#### EMERGENCY USE AUTHORIZATION (EUA) SUMMARY SARS-CoV-2 RT-PCR Assay

(Yale School of Public Health, Department of Epidemiology of Microbial Diseases)

For *In vitro* Diagnostic Use Rx Only For use under Emergency Use Authorization (EUA) only

(The SalivaDirect assay will be performed at laboratories designated by the Yale School of Public Health, Department of Epidemiology of Microbial Diseases, that includes the Clinical Molecular Diagnostics Laboratory, Department of Pathology, Yale School of Medicine, located at 310 Cedar St., New Haven, CT 06510, that are also certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. §263a and meet the requirements to perform high complexity tests, as described in the Laboratory Instructions for Use that was reviewed by the FDA under this EUA.)

#### **INTENDED USE**

SalivaDirect is a real-time reverse transcription polymerase chain reaction (rRT-PCR) test intended for the qualitative detection of nucleic acid from SARS-CoV-2 in saliva collected from any individuals, including individuals without symptoms or other epidemiological reasons to suspect COVID-19, collected either: (1) without preservatives in a sterile container in the presence of a trained observer (adult trained on how to collect saliva samples), (2) at home using the SalivaDirect At-Home Collection Kit, when used consistent with its authorization, or (3) at home from individuals 18 years and older (self-collected), 14 years and older (self-collected under adult supervision using a straw or funnel), or 2 years and older (collected with adult assistance using a straw or funnel) using the SalivaDirect Unsupervised Collection Kit and dropped off at a collection site, when determined to be appropriate by a healthcare provider.

This test is also for the qualitative detection of nucleic acid from the SARS-CoV-2 in pooled samples containing up to five individual saliva specimens (using specified workflows) that are collected from any individuals, including individuals without symptoms or other epidemiological reasons to suspect COVID-19, collected either: (1) without preservatives in a sterile container in the presence of a trained observer, (2) at home using the SalivaDirect At-home Collection Kit, or (3) at home using the SalivaDirect Unsupervised Collection Kit. Negative results from pooled testing should not be treated as definitive. If a patient's clinical signs and symptoms are inconsistent with a negative result or results are necessary for patient management, then the patient should be considered for individual testing. Specimens included in pools with a positive or invalid result must be tested individually prior to reporting a result. Specimens with low viral loads may not be detected in sample pools due to the decreased sensitivity of pooled testing.

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Testing is limited to laboratories designated by the Yale School of Public Health, Department of Epidemiology of Microbial Diseases, that includes the Clinical Molecular Diagnostics Laboratory, Department of Pathology, Yale School of Medicine, located at 310 Cedar St., New Haven, CT 06510, that are also certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. §263a and meet the requirements to perform high complexity tests.

Results are for the detection and identification of SARS-CoV-2 RNA. The SARS-CoV-2 RNA is generally detectable in saliva specimens during the acute phase of infection. Positive results are indicative of the presence of SARS-CoV-2 RNA. Clinical correlation with patient history and other diagnostic information is necessary to determine patient infection status. Positive results do not rule out bacterial infection or co-infection with other viruses. The agent detected may not be the definite cause of disease. Laboratories within the United States and its territories are required to report all results to the appropriate public health authorities.

Negative results do not preclude SARS-CoV-2 infection and should not be used as the sole basis for patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information. Negative results for SARS-CoV-2 RNA from saliva should be confirmed by testing of an alternative specimen type if clinically indicated.

SalivaDirect is intended for use by qualified clinical laboratory personnel specifically instructed and trained in the techniques of RT-qPCR and in vitro diagnostic procedures. The assay is only for use under the Food and Drug Administration's Emergency Use Authorization.

#### DEVICE DESCRIPTION AND TEST PRINCIPLE

#### SARS-CoV-2 Assay

**SalivaDirect** is an RNA-extraction free, dualplex RT-qPCR method for SARS-CoV-2 detection. It can be broadly implemented as it (1) does not require saliva collection tubes containing preservatives, (2) does not require specialized equipment for nucleic acid extraction, and (3) is validated for use with products from multiple vendors. Thus, the simplicity and flexibility of SalivaDirect means that it is not as affected by supply chain bottlenecks as some other assays. The method is nucleic acid extraction-free, which enables testing of low volume and minimally processed saliva in dualplex RT-qPCR for SARS-CoV-2 detection. Saliva is first treated with proteinase K followed by a heat inactivation step and is then directly used as input in the dualplex RT-qPCR test using validated primer and probe sets (2019-nCoV\_N1 and RP) developed by the US CDC. The human *Ribonuclease P* (RP) probe was modified with a different fluorophore so that the primer/probe set could be combined in a dualplex assay, reducing the number of tests to 1 assay with 2 sets.

The SalivaDirect assay is authorized for use with the SalivaDirect At-Home Collection Kit, which was authorized for use in a separate EUA (EUA210243), as well as the SalivaDirect Unsupervised Collection Kit, authorized in the current EUA.

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#### SalivaDirect Unsupervised Collection Kit

The SalivaDirect Unsupervised Collection Kit enables the collection of a saliva specimen in a sterile container that will be sent to a laboratory designated by the Yale School of Public Health as authorized to run the SalivaDirect Assay when determined to be appropriate by a healthcare provider. The kit collects viral RNA saliva specimens and can be used for the short-term room temperature storage of a sample. The SalivaDirect Unsupervised Collection Kit is a non-invasive alternative for collection of viral RNA by/from any individuals, including individuals without symptoms or other epidemiological reasons to suspect COVID-19.

The self-collection kit consists of one of four different options for obtaining saliva specimens:

- Short straw (5-6 cm in length or of similar dimensions to the Salimetrics Saliva Collection Aid, catalog #5016.02 or the Mirimus SalivaClear Collection Kit, catalog #800100)\*
- Funnel\*
- Bulb Transfer Pipette (1 mL)
- Pipette Tip (1000 μl)
  \*Only the short straw or funnel can be used when collecting saliva samples from individuals
  <18 years of age.</li>

The SalivaDirect Unsupervised Collection kit will include the following components:

In a zip-lock bag (or similar):

- Self-collection instructions
- One identifying information form for patients to record their name, date of birth and date and time of sample collection (to be created and provided by the test laboratory)
- One of four different devices for obtaining saliva specimens
- One sterile plastic tube (1 to 5 mL in volume)
- One biohazard bag for specimen transport
- One alcohol wipe.

Pre-made Unsupervised Collection Kits containing all items listed above <u>except</u> the laboratorycreated identifying information form are available from:

- GS Biomark, LLC: SalivaDirect Unsupervised Saliva Collection Kit, P/N CV17001
  - o 5 or 10 mL collection tube
- Flambeau Diagnostics, LLC: SalivaDirect Unsupervised Collection Kit, P/N FDx-1007
  - $\circ$  980 µl, 51.0 mm screw cap collection tube, with 1D and human eye readable and matching bottom 2D code (for lab use only).

- Resolution Biomedical, Inc.: SalivaDirectTM Unsupervised Collection Kit, DV15001, CV15001, CV18001, OH18001
  - $\circ$  5-10 mL collection tube
- SimpliChek, Inc.: SalivaDirectTM Unsupervised Collection Kit, ST-5010, ST-5015 and ST-5020
  - $\circ$  5-10 mL collection tube

## SALIVADIRECT UNSUPERVISED COLLECTION KIT ORDERING, PROCESSING AND MEDICAL OVERSIGHT

The unsupervised collection of saliva samples for use with the SalivaDirect assay can only occur for patients who have been previously qualified by their healthcare provider as needing SARS-CoV-2 testing. The healthcare provider will submit a prescription for testing to the designated laboratories authorized to run the SalivaDirect assay. The designated laboratories will then be responsible for preparing the collection kits as described in the Instructions for Use and providing the Unsupervised Collection Kit to those individuals for whom testing has been ordered. The Unsupervised Collection Kit will contain one of the four authorized devices for obtaining the saliva specimens, one saliva collection tube, a form to gather identifying information (name, date of birth, date/time of sample collection), the unobserved self-collection instructions, a biohazard bag for specimen transport, and an alcohol wipe for contamination issues. The designated laboratory will also be responsible for informing the individual where to return the sample (i.e., the sample could be dropped off at the lab or a specified collection box for that lab; however, <u>the sample will not</u> **be mailed nor shipped**). Test results will then be communicated back to the ordering physician.

#### **RT-qPCR INSTRUMENTS USED WITH TEST**

Vendor	Instrument	Software
Bio-Rad	CFX96 Touch Real-Time PCR Detection	Bio-Rad CFX Maestro 1.1
	System	V4.1.2435.1219
Bio-Rad	CFX384 Touch Real-Time PCR	Bio-Rad CFX Maestro 1.1
	Detection System	V4.1.2435.1219
Bio-Rad	CFX Opus Real-Time PCR Detection	Bio-Rad CFX Maestro 2.3
	System	
ThermoFisher	Applied Biosystems StepOne Real-Time	StepOne Software v2.3
Scientific	PCR System	
ThermoFisher	Applied Biosystems StepOne Plus Real-	StepOne and StepOnePlus Software v2.3
Scientific	Time PCR System	
ThermoFisher	Applied Biosystems 7500 Fast Real-Time	7500 Software
Scientific	PCR System	v2.3

SalivaDirect should be used with the following RT-qPCR instruments:

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Vendor	Instrument	Software
ThermoFisher	Applied Biosystems 7500 Fast Dx Real-Time	7500 Fast System SDS software v1.4.1
Scientific	PCR System	
ThermoFisher	Applied Biosystems PRISM 7000 Real-Time	PRISM 7000 Sequence Detection System version 1.0
Scientific	PCR System	
ThermoFisher	Applied Biosystems ViiA 7 Real-Time PCR	QuantStudio Real Time PCR Software v1.3
Scientific	System	
ThermoFisher	ABI QuantStudio 3 Real-Time PCR system	QuantStudio Design and Analysis Software v2.4.3
Scientific		
ThermoFisher	ABI QuantStudio 5 Real-Time PCR system	QuantStudio Design and Analysis Software v2.4.3
Scientific	(96 or 384 well format)	
ThermoFisher	ABI QuantStudio 6 Real-Time PCR system	QuantStudio Design and Analysis Software v2.4.3
Scientific	(96 or 384 well format)	
ThermoFisher	ABI QuantStudio 7 Pro Real-Time PCR	QuantStudio Design and Analysis Software v2.4.3
Scientific	system (96 or 384 well format)	
ThermoFisher	ABI QuantStudio 7 Flex Real-Time PCR	QuantStudio Design and Analysis Software v2.4.3
Scientific	system (96 or 384 well format)	
ThermoFisher	ABI QuantStudio 12K Flex Real-Time PCR	QuantStudio Design and Analysis Software v2.4.3
Scientific	system (384 well format)	
ThermoFisher	ABI QuantStudio Dx Real-Time PCR system	QuantStudio Design and Analysis Software v2.4.3
Scientific	(96 well format)	
Ubiquitome	Liberty16	Liberty16 App Version 1.8 (iOS)
Ubiquitome	Liberty16 Pro	Liberty16 App Version 1.8 (iOS)
Roche	Cobas Z480	User Defined Workflow for cobas z 480
Roche	LightCycler 480	LightCycler 480 Software, Version 1.5
CHAI	Open qPCR	Open qPCR software (HTML5/JavaScript web app)
Analytik Jena	qTower	qPCRsoft version 2.2
Agilent	AriaMX Real-Time PCR System	N/A (fully integrated)
Bio Molecular	Mic	mic pcr version 2.10.5
Systems		
OnsiteGene	XDiveTM Superfast Real-Time PCR System	Data Analysis V2.9.2
Biomolecular	Mic qPCR / Q	micPCR Software v2.12.6 / Q-qPCR v1.0.2
Systems / Quantabio	_	
(Avantor)		

## INSTRUMENTS AND MATERIALS USED WITH SALIVADIRECT TEST IN THE HAMILTON AUTOMATED PROTOCOL (INSTRUCTIONS FOR USE, APPENDIX C)

The Hamilton automated protocol for SalivaDirect as detailed in Appendix C of the Instructions for Use should be used with the following instruments and materials:

Vendor	Item	Catalog #
Hamilton	Vantage 2.0 liquid handling robot equipped with 96-channel head and 8-channel	Custom
	spanner head. The Hamilton Venus 4 software package used for instrument	configuration
	programming and operation via the "Venus on Vantage" software utility.	

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Applied Biosystems	ed Biosystems 384-Well Polypropylene PCR plate	
Hamilton	50 µL filtered pipette tips	
ThermoFisher	1 mL sterile-internal threaded tube	
Hamilton	96 well PCR FramePlate	
Hamilton	LabElite DeCapper SL	193602
Rainin	BenchSmart 96-200 Semi-automated pipette system	BST 96-200

#### INSTRUMENTS AND MATERIALS USED WITH SALIVADIRECT TEST IN THE TECAN FLUENT 780 AUTOMATED PROTOCOL (INSTRUCTIONS FOR USE, APPENDIX D)

The Tecan Fluent 780 automated protocol for SalivaDirect as detailed in Appendix D of the Instructions for Use should be used with the following instruments and materials:

Vendor	Item	Catalog #
Teen U.S. Crown Inc.	Fluent® 780 liquid handling robot equipped utilizing the FluentControl	Custom
Tecan U.S. Group, Inc.	software package for instrument programming and operation	Configuration
Teeen U.S. Group, Inc.	Fluent ID Barcode Scanners	30042504
Tecan U.S. Group, Inc.	Fluent ID Barcode Scamers	30042505
Tecan U.S. Group, Inc.	Flexible Channel Arm (FCA) 8-Channel, Standard fixed tips	30042145
Tecan U.S. Group, Inc.	Robotic Gripper Arm (RGA) Long Z, Regular Finger	30042405
Tecan U.S. Group, Inc.	Runner, Eppendorf 2.0mL 1x32 Safe-Lock Tubes	30042509
QInstruments GmbH	Heated Adapter Plate BioShake 3000-T ELM	30127732
Agilent Technologies, Inc.	PlateLoc Thermal Microplate Sealer	30135829
Eppendorf North America, Inc.	Safe-Lock Eppendorf Tubes (2.0mL)	022363352
Bio-Rad Laboratories, Inc.	Bio-Rad Hard-Shell PCR Plates 96-well, thin wall	HSP9601

#### INSTRUMENTS AND MATERIALS USED WITH SALIVADIRECT TEST IN THE TECAN FLUENT 480 ASSISTED RT-qPCR PREPARATION PROTOCOL (INSTRUCTIONS FOR USE, APPENDIX E)

The Tecan Fluent 480 assisted RT-qPCR preparation for SalivaDirect as detailed in Appendix E of the Instructions for Use should be used with the following instruments and materials:

Vendor	Item		
TECAN U.S.	Fluent® 480 liquid handling robot equipped utilizing the FluentControl	Custom	
TECAN U.S.	software package for instrument programming and operation	Configuration	
TECAN U.S.	Flexible Channel Arm (FCA) 8-Channel, Standard fixed tips	30042145	
TECAN U.S.	Multiple Channel Arm (MCA)	30042350	
TECAN U.S.	MCA Head Adapter: Tipblock 96 tips, 125 µl	30032066	
Applied Biosystems	MicroAmp® Fast Optical 96-Well Reaction Plate with Barcode (0.1mL)	4483485	
Applied Biosystems	MicroAmp® Optical 384-Well Reaction Plate with Barcode	4309849	

#### INSTRUMENTS AND MATERIALS USED WITH SALIVADIRECT TEST IN THE JANUS AUTOMATED PROTOCOL (INSTRUCTIONS FOR USE, APPENDIX G)

The Janus automated protocol for SalivaDirect as detailed in Appendix G of the Instructions for Use should be used with the following instruments and materials:

Vendor	Item	Catalog #
Instruments and M	aterials for the Janus G3 Reformatter-assisted automated processing of s	aliva samples
Perkin Elmer	aterials for the Janus G3 Reformatter-assisted automated processing of s JANUS G3 Primary Sample Reformatter This part number also includes: Eight (8) sample probes with independent Z-drive motion control VariSpan-automatic variable spacing between sampling probes Shortened VersaTip Kit Independent liquid level sensing on all sampling tips Eight (8) 500 uL Syringes Peristaltic pump for tip washing and carry-over elimination Tile deck design to enable positioning for 384-well plates Automated 192 Sample Barcode Reader to provide sample input traceability Twelve (12) 10-16 mm Barcode Cassettes for sample input tubes Twelve (12) Plate-Adapter Support Tiles Three (3) 1 mL Hanging Tip Racks Four (4) Medium Raised Plate Support Tiles Cooling Tube Adapter for holding 2 or 1.5 mL reagent tubes 4 Position, 60 mL Trough Holder 8-tip Washbowl/Trough rack Waste Chute with optional tip chute cover and chute extension Reagent Trough Starter Package 10 L system liquid bottle with liquid level sensor G3 Enclosure with run-time status LEDs Computer with 24 inch monitor Windows 10 64-bit operating system and Microsoft Office 2019 Pro WinPREP with Application Assistant applications software Operators Manual	CJL8002
Perkin Elmer	Line cord, North America	1654363
Perkin Elmer	Janus G3 workstation Kit-Cassette, Conical 120mm Tube, qty 12	CLS154479
Perkin Elmer	Janus 900µL filter tips, conductive	6001256
Perkin Elmer	2mL Deep Well Plates (SW)	CMG-555
IDT	PCR grade Nuclease Free Water	11-05-01-04
Instruments and Mater	rials for the Janus MDT-assisted proteinase K and RT-qPCR preparatior	1 for SalivaDirect
Perkin Elmer	Janus Standard Platform, MDT	AJMM001, custom configuration
Perkin Elmer	I50/96 MDT Disposable Tip Head (96-tip)	70243541
Perkin Elmer	MDT Docking Station	70243680
Perkin Elmer	MDT Auto Tip Load	70227630
Perkin Elmer	MDT Tall Plate Support Tile	7401027
Perkin Elmer	ASSEMBLY, GRIPPER, VE MDT	7400358
Perkin Elmer	Air compressor 115V	2004003
Perkin Elmer	Line cord, North America	1654363
Perkin Elmer	PlateStak Single Diving Board	PSS00021
Perkin Elmer	PlateStak Single Diving Board	PSS00021
Perkin Elmer	STACKER, CASSETTE – 50	7600050

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Vendor	Vendor Item	
Perkin Elmer	JANUS/PlateStak Integration Kit	7002352
Perkin Elmer	Janus MDT P50 filter tips	6001302
Thermofisher Scientific	Veriti 96-Well Thermal Cycler	4375786
(Applied Biosystems)		
Perkin Elmer	96 well, 2ml Deep Well Plates (SW)	CMG-555
ThermoFisher	isher MicroAmp EnduraPlate Optical 96-Well Multicolor Reaction Plates with	
	Barcode	
Azenta Life Sciences	FrameStar 96 Well Skirted PCR Plate	4TI-LB0960/RIG
Thermo Fisher Scientific	MicroAmp Optical Adhesive Film	4311971
Eppendorf	1.7 ml PCR Grade Tubes	22431021

#### INSTRUMENTS AND MATERIALS USED WITH SALIVADIRECT TEST IN THE MYRA-ASSISTED AUTOMATED PROTOCOL (INSTRUCTIONS FOR USE, APPENDIX H)

The Myra-Assisted automated protocol for SalivaDirect as detailed in Appendix H of the Instructions for Use should be used with the following instruments and materials:

Vendor	Item	Catalog #
Bio Molecular Systems (BMS)	Myra Liquid Handling System using the MIC and MYRA operating	MYRA-
bio Molecular Systems (BMS)	system for programing and operation	LHS50
		MIC-
Bio Molecular Systems (BMS)	Mic Tubes and Racked Caps	TUBES+RA
Bio Molecular Systems (BMS)	whe rubes and Racked Caps	CKEDCAPS-
		BOX
		MYRA-
Bio Molecular Systems (BMS)	Myra 384 Well Tips	TIPS384-50-
		BOX

#### REAGENTS AND MATERIALS

Designated laboratories should refer to the SalivaDirect website for a list of qualified reagent lots.

