaBH<sub>4</sub> was added and stirring was continued for 15 minutes. Another 60 mg portion of NaBH<sub>4</sub> was added to drive the reaction to completion. The mixture was diluted with water, acidified

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hereinafter on preparation of starting materials are in separate operations dissolved in LiClO<sub>4</sub>-diethyl ether and to it is added with stirring trifluoroacetic acid and triethylsilane for 5 to 10 hours. The mixture is then poured into water, filtered, and the solid stirred with diethyl ether, then filtered and air dried to obtain cyclopeptide in which R<sub>1</sub> has been reduced to H.

The monoreduced compound is added to a preformed solution of HN<sub>3</sub> (from NaN<sub>3</sub> and trifluoroacetic acid) with cooling and stirred at room temperature for 30 minutes to one hour and then poured into water to obtain the azide product which is recovered in the manner previously described.

The azide is hydrogenated as previously described using Pd/C as catalyst and the product is recovered from the filtrate after separation of the catalyst.

The products obtained in this manner are as follows:

Example	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	NR <sup>II</sup>	R <sup>III</sup>	R <sup>I</sup>	Seq. ID No.
11	H	H	CH <sub>2</sub> CONH <sub>2</sub>	H	H	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	12
12	H	H	CH <sub>2</sub> CN	H	H	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	13
13	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	H	H	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	14
14	H	CH <sub>3</sub>	CH <sub>3</sub>	H	H	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	15

with 2N HCl and stirred until the black precipitate dissolved. Purification by preparative HPLC (C18 "ZORBAX", 15 ml/min, step gradient: 70% A/30% B to 55% A/45% B, 22.5 ml fractions, 220, 277 nm) gave after lyophilization a solid. The solid was dissolved in water and passed through an ion exchange column (Cl<sup>-</sup> form), frozen and lyophilized to give 81.1 mg (44%) of the desired compound of formula (10) (Compound I-3 (Seq ID No. 3) as a white solid. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD): 87.00 (d, 2H), 6.70 (d, 2H), 3-3.3 (m, 6H), 1.18 (d, 3H). FAB-MS (Li), m/z 1084 (MH+Li)<sup>+</sup>

## EXAMPLES 15-17

In operations carded out in a manner similar to that described in Example 7, the compounds of Examples 11, 13 and 14, are dissolved in dimethylformamide and added thereto are purified bromoacetonitrile followed by diisopropylethylamine and the mixture stirred from twelve to eighteen hours to produce a nitrile (an N-cyanomethyl) compound. The latter is purified by preparative HPLC.

The nitrile is dissolved in methanol and reduced chemically employing nickel (II) chloride and sodium borohydride to obtain aminoethyl substituted compound as follows:

Example	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	NR <sup>II</sup>	R <sup>III</sup>	R <sup>I</sup>	Seq. ID No.
15	H	H	CH <sub>2</sub> CONH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	C <sub>10</sub> H <sub>6</sub> OC <sub>8</sub> H <sub>17</sub>	12
16	H	H	(CH <sub>2</sub> ) <sub>2</sub> NH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	C <sub>10</sub> H <sub>6</sub> OC <sub>8</sub> H <sub>17</sub>	14
17	H	H	CH <sub>3</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	C <sub>10</sub> H <sub>6</sub> OC <sub>8</sub> H <sub>17</sub>	15

## EXAMPLE 11-14

In operations carded out in a manner similar to that described in Example 4, the appropriate cyclopeptide natural products or modified natural products obtained as described

## EXAMPLES 18-21

In operations carried out in a manner similar to that described in Example 1, 2 and 3, compounds having the substituents below may be prepared:

Example	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	NR <sup>II</sup>	R <sup>III</sup>	R <sup>I</sup>	Seq ID No.
18	OH	CH <sub>3</sub>	CH <sub>2</sub> CONH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	DMTD	7
19	OH	CH <sub>3</sub>	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	DMTD	8
20	OH	OH	CH <sub>2</sub> CONH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	DMTD	9
21	OH	OH	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	DMTD	14

10

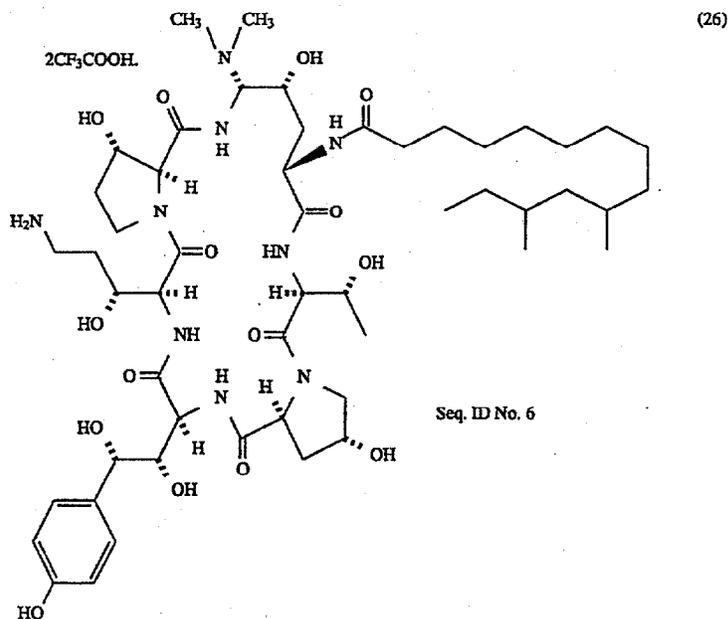
## EXAMPLES 22-25

In operations carried out in a manner similar to that described in Example 1, the following compounds are prepared:

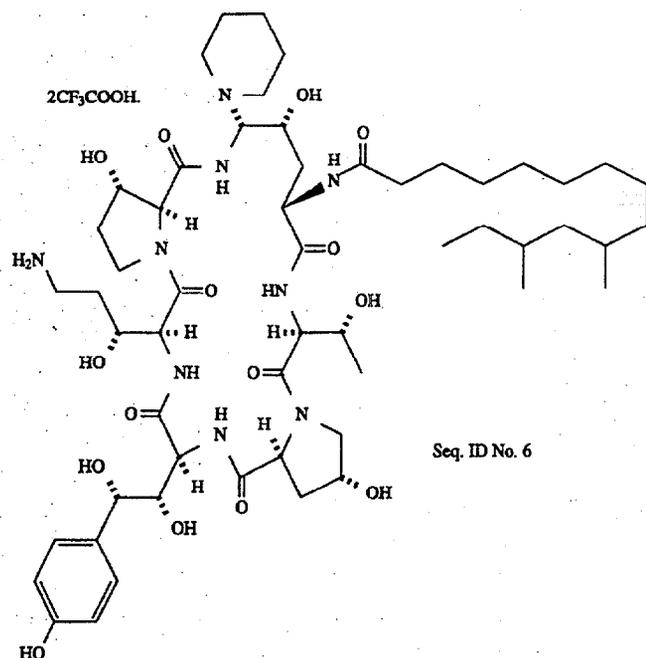
Example	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	NR <sup>II</sup>	R <sup>III</sup>	R <sub>t</sub>	Seq ID No.
22	OH	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	10
23	OH	CH <sub>3</sub>	H	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	C <sub>6</sub> H <sub>4</sub> OC <sub>8</sub> H <sub>17</sub>	11
24	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	H	(CH <sub>2</sub> ) <sub>3</sub> NH <sub>2</sub>	DMTD	6
25	OH	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	H	CH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>	DMTD	6

25

## EXAMPLE 26



The above compound is prepared in a manner similar to that described in Example 2, Part B, substituting dimethyl- 55  
 lamine for ethylenediamine to obtain a compound of M.W.=  
 1334.43.

