

FOOD AND DRUG ADMINISTRATION

+ + + + +

CENTER FOR DRUG EVALUATION AND RESEARCH

+ + + + +

ADVISORY COMMITTEE FOR PHARMACEUTICAL SCIENCE

+ + + + +

This transcript has not been edited or corrected, but appears as received from the commercial transcribing service. Accordingly the Food and Drug Administration makes no representation as to its accuracy.

MEETING

+ + + + +

THURSDAY,

MARCH 9, 2000

+ + + + +

5039 00 APR 19 PM 08:13

The meeting was held at 8:30 a.m. in the ORDER Advisory Committee Conference Room, 5630 Fishers Lane, Rockville, Maryland, Dr. John Doull, Chairman, presiding.

PRESENT:

JOHN DOULL, M.D., Ph.D.	Chairman
GLORIA ANDERSON, Ph.D.	Consumer Representative
JOY CAVAGNARO, Ph.D.	Industry Representative
JACK H. DEAN, Ph.D.	Industry Representative
DAVID M. ESSAYAN, M.D.	FDA Representative
JAY GOODMAN, Ph.D.	Academic Representative
JAMES MACGREGOR, Ph.D.	FDA Representative
RAYMOND TENNANT, Ph.D.	NIH Representative
IGOR CERNY, Pharm.D.	Executive Secretary

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

## A-G-E-N-D-A

Call to Order/Chairman's Remarks/ Conflict of Interest John Doull, M.D., Ph.D., Chair . . . . .	4
FDA Objectives James MacGregor, Ph.D. . . . .	8
Biomarkers, Introduction Frank Sistare, Ph.D. . . . .	13
NIH Perspective on Biomarkers Gregory Downing, Ph.D. . . . .	16
Establishing Biomarkers of Toxicity for Safety Assessment - A Focus on Cardiac and Skeletal Muscle Toxicity Malcolm York, M.Phil. . . . .	38
Proteomics and Safety Biomarker Discovery Gordon Holt, Ph.D. . . . .	63
Proteomics Technology Leigh Anderson, Ph.D. . . . .	87
Applications of SELDI to Protein Biomarker Discovery Strategies E. Chip Petricoin, Ph.D. . . . .	106
Applications of Gene Expression Analyses in Peripheral Blood Cells to Safety Assessment Spencer Farr, Ph.D. . . . .	124
TaqMan Gene Expression Arrays for Accurate Quantification of Toxicity Targets Frederico Goodsaid, Ph.D. . . . .	140
Recommendations for Biomarker Projects Frank Sistare, Ph.D. . . . .	148
Subcommittee Discussion . . . . .	161
Open Public Hearing . . . . .	219

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

A-G-E-N-D-A (Cont'd)

Subcommittee Discussion . . . . . 227

Micro-PET: Experiences with Small Animal Imaging  
Simon Cherry, Ph.D. . . . . 247

NIH/NCI Initiatives to Stimulate Applications  
of Imaging Technology  
James Tatum, M.D. . . . . 274

Proposal to Form Expert Working Group on Imaging  
Jerry Collins, Ph.D. . . . . 291

Subcommittee Discussion . . . . . 300

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

## P-R-O-C-E-E-D-I-N-G-S

(8:36 a.m.)

1  
2  
3 CHAIRMAN DOULL: Allow me to welcome you  
4 all to our Subcommittee, the Non-Clinical  
5 Subcommittee. We are part of the advisory committee  
6 for Pharmaceutical Sciences, and we are meeting this  
7 morning. I think the agenda is out on the table.

8 Let me introduce the members of the  
9 Subcommittee. Let me start over there with Dr.  
10 Goodman. Jay, why don't you introduce yourself.

11 DR. GOODMAN: My name is Jay Goodman. I am  
12 a Professor of Pharmacology and Toxicology at Michigan  
13 State University.

14 CHAIRMAN DOULL: Joy?

15 DR. CAVAGNARO: My name is Joy Cavagnaro,  
16 and I am President of Access Bio, representing Bio  
17 companies.

18 DR. DEAN: I'm Jack Dean. I am with the  
19 Sanofi-Synthelabo Pharmaceuticals, and I am  
20 responsible for preclinical development.

21 CHAIRMAN DOULL: I am John Doull from KU  
22 Med. Gloria?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 DR. ANDERSON: I am Gloria Anderson,  
2 Callaway Professor of Chemistry at Morris Brown  
3 College in Atlanta.

4 DR. MacGREGOR: I'm Jim MacGregor. I am  
5 Director of the Office of Testing and Research in the  
6 Center for Drug Evaluation and Research, and I am the  
7 principle FDA coordinator for the Subcommittee.

8 CHAIRMAN DOULL: I might just mention that  
9 I understand we have a new Subcommittee member or will  
10 have very shortly. It will be Dr. Ray Tennant from  
11 NIEHS. And Ray is with us this morning. Kimberly has  
12 changed jobs and we have a new Exec. Why don't you  
13 introduce yourself.

14 DR. CERNY: Sure. My name is Igor Cerny,  
15 and I am taking over for Kimberly Topper this meeting.  
16 I am going to read the conflict of interest statement.

17 CHAIRMAN DOULL: Okay.

18 DR. CERNY: The following announcement  
19 addresses conflict of interest with regard to this  
20 meeting and is made a part of the record to preclude  
21 even the appearance of such at this meeting.

22 In accordance with 18 USC 208, general

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 matters waivers have been granted to all committee  
2 participants who have interests in companies or  
3 organizations which could be affected by the  
4 committee's discussions of collaborative approaches of  
5 the scientific research issues of common interest to  
6 the pharmaceutical industry, universities, the public  
7 and FDA.

8           Specific areas of focus will be in the  
9 non-clinical studies areas of interspecies biomarkers  
10 of toxicity and non-invasive imaging. A copy of these  
11 waiver statements may be obtained by submitting a  
12 written request to the Agency's Freedom of Information  
13 Office, Room 12A30, Parklawn Building.

14           In the event the discussions involve any  
15 other participants or firms not already on the agenda  
16 for which an FDA participant has a financial interest,  
17 the participants are aware of the need to exclude  
18 themselves from such involvement, and their exclusion  
19 will be noted for the record.

20           With respect to all other participants, we  
21 ask in the interest of fairness that they address any  
22 current or previous financial involvement with any

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 firm whose product they may wish to comment upon.

2 I would like to sort of warn the audience  
3 that the committee is going to attempt to work through  
4 lunch. There is a lunch scheduled for 12:30 today. And  
5 that we will honor the break at 10:30. But at 12:30,  
6 when you think it is all going to stop, it is not  
7 going to stop. People will probably keep talking  
8 through that time. So you may want to run out during  
9 the break and get some food. The committee, I  
10 understand, will be brought in food. They will  
11 apparently be paying \$6.00 a head, and the transcriber  
12 is our treat, because they have to work through lunch.

13 We have out there this Website information  
14 for how to get the slides from this meeting and the  
15 transcript from the dockets. That will be available on  
16 the Web about three weeks after the meeting. So that  
17 information is out there as well as the docket number  
18 for this meeting that we will be using. So as I said,  
19 we will warn you again about this lunch situation at  
20 break so that you can run out and try to get something  
21 to eat.

22 CHAIRMAN DOULL: Rest rooms are right

1 outside. The telephone is down the hall. Do we have  
2 any other business? Oh, I might say one word about  
3 the minutes. The minutes have been prepared from our  
4 last meeting and have been circulated, I think, to the  
5 committee. If you will look at those during the  
6 meeting, towards the end of the meeting we can I think  
7 formally approve the minutes. Any other things, Jim?

8 Well, I think we might as well go ahead  
9 and start. We have a very busy schedule. As Igor has  
10 said, we are going to try and work through lunch and  
11 keep up with the schedule. So let me introduce again  
12 Dr. Jim MacGregor from Food and Drug.

13 DR. MacGREGOR: Thanks, John. As I said,  
14 I am the FDA coordinator for this Subcommittee, and I  
15 thought we would just begin the day with a very brief  
16 review of the objectives and focus of the Subcommittee  
17 and FDA's expectations for today's agenda.

18 This Subcommittee was created as a means  
19 for the FDA to obtain advice and improve scientific  
20 approaches to regulating non-clinical drug  
21 development. And in addition to that traditional  
22 role, which the oversight committee or advisory

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 committee for Pharmaceutical Science has been  
2 providing for some time, we have also charged this  
3 committee with taking an active role in fostering  
4 scientific collaborations among FDA, industry,  
5 academia and the public to pursue and facilitate  
6 objectives that come out of the recommendations that  
7 are made by the Subcommittee.

8 Now just a little more specifically, the  
9 objectives are envisioned that the committee will be  
10 recommending approaches and mechanisms to improve the  
11 non-clinical information for effective drug  
12 development, to improve the predictivity of non-  
13 clinical tests for human outcomes, and to improve the  
14 linkage between non-clinical and clinical studies. And  
15 then as I have said, in addition to providing advice  
16 and recommendations in these areas to actually  
17 facilitate collaborative approaches to advancing the  
18 science and regulation of drug development.

19 Now the role of this Subcommittee is to  
20 identify and recommend focus areas in the non-clinical  
21 science where FDA should be focusing its resources.  
22 And then to foster the gathering of advice and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 facilitation of collaborations through identifying  
2 experts in these focus areas and forming expert  
3 working groups in those areas.

4 The process by which these expert groups  
5 would be formed would be an open public process that  
6 would involve announcements in the Federal Register,  
7 solicitation of recommendations from the collaborators  
8 and members of the committee, FDA stakeholders from  
9 industry, academia and the public, and by going to  
10 appropriate professional societies in the disciplinary  
11 areas involved in the focus areas and asking them to  
12 recommend the best experts in those disciplines to  
13 participate.

14 Then these expert working groups are  
15 envisioned as providing specific recommendations and  
16 facilitating collaborative work to gather information  
17 that is needed.

18 The Subcommittee, this Subcommittee, is  
19 envisioned as a steering committee to these expert  
20 groups and to the collaborative projects that might be  
21 implemented by these expert groups. And that this  
22 subcommittee would also support the output from those

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 working groups by supporting facilitating workshops  
2 and reports, et cetera, that would come from the  
3 expert groups and indeed might decide to do their own  
4 as well.

5 Now at the last meeting, which was in  
6 December, the Subcommittee in fact did narrow the  
7 focus and recommend that initial focus should be on  
8 two principle areas, and that was biomarkers of  
9 toxicity and non-invasive technologies to link non-  
10 clinical and clinical studies.

11 So the focus of today's meeting is to  
12 identify within these focus areas more specifically  
13 how we should move forward to implement these  
14 objectives. And in order to do that, we have  
15 structured this meeting around these two areas, and we  
16 have asked two representatives from the FDA who are  
17 leading programs, who are leading the current FDA  
18 programs in these areas, to organize sessions around  
19 these topics to give their own perspective from the  
20 FDA perspective in these areas, and then to invite in  
21 experts from these areas to discuss the state of the  
22 science, opportunities, and to give collaborator

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 perspectives on approaching these two areas.

2           So the two people that have put together  
3 the focus areas are Frank Sistare, who is Director of  
4 the Division of Applied Pharmacology Research in CDER,  
5 who has put together the biomarker part, and Dr. Jerry  
6 Collins is Director of the Laboratory of Clinical  
7 Pharmacology in CDER, who has put together the imaging  
8 part.

9           Our hope is that by the end of the day, if  
10 possible, that the Subcommittee will be able to  
11 provide recommendations to the FDA on the program and  
12 focus that is presented today, and that the  
13 Subcommittee will consider the formation of expert  
14 working groups to develop more specific  
15 recommendations and to begin to facilitate  
16 collaborations in the proposed areas.

17           So those are our expectations. As John  
18 said, it is a very full day. I would like to thank the  
19 committee in advance for agreeing to try to jam as  
20 much as we have into a single day, and I hope and am  
21 looking forward to a very exciting and productive day.

22           Okay, let me just introduce Frank Sistare,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 who is going to present the FDA perspective and  
2 summary of our program and recommendations in the  
3 imaging area and coordinate the other speakers in this  
4 area.

5 DR. SISTARE: Okay. As Jim pointed out, we  
6 are going to start off the session on biomarkers of  
7 toxicity. The key that we are focusing in on here is  
8 that these biomarkers be accessible. Accessible so  
9 that we can move from animals into the clinic.