Vendor	Item	Catalog number	Quantity	# Reactions		
Order one of the	Order one of the following Proteinases K					
ThermoFisher Scientific	MagMAX Viral/Pathogen Proteinase K	A42363	10 mL	4,000 reactions		
New England Biolabs	Proteinase K, Molecular Biology Grade	P8107S	2 mL	320 reactions		
AmericanBio	Proteinase K	AB00925	100 mg	800 reactions		
Order one of the	Order one of the following RT-qPCR kits					
New England	Luna Universal Probe One-	E3006S	2 mL	200 reactions		
Biolabs	Step RT-qPCR (2x) Kit	E3006L	5 mL	500 reactions		

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Vendor	Item	Catalog number	Quantity	# Reactions
		E3006X	10 mL	1,000 reactions
		E3006E	25 mL	2,500 reactions
New England	Luna Probe One-Step RT-	M3019S	1.06 mL	200 reactions
Biolabs	qPCR 4x Mix with UDG (for use with 384-well	M3019L	2.5 mL	500 reactions
	format PCR instruments)	M3019X	5 mL	1,000 reactions
		M3019E	10.5 mL	2,500 reactions
Bio-Rad	Reliance One-Step	12010176	1 mL	200 reactions
	Multiplex RT-qPCR	12010220	5 mL	1,000 reactions
	Supermix	12010221	10 mL	2,000 reactions
ThermoFisher	TaqPath 1-Step RT-qPCR	A15299	5 mL	1,000 reactions
Scientific	Master Mix, GC	A15300	10 mL	2,000 reactions
Quantabio	UltraPlex 1-Step ToughMix	95166-100	500 μl	100 reactions
		95166-500	2.5 mL	500 reactions
		95166-01K	5 mL	1,000 reactions
Order one of the	following primer and probe sets	l	I	I
Eurofins Genomics	SalivaDirect primer and probe set (complete set of the 6 primers and probes), Cy5 probe	12YS-010YST	50-100 nmol	12,500 reactions
	SalivaDirect primer and probe set (complete set of the 6 primers and probes), HEX probe	12YS-010YS3	50-100 nmol	12,500 reactions
	SinglePlex SalivaDirect primer and probe set (complete set of the 6 primers and probes), FAM probe	12YS-020YS2	50-100 nmol	12,500 reactions
Integrated DNA	nCOV_N1 Forward Primer	10006821	50 nmol	6,250 reactions
Technologies	Aliquot	10006830	100 nmol	12,500 reactions
	nCOV_N1 Reverse Primer	10006822	50 nmol	6,250 reactions
	Aliquot	10006831	100 nmol	12,500 reactions
	nCOV_N1 Probe Aliquot	10006823	25 nmol	6,250 reactions
		10006832	50 nmol	12,500 reactions
	RNase P Forward Primer	10006827	50 nmol	16,600 reactions
	Aliquot	10006836	100 nmol	33,300 reactions
		10006828	50 nmol	16,600 reactions

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Vendor	Item	Catalog number	Quantity	# Reactions
	RNase P Reverse Primer Aliquot	10006837	100 nmol	33,300 reactions
	RNase P Probe	Custom order (Cy5)	25 nmol	6,250 reactions
		Custom order (Cy5)	50 nmol	12,500 reactions
		10007061 (ATTO647)	25 nmol	6,250 reactions
		10007062 (ATTO647)	50 nmol	12,500 reactions
LGC Biosearch	nCOV_N1 Forward Primer	nCoV-N1-F-100	100 nmol	12,500 reactions
Technologies		nCoV-N1-F-1000	1000 nmol	125,000 reactions
	nCOV_N1 Reverse Primer	nCoV-N1-R-100	100 nmol	12,500 reactions
		nCoV-N1-R-1000	1000 nmol	125,000 reactions
	nCOV_N1 Probe	nCoV-N1-P-25	25 nmol	6,250 reactions
		nCoV-N1-P-250	250 nmol	62,500 reactions
	RNase P Forward Primer	RNP-F-20	20 nmol	6,660 reactions
		RNP-F-100	100 nmol	33,300 reactions
		RNP-F-1000	1000 nmol	333,300 reactions
	RNase P Reverse Primer	RNP-R-20	20 nmol	6,660 reactions
		RNP-R-100	100 nmol	33,300 reactions
		RNP-R-1000	1000 nmol	333,300 reactions
	RNase P Probe	RNP-PQ670-25	25 mol	6,250 reactions
		RNP-PQ670-250	250 nmol	62,500 reactions
Lighthouse Lab Services	SalivaNow SARS-CoV-2 Assay	9731816-S	-	2,000 reactions
	(Primers and probes come pre-mixed)			
Order one of the	following nuclease-free waters			
-	Nuclease-free water	11-04-02-01	20 mL	
Technologies		11-05-01-14	300 mL	
		11-05-01-04	1 L	
New England	Nuclease-free water	B1500S	25 mL	
Biolabs		B1500L	100 mL	
Order one of the t	following positive controls			1
Twist Bioscience	Synthetic SARS-CoV-2 RNA Control 2	102024	100 µL	
Integrated DNA Technologies	2019-nCoV_N_Positive Control	10006625	250 μL	

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Vendor	Item	Catalog number	Quantity	# Reactions			
Lighthouse Lab Services	Positive CoV-2 Control	9731816PC	80 µL				
Optional negative	Optional negative extraction control (NEC)						
Lighthouse Lab Services	Negative Control	9731816EC	10 mL				

#### CONTROLS RUN WITH THE COVID-19 RT-PCR

The following controls are run with the SalivaDirect assay:

Control	Description	Purpose	Frequency	
Negative Extraction Control (NEC)	Nuclease-free water	To monitor for contamination during saliva processing	Every batch of up to 93 saliva samples	
	Lighthouse Labs Negative Control (synthetic RNAse P control)	To monitor for effective proteinase K treatment and contamination during saliva processing		
Negative Template Control (NTC)	Nuclease-free water	To monitor for contamination of PCR reagents	Every PCR plate with up to 93 saliva samples	
Positive Control    Twist Synthetic SARS-CoV-2 RNA control 2 (Dilute to 100 copies/μL)      IDT 2019-nCoV_N_Positive Control (Dilute to 100 copies/μL)		To monitor functioning of RT- qPCR reagents	Every PCR plate with up to 93 saliva samples	
	Lighthouse Lab Services Positive CoV-2 Control (synthetic SARS- CoV-2 RNA control, 100 copies/µl)			
Internal Process Control	Primer/Probe set detecting RNaseP	To ensure that saliva of a sufficient quantity and quality was tested	Every sample	

#### **INTERPRETATION OF RESULTS**

#### 1. SARS-CoV-2 RT-PCR Test Controls – Positive, Negative, and Internal

<u>Positive control:</u> The positive control should yield a "detected" result for the N1 target and "not detected" for the RNaseP control.

<u>Negative Extraction Control (NEC)</u>: If using nuclease-free water, the NEC should yield a "not detected" result for both the N1 and RNaseP targets. If using the Lighthouse Lab Services Negative Control, the NEC should yield a "not detected" result for the N1 target and a Ct value <30 Ct for the RNaseP target.

<u>Negative Template Control</u>: The NTC should yield a "not detected" result for both the N1 and RNaseP targets.

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<u>Internal Control</u>: Detection of RNaseP below a specified cut-off (see tables below) indicates that saliva of sufficient quantity and quality were tested. Detection of RNaseP is required to report a negative SARS-CoV-2 result.

#### 2. Examination and Interpretation of Patient Specimen Results

All test controls should be examined prior to interpretation of patient results. If the controls are not valid, the patient results cannot be interpreted. Results of individual sample testing from a primary, individual saliva specimen or as a reflex to pooled sample testing will be interpreted according to the tables below:

	<b>Bio-Rad CFX96 Touch</b>						
<b>Bio-Rad CFX Opus</b>							
	ABI 7500						
	ABI 7500 Fast						
	ABI 7500 Fast Dx						
	ABI PRISM 7000						
	ABI ViiA 7						
	ABI QuantStudio Dx						
	ABI QuantStudio 3						
	ABI QuantStudio 5						
	ABI QuantStudio 7 Flex						
	ABI StepOne Plus						
	Analytik Jena qTower						
	Bio Molecular Systems Mi	c					
	CHAI Open qPCR						
	Ubiquitome Liberty16						
Ubiquitome Liberty16 Pro							
Result	Ct value N1	Ct value RP					
Positive	<40.0	Any value					
Negative	$\geq \!\! 40.0$	<35.0					
*Invalid	$\geq \!\! 40.0$	≥35.0					

#### 16-Well, 48-Well, and 96-Well Formats

ABI StepOne ABI QuantStudio 6 ABI QuantStudio 7 Pro					
Result	Ct value N1	Ct value RP			
Positive	<37.0	Any value			
Negative	≥37.0	<35.0			
*Invalid	≥37.0	≥35.0			

Roche LightCycler 480				
Result	Ct value N1	Ct value RP		

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Positive	<35.0	Any value
Negative	≥35.0	<35.0
*Invalid	≥35.0	≥35.0

Agilent AriaMX Roche Cobas Z480					
Result	Ct*** value N1	Ct value RP			
Positive	<34.0	Any value			
Negative	≥36.0	<30.0			
**Inconclusive	≥34.0 - <36.0	<30.0			
*Invalid	>34.0	≥30.0			

\*Invalid test results will be repeated by retesting the primary specimen from the beginning of the protocol. Results from retested samples will follow the same interpretation as listed in the table above.

\*\*When the Ct value for RP is <30 and the Ct is in the range of  $\geq$ 34.0 - <36.0 for N1, the sample will be retested from the beginning of the protocol to potentially convert an inconclusive result to a confirmed negative or positive, if desired by the requesting healthcare provider. Results from retested samples will follow the same interpretation as listed in the table above.

\*\*\*Cq values are qualified cycle thresholds reported by the Agilent AriaMX system and can be interpreted synonymously to Ct values.

#### **384-Well Format**

Results of individual sample testing from a primary, individual saliva specimen or as a reflex to pooled sample testing will be interpreted according to the table below.

	Bio-Rad CFX384 Touch Bio-Rad CFX Opus ABI QuantStudio 5 ABI QuantStudio 6 ABI QuantStudio 7 Pro ABI QuantStudio 7 Flex ABI QuantStudio 12K Flex Quantabio Q OnsiteGene XDive					
Result	Ct value N1	Ct value RP				
Positive	Positive <40.0 Any value					
Negative	Negative $\geq 40.0$ <35.0					
*Invalid	≥40.0	≥35.0				

\*Invalid test results will be repeated by retesting from the beginning of the protocol. Results from retested samples will follow the same interpretation as listed in the table above.