35  
EXAMPLE 27

The above compound is prepared in a manner similar to that in Example 26, substituting piperidine for dimethylamine to obtain a compound of M.W. 1374.

## EXAMPLE 28

1000 compressed tablets each containing 500 mg of the compound of formula (2), [Compound I-6 ( $R^I=H$ ;  $R^{II}=2$ -aminoethyl) Seq ID No 6.], are prepared from the following formulation:

Compound	Grams
Compound of Example 2	500
Starch	750
Dibasic calcium phosphate, hydrous	5000
Calcium stearate	2.5

The finely powdered ingredients are mixed well and granulated with 10 percent starch paste. The granulation is dried and compressed into tablets.

## EXAMPLE 29

1000 hard gelatin capsules, each containing 500 mg of the same compound are prepared from the following formulation:

Compound	Grams
Compound of Example 2	500
Starch	250
Lactose	750
Talc	250
Calcium stearate	10

A uniform mixture of the ingredients is prepared by blending and used to fill two-piece hard gelatin capsules.

## EXAMPLE 30

An aerosol composition may be prepared having the following formulation:

	Per Canister
Compound of Example 2	24 mg
Lecithin NF Liquid Concd.	1.2 mg
Trichlorofluoromethane, NF	4.026 g
Dichlorodifluoromethane, NF	12.15 g

## EXAMPLE 31

250 milliliters of an injectible solution may be prepared by conventional procedures having the following formulation:

Dextrose	12.5 g
Water	250 ml
Compound of Example 4	400 mg

The ingredients are blended and thereafter sterilized for use.

## PREPARATION OF STARLING MATERIALS

A-4 when  $R^I$  is DMTD may be produced by cultivating *Zalerion arboricola* ATCC 206868 in nutrient medium with mannitol as the primary source of carbon as described in U.S. Pat. No. 5,021,341, Jun. 4, 1991.

A-7 when  $R^I$  is DMTD may be produced by cultivating *Zalerion arboricola* ATCC 20868 in nutrient medium as described in U.S. Pat. No. 4,931,352, Jun. 5, 1990.

A-10 when  $R^I$  is linoleyl may be produced by cultivating *Aspergillus nidulans* NRRL 11440 in nutrient medium as described in U.S. Pat. No. 4,288,549, Sep. 8, 1981.

A-11 when  $R^I$  is 11-methyltridecyl may be produced by cultivating *Aspergillus sydowi* in nutrient medium as described in J. Antibiotics XL (No. 3) p 28 (1987).

A-12 may be produced by cultivation of *Zalerion arbo-  
ricola* ATCC 20958 in nutrient medium as described in  
copening U.S. application Ser. No. 07/630,457, filed Dec.  
19, 1990, U.S. Pat. No. 5,306,708 issued Apr. 26, 1994.

Compounds in which R<sub>1</sub> is H may be produced as  
described in Example 4, Part A.

Compounds in which R<sub>2</sub> is CH<sub>2</sub>CN such as A-2, A-5 and  
A-8 may be produced by the reaction of a compound having  
a carboxamide group in the corresponding position with  
excess cyanuric chloride in an aprotic solvent. Molecular  
sieves may be employed in this reaction. After completion  
of the reaction, the sieves, if employed, are removed, and the  
filtrate concentrated to obtain the nitrile compound as more  
fully described in copending U.S. application Ser. No. 936,  
434, Sep. 3, 1992.

Compounds in which R<sub>3</sub> is CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub>, such as A-3, A-6  
and A-9 may be produced by either a chemical or catalytic  
reduction of the nitrile. It is conveniently carried out  
employing large molar excess of sodium borohydride with  
cobaltous chloride as more fully described in copending  
U.S. application Ser. No. 936,558, Sep. 3, 1992.

Starting materials in which R<sup>f</sup> is a different group from  
that of the natural product may be obtained by deacylating  
the lipophilic group of the natural product by subjecting the  
natural product in a nutrient medium to a deacylating  
enzyme until substantial deacylation occurs, said enzyme  
having first been obtained by cultivating a microorganism of  
the family Pseudomonadaceae or Actinoplanaceae, as  
described in Experientia 34, 1670 (1978) or U.S. Pat. No.  
4,293,482, recovering the deacylated cyclopeptide, and  
thereafter acylating the deacylated cyclopeptide by mixing  
together with an appropriate active ester R<sup>f</sup>COX to obtain  
Compound A with the desired acyl group.

## SEQUENCE LISTING

## ( 1 ) GENERAL INFORMATION:

( i i i ) NUMBER OF SEQUENCES: 60

## ( 2 ) INFORMATION FOR SEQ ID NO:1:

## ( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

X a a T h r X a a X a a X a a X a a  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:2:

## ( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

X a a T h r X a a X a a X a a X a a  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:3:

## ( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE



-continued

( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 8:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:9:

( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 9:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:10:

( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 10:

X a a T h r X a a X a a T h r X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:11:

( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 11:

X a a T h r X a a X a a S e r X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:12:

( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 12:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:13:

-continued

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 13:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:14:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 14:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:15:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 15:

Xaa Thr Xaa Xaa Thr Xaa  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:16:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 16:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:17:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 17:

-continued

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:18:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 18:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:19:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 19:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:20:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 20:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:21:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 21:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:22:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

-continued

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 22:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:23:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 23:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:24:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 24:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:25:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 25:

Xaa Thr Xaa Xaa Thr Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:26:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 26:

Xaa Thr Xaa Xaa Ser Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:27:

( i ) SEQUENCE CHARACTERISTICS:

-continued

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 27:

X a a T h r X a a X a a X a a X a a  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:28:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 28:

X a a T h r X a a X a a X a a X a a  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:29:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 29:

X a a T h r X a a X a a X a a X a a  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:30:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 30:

X a a T h r X a a X a a T h r X a a  
 1 5

- ( 2 ) INFORMATION FOR SEQ ID NO:31:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 31:

X a a T h r X a a X a a X a a X a a  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:32:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 32:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:33:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 33:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:34:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 34:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:35:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 35:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:36:

- ( i ) SEQUENCE CHARACTERISTICS:
  - ( A ) LENGTH: 6
  - ( B ) TYPE: AMINO ACID
  - ( C ) STRANDEDNESS: Not Relevant
  - ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:
  - ( A ) DESCRIPTION: PEPTIDE

-continued

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 36:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:37:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 37:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:38:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 38:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:39:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 39:

X a a T h r X a a X a a X a a X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:40:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID
- ( C ) STRANDEDNESS: Not Relevant
- ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:

- ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 40:

X a a T h r X a a X a a T h r X a a  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:41:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 6
- ( B ) TYPE: AMINO ACID

-continued

( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 41:

Xaa Thr Xaa Xaa Ser Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:42:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 42:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:43:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 43:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:44:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 44:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

( 2 ) INFORMATION FOR SEQ ID NO:45:

( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 45:

Xaa Thr Xaa Xaa Thr Xaa  
 1 5



-continued

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:51:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 51:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:52:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 52:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:53:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 53:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:54:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 54:

Xaa Thr Xaa Xaa Xaa Xaa  
1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:55:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

-continued

## ( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 55:

Xaa Thr Xaa Xaa Thr Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:56:

## ( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 56:

Xaa Thr Xaa Xaa Ser Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:57:

## ( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 57:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:58:

## ( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 58:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:59:

## ( i ) SEQUENCE CHARACTERISTICS:

( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

## ( i i ) MOLECULE TYPE:

( A ) DESCRIPTION: PEPTIDE

## ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 59:

Xaa Thr Xaa Xaa Xaa Xaa  
 1 5

## ( 2 ) INFORMATION FOR SEQ ID NO:60:

- ( i ) SEQUENCE CHARACTERISTICS:  
 ( A ) LENGTH: 6  
 ( B ) TYPE: AMINO ACID  
 ( C ) STRANDEDNESS: Not Relevant  
 ( D ) TOPOLOGY: CIRCULAR

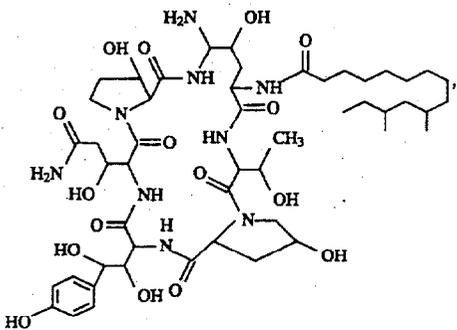
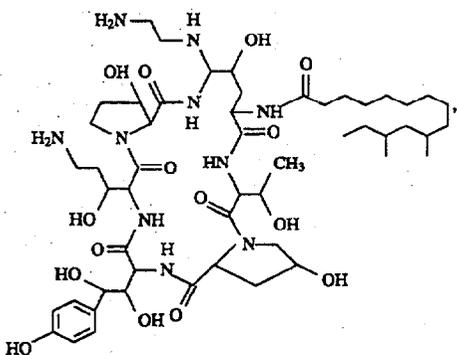
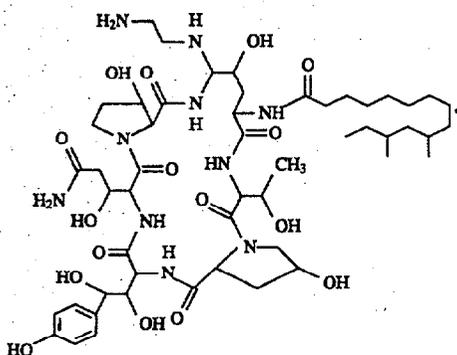
- ( i i ) MOLECULE TYPE:  
 ( A ) DESCRIPTION: PEPTIDE

- ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 60:

Xaa Thr Xaa Xaa Thr Xaa  
 1 5

We claim:

1. An antimicrobial composition comprising a compound selected from the group consisting of



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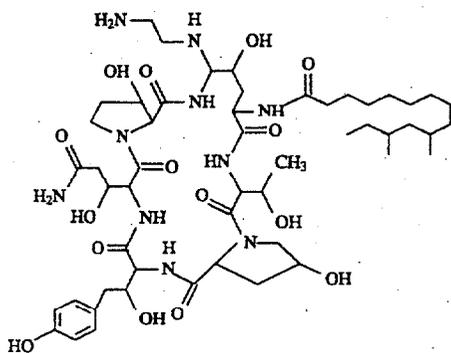
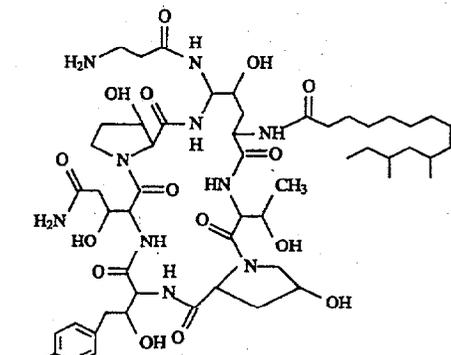
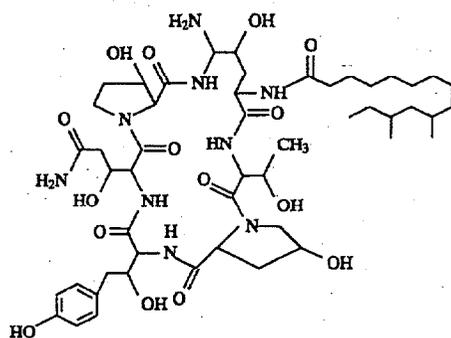
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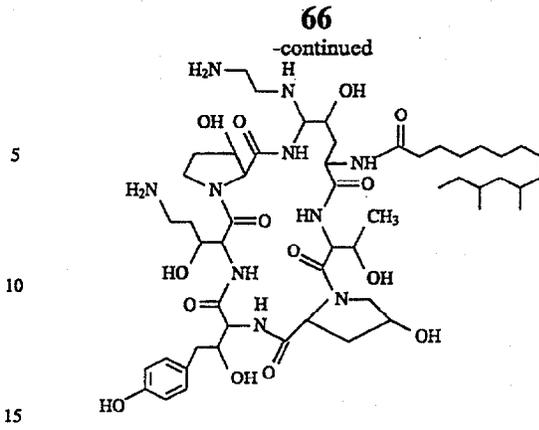
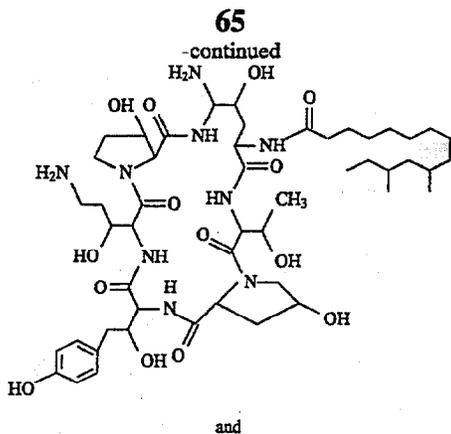
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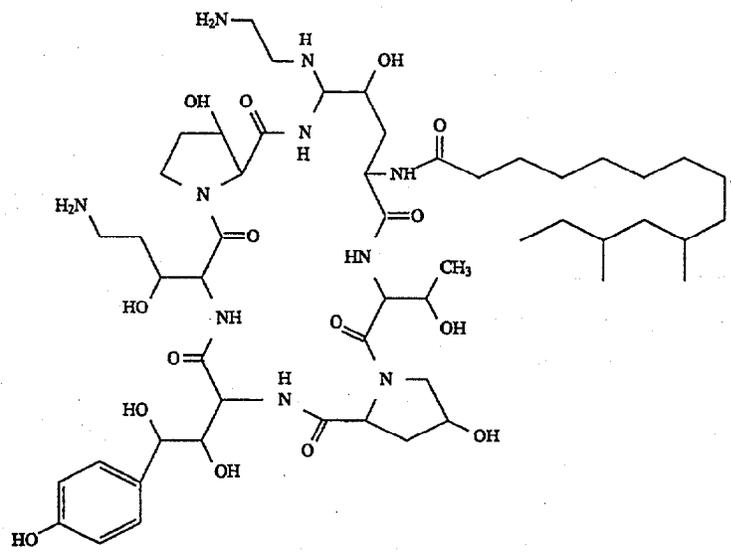
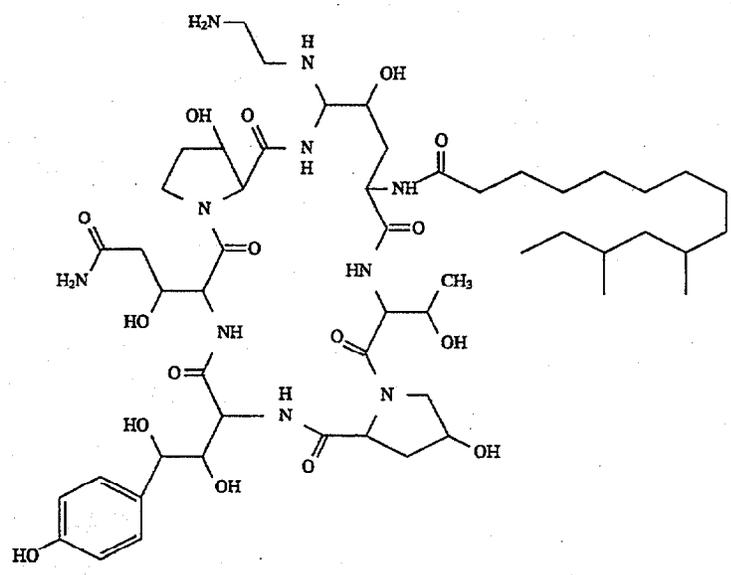


