

**CENTER FOR DRUG EVALUATION AND RESEARCH**

**APPROVAL PACKAGE FOR:**

**APPLICATION NUMBER**

**21-103**

**Administrative Documents**

NDA 21-103  
Activelle Osteoporosis  
  
Patent Certification

Date:

April 1999

**Novo Nordisk**

Status:

Final

### Patent Certification

In the opinion and to the best knowledge of Novo Nordisk, there are no patents that claim the drug or drugs on which investigations that are relied upon in this application were conducted or that claim a use of such drug or drugs.



\_\_\_\_\_  
Barry Keit, Ph. D.  
Vice President  
Regulatory Affairs

6/10/99  
\_\_\_\_\_  
Date

### Exclusivity Checklist

NDA:	21-103
Trade Name:	Activella
Generic Name:	Estrodiol Inj + Norethindrone Acetate 0.5mg
Applicant Name:	Novartis Novartis
Division:	Metabolism & Endocrine
Project Manager:	Randy Hedlin
Approval Date:	

**PART I: IS AN EXCLUSIVITY DETERMINATION NEEDED?**

1. An exclusivity determination will be made for all original applications, but only for certain supplements. Complete Parts II and III of this Exclusivity Summary only if you answer "yes" to one or more of the following questions about the submission.

a. Is it an original NDA?	Yes	<input checked="" type="checkbox"/> No	
b. Is it an effectiveness supplement?	Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/>
c. If yes, what type? (SE1, SE2, etc.)			

Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review only of bioavailability or bioequivalence data, answer "no.")	Yes	<input checked="" type="checkbox"/> No	
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If your answer is "no" because you believe the study is a bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any arguments made by the applicant that the study was not simply a bioavailability study.

Explanation:

If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical data:

Explanation:

d. Did the applicant request exclusivity?	Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/>
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If the answer to (d) is "yes," how many years of exclusivity did the applicant request?

**IF YOU HAVE ANSWERED "NO" TO ALL OF THE ABOVE QUESTIONS, GO DIRECTLY TO THE SIGNATURE BLOCKS.**

2. Has a product with the same active ingredient(s), dosage form, strength, route of administration, and dosing schedule previously been approved by FDA for the same use?	Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/>
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If yes, NDA #

Drug Name:

**IF THE ANSWER TO QUESTION 2 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS.**

3. Is this drug product or indication a DESI upgrade?  Yes  No

**IF THE ANSWER TO QUESTION 3 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS (even if a study was required for the upgrade).**

**PART II: FIVE-YEAR EXCLUSIVITY FOR NEW CHEMICAL ENTITIES**

(Answer either #1 or #2, as appropriate)

1. Single active ingredient product.  Yes  No

Has FDA previously approved under section 505 of the Act any drug product containing the same active moiety as the drug under consideration? Answer "yes" if the active moiety (including other esterified forms, salts, complexes, chelates or clathrates) has been previously approved, but this particular form of the active moiety, e.g., this particular ester or salt (including salts with hydrogen or coordination bonding) or other non-covalent derivative (such as a complex, chelate, or clathrate) has not been approved. Answer "no" if the compound requires metabolic conversion (other than deesterification of an esterified form of the drug) to produce an already approved active moiety.

Yes  No

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

Drug Product	
NDA #	
Drug Product	
NDA #	
Drug Product	
NDA #	

2. Combination product.  Yes  No

If the product contains more than one active moiety (as defined in Part II, #1), has FDA previously approved an application under section 505 containing any one of the active moieties in the drug product? If, for example, the combination contains one never-before-approved active moiety and one previously approved active moiety, answer "yes." (An active moiety that is marketed under an OTC monograph, but that was never approved under an NDA, is considered not previously approved.)

Yes  No

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

Drug Product	<i>Estivado 1</i>
NDA #	<i>20-538</i>
Drug Product	<i>—</i>
NDA #	<i>—</i>
Drug Product	<i>Novartis indinavir</i>
NDA #	<i>70-654</i>

NDA # \_\_\_\_\_

**IF THE ANSWER TO QUESTION 1 OR 2 UNDER PART II IS "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS. IF "YES," GO TO PART III.**

**PART III: THREE-YEAR EXCLUSIVITY FOR NDA'S AND SUPPLEMENTS**

To qualify for three years of exclusivity, an application or supplement must contain "reports of new clinical investigations (other than bioavailability studies) essential to the approval of the application and conducted or sponsored by the applicant." This section should be completed only if the answer to PART II, Question 1 or 2, was "yes."