10 The ultimate goal of what we are trying to  
11 achieve here is to establish a more optimal set of  
12 easily accessible biomarkers allowing progression from  
13 animal studies into the clinic and actually through  
14 the clinic and potentially at the patient bedside and  
15 eventually be adopted into the practice of medicine.  
16 These biomarkers should herald the early onset of drug  
17 toxicity, obviously prior to any morbidity or any  
18 irreversibility.

19 The impact if this whole project area is  
20 successful -- the impacts could be many. We could  
21 assess better the relevance or irrelevance of animal  
22 toxicity findings. We could accurately assess those

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 doses that are associated with toxicity, maximize  
2 favorable impact on public health, minimize the many  
3 regulatory dilemmas we get into when we don't have  
4 ways to assess the relevance or irrelevance of animal  
5 findings and the impasses in drug-hold situations that  
6 we get into with our sponsors. To improve selection  
7 of candidates for drug development and reduce  
8 candidate attrition rates. And to accelerate drug  
9 development and potentially minimize resource  
10 consumption.

11 So in this morning's set of presentations,  
12 we are going to hear from some of the technology  
13 leaders in this field and how they are impacting the  
14 field. What they are doing and what can be done that  
15 we are not yet doing. We are going to hear from  
16 leaders in the field of 2-D gel proteomics, Gordon  
17 Holt from Oxford Glycosciences and Leigh Anderson from  
18 Large Scale Proteomics. Although I think unless  
19 Leigh's voice doesn't improve, we may hear from Sandra  
20 Steiner instead. So he has got a back-up over here. I  
21 think we will be hearing from Sandra instead. Leigh  
22 has come down with a case of laryngitis unfortunately.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Chip Petricoin will be talking about  
2 another technology to discover new proteins that may  
3 be good biomarkers -- surface enhanced laser  
4 desorption ionization of SELDI Technology. Then we  
5 are going to hear from Spencer Farr from Phase 1 and  
6 Frederico Goodsaid from PE Biosystems, who are going  
7 to talk about technologies that can take advantage of  
8 looking at signals, gene expression signals in  
9 peripheral blood cells. Again, the thinking being  
10 that we can move from animals into man with accessible  
11 biomarkers.

12 But before we move into some of these  
13 areas of the technology providers, we are going to  
14 hear an overview from Greg Downing on the NIH  
15 perspective on biomarkers, and we are going to hear  
16 from Malcolm York from Glaxo Wellcome, who is going to  
17 talk very specifically about a couple of biomarkers  
18 that Glaxo Wellcome has developed a lot of very nice  
19 data on. And the thinking being here that maybe what  
20 we need to do is to start -- as Glaxo Wellcome is  
21 showing, start with a couple of biomarkers and get  
22 those into a level of acceptance that we can all agree

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 to. Get the level of anxiety down in terms of what  
2 these biomarkers can and cannot do for us. And we are  
3 going to propose actually that some of these  
4 biomarkers -- and some of you may have heard some of  
5 our earlier presentations last time -- that we have  
6 also developed an interest in troponin as a  
7 cardiotoxicity biomarker and that this may be a very  
8 fruitful area to start and a good place to do some  
9 quick learning.

10 So I am going to ask the speakers to just  
11 come up here. I have essentially introduced them all.  
12 They will reintroduce themselves. We are going to  
13 start with Greg. There is sort of a tight schedule  
14 and I will warn you that Dr. Doull can be very  
15 ruthless, so please stick to the allotted 20 or 25  
16 minutes. Fortunately, we are a little bit ahead of  
17 schedule. So, Dr. Downing.

18 DR. DOWNING: Well, good morning. I hope  
19 everyone is enjoying early springtime activities here  
20 in Bethesda. It seems like just a couple of weeks ago  
21 we were sitting here under two feet of snow.

22 I am here from the Office of Science

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Policy in the Directors Office of NIH, and I have  
2 talked to a number of groups that have involved you.  
3 So if you guys all know this already, you can sort of  
4 sit back and read the paper or whatever. I appreciate  
5 the opportunity to talk with this group. The NIH is  
6 very interested in this initiative and the two topics  
7 on your working group's activities are ones that are  
8 near and dear to NIH's research interest these days.

9 I would like to again mention that Ray  
10 Tennant from the National Institutes of Environmental  
11 Health Sciences is part of this committee, and we are  
12 very glad that NIH is represented in such a role. This  
13 represents, I think, a focal point for a number of  
14 institutes' and centers' interest in toxicity  
15 biomarkers.

16 I would like to briefly share some of our  
17 experiences over the last two years. This is really an  
18 initiative that Dr. Harold Varmus, when he was  
19 Director at NIH, initiated, and the momentum is now  
20 focused primarily in the institutes and centers,  
21 although the Acting Director, Dr. Ruth Kirschstein, is  
22 again very interested in this activity. And we think

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 we have had some significant progress over the past  
2 year since an international conference was held on  
3 this particular topic.

4 I would like to share a brief overview of  
5 where this is going and I think share some of the  
6 experiences from other models that we have developed  
7 at NIH, and then end with some common points and  
8 challenges that working together and fostering new  
9 research in this particular area brings to light.

10 Why this became an issue for NIH I think  
11 should be probably self evident. But there are a  
12 number of major issues that membership from industry  
13 and academic health centers and others brought to the  
14 attention of Dr. Varmus approximately two years ago  
15 now that there is an emerging bottleneck in drug  
16 discovery and that through genomics and combinatorial  
17 chemistry and other high throughput mechanisms that  
18 there are a lot more things to test. And the challenge  
19 is to try to do this in an efficient fashion and try  
20 to speed the transition from basic discoveries to  
21 clinical therapies to help public health basically.

22 So the speeding in the translation of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 basic sciences is focused on developing new tools and  
2 applying them in a clinical setting and understanding  
3 the disease processes through the use of fundamental  
4 biology and the new emerging tools of technology.  
5 Obviously, the near completion of the human genome is  
6 going to present a great deal of opportunities to  
7 explore new therapies and focusing on a variety of  
8 different markers for diseases is one of the focuses  
9 in trying to improve the efficiency of the drug  
10 development process.

11           These are a brief overview of some of the  
12 NIH activities over the past two years. The one I  
13 would like to focus on is the April of 1999  
14 Multidisciplinary Conference in which there were  
15 several sessions that I think are quite relevant to  
16 the discussions of this committee. There is a  
17 specific initiative that National Institute of  
18 Environmental Health Sciences has been advocating and  
19 sponsoring the research activities in, and this  
20 started with a meeting held in November of 1999 with  
21 interest from industry and academia, building upon the  
22 experiences of the April 1999 conference.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           Throughout this talk, there will be a  
2 series of Websites that I encourage people to visit  
3 that provide a variety of different perspectives on  
4 NIH research activities in this area. There are likely  
5 others, and one of our major underlying goals at NIH  
6 is to try to enhance the understanding and identify to  
7 the research community the needs for biomarkers in a  
8 variety of different settings and early stages of drug  
9 development as well as in clinical trials. There are  
10 copies of the handouts floating around and I will post  
11 the talk today on our Website that is listed here.

12           I think it is helpful to start with some  
13 definitions. This was identified to us as a major  
14 issue early on in this process that the terms used in  
15 discussing the use of biological parameters and  
16 evaluating the effects of drugs on human systems --  
17 there are a variety of terms used in the literature.  
18 And Dr. Varmus commissioned a working group that  
19 involved leadership from industry and FDA and academic  
20 centers to come up with some common terms and a  
21 conceptual model for the use of markers in the drug  
22 evaluation process.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 I just would like to suggest that this has  
2 been adopted pretty much through a variety of  
3 organizations now, this definition listed here, that  
4 a biomarker is any characteristic that is objectively  
5 measured and evaluated as an indicator of normal  
6 biological processes, pathogenic processes, or  
7 pharmacologic responses to a therapeutic intervention.  
8 And this term is meant to be broad in its  
9 interpretation in that biomarkers can be structural,  
10 genetic proteins, physiologic processes that one can  
11 detect with imaging technologies, and includes  
12 biobehavioral type measures that are used in  
13 evaluating various pharmacologic therapies.

14 Now one aspect of this is the use of  
15 markers in decision points regarding new therapies and  
16 their evaluation in clinical trials. And these are  
17 also definitions that are not new but have been  
18 incorporated by this working group as places to focus  
19 on what the meaning and application of markers might  
20 be. There has been a substantial interest in the use  
21 of markers in substitutions for clinical endpoints in  
22 clinical trials, and we felt that it is important to

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 state these definitions for encouraging common use of  
2 language. The clinical endpoint is a characteristic  
3 or variable that reflects how a patient feels,  
4 functions or survives. A substitute endpoint or a  
5 surrogate is a biomarker intended to substitute for a  
6 clinical endpoint. And a surrogate endpoint is  
7 expected to predict a clinical benefit or harm or the  
8 lack of benefit or harm based on epidemiologic and  
9 therapeutic and pathophysiologic or other scientific  
10 evidence.

11 I will not go into the details of all of  
12 this today, but the focus of NIH's interest has not  
13 been necessarily on developing new surrogate  
14 endpoints, but developing the scientific framework in  
15 which biomarkers may be incorporated in the evaluation  
16 of therapies in clinical trials.

17 This is a conceptual model that was  
18 actually shown here back last September I believe and  
19 one of the committee members spent some time  
20 discussing this. But the point here is to show a  
21 progression of how markers may be applied in a variety  
22 of settings in decision making about new therapies.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 The point to be made here is that the committee  
2 recognized very early on that the importance of  
3 biomarkers in evaluating toxicity is an important  
4 factor. We do not -- the committee did not feel  
5 strongly that the biomarkers for toxicity could  
6 substitute for clinical toxicology endpoints.  
7 However, they could be very helpful in informing the  
8 provisional evaluation of efficacy and toxicity and  
9 judgments being made about potential surrogate  
10 endpoints.

11 I won't go through the processes here. We  
12 hope that -- a more definitive paper is currently in  
13 review and will hopefully provide greater insights  
14 into how the evidence is built to provide that  
15 biomarkers may be used in this particular process.  
16 The underlying theme here is that the use of markers  
17 for toxicity assessment is recognized as to be an  
18 important component of what biological parameters can  
19 be used for in the assessment of novel therapies.

20 This is just a list of the various aspects  
21 of how biomarkers can contribute to the development of  
22 these therapies. And the focus has really been on the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 early phases of this and serve many functions from the  
2 industry side of drug development. And this is  
3 actually a place where we feel that NIH research can  
4 probably make the greatest impact initially. And  
5 basically the predevelopment studies in helping  
6 correlate the diagnosis and prognosis of disease with  
7 specific biological parameters of disease --  
8 identifying specific proteins or genes that are  
9 related to these -- and investigating pathophysiologic  
10 mechanisms of disease. Biomarkers by their discovery  
11 can provide a great deal of insight in driving  
12 hypothesis-derived research and determining a greater  
13 understanding of disease processes.

14 I preclinical studies, biomarkers can be  
15 used often in the confirmation of in vivo activity and  
16 in helping explore the concentration response  
17 relationships, and these can often help glean and  
18 determine the best candidates for further clinical  
19 trials.

20 In Phase I and Phase II studies, these  
21 help evaluate activity and develop dose response  
22 relationships. And in Phase III clinical studies, one

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 area where we are starting to see some great interest  
2 is the use of markers in the stratification of study  
3 populations. By having more homogeneous groups, it  
4 reduces the numbers and the power and the size of the  
5 groups needed to adequately power studies. And that  
6 by defining groups by certain biological factors or  
7 parameters in their disease process, one can reduce  
8 the numbers of subjects that are needed in Phase III  
9 clinical trials.

