

# **Expanded Indication for AtriCure Synergy Ablation System to Include Treatment of Persistent and Longstanding Persistent AF in the Concomitant Surgical Setting**

FDA Review of P100046

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# FDA Review Team Members

## PMA Clinical Module

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- Adam Saltman, MD, PhD, Clinical
- Dale Tavis, MD, MPH, Epidemiology
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- Frank Lacy, MSE, Electrical Engineering
- Felipe Aguel, PhD, Mechanical Engineering
- Victoria Hampshire, VMD, Animal Studies
- Judith Davis, DVM, MS, Animal Studies
- Anchal Kaushiva, MS, Biocompatibility
- Sharon Lappalainen, Sterility
- Susan Jensen, Manufacturing

# FDA Presentations

- Dr. Soma Kalb  
Introduction
- Dr. Weihua Cao  
Statistical Considerations
- Dr. Adam Saltman  
Clinical Results and Considerations
- Dr. Dale Tavis  
Post-Approval Study Considerations
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Conclusions

# Introduction Outline

- Indications for Use
- Device Background
- Regulatory History
- Overview of Pivotal Study
- Target Population
- Discussion Points

# Current Indications for Use

- K063630 (January 26, 2007):

*The AtriCure Ablation System is intended to **ablate soft tissues during general surgery** using radiofrequency energy.*

- K101174 (November 12, 2010):

*The AtriCure Bipolar System including Synergy Dual Electrode Clamps is intended for the **ablation of cardiac tissue during surgery**.*

# Use of Device for Treatment of AF

- Current indication does not include treatment of atrial fibrillation (AF)
- FDA does not regulate practice of medicine
  - Federal Food, Drug and Cosmetic Act  
Sec. 906 (21 USC § 396)

*Nothing in this Act shall be construed to limit or interfere with the authority of a health care practitioner to prescribe or administer any legally marketed device to a patient for any condition or disease within a legitimate health care practitioner-patient relationship*

# Proposed Indications for Use

*The AtriCure Synergy Ablation System is intended to ablate cardiac tissue for the treatment of **persistent or longstanding persistent atrial fibrillation** in patients who are undergoing open **concomitant coronary artery bypass grafting and/or valve replacement or repair**.*

# Device Background



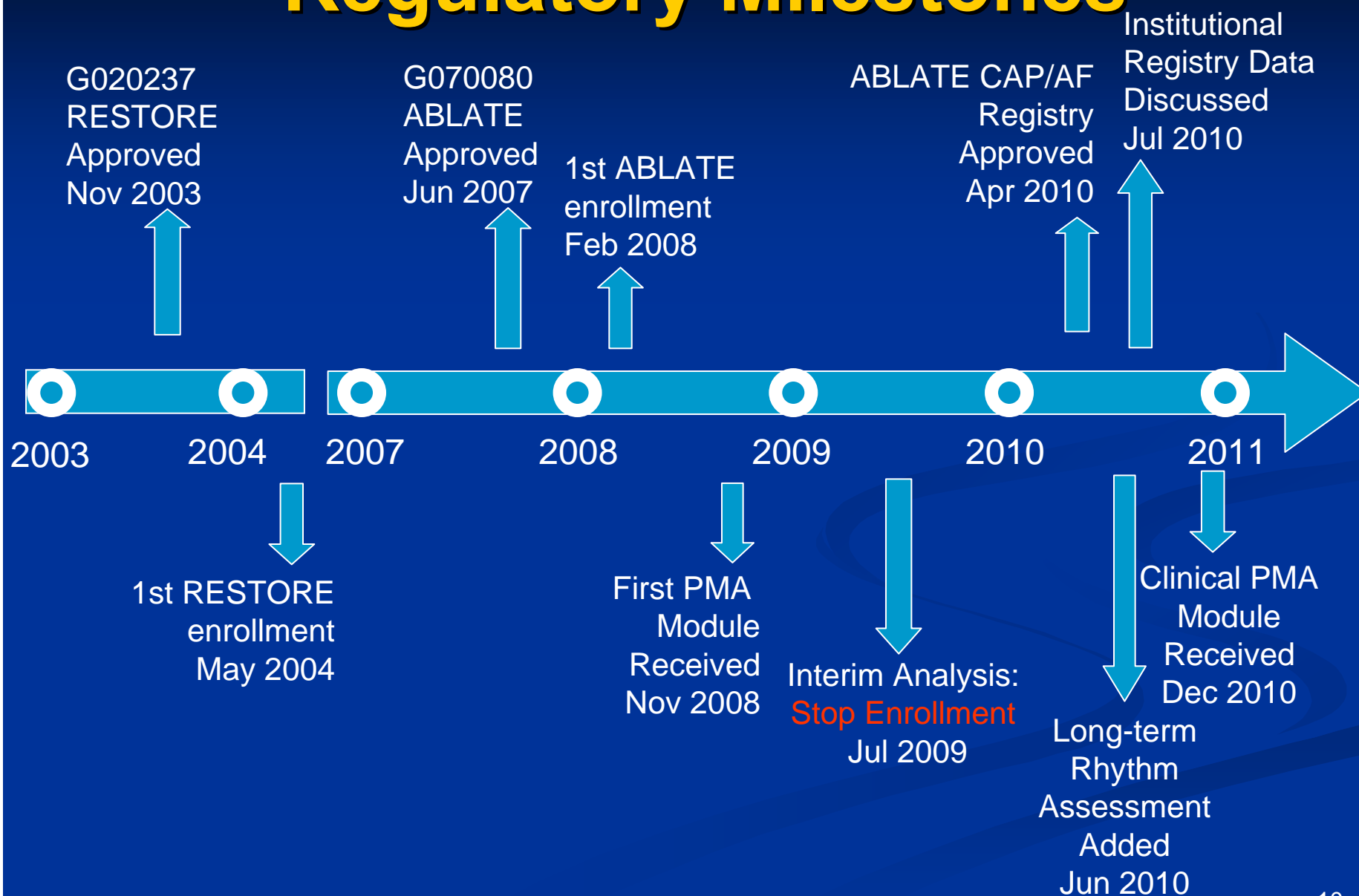
- AtriCure Synergy Ablation System
  - Synergy Ablation Clamp
  - Ablation and Sensing Unit (ASU)
  - Isolator Switch Matrix
- No device changes introduced in this PMA



# Regulatory History: Surgical Ablation Studies

- 10-year history of Cox Maze IV procedure
- Difficult enrollment in randomized controlled trials
- Alternative designs attempted
  - RESTORE study – matched concurrent controls without AF
- FDA and sponsor agreed to single arm-study
  - ABLATE study

# Regulatory Milestones



# Pre-Clinical Review

- Pre-clinical testing included
  - Biocompatibility testing
  - Electrical, mechanical, and environmental *in-vitro* bench testing
  - Sterilization testing
  - Packaging and Shelf-life testing
  - Animal testing
- No outstanding pre-clinical issues

# ABLATE Study Overview

- Single arm, nonrandomized
  - Permanent AF, concomitant CABG and/or valve surgery
- 9 centers
- 50-100 subjects
- Bayesian adaptive design with a non-informative prior for sample size determination
- Primary effectiveness endpoint
  - Rate of freedom from AF while off Class I or III anti-arrhythmic drugs at 6 months post procedure assessed with a 24-hr Holter
  - Performance goal: 60%
- Primary safety endpoint
  - Rate of major adverse events (death, stroke, MI, TIA or bleed) at 30 days post procedure
  - Performance goal: 18.95%

# Target Population

- ABLATE inclusion criterion:

*Subject has history of **permanent** atrial fibrillation (AF in which cardioversion (electrical and/or pharmacologic) has failed or has not been attempted) as defined by the 2006 ACC/AHA/ESC Guidelines.*

# AF Classification in Clinical Guidelines

AF Classification	2006 ACC/AHA/ESC Guidelines	2007 HRS Consensus Statement
Paroxysmal	Self-terminating within 7 days	Recurrent episodes that terminate spontaneously within 7 days
Persistent	Not self-terminating within 7 days, or is terminated electrically or pharmacologically	Sustained beyond 7 days, or necessitating pharmacologic or electrical cardioversion
Longstanding persistent		Continuous, > 1-year duration
Permanent	Cardioversion has failed or has not been attempted	A decision has been made not to pursue sinus rhythm

# Enrolled Population

- FDA interpretation of “Permanent AF” (per 2006 ACC guidelines):
  - continuous AF of long duration (e.g., greater than one year) in which cardioversion has failed or has not been attempted
- Sponsor had enrolled subjects with paroxysmal, persistent and longstanding persistent AF (2007 HRS definitions)
- Sponsor formally classified subjects per current 2007 HRS definitions
  - 4 paroxysmal
  - 22 persistent
  - 29 longstanding persistent