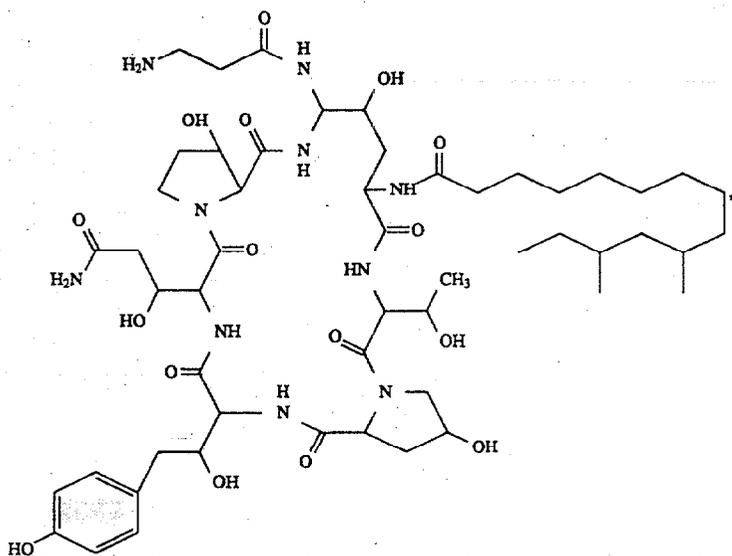
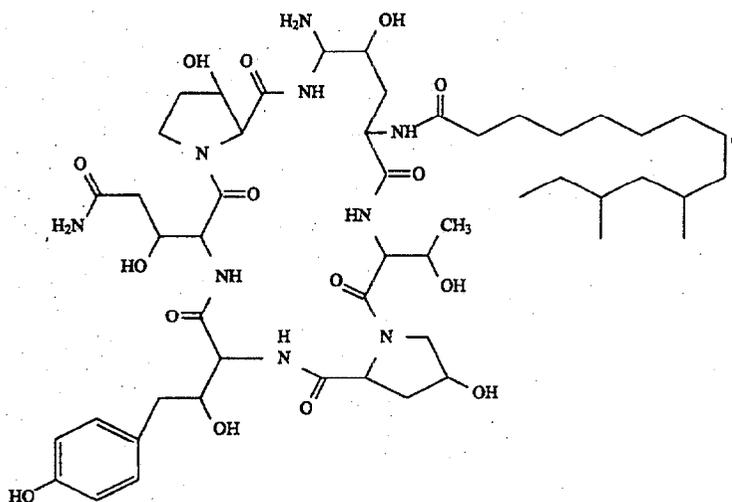
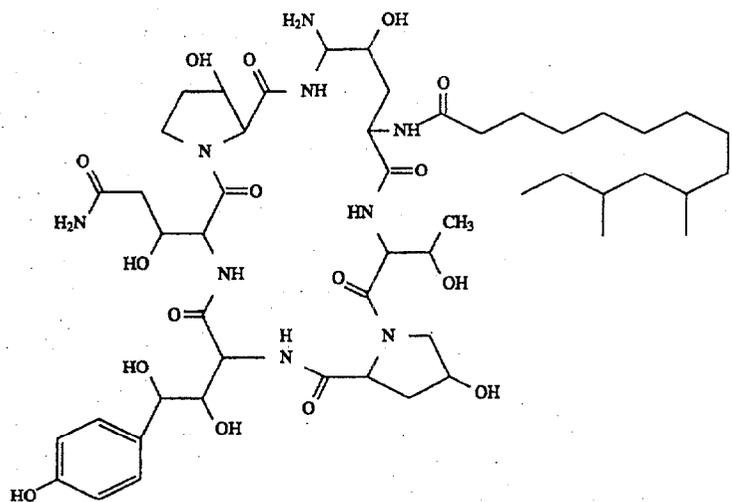


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or a pharmaceutically acceptable salt thereof in admixture with a pharmaceutically acceptable carrier.

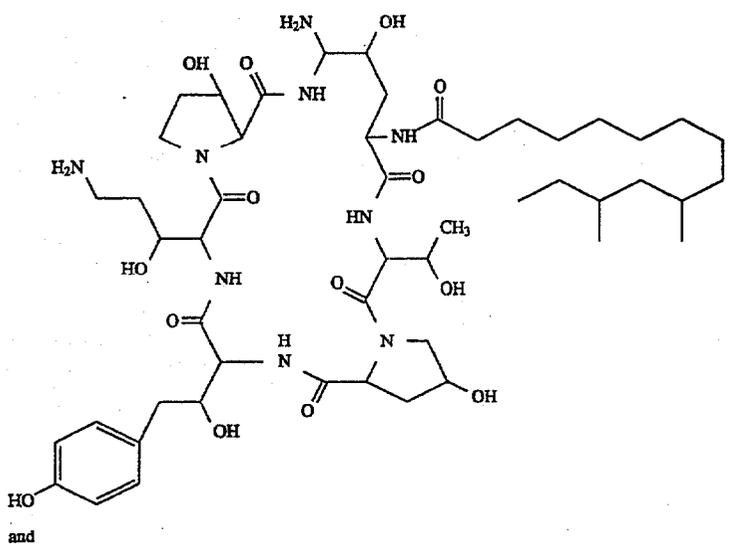
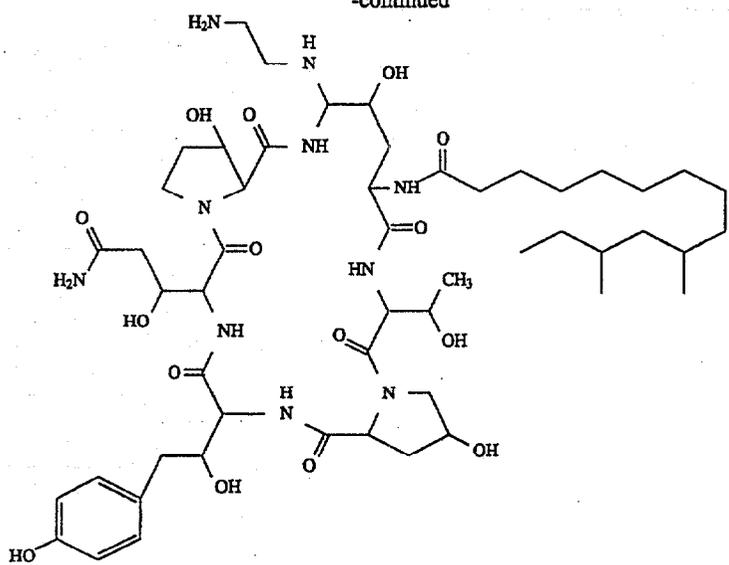
2. A method for controlling mycotic infections comprising administering to a mammalian subject in need of treatment, an antimycotic amount of a compound selected from the group consisting of