10 These markers can also be useful in an  
11 interim analysis of efficacy and safety and applied  
12 towards regulatory approval, which I won't dwell on  
13 today. And I think an important factor that Frank  
14 mentioned earlier is that the use of these markers  
15 ultimately can be helpful in the decision making that  
16 actually occurs in clinics and in healthcare settings.  
17 These are also helpful in identifying who responds to  
18 therapy and who doesn't rather than waiting for a  
19 clinical endpoint to be achieved. And also very  
20 helpful in prognosticating and predicting disease  
21 measures, and this is of great public health interest  
22 today.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           At the spring conference last year, there  
2           were two groups that I think are directly related to  
3           this particular discussion today, and they led to some  
4           discussions about key research gaps. And Frank was a  
5           part of this committee as a number of speakers here  
6           today were also involved. And I just wanted to point  
7           out some of the key issues that were identified as  
8           needs in biomarkers for toxicity and safety assessment  
9           in this group. They are listed here, but basically  
10          that there is felt to be a variety of markers that are  
11          not being adequately utilized in the research  
12          community by evaluating clinical responses or in the  
13          early phases of drug development. It is also felt  
14          that there is an awareness gap that the applications  
15          of the tools and technologies that are employed in the  
16          basic science research laboratories are not reaching  
17          their full utility in evaluating therapies in  
18          patients. There is a great deal of work that needs to  
19          be done before relying upon these, but it is felt that  
20          the full extent of the application of these new  
21          technologies and tools such as the gene arrays,  
22          proteomics imaging technologies, the fundamental tools

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 of discovery in the laboratories, are not being fully  
2 achieved in terms of their appropriate use in clinical  
3 trials and assessment of clinical responses.

4 It is also felt that there is a need to  
5 develop data associating particular genes, proteins  
6 and small molecules to human pathology. Coordinated  
7 efforts to relate animal toxicity markers to human  
8 responses is needed. And that there is a need for key  
9 organ system toxicity markers to be developed further.

10 The group felt that the best opportunities  
11 for developing toxicity markers were related to the  
12 understanding of the alterations in signal  
13 transduction and other pathways such as inflammatory  
14 markers to clinical toxicity for particular agents.  
15 Case studies and having key examples of these were  
16 helpful in aiming the discussions in these working  
17 groups.

18 It is also felt that defining genes  
19 related to human toxicology is important. I will take  
20 a moment to address a working group two years ago at  
21 NIH who focused and developed a successful new  
22 pharmacogenomics initiative. Identifying the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 development of toxicogenomics as a field was a high  
2 priority for them and encouraged other institutes and  
3 organizations to invest in their scientific framework  
4 for developing toxicologic markers.

5 And then it is also felt that there is an  
6 opportunity now for the development of high throughput  
7 screening methods to correlate gene expression with  
8 protein expression as they relate to toxicologic  
9 responses in drugs.

10 The use of emerging technologies -- and I  
11 am somewhat hesitant to use these as emerging  
12 technologies anymore as they are becoming quite  
13 widespread in application and their uses. But  
14 nevertheless, it is important to focus that the  
15 development and application of analytical tools to  
16 discover small molecules was felt to be important in  
17 assessing drug toxicity. We have heard a lot about the  
18 use of GC and mass spec for this particular purpose,  
19 and the utilization of imaging technologies to  
20 understand toxicologic mechanisms at molecular, whole  
21 organ and whole body levels.

22 NIH is -- you will hear more about this

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 this afternoon -- is making major strides in both the  
2 basic and the applied fields of imaging technologies.  
3 In fact, this year the office -- in the Director's  
4 Office, the Office of Bioengineering and Bioimaging  
5 has been formed, and this is going to, I think,  
6 spearhead trans-NIH efforts in applying new  
7 applications of imaging technologies.

8 Encouraged expansion of the application of  
9 cDNA array technologies and proteomics. You will hear  
10 more about that today. And in the clinical  
11 technologies, it is felt that there is a need for less  
12 invasive tools. And one of the institutes, the  
13 National Institute of Dental and Craniofacial  
14 disorders, has a specific interest in developing  
15 technologies to utilize salivary secretions and other  
16 clinical measuring tools as more appropriate and less  
17 invasive tools in measuring various drug effects and  
18 biological parameters.

19 And then as another emphasis, the use of  
20 humanized transgenic animal models to evaluate  
21 absorption, distribution, metabolism and excretion as  
22 well as the molecular and tissue and organ-specific

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 toxicologic effects.

2           There are some infrastructure needs that  
3 were pointed out, and it was felt that there is a need  
4 to stimulate collaboration amongst the sectors of the  
5 biomedical research enterprise to develop and evaluate  
6 toxicologic markers. We actually find this to be true  
7 throughout NIH in that there is not a specific  
8 institute that is focused primarily on toxicity of  
9 drugs, but this is something that is spread throughout  
10 the institutes. And trying to bring together  
11 collaborative research organizations or programs  
12 throughout NIH is a challenge for us, and we look to  
13 find new targets of activity that would bridge various  
14 areas of science and be useful to this committee as  
15 well as in the therapeutic development.

16           It is felt that one suggestion was to  
17 establish a consortium of public and private  
18 institutions to achieve these goals and to utilize  
19 funding strategies and networks for markers evaluation  
20 that are similar to the NCI models that I will discuss  
21 briefly at the end of this.

22           In the past nine months, a number of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 initiatives are underway or being planned for  
2 institution this fiscal year, and two of these I would  
3 like to highlight, as they involve collaborations in  
4 a public/private collaboration. That being the Cancer  
5 Biomarkers Laboratories, and many of you are already  
6 aware of that. These are a number of just a sampling  
7 of some of the research initiatives that are underway  
8 this particular year that I think will contribute to  
9 the development of biomarkers. And the  
10 pharmacogenomics that I mentioned earlier I think  
11 provides some of the framework for pulling  
12 laboratories together to achieve data bases on unique  
13 responses that individuals have in regards to drug  
14 mechanisms.

15 A neuro-informatics initiative was  
16 underway this year to develop an infrastructure for  
17 which imaging technologies can be pulled together and  
18 analyzed across different types of imaging modalities.  
19 The osteoarthritis initiative I will just say a few  
20 words about. There is interest in diabetes markers  
21 from a variety of institutes in the focus of our  
22 research discovery programs. Imaging programs in

1 neurologic diseases and immunomodulatory markers,  
2 particularly for transplantation rejection, are  
3 underway. Cardiovascular markers and new programs in  
4 hematologic disorders and toxicity markers, as I  
5 mentioned earlier, as well as new programs in chronic  
6 lung disease.

7           There are a variety of models that we are  
8 trying to experiment with to see what works best and  
9 applying them in other institutes and disease areas.  
10 One of these is the cancer biomarkers area that Dr.  
11 Klausner initiated approximately a year and a half  
12 ago. It was to develop an infrastructure to start  
13 from the basics in evaluating molecular and genetic  
14 and other biomarkers in early cancer detection and  
15 risk assessment.

16           There are three components to this. One  
17 is the discovery markers, the second is the technology  
18 development, and the third, the clinical validation of  
19 relationships of markers in the population. This is  
20 a very complex organization but works streamline to  
21 interface biotech, the academic laboratories and  
22 industry to streamline the development in a systematic

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 way of various candidate markers. Currently, these  
2 are focused on solid tumors for ovarian, prostate,  
3 lung, liver and colon and breast cancer. These have  
4 been quite successful in the six months since the  
5 discovery laboratories have been brought on line. This  
6 spring, the technology development centers will be  
7 activated, soon to be followed by the clinical  
8 validation.

9 And there has been widespread interest in  
10 this from the applications, and we expect that this  
11 program will continue to grow. The Website listed for  
12 this has a great deal of information now about the  
13 kinds of markers that are being evaluated, and I  
14 encourage people to activate that.

15 The osteoarthritis initiative is a  
16 public/private partnership that is being developed  
17 with industry in advocacy organizations in NIH to  
18 develop new infrastructure to conduct prospective  
19 natural history cohorts, in evaluating both imaging  
20 and biochemical and genetic markers for this  
21 degenerative disease. This is a model based on  
22 interest from the community of a large need for

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 markers in a disease that has no disease modifying  
2 therapies currently is felt to build on the advances  
3 in imaging of joints and musculoskeletal structures.  
4 This will hopefully be underway later this year and  
5 will utilize a variety of different technologies and  
6 again will be done as a partnership involving academic  
7 and biotechnology laboratories.

8           The next step from an NIH perspective in  
9 a broad sense is addressing some of the informatics  
10 needs for this. It was pointed out in a number of  
11 points during our travels through the biomarker arena  
12 that the organization of the literature and the use of  
13 terminology and the classification of markers is at  
14 best scattered. And that one cannot necessarily trace  
15 the development of a marker from its discovery in a  
16 laboratory to its applications in various settings in  
17 the clinic. And through that -- by identifying this as  
18 a need, NIH has explored several options for  
19 developing data bases or informatics systems. In  
20 fact, data bases have been recommended and attempted  
21 in several institutes in disease-specific settings and  
22 have not worked very well because of a high number of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 issues, including the high amount of maintenance that  
2 is required for this.

3           So actually we have spent about six months  
4 exploring various options, and I think the place where  
5 we are going now is to develop Web-based knowledge  
6 centers that through Internet technology, we can link  
7 various data bases and publications and data sets that  
8 can be categorized in a variety of different fashions.  
9 The importance of this is to make this user friendly  
10 in that the information content be of value to the  
11 user. And we have explored and have arrangements with  
12 the various organizations now to try to use Internet  
13 technology to bridge these data sets that are in  
14 various places and allow people to do analyses of  
15 various data sets in a much more easier fashion than  
16 pulling together various articles.

17           A process doing this for one specific  
18 disease area and pulling together just the last five  
19 years of information took us approximately three  
20 months of one person's time and developing sort of a  
21 composite of the information was a very laborious  
22 process. And our goal is to try to achieve this in a

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 fashion that utilizes the current Pubmed system as  
2 well as analyzing or access to various data bases that  
3 contain such information.

4 In terms of resource development, a number  
5 of these programs have developed new specimen and  
6 image repositories and assay validation laboratories.  
7 We are working on now developing Websites that will  
8 contain information on various specimen repositories  
9 that will help the research community very quickly  
10 identify appropriate samples for which they can submit  
11 research proposals and utilize these samples for their  
12 analyses. NIH has had a longstanding history in the  
13 development of epidemiologic and longitudinal data  
14 bases which are important in relating changes in  
15 biomarkers over time with their clinical outcomes.

16 There is a further need to expand and  
17 extend the laboratory technology for clinical measures  
18 and improve the accuracy and precision of these tools,  
19 many of these you will be hearing about today. And  
20 there is quite an expressed need in the clinical  
21 research realm for the technical expertise in  
22 expanding the clinical research capacity to use these

1 tools and understanding how they interact with  
2 particular disease processes. And throughout this, we  
3 have understood that there is a need for integration  
4 of new disciplines to bring people with new skills to  
5 the field and understanding the clinical toxicologic  
6 manifestations of how biomarkers and therapeutic  
7 interactions can be interpreted in a clinical setting.

8 I think that from my perspective this is  
9 a unique opportunity to bring some of the science  
10 together and identify some of the major challenges in  
11 toxicologic markers for various needs in drug  
12 development. We think -- we are eager to hear your  
13 recommendations of areas of need and if possible to  
14 prioritize them. And from our vantage point, our goal  
15 at least in the Director's Office at NIH is to try to  
16 bring together research communities and to leverage  
17 resources and achieve useful scientific technologies  
18 to foster this development. I would be happy to take  
19 any questions if there are any. If not, thank you  
20 very much. Jim?

21 DR. MacGREGOR: Not a question. I would  
22 just like to make a comment that I think that NIH

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 participation in this effort is absolutely critical.  
2 We went to NIH and asked them to select for us the  
3 appropriate representative for the committee, and Ray  
4 Tennant was selected because our initial focus area is  
5 the area of safety, toxicity, biomarkers and NIEHS has  
6 been designated the lead agency for that. But Greg  
7 has graciously indicated his interest in this and has  
8 said that he will continue to participate and as  
9 necessary, he will serve in place of Ray if Ray can't  
10 make it to the meetings. Anyway, I am extremely  
11 pleased to have NIH added to the committee. I guess we  
12 have not made that official yet, and I apologize to  
13 Ray Tennant. But I guess I would ask our advisors and  
14 consultants and our chair that if we can, even if  
15 Ray's appointment hasn't become official, I would hope  
16 that we can include him in the discussions today.  
17 Because I think NIH participation and inclusion is  
18 critical to our success.

19 CHAIRMAN DOULL: Why don't we move on then  
20 and hear from Malcolm York from Glaxo. Can somebody  
21 back there catch the lights?

22 MR. YORK: I thought bringing slides would

1 make things easier. Obviously not. Well, thank you  
2 for the opportunity to come over to present to the  
3 Subcommittee. Basically what I am going to present is  
4 part of a presentation I gave in London, which was on  
5 establishing surrogate markers of safety and efficacy,  
6 which Frank Sistare was also at. And he felt that  
7 some of the case study material that I presented would  
8 provide a useful contribution to this Subcommittee  
9 hearing. So I hope that proves to be the case.

