

1 FOOD AND DRUG ADMINISTRATION
2 CENTER FOR DRUG EVALUATION AND RESEARCH

3
4
5
6 ENDOCRINOLOGIC AND METABOLIC DRUGS ADVISORY
7 COMMITTEE (EMDAC)
8
9

10 Tuesday, July 19, 2011

11 8:00 a.m. to 5:00 p.m.
12
13
14
15
16
17
18

19 Hilton Washington DC/Silver Spring
20 Silver Spring, Maryland
21
22

Meeting Roster

ENDOCRINOLOGIC AND METABOLIC DRUGS ADVISORY

COMMITTEE MEMBERS (Voting)

Erica H. Brittain, Ph.D.

Mathematical Statistician

Biostatistics Research Branch

National Institute of Allergy and

Infectious Diseases (NIAID)

National Institutes of Health (NIH)

Bethesda, Maryland

Eric I. Felner, M.D.

Associate Professor of Pediatrics

Director of Diabetes and Endocrinology

Hughes Spalding Children's Hospital

Emory University School of Medicine

Atlanta, Georgia

1 **Ellen W. Seely, M.D.**

2 Professor of Medicine

3 Harvard Medical School

4 Director of Clinical Research

5 Endocrinology, Diabetes and Hypertension Division

6 Vice Chair for Faculty Development

7 Department of Medicine

8 Brigham & Women's Hospital

9 Boston, Massachusetts

10
11 **David M. Capuzzi, M.D., Ph.D.**

12 Professor of Medicine and Biochemistry

13 Thomas Jefferson University &

14 Lankenau Institute for Medical Research

15 Philadelphia, Pennsylvania

16
17 **Edward W. Gregg, Ph.D.**

18 Chief, Epidemiology and Statistics Branch

19 Division of Diabetes Translation

20 Centers for Disease Control and Prevention

21 Atlanta, Georgia

22

1 Ida L. Spruill, Ph.D., R.N.

2 *(Consumer Representative)*

3 Assistant Professor

4 Medical University of South Carolina

5 College of Nursing

6 Charleston, South Carolina

7
8 ENDOCRINOLOGIC AND METABOLIC DRUGS ADVISORY

9 COMMITTEE MEMBER (Non-Voting)

10 Enrico P. Veltri, M.D.

11 *(Industry Representative)*

12 Vice President, U.S. Medical Affairs

13 Cardiovascular/Thrombosis

14 Sanofi-Aventis, U.S.

15 Bridgewater, New Jersey

16
17 TEMPORARY MEMBERS (Voting)

18 Ed J. Hendricks, M.D.

19 Medical Director

20 Center for Weight Management

21 Roseville and Sacramento, California

22

1 **Sanjay Kaul, M.D.**

2 Director and Professor

3 Fellowship Training Program in

4 Cardiovascular Diseases

5 David Geffen School of Medicine at UCLA

6 Division of Cardiology

7 Cedars-Sinai Medical Center

8 Los Angeles, California

9
10 **Kevin D. McBryde, M.D.**

11 Program Director, Pediatric Nephrology

12 Office of Minority Health Research Coordination

13 National Institute of Diabetes and Digestive and

14 Kidney Diseases (NIDDK)

15 National Institutes of Health (NIH)

16 Bethesda, Maryland

17
18 **Cassandra McIntyre**

19 *(Patient Representative)*

20 Mitchellville, Maryland

21

22

1 **Steven Piantadosi, M.D., Ph.D.**

2 Director

3 Professor of Medicine

4 Samuel Oschin Comprehensive Cancer Institute

5 Cedars-Sinai Medical Center

6 Los Angeles, California

7
8 **Peter J. Savage, M.D.**

9 Senior Advisor to the Director

10 Division of Diabetes, Endocrinology & Metabolic

11 Diseases (DDEMD), NIDDK, NIH

12 Bethesda, Maryland

13
14 **Terry J. Smith, M.D.**

15 Frederick G.L. Huetwell Professor of Ophthalmology

16 and Visual Sciences

17 Professor Internal Medicine

18 Division of Endocrinology and Diabetes

19 University of Michigan

20 Ann Arbor, Michigan

1 **Doris B. Strader, M.D.**

2 Associate Professor of Medicine

3 Fletcher Allen Health Care

4 The University of Vermont

5 College of Medicine

6 Division of Gastroenterology

7 Burlington, Vermont

8
9 **Abraham Thomas, M.D., M.P.H.**

10 ***(Acting Chair)***

11 Division Head

12 Endocrinology, Diabetes, Bone, and Mineral

13 Disorders, Hypertension, Henry Ford Hospital

14 Whitehouse Chair of Endocrinology

15 Detroit, Michigan

FDA PARTICIPANTS (Non-Voting)

Curtis J. Rosebraugh, M.D., M.P.H.

Director

Office of Drug Evaluation (ODE) II

Office of New Drugs (OND)

Center for Drug Evaluation and Research (CDER)

Food and Drug Administration (FDA)

Mary H. Parks, M.D.

Director

Division of Metabolism and Endocrinology

Products (DMEP)

ODE II, OND, CDER, FDA

Mark Avigan, M.D., C.M.

Associate Director

Office of Surveillance and Epidemiology (OSE)

CDER, FDA

Ilan Irony, M.D.

Diabetes Clinical Team Leader

DMEP, ODE II, OND, CDER, FDA

1 Somya V. Dunn, M.D.

2 Clinical Reviewer

3 DMEP, ODE II, OND, CDER, FDA

4
5 Paul Tran, R.Ph.

6 Designated Federal Officer, EMDAC

1	C O N T E N T S	
2	AGENDA ITEM	PAGE
3	Call to Order and Introduction	
4	Abraham Thomas, M.D., M.P.H.	12
5	Conflict of Interest Statement	
6	Paul Tran, R.Ph.	16
7	Introduction/Background	
8	Ilan Irony, M.D.	22
9	Sponsor Presentation	
10	Bristol-Myers Squibb/AstraZeneca	
11	Introduction	
12	Amy Jennings, Ph.D.	31
13	Medical Need for New Anti-Diabetic Treatments	
14	John Buse, M.D., Ph.D.	34
15	Dapagliflozin: Overview of Mode of Action	
16	and Introduction to Development Program	
17	Elisabeth Svanberg, M.D., Ph.D.	40
18	Clinical Efficacy	
19	Shamik Parikh, M.D.	47
20	Safety	
21	Jim List, M.D., Ph.D.	67
22		

1	C O N T E N T S (continued)	
2	AGENDA ITEM	PAGE
3	Overall Benefit-Risk	
4	James Gavin, M.D., Ph.D.	95
5	Dapagliflozin Post-Approval	
6	Brian Daniels, M.D.	102
7	Clarifying Questions from the Committee	112
8	FDA Presentation	
9	Overview of Efficacy	
10	Jonathan Norton, Ph.D.	130
11	Safety Issues	
12	Somya Dunn, M.D.	155
13	Clarifying Questions from the Committee	176
14	Open Public Hearing Session	210
15	Questions to Committee/Committee Discussion	226
16	Adjournment	372
17		
18		
19		
20		
21		
22		

P R O C E E D I N G S

(8:02 a.m.)

Call to Order and Introduction

DR. THOMAS: Good morning. I would first like to remind everyone present to please silence your cell phones, Blackberrys, and other devices if you've not already done so. I'd also like to identify the FDA press contact, Ms. Karen Riley. If you're here, please stand.

Good morning. My name is Abraham Thomas. I'm the acting chair of the Endocrinologic and Metabolic Drugs Advisory Committee. I will now call the meeting of the Endocrinologic and Metabolic Drugs Advisory Committee to order. We will go around the room, and please introduce yourself. We'll start with the FDA and Dr. Curtis Rosebraugh to my left and go around the table.

DR. ROSEBRAUGH: Curt Rosebraugh, director, Office of Drug Evaluation II.

DR. PARKS: Mary Parks, director, Division of Metabolism and Endocrinology Products.

DR. IRONY: Ilan Irony, clinical team leader

1 in diabetes.

2 DR. DUNN: Somya Dunn, clinical reviewer,
3 diabetes.

4 DR. SEELY: Ellen Seely, Brigham and Women's
5 Hospital, Harvard Medical School.

6 DR. SAVAGE: Peter Savage, NIDDK, NIH.

7 DR. FELNER: Eric Felner, associate
8 professor of pediatrics, Emory University.

9 DR. CAPUZZI: David Capuzzi, professor of
10 medicine biochemistry, Thomas Jefferson University
11 in Philadelphia.

12 DR. BRITTAIN: Erica Brittain. I'm a
13 statistician at the National Institute of Allergy
14 and Infectious Diseases.

15 DR. THOMAS: Abraham Thomas, endocrinology,
16 Henry Ford Hospital, Detroit, Michigan.

17 DR. TRAN: Paul Tran, the DFO for the
18 Endocrinologic and Metabolic Drugs Advisory
19 Committee.

20 DR. GREGG: Ed Gregg from the diabetes
21 division at CDC in Atlanta.

22 DR. SPRUILL: I'm Ida Spruill, assistant

1 professor at the Medical University of South
2 Carolina, Charleston, South Carolina.

3 DR. PIANTADOSI: My name is Steve
4 Piantadosi. I'm professor of medicine and
5 biostatistics at Cedars Sinai Medical Center and
6 UCLA.

7 DR. STRADER: Doris Strader, associate
8 professor of medicine, Division of Gastroenterology
9 and Hepatology, University of Vermont.

10 MS. MCINTYRE: Cassandra McIntyre, patient
11 representative.

12 DR. KAUL: Good morning. Sanjay Kaul. I'm
13 a cardiologist at Cedars Sinai Medical Center at
14 UCLA.

15 DR. SMITH: Terry Smith, departments of
16 ophthalmology and internal medicine, University of
17 Michigan Ann Arbor.

18 DR. HENDRICKS: Ed Hendricks, Center for
19 Weight Management, Sacramento, California.

20 DR. VELTRI: Rick Veltri, medical affairs,
21 Sanofi, industry representative.

22 DR. THOMAS: For topics such as those being

1 discussed at today's meeting, there are often a
2 variety of opinions, some of which are quite
3 strongly held. One goal is that today's meeting
4 will be a fair and open forum for discussion of
5 these issues and that individuals can express their
6 views without interruption. Thus, as a gentle
7 reminder, individuals will be allowed to speak into
8 the record if only recognized by the chair. We
9 look forward to a productive meeting.

10 In the spirit of the Federal Advisory
11 Committee Act and the Government in the Sunshine
12 Act, we ask that the advisory committee members
13 take care that their conversations about the topic
14 at hand take place in the open forum of the
15 meeting.

16 We are aware that members of the media are
17 anxious to speak with the FDA about these
18 proceedings. However, FDA will refrain from
19 discussing the details of this meeting with the
20 media until its conclusion. Also, the committee is
21 reminded to please refrain from discussing the
22 meeting topics during breaks or lunch. Thank you.

Conflict of Interest Statement

DR. TRAN: Good morning. The Food and Drug Administration is convening today's meeting of the Endocrinologic and Metabolic Drug Advisory Committee under the authority of the Federal Advisory Committee Act of 1972. With the exception of the industry representative, all members and temporary voting members of the committee are special government employees or regular federal employees from other agencies and are subject to federal conflict of interest laws and regulations.

The following information on the status of this committee's compliance with the federal ethics and conflict of interest laws, covered by but not limited to, those found at 18 U.S.C., Section 208 and Section 712 of the Food, Drug, and Cosmetic Act, is being provided to participants in today's meeting and to the public.

FDA has determined that members and temporary voting members of the committee are in compliance with the federal ethics and conflict of interest laws.

1 Under 18 U.S.C., Section 208, Congress has
2 authorized FDA to grant waivers to special
3 government employees and regular federal employees
4 who have potential financial conflicts when it is
5 determined that the agency's need for a particular
6 individual's services outweighs his or her
7 potential financial conflict of interest. Under
8 Section 712 of the federal Food, Drug, and Cosmetic
9 Act, Congress has authorized FDA to grant waivers
10 to special government employees and regular federal
11 employees with potential financial conflicts, when
12 necessary, to afford the committee essential
13 expertise.

14 Related to the discussion of today's
15 meeting, members and temporary voting members of
16 this committee have been screened for potential
17 financial conflicts of interest of their own, as
18 well as those imputed to them, including those of
19 their spouses or minor children, and, for purposes
20 of 18 U.S.C. Section 208, their employers. These
21 interests may include investments, consulting,
22 expert witness testimony, contracts, grants,

1 CRADAS, teaching, speaking, writing, patents and
2 royalties, and primary employment.

3 Today's agenda involves new drug application
4 NDA 202293, dapagliflozin, manufactured by Bristol-
5 Myers Squibb and AstraZeneca. Dapagliflozin is the
6 first drug in the class of sodium glucose
7 co-transporter 2 inhibitors, developed as an
8 adjunct to diet and exercise to improve glycemic
9 control in adults with type II diabetes mellitus.

10 This is a particular matters meeting, during
11 which specific matters related to dapagliflozin
12 will be discussed. Based on the agenda for today's
13 meeting and all financial interests reported by the
14 committee members and temporary voting members, a
15 conflict of interest waiver has been issued in
16 accordance to 18 U.S.C. Section 208(b)(3) to
17 Dr. Abraham Thomas.

18 Dr. Thomas's waiver, under 18 U.S.C. Section
19 208, is for a research grant to his employer,
20 funded by a competing firm. Dr. Thomas has no
21 personal involvement in the studies. The funding
22 for one study is between \$0 to \$50,000, and the

1 funding for the other is between \$50,001 to
2 \$100,000. The waiver allows the individual to
3 participate fully in today's deliberation. FDA's
4 reasons for issuing the waiver are described in the
5 waiver document, which is posted on the FDA website
6 at www.FDA.gov/advisorycommittee/advisorycommittee
7 [meetingmaterialsdrug/default.htm](http://www.FDA.gov/advisorycommittee/advisorycommittee).

8 Copies of the waivers may also be obtained
9 by submitting a written request to the agency's
10 Freedom of Information office at 12420 Parklawn
11 Drive, ELEM-1029, Rockville, Maryland 20857, or by
12 fax to (301)827-9267.

13 A copy of this statement will be available
14 for review at the registration table during this
15 meeting and will be included as part of the
16 official transcript.

17 To ensure transparency, we encourage all
18 standing members and temporary voting members to
19 disclose any public statement that they may have
20 made concerning the product at issue. With respect
21 to the FDA-invited industry representative, we
22 would like to disclose that Dr. Enrico Veltri is

1 participating in this meeting as a non-voting
2 industry representative acting on behalf of
3 regulated industry. Dr. Veltri's role at this
4 meeting is to represent industry in general and not
5 any particular company. Dr. Veltri is employed by
6 Sanofi-Aventis.

7 We would like to remind members and
8 temporary voting members that if the discussion
9 involves any other product or firm not already on
10 the agenda, for which the FDA participant has a
11 personal or imputed financial interest, the
12 participants need to exclude themselves from such
13 involvement, and their exclusion will be noted for
14 the record. FDA encourages all other participants
15 to advise the committee of any financial
16 relationship that they may have with the firm at
17 issue. Thank you.

18 DR. THOMAS: Before we start the morning's
19 proceedings, I'd like to invite Dr. Mary Parks to
20 come up for a special presentation.

21 DR. PARKS: Good morning. Thank you,
22 Dr. Thomas, for allowing me a few minutes here to

1 acknowledge a member of our advisory committee who
2 will be completing his term this fall.

3 Dr. Rick Veltri joined EMDAC as our industry
4 representative in June of 2008. During his term,
5 he has participated in at least 10 advisory
6 committee meetings, some of them very
7 controversial, including his first one, which was
8 to discuss whether or not companies developing
9 therapies for type II diabetes should be required
10 to conduct a dedicated cardiovascular risk
11 assessment of the therapy.

12 Dr. Veltri completed his cardiology training
13 at Johns Hopkins and went on for at least 15 plus,
14 if not 20 plus, years of experience in the
15 pharmaceutical industry. As an industry
16 representative, he is a non-voting member of this
17 committee. However, he has contributed extensively
18 to the discussion of all of these meetings. He's
19 also asked a lot of critical and thought-provoking
20 questions to both FDA and the sponsor.

21 On behalf of the FDA, I would like to thank
22 Dr. Veltri for his contributions to the advisory

1 committee process.

2 Dr. Veltri, if you could please come to the
3 podium, I'd like to present to you this plaque,
4 commemorating your three years of dedication and
5 service to the Endocrine and Metabolism Drugs
6 Advisory Committee.

7 [Applause.]

8 DR. VELTRI: Thank you, Mary. Thank you.

9 DR. THOMAS: We will now proceed with the
10 FDA opening remarks from Dr. Ilan Irony. I'd like
11 to remind public observers at this meeting that
12 while this meeting is open for public observation,
13 public attendees may not participate except at the
14 specific request of the panel.

15 **Introduction/Background**

16 DR. IRONY: Good morning. My name is Ilan
17 Irony, and I'm a clinical team leader on diabetes.
18 I want to welcome everybody to this advisory
19 committee meeting and thank Dr. Thomas and the
20 panel members for their participation here today,
21 and also the public, and particularly those
22 speaking in the public hearing session this

1 afternoon. Today, we are here to discuss the new
2 drug application for dapagliflozin.

3 Here's an outline of my brief presentation
4 today. I'm going to talk about dapagliflozin as an
5 introduction, the agenda for today's meeting, the
6 particular topics that we selected for discussion
7 for the panel members, and, finally, the voting
8 questions for today.

9 So dapagliflozin is a first-in-class new
10 molecular entity drug indicated for the treatment
11 of adults with type II diabetes. Dapagliflozin is
12 a selective inhibitor of the sodium glucose
13 co-transporter 2, or SGLT2. The natural model, the
14 mechanism of action that this drug is based, is
15 designated as familial renal glucosuria, which is
16 caused by a mutation mostly from the coding chain
17 of SGLT2.

18 The few cases reported for this rare
19 disorder have a benign course. The effect of
20 dapagliflozin on glycemia is independent of insulin
21 secretion and independent of insulin sensitivity.
22 Its effect is dependent on plasma glucose

1 concentration and glomerular filtration rate.

2 So for today's agenda, this morning, you're
3 going to hear from the applicant followed by the
4 FDA presentations. And each of those will be
5 followed by a brief period of clarifying questions
6 directed to the applicant or to the FDA.

7 After lunch, we're going to have the open
8 public hearing session, and this will be followed
9 by a discussion among the panel members, and FDA,
10 and the applicant, of selected issues, and finally
11 the questions that will complete the rest of the
12 day. And, hopefully, we'll finish before 5:00.

13 So I'm going to start the topics for
14 discussion today with -- my next two slides are
15 about efficacy. As I mentioned before, the effect
16 of dapagliflozin depends on glomerular filtration
17 rate, or GFR. As GFR declines along with the
18 progression of type II diabetes, so does the
19 efficacy of dapagliflozin.

20 The applicant did a dedicated study in
21 patients with moderate renal impairment, and those
22 were classified as having an estimated GFR between

1 30 and 59 milliliters per minute per 1.73 meters of
2 body surface area. The primary endpoint for this
3 trial was a placebo-adjusted change in hemoglobin
4 A1c from baseline to week 24, and the trial was
5 continuing to week 52. As you can see from the
6 bottom two bullets, there was not much change in
7 hemoglobin A1c for either dose, dapagliflozin,
8 5 milligrams daily, or dapagliflozin, 10 milligrams
9 daily.

10 So we want the panel members to discuss
11 implications of this reduced efficacy in type II
12 diabetes, where renal impairment can impact a
13 sizeable proportion of individuals with this
14 disease. We also want you to discuss whether
15 additional studies should be conducted to better
16 characterize the efficacy of dapagliflozin in
17 type II diabetes, or whether monitoring for renal
18 function should be performed prior to and/or during
19 treatment with dapagliflozin.

20 Next, we're going to move to topics of
21 safety. In the next four slides, we'll briefly
22 present those to you. We'll start with liver

1 safety.

2 So five patients treated with dapagliflozin
3 in the large phase 2b/phase 3 safety pool were
4 detected as having either an ALT or AST, or both,
5 greater than five times the upper limit of normal,
6 accompanied or followed by a total bilirubin
7 greater than two times the upper limit of normal.
8 This meets the biochemical criteria for Hy's law.
9 An adequate explanation for these biochemical
10 abnormalities was identified in all but one case.
11 That one case was deemed as a probable case of
12 drug-induced liver injury.

13 It's important to note that no overall
14 imbalances in severe, meaning greater than five
15 times the upper limit of normal or greater than 10
16 times the upper limit of normal, in hepatic
17 aminotransferases were detected in the
18 dapagliflozin clinical program. In addition, no
19 signal for hepatotoxicity was detected in the non-
20 clinical program.

21 So we would like the committee members to
22 discuss and comment on the clinical relevance of

1 this one case of potential Hy's law and whether
2 sufficient evaluation has been conducted pre-
3 marketing to determine if dapagliflozin is
4 associated with the risk of hepatotoxicity.

5 We'll now switch to the topic of cancer. So
6 numeric imbalances in both breast and bladder
7 cancer were observed in the clinical development
8 program. Again, in the large phase 2b and phase 3
9 safety pool, 9 patients treated with dapagliflozin,
10 9 female patients, were diagnosed with breast
11 cancer, versus one patient in the control groups.

12 With regard to bladder cancer, 9 male
13 patients treated with dapagliflozin were diagnosed
14 with bladder cancer, versus one patient in the
15 control group. In the brackets, you can see also
16 the incidence rates, comparing those cases to
17 exposure.

18 So those cases, among dapagliflozin-treated
19 subjects, were not only compared to controls, but
20 they were compared to what would be expected in the
21 U.S. population of diabetics with cancer. And the
22 comparator here is the Surveillance Epidemiology

1 and End Results database of the National Cancer
2 Institute, adjusted for the higher incidence of
3 those cancers, breast and bladder cancer, in
4 diabetics, based on some appropriate literature
5 references.

6 So we want you to discuss today, for both
7 types of cancer, whether these imbalances in the
8 clinical program signify a risk of carcinogenic
9 potential associated with dapagliflozin. And for
10 both types of cancer, we want you to comment
11 whether these numeric imbalances were impacted by
12 any imbalances of baseline risk factors or any
13 detection bias.

14 In addition to the topics of cancer and
15 liver safety, we want you to discuss also the
16 clinical significance of the following in type II
17 diabetes: an increase in genital urinary
18 infections with dapagliflozin and any long-term
19 consequences of this; bone safety concerns; any
20 other safety issues identified in the pre-marketing
21 application.

22 Finally, the voting question, which is the

1 following: Do the efficacy and safety data provide
2 substantial evidence to support approval of
3 dapagliflozin as an adjunct to diet and exercise to
4 improve glycemic control in adults with type II
5 diabetes? We want you to vote, please, yes or no.

6 To follow up on that voting question, if you
7 voted yes, do you recommend any further data be
8 obtained post-marketing? If you voted no, what
9 further data should be obtained?

10 Again, I want to thank the committee
11 members, and particularly Dr. Thomas for chairing
12 the panel, and for preparing this thorough
13 discussion of the topics today. Thank you.

14 DR. THOMAS: Because of today's road
15 closure, some committee members may be arriving
16 late. If we could have Dr. Avigan and Dr. Savage
17 introduce themselves for the record.

18 DR. AVIGAN: That was exactly right. There
19 was a road closure. Mark Avigan, FDA, Office of
20 Surveillance and Epidemiology.

21 DR. SAVAGE: Yes, I introduced myself before
22 I got here, just as you started, but I'm from the

1 Diabetes Institute at the NIH. I'm an
2 endocrinologist.

3 DR. THOMAS: My fault.

4 We will now proceed with the sponsor's
5 presentations. I'd like to remind public observers
6 at this meeting that while this meeting is open for
7 public observations, public attendees may not
8 participate except at the specific request of the
9 panel.

10 Both the Food and Drug Administration and
11 the public believe in a transparent process for
12 information gathering and decision making. To
13 ensure such transparency at the advisory committee
14 meeting, FDA believes that it is important to
15 understand the context of an individual's
16 presentation.

17 For this reason, FDA encourages all
18 participants, including the sponsor's non-employee
19 presenters, to advise the committee of any
20 financial relationships that they may have with the
21 firm at issue, such as consulting fees, travel
22 expenses, honoraria, and interest in the sponsor,

1 including equity interest and those based upon your
2 outcome of the meeting.

3 Likewise, FDA encourages you, at the
4 beginning of your presentation, to advise the
5 committee if you do not have any such financial
6 relationships. If you choose not to address this
7 issue of financial relationships at the beginning
8 of your presentation, it will not preclude you from
9 speaking.

10 At this time, I would like to invite the
11 sponsor to start their presentation.

12 **Sponsor Presentation - Amy Jennings**

13 DR. JENNINGS: Thank you, Chairman Thomas.

14 Good morning, ladies, and gentlemen, and
15 members of the Endocrine and Metabolic Advisory
16 Committee. I am Amy Jennings, director and U.S.
17 regulatory lead for dapagliflozin at Bristol-Myers
18 Squibb. Bristol-Myers Squibb and AstraZeneca are
19 pleased to be here today to present data
20 demonstrating that dapagliflozin is an important
21 and needed treatment option for patients with
22 type II diabetes.

1 Dapagliflozin is an oral active inhibitor of
2 the sodium glucose co-transporter number 2. This
3 new mechanism of action acts in the kidney,
4 employing the kidney's natural ability to excrete
5 glucose out in the urine. Unlike many other
6 currently available anti-diabetic agents,
7 dapagliflozin has a direct approach to glucose
8 management and has demonstrated improvements in
9 glycemic control, along with the added benefit of
10 modest weight loss.

11 Our proposed indication for dapagliflozin is
12 for the use as an adjunct therapy to diet and
13 exercise to improve glycemic control in patients
14 with type II diabetes when used as either
15 monotherapy or as add-on combination therapy to
16 other oral anti-diabetic agents, or when added onto
17 insulin.

18 For our presentations today, Dr. John Buse,
19 director of the Diabetes Care Center at the
20 University of North Carolina, and a past president
21 of the American Diabetes Association, will begin by
22 providing an overview of the current landscape of

1 anti-diabetic agents. He will also discuss the
2 need for additional therapies to treat patients
3 with type II diabetes.

4 Dr. Elizabeth Svanberg, the development lead
5 for dapagliflozin at Bristol-Myers Squibb, will
6 then provide an overview of the dapagliflozin
7 development program, which was robust, with
8 approximately 6,000 subjects being evaluated in 41
9 clinical trials.

10 Dr. Shamik Parikh and Dr. Jim List from
11 AstraZeneca, the two medical leads for the
12 dapagliflozin program, will then describe the
13 efficacy and safety data of dapagliflozin,
14 respectively.

15 Dr. Jim Gavin, CEO and chief medical officer
16 of Healing our Village, will then translate these
17 benefits and risks of dapagliflozin to diabetic
18 patients seen in clinical practice.

19 Dr. Brian Daniels, head of development and
20 medical affairs at Bristol-Myers Squibb, will then
21 conclude our presentation by describing our
22 commitment to continue to assess the

1 characteristics of dapagliflozin in the post-
2 approval setting.

3 Today, we also have several experts to
4 assist us in answering any questions that you may
5 have.

6 I'd now like to introduce Dr. John Buse to
7 provide an overview of the current landscape of
8 anti-diabetic agents. Thank you.

9 **Sponsor Presentation - John Buse**

10 DR. BUSE: Good morning. Chairman Thomas
11 and members of the advisory committee, thank you
12 for the opportunity to speak to you regarding the
13 unmet needs in diabetes care. As a matter of
14 disclosure, the sponsor is contracted with my
15 employer for my services as a consultant; however,
16 I do not derive personal financial benefit from
17 this relationship.

18 I've worked in the field of diabetes for
19 25 years, dividing my time about equally between
20 clinical care, clinical research, teaching, and
21 administration. I'm currently the chief of the
22 Division of Endocrinology, director of the Diabetes

1 Care Center, and executive associate dean for
2 clinical research at the University of North
3 Carolina School of Medicine. I recently served as
4 president for medicine and science at the American
5 Diabetes Association.

6 Over the last 10 to 15 years, we've made
7 tremendous advances in diabetes care in the United
8 States, from strengthening clinical guidelines and
9 increasing public awareness, to improving diagnoses
10 and treatment as a result of specific disease
11 management programs, improved screening, better
12 access to diabetes education and supplies, and new
13 pharmacologic agents.

14 As a result, the proportion of patients who
15 achieve the general glycemic target of an A1c of
16 less than 7 percent, suggested by the American
17 Diabetes Association, has improved to over
18 50 percent. And not shown here, the incidence rate
19 of most diabetes complications seem to be falling.
20 Nevertheless, the burden of diabetes continues to
21 increase, fueled by the epidemic of diabetes.

22 Let me share some numbers with you from the

1 Centers for Disease Control and Prevention's 2011
2 National Diabetes fact sheet. Diabetes now affects
3 about 26 million Americans, over 8 percent of the
4 population, with nearly 2 million new diagnoses a
5 year. Despite \$174 billion in total costs for
6 diabetes, the risk for death among people with
7 diabetes is about twice that of people of similar
8 age, but without diabetes, with upwards of
9 70 percent of these deaths related to
10 cardiovascular diseases. Over 4 million people
11 with diabetes have diabetic eye disease, and
12 655,000 have advanced diabetic retinopathy that
13 could lead to severe vision loss.

14 In 2008, over 200,000 people with diabetes
15 lived with end-stage renal disease on chronic
16 dialysis or with a kidney transplant, and almost
17 50,000 people with diabetes began treatment for
18 end-stage kidney disease. Over 65,000 non-
19 traumatic, lower-limb amputations are performed
20 annually in people with diabetes. Parenthetically,
21 it should be noted that less than 10 percent of the
22 cost of diabetes is related to diabetes drug

1 therapy.

2 How could it be that, despite our advances
3 and our investment in diabetes care, almost
4 50 percent of Americans with diabetes still are
5 inadequately controlled, as determined by A1c, and
6 still suffer such a heavy burden of disabling
7 complications and early death.

8 Here, you see an illustration of the natural
9 history of diabetes. Most patients progress from
10 improved control after initiation of a drug therapy
11 to loss of control over a period of five years
12 after initiating a particular anti-hyperglycemic
13 agent, and then inexorably progress from
14 monotherapy to combination therapy. Therefore,
15 many patients require two, three, or even more
16 anti-hyperglycemic therapies.

17 Depending on how you count them, we have
18 over a dozen different types of diabetes
19 medications on the market. In this slide, I've
20 color-coded a number of characteristics of each of
21 these agents, with green for good, and red for
22 potentially undesirable, with yellow being

1 intermediate.

2 As you can see, except for metformin,
3 essentially all of the available agents, except for
4 some recent additions near the bottom of the slide,
5 are associated with hypoglycemia, weight gain, or
6 are viewed by many as difficult to take, either
7 related to dosing frequency or the need for
8 injection. Some of the newer agents only have
9 modest efficacy.

10 As noted here, many agents are associated
11 with safety concerns, particularly newer agents,
12 where inadequate experience makes it difficult for
13 many practitioners to put these safety issues in
14 perspective. As a result, there are no absolutely
15 clear broadly-accepted choices for the ideal
16 treatment path beyond metformin for the average
17 patient with diabetes.

18 That said, it's important to put these
19 safety issues in perspective, as was nicely done in
20 a recent review by Dr. Rich Bergenstal, the
21 immediate past president of the American Diabetes
22 Association, Dr. Cliff Bailey, the EESD

1 representative on the European Medicines Agency,
2 and Dr. David Kendall, the chief science and
3 medical officer of the American Diabetes
4 Association.

5 In green, it is known that important adverse
6 events associated with current treatments are
7 relatively common, affecting up to about 1 percent
8 of those treated. However, these agents remain
9 important parts of our treatment protocols, as
10 these harms are more than balanced in white, with
11 benefits important to patients, as demonstrated in
12 the UKPDS study.

13 As noted in red, cardiovascular
14 complications, as well as disabling microvascular
15 complications, remain common in type II diabetes,
16 despite treatment. That is fundamentally the unmet
17 need in diabetes management, the need to minimize
18 the burdens of disability and early death in
19 patients with type II diabetes.

20 I believe that dapagliflozin addresses these
21 unmet needs nicely in comparison to the other
22 available treatments. Dapagliflozin has a novel

1 mechanism of action, independent of circulating
2 insulin levels. It is associated with good
3 efficacy and lowering Alc, equivalent to
4 sulfonylurea or metformin.

5 Dapagliflozin is not associated with an
6 intrinsic risk of hypoglycemia. It is associated
7 with moderate weight loss. It is easy and
8 convenient to take, a single-dose strength for most
9 patients, taken orally once a day, irrespective of
10 the timing of meals.

11 Dapagliflozin is effective in a broad
12 spectrum of patients with type II diabetes,
13 independent of background therapy or duration of
14 disease. And, finally, the safety concerns raised
15 in the briefing materials seem modest on par with
16 the other available agents and addressable through
17 patient selection, counseling, and further study.

18 Thank you. Dr. Svanberg will now introduce
19 the dapagliflozin program.

20 **Sponsor Presentation - Elisabeth Svanberg**

21 DR. SVANBERG: Thank you, Dr. Buse.

22 Mr. Chairman, members of the advisory

1 committee, members of FDA, ladies and gentlemen,
2 good morning. My name is Elizabeth Svanberg, and
3 I'm the development leader for dapagliflozin at
4 Bristol-Myers Squibb.

5 Current diabetes treatment work across
6 various organs and most of them work dependently on
7 insulin. Today's presentation focuses on SGLT2,
8 which is the main transporter for renal glucose
9 reabsorption from the glomerular filtrate. SGLT2
10 is almost exclusively expressed in the kidney.

11 Glucose is filtered through the glomerulus.
12 It is reabsorbed through SGLT2, which is located in
13 the proximal tubule. And it brings glucose back
14 into the systemic circulation. When SGLT2 is
15 inhibited, less glucose is reabsorbed and more
16 pronounced glucosuria appear.

17 Glucosuria is an easily and readily measured
18 pharmacodynamic marker of SGLT2 inhibition. The
19 direct excretion of glucose and the associated
20 excess calories may suggest a way to control
21 weight, and it may be a reason for patients to
22 adhere to and comply with treatment.

1 Effects of SGLT2, as a mode of action,
2 include both benefits and risks. The benefits are
3 the insulin-independent mode of action that makes
4 SGLT2 inhibition complementary to currently
5 available treatments. The glycemic control, which
6 includes HbA1c lowering as well as reduction in
7 fasting plasma glucose and post-prandial glucose,
8 the excretion of glucose calories leads to the
9 weight loss. And together with the glucose
10 excretion goes salt and water, a diuretic effect
11 that may translate into blood pressure reduction.
12 Blood pressure effects with SGLT2 inhibition is
13 evaluated specifically in a dedicated phase 3
14 program.

15 Risks include hypoglycemia, as well as
16 effects on renal function, as the kidney is the
17 target organ. The diuretic effects could imply
18 effects such as hypovolemia, hypotension, and
19 dehydration. It may also affect bone mineral
20 metabolism.

21 The glucose in the urine may serve as a
22 nutrient for bacteria and other pathogens. And

1 urinary tract infections vulvovaginitis and
2 balanitis may be risks with treatment.

3 All these parameters were thoroughly
4 evaluated in the dapagliflozin development program.
5 SGLT2 inhibition, as a therapeutic approach, stems
6 from lessons in nature. The use of phlorizin from
7 the apple bark was described to lead to glucosuria
8 already more than 100 years ago. Human SGLT2
9 mutation results in a condition called familial
10 renal glucosuria, a rare, natural benign phenotype
11 characterized by lifelong glucosuria.

12 The amount of glucose excreted depends on
13 the mutation, and the most severe one is the sero
14 mutation, sero because there is no reabsorption in
15 the kidney. As far as is known and
16 described -- and this is a very rare
17 condition -- the condition, nonetheless, is
18 compatible with a long life.

19 The SGLT2 program utilized these findings to
20 rationally design a reversible inhibitor with the
21 potency at the low nanomolar level with high
22 selectivity and an oral bioavailability, which

1 resulted in the advancement of dapagliflozin as a
2 therapeutic candidate.

3 For the ease of the presentation and for the
4 discussion, may I suggest that we call
5 dapagliflozin dapa? And we will use the terms
6 dapagliflozin and dapa interchangeably throughout
7 our presentation.

8 I will briefly summarize the clinical
9 pharmacology program. Dapa was evaluated in 27
10 pharmacology studies in healthy volunteers, in
11 patients with renal impairment, and in patients
12 with hepatic impairment, as well as in subjects
13 with type II diabetes.

14 We explored a wide range of doses, from one
15 microgram to 500 milligrams. In these studies,
16 dapa was found to be safe and well tolerated, up to
17 50 times the proposed normal dose. No dose-
18 limiting toxicity was observed.

19 Dapagliflozin's pharmacodynamic effects are
20 readily measured by glucose excretion in the urine.
21 This is observed already after a single dose. The
22 proposed usual daily dose of 10 milligrams provides

1 75 percent of the maximum effect, and that is
2 consistent with dapa's high potency.

3 The most known effect on QTc interval or
4 heart rate; dapa was readily and extensively
5 absorbed, and it may be given without regards to
6 means. There's no dose adjustment needed due to
7 pharmacokinetic properties. Since dapa is not
8 metabolized through the CYP pathway, it has a low
9 potential for clinically meaningful drug-drug
10 interactions. Taken together, that makes dapa an
11 easy drug to use.

12 The phase 2b program evaluated doses, 2.5 to
13 50 milligrams over 12 weeks in treatment-naive type
14 II diabetic subjects. As expected, due to the mode
15 of action, an increase in urinary glucose excretion
16 was seen.

17 The clinically meaningful endpoint of a
18 reduction in HbA1c was also measured. And taken
19 together, these data suggest that dapa's
20 therapeutic effect is achieved at a 10-milligram
21 dose with no or little effect at a higher dose.

22 The doses do progress from phase 2b to

1 phase 3 were therefore selected to be 2.5, 5, and
2 10 milligrams.

3 Altogether, the dapagliflozin program was
4 truly global in nature. The program consisted of
5 6,000 patients, and it spanned 14 phase 2b and
6 phase 3 studies. The patients were ranging across
7 stages of disease from treatment-naïve to
8 treatment-experienced patients with several years-
9 long, decade-long disease. It was designed to
10 thoroughly describe the effects of a novel,
11 therapeutic class with a unique mode of action.

12 The dapagliflozin phase 3 program, to the
13 left, are six placebo-controlled trials that
14 evaluated dapagliflozin across the spectrum of
15 disease, from drug-naïve patients with newly onset
16 disease in the monotherapy studies to those with
17 inadequate glycemic control on a background of
18 various anti-diabetic treatment, including insulin
19 therapy.

20 In the middle are three trials using an
21 active comparator. These trials included a head-
22 to-head study of dapagliflozin versus SU in

1 patients who were inadequately controlled on
2 metformin. Two studies evaluated the initial
3 combination of dapagliflozin together with
4 metformin in treatment-naive patients who had poor
5 glycemic control. And it compared the combination
6 to the single treatment arms respectively. One of
7 these studies included a direct comparison of dapa
8 versus metformin, a single-agent treatment.

9 To the right are two specialty studies, one
10 for evaluation of body weight and body composition,
11 and the other, a specifically-designed study
12 conducted in type II diabetic patients with
13 moderate renal impairment.

14 I will now hand over to Dr. Parikh for
15 presentation of dapa efficacy in phase 3. And when
16 we have completed our presentation, I will return
17 to the podium to moderate the question-and-answer
18 session. Thank you.

19 **Sponsor Presentation - Shamik Parikh**

20 DR. PARIKH: Thank you, Dr. Svanberg.

21 Good morning. The short-term and long-term
22 data from our phase 3 studies illustrate

1 dapagliflozin's consistent and sustained efficacy
2 in a broad range of patients with type II diabetes,
3 irrespective of their background regimens.

4 Starting with trial design, our phase 3
5 studies were designed in a similar pattern with
6 enrollment period followed, in most studies, by
7 leading or a dose-optimization period prior to
8 subject randomization.

9 The primary endpoint was evaluated at the
10 end of a short-term treatment period of 24 weeks in
11 all trials, with the exception of the head-to-head
12 study versus sulfonylurea, where it was evaluated
13 at one year. Eight of the 11 phase 3 studies had
14 long-term extensions that were site- and subject-
15 blinded, and ranged for an additional six months to
16 three years.

17 Patients with type II diabetes and A1c
18 ranging from 6.5 to 12 percent were enrolled in
19 these studies, with the most common range allowed
20 being 7 to 10 percent. Renal function criteria
21 were influenced by the metformin label because
22 metformin was used as a background regimen or as a

1 glycemic rescue medication in these trials.

2 The program allowed for inclusion of
3 patients with a past history of urinary tract and
4 genital tract infections, but excluded patients who
5 are considered at risk of dehydration by the
6 investigator.

7 With regards to data analysis, analysis of
8 covariance, excluding data after glycemic rescue,
9 was used to assess the primary and all-continuous
10 secondary endpoints. Last observation carried
11 forward, or LOCF, approach was used when
12 measurements were not available. Sensitivity
13 analyses was conducted to support the conclusions
14 of the primary analysis. For efficacy assessments
15 in the long-term extension period, repeated
16 measures, mixed-model analysis was conducted using
17 observed cases without LOCF.

18 In phase 3, we evaluated short-term efficacy
19 with changes in A1c, fasting plus more glucose and
20 post-prandial glucose in placebo-controlled studies
21 at the 24-week time point. Due to caloric loss
22 associated with glucosuria, we evaluated change in

1 body weight as a secondary endpoint. We performed
2 active comparisons of dapagliflozin with commonly-
3 used oral anti-diabetic agents such as metformin
4 and glipizide.