# Proposed Target Population

*The AtriCure Synergy Ablation System is intended to ablate cardiac tissue for the treatment of **persistent or longstanding persistent atrial fibrillation** in patients who are undergoing open concomitant coronary artery bypass grafting and/or valve replacement or repair.*

- Data are presented for all Treated subjects and Non-Paroxysmal AF (persistent and longstanding persistent AF) subjects



# Primary Discussion Points

- Interpretation of safety results
- Interpretation of effectiveness results
  - Late antiarrhythmic drug (AAD) washout
  - Late cardioversion
  - Current definitions of AF treatment success
  - Non-compliance with ablation procedure
- Long-term effectiveness
- Appropriate target population
- Post-approval study considerations

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# **ABLATE Study Statistical Considerations**

**Weihua Cao, Ph.D.  
Division of Biostatistics  
Office of Surveillance and Biometrics**

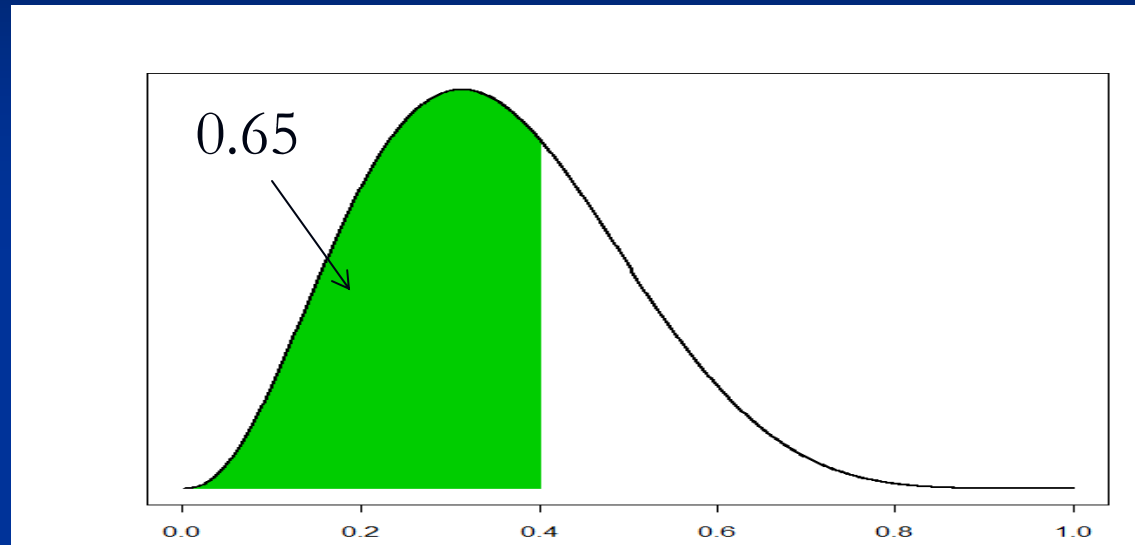
# Outline

- Overview of Bayesian statistics
- Study design
- Pre-specified hypotheses for primary endpoints
- Sample size adaptation
- Interim analyses
- Study results
- Summary

# Bayesian Statistics Overview

- An approach for learning from evidence as it accumulates.
- *Bayes' Theorem*: combine prior information with current information on a quantity of interest (e.g., AE rate).
- At the conclusion of the current study, the information about the quantity of interest is summarized by a posterior distribution, and Bayesian inferences are based on it.
- Prior information on quantity of interest comes from:
  - Information from previous comparable studies
  - subjective ideas prior to running the study
  - “No” prior information: non-informative prior can represent lack of information.

# Hypothetical Prior Distribution on an Adverse Event Rate



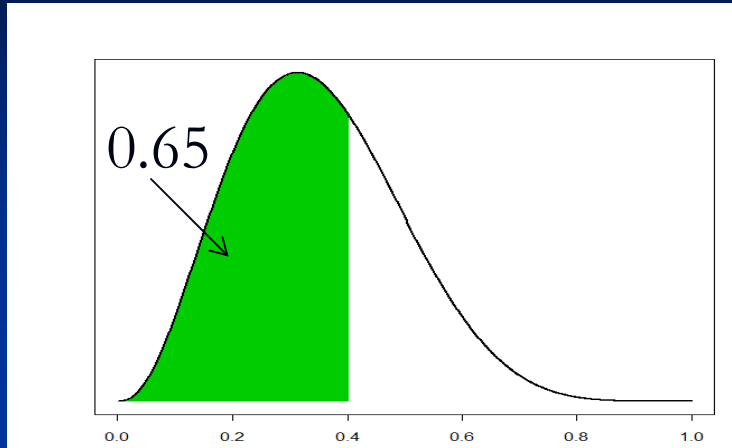
Adverse Event Rate

Hypothetical target = 0.40

Prior Probability that  $AE \leq \theta = 0.65$

# Learning from Data

Prior

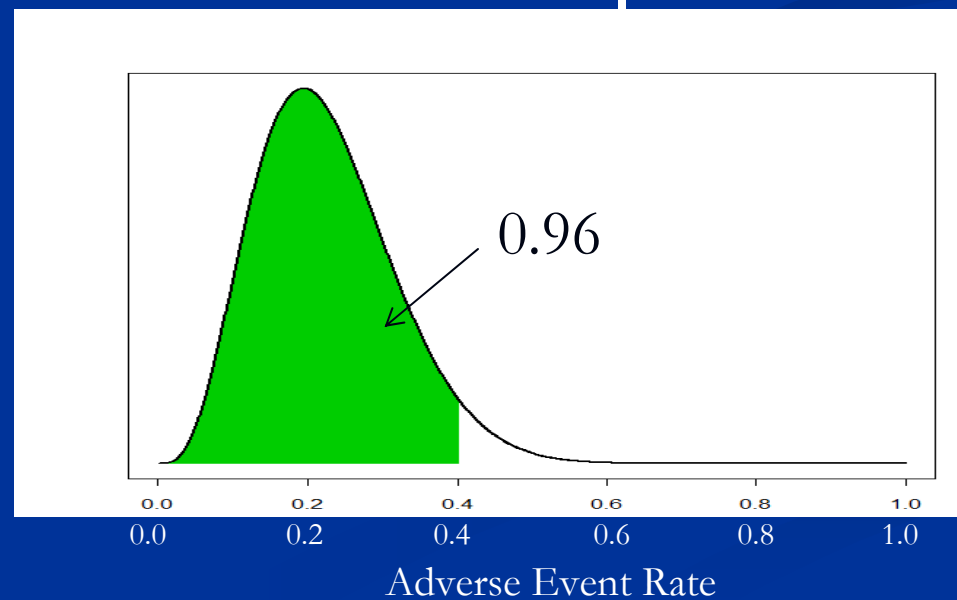


Adverse Event Rate

Study (n=10)

**Data: 1 in 10  
patients with AEs**

**Bayes Theorem**



**Posterior:**  
the updated prior  
distribution after  
seeing the current data

# Study Design

- Prospective, single-arm, unblinded, multicenter trial
- IDE sites: up to 20 US sites (9 enrolled)
- Primary safety endpoint: rate of MAEs (death, stroke, MI, TIA and excessive bleeding) occurring within the initial 30 days post procedure or discharge (whichever is later) ---  $q_T$



# Study Design (Cont')

- Primary effectiveness endpoint: the proportion of subjects that are free of atrial fibrillation while off of any antiarrhythmic medication (Class I or II') at six months post procedure ---  $p_{\tau}$

# Statistical Hypothesis

- Primary safety endpoint:
  - $H_0: q_T \geq 18.95\%$  vs.  $H_a: q_T < 18.95\%$
  - The null hypothesis is rejected if the posterior probability that the MAE rate  $q_T$  is less than 18.95% exceeds 0.95

$$P(q_T < 18.95\% \mid \text{data}) \geq 0.95$$

- Prior distribution on  $q_T$ : non-informative (uniform)

# Statistical Hypothesis (Cont')

- Primary effectiveness endpoint:
  - $H_0: p_T \leq 60\%$  vs.  $H_a: p_T > 60\%$
  - The null hypothesis is rejected if the posterior probability that the six-month success rate  $p_T$  exceeds 60% is greater than 0.975

$$P(p_T > 60\% \mid \text{data}) \geq 0.975$$

- Prior distribution on  $p_T$ : non-informative (uniform)

# Sample Size Adaptation

- Sample size targeted between 50 and 100 subjects
- Bayesian adaptive design to determine sample size
  - First interim analysis: 50 patients enrolled, 20 patients reached 6-month endpoint
  - Repeated after every five patients were through 30 days
  - A maximum of 10 interim looks

# Sample Size Adaptation (Cont')

- At each interim analysis, calculate the predictive probability of trial success for two scenarios:
  - 1) assuming enrollment stops and all currently enrolled patients are followed to six months (for success)
  - 2) assuming enrollment continues to the maximum sample size, 100 patients, and all are followed to six months (for futility)
- Trial success requires meeting both the primary effectiveness and safety endpoints.