10 DR. DEAN: Question, Mr. Chairman.

11 CHAIRMAN DOULL: Yes?

12 DR. DEAN: Do we have the slides for this  
13 presentation? A copy of the slides?

14 CHAIRMAN DOULL: Not for the --

15 MR. YORK: No. I will forward those  
16 electronically. The two cases studies that I will  
17 present this morning will be, one, looking at cardiac  
18 troponin I as a measurement in myocardial damage in  
19 the Wister Han rat and the Marmoset. And the second  
20 -- so basically using a human diagnostics immunoassay  
21 for troponin I and applying that to nonclinical  
22 species. The second case study will be looking at

1 utilization of the SELDI protein chip technology and  
2 biomarker identification in skeletal muscle toxicity,  
3 again in the Wister Han rat.

4 So the troponin. Basically troponin's  
5 protein complex regulate the contraction striated  
6 muscle, and there are three subunits within the  
7 complex, C, T and I. And in the clinical arena, they  
8 have been used to reflect myocardial damage,  
9 degradation of the contractile actin-troponin complex,  
10 causing release of the troponin molecules into the  
11 bloodstream and that can be a reflection either of  
12 severe ischemia or cell necrosis.

13 Troponin I has three different isoforms --  
14 slow twitch skeletal muscle, fast twitch skeletal  
15 muscle, and the cardiac muscle, troponin I. Troponin  
16 T -- cardiac troponin T -- is also found in the  
17 cardiac muscle. Predominantly the data I will present  
18 today will be on troponin I.

19 All these three isoforms are encoded by  
20 three different genes. The cardiac troponin I molecule  
21 has an additional 31 amino acids at the end terminus,  
22 which gives it its unique identity.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           The feature that is very important in  
2 terms of applying this original clinical biomarker to  
3 nonclinical species is that the molecular itself is  
4 highly conserved across the mammalian species. And  
5 this was a feature that we looked at to build on.

6           The troponin I data is a two-site sandwich  
7 immunoassay. And I won't go through the main features  
8 as I say, but just to point out the antibodies  
9 involved. The first antibody is a goat polyclonal  
10 anti-troponin I molecule linked to the acridinium  
11 ester. And the second antibody is two mouse  
12 monoclonal antibodies. So there are three antibodies  
13 within the assay procedure. Basically, they are  
14 linked to paramagnetic particles. So what will happen  
15 is they will, following the reaction antigen-antibody  
16 binding, the complex will remain captured by  
17 paramagnetic particles that fluctuate in solids, and  
18 then the relative light units release will be  
19 proportional to the concentration of troponin I in the  
20 molecule or in the sample rather.

21           In terms of initial validation, it was,  
22 again, a very crude procedure. Basically, we took

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 hearts from a dog, Marmosets, rats, and also from the  
2 mouse, and just basically did serodilutions of heart  
3 hemogenates to look for cross reactivity. And very  
4 good parallelism curves were obtained for the dog, rat  
5 and for the Marmoset. But with the mouse, there was  
6 confounding cross-reactivity which maybe wasn't  
7 unexpected given the presence of mouse antibodies in  
8 the assay reagent.

9 One of the interesting things in looking  
10 at cardiac troponin I was the identification by  
11 cardiac histopathology of acute myocardial necrosis  
12 with a compound that is in exploratory development.  
13 The mechanism was thought to be due to reflex  
14 tachycardia following an acute dose of the compound.  
15 The tachycardia was felt to cause ischemia leading to  
16 the myocardia damage. So one of the pathologists  
17 basically put forward this proposal and designed the  
18 study. There were four groups of animals. A control  
19 group dosed with saline; group two, propranolol using  
20 it as a beta blocker agent; group three, propranolol  
21 and the cardiotoxin; and then group four was the  
22 cardiotoxin only. There are individual groups with

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 each time point. So we collected samples and hearts  
2 at 6, 12, 24 and 48 hours.

3 In terms of the measures carried out, we  
4 looked at the troponin I and then looked at troponin  
5 T later on. And then the more traditional measures of  
6 cardiac toxicity -- AST, CK, LD, isoenzymes. And we  
7 also had a look at CK-MB mass of myoglobin in the rat,  
8 again by automated immunochemoluminescence. But we  
9 could find no cross reactivity with these procedures.  
10 And hence, there is no data generated on these.

11 Histologic examination of the hearts was  
12 performed, and also we looked at the stability of the  
13 troponin I molecule in terms of storage. In terms of  
14 the data that I will subsequently present, the  
15 traditional markers showed no change between control  
16 and treated animals, and I will show the histology  
17 representative histology slide later on.

18 This is troponin results in the animals  
19 which were group four -- group four only. So it did  
20 appear as though the proposed mechanism of toxicity  
21 did occur through the beta receptors. And here I just  
22 want to point out the increase in troponin molecules.

1 We have got troponin I and troponin T. And increases  
2 above the sensitivity -- the sensitivity of the assay  
3 was .03 micrograms per liter. And these increases were  
4 seen in five out of the six animals at six hours and  
5 predominantly three out of six animals at twelve  
6 hours. But by 24 hours and 48 hours, generally the  
7 troponin increases have disappeared. So in terms of  
8 its marker, very clearly it was up at 6 hours and 12  
9 hours, but then started to be eliminated from the  
10 plasma. And this gave good concordance with its  
11 appearance in the clinical sample in the human.  
12 Following damage, the half-life at first appearance is  
13 between 6 and 12 hours. So there is good correlation  
14 it seems there.

15 In terms of the pathology, there is no  
16 pathology seen at six hours, and the first signs of  
17 pathology -- I'll move on to the slide in a minute --  
18 came at 12 hours, 24 hours and 48 hours. So certainly  
19 the troponin I molecule was showing the first signs of  
20 myocardial necrosis ahead of histopathology.

21 This is a representative slide taken from  
22 one of the group four animals at 24 hours. The green

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 arrow indicates normal areas of the heart. The blue  
2 arrow indicates damaged areas of the heart. So here we  
3 have areas of myodegeneration -- focal areas of  
4 myodegeneration present in inflammatory cells, and  
5 these are occurring in the subendocardial region.

6 In terms of its stability, I will come on  
7 to talk about stability in more depth later one. But  
8 this is troponin I and this is looked at. So this is  
9 original data which was measured within a few hours of  
10 sample collection. And then we have had another look  
11 at 20 weeks later -- sorry, 12 weeks later and 24  
12 weeks later. So you can see that the results start to  
13 disappear or the positivity starts to decrease. But  
14 these are still positive results. Although it is very  
15 small increases in respect of the total rise of  
16 troponin I. For example, if you had severe myocardial  
17 infarction in the human, you would expect to see  
18 values maybe 25 to 50 micrograms per liter. So this  
19 appears to be a very sensitive and correlating with  
20 very minor degrees of myocardial damage observed in  
21 the heart.

22 In terms of its application to other

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 species, this is data -- again, this was retrospective  
2 examination. The pathologies to identified areas of  
3 myocardial damage in the Marmoset study, 7-day dosing  
4 study. And we basically went back to those samples  
5 collected for toxico-kinetic analysis and measured  
6 troponin I. And this again represents the data from  
7 two animals with cardiac pathology. The day zero, the  
8 values are below sensitivity. At zero hours, below  
9 the sensitivity of the assay at .15. By four hours and  
10 eight hours, there is a clear increase in troponin I  
11 seen in the Marmoset. And by 24 hours on day zero,  
12 the values had not returned to normal. These are clear  
13 positive results.

14 If you look at day six -- again, sort of  
15 looking at a chronic parameter, there are still  
16 increases seen. But with this particular animal, it  
17 does appear to clear within that 24-hour time period.

18 Again, with the Marmosets, we measured CK-  
19 MB mass by automated immunochemoluminescence. And  
20 again we found very good data or correlation between  
21 these two markers in terms of the change. But in  
22 terms of false sensitivity, the troponin I molecule

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           seemed to provide the best data.

2                       In terms of its specificity, I said  
3 earlier that in terms of checking its specificity that  
4 there were different isoforms of troponin I that also  
5 occurred in skeletal muscle. How would this be  
6 occurring in a toxicological situation where skeletal  
7 muscle toxicity was observed? So here we had examples  
8 from a 7-day pipeline study, so 7 doses. And skeletal  
9 muscle toxicity was observed. It was felt that this  
10 toxicity started to occur around about day 2 or day 3  
11 of dosing. These samples are just collected at day 7.  
12 This is the control data here, and this is the group  
13 three data.

14                       Protein kinase in the rat has a very short  
15 half-life of time points of an hour to two hours. So  
16 the increases seen here, and some of them are no  
17 increases, are not actually going to be in concord  
18 with the degree with skeletal muscle damage. The  
19 better markers are AST in this respect, even though it  
20 is a ubiquitous enzyme. But correlating the two, we  
21 had -- and also again with the histopathology, which  
22 showed damage to the intercostal muscles in this study

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 in the Wister rat.

2 So we had again a retrospective look at  
3 troponin I and could find five out of the six animals  
4 with no change in troponin I and showing a  
5 specificity. In the one animal which showed an  
6 increase, we went back and could find evidence of  
7 cardiac toxicity.

8 In terms of the current limitations of the  
9 troponin I assay, again this is very much from the  
10 clinical endpoint, is stability of the molecule.  
11 Troponin I does undergo in vitro proteolysis. And  
12 clearly the selection of the antibodies is quite  
13 important. There is a stable region between 30 and 110  
14 amino acids. But the actual region that confers its  
15 specificity, the third 2L amino acid region, is  
16 actually very -- is actually cleaved off during in  
17 vitro proteolysis.

18 So the important thing about this is that  
19 if your antibodies are directed against a stable  
20 region of the molecule, then you are still -- even  
21 though you may be measuring a fragment, if that  
22 fragment is on a mole-per-mole ratio, then you are

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 still going to have a correlation in terms of degree  
2 of damage.

3 Standardization. Again, in clinical size,  
4 there are a lot of troponin I assays on the market  
5 using different standards. And there is a big drive to  
6 have an international standard reference preparation.

7 In nonclinical studies, maybe -- because  
8 of the fact that you have a control group there --  
9 that is less likely to be a factor.

10 So in terms of its validation as a  
11 biomarker -- and again, these criteria really come  
12 from my background in terms of clinical pathology. So  
13 clearly troponin I, in terms of its myocardial  
14 toxicity in nonclinical species, has demonstrated  
15 specificity, sensitivity. We do have an awareness of  
16 its half-life, particularly with acute damage. The  
17 size of the molecule is 24 kilodaltons. The study  
18 design is important because, again, if you are looking  
19 for acute damage, you may need to know when to measure  
20 it. And clearly measuring troponin I on day 7 of a  
21 study when the damage may have occurred on day one  
22 would be useless. Again, we have a feel for stability

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 of the molecule as well.

2 The methodology is good. We found it to  
3 apply to the rat, dog and the Marmoset. The mouse is  
4 the only species at the moment where we can get  
5 confounding data. Biological variation is good.  
6 Troponin I will occur in very trace amounts. You would  
7 not normally expect to see it in there.

8 Use of pattern recognition. Again, with  
9 the Marmoset, we can measure other cardiac markers  
10 because of the availability of assays that give cross  
11 reactivity. In the rats, we basically used  
12 histopathology to validate the appearance of the  
13 marker.

14 And toxicological significance, I think  
15 the marker does have direct relevance to man. If you  
16 see changes in cardiac troponin I in nonclinical  
17 species, it should certainly be measured in clinical  
18 studies.

19 I then move on to the protein chip  
20 technology. And again, this is a collaboration between  
21 ourselves and Glaxo Wellcome, the London School of  
22 Pharmacy and Psychogeny Biosystems. Protein chip

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 technology -- I know this is being talked about later  
2 on. So I will basically skip over these slides. We  
3 have protein chip arrays, protein chip reader, protein  
4 chip software. Basically, you are looking to capture  
5 proteins on the chip by two specific methods using  
6 chemical surfaces, which will be a reflection of the  
7 physicochemical properties of the proteins. So  
8 whether hydrophobic or ionic in character, or again  
9 using a biological capture mechanism, where you can  
10 place a molecule onto the surface of the chip, be it  
11 an antibody receptor or an enzyme, and look to pull  
12 out specific molecules from the sample of interest.