5 We performed subgroup analysis of full data
6 to better understand the effects of different
7 baseline and disease characteristics on the A1c
8 lowering of dapagliflozin. And we conducted long-
9 term extensions to evaluate dapagliflozin's safety
10 and durability of efficacy.

11 In our phase 3 program, short-term efficacy
12 was evaluated in the six placebo-controlled trials,
13 consisting of two monotherapy and the four add-on
14 studies on a background of different anti-diabetic
15 agents. Each of these six trials was designed with
16 the primary objective of assessing A1c reduction
17 for dapa versus placebo at week 24. All six trials
18 met their primary endpoint.

19 Across these six individual studies, there
20 were consistent reductions in A1c with dapa
21 treatment. Mean baseline A1c ranged from
22 7.9 percent in the low dose monotherapy study on

1 the left to 8.5 percent on the add-onto-insulin
2 study towards the right. The 10-milligram dose,
3 represented by the yellow bars, were studied in
4 every trial, with the exception of the low dose
5 monotherapy study.

6 Three results are worth noting here. First,
7 dapagliflozin therapy led to a consistent reduction
8 in A1c in these six studies, irrespective of the
9 duration of diabetes or background therapy.

10 Second, there was a dose-dependent reduction in
11 A1c. The higher dose had a numerically better A1c
12 reduction than the lower dose in each of the six
13 studies.

14 Five of the studies evaluated the top two
15 doses, represented by the 5 milligrams shown in
16 green and the 10 milligrams shown in yellow, in a
17 parallel fashion. In each of these five studies,
18 the numerical A1c reduction was better at the
19 10-milligram dose than the 5-milligram dose.

20 The third point is about the magnitude of
21 A1c reduction. With the 10-milligram dose, there
22 was a statistically significant placebo-corrected

1 A1c reduction of 0.5 to 0.7 percent across the
2 studies. Overall, dapagliflozin had a consistent
3 and a dose-dependent effect with clinically
4 relevant A1c reductions at the 10-milligram dose in
5 a wide range of patients with type II diabetes.

6 Similar benefits were observed for fasting
7 plasma glucose. Change in fasting plasma glucose,
8 or FPG, was the secondary endpoint in these
9 studies. As for A1c, there was a consistent and
10 dose-dependent response for fasting plasma glucose.
11 FPG change, with the lower dose of 2.5 milligrams,
12 was not statistically significant in two studies.
13 At the top two doses, fasting plasma glucose
14 reductions were statistically significant compared
15 to placebo and numerically better at the
16 10-milligram than the 5-milligram dose.

17 In addition to fasting plasma glucose, post-
18 prandial glucose, or PPG, was also reduced with
19 dapagliflozin. Change in post-prandial glucose was
20 evaluated as a secondary endpoint in three studies,
21 as a mixed-meal tolerance test in the low dose
22 monotherapy study on the left and as an oral

1 glucose tolerance test in the two studies on the
2 right.

3 Dapa therapy reduced 2-hour post-prandial
4 glucose levels in all three studies. The magnitude
5 of post-prandial glucose lowering was greater than
6 that seen with fasting plasma glucose lowering.
7 Given dapa's mechanism of action, leading to
8 caloric loss by glucosuria, a decrease in body
9 weight was observed in our clinical studies.

10 Change in body weight was a secondary
11 endpoint in these trials. Over 90 percent of the
12 patients were overweight at baseline. A reduction
13 in body weight was observed with dapa treatment in
14 all clinical trials. In the pioglitazone add-on
15 studies, shown towards the right, dapa treatment
16 mitigated the weight gain that is associated with
17 biotherapy. Across the studies, dapagliflozin
18 treatment led to a placebo-corrected weight change
19 of 1 to 2 kilograms, or 2 to 4 pounds, over 24
20 weeks.

21 In order to better characterize this weight
22 loss effect, particularly the contribution of fat

1 loss to fluid loss, we conducted a dedicated
2 phase 3 study to evaluate changes in weight and
3 body composition that showed that weight loss was
4 primarily due to fat loss.

5 In this study, patients inadequately
6 controlled on stable metformin therapy were
7 randomized to dapagliflozin 10 milligrams or
8 placebo. The primary endpoint was change in body
9 weight at week 24.

10 As illustrated by the yellow line in the
11 graph, dapa, 10 milligrams per day, led to a
12 gradual reduction in body weight of 2.96 kilograms
13 from baseline that had not plateaued by week 24.
14 The difference of 2.1 kilograms between
15 dapagliflozin and placebo groups was statistically
16 significant.

17 Along with changes in weight, we assessed
18 changes in body composition with whole body dual
19 x-ray absorptiometry scans. These dexta scans
20 evaluated changes in fat mass and lean mass at
21 baseline and week 24.

22 There was a statistically significant

1 decrease in fat mass with dapa group compared to
2 placebo. Two-thirds of the weight loss in the dapa
3 group, shown in red, was due to fat loss. The
4 remaining one-third, shown in green, was due to
5 lean mass that consisted of the non-fat, non-bone
6 mass, including the fluid compartment.

7 In contrast, the placebo group demonstrated
8 similar changes in fat mass and lean mass. In
9 addition, visceral adipose tissue volume was also
10 examined, using MRI abdomen, in a subset of
11 patients and was decreased with dapa treatment.

12 The results from this study show that weight
13 loss observed with dapagliflozin is primarily
14 attributable to a reduction in body fat mass.

15 The benefits observed with dapa treatment in
16 placebo-controlled studies were replicated in
17 studies with active comparisons. The metformin
18 combination and comparison trial recruited drug-
19 naive patients with poorly controlled diabetes.

20 The mean A1c was just over 9 percent,
21 indicating that some of these patients already had
22 glucosuria at baseline. These patients were

1 randomized into one of three treatment groups: the
2 initial combination group that received metformin
3 XR, 2000 milligrams, and dapa, 10 milligrams, shown
4 in the yellow dashed line; the met XR monotherapy
5 group, shown in red; or the dapa, 10 milligrams,
6 monotherapy, shown in yellow.

7 There were two comparisons made. In the
8 first comparison, the combination of dapa with met
9 XR in the dashed line was compared to the two
10 monotherapies. The combination therapy reduced
11 mean Alc by approximately 2 percent from baseline.
12 That was significantly better compared to each
13 individual monotherapy.

14 The second comparison was a prespecified
15 test for non-inferiority between the two
16 monotherapies, between dapa 10 milligrams and
17 met XR 2,000 milligrams at week 24. Dapagliflozin
18 was non-inferior to metformin, with Alc reductions
19 of 1.45 and 1.44 percent, respectively. Also, in
20 the same study, dapa was superior to metformin in
21 reducing fasting plasma glucose and body weight.

22 We also compared dapagliflozin with the

1 sulfonylurea agent, glipizide, in a head-to-head
2 study, on a background of stable metformin therapy.
3 This non-inferiority study was designed and
4 conducted differently than other phase 3 trials.
5 Let me explain these differences before showing the
6 data.

7 The primary objective was to compare changes
8 in A1c at the 52-week time point. This was done
9 because the study consisted of two periods, an
10 18-week titration period followed by a 34-week
11 maintenance period.

12 Dapa and glipizide were both titrated up for
13 the first 18 weeks to the highest tolerated dose
14 level, up to 10 milligrams for dapa and up to
15 20 milligrams for glipizide, to achieve a fasting
16 plasma glucose of less than or equal to
17 110 milligrams per deciliter. At the end of the
18 18-week titration, A1c lowering with dapa, shown in
19 yellow, was less pronounced compared to glipizide,
20 shown in blue.

21 The titration period was followed by the
22 maintenance period when no further titrations were

1 allowed, except for any down titrations due to
2 hypoglycemia. During the maintenance period, the
3 maximum A1c lowering, achieved at week 26 in the
4 dapa group, was maintained until week 52.

5 In contrast, there was a rating of A1c
6 reduction with glipizide after the titration
7 period, a pattern that has also been observed in
8 other studies with sulfonylurea agents. At the end
9 of 52 weeks, both treatments had identical A1c
10 reduction of .52 percent that met the non-
11 inferiority criteria. An additional three-year
12 extension of this trial is currently ongoing that
13 would help us follow the trajectory of these A1c
14 reductions beyond one year.

15 The increased efficacy noted with glipizide
16 during the initial part of this study was also
17 associated with an increased risk of hypoglycemia.
18 By week 52, 41 percent of patients in the glipizide
19 group had at least one episode of hypoglycemia,
20 compared to 3.5 percent of patients in the dapa
21 group. Over 90 percent of these patients with
22 hypoglycemia had come from hypoglycemia with a

1 glucose level of less than 63 milligrams per
2 deciliter.

3 Reductions in body weight observed in the
4 placebo-controlled studies were also replicated in
5 this active comparison study of a 52-week duration.
6 Dapagliflozin led to weight loss, whereas glipizide
7 led to weight gain, with a statistically
8 significant difference of 4.6 kilograms between the
9 two treatments. Proportion of patients with
10 greater than or equal to 5 percent weight loss was
11 considerably higher for dapa compared to glipizide.
12 At week 52, one-third of all dapa-treated patients
13 had a weight loss of greater or equal to 5 percent,
14 compared to 2.5 percent of patients in the
15 glipizide group.

16 In addition to analyzing data from
17 individual trials, we performed subgroup analyses
18 on the 24-week pool data from nine phase 3 studies.
19 The only studies not represented in this pool were
20 the head-to-head comparison to sulfonylurea because
21 there was no problem comparison and the renal
22 impairment study, because it was conducted in a

1 special population.

2 These subgroup analyses were done to assess
3 whether dapa's A1c lowering was modified by any
4 patient characteristics and baseline variables.
5 Within our dataset, no difference in efficacy was
6 detected with respect to gender, race, ethnicity,
7 region, baseline body mass index, or duration of
8 diabetes.

9 Interactions were detected for three
10 variables: baseline hemoglobin A1c, baseline
11 estimated glomerular filtration rate, or eGFR, and
12 age. As expected, based on dapa's mechanism of
13 action and as observed for other oral anti-diabetic
14 agents, patients with higher baseline A1c values
15 had greater mean reductions in A1c. Also, based on
16 dapa's mechanism of action being dependent on renal
17 function, patients with higher baseline eGFR values
18 had greater mean reduction in A1c.

19 Efficacy was reduced but present in those
20 patients with lower estimated eGFR between 30 and
21 less than 60. Subgroup analyses by age suggested
22 that a reduction in A1c lowering may be present in

1 older patients. However, since older age is
2 associated with declining renal function, a
3 preplanned analysis of age, controlling for degree
4 of renal function, was conducted.

5 The results of this test showed that after
6 controlling for changes in estimated GFR, there was
7 no conclusive evidence to suggest that age is an
8 independent factor affecting the efficacy of
9 dapagliflozin.

10 Dapa's target organ is in the kidney, and
11 its mechanism of action is dependent on renal
12 function. In order to better assess safety and
13 efficacy of dapagliflozin in type II diabetes
14 patients with moderate renal impairment, a
15 dedicated study was conducted in patients with
16 eGFR, 30 to less than 60. The primary endpoint was
17 changed in A1c at week 24. Dapa did not lead to a
18 decrease in A1c in this study.

19 These results were somewhat discrepant with
20 the results of the pool subgroup analysis just
21 shown, where there was evidence of modest efficacy
22 in patients with eGFR, 30 to 60.

1 To further investigate this discrepancy, we
2 conducted a post hoc analysis in the two subsets of
3 the dedicated study, those with 3B chronic kidney
4 disease, defined as eGFR 30 to less than 45, and
5 those with 3A chronic kidney disease, with eGFR 45
6 to less than 60.

7 In both subsets, the 95 percent confidence
8 interval for the placebo-corrected A1c difference
9 overlapped zero. However, the point estimates were
10 observed to be different, plus .07 in those with
11 lower mean eGFR, below 45, and minus .33 for those
12 with eGFR, 45 to less than 60, suggesting that the
13 lack of efficacy in this trial was driven by
14 patients with eGFR less than 45.

15 Consistent with this hypothesis, when we
16 evaluated A1c results in patients with eGFR of 45
17 to less than 60 from another source, the nine-study
18 pool, efficacy was similar and the 95 percent
19 confidence interval excluded zero.

20 The totality of data from our pooled
21 analysis, as well as the post hoc analysis in
22 patients with moderate renal impairment,

1 demonstrates that efficacy, while reduced in
2 magnitude, is present in patients with eGFR 45 to
3 less than 60.

4 Efficacy is absent in those with eGFR of
5 less than 45. That corresponds roughly to a
6 creatinine clearance of 60 ml per minute, the
7 sponsor-proposed cutoff for excluding patients in
8 the dapa label.

9 For a drug with the novel mechanism of
10 action being evaluated for chronic disease, it is
11 important to ascertain safety and efficacy over a
12 long-term treatment period. The end-use submission
13 included data of up to two years' duration. A
14 measure of long-term efficacy is the proportion of
15 patients achieving glycemic targets over time.

16 This graph shows the proportion of patients
17 at goal with an A1c of less than 7 percent over 102
18 weeks in the add-on to metformin study. For this
19 endpoint, patients who were rescued, discontinued
20 for any reason, or missing at the time of the
21 visit, are counted as treatment failures.
22 Consequently, no data imputed using LOCF and all

1 patients are included in the analysis at each time
2 point. At week 24, 38 percent of patients treated
3 with dapagliflozin, 10 milligrams, were at goal,
4 corresponding to a 14 percent increase over
5 placebo.

6 At week 1 or 2, 31 percent of patients
7 treated with dapa were at goal, corresponding to a
8 16 percent increase over placebo. Therefore,
9 compared to placebo, proportion of patients to goal
10 were maintained through week 102 at the dapa 10-
11 milligram dose.

12 Results from the extension period of the
13 add-on to-insulin study also support the
14 maintenance of A1c lowering. A1c reduction in the
15 dapa groups versus placebo, observed at week 24,
16 was maintained through the 48-week treatment
17 period. In this study, the mean baseline insulin
18 dose was 77 units per day. Increases in insulin
19 doses were only allowed if patients exhibited poor
20 glycemic control, based on predefined glycemic
21 criteria.

22 Dapa treatment mitigated the need for an

1 increased insulin requirement over time in this
2 study. Illustrated here are the changes to mean
3 daily insulin dose. The flat lines in the graph
4 indicate that mean baseline insulin doses were
5 maintained in dapa-treated patients over a 48-week
6 treatment period, compared to a gradual but steady
7 increase in insulin requirement in the placebo
8 group.

9 Taken together, the data from insulin
10 studies suggest that dapagliflozin treatment helps
11 maintain longer glycemic control while mitigating
12 the need for further insulin requirement in
13 patients with long-standing diabetes, poorly
14 controlled on insulin therapy.

15 Results from our phase 3 program indicate
16 that treatment with dapagliflozin leads to
17 consistent reductions in A1c, fasting plasma
18 glucose, and post-prandial glucose in a broad range
19 of patients with type II diabetes, from drug-naive
20 patients to those with long-standing disease,
21 irrespective of their background therapy.

22 Of the three doses extensively studied in

1 phase 3, the recommended daily dose of
2 10 milligrams was most effective. The 10-milligram
3 dose had numerically greater reductions in glycemic
4 parameters than 5 milligrams. Also, A1c reduction
5 with 10 milligrams is comparable to the commonly
6 prescribed oral anti-diabetic agents such as
7 metformin XR and glipizide.

8 In addition to glycemic efficacy, the
9 glycosuric effect of dapagliflozin leads to weight
10 loss that is primarily fat loss. In patients
11 inadequately controlled on insulin therapy,
12 dapagliflozin treatment leads to better glycemic
13 control while mitigating the need for further
14 insulin requirement.

15 A1c reduction is consistent across different
16 subgroups of patients, but is influenced by two
17 factors, baseline A1c and baseline renal function.
18 The beneficial effects of dapagliflozin are
19 sustained over the duration of the treatment.
20 Thank you.

21 I would now like to invite Dr. Jim List to
22 present the safety of dapagliflozin. Dr. List?

Sponsor Presentation - Jim List

DR. LIST: Thank you, Dr. Parikh.

Good morning. The safety profile of dapa is established through an extensive non-clinical program and a large clinical trial program. The non-clinical program did not identify safety concerns even at high exposure multiples, with no adverse effect levels in chronic toxicity studies of up to 12 months' duration in rats at 300 times the human exposure, in mice at 600 times the human exposure, and in dogs at 3,000 times the human exposure level at the 10-milligram dose. In the clinical program, safety was characterized by pooling data across studies.

There were 14 phase 2b and 3 studies in the dapa NDA file. Green bars represent completed studies. Orange bars represent studies with ongoing long-term phases at the time of filing. The studies in orange have variability in long-term exposure because of their ongoing nature, but all have completed short-term phases. The most complete and best-controlled dataset for safety

1 analysis is composed of the short-term phases of 12
2 placebo-controlled studies, outlined in green.

3 This short-term placebo-controlled pool
4 excludes long-term phases to avoid confounding by
5 dropouts and rescue medications. It excludes the
6 active comparator study versus sulfonylurea to
7 allow for a clean placebo comparison. And it
8 excludes the study on moderate renal impairment,
9 which looks at a different population than the
10 overall phase 3 program, and includes patients for
11 whom dapagliflozin is not recommended.

12 To look for safety signals arising from
13 longer exposure, we use a pool composed of data
14 from the five studies in the placebo-controlled
15 pool that had long-term data at the time of filing.

16 Finally, to characterize rare events, the
17 totality of available data from all 14 studies is
18 pooled in an all phase 2b/3 pool. In the dapagliflozin NDA
19 column, a total of 4,287 patients were treated at a
20 dose of 2.5 milligrams or higher, with over 2,000
21 treated for one year, 1,300 for 18 months, and over
22 400 for two years. The long-term exposures were

1 even larger at the four-month safety update, with
2 over 900 patients exposed for at least two years.
3 Of the more than 4,000 patients receiving dapa,
4 roughly half received 10 milligrams, the proposed
5 usual clinical dose.

6 Demographic and baseline characteristics
7 were balanced between dapa and control, and are
8 typical for phase 3 clinical trial diabetes
9 populations. The bottom parameter, duration of
10 type II diabetes, varies by study from around two
11 years in the monotherapy studies to well over
12 10 years in the add-onto-insulin setting.

13 The frequency of adverse events was similar
14 between dapa and placebo. In the first row, the
15 percentage of patients having at least one adverse
16 event was 61.5 percent for dapa, 10 milligrams, and
17 56.9 percent for placebo. Serious adverse events
18 were reported for 3.5 percent of patients receiving
19 dapa, 10 milligrams, and 3.3 percent of patients on
20 placebo. Adverse events leading to discontinuation
21 from study medication occurred in 3.2 percent of
22 patients on dapa, 10 milligrams, and 2.5 percent of

1 patients on placebo. Deaths were rare in the
2 program, occurring in 0.5 percent of patients
3 receiving dapagliflozin and also 0.5 percent of
4 patients on control.

5 Safety topics being presented are shown
6 here. First, we will present data on topics of
7 interest because of the mechanism of action,
8 hypoglycemia, urogenital infections, blood pressure
9 changes, renal function, laboratory data, and bone
10 health. After mechanism-related topics, we will
11 present unexpected safety findings related to
12 malignancies and to hepatic safety. Finally, we
13 will present the findings of a cardiovascular meta-
14 analysis.

15 Hypoglycemia is a concern with any glucose-
16 lowering drug. As expected, higher rates of
17 hypoglycemia were observed when dapa was studied in
18 combination with sulfonylurea or with insulin,
19 shown in the plus SU and plus insulin rows at the
20 bottom.

21 In monotherapy, or in combination with
22 metformin, or with pioglitazone, the proportions of

1 subjects experiencing hypoglycemia was low and
2 similar to placebo. Thus, while dapa appears to
3 have a low intrinsic propensity to cause
4 hypoglycemia, it can enhance the hypoglycemic
5 tendencies of other agents.

6 Urinary tract infections and genital
7 infections are common in patients with diabetes,
8 with urinary glucose thought to be a risk factor
9 for these. We have performed broad analyses of
10 adverse events that are suggestive of these
11 infections, as shown in the FDA briefing book.
12 We've also performed more specific analyses of
13 adverse events that are diagnoses of these
14 infections, which are shown in the sponsor briefing
15 book and in the current presentation.

16 In general, the two types of analyses are
17 concordant. Diagnoses of urinary tract infection
18 were more common with dapa than placebo. At the
19 top of this table, UTIs were seen in 4.3 percent of
20 patients on the 10-milligram dose and 3.7 percent
21 of patients on placebo. A similar increase in
22 these infections was seen at the 5-milligram dose.

1 The middle of the table shows the experience
2 in female patients, where, again, the 10-milligram
3 dose had a higher UTI rate of 7.7 percent and
4 placebo at 6.6 percent. At the bottom is the
5 experience in male patients, where the frequency of
6 these infections was lower.

7 These infections were generally graded as
8 mild to moderate and responded to an initial course
9 of therapy without interrupting dapagliflozin treatment.
10 There was no increasing in severe urinary tract
11 infections. In the entire clinical program, there
12 were three cases of pyelonephritis on dapagliflozin and 4
13 cases on control. Vulvovaginitis and balanitis
14 were also more common in patients treated with dapagliflozin
15 than control.

16 The percentage of patients with these
17 diagnoses was 4.8 percent at 10 milligrams versus
18 0.9 percent for control. A similar increase in
19 these infections was seen at the 5-milligram dose.
20 For female patients, the rates were 6.9 percent for
21 10 milligrams versus 1.5 percent for control. And
22 for male patients, it was 2.7 percent for

1 10 milligrams and 0.3 percent for placebo. These
2 infections were also graded, generally, as mild to
3 moderate and usually responded to an initial course
4 of therapy without interrupting dapa treatment.

5 Dapa has a mild diuretic effect, through
6 inhibiting sodium and glucose reabsorption in the
7 proximal tubule. Dapa increases urinary volume at
8 the 10-milligram dose by about 375 milliliters per
9 day or the equivalent of about one extra void per
10 day. Along with this diuretic effect, there tends
11 to be a decrease in blood pressure in patients
12 treated with dapa.

13 In the top graphs, systolic blood pressure
14 decreases by week 1 in the dapa groups and remains,
15 on average, lower than placebo. In the bottom
16 graph, diastolic blood pressure follows a similar
17 pattern. The placebo-subtracted decrease in blood
18 pressure for the 10-milligram dose at week 24 was
19 3.5 millimeters mercury, systolic, and 1.6
20 millimeters mercury, diastolic. Consistent with
21 the modest nature of this blood pressure effect,
22 postural blood pressure measurements, which were

1 taken at every study, showed no increase in
2 orthostatic hypotension with dapagliflozin.

3 Adverse events potentially caused by
4 overdiuresis, that is, events of hypotension,
5 hypovolemia, or dehydration, were uncommon. There
6 were more of these events in the dapa groups than
7 placebo at 0.8 percent on dapa, 10 milligrams,
8 versus 0.4 percent for placebo. These events
9 generally did not result in hospitalization or in
10 discontinuation of dapa therapy.

11 Renal function with dapa therapy was stable.
12 In the overall population as well as in patients
13 with stage 3a chronic kidney disease, in the first
14 week of therapy, there is a clinically
15 insignificant increase in serum creatinine, an
16 increase of 0.03 milligrams per deciliter at the
17 10-milligram dose, representing a small decrease in
18 estimated GFR. This is hypothesized to represent
19 kidney auto-regulatory mechanisms associated with
20 proximal tubular diuresis. Subsequently, there is
21 a gradual return to baseline in serum creatinine,
22 and estimated GFR, and stability for up to two

1 years of follow-up.

2 In the dedicated study in moderate renal
3 impairment, which included both stage 3a and stage
4 3b chronic kidney disease, the pattern was
5 different, with the same initial decrease in
6 estimated GFR being followed by stability without
7 the return to baseline.

8 On an individual patient level, the
9 proportion of patients with outlying values for
10 increases in serum creatinine was similar to
11 control. Renal adverse events, both overall as
12 well as serious adverse events, were also balanced
13 with control. There were no events in patients
14 receiving dapa of acute tubular necrosis or acute
15 nephritis, suggestive of toxic or allergic
16 nephropathy, and no patient experienced end-stage
17 renal disease in the program.

18 Extreme hypoglycemia in the setting of
19 uncontrolled diabetes can overwhelm the kidney's
20 transport capacity and lead to glucosuria,
21 accompanied by significant electrolyte losses. In
22 contrast, the controlled pharmacological glucosuria

1 from SGLT2 inhibition with dapagliflozin does not
2 lead to alterations in the major serum electrolytes
3 sodium, potassium, chloride, or bicarbonate.

4 Dapa promotes uric acid excretion, leading
5 to a decrease in serum uric acid. Dapa is also
6 associated with an increase in hematocrit,
7 occurring over the first 12 to 16 weeks of therapy,
8 and then remaining stable with a 2.15 percent
9 increase over baseline at the 10-milligram dose.
10 There was no increase in thromboembolic events
11 associated with this increase in hematocrit.

12 Bone health was investigated because of the
13 role of the proximal tubule in regulating calcium
14 and phosphate homeostasis. With dapa, there was no
15 effect on urinary calcium or on serum calcium
16 concentration. Mean magnesium phosphorus and
17 parathyroid hormone concentrations increased only
18 slightly, staying well within the normal range; 25
19 hydroxy vitamin D and 125 dihydroxy vitamin D did
20 not change with dapa.

21 Overall, there was no increase in bone
22 fracture risk with dapa therapy. In the non-

1 clinical program, there were no calcium or bone
2 effects in mice or in dogs. Rats, when exposed to
3 high doses of dapa in toxicology studies, had an
4 increase in trabecular bone thickness and strength.

5 In patients, there was no clinically or
6 statistically significant effect on bone mineral
7 density, measured at the lumbar spine, femoral
8 neck, and total hip after one year of therapy with
9 dapagliflozin. Consistent with the bone density
10 data in the overall clinical program, the
11 percentage of patients experiencing fractures on
12 dapa was similar to control at 1.3 percent for dapa
13 and also 1.3 percent for control.

14 The fracture experience was different,
15 however, in the dedicated study in patients with
16 moderate renal impairment. In this study, there
17 were 12 fractures in the two dapa study arms and no
18 fractures on placebo. Eight of the 12 fractures on
19 dapa in this study were in patients with stage 3b
20 chronic kidney disease. When we pool our placebo-
21 controlled data with patients with moderate renal
22 impairment and stage 3a chronic kidney disease,

1 that is, the patients in whom dapagliflozin shows some
2 efficacy, we see a similar proportion of patients
3 with fractures on dapagliflozin as on placebo.

4 Because we see no non-clinical sign for bone
5 fragility, no effect of dapagliflozin on bone mineral
6 density, and no increase in fractures with dapagliflozin in
7 the overall program, or in the patients with
8 moderate renal impairment, in whom dapagliflozin is
9 recommended, we conclude that dapagliflozin therapy does not
10 pose an increased risk of fracture. However,
11 because of the imbalance in fractures in the
12 dedicated study in moderate renal impairment, we do
13 plan to continue to monitor fracture data post-
14 approval, as Dr. Daniels will describe.

15 With completion of the phase 3 program,
16 safety concerns not related to the mechanism of
17 dapagliflozin have emerged. In the dapagliflozin clinical program,
18 while overall malignancies are balanced, there have
19 been more bladder and breast cancers in patients
20 taking dapagliflozin than control. There is little
21 biological plausibility for dapagliflozin playing a
22 causative role in these tumors. In non-clinical

1 testing, dapagliflozin has shown no potential to be
2 carcinogenic in humans. Dapagliflozin is highly selective
3 for SGLT2 with greater than 1400 fold selectivity
4 versus other members of the sodium glucose co-
5 transporter family.

6 Secondary pharmacology screens show no
7 significant off-target interactions with dapagliflozin or
8 its major metabolite at over 300 targets, including
9 androgen and estrogen receptors. Predictive
10 computational structure activity models raise no
11 alerts for dapagliflozin or its major metabolite, and there
12 are no reactive metabolites of dapagliflozin, the major
13 metabolite being a stable ether glucuronide.

14 Dapagliflozin is not genotoxic in Ames mutagenicity
15 or in vivo clastogenicity assays. And there's no
16 known linkage between the mechanism of action and
17 tumor risk, with SGLT2 expression being highly
18 selective for the kidney and not detected in human
19 breast or urinary bladder tissue.

20 Finally, in two-year rodent carcinogenicity
21 studies, dapagliflozin was not found to be carcinogenic.
22 There were no increases in tumors at exposures

1 105-fold higher in mice and 186-fold higher in rats
2 than the human exposure at the 10-milligram dose.

3 Of note, these models, with the study
4 designs employed, are able to identify known human
5 bladder and breast carcinogens. And there are no
6 agents that we are aware of with this clean of
7 preclinical profile that were subsequently found to
8 be carcinogenic in humans.

9 In addition, there were no hyperplastic
10 changes seen in breast or bladder tissue in these
11 animal studies, indicating that dapa is not only
12 not a carcinogen, but that it is also not acting as
13 a tumor promoter in these tissues. The overall
14 clinical data also show no evidence for
15 carcinogenicity. The overall incidence of tumors
16 that are either malignant or unspecified regarding
17 their malignancy was similar over time for dapa in
18 control.

19 Our most recent analysis of malignancies
20 takes into account data through May of this year.
21 It includes data beyond the filing in the four-
22 month safety update. As a result of using this

1 later time point, our analysis will reflect
2 additional events beyond those in the FDA briefing
3 book.

4 At the top is shown the overall malignancy
5 incidence rate difference in an analysis stratified
6 by study between dapagliflozin and control. Below
7 that are the incidence rate differences between
8 dapa and control by tumor origin. The bottom three
9 tumor types are gender-specific, with incidence
10 rates for these tumors calculated on a gender-
11 specific exposure basis.

12 Some types of malignancy were seen more
13 commonly on control, such as renal, respiratory
14 tract, or female reproductive cancers. Other types
15 were seen more commonly on dapa. Of these, the
16 largest numerical imbalances were in bladder and
17 breast malignancies.

18 A numerical imbalance was also seen in
19 prostate cancer. However, two of the cases of
20 prostate cancer on dapa were diagnosed within the
21 first week of therapy, and, therefore, cannot be
22 attributed to study drug, and a third case happened

1 within seven weeks. Excluding these cases,
2 prostate cancer is roughly balanced with control.

3 For bladder cancer, there were seven cases
4 on dapa and no cases on control. The 95-percent
5 confidence interval for the incidence rate
6 difference spanned zero; that is, the statistical
7 analysis does not rule out this imbalance being a
8 chance finding, nor does it rule out a role for the
9 drug.

10 Three additional cases of bladder cancer
11 have recently been reported in ongoing clinical
12 trials, two on dapa and one on control. This
13 brings the current total to nine cases on dapa and
14 one case on control. Taking the additional new
15 cases into account, the incidence rate difference
16 remains unchanged and the 95-percent confidence
17 interval continues to span zero.

18 For breast cancer, there were nine cases on
19 dapa and one case on control. Similar to bladder
20 cancer, the statistical analysis of breast cancer
21 neither rules out the imbalance being a chance
22 finding, nor rules out a role for the drug.

1 The cancer cases in dapa-treated patients
2 had clinical characteristics reflective of cancer
3 in the general population. All the bladder cancer
4 cases were in males and seven of the nine on dapa
5 occurred at or over the age of 60. Seven of the
6 nine on dapa were in current or former smokers.
7 The dose distribution is similar to that of the
8 overall program, where roughly half the patients
9 receive the 10-milligram dose.

10 Invasiveness grade and TNM classification
11 are shown. Some of these cancers could have
12 existed before entry into the dapa clinical trials.
13 The median time to diagnosis for the cases on dapa
14 was 393 days, a short time frame for human
15 carcinogenesis, with diagnosis happening as early
16 as 43 days after randomization. And all nine cases
17 on dapa were detected within two years of study
18 entry.

19 In addition, five of the nine patients with
20 bladder cancer on dapa, as well as the patient on
21 placebo, were found to have had microscopic
22 hematuria at baseline, which can be a sign of

1 preexisting bladder cancer. And two more developed
2 hematuria within six months of randomization.

3 Our analysis of baseline characteristics has
4 not revealed an imbalance in risk factors to
5 account for the numerical imbalance in cases.
6 We've also considered the hypothesis that
7 diagnostic bias could arise from the effects of
8 dapa on urine volume and on urinary tract infection
9 risk. Our analysis does not show a compelling link
10 between these effects of dapa and the cases of
11 bladder cancer identified in the program, though we
12 cannot completely exclude the possibility of such a
13 link.

14 The breast cancer cases in dapa-treated
15 patients also had clinical characteristics
16 reflective of cancer in the general population.
17 Seven of the nine cases on dapa were in females at
18 or over the age of 60. The dose distribution is
19 similar to that of the overall program. Tumor
20 type, grade, TNM classification, and estrogen
21 receptor status are shown.

22 Some of these cancers could have existed

1 before entry into the clinical trials. All nine
2 breast cancers on dapa were detected within one
3 year of randomization, a short time frame for human
4 carcinogenesis. And two of the dapa cases were
5 diagnosed within six weeks of starting therapy.

6 Our analysis of baseline characteristics has
7 not revealed an imbalance in risk factors for
8 breast cancer in the program. And though it has
9 been hypothesized by some that weight loss could
10 lead to easier detection of breast cancer, we have
11 not found conclusive evidence for such a diagnostic
12 bias leading to preferential identification of
13 breast cancer in patients receiving dapa.

14 In summary, dapa does not appear to play a
15 causal role in malignancy. Dapa is not a
16 carcinogen. There is no detected off-target
17 pharmacology, no reactive metabolites, no
18 genotoxicity, no target expression in breast or
19 urinary bladder tissue, and no signal of tumors or
20 hyperplasia in gold standard two-year rodent
21 carcinogenicity studies. Although there are
22 imbalances in bladder and breast malignancies, the

1 small numbers involved limit any statistical
2 inference of causality.

3 The cases of bladder and breast cancer have
4 characteristics that are reflective of cancer seen
5 in the general population. They occur in a short
6 time frame for human carcinogenesis, with some of
7 the cancers potentially having been present prior
8 to randomization. Although there is no compelling
9 evidence linking dapa with cancer, nevertheless, we
10 intend to continue to monitor closely the incidence
11 of bladder and breast cancers in patients treated
12 with dapa in ongoing and future clinical and
13 pharmacoepidemiology studies, as you will hear more
14 about from Dr. Daniels.

15 Dapa, with its kidney-specific mechanism of
16 action, does not show liver toxicity in non-
17 clinical testing. There have been no
18 histopathology findings indicative of liver injury
19 in any non-clinical species at up to a 5,000-fold
20 multiple of the human exposure at the 10-milligram
21 dose.

22 In the clinical program, there was no

1 meaningful effect of dapa on liver test values.
2 Shown are the mean values over time for dapa 2.5,
3 5, or 10 milligrams, or placebo with alanine
4 aminotransferase in the top left panel, aspartate
5 aminotransferase in the top right, total bilirubin
6 in the bottom left, and alkaline phosphatase in the
7 bottom right.

8 On an individual patient level, the
9 frequency of elevations of liver tests was balanced
10 between dapa and control. When we look at the
11 experience with up to two years of exposure, the
12 top rows show the frequency of elevations of ALT to
13 3, 5, 10, or 20 times the upper limit of normal.
14 At each of these thresholds, the frequency of dapa
15 elevations is balanced with control.

16 The middle shows approximately balanced
17 bilirubin elevations. The bottom two rows show
18 combined elevations of ALT or AST greater than
19 three times the upper limit of normal, with total
20 bilirubin greater than two times the upper limit of
21 normal, and of ALT or AST greater than three times
22 the upper limit of normal, with total bilirubin

1 greater than 1.5 times the upper limit of normal.

2 For both of these criteria, the percentage

3 of patients on dapa was similar to control.

4 Although we will not be discussing all of the cases

5 in detail today, the eight cases of elevated serum

6 ALT and bilirubin on dapa that are summarized in

7 the FDA briefing book are represented in the last

8 row.

9 An independent committee was established to

10 adjudicate, in a blinded fashion, the likelihood of

11 the relationship of hepatic events to study drug in

12 the program. Of note, there were no cases of

13 severe liver injury leading to death or

14 transplantation.

15 Thirty-five cases on dapa were adjudicated,

16 as were 17 cases on control, and 2 cases in ongoing

17 clinical trials, in which the treatment assignment

18 still blinded. No cases on dapa and two cases on

19 control were adjudicated as being probably related

20 to study drug, meaning a 50 to 74 percent

21 likelihood of a causal relationship. That this

22 high level of relationship to study drug was found

1 for these two patients, both of whom were receiving
2 placebo, highlights the difficulty of accurately
3 assigning causality. A possible relationship or 25
4 to 49 percent likelihood was found for nine cases,
5 or 0.2 percent, for dapa, and five cases, or 0.3
6 percent, for control. The remainder of the cases
7 were adjudicated, the study drug being unlikely or
8 excluded from a causal role.

9 Of the cases adjudicated as possibly related
10 to study drug, all have alternative explanations or
11 exculpatory features. One of the cases on dapa,
12 however, is of concern because the data are not
13 sufficient to distinguish the alternative
14 explanation, autoimmune hepatitis, from drug-
15 induced liver injury. This case is highlighted in
16 both the sponsor and the FDA briefing books.

17 The patient is a 78-year-old Indian male
18 living in the U.K. Past medical history includes
19 type II diabetes, coronary artery disease,
20 hypertension, dyslipidemia, and benign prostatic
21 hyperplasia. He was taking several concomitant
22 medications. Study medication was metformin,

1 2,000 milligrams, and dapa titrated from
2 2.5 milligrams to 5 milligrams.

3 At baseline, the patient had an elevated
4 ALT. On day 127, the ALT began rising above the
5 baseline. On day 192, as the liver test worsened,
6 dapa was discontinued. From day 196 to 200, the
7 patient was noted to have abdominal discomfort and
8 anorexia. ALT peaked at 1,858 units per liter,
9 more than 35 times the upper limit of normal, and
10 total bilirubin peaked at 4.2 milligrams per
11 deciliter.

12 The liver test then began to improve, with
13 ALT stabilizing between 10 and 15 times the upper
14 limit of normal. On days 263 and 264,
15 respectively, liver ultrasound and biopsy were
16 performed. The pathologist's differential
17 diagnosis of the biopsy specimen was viral agents,
18 drugs, or autoimmune hepatitis.

19 On day 349, immunosuppressive therapy was
20 started for presumptive autoimmune hepatitis. On
21 day 382, after 33 days of prednisolone therapy, the
22 patient's next set of liver tests showed

1 improvement. At this point, the prednisolone was
2 tapered and azathioprine was started. On day 621,
3 the patient, who was continuing on azathioprine,
4 was noted to be clinically very well, with liver
5 tests that were back to his baseline.

6 Laboratory data for the patient include
7 negative viral and autoimmune serologies, though
8 hepatitis C was only tested for at baseline.
9 Immunoglobulins were found to be elevated, and the
10 patient was found to be a compound heterozygote for
11 hemochromatosis, though with only mild cirrhosis on
12 his liver biopsy specimen.

13 The day 621 visit was the last visit before
14 the patient withdrew consent. The patient has
15 declined repeated attempts to get more information
16 regarding his testing and course. The information
17 we have shows a picture of a patient with a liver
18 injury that improved upon discontinuation of dapa
19 and returned to baseline with institution of
20 immunosuppressive therapy.

21 There are features compatible with, but not
22 diagnostic of, autoimmune hepatitis, including the

1 patient's pathology, elevated immunoglobulin
2 levels, and response to immunosuppression. These
3 do not specifically differentiate the case from
4 drug-induced liver injury, and there are features
5 that do not favor autoimmune hepatitis, including
6 the patient's age, and gender, and his negative
7 autoimmune serologies.

8 With the information we have, it is not
9 possible to assign a precise likelihood to the case
10 being drug induced. The FDA, in their briefing
11 book, assign a causality as probably related to
12 study drug. The independent blinded adjudication
13 committee adjudicated the case as possibly related
14 to study drug, but even there, each of the
15 adjudicator's assessments was different, with one
16 voting for unlikely, one for possible, and one for
17 a probable causal relationship.

18 Dapa, with its kidney-specific mechanism of
19 action, does not show liver toxicity in non-
20 clinical testing, with no mechanism for potential
21 to cause liver injury identified. The clinical
22 program shows no imbalance in liver test

1 elevations. And in the entire clinical program,
2 there were no cases of severe liver injury leading
3 to death or liver transplantation. There was,
4 however, one case of hepatitis of concern for its
5 potential relationship to dapag, and because of this
6 case, we plan to continue to assess liver safety
7 into the post-marketing environment.

8 Cardiovascular disease is the leading cause
9 of mortality in patients with diabetes, as you
10 heard from Dr. Buse. Accordingly, we performed a
11 cardiovascular meta-analysis to assess the impact
12 of dapagliflozin on cardiovascular risk. The
13 prespecified primary objective was to assess the
14 relative risk ratio for the primary composite
15 endpoint of cardiovascular death, myocardial
16 infarction, stroke, and hospitalization for
17 unstable angina. There was independent blinded
18 adjudication of all cardiovascular events, and the
19 statistical analysis plan was prespecified prior to
20 unblinding the adjudication results.

21 On the left, the hazard ratio for the
22 primary composite endpoint was 0.674, in favor of

1 dapa, with a 98-percent confidence interval, upper
2 bound of 1.178. In the table on the right, there
3 were 48 events in the dapa group and 30 events in
4 the control group contributing to the primary
5 endpoint. The most common event was myocardial
6 infarction. The annualized event rate was
7 1.1 percent for dapa and 1.6 percent for control.

8 Characterization of dapa's safety profile
9 suggests, consistent with its reliance on the
10 amount of glucose filtered in the kidney, dapa has
11 a low intrinsic propensity to cause hypoglycemia.
12 As anticipated, dapa-induced glucosuria leads to
13 slightly more urinary tract infections, and to more
14 vulva vaginitis, and balanitis.