# Predictive Probability

- Predictive probability was used to decide:
  - Stop enrollment, wait 6 months and do final analysis
  - Stop trial for futility
  - Continue enrollment
- Predictive probability is calculated according to pre-specified rules agreed upon between FDA and the sponsor.
- Predictive probability is only for sample size adaptation, not for making of study success decision.

# Predictive Probability at 55-patients

- First interim look conducted when 55 patients had been enrolled
- All 55 patients had 30-day safety outcomes
  - the primary safety endpoint was met
- The predictive probability of meeting the effectiveness endpoint with the current sample size was calculated to be 0.988
- The predictive probability of trial success is 0.938, which exceeds the threshold of 0.9, and accrual was stopped for probable success.

# Predictive Probability with Non-paroxysmal Subjects

- In order to determine the effect of having enrolled paroxysmal patients on stopping the trial, a retrospective interim analysis was conducted when the 50th non-paroxysmal subject was enrolled in the trial.

	Pred prob of meeting effectiveness	Pred prob of meeting safety	Pred prob of trial success
Current n (Test for probable success)	0.550	0.000	0.000
Maximum n (Test for futility)	0.826	0.682	0.564

- Had we only used non-paroxysmal subjects at the first interim look, enrollment would have continued.



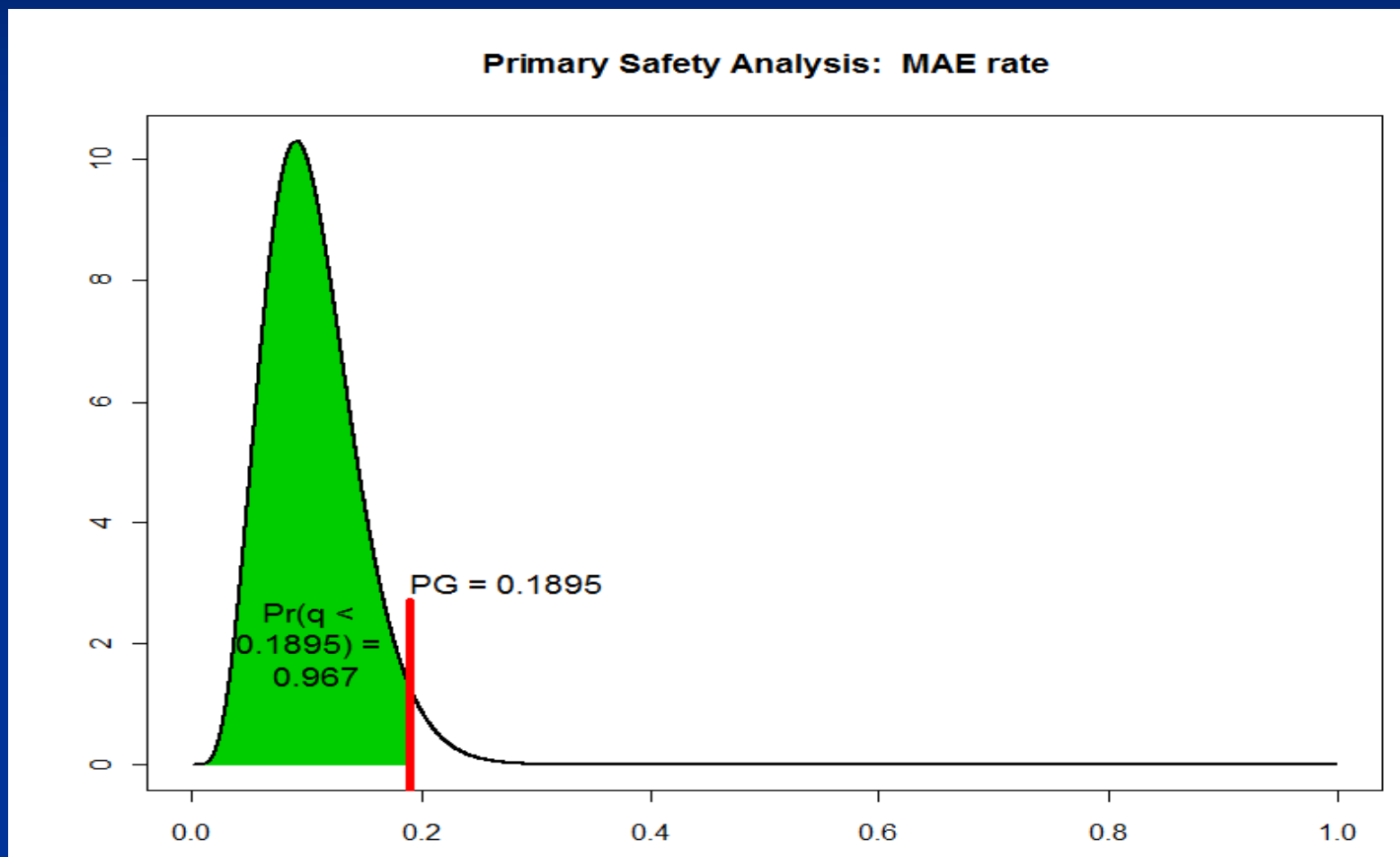
# Type I Error Rate

- Due to interim looks, Type I error rate may be inflated.
- Type I error rate for the primary safety endpoint was inflated from 5% to 6.1%.
- Type I error rate for primary effectiveness endpoint was inflated from 2.5% to 2.6%.
- However, study conclusions for the primary safety and effectiveness endpoints are not affected.

# Primary Safety Endpoint Result

- Treated patients: 55 subjects
  - 5 MAEs: 2 deaths, 2 excessive bleedings, and 1 stroke (9.1%)
  - Posterior probability
$$P(q_T < 18.95\% \mid \text{trial data}) = 0.967 > 0.95$$
  - Upper bound of the one-sided 95% Bayesian credible interval for  $q_T$ : 17.9%

# Primary Safety Endpoint Result (Cont')



Safety Rate

# Primary Safety Endpoint Result: Non-paroxysmal

- Non-paroxysmal AF: 51 subjects
  - 5 MAEs: 2 deaths, 2 excessive bleedings, and 1 stroke (9.8%)
  - Posterior probability