13 So basically your sample, which could be  
14 urine, a tissue homogenate -- plasma, we have been  
15 less successful with at the moment -- again, cell  
16 culture media. They can be placed onto the ship and  
17 the sample proteins combine to the chip according to  
18 using either chemical or biological docking sites.

19 Non-binding proteins can be washed way by  
20 a variety of buffers, and so therefore eliminating  
21 sample noise and showing some degree of on-chip  
22 purification.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           Once the chip is retained, you use the  
2 process of surface enhanced laser desorption  
3 ionization to apply the laser energy to the protein  
4 that causes then the protein to fly in terms of the  
5 time of flight mass spectrometry and lower molecular  
6 weight proteins arriving that are detected first. And  
7 basically in terms of the data handling, you can  
8 capture the information, either with a spectral view  
9 or map view or by a gel view. So you have different  
10 ways of presenting the data.

11           In terms of when Glaxo Wellcome looked at  
12 this technology in June or July of last year, we,  
13 looked at a number of different features. The one  
14 feature I will just show is where we looked at  
15 biomarker identification. The approach was to maybe  
16 hit the muscle in again Wister Han treated rats with  
17 a skeletal muscle toxicant. In this case it was 2356  
18 tetramethylphenaminediamine. The rationale being that  
19 if you hit an organ rich in proteins, what would we  
20 see in the urine. And here is a comparison of control  
21 urines and treated urines in just this initial  
22 experiment. We can pick out this cluster of proteins

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 occurring between 8.5 and 12 kilodalton region.

2 Again, just further investigation of the  
3 technology, we looked at on-chip purification. This is  
4 the control. 50 percent acetonitrile. This is the  
5 data on the previous slide where the wash was 10  
6 percent acetonitrile. And increasing the wash to 50  
7 percent acetonitrile removed proteins but left us with  
8 a clear marker protein here.

9 So in just initial investigation of  
10 searching the protein data base, feeding in the  
11 molecular weight of 11.8 kilodaltons and other search  
12 criteria being the muscle and also rat, we pulled out  
13 this information. Water soluble calcium binding  
14 protein, rat parvalbumin thought to have evolved in  
15 relaxation skeletal muscle fibers. Again, the  
16 molecular weight very similar. But again what was also  
17 interesting is that we would use a hydrophobic chip  
18 and quite strong conditions, and we had correlations  
19 with published paper on its purification.

20 So we went back to further investigate  
21 this technology and did a more tighter control study.  
22 So we looked at male and female Wister Han rats given

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 subcutaneous injections of the TMPD at low and high  
2 dose levels. The rats were placed in their metabolism  
3 cages for 20 hours and allowed access to water only  
4 but not food. And then a number of observations were  
5 made.

6 This is a list of observations made.  
7 Looking at serum enzyme levels predominantly aimed at  
8 detecting muscle toxicity, looking at the quality of  
9 the urine to assure there is no renal toxicity  
10 occurring, but also a feel for the concentration or  
11 urine and urinary protein. So we applied SELDI  
12 analysis to the urine and performed histopathology on  
13 a range of tissues and also looked at SELDI analysis  
14 for the tissues.

15 This is the serum enzyme data, plasma  
16 enzyme data. Just some representative data between  
17 the control and high dose in the male rats. And again,  
18 this is 24 hours after dosing. So you can see clear  
19 increases in AST, aldolase. The CK is also increased  
20 and ALT is also increased. Again, in order to ensure  
21 that what we are looking at is skeletal muscle  
22 toxicity and not liver toxicity, we measured glutamate

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 dehydrogenase, which is again a liver specific enzyme  
2 in the rat. It is also found in high concentrations  
3 in the kidney. But if you don't have renal toxicity,  
4 most of the enzyme would flush out into the urine.

5 The other feature was the ratio of ALT to  
6 AST. Normally in skeletal muscle its distribution is  
7 1 to 7 or 1 to 8. So by virtue of the ratios, the  
8 increases in aldolase and CK -- again, these CK values  
9 are not really giving you a good guideline on the  
10 degree of damage. In early work we have done with  
11 this compound, we can see increases of CK up to 50,000  
12 or 100,000 within 12 hours of administration of the  
13 compound.

14 So what we basically did was create a  
15 muscle enzyme index based on the AST and aldolase  
16 elevations to give some degree of correlation of  
17 toxicity. And then we applied SELDI analysis to the  
18 urine. This is female control animals. We could see  
19 some degree -- a very small elevation of this protein  
20 appearing at 11.8 kilodaltons.

21 When we get to low dose in the females, we  
22 start to -- again, one or two animals show a peak.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 This peak is then reproduced more clearly where we had  
2 Malamute elevation in muscle toxicity as by the muscle  
3 enzymes. And we could see a representative peak in  
4 all the treated animals. So that is the female data.

5 In the male data, this is a composite  
6 slide showing control, low dose and high dose. And  
7 again, showing the appearance of this peak at 11.8  
8 kilodaltons in the urinalysis. This again reflects  
9 matched conditions generated in the early experiment  
10 using a hydrophobic chip and washing with 50 percent  
11 acetonitrile.

12 Just some correlation between the  
13 parvalbumin index and also the muscle enzyme index.  
14 Again, this is female data. So the parvalbumin index  
15 is a correlation of both the increase in appearance of  
16 parvalbumin related to an insulin internal standard.  
17 So what we do is send out insulin to the chip and the  
18 ratio of the parvalbumin versus insulin is calculated.  
19 But also the index related to urine concentration as  
20 well. So we took creatinine as being a factor of that.

21 Basically what you can see here is some  
22 appearance of parvalbumin in control animals, which

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 you may expect a turnover. The animals have been  
2 metabolized for 20 hours, but there has been no access  
3 to food. But we can start to see some correlation of  
4 the appearance of parvalbumin with the appearance of  
5 muscle toxicity as indicated by serum enzyme  
6 elevation.

7 This again -- I think you can see this. We  
8 looked at -- we performed SELDI analysis of tissue  
9 homogenates with a variety of tissues -- stomach,  
10 heart, liver, diaphragm and kidney and intercostal  
11 muscle and skeletal muscle. And we can show the  
12 appearance of the 11.8 kilodalton protein -- this is  
13 in the urine -- in the skeletal muscle and also in the  
14 intercostal muscle. We didn't actually determine the  
15 diaphragm. But you also can see that in terms of its  
16 appearance in other tissues, we weren't able to detect  
17 the protein.

18 However, while the data has proved very  
19 useful in terms of a proof of concept study, we are  
20 only halfway there. Yes, we have some degree of  
21 specificity of the molecule. But we really need to  
22 test it further. We are generating toxicity studies

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 where the skeletal muscle is not affected.

2           Sensitivity -- the protein appears to  
3 correlate well with evidence of muscle enzymes.  
4 Whether it is going to give any additional value is  
5 another thing. In vivo and ex-vivo half-life, again  
6 it appears within the urine within a 24-hour time  
7 period. Its continued excretion, we don't know about.  
8 The size of the molecule is 11.8 kilodaltons. But  
9 again what should be pointed out is that we have not  
10 uniquely characterized that molecule. It may be a  
11 fragment we are measuring there, but a uniquely  
12 consistent fragment. But it may also -- it may  
13 actually be the intact molecule itself. But we have  
14 not uniquely characterized it.

15           Study design. We are aware of the study  
16 design in terms of its appearance within a 24-hour  
17 time period, but we don't know about the design within  
18 a 7-day or a 28-day study.

19           Stability of the molecule. We feel it is  
20 fairly stable. But again, we have not carried out  
21 extensive stability studies. But we have revisited the  
22 samples over several months and are still able to pick

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 up the protein.

2 Robust methodology and knowledge of  
3 reproducibility. I'll come on to that in the last two  
4 slides. Biological variation, we have no information  
5 on this. Use of pattern recognition in diagnostic  
6 value. Again, we can show it does correlate with other  
7 traditional measures of muscle toxicity. But again,  
8 we would want to look at other methods of nonskeletal  
9 muscle toxicity to assess its importance.

10 Toxicological significance as far as  
11 relevance to man, we don't know.

12 In terms of the actual SELDI technology,  
13 while we were very lucky I think in some ways in  
14 actually demonstrating proof of concept with the  
15 technology, now we have got the technology in here and  
16 it is time to apply it to other applications and we  
17 recognize there is a lot of information we need to  
18 gather. This is where I think future collaborations  
19 come through.

20 In terms of protein expression, whether  
21 increased protein represents new synthesis or release  
22 from damaged tissue, you basically have a mixture of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 proteins released. And the thing about the technology  
2 is that some of the proteins will fly and some won't.  
3 Some will ionize and some will be detected. And so,  
4 again, you don't know what you are missing. You may  
5 see what you are capturing, but you may not be aware  
6 of what you are missing. And the optimum protein  
7 concentration is going to be an important feature as  
8 is also the relative concentration of proteins in the  
9 mixture.

10 One thing that is important -- it may not  
11 be necessary -- it shouldn't also be diverted in  
12 picking up the protein of the major excretant in the  
13 mixture. Because it may be that the true biomarker may  
14 actually be masked by an increased protein. So there  
15 is a lot of investigation in looking at both removing  
16 proteins of large amounts and actually seeing how that  
17 preparation then looks on the chip in terms of protein  
18 peaks captured.

19 Purification procedures. We are looking at  
20 maybe applying pre-chip procedures versus on-chip.  
21 You may argue that this is taking away some of the  
22 beauty of the technology. But as my boss says, we want

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 to know what the size of the haystack is, and I think  
2 that is something that you don't necessarily know with  
3 the protein chip technology here. So apply some pre-  
4 chip purification, maybe gel filtration or isolate  
5 fractions according to a range of molecular weights  
6 and then apply it to the chip and that will maybe give  
7 us more information and strengths of limitations of  
8 the technology.

9 Identification. Again, we don't know if  
10 we are looking at protein fragments or actually intact  
11 protein molecules. And this is actually going to be a  
12 very important area. Because it may be that this is a  
13 functional protein, for example, which has a different  
14 molecular weight than say a rat or a dog as opposed to  
15 in the clinic, and then you will need that  
16 identification. Because it may be that that will get  
17 the transfer from the non-clinical study into the  
18 clinical study.

19 This is where a lot of work is actually  
20 going in now in terms of reproducing the technology  
21 where we actually are using known protein mixtures.  
22 So we are looking at quality control and chip surface,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 ionization of the proteins, normalizing the data  
2 against known molecular weights proteins added to the  
3 chip, control patterns. Again, this is another area  
4 for collaboration, looking for -- building a data base  
5 of control patterns in urines and in tissue  
6 homogenates, et cetera, so you can clearly identify  
7 when something is actually going to be abnormal.

8 Date handling and presentation. It may be  
9 that in terms of a profile of a urine or a sample may  
10 actually be over different chip surfaces --  
11 hydrophobic, immobilized methylphenaminediamine  
12 capture ionic. And you really want to try and pull  
13 that data together in one package and clearly display  
14 that.

15 Archiving of the chips. I think this is  
16 actually quite a nice feature. In terms of the chips  
17 themselves, even if they apply the laser to the chip,  
18 only part of the dry protein or the protein that is on  
19 the chip surface actually flies to the mass spec. So  
20 you have always got some protein that is retained on  
21 the chip. And it may be that if you archive the chips  
22 and go back two months later, particularly if you

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1        tried a different procedure and identified a new  
2        protein, you could go back and see if that marker  
3        protein or marker molecule was present on some of the  
4        earlier studies.

5                    I am sorry, I think I have probably run  
6        overtime, but thank you very much for your attention.

7                    CHAIRMAN DOULL: Thank you.

8                    MR. YORK: I have got some notes I can  
9        give you. I haven't got a copy of the slides with me.  
10       I have got some notes I could give you in the interim  
11       period.

12                   DR. HOLT: Should I just go on?

13                   CHAIRMAN DOULL: Sure. Yes, let's go  
14       right on.

15                   DR. HOLT: Okay. I'm Gordon Holt. I am  
16       here from Oxford Glycosciences, and it is a great  
17       pleasure to use this opportunity or to be given this  
18       opportunity to come and speak to the committee today.  
19       And I want to put a special thanks in for Frank  
20       Sistare for giving us this chance. I think Michael has  
21       got my pointer, so we will see if this one works.