#### Pooled Sample Results and Reflex Testing

The interpretation of results for pooled SalivaDirect testing is the same for all thermocyclers used. Results of pooled testing should be interpreted according to the following (and summarized in the table below):

- Negative Result: If samples were pooled and the SARS-CoV-2 N1 target is not detected at all (not detected, ND; NaN; Undetected; ≥45.0; etc.), then all samples in that pool should be reported as Negative. Negative results from pooled sample testing should not be treated as definitive. If the patient's clinical signs and symptoms are inconsistent with a negative result and if results are necessary for patient management, then the patient should be considered for individual testing. The utilization of sample pooling should be indicated for any specimens with reported negative results.
- Not Negative Result (i.e., positive or invalid): If samples were pooled and determined not negative through generating a Ct value of any value for N1 (<45.0) or returns an invalid result (poor or no RP detection), then all samples in that pool should be tested individually by the laboratory's standard SalivaDirect protocol prior to result reporting. Only the results of the individually tested samples (as interpreted depending on the thermocycler used; tables above) should be reported.

### Pooled SalivaDirect results, interpretation and action (for testing conducted on all thermocyclers).

Ct value		Ct value Interpretation	
N1	RP		
≥45.0	<30.0	Negative	Report all samples as Negative
≥45.0	≥30.0	Not negative: invalid	Reflex test all samples individually
Any value	Any value <45	Not negative: positive	Reflex test all samples individually

If a pool is reported as not negative but all samples from the pool return negative results when tested individually, the occurrence should be referred to the laboratory director and an investigation should be initiated, including assessment of the potential for: a) contamination/false-positive pool result; b) assay inhibition upon individual testing; c) differences in assay reagents between pooled and individual testing. If no root cause is identified, the individual samples will be retested once (assuming adequate volume remains) and the results will be reported. If insufficient volume remains for retesting, the subjects will be informed of a test error and encouraged voluntarily to retest. Recollected samples will be processed according to the standard SalivaDirect protocol used in the designated laboratory.

#### SALIVADIRECT UNSUPERVISED COLLECTION KIT SAMPLE ACCESSIONING

In order for the designated laboratory to perform testing, the received samples shall meet the following criteria:

- **Proper return of sample**: sample is present, identifying information form is present and filled out, the sample tube is not broken, sample is not leaking.
- Verification of patient information: the patient information on the collection tube matches the information on the identifying information form.
- **Sample acceptability**: sufficient sample volume, sample received within 72 hours from sample collection date and time (as per identifying information form).

#### PERFORMANCE EVALUATION

#### 1. Analytical Sensitivity

#### *Limit of Detection (LoD)*

A positive saliva specimen from a confirmed COVID-19 healthcare worker with a known virus concentration  $(3.7 \times 10^4 \text{ copies/}\mu\text{L})$  was spiked into saliva collected from healthcare workers who tested negative for SARS-CoV-2 using the CDC assay. The following 2-fold dilution series was tested in triplicate to determine the preliminary limit of detections: 400, 200, 100, 50, 25, 12, 6, 3, and 1.5 copies/ $\mu$ L. Spiked saliva specimens were tested according to the SalivaDirect protocol. In total, three different proteinase K reagents, three different RT-qPCR kits, and three different RT-qPCR thermocyclers were validated with the assay. Input volumes, matrices and RT-qPCR programs were the same for each combination of proteinase K, RT-qPCR kit, and RT-qPCR instrument. The preliminary limit of detection was then confirmed with 20 additional replicates. The table below shows the final limit of detection for the different reagents/instruments used with SalivaDirect.

	Proteinase K							
Proteinase K	RT-qPCR kit	Positive replicates	Mean Ct value (SD)					
Thermo	NEB Luna (2x)	Bio-Rad CFX96 Touch	6 copies/μL	100% (20/20)	36.7 (1.0)			
NEB	NEB Luna (2x)	Bio-Rad CFX96 Touch	3 copies/µL	100% (20/20)	36.6 (1.0)			
AmericanBio	NEB Luna (2x)	Bio-Rad CFX96 Touch	3copies/µL	100% (20/20)	33.51 (0.4)			
		RT-qPCI	R kit					
Proteinase K	RT-qPCR kit	RT-qPCR instrument	LOD	Positive replicates	Mean Ct value (SD)			
Thermo	Bio-Rad Reliance	Bio-Rad CFX96 Touch	6 copies/μL	100% (20/20)	36.4 (0.6)			
Thermo	Thermo      Thermo TaqPath      Bio-Rad CFX96 Touch      12 copies/µL      100% (20/20)      35.9 (1.2)							
	RT-qPCR instrument							

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Proteinase K	RT-qPCR kit	RT-qPCR instrument	LOD	Positive replicates	Mean Ct value (SD)
Thermo	Thermo TaqPath	ABI 7500 Fast	12 copies/µL	95% (19/20)	36.8 (1.2)
Thermo	Thermo TaqPath	ABI 7500 Fast Dx	6 copies/µL	95% (19/20)	32.4 (0.9)

Additional LoD studies were conducted to validate the Agilent AriaMX 96-well format thermocycler, the Liberty16 16-well format thermocycler, and the CFX384 Touch 384-well format thermocycler. Samples were prepared by spiking saliva from a confirmed positive patient into negative clinical matrix. The following dilutions were tested in triplicate in the range finding study: 100, 50, 25, 12, 6, 3, and 1.5 copies/ $\mu$ L. The LoD was then confirmed by testing 20 replicates and determined to be 6 copies/ $\mu$ L for the Agilent AriaMx and the CFX384 Touch thermocyclers, and 12 copies/ $\mu$ L for the Liberty16.

Proteinase K	Primer/Probe	RT-qPCR kit	RT-qPCR instrument	LOD	Positive replicates	Mean Ct value (SD)
Thermo	IDT	NEB Luna (2x)	Agilent AriaMX	6 copies/µL	100% (20/20)	30.3 (0.4)
Thermo	Eurofins	NEB Luna (2x)	Liberty16	12 copies/µL	100% (20/20)	35.18 (0.7)
Thermo	IDT	NEB Luna (2x)	CFX384 Touch	6 copies/μL	100% (20/20)	36.25 (0.4)

In addition, 22 weak positive clinical samples were tested in both the CFX96 Touch and CFX384 Touch PCR instruments with the NEB Luna 2x RT-PCR kit, with 100% concordance. Additionally, 9 clinical samples were tested on both the CFX96 Touch and QuantStudio 5 (384) PCR instruments with NEB Luna 2x RT-PCR kit, with 100% concordance. These results demonstrate similar detection in clinical samples when using either the 96 or 384 well formats Results are summarized below:

Thermocycler	Positive Replicate	Mean Ct Value
CFX96 Touch	100% (22/22)	35.78
CFX384 Touch	100% (22/22)	36.68
Thermocycler	Positive Replicates	Mean Ct Value
CFX96 Touch	100% (9/9)	28.62
QuantStudio 5 (384)	100% (9/9)	27.76

#### Additional RT-PCR Mixes

In addition to the 2x NEB Luna RT-PCR mixture validated above, a 4x concentration was also validated via an LoD study on the CFX384 Touch using the Thermo Proteinase K. The LoD of 6 copies/mL previously confirmed for the NEB Luna 2x was confirmed on the CFX384 Touch, as shown below:

6 copies/ul 3 copies/ul
-------------------------

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	Positive Replicates	Mean Ct	Positive Replicates	Mean Ct
NEB Luna (4x)	100% (20/20)	35.77	85% (17/20)	36.57

The Quantabio UltraPlex 1-Step ToughMix PCR mixture was also validated via an LoD study on the CFX96 Touch using the Thermo Proteinase K and was found to have a confirmed LoD of 3 copies/mL, as shown below:

	6 cop	oies/ul	3 copies/ul			
	Positive Replicates	Mean Ct	Positive Replicates	Mean Ct		
UltraPlex 1-Step ToughMix	100% (20/20)	36.42	95% (19/20)	37.45		

#### Additional Primer/Probe mix

The SalivaNow assay consists of a pre-mixed, ready to use mixture of the CDC-N1 and RNaseP primers and probes. For bridging of the SalivaNow SARS-CoV-2 assay, samples were prepared by spiking positive saliva from a confirmed COVID-19 healthcare worker with a known concentration  $(3.7 \times 10^4 \text{ copies/}\mu\text{L})$  into saliva collected from healthcare workers who tested negative for SARS-CoV-2. The following concentrations were tested: 100, 50, 25, 12, 6, 3, and 1.5 copies/ $\mu$ L. All samples were tested in the standard SalivaDirect assay using the Thermo Proteinase K then in RT-qPCR with both the NEB Luna 2x RT-qPCR kit and the TaqPath One Step kit in the CFX96 Touch. Results were compared to the standard SalivaDirect assay using the Eurofins primer/probe sequences, the Thermo Proteinase K and the NEB Luna 2x RT-qPCR kit also in the CFX 96 Touch. The table below lists the positivity rates for each concentration when tested using validated and new primer/probe vendors:

			Concentration (positive replicates)									
Primer/Probes RT-PC	RT-PCR mix	100	50	25	12	6	3	1.5	0			
	KI-FCK IIIX	copies/µL	copies/µL	copies/µL	copies/µL	copies/ $\mu L$	copies/µL	copies/µL	copies/µL			
Eurofins	NEB Luna 2x	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
SalivaNow	NEB Luna 2x	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
SalivaNow	TaqPath One Step	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3			

#### Additional RNAseP probe

For the SalivaDirect RT-qPCR assay to be compatible with the ABI PRISM 7000 and ABI StepOne, the Cy5 fluorophore on the RNAseP probe had to be exchanged to a HEX fluorophore. For this bridging study to validate the use of a HEX fluorophore on the RNAseP probe, samples were prepared by spiking positive saliva from a confirmed COVID-19 healthcare worker with a known concentration ( $3.7 \times 10^4$  copies/µL) into saliva collected from healthcare workers who tested negative for SARS-CoV-2. The following concentrations were tested: 100, 50, 25, 12, 6, 3, and 1.5 copies/µL. All samples were tested using the Thermo Proteinase K with the NEB Luna 2x RT-

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qPCR kit. The samples on the previously validated CFX96 Touch were tested with the RNAseP probe labelled with Cy5 and the samples on the ABI PRISM 7000 and ABI StepOne were tested with the RNAse probe labelled with HEX. The table below lists the positivity rates for each concentration when tested using validated and new thermocyclers:

			Co	ncentration (p	ositive replica	tes)		
	100	50	25	12	6	3	1.5	0
	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL
CFX96 Touch RP-Cy5	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3
ABI PRISM 7000 RP-HEX	3/3	3/3	3/3	3/3	2/3	3/3	2/3	0/3
ABI StepOne, RP-HEX	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3