$$P(q_T < 18.95\% \mid \text{trial data}) = 0.946 < 0.95$$

- Upper bound of the one-sided 95% Bayesian credible interval for  $q_T$ : 19.2%

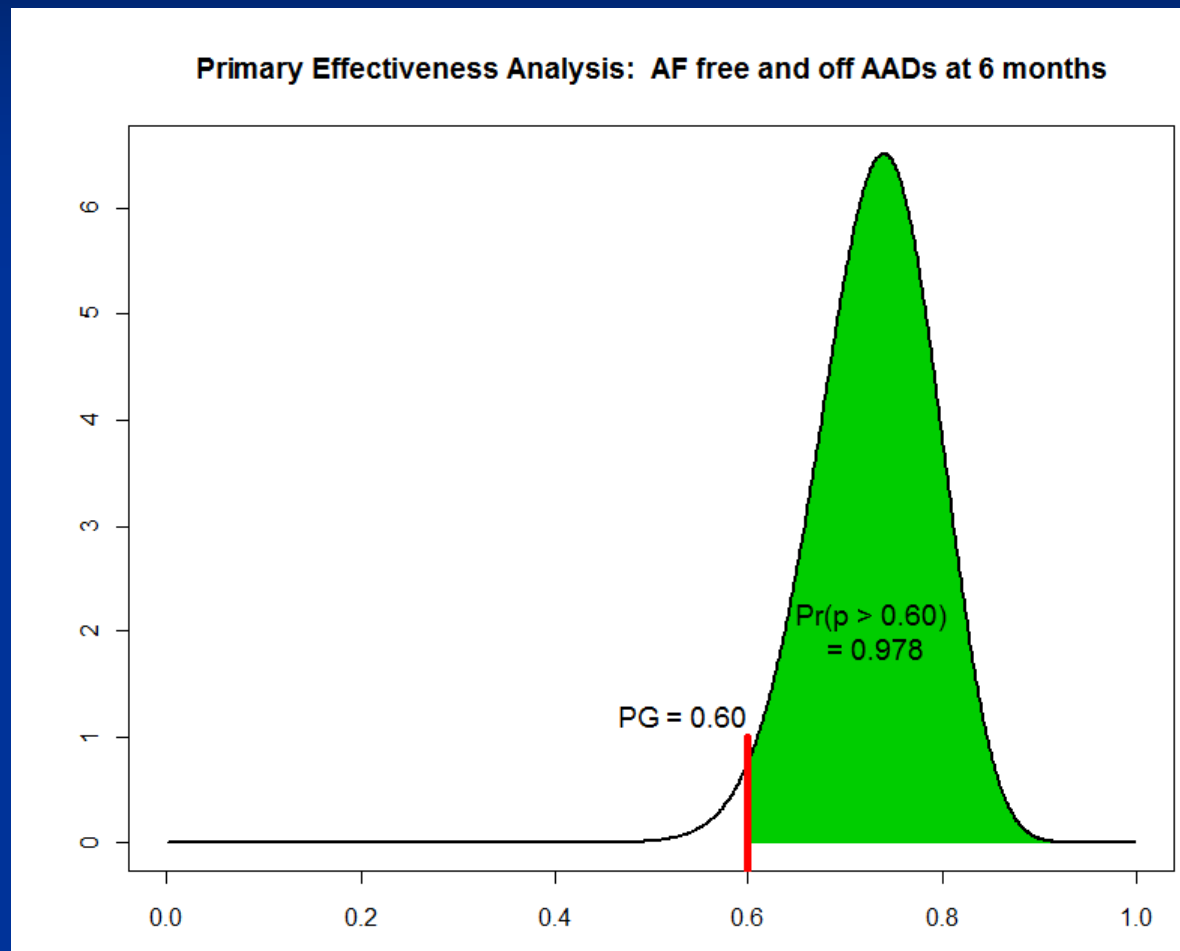
# Primary Effectiveness Endpoint Result

- Treated patients: 50 subjects with 6-month data
  - 37 effectiveness successes (74%)
  - Posterior probability

$$P(p_T > 60\% \mid \text{trial data}) = 0.978 > 0.975$$

- Lower bound of the one-sided 97.5% Bayesian credible interval for  $p_T$ : 60.4%

# Primary Effectiveness Endpoint Result (Cont')



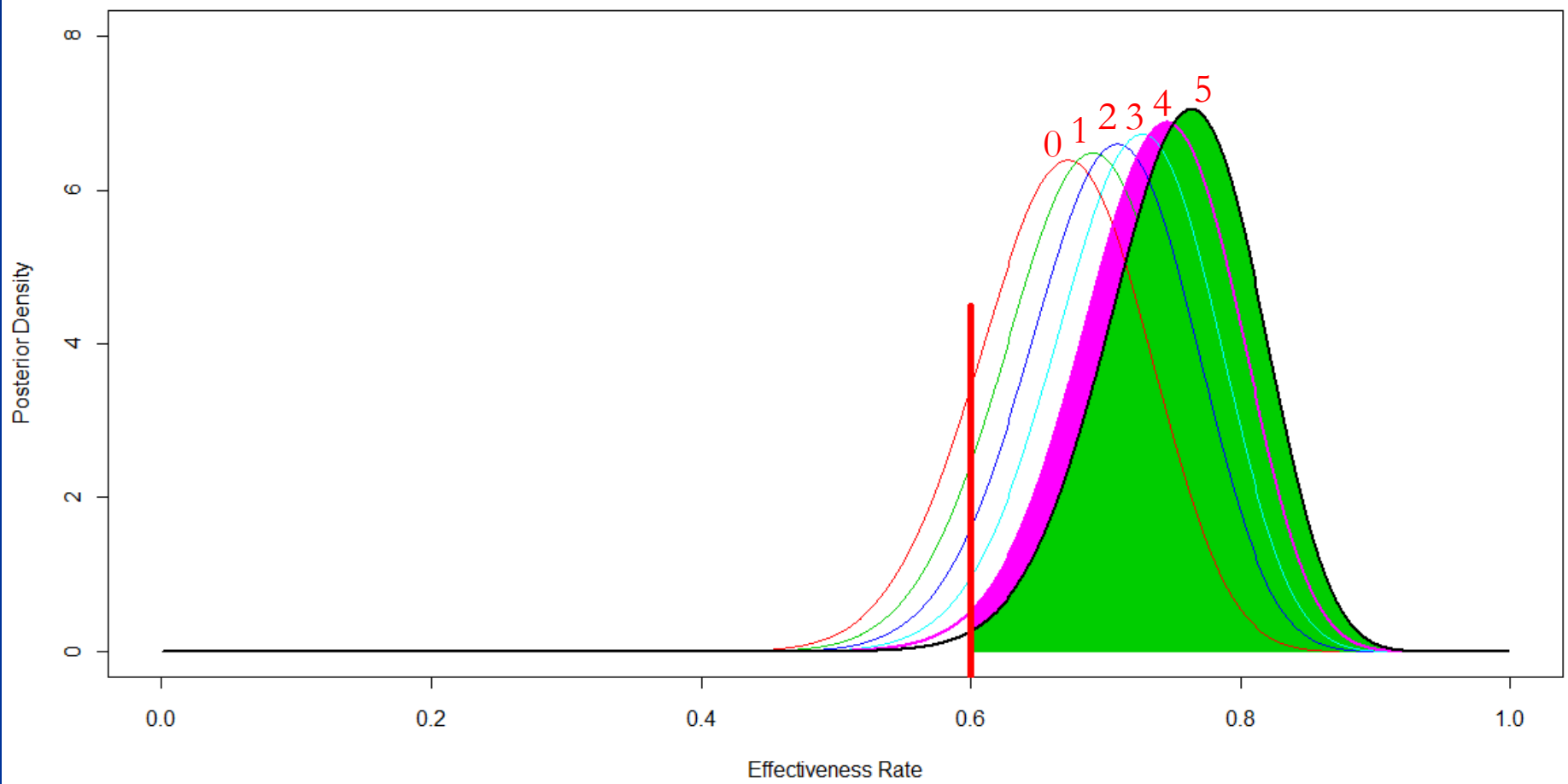
# Primary Effectiveness Endpoint

## Result: Impact of Missing Data

- 5 subjects excluded from the analysis: 2 deaths < 30 days, 2 deaths between 30 days and 6 month, and 1 withdrawal at 30 days.
- Tipping point analysis conducted
  - need at least 4 successes out of the 5 unobservable subjects to meet the effectiveness objective

# Tipping Point Analysis for All Treated Patients

Tipping point analysis: all treated population





# Primary Effectiveness Endpoint Result: Non-paroxysmal

- 46 non-paroxysmal subjects with 6-month data

- 34 effectiveness successes (73.9%)

- Posterior probability

$$P(p_T > 60\% \mid \text{trial data}) = 0.972 < 0.975$$

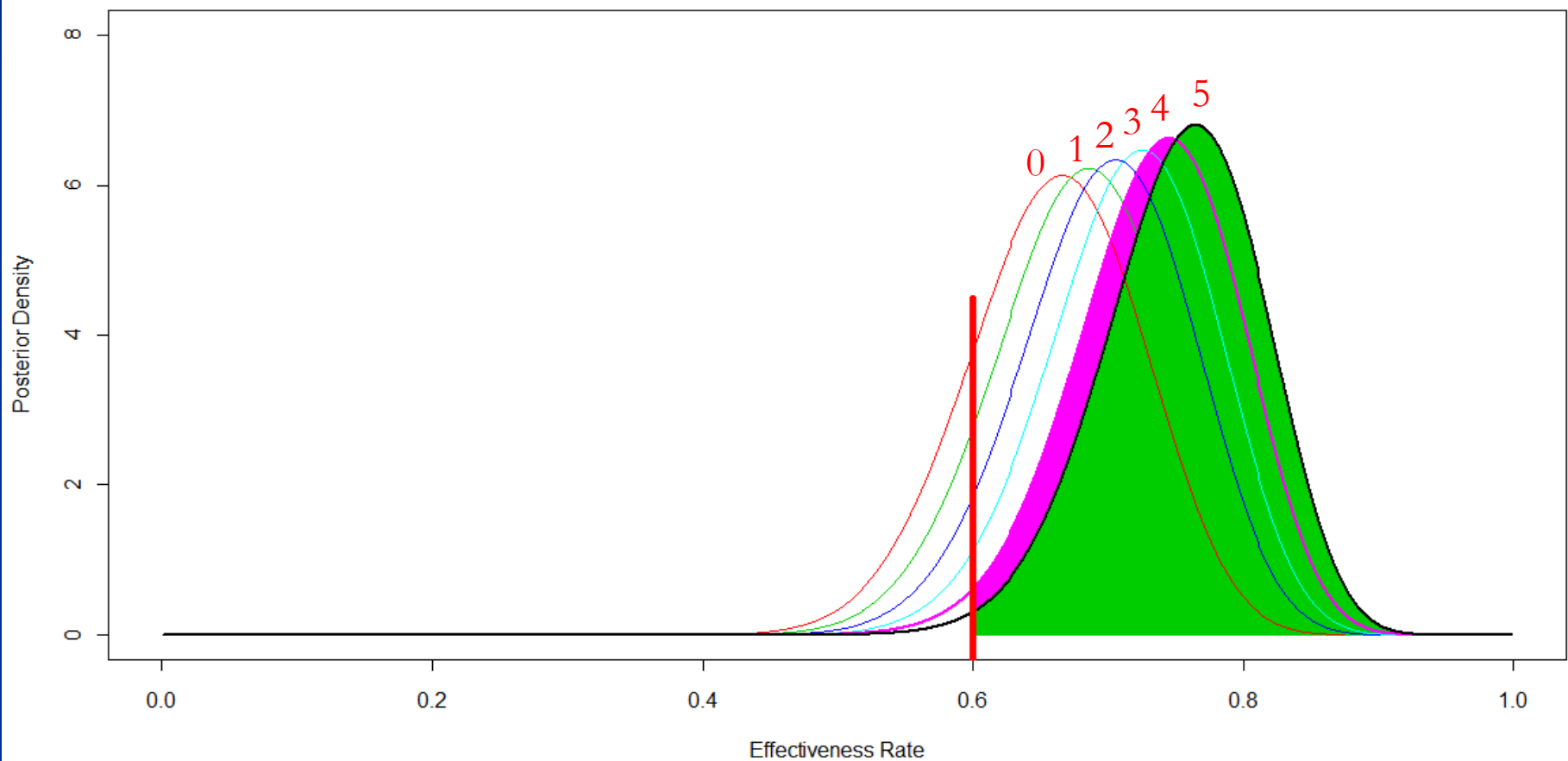
- Lower bound of the one-sided 97.5% Bayesian credible interval for  $p_T$ : 59.7%