22                   What I am going to do today is quickly --

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 and I am going to do my time thing here so I don't go  
2 too much longer -- is give you some case examples of  
3 the use of proteomics in surrogate marker  
4 identification. I apologize in advance if I am using  
5 the words inexactly for surrogate marker. I do  
6 understand that there is nomenclature that is trying  
7 to be developed now. When I say surrogate marker,  
8 hopefully the context will help you know exactly  
9 whether it is an endpoint marker or clinical  
10 evaluation or study choice or whatever.

11 Very briefly though, I will just touch on  
12 what the technology is. I won't give any real details  
13 about how we go about doing this. I would be happy to  
14 do that at any later date or speak to anybody  
15 individually about that. Instead, I will just kind of  
16 summarize the technology in its boldest elements right  
17 now and then give some specific bottom line details in  
18 terms of where the technology is today. What we can  
19 actually measure today.

20 So as the briefest of overviews of what  
21 proteomics is, in case anybody doesn't know. The  
22 concept actually hasn't changed all that much in 20

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 years, but the technology has advanced enormously. And  
2 I will try to give specific examples of that. But the  
3 concept is simple enough. You just take two samples,  
4 tissue or blood or whatever, and run them on 2-D gels.  
5 The first dimension is isoelectric focusing. The  
6 second dimension is SDS page, fairly standard there.  
7 Then using a variety of optic tactics, one can scan  
8 these -- these are actually scanned gels here. One  
9 can scan them into some data base and then use  
10 informatics techniques to do comparisons of the  
11 features or the spots on the gel after they have been  
12 stained. The feature comparisons then allow you to do  
13 some differential analysis. This is meant to be a  
14 histogram of looking at a given feature compared to  
15 another feature on one or two or actually quite a  
16 large group of cells it is very successfully handled.

17 And then depending on what the feature  
18 changes are that are seen, of course one's interest is  
19 immediately peaked about what that particular feature  
20 is. So there are tactics now that are actually quite  
21 easily industrialized to scrape those features  
22 physically off of the gel and put them into a robot

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 rig that will go on and make peptide fragments out of  
2 those features shown here and then pass those peptide  
3 fragments directly onto a mass spec. Once on the mass  
4 spec, there is again a variety of tactics that are  
5 well known to the art for determining the absolute  
6 sequence of those fragments, if not the peptide mass,  
7 then the absolute sequence. And then using public and  
8 private domain data bases, one can match those  
9 fragments back and forth across the data bases and end  
10 up with an absolute identification of what that  
11 feature was. So then it feeds back on itself, rounds  
12 and rounds of iterations, so that the system gets  
13 smarter and smarter over time.

14 Now in terms of the challenges that have  
15 faced any kind of surrogate marker identification,  
16 some of which are shown here, I am going to focus  
17 specifically on the challenges that proteomics has had  
18 to face in terms of surrogate marker identification.  
19 Sample variability is everybody's problem. It doesn't  
20 really matter who is doing what. That is, every human  
21 in the room will come in with a certain amount of  
22 variability. So that is built into that. You need to

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 handle a massive amount of information and make sense  
2 out of that. That is all about process validation. Of  
3 course, there is also sample handling issues that are  
4 unique to proteomics. Certainly one has to in advance  
5 know that one is going to do a proteomic sampling,  
6 because you need to stabilize the proteins. In many  
7 cases, you can't just simply put a tissue up on a  
8 plate and come back three hours later and try to do an  
9 assessment of it. That doesn't work.

10 Low sensitivity had been an issue  
11 historically. I think that -- while I'll give some  
12 specific examples in a moment of where sensitivity  
13 ranges are now. But again, there are a variety of  
14 tactics that we and our other proteomics colleagues  
15 have used to improve on this. Immunoaffinity  
16 enrichment, subcellular fractionation, a variety of  
17 improvements in dyes for staining the gels and also  
18 improvements in the imaging tactics themselves have  
19 brought us pretty well on scale with the kinds of  
20 things that I think are of interest to this committee.

21 Gel variability. Any manufactured process  
22 has built-in problems to it. We are no exception to

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 that. We and other companies have developed process  
2 validation tactics to make sure that we have minimized  
3 as much as possible the variabilities in putting gels  
4 between two glass plates and running them at a high  
5 voltage. In addition to that, we have also devised  
6 some imaging warping tactics to face the inevitable  
7 consequence that there always will be a few spots that  
8 will shimmy to the left or to the right a fraction of  
9 a millimeter, and we have ways of bringing these back  
10 into register so that in the end the comparison is  
11 meaningful.

12           Throughput has always plagued this  
13 industry. Again, it is a matter of a snapshot in time  
14 where we are today. I can tell you that my company  
15 runs a thousand gels a week. So we are at a pretty  
16 good throughput, but we are not at tens of thousands  
17 of gels a week. Although I should emphasize that the  
18 technology is clonable. So I think don't look at it  
19 today and say that is the limit. That is just today.  
20 And the goal there, of course, is robotics and people  
21 who service day and night the instruments to make sure  
22 everything is fed.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1                   And then the data analysis overload, the  
2 same problem for us as everybody else. This is about  
3 LIMS. And without the proper LIMS, you will be  
4 absolutely buried with data. It is way past the  
5 ability to just flicker -- to just compare a couple of  
6 gels and flicker back and forth. You can't do that  
7 anymore. It simply will overwhelm you.

8                   So some bottom line information. This is  
9 shown here in the context of genomics. Perhaps not the  
10 central focus of this committee, but it just is a  
11 point of comparison for something that people see a  
12 lot of nowadays. In terms of coverage per run,  
13 theoretically now I think everybody would concede  
14 theoretically that the time has come when genomics  
15 could get every single gene in a body, whether it be  
16 a mouse or a human, on a single chip and theoretically  
17 read all those things. Now there is still quite a bit  
18 of technology that needs to be developed, but I think  
19 that end is in sight.

20                   In terms of proteomics, there are inherent  
21 limitations to the technology. You are at the end of  
22 the day looking at a gel. So if there are too many

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 spots on a gel, at least right now, that is going to  
2 confound. The gel spots or features will start to  
3 overlap. Right now operating in around 2,000 features  
4 per gel is easily handled by our technology. Now  
5 understand that is not the limit of analysis. That is  
6 just on a single gel. If you want to spread a sample  
7 out more -- for example serum -- there are a variety  
8 of -- changing your pH ranges for the separation,  
9 doing subcellular fractionation, purification and so  
10 on. So you will still get tens of thousands -- well,  
11 anyway, ten thousand features out of a single sample.  
12 You just need to spread it out a little bit more, at  
13 least using current technology.

14 In terms of -- I will skip sensitivity and  
15 in just a moment come back to it. In terms of protein  
16 modification, I think it is really important to  
17 emphasize that a whole aspect of our universe is in  
18 post-translation modification. Is there  
19 phosphorylation going on? Yes or no? Without that  
20 information, oftentimes you will see a change, but you  
21 won't know if it is meaningful to the cell. So  
22 certainly that is quite prominent on proteomics and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 frankly can't really be assessed by genomics.

2           Subcellular localization, subunits in  
3 complex formation, all that are very important. Now,  
4 for the issues on sensitivity. Genomics right now is  
5 operating on one molecule of message per cell. And  
6 again, I think that is still theoretical, but that end  
7 is in sight. Proteomics today is about 100 molecules  
8 per cell. And I will give you a bottom line on what  
9 that means in terms of serum. Mostly I am going to  
10 talk about serum today. Serum analysis -- my company  
11 at least is at about 7 to 10 nanograms per ml on the  
12 scale for us. That is today. We are looking at  
13 advancing that and hopefully fairly soon will be into  
14 the range that Malcolm York just spoke about for  
15 troponin, where we can see that on a single pass gel  
16 as well.

17           Clinical samples I think are very  
18 important though to emphasize again. Proteomics is  
19 quite amenable to samples that are easily available in  
20 the clinic and that are realistically available in the  
21 clinic. That would include blood, serum and urine.  
22 They all work just fine on proteomics.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1                   So I am going to go through quickly a few  
2 case studies for some work that we have done to give  
3 you an example of what the technology is useful for  
4 and what its strengths and weaknesses are. The first  
5 one I am going to talk about is the collaboration we  
6 have with Frank Sistare, who has already spoken from  
7 CDER at the FDA. For the sake of time and because  
8 this is undoubtedly a very knowledgeable committee, I  
9 won't talk too much about doxorubicin. But this was  
10 a case study that we worked on. Doxorubicin, of  
11 course, is an anti-cancer agent. It is well known to  
12 have a cardiotoxic endpoint to it though. And the  
13 cardiotoxicity seems to be mediated in part by metal  
14 ions and metal chelation by an ICRF compound. ICRF  
15 187 seems to provide significant chemoprotection that  
16 is important in the context that we wanted to look at.  
17 So the basic question is can proteomics identify  
18 clinically relevant markers for doxorubicin toxicity?  
19 The important point with these case studies is they  
20 don't have to be elaborate at all to get good working  
21 data to move forward with. In this case, three rats  
22 per group. I think there were a total of 15 rats that

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealgross.com

1 were used in this experiment. Dose is a textbook dose  
2 known to induce cardiotoxicity treated for seven weeks  
3 and then sacrificing the animals and took blood. We  
4 did do a serum purification step. To give you an  
5 example of the technology advancement, when one takes  
6 serum or plasma out of any animal, there is quite a  
7 bit of these four proteins -- albumin, haptoglobin,  
8 IGs and transferrin -- that in fact will confound the  
9 data analysis. This is an example of a 2-D gel with  
10 raw serum. Where you see these major proteins here are  
11 actually blocking up quite a bit of the gel surface,  
12 and there is a close-up view. So this is albumin and  
13 this is probably transferrin in a heavy chain. They  
14 actually totally dominate that gel. What you need to  
15 do is to get rid of them. We have developed some  
16 immunoaffinity technologies to get rid of those  
17 proteins, because they are actually not part of the  
18 disease process in almost all instances. So here is an  
19 example of a full scale gel that has been  
20 immunoaffinity purified and then the close-up. And you  
21 can see, again, that the major point is that not only  
22 can you physically load more protein on the gel and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 get to see a lot of the other things that might have  
2 been missed, but you can also remove these clouding  
3 elements -- these occlusions that would otherwise  
4 eclipse the proteins of interest. These proteins are  
5 not albumin and IG and so on.

6 So what kind of assay we did on the  
7 samples? We ran one gel per plasma sample. This PEM is  
8 protein expression map or gels in our hands. We  
9 looked at approximately 1,800 features in every gel at  
10 all times across the board. In this particular quite  
11 a small study, we looked at about 32,000 features,  
12 screening compared to each other. We set a rather high  
13 stringency for the comparison. In this case, we chose  
14 a 98 percent marker confidence. That is that the  
15 markers had to be well on scale and we could easily  
16 discern what changes were occurring. And the 100  
17 percent incidence, that is, every single animal in  
18 every single group had to either have that feature  
19 there or not there or changing in synchrony. So in  
20 this case, these markers would be quite robust.

21 As markers, what did we find? On  
22 doxorubicin-induced toxicity versus control, we found

1 about 34 markers that deflected the midline here as  
2 abnormal. Remember again that each of these proteins  
3 has their own baseline for what is normal for that  
4 protein. So this is all just expressed as a fold  
5 change. So we found about 34 markers that changed  
6 compared to normal in this study. When we used ICRF --  
7 you remember I spoke about ICRF as a chemoprotectant  
8 against doxorubicin-induced toxicity. We are very  
9 pleased to see that almost all the markers went back  
10 to their normal values. A few of them did not.  
11 Looking at it together, again you see all these  
12 basically went back to normal, a few did not.

13 So what is the bottom line on this? We  
14 found 34 markers that seemed to be consistent with  
15 doxorubicin-induced toxicity, some of them quite  
16 profound changes. It appears that these are excellent  
17 places to start for clinical markers of doxorubicin-  
18 induced cardiotoxicity. And another important point,  
19 the technology itself also demonstrated using the ICRF  
20 as an internal control that ICRF -- not only does it  
21 show that ICRF looks like it is a pretty good  
22 chemoprotective agent, but I think this is an

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 important point too. What are the markers that  
2 actually change? We have gone on and sequenced them.  
3 Without going into details right now, I would just say  
4 that they followed along the lines of lipid  
5 metabolism, in particular liposome formation.