#### Bridging Studies for Additional Instruments

Bridging studies were performed to validate additional thermocyclers. A 2-fold dilution series was tested in triplicate with each new thermocycler in parallel with a previously validated thermocycler to establish equivalent performance. The previously validated thermocycler is highlighted in bold for each study. Samples were prepared by spiking positive saliva from a confirmed COVID-19 healthcare worker with a known concentration (3.7 x  $10^4$  copies/µL) into saliva collected from healthcare workers who tested negative for SARS-CoV-2. The following concentrations were tested: 100, 50, 25, 12, 6, 3, and 1.5 copies/µL. All samples were tested using the Thermo Proteinase K with the NEB Luna RT-qPCR kitThe table below lists the positivity rates for each concentration when tested using validated and new thermocyclers:

			Conc	entration (p	ositive repli	cates)					
	100	50	25	12	6	3	1.5	0			
	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL			
Bridging Study 1											
ABI 7500 Dx Fast	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
ABI QuantStudio 5	3/3	3/3	3/3	3/3	3/3	3/3	1/3	0/3			
Bridging Study 2											
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
ABI QuantStudio 6	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3			
			Bridging	Study 3							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
ABI QuantStudio 7	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3			
			Bridging	study 4							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			

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Concentration (positive replicates)											
100 copies/uL	50 copies/uL	25	12	6	3	1.5 copies/uL	0 copies/μL				
3/3	3/3	3/3	3/3	3/3	2/3	0/3	0/3				
		Bridging	study 5								
3/3	3/3	1		3/3	3/3	2/3	0/3				
3/3	3/3	3/3	3/3	3/3	3/3	1/3	0/3				
		Bridging	study 6								
3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3				
3/3	3/3	3/3	3/3	3/3	3/3	1/3	0/3				
		Bridging	study 7								
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3				
		Bridging	study 8								
3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3				
3/3	3/3	3/3	3/3	3/3	3/3	1/3	0/3				
		Bridging	study 9								
3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3				
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
		Bridging	study 10								
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
		Bridging	study 11				-				
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3				
				1	1						
3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3				
3/3	3/3			3/3	2/3	1/3	0/3				
							0/3				
3/3	3/3			3/3	3/3	2/3	0/3				
2/2	2 /2			2/2	2/2	2/2	0.12				
							0/3				
3/3	3/3			5/3	5/3	2/3	0/3				
2/2	2/2			2/2	2/2	2/2	0/3				
							0/3				
515	5/5			515	0/5	0/5	0/3				
3/3	3/3			3/3	3/3	2/3	0/3				
515	515	515	טוט	515	515	213	0,5				
	copies/μL 3/3 3/3 3/3 3/3 3/3 3/3 3/3 3/	copies/μL      copies/μL        3/3      3/3        <	copies/µL      copies/µL      copies/µL        3/3      3/3      3/3        3/3      3/3	copies/µLcopies/µLcopies/µLcopies/µL3/3 <td< td=""><td>copies/µLcopies/µLcopies/µLcopies/µLcopies/µL3/3</td></td<> <td>copies/µLcopies/µLcopies/µLcopies/µLcopies/µL3/3<td>copies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µl3/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/33/33/31/33/3&lt;</td></td>	copies/µLcopies/µLcopies/µLcopies/µLcopies/µL3/3	copies/µLcopies/µLcopies/µLcopies/µLcopies/µL3/3 <td>copies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µl3/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/33/33/31/33/3&lt;</td>	copies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µlcopies/µl3/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/32/30/33/33/33/33/33/33/33/33/33/31/33/3<				

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			Conc	entration (p	ositive repli	cates)					
	100	50	25	12	6	3	1.5	0			
	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL			
			Bridging	study 17							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
CHAI Open qPCR	3/3	3/3	3/3	3/3	3/3	3/3	1/3	0/3			
Bridging study 18											
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
ABI 7500	3/3	3/3	3/3	3/3	3/3	2/3	0/3	0/3			
Bridging study 19											
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
BMS MIC, 48 well	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
			Bridging	study 20							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
ABI QuantStudio 3, 96 well	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
			Bridging	study 21							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
ABI ViiA 7, 96 well	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3			
			Bridging	study 22							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
Liberty16 Pro, 16 well	3/3	3/3	3/3	3/3	3/3	3/3	0/3	0/3			
			Bridging	study 23							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
CFX Opus 96	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3			
			Bridging	study 24							
<b>Bio-Rad CFX96 Touch</b>	3/3	3/3	3/3	3/3	3/3	2/3	2/3	0/3			
CFX Opus 384	3/3	3/3	3/3	3/3	3/3	2/3	1/3	0/3			

The lowest concentration at which 100% of replicates were positive for the new thermocyclers was either 3 or 6 copies/ $\mu$ L, which is similar to the confirmed LoD of 3 to 12 copies/ $\mu$ L (see limit of detection).

The bridging studies for the QuantStudio 5 (384) and QuantStudio 7 (384) thermocyclers also included testing with the Bio-Rad Reliance and TaqPath One Step RT-PCR reaction mixtures previously validated for the 96-well thermocyclers. These results also demonstrated comparable analytical performance for these reaction mixes when used on the 384-well instruments compared to the previously validated thermocycler (highlighted in bold):

			Concentration (positive replicates)										
	RT-PCR	100	50	25	12	6	3	1.5	0				
	Mix	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL				
<u>Bio-Rad CFX96</u> <u>Touch</u>	NEB Luna 2x	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>2/3</u>	<u>0/3</u>				

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ABI QuantStudio 5, 384 well	Bio-Rad Reliance	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>2/3</u>	<u>0/3</u>
<b>Bio-Rad CFX96</b>	NEB	2/2	2/2	2/2	2/2	2/2	2/2	2/2	0.12
Touch	Luna 2x	<u>3/3</u>	<u>0/3</u>						
ABI QuantStudio	Bio-Rad	2/2	2/2	2/2	2/2	2/2	2/2	2/2	0/2
<u>7 Pro, 384 well</u>	Reliance	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>2/3</u>	<u>0/3</u>
ABI QuantStudio 7 Pro, 384 well	TaqPath One Step	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>1/3</u>	<u>0/3</u>

The bridging studies for Quantabio Q and OnsiteGene XDive thermocyclers included testing with 2x NEB Luna reaction mixture and Quantabio UltraPlex 1-Step ToughMix respectively, previously validated for the 384-well thermocycler, Bio-Rad CFX384 Touch.

Both new instruments yielded 100% positive results at between 3 and 12 copies/ $\mu$ L, the confirmed LoD concentrations obtained using the CFX96 (see limit of detection).

			<b>Concentr</b>	ation (positi	ive replicate	<u>s)</u>			
	RT-PCR	100	50	25	12	6	3	1.5	0
	Mix	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL	copies/µL
Bio-Rad	NEB	3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3
CFX384 Touch	Luna 2x								
Quantabio Q	NEB	3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3
	Luna 2x								
	-		Concentr	ation (positi	ve replicate	<u>s)</u>			
Bio-Rad	NEB	3/3	3/3	3/3	3/3	3/3	3/3	3/3	0/3
CFX384 Touch	Luna 2x								
OnsiteGene	Quantabio	3/3	3/3	3/3	3/3	3/3	3/3	2/3	0/3
XDive	UltraPlex								
	1-Step								
	ToughMix								

#### Validation of the Hamilton Automated Protocol (Appendix C in the Instructions for Use)

An LoD finding study was conducted by testing gamma irradiated SARS-CoV-2 virus (BEI) spiked into saliva negative for SARS-CoV-2 at concentrations of 100, 50, 25, 12, 6, 3 and 1.5 copies/ $\mu$ l. Samples were tested in triplicate following Workflow Three (heat pre-treatment of 95°C for 30 minutes) followed by RT-qPCR testing in the 384-well format QuantStudio 5 with the NEB Luna 2x RT-PCR mix and the Cy5 labelled RP probe. Following, 20 replicates at 0.5x, 1x, and 2x of the preliminary LoD (6 copies/ $\mu$ l) were also tested in the same workflow. Results for the Hamilton automated protocol are summarized below:

	Concentration (positive replicates)				
	12 copies/μL 6 copies/μL 3 copies/μI				
Automated protocol	20/20	19/20	16/20		

The LoD of the SalivaDirect Assay using the Hamilton automated protocol was confirmed to be 6 copies/ $\mu$ l.

In addition, a trial of the Hamilton automated protocol was also conducted using 10 negative and 10 contrived positive samples with 10<sup>6</sup> copies/mL of gamma-irradiated SARS-CoV-2 virus, loaded next to each other in a Matrix tube rack in alternating positions. This was to simulate a worst-case scenario for potential sample cross-contamination. All negative samples remained negative for SARS-CoV-2 N1 RNA.

#### Validation of the Tecan Fluent 780 Automated Protocol (Appendix D in the Instructions for Use)

An LoD finding study was conducted by testing AccuPlex SARS-CoV-2 Full Genome from SeraCare Life Sciences, Inc. spiked into saliva negative for SARS-CoV-2 at concentrations of 100, 50, 25, 12.5, 6.25, 3.125 and 1.563 copies/µl. Samples were tested in triplicate using both the proposed automated sample extraction protocol as well as the manual extraction following the standard SalivaDirect protocol (Workflow One: proteinase K and heat inactivation). Lysed saliva samples were tested as per the SalivaDirect IFU RT-qPCR protocol in ABI QuantStudio 7 Pro with the NEB Luna 2x RT-PCR mix probe. Following, 20 replicates at 0.5x, 1x, and 2x of the preliminary LoD (6 copies/µl) were also transferred and tested with the same extraction protocols. Results for the Tecan Fluent 780 automated protocol are summarized below:

	Concentration (positive replicates)				
	12 copies/µL 6 copies/µL 3 copies				
Automated protocol	20/20	20/20	16/20		
Manual Protocol (standard)	20/20	20/20	19/20		

The LoD of the SalivaDirect Assay using the Tecan Fluent 780 automated protocol was confirmed to be 6 copies/ $\mu$ l.

In addition, a trial of the Tecan Fluent 780 automated protocol was also conducted using 10 negative and 10 contrived positive samples with 10<sup>6</sup> copies/mL of gamma-irradiated SARS-CoV-2 virus, loaded next to each other in a Matrix tube rack in alternating positions. This was to simulate a worst-case scenario for potential sample cross-contamination. All negative samples remained negative for SARS-CoV-2 N1 RNA.