15 The diuretic effect of dapa is associated
16 with a modest decrease in blood pressure. Renal
17 function remains stable over time with dapa
18 therapy. Laboratory evaluation shows no change in
19 serum electrolytes, a decrease in serum uric acid,
20 and an increase in hematocrit, with no increase in
21 thromboembolic events. Assessment of bone health
22 shows clinically insignificant increases in serum

1 phosphorus, magnesium, and parathyroid hormone, and
2 no effect of dapa on bone mineral density or on
3 fracture rate in patients for whom the drug is
4 recommended.

5 With completion of the phase 3 program, we
6 have identified imbalances in bladder and breast
7 malignancies. The weight of evidence does not
8 favor a causal role in these for dapa. Hepatic
9 data shows no non-clinical signal for liver
10 toxicity and no imbalance in patient liver test
11 abnormalities, but one case of hepatitis of concern
12 for its potential relationship to dapa.

13 Finally, a cardiovascular meta-analysis
14 demonstrates that dapa is not associated with an
15 unacceptable increase in cardiovascular risk.

16 Dr. Gavin will now describe the benefit-risk
17 assessment of dapagliflozin.

18 Dr. Gavin?

19 **Sponsor Presentation - James Gavin**

20 DR. GAVIN: Thank you, Dr. List.

21 Good morning, Chairman Thomas, members of
22 this committee, FDA official, ladies and gentlemen.

1 I'm Jim Gavin, CEO and chief medical officer of
2 Healing our Village, and clinical professor of
3 medicine at Emory and Indiana University Schools of
4 Medicine.

5 I am pleased to have the opportunity to
6 speak to you during these proceedings and provide
7 my views on the matter of the overall benefit-risk
8 characteristics of dapag and the implications for
9 patient care.

10 As a matter of disclosure, I have served as
11 a paid consultant for the sponsor for work on
12 diabetes-related therapies in the past and as a
13 member of its speakers bureau. I hold no stock or
14 other interests. I am a past president of the
15 American Diabetes Association and past national
16 chair of the National Diabetes Education program.

17 I begin by reiterating that diabetes is
18 truly the epidemic of our time. It is a disease
19 colossus among us, whose scope and impact are
20 continuing to outpace our ability to control it.
21 We see the evidence in the mounting prevalence
22 statistics and the growing burdens of

1 complications.

2 While certainly not the only important
3 metabolic contributor to the damaging effects of
4 diabetes, high glucose is a core problem. High
5 glucose is, indeed, how we make the diagnosis, and
6 following glucose levels is largely how we assess
7 our success at controlling this disease.

8 It has become clear that relatively mild
9 increases in glucose levels over time can
10 contribute to a variety of harmful effects to
11 vascular and other tissues. It is equally clear
12 that achievement and maintenance of normal or near-
13 normal glucose levels is one of the more difficult
14 aspects of diabetes management.

15 In fact, in major studies targeting multiple
16 risk-factor reduction in diabetes, reaching and
17 maintaining glucose targets has proven especially
18 problematic for clinicians and patients. This is
19 due, in my view, to multiple reasons, not the least
20 of which is the need for additional pharmacologic
21 tools capable of specifically addressing the core
22 problem of high blood glucose over the entire

1 natural history of the course of diabetes.

2 This becomes especially important as we
3 realize limitations of existing therapies and see
4 possible contraction of our treatment options, as
5 the need for combination approaches to treatment
6 become more apparent.

7 Thus, it is significant that dapag
8 specifically targets the high blood glucose of
9 diabetes and provides clinically meaningful
10 reductions in A1c, fasting, and post-prandial
11 glucose. These effects are produced independent of
12 the beta cell function and are consistent across
13 disease duration over the natural history of the
14 disease, dependent on adequacy of renal function
15 with reduced efficacy in moderate renal impairment.

16 By having a well-defined target for its
17 beneficial treatment effects, this agent provides
18 an opportunity for clinicians to have a relatively
19 straightforward conversation with patients
20 regarding the mechanism by which glucose lowering
21 is achieved. Patients would certainly welcome such
22 a conversation. The urgency to reduce glucose

1 levels in diabetes is matched by the need to
2 achieve this goal without further weight gain, so
3 the reduction in body weight observed with dapa
4 makes it a beneficial additional tool to our
5 treatment arsenal. Dapa-treated patients in the
6 phase 3 studies lost weight and were, indeed, one
7 belt notch smaller in their waist circumference, a
8 meaningful clinical benefit.

9 Given the increased importance of
10 cardiovascular risk reduction in diabetes, it is
11 clinically meaningful that a reduction in blood
12 pressure is seen with dapa use, perhaps
13 contributing to the robust 33 percent reduction in
14 composite cardiovascular endpoints, early
15 observations that warrant additional investigation
16 and clinical confirmation of this promising
17 benefit.

18 The core mechanism accounting for glucose
19 lowering with this drug provides some insights into
20 features of its safety profile. It has low
21 propensity to cause hypoglycemia. There is an
22 increase in urinary tract and specific genital

1 infections that appear responsive to the identical
2 courses of treatment used when these same
3 infections appear in the placebo group and
4 discontinuation of drug treatment was not required.
5 There was no increase in pyelonephritis. The
6 diuresis encountered was mild and did not result in
7 clinically significant effects on fluid, or
8 electrolyte balance, or renal function.

9 Now, in the new paradigm for type II
10 diabetes drug development, patient safety is well
11 served by the large phase 3 programs to
12 characterize efficacy and safety. There is no
13 signal to date of unacceptable cardiovascular risk.
14 The large trial size allows for rare events to be
15 detected, albeit without power for a full
16 assessment of any causal inferences. Thus, it is
17 important that vigilant, detailed post-marketing
18 assessments will be vigorously pursued.

19 These are important steps to assure clarity
20 regarding the particulars of potential clinical
21 risk in the face of what appears, in my view, to be
22 significant potential clinical benefit to patient

1 outcomes. For in dapa, we note an agent that
2 directly addresses the high glucose problem that
3 can be expected to contribute to reduced
4 retinopathy, neuropathy, and nephropathy, its
5 additional effects on reducing weight and blood
6 pressure may contribute to decreases in
7 cardiovascular events. The risk of hypoglycemia is
8 likely to be substantially reduced. A very broad
9 spectrum of patients can be targeted for treatment,
10 irrespective of remaining beta cell function or
11 degree of insulin resistance.

12 Such benefits are clinically highly
13 impactful in light of the burden posed by diabetes
14 but do not obviate the observed increase in
15 specific genital infections and urinary tract
16 infections, which are responsive to available
17 treatments, or the potential increased incidence
18 rate for breast and bladder neoplasms, findings
19 that must be further evaluated, similar to the
20 potential drug-induced hepatic events or the
21 potential increase in fractures in patients with
22 moderate renal impairment, which is an avoidable

1 potential complication by the application of
2 current standards of care.

3 I would posit that after all considerations
4 are weighed, we have a beneficial additional tool
5 being proposed that will help improve patient
6 outcomes. I will now yield the podium to Dr. Brian
7 Daniels to discuss the dapagliflozin label and post-approval
8 programs.

9 Dr. Daniels?

10 **Sponsor Presentation - Brian Daniels**

11 DR. DANIELS: Thank you, Dr. Gavin.

12 Good morning. I'm Brian Daniels, and I lead
13 Bristol-Myers Squibb development and medical
14 affairs organizations. Both Bristol-Myers Squibb
15 and AstraZeneca are committed to ensuring the safe
16 and appropriate use of dapagliflozin in patients with
17 type II diabetes. At this point in time in
18 development, we have established an advanced state
19 of knowledge of its clinical profile. Our
20 development program is, by our estimation, the
21 largest investigational diabetes medicine program
22 submitted for review, based on the number of

1 patients studied, their duration of exposure, and
2 the continuum of diabetes investigated.

3 Now, as expected, some uncertainties remain
4 about the profile of dapagliflozin. And this is
5 the paradigm for the development of innovative
6 medicines in diabetes. The end of phase 3 is just
7 one point in time in almost a 15-year journey of
8 benefit-risk assessment. For this reason, both the
9 industry and the agency have been focusing on
10 developing new pharmacovigilance and observational
11 tools to innovate and to continue to divine the
12 benefit-risk assessment post-approval to further
13 our understanding.

14 Dr. Gavin is a diabetologist who provided
15 his interpretation on the benefits and risks of
16 dapa for patients. As sponsors, we have attempted
17 to crystalize these points. The identified and
18 expected benefits of dapagliflozin are in the first
19 row, and the identified and precautionary risks in
20 the second. For identified benefits and risks, we
21 have provided estimates of the number needed to
22 treat or harm with dapagliflozin, compared to

1 control, based on our clinical trial experience.

2 As you see, many patients treated with dapa
3 experienced improvement in glycemic control without
4 an intrinsic concern for hypoglycemia. One
5 additional dapagliflozin patient reached the
6 hemoglobin A1c target of less than 7 percent for
7 every 7 treated, compared to control. And 1 in 8
8 patients treated with dapa experienced a 5 percent
9 decrease in their body weight compared to control,
10 and many will experience a reduction in their blood
11 pressure.

12 The potential benefits are viewed by the
13 sponsor as both scientifically plausible and
14 expected, based on both epidemiological data and
15 trials such as UKPDS. There is a potential for
16 fewer microvascular complications like retinopathy,
17 neuropathy, and renal failure because of the
18 established causal link between improved glycemic
19 control and prevention of these complications.

20 There is a potential reduction in MACE
21 events, predicated both on the CV meta-analysis
22 that you've just seen, as well as the identified

1 effects on improvements in glycemic control, weight
2 loss, and blood pressure. The definitive
3 demonstration, though, of these potential benefits
4 require large outcome trials, which the sponsor has
5 committed to perform.

6 The identified risks for dapa occur at a
7 lower incidence compared to identified benefits.
8 Thus, one additional genital tract infection will
9 occur for every 25 dapa-treated patients compared
10 to control. And for urinary tract infections and
11 volume depletion, these numbers are 1 in 125 and 1
12 every 400 dapa-treated patients, respectively.

13 The precautionary risks are considered
14 unlikely, based on the preclinical and clinical
15 investigations of dapa. These precautionary risks
16 are fracture in patients with moderate renal
17 impairment, breast and bladder cancer, and hepatic
18 injury.

19 These are the uncertainties that remain in
20 the clinical profile after our phase 3, and we
21 believe our pharmacovigilance,
22 pharmacoepidemiological, and randomized clinical

1 trial will continue to assess their incidence, with
2 the expectation of the discharge of these risks.

3 The safe and appropriate use of
4 dapagliflozin, of course, begins with the product
5 labeling of the known risks. Key elements of the
6 proposed product label are intended to both
7 minimize their occurrence and the impact identified
8 in the dapagliflozin program. And we recommended
9 the following measures in labeling.

10 Exclude patients with an estimated GFR of
11 less than 45, and to assess renal function at
12 initiation of dapagliflozin, and periodically.
13 This cutoff is based on our clinical interpretation
14 of the data. This exclusion, based on renal
15 function, is similar to one that is used for
16 metformin to avoid lactic acidosis. BMS is
17 experienced on the effective education of this
18 exclusion, from its introduction of Glucophage to
19 the United States in the 1990s.

20 Minimize the risk in patients' susceptible
21 volume depletion, such as patients on loop
22 diuretics, by using the 5-milligram dose, an

1 interruption of dapagliflozin dosing in patients
2 who develop volume depletion.

3 To reduce the potential for hypoglycemia
4 when dapagliflozin is used in combination with
5 insulin or insulin secretagogues, you should
6 consider reduction in the dose of insulin or those
7 insulin secretagogues.

8 To minimize the impact on patients with
9 pyelonephritis or urosepsis, you should consider
10 interrupting dosing during the periods of acute
11 infections.

12 A complementary set of pharmacovigilance
13 observational studies and large endpoint-driven
14 clinical studies will be used to continuously
15 update the benefit-risk profile of dapa in the
16 marketed space. A surveillance strategy, based on
17 the evaluation of these complementary data sources,
18 addresses the potential limitations associated with
19 any individual one and enables a comprehensive
20 assessment of the post-approval data.

21 For example, spontaneous reports are
22 typically most useful for very rare events.

1 Pharmacoepidemiological studies are complementary
2 to both spontaneous reports and clinical trials, by
3 enabling assessment of uncommon to very rare events
4 with long latencies in the real-world population.
5 Large randomized studies provide long-term
6 controlled experience, too, that avoids the
7 confounding by indication and enables evaluation of
8 very small relative risks in conjunction with
9 periodic monitoring by the data monitoring
10 committee.

11 Post-marketing pharmacovigilance practice
12 will include the evaluation of spontaneous reports
13 and review of data from ongoing clinical trials.
14 And these assessments of aggregated safety data
15 will occur on a monthly basis. In addition,
16 targeted questionnaires for serious urinary tract
17 infections, hepatic and renal events, and cancer
18 reports will be collected, a collection of detailed
19 data, to understand the timing, nature, risk
20 factors, and comorbidities for each patient. A
21 blinded adjudication committee will provide expert
22 review of both the cardiovascular and hepatic

1 events reported through our ongoing clinical
2 trials.

3 Second, a large pharmacoepidemiological
4 program will use observational data to compare
5 patients who are new users to dapagliflozin versus
6 new users of other anti-diabetic agents in the
7 real-world clinical setting. These studies will
8 use existing healthcare databases that include
9 patients both from the United States and from
10 Europe, and the studies aim to leverage the
11 experience of a very large number of patients to
12 provide estimates of the incidence and risks.

13 Our pharmacoepidemiological program is
14 currently designed to study events of severe
15 complications of urinary tract infections, hepatic
16 and renal injury, bone fractures, and cancer. The
17 program will provide for a continued assessment of
18 the safety profile of dapagliflozin in actual
19 clinical practice with reports starting
20 approximately one year after the availability of
21 dapagliflozin. These observational studies, which
22 will run for at least five years, will enable a

1 detection of a twofold increase in bladder cancer
2 within two to three years of the approval, based on
3 current estimations.

4 In addition, we plan a large randomized
5 controlled clinical outcomes study. It will enroll
6 patients with type II diabetes with the potential
7 follow-up from a median of four years. The trial's
8 primary hypothesis is a benefit in cardiovascular
9 MACE events in patients using dapagliflozin, with
10 respective adjudication events and prespecified
11 analyses. This hypothesis is supported, again, by
12 the CV meta-analysis of the current program as well
13 as the identified benefits on glycemic control,
14 weight loss, and blood pressure.

15 Additionally, this study provides a means
16 for a continued assessment of the safety profile of
17 dapagliflozin post-approval in a controlled trial
18 setting with long-term treatment and follow-up.
19 The sample size will reflect the objective of
20 providing both meaningful additional information
21 about the events of fracture, cancer, and liver
22 injury, as well as providing definitive information

1 about CV benefit. Thus, we commit to a series of
2 complementary activities for assessment of the
3 benefits and risks of dapagliflozin in the
4 immediate time frame with pharmacovigilance, in the
5 intermediate time frame with observational studies,
6 and long term with the clinical outcomes study.

7 In the cardiovascular and metabolic disease
8 area, both Bristol-Myers Squibb and AstraZeneca
9 have established a history of characterizing the
10 long-term benefits of agents such as pravastatin,
11 rosuvastatin, and clopidogrel.

12 Specifically for saxagliptin, our DPP-4
13 inhibitor, we have already enrolled over 10,000
14 patients of an expected 16,000 patients in the
15 SAVOR cardiovascular outcomes study, working with
16 the TIMI group in less than 30 months from
17 commercialization of saxagliptin.

18 We plan to continue this legacy with
19 dapagliflozin. We are excited about the
20 opportunities of its contribution to the
21 improvement and care of patients with type II
22 diabetes. Thank you and we look forward to

1 discussion.

2 Elizabeth?

3 **Clarifying Questions from the Committee**

4 DR. THOMAS: I'd like to thank the sponsor
5 for their presentations. We'll now take clarifying
6 questions from the committee. Please raise your
7 hand, and we'll recognize you. And while people
8 are raising their hands to ask questions, if we
9 could have Dr. McBryde just introduce himself for
10 the record.

11 DR. MCBRYDE: Good morning. My name is
12 Kevin McBryde. I'm a pediatric nephrologist and
13 currently project officer and program director at
14 the National Institute of Diabetes, Digestive and
15 Kidney Diseases.

16 DR. THOMAS: Dr. Veltri?

17 DR. VELTRI: Thank you, just a couple of
18 quick questions. You've characterized a lot of the
19 effects of the drug on hemodynamics. I was
20 specifically interested in knowing whether or
21 not -- regarding macrovascular risk, cardiovascular
22 risk, is there any data on lipids or

1 proinflammatory markers, obviously, in these
2 patients at risk for cardiovascular events,
3 specifically LDL, or lipoprotein analyses, or
4 hs-CRP, interleukin-6?

5 The second question is, in regards to
6 slide 54, where there seemed to be an impressive
7 early reduction in blood pressure, like at one
8 week, was there corresponding changes in heart rate
9 in those patients? You mentioned the thorough QT
10 syndrome. There wasn't any change in QT nor heart
11 rate, so just a clarifying question there.

12 DR. SVANBERG: Dr. List will address the
13 question. Dr. List?

14 DR. LIST: So to take your questions in
15 order, first, the proinflammatory markers, second,
16 the effects on lipids, and the third is effects on
17 heart rate.

18 Starting with proinflammatory markers, we
19 did look at hs-CRP, fibrinogen, and PI-1 (ph) in
20 two phase 3 studies. We have not looked at IL6.
21 There are no meaningful changes in any of these
22 markers. There's a little bit of downward trend

1 for hs-CRP, but we also see that in placebo. So it
2 doesn't look different from that.

3 With respect to lipids, if I may have slide
4 46-1, please, we see lipid changes as illustrated
5 here, with small increases in HDL and LDL
6 cholesterol. And when we look at the LDL to HDL
7 ratio, it goes down in all study groups, including
8 placebo.

9 With respect to heart rate, we did not see a
10 heart rate change with the changes that we saw in
11 blood pressure. So across the program, there was
12 no change in heart rate from baseline.

13 DR. THOMAS: Dr. Seely?

14 DR. SEELY: I had several GU-related
15 questions. First of all, you showed data on the
16 serum potassium. As we know, serum potassium is
17 not necessarily a good reflection of total body
18 potassium. So I wanted to know if you had done
19 24-hour urine determinations of potassium to
20 compare drug versus their interventions and whether
21 you had looked at 24-hour urine magnesium.

22 The other question I had was what formula

1 did you use for your eFGR [sic] in your studies?

2 Then my last question was, did you see, in
3 terms of the individuals getting the GU infections,
4 were they recurrent, ever, in the same individual?
5 Was there a time course that it was more likely at
6 a certain point in initiation of therapy than in
7 another point of therapy?

8 DR. SVANBERG: I will ask Dr. List to
9 address the question about electrolytes, as well as
10 the pattern of urinary tract infections. And as
11 Dr. List makes his way up here, I clarify that we
12 used the MDRD equation for estimated glomerular
13 filtration rate.

14 Dr. List?

15 DR. LIST: We measured urine electrolyte
16 excretions in 24-hour urines in the phase 2b
17 dose-ranging studies. So this was a study that
18 looked between 2.5 and 50 milligrams of
19 dapagliflozin and had about 50 patients per study
20 group. We did not see any change from baseline in
21 24-hour urinary potassium or magnesium in this
22 study.

1 With respect to the recurrence and timing of
2 genital urinary tract infections, there are two
3 things to note. One is, in our program, we allowed
4 patients in who had a history of recurrent genital
5 infections. These people had a higher rate of
6 genital infections, both on placebo as well as on
7 dapagliflozin. Overall, there was a higher rate of
8 genital infections on dapagliflozin and there were
9 more recurrences of genital infections, as you
10 would expect when you have this differential.

11 The timing, if I may have the Kaplan-Meier
12 plot for the genital infections, of the genital
13 infections, shown on slide 33-1, is such that most
14 of the infections that we saw in the program -- and
15 this is true for the urinary tract infections as
16 well -- were appreciated in the first six months of
17 therapy and then things start leveling off a little
18 bit.

19 DR. THOMAS: Dr. Brittain?

20 DR. SEELY: Can you tell what formula was
21 used for eFGR [sic] formula?

22 DR. SVANBERG: The eGFR formula was --

1 DR. SEELY: What formula was used for
2 eFGR [sic]?

3 DR. SVANBERG: The MDRD formula.

4 DR. SEELY: Thank you.

5 DR. BRITTAIN: Yes. I have two questions.
6 The first one is, what was the exact basis for
7 determining 45 as your cutoff for the GFR? I mean,
8 was it just that you saw that the results would
9 look better in the 45 to 60 versus the 30 to 45
10 subgroups, or was there more analysis involved in
11 that?

12 DR. SVANBERG: I will ask Dr. Parikh to
13 address the evaluation we did for the renal cutoff.
14 Dr. Parikh?

15 DR. PARIKH: So as we enrolled patients in
16 our phase 3 trials, we did not have an eGFR cutoff.
17 We used the metformin criteria to have the
18 patients. And about 87 percent of our patients had
19 an eGFR of more than 60, and we had 12 to
20 13 percent with an eGFR below 60. And most of
21 these patients were in the 45 to 60 category. They
22 were closer to 60 rather than closer to 30.

1 We saw, as we did the subgroup analysis,
2 that there is an effect of renal function and the
3 efficacy is lesser than what we saw that these
4 patients had, Alc reductions in the .35 percent
5 range.

6 We then looked at urine glucose excretions
7 in these patients, particularly in the moderate
8 renal impairment study, the subgroup 45 to 60. The
9 glucose excretion is 30 grams per gram of
10 creatinine per day, which is about 60 percent of
11 the glucose excretion that we see in other trials.

12 We looked at fasting plasma glucose
13 reductions. They were there, 25 milligrams per
14 deciliter compared to placebo. And there was a
15 weight change of 2 kilograms versus placebo. This
16 was done to make sure that there are effects on
17 these patients.

18 Regarding the cutoff, it was very clear from
19 our subgroup analysis that efficacy is reduced, but
20 we do have efficacy. When we did our moderate
21 renal impairment study, we actually enrolled
22 patients who were well divided between the 30 to 45

1 and 45 to 60 cutoff, and we saw these patients
2 behave differently with respect to these
3 parameters, including urine glucose estimation.

4 The cutoff of 45 separates 3a and 3b chronic
5 kidney disease. And when the MDRD equation is
6 applied to Cockcroft-Gault, it roughly equals under
7 60 ml per minute, which is what is for metformin
8 label when we were recruiting the studies and which
9 are the patients we got in our trials where there
10 was this modest efficacy.

11 DR. BRITTAIN: I had a second question.
12 Yes. My second question is more kind of a
13 technical question about a lot of the safety
14 analyses pool across studies. But except for the
15 cardiovascular studies, I don't believe they
16 stratify by study.

17 Is that correct?

18 DR. SVANBERG: Dr. List?

19 DR. LIST: Generally, that is correct. We
20 did not stratify by study for the majority of our
21 safety analyses. Where we did stratify by study
22 was for the cardiovascular analysis and for the

1 cancer analyses, the cancer by tumor type with the
2 incidence rate differences.

3 DR. THOMAS: Dr. Piantadosi?

4 DR. PIANTADOSI: Yes. With regard to the
5 malignancy rates, inside 65, you showed us the
6 incident rate differences.

7 Do you have a similar slide for rate ratios?

8 DR. SVANBERG: We have a slide for that, and
9 also we have a comparison on what the two different
10 methodologies would show. I'll ask Professor Wei
11 to address that question.

12 Professor Wei, please?

13 DR. WEI: L.J. Wei, professor of
14 biostatistics from Harvard. I'm a paid consultant
15 to the meeting. So the question is, we have the
16 results presented using risk of differences.
17 Dr. Piantadosi wants to know the corresponding
18 meta-analysis results using, for example, risk
19 ratio or incidence ratio.

20 So if I may have this slide up, please?

21 So this is a very interesting slide. To
22 illustrate the methodology, if you notice, on the

1 left-hand side, we have 19 studies, and this is
2 risk of difference. Every study, we can construct
3 95 percent confidence interval, even if there is no
4 event. For example, zero minus zero is still zero.

5 But as you know, Steve, we cannot have a
6 variance, but we can get the exact confidence
7 interval. But on the right-hand side, we use a
8 risk ratio or a coincidence ratio. You notice
9 about 10 studies, we couldn't even use it because
10 zero divided zero, we don't know how to define it.
11 So you can see the difference between the two
12 analyses.

13 So on the left-hand side, the meta-analysis
14 confidence interval is very tight, but if you use a
15 risk ratio, because you sacrifice 10 studies, the
16 confidence interval is still so big. So that's the
17 problem. For rare events, we don't like to use a
18 risk ratio.

19 DR. PIANTADOSI: Thank you.

20 DR. THOMAS: Dr. Gregg?

21 DR. GREGG: Yes. I had a question about the
22 efficacy. You showed some data indicating that

1 efficacy may decline with age, and you commented
2 that this may be explained by the chronic kidney
3 disease. But it wasn't really clear, to me, to
4 what extent that was the case, and is this a
5 separate group or is the declining efficacy with
6 age simply explained by renal function?

7 DR. SVANBERG: I'll ask Dr. Parikh to
8 address the question. Dr. Parikh?

9 DR. PARIKH: Yes. So once we had the
10 subgroup analysis done, and it showed that age
11 could be one of the factors that could affect the
12 efficacy of dapagliflozin, and we had anticipated
13 the relationship between age and renal function, we
14 had an analysis that was pre-planned that we did,
15 where we had each of the eGFR categories divided
16 into age below and above 65.

17 Can I have slide 25-15, please?

18 So this is an analysis which includes the
19 nine-study pool that is used for interaction
20 testing. It was the next step in our understanding
21 of any association with age. On the left side are
22 the three categories of eGFR. In each of these

1 categories, we have patients below and above
2 65 years.

3 We looked for a focus interaction test to
4 see if there were any differences between the two
5 age groups; was it because of random variability or
6 was there a systematic reason for that, after
7 explaining for eGFR. So in the right top-hand
8 corner, there is the subgroup interaction value,
9 which was .29. Our limit was .1 for any
10 significant interactions.

11 What we are saying is that we don't have
12 conclusive evidence to suggest that age, by itself,
13 is affecting efficacy if renal function is taken
14 into account. We also did exposure response
15 modeling that suggested and confirmed the findings
16 of subgroup analysis, that once gender, and renal
17 function, and these factors are taken into account,
18 age, by itself, was not an independent factor that
19 would affect the efficacy of dapagliflozin.

20 DR. THOMAS: Dr. Spruill?

21 DR. SPRUILL: I have a question about the
22 subgroups, particularly slide 35. I guess I need

1 some clarification on region. And is it correct to
2 say that this is a multi-country clinical trial?
3 And if so, what percentage came from U.S.?

4 DR. SVANBERG: The dapagliflozin program was
5 a global program and approximately 30 percent from
6 the program came from North America. Twenty-seven
7 percent came from the United States.

8 DR. SPRUILL: So out of this 27 percent from
9 the U.S., what percentage of that was
10 underrepresented minorities?

11 DR. SVANBERG: The African-American
12 population was 3 and a half percent of the overall
13 patient population. That corresponds to
14 9.5 percent of the patients recruited in the U.S.
15 The Asian patient population was around 3 percent
16 from the U.S. population. But the program was also
17 conducted in Asian countries, giving a total
18 proportion of about 10 percent in the program as a
19 whole.

20 DR. SPRUILL: So is it safe to say you're
21 comfortable saying, then, that the efficacy and the
22 safety of dapa in underrepresented minorities is

1 good, based on what the percentages are,
2 understanding that underrepresented minorities have
3 a higher burden of diabetes and complications?

4 DR. SVANBERG: Based on the development
5 program, the proportion of African-Americans or
6 Asians represent the demographic of the United
7 States population, approximately. I totally agree
8 with you that there is a higher proportion of
9 minorities having diabetes than in the overall
10 population, than the known minority population, but
11 the proportion is representative of the U.S.
12 population.

13 Aware of the limitation of the
14 interpretation of the data, we also looked into our
15 phase 1 program, where we evaluated
16 pharmacokinetic, pharmacodynamic effects of
17 dapagliflozin, and there we had between 40 and 50
18 percent being African-Americans. In that respect,
19 there was no difference between the Caucasian and
20 the African-American population.

21 DR. THOMAS: Last question for this session,
22 Dr. Strader?

1 DR. STRADER: I have a couple of questions
2 about the hepatotoxicity and how that was
3 evaluated. It appears that you did some baseline
4 testing for liver-associated enzymes. Did you do
5 any testing prior, say about six months prior, to
6 get a pattern of what the patients' liver enzymes
7 were?

8 It appears, also, that you permitted the
9 inclusion of patients who had abnormal liver
10 enzymes at baseline. Was there any evaluation of
11 what may be the diagnosis of those abnormal liver
12 enzymes? Were there CT scans, or ultrasounds, or
13 something done to try to figure out why there was a
14 baseline abnormality?

15 Thirdly, there were patients on herbal
16 medications. Was there any evaluation of what
17 those herbals were and their potential risk for
18 hepatotoxicity? And what was the exact protocol
19 once you found an abnormality? How often were
20 patients' liver enzymes evaluated? What was the
21 time point at which imaging studies were done? Was
22 there a hepatology consult, those kinds of issues?

1 DR. SVANBERG: So if I understood your
2 question correctly, you asked if we evaluated
3 patients who had liver enzymes higher than 3 who
4 were excluded at baseline. You asked whether we
5 evaluated herbal impact on liver evaluations and
6 how the evaluation was taking place.

7 I do think I missed your very first
8 question, if you could be so kind and repeat that.

9 DR. STRADER: Did you look at patients'
10 liver enzymes about six months prior to coming into
11 the study to see what the pattern was before they
12 were admitted into the study?

13 DR. SVANBERG: So I will ask Dr. List to
14 address these questions. As Dr. List makes his way
15 up here, I can say we did not evaluate liver
16 enzymes at six months prior to coming into the
17 study. That was not done. We did not evaluate
18 patients as regards to what was the reason for
19 their ALT above the exclusion rate, either. And
20 Dr. List will address the herbal medications, as
21 well as the ongoing evaluation across the program.

22 Dr. List?

1 DR. LIST: We started our evaluation of
2 liver tests when patients came into the trial at
3 screening and don't have prior history. With
4 respect to the data that we do collect on the
5 patients, we collect all concomitant medications,
6 including herbal medications on the patients.

7 We haven't done a broad look at patients on
8 herbal medications as a subgroup, but what we do is
9 we look into the cases of interest because of
10 either hepatic events or elevations of liver tests,
11 at their medications and possible confounding
12 factors.

13 In addition, as the program went on, we saw
14 the index case, the case that I described, in 2009.
15 And about that time, the FDA liver guidance came
16 out as well. And so what we did at that point is
17 amend our protocols across the board. And it took
18 from July through December of 2009 to get these
19 amendments into place. And in these amendments,
20 what we've done is we've established mechanisms and
21 an algorithm for following liver test
22 abnormalities. And the algorithm includes repeat

1 testing, getting a battery of other tests, specific
2 questions about possible confounding factors,
3 including herbal medications, and, ultimately,
4 depending on the direction of the case through the
5 algorithm, consultation with a hepatologist.

6 DR. THOMAS: For those members of the panel
7 who are unable to have their question asked, we
8 have time later today. We'll get to those
9 questions at that time. We're now going to take a
10 break, and we will return at 10:30.

11 Panel members, please remember there should
12 be no discussion of the meeting topic during the
13 break amongst yourselves or of any member of the
14 audience. Thank you.

15 (Whereupon, a recess was taken.)

16 DR. THOMAS: We will now proceed with our
17 presentation from the FDA. I would like to remind
18 public observers at this meeting that while this
19 meeting is open for public observation, public
20 attendees may not participate except at the
21 specific request of the panel.

22 Dr. Norton?

FDA Presentation - Jonathan Norton

DR. NORTON: Hello. My name is Jonathan Norton. I'm with the Office of Biostatistics at the Center for Drug Evaluation and Research at FDA. Today, I'll be talking about the evidence for efficacy for dapagliflozin, or I'll also call it dapa.

The applicant submitted 11 phase 3 studies for this NDA and in consultation with the medical team, I decided to put my most intense focus on six of the studies, which are shown in the next slide. These studies were chosen to span a typical development plan for type II diabetes. For these studies, I reproduced the applicant's calculations myself and conducted additional analyses, including a sensitivity analysis, which I will show you. In order to put the results of these six studies in context, I will also discuss some results that the applicant reported for the other five studies.

So these are the six studies that I closely reviewed. The first study was a monotherapy study in drug-naive subjects. The next three were add-on

1 studies in patients whose illness had not been
2 adequately controlled by their current therapy,
3 either metformin, pioglitazone, or insulin. The
4 fifth study used glipizide as an active control,
5 and both dapa and glipizide were added to
6 metformin. Finally, the sixth study tested a
7 combination of dapagliflozin and metformin in drug-
8 naive subjects with a hemoglobin A1c of 7.5 percent
9 or higher.

10 Although the studies varied in design, in
11 the interests of time, I will just summarize the
12 key features. They were all parallel-arm designs.
13 In all of these six studies, the primary endpoint
14 was changed from baseline HbA1c. All but the
15 glipizide-controlled study, which I call study C4,
16 used a test for superiority at week 24. Study C4
17 tested for non-inferiority at week 52, and all but
18 study C4 included glycemic rescue therapy.

19 The primary efficacy analysis was an
20 analysis of covariance, or ANCOVA, with adjustment
21 for baseline hemoglobin A1c, as well as study-
22 specific factors. Missing data were imputed using

1 last observation carried forward, or LOCF
2 imputation. If a subject received rescue
3 medication, then the subsequent observations were
4 excluded from the analysis. Rather, the pre-rescue
5 value was carried forward. Although FDA has
6 essentially recommended LOCF for diabetes studies
7 in the past, this method is now less favored. I
8 will discuss this point after I review the results
9 for the primary endpoint.

10 This table shows the results for the four
11 placebo-controlled studies out of the six. Note
12 that, although I say placebo controlled, all but
13 study 2013 included background therapy. For study
14 2013, there are arms with both A.M. and P.M.
15 dosing. The primary results, which I show, were
16 based on A.M. dosing. The black rows show the
17 least squares' adjusted mean change from baseline
18 for each dose. The blue rows show the various
19 doses of dapa compared to placebo.

20 A negative value indicates that dapa -- so a
21 negative value in one of these rows indicates that
22 dapa had a greater reduction in HbA1c than placebo.

1 Also, I have N here as the size of the primary
2 analysis set in each study.

3 While I did not include the N, the sample
4 size, for each arm, they were roughly balanced.
5 For example, in 2013, there were four arms with
6 roughly an equal number of patients. Note,
7 however, that more patients received dapag overall
8 than placebo because there were multiple dapag arms
9 in each study.

10 As the table shows, every dapagliflozin arm
11 beat the comparator in each study. Despite the
12 varied background therapies, the estimated effect
13 of dapag versus the comparator is fairly consistent.
14 In the 10-milligram arms, the difference ranged
15 from .54 percent to .66 percent. So these are the
16 10-milligram arm results.

17 Note that when I say a difference of .54
18 percent, I am speaking of an absolute difference in
19 HbA1c, which is itself a percentage. In the
20 5-milligram arm, the effect ranged from .40 percent
21 to .54 percent. The effect -- I mean, that was a
22 negative value, less than placebo. Note, however,

1 that these estimates are based on LOCF imputation.

2 As I will discuss later, these may be optimistic

3 estimates of the actual treatment effects.

4 However, I concur with the applicant that there is

5 a real non-zero treatment effect.

6 This slide shows the results for the initial

7 combination study with metformin. So you can see

8 here's the combination in the far left, of dapa and

9 metformin, and there are the two individual

10 components. The blue row shows the difference

11 between the combination and the components. And

12 the combination was shown to be statistically

13 superior to each component. In particular, the

14 combination reduces HbA1c by about .5 percent,

15 compared to metformin alone. A planned secondary

16 analysis showed dapa alone to be non-inferior to

17 metformin alone. So you can see these values here

18 are quite similar.

19 Finally, these are the results for the

20 52-week glipizide-controlled study, which included

21 a total of 801 subjects in a primary analysis,

22 roughly 400 in each arm. Both arms showed an

1 almost identical reduction of .52 percent. The
2 estimated difference is zero, with a confidence
3 interval of negative .11 percent to positive .11
4 percent. This is well within the planned non-
5 inferiority margin of .35 percent. The margin of
6 .35 percent is generally consistent with FDA
7 advice.

8 So earlier, the applicant presented some
9 subgroup analyses. I conducted my own independent
10 analysis slightly differently. I just focused on
11 the six studies that I mentioned, that I most
12 closely reviewed, focusing on the following
13 subgroups, baseline HbA1c, which was a continuous
14 quantity; age dichotomized as over or under 65;
15 gender, race, and region. And, region, I was
16 interested in the U.S. and Canada combined versus
17 the rest of the world.

18 I also note, to increase statistical power,
19 when there are fixed-dose studies, I pooled the 5-
20 and 10-milligram doses. I did not include the
21 2.5-milligram dose, since the applicant hasn't
22 proposed to market that dose.

1 So in terms of the results, the monotherapy
2 study, the pioglitazone add-on study, and the
3 insulin add-on study all showed a stronger effect
4 of dapa in patients with higher HbA1c. In the
5 metformin add-on study, dapa was not effective in
6 patients 65 and older, and the trend was actually
7 in the wrong direction, favoring metformin alone.

8 The glipizide-controlled study showed a race
9 interaction, which is described in the next slide.
10 For gender and region, the interaction term was not
11 significant at the .05 level in any of the six
12 studies.

13 So as I mentioned, study 4 did show a
14 statistically significant interaction between the
15 treatment effect and race of .04. So this table
16 shows the change in baseline HbA1c by race and also
17 the differences between the two treatment groups.
18 We called it -- overall in this study, glipizide
19 and dapa showed virtually identical results.
20 However, there is perhaps a pattern here of
21 different efficacy by different racial groups, but
22 I did not observe this pattern in other studies.

1 Also, I noted earlier that the applicant
2 used last observation carried forward, or LOCF,
3 imputation for their primary analysis. When the
4 studies were initiated, this was consistent with
5 the advice that FDA was giving for diabetes
6 studies. In particular, FDA guidance has suggested
7 that LOCF would be conservative in the specific
8 sense that it would tend to underestimate the
9 effect of treatment in comparison to placebo.

10 More recently, there have been growing
11 concerns about LOCF in the statistical community
12 and more awareness that it is not conservative in
13 all cases. In response to these and other
14 concerns, FDA contracted with the National Academy
15 of Sciences to produce a report on handling of
16 missing data in clinical trials. This report came
17 out last summer, and it is critical of LOCF and
18 other single-imputation methods. For this reason,
19 I paid special attention to the sponsor's
20 sensitivity analyses and conducted my own. I agree
21 with the sponsor that dapa has an effect, but we
22 need a good estimate of the effect for benefit-risk

1 assessment.

2 I will focus on two of the sensitivity
3 analyses that the applicant submitted for a number
4 of studies. The first was an ANCOVA, analysis of
5 covariance, like the primary analysis, but only
6 using observed cases. So recall that the primary
7 analysis used LOCF, but unlike with the primary
8 analysis, for this analysis, no missing values were
9 imputed; that is, filled in.

10 Also, no observations were used once the
11 subject was given rescue medication. Each period
12 was analyzed separately, so once a subject was
13 rescued or dropped out, they were completely
14 excluded from the analysis. So this is all about
15 this first analysis.

16 The second analysis used a more complex
17 model called MMRM, which is also based on observed
18 cases and excluding observations after rescue, so
19 also excluding observations after rescue.

20 I will also show the results for a
21 sensitivity analysis that I conducted, which was
22 also an MMRM, as in here, but I used all available

1 observations for a subject, even if they were
2 rescued.

3 The fact that one sensitivity analysis that
4 I will show includes observations made after a
5 rescue may seem counterintuitive. After all, one
6 might reason that the subject's outcome becomes
7 irrelevant to the evaluation of the original
8 treatment once a rescue treatment is given.
9 However, the widely recognized intent-to-treat
10 principle says that the statistical analysis should
11 be based on the randomized treatment rather than
12 the actual, non-randomized treatment that a subject
13 received.

14 So, for example, the randomized treatment
15 for a subject might be dapa, 10 milligrams, and if
16 they were given rescue, then you could say that the
17 actual treatment was dapa plus rescue. From this
18 viewpoint, that is of the ITT principle. The fact
19 of rescue treatment should be disregarded. Once we
20 attempt to adjust for rescue in any way or exclude
21 the data, we are endangering the validity of the
22 analysis.

1 So this figure shows the results for the
2 primary LOCF analysis as well as the three
3 sensitivity analyses described on the previous
4 slide. I'm showing the results for study 2013,
5 which was the dapa and monotherapy study, and I'm
6 focusing on the comparison of the 10-milligram arm
7 to placebo.

8 The blue line shows the results of the
9 different analyses for the dapa arm; so these are
10 the blue lines here. You'll see the findings are
11 fairly consistent, that, basically, no matter how
12 you look at it, by week 24 -- so this is all at 24
13 weeks -- that there's a reduction in HbA1c of about
14 .9. So the more interesting part is actually these
15 pink lines here, because this shows what happens in
16 the placebo arm.

17 I should add, by the way, that no patients
18 were rescued in the 10-milligram dapa arm, which is
19 one reason why these lines are quite similar.

