



Financial investments in medical product companies, food related companies, drug related companies, health care industry, and any other industry that might be affected by the recommendations of the Committee, for example, his stock with [REDACTED] and two patents.

Employment with research institutions, state and local governments, medical product manufacturers, food related companies, drug related companies, health care industry or other organizations that may be affected by the Committee's recommendations, for example, his current employment with VaxInnate Corporation, Liaison with [REDACTED] and his former employment with Merck.

Grants, contracts or other funding for research or other services received from the federal government that might be affected by the Committee's recommendations, for example, his grant with NIAID.

Grants, contracts or other funding for research or other services received from non-federal sources, including industry and foundations, that might be affected by the Committee's recommendations (no interests currently reported);

Expert witness, litigation or advocacy services in matters that might be affected by the recommendations (no interests currently reported);

Any interest of a group or other organization in which Dr. Shaw is appointed as an officer, director, trustee, employee or general partner that might be affected by the Committee's recommendations (no interests currently reported).

Under 18 U.S.C. 208, Dr. Shaw may not participate in any particular matter affecting these interests, unless he receives a waiver. However, pursuant to my authority to grant waivers under 18 U.S.C. 208(b)(3), I have determined that the need for the services of Alan Shaw, Ph.D. on this Committee, with respect to matters of general applicability, outweighs any concern that these types of interests might create a potential for a conflict of interest. Consequently, Dr. Shaw may participate in matters of general applicability affecting any current and future financial interests of the types described above. This determination is based on the following considerations:

The Food and Drug Administration has a particularly strong need for Dr. Shaw's service. Dr. Shaw is employed at VaxInnate Corporation. He is expert in all aspects of scientific and business activities dealing with infectious diseases. He has published over 80 medical research articles.

Furthermore, the Committee Charter requires the appointment of individuals who are authorities knowledgeable in a variety of scientific and medical professions. Consequently, it is expected that persons qualified to serve on the Committee will have interests, financial and otherwise, in the work of the Committee. This includes not only employment interests, but also investment and pension interests, as experience has shown that persons employed in the biomedical area frequently acquire investment and pension interests in organizations related to their expertise. In short, financial interests simply are unavoidable in view of the work and membership requirements of the Committee.

Likewise, the very diversity of interests required by the Charter will ensure that no one member is in a position to determine policy in favor of any one affected interest. In addition to the specific requirements of the Charter, the Federal Advisory Committee Act requires fair balance and openness, which serves as important checks against real or apparent threats to the objectivity of Committee action.

Finally, the Committee is expected to focus mainly on matters of general applicability, rather than matters involving specific parties. It is well-recognized that such general matters pose less risk of a conflict of interest. Matters of general applicability include regulations, legislation, and policies governing classes of organizations, individuals, and products.

However, this does not include matters involving specific parties, such as specific grants (as opposed to general recommendations for increased funding in a given area), contracts, product approval applications, or enforcement matters involving known parties.

Please note that this waiver is limited to general matters. It will not allow Dr. Shaw to participate in any matters involving specific parties that may affect his financial interests, or any person or organization described above. If the work of the Committee turns from matters of general applicability to more