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## *Validation of the Tecan Fluent 480 Assisted RT-qPCR Preparation (Appendix E in the Instructions for Use)*

For both 96-well and 384-well RT-qPCR plates, an LoD finding study was conducted by testing AccuPlex SARS-CoV-2 Full Genome from SeraCare Life Sciences, Inc. spiked into saliva negative for SARS-CoV-2 at concentrations of 100, 50, 25, 12.5, 6.25, 3.125 and 1.563 copies/µl. Samples were tested in triplicate following the standard SalivaDirect protocol (Workflow One: proteinase K and heat inactivation) followed by RT-qPCR testing in the 96-well and 384-well formats in ABI QuantStudio 7 Pro with the NEB Luna 2x RT-PCR mix probe. Following, 20 replicates at 0.5x, 1x, and 2x of the preliminary LoD (6 copies/µl) were also tested in the same workflow for both well formats. Results for the Tecan Fluent 480 RT-qPCR preparation of both the 96-well and 384-wll PCR preparation are summarized below:

	Concentration (positive replicates)				
	12 copies/µL 6 copies/µL 3 copies/µL				
96-well format (automated)	20/20	20/20	20/20		
96-well format (manual)	20/20	20/20	20/20		
384-well format (automated)	20/20	20/20	16/20		
384-well format (manual)	20/20	20/20	18/20		

The LoD of the SalivaDirect Assay using the Tecan Fluent 480 RT-qPCR preparation was confirmed to be 3 copies/ $\mu$ l for the 96-well format and 6 copies/ $\mu$ l for the 384-well format.

In addition, a trial of the Tecan Fluent 480 RT-qPCR preparation was also conducted using 10 negative and 10 contrived positive samples with 10<sup>6</sup> copies/mL of gamma-irradiated SARS-CoV-2 virus. These samples were run using both the manual and automated protocols for the 96-well and 384-well formats, with an alternating order of positive-negative samples. This was to simulate a worst-case scenario for potential sample cross-contamination. All negative samples remained negative for SARS-CoV-2 N1 RNA.

#### Validation of the Janus Automated SalivaDirect Protocol (Appendix G in Instructions for Use)

An LoD study was conducted using heat inactivated SARS-CoV-2 (ATCC, VR-1986HK) spiked into combined saliva samples (known to be negative for SARS-CoV-2) at the concentrations of 100, 50, 25, 12, 6, 3 and 1.5 cp/ $\mu$ L. Samples were run in triplicate with the proposed automated and manual SalivaDirect protocols (Workflow Two: heat pre-treatment and proteinase K). Extracted samples were tested as per the standard SalivaDirect RT-qPCR protocol in the BioRad CFX96 Touch with the SalivaDirect primer/probe set from Eurofins Genomics.

	Concentration (positive replicates; copies/ $\mu$ L)							
Transfer Method	100	50	25	12	6	3	1.5	0
Automated	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>2/3</u>	<u>0/3</u>

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Manual	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>3/3</u>	<u>2/3</u>	<u>2/3</u>	<u>0/3</u>

Following, 20 replicates at 0.5x, 1x, and 2x of the preliminary LoD (6 copies/ $\mu$ L) were also tested in the same workflow. Results are summarized below:

	Concentration (positive replicates)				
Transfer method	12 copies/µL	6 copies/µL	3 copies/µL		
Automated protocol	20/20 (100%)	20/20 (100%)	19/20 (95%)		
Manual protocol	20/20 (100%)	20/20 (100%)	19/20 (95%)		

The LoD of the SalivaDirect Assay using the Janus automated protocol was confirmed to be 3 copies/ $\mu$ l.

An LoD study for pooled testing was conducted using heat inactivated SARS-CoV-2 (ATCC, VR-1986HK) spiked into combined saliva samples known to be negative for SARS-CoV-2 (1X spiked POS) at 5 target levels (250, 125, 60, 30, 15 and 7.5 copies/ $\mu$ L). Each spiked positive sample was then pooled with 4x known negative saliva samples to give final viral genome concentrations of 50, 25, 12, 6, 3, 1.5 and 0.75 copies/ $\mu$ L. Samples were tested in triplicate using both the Janus Reformatter automated protocol (Appendix G in the Instructions for Use) as well as the manual pooling protocol. Results are summarized below:

Sample (copies/µL after 5x dilution)	Janus Pooled Samples (1X spiked POS + 4X NEG); mean Ct value from triplicate testing	Manually Pooled Samples (1X spiked POS + 4X NEG); mean Ct value from triplicate testing
50	31.85	31.88
25	32.26	32.26
12	34.20	34.29
6	35.14	34.68
3	36.89	35.87
1.5	37.89	37.27
0.75	38.63	38.08

All concentrations yielded positive results for both workflows, except for the manual workflow at 0.75 copies/ $\mu$ L, which yielded 1/3 positive results, establishing a preliminary LoD for the manual workflow of 1.5 copies/ $\mu$ L.

Following, 20 replicates at 1x, 2x, and 4x of the preliminary LoD of the pooled sample after 5X dilution (e.g., 3 copies/ $\mu$ L, which equates to 15 copies/ $\mu$ L in the single unpooled positive sample) were also transferred and tested with the automation protocol. Results for the Janus Reformatter automated protocol are summarized below:

Concentration (positive replicates)					
Transfer Method6 copies/µL3 copies/µL1.5 copies/µL					
Automated	20/20 (100%)	20/20 (100%)	16/20 (80%)		

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Mean Ct Value (SD)	34.98 (1.13)	36.25 (0.98)	36.40 (1.07)

The LoD for the Janus Reformatter pooled testing workflow was confirmed to be 3 copies/ $\mu$ L, which is the same as the LoD for the Janus Reformatter individual testing workflow.

For cross contamination studies, 10 negative and 10 positive samples with 25 copies/ $\mu$ L of SARS-CoV-2 viral genome were run in both automatic and manual protocols for the 96-well format, in an alternating order of positive and negative samples. All negative samples tested negative for SARS-CoV-2.

#### *Validation of the MYRA Assisted SalivaDirect*<sup>TM</sup> *Protocol (Appendix H)*

An LoD study for bridging of the MYRA liquid handler was performed. Samples were prepared by spiking positive saliva from a confirmed COVID-19 healthcare worker with a known concentration (3.7 x 10<sup>4</sup> copies/µL) into saliva collected from healthcare workers who tested negative for SARS-CoV-2. The following concentrations were tested:100, 50, 25, 12, 6, 3, and 1.5 copies/µL. All samples were tested in the standard SalivaDirect assay using *Workflow 3* heat treatment 65°C for 15 minutes and then tested in RT-qPCR in triplicate with NEB Luna 2x RT-qPCR kit. Extracted samples were tested as per the standard SalivaDirect<sup>TM</sup> RT-qPCR automated protocol in the MIC qPCR by Bio Molecular Systems with the SalivaDirect<sup>TM</sup> primer/probe set from Eurofins Genomics. Results are summarized below:

	Concentration (positive replicates; copies/µL)							
Transfer Method	100	50	25	12	6	3	1.5	0
Automated	<u>3/3</u> (100%)	<u>3/3</u> (100%)	<u>3/3</u> (100%)	<u>3/3</u> (100%)	<u>3/3</u> (100%)	<u>2/3</u> (67%)	<u>0/3</u> (0%	<u>0/3</u> (0%)

The lowest concentration at which 100% of replicates were positive when prepared with the MYRA was at or below the confirmed LoD for the manual method (see limit of detection). In addition, the potential for cross-contamination on the MYRA robot was evaluated by testing 24 alternating positive and negative samples (12 each). The positive samples were prepared using Twist Synthetic SARS-CoV-2 RNA control 2 diluted with 10<sup>4</sup> copies/µl of gamma-irradiated SARS-CoV-2 virus This was to simulate a worst-case scenario for potential sample cross-contamination. As expected, all positive samples were reported positive and all negative samples remained negative.

#### Bridging Studies for Pre-Treatment Heat Step

An LoD confirmation study was performed to validate pre-treatment heat steps. Samples were prepared by spiking positive saliva from a confirmed COVID-19 healthcare worker with a known concentration (3.7 x  $10^4$  copies/µL) into saliva collected from healthcare workers who tested negative for SARS-CoV-2. The following concentrations were tested: 6, 3, and 1.5 copies/µL, each with 20 individual replicates. All samples were tested with or without the Thermo Proteinase K and heat inactivation step. Following, all lysates were tested by the standard SalivaDirect RT-qPCR protocol with the NEB Luna kit on the CFX96 Touch PCR instrument:

	Concentration (positive replicates)				
	6 copies/μL	3 copies/µL	1.5 copies/µL		
65°C for 15 minutes	20/20	20/20	18/20		
95°C for 5 minutes	20/20	19/20	18/20		
95°C for 30 minutes	20/20	15/20	14/20		

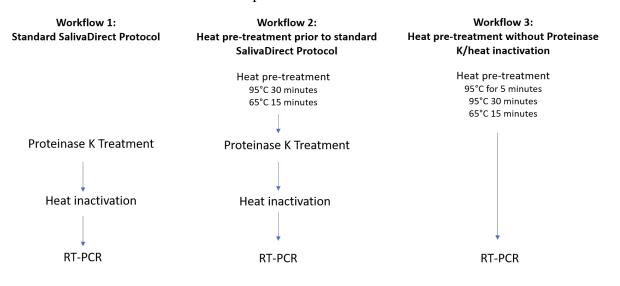
### Pre-Treatment Heat step prior to SalivaDirect protocol without the addition of Proteinase K and heat inactivation step

The LoD when utilizing a Pre-treatment heat step at the above conditions without the Proteinase K and heat inactivation step confirms to be 3-6 copies/ $\mu$ l, which is comparable to the standard SalivaDirect protocol.

Pre-Treatment Heat step prior to standard SalivaDirect protocol with Proteinase K and heat inactivation step

	Concentration (positive replicates)				
	6 copies/µL	3 copies/µL	1.5 copies/µL		
65°C for 15 minutes	20/20	17/20	15/20		
95°C for 30 minutes	20/20	16/20	19/20		

The LoD when utilizing a Pre-treatment heat step at the above conditions prior to the standard SalivaDirect protocol with the Proteinase K and heat inactivation confirms to be 6 copies/ $\mu$ l, which is comparable to the standard SalivaDirect protocol. Below is an illustrative summary of the 3 different workflows that can be used as part of the SalivaDirect test:



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Workflow 1 is considered the "Standard SalivaDirect Protocol" in which the saliva specimen is treated with Proteinase K prior to heat inactivation and then RT-PCR. Workflow 2 incorporates a "Heat Pretreatment Step" for the collected saliva specimen of 30 minutes at 95 °C and 15 minutes at 65 °C prior to proceeding with the "Standard SalivaDirect Protocol." Workflow 3 uses the "Heat Pretreatment Step" followed direct by RT-PCR.