6 Immunosurveillance. There was quite a few  
7 markers that were involved in complement fixation.  
8 Wound healing. Of course not surprisingly, we saw  
9 some proteins involved in scar formation. Proteolysis  
10 of protein components in the cells. And then this is  
11 a very interesting finding too. We found some anti-  
12 oxidant proteins being deflected from normal, in  
13 particular some metal scavenging enzymes. And this,  
14 frankly, is a bit of a surprise to us. What it showed  
15 is doxorubicin does depress in this case the metal  
16 scavenging proteins, and I guess that is known in the  
17 literature. But interestingly, it looks like that sort  
18 of demonstrates the motive toxicity.

19 I will speak very briefly about other  
20 projects we are doing with Frank Sistare. We are also  
21 looking at vasculitis markers in a case study. In this  
22 case, we are looking at SKF, a compound that is well

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 known to induce vasculitis. We are doing a time course  
2 study. We will -- actually, these gels have already  
3 been run and hopefully very soon we will be able to  
4 give you some data on that if you are interested.

5 So that is about serum markers for  
6 cardiotoxicity and vasculitis. That is not the only  
7 thing we are doing. We are also looking at marker --  
8 looking for surrogate markers with in this case the  
9 CRO quintiles. Looking in particular for surrogate  
10 markers that are consistent with nephrotoxicity.  
11 Again, I don't need to tell this group that much about  
12 gentamicin. But gentamicin is our case study in this  
13 instance, where it is a good antibiotic and it also is  
14 well known to have ototoxicity endpoints and a  
15 reversible although sometimes irreversible kidney  
16 toxicity.

17 This gives an example of the more robust  
18 study design that we and others would like to do. We  
19 have actually done this study now where we are looking  
20 at quite a few dose levels and large group numbers. We  
21 are looking at standard clinical values for toxicity.  
22 And we are running quite a few samples, in this case

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 420 samples, looking for changes. I didn't bring data  
2 to present on what is found other than to just -- what  
3 is found in the non-proteomic arena. I will just  
4 simply summarize it by saying we found what the  
5 textbook would suggest. That you see nephrotoxicity at  
6 about 40 to 60 mgs per kg. We see that  
7 histologically, and we also see that in the expression  
8 of some of these classic clinical markers of  
9 nephrotoxicity.

10 What did proteomics show? I will just  
11 show you a very scant bit of data on just the serum  
12 analysis. In this case, we looked at 30 different  
13 images or 30 different samples. 2,500 features were  
14 followed simultaneously. We looked at about 21,000  
15 features for consistencies and change.

16 What is the bottom line? The bottom line  
17 in this case is very unusual, I should emphasize. We  
18 found a single protein that seemed to correlate across  
19 the board with the emergence of nephrotoxicity. This  
20 particular protein is involved in the alternate  
21 pathway of complement. Is it totally unanticipated by  
22 the literature? Not exactly. Although in all

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealgross.com](http://www.nealgross.com)

1 fairness, it is known that the alternate complement  
2 pathway is involved in this procedure. But I think it  
3 is fair to say that the literature certainly didn't  
4 anticipate that a single protein would be such a  
5 strong predictor of kidney tox induced by gentamicin  
6 in this case. A very critical finding. What we found  
7 is that once we knew what the protein was and we  
8 started looking at lower and lower doses, we could  
9 actually see that this particular complement protein  
10 deflected from normal at a dose even lower than  
11 histopathology, the other standard clinical markers of  
12 kidney toxicity emerged. That is a key finding.  
13 Obviously what you want to do is to catch something  
14 quite early, well before you actually have serious  
15 damage.

16 So the technology is not only useful for  
17 looking at toxicity. It also, I think, is quite sturdy  
18 at identifying patients who should be treated with  
19 something. And this is just an example of one of many  
20 projects we are conducting at OGS to look at actual  
21 human samples in this case to try to distinguish  
22 different patient groups at points of critical

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 importance in clinic -- should I treat or should I not  
2 treat or should I go to a more strenuous test or not?  
3 So this study was done with Dr. Coombs at the CRC  
4 looking at breast cancer sera. Again, a key point is  
5 you don't have to do a very large study to get a very  
6 compelling data set to at least begin a very large  
7 study with.

8 In this case, we looked at sera from 17  
9 normal patients, 17 patients with diagnosed primary  
10 breast tumors, and then 17 patients with metastatic  
11 cancer. And we did apply the serum enrichment protocol  
12 that I talked about a moment ago on these samples.  
13 What did we find? Again, this is all focused on bottom  
14 line. What we set for our criteria for acceptance in  
15 this study was a P value that was approaching fairly  
16 significant statistical significance, a P value of  
17 less than .005 for that feature, and an incidence of  
18 greater than 50 percent. So at least half of the  
19 patients had to show this marker change compared to  
20 the patient group to which that particular feature was  
21 being compared.

22 We identified 63 potential surrogate

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 marker proteins in this study. In terms of what the  
2 metrics are or what they are. In the normal versus  
3 primary metastatic cancer, we found 16 proteins in a  
4 differential, normal versus metastatic 20. And I think  
5 this is probably the most important set of this group  
6 personally. And that is primary cancer versus  
7 metastatic, we found 27 different proteins. Now what  
8 does this mean? Actually, it is a very important  
9 clinical decision making of whether to decide to treat  
10 someone with rather standard technologies with  
11 standard treatments for primary cancer, or whether it  
12 is time to go right into the very heavy hitter and in  
13 fact much more risky treatments for metastatic cancer.  
14 And again, this is a clinical decision making point,  
15 and it looks like we have a pretty good set of markers  
16 to look at here.

17 CHAIRMAN DOULL: Did those overlap?

18 DR. HOLT: Most of them do not overlap  
19 interestingly. The question was do the proteins  
20 overlap, and most of them don't.

21 Just a brief summary. I will just again go  
22 to the bottom line. Hopefully, I have persuaded you to

1 at least consider proteomics as a powerful tool for  
2 surrogate marker identification. Frank asked me to  
3 speak to this, and I agree. I think it is very  
4 important to mention that we have had quite a lot of  
5 information from various sources about the need for  
6 having some kind of consortium to work together. I  
7 think I can say that certainly my company and I am  
8 sure most would seriously consider joining into the  
9 consortium. I wanted to flag the critical issues in  
10 case it is not entirely obvious. But there is no  
11 question that given the institutional mandates of the  
12 major players, they are all -- FDA needs to be doing  
13 its own charge and the academic and industrial  
14 partners all have their own charges. I think it is  
15 inevitable that all these players, if they are to come  
16 together, are going to have to work to the same  
17 endpoint for different motivations. Because I don't  
18 think there is ever going to be a way to overlap  
19 everything. I think it is also inevitable that there  
20 are going to be stage specific pressures. The  
21 pressures for discovery are different than validation  
22 and commercialization and so on. And I think these

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 are going to have to be addressed even before it is  
2 started. And last but not least, this is not a  
3 stopping point. It is simply a point of  
4 acknowledgement that intellectual property ownership  
5 is going to have to be settled very early and very  
6 quickly. And I think one thing that is going to be key  
7 is that the discoverers in most instances are probably  
8 not going to be the developers of the information.

9 With that I will stop and pass. Any  
10 questions?

11 CHAIRMAN DOULL: Thank you, Dr. Holt.

12 DR. GOODMAN: Can I ask a question, John?  
13 Could I ask a question?

14 DR. HOLT: Yes.

15 DR. GOODMAN: For the doxorubicin and  
16 gentamicin?

17 DR. HOLT: Yes.

18 DR. GOODMAN: With the dosing schedules  
19 that you employed?

20 DR. HOLT: Yes.

21 DR. GOODMAN: How did proteomics tell us  
22 anything different than using measurements -- standard

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 measurements for serum transaminase and/or standard  
2 measurements for urinary electrolytes or gross protein  
3 in the urine?

4 DR. HOLT: I mean, speaking directly to  
5 serum transaminase, none of those proteins were serum  
6 transaminase. I have no idea --

7 DR. GOODMAN: No, I understand that. But  
8 if we did the standard, routine, basic clinical serum  
9 analysis or urinalysis, how would we have learned --  
10 would we have learned -- how did proteomics tell us  
11 more than that standard basic analysis?

12 DR. HOLT: That is fine. So in terms of  
13 the quintile study I think I showed -- and again, I  
14 would be happy to put some hard data up if you want to  
15 at some future point -- but we certainly found that we  
16 could identify markers emerging at a concentration  
17 that no other parameter showed a change compared to  
18 normal. That includes all the items that you just  
19 listed. So we were able to see a deflection even  
20 before that. Why is that? It is probably because there  
21 is a metabolic change within the cells, even before  
22 they were burst and even before there was major tissue

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 damage that you could see the changes.

2 DR. GOODMAN: But I don't understand. When  
3 you say before, do you mean before in terms of time or  
4 at lower doses?

5 DR. HOLT: At an earlier concentration. I  
6 am sorry, it is imprecise language. At a lower  
7 concentration than you could see damage otherwise. In  
8 terms of time, I don't remember off the top of my  
9 head. But it wouldn't surprise me at all if you saw  
10 it.

11 DR. GOODMAN: But maybe the lower  
12 concentration doesn't really produce toxicity, so you  
13 could get a false positive.

14 DR. HOLT: That is a great point. I mean,  
15 I think efficacy and toxicity are always one of these  
16 things that go back and forth. But it certainly --  
17 those markers clearly are related to toxicity at  
18 higher concentrations. Are they related to toxicity at  
19 lower concentrations? I think that that is what one  
20 needs to study in detail.

21 I wanted to point out too on the  
22 doxorubicin study, again in fairness, I think that

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 many of the proteins that we saw changed actually not  
2 only speak to the toxicity, but in the case of  
3 doxorubicin appear at least at first blush to speak to  
4 the mechanism of toxicity. Again, I don't think that  
5 you get that kind of information from the standard  
6 techniques that are used now.

7 DR. MacGREGOR: I think I could add to  
8 that question actually. In the case of doxorubicin,  
9 troponin T has in fact come into clinical use because  
10 clinically it is the most reliable marker for  
11 doxorubicin toxicity, and it is used to gauge  
12 pediatric chemotherapy for that reason. So I think it  
13 is actually a good example of how these technologies  
14 can turn up new candidates that you can then assess  
15 one against the other and optimize the best uses.

16 DR. GOODMAN: Well, I understand. But the  
17 real direct, direct issue is -- and I don't mean to be  
18 facetious -- but not to find a more expensive way to  
19 do what we currently do routinely.

20 CHAIRMAN DOULL: Yes, but it might be  
21 predictive, Jay. You know, the test might be more  
22 predictive than the transaminase. It would predict it

1 earlier. Yes, Joy?

2 DR. CAVAGNARO: For the doxorubicin then,  
3 the histogram or cartoon that was presented, did you  
4 note any one of those as proponents?

5 DR. HOLT: None of them. In fact, I will  
6 speak directly to that. I don't think troponin will be  
7 on our gels. I think that from what I understand of  
8 the concentration, that it is supposed to deflect. I  
9 would have been surprised had it been on the gels that  
10 we saw. We are approximately five to ten fold less  
11 sensitive than to be able to pick up troponin in a  
12 first pass. I want to emphasize that that is a first  
13 pass. If you want to see troponin, then what we would  
14 probably do is to decrease the -- use another  
15 immunoaffinity tactic to get rid of other proteins. We  
16 would probably change the pH ranges so that we would  
17 see it directly on the gels.

18 CHAIRMAN DOULL: We will go ahead and  
19 continue the proteomics discussion with Dr. Anderson.

20 DR. ANDERSON: Thank you. I'm going to  
21 attempt to use this very good sound system to be heard  
22 to you today despite laryngitis, and I will also try

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 to be as brief as possible but convey to you basically  
2 three things. First, I would like to discuss a little  
3 bit the basis for the belief that protein studies are  
4 a profoundly useful path to discover markers. Talk a  
5 little bit about the technology and then show a series  
6 of case studies in which the technology has been used  
7 to find novel markers of toxicity, particularly in  
8 rodents.

9 Now the issue with genomics  
10 transcriptomics as it is now called and proteomics is  
11 really that the genome is telling us what could  
12 possibly happen. It is the plans for an organism.  
13 Messenger RNA measurements tell us what might be  
14 happening, because messages only have the function of  
15 specifying the production of proteins. And proteins  
16 actually are the elements of biological systems that  
17 function. And protein abundances therefore tell us  
18 what is happening in a biological system.