20 So looking at the placebo arm, furthest left
21 is the LOCF analysis, which is the primary
22 analysis. And you can see, by week 24, the

1 reduction in the placebo arm is .23 percent in
2 HbA1c. If you look at the ANCOVA analysis, you can
3 see it's quite different, that there's a reduction
4 of .62 percent in the placebo arm. However, I
5 should note that this analysis is particularly
6 favorable to the placebo arm because patients who
7 needed rescue are not included in week 24 at all.

8 The next one from the left, this one here,
9 is the MMRM analysis, which excludes post-rescue
10 observations. This shows a reduction of
11 .29 percent from baseline, which is not that
12 different from LOCF.

13 Finally, furthest right is the MMRM
14 analysis, which includes post-rescue observations.
15 This one shows a decrease of .45 percent from
16 baseline in the placebo arm.

17 This final analysis, which I prefer on
18 theoretical grounds, used an estimated effect that
19 is different from placebo, of .45 percent, or I
20 should say, negative .45 percent because it's less
21 than placebo. And I get .45 here because this is
22 roughly .9. I think it's .9, .91, and this is .45,

1 and the difference is .45. So here, we have .45 as
2 a treatment difference. In the primary analysis,
3 the treatment difference is .66.

4 So, in summary, these sensitivity analyses
5 suggest that LOCF may exaggerate the treatment
6 effect of dapa. And, of course, that was just one
7 study. This shows the results of my preferred
8 sensitivity analysis for the four placebo-
9 controlled studies, including the one I just showed
10 you. So, for example, in 2013, I showed a
11 treatment effect of .45 percent.

12 In each case, the analysis yields a smaller
13 estimated effect than the LOCF analysis, so you may
14 recall that the LOCF analysis for the 10-milligram
15 arm showed a treatment effect of dapa ranging from
16 .54 percent to .66 percent. You can see here it
17 ranges from .44 percent to .57 percent. I do note
18 that these are still statistically significant
19 effects.

20 So continuing with my sensitivity analysis,
21 here's the combination study. And, again, you can
22 see, in this case, the treatment effect is slightly

1 smaller than it was when it was shown from the LOCF
2 analysis.

3 Now, the sixth study I looked at was the
4 active controlled study with glipizide. In that
5 study, there was no rescue, so this issue is less
6 acute. I did conduct both an LOCF and MMRM
7 analysis, and they yielded similar results.

8 So I just went over the six studies that I
9 focused on. The applicant submitted reports for
10 four additional phase 3 studies, which had change
11 in HbA1c as a primary endpoint. There was an 11th
12 study that I'll discuss shortly that was concerned
13 with body weight and body composition.

14 Focusing on these four studies, the results
15 were generally consistent with those results from
16 the studies that I more closely reviewed, showing
17 evidence of efficacy for the 5- and 10-milligram
18 doses.

19 On the following slide, I'm going to show
20 you the results for all 10 phase 3 studies, which
21 had HbA1c as a primary endpoint. I'll add, the
22 only reported failed phase 3 study was in subjects

1 with moderate renal impairment. This study will be
2 discussed later in the presentation.

3 So this forest plot was provided by the
4 applicant, and it shows the 10 phase 3 studies,
5 which use HbA1c as the primary endpoint. LOCF is
6 used throughout. I'm just showing this as a quick
7 recap of all of the studies, the 10 phase 3 HbA1c
8 studies, including those studies I did not closely
9 review.

10 So the first six studies here show the
11 tested doses of dapa all beating the comparators,
12 as shown by the fact that all these confidence
13 intervals up to here exclude zero. The seventh
14 study, 2021, showed a combination of dapa
15 5 milligrams and metformin beating each component.
16 And the eighth study, study 2034, similarly showed
17 the combination of dapa 10 milligram and metformin
18 beating each component.

19 The second to last study showed dapa to be
20 non-inferior to glipizide, so that's why it's
21 around zero. And the last study shown is the
22 failed study in subjects with moderate renal

1 impairment. The results for all these studies are
2 shown for week 24, except for study C4, which used
3 week 52 for the primary endpoint. So that was the
4 glipizide-controlled study. So for the moderate
5 renal impairment study, the confidence intervals
6 include zero.

7 Now, I would like to draw your attention to
8 the issue of the durability of the treatment
9 effect. The applicant uses the term "maintenance,"
10 I believe, or "sustained efficacy," or something.
11 But I'm going to just stick to the word
12 "durability." The applicant raised this issue in
13 the briefing package.

14 Figure 15, shown here from the applicant's
15 briefing package, displays the change from baseline
16 at HbA1c out to week 102 for the metformin add-on
17 study. It is presented in the briefing package as
18 evidence of durability. And so, looking at
19 week 102, it does appear that this is a placebo arm
20 and these are the three active arms. It does
21 appear that there is a difference here that's
22 sustained. To the applicant's credit, however,

1 they showed how many subjects were used at each
2 time point, which I have highlighted with the red
3 box.

4 So the previous figure purports to show
5 evidence of durability. However, the sample size
6 does go down over time. For example, in the
7 placebo arm, you can see there, at week 102, only
8 21 percent of the subjects remain. They remained
9 or have either dropped out or have received rescue
10 medication. And even in the strongest dose, only
11 43 percent of the subjects remained. So you could
12 say that this sample has been enriched after
13 randomization. Based on this small selective
14 sample, any inference about durability is
15 questionable.

16 Now, we do acknowledge that the apparent
17 relationship between dose and dropout rate could be
18 taken as evidence as efficacy, so in other words,
19 the fact that, in the placebo arm, 21 percent
20 remain and in the 10-milligram arm, 43 percent
21 remain, one could certainly argue that's evidence
22 of efficacy. However, that does not show that a

1 given effect size was maintained all the way to the
2 end.

3 Figure 17 from the briefing packet also
4 raises the same issue. This is from the insulin
5 add-on study, and it shows a change in HbA1c out to
6 week 48. Again, there's an appearance that this is
7 the placebo arm and these are the other arms, that
8 there's a difference that's maintained to week 48.
9 And, again, to the credit of the applicant, they
10 have shown how many subjects are used at each time
11 point here.

12 In fact, you can see that in the insulin-
13 only arm, that is, the placebo arm, by the final
14 week in the figure, only 48 percent of the subjects
15 remain. Again, inference or estimation based on a
16 non-randomized subset of the starting population is
17 questionable. We believe that the best way to show
18 durability is by designing the study from the
19 beginning as a long-term study and maximizing
20 subject retention.

21 So I will now summarize my findings from the
22 primary endpoint. The applicant submitted 11 phase

1 3 studies, and I closely reviewed six of them.
2 These studies show that dapa is efficacious, both
3 as monotherapy and as an add-on therapy to a number
4 of anti-diabetic drugs. In other words, we have
5 seen strong evidence of a non-zero treatment effect
6 in a variety of settings.

7 For the purpose of benefit-risk assessment,
8 however, we need to be concerned about the actual
9 effect size. Due to substantial missing data,
10 there are divergent estimates for the actual effect
11 size. Based on the planned primary analysis, the
12 highest dose, 10 milligrams, reduces HbA1c by about
13 .5 to .6 percent -- perhaps, you could say
14 .7 percent in one case -- compared to placebo or
15 background therapy. Sensitivity analyses suggest,
16 however, that the effect size may be a bit smaller.

17 Finally, evidence presented for durability
18 in the applicant's briefing package should be
19 interpreted with caution. Please note that the
20 applicant has shown additional evidence for
21 durability in their presentation today, and we have
22 not had the opportunity to review that evidence

1 yet.

2 I will now discuss the secondary endpoints.
3 This slide briefly summarizes the results for
4 fasting plasma glucose, or FPG, I'll call it. As
5 with HbA1c, the placebo-controlled studies and the
6 combination study consistently show a treatment
7 effect.

8 So, for example, we can see in the four
9 placebo-controlled studies, the 10-milligram dose,
10 the effect ranges from about negative 17.5 to
11 negative 25; for the 5-milligram dose, from
12 negative 15.5 to negative 22. In the combination
13 study, dapagliflozin also beat each component in the effect,
14 and comparing the combination to metformin was
15 negative 25.5. I'm not showing the glipizide-
16 controlled study here because FPG was not one of
17 the key endpoints.

18 So this figure shows the results for weight
19 loss at week 24 of the four placebo-controlled
20 studies. I believe the applicant already showed
21 these results, so I'll just go over them briefly.

22 So the asterisks here indicate which arms

1 were different from placebo, statistically
2 different from placebo. So you can see, in the
3 monotherapy study, subjects at all arms lost
4 weight, but the dapa arms were not significantly
5 different. In the metformin add-on study, again,
6 all arms lost weight, but the dapa arms were
7 statistically different, yet different from
8 placebo. In the pioglitazone add-on study,
9 subjects in the pio arm gained weight and those in
10 the two dapa arms lost -- well, did not lose
11 weight, but they were essentially flat, which means
12 that they were superior to placebo. And then,
13 finally, the insulin add-on study, subjects in the
14 placebo arm did not appear to gain or lose weight,
15 while those in the dapa arm lost weight.

16 For the initial combination study, patients
17 on the combination therapy lost 3.3 kilos at
18 week 24 while those on the metformin arm only lost
19 1.4 kilos. So this is the combination versus
20 metformin alone, and this is a significant
21 difference. Dapa versus a combination was not
22 significantly different.

1 Finally, the glipizide-controlled study
2 subjects in the dapa arm lost 3.2 kilos at week 52,
3 while those on the glipizide arm gained 1.4 kilos,
4 which was a significant difference.

5 So I mentioned there were 10 phase 3
6 studies, which used HbA1c as a primary endpoint.
7 The eleventh study was a weight loss and body
8 composition study, and that used a change in total
9 body weight at week 24 as a primary endpoint. It
10 tested dapa as an add-on to metformin with 180
11 patients. As I said, the primary endpoint was
12 change in body weight. And the applicant reports
13 that subjects on the dapa arm lost about 3 kilos,
14 whereas those on the placebo arm lost about .9
15 kilos, so it was a difference of 2.08 kilos,
16 favoring dapa.

17 There was, however, a significant subgroup
18 interaction. There was a differential treatment
19 effect by gender. As you can see, there's a
20 significant interaction of .048 in weight loss by
21 sex. So you can see, for males, the net treatment
22 effect was that they lost an additional 2.8 kilos

1 if they were on dapa, whereas the females lost an
2 additional 1.2 kilos if they were on dapa.

3 So I previously mentioned a failed study in
4 patients with moderate renal impairment. So this
5 is the dedicated renal study. Patients had an eGFR
6 of 30 to 59; at least, that was the inclusion
7 criterion. This study did not show dapa to be
8 statistically better than placebo on either the 5-
9 or the 10-milligram dose. Moreover, the applicant
10 conducted what they describe as an ad hoc subgroup
11 analysis in which they looked at these stage 3a
12 patients with an eGFR of 45 to 59. And, again,
13 that subgroup analysis also failed to show a
14 difference from placebo.

15 So these are the results of the slide. So
16 you can see, in the entire study, there's just a
17 very slight difference for the two dapa arms of
18 about negative .1, not statistically significant.
19 For the stage 3a subgroup, for the 5-milligram
20 dose, it was a difference of negative .37, for
21 10 milligrams, negative .33. However, these doses,
22 this was not a statistically significant difference

1 from placebo.

2 So I'm now going to quote from this
3 applicant's background package, in which they
4 discuss this renal impairment issue. It states,
5 "When the stage 3a subgroup population, from the
6 special study" -- that is, the one I just showed
7 you -- "was analyzed for HbA1c effects of
8 dapagliflozin, 10 milligrams, the mean change from
9 baseline and placebo-corrected mean change from
10 baseline at week 24 were negative .33 and
11 .33 percent, respectively." So the key thing here
12 is that .33 percent I just showed you was a
13 difference from placebo.

14 "These mean changes were consistent with
15 changes evident in the larger pooled analysis."
16 I'll get to that in a moment. And then they
17 conclude, "Dapagliflozin was modestly effective in
18 patients with stage 3a moderate renal impairment."

19 So I would say that, in fact, statistically
20 speaking, the dedicated renal study does not
21 support this conclusion about the stage 3a subgroup
22 because the treatment effect was not statistically

1 significant in the subgroup. Note that even if the
2 results for stage 3a had been statistically
3 significant, this result would be viewed
4 skeptically, since the study failed in the primary
5 efficacy analysis. So in other words, when a study
6 does not succeed on the primary analysis, we are
7 usually skeptical of claims that are based on a
8 subgroup analysis.

9 The applicant also reports results of a
10 pooled analysis of patients from nine studies with
11 moderate renal impairment. They report that the
12 dapa 10-milligram dose had a significant effect at
13 24 weeks. However, we consider the dedicated
14 24-week renal study to provide a higher level of
15 evidence, so we have not reviewed this pool
16 analysis.

17 We note that the dedicated renal study had
18 about the same number of patients with moderate
19 renal impairment on the high dose of dapa as the
20 pooled analysis did. Therefore, there is no reason
21 to believe that the pooled analysis is giving a
22 more reliable estimate of the treatment effect.

1 So, in conclusion, a number of studies with
2 HbA1c as the primary endpoint show that
3 dapagliflozin is effective in patients with normal
4 renal function or mild impairment. Due to study
5 discontinuations and rescue, estimates of the
6 magnitude of the treatment effect do vary. The
7 LOCF estimate may overstate the effect. It should
8 be noted, however, that labels for currently
9 approved drugs do use LOCF. So LOCF results may be
10 informative for an apples-to-apples comparison.

11 The secondary endpoints were supportive. I
12 discussed the durability claims in the applicant
13 briefing package, which I found questionable.
14 However, I have not reviewed any additional
15 evidence that they showed today.

16 Finally, the dedicated study in patients
17 with moderate renal impairment did not show
18 efficacy. Thank you.

19 Now, I would like to introduce Dr. Somya
20 Dunn, who will be presenting the safety.

21 **FDA Presentation - Somya Dunn**

22 DR. DUNN: Hi. I'm Somya Dunn. I'm going

1 to be presenting the safety issues in
2 dapagliflozin. I'm going to begin with a brief
3 introduction on the drug. I'm also going to
4 discuss the PK profile in renal impairment, and
5 then I will focus on the safety issues for the rest
6 of the talk. These are some select safety issues
7 we'll discuss today: bladder cancer, breast
8 cancer, hepatic events, genital infections, urinary
9 tract infections, bone health, and cardiovascular
10 safety.

11 SGLT2 is a major transporter for renal
12 glucose reabsorption, and dapagliflozin is an SGLT2
13 inhibitor. It causes insulin-independent renal
14 elimination of glucose. The proposed indication is
15 adjunct to diet and exercise to improve glycemic
16 control in adults with type II diabetes. The
17 proposed dose is 10 milligrams, once daily. And
18 for patients at risk for volume depletion, such as
19 patients on loop diuretics, the proposed dose is 5
20 milligrams once daily. If approved, dapagliflozin
21 will be a first-in-class therapy.

22 The clinical program consisted of 26

1 pharmacology trials. There were 3 phase 2b trials
2 and 11 phase 3 trials. Cumulative exposure in the
3 phase 2b and 3 clinical trials at the time of the
4 NDA submission was 4,009 patient-years in dapa-
5 treated subjects and 1,682 patient-years in
6 controls. There were about two times more patients
7 exposed to dapa than to control.

8 You've already seen this forest plot,
9 presented by Dr. Norton. It summarizes that the
10 efficacy of dapa is better than placebo and
11 comparable to that of active controls. However, as
12 Dr. Norton emphasized, there is limited evidence of
13 efficacy in patients with renal impairment, which
14 is the last study on the forest plot.

15 In addition to the findings from the phase 3
16 study in patients with renal impairment, the
17 findings from this PK/PD study in patients with
18 renal impairment are also noteworthy. In this
19 study, a 20-milligram dose of dapa was given to
20 type II diabetic patients for -- it actually was
21 seven days. There was a three-day washout as part
22 of the 10-day course.

1 The Y axis in the graph depicts the area
2 under the curve of dapa exposure on day 10 after
3 the seven days of dosing for a 24-hour dosing
4 interval. The X axis shows four result columns,
5 one for healthy renal function, one for mild renal
6 impairment, one for moderate renal impairment, and
7 one for severe renal impairment.

8 As you can see, there are higher systemic
9 exposures in the patients with moderate and severe
10 renal impairment. The percent increase, which is
11 located at the top of the column, is compared to
12 type II diabetic patients with normal renal
13 function, which is the first column.

14 Despite the higher exposure in renal
15 impairment, there was a decrease in glucose
16 excretion. The Y axis here shows the cumulative
17 amount of glucose excreted in 24 hours at the
18 seventh day of dosing. Here, the bars on the
19 X axis are again labeled by renal function. You
20 can see the percent decrease in the cumulative
21 amount of glucose excreted when compared to the
22 type II diabetic patients that have normal renal

1 function, which is, again, the first column.

2 Now, I'm going to move onto the safety
3 discussion. Three main safety pools will be
4 discussed regarding the safety issues with dapa.
5 These were pools that were designated by the
6 applicant. One is the all-phase 2b and 3 studies
7 pool, which had short-term and long-term studies,
8 and the other two are placebo-controlled pools.
9 One is short-term studies only, and the other was
10 short-term and long-term studies. Most of the
11 long-term extensions ranged from about 24 to 78
12 weeks.

13 The first safety issue I'm going to discuss
14 is going to be bladder cancer. There were 7 cases
15 in dapa-treated male subjects in the phase 2b/3
16 pool reported at the time of the four-month safety
17 update. This was later updated as 9 cases in dapa-
18 treated patients and one in placebo.

19 The estimated incidence rates with updated
20 cases were as follows. There was an exposure of
21 3007 subject years in males in the dapa arms, and
22 this can be extrapolated to 299 cases per 100,000

1 subject-years. This can be compared to one case in
2 the control group during 1,697 subject-years in the
3 male control, specifically, and this can be
4 extrapolated to 59 cases per 100,000 subject years.

5 The rate ratio comparing dapa versus
6 controls in males was 5. This means that there is
7 a five times higher risk of bladder cancer in the
8 dapa-treated males. The confidence intervals are
9 wide and include 1, and it's important to note that
10 the trials were not powered to distinguish between
11 the incidence of bladder cancer in male dapa
12 subjects versus controls.

13 In their briefing package, the applicant
14 describes that all bladder cancer cases were
15 reported within two years of starting the study
16 drug. They also describe characteristics of the
17 patients that were diagnosed with bladder cancer
18 that are typical of patients that are diagnosed of
19 bladder cancer in general.

20 However, this table shows us that the
21 baseline bladder cancer risk factors in the phase
22 2b/3 pool were similar between the dapa-treated

1 patients and the controls. The first column are
2 the patients that were randomized to dapa. The
3 second is controls. And these are all risk factors
4 for bladder cancer, including hematuria at
5 baseline, smoking status, gender, race, history of
6 chronic cystitis, and use of cyclophosphamide.

7 At our agency, we had our epidemiology team
8 review these cases. The incidence of bladder
9 cancer was reviewed in the Surveillance,
10 Epidemiology and End Results database of the
11 National Cancer Institute. Literature was also
12 reviewed, and the rate for expected bladder cancer
13 was adjusted by 40 percent for type II diabetic
14 patients and was also adjusted for smoking and
15 other risk factors. A standardized incidence ratio
16 was calculated. This compares the observed
17 incidence of bladder cancer in dapa-treated
18 patients with expected incidence in age- and sex-
19 matched background population.

20 This table shows us the results of the
21 epidemiology study. You can see what was observed
22 in the clinical trials for dapa-treated patients

1 was 9 cases and what was expected, based on the
2 SEER data, were 3. What was observed in the
3 controls was 1 and what was expected was 2. The
4 standardized incidence ratio of observed versus
5 expected cases in males exposed to dapa was about
6 3, with a significant p value of .008.

7 Next, I'm going to discuss the breast cancer
8 cases. There were 9 cases observed in the female
9 dapa-treated patients versus none in controls in
10 the phase 2b/3 pool. Updated data from the sponsor
11 during the course of the review added an additional
12 case in controls. Estimated incidence rates with
13 the updated one case in the controls included an
14 exposure of 2,416 subject-years in female patients
15 in the dapa arms. This can be extrapolated to 372
16 cases per 100,000 subject-years, and for controls,
17 an exposure of 1,085 subject-years, this can be
18 extrapolated to 92 cases per 100,000 subject-years.

19 The rate ratio, comparing dapa versus
20 control in females, was 4, meaning that there is a
21 four times higher risk of breast cancer in the
22 dapa-treated females. Again, the confidence

1 intervals are wide and include 1. And, again, it
2 is important to note that the trials were not
3 powered to distinguish the incidence of breast
4 cancer in the female dapa subjects versus controls.

5 In these breast cancer cases, the applicant
6 describes in their briefing package that all cases
7 were detected within one year of exposure to dapa.
8 They also describe that there are clinical
9 attributes that are typical of patients that are
10 generally diagnosed with breast cancer.

11 This table shows us the breast cancer risk
12 factors at baseline for females in the phase 2b/3
13 pool. The first column are the patients that were
14 randomized to dapa. The second are the control
15 patients. This part of the table shows us body
16 mass index, body mass index categorization, age
17 categorization. This part of the table shows us
18 alcohol consumption, tobacco use at baseline, and
19 pre-randomization use of estrogen medication. The
20 rates are all similar between both groups.

21 I can go back, just to have you look at this
22 again.

1 Our epidemiology experts reviewed the
2 literature on breast cancer and type II diabetes.
3 Rates by age were compared to those seen in the
4 clinical program. By every age group, you can see
5 that the rates in the clinical trials are higher
6 for each group reviewed. These rates are given as
7 incidence rate per 1,000 person-years.

8 These populations are different, but this
9 comparison gives us a sense of what is described in
10 the literature and what was observed in the
11 clinical trials. Overall, the rates of both
12 bladder and breast cancer in dapa-treated patients
13 are higher than what would be expected.

14 Next, I'm going to talk about hepatic
15 events. I'm going to start this discussion by
16 describing the applicant's hepatic adjudication
17 report, which they also described during their
18 talk. This was submitted with the four-month
19 safety update.

20 There was a blinded adjudication process for
21 liver abnormalities. Three expert hepatologists
22 were on the adjudication committee. Criteria for

1 adjudication were elevations in AST or ALT,
2 including total bili, and these were beyond
3 specified -- prespecified thresholds, also liver-
4 related adverse events that led to discontinuation,
5 or liver-related serious adverse events, or adverse
6 events in any subjects who died. There were a
7 total of 54 adjudicated cases.

8 The clinical assessment of causality scale
9 consisted of five causal relationships: unlikely,
10 possible, probably, highly likely, or definite.
11 The committee found that there were 2 probable
12 cases, but once unblinded, these were both found to
13 be in control patients. They found 15 possible
14 cases. Once unblinded, 9 were in dapa-treated
15 patients and 5 were in controls. At the time of
16 review, one of these cases was still blinded.

17 We narrowed down the cases we focused on by
18 searching for Hy's law cases. Hy's law is a
19 threshold for liver enzyme tests that is indicative
20 of drug-induced liver injury. This occurs when
21 there is greater than three times the upper limit
22 of normal of AST or ALT, along with the greater

1 than two times the upper limit of normal of
2 bilirubin. There has to be no other clinical
3 explanation for the elevations.

4 In the phase 2b/3 pool, there were five dapa
5 cases that met the laboratory criteria for Hy's
6 law, both at the time of the NDA submission and
7 also at the time of the four-month safety update.
8 Liver experts at our agency were asked to review
9 these cases along with all the cases in the hepatic
10 adjudication report. They used the same causality
11 scale I already showed you, that was used by the
12 applicant's hepatic adjudication committee, and
13 they were asked to focus on these five cases in
14 particular. Using the same scale, they gave a
15 causality factor of three cases being unlikely.
16 One was ruled out as a drug-induced liver injury
17 and one case was thought to be probable.

18 This case has also been discussed by the
19 applicant, the case that was thought to be a
20 probable drug-induced liver injury case. I'm going
21 to discuss in more detail as well.

22 This was a 78-year-old male with a history

1 of several comorbidities that are common in
2 patients with type II diabetes. He was on
3 therapies that are common for these comorbidities,
4 including herbal supplements for GI discomfort. He
5 was on all these medications for at least 90 days
6 before beginning the study drug.

7 I want to go over his clinical course in the
8 next slide in more detail as well, but it's
9 important to note that the enzyme elevations did
10 not have a clear alternative explanation. Although
11 he was diagnosed with hemochromatosis during the
12 clinical course, this was not seen on biopsy. This
13 was a genetic diagnosis. His viral serologies were
14 negative. Although hepatitis C was not retested
15 during the clinical course, it was negative at
16 enrollment.

17 CMV and EBV acute titers were negative. He
18 did have generalized antibody elevations, but the
19 antibodies that are specific to autoimmune
20 hepatitis, which are listed in the last bullet
21 point, anti-liver/kidney microsomal type I, anti-
22 smooth muscle antibody, mitochondrial antibody, and

1 ANA, were negative.

2 This figure shows the time course of liver
3 tests for the patient. The elevations began around
4 day 85. Clinical signs were noted on day 196, and
5 the drug was actually stopped on day 192. The
6 clinical signs included some mild abdominal pain,
7 dark stool and urine, and the physician also noted
8 a "tinge of jaundice".

9 The elevations began to decrease after this
10 peak, around days 193 to 200, and the peak levels
11 are listed at the top of the graph. An ultrasound
12 done on day 213 was negative. A biopsy done on day
13 264 was consistent with either drug-induced liver
14 injury or autoimmune hepatitis, and the course of
15 prednisolone was started after the elevations had
16 begun to decrease on day 49. Again, this case was
17 reviewed in detail by our hepatic experts and was
18 characterized as a probable Hy's law case.

19 Marked elevations of 5 times and 10 times
20 the upper limit of normal display similar rates
21 between dapa and controls in the phase 2b/3 pool.
22 This table shows you elevations of AST 5 times and

1 10 times the greater limit -- the upper limit of
2 normal, and ALT of 5 times and 10 times greater the
3 upper limit of normal.

4 Per our FDA guidance document, one Hy's law
5 case in a clinical program is worrisome. Two are
6 considered highly predictive that the drug has a
7 potential to cause serious drug-induced liver
8 injury in a larger population. It has been
9 estimated that approximately 10 percent of Hy's law
10 cases progress to serious drug-induced liver
11 injury, for example, death or liver transplant.

12 In this case, there was one case in 2,489
13 patients that were exposed to dapa for at least six
14 months. That was at the time of the four-month
15 safety update. We can estimate that approximately
16 1 in 25,000 patients exposed for at least six
17 months may develop serious drug-induced liver
18 injury. It is difficult to make this estimate
19 based off of 1 case.

20 Next, I'm going to discuss genital
21 infections. Genital infections in the
22 dapagliflozin clinical program were mostly candidal

1 in nature. The applicant used this terminology of
2 genital infections to classify. Several preferred
3 terms were used to collect the events in this
4 category, including candidal-specific terms such as
5 vulvovaginal candidiasis. Some were not specific
6 to candidiasis, such as pruritus. Balanitis was
7 another preferred term used to find the incidence
8 of these events.

9 As you can see from the table, these events
10 appear to be dose related. In the 10-milligram
11 group, we have 7 percent of patients having an
12 event. In 5 milligrams, it was also 7 percent.
13 But in the 2.5-milligram group, it was only
14 5.8 percent. This can be compared to placebo at
15 2.3 percent.

16 Second occurrence rates, when patients had a
17 second event, was higher in the placebo group than
18 in the dapa-treated patients. This is included in
19 proposed labeling by the applicant.

20 The rates of genital infections were higher
21 in the female patients, in both the dapa and the
22 placebo group. In the dapa-treated patients, there

1 were 10 percent of females and 3.5 percent of males
2 that had these events.

3 Next, I'm going to discuss urinary tract
4 infections. UTIs also occurred at a higher rate in
5 dapa-treated patients. Again, several preferred
6 terms were used to search for these events,
7 including UTI and bacteriuria. As you can see,
8 these rates do not appear to be dose related. The
9 10-milligram group had a 6.5 percent rate. The
10 5-milligram group had a 7.3 percent rate. The
11 2.5-milligram group had a 4.2 percent rate. And
12 this is compared to a 4.5 percent rate in the
13 placebo group. This was reported as a common
14 adverse event in the clinical program.

15 The second occurrence rate was higher in the
16 dapa-treated patients than in placebo. The rate of
17 pyelonephritis was equal between placebo-treated
18 patients and dapa-treated patients. And, again,
19 this is included in proposed labeling. The rates
20 were, once again, higher in the female patients for
21 both dapa and placebo, 10 percent of females and
22 2.7 percent of males.

1 Next, I'm going to talk about bone health.
2 Dapagliflozin increases trabecular bone in rats,
3 causing greater bone mass density and strength at
4 high exposure multiples. Because of the unclear
5 significance of these findings, the applicant
6 followed fractures and markers of bone metabolism
7 throughout the clinical program. There were no
8 clinically significant changes in the laboratory
9 values in the short-term plus long-term pool, and
10 there was no pattern seen with the bone biomarker
11 changes in the five studies where these were
12 followed.

13 In terms of fracture rates, when we looked
14 at the short-term placebo-controlled pool and
15 focused in on an analysis of normal renal function
16 patients, there was an imbalance in the rate, .6
17 percent of patients in the dapa-treated group
18 versus .2 percent in the placebo-treated group.

19 However, when we looked at the entire short-
20 term pool, this imbalance was not noted, .4 percent
21 occurring in the dapa-treated patients versus .7
22 percent in the placebo-treated patients. The

1 numbers before are just the numbers of event
2 fractures.

3 In the placebo-controlled short-term and
4 long-term pool, we also did not see an imbalance,
5 with an equal rate in both groups, and fragility
6 fracture rate in the dapa-treated subjects versus
7 placebo was also very similar. These are
8 osteoporotic fractures.

9 In the renal impairment study, we had
10 52-week data that, again, showed us an imbalance.
11 In the 10-milligram group, 8.2 percent of patients
12 had an event of fracture, 3.6 percent in the
13 5-milligram group, and there were none seen in the
14 placebo group. There were negligible lab value
15 changes associated with these imbalances.

16 In the placebo-controlled short-term pool,
17 looking at the moderate renal dysfunction patients,
18 which are patients of the same renal dysfunction as
19 the renal impairment study, we did not see this
20 imbalance.

21 All of this data was reviewed by the
22 metabolic bone disease team at the FDA in the

1 Division of Reproductive and Urology Products.
2 They also looked at the bone mineral density that
3 was submitted with the body weight and composition
4 study, which is the only study that followed this.
5 We had 50-week data to look at. Two-year data are
6 pending. Minimal effects were seen on bone mineral
7 density. And, overall, it was thought that there's
8 no indication at this time of dapa effect on bone
9 loss or fracture.

10 The last safety issue I'm going to discuss
11 is cardiovascular safety. There was a meta-
12 analysis conducted by the sponsor in 14 trials.
13 The prespecified primary composite endpoint
14 consisted of the following adjudicated events:
15 cardiovascular death, myocardial infarction,
16 stroke, and hospitalization for unstable angina.

17 There were a total of 6,228 subjects in the
18 database. Seventy-eight subjects had a primary
19 endpoint event. There were two trials that did not
20 have any events at all. Forty-eight events
21 occurred in the dapa-treated subjects and 30 events
22 occurred in comparators.

1 The primary endpoint analysis shows us that
2 the upper bound of the 98 percent confidence
3 interval is 1.18. The p value for assessment of
4 heterogeneity of the studies was .92. This tells
5 us there's no significant difference in the event
6 rate across the trials, which is a zero percent
7 heterogeneity. The component endpoints of MACE
8 were also consistent across the trials.

9 We concluded that there is no increased risk
10 of cardiovascular events that occurs with the use
11 of dapa over control.

12 This forest plot shows us the event rate for
13 each individual study is low. The red studies are
14 add-on studies, black are monotherapy, and blue are
15 combination studies. The confidence interval
16 either crosses 1 or is on 1 in three of the
17 studies, but, overall, there is a consistent
18 pattern of not showing excess risk. The applicant
19 has proposed a cardiovascular outcomes trial to
20 show the benefit of dapagliflozin.

21 So, overall, there were higher rates in the
22 dapa-treated patients of bladder cancer, breast

1 cancer, genital infections, and urinary tract
2 infections. Of particular concern were the cancer
3 cases. There was one probable case of Hy's law.
4 Bone health is being monitored in an ongoing study,
5 and the meta-analysis in cardiovascular safety
6 showed us there was no increased cardiovascular
7 risk. And we know that the applicant has a
8 dedicated cardiovascular study proposed. Thank
9 you.

10 **Clarifying Questions from Committee**

11 DR. THOMAS: Thank you for the presentation
12 from the FDA. We'll now take clarifying questions
13 from the committee for the FDA. Please raise your
14 hand, and we'll call you as identified. While
15 people are doing that, I'd like to ask a question
16 of Dr. Norton.

17 In your slide that you talked about the
18 comparison of using last observation carried
19 forward versus a mixed model of using subjects who
20 are rescued, you felt that the rescue group
21 analysis was the most conservative -- most
22 conservative may not be the right word, but the

1 most appropriate.

2 It doesn't seem to make much sense to me
3 because, in this study, there was a very small
4 number of dropouts, unlike what we see with
5 obesity. The effect that we see should carry over
6 at the time of the duration of the study.

7 If you add the placebo arm and you use
8 rescue therapy, as to the analysis, you should get
9 a diminishment in the difference between placebo
10 and your treatment group, because, theoretically,
11 depending on how you rescue them, you could
12 actually even have a beneficial effect of placebo
13 over treatment.

14 So I actually thought last observation
15 carried forward would be more conservative, and
16 using the rescue after the analysis would actually
17 not be the most appropriate, and biased.

18 DR. NORTON: Yes. This is John Norton.
19 I'll take that in a couple of parts. First of
20 all -- so, yes. There is an argument that I think
21 I even acknowledged, that there is a sense that,
22 well, if someone was rescued, doesn't that mean

1 that they -- that somehow shouldn't be seen as a
2 measure of the efficacy after they've been rescued.

3 As I discussed in the briefing package, it's
4 a little tricky at that point because the person
5 who was rescued differs from other people in two
6 ways. One, they were eligible to be rescued, so
7 they're different in that way. And the other way
8 is, of course, they got the actual biological
9 effect of the rescue. So it's very difficult to
10 really disentangle those two things. But I am
11 certainly sympathetic to that argument, and it's
12 one I've certainly heard.

13 The other issue in terms of conservatism,
14 well, if you define conservatism as I did, in terms
15 of does it -- if a conservative analysis is
16 something that makes the test agent look like
17 placebo, then in this case, LOCF was not
18 conservative in the sense that the other approaches
19 all showed a smaller effect, and LOCF showed the
20 largest effect. But I guess it depends on what
21 your reference point is. Perhaps, there's
22 something that's less conservative than LOCF.

1 The other issue is that there's also an
2 issue of what -- so it depends on what you would
3 predict would happen without treatment over time,
4 in the sense that if you think that the natural
5 tendency is for people to get worse over time, then
6 in that sense, LOCF may appear to be conservative.
7 However, as we saw in the actual trials,
8 essentially, even in the placebo, people were
9 improving over time so that, in that sense, LOCF
10 was not conservative.

11 DR. THOMAS: Dr. Veltri?

12 DR. VELTRI: Yes. Thank you. This question
13 refers to the FDA slide 12 on safety, bladder
14 cancer in particular. You compared risk factors in
15 the total population, but it seems as though the
16 numerical discrepancies are driven entirely by the
17 males. So my question is, if you just looked at
18 the male populations, did that have any effect on
19 these potential risk factors for the development of
20 bladder cancer?

21 DR. DUNN: Yes. Are you saying that this
22 table is for the whole pool and not just for the

1 males?

2 DR. VELTRI: That the ends seem to suggest
3 that they're there for the whole pool, but I don't
4 know that to be the case. But since it was a
5 finding in the males, was there anything in the
6 baseline that was more predictive, potentially, in
7 that gender?

8 DR. DUNN: As the applicant had pointed out,
9 there were some patients that had a baseline
10 hematuria. And if you look at the individual
11 cases, it does appear that there might have been
12 some predisposition of those patients' history of
13 smoking and this history of hematuria.

14 However, we do not have a table comparing
15 just the male patients in this pool to see if there
16 was a baseline difference. This, as you're
17 pointing out, is for the entire phase 2b/3 pool,
18 where we don't see the differences. But assuming
19 that everything was randomized appropriately, which
20 is what we can assume from seeing this table, we
21 could assume, potentially, that that would be
22 balanced between the male patients as well.

1 DR. THOMAS: Dr. Hendricks?

2 DR. HENDRICKS: This is two questions for
3 Dr. Norton. One question is, I'd like to go back
4 to sponsor's slide 10, if we could.

5 So, Dr. Norton, in talking about efficacy,
6 about dapa, you said that the effect on the
7 hemoglobin A1c is 0.5 to 0.6, but it might be less
8 than that. And in looking at this slide, we see
9 that 0.5 or 0.6 would compare favorably with some
10 of the other medications that have been approved
11 previously and are in use now.

12 So I'm wondering, have you looked at any of
13 these other medications using the same statistical
14 type of analysis?

15 DR. NORTON: No, I have not. This is the
16 only medication that I'm personally familiar with
17 in terms of the efficacy.

18 DR. HENDRICKS: The second question is, I
19 guess I don't understand your slide number 10 --

20 DR. NORTON: My slide number 10?

21 DR. HENDRICKS: -- your slide number 10,
22 talking about the treatment effect interacting with

1 race.

2 So do I understand the slide correctly?
3 There's less of an effect in the whites as opposed
4 to minority groups?

5 DR. NORTON: Yes. That would be correct.
6 So you can see -- so I'll just summarize again.
7 So, overall, there was an interaction with race.
8 If you look at the individual groups here, we have
9 white, black or African-American, Asian, and other.
10 And as I mentioned, the overall effect was for the
11 two treatment groups to be the same. So if there
12 was no race affected at all, you'd expect all these
13 differences to be zero on average.

14 So why there was a bit of a trend for dapa
15 to be positive, that is worse, but compared to the
16 standard, there's a very tiny trend. And it does
17 appear that, yes, for the black African-American
18 population, the Asians, that the trend was
19 apparently for dapa to work better. But, again,
20 that's not -- those individual findings are not
21 statistically significant. It's simply the net
22 interaction between race and effect.

1 DR. HENDRICKS: So you did not see this in
2 the whole group or in any of the other subsets?

3 DR. NORTON: Right. I mean, I just went
4 through the other -- I'm not sure what you mean by
5 the other subsets, but I went through the other
6 studies, and I didn't see any sort of consistent
7 pattern of one race group doing better than other
8 race groups.

9 DR. HENDRICKS: Thank you.

10 DR. THOMAS: Dr. Kaul?

11 DR. KAUL: Thank you. I have two questions.
12 The first question is for Dr. Dunn.

13 How would you characterize the
14 cardiovascular risk profile of patients enrolled in
15 this clinical development program? How does it
16 compare with some of the recent programs such as
17 GLP-1 agonist and DPP-4 inhibitors? And in your
18 opinion, does it run consistent with the diabetes
19 cardiovascular guidance document?

20 DR. DUNN: It does run consistent with the
21 guidance document. The upper bound of the
22 confidence interval is 1.18, which is well below

1 the 1.8 that was needed for filing of the NDA.

2 DR. HENDRICKS: But the question I had was
3 referring to the cardiovascular risk profile, the
4 baseline risk profile. Is it consistent with what
5 is recommended in the cardiovascular risk
6 development guide?

7 DR. DUNN: This was conducted in a meta-
8 analysis, which was just the generalized type II
9 diabetes population that had the general
10 cardiovascular risks that you would find in that
11 population. The dedicated study that the applicant
12 will be conducting, that study will be in high-risk
13 patients, and that will be, potentially, if the
14 drug is approved, post-marketing. That would run
15 post-marketing.

16 In terms of other drugs, I'm going to defer
17 to him.

18 DR. IRONY: Yes. Dr. Kaul, I think, in
19 general, it's comparable to the other recent drug
20 development programs for GLP-1 that were recently
21 approved. As you saw from the applicant's
22 presentation, they enrolled a wide range of the

1 diabetic population from the newly diagnosed,
2 younger patient populations with a very low risk of
3 cardiovascular disease to elderly people, including
4 the people in the dedicated renal trial, renal
5 impairment trial. So there was like a wide range.

6 The event rates were somewhat lower than
7 what we would expect at 2 percent, of an annual
8 event rate or so, both for dapagliflozin and for
9 control. But, overall, it's not completely out of
10 range from other recent trials in type II diabetes
11 for other development programs.

12 DR. SVANBERG: If it would considered
13 helpful, we have the breakdown for the
14 cardiovascular risk factors, if that would help the
15 committee in the discussion.

16 DR. THOMAS: If you have it and you can
17 present it briefly, go ahead.

18 DR. SVANBERG: Dr. List will present that
19 data.

20 Dr. List?

21 DR. LIST: Yes. Briefly, the patients in
22 the overall program, about 60 percent of them had

1 hypertension. And these are balanced risk factors
2 between dapagliflozin and control. About 60
3 percent had a medical history of hypertension;
4 50 percent with hyperlipidemia. Forty percent were
5 current or former smokers. About 20 percent had a
6 history of prior cardiovascular disease. Age is a
7 factor. About 20 percent of the population was
8 greater than or equal to age 65. Family history of
9 premature coronary artery disease was in about
10 15 percent of patients. And then if you consider
11 renal impairment as a risk factor, about 11 percent
12 had an estimated GFR less than 60.

13 DR. THOMAS: Thank you.

14 Dr. Gregg?

15 DR. GREGG: Sure. I had two questions, one
16 for Dr. Norton and one for Dr. Dunn.

17 For Dr. Norton, I was wondering whether you
18 could clarify what proportion of the patients
19 actually had imputed data due to the LOCF. And,
20 secondly, whether that was -- it stands to reason
21 that that would be more common among those with
22 some renal failure than not because they're more

1 likely to go into rescue therapy.