#### 2. Analytical Inclusivity/Cross Reactivity

The sequences for the N1 primers and probe used in this assay are identical to the primer/probe sequences used in the FDA authorized CDC SARS-CoV-2 assay. Please refer to EUA200001/A004 for an updated in silico analysis of the primers/probes used with the CDC assay.

In addition, SalivaDirect was tested on 52 saliva specimens collected from adults during the 2018/2019 and 2019/2020 (pre-COVID19) autumn/winter influenza seasons. Out of the 52 specimens tested, 51 resulted as negative, and one resulted as invalid (both N1 and RP were not detected).

#### 3. Clinical Evaluation

#### Individual Sample Testing

#### Performance in a population suspected of COVID-19

Performance of SalivaDirect was compared to the authorized ThermoFisher Scientific TaqPath RT-PCR COVID-19 combo kit by testing paired nasopharyngeal and saliva samples. Nasopharyngeal swabs and saliva were collected from inpatients and healthcare workers in the Yale-New Haven Hospital. Saliva was collected in sterile urine cups or 5 mL tubes without addition of any preservatives.

For the preliminary selection of specimens, specimens were tested with a modified version of the US CDC assay. Based on these results, a total of 67 NP/saliva pairs were tested for the current study, with 37 being NP positive and 30 being NP negative by the modified CDC assay. These NP and saliva specimens were subsequently tested in parallel with the EUA-authorized TaqPath COVID-19 combo kit (on NP specimens) and SalivaDirect (on saliva specimens). The ThermoFisher Scientific TaqPath COVID-19 combo kit combines RNA extraction using the MagMax Viral/Pathogen Nucleic Acid Isolation Kit with a multiplex RT-PCR diagnostic assay targeting 3 regions of the SARS-CoV-2 genome. For SalivaDirect testing, the ThermoFisher Scientific proteinase K, ThermoFisher Scientific TaqPath RT-PCR kit, and Bio-Rad CFX96 Touch instrument were utilized.

Out of the 37 NP specimens that originally tested positive by the modified CDC assay, 34 tested positive with the TaqPath COVID-19 Combo Kit and three tested negative. The TaqPath results from these 34 specimens were used as the comparator for the SalivaDirect when evaluating positive percent agreement (PPA). All 30 NP specimens that were negative by the original modified CDC assay also tested negative by the TaqPath assay. The results from these 30 specimens plus the three

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TaqPath negative NP specimens described above were used as the comparator for the SalivaDirect when evaluating negative percent agreement (NPA). The results from this paired study are described below:

## Qualitative outcome of parallel testing of paired nasopharyngeal swabs and saliva with SalivaDirect and the ThermoFisher Scientific TaqPath COVID-19 combo kit.

		TaqPath RT-l	PCR COVID-19	
		Nasopharyngeal swab		
		Positive	Negative	
SalivaDirect	Positive	32	3	
Saliva	Negative	2	30	
Total		34	33	
U	ement = 94.1%			
Negative agre	ement = $90.99$	% (30/33)		

Out of the 34 individuals with nasopharyngeal swab specimens that tested positive by the TaqPath COVID-19 kit, 32 had saliva specimens that were positive by the SalivaDirect, yielding a PPA of 94.1%. Out of the 33 individuals with negative NP swab specimens by the TaqPath assay, 30 had saliva specimens that were negative by SalivaDirect, generating an NPA of 90.9%. There were three individuals who tested positive by SalivaDirect on saliva specimens but negative by TaqPath on NP specimens. It should be noted that these 3 individuals previously tested weakly positive with the modified CDC assay.

As an additional analysis, the results from the SalivaDirect on saliva specimens were compared to the results from the modified CDC assay on the paired NP specimens. This modified CDC assay used the 2019-nCoV\_N1, 2019-nCoV\_N2, and RP primer-probe sets with the NEB Luna Universal Probe One-Step RT-qPCR kit on the Bio-Rad CFX96 Touch. The SalivaDirect results were concordant with 94.6% (35/37) of the NP positive results and 100% of the NP negative results, as shown below:

		Modified	CDC RT-PCR
		Nasopł	naryngeal swab
	-	Positive	Negative
SalivaDirect	Positive	35	0
Saliva	Negative	2	30
Total		37	30

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#### Performance in an Asymptomatic Screening Population

To validate the SalivaDirect test for SARS-CoV-2 detection in a screening population, paired nasopharyngeal and saliva samples were collected from asymptomatic individuals enrolled in a routine SARS-CoV-2 testing program. Paired nasopharyngeal and saliva samples were collected at the same sampling moment from 20 consecutive individuals who tested positive and 100 consecutive individuals who tested negative. Paired samples were collected on the same day as initial sample collection and diagnosis while all individuals were still asymptomatic. Saliva samples were tested using the SalivaDirect test with the Thermo Proteinase K and the NEB Luna 2x RT-qPCR kit on the QuantStudio 7 Pro PCR instrument while nasopharyngeal swab specimens were tested using an FDA EUA-authorized high sensitivity testing platform for SARS-CoV-2 detection. A total of 45% of the nasopharyngeal swab specimens tested were low positives according to the EUA authorized comparator assay. Results between the two sample types were 100% concordant:

		EUA-authorized compar	rator		
		Nasopharyngeal swab			
		Positive	Negative		
SalivaDirect	Positive	20	0		
Saliva	Negative	0	100		
Total		20	100		
-		5% CI: 83.89%, 100%) ) (95% CI: 96.3%, 100%)			

These results indicate acceptable performance of the SalivaDirect assay in an asymptomatic screening population.

#### **Pooled Sample Testing**

Deidentified saliva samples of known Ct value (as previously tested using the SalivaDirect test with the Thermo Proteinase K and the NEB Luna 2x RT-qPCR kit on the CFX96 Touch) were pooled with four saliva samples from asymptomatic individuals (which previously tested negative for the SARS-CoV-2 N1 target when tested using the SalivaDirect test with the Thermo Proteinase K and the NEB Luna 2x RT-qPCR kit on the CFX96 Touch) and tested in a pooling validation study using workflow 1.

A total of 50  $\mu$ L of each individual saliva sample (1x positive, 4x negative) was pooled together then run through the standard SalivaDirect protocol (Workflow 1, above). These pooled samples were run alongside the individual samples to evaluate the positive percent agreement between pooled and individual results.

Ten of the positive individual results were derived from low positive samples with Ct values that were within 0-2.5 Ct of the observed mean Ct at the established LOD when using the Thermo

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Proteinase K and the NEB Luna 2x RT-qPCR kit and testing on the CFX96 Touch. Ct-distribution of the 22 samples tested through the standard SalivaDirect protocol was as follows:

Ct range*	Pooled Testing Workflow A, number (%) of 22 samples
20.0-29.9	7 (32%)
30.0-34.9	5 (23%)
35.0-40.0	10 (45%)

\*samples <40 Ct are considered positive on the CFX96 Touch

\*mean Ct for the CFX96 instrument when determining LOD for analytical sensitivity using this set of reagents was 36.7

The SalivaDirect pooled protocol resulted in a positive percent agreement of 86.4% (19/22, 95% CI: 66.67%, 95.25%) compared to the individual testing results using the standard SalivaDirect protocol (Workflow 1). The three positive samples that yielded false negative results upon pooled testing had individual Ct values of 37.7, 38, and 39.43.

Overall, a theoretical Ct shift of  $Log_2(n)$  can be estimated for most RT-PCR tests due to the dilution of positive samples when pooled with negative samples. This means that for pools of n=5, a Ct shift of 2.3 would be expected. In this study, regression analysis of the wet testing results indicated that a Ct value shift of 1.99 was observed upon 5-sample pooling, confirming a slight loss of assay sensitivity. To assess the clinical impact of this loss in sensitivity with pooled testing, an *in silico* analysis was conducted using historical data. In this analysis, a Ct shift of 1.99 was applied to the individual positive results from this historical dataset to determine the percent of positive results that would remain positive upon 5-sample pooling.

A total of 613 historical positive results from six different high complexity CLIA labs (representing four different geographical locations) designated to run the SalivaDirect test were used in this analysis. When a Ct shift of 1.99 was applied to the Ct values obtained from these samples, the percent of samples returning a Ct value under the cut-off for individual sample testing of 45 was evaluated. Out of the 613 results, all samples would have Ct values remaining under the cutoff of 45 after applying this shift. This corresponds to a PPA of 100% (613/613, 95% CI: 99.38%, 100.0%) between pooled and individual testing.

#### 4. Human Usability Study for SalivaDirect Unsupervised Collection Kit

A total of 30 participants between the ages of 20 and 80 years who represented a range of racial and educational backgrounds were enrolled in this study. Study demographics are presented below:

Category	n (%)
Sex	
Male	11 (37)
Female	19 (63)
Age	

Category	n (%)
18-29	7 (23)
30-39	16 (53)
40-49	4 (13)
50-59	0 (0)
60-69	1 (3)
70+	2 (7)
Education	
High School/GED	2 (7)
Bachelors	7 (23)
Masters	10 (33)
PhD/MD	11 (37)
Race	
Black/African American	4 (13)
Hispanic/Latino	4 (13)
Asian/South Asian	6 (20)
White	15 (50)
Native American	1 (3)

Individuals who had previously provided a saliva sample, who had relevant, career-level laboratory experience, or who were experiencing symptoms of respiratory infection were excluded from enrollment. Once informed consent was provided, participants received a collection kit containing (1) the four devices for obtaining a saliva specimen, (2) corresponding collection instructions, (3) a biohazard bag, and (4) five alcohol wipes. Participants self-collected four saliva samples consecutively and in a randomized order. Members of the study team observed these collections via a video platform. The observer turned off the camera and audio on their device for the duration of the four collections. Both the observer and the participant completed a survey about their experience following each collection, scoring responses on a scale of 1 (strongly disagree) to 5 (strongly agree). All of the samples (n = 120) were tested for SARS-CoV-2 using SalivaDirect. A laboratory survey assessing the sample quality was completed by the technician during testing.