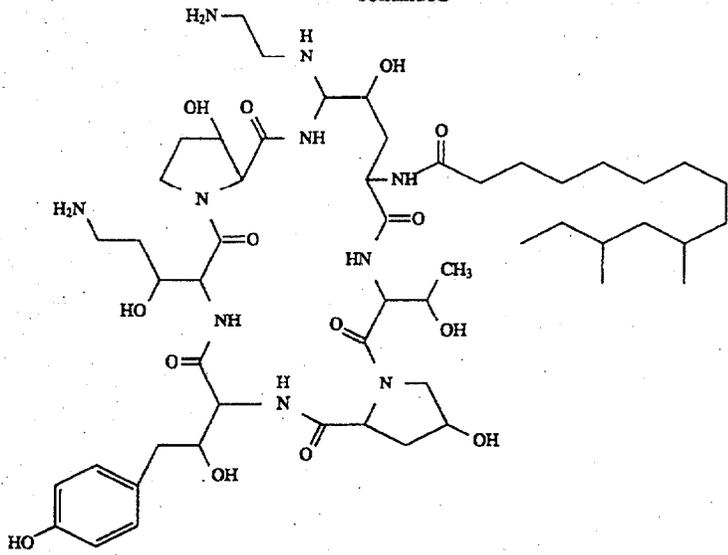
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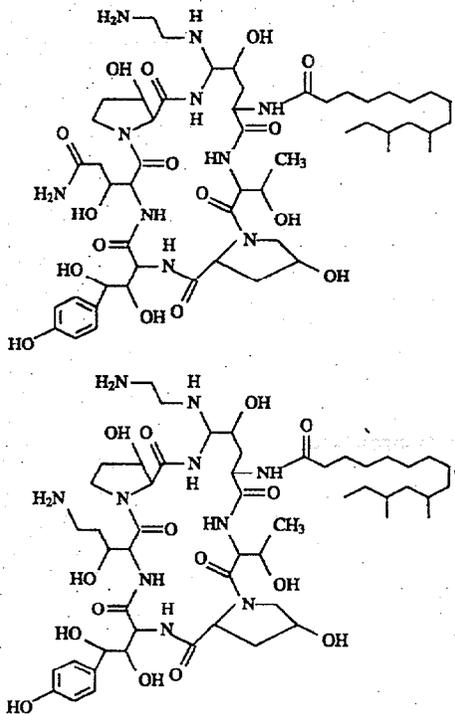
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72

or a pharmaceutically acceptable salt thereof.

3. A method for controlling *Pneumocystis pneumonia* in immune-compromised patients comprising administering a therapeutically effective amount of a compound selected from the group consisting of



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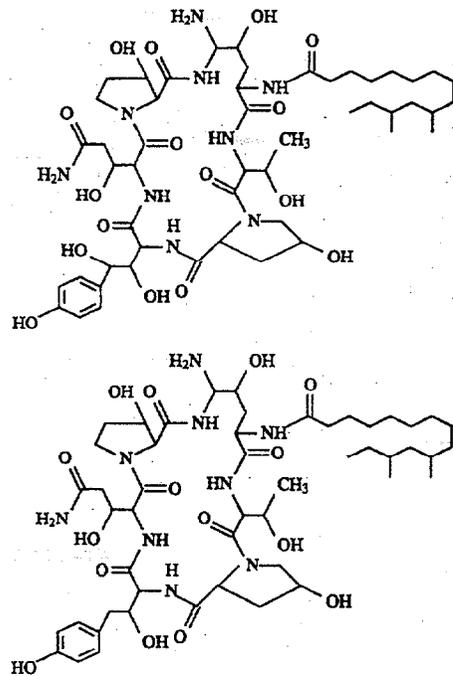
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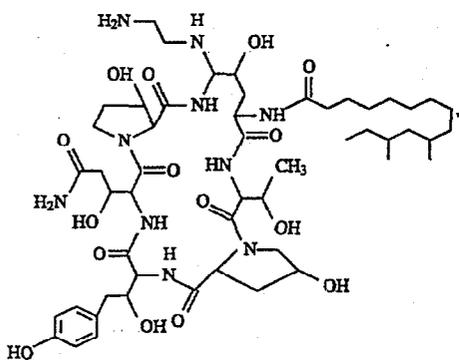
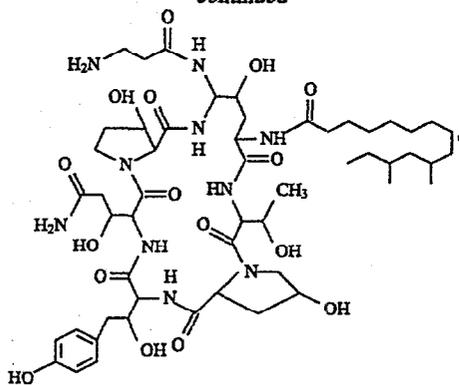
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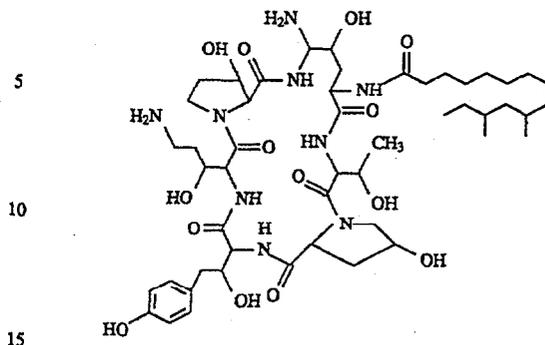
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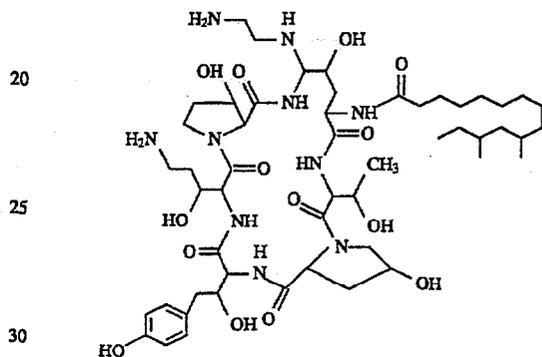


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-continued



and



or a pharmaceutically acceptable salt thereof.