<p>1. Does the application contain reports of clinical investigations? (The Agency interprets "clinical investigations" to mean investigations conducted on humans other than bioavailability studies.) If the application contains clinical investigations only by virtue of a right of reference to clinical investigations in another application, answer "yes," then skip to question 3(a). If the answer to 3(a) is "yes" for any investigation referred to in another application, do not complete remainder of summary for that investigation.</p>	<p>Yes</p>	<p><input checked="" type="checkbox"/> No</p>	
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**IF "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS.**

2. A clinical investigation is "essential to the approval" if the Agency could not have approved the application or supplement without relying on that investigation. Thus, the investigation is not essential to the approval if 1) no clinical investigation is necessary to support the supplement or application in light of previously approved applications (i.e., information other than clinical trials, such as bioavailability data, would be sufficient to provide a basis for approval as an ANDA or 505(b)(2) application because of what is already known about a previously approved product), or 2) there are published reports of studies (other than those conducted or sponsored by the applicant) or other publicly available data that independently would have been sufficient to support approval of the application, without reference to the clinical investigation submitted in the application. For the purposes of this section, studies comparing two products with the same ingredient(s) are considered to be bioavailability studies.

<p>a) In light of previously approved applications, is a clinical investigation (either conducted by the applicant or available from some other source, including the published literature) necessary to support approval of the application or supplement?</p>	<p>Yes</p>	<p><input checked="" type="checkbox"/> No</p>	
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If "no," state the basis for your conclusion that a clinical trial is not necessary for approval **AND GO DIRECTLY TO SIGNATURE BLOCKS.**

Basis for conclusion:

<p>b) Did the applicant submit a list of published studies relevant to the safety and effectiveness of this drug product and a statement that the publicly available data would not independently support approval of the application?</p>	<p>Yes</p>	<p>No</p>	<p><input checked="" type="checkbox"/></p>
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<p>1) If the answer to 2 b) is "yes," do you personally know of any reason to disagree with the applicant's conclusion? If not applicable, answer NO.</p>	<p>Yes</p>	<p>No</p>	
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If yes, explain:				
2) If the answer to 2 b) is "no," are you aware of published studies not conducted or sponsored by the applicant or other publicly available data that could independently demonstrate the safety and effectiveness of this drug product?	Yes		No	<input checked="" type="checkbox"/>
If yes, explain:				
c) If the answers to (b)(1) and (b)(2) were both "no," identify the clinical investigations submitted in the application that are essential to the approval:				
Investigation #1, Study #:	KLIM/PD/11/USA			
Investigation #2, Study #:	KLIM/PD/4/F			
Investigation #3, Study #:				
3. In addition to being essential, investigations must be "new" to support exclusivity. The agency interprets "new clinical investigation" to mean an investigation that 1) has not been relied on by the agency to demonstrate the effectiveness of a previously approved drug for any indication and 2) does not duplicate the results of another investigation that was relied on by the agency to demonstrate the effectiveness of a previously approved drug product, i.e., does not redemonstrate something the agency considers to have been demonstrated in an already approved application.				
a) For each investigation identified as "essential to the approval," has the investigation been relied on by the agency to demonstrate the effectiveness of a previously approved drug product? (If the investigation was relied on only to support the safety of a previously approved drug, answer "no.")				
Investigation #1	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Investigation #2	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Investigation #3	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If you have answered "yes" for one or more investigations, identify each such investigation and the NDA in which each was relied upon:				
Investigation #1 -- NDA Number				
Investigation #2 -- NDA Number				
Investigation #3 -- NDA Number				
b) For each investigation identified as "essential to the approval," does the investigation duplicate the results of another investigation that was relied on by the agency to support the effectiveness of a previously approved drug product?				
Investigation #1	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Investigation #2	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Investigation #3	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If you have answered "yes" for one or more investigations, identify the NDA in which a similar investigation was relied on:				
Investigation #1 -- NDA Number				
Investigation #2 -- NDA Number				
Investigation #3 -- NDA Number				
If the answers to 3(a) and 3(b) are no, identify each "new" investigation in the				

application or supplement that is essential to the approval (i.e., the investigations listed in #2 (c), less any that are not "new"):

Investigation #1	IKLIM/PA/11/USA
Investigation #2	IKLIM/PA/4/F
Investigation #3	

4. To be eligible for exclusivity, a new investigation that is essential to approval must also have been conducted or sponsored by the applicant. An investigation was "conducted or sponsored by" the applicant if, before or during the conduct of the investigation, 1) the applicant was the sponsor of the IND named in the form FDA 1571 filed with the Agency, or 2) the applicant (or its predecessor in interest) provided substantial support for the study. Ordinarily, substantial support will mean providing 50 percent or more of the cost of the study.

a. For each investigation identified in response to question 3(c): if the investigation was carried out under an IND, was the applicant identified on the FDA 1571 as the sponsor?