In 100% of the observed collections, study participants appeared confident in their ability to complete the collection correctly. The majority of participants (93%) understood the importance of following the instructions carefully to avoid incorrect test results, and during only two collections (1.67%), participants appeared to not adequately follow these instructions for proper sample collection. Results for the questions in the observer survey are summarized below:

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	Collection device feed-back (1 = strongly disagree, 5 = strongly agree)	Straw	Pipette Tip	Funnel	Bulb Pipette
1	Did the study participant read the instructions?	4.93	4.93	5.00	4.97
2	Did the study participant appear confident in their ability to follow the instructions?	4.20	4.30	4.30	4.40
3	Did the study participant properly wash their hands before and after sample collection?	4.20	4.27	4.37	4.60
4	Did the study participant appear to properly follow instructions for sample collection set up?	4.57	4.60	4.63	4.53
5	Did the study participant appear to properly follow instructions for adequate sample collection?	4.63	4.30	4.67	4.43
8	Did the study participant securely fasten the collection tube?	4.90	4.97	4.90	5.00
9	Did the study participant clean down the outside of the sample tube following collection?	4.93	4.97	4.77	4.97
10	Did the study participant properly store their sample in the biohazard bag after collection?	4.07	4.10	4.30	4.13
11	Did the study participant appear to struggle with any particular step? If so, explain which.	1.46	1.79	1.54	1.96

The secondary objective was to compare the quality of samples collected using each device. True saliva, which naturally pools in the mouth, can be easily handled in the laboratory. In contrast, saliva samples that are improperly collected may be problematic. It was found that every sample could be tested for SARS-CoV-2 with SalivaDirect. The internal control, RNaseP was detected in 100% of the samples collected with each of the devices, indicating an adequate specimen was collected. Laboratory survey responses confirmed that 100% of the samples were easy to pipette and of sufficient volume. Slight discoloration was noted in 18 samples (15%) and food particles were observed in 20 samples (5 participants, 16.7%), but this did not affect test results. No sample tested positive for SARS-CoV-2. Results for the questions in the laboratory survey are summarized below:

Lab questions (1 = strongly disagree, 5 = strongly agree)	Straw	Pipette Tip	Funnel	Bulb Pipette	Average
The sample was of sufficient volume (200-500 ul)	4.97	5	5	5	4.99
The sample was easy to pipette	4.87	4.87	4.87	4.87	4.87
The sample was normal, true saliva	4.87	4.87	4.87	4.87	4.87
The sample was free from food particles	4.76	4.76	4.76	4.76	4.76
The sample was not unusually discolored	4.80	4.87	4.83	4.80	4.83
The sample tested positive for human RNAse P	5.00	5.00	5.00	5.00	5.00

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<b>Lab questions</b> (1 = strongly disagree, 5 = strongly agree)	Straw	Pipette Tip	Funnel	Bulb Pipette	Average
The sample tested positive for SARS-CoV-2	0	0	0	0	0
If the sample tested positive for SARS-CoV-2, this was reported back to the study participant	NA	NA	NA	NA	NA

The results from this study demonstrate that users are able to comprehend the instructions for the four different saliva collection devices as well as collect an adequate specimen for SARS-CoV-2 testing with the SalivaDirect.

#### 5) Human Usability Study: Saliva Self-Collection by Individuals Under the Age of 18 Years

Additionally, a total of 49 participants between the ages of 3 and 17 years who represented a range of racial backgrounds were enrolled in this study to evaluate the usability of the SalivaDirect At-Home Collection Kit. The SalivaDirect At-Home Collection kit includes the same Funnel and Straw collection devices and self-collection instructions as the SalivaDirect Unsupervised Kit. Further study demographics are presented below:

Category	n (%)
Sex	
Male	23 (47)
Female	26 (53)
Age	
2-13	23 (47)
14-17	26 (53)
Race	
Black/African American	5 (10)
Hispanic/Latino	2 (4)
Asian/South Asian	5 (10)
White	37 (76)

Once informed consent was provided via an online form by both the individual under 18 years of age and their guardian over the age of 18 years, selected study participants were alerted by a brief email and shipped a SalivaDirect At-Home Collection Kit containing either a Funnel or a Straw via FedEx. In total, 23 individuals aged 2-13 years (funnel, n=11; straw n=12) and 26 individuals aged 14-17 years (funnel, n=14; straw, n=12) were included to evaluate the kits. Minimal contact was had with study participants to replicate the official ordering process as closely as possible. Following the Instructions for Use, participants aged 14-17 years self-collected a saliva sample

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under adult supervision and participants aged 2-13 years self-collected a saliva sample with the assistance of their guardian aged over 18 years. Study participants returned their samples to the Yale School of Public Health for testing by the SalivaDirect test. An observer for all individuals (guardian over the age of 18 years) completed a survey about the experience that the individual under the age of 18 years had with the kit, scoring responses on a scale of 1 (strongly disagree) to 5 (strongly agree). All of the samples (n = 49) were tested for SARS-CoV-2 using the SalivaDirect test. A laboratory survey assessing the sample quality was completed by the technician during testing.

Study participants reported understanding the instructions for both collection and securely returning the sample and 100% understood that they could not eat or drink prior to collecting the sample and understood that doing so could risk a false negative result.

Results regarding the assisted sample collection in individuals aged 2-13 years, are summarized below:

Collection feedback (1 = strongly disagree, 5 = strongly agree)	Average
Did you and your child read all of the instructions prior to collecting the sample?	4.83
Did you and your child understand all the instructions prior to collecting the sample?	4.36
Did you understand what information needed to be written on the tube/packaging?	4.44
Did you know when the sample was to be collected?	4.80
Did you understand that your child could not eat/drink prior to collecting the sample?	4.92
Did you understand that eating/drinking prior to collecting the sample might get false results?	4.56
Did your child wash their hands prior to collecting the sample?	4.40
Did you know what to do if you or your child had any questions during the sample collection?	4.00
Did your child need help while collecting their sample?	3.40
Do you feel confident that your child collected their sample properly?	4.04
Did collecting the sample appear uncomfortable for your child?	2.28
Was collecting the sample difficult for your child in general?	3.13
Did you and your child know how much saliva to put in the tube?	4.42
Was it difficult for your child to put the appropriate amount of saliva into the tube?	3.84
Did your child get any saliva on the outside of the collection tube?	2.56
Did you or your child wipe their hands and the collection tube with the alcohol wipe prior to the packaging the sample for its return?	4.80
Did you understand not to remove the absorbent pad from the biohazard bag?	3.84
Did the instructions clearly explain how to collect the sample? If no, which part was not clearly explained.	4.54

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Did you understand that if you did not follow the procedure exactly, you might get a false result?			
Did you use any of the encouragement suggested in the instructions to help your child produce more saliva?	4.60		
Do you think that the encouragement suggested in the instructions helped your child to produce more saliva?	3.68		

Results regarding the supervised sample collection in individuals aged 14-17 years, are summarized below:

Collection feedback (1 = strongly disagree, 5 = strongly agree)	Average
Did your child read all of the instructions prior to collecting the sample?	4.58
Did your child read all the instructions prior to collecting the sample?	4.54
Did your child understand what information needed to be written on the tube/packaging?	4.31
Did your child know when the sample was to be collected?	4.46
Did your child understand that your child could not eat/drink/smoke prior to collecting the sample?	4.81
Did your child understand that eating/drinking/smoking prior to collecting the sample might get false results?	4.65
Did your child wash their hands prior to collecting the sample?	4.50
Did your child know what to do if you or your child had any questions during the sample collection?	4.19
Did your child need help while collecting their sample?	2.12
Do you feel confident that your child collected their sample properly?	4.19
Did collecting the sample appear uncomfortable for your child?	2.04
Was collecting the sample difficult for your child in general?	1.96
Did your child know how much saliva to put in the tube?	4.50
Was it difficult for your child to put the appropriate amount of saliva into the tube?	2.96
Did your child get any saliva on the outside of the collection tube?	1.81
Did you or your child wipe their hands and the collection tube with the alcohol wipe prior to the packaging the sample for its return?	4.58
Did your child understand not to remove the absorbent pad from the biohazard bag?	4.23
Did the instructions clearly explain how to collect the sample? If no, which part was not clearly explained.	4.58
Did you understand that if you did not follow the procedure exactly, you might get a false result?	4.62

The internal control, human RNase P was detected in 100% of the samples collected with each of the devices, indicating an adequate specimen was collected. Laboratory survey responses confirmed that 100% of the samples were easy to pipette and of sufficient volume for testing.

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#### FDA SARS-CoV-2 Reference Panel Testing

The evaluation of sensitivity and MERS-CoV cross-reactivity was performed using reference material (T1), blinded samples and a standard protocol provided by the FDA. The study included a range finding study and a confirmatory study for LoD. Blinded sample testing was used to establish specificity and to confirm the LoD. For the study, the ThermoFisher Scientific proteinase K, ThermoFisher Scientific TaqPath RT-PCR kit, and Bio-Rad CFX96 Touch instrument were utilized. The results are summarized in the following Table.

Summary of LoD Confirmation Result using the FDA SARS-CoV-2 Reference Panel

<b>Reference Materials Provided by FDA</b>	Specimen Type	Product LoD	Cross- Reactivity
SARS-CoV-2	Saliva	1.8x10 <sup>4</sup> NDU/mL	N/A
MERS-CoV		N/A	ND

NDU/mL = RNA NAAT detectable units/mL

N/A: Not applicable

ND: Not detected

#### LIMITATIONS

- Negative results for SARS-CoV-2 RNA from saliva should be confirmed by testing of an alternative specimen type if clinically indicated.
- Samples should only be pooled when testing volume (demand) exceeds laboratory capacity and/or when testing reagents are in short supply.
- Sample pooling has only been validated using saliva specimens.
- The performance of this test was established based on the evaluation of a limited number of clinical specimens. Clinical performance has not been established in all circulating variants but is anticipated to be reflective of the prevalent variants in circulation at the time and location of the clinical evaluation. Performance at the time of testing may vary depending on the variants circulating, including newly emerging strains of SARS-CoV-2 and their prevalence, which change over time.

#### WARNINGS

- This product has not been FDA cleared or approved, but has been authorized for emergency use by FDA under an EUA for use by authorized laboratories;
- This product has been authorized only for the detection of nucleic acid from SARS-CoV-2, not for any other viruses or pathogens; and

#### Yale School of Public Health, Department of Epidemiology of Microbial Diseases SalivaDirect assay EUA Summary – Updated April 21, 2023

• The emergency use of this product is only authorized for the duration of the declaration that circumstances exist justifying the authorization of emergency use of in vitro diagnostics for detection and/or diagnosis of COVID-19 under Section 564(b)(1) of the Federal Food, Drug and Cosmetic Act, 21 U.S.C. § 360bbb-3(b)(1), unless the declaration is terminated or authorization is revoked sooner.