- 5 missing observations were excluded

- Tipping point analysis: need 4 successes out of 5 unobservable subjects

# Tipping Point Analysis for Non-paroxysmal Patients

Tipping point analysis: non-paroxysmal population



# Sponsor's Analysis of Non-paroxysmal patients

- The sponsor analyzed the non-paroxysmal patients by combining ABLATE and the **ABLATE AF registry** together.
- FDA finds this combined analysis problematic and the statistical inference uninterpretable (as the combined analysis is post-hoc and no alpha was allocated for this analysis).

# Summary

- Enrollment would have continued if only non-paroxysmal subjects were used at the first interim look.
- The primary safety and effectiveness endpoints were met, ignoring the effect of missing data.
- The primary safety and effectiveness endpoints were not met for non-paroxysmal patients.

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# **FDA Presentation to the Advisory Panel on Circulatory Devices: Clinical Aspects**

PMA P100046  
AtriCure Synergy Ablation System

Adam E. Saltman, M.D., Ph.D.  
Medical Officer, CDRH/ODE/DCD

PAR=Paroxysmal, PER = Persistent,  
LSP = Longstanding Persistent

# Some Clinical Experience

	Procedure	N	PAR	PER	LSP	Success	F/U
Akpinar 2006	CABG	33	36%		64%	PRM: 58.1%	6 mo
Beyer 2009	Lone	100	39%	29%	32%	PRS: 96% PRM: 71%	13.6 mo
Doty 2007	CABG, MVR, AVR, TVR	65	32%		68%	79.6%	6 mo
Edgerton 2006	Lone	47	74%		26%	PRM: 71.4%	6 mo
Edgerton 2010	Lone	52	100%			86.3%	6 mo
Gillinov 2004	MVR	108	25%	26%	49%	85%	3 mo
Melby 2006	Lone 32%, Concomitant 68%	100	59%	7%	34%	91%	12 mo
Mokadam 2005	Lone 57%, Concomitant 43%	30	63%	37%		96%	12 mo
Sternik 2010	MVR	192	15%	37%	49%	86%	6 mos
Sternik 2006	Lone	60		54%	46%	80%	?
Suwalski 2007	Lone	6	100%			100%	3 mos
Weimar 2011	Lone	100	31%	6%	63%	93%	6 mos

# IDE Clinical Study

- ABLATE: AtriCure Synergy Bipolar RF Energy Lesions for Permanent Atrial Fibrillation Treatment during Concomitant, On-Pump, Endo/Epicardial Cardiac Surgery



# IDE Clinical Study

- Key I/E criteria
- Analysis population
- Endpoints
  - Primary Effectiveness
  - Primary Safety
  - Secondary Effectiveness and Safety
- Procedures
- Results and Additional analyses

# Inclusion / Exclusion Criteria

## ✓ Inclusion

- ✓ History of permanent AF (2006 Guidelines)
- ✓ Elective cardiac surgical procedure
  - ✓ CABG, mitral valve, aortic valve, tricuspid valve

## ✗ Exclusion

- ✗ Previous ablation (including catheter)
- ✗ LA diameter > 8 cm
- ✗ Inotrope / IABP usage
- ✗ Redo surgery

# Analysis Populations

AF Classification	2006 ACC/AHA/ESC Guidelines	2007 HRS Statement
Paroxysmal	Self-terminating within 7 days	Recurrent episodes that terminate spontaneously within 7 days
Persistent	Not self-terminating within 7 days, or is terminated electrically or pharmacologically	Sustained beyond 7 days, or necessitating pharmacologic or electrical cardioversion
Long-standing persistent		Continuous, > 1-year duration
Permanent	Cardioversion has failed or has not been attempted	A decision has been made not to pursue sinus rhythm

# Analysis Populations

- Are the study design and enrolled population appropriate for persistent and long-standing persistent AF subjects?

# Endpoints

- Primary effectiveness
  - Proportion of subjects free of AF while off of any AAD at 6 months post procedure
    - Recordings: 24-hour Holter or permanent pacemaker (PPM) interrogation
    - “Freedom from AF”: No episode  $> 5$  minutes, and total AF  $< 1$  hour / 24 hours
  - Performance goal: 60%

# Endpoints

- Primary safety
  - Rate of MAEs within the initial 30 days post procedure or discharge
    - Death
    - Bleeding > 2 units of RBCs with reoperation
    - Stroke
    - Transient ischemic attack
    - Myocardial infarction
  - Performance goal: 18.95%

# Endpoints

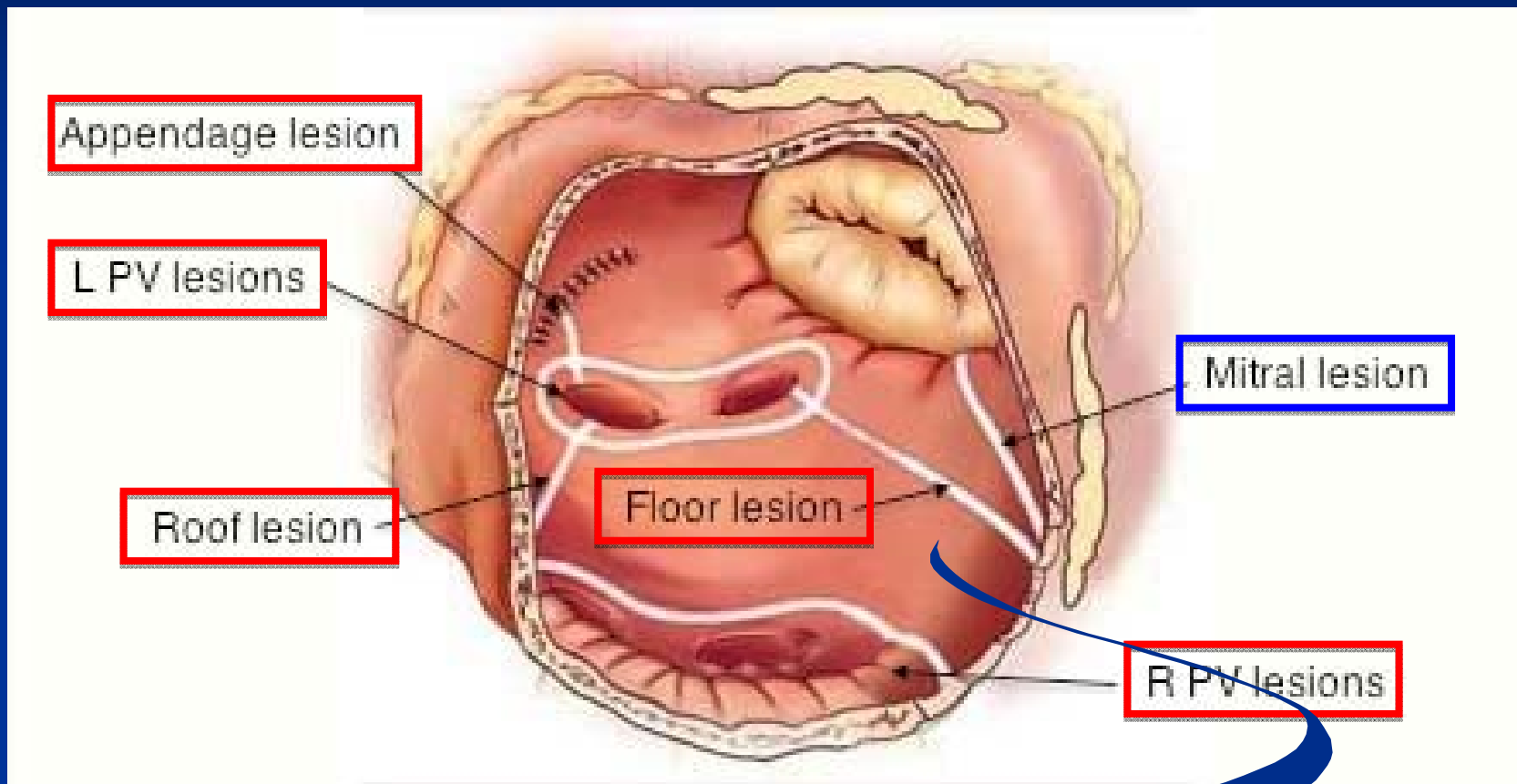
- Secondary effectiveness
  - Intraoperative pulmonary vein isolation
  - Freedom from AF at 6 months, independent of AADs
  - AF burden at 6 months
- Secondary safety
  - MAE at 6 months
  - All AE at 6 months
    - Device- and procedure-related AE and SAE