2 Then my question for Dr. Dunn was, in
3 computing the expected cases for the bladder cancer
4 and the breast cancer, you had to make an
5 assumption that diabetes carries an excess risk,
6 which means you had to pick a point estimate from
7 meta-analysis, which there's not great consensus
8 around what that point estimate is, I don't think.
9 And I'm curious how much -- if you were to apply
10 some variation to what that assumption is, how much
11 affects the relative risk.

12 DR. NORTON: Yes. I'm afraid -- in terms of
13 how many values were actually imputed in each data,
14 I don't have those numbers offhand, so I'd like to
15 defer to the sponsor.

16 DR. SVANBERG: We have the number and the
17 proportion of subjects with imputed values. I'll
18 ask Dr. Henry to address the question.

19 Dr. Henry?

20 DR. HENRY: David Henry, biostatistics,
21 Bristol-Myers Squibb. For most studies in the
22 dapagliflozin group, there were roughly 12 to 15

1 percent that were imputed. For most of the placebo
2 groups, it was 25 to 27 percent. In the renal
3 study, the placebo group had 40 percent, and it was
4 around 25 percent for the dapa groups.

5 DR. THOMAS: Thank you.

6 DR. DUNN: For your question regarding the
7 safety, I'm going to defer the question to
8 Dr. Hampp, who is the epidemiologist.

9 DR. HAMPP: Thank you for your question. I
10 used an imputation of 40 percent increase
11 associated with diabetes. The meta-analysis
12 indicated 48 percent increase, and we weighted to
13 include that. The control group in the meta-
14 analysis were non-diabetics. But in our case, the
15 control group was SEER, as the general population,
16 some of whom are diabetic. So I used 40 percent,
17 and I acknowledge that there is variation in
18 estimates across studies.

19 However, the studies included in the meta-
20 analysis that did adjust for smoking had a general
21 agreement in that magnitude in studies that were
22 published since, which is in the last four years,

1 also had magnitudes that were similar. Still
2 there's uncertainty in the estimate.

3 How it affects the relative risk estimates;
4 if you take the SEER background estimates that I
5 calculated, you divide them by 1.4, that would
6 assume no increase. If you want to have a maximum
7 sensitivity estimate of 2, you would divide by 1.4
8 and multiply by 2 to get a maximum there.

9 I cannot produce the numbers now in this
10 moment, but there is some uncertainty, but the
11 difference would remain.

12 DR. THOMAS: Dr. Piantadosi?

13 DR. PIANTADOSI: Thank you. My question is
14 for Dr. Dunn. Earlier, I asked the sponsor about
15 rate ratios -- and I'm referring specifically now
16 to bladder and breast cancer -- and Dr. Wei from
17 Harvard told us that there were some zero
18 denominators that made it unreasonable or
19 impossible to calculate rate ratios with precision.

20 Now, we learn from your presentation,
21 perhaps somewhat unfortunately, that there are no
22 longer zero denominators in your comparator group.

1 There's one case each of breast and bladder cancer.
2 And we are able to determine rate ratios, those
3 being approximately 5 and 4, according to what you
4 presented. I wonder -- also, there seem now to be
5 nine cases of each cancer, if I remember the slide
6 you presented correctly.

7 Can you tell us the process by which we got
8 from zero denominator in your comparator group and
9 seven cases, which I think the sponsor presented
10 this morning, to nine versus one? What's the
11 process that either found additional cases or
12 adjudicated those cases?

13 DR. DUNN: For bladder cancer, we did find
14 out, at the time of the four-month safety update,
15 about the seven cases that were in the male
16 subjects in the phase 2b/3 pool. We didn't know
17 about that at the time of the NDA submission.

18 We had asked the sponsor to send in
19 expedited reports for these cancer cases, and we
20 received an additional three cases approximately
21 maybe a month after the four-month safety update.
22 And the sponsor can maybe clarify what their

1 process was in getting those reported. But that
2 was what happened with the bladder cancer.

3 With the breast cancer, the nine cases that
4 were observed were given to us initially with the
5 NDA submission. The one additional case in
6 controls, we probably just found out about within
7 the last month or so. It's pretty recent. So,
8 again, maybe the applicant can tell you their
9 process in those cases.

10 DR. SVANBERG: Dr. List will address how we
11 have reported these cases for bladder and breast.

12 Dr. List?

13 DR. LIST: So cancer, and the question about
14 breast and bladder cancers, emerged relatively late
15 in the phase 3 program. In the NDA filing, we had
16 five bladder cancers, all on dapa, and nine breast
17 cancers, all on dapa. At the four-month safety
18 update, that became, as explained, seven bladder
19 cancers and nine breast cancers, all on dapa, none
20 on control at the four-month safety update.

21 With request from regulatory authorities,
22 including the FDA for more information on the

1 bladder cancers, we unblinded three subsequent
2 bladder cancers. That gave us nine bladder cancers
3 on dapagliflozin and one on control. Because this
4 was an evolving signal, we took another look at our
5 data in May of this year. The data sweep for the
6 four-month safety update was in October of last
7 year. So we took a look in May of this year, and
8 that's what brought the additional one breast
9 cancer case, to bring that to nine cases, to one
10 for breast cancer.

11 So that's how it's evolved, and we've been
12 analyzing this as an evolving safety issue to bring
13 the most current data to bear.

14 DR. PIANTADOSI: So is it safe to say, then,
15 that apart from the vagaries about hematuria, and
16 possible prevalent cases, and so on, that you
17 outlined earlier, that the sponsor and the FDA have
18 agreed that those, as of this moment, are in fact
19 the correct numbers? There's no dispute about
20 whether these are appropriate cases to include and
21 be considered in this deliberation?

22 DR. IRONY: I think it's fair to conclude

1 that those are the correct numbers, but I would
2 defer to the applicant to give their opinion.

3 DR. SVANBERG: We concur with that
4 conclusion, nine cases on dapa from bladder and
5 breast, respectively, and one on comparator for
6 breast and bladder, respectively.

7 DR. PIANTADOSI: Thank you.

8 DR. THOMAS: Dr. Capuzzi?

9 DR. CAPUZZI: Yes. My question was along
10 the same lines that have, I think, been partially
11 answered but not completely. If there is a cancer
12 signal here, it's important, and might go beyond
13 just these two organs. The typical person that has
14 bladder cancer will just present with a self-
15 limited episode of bright red hematuria, which the
16 patient may or may not remember later on.

17 With breast cancer, here again, that's not
18 something we take in lightly. There should be some
19 systematic way of either doing this by imaging or
20 somehow to follow that. And, indeed, a patient
21 might forget that they had a hematuria. What about
22 the bladder cancer that doesn't bleed? And that is

1 a sporadic-type thing.

2 So these are very soft numbers, and, yet,
3 it's a very important issue, and I'm not
4 comfortable with it.

5 DR. THOMAS: Dr. McBryde?

6 DR. SVANBERG: So in order to put that in
7 perspective, maybe we can offer the view of
8 Dr. Dean Bajorin to put this in the perspective of
9 diagnosis.

10 DR. THOMAS: I think we can if it's concise,
11 because we have a few more questions for the FDA.

12 Go ahead.

13 DR. SVANBERG: Dr. Bajorin?

14 DR. BAJORIN: Dean Bajorin. I'm a medical
15 oncologist specializing in bladder cancer, and I'm
16 a paid consultant by BMS. I will direct my answer
17 to your issue with regard to bright red blood.
18 There actually is a very pivotal study that's done
19 in the United States, by Ed Messing and colleagues,
20 actually looking at screening for hematuria, in
21 which trace and above was considered of importance.
22 Then they screened those patients with

1 regard to whether or not they had infections, et
2 cetera, to play a role in the hematuria, and then
3 went on to examine them according to the guidelines
4 by the AUA and EUA, which included imaging and
5 included cystoscopy. An important fact, most of
6 those patients did not have gross hematuria, and in
7 that patient population, the incidence of bladder
8 cancers was 4.7 percent.

9 So not all patients present with gross
10 hematuria. We see it very frequently, but I think
11 the issue of trace and above is really important
12 with regard to evaluating the disease, and we could
13 add more later on.

14 DR. THOMAS: Thank you. Dr. McBryde?

15 DR. MCBRYDE: Thank you. This is a question
16 for Dr. Dunn. I'm just curious, in your safety
17 analysis, if you had looked at the hypovolemia and
18 renal events. One of the main things that I was
19 looking at, a published manuscript of, I think, a
20 phase 2b MB102009, they actually had written in the
21 report that there was an episode of acute renal
22 failure in the dapa-treated group, with concomitant

1 treatment, with furosemide, and enalapril,
2 diuretic, and an ACE inhibitor.

3 With the previous comments from the sponsor,
4 about 60 percent of the enrolled subjects had
5 hypertension, there was no data that I could find
6 in the studies about concomitant drug therapy. But
7 certainly in the diabetic population, angiotensin-
8 converting enzyme inhibitors and angiotensin
9 receptor blockers are very widely used and
10 diuretics are commonly used as first-line therapy
11 for hypertension.

12 So one of my concerns is the risks of acute
13 renal failure. According to the sponsor's packet,
14 it appears to have been included as dehydration as
15 an adverse event and not acute renal failure,
16 though the manuscript states differently.

17 So I was curious if you had done an analysis
18 that wasn't presented in here, looking at the risks
19 associated and whether or not there are increased
20 risks associated with the use of diuretics or the
21 renin-angiotensin-aldosterone system antagonists.

22 DR. DUNN: At this time, the analyses that I

1 have are the ones that were conducted by the
2 applicant. I don't have additional analyses in
3 those specific populations that you're bringing up.
4 My backup slide number 6 for the clinical backup
5 slides, again, these are presented by the
6 applicant. But the events of volume depletion,
7 which were defined as hypotension, hypovolemia, and
8 dehydration, were reported in more patients treated
9 with dapa than comparator. This is in the placebo-
10 controlled pool.

11 As you point out in the study -- we can go
12 to slide 7. In study 29, which is the moderate
13 renal impairment study, when the applicant combined
14 those moderate renal impairment patients with the
15 moderate renal impairment patients from the
16 placebo-controlled pool, there is a higher rate of
17 renal or volume status AEs I'm seeing in these
18 subgroups of patients than in the general placebo-
19 controlled pool. But, again, I don't have analyses
20 specific to background therapies and so forth.

21 I'm not sure if the applicant has any
22 additional analyses.

1 DR. SVANBERG: Yes. We do have the
2 information on the events reported, renal events,
3 and I'll ask Dr. List to provide that.

4 DR. LIST: So we've looked at the renal
5 events in our program and at the volume events in
6 our program, and there is some overlap in these
7 events. The way we've done this is we've taken
8 spontaneously reported adverse events and looked by
9 the preferred term from the MedDRA dictionary, and
10 lumped all of the renal events together, lumped all
11 of the volume-type related events together, and
12 that's where we come up with these sorts of
13 numbers.

14 When we look into the more severe events,
15 look at specifically serious adverse events, that
16 is medically important events or events requiring
17 hospitalization, et cetera, for both of these
18 types, there are four on dapagliflozin and four on
19 control, so it's quite balanced.

20 The one case that you are referring to in
21 study 009, which is an add-onto-insulin pilot
22 study, is actually a case that, because of the way

1 it was report and the preferred term used, fell
2 into the volume bucket as opposed to the renal
3 bucket. But that case is a case where the patient
4 experienced dehydration and pre-renal azotemia and
5 was treated with oral fluids.

6 With respect to the renal serious adverse
7 events, of the four that are on dapagliflozin, one
8 was actually an error in calculation of creatinine
9 clearance, but it got reported as a serious adverse
10 event and went into our database like that, even
11 though it wasn't acute renal failure. We also had
12 one case that was renal failure in the setting of a
13 hospitalization in a patient who was very complex,
14 who had CHF exacerbation and pneumonia, and
15 ultimately died.

16 One case, the third case, of these was a
17 patient who had urinary obstruction, leading to the
18 renal failure, and that was cured with relieving
19 the urinary obstruction through catheterization.

20 The fourth one is a patient in the dedicated
21 study in moderate renal impairment, who's a
22 patient, who had a gradual decline in the renal

1 function and a very serious adverse event of renal
2 failure with worsening renal insufficiency.

3 DR. MCBRYDE: If I could follow up on that,
4 did you have a pre-defined definition for acute
5 kidney injury, either using something similar to
6 the RIFLE criteria, the risk injury failure, or the
7 AKIN, the Acute Kidney Injury Network definitions?
8 I'm curious as to how much of a change in
9 creatinine clearance, or estimated GFR, or serum
10 creatinine would trigger it being a renal event
11 versus a volume event.

12 DR. LIST: We did not have a definition of
13 acute kidney injury that we used in the program.
14 What we did have in the program is we had cutoffs
15 in all of the studies for discontinuation of
16 patients, based on changes in serum creatinine or
17 in estimated creatinine clearance. And if a
18 patient's discontinued for a laboratory event, that
19 is required to then also be reported as a clinical
20 adverse event. And that's where we then gather all
21 of these data from the spontaneously reported
22 clinical adverse event.

1 The other thing we looked at is that we
2 looked at patients whose serum creatinine increased
3 from their baseline to one and a half over
4 baseline. And we also looked at patients whose
5 serum creatinine increased to an absolute value of
6 2.5 milligrams per deciliter. For both of these
7 thresholds of elevations of serum creatinine, we
8 see no difference between dapagliflozin and
9 control, and very, very few patients actually hit
10 that 2.5-milligram-per-deciliter threshold.

11 DR. MCBRYDE: If I could just ask one last
12 clarification, were you using creatinine clearance
13 by measured or estimation? I've heard previously
14 that you were using eGFR, using, I presume, the
15 four variable MDRD formula. So I'm just curious
16 what criteria you're using across all these studies
17 to evaluate renal function or dysfunction.

18 DR. LIST: The main way that we've looked at
19 renal function across the entire program is by
20 serum creatinine measurements and the estimations
21 that are based on those. So that's Cockcroft-Gault
22 creatinine clearance and estimated GFR. And when

1 we've looked at it, whichever the three ways you
2 look at it, the findings are concordant. We have
3 measured creatinine clearance only in the earlier
4 studies, the phase 2 and earlier studies as we were
5 exploring doses. And it requires to measure the
6 creatinine clearance at 24-hour urinary collection,
7 which is hard to do accurately in a phase 3
8 program.

9 DR. THOMAS: Dr. Kaul?

10 DR. KAUL: Thank you. I have two questions.
11 One is a follow-up to Dr. Dunn, and then there's
12 one quick question for Dr. Norton. The mean
13 duration of diabetes in this developing program is
14 about six years and there is increasing evidence to
15 suggest that the longer the duration of diabetes
16 may be necessary to increase the risk to a CHD
17 equivalent. In fact, there's a recent study
18 published in the archives in March from a British
19 regional heart study that CHD risk was only
20 observed when the diabetes duration was greater
21 than eight years.

22 So how many of these patients had diabetes

1 duration greater than eight years in this study?

2 DR. DUNN: I'm sorry. I actually don't have
3 the breakdown of the cardiovascular risk, but I
4 think the applicant did have something that they
5 had presented. I'm not sure if they have that.

6 DR. SVANBERG: We do not have that
7 information for the totality of the program. The
8 insulin study subjects had had diabetes for
9 approximately 10 years, and we can look into it
10 over the break, if we can get it for the totality
11 of the program.

12 DR. KAUL: Then one question for Dr. Norton.
13 Your conservative estimate of the effect size of
14 hemoglobin lowering, Alc lowering, of .45 percent,
15 is not very materially different from what the
16 sponsor's primary analysis revealed. But the
17 benefit-risk estimate that they presented was based
18 on how many patients reached the glycemic threshold
19 or glycemic target of less than 7 percent. Your
20 analysis is unlikely to impact that.

21 Is that a fair statement?

22 DR. NORTON: It depends. It depends on how

1 they computed it. I don't know how. I guess, if
2 patients were rescued or there was no follow-up, if
3 they were counted as failures, then -- I'm not sure
4 how they -- I'll leave it up to the applicant. I'm
5 not sure how they conducted their analysis.

6 DR. SVANBERG: I will ask Dr. Parikh to
7 address how the patients were rescued and how that
8 was managed.

9 Dr. Parikh?

10 DR. PARIKH: So we did that analysis and we
11 showed that analysis because of the issue of
12 patients dropping out, long term, and issues with
13 LOCF.

14 Can I have slide 38, please, the slide from
15 my color presentation? This is an analysis of
16 patients switching to a target of less than
17 7 percent. I'm not a statistician. This is close
18 to ITT analysis. It includes all patients at all
19 time points. Any patient who was discontinued from
20 the study for any reason or any patient who had
21 beta missing was considered to be a treatment
22 failure in this. And, therefore, was a failure,

1 and did not achieve 7 percent. So this reflects
2 the patients who achieved 7 percent in a more ITT-
3 like fashion.

4 DR. SVANBERG: I do apologize for my
5 oversight on the previous questions. We do have
6 the duration of diabetes in subgroups. I'm not
7 sure if 1080 (ph) is the denominator or it might be
8 10 years.

9 Can we get that slide back, please?

10 DR. THOMAS: Actually, can -- because I have
11 a few other questions before you finish up.

12 Would you be able to prepare that, and we
13 can present that in the afternoon.

14 DR. SVANBERG: We can absolutely do that.

15 DR. NORTON: Yes. I just wanted to briefly
16 comment. So, yes. If you accept the 7 percent
17 cutoff the way they've defined it as an appropriate
18 measure of benefit, then -- I mean, if it's an
19 appropriate measure of benefit than it is in
20 some -- yes, in that sense, my analysis would be
21 less relevant.

22 DR. THOMAS: Dr. Strader?

1 DR. STRADER: This question is for Dr. Dunn.
2 It's the same question that I asked the applicant.

3 Does the FDA have a protocol that they
4 recommend for applicants with respect to evaluating
5 hepatotoxicity of the agents that they are
6 studying, or do you just review the cases as
7 they're sent to you at the individual updates and
8 gather the data to determine whether there's a
9 hepatotoxicity?

10 DR. DUNN: We don't have a protocol; we have
11 a guidance.

12 Dr. Avigan?

13 DR. AVIGAN: Hi. I'm Mark Avigan. So the
14 answer is that we use the information that's
15 provided to us. And it's pretty much codified in
16 the guidance that was published in 2009. And the
17 basic point is that we use differential diagnosis
18 with all the exclusions, looking at the highest
19 cases in particular for causality, and those then
20 serve as sentinels, with a potential of the drug to
21 cause idiosyncratic hepatotoxicity in a large
22 exposure population. And you heard the discussion

1 about the differential diagnosis and the
2 probabilistic analysis.

3 DR. THOMAS: Dr. Seely?

4 DR. SEELY: I wanted to know if the FDA had
5 reviewed urinary microalbuminuria data from the
6 sponsor, and if so, what your feelings were about
7 that.

8 DR. DUNN: No. We have not reviewed that
9 data in detail. I don't think that I reviewed that
10 data. I don't recall seeing it.

11 DR. SVANBERG: We have evaluated
12 microalbuminuria in the program, and that forms
13 part of the dose (indiscernible). I'll ask
14 Dr. List to address the findings.

15 Dr. List?

16 DR. LIST: When we look at the totality of
17 the data that we have, most of the patients don't
18 have microalbuminuria, so it's not very informative
19 regarding that. What is informative is when we
20 look in the dedicated study in moderate renal
21 impairment, where there is a substantial proportion
22 of patients with microalbuminuria and some patients

1 with macroalbuminuria.

2 Within that study, if you look at the change
3 from baseline, there is a decrease in albuminuria,
4 measured by the urinary albumin to creatinine ratio
5 in a spot sample, for patients who receive
6 dapagliflozin compared to placebo.

7 We've also looked in that study at a
8 categorical shift analysis. We've looked across
9 the entire program of the categorical shift
10 analysis, but it's not very informative since most
11 patients are normal at baseline. But within that
12 study, where a significant portion are not normal
13 at baseline and you look for people, did they shift
14 worse? That is, did they go from normal to
15 microalbuminuria or micro to macro, or did they
16 shift better, from macro to micro or micro to
17 normal?

18 What we see is, taking the 10-milligram dose
19 as an example, 5 got worse, 16 got better. That's
20 compared to placebo, where 10 got worse and 7 got
21 better. This is by no means conclusive evidence of
22 an effect on albuminuria, but it is hypothesis

1 generating, that there could be something
2 beneficial.

3 DR. THOMAS: We will now break for lunch.
4 We will reconvene again in this room in one hour
5 from now, at 1:10 p.m. Please take any personal
6 belongings you may want with you at this time. The
7 ballroom will be secured by FDA staff during the
8 lunch break.

9 Panel members, please remember that there
10 should be no discussion of the meeting during lunch
11 amongst yourselves or with any member of the
12 audience. Thank you.

13 (Whereupon, at 12:06 p.m., a luncheon recess
14 was taken.)

15
16
17
18
19
20
21
22

A F T E R N O O N S E S S I O N

(1:12 p.m.)

Open Public Hearing Session

DR. THOMAS: Good afternoon. Both the Food and Drug Administration and the public believe in a transparent process for information gathering and decision making. To ensure such transparency of the open public hearing session of the advisory committee meeting, FDA believes it is important to understand the context of an individual's presentation.

For this reason, FDA encourages you, the open public hearing speaker, at the beginning of your written or oral statement, to advise the committee of any financial relationship that you may have with a sponsor, its product, and, if known, its direct competitors. For example, this financial information may include the sponsor's payment of your travel, lodging, or other expenses in connection with your attendance of the meeting. Likewise, FDA encourages you, at the beginning of your statement, to advise the committee if you do

1 not have any such financial relationships.

2 If you choose not to address this issue of
3 financial relationships at the beginning of your
4 statement, it will not preclude you from speaking.
5 The FDA and this committee place great importance
6 in the open public hearing process. The insights
7 and comments provided can help the agency and this
8 committee in their consideration of the issues
9 before them.

10 That said, in many instances and for many
11 topics, there will be a variety of opinions. One
12 of our goals today is for this open public hearing
13 to be conducted in a fair and open way, where every
14 participant is listened to carefully and treated
15 with dignity, courtesy, and respect. Therefore,
16 please speak only when recognized by the chair.
17 Thank you for your cooperation.

18 The first speaker will be Kelly Close.

19 MS. CLOSE: Good afternoon, Chairman Thomas,
20 members of the committee, and FDA officials. I am
21 the editor in chief of three diabetes and obesity
22 publications that serve patients, providers, and

1 those who research and develop therapies to treat
2 these conditions.

3 Our mission is to help improve patient
4 outcomes by delivering the best information
5 possible to everyone who needs it. By way of
6 disclosure, while various manufacturers subscribe
7 to our news service, Closer Look, this group does
8 not include Bristol-Myers Squibb or AstraZeneca,
9 the sponsors of dapagliflozin. Our patient
10 newsletter, diaTribe, is free and does not accept
11 advertising.

12 I have had diabetes since I was a teenager.
13 I'm glad for all patients with diabetes, including
14 myself, especially myself, that therapies have
15 improved so much in the decades since I was
16 diagnosed, albeit from a low base. But we have
17 reached a pivotal crossroads.

18 In the last two years, three drugs have been
19 turned down for diabetes, one of them twice. Two
20 drugs have been approved for diabetes with the same
21 mechanism as a drug already on the market. Three
22 drugs have been turned down for obesity, the cousin

1 of diabetes. And since FDA's decision in 2008 to
2 require cardiovascular outcome trials, the cost to
3 develop drugs for diabetes have increased by an
4 estimated \$100 million per compound.

5 For most people with type II diabetes, no
6 available therapy by itself or in combination with
7 others can achieve target levels of glycemic
8 control for more than a few years without
9 substantial risks. But we are encouraged that,
10 today, we are here to discuss a drug with a new
11 mechanism of action. We continue to look forward
12 to new mechanisms of reducing blood glucose that do
13 not cause weight gain or hypoglycemia.

14 Furthermore, we are encouraged by the
15 potential of this mechanism to be combined,
16 eventually, with other classes of drugs to produce
17 a potentially superior outcome for patients. As a
18 reminder, I know, as a patient, no drugs today are
19 disease modifying and I do hope to see that in my
20 lifetime.

21 Also, I'm cheered today to see a drug with a
22 cardiovascular profile that suggests it could even

1 be cardioprotective, given the reassuring point
2 estimate and confidence intervals from the pre-
3 approval outcome assessments.

4 Simpler drugs are easier for patients to
5 take and for doctors to prescribe. If there were
6 ever a time that better and easier drugs were
7 needed, that time is now, given the shortage of
8 doctors and nurses to treat diabetes and given the
9 serious adherence problems that we all read about
10 frequently.

11 You, of course, will assess all of the risks
12 of dapagliflozin using your own clinical and
13 scientific expertise with the help of your
14 colleagues and those, importantly, with a
15 particular specialization in assessing cancer risk
16 and drug-induced liver injury. We, of course,
17 would urge you to recommend the appropriate
18 labeling and risk management to address the safety
19 signals that have been raised. No drug will ever
20 be zero risk.

21 I'm reminded of that constantly as a
22 patient. I would just ask, please mitigate the

1 risk while being open to further treatments that
2 could help people with diabetes now, whether this
3 involves a narrow label, rigorous post-marketing
4 follow-up, and/or conditional approval based on
5 further safety and efficacy assessments.

6 We ask members of the advisory committee and
7 FDA to consider how their actions can affect
8 innovation. More than anything, we ask the FDA to
9 promote public health and foster innovation by
10 trying to be even more consistent and predictable
11 in its recommendations and decisions.

12 The agency's mission includes the
13 challenging but very important goal of balancing
14 regulation with innovation. While we want to
15 ensure the safety of diabetes drugs, we also
16 believe ongoing innovation is critical. Although
17 we don't want to make cardiovascular outcome trials
18 the focus of our words today, we do want to note
19 that at the annual American Diabetes Association
20 meeting in late June this year, we were
21 disappointed to hear a CDER deputy director use the
22 number of IND filings and even the number of

1 phase 2 meetings to state that CV guidelines have
2 had no effect on any patient in the diabetes field.

3 Since there is at least a 5- to 10-year lag
4 between investment in discovery stage assets to IND
5 filings, and even longer to phase 2 meetings,
6 broadly speaking, we would note that it would be
7 helpful if FDA could identify measures of
8 innovation that assess more immediate impacts of
9 FDA guidelines.

10 As discussed already today, the available
11 class of drugs carry with them a range of side
12 effects. The durability of treatment is very
13 variable. Many agents are using combination
14 regimens. And even if you find the right
15 combination, it is hardly time to celebrate. Most
16 of these drugs work well for a relatively short
17 period of time, at best five years, and assume very
18 good adherence, which we know is not the reality
19 any of us is living.

20 We badly need more and better options. The
21 drugs need to be safe and effective, but they don't
22 need to be perfect. They do, however, need to be

1 available, even if in some limited form initially,
2 if we are ever going to curb an epidemic that is
3 spiraling out of control.

4 Through extensive study of the diabetes
5 field, I'm convinced that the relative abundance of
6 type II drugs, while a wonderful demonstration of
7 the success of research in the field, does not
8 satisfy patient and public health needs in this
9 mechanistically complex progressive disease.

10 One more sentence, please. We need
11 continued research and development in this area.
12 We ask FDA to be aware of their roles, in both
13 encouraging and potentially discouraging
14 investment.

15 On a final note, from a patient perspective,
16 I wish every patient could see how hard FDA and the
17 advisory committees work, and I thank you all from
18 the bottom of my heart for all of your work on this
19 front in helping patients. Thank you.

20 DR. THOMAS: Thank you for your comments.

21 The next speaker at the public hearing will
22 be Diana Zuckerman.

1 DR. ZUCKERMAN: Thank you. I'm Dr. Diana
2 Zuckerman. I'm president of the National Research
3 Center for Women and Families, and I'm here
4 speaking on behalf of the Center and our cancer
5 prevention and treatment fund.

6 Our center is dedicated to improving the
7 health and safety of adults and children, and we do
8 that by examining research and translating the
9 results of that research into usable information
10 for policymakers, for patients, and for the general
11 public. And our non-profit center does not accept
12 funding from pharmaceutical companies, so I have no
13 conflicts of interest.

14 I'm here today, speaking from my perspective
15 as someone trained in epidemiology at Yale Medical
16 School. I also was on the faculty at Yale and at
17 Vassar and conducted research at Harvard, and
18 currently I'm a fellow at the Center for Bioethics
19 at the University of Pennsylvania. So I'm putting
20 together all of those perspectives, as well as
21 having worked for the Department of Health and
22 Human Services, and also being the daughter of my

1 dad, who has diabetes. So I'm also speaking from
2 the patient perspective today.

3 My concern about this drug, dapa, is that
4 there are just too many unanswered questions. And
5 I have testified at FDA meetings before, but I
6 don't remember any drug that had quite such serious
7 unanswered questions as this one does.

8 So when we look at safety and we think about
9 the liver toxicity, those are unanswered questions.
10 I don't know what the safety issues are for the
11 liver, but we certainly would want to know more
12 before the drug is approved.

13 Obviously, what really stands out is the
14 possibility that this drug could increase the risk
15 of breast cancer, bladder cancer, and potentially
16 other cancers as well. And I just want to mention
17 in passing that my father got diabetes at the age
18 of 90 after being treated for prostate cancer with
19 Lupron, which is considered potentially a risk
20 factor for diabetes. So wouldn't that be ironic,
21 that he gets diabetes because of his cancer
22 treatment, and then could go on this drug and get a

1 different kind of cancer? I think you would all
2 agree that that's not the kind of innovation we're
3 looking for.

4 It is always exciting when a new drug comes
5 along that has a different mechanism of action that
6 might possibly be very helpful. It could add to
7 the different treatments available to patients.
8 And in an ideal world, doctors and patients would
9 know the research, and look at it carefully, and
10 make a determination about what's best for each
11 patient. But in the real world, that just doesn't
12 happen very often.

13 So we do need to be concerned about what
14 kind of informed consent patients would have. And
15 it's impossible to have informed consent when the
16 research hasn't been done, but there are these very
17 frightening possibilities of increased cancer risk.
18 And I'm sure that everybody at this table knows
19 that since cancer usually takes years to develop,
20 it's very unclear what's going on with this drug.
21 Are these cancers -- did they occur by chance or
22 are they related to taking the drug?

1 But we also know from hormone replacement
2 therapy research that even in the short term,
3 exposure to certain hormonal activity and other
4 drug effects can increase the risk of breast
5 cancer, in particular in the short term, not just
6 over the long term. But we would certainly want
7 more research to find out if this effect is even
8 stronger over a period of time or if it disappears
9 entirely.

10 So what I would ask you to consider is that
11 although this drug seems promising, we don't really
12 know very much about the efficacy. We know that
13 it's very good for glycemic control for some
14 patients, but we don't know how that affects their
15 actual health over time. We know that other
16 diabetes drugs have been found to be very good for
17 glycemic control, but not necessarily improve
18 health.

19 So we have the efficacy question that's not
20 completely answered and a lot of risk questions
21 that haven't been answered at all. And I would ask
22 you to consider the importance of answering those

1 questions before this drug is approved and sold,
2 because once it's on the market, it would be used
3 very widely by many people, some of whom
4 potentially could be very harmed by it.

5 One other thing I just want to mention is
6 even though we do want more drugs to treat diabetes
7 and to help patients, we're not in an emergency
8 situation. We don't have to rush this drug to
9 market. It makes a lot of sense to wait until
10 we've answered these very important safety
11 questions. Thank you very much.

12 DR. THOMAS: Thank you for your comments.

13 The next speaker is Sidney Wolfe.

14 DR. WOLFE: Thank you. I do not have any
15 financial conflicts of interest. We can all agree,
16 as has been said several times, it's worth
17 repeating, first, of a new chemical class of agents
18 for type II diabetes. It also is the first drug to
19 act as the sodium glucose transport protein, SGLT2.
20 But their request for approval is based solely on
21 surrogate efficacy in terms of lowering A1c, and
22 there is no evidence of any improved clinical

1 outcomes, as opposed to an older drug like
2 metformin.

3 So the overall question as the FDA phrased
4 it is the efficacy of dapa needs to be balanced
5 against safety signals identified in the clinical
6 trials. And there are a large number, including
7 bladder cancer, breast cancer, one probable Hy's
8 law hepatotoxicity case, increased genital and
9 urinary tract infections, chronic osmotic diuresis
10 every time you take the drug with hypovolemia, and
11 risk of dehydration, and, I would add, heat
12 intolerance, especially in older people who are
13 using diuretics.

14 The baseline characteristics of the risk
15 factors for bladder cancer in the two groups was
16 really similar, as pointed out this morning. The
17 nine dapa bladder cancer cases amounted to 299 new
18 cases, as opposed to 59 for the control group, per
19 100,000 patients. The incidence rate ratio between
20 active control and treatment was 5.08 with a two-
21 sided p value of .15, not statistically
22 significant, but then the trials were not powered

1 to pick up a statistically significant difference.

2 Based on the SEER data, though, only three
3 cases of bladder cancer, not nine, would have been
4 expected in the male dapa-exposed population. The
5 standardized incidence ratio observed versus
6 expected was 2.98. As pointed out, that's a p
7 value of .008.

8 Breast cancer. The breast cancer risk
9 factors at baseline were also similar between the
10 two groups, but the age-specific incidence rates of
11 breast cancer were higher than those reported in
12 the literature. It could be a safety signal that
13 dapa may be associated with increased risk of
14 breast cancer.

15 This was stated this morning, but it can't
16 be stated too much. I knew Hy Zimmerman very well.
17 Finding one Hy's law case in the clinical trial
18 database is worrisome. In this case, there was a
19 probable case of mild, to moderate, to severe dapa-
20 induced liver toxicity. Recent examples of drugs
21 causing hepatotoxicity, such as bromfenac,
22 troglitazone, ximelagatran illustrate that

1 predicted value of Hy's law, where findings during
2 clinical trials were noted and severe drug-induced
3 liver injury occurred after marketing.

4 FDA staff expressed concerns about the
5 completeness of the database concerning
6 hepatotoxicity, both in terms of dropouts of
7 subjects and in incomplete database, looking at all
8 these serial liver values.

9 Genital and urinary tract infections,
10 significant increases in the total of vulvovaginal
11 yeast infections and vaginal infections with all
12 dapagliflozin patients, 2.4 percent compared with placebo,
13 .5. And these are just the ones where they're
14 actually infections, not the larger group you saw
15 this morning.

16 Urinary tract infections significantly
17 increased in all dapagliflozin patients, 4 percent compared
18 with placebo patients, 2.7 percent. Again, as
19 mentioned, events related to chronic intermittent
20 osmotic diuresis, an increase in volume depletion
21 events, .7 percent in the dapagliflozin group, .4 percent in
22 the control group. Dapagliflozin also increases the

1 hematocrit, which could be a risk factor for
2 cardiovascular events.

3 Summary. For a drug offering only a new
4 mechanism of Alc lowering, devoid of any evidence
5 of clinical benefit, the long list of FDA's serious
6 concerns quoted below are used strongly against
7 approving dapa. I mentioned the concerns before.

8 Approving dapa would amount to treating a
9 surrogate marker of a disease by increasing the
10 risk of other actual diseases. On the other hand,
11 the precautionary principle, which would be not
12 approving dapa, would be a public health move in
13 the right direction at a time when we do have a
14 number of other drugs available, some of which
15 actually have a clinical benefit. Thank you.

16 **Questions to the Committee/Committee Discussion**

17 DR. THOMAS: Thank you for your comments.

18 The open public hearing portion of this
19 meeting has now concluded, and we will no longer
20 take comments from the audience. The committee
21 will now turn its attention to address the task at
22 hand, the careful consideration of the data before

1 the committee, as well as the public comments.

2 At this time, we'll have some additional
3 questions from the panel, and before that starts,
4 I'd like the sponsor to come up and present some
5 data from an earlier question of Dr. Kaul's.

6 DR. SVANBERG: Thank you. We would like to
7 address the question which came before the break
8 around the duration of type II diabetes in the
9 patients in the program.

10 If I can have slide 1312, please. Based on
11 the overall dapagliflozin program, we had already
12 divided the data in subjects who had had diabetes
13 for less than 3 years, 3 to 10 years, greater than
14 10 years. In response to the request was a
15 specification of longer duration than eight years.
16 We have added that at the very end as well.

17 The data here show that a total of
18 30 percent, approximately, of patients in the
19 program have had diabetes for more than eight
20 years. Twenty-two percent have had diabetes for
21 more than 10 years.

22 Does that answer your question, Dr. Kaul?

1 DR. KAUL: Yes. And have you ever done a
2 subsidiary analysis stratifying the cardiovascular
3 event rate, according to the duration of diabetes?
4 Does that have an impact on it?

5 DR. SVANBERG: Dr. List will address that
6 question.

7 Dr. List?

8 DR. LIST: Yes. If I may have slide 45-1,
9 please, we've looked at the primary cardiovascular
10 outcome endpoint by a number of subgroups. The
11 duration of diabetes is right in the middle. We
12 don't have the cut at the eight-year cut. We have
13 this cut that we talked about with the 3 years and
14 10 years as the two cut points. There, all three
15 of those groups show point estimates that are
16 consistent with the overall results from the
17 composite and primary endpoint.

18 DR. THOMAS: Thank you.

19 We will now go back to questions that were
20 left over from this morning, but if you wish to ask
21 a question now, please raise your hand so we can
22 recognize you. Dr. Capuzzi?

1 DR. CAPUZZI: Am I to understand that there
2 are no more presentations from the sponsor? Is
3 that correct?

4 DR. THOMAS: That's right. You had a
5 question this morning for the sponsor?

6 DR. CAPUZZI: Yes. Okay. Well, one thing
7 that I'd be interested in is, I haven't seen a
8 slide on the structure of the compound, how it's
9 bound, how it travels in plasma, what's the T one-
10 half, the biotransformed. And all of these are
11 issues which have a bearing on its potential risk-
12 benefit profile and possible potential. I don't
13 want to dwell on neoplasms, but, you know, it's an
14 issue that has not been resolved and talked about.

15 But I think that tells you an awful lot
16 about the drug, if you could show what it looks
17 like, unless I missed it. And it's not in the
18 reading material here.

19 Is that possible to do or is that out of
20 order?

21 DR. THOMAS: Do you have a slide of the
22 actual structure?

1 DR. SVANBERG: We do have a slide of the
2 structure of dapagliflozin. We have slide 17-3.
3 And I will at the same time ask Dr. Boulton to come
4 up and address the question, how the drug is
5 metabolized and distributed.

6 DR. CAPUZZI: That's water insoluble, is it
7 not?

8 DR. SVANBERG: Sorry?

9 DR. CAPUZZI: That's certainly water
10 insoluble, is it not?

11 DR. SVANBERG: Dr. Boulton?

12 DR. BOULTON: David Boulton, clinical
13 pharmacology, BMS. Just to answer your question
14 about the solubility, actually, it is highly water
15 soluble, greater than the usual dose; would
16 dissolve in 10 mls of water.

17 DR. CAPUZZI: Is it protein bound?

18 DR. BOULTON: The protein binding of dapa is
19 91 percent.

20 DR. CAPUZZI: How does it work? How is it
21 biotransformed?

22 DR. BOULTON: Can I have slide 6-17, please?

1 So on the left-hand side, we have the parent
2 molecule: dapagliflozin. It is mainly metabolized
3 through UGT1A9 to a 3-O glucuronide metabolite.
4 It's a stable ether metabolite. Sixty percent of
5 dose is transformed to this particular metabolite.
6 The other metabolites are glucuronide metabolites,
7 which are minor, and also some phase 1 oxidative
8 metabolites, which are also very minor.

9 DR. CAPUZZI: Excuse me, but what are the
10 therapeutically active medications in that, or
11 subspecies, if you know?

12 DR. BOULTON: We believe that the major
13 therapeutic moiety is the parent dapagliflozin.

14 DR. CAPUZZI: Excuse me? Of the parent
15 drug?

16 DR. BOULTON: Parent drug, yes.

17 DR. CAPUZZI: I see. And the T one-half of
18 it, about?

19 DR. SVANBERG: The half-life of the drug is
20 approximately 12 hours.

21 DR. CAPUZZI: Twelve hours? Okay. All
22 right. Thanks.

1 DR. THOMAS: Dr. Felner? Dr. McBryde?

2 DR. MCBRYDE: If I could just get that same
3 slide back, I did have a question.

4 What is known about dapag's excretion from
5 the kidney? Is it freely filtered, the glomerulus?
6 Given that high protein binding, I would be
7 suspicious that it's secreted by the proximal
8 tubule. And so I'm curious about competitive
9 inhibition with other drugs, particularly
10 furosemide that was mentioned earlier.

11 I also wanted to ask if you had any data on
12 the effects of hypoalbuminemic states, such as
13 commonly seen in patients with chronic kidney
14 disease, and what the impact of macro to overt
15 macroalbuminuria and overt proteinuria may be with
16 regards to the bioavailability of dapag in the brush
17 border of the S1/S2 segments of the proximal
18 convoluted tubule.

19 DR. SVANBERG: So if I captured your
20 questions correctly, the first question was related
21 to dapagliflozin and its excretion through
22 filtration in the kidney?

1 DR. MCBRYDE: Correct.

2 DR. SVANBERG: The second question was
3 relating to its interference, if any, with a loop
4 diuretic?

5 DR. MCBRYDE: Just as an example, in terms
6 of other drugs that we know are either excreted or
7 blocked proximal tubular secretion of drugs, like
8 the H2 blockers.

9 DR. SVANBERG: And the third question was
10 relating to how potential proteinuria impacts the
11 bioavailability of the drug?