Investigation #1	Yes	<input checked="" type="checkbox"/>	No	
IND#: _____				
Explain:				

Investigation #2	Yes	<input checked="" type="checkbox"/>	No	
IND#: _____				
Explain:				

Investigation #3	Yes	<input type="checkbox"/>	No	
IND#: _____				
Explain:				

b. For each investigation not carried out under an IND or for which the applicant was not identified as the sponsor, did the applicant certify that it or the applicant's predecessor in interest provided substantial support for the study?

Investigation #1	Yes	<input type="checkbox"/>	No	
IND#: _____				
Explain:				

Investigation #2	Yes	<input type="checkbox"/>	No	
IND#: _____				
Explain:				

Investigation #3	Yes	<input type="checkbox"/>	No	
IND#: _____				
Explain:				

<p>c. Notwithstanding an answer of "yes" to (a) or (b), are there other reasons to believe that the applicant should not be credited with having "conducted or sponsored" the study? (Purchased studies may not be used as the basis for exclusivity. However, if all rights to the drug are purchased (not just studies on the drug), the applicant may be considered to have sponsored or conducted the studies sponsored or conducted by its predecessor in interest.)</p>	Yes		No	✓
<p>If yes, explain:</p>				



Signature of PM/CSO

Date:

*/S/*  
*4/3/00*

Signature of Division Director

Date:

*/S/*      *11/00*

cc:

Original NDA

Division File

HFD-93 Mary Ann Holovac





NDA 21-103  
Activelle Osteoporosis  
Debarment Statement

Date:  
Status:

April 1999 **Novo Nordisk**

### Debarment Statement

Novo Nordisk Pharmaceuticals Inc. hereby certifies that it did not and will not use in any capacity the services of any person debarred under Section 306 of the Federal Food, Drug and Cosmetic Act in connection with this submission.



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Barry Reit, PhD  
Vice President  
Regulatory Affairs

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## TEAM LEADER MEMO

for  
NDA 21-103

ACTIVELLA™

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**NDA#:** 21-103

**DRUG:** Activella™ (1.0 mg estradiol/0.5 mg norethindrone acetate)

**SPONSOR:** Novo Nordisk Pharmaceuticals

**INDICATION:** Prevention of Postmenopausal Osteoporosis

**DATE OF SUBMISSION:** 6/10/1999

**PRIMARY MEDICAL REVIEWER:** Joanna Zawadski, MD

**DATE OF MEMO:** 04/06/2000

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### Background

Activella [1.0 mg Estradiol (E2)/0.5 mg norethindrone acetate (NETA)] was originally approved in this country for the treatment of vasomotor symptoms associated with menopause and for vulvar and vaginal atrophy on 11/18/1998. Although currently not marketed in the US, Activella is approved and marketed for menopausal symptoms and/or prevention of postmenopausal osteoporosis (PMO) in ten European countries.

On June 10, 1999 the Sponsor submitted an application seeking approval of Activella for the prevention of PMO. Data from two randomized, controlled trials – one in the US and one in France – constitute the primary basis upon which a decision to approve the application will be made. Three additional smaller and shorter studies were also included in the submission. This memo focuses on the results from the two primary clinical studies.

### Primary Clinical Studies

The US protocol was a multicenter, randomized, double-blind study of 26 lunar months in which a total of 327 subjects were randomized (in equal fashion) to one of seven groups: placebo, E2 0.25mg, E2 0.5mg, E2 1.0mg, E2 1mg + NETA 0.25mg, E2 1.0mg + NETA 0.5mg, and E2 2.0mg + NETA 1.0mg. Relevant inclusion criteria included age  $\geq$  45 years, LS BMD T-score  $>$  -2.0, and 1 to 5 years postmenopausal. There was an extensive list of exclusion criteria, some of which included: MI with the past 6 months, history of CVA, diabetes,  $>$  30% above IBW, hypertension, and cigarette smoking ( $>$  20 cig/day).