# Additional Analyses

- Rate of pacemaker implantation
- Freedom from AF and off AADs at 12+ months
- Overall freedom from AF at 12+ months
- AF burden at 12+ months



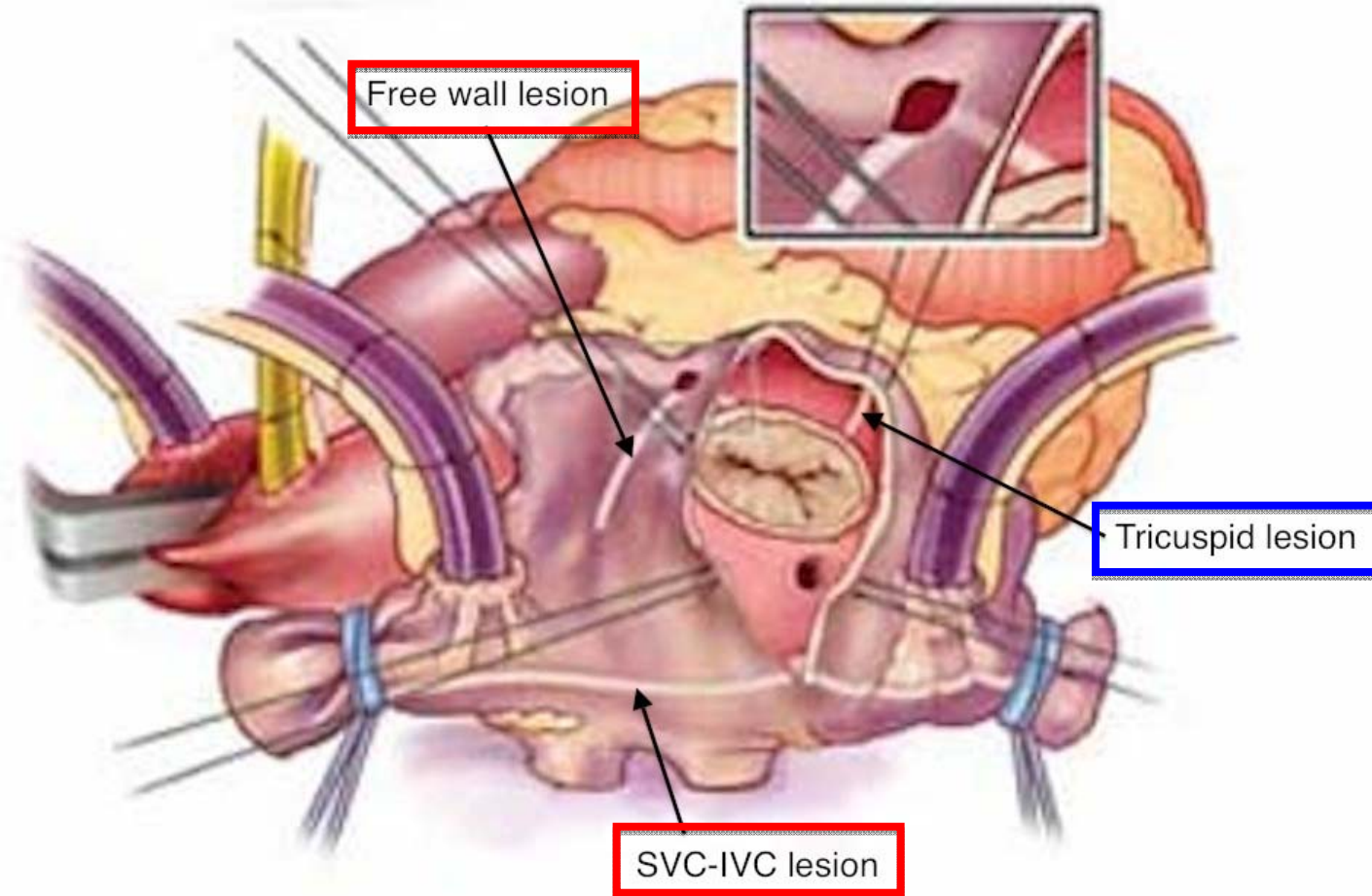
# Procedures – Left Atrium



— = Synergy handpiece only

— = Start with Synergy handpiece, complete with cryotherapy or RF pen

# Procedures – Right Atrium



- = Synergy handpiece only
- = Synergy handpiece, cryotherapy, or RF pen

# Lesion Requirements

	Lesion	Device Recommended
LEFT	R and L Pulmonary Veins	Clamp only
	Roof line	Clamp only
	Floor line	Clamp only
	LA appendage to pulmonary vein	Clamp only
	Mitral valve connecting	Clamp → Pen or cryosurgical device
RIGHT	SVC to IVC	Clamp only
	Free wall to appendage tip	Clamp only
	RA appendage to tricuspid annulus	Clamp → Pen or cryosurgical device
	Tricuspid valve	Any device

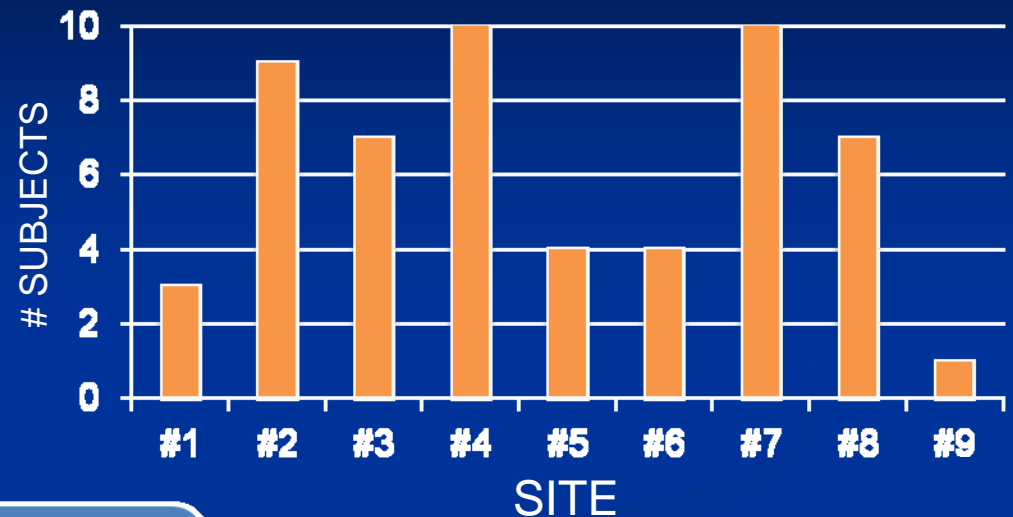
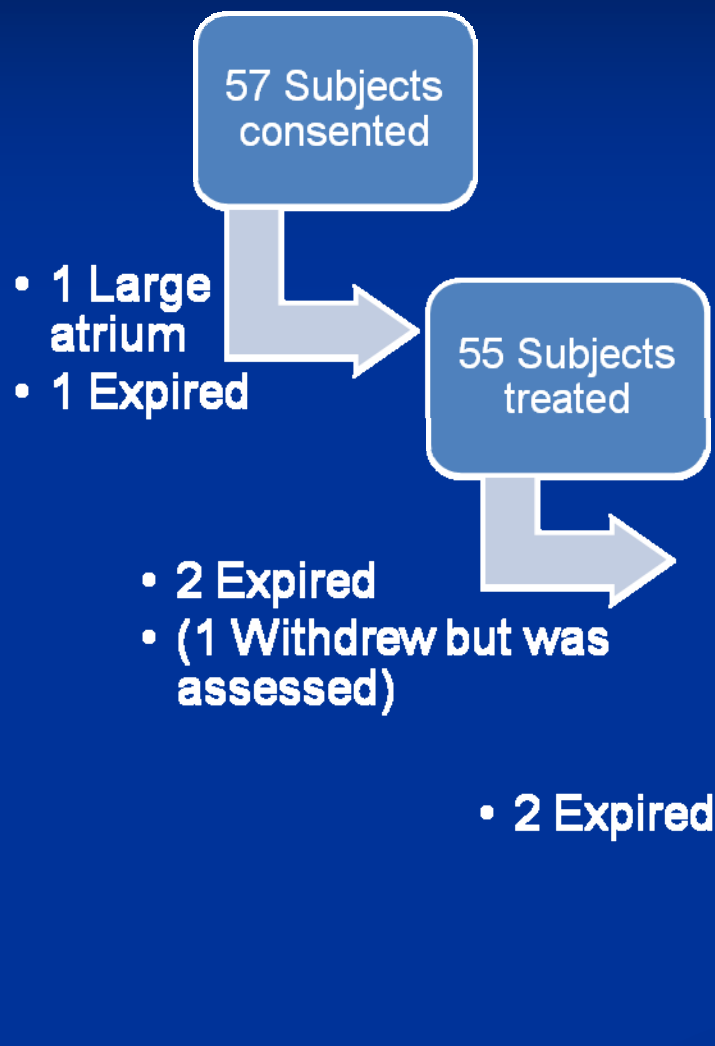
# Procedures – Postoperative