19 Now what we really want to understand  
20 obviously is functional change, both the  
21 pharmacological and toxicological aspects of  
22 functional change. And in percolating information

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 through from the genome to message to protein to  
2 function, clearly we have some questions about the  
3 translation of those potential markers here which need  
4 to be resolved at the protein layer. And not only  
5 that, we have changes in the structure of proteins  
6 which in fact can't be observed at these other layers.

7 Now I want to make the point that it is  
8 fairly important to realize that protein and messenger  
9 RNA abundances are not very well correlated. And,  
10 therefore, if one has to choose a molecule to measure  
11 as the diagnostic marker, it is much more important to  
12 measure the one that is proximate to function. And I  
13 would point out that several studies, the first one of  
14 which we did in collaboration with Incyte  
15 Pharmaceuticals, to look at the correlation between  
16 message and protein give very poor correlations. The  
17 second major point that was emphasized earlier is that  
18 important sample types just don't contain useful RNA.

19 The data in comparing message and protein  
20 abundance gives an obvious impression of lack of  
21 strong correlation. This is the abundance of a  
22 protein versus the abundance of its specific message

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 in human liver in this case for a series of different  
2 gene products. The correlation is a little bit less  
3 than .5, and there is a tremendous amount of scatter,  
4 which is in fact not method-dependent. It is found in  
5 a whole series of studies which indicate that this  
6 correlation is poor. Therefore, protein measurements  
7 are going to be very important to us. And what we  
8 would like to be able to do is to measure the protein  
9 fingerprint and see how it is affected by things like  
10 perturbations around the normal state. These can  
11 comprise disease states or treatment effects. And we  
12 can divide these. This is to some extent a  
13 philosophical distinction into therapeutic and toxic  
14 effects. And we can look at progressive change in the  
15 normal state, which is a more academic enterprise  
16 looking at differentiation, evolution, et cetera. But  
17 for the current purposes, we are interested in the  
18 effects of drugs.

19 Now drug effects are expected to have  
20 representations and changes in the amount of messenger  
21 RNA and proteins for many proteins because of the fact  
22 that a lot of regulation exists in biological systems.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.neairgross.com](http://www.neairgross.com)

1 If you inhibit an enzyme, things happen. You get  
2 regulatory changes that cause changes here. The same  
3 with receptor binding or blockade of a channel.

4 Now in order to measure effects like this,  
5 the technology that is required really comprises four  
6 components, and I will very briefly mention what these  
7 are. Experiment design, sample fractionation for  
8 example, is extremely important, as Gordon has  
9 previously mentioned. 2-D gels are still the core  
10 technology here, although it is very important to  
11 realize that over the longer term we are talking about  
12 2-D gels and proteomics as a discovery technology  
13 which will generate markers which percolate through to  
14 all the normal measurement methodologies we want  
15 ultimately leading to something that may be termed  
16 protein chips, the ability to measure large numbers of  
17 proteins specifically and cheaply. Mass spectrometry  
18 is used for identification of the proteins, and  
19 software is a major component because of the amount of  
20 data that is involved.

21 I will just make one very important point  
22 about 2-D gels. These are separations of many

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 proteins. Each spot is a protein separated by  
2 isoelectric point and molecular weight. And the  
3 abundance of each of these, the integrated absorbance  
4 of it in this particular case, is a representation of  
5 the abundance of that protein and hence is equivalent  
6 to a specific test for a specific protein molecule. So  
7 we are running specific tests for large numbers of  
8 proteins in a discovery mode.

9 2-D gel resolution has a profound effect  
10 on the data quality, and that is the reason why it has  
11 taken a very high degree of automation to allow us to  
12 go from situations like this running gels essentially  
13 by hand to being able to distinguish all the protein  
14 spots and measure them independently by automated  
15 methods. And even the shapes of these little spots is  
16 very significant. In the very high throughput systems,  
17 these are Gaussian spot shapes, as they should be,  
18 determined by diffusion of the proteins.

19 Mass spectrometry is basically fed by  
20 systems in which gels are taken and the proteins are  
21 excised by mechanical systems and put in 96 well  
22 plates for high throughput processing and analyzed by

1 mass spectrometry. And ultimately, the data flows  
2 into a data base. In our design, we use two data  
3 bases. The first is human, looking effectively at  
4 disease processes, molecular anatomy and pathology  
5 data base. In parallel with that, we look at drug  
6 effects, primarily in rodents in the molecular effects  
7 of drugs data base. And of course the bottom line is  
8 the relationship between these two, because we want to  
9 develop drugs which reverse disease processes.

10 Now so far we have looked at a little over  
11 50 pharmaceuticals which are in the PDR in rodents to  
12 look at the effects in certain tissues in order to  
13 develop a background data base. Altogether in the  
14 commercial as well as in our own projects, we have  
15 looked at about 100 compounds so far. This is a  
16 representation which I don't expect you to be able to  
17 read of a summary of the results of changes in this  
18 subset of proteins caused by the effects of 182  
19 different drug treatment groups here. This is about a  
20 quarter of a million different protein measurements  
21 compacted together and analyzed in such a way that we  
22 are clustering them. I will show you what is in the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 little box. This is a set of proteins which are  
2 affected by a set of particular drug treatments. And  
3 these blocks of color in representations like this  
4 allow us to begin to see the relationships between  
5 drugs and the relationships between proteins.

6 Now let me very briefly illustrate for you  
7 a series of case studies of the application of this  
8 technology to the determination of -- the discovery of  
9 protein markers and looking at mechanisms associated  
10 with specific effects, including mainly toxicities.  
11 I will show you the comparison of therapeutic and  
12 toxic mechanisms within a class of drugs, cholesterol  
13 lowering agents. I will look at an SAR study, the PPAR  
14 alpha nuclear receptor compounds, the peroxisome  
15 proliferators. Recognizing the mechanism that you  
16 expect in one class of compounds to appear within a  
17 different class. Looking at the relationship between  
18 toxic and therapeutic mechanisms in the well-known  
19 case of cyclosporin toxicity. And then looking at  
20 covalent protein adducts and the different series of  
21 covalent adducts, which is something that is  
22 peculiarly possible with proteomic technology.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealgross.com](http://www.nealgross.com)

1           In the case of cholesterol metabolism,  
2           there are a series of good drugs which regulate  
3           cholesterol. The statins are the primary class used in  
4           this application, but it can also be regulated by  
5           cholestryramine by a different mechanism. And we can  
6           achieve the opposite effect by feeding a high  
7           cholesterol diet, in this case to F-344 rats. I would  
8           like to point out that this is a montage of many of  
9           these two dimensional separations of liver proteins  
10          from rodents. A small proportion of the same pattern  
11          for each individual animal. And the yellow arrows show  
12          a particular protein spot which is progressively  
13          increased from no abundance in a high cholesterol diet  
14          through the controls and progressively increasing to  
15          the synergistic combination therapy with these two  
16          compounds. When this protein is identified, it turns  
17          out to be HMG-CoA synthase, the enzyme immediately  
18          prior in the cholesterol synthesis pathway to the  
19          target of this drug. That is not surprising. We  
20          should be inducing elements of that pathway. And that  
21          in fact is a confirmation of the fact that we are  
22          seeing effects which are mechanistically valid. The

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 little green circles here are circle spots which are  
2 affected strongly by this sequence of treatments.  
3 These are the same five experimental groups as in the  
4 previous slide. So this is a combination therapy high  
5 cholesterol for a series of different proteins which  
6 are obviously coordinately regulated. They show the  
7 same response profile across the animals.

8 Now there is in fact a different response  
9 in the little blue box here, which is a protein which  
10 is induced more strongly by lovastatin than by the  
11 same dose of lovastatin plus cholestyramine, an anti-  
12 synergistic regulatory effect. That protein turns out  
13 to be the most sensitive indicator of peroxisome  
14 proliferation in the liver. So this is a measurement  
15 of toxicity. These are measurements of therapeutic  
16 effect. And we can look for a series of compounds at  
17 the ratio between the induction of the therapeutic  
18 effect versus the induction of the toxic effect and  
19 effectively generate therapeutic indices based on  
20 these proteins as markers of the performance of  
21 individual pathways. This is indicated in this study  
22 of peroxisome proliferators which we did with Eli

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Lilly. Peroxisome proliferators bind to PPAR alpha.  
2 They occur in numerous disparate structural classes  
3 and are problematical. They produce liver tumors but  
4 apparently have no in vivo genotoxicity that has been  
5 determined.

6 Now in this study with Eli Lilly, we did  
7 42 treatment groups, about 300 analyses, about a  
8 quarter of a million protein measurements again in  
9 this case. And we determined that more than 100  
10 proteins showed very significant changes. P .001 in  
11 our experience is really the effective probability for  
12 a very high confidence marker at this level because we  
13 are looking at so many different proteins. When you  
14 make the appropriate statistical corrections, you come  
15 up with this kind of number.

16 These were the compounds that we looked  
17 at, which included five strong peroxisome  
18 proliferators and one compound that is not a strong  
19 proliferator but has the same pharmacology as this  
20 compound, its analog, which is a strong proliferator.  
21 So this was a negative control.

22 I will show you a kind of plot which

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 allows us to summarize the effects of these drugs on  
2 the abundance of many proteins. This is a 2-D gel of  
3 mouse liver, lots of proteins. The arrows emerge from  
4 spots that showed quantitative changes. The arrow is  
5 tilted upwards. The protein was increased in amount.  
6 This tilted downwards is decreased. The arrow length  
7 is proportional to the P value against controls. So  
8 this is a complex pattern of effects which involves up  
9 and down regulation of many proteins. But it allows  
10 us to look by comparing different colors at two  
11 different compounds. And obviously Nafenopin and  
12 WY14643, both prototypical peroxisome proliferators  
13 cause very similar effects. Arrows are either down-  
14 regulated or up-regulated in parallel with all of  
15 these markers. We can look at a whole series of  
16 compounds, and it is evident that all of the compounds  
17 cause very similar effects except the little magenta  
18 arrows, which in many cases are discordant. And that  
19 is because that represents the negative control  
20 compound which acts by a different mechanism. In  
21 fact, this can be looked at by classical multivariate  
22 statistics, and the entire pattern of shifts in

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 abundance that I showed in the previous slide boils  
2 down to one axis or one measurement on each animal's  
3 gene expression pattern or protein expression pattern,  
4 which separates the controls from progressively more  
5 potent peroxisome proliferators on this axis. And a  
6 separate completely different axis of change  
7 representing a completely different pattern of protein  
8 changes separates the control animals liver protein  
9 patterns from the patterns of the animals treated with  
10 the negative control compound have a different  
11 mechanism. And in this case, it is not plotted here  
12 but there is in fact a third mechanism that can be  
13 differentiated in the experiment, which is the age of  
14 the animals. Because we did both 5 and 35-day  
15 studies.

16 It is possible not only to recognize a  
17 mechanism of action by its effect on multiple markers,  
18 but to recognize a mechanism where you don't expect to  
19 see it. In this study, which was done with the Cancer  
20 Chemo Prevention Branch at NCI, we looked at a series  
21 of compounds which are in clinical trials, at least  
22 one of which is in clinical trials in China, for the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 prevention of aflatoxin-induced liver cancer as a  
2 series of analogues. And when we look at the effects  
3 of these compounds in a similar kind of plot, where  
4 this is an index of change over many proteins which  
5 together are Phase II enzyme inducer markers, the  
6 controls are here and progressively more potent.  
7 Phase II enzyme inducers have displaced in this  
8 direction. But one of the analogues is displaced --  
9 the R pattern here is displaced according to a  
10 different mechanism, which initially we had no idea  
11 about. But looking at a larger data base and a larger  
12 number of controls, we have a second set of animals  
13 whose protein patterns are displaced in the same  
14 direction, and those turn out to have been treated  
15 with piroxicam. So in fact we have seen similar  
16 effects to those produced in the liver by piroxicam  
17 with a member of the class of dithiolethiones, in  
18 which that would not have been anticipated.

19 Cyclosporin, obviously, is an extremely  
20 important immunosuppressive drug used to prevent organ  
21 graft rejection and in collaboration with a group at  
22 Novartis in Basel, we looked at the effects of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)