\* \* \* \* \*

**ATTACHMENT C**

**US Patent No. 5,514, 650  
Terminal Disclaimer**

Attachment C

PATENT *VIF*  
Merck & Co., Inc.  
P.O. Box 2000  
Rahway, NJ 07065-0907  
Fax (908)594-4720  
Tel (908)594-4000  
Cable MERCKRAH  
Telex 138825

Date: September 28, 1995



Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Applicant(s): JAMES M. BALKOVEC ET AL

U.S.S.N.: 08/298,479

Case No.: 18955DA

Filed: August 29, 1994

For: AZA CYCLOHEXAPEPTIDE COMPOUNDS

*SEP 29 1995*  
*DRAP*

Sir:

This is a request and authorization for charging Deposit Account No. 13-2755 to cover the following:

Appeal Brief.....	\$	_____
Disclaimer Fee.....	\$	110.00
_____	\$	_____
_____	\$	_____
_____	\$	_____
Total Fee.....	\$	110.00

In the event that actual fee differs from that specified above, it is requested that the overpayment or underpayment be credited or charged to the above-stated account number.

Respectfully,

*Elliott K*  
\_\_\_\_\_

By: ELLIOTT KORSEN

Attorney \_\_\_\_\_ for Applicant(s)

Reg. No. 32,705

(908)594- 5493

I hereby certify that this correspondence is being deposited with the United States Postal Service in first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date appearing below.

MERCK & CO., INC.

*Elliott K* Date 9/28/95

**P&T OFFICE ACKNOWLEDGEMENT**

ATTORNEY	DATE
ELLIOTT KORSEN	9/28/95
CASE NUMBER	EXPRESS MAIL
18955DA	
SERIAL NUMBER	
08/298,479	
DATE FILED	
8/29/94	
INT. NUMBER	

APPLICANT

JAMES M. BALKOVEC ET AL.

The Patent & Trademark Office acknowledges, and has stamped hereon, the date of the receipt of the items checked below:

- AFFIDAVIT - UNDER RULE
- ~~AMENDMENT~~ Response to Telecom on 9/26/95
- APPEAL AND FEE
- ASSIGNMENT
- BRIEF
- CERTIFICATE OF CORRECTION
- FINAL FEE
- LETTER Terminal Disclaimer [37CFR1.321(b)]
- REQUEST FOR F.F. LICENSE
- MOTION
- INFORMATION DISCLOSURE STATEMENT
- PTO 1449 & REFERENCES
- PRELIMINARY STATEMENT
- STIPULATION
- PETITION FOR EXTENSION OF TIME & FEE
-

D/K  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	J. M. BALKOVEC, ET AL
U.S. Serial No.:	08/298,479
Filed:	AUGUST 29, 1994
For:	AZA CYCLOHEXAPEPTIDE COMPOUNDS

Group No.:  
1811

Examiner:  
T. Wessendorf

The Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SEP 29 1995  
ORAD

**TERMINAL DISCLAIMER TO OBTAIN  
A DOUBLE PATENTING REJECTION**  
[37 CFR 1.321(b)]

I, Mark R. Daniel, residing at 64 Willis Drive, Ewing, NJ 08628, am a representative of the assignee identified below, empowered to act on its behalf, pursuant to attached Corporate Resolution No. 5, dated April 25, 1995.

The assignee, Merck & Co., Inc., certifies that it is the assignee of the entire right, title and interest in the above-identified patent application by virtue of an Assignment from the inventor(s) in the aforesaid patent application, which was recorded in the United States Patent & Trademark Office at Reel 6531, Frame 209-210, on May 7, 1993. Copies of the transmittal letter and assignment are attached. The aforesaid assignment establishes the ownership in the assignee of the above-identified application pursuant to 37 CFR 3.73(b).

The undersigned has reviewed all of the evidentiary documents in the chain of title of the above-identified patent application, and the undersigned certifies that, to the best of the undersigned's knowledge and belief, title is in the assignee named above.

I hereby disclaim the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of:

- United States Patent No. 5,378,804, or as presently shortened by any terminal disclaimer,
- Any patent granted on application serial number \_\_\_\_\_.

and hereby agree that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to:

- United States Patent No 5,378,804,
- Any patent granted on application serial number \_\_\_\_\_.

U.S. Patent No. 5,514,650  
Patent Term Extension Appl'n

## **ATTACHMENT D**

### **US Patent No. 5,514,650 Maintenance Fee Statement**

Attachment D



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

000210

M75M7

MERCK AND CO INC  
P O BOX 2000  
RAHWAY NJ 07065-0907

**MAINTENANCE FEE STATEMENT**

The data shown below is from the records of the Patent and Trademark Office. If the maintenance fees and any necessary surcharges have been timely paid for the patents listed below, the notation "PAID" will appear in column 11, "STAT" below.

If a maintenance fee payment is defective, the reason is indicated by code in column 11, "STAT" below. **TIMELY CORRECTION IS REQUIRED IN ORDER TO AVOID EXPIRATION OF THE PATENT. NOTE 37 CFR 1.377. THE PAYMENT(S) WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION. IF PAYMENT OR CORRECTION IS SUBMITTED DURING THE GRACE PERIOD, A SURCHARGE IS ALSO REQUIRED. NOTE 37 CFR 1.20(k) and (l).**

If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. **THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION.**

ITEM NBR	PATENT NUMBER	FEE CDE	FEE AMT	SUR CHARGE	SERIAL NUMBER	PATENT DATE	FILE DATE	PAY SML YR	ENT	STAT
1	5,514,650	183	940	----	08/298,479	05/07/96	08/29/94	04	NO	PAID

ITM NBR	ATTY DKT NUMBER
1	18955DA

**DIRECT THE RESPONSE TOGETHER WITH ANY QUESTIONS ABOUT THIS NOTICE TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX M. FEE, WASHINGTON, D.C. 20231**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Account No.	132755
Date	10-29-99
Page	7

MERCK & CO., INC.  
PATENT DEPARTMENT  
PO BOX 2000, RY60-30

FINA

RAHWAY NJ 07065-0907

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.	OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
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U.S. Patent No. 5,514,650  
Patent Term Extension Appl'n

## **ATTACHMENT E**

# **CANCIDAS (Caspofungin Acetate) Chronology of Events**

**Attachment E**

**CANCIDAS (Caspofungin Acetate)  
 Chronology of Events**

IND 48,484 Serial No.	NDA 21-213	DATE	EVENT
000		8/3/1995	Investigational New Drug Application filed (IND 48,484).
X		9/1/1995	Permission granted by FDA to begin study proposed in initial IND.
003		10/11/1995	Response to FDA Clinical comments faxed on 9/5/1995.
008		1/10/1996	Response to FDA request made 11/6/1995 for additional information regarding the Chemistry, Pharmacology/Toxicology and Biopharmaceutics sections.
022		10/7/1996	Submission of first IND Annual Progress Report.
X		12/6/1996	Teleconference with FDA to discuss recommended changes to Candida Esophagitis study (Protocol 004) and FDA questions concerning statistical methods.
X		3/10/1997	Mid-Phase II Meeting with FDA to discuss clinical development plans.
X		4/18/1997	Meeting with FDA to discuss planned clinical study of MK-0991 versus Amphotericin B in the treatment of Invasive Candidiasis.
056		5/30/1997	Draft pivotal Phase III study designed to support MK-0991 in the treatment of Invasive Aspergillosis in patients who are refractory to or intolerant of Amphotericin B was submitted for FDA comments and concurrence on study design and data analysis.