12 DR. MCBRYDE: Correct.

13 DR. SVANBERG: I will ask Dr. Boulton to
14 address the questions.

15 Dr. Boulton?

16 DR. BOULTON: So, first of all, with regards
17 to urinary excretion of dapagliflozin, about
18 2 percent of dose is recovered as unchanged dapa in
19 urine. And when you look at the free fraction
20 filtered relative to the amount, the unbound renal
21 clearance is fairly similar to GFR. So we think
22 it's mainly freely filtered.

1 With regards to interactions with other
2 active transporters, dapagliflozin is a PGP substrate. We
3 have done a drug-drug interaction with digoxin,
4 which is a well-known PGP substrate and marker of
5 activity. We see no interaction there. We have
6 not conducted a study with H2 blockers
7 specifically, but our in vitro transporter studies
8 would suggest there's very little potential for
9 active transporter based drug-drug interactions.

10 DR. MCBRYDE: Haven't you done any studies
11 in hypoalbuminemic states or proteinuric states to
12 look at the effect on the activity of dapagliflozin on the
13 SGLT2 transporter? I'm asking just because we see
14 the phenomenon of furosemide resistance with
15 proteinuric patients due to tubular binding of the
16 free drug to luminal proteins. And given the high
17 protein binding of dapagliflozin, one of the concerns I was
18 wondering is, with this reduction in responsiveness
19 with decline in GFR, could there also be problems
20 with proteinuric states inducing a resistance to
21 dapagliflozin.

22 DR. BOULTON: We have not specifically

1 studied that population from a pharmacokinetic or
2 pharmacodynamic perspective.

3 DR. MCBRYDE: Thank you.

4 DR. THOMAS: Dr. Smith?

5 DR. SMITH: Right. So carrying on with the
6 issue of the biochemistry here, do we know whether
7 this drug undergoes glucuronidation solely in the
8 liver, or does it get glucuronidated in the kidney,
9 a site of substantial activity of UDP glucose
10 dehydrogenase? This could certainly impact the
11 biological activity of the drug, and it could
12 potentially explain the divergence between those
13 individuals with normal GFR and those renally
14 impaired.

15 DR. SVANBERG: Based on the data from our
16 phase 1 studies, these data suggest that
17 glucuronidation of dapagliflozin takes places both
18 in the liver and in the kidney.

19 DR. SMITH: Second issue has to do with
20 trying to put into perspective the potential risk
21 for bladder carcinogen -- neoplasia with the drug.
22 The issue is what do we know about the endogenous

1 small molecules and xenobiotics that might be
2 co-transported by the glucose sodium transporter
3 that would be inhibited by dapa and could, at least
4 theoretically, result in concentration of an agent
5 in the urine?

6 DR. SVANBERG: Dapagliflozin has not shown
7 carcinogenistic (ph) potential, and I will ask
8 Dr. Reilly to present that data.

9 Dr. Reilly?

10 DR. SMITH: My question has nothing to do,
11 necessarily, with the carcinogenesis of the
12 molecule itself, but what reabsorption is blocked?
13 What potential molecules are remaining in the urine
14 and presented to the bladder as a result of the
15 putative action of the drug?

16 DR. SVANBERG: I am sorry. I misunderstood
17 the question. Dr. Reilly has picked up on it and
18 will address it.

19 Dr. Reilly?

20 DR. REILLY: Tim Reilly, drug safety and
21 evaluation, Bristol-Myers Squibb. If I understand
22 your question correctly, you're just looking for

1 what sort of ions under the materials would be,
2 perhaps, concentrated in the face of dapagliflozin
3 in the urine. We've done an evaluation of
4 whether -- so there are glucose and sodium ions,
5 for instance, that are concentrated in the urine.
6 With the diuretic effect, that are increases in
7 calcium ions, for instance.

8 Those effects occur in animal studies just
9 as they occur in humans, and we see no evidence, in
10 our studies nor in the literature, that increases
11 in glucose, or sodium, or calcium, would lead to an
12 increased risk.

13 DR. SMITH: There are other possibilities,
14 though. What about small proteins that could be
15 co-transported along with glucose?

16 DR. REILLY: We've not specifically looked
17 at the variety of things that, perhaps, could be
18 suggested. But, again, based upon the mechanism
19 and the activity of dapagliflozin in preclinical
20 species, we do see the very things that occur in
21 humans occur in animals at more robust effects than
22 would occur in the human setting, and we see no

1 evidence of those things. So for instance, we do
2 see an increase in protein output in the urine, in
3 animals, and in the face of that, we see no
4 evidence of any risk, no hyperplastic changes, nor
5 any tumors.

6 DR. SMITH: But that certainly could be a
7 consequence of animal to human inequity, right?

8 DR. REILLY: If I understand where you're
9 going with this, you're going with, perhaps, the
10 predictivity of the animal studies for the human
11 setting.

12 DR. SMITH: I mean, that's just in response
13 to your rejoinder to me.

14 DR. REILLY: Fair enough. So if I may
15 respond to that. So from our reading of the
16 literature and our consultation with outside
17 experts, we're not aware of any bladder carcinogen,
18 human bladder carcinogen that does not cause some
19 effect in animals.

20 Whether they be tumors or they be
21 hyperplastic changes, we see no evidence of either
22 one of those with dapagliflozin at enormous

1 multiples of the human exposure. So we believe
2 that the data are very strong to the effect that
3 there is no evidence to suggest that there would be
4 a mechanism-related effect.

5 On that front, there's also no evidence,
6 based upon what's available in the literature,
7 around the mechanisms of bladder carcinogenesis,
8 that dapagliflozin causes any of those effects. So
9 for instance, a variety of xenobiotic agents cause
10 cytotoxicity or irritation type effects. They
11 cause inflammatory-type responses. They cause
12 other such changes that have been related to the
13 cause of bladder carcinogenesis, and we see none of
14 those things occur with dapagliflozin.

15 DR. THOMAS: Thank you.

16 Dr. Savage?

17 DR. SAVAGE: Thank you. As I read the
18 material and then heard the discussion today,
19 there's sort of a broad question, and I'll give you
20 one example of it that's come up. And that's that
21 I really wonder if there are adequate members of
22 individuals in some of the subgroups to get a good

1 sense of how valuable this drug will be. It's
2 obviously an interesting mechanism.

3 But the example I wanted to give is that
4 this drug could be useful in elderly patients,
5 maybe enabling them to stay off of insulin and
6 reduce their risks of having hypoglycemia and so
7 forth. But elderly patients tend to have a
8 decrease in renal function. And it's not clear to
9 me, from looking at some of the numbers, that you
10 have that many people above 65, and certainly above
11 75, that have been evaluated.

12 So that given the fact that there are going
13 to be millions of diabetic patients out there that
14 are in that age group, and that it could be
15 particularly beneficial for that age group because
16 it could make it easier to control their diabetes,
17 can you comment on the adequacy of the sample
18 sizes, not only for the over-65, but older people
19 also, to assess the magnitude of the benefit you
20 get from using this drug?

21 DR. SVANBERG: Thank you. The dapagliflozin
22 program contained 1200 subjects who were older than

1 65. And I will ask Dr. Parikh to address the
2 efficacy, as it was evaluated in this subgroup.

3 Dr. Parikh?

4 DR. PARIKH: So we had about 20 percent of
5 our patients over the age of 65 across phase 3, as
6 was mentioned. In the subgroup analysis that we
7 did, specifically we tried to get in as many
8 patients as possible that gives us a placebo
9 comparison, so that we could power for interaction
10 testing in that particular subgroup.

11 But if you want to look at magnitude of
12 effect, it's perhaps best that we look at the
13 studies which had more elderly patients and compare
14 dapa and how we did versus other drugs.

15 Of the 1200 patients, more than 600 came
16 from three trials, the add-on to met versus
17 sulfonylurea trial, the add-on to SU trial, and the
18 add-on to insulin trial.

19 If I can have slide 25-10, please? This
20 slide summarizes what we saw in subgroups of
21 patients with age over 65 in dapa versus placebo.
22 In the top row is active comparison versus SU.

1 There are about 100 patients in each of the
2 treatment arms about age 65. The effect of dapa
3 was .48 percent in that particular group. For SU,
4 it was .6 percent. It is known, about SU and its
5 exposure in elderly and its response, the overall
6 effect for that study, as you might recall, was
7 .52 percent. In the add-on to SU study, the effect
8 was .6 percent versus placebo, and in that add-on
9 to insulin study, the effect was .54 percent versus
10 placebo.

11 We also have a subgroup of patients in the
12 metformin comparison trial where the hemoglobin
13 A1cs were higher. The number of elderly people are
14 small, but we had about 27 patients in each arm. I
15 just want to show you what happened in those
16 patients with higher A1c with age about 65.

17 Can I have slide 25-11, please?

18 This is the study where we compared
19 metformin and dapagliflozin. This is the treatment
20 effect of dapagliflozin in those patients, age
21 about 65. A point estimate is 1.25 percent
22 lowering. With metformin, it was

1 1.45 -- 1.46 percent lowering.

2 DR. SVANBERG: In addition to these numbers
3 that Dr. Parikh just shared, I would like to ask
4 Dr. Gavin to put this in the treatment perspective
5 of the elderly population.

6 Dr. Gavin?

7 DR. GAVIN: Yes. I deeply appreciate the
8 concern, and it is a real concern because this is a
9 population in whom we expect to see the numbers
10 increase and we expect to see challenges in terms
11 of avoiding those things that make management of
12 diabetes in this population very difficult at this
13 point, not the least of which, of course, is the
14 fear of hypoglycemia in such patients.

15 We would really feel that there would be a
16 significant benefit in having available an agent
17 that could attenuate that risk in this growing
18 population that is compatible with other agents
19 that are currently being used. And clinicians will
20 have the opportunity to use their judgment in terms
21 of assessing ongoing benefit, in making a clinical
22 judgment about whether or not for that individual

1 patient, they're seeing an effect that is
2 sufficient to warrant ongoing use in this kind of
3 population.

4 DR. SAVAGE: The other part of my question
5 was, what if you go to the next older group, say
6 about 75? The numbers, as I read them or tried to
7 get them out of the studies, looked like they drop
8 off pretty precipitously, and there are going to be
9 millions of people in that group.

10 DR. SVANBERG: The program contains
11 approximately 150 patients who are 75 or older. So
12 that is limited information we have.

13 DR. SAVAGE: A fair number of them will have
14 decreased renal function and so forth, so that
15 you'd expect somewhat less effectiveness in that
16 group. The last question I had was, if this drug
17 is used in conjunction with another agent, either
18 insulin or sulfonylurea, any drug that can produce
19 hypoglycemia in older people -- older people are
20 more prone to hypoglycemia when they're treated and
21 they tend to have a poor response, in terms of
22 counter-regulatory response.

1 Does the use of this drug and the,
2 essentially, loss of some glucose in the
3 urine -- have you done any studies to see whether
4 there's any difference in the risk of hypoglycemia
5 or severe hypoglycemia in, again, an older group of
6 people who get this drug plus an active agent that
7 is prone to produce some hypoglycemic episodes?

8 DR. SVANBERG: We have evaluated the safety
9 of dapagliflozin in the elderly patient population,
10 and I will ask Dr. List to address that different
11 evaluation.

12 Dr. List?

13 DR. LIST: When we look at our pool data in
14 the elderly population, and here I'm defining it as
15 greater than or equal to age 65, we see that there
16 is an increased risk of hypoglycemia, both on
17 placebo and on dapagliflozin. So in the greater-
18 than-65 age group, on dapagliflozin, 10 milligrams,
19 there was 13.2 percent of patients who had
20 hypoglycemic events versus, in the under-65 group,
21 it was 9.6 percent. In placebo, over 65, it was
22 9.4 percent and under 65, it was 6.4 percent. So

1 there's an increase in both.

2 When we look into these hypoglycemic events
3 and look at pre-defined categories of major, minor,
4 or other, the major hypoglycemic events were zero
5 in that analysis for the patients greater than or
6 equal to age 65, and it was .1 percent for both
7 dapagliflozin, 10 milligrams, and for placebo in
8 placebo patients under age 65.

9 DR. THOMAS: Dr. Veltri?

10 DR. VELTRI: Yes. Two questions. One
11 relates to renal function, post-therapy. On
12 slide 56, it seemed like there were two -- from the
13 sponsor -- there seemed to be two populations, the
14 overall population, in whom there was a minor
15 diminution in estimated glomerular filtration rate
16 at week 1, but then he returned to baseline and was
17 stable. And in this much smaller population of the
18 moderate impaired renal population, there was a
19 similar drop at one week, but it never really
20 restored back to normal, although it was stable for
21 a little shorter time, one year.

22 So my question is, really, does the sponsor

1 or the FDA have any insights as to why that would
2 be the case between those two populations?

3 DR. SVANBERG: I will ask Dr. Tom Berl to
4 provide the clinical context around the
5 interpretation of this data.

6 Dr. Berl, please?

7 DR. BERL: Thank you. I'm Tom Berl, renal
8 division, University of Colorado. I'm a paid
9 consultant for my input this afternoon.

10 That the decrement of renal function would
11 occur acutely upon exposure to an SGLT2 inhibitor
12 was predicted and seen 70 years ago, when Homer
13 Smith used phlorizin in the history of our field.
14 There is much more noise background in the patients
15 with preserved renal function, and it's my guess
16 that some decrement in renal function is
17 persistent.

18 Now, when we look at decrement in renal
19 function, we wonder whether it's structural or
20 hemodynamic, and there's reason to believe that
21 this is hemodynamic. The slide that you could show
22 here -- what number is it -- 5113, is in a group of

1 patients, 80, 48 of them in whom there was a
2 measurement of estimated glomerular filtration rate
3 when the drug was discontinued. And you will see,
4 in the yellow and green line, at 5 and
5 10 milligrams of dapa, that there was an immediate
6 increment measured seven days later in estimated
7 glomerular filtration rate, strongly suggesting
8 that the observation you made very acutely and
9 perceptively is a hemodynamic event rather than a
10 structural event, which is supported by anatomic
11 studies in experimental animals.

12 DR. THOMAS: I just wanted to know if the
13 FDA wanted to comment on Dr. Veltri's question.

14 DR. IRONY: Yes. I think my other comment
15 is on the second part of your question, which is
16 people with moderate renal failure, that there was
17 a similar magnitude of decrease within the first
18 week, but then there was not recovery to baseline,
19 like you see in people with normal renal function.
20 And we don't know about the outliers of this, and I
21 would ask the applicant about the outliers.

22 The mean suggests that it's a very small

1 decrease, that it would not be clinically
2 significant, of about 4 mls per minute, or 5, or
3 so, in that range, and the mean persists over the
4 course of follow-up.

5 DR. VELTRI: Thank you. My second question
6 relates to bladder cancer again. The sponsor did
7 do one study, which was an add-on study, but it was
8 a small study with pioglitazone. I think it was
9 only a six-month study and maybe only 400 patients
10 in that trial.

11 There was one patient that did develop
12 bladder cancer, I think, at five months. The
13 question I have is, since pio had both a
14 preclinical signal, then pharmacovigilance, what
15 seems to be a real clinical signal, for which the
16 label was adjusted, my question is, from the
17 sponsor or the FDA, since there is a paucity of
18 data and it's unclear, or at least it's uncertain
19 whether it's real or not, for dapa, what is the
20 plan to better elucidate for those patients who are
21 potentially going to be on both of these agents, or
22 will there be some restriction, or how does the

1 sponsor and how does the FDA view that, since the
2 potential for co-administration is there? Albeit
3 with dapa, it may not be real, but certainly
4 there's a numerical imbalance?

5 DR. SVANBERG: There's been sufficient
6 concern raised by several of the speakers here
7 today around the numbers and the epidemiology data.
8 If I could be allowed to please also ask Dr. Brian
9 Strom to first put that in a perspective of
10 epidemiology studies and the databases. And we
11 will thereafter immediately come back to the
12 question around pioglitazone.

13 Dr. Strom, please?

14 DR. THOMAS: I would just ask, because there
15 are some more questions, before you finish, that
16 the comments be brief.

17 DR. STROM: Sure. My name is Brian Strom.
18 I'm chair of the Department of Biostatistics and
19 Epidemiology and vice-dean at the University of
20 Pennsylvania School of Medicine. From a conflict
21 of interest point of view, I'm here today as a paid
22 consultant to the companies. In terms of

1 competitor conflict of interest, I'm also senior
2 author on a study that was recently published that
3 follows up on the association you were talking
4 about, about pioglitazone and bladder cancer. That
5 study, to be clear, uses 30,000 patients in Kaiser,
6 followed for an average of 3.3 years. So we're
7 talking about 100,000 person-years, and we're only
8 midway in the study, along the way.

9 A number of questions have been raised here
10 about comparison -- the signal that's coming from
11 the clinical trial data here about bladder cancer
12 and the comparison, as well, to the SEER data. I
13 think it's important to put that in proper
14 perspective. I think there is a signal hypothesis
15 coming from these clinical trial data. There isn't
16 the pre-marketing animal data mechanistic signal
17 that there was with pioglitazone.

18 So exactly as you stated, whether or not
19 this is real or is random -- because the post hoc
20 analysis of what was not an a priori hypothesis
21 remains to be seen -- adding that to SEER data,
22 comparing it to SEER data, is certainly a common

1 conventional epidemiological approach, but you have
2 to be very, very careful in interpreting that.

3 Firstly, it's still the same exposed
4 patients. It's not any new patients. It's not
5 independent. Second, you're comparing apples and
6 oranges because you're comparing people in a
7 clinical trial to people in the general population,
8 especially for a disease like bladder cancer, which
9 often is not diagnosed for the reasons that have
10 been discussed before. It's a subclinical disease
11 until late, at least.

12 People in a clinical trial are well-known to
13 be very different, always, to people in the real
14 world, and people in a clinical trial are likely to
15 get more intensive monitoring. So you're more
16 likely to have a detection of early cases of
17 disease.

18 So the real signal here is the clinical
19 trial signal. The SEER data -- the SEER comparison
20 really adds nothing to that. The way to follow up
21 on that is to do a study analogous to what we did
22 in pioglitazone in order to find out whether or not

1 this early clinical trial signal really bears out.
2 I would add that the sponsor in their proposal for
3 post-marketing pharmacoepidemiology studies is
4 proposing exactly that kind of study, but, in fact,
5 many times the size of the study that we have
6 underway for pioglitazone.

7 DR. SVANBERG: Then to the direct question
8 about pioglitazone and dapagliflozin, dapagliflozin
9 and pioglitazone are different in structure, in
10 target, in metabolism, and in data to date. And I
11 will ask Dr. Reilly to present that part of the
12 comparison between the two compounds. And then I
13 would ask Dr. Buse to put the use of dapagliflozin
14 and pioglitazone in a clinical context of a
15 benefit-risk for the patient.

16 DR. THOMAS: Actually, I'm going
17 to -- unless Dr. Veltri, you've got another, I'm
18 going to go onto the next question. Thank you.

19 Dr. Strader?

20 DR. STRADER: I have two questions, one of
21 them on liver disease, about which I know a little,
22 and the other one on oncology, about which I know

1 absolutely nothing. So I'll start that one first.

2 You were talking about the animal studies of
3 bladder cancer. Were there animal studies done in
4 which the bladders of mice or whatever animal you
5 used were exposed to high concentrations of glucose
6 to see if they caused proinflammatory cytokines or
7 some other kind of mechanism that may be
8 responsible for cancer? Because it seems to me,
9 it's a simple issue to determine, whether or not
10 what we're doing in this case, which is increasing
11 the bladder's exposure to glucose, which normally
12 we try to avoid by inhibiting the transporter, if
13 that may be, in some way, responsible for some of
14 the changes or some of the bladder cancers that
15 have occurred.

16 DR. SVANBERG: Yes. The animal studies did
17 induce high levels of glucose into the bladder.
18 And if you wish, Dr. Reilly can provide the data to
19 that point. But glucosuria was seen in the animal
20 studies.

21 Dr. Reilly?

22 DR. REILLY: Yes. As Dr. Svanberg

1 indicated, dapagliflozin is pharmacologically
2 active in both mice and rats. And so we see
3 significant increases in glucosuria in the
4 carcinogenicity studies, upwards of several hundred
5 millimolar, which is several orders of magnitude
6 above normal.

7 DR. STRADER: But did you notice -- did you
8 evaluate the tissue to see if there was any
9 evidence of dysplasia or inflammatory change that
10 might suggest some problems in the future?

11 DR. REILLY: Yes. There were no evidence of
12 any tumors, nor were there any evidence of
13 hyperplastic changes that would be pre-diagnostic
14 for tumors, nor were there any changes that would
15 be inflammatory in nature. Although specific to
16 your question, we didn't specifically look for
17 cytokines, but there was no trigger for any of
18 those risks.

19 DR. STRADER: My second question is with
20 respect to the hepatotoxicity. As a hepatologist,
21 we generally consider patients who are diabetic as
22 having some sort of underlying liver disease, even

1 if they have normal liver enzymes because they have
2 metabolic syndrome; because many of them tend to be
3 obese, they may be on statins, which may cause
4 problems, and most of them have fatty livers.

5 So I was a little bit concerned about the
6 mechanisms for which you try to evaluate the
7 patients who were presumed to have hepatotoxicity
8 and then find it very difficult to make a
9 determination. But I was interested to hear that
10 there was some glucuronidation of the drug in the
11 liver. I'd like to know what percentage of that,
12 of the drug, is glucuronidated in the liver.

13 I'd also like to know, do you know how many
14 of your patients had baseline mild elevations in
15 AST and ALT, and what was that definition?
16 Because, certainly, the definition of normal ALT
17 and AST vary in this country, let alone across the
18 world. And so what was, exactly, the definition of
19 normal AST and ALT?

20 DR. SVANBERG: Dr. Maddrey has evaluated our
21 entire liver data package. I will ask Dr. Maddrey
22 to address that question.

1 DR. MADDREY: I'm Willis Maddrey. I'm a
2 hepatologist from UT Southwestern, and I am a paid
3 consultant for the company. In fact, I've been
4 with this project for some time, actually, becoming
5 involved because of this particular case, the index
6 case.

7 I agree with you entirely. Of course,
8 everyone who looks at diabetic patients finds non-
9 specific elevations. And then on biopsy, in many
10 cases, you find something even more specific, with
11 probably non-alcoholics data, or hepatitis, and its
12 consequences being the most important.

13 I do not know how many of these patients
14 started out with slight elevations. Certainly,
15 most diabetics will be running in the upper half of
16 the normal range for ALT, and the best evidence of
17 that is, after the diabetes gets under good
18 control, in many cases, this falls back towards the
19 lower part of the normal range, a very difficult
20 concept.

21 I would like to just comment just a little
22 bit on the case you're talking about, liver disease

1 here, and this may be the only chance that I get up
2 here. Let me tell you, this is just one case.
3 It's certainly created a lot of angst for me,
4 starting in 2009, and I think that's important
5 because it's the basis of this case, which has been
6 lying around. And I've had an opportunity to look
7 at it just about every month or so since then.

8 As the basis for this case, we set up that
9 adjudication committee, going forward, an
10 adjudication committee. I hope you all realize
11 that when we look at the numbers that were
12 presented by the FDA and by the sponsor, there was
13 no imbalance in the biochemical test, and we have
14 no disagreement. I agree entirely with the FDA's
15 assessment of this. I independently reviewed all
16 these cases, as well as the three members of our
17 panel. And we have one case that we cannot exclude
18 the possibility, rather strong possibility, that
19 it's drug induced.

20 There were a few things, though,
21 interestingly enough about that case. One of the
22 members of the panel, the reviewers, thought very

1 strongly that this had autoimmune overload or an
2 overlaying autoimmune thing. But you've got to be
3 very careful about diagnosing autoimmune hepatitis
4 in a 78-year-old man with no ANA. That's a hard
5 call.

6 I think that, therefore, I had rated this in
7 the probable category, as had two of the members of
8 our panel. But the rest of this, as far as the
9 liver, is in remarkable balance, as far as at all
10 levels, the greater than 3X, the greater than 5,
11 10, and 20. And then the five cases that met
12 Dr. Zimmerman's rule, which we all strongly believe
13 in and many of us have worked with, we only found
14 that one case in that group, and the others were
15 excluded. In fact, the only two cases that the
16 adjudication panel saw rated as probable, as you've
17 already heard, was both of these cases were in the
18 so-called control placebo group.

19 One of the things that gave me a little
20 comfort about this -- and I realize this is swirled
21 around a lot -- is this is a drug given in very
22 small amounts. The idea that drugs that are given

1 at low amounts, 10 milligrams a day or less, are
2 less likely to cause hepatic injury has pretty much
3 stood the test of time. I'd be interested if the
4 FDA might want to discuss that just a little bit.
5 But as far as I'm concerned, this one case that we
6 saw is a case of probable drug-induced liver
7 disease, but it is only one.

8 DR. STRADER: Dr. Maddrey, do you know the
9 number? What's the upper limit of normal for ALT
10 across the board?

11 DR. MADDREY: I'd have to ask Dr. List, who
12 ran the studies.

13 DR. SVANBERG: We'll ask Dr. List to address
14 the specific question.

15 Dr. List?

16 DR. LIST: As in our clinical program, we
17 used a central lab. And for that central lab, the
18 normal range for ALT goes up to 48 units per liter.

19 DR. STRADER: Okay.

20 DR. THOMAS: Dr. Felner?

21 DR. FELNER: Yes. I had a question, I
22 guess, for either the sponsor or the FDA. I didn't

1 see it, which tells me the answer is probably no,
2 but for the cases of breast cancer and bladder
3 cancer, those individual patients, did you look at
4 the demographics of each one, anything specific
5 that would make them more likely, whether it be
6 history, race, previous mammograms, any of those
7 things? Did you pull them out and look at them
8 individually to see if there was any difference, to
9 make them at least a higher risk for developing
10 cancer?

11 DR. SVANBERG: So I will ask Dr. Dickler to
12 address the clinical picture of the breast cancer
13 patients we saw compared to what clinical practice
14 would be, and Dr. Bajorin to do the same for
15 bladder cancer, please.

16 Dr. Dickler, followed by Dr. Bajorin.

17 DR. THOMAS: I just remind both of the
18 upcoming speakers to be brief and concise.

19 DR. DICKLER: I'm Maureen Dickler. I'm a
20 breast cancer medical oncologist from New York.
21 And if I can show the slide with the patient
22 characteristics. Thank you.

1 So there were nine cases of breast cancer in
2 the dapa group. And as you can see, they really
3 came from various locations and each one from a
4 different country. They were mostly post-
5 menopausal women. And their risk factors varied,
6 but, really, the risk factors among the populations
7 of patients in the clinical trials were well-
8 balanced. And I think that these cancers were very
9 much similar to what an oncologist might see in the
10 general population. They varied with invasive
11 ductal, a few invasive lobular, the majority
12 estrogen-receptor positive. There was really no
13 patient or tumor characteristics that stood out as
14 unusual.

15 Also, I think it's important to note that
16 all of the breast cancer cases were diagnosed
17 within the first year of taking the study drug, and
18 that really is more supportive of preexisting
19 cancer than a causal relationship to the drug, as
20 far as we can tell.

21 DR. FELNER: Was objective if they were
22 appropriate age and had a mammogram prior to

1 entering the study, or any work, anything prior, to
2 be involved in the study, where this could have
3 obviously been picked up before the study?

4 DR. DICKLER: So it's my understanding that
5 a mammography was not specified, but would have
6 been the standard of care within that country, so
7 that these were really detected while on study and
8 we don't have any baseline prior to study
9 information.

10 DR. SVANBERG: Dr. List could address the
11 question and what prompted the diagnosis of these
12 particular questions.

13 Dr. List?

14 DR. LIST: It's just getting to the question
15 of mammography. In following up these cases, we
16 did ask all of the investigators whether there was
17 a prior mammogram. We only got a response from one
18 of them. And in that case, there was a prior
19 mammogram. That patient had been followed up every
20 six months with mammography for a suspicious shadow
21 on the mammogram.

22 With respect to what brought these cases to

1 diagnosis, there were two that were palpated lumps.
2 There were three by mammography. And I'm talking
3 about the cases on dapa. And there were four that
4 we don't have that information, despite asking it.
5 So it takes additional efforts to find out and
6 tease out more and more information. But from the
7 information we have, that's the limits of it.

8 DR. SVANBERG: Then Dr. Bajorin for a
9 similar discussion on bladder cancer?

10 DR. BAJORIN: So could we have the slide
11 34-19, which are the cases with regard to bladder
12 cancer? I think there are a couple observations
13 with regard to this. We talked about hematuria
14 earlier. And hematuria is commonly seen prior to
15 the bladder cancer. And these are the nine cases
16 above the yellow line.

17 The first thing you notice is that several
18 of the cases occurred early, within the first six
19 months. And if you recall from your slide, deck
20 slide 66, two of those patients already had muscle
21 invasive disease, which are actually quite large at
22 presentation.

1 But as you move down, there are several
2 observations. One is, anything that's plus is
3 evidence of hematuria, either trace or above. And
4 if you see an H, H is hematuria reported in the
5 clinical case record of the patient who developed
6 hematuria.

7 So the observation that you see is, 7 out of
8 the 9 patients actually had hematuria very early,
9 either initially on study, or prior to study, or
10 very early on. So this really suggests that these
11 were preexisting diseases.

12 The second observation is that most of these
13 tumors arose very quickly, within 12 to 18 months,
14 which is actually quite short for a carcinogen-
15 induced tumor. If we look at cyclophosphamide, for
16 example, for the most carcinogenic drug that we
17 have, that's in terms of years.

18 Then the third thing I think I'm comforted
19 by with regard to these cases of bladder cancer is
20 there's no preclinical signal that was seen.
21 Virtually all the carcinogens that we're seeing or
22 that we have with bladder cancer, you see

1 hyperplasia as the first evidence. You see that in
2 rodents and dogs, and that wasn't seen in any of
3 the preclinical data.

4 DR. DUNN: I would just like to add that I
5 think there were some patients -- and I don't know
6 if it was just one or more than one -- that had a
7 history of stones. That might have been a cause
8 for the hematuria in some of the patients, or at
9 least one of them, that I recall.

10 DR. SVANBERG: It is correct. One of the
11 patients had an incidental finding of the bladder
12 cancer at the time of stone extraction, and the
13 stone was associated with hematuria.

14 DR. THOMAS: Dr. Seely?

15 DR. SEELY: I had a question in terms of the
16 work you've done on the literature, looking at the
17 families with familial glucosuria. Recognizing
18 that the number is small, of the individuals that
19 can be investigated, I wanted to have a sense of
20 how the degree of glucosuria induced by your drug
21 compares with what you see in the families, and
22 also whether, even in the small numbers, you've

1 been able to see any increased risk of breast or
2 bladder cancer.

3 DR. SVANBERG: The familial renal glucosuria
4 comes in several different mutation settings.
5 There's not one predominant mutation. And
6 depending on the mutation, the degree of glucosuria
7 varies. In the overall literature, these come
8 reported as case reports. We do, from time to
9 time, find that there is an update on the case
10 report -- (indiscernible) 11 years older diagnosis,
11 followed up 20 years later. But they're really
12 dispersed case reports.

13 In communication and conversation with the
14 physicians who come across these patients, they are
15 described as healthy. They are rarely obese.
16 Rather, they have a BMI of 21 or 22. They seem to
17 have a normal lifespan, and it is not known whether
18 they have any bladder or breast or any other
19 cancer. They are described as healthy. But,
20 again, these are scattered case reports, not large
21 cohort studies by any means.

22 DR. SEELY: So the total N worldwide of the

1 patients that actually have the SGLT2 mutation is
2 about what?

3 DR. SVANBERG: The total N?

4 DR. SEELY: Yes. How many subjects
5 worldwide have been described with that mutation,
6 as a cause of the familial glycosuria? Do you have
7 a sense of what that might be?

8 DR. SVANBERG: I do have to recall here.
9 Based on the information that I have, we're talking
10 less than 100 cases, and they do not have the same
11 mutation.

12 DR. THOMAS: Ms. McIntyre?

13 MS. MCINTYRE: I would like to know how did
14 you monitor participants' adherence to recommended
15 dose requirements in the studies.

16 DR. SVANBERG: I will ask Dr. Parikh to
17 address that question.

18 Dr. Parikh?

19 DR. PARIKH: So in most clinical trials, we
20 assessed the use of the drug by looking at the mean
21 and the median doses that the patient took for our
22 study drug, as well as in cases where the

1 background therapy was an essential part off the
2 study. We looked at the mean and median doses of
3 this. And that gave us an idea of what happened,
4 periodically, over the study.

5 DR. THOMAS: We will now take a 10-minute
6 break. Panel members, please remember that there
7 should be no discussion of the meeting topic during
8 the break, amongst yourselves, or with any member
9 of the audience. We will resume at 2:30.

10 (Whereupon, a recess was taken.)

11 DR. THOMAS: We will now begin the panel
12 discussion portion of the meeting. Although this
13 portion is open to public observers, public
14 attendees may not participate, except at the
15 specific request of the panel. We'll start with
16 the first question.

17 The first question is efficacy.
18 Dapagliflozin's efficacy depend on the amount of
19 glucose filtered for the glomeruli. As the
20 glomerular filtration rate declines in renal
21 impairment, the efficacy of the SGLT2 inhibitor is
22 also diminished.

1 Please discuss the implications of the
2 reduced efficacy in type II diabetes mellitus,
3 where renal impairment can impact a sizeable
4 proportion of patients with this disease. Please
5 include in your discussion whether additional
6 studies -- for example, in special
7 populations -- should be conducted to better
8 characterize the efficacy of dapagliflozin in
9 type II diabetes mellitus or whether monitoring for
10 renal function should be performed prior to and/or
11 during treatment with dapagliflozin.

12 We'll start with the comments. If you
13 please raise your hand. Dr. Brittain?

14 DR. BRITTAIN: Hi. Yes. I guess my concern
15 is a bit about the cutoff of 45. It might be the
16 right cutoff, but I haven't seen the data that
17 convinced me that it's the right cutoff. It seems
18 like the safest approach would be to use the data
19 to model the treatment effect as a function of the
20 GFR, and then do another study, and confirm that
21 you really do have the right cutoff, and making
22 sure that the effect looks good really close to

1 that cutoff.

2 DR. THOMAS: Dr. McBryde?

3 DR. MCBRYDE: Thank you. This was one of
4 those areas that I had a lot of issues with. One
5 is, it's unclear, although I think there have been
6 a couple references to using creatinine clearance
7 using the Cockcroft-Gault equation to estimate
8 renal function versus the abbreviated modification
9 of diet and renal disease formula.

10 One of the concerns I have is that the MDRD
11 formula is not a highly accurate formula, that, in
12 fact, at around the stage 3 chronic kidney disease
13 with an estimated GFR of 60 milliliters per minute,
14 per 1.73 meters squared, the variance is about
15 20 percent, which makes me think there's no
16 resolution to split the renal function between 30
17 and 60 in a 15-milliliter per minute increment.
18 The measurement assay is nowhere near sensitive for
19 that, and there are much better assays that would
20 have gotten them much higher precision that could
21 have or should have been done. And so I think
22 splitting it is a very bad idea.

1 Second, I think it also flies in the face of
2 the clinical practice guidelines for the National
3 Kidney Foundation, both for chronic kidney disease
4 as well as for the evaluation for renal disease in
5 diabetics, in which the recommendation in the
6 United States is that the GFR is between 30 and
7 60 milliliters per minute as stage 3 chronic kidney
8 disease.

9 There is some European standards in which
10 the European renal community has recommended
11 dividing stage 3 into stage 3a and 3b, identical to
12 how the sponsor has proposed it. That is not the
13 clinical practice in the United States, and I think
14 it would be quite confusing to practitioners to
15 insert a new definition within the stage 3
16 criteria, based upon a measurement or an estimation
17 of renal function that's imprecise. For the level
18 of this tight narrowing of a cutoff point, I think,
19 is not supported at all by the way that they did
20 it.

21 DR. THOMAS: Dr. Seely?

22 DR. SEELY: So that was why I had asked my

1 question about the effect of GFR earlier. So what
2 I think is that the data supports that the drug is
3 effective for people who are normal renal function
4 or mild renal impairment.

5 Looking at your prespecified goals, it is
6 not effective for lower numbers, although it may
7 be. And I think for even that cutoff of normal
8 into mild, when I asked the question, it was that
9 MDRD was used, but then Cockcroft-Gault was also
10 brought up.

11 So I think we need to know that one formula
12 for eGFR was used across all the populations.
13 Different labs use different formulas for the
14 calculation. If different labs use different
15 formulas in different parts of the world where the
16 studies were taken, it is that you can recalculate
17 and use one standard formula; recalculate the data
18 and then still use your prespecified cut points,
19 but not go back and forth between formula.

20 I think the fact that the data looks like,
21 directionally that in the people with moderate
22 renal impairment, that the ones with less severe

1 moderate renal impairment appeared to have more of
2 a benefit is a positive that then should be pursued
3 in a study that's actually powered to look at that
4 actual population, because that would obviously
5 expand the range of individuals that could benefit
6 from the medication.

7 DR. THOMAS: Dr. Kaul?

8 DR. KAUL: I agree with the previous
9 speakers. I think the data in the 3a category is
10 neither statistically persuasive nor clinically
11 important. And I think, at best, it is hypothesis
12 generating that warrants independent confirmation
13 in a prospective trial. And as such, I think these
14 data are not credible enough to justify a claim.

15 DR. THOMAS: Dr. McBryde?

16 DR. MCBRYDE: I was just going to say, one
17 of the other problems, when I was looking at it, I
18 think -- I certainly, I have to admit, have a
19 little bit of envy seeing Dr. Berl stand up and
20 present. And that slide, what was interesting to
21 me was that it said that it was estimated GFR,
22 which would presume that it was used using the MDRD

1 formula. The problem is, the MDRD formula has ever
2 only been validated in patients with stage 3 or
3 worse chronic kidney disease. So you can't publish
4 an eGFR of 78 milliliters a minute because MDRD has
5 no validity above 60. Above 60 milliliters a
6 minute, you can only report the eGFR as greater
7 than 60 milliliters a minute. You cannot be that
8 precise.

9 It was something that I've seen in the
10 published literature of dapa as well, is that the
11 authors are reporting eGFRs of 90 milliliters a
12 minute. With MDRD, you can't. There's no validity
13 to making that statement. It's meaningless. So if
14 you're going to use MDRD, the only criteria you can
15 really say is, greater than 60, less than 60,
16 and/or less than 30, or less than 15, as the stages
17 of chronic kidney disease get worse.

18 The other thing that strikes me is I'm a
19 little disappointed that, given the high protein
20 binding -- 91 percent of this drug is highly
21 protein bound -- the free fraction is filtered at
22 the glomerulus. And like many drugs, although not

1 directly stated, I would presume that the free drug
2 has to bind the SGLT2 receptor in the brush border
3 in the S1/S2 segments of the nephron.

4 Proteinuria, terribly common in diabetics
5 and usually often one of our first signs of chronic
6 kidney disease, has not been evaluated by the
7 sponsor. What's the impact of albuminuria, normal
8 albuminuria, macroalbuminuria over proteinuria? We
9 don't know what that impact would be. My suspicion
10 is that with intertubular or intraluminal binding
11 of the free-filtered fraction to urinary proteins,
12 the drug's not going to have much of an effect.

13 DR. THOMAS: If there are no further
14 comments, I'm just going to add one, which is,
15 eGFR, in addition, I don't believe has been
16 validated in many different racial groups. And so
17 we may not be able to use that criteria in many of
18 the groups that may actually use the medication or
19 drug if it's approved.

20 If there are no further comments, I'll
21 summarize the discussion that we had for
22 Question 1. First, there was concern of different

1 committee members on the cutoff of eGFR 45. One
2 possibility is that this is not a valid use of
3 eGFR. Once it's above 60, there's no real
4 discriminatory ability, and once it's below 60, the
5 discriminatory ability occurs at 30. And
6 arbitrarily dividing it into 45 to 60 versus 30 to
7 45 may not be an appropriate use of this
8 measurement.

9 It would be important to consider,
10 prospectively, additional studies to assess the
11 creatinine clearance for glomerular filtration rate
12 and potentially use other methods of estimation of
13 this that may be more accurate than an estimated
14 GFR formula. Statistically, based on the data that
15 was presented by the sponsor, it does not appear
16 that there is efficacy below than 60 unless
17 additional data from a trial, prospectively, is
18 performed.

19 Furthermore, there was concern about the
20 classification of 3a and 3b for kidney disease. In
21 the United States, that classification does not
22 exist, where it may exist in Europe. And this

1 might add confusion to practitioners if this
2 medication was approved in the United States,
3 having those criteria separate into 3a and 3b.

4 Finally, there are two points that need to
5 be made. One is that there are multiple ways of
6 estimating creatinine clearance or eGFR. And
7 depending on where these studies were done, they
8 might have used a different one for entry into the
9 trial. It would be best if the sponsor could go
10 back and analyze the data using one consistent form
11 of criteria. That way, it would be easy to assess,
12 clinically, for a person who might be prescribed
13 this medication, is the patient an appropriate
14 candidate for this drug. If you use different
15 criteria, then it would be more confusing as to
16 which test to use to determine if efficacy is
17 possible.

18 Then finally, there is this question about
19 micro- or macroalbuminuria and proteinuria.
20 Because the medication or drug is protein bound,
21 there could be impact at its effect on the SGLT2
22 site in the kidney and the S1/S2 segment of the

1 tubule. And as a result, there does need to be
2 studies of populations with micro or
3 macroalbuminuria to see if the efficacy is
4 diminished because of the effect of protein in the
5 lumen.

6 We'll now go onto the second question, which
7 is about hepatic safety.

8 Dr. Veltri, do you have something to add?

9 DR. VELTRI: Yes. I just have a question.
10 It sound like one of the important parts of this
11 question was whether monitoring should be performed
12 during treatment as well, since diabetes is a
13 progressive disease, potentially affecting the
14 kidney. So I think that's an important question.
15 Maybe the FDA, certainly, and sponsor, would like
16 to know what the panel's opinions are on that.