- After surgery, subjects given AAD
- Anticoagulation by MD preference
- Follow up
  - D/C, 30 days, 3 mo, 6 mo, 12 mo, 18 mo, 2 yr
- Stop AAD before 6 month assessment
  - Amiodarone: 12 weeks earlier
  - Others: 4 weeks earlier
- Cardioversions any time up to 6 mo visit

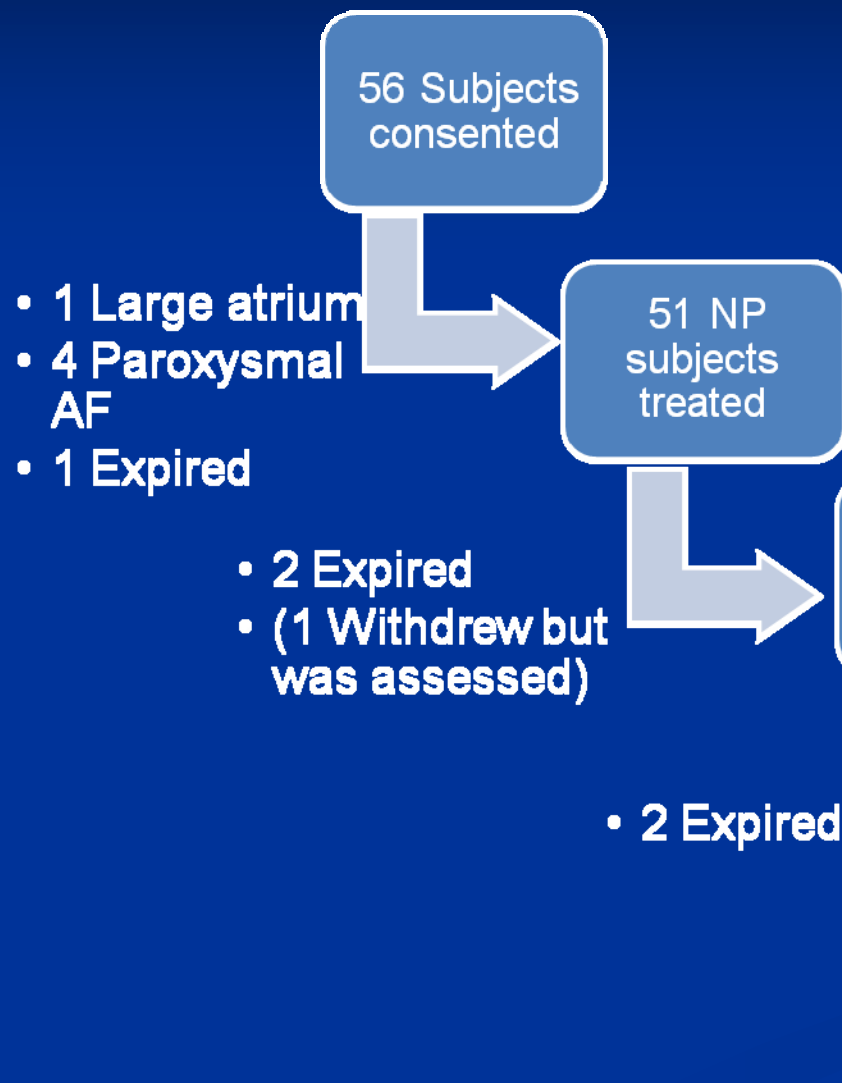
# Results

- Subject accountability
- Demographics
- Procedures performed
- Primary safety
- Primary effectiveness
- Secondary endpoints
- Additional analyses

# Treated Population



# Non-Paroxysmal Subset





# Subject Demographics

	Treated Population N = 55		Non-paroxysmal Subset N = 51	
	Mean	Range	Mean	Range
Age (yrs)	70.5 ± 9.3	45 – 88	70.8 ± 9.6	45 – 88
Male	58.2%		58.8%	
AF Duration (mos)	61.2 ± 49.5	1.8 – 188.4	61.7 ± 51.1	1.8 – 188.4
Hx AF > 1 yr	85.5%		84.3%	
Ejection fraction (%)	50.0 ± 10.3	20 – 77	49.6 ± 10.6	20 – 77
LA size (cm)	5.9 ± 1.0	3.9 – 7.7	6.0 ± 1.0	3.9 – 7.7



# Procedures Performed

Concomitant Procedures	Treated Population	Non-paroxysmal Subset
Valve only	40.0%	37.2%
Mitral valve	18.2%	17.6%
Aortic valve	21.8%	19.6%
Double valve	16.4%	17.6%
Aortic & Mitral	7.3%	7.8%
Mitral & Tricuspid	9.1%	9.8%
CABG & valve	6.4%	15.7%
CABG & mitral	10.9%	9.8%
CABG & aortic	5.5%	5.9%
CABG & double valve	9.1%	9.8%
Aortic & mitral	5.5%	5.9%
Mitral & tricuspid	3.6%	3.9%
CABG only	16.4%	19.6%

# Primary Safety

	Treated Population N=55	Non-paroxysmal Subset N=51
Composite MAE $\leq$ 30 days	9.1% (5)	9.8% (5)
95% BCI	0.00 – 0.173	0.00 – 0.192
Posterior Pr (safety event rate $<$ 0.1895)	96.7%	94.6%

Posterior probability threshold = 95%

# Primary Effectiveness

	Treated Population N=50	Non-paroxysmal Subset N=46
Primary success rate	74.0% (37)	73.9% (34)
95% Bayesian Credible Interval	0.604 – 1.00	0.597 – 1.00
Posterior Pr (effectiveness > 60%)	96.8%	97.2%

Posterior probability threshold = 97.5%

# Secondary Safety Endpoints

	Treated Population N = 55	Non-paroxysmal Subset N = 51
MAE through 6 months	10.9% (6)	11.8% (6)
Any AE through 6 months	90.9% (50)	90.2% (48)
Any SAE	74.5% (41)	76.5% (39)
Any AF procedure related AE	16.4% (9)	17.6% (9)
Any device-related AE	0.0% (0)	0.0% (0)
Any serious procedure-related AE	14.5% (8)	15.7% (8)
Any serious device related AE	0.0% (0)	0.0% (0)

# Procedure-Related Events

	Total Population N=55
AV block	5.4% (3)
Bradycardia	3.6% (2)
Left atrial tear	1.8% (1)
Inferior vena cava cannulation site injury	1.8% (1)
Pulmonary vein tear	1.8% (1)
Cardiac akinesis	1.8% (1)

# Secondary Effectiveness

At 6 Months	Treated Population N = 50	Non-paroxysmal Subset N = 46
Free of AF, Regardless of AADs	84.0% (42)	82.6% (38)
AF Burden		
0 minutes	82.0% (41)	82.6% (38)
<= 5 minutes	2.0% (1)	0.0% (0)
>5 min – 1 hour	2.0% (1)	0.0% (0)
> 1 hour	14.0% (7)	15.2% (7)
Bilateral PV Isolation	100.0% (23/23)	



# Pacemaker Implantations

	In Hospital	≤ 30 Days	≤ 6 Months	≤ 12 Months
PPM implantations	25.0% (12)	25.0% (12)	33.3% (16)	33.3% (16)
AVN dysfunction	8.3% (4)	8.3% (4)	8.3% (4)	8.3% (4)
SAN dysfunction	16.7% (8)	16.7% (8)	25.0% (12)	25.0% (12)