The primary efficacy variable for this study was the comparison between active-drug treatment with placebo for the change from baseline to Endpoint in LS BMD. Comparisons were made using ANOVA or ANCOVA analyses. A secondary endpoint included change from baseline to Endpoint in BMD of the hip.

Special safety assessments included mammography, endometrial biopsies, pap smears, and vaginal ultrasound.

There were no significant differences among the groups for baseline demographic variables. Women were primarily Caucasian, on average 53 years of age, with baseline lumbar spine (LS) BMD values of 1.09 g/cm<sup>2</sup>. A total of 60% of placebo and Activella subjects completed the 2-year study, with a lower percentage of Activella-treated subjects discontinuing due to adverse events (17% vs. 23%).

For the primary efficacy endpoint – percent change in LS BMD – all active-treatment groups had increases, whereas the placebo group had a reduction in LS BMD. The difference between placebo and Activella was approximately 6% ( $p < 0.001$ ) for the LOCF analysis. As expected, a larger difference was seen in the analysis of Completers. Although the study was not designed to evaluate the differences between active-treatment groups, there did appear to be dose-related increases in LS BMD among the groups. Compared with placebo, statistically significant increases in BMD at the hip were also seen for the active-treatment groups. Compared with LS BMD however, a dose-response effect was not as evident for the changes in BMD at the hip.

Two patients died in this study: one in the Activella group from cancer of unknown primary origin and the other, also in the Activella group, from lung cancer. Of some interest, 23% of placebo patients discontinued due to an adverse event compared with 17% of the Activella-treated women. Two placebo and 3 Activella-treated subjects discontinued because of breast pain; 1 placebo and 0 Activella-treated woman discontinued because of endometrial hyperplasia; 0 placebo and 3 Activella subjects discontinued because of bleeding; and 4 placebo and 0 Activella women discontinued due to hot flushes. Of note, of the approximately 74% of subjects in the placebo and Activella groups who had evaluable endometrial biopsies, 1 placebo patient and none of the Activella-treated women developed endometrial hyperplasia. As assessed by vaginal ultrasound, the mean increases in endometrial thickness from baseline to Endpoint were 0.2 mm and 0.6 mm in the placebo and Activella groups, respectively ( $p = ns$ ). No differences between the placebo and Activella treatment groups were noted for changes in cervical cytology.

The second primary study was conducted in France and was designed as a multicenter, randomized, double-blind study of 24 months duration in which a total of 135 women were randomized (in equal fashion) to one of 3 doses: placebo, E2 1.0mg + NETA 0.25mg, or E2 1.0mg + NETA 0.5mg. Relevant inclusion criteria included age 45-65 years, > 1 year since last menses, endometrial thickness  $\leq 4$ mm, and LS BMD T-score of +2.0 to -1.9. Exclusion criteria included history of CHF, MI, angina, CVA, DVT, and diabetes. Subjects were also excluded if they had a BMI > 30 kg/m<sup>2</sup>, smoked more than 40 cigarettes per day, or had hypertension.

The primary efficacy variable for this study was the change in LS BMD as measured using a logarithm of BMD at the end of the study divided by the baseline BMD. Comparison of the change in LS BMD was assessed using a regression model which included values for bone markers, baseline BMD, BMI, menopausal age, and treatment center as covariates. Secondary endpoints included measurement of BMD at the hip, distal radius, and total body. Special safety assessments included measurement of endometrial thickness and vaginal bleeding (using a daily diary).

Baseline demographics were similar among the groups. The mean age of the women upon entry into the study was 58 years and the vast majority of subjects were Caucasian. Baseline LS BMD was 0.97 g/cm<sup>2</sup> in the placebo group and 0.99 g/cm<sup>2</sup> in the Activella group; this difference was not statistically significant. A total of 73% of the placebo participants completed the study compared with 63% of the Activella women. Twenty-eight percent of the Activella subjects discontinued early because of an adverse event vs. 13% of placebo-treated women.

Efficacy was clearly demonstrated in the Activella group. Compared with a mean decrease in LS BMD of 0.9% in the placebo group, the Activella group had a 5.4% increase from baseline to Endpoint ( $p < 0.001$ ). The change seen in the 1.0mg E2 + 0.25mgNETA group was nearly identical to that observed in the Activella group. In the group of subjects who completed the study, the mean increase in LS BMD was 5.9% in the Activella group vs. -1.1% in the placebo group. Importantly, statistically and clinically



**Regulatory Recommendation**

Approve Activaella for the prevention of postmenopausal osteoporosis.