17 DR. THOMAS: That's a good point.

18 DR. IRONY: Yes. That's a good point that
19 Dr. Veltri raised, and it's an important component
20 of Question 1, is even in patients with normal
21 renal function, mild renal impairment, what happens
22 when the disease progresses and you see that people

1 have a decrease in GFR, and how would we handle
2 this in a patient taking an SGLT2 inhibitor?

3 DR. THOMAS: So would anyone want to comment
4 on monitoring that's required for this agent?
5 Dr. McBryde?

6 DR. MCBRYDE: I'll try to take a crack at
7 this. I think if you screen the patient, the
8 diabetic patient, with a serum creatinine, and your
9 lab is one of the nice ones that gives you an
10 estimated GFR, and you receive an estimated GFR of
11 greater than 60 milliliters per minute per
12 1.73 meters squared, in the absence of
13 albuminuria -- and here's the difficult part. I
14 don't know where that cutoff would be because of
15 the absence of data on that.

16 So I guess the conservative approach would
17 be to say that if you have microalbuminuria,
18 between 30 and 300 micrograms per milligram of
19 creatinine, or overt proteinuria with an albumin to
20 creatinine ratio of greater than 300, that, in
21 fact, you probably shouldn't be taking this drug,
22 mainly because we don't have any evidence that

1 there's any efficacy in that particular population.
2 That would be the most conservative.

3 Basically, normal albuminuric, eGFR greater
4 than 60, okay to use the drug. If you develop
5 albuminuria on the drug or you start to see eGFR
6 drop below 60, I think that should be the stop
7 sign, at least in the present absence of other data
8 provided by the sponsor to support that it's still
9 safe to use the drug, safe and efficacious.

10 DR. THOMAS: Dr. Seely?

11 DR. SEELY: I was just going to make a
12 comment in terms of frequency of monitoring once a
13 patient was on the drug, is to try to make
14 recommendations that might be compatible with other
15 diabetes recommendations. And to say that, at the
16 time of a urine check yearly for microalbuminuria,
17 if an eGFR has not been calculated within the past
18 six months to check it, at a minimum of yearly.

19 DR. THOMAS: I was just going to say, I
20 think that's an important point, that unlike many
21 medications, where we start that, and just start
22 them, and just continue them, as efficacy wanes,

1 really, this is an unusual class of drugs where
2 efficacy does go down with renal function as it
3 changes. And as a result, we would have to have
4 consistent monitoring to make sure the efficacy is
5 still there, rather than just adding on additional
6 agents when efficacy is diminished.

7 I can briefly summarize this, if there's no
8 further comment.

9 Dr. Capuzzi?

10 DR. CAPUZZI: Just one point. In some
11 situations, it may even be necessary to do a
12 creatinine clearance in a specific patient to get
13 some idea, instead of using an estimated one, but
14 that's just my opinion.

15 DR. THOMAS: So to summarize the question
16 that was brought up about monitoring or testing
17 involved for this medication, the most conservative
18 method would be to get an estimated GFR or some
19 other appropriate measure of creatinine clearance,
20 and if there's no presence of micro or
21 macroalbuminuria, to use the medication. That
22 would be the most conservative approach, as we

1 don't know actually what the effect of protein in
2 the urine has on the efficacy of this medication.

3 In addition, some people may require
4 creatinine clearance. And, furthermore, to not
5 burden the patient with having to have more
6 frequent testing, if possible, in a realistic
7 strategy, it would be best to try and combine
8 testing annually with what is normally done for a
9 patient with diabetes, such as testing urine
10 microalbumin, which is usually done yearly.

11 We'll now go onto the second question, which
12 is about hepatic safety. Five patients treated
13 with dapagliflozin developed ALT or AST greater
14 than three times the upper limit of normal, with an
15 accompanying total bilirubin of two times the upper
16 limit of normal, biochemical Hy's law.

17 An adequate explanation for the biochemical
18 abnormalities could be identified in all but one
19 case. This one case was classified as a probable
20 diagnosis of mild to moderately severe
21 dapagliflozin-induced liver injury.

22 Imbalances in severe hepatic transaminase

1 elevations greater than 5 to 10 times the upper
2 limit of normal, between dapagliflozin and
3 comparators, were not observed and no signal for
4 hepatotoxicity was identified in the non-clinical
5 program.

6 Please comment on the clinical relevance of
7 the one case and whether sufficient evaluation has
8 been conducted pre-marketing to determine if
9 dapagliflozin is associated with the risk of
10 hepatotoxicity.

11 Dr. Strader?

12 DR. STRADER: I have been asking this
13 question a couple of times at this meeting. I
14 think that it's important in patients who have
15 underlying liver disease, such as diabetics who may
16 have metabolic syndrome, or fatty liver, or
17 alcoholics who may have underlying liver disease,
18 that if they are being involved in studies of new
19 drugs, and all drugs have some potential of
20 hepatotoxicity, that there is some sort of protocol
21 by which these patients are evaluated.

22 It's my opinion that it's always a good idea

1 to know before the patient is started on the study
2 what the pattern of liver enzymes have been, and
3 then once they're started to have frequent
4 monitoring, the same way we talk about it for renal
5 disease, because with drug-induced liver disease,
6 it's extremely difficult to determine a causal
7 relationship. And so you need to have values at
8 pre-determined time points so that you can evaluate
9 exactly what's happening when.

10 In addition, it's important, because of all
11 the other concomitant medications, to have some
12 sort of idea of which ones may also be hepatotoxic,
13 whether the patients are encouraged or discouraged
14 from using unnecessarily medications; that kind of
15 thing, is very important.

16 I've found it difficult, looking at the
17 cases that were presented here, to make a strong
18 determination because the time points at which I
19 had results varied from case to case, and some
20 patients had baseline mild abnormalities; others
21 did not. And so it was a little bit difficult. So
22 I think the issue of monitoring and evaluating

1 patients pre-study, and during the study, and
2 particularly when something happens, is extremely
3 important.

4 DR. THOMAS: Dr. Avigan?

5 DR. AVIGAN: I just wanted to add one point
6 that did come up in our discussions, which is the
7 imbalance question. And, of course, different
8 drugs that have, over time, declared themselves as
9 being potentially hepatotoxic, idiosyncratic in
10 some patients, have had, historically in clinical
11 trial development, different levels of imbalance.
12 So in some cases, they're extraordinarily large
13 imbalances, but in other cases, they have not.

14 One of the things that was mentioned by
15 Dr. Maddrey is to look at the comparator group for
16 potential other reasons why there may be elevations
17 in the comparator group for percentages of ALT
18 rises in that group. And in patients with
19 diabetes, there's a high background rate of NASH.
20 It's notable that, in the diabetes prevention trial
21 at the NIH, which was, again, a diabetic population
22 along the way, there was a high rate of ALT rises

1 in the comparator groups not on troglitazone. So
2 there are different scenarios where that level of
3 imbalance as one of the signals for hepatotoxicity
4 potential may be lessened.

5 The other point I do want to make is that in
6 our review, Dr. Seeff felt very strongly that the
7 autoimmune diagnosis was not a tenable diagnosis.

8 DR. THOMAS: Dr. Spruill?

9 DR. SPRUILL: I was going to comment on the
10 clinical relevance of this case. I think this case
11 referenced an American Indian. Am I correct? Yes?

12 DR. THOMAS: I think it was someone from
13 India, but the sponsor could correct me if I'm
14 wrong?

15 DR. SPRUILL: Was it East India?

16 DR. SVANBERG: The patient is from India,
17 living in the United Kingdom.

18 DR. SPRUILL: I got you. Okay. The point I
19 was going to make, though, was that I think
20 patients respond to drugs differently and
21 metabolize drugs differently because of genetic
22 makeup. And I want to go back to my point I said

1 earlier. I think the clinical relevance of this is
2 that I think it's important that people who are
3 overburdened by diabetes should be represented in
4 these clinical trials.

5 DR. THOMAS: Ms. McIntyre?

6 MS. MCINTYRE: I think the mere fact that
7 this case came about is a flag that this is a case
8 that needs to be -- unfortunately, it wasn't
9 further investigated because the patient withdrew.
10 But it does let us know that the possibility does
11 exist. And so it needs to be further evaluated.

12 DR. THOMAS: Just to add to this, does
13 anyone want to comment on any type of testing or
14 monitoring that should be performed?

15 DR. STRADER: I noticed, FDA, that you do
16 have guidelines for evaluation of drug-induced
17 liver injury, but they are not mandates; they are
18 suggestions. It's not binding. And so that makes
19 it a little bit difficult when you're trying to
20 evaluate cases which are extremely difficult to
21 establish a causal relationship if you have
22 guidelines that aren't binding. So you don't have

1 to check every two weeks if you don't want to, but
2 we suggest that you should.

3 I think it may be an important thing to make
4 those a little bit more stringent, so that if there
5 is a case in which there is suspected
6 hepatotoxicity, that we're doing exactly what we're
7 supposed to be doing in the correct order so that
8 we can properly evaluate the cases when they come,
9 as opposed to having data points that may not
10 necessarily be helpful.

11 DR. THOMAS: Dr. Capuzzi?

12 DR. CAPUZZI: Just a very minor point. I
13 agree with everything that was stated. I think
14 it's always useful, even though this is not a real
15 sensitive test, to get a serum albumin level. I
16 mean, if it's four or five and the transaminases
17 are borderline, albumin. maybe even a pre-albumin,
18 but certainly an albumin. And I think that would
19 help, too.

20 DR. THOMAS: Just to make sure, before I
21 conclude this question, any other discussion on the
22 necessity for additional pre-marketing testing or

1 pre-approval testing?

2 [No response.]

3 DR. THOMAS: I thought I'd have one quick
4 question for the FDA for comment. So the data
5 would seem that this is balanced, but the one case
6 is the concern, just to make sure that everyone's
7 clear.

8 DR. AVIGAN: I just want to comment on what
9 we mean by liver signal, because this is a very
10 important point. The idea that a Hy's case
11 is -- when we talk about a Hy's case, what we mean,
12 my understanding, is that this is a liver injury
13 event, which is probabilistically associated with
14 the test drug.

15 So we've gone through identifying a case
16 with acute liver injury, hepatocellular injury, and
17 we've done differential diagnosis to exclude other
18 causes. But because there are potentially small
19 residue uncertainties, we give a probabilistic
20 analysis of where we think the causal link is.

21 In this case, it was called by the
22 adjudicator at the FDA, probable, which means that

1 it's a probably linked case to the drug, the test
2 drug. If that's true, and if we knew for sure
3 that's true, then we know that this drug, at least
4 in that individual, who is susceptible, had the
5 potential to cause hepatotoxicity, and then
6 projecting to a large exposure population would
7 then assume that there are other people in the
8 population who may have similar susceptibilities.
9 This is not a measure of prognosis. This is a
10 measure of risk in an exposure population.

11 So that's the key concept. So when we argue
12 about or debate causality, why we say one case is
13 worrisome, two are quite concerning, is, once we
14 have two, each probabilistically linked, we know
15 the drug is linked to this potential.

16 So this is what this discussion has been
17 about and there's, I think, from the discussion and
18 from the reviews, some concern with this drug
19 because of the causal link in this particular
20 patient. But it's only one case where we could
21 determine that, and that's what we're left with at
22 this point in time.

1 DR. THOMAS: Dr. Veltri?

2 DR. VELTRI: I would just like to ask the
3 FDA, and it may help with the sponsors as well,
4 it's a probabilistic assessment. What if it was
5 definitive? What if it was definite? Would that,
6 in any way, shape, or form, color your approach to
7 this? Because probable means yeah, perhaps, but
8 maybe not, as opposed to definitive, it's pretty
9 clear cut.

10 DR. AVIGAN: So once you knew that it was
11 definitive, if you had that information, then you
12 could say that, based upon the denominator of
13 exposure in the test population, you could begin to
14 project, if you assume the equal distribution of
15 risk and its susceptibility across the treatment
16 population, a number or incidence rate that you
17 might expect once you put it out there.

18 Now, the problem with -- even if it's true
19 in one case and we do these extrapolate projections
20 of 10 percent having serious and so on, it's a very
21 unstable number. So if you apply any sort of
22 statistical magic to this, the confidence intervals

1 around that point estimate are going to still be
2 very wide.

3 So historically, in the end, with
4 hepatotoxins, drugs that turn out to have this
5 idiosyncratic hepatotoxicity potential, the real
6 risk, incidence risk, really declares itself over
7 time with multiple data sources of information.
8 But the first question in the algorithm is, can it
9 cause this event, and that's what I think we're
10 discussing today.

11 DR. THOMAS: Dr. Smith?

12 DR. SMITH: Yes. That's all well and good.
13 And I think that those of us who don't think about
14 these clinical trials every day certainly benefit
15 from hearing the theoretical underpinnings of a
16 thoughtful discussion. But I think that, now, we
17 have to move on to the practicality of, so we've
18 got this one case, and how is this going to impact,
19 or should it impact the deliberations going
20 forward, in terms of recommending stringency of
21 monitoring, frequency, scope of monitoring, and all
22 with the idea of mitigating whatever risk there is

1 that's been uncovered?

2 DR. AVIGAN: I'll just make one final
3 comment. So there are two kinds of questions with
4 regards to monitoring. In a clinical trial, we
5 monitor to protect patients, and also because we
6 have them systematically available to us, but also
7 to learn when they have these events.

8 In a general post-marketing population, we
9 monitor because it has an impact on mitigating
10 risk. Now, the issue here is that these are, at
11 best, very rare events, if they occur at all. So
12 our general experience with post-marketing
13 monitoring is that it generally has not shown
14 itself in any case in particular to be a useful
15 strategy for drugs that cause these events rarely.

16 So I would distinguish monitoring practices
17 in clinical trials from which we are learning about
18 the patient and the risk, but also protecting test
19 subjects from what we do in clinical practice.

20 DR. SEEFF: I would like to make a comment
21 about the causality as to drug-induced liver
22 injury. I guess everybody knows here that there is

1 no biomarker that gives us --

2 DR. THOMAS: Would you be able to identify
3 yourself?

4 DR. SEEFF: I'm Leonard Seeff. I'm a
5 consultant in hepatology to the FDA. I speak with
6 a background of having spent 10 years at the NIH,
7 working on the drug-induced liver injury network
8 study, in which one of the focuses was trying to
9 come up with a causality assessment of
10 hepatotoxicity and severity. So there was a
11 background to this information.

12 There is no way of making a definitive
13 diagnosis in drug-induced liver injury. All you
14 can do is to deal with the fact that you exclude
15 every other known cause. Once you have a potential
16 relationship between the receipt of a drug and the
17 development of a liver dysfunction, once you've
18 come up with that, you have to grade it. And the
19 grading severity that the NIH came up with was the
20 one we're talking about here on definite, which was
21 more than 95 percent likely, highly likely, which
22 was 75 to 94 percent, probable, which is 51 to 74

1 percent, and so on, and so forth.

2 We struggle with this all the time, and I
3 can tell you that we review cases, and we have
4 three or four reviewers, and we don't always agree.
5 My own belief is that I would never call a case
6 definite, which is a new case, a new drug. We
7 don't have enough information. In order to come up
8 with a definite, you need to have a history. Has
9 this drug been used before? Has it caused liver
10 injury? What is the latency between the use of the
11 drug and the development of the abnormality, et
12 cetera, et cetera? You take into account all of
13 these factors.

14 So when I called it probable, I would never
15 have personally considered this definite. This is
16 a new product. I have no history of what this
17 might do. So it's a question between probable and
18 highly likely.

19 My own view is that in the 78-year-old man
20 who's serum negative for autoimmune hepatitis, I
21 guess that the view that this could be autoimmune
22 hepatitis came from the pathologist, who said that

1 there was piecemeal necrosis, which was what you
2 see in autoimmune hepatitis. But it's not specific
3 for autoimmune hepatitis. You can see that in
4 drugs, and there are drugs that lead to autoimmune
5 hepatitis, nitrofurantoin, minocycline. You cannot
6 distinguish. It's very, very difficult.

7 So making the diagnosis is very difficult.
8 I believe strongly that this is as good a case as
9 one could get for a diagnosis of drug-induced liver
10 injury. Now, there are other drugs that were seen.
11 We have to work out which was the best. So I think
12 that it's a very difficult problem.

13 I would also like to make a comment about
14 this issue of imbalance. The view that is taken is
15 that there's no imbalance, there is no drug
16 hepatotoxicity. But what we don't know is, what
17 was the cause for the abnormalities in each of
18 these cells? Were they the same?

19 I think that you have to evaluate.
20 Dr. Strader's absolutely right. You have to
21 evaluate every case. And not only do you say that
22 this is not drug-induced liver injury, but what is

1 it? It is extremely important, I think, to do
2 that, so that any drug that is being evaluated
3 should be carefully monitored. You should find the
4 abnormality, set whatever standard you wish for
5 saying this is an abnormality that's of concern.
6 Maybe you need two values above, three times, or
7 whatever it is, the upper limit of normal. But you
8 evaluate the cause. That's extremely important.

9 Dr. Senior, who's one of the experts in
10 drug-induced liver injury, makes this point all the
11 time. It's not a question of saying either it is
12 or is not drug-induced liver injury; it is, what is
13 the cause for the abnormality? And I think that
14 saying that there's no imbalance is useful, but it
15 is not definitive. You have to say, I know that
16 the reasons for the fact that there is no imbalance
17 is because they have the same reason. But if you
18 find a serious liver case in the one and not in the
19 other, that's a different story.

20 So that's my sense of this issue of how to
21 make a diagnosis. It's extremely difficult. We
22 all struggle with this. But I think we're getting

1 better as we go on.

2 DR. THOMAS: Thank you. I don't see any
3 additional comments, but before I summarize, I was
4 going to ask the FDA if they thought there was
5 sufficient discussion on this question or if we
6 need to try and answer your questions.

7 DR. IRONY: I just want to have a little
8 clarification from Dr. Strader. You mentioned
9 about the guidance, the FDA guidance not being
10 mandatory. And what we have in clinical trial
11 protocols is some plan about how we are going to
12 enroll that kind of population that has a
13 transferase that's less than three times the upper
14 limit of normal or less than two times the upper
15 limit of normal.

16 We are going to follow them at periodic
17 intervals, just like we follow for renal safety, or
18 CBCs, or et cetera. And if there are some
19 abnormalities, we're going to investigate further
20 and discontinue the study drug in case there is
21 some elevation above a certain prespecified
22 threshold.

1 But the protocols don't mandate what exactly
2 the adequate workup would be and that's left to the
3 individual investigators. Similarly, the guidance
4 only makes recommendations. You need to test for
5 viral hepatitis. You need to test for EBV or CMV
6 in certain particular populations that are at high
7 risk for those and for other reasons. But we don't
8 mandate the particular workup because we realize
9 this imposes on the practice of medicine.

10 DR. THOMAS: Yes, Dr. Strader?

11 DR. STRADER: Yes. This is a difficult
12 issue, as Dr. Seeff mentioned. I think that when
13 applicants come with new drug applications, there
14 needs to be some sort of leeway in how they
15 evaluate things. But the problem is, with a case
16 like this, once there is the suspicion that there
17 is drug-induced liver injury, I think that the
18 nebulousness with which we evaluate the patient
19 should disappear. There should be very strict
20 methods of when you evaluate the next liver enzyme
21 abnormality and what you do if it's still going up;
22 when do you stop? When do I get a liver biopsy?

1 When do I do an ultrasound? When do I do a CT
2 scan, this kind of thing, as opposed to saying,
3 well, on day 50, he had this, and then we waited
4 until day 100, and he still had it, and so then we
5 did this. Because it becomes very difficult to
6 make any kind of determination, when so much time
7 has passed in between and there hasn't been any
8 sort of evaluation that is in a regimented manner.

9 Now, having said that, I don't know exactly
10 what that should be. But certainly, you all have
11 had some guidance, and so you've given this some
12 thought. And so perhaps making it a little bit
13 less nebulous and a little bit more binding might
14 be helpful in being able to determine, in the
15 future, what the causality is.

16 DR. THOMAS: So I'm going to summarize the
17 discussion for Question 2. Even though there is
18 balance in the ALT, and AST, and other enzyme
19 abnormalities, there is great concern because there
20 is one isolated case, which is a probable
21 designation of being related to the drug for liver
22 toxicity. It seemed unlikely that this would be

1 autoimmune hepatitis.

2 One of the problems of studying patients
3 with diabetes in general, who also have obesity, is
4 that they may have an underlying disease pattern of
5 enzymes before or after the initiation of the
6 medications. Many of these patients may have a
7 high rate of NASH before they entered the study.
8 There are also other medications they take,
9 including classes such as statins, that could cloud
10 the picture of what's the cause of the liver
11 injury, or liver function, or even potentially
12 interact with another agent to cause this.

13 This one case, clearly, is a red flag, and
14 there was also concern that there are differences
15 in different racial or ethnic groups because of
16 maybe, potentially, genetics or metabolism that
17 can't be really addressed because of the numbers of
18 subjects of other racial groups that were in this
19 clinical trial protocol.

20 We definitely would need more stringent
21 evaluation of the liver disease or changes in
22 transaminases in any studies that are performed to

1 evaluate this further; for example, more frequent
2 testing. And where currently it is left up to the
3 investigator as to what's the course of evaluation
4 after a liver injury is identified, probably there
5 should be strict specification of diagnostic
6 testing, follow-up, and timing for any identified
7 cases in any future pre-marketing study.

8 That'll be the end of that question.

9 Now, we'll go onto Question 3, which is
10 about breast and bladder cancer. Numeric
11 imbalances in breast and bladder cancer observed in
12 the clinical development program. For both of
13 these types of cancer, please discuss whether these
14 imbalances signify a risk for carcinogenic
15 potential associated with dapagliflozin.

16 In addition, please comment on whether the
17 numeric imbalances are impacted by the following:
18 any imbalance of baseline risk factors, any
19 detection bias.

20 Dr. Piantadosi?

21 DR. PIANTADOSI: Thank you. I have several
22 paragraphs of comments on this question.

1 Is it okay to read them into the record?

2 DR. THOMAS: I think so.

3 DR. PIANTADOSI: So I'll comment
4 specifically on the evidence for cancer risk,
5 particularly that for breast and bladder cancer.
6 There is uncertainty in the data, as we're all
7 aware, but my view is that there's not a lot of
8 uncertainty on how to evaluate the evidence or,
9 ultimately, on the best course of action.

10 I don't want my colleagues to conclude, for
11 example, that uncertainty implies no evidence of
12 harm, or to conclude that evidence of risk must
13 turn us away from a potential useful tool. What I
14 hope is that we all face the facts as they exist
15 today, make an appropriate decision, and obtain
16 additional data if we agree that it is required to
17 inform future actions.

18 The view of cancer risk that I'll outline is
19 consistent with there already being safe and
20 effective therapies for this condition available,
21 and that this is a serious disease, but compatible
22 with substantial life expectancy. The FDA and

1 sponsor have agreed on the appropriate treatment
2 and control groups for these analyses, as well as
3 the number of cancer cases in the comparison
4 groups.

5 The appropriate comparator group for safety
6 is, apart from small differences, the same as that
7 for efficacy. One cannot sensibly believe in
8 efficacy conclusion and disbelieve a safety signal
9 coming from the same data. To that point, the
10 reference to SEER data is sensible, and
11 interesting, and well done, but is fundamentally a
12 misdirection.

13 The cancer relative risk is directly
14 estimable from the efficacy comparison groups with
15 the cautions I mention below. For these reasons, I
16 will emphasize only the risk estimates that derive
17 from the same source as the efficacy estimates. If
18 a new study were to be planned, the SEER estimates
19 are key for helping to determine its size.

20 The data suggest cancer risks for breast and
21 bladder cancer in the four- to fivefold range.
22 Such risk ratios are always biologically

1 significant and may be clinically significant,
2 depending on the baseline risk and the size of the
3 population at risk. Statistical significance is an
4 important question, and it reflects on the validity
5 of the possible risks. But lack of statistical
6 significance does not make the relative risk zero.
7 It merely creates uncertainty regarding the most
8 reliable inference from the data.

9 If the cancer risks were statistically
10 significant, I don't think we would be here today.
11 Mitigating the putative cancer risks are the
12 following. There's no clear mechanism for
13 carcinogenesis. There's no evidence of
14 mutagenicity or carcinogenicity from preclinical
15 studies. Some effect might be attributable to
16 detection bias. However, the relative risks may be
17 too high to be fully explained by such, and some
18 cases were probably prevalent.

19 The sponsor chose to emphasize the cancer
20 risks in terms of the incident rate difference,
21 which is relevant, but may not be as important to
22 the individual patient as the more common and I

1 believe more relevant risk ratio. When the control
2 counts were zero, use of the incident rate
3 difference was sensible, and I'll say more about
4 that below.

5 With regard to the worrisome aspects of the
6 cancer risk, the baseline imbalances are not likely
7 to explain the cancer findings. There can never be
8 any surrogate for safety. The evidence must come
9 from direct exposure and ascertainment.

10 Cancers are mechanistically complex and one
11 cancer type or its absence is not a surrogate for
12 any other. Even removing some of the prevalent
13 cases, we are likely left with relative risk
14 estimates greater than 2, for example.

15 The breast and bladder cancer findings could
16 be due to chance. We all know this and might
17 wishfully think that it's the right explanation,
18 based on mechanistic arguments. However, the
19 purpose of a rigorous valid comparative study
20 design -- and this one is admittedly
21 imperfect -- is to free us from the uncertainties
22 of mechanistic argument and allow us to draw

1 conclusions from empirical data. When properly
2 done, such evidence trumps all and teaches us to
3 look for mechanisms or not.

4 In short, empirical evidence is the equal
5 and necessary partner for mechanistic biological
6 reasoning. The paradox of invoking chance as the
7 sole explanation for the observed events is that we
8 might then also have to admit that chance has
9 caused us to miss other safety signals.

10 I would be willing to admit that some of the
11 apparent adverse effect of the drug can be
12 attributable to ascertainment bias in the dapa
13 group. How much of the multifold risk of breast
14 and bladder cancer to discount by such reasoning is
15 not obvious, and I personally am not willing to
16 disregard 100 percent of it any more than I'm
17 willing to discount the apparent treatment effects.

18 The FDA-updated data, agreed to by the
19 sponsor, unfortunately for the drug, removes the
20 statistical uncertainty of zero denominators and
21 permits estimated risk ratios of 5 for bladder
22 cancer and 4 for breast cancer, both non-

1 significant at the conventional 05 level, but very
2 worrisome.

3 It was said this morning that there are 26
4 million Americans with diabetes. Let's assume for
5 a moment that 10 percent of them will use this
6 drug. If the true cancer rates are then about 0.3
7 percent, as the data suggest, this translates
8 roughly into 7500 bladder cancer cases, 6,000 of
9 which are excess, and 3500 female breast cancer
10 cases, 2500 of which are excess. There might also
11 be 25 cases of both malignancies, essentially all
12 in excess, that could be attributed to the drug.
13 If only 1 percent of patients use this drug, there
14 is still a significant burden possible if the
15 cancer risks are accurate.

16 These are my guesses for illustration, based
17 on short-term exposure as in the current databases.
18 Long-term exposure could be associated with higher
19 event rates. Also, some patients contributing to
20 these rough estimates receive only one-quarter to
21 one-half of the dose as others.

22 Effects of this magnitude are not ignorable

1 or precautionary, and as I hinted above, would have
2 the same pedigree for validity as the hemoglobin
3 Alc treatment effects, apart from the greater
4 precision with which the latter is estimated.
5 Although the trials were not designed to estimate
6 these or any rare event with high precision, they
7 do permit detection of a possible signal.

8 Unfortunately, there is a cancer safety
9 signal in the data that we cannot reasonably pare
10 down to zero without more information. We must
11 recognize the strengths and weaknesses of the
12 evidence in support of the signal and draw
13 conclusions in light of it.

14 What are the right conclusions?
15 Unfortunately, neither a biological mode nor a
16 statistical mode of reasoning will alleviate the
17 dilemma. A definitive risk assessment remains
18 impossible presently. I would leave the final
19 risk-benefit assessments to topical experts, but I
20 am impressed by the magnitude and scope of the
21 problem, as well as the basic efficacy of the drug.

22 I encourage the FDA to respect the data, as

1 well as places where the data may be thin. My
2 advice is not to ignore cancer-relative risks that
3 might be as high as four- to fivefold. As an easy
4 example, it seems to me there is little
5 justification for use of this drug in moderate to
6 severe renal impairment, especially given the
7 safety concerns. If I were taking this drug, I
8 would want to know that I might be exposed to this
9 significant a relative risk for bladder cancer.

10 If the drug is approved for marketing, I
11 would want to see a large additional study whose
12 design specifically permits the assessment of the
13 index cancers. The study should have active
14 ascertainment of cancers. It would be best if such
15 a trial were randomized. As I indicated in my
16 earlier comment, it would free us almost completely
17 from biological rationalizations.

18 I would be most pleased if more well-
19 designed data showed cancer risk to be negligible.
20 It would also be acceptable to know, with adequate
21 precision, if the risks are higher. What would be
22 unacceptable is to expose large numbers of diabetic

1 patients to a serious, preventable risk that
2 defines itself late. Thank you.

3 DR. THOMAS: Anyone else have a comment?
4 Dr. Seely?

5 DR. SEELY: I think the sponsor was very
6 honest that they could not find an imbalance in
7 baseline risk factors. I thought the issue that
8 there be a detection bias is one that needs to be
9 explored more. So we know mammography is very
10 difficult in obese individuals, and the American
11 Society of Radiologists puts out special
12 recommendations for how to do mammography in obese
13 individuals. And if obesity decreases and there's
14 fat loss in the breast, the mammogram becomes
15 easier to perform and more exact.

16 So I think we have, at least for the breast,
17 a good reason why, if there is associated weight
18 loss, it would unmask lesions that may not have
19 been detected until later. And early detection may
20 actually be of benefit in this population.

21 So what we may be doing is finding it
22 earlier in these women who, in two to three years

1 without the weight loss, may have been diagnosed
2 with a later breast cancer. The other is that
3 hydration status may affect breast imaging. And if
4 there is a direct effect, that may affect the
5 imaging as well.

6 So it might be worth trying to look more
7 directly at the amount of weight loss seen in some
8 of the individuals, and to actually get some of the
9 mammograms that have been done on your population
10 that were in the beginning and the end, and look at
11 changes in mammographic density, according to what
12 treatment arm they were in, because over time, the
13 density should be decreasing, just with aging. But
14 you may find some increasing in your treatment
15 population because you're losing fat and that may
16 give some of the answer to the discrepancy.

17 DR. THOMAS: Dr. Strader?

18 DR. STRADER: Can I ask you a question on
19 that point? Do you know how much weight one would
20 have to lose in order for it to be impactful?
21 Because I think the applicant said that there was
22 maybe a 3 and a half kilogram loss over a six-month

1 period of time. So that doesn't sound like a lot
2 of weight. And most of the breast cancers were
3 diagnosed within a year of starting the drug, so
4 that doesn't give you a whole lot of time.

5 DR. SEELY: That's why I thought it would be
6 helpful to look in those specific cases, because
7 the mean was around that amount of weight. But the
8 weight loss may have been more dramatic in the
9 women who developed breast cancer. And obviously
10 what's hard is that it's a measure of systemic
11 weight loss, and people lose weight differentially.
12 So even some of the women may have lost significant
13 weight in their breast and not have it reflected in
14 their total weight. But a start would be to look
15 at the magnitude of weight loss in some of those
16 cases.

17 DR. PIANTADOSI: I might just add,
18 hypothetically, this is an answerable question from
19 the data. I don't want to draw the sponsor into
20 this particular discussion, but I would be
21 surprised if they hadn't already done the relevant
22 analyses and don't know the answer to that.

1 DR. THOMAS: I was just going to add one
2 comment about detection bias for the bladder
3 cancer. Because this drug causes increased urinary
4 tract infections, it's quite possible that subjects
5 were getting urinary screening in the treatment
6 group because they had a treated urinary tract
7 infection, and then as a result would have a
8 follow-up urinalysis, which is customary in the
9 United States. I'm not sure if that's the same
10 custom around the world, but it probably should be.
11 As a result, hematuria might have been picked up at
12 a microscopic level, where the usual standard of
13 care would be not to do a urinalysis that often.

14 So there is a potential detection bias as
15 for the bladder cancer. I'm not sure why it's only
16 men and whether there should have been some impact
17 in women as well.

18 Dr. Kaul?

19 DR. KAUL: I agree with Dr. Piantadosi about
20 detection bias. The magnitude of the detection
21 bias is typically in the range of a risk ratio of
22 1.1 to 1.3, and what we see here far exceeds that.

1 And although the numbers are small, the applicant
2 or the FDA could have done some bias-mitigating
3 analyses, where, for example in bladder cancer, you
4 can use the composite of cancer in hematuria or do
5 a time-dependent covariant analysis after
6 hematuria, looking at the risk of development of
7 cancer. But the numbers are probably too small, I
8 believe.

9 Your discussion about infection, if that
10 were true, then the frequency of UTI is about
11 tenfold higher in females, and yet we don't see any
12 bladder cancer, in fact, attributable to the
13 typical gender predilection for transitional cell
14 bladder cancer, which is about 4- to 5-fold higher
15 in males than females? Or are there any gender
16 differences in the pharmacokinetic, pharmacodynamic
17 properties of this drug, or gender differences in
18 the distribution of risk factors for bladder
19 cancer? I mean, those types of analyses, perhaps,
20 might have already been done, or if not, should be
21 done as an exercise in mitigating bias.

22 DR. THOMAS: Dr. Veltri?

1 DR. VELTRI: Yes. Clearly, there could be
2 some selection/detection bias here, but it seemed
3 like most of the infections, both urinary and
4 genital, were in females as opposed to males.
5 Also, one can look at, of those who had infections,
6 I think those patients -- was that the reason why
7 further investigations in those were the ones who
8 developed the bladder cancers. I think it's a
9 little bit more difficult for the breast cancers,
10 for what was stated before. But, certainly, it
11 could be part of the detection/selection bias.

12 DR. THOMAS: Dr. Strader?

13 DR. STRADER: Can I ask a question of the
14 FDA? Unlike the hepatotoxicity, where there's one
15 patient that we see, in this instance, there are a
16 number of patients. Is there some post-marketing
17 monitoring that could be done that would
18 potentially help to mitigate the numbers of cancers
19 that we see? Is there something that we could do
20 because of the numbers of patients with these
21 cancers?

22 DR. IRONY: I'll take the first stab, and

1 then I'll ask my epidemiology colleagues to also
2 chime in. What the applicant had proposed here is
3 to continue to monitor for those cancers, for
4 breast and bladder cancer, in the currently ongoing
5 trials, on those long-term extensions in the
6 randomized control trial to assess either
7 cardiovascular safety or potential cardiovascular
8 benefit, to continue to assess the risk of bladder
9 cancer.

10 Those are large and long trials, but
11 relatively small to detect hazard ratios; that we
12 want to exclude the risk, in addition to conducting
13 these pharmacoepidemiologic studies within a year
14 if dapagliflozin gets approved, and then monitor
15 long term; and depending on the uptake of the drug
16 on the market, how much new users of dapagliflozin
17 versus new users of other anti-diabetic drugs as
18 comparators would be used, try to evaluate on a
19 regular basis the accruing rate of those cancers.

20 So I wanted -- maybe, Christian, if you want
21 to, comment on the proposed pharmacoepi study.

22 DR. HAMPP: Dr. Strom, on behalf of the

1 sponsor, already indicated that they conducted a
2 study with Kaiser Permanente data on pioglitazone
3 in bladder cancer. And it took a couple of years
4 to deliver statistically significant results. That
5 might be the same case with this drug, and that
6 might even be optimistic, given market penetration
7 of pioglitazone.

8 As far as alternatives are concerned, we
9 often rely on spontaneous reports of adverse
10 events, which is not a very good approach for
11 cancer because physicians often don't relate cancer
12 to remote exposure to a drug.

13 DR. THOMAS: Dr. Brittain?

14 DR. BRITTAIN: Yes. I just wanted to know,
15 with respect to the clinical trial that's been
16 proposed, if there's any idea how -- maybe you said
17 it and I missed it -- how large that study would be
18 and how long term, because these are fairly rare
19 events, and I'm a little concerned about how
20 definitive that would be.

21 DR. IRONY: Yes. We don't have any final
22 protocol for a study. But, in general, those are

1 studies not powered for -- it depends on the intent
2 of the study.

3 In those cases, the study would not be
4 powered to detect a hazard ratio of greater than
5 two, for example, for either bladder or breast
6 cancer, or both. This proposed randomized trial is
7 to address more the cardiovascular risk in major
8 cardiovascular adverse events. So those are
9 not -- it's hard to tell what the "n" should be.

10 DR. THOMAS: Dr. Kaul?

11 DR. KAUL: I think that's a key question. I
12 mean, what size trial is required to detect or rule
13 out a cancer risk? I mean, I agree with the
14 sponsor and the FDA that more data are needed to
15 adjudicate the uncertainty and risk, but I remain
16 doubtful if post-marketing evaluation, including an
17 outcomes trial, would be able to resolve this
18 matter.

19 I mean, if you're looking at an incident
20 cancer rate of about 1 percent per year and you
21 want to rule out a 50-percent increase in risk, we
22 are talking about somewhere, a trial of almost

1 30,000, if not greater. And I don't see that
2 happening if you want to eliminate the confounding
3 by indication. But if you're trying to design an
4 observational trial, I heard Dr. Strom mention
5 something to the amount of three- to fourfold
6 larger than the pioglitazone, which would be
7 somewhere around the neighborhood of 100,000
8 patients. So perhaps that's doable, but are the
9 data going to be as credible as a randomized,
10 controlled trial? I mean, these are questions we
11 have to deal with.

12 DR. THOMAS: Dr. Piantadosi?

13 DR. PIANTADOSI: Just a point of
14 clarification. Were you suggesting that the drug
15 would be available only on such a study? Or would
16 such a study be done in the milieu of a marketed
17 drug?

18 DR. DUNN: The applicant was proposing the
19 study to be done on the marketed drug.

20 DR. THOMAS: Dr. Kaul?

21 DR. KAUL: I have a question for
22 clarification, both of the FDA and of the

1 applicant. According to the diabetes
2 cardiovascular guidance, once you have excluded an
3 unacceptable increase of greater than 1.3 hazard
4 ratio, a post-marketing trial is not required. So
5 why is the applicant proposing a cardiovascular
6 outcome trial? Are they trying to prove that this
7 drug is protective, or are they trying to propose
8 that they want to further clarify the
9 cardiovascular safety?

10 DR. PARKS: In reference to the diabetes
11 guidance, to be able to rule out the definitive
12 level of risk of 1.3, there's also -- and I don't
13 have the guidance here in front of me. You
14 probably do. But there's also a section, if there
15 are no other safety concerns, in general, a post-
16 marketing study is not required.

17 So certainly in this situation, the company
18 is proposing to do a definitive cardiovascular
19 outcomes trial. The primary objective, and the
20 company can correct me if I'm wrong here, is to
21 first establish cardiovascular benefit.

22 Certainly, built into that study could be

1 assessing other safety concerns that have been
2 raised at this meeting today. And in a prospective
3 trial, it may address, perhaps, some of the
4 concerns here of seeing these imbalances in perhaps
5 an ad hoc basis. It may address some of those
6 concerns about detection bias and whatnot, that can
7 help at the end of the day if the trial does meet
8 its primary objective to weigh out benefit and
9 risk.

10 But to get to your first question, even
11 meeting 1.3, if there are other safety concerns,
12 that may offset just the 1.3, Additional studies
13 may be required.

14 DR. KAUL: But the question I have is that
15 the cardiovascular outcome trial that they are
16 proposing, and we have not heard anything about the
17 details of that trial, will that be large enough,
18 sufficient enough to rule out this credible safety
19 concern, which is the cancer risk?

20 DR. PARKS: That is correct. You have not
21 heard about that because we have not actively
22 discussed this. The company has proposed this to

1 the agency, and as you've also heard, if this
2 product is approved, it will be a required trial.
3 Clearly, all the concerns that have been raised
4 here would need to be built in on whether or not
5 such a trial can be designed to feasibly address
6 not only cardiovascular safety or benefit, but also
7 all these other safety concerns.

8 DR. THOMAS: Would the sponsor like a brief
9 comment about this?

10 DR. SVANBERG: I'll ask Dr. Daniels to
11 address the discussion which just took place.

12 Dr. Daniels?

13 DR. DANIELS: To specifically answer your
14 question, Dr. Kaul, the design of the CV outcomes
15 study is a hypothesis testing of improvement or
16 reduction in MACE events. But as I also said in my
17 introduction, we think, within that study, you can
18 adjudicate some additional uncertainties,
19 particularly at the level of malignancy, but not as
20 you indicated at the level of specific
21 malignancies.

22 We do believe, and Dr. Strom came and talked

1 about that, that that is a role of the
2 observational trials that we have proposed, and are
3 in your briefing book, to make sure that they are
4 large enough from the beginning and that it starts
5 at a day of authorization and not somewhat later,
6 because we both, FDA and BMS, take the signal in
7 malignancy very serious for breast and bladder
8 malignancy.

9 So those studies will be large enough. Our
10 belief is within two to three years to adjudicate
11 the issue more completely. And so it's really a
12 complementary set of pharmacovigilance and large
13 randomized clinical trials that we think will more
14 fully address the noted imbalance, consistent I
15 think with the legacies of both companies to do the
16 right thing for patients.

17 DR. THOMAS: If there are no further
18 comments, I'll summarize. I'm actually not going
19 to summarize Dr. Piantadosi's elegant comments
20 because I probably will not do them justice.

21 [Laughter.]

22 DR. THOMAS: So I'll summarize everyone

1 else. There's uncertainty about the data that's
2 presented in terms of risk. The issue of detection
3 bias, there clearly could be some detection bias
4 for breast cancer if the subject's lost weight and
5 it was easier to detect, by mammogram or other
6 techniques, a breast cancer mass.