IND 48,484 Serial No.	NDA 21-213	DATE	EVENT
057		6/5/1997	Submission of report of preclinical efficacy of MK-0991 in neutropenic mouse models of disseminated Candidiasis and Aspergillosis as requested in follow-up to telephone conversations with FDA on 3/10/1997, 5/1 and 5/2/1997.
X		6/11/1997	Teleconference with the FDA to discuss MRL's proposed definition of statistical equivalence to be used in the Aspergillosis salvage study.
X		8/15/1997	Teleconference with the FDA to address questions concerning MK-0991 versus Amphotericin B in the treatment of Invasive Candidiasis study (Protocol 014).
074		10/2/1997	Submission of second IND Annual Progress Report.
087		1/13/1998	Submission of pivotal Phase III study to support MK-0991 versus Fluconazole in the treatment of Esophageal Candidiasis for comments and concurrence.
089		1/20/1998	Submission of pivotal Phase III study to support MK-0991 in the treatment of Invasive Aspergillus Infections in Adults who are Refractory to or Intolerant of Amphotericin B, Lipid Formulations of Amphotericin B or Azoles (Protocol 019).
094		2/6/1998	Response to FDA facsimile of 1/28/1998 requesting clarification regarding the draft Phase III protocol for study of Candida Esophagitis submitted.
X		3/6/1998	Teleconference with the FDA to discuss MRL's submission of 2/1/1998 (Serial No. 095) requesting FDA concurrence with MRL's proposal for developing MK-0991 as an empiric therapy for the febrile neutropenic patient with suspected fungal infection.

IND 48,484 Serial No.	NDA 21-213	DATE	EVENT
X		8/5/1998	End-of-Phase II meeting held with the FDA.
130		10/5/1998	Submission of third IND Annual Progress Report.
148		1/18/1999	Submission of full reports on the 6 month (27-week) intravenous toxicity studies in rat and monkeys as requested by FDA.
159		2/19/1999	Submission of Data Analysis Plan for Protocol 014.
166		3/19/1999	Formal request for "Fast Track Designation" for MK-0991 submitted.
179		4/20/1999	Response to FDA facsimile of 4/1/1999 regarding Data Analysis Plan for Protocol 014.
X		5/12/1999	Meeting with FDA to discuss "Fast Track Designation" for MK-0991 (approval granted).
X		5/27/1999	Letter from FDA formally approving "Fast Track Designation" for patients with aspergillosis who are refractory to, or intolerant of, other therapies.
193		6/3/1999	Submission of Data Analysis Plan for Protocol 019.
X		6/30/1999	MRL verbally requested agreement from the FDA on a "streamlined" Clinical Study Report format for Phase I studies.
196		7/1/1999	MRL requested review and approval of the proposed trademark "Cancidas" to the FDA.
198		7/8/1999	Submission of proposed "streamlined" Clinical Study report for FDA comment.
209		8/30/1999	Submission of Protocol 028 (epidemiological study drafted at FDA's request) for FDA comment.

IND 48,484 Serial No.	NDA 21-213	DATE	EVENT
212		9/13/1999	Submission of Data Analysis Plan for Protocol 020 (MK-0991 versus Fluconazole in the Treatment of Esophageal Candidiasis in Adults).
215		10/4/1999	Response to FDA comments made 9/15/1999 on Protocol 028.
216		10/6/1999	Submission of fourth IND Annual Progress Report.
218		10/13/1999	Response to FDA comments of 9/15/1999 on trademark.
225		11/16/1999	Response to FDA comments of 10/28/1999 on trademark.
224		11/15/1999	Submission of Data Analysis Plan for Historical Control Study (Protocols 028/029).
228		11/22/1999	Request for FDA concurrence on pre-submission of certain sections of the NDA.
231		11/23/1999	Response to FDA telephone request of 11/12/1999 concerning MRL's Pre-NDA Meeting Background Package submitted 10/29/1999/Serial No. 221.
	X	11/29/1999	Pre-NDA Meeting was held.
	X	12/7/1999	Submission of full NDA User Fee (User Fee ID No. 3868) to the Mellon Bank in Pittsburgh, PA.
	X	12/8/1999	Pre-submission of Nonclinical Toxicology section (first NDA section) to the FDA.
234		12/9/1999	Provided practice SAS Transport files for the reviewer's use and training at the FDA's request.

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235		12/13/1999	Response to FDA telephone requests of 9/13/1999 and 11/23/1999 concerning Data Analysis Plan for Protocol 020.
242		1/19/2000	Provided practice SAS Transport files containing efficacy information for the reviewer's use and training at the FDA's request.
	X	1/21/2000	Response to Pharmacology Reviewer's request of 11/29/1999 for copies of certain summary information for any pre-clinical toxicology reports was provided on CD and in hard copy.
	X	2/7/2000	FDA provided a list of specific data elements for customized Clinical Microbiology SAS Transport Files.
	X	3/15/2000	Pre-submission of Chemistry and Pharmaceutical Manufacturing and Controls section to the FDA.
	X	3/21/2000	Teleconference with the FDA regarding the contents of the Clinical Microbiology SAS Transport Files.
	X	4/3/2000	Pre-submission of Nonclinical Pharmacokinetics section to the FDA.
	X	4/7/2000	Submission of documentation in response to the Division of Scientific Investigations request of 1/24/2000 for investigator and study site information.
	X	5/24/2000	Response to Chemistry Reviewer's request of 5/17/2000 to confirm manufacturing site information.
269	X	6/13/2000	Submission of prompt for Written Request for Pediatric Studies.

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	X	6/14/2000	Informed the FDA a minor change required in the Nonclinical Toxicology section of the NDA.
	X	6/28/2000	Pre-submission of the Nonclinical Pharmacodynamics section to the FDA.
278		7/11/2000	Provided specific SAS Transport files for the Agency's testing per FDA's request during teleconference of 3/20/2000.
	X	7/17/2000	Faxed the letter describing in detail both the change and rationale for the change in the Nonclinical Toxicology section of the NDA.
	X	7/28/2000	New Drug Application submitted to the FDA.
	X	7/31/2000	Response to FDA request made 7/14/2000 for copy of the full NDA on a DLT tape.
	X	8/14/2000	Amendment to the pending application – Submission of SAS transport files containing datasets supporting the two population pharmacokinetics reports as agreed in telephone conversation of 7/18/2000.
	X	8/29/2000	Response to FDA request made 8/25/2000 for a copy of the "coming soon" advertisement recently submitted to DDMAC.
294		9/8/2000	Response to FDA Fax received on 9/5/2000 concerning comments on the Written Request for Pediatric Studies.
	X	9/20/2000	Amendment to the pending application – Results of a re-analysis of patient covariate data (Protocol 019).

