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| Display Date     | <u>1-27-99</u>              |
| Publication Date | <u>1-28-99</u>              |
| Certifier        | <u><i>J. M. Lindner</i></u> |

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. 99D-0054]

**Guidance for Industry on Providing Regulatory Submissions in Electronic Format—  
NDA's; Availability**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

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**SUMMARY:** The Food and Drug Administration (FDA) is announcing the availability of a guidance for industry entitled “Providing Regulatory Submissions in Electronic Format—NDA’s.” The guidance discusses in detail how to submit a new drug application (NDA) in electronic format to the Center for Drug Evaluation and Research (CDER). A notice of availability for a related guidance entitled “Providing Regulatory Submissions in Electronic Format—General Considerations” is being published elsewhere in this issue of the **Federal Register**. It discusses issues common to all submissions in electronic format to CDER and the Center for Biologics Evaluation and Research (CBER). Both guidances are part of a series of guidances being developed by the agency to assist applicants who wish to make regulatory submissions in electronic format. Guidances addressing other submission types, such as biologics license applications, abbreviated new drug applications (ANDA’s), and investigational new drug applications (IND’s), are being developed and will be issued in the future. Although submissions in electronic format are voluntary, the agency encourages them as a way to improve the efficiency of handling and reviewing documents and data.

**DATES:** Written comments on agency guidance documents may be submitted at any time.

**ADDRESSES:** Copies of this guidance for industry can be obtained on the Internet at “<http://www.fda.gov/cder/guidance/index.htm>”. Submit written requests for single copies of the guidance

to the Drug Information Branch (HFD–210), Center for Drug Evaluation and Research, Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857. Send one self-addressed adhesive label to assist that office in processing your requests. Submit written comments on the guidance to the Dockets Management Branch (HFA–305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. Comments are to be identified with the docket number found in brackets in the heading of this notice.

**FOR FURTHER INFORMATION CONTACT:** Kenneth Edmunds, Center for Drug Evaluation and Research (HFD–73), Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857, 301–827–3276, e-mail: [ESUB@CDER.fda.gov](mailto:ESUB@CDER.fda.gov).

**SUPPLEMENTARY INFORMATION:** Traditionally, FDA has required that regulatory submissions, such as IND’s and NDA’s, be submitted as paper documents. In the **Federal Register** of March 20, 1997 (62 FR 13430), FDA published the electronic records and electronic signatures regulation, which provided for the voluntary submission of parts or all of an application, as defined in the relevant regulations, in electronic format without an accompanying paper copy (21 CFR part 11). The agency also established public Docket No. 92S–0251 to provide a list of the agency unit(s) that are prepared to receive electronic submissions and the specific types of records and submissions that can be accepted in electronic format (62 FR 13467, March 20, 1997). Shortly after establishing the docket, CDER published a guidance for industry entitled “Archiving Submissions in Electronic Format—NDA’s” (62 FR 49695, September 23, 1997), to assist applicants wishing to make electronic submissions. The September 1997 guidance provided specific information on submitting case report forms (CRF’s) and case report tabulations (CRT’s) as part of the NDA archival submission.

In the **Federal Register** of April 8, 1998 (63 FR 17184), CDER published a draft guidance entitled “Providing Regulatory Submissions in Electronic Format—NDA’s”. This draft guidance expanded on the September 1997 guidance and provided new information on submitting a complete archival copy of the NDA in electronic format, including CRF’s and CRT’s. The comment period,

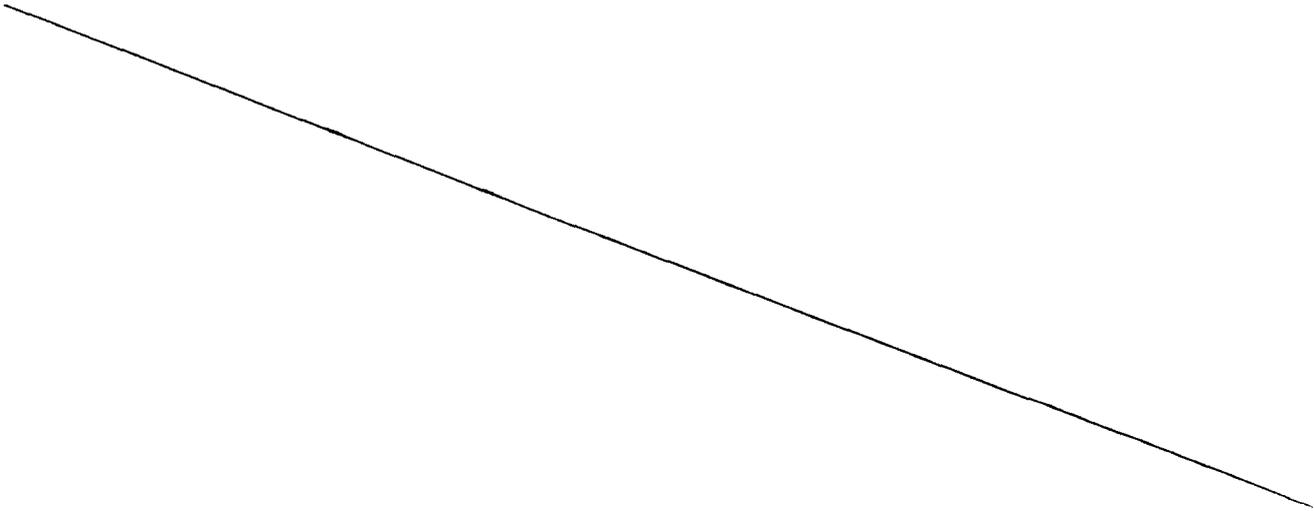
which closed on June 8, 1998, was extended 30 days to allow interested parties to review CDER's document together with guidances on electronic submissions published by CBER (63 FR 29741, June 1, 1998). The agency considered received comments as it finalized this guidance. Because of the ever changing nature of this technology, the agency believes that the procedures for submitting electronic applications will continue to evolve over time. To facilitate the updating of guidances on electronic submissions in a timely and efficient manner, the agency has decided to develop one guidance on those topics common to all submission types and to create individual guidances on specific submission types.

Subsequent guidances on other submission types will be issued as they are developed. Consistent with the agency's Good Guidance Practices (62 FR 8961, February 27, 1997), guidances will be issued first in draft for comment, then revised and published in final.

As in the past, applicants planning to make submissions in electronic format should consult public Docket No. 92S-0251 to determine which agency units are prepared to receive electronic submissions and the specific types of documents that can be submitted in electronic format.

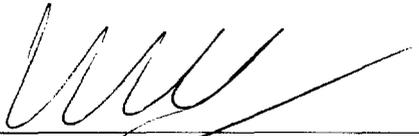
This guidance document represents the agency's current thinking on providing NDA's in electronic format to CDER. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. An alternative approach may be used if such approach satisfies the requirements of the applicable statute, regulations, or both.

Interested persons may submit written comments to the Dockets Management Branch (address above). Two copies of any comments are to be submitted, except that individuals may submit



one copy. Comments and requests are to be identified with the docket number found in brackets in the heading of this document. The guidance document and received comments may be seen in the office above between 9 a.m. and 4 p.m., Monday through Friday.

Dated: January 22, 1999  
January 22, 1999



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William K. Hubbard  
Associate Commissioner  
for Policy Coordination

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[FR Doc. 99-???? Filed ??-??-99; 8:45 am]

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