7 For bladder cancer, there could be a
8 detection bias based on the frequency of urinary
9 tract infections, resulting in testing for
10 hematuria because of that. However, the detection
11 bias probably does not explain the overall risks
12 that we see in this study, in terms of the numbers
13 of cases.

14 For the urinary testing, it would be then
15 surprising, because most of the participants who
16 developed urinary infections were women. There
17 were no cases in women, and that could be explained
18 by the biological plausibility that this is more
19 common in men for transitional cell cancer.
20 However, this should bring up some questions about
21 if there are gender differences, mechanistically,
22 that may cause this imbalance. As opposed to

1 concerning an imbalance of baseline risk factors,
2 it was felt that this was covered by the sponsor
3 and there does not seem to be any apparent
4 differences in baseline risk factors throughout the
5 trial, in terms of these cases.

6 The results are very concerning, and a large
7 trial probably will have to be done of some form to
8 look at this with very strict and stringent
9 assessment of risk factors screening to see if this
10 is a real risk for cancer or if this is something
11 that's a signal that will go away with further
12 investigation.

13 A variety of factors can play a role in
14 detection bias. In addition, for breast cancer,
15 besides weight, one that was brought up was also
16 dehydration. And since this drug or medication
17 causes dehydration, at least in some subjects, that
18 hydration status should be looked at, at the time
19 of testing.

20 It was also felt that some of this data
21 could be addressed with data that's already
22 present, some of this concern, and that the sponsor

1 might have been doing this already, looking at
2 previous mammograms and other terms of detection
3 for cancer. That could be helpful to the FDA. It
4 would require a very large trial. Dr. Kaul
5 estimated somewhere between 30 [thousand] and
6 potentially up to 100,000 subjects to answer this
7 question.

8 The sponsor does seem willing, as part of
9 their cardiovascular trial, to look at this further
10 because of the seriousness of the issue for breast
11 and bladder cancer.

12 We'll now go onto question number 4, other
13 safety findings. Please discuss the clinical
14 significance of the following in the type II
15 diabetes mellitus population: A, increased
16 genital/urinary infections associated with
17 dapagliflozin therapy; B, bone safety concerns; 3,
18 any other safety issues identified in the
19 pre-marketing application.

20 If anyone has any questions, otherwise --

21 [No response.]

22 DR. THOMAS: Okay. I will start.

1 For the first subject, increased
2 genital-urinary infections associated with
3 dapagliflozin therapy, clearly, there's imbalance,
4 infections, between the two groups, placebo and
5 treatment group.

6 When you look at the Kaplan-Meier plots,
7 they're looking at the first event. There also
8 seems to be an increase in secondary infections.
9 None of these were really significant in terms that
10 there are very few cases that reached the level of
11 pyelonephritis. However, you have to remember that
12 these are short trials, 24-week extensions, with a
13 smaller number of subjects up to one year and even
14 smaller up to two years.

15 How does this equate into long-term use of
16 this medication? One concern that I always worry
17 about is the antibiotic resistance. It's not
18 necessarily related to the short-term usage of this
19 medication, but if a particular individual has
20 repeated infections, do they develop antibiotic
21 resistance? And how is that treated, and how is
22 that passed onto other subjects in their community?

1 [No response.]

2 DR. THOMAS: All right. I will keep going
3 on.

4 Bone safety concerns. There were no obvious
5 bone safety concerns from the data presented by the
6 sponsors. They did have one-year dexa data from a
7 further body fat morphometry analysis. However,
8 there were no bone markers presented at this
9 meeting. I think it would be important to know how
10 markers of bone turnover are affected over the
11 course of several years.

12 I think one year is probably quite short to
13 look at fracture in this population -- you probably
14 need several years of data to look at
15 fracture -- and also to look at bone density by
16 dexa.

17 Dr. McBryde?

18 DR. MCBRYDE: Actually, the bone safety, I
19 had a couple of thoughts and concerns about. And I
20 have to admit, even as a nephrologist, I'm not a
21 huge fan of metabolic bone disease, but I've always
22 been somewhat concerned about the use of dexa in

1 obese patients. In looking at a lot of the data,
2 there were BMIs of 33 to 38 for the subjects. I
3 have some concerns about whether or not that's
4 truly giving an adequate representation of lean
5 body mass, the fat-free mass, but also bone mineral
6 density.

7 I think Dr. Seely had asked earlier about
8 the number of people reported with the familial
9 renal glucosuria. And looking at some of the
10 reviews on that, hypercalciuria has been described
11 in that population. I noticed that there was no
12 change in calcium, so I assume that that's total
13 serum calcium. But there's no comment on any
14 potential changes in ionized calcium.

15 I did hear a discussion briefly about
16 urinary potassium and urinary magnesium excretion,
17 but nothing on urinary calcium. But certainly, if
18 there's hypercalciuria and dexamethasone screening for
19 bone mineral density with possible inadequate or
20 inaccurate measurements of bone mineral density, I
21 don't know that I think that the risk fracture has
22 been well defined in this population.

1 So I just wanted to sort of throw that out
2 that I have some concerns there, although I don't
3 have anything firm to hang it on. A lot of that,
4 again, is hampered by an absence of data provided
5 by the sponsor, at least in the preclinical testing
6 of the drug.

7 DR. THOMAS: Dr. Smith?

8 DR. SMITH: Yes. I absolutely agree with
9 those comments. And I, too, have great concerns
10 about self-delusion, that the duration of
11 observation thus far has anything to do with the
12 kinds of concerns that any thoughtfulness
13 concerning this drug and the impact on the skeletal
14 system it might have. And I think we need guidance
15 in terms of how the FDA thinks that the continued
16 surveillance could be built into any kind of post-
17 marketing activities required of the sponsor.

18 DR. THOMAS: Would someone from the FDA want
19 to comment on that?

20 DR. AVIGAN: Clearly, there are different
21 options in the post-market in terms of the
22 intrusiveness, or the proactiveness of

1 pharmacovigilance, and also epidemiological studies
2 that are available. So there's a toolkit. And to
3 some extent, it's one where we would look at what
4 are the burning questions that need to be answered,
5 and how can they be answered in a practical manner,
6 and work out the arrangement from the advice that
7 the committee gives, with the sponsor.

8 So there's a kind of balance between the
9 information that's needed and the tools that are
10 available in a practical manner. But we would
11 entertain pharmacoepidemiologic studies if they're
12 necessary, observational studies, as well as
13 proactive pharmacovigilance and spontaneous report.
14 Ascertainment would follow up to reporters for more
15 clinical information if it's a key piece in the
16 equation.

17 DR. THOMAS: Well, I think if there are any
18 other safety issues identified in the pre-marketing
19 application -- I will bring back up two that were
20 mentioned earlier by the panelists, which are
21 related. One is the overall risk of dehydration
22 and potential renal dysfunction and how it is

1 classified by the sponsor, whether it's volume
2 depletion or renal dysfunction. That might be a
3 concern when this is used in a larger population.
4 The other related risk is the use of diuretics,
5 which can promote dehydration, and especially in
6 certain populations like the elderly, that may be
7 more pre-disposed to hypotensive episodes.

8 There was also -- I'm personally concerned
9 about the comment of use with loop diuretics, that
10 maybe a smaller dose should be used, 5 milligrams
11 in patients with loop diuretics. Usually, many of
12 us use loop diuretics in people who already have
13 impaired renal function, as a choice as opposed to
14 hydrochlorothiazide. So then you have the
15 additional issue of is there efficacy along with
16 the safety issue.

17 There was also an earlier concern brought up
18 about the elderly. Specifically, though there is
19 some advantage, potentially, by having lower rates
20 of hypoglycemia in the elderly. We're really not
21 sure about the efficacy and these other side
22 effects that may be a problem.

1 Dr. McBryde?

2 DR. MCBRYDE: I have to say, I didn't see
3 any of this, other than the weight loss, in the
4 packages. But I did want to follow up on
5 Dr. Savage's earlier comment because certainly in
6 the elderly, one of the concerns I would have is
7 that, especially in subjects with normal renal
8 function, you'd be looking at the loss of an excess
9 of 100 grams of glucose in the urine, daily. And
10 that may be 300, 400, 500 kilocalories per day.
11 And what may happen to patients, particularly in
12 terms of their other nutritional status, there's no
13 data on protein catabolic rate to see if the
14 patients are put into a negative nitrogen balance
15 in order to maintain energy status or even ketosis
16 as a result of the loss of so much carbohydrate
17 calories. It maybe makes sense in terms of
18 reducing the hemoglobin A1c, but in terms of the
19 overall nutritional balance of the patient, I would
20 worry that it may cause not so much a malnutrition
21 as a dysnutrition in those subjects.

22 DR. THOMAS: Dr. Savage?

1 DR. SAVAGE: Yes. I'd like to sort of
2 second that basic concern. I think that the last
3 10 minutes or so, we've been talking about several
4 additional unknowns that we don't know for certain
5 how significant they might be, if this drug were
6 used for five years or something of that sort.

7 Certainly, if a post-marketing study was
8 done, there are a series of these questions that
9 need to be thought through and built into it right
10 from the start. And I would also, I think, stress
11 the comment that was made earlier, that if they
12 were going to do this type of study, it would be
13 designed so that it could start right away, and it
14 would give answers within a few years, because I
15 was here a year or a year and a half ago and heard
16 a study described that was looking at a very
17 important question, but wasn't going to produce a
18 definitive answer for I think it was seven years or
19 something after the time the study got started.
20 And it would be a shame if that situation repeated
21 itself.

22 So I think there are a whole host of

1 questions that we need to know more about. They
2 could be built into a post-marketing study. I
3 realize that the data on cancer, you can look at in
4 different ways and say maybe these are some sort of
5 picking up cases that already existed and so forth.
6 But the problem is, if you make a mistake on making
7 a drug widely available that causes cancers, it's
8 going to do a lot more harm than if you have to
9 make some adjustments in what elderly people would
10 be optimal and so forth, if you designed the right
11 study to get a quick answer.

12 So I'm concerned about the bigger issue of
13 more serious things that have been identified, but
14 I think there are a lot of other questions that
15 would also need to be carefully thought through,
16 and it would have to be done fairly quickly to get
17 such a study underway fairly promptly if the FDA
18 decides to go ahead with this.

19 DR. THOMAS: Dr. Seely?

20 DR. SEELY: Just to put the glucose loss in
21 urine into perspective, so maybe we wouldn't be
22 prescribing this to our lean and underweight type I

1 diabetics, but most of our diabetics we're trying
2 to put them in a negative calorie balance, and we
3 do it by telling them to cut back on their
4 calories.

5 We don't know that we're doing a great job,
6 when we tell people to cut back on their calories,
7 of balancing every nutrient and vitamin that
8 they're taking. So I just don't view that as a
9 major issue. When you think about acarbose, where
10 there are drugs where we're trying to get calories
11 not come in the body, where we're trying to make a
12 calorie deficit.

13 DR. THOMAS: Dr. Irony?

14 DR. IRONY: Yes. I wanted to ask
15 Dr. McBryde and follow up on this issue of the
16 potential dysnutrition that you mentioned. What
17 would you propose? It's possible you heard from
18 them that they are conducting a study and they just
19 presented interim data on this fat mass versus lean
20 body mass, and it changes over a year, and this is
21 continuing, what other specific endpoints would you
22 have to ensure that those patients are not

1 malnourished or dysnourished?

2 DR. MCBRYDE: I think you could do it a
3 couple of ways and depending upon the precision and
4 accuracy of the measure used, you could do it on a
5 smaller sample of subjects versus a much larger
6 sample of subjects. I'm not sure dexa would be my
7 choice for any of those measurements.

8 If I was really, truly interested in
9 something such as lean body mass, I might consider
10 something like MRI imaging and quantitative, simple
11 body anthropometric measurements as well, serum
12 markers of nutrition. They have data that they've
13 included in some of their publications of 24-hour
14 urine collections for creatinine clearance. You
15 can also do a urine urea nitrogen on that and get
16 an estimate of their protein catabolic state that
17 could be combined and compared against what their
18 serum albumin, pre-albumin, and other nutritional
19 markers may be.

20 So I think that there's a variety of
21 different techniques. Some are much more accurate
22 than others, but I think, in the obese population,

1 I don't -- and certainly, I'm not an
2 endocrinologist, and in the nephrology field, we
3 don't do many of these. I don't think that dexa
4 really is the ideal choice for looking at body
5 composition or body compartments.

6 DR. THOMAS: So if there are no further
7 comments, I'll summarize the discussion for
8 Question 4. Concerns were brought up. The
9 increased genital-urinary infections, we know that
10 it's increased in women and increased with the
11 drug. There would need to be longer-term data to
12 see if there's recurrence and any more severe
13 infections, which is not apparent at this time in
14 the data presented by the sponsor.

15 In terms of bone safety concerns, it was
16 felt that one year is too short a time to really
17 assess this, plus many of these patients are obese
18 and they may have some increased bone density. And
19 as a result, bone density measurements by dexa may
20 not be sufficient also for this analysis.

21 Probably, it would be worthwhile to have markers of
22 bone turnover and longer follow-up for fractures

1 and changes over time in this population.

2 In terms of other safety issues or issues
3 identified about hypotension, or dehydration, and
4 changes in renal function -- also about the fact
5 that there is a loss of calories in the urine,
6 which may not be an issue in patients who are
7 overweight or obese with type II diabetes. But in
8 some subjects, where there are issues of
9 nutritional balance, further studies could be done
10 to look at nutritional balance such as 24-hour
11 nitrogen or protein clearance, body composition by
12 other techniques than bone density, such as MRI,
13 and serum markers of nutrition.

14 The final comment is that there are many
15 unknowns in some of these safety findings.
16 However, they were less concerning than the two
17 major ones that were brought up before, which are
18 breast and bladder cancer and hepatic safety.

19 We will now move onto the voting question.
20 The voting question is, does the efficacy and
21 safety data provide substantial evidence to support
22 approval of dapagliflozin as an adjunct to diet and

1 exercise to improve glycemic control in adults with
2 type II diabetes?

3 You'll be able to vote yes or no. And then
4 after we have the vote concluded, we'll go around
5 the panel, and if you voted yes, do you recommend
6 any further data be obtained post-marketing? If
7 no, what further data should be obtained?

8 We'll be using an electronic voting system
9 for this meeting. Each voting member has two
10 voting buttons on your microphone, yes and no.
11 Please vote by pushing the button located
12 immediately below the corresponding letter, where
13 it says yes and no, and, again, firmly push the
14 same button three times.

15 After everyone has completed their vote, the
16 vote will be locked in. The vote will then be
17 displayed on the screen. I'll read the vote from
18 the screen into the record, and then we will go
19 around the room, and each individual who voted will
20 state their name, and vote into the record, as well
21 as the reason why they voted as they did.

22 If there is no further discussion, we'll

1 start the voting process.

2 Are we ready to do that? So please press
3 the button, either yes or no, three times on the
4 microphone, that corresponds to your vote. You
5 will have approximately 20 seconds to vote. Please
6 press the flashy button firmly. After you've made
7 your selection, the light will continue to flash.
8 If you are unsure of your vote, please press the
9 corresponding button again.

10 [Vote taken.]

11 DR. THOMAS: I am going to read the results
12 of the vote into the record. Six members of the
13 panel voted yes. Nine members of the panel voted
14 no. And we didn't give you an option, so no one
15 voted abstain or no voting.

16 I will now read into the record the names of
17 the individuals who voted yes or no. Dr. Brittain
18 voted no. Dr. Capuzzi voted no. Dr. Felner voted
19 no. Dr. Gregg voted no. Dr. Hendricks voted yes.
20 Dr. Kaul voted yes. Dr. McBryde voted no.
21 Ms. McIntyre voted no. Dr. Piantadosi voted yes.
22 Dr. Savage voted no. Dr. Seely voted yes.

1 Dr. Spruill voted no. Dr. Strader voted no. And
2 myself, Dr. Thomas, voted yes.

3 We will now go around the room and have all
4 the voting members, in turn, read into the record
5 their vote and the reasons for their vote; as
6 explained earlier, if voted yes, recommending any
7 further data be obtained post-marketing, and if no,
8 what further data should be obtained.

9 Dr. Seely, we'll start with you first.

10 DR. TRAN: If you could state your name
11 again and your vote.

12 DR. SEELY: Ellen Seely, and I voted yes for
13 approval. I did that based on, as an
14 endocrinologist, feeling that although there's a
15 scare factor to the word cancer, seeing patients
16 with diabetes, and it's a devastating disease, I
17 don't feel that we have effective treatments
18 currently available in terms of enough of an
19 armamentarium, and that finding anti-diabetic
20 agents that are weight-neutral is really going to
21 be a huge advance. I think the drug should be used
22 in patients with normal or only mild renal

1 dysfunction, and that they should be overweight or
2 obese.

3 Should I talk about post-marketing now or
4 later?

5 DR. THOMAS: You should talk about that.

6 DR. SEELY: So I feel that there is a good
7 reason to expect detection bias since both the
8 bladder and the breast cancer findings. And I
9 think that it's going to be impossible for the
10 sponsor to power a study with those being the
11 outcomes. And if we ask companies to power for
12 those outcomes, it'll mean we're not going to have
13 new drugs coming on the market to treat a lot of
14 the chronic diseases that we have.

15 So although surrogates are not as good, I
16 think looking at some of the potential reasons why
17 there might be an unmasking of diagnosis of both
18 breast and bladder cancers, it would be important
19 to do in post-marketing studies.

20 I think looking at the impact of the
21 medication on albuminuria is really going to be key
22 as well for the post-marketing studies. And I

1 think a prospective study that can occur post-
2 marketing, but it would need to be a controlled
3 prospective study, is to look at patients with
4 moderate renal impairment to see whether any
5 patients in that subclass might benefit.

6 DR. THOMAS: Dr. Savage?

7 DR. SAVAGE: I voted no. I actually agree
8 with many of the things that Dr. Seely has said and
9 I've been going back and forth, listening to the
10 discussion today. It seems to me that there is
11 some additional data that should be pulled together
12 in some way before this drug is released for
13 widespread use in potentially millions of people.

14 I mean, if the word gets out there that
15 there's a drug that has shown an effect in terms of
16 lower risk of cardiovascular disease, and that it
17 can prevent elderly people from having to take
18 insulin and so forth, it could become a very
19 popular and widely-used drug.

20 I just feel that the discussion that's gone
21 on today left me thinking that there are questions
22 that can be answered, not getting definitive

1 endpoints, say, on cancer risk, but if another
2 study was done and it showed the same sort of non-
3 significant pattern, I'd be much more
4 concerned -- I'd feel much more certain that it
5 might be real than right now, I'm just uncertain
6 because I think some of it may be a selection bias.

7 Then the other issue that I think is
8 pertinent to the United States is the absence of a
9 substantial number of people from the minority
10 groups that are very common in this country, that
11 probably will be of similar result, but I'm not
12 sure that we have enough data to say that for
13 certain.

14 Then the final thing is, as I said in my
15 question earlier, I think it could be a very useful
16 drug in older people, but I'm not sure how
17 effective it will be because of the combination of
18 declining renal function in the elderly and so
19 forth.

20 So that's why I voted no. It was not a
21 clear-cut thing, where I felt absolutely certain
22 that the only possible answer was no.

1 DR. FELNER: Eric Felner. I voted no. I
2 actually like this drug. I mean, it seemed to be
3 very efficacious. It's a different mechanism of
4 action. It does the thing that I think -- although
5 I don't see as many type II patients as the adult
6 colleagues here. But, I mean, it promotes weight
7 loss, and it improves Alc, and add-on therapy looks
8 great.

9 I think that alone, thinking of just that,
10 actually, before coming to the meeting, or knowing
11 some of that information, I didn't want to get a
12 skewed view in a sense, without even thinking about
13 the risk or the side effects. And there's
14 something about the breast and the bladder cancer
15 that has bothered me.

16 I think just knowing some of the baseline
17 information, which I think I was trying to get to,
18 or some of the points that Dr. Seely had brought up
19 about the weight loss, actually possibly bringing
20 it out, if those things can be identified a little
21 bit better, I would love to see this drug get
22 approved, as long as some of those things could be

1 at least looked at. And I don't think it's going
2 to take a very large study, as some were worried
3 about before.

4 DR. CAPUZZI: Yes. Dr. Capuzzi. I voted
5 no. Frankly, I came in here on the fence, and I
6 was leaning toward yes until I didn't hear enough
7 to be convincing about this. I want to make a
8 couple of statements.

9 First of all, I think, as has been expressed
10 before, it's valuable to have an agent that does
11 not either sensitize insulin or substitute for
12 insulin and work in the bloodstream. It just gets
13 rid of glucose. And what you see here is there
14 doesn't seem to be any untoward effect of having
15 this glycosuria and seeing an increase in UTIs or
16 anything like that. And it might be useful in the
17 elderly, who have a shorter time to live, really,
18 although we never know, obviously. And it would be
19 easy for them to use. And with all the agents that
20 are now being produced, such as the hormonal
21 analogs and all these fancy creative peptides, this
22 is not going to make a major difference this way.

1 However, the thing that is persuading
2 me -- of course, there are some things that are
3 missing in this program, and it's kind of routine
4 in the pharmaceutical industry. We don't have any
5 pharmacokinetic data or efficacy safety data on
6 patients who have congestive heart failure as an
7 issue, with everything else relatively okay, renal-
8 compromised patients, hepatic-compromised patients,
9 and a study in the elderly.

10 I mean, they're very basic to do in any
11 program, let alone a program like this, which kind
12 of targets the elderly. So those things are
13 missing. And there wasn't much said about protein
14 binding, GI absorption, what interferes with it,
15 what promotes it, what concomitant drugs you might
16 or might not use. And nowadays, anybody can get a
17 variety of different agents, depending on their
18 insurance and the availability of agents.

19 So I think that while I really like the
20 concept, we just don't have enough right now, in my
21 opinion, to ensure safety and efficacy, and how to
22 use it. How are you going to explain to the

1 clinicians and others who are -- I don't want to
2 say non-clinicians, but lots of people are treating
3 patients nowadays. You've got to have some really
4 clear-cut safety features here, what the individual
5 can do, what kind of patient is best suited, and we
6 just don't have those data.

7 I hope that the company can produce that
8 because I think this would be a great way to
9 further lower the blood sugar, however
10 unconventional this is. And this just reminds me
11 of adding a bile acid binder or ezetimibe to a
12 statin. You're going to get an additional effect,
13 although I don't want to talk about those drugs.

14 But it's taking another mechanism and
15 dropping it down. And since a lot of the patients
16 will be elderly, I think you have to do some kind
17 of a study in the elderly to see if they can
18 tolerate it, if they can think when they're taking
19 it. And our population is growing much more into
20 the elderly category compared to 20 or 30 years
21 ago. So I just don't feel that we can safely do
22 this right at this time, and yet I very sincerely

1 hope that this can be worked out.

2 DR. BRITTAIN: Erica Brittain. I voted no,
3 but it was the closest of calls. I changed my mind
4 about four times in the last 10 seconds. And I am
5 very sympathetic with a lot of the comments that
6 Dr. Seely made. And I agree that the level of
7 evidence about the cancer is fairly weak evidence.
8 It's just that the uncertainty is still there.

9 So like I said, I really am on the fence
10 about the issue of whether you approve now versus
11 later, when there's more information. What I think
12 is most important is to get more information. And
13 even in the course of the randomized study, that
14 could be monitored as it's ongoing. And if the
15 news looks good early on, perhaps that could be
16 used to change -- depending on what decision is
17 made now, or vice versa. So it wouldn't
18 necessarily have to wait for eight years or however
19 long it would take to do the study.

20 But anyway, again, I really think the
21 important thing is to get the information, and I
22 could go either way on the approval now versus

1 later.

2 DR. THOMAS: Abraham Thomas. I voted yes.
3 Just a few comments first. This is, to me, one of
4 the first examples of a medication that was
5 developed for diabetes that works in a different
6 way, in the sense that almost all of the
7 medications you have for diabetes take
8 pathophysiology and try and improve it to normal
9 physiology.

10 This actually is taking physiology and
11 making it into pathology by increasing glucosuria,
12 which is a strange paradigm for a new medication.
13 I think, as a result, there's some concerns about
14 the side effects that we see. The liver, bladder,
15 and breast issues are very concerning, but I felt
16 there's no way of knowing the answers unless we
17 study more subjects.

18 I just think it's not realistic for drug
19 development to do that pre-marketing. The scope of
20 this trial may be 30,000 to 100,000 subjects. It
21 may need to require databases that are being
22 developed. I know the FDA is developing early

1 warning databases, large groups like Kaiser, other
2 HMOs. That's really the only way they're going to
3 get at this answer for some of these, is from more
4 data.

5 Clearly, we didn't have the data to answer
6 these questions, as you can tell by the panel. So
7 in addition to those, I think, other lesser issues,
8 which would be more of concern later on, they
9 really do have to be answered at the beginning.
10 Some of these will be called minor issues, but
11 actually can be very inconvenient to patients, more
12 infections, fracture rate, dehydration that could
13 cause syncope, leading to injuries. These need to
14 be answered as well.

15 There are a few quality-of-life issues,
16 which I think about as nocturia. We treat our
17 patients with diabetes. We eliminate their
18 glucosuria. They get a good night's sleep. It's
19 not clear at all from the data that that's what
20 we're going to do with these subjects.

21 The way the study would have to be analyzed,
22 from the clinical trial data, you'd never be able

1 to answer this question because you start off with
2 glucosuria and nocturia. You have a medication
3 that causes it at the end, and then you have a
4 placebo group that has it as well. So, of course,
5 there's not going to be a significant difference.
6 You really have to answer this question. I would
7 suggest doing that as part of these follow-up
8 studies, quality-of-life sleep issues, nocturia, in
9 addition to monitoring for fractures. But the key
10 question is going to be the long-term follow-up for
11 breast and bladder cancer, and for the liver
12 disease. We need more data to see that signal.

13 Finally, there was mention about the fact
14 that there is a familial kindred that has this
15 disease with probably 100 individuals. I just want
16 to remind everyone, we have a similar situation
17 with people who have familial hypertriglyceridemia.
18 They have markedly elevated triglycerides but do
19 not carry coronary risk. However, if you were to
20 extrapolate that to other populations of elevated
21 triglycerides, that relationship does not hold, as
22 other groups of elevated triglycerides do have

1 increased coronary risk.

2 So I am not at all reassured by the fact
3 that there is a family and other individuals with
4 mutations in this transporter that have glucosuria.
5 And so I don't think that's a way to reassure
6 ourselves as to the safety of this class of
7 medications.

8 DR. GREGG: I voted no as well. I actually
9 thought -- I think this is a very encouraging drug
10 from an A1c efficacy standpoint and possibly even
11 effectiveness in cardiovascular disease reduction.
12 I saw some concern in terms of the lack of clarity
13 of what segment of the population would not benefit
14 from the drug, but I don't think that was a huge
15 factor. The big one for me, really, was the
16 magnitude of the risk ratio for the cancers.
17 Although we clearly can't say, from the data, that
18 this drug causes the cancers, if this was a risk
19 ratio of 1.5 or 2, I think we would have found
20 ourselves able to dismiss it; but with 4 and 5,
21 that wasn't the case.

22 Now, obviously, trials can't prove that the

1 drug is safe, but that's enough of an excess risk
2 that it shows that -- really, that's part of the
3 purpose of phase 2 and phase 3 trials, is to
4 identify a concern that requires more evaluation.

5 So in the end, the list of things that were
6 needed as part of post-marketing surveillance
7 seemed too long, and it implied that we need more
8 pre-marketing surveillance beforehand. So I don't,
9 on the other hand, think that a large, definitive
10 trial is necessary here to make this a viable drug.
11 I think that perhaps with the data that is being
12 collected now in ongoing -- as well as a, perhaps,
13 medium-sized trial, enough to at least tell us
14 whether this experience from these databases were
15 aberrations, random, or essentially noise, I think
16 that that would be enough to make this a viable
17 drug.

18 DR. SPRUILL: Ida Spruill. I voted no. And
19 I agree with all of my colleagues that voted both
20 yes and no. As a diabetes nurse educator, I came
21 into this session excited because here was a drug
22 that had the potentials to lower Alcs, to make you

1 lose weight, to increase the blood pressure. You
2 can take it at any time. And I listened, and I
3 just got kind of perplexed. And as a consumer
4 representative, I listened to the sponsors talk
5 about the design of the study, and I was just
6 disappointed.

7 I was disappointed. Yes, I understand there
8 was a multi-country trial, but I was disappointed
9 that in the United States we're only talking about
10 27 percent of the population, and out of that, less
11 than 5 percent African-Americans, only 1200
12 elderly. And I just was cautiously optimistic.

13 So I made a decision. Like you, I went back
14 and forth and back and forth. And I decided to
15 vote no because I think we need more information
16 for efficacy and the effectiveness of it in a group
17 of people, subgroups of people, who have the burden
18 of diabetes on them. And I think the sponsors did
19 a good job of talking about it, but I was lost and
20 left with feeling a little disappointed that
21 something was missing.

22 DR. PIANTADOSI: Steve Piantadosi. I voted

1 yes because I think that the evidence for efficacy
2 was really quite strong, and the implementation of
3 a new therapeutic paradigm was very good. I
4 obviously am concerned about the weak evidence for
5 a substantial cancer risk.

6 I think that the only way those questions
7 will be answered is from a large study, which is
8 not likely to be completed pre-marketing. I think
9 it's going to have to be a post-marketing study.
10 And I do think the size of that trial will be
11 substantial. For example, the detection of a
12 twofold risk requires 90 events. And if the
13 background frequency is .3 percent, there's your
14 30,000 subjects right there. That's not going to
15 be done pre-marketing.

16 I do believe that from a patient's
17 perspective, it would be a sensible decision to
18 participate in such a study with the potential
19 therapeutic promise of the drug weighed against the
20 possible risk factors, and the trial could be
21 designed in an appropriate way that would make that
22 a perfectly sensible decision to participate.

1 DR. MCBRYDE: I'm Dr. McBryde. I voted no
2 for a variety of reasons. I was quite interested
3 in the drug because of its novel approach to the
4 treatment of diabetes. But coming at it from a
5 nephrology perspective, looking through the
6 package, I think -- I've learned in my career to
7 have a tremendous amount of respect for the
8 proximal tubule of the kidney. On electron
9 microscopy, it is packed with mitochondria, and it
10 is truly a magnificent structure. And simply
11 saying, I'm going to block SGLT2 and it'll have no
12 other effect on the proximal tubule, I think, is to
13 give a tremendous discredit to the function of the
14 proximal tubule.

15 Intracellular sodium potassium and
16 reabsorption in the proximal tubule from the lumen
17 is critical to the function of the sodium potassium
18 ATPase on the basal lateral membrane. That is also
19 critical for maintenance of cellular function as
20 well as other activities.

21 I'm a little surprised that the sponsor
22 hasn't done basic data analysis, basic studies to

1 find out the effects of hypoproteinemia, or
2 proteinuria on the bioavailability of this drug and
3 to see what it does. To me, a drug that you know
4 is 91 percent protein-bound should have never been
5 put into a patient without knowing what's going to
6 happen in hypoproteinemia and proteinuria.

7 We know from numerous drug studies and
8 experience that proteinuria induces drug
9 resistance. If you look at the familial renal
10 glucosuria subjects, many of them are children,
11 because, obviously, they're born with this
12 disorder, and I'm a pediatrician at heart. But
13 they suffer from growth delay. They suffer from
14 chronic dehydration, electrolyte imbalances due to
15 the polyuria. They develop hydronephrosis,
16 natriuresis. They develop hypercalciuria.

17 I didn't see any data presented by the
18 sponsor that they've even looked at it. It's as
19 though they've looked at this packet and felt as
20 though they came at it brand new, and decided to
21 look. And that was a little shocking to me, that
22 just basic information about the drug and the

1 mechanism of action were completely missed.

2 I don't know who they're really targeting
3 here because if we take away the albuminurics, or
4 the proteinurics, and the impaired renal function
5 subjects, is this monotherapy? Is it combination
6 therapy for the newly diagnosed diabetic with
7 uncontrolled hemoglobin A1c with other meds? I
8 don't really understand who they're targeting at,
9 because so many studies were done with so many
10 different populations. It just was too many
11 unknowns about the safety of the drug.

12 Hemoglobin A1c, I was excited to see the
13 improvement in control, but in the presence of so
14 many unanswered questions about the drug and its
15 safety, especially as a new class, and potentially
16 the wide distribution in prescribing practices of
17 this drug, I just didn't think it was quite ready
18 to be used on humans in an uncontrolled manner and
19 left to the post-market environment to get
20 voluntary reporting of adverse events.

21 DR. STRADER: Doris Strader. I voted no. I
22 think that this is an elegant drug, to be able to

1 reversibly inhibit glucose transport in the liver.
2 To a hepatologist at least, it seems brilliant in
3 its simplicity. However, I was struck by the
4 absence of some pharmacokinetic data, as
5 Dr. Capuzzi and Dr. McBryde mentioned, as far as GI
6 absorption, and drug-drug interactions, and
7 evaluations of patients with proteinuria,
8 et cetera.

9 In addition, the issue of hepatotoxicity is
10 always one that's a little bit concerning to me.
11 While I'm not certain that this one case would be
12 enough to disqualify the drug, I think that it does
13 raise some issues about the importance of
14 monitoring patients with liver disease, as most
15 diabetics have, very carefully.

16 The breast and bladder cancers, I can't
17 dismiss as being irrelevant or minor. Admittedly,
18 I don't know enough about these issues, but I was
19 concerned about the fivefold increase and the
20 inability to sort of explain why these happened.

21 Having said that, I realize that it is true
22 it's difficult to do studies on large numbers of

1 patients in a pre-marketing situation. However, I
2 feel very uncomfortable about subjecting the
3 diabetic population to a potential risk in post-
4 marketing studies so that we can get enough numbers
5 of people to evaluate potentially life-threatening
6 complications.

7 We do these studies for a reason, and when
8 we find issues that are concerning, we should not
9 ignore them, but try to find thoughtful ways of
10 being able to balance the benefit of the drug with
11 the potential patient risk. So those are the
12 reasons that I voted no.

13 MS. MCINTYRE: Cassandra McIntyre, patient
14 representative. I voted no, and in my opinion, the
15 sponsor needs to obtain more data about the hepatic
16 safety, breast and bladder cancer, increased
17 genital-urinary infections.

18 I listened carefully to the public speakers
19 who expressed concerns about the unanswered safety
20 risks. Dapa is innovative and could be useful to
21 some people with type II diabetes. At present,
22 patients do not have enough data to make an

1 informed decision. It would be best to address the
2 safety risk concerns before approval rather than
3 have adverse events develop post-market, which
4 could cause loss of the public trust and put lives
5 at risk.

6 DR. KAUL: Sanjay Kaul. I voted yes. The
7 underlying philosophy is that there is an inherent
8 asymmetry in the assessment of efficacy and safety.
9 Efficacy is anticipated. It is prespecified. The
10 studies are adequately powered. The events were
11 adjudicated, and the effect sizes are precisely
12 measured and quantified in pre-marketing trials.

13 On the other hand, safety issues are
14 sometimes unanticipated, not prespecified.
15 Sometimes, they're not adjudicated. Sometimes,
16 they are caught in a delayed fashion. Therefore,
17 they're not precisely measured and quantified. And
18 the risks that were unearthed in this development
19 program were unanticipated and would require a very
20 large trial to adjudicate the uncertainties and
21 risks. And I don't think that is possible. I
22 agree with Dr. Piantadosi, that's not possible to

1 do that, or feasible to do that, in a pre-marketing
2 situation.

3 So when you look at the overall benefit-risk
4 profile, there's a modest glycemic control efficacy
5 which, technically speaking, is no worse than what
6 the guidelines recommend as first-line or second-
7 line, i.e., non-inferior to metformin and
8 sulfonylurea, without the liability of weight gain
9 and hypoglycemia.

10 However, having said that, I think the label
11 should be restricted to normal and mild renal
12 function. The cancer signal is a credible concern
13 to me and I think it merits a boxed warning until
14 we have resolved the uncertainty around it, if it
15 can be done.

16 For a cardiovascular outcomes study, I think
17 we have to enroll a much more enriched population.
18 Twenty percent of those with a prior history of
19 cardiovascular disease were enrolled in this
20 program. I don't think that's sufficient. My
21 recommendation is that more than half of the
22 patient population should have a prior history of

1 cardiovascular disease. More than half of the
2 patient population should have longstanding
3 diabetes, more than eight to 10 years in duration.
4 And at least more than half of the population
5 should be over the age of 65, and if possible, more
6 than a quarter of the patient population should be
7 over the age of 75.

8 A trial in patients with moderate renal
9 insufficiency is warranted, so if you can
10 incorporate patients with moderate renal
11 insufficiency -- for example, in this development
12 program, I understand only 10 or 11 percent of them
13 had moderate renal insufficiency, and I would look
14 at somewhere around about in the neighborhood of
15 more than one-third of them should have renal
16 insufficiency.

17 So those are my recommendations, and we sort
18 of agonized over it and deliberated. This sort of
19 illustrates the futility of a simple vote count.
20 The vote count here does not give credit to the
21 degree of discussion and deliberation that has
22 taken place. And, fortunately, the FDA pays a lot

1 of attention to the discussion rather than the
2 simple vote count. Thank you.

3 DR. SMITH: Terry Smith, and I voted yes. I
4 have a hard time disagreeing with almost everything
5 that's been articulated here today. And I'm not
6 going to waste everyone's precious time restating,
7 but to say that, for me, this was not an easy
8 decision, one which I think captured more a sense
9 of what is practical in the real world and being
10 mindful of a sponsor who has obviously spent an
11 enormous amount of time and energy generating a
12 novel therapeutic approach, which I think
13 societally we need to encourage.

14 I think they've generated data which are
15 compelling for efficacy. While not profound, I
16 think will be highly complementary to the other
17 tools in our armamentarium to take care of our
18 patients.

19 So the issue is waiting and expecting a
20 rather Herculean set of further trials versus
21 proposing that the agent be considered for approval
22 at this point and highlighting all of the

1 safeguards that make this a reasonable approach.

2 I have a very large number of cons in my
3 pro/con diagram here. I really feel quite emphatic
4 that the sponsor needs to better define the target
5 population, especially the metabolically fragile
6 older patient who might be prone to hypoglycemia.
7 From this agent, either alone or more likely in
8 combination with others that are more likely to
9 cause hypoglycemia, I think that it's imperative
10 kinetic studies be offered, the results of kinetic
11 studies be offered.

12 I'm, like everyone else, concerned about the
13 liver and cancer issues and what is a reasonable
14 target patient with regard to renal function. And
15 I think it's more than imperative that our patients
16 be monitored quite closely as they live longer with
17 the disease.

18 I think ultimately the decision will be
19 judged not in the next year or two years, but way
20 down the line when not just a surrogate of disease
21 like the A1c has been evaluated, but, rather,
22 looking at complications and all of the issues

1 which can shorten the lives of patients with this
2 disease.

3 DR. HENDRICKS: Ed Hendricks. I voted yes.
4 I believe, from the data presented here today, that
5 this will be an effective drug in treating
6 diabetes. As a clinician, I'd like it for three
7 reasons. One, it's an oral drug. Two, it has
8 absolutely nothing to do with insulin, so it
9 doesn't depend on insulin action in any way, and I
10 find that very attractive. And last but not least,
11 it actually produces some weight loss, which is, in
12 counter-distinction to so many of the other
13 diabetes drugs, which produce weight gain.

14 The safety issues I think are of some
15 concern, but I'm satisfied that the post-marketing
16 study will settle some of those issues. I agree
17 with Dr. Thomas, and Dr. Piantadosi, and Dr. Kaul
18 that there are some things we cannot learn -- there
19 are some things you just can't learn from clinical
20 trials. There's a limit to what we can do.
21 Eventually, in order to take medicine forward and
22 introduce innovative new things, we do have to make

1 decisions that imply some degree of risk.

2 Finally, I compliment the sponsors on their
3 courage in bringing this drug forward to this
4 particular committee and to the FDA. I feel like
5 I'm on the losing side yet again. And my
6 compliments to the FDA presenters and to the
7 company presenters, a very fine job.

8 DR. THOMAS: Any comments from the FDA?

9 DR. PARKS: Yes. On behalf of the FDA, I
10 would like to thank the advisory committee panel
11 members. Clearly, from today's vote, but more
12 importantly from the discussions from each member,
13 as you discussed how you came to your vote, you
14 have highlighted the difficulty of the benefit-risk
15 decision.

16 That burden is now going to fall upon us to
17 take into consideration all of the discussions that
18 have taken place today. You have clearly
19 identified a lot of areas that require additional
20 analyses. You've also suggested some very
21 important additional studies that could be
22 conducted, should be conducted. And so we will

1 seriously take this into consideration over the
2 next couple of months.

3 So, again, I would like to thank the panel
4 members, Dr. Thomas for doing an excellent job
5 chairing, Mr. Paul Tran, Dr. Paul Tran for also
6 assisting the division in preparing for this
7 advisory committee. I'd like to thank the FDA
8 review team, those who presented and also those who
9 assisted in all the presenters. And then, finally,
10 I would like to thank the sponsor, Bristol-Myers
11 Squibb and AstraZeneca, for an excellent
12 presentation and also their collegial working
13 relationship with the agency.

14 **Adjournment**

15 DR. THOMAS: So to conclude this meeting,
16 I'd like to thank the sponsors and the FDA for
17 their excellent presentations, the open public
18 hearing speakers for their presentations, the panel
19 for their excellent questions and discussion, and
20 the audience for paying attention and providing
21 good decorum.

22 So this meeting is concluded. Thank you.

1 (Whereupon, at 4:37 p.m., the meeting was
2 adjourned.)
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22