# Pacemaker Implantations

Subject	6 Month Rhythm	12+ Month Rhythm
04-03	Paced	Paced
05-03	Paced	Paced
07-01	Sinus	Paced
07-03	AF	AF
07-04	Paced	Sinus
08-02	Sinus	Paced
08-03	AF	AF
11-06	AF	Paced
11-07	-	-
11-10	Sinus	Paced*
13-06	AFL	Paced
19-01	Paced	AF*



# Effectiveness Endpoints at 12+ Months

At 12+ months	Treated Population	Non-paroxysmal Subset
Free of AF	75.0% (36/48)	73.3% (33/45)
Free of AF, off AAD's	62.5% (30/48)	62.2% (28/45)
AF Burden		
0 minutes	75.0% (31/40)	76.3% (29/38)
<= 5 minutes	0.0% (0/40)	0.0% (0/38)
> 5 min – 1 hour	0.0% (0/40)	0.0% (0/38)
> 1 hour	22.5% (9/40)	23.7% (9/38)

# Ancillary Considerations

- Inadequate drug washout at 6 months
- Cardioversions performed after 3 months
- Lesion set deviations
- Current (2007) clinical consensus document
- Overall

# Inadequate Drug Washout

	Treated Population N=50	Non-paroxysmal Subset N=46
Primary effectiveness proportion	72.0% (36)	71.7% (33)
97.5% Bayesian Credible Interval	0.583 – 1.00	0.574 – 1.00

# Cardioversions

Primary Effectiveness Status	CV Before 6 Months	CV Between 3 – 6 Months	Days Between CV and 6-month Evaluation
AF Free, Off AAD's	6	1	77
AF Free, On AAD's	2	1	9
In AF	4	2	29, 61

	Treated Population N=50	Non-paroxysmal Subset N=46
Primary success, no CV within 3 months	72.0% (36)	71.7% (33)
97.5% Bayesian Credible Interval	0.583 – 1.00	0.571 – 1.00

# Using the Newest Consensus For Rhythm Failure

Effectiveness	Treated Population	Non-paroxysmal Subset
At 6 months:		
No AF/AFL/AT, Off AADs	70.0% (35/50)	70.0% (33/46)
97.5% Bayesian Credible Interv.	0.562 – 1.00	0.574 – 1.00
No AF/AFL/AT	78.0% (39/50)	78.3% (36/46)
At 12+ months:		
No AF/AFL/AT, Off AADs	58.3% (28/48)	57.8% (26/45)
No AF/AFL/AT	70.8% (34/48)	68.9% (31/45)

# Lesion Deviations

Lesion	Deviations	Omitted	Alternative Used
Floor	8	1	Cut & sew – 6, RF pen – 1
RA free wall	5	5	0
LA appendage	3	1	Cryoablation – 2
Roof	2	1	RF pen – 1
Mitral annulus	2	1	Cryoablation – 1
RA appendage	2	2	0
Tricuspid valve	1	1	0
SVC-to-IVC line	1	1	0

	Treated Population	Non-paroxysmal Subset
Primary success with lesions per protocol	58.0% (29/50)	56.5% (26/46)
97.5% Bayesian Credible Interval	0.422 – 1.00	0.422 – 1.00



# Modified Effectiveness

Reason for Failure	Treated Population N=50	Non-paroxysmal Subset N=46
Rhythm	11	10
AAD	6	5
Cardioversion	4	4
No AF/AFL/AT, off AADs, Not cardioverted	33 (66.0%)	31 (67.4%)
Lesion set deviation	8	8
No AF/AFL/AT, off AADs, Not cardioverted, Correct lesions	25 (50.0%)	23 (50.0%)

# Additional Data Sources

- ABLATE AF
- RESTORE
- Baylor / Plano
- Washington University



# Additional Data Sources

	Primary Safety	Primary Effectiveness
ABLATE-AF	0.0% (0/14)	81.8% (9/11)
RESTORE	10.3% (4/39)	66.7% (20/30)
Baylor / Plano	25.0% (2/8)	0.0% (0/2)
Washington University	14.3% (8/56)	74.4% (35/47)
“TOTAL”	11.9% (14/117)	71.1% (64/90)
ABLATE	9.1% (5/55)	74.0% (34/50)

# Conclusions

- ABLATE was conducted according to the 2006 protocol
  - Enrolled 55 subjects with “permanent” AF
    - 4 Paroxysmal
    - 22 Persistent
    - 29 Long-standing persistent
- Met its safety and effectiveness endpoints
  - Albeit by a small margin

# Conclusions

- Matching the trial population to the intended target patient group, by removing 4 paroxysmal subjects, reduced the subject pool
  - In retrospect, enrollment would have continued

# Conclusions

- With the non-paroxysmal subset, ABLATE now fails its endpoints
  - Originally by a minimal margin
  - With additional considerations, the margin increases significantly

# Conclusions

- Additional provided data are generally consistent with ABLATE

# FDA Presentations

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Clinical Results and Considerations
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Post-Approval Study Considerations
- Dr. Soma Kalb  
Conclusions

# **Post-Approval Study (PAS) Considerations**

**Dale R. Tavis, MD, MPH**  
Division of Epidemiology  
Office of Surveillance and Biometrics

# Reminder

- The discussion of a PAS prior to FDA determination of device approvability should not be interpreted to mean FDA is suggesting that the device is safe and effective.
- The plan to conduct a PAS does not decrease the threshold of evidence required by FDA for device approval.
- The premarket data submitted to the Agency and discussed today must stand on its own in demonstrating a reasonable assurance of safety and effectiveness and an appropriate risk/benefit balance.



# General Principles for Post-Approval Studies

- Objective is to evaluate device performance and potential device-related problems in a broader population over an extended period of time after premarket establishment of reasonable evidence of device safety and effectiveness
- Post-approval studies should not be used to evaluate unresolved issues from the premarket phase that are important to the initial establishment of device safety and effectiveness

# Need for Post-Approval Studies

- Gather postmarket information
  - » Long-term performance including effects of re-treatments & device changes
  - » Real-world device performance (patients and clinicians)
  - » Effectiveness of training programs
  - » Sub-group performance
  - » Outcomes of concern (safety and effectiveness)
- Account for Panel recommendations

# Post-Approval Study Components

- Fundamental study question or hypothesis
- Safety endpoints and methods of assessment
- Acute and chronic effectiveness endpoints and methods of assessment
- Duration of follow-up

# Important Postmarket Issues

- Long-term (3 years) performance of the device
  - Effectiveness declined from 74% at 6 months to 62.5% at 12 + months.
- Device performance in a representative population of providers and patients
  - Providers in the premarket study may be more skilled in the use of the device than a more representative sample of providers.

# Proposed PAS: General Design and Endpoints

- Prospective multi-site observational study
- Eligibility criteria
  - Persistent or long-standing persistent AF
  - Scheduled for CABG and/or valve surgery
- 3-year follow-up
- Primary endpoints
  - Freedom from AF at 36 months
  - Serious ablation procedure- or device-related adverse event
- Secondary endpoints

# Proposed Postapproval Study: Hypotheses

- **Effectiveness hypothesis:**

3-year freedom from AF is greater than 47.8%

- 57.8% freedom from AF at 20 months in ABLATE trial
- Margin of 10%

- **Safety hypothesis:**

Serious ablation procedure- and device-related AE is less than 17.5%

- 12.5% is rate in the ABLATE trial
- Margin of 5%

# FDA Assessment

- No concerns about general study design, population, or endpoints.
- Effectiveness hypothesis not clinically justified.
  - 3-year success criterion (47.8%), based on premarket data and unexplained subtraction of 10%.
- Safety hypothesis not clinically justified.
  - 17.5% serious procedure- and device-related adverse events based on premarket data, with an unexplained addition of 5%.

# Issues for Panel Discussion

- FDA will have questions for the panel this afternoon on important issues regarding the PAS study. Those issues include:
  - The appropriateness of the primary effectiveness success criterion
  - The appropriateness of the primary safety success endpoint and criterion
  - The need for a Clinical Events Committee to adjudicate the device- and procedure-relatedness of adverse events



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# Conclusions

- The population studied in ABLATE included a heterogeneous population.
  - The desired indication is based on the persistent and longstanding persistent population in the study.
- The ABLATE study met the pre-specified primary safety and effectiveness endpoints
- No major safety concerns; pacemaker implantation rate may be high
- Approximate 10% drop in effectiveness rate over 2 years
- Device effectiveness is reduced when considering late cardioversion, late AAD washout, current definitions of AF treatment success, and deviations to the lesion set
- Additional data sources are consistent with ABLATE

**Thank you.**