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	X	9/25/2000	Response to Division of Scientific Investigations request made 9/14/2000 for information to be used in preparation for audits.
	X	9/29/2000	Response to FDA request for additional copies of Volume 1 of the Clinical and Statistical Documentation section.
303		10/2/2000	Submission of fifth Annual IND Progress Report.
	X	10/16/2000	Response to FDA recommendation (during meetings of 5/12/1999 and 11/29/1999) for submission of expert panel assessment of 11 supplemental patients enrolled in Protocol 019.
	X	10/19/2000	Response to FDA request made 10/3/2000 for clarification of wording in Clinical Microbiology section.
	X	10/20/2000	Response to FDA request made 10/13/2000 for information regarding three patients in the correction to Protocol 019.
	X	10/26/2000	Amendment to pending application – Information demonstrating the successful manufacturing process technology transfer for drug substance and drug product as agreed at 11/29/1999 meeting.
	X	10/27/2000	Response to FDA request made 10/17/2000 containing questions related to the Microbiology section.
	X	10/31/2000	Response to FDA request made 10/18/2000 containing questions from FDA Biopharmaceutics and Microbiology reviewers.
	X	11/8/2000	Response to FDA request made 10/31/2000 containing a request from the FDA Microbiology reviewer.

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	X	11/13/2000	Response to FDA request made 11/2/2000 via E-mail for Case Report Forms and patient summaries for Protocol 019 (initial response sent 11/3/2000 via four separate E-mail messages due to size of attachments).
	X	11/15/2000	Response to FDA request made 11/3/2000 for specific annotated Case Report Forms for Protocol 019 and 028.
	X	11/16/2000	Submission of Draft Advisory Background Package to FDA for comment.
	X	11/21/2000	Response to FDA request made 11/13/2000 for additional information requested by the Biopharmaceutics reviewer.
	X	11/22/2000	Response to FDA request made 11/6/2000 for additional information requested by the Clinical and Statistical reviewers.
	X	11/28/2000	Submission of Safety Update Report.
	X	11/28/2000	Desk copy of CMC amendment, originally submitted 10/26/2000, submitted to Philadelphia District Office.
	X	11/30/00	Teleconference with FDA to address FDA comments on Draft Advisory Background Package.
	X	12/5/2000	Response to FDA request made 12/5/2000 for extra copies of certain sections of the Clinical and Statistical Documentation section.
	X	12/7/2000	Submission of Advisory Committee Background Package.

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	X	12/8/2000	Submission of Advisory Committee Background Package – Corrected electronic media.
	X	12/13/2000	Response to FDA request made 11/30/2000 for Statistical information regarding MRL's draft Advisory Committee Background Package.
	X	12/13/2000	Submission of Advisory Committee Background Package to official file.
319		12/14/2000	Response to FDA comments received 11/15/2000 regarding pharmacokinetic study in children with new onset fever and neutropenia.
	X	12/15/2000	Response to FDA request made 12/14/2000 for additional hard copies of Advisory Committee Background Package.
	X	12/15/2000	Response to FDA request made 11/30/2000 for clarification of Historical Controls Study (Protocols 028/029) information in the Draft Advisory Committee Background Package.
	X	12/15/2000	Amendment to Pending Application – Memo containing preliminary pharmacokinetic and safety results for patients with moderate hepatic insufficiency (Protocol 030).
	X	12/21/2000	Response to FDA request made 11/30/2000 for answers to questions from the Chemistry reviewer (responses sent 12/13/2000 via E-mail).
	X	12/22/2000	Response to FDA request made 12/15/2000 via E-mail for case summaries for all patients in the Historical Control Study (Protocol 028/029).
	X	1/2/2001	FDA face-to-face meeting to provide/discuss outline of MRL advisory presentation and to address questions from the Agency.

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	X	1/10/2001	FDA Antiviral Drugs Advisory Committee Meeting for CANCIDAS. Advisory Committee recommended the approval of CANCIDAS.
	X	1/15/2001	Response to FDA request made 11/14/2000 concerning the Population Pharmacokinetics Reports (response sent 11/14/200 via E-mail).
	X	1/17/2001	Response to FDA request made 11/6/2000 for information regarding the patient population at site 028-003 (response sent 12/13/2000 via E-mail).
	X	1/18/2001	Submission of MRL's Phase IV Commitment Proposal via E-mail.
	X	1/18/2001	Response to FDA request made 11/15/2000 for information from either preclinical or clinical sources on the distribution of caspofungin into the csf or central nervous system (response sent via E-mail 11/21/2000).
	X	1/19/2001	Response to FDA request made 11/13/2000 for information regarding in vivo and in vitro outcomes in the treatment of primary pulmonary aspergillosis in persistently granulocytopenic rabbits (response sent via E-mail 12/13/2000).
	X	1/22/2001	Response to FDA request for draft labeling – FDA comments made via fax 1/12/2001 (response sent via E-mail 1/18/2001).
	X	1/22/2001	Teleconference to discuss draft Labels.
	X	1/23/2001	Submission of Advisory Committee Meeting Back-Up Slides.
	X	1/23/2001	Teleconference to discuss draft Labels and Phase IV Commitments.

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	X	1/24/2001	Response to FDA comments on Phase IV Commitments made 1/23/2001.
	X	1/24/2001	Teleconference to discuss draft Labels and Phase IV Commitments.
	X	1/25/2001	Response to FDA request made 1/24/2001 for revised Phase IV commitments based on FDA comments.
	X	1/25/2001	Amendment to Pending Application – Proposed Draft Labeling (labels sent via E-mail 1/24/2001).
	X	1/25/2001	Teleconference to discuss labeling and product name logo which featured a “sunburst” graphic element.
	X	1/26/2001	Response to FDA request made 1/25/2001 to alter carton and vial labeling (mock-ups of carton and vial labeling sent via E-mail 1/26/2001).
	X	1/26/2001	Amendment to Pending Application – Final labeling.
	X	1/26/2001	FDA Approval Letter for the use of CANCIDAS (caspofungin acetate) for injection for the treatment of invasive aspergillosis in patients who are refractory to or intolerant of other therapies.
	X	1/30/2001	Response to FDA request made 11/30/2000 regarding the Aspergillus fungal burden in rodent models of disseminated aspergillosis (response sent via E-mail on 12/15/2000).
	X	1/30/2001	Response to FDA request made 12/14/2000 for an update on information regarding a particular reference (abstract by Vazquez JA et al., 1996). (Response sent via E-mail on 12/15/2000)

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	X	2/1/2001	Response to FDA request made 11/30/2000 for results of Protocol 032 (preliminary results sent via E-mail on 12/20/2000).
	X	2/1/2001	Submission of Advisory Committee Meeting Backup Slides on CD.
	X	2/1/2001	Response to FDA request made 12/3/2000 for information regarding the Historical Control Study (Protocol 028/029) (response sent via E-mail 12/21/2000).
	X	2/5/2001	Response to FDA request made 11/30/2000 for results from a multiple dose study investigating the potential drug-drug interactions between caspofungin acetate and rifampin (Protocol 035) when available (response sent via E-mail 1/5/2001).
	X	2/12/2001	Response to FDA request made 1/16/2001 for information on patients who died while being treated with caspofungin and steroids (response sent via E-mail 1/22/2001).
	X	2/20/2001	Response to FDA questions and request made 1/12/2001 pertaining to the CMC section of the NDA response sent via E-mail 1/18/2001).
	X	2/21/2001	Response to FDA request made 1/24/2001 for additional information regarding Merck's normal procedures and timing for submission of reports of post-marketing adverse experiences (response sent via E-mail 1/25/2001).