*PS*  
Eric Colman, MD  
Medical Team Leader

*4/10-00*

cc: NDA file

**MEMORANDUM TO FILE**

**To: HFD 510 file/ NDA 21103**  
**RE: NDA 21103**  
**Questions to Sponsor**  
**From: Joanna K. Zawadzki, M.D., F.A.C.P.**  
**Medical Reviewer**  
**Date: 4/11/00**

JS

On 4/7/00, the sponsor was asked about protocol violations listings in the NDA for the pivotal studies, KLIM/PD/11/USA and KLIM/PD/7/USA. The sponsor cited the references (Vol. 1.8, p. 50 and p.339 and Vol. 1.13 p.66). No other references were cited. This question was the last outstanding question addressed to the sponsor.

**APPEARS THIS WAY  
ON ORIGINAL**

**APPEARS THIS WAY  
ON ORIGINAL**

Meeting Date: March 16, 2000 Time: 4:00 - 4:45 PM Location: 14-56

NDA 21-103 Activella (estradiol/norethindrone)

Type of Meeting: Status Meeting

External participant: None

Meeting Chair: Dr. Eric Colman

External participant lead: None

Meeting Recorder: Mr. Randy Hedin

FDA Attendees and titles:

Dr. Eric Colman, Medical Team Leader, DMEDP  
Dr. Joanna Zawadzki, Medical Reviewer DMEDP  
Dr. Jopo Choudhury, Statistical Reviewer, DOB II  
Mr. Bill Koch, CSO, DMEDP  
Mr. Randy Hedin, CSO, DMEDP

External participant Attendees and titles:

None

Meeting Objectives:

Internal meeting requested by the project manager to discuss the status of the reviews of Activella, and labeling issues.

Discussion Points and Decisions (agreements) reached:

Clinical: The review will be finished by the goal date. The medical officer presented labeling changes to the group (see attached comments), and will send an electronic version of the label to the project manager. The group discussed if the estrogen dose proposed by the firm is the lowest effective dose for the prevention of postmenopausal osteoporosis, and if it is not, should Activella be approved. Also, the trade name Activelle has been changed to Activella.

Statistics: The review will be finished on time. However, there are labeling comments. The project manager will send an electronic version of the label for comments when the medical review comments are incorporated in it.

DDMAC: The Division of Drug Marketing, Advertising, and Communications (DDMAC) did not attend the meeting. However, Ms. Kober informed the project manager that DDMAC would provide comments on the label to the Division.

Unresolved or issues requiring further discussion:

- None

Action Items:

- Send draft labeling comments to the firm.

Signature, minutes preparer:



Concurrence Chair:



cc: NDA Arch  
HFD-510  
Attendees

HFD-510/EGalliers  
HFD-511/RHedin/3.17.00/N21103.MN1

Concurrences: JZawadzki/3.17/EColman/3.22/JChoudhury/3.22/BKoch/3.22.00



- The review will be done as a standard review. The goal to finish the reviews will be March 1, 2000.
- A labeling meeting will be scheduled for March 11, 2000.
- There will be DSI inspections; the two largest centers should be selected.
- There will not be an Advisory Committee meeting.

Unresolved or issues requiring further discussion:

- None

Action Items:

- Schedule status meetings as appropriate.

Signature, minutes preparer: \_\_\_\_\_

Concurrence Chair: \_\_\_\_\_

cc: NDA Arch  
HFD-510

Attendees

HFD-510/EGalliers

HFD-511/RHedin/9.13.99/N21103.MN1

Concurrences: JZawadzki/RSteigerwalt/9.14/SSobel/TSahlroot/JChoudhury/9.20.99

Screening of New NDAs  
(Japobrata Choudhury)

NDA #: 21-103

Priority Classification: S

Trade Name: Activelle

Sponsor: Novo Nordisk  
Pharmaceuticals

Generic Name: estradiol/norethindrone acetate

Indication: Osteoporosis

No. of Controlled Studies: 5 (2 main)

Date of Submission: June 10, 1999

User Fee Goal Date: April 11, 2000

Volume numbers in statistical section: 1.24 to 1.40

Date of 45 Day Meeting:

Anticipated Review Completion Date: Feb. 11, 2000

**APPEARS THIS WAY  
ON ORIGINAL**

## CHECKLIST

Item	Check (NA if not applicable)
Index sufficient to locate necessary reports, tables, etc.	Not in one place
Original protocols & subsequent amendments available in the NDA	Yes
Designs utilized appropriate for the indications requested	Yes
Endpoints and methods of analysis spelled out in the protocols	Not quite satisfactory
Interim analyses (if present) planned in the protocol and appropriate adjustments in significance level made	NA
Appropriate references included for novel statistical methodology (if present)	NA
Sufficient data listings and intermediate analysis tables to permit a statistical review	Yes
Data from primary studies on diskettes and/or CANDAs submitted	Don't see
Intent-to-treat analyses	Yes
Effects of dropouts on primary analyses investigated	Don't see
Gender, racial, and geriatric subgroups investigated	No

**CERTIFICATION: FINANCIAL INTERESTS AND  
ARRANGEMENTS OF CLINICAL INVESTIGATORS**

TO BE COMPLETED BY APPLICANT

With respect to all covered clinical studies (or specific clinical studies listed below (if appropriate)) submitted in support of this application, I certify to one of the statements below as appropriate. I understand that this certification is made in compliance with 21 CFR part 54 and that for the purposes of this statement, a clinical investigator includes the spouse and each dependent child of the investigator as defined in 21 CFR 54.2(d).

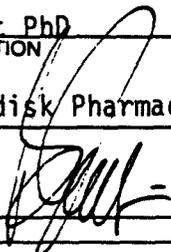
Please mark the applicable checkbox.

- (1) As the sponsor of the submitted studies, I certify that I have not entered into any financial arrangement with the listed clinical investigators (enter names of clinical investigators below or attach list of names to this form) whereby the value of compensation to the investigator could be affected by the outcome of the study as defined in 21 CFR 54.2(a). I also certify that each listed clinical investigator required to disclose to the sponsor whether the investigator had a proprietary interest in this product or a significant equity in the sponsor as defined in 21 CFR 54.2(b) did not disclose any such interests. I further certify that no listed investigator was the recipient of significant payments of other sorts as defined in 21 CFR 54.2(f).

Clinical Investigators	SEE ATTACHED	

- (2) As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant, I certify that based on information obtained from the sponsor or from participating clinical investigators, the listed clinical investigators (attach list of names to this form) did not participate in any financial arrangement with the sponsor of a covered study whereby the value of compensation to the investigator for conducting the study could be affected by the outcome of the study (as defined in 21 CFR 54.2(a)); had no proprietary interest in this product or significant equity interest in the sponsor of the covered study (as defined in 21 CFR 54.2(b)); and was not the recipient of significant payments of other sorts (as defined in 21 CFR 54.2(f)).

- (3) As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant, I certify that I have acted with due diligence to obtain from the listed clinical investigators (attach list of names) or from the sponsor the information required under 54.4 and it was not possible to do so. The reason why this information could not be obtained is attached.

NAME	TITLE
Barry Reit PhD	Vice President, Regulatory Affairs
FIRM/ORGANIZATION	
Novo Nordisk Pharmaceuticals, Inc	
SIGNATURE	DATE
	June 10, 1999

**Paperwork Reduction Act Statement**

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the necessary data, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to the address to the right:

Department of Health and Human Services  
Food and Drug Administration  
5600 Fishers Lane, Room 14C-03  
Rockville, MD 20857

Redacted 2

pages of trade

secret and/or

confidential

commercial

information



OFFICES OF DRUG EVALUATION  
ORIGINAL NDA/ANDA EFFICACY SUPPLEMENT  
ACTION PACKAGE CHECKLIST

NDA 21-103 Drug: Activella  
Applicant: Novo Nordisk Chem/Ther/other Types: \_\_\_\_\_  
CSO/PM: R. Hedley Phone: 7-6392 MailCode: HFD-510

ACTION PERF. GOAL DATE: 4/11/00 DATE CKLIST CMLPTD: \_\_\_\_\_

Arrange package in the following order (include a completed copy of this CHECKLIST): Check or Comment

1. ACTION LETTER with supervisory signatures  
Are there any Phase 4 commitments? AP \_\_\_\_\_ AE \_\_\_\_\_ NA   
Yes \_\_\_\_\_ No
2. Have all disciplines completed their reviews?  
If no, what review(s) is/are still pending? Yes  No \_\_\_\_\_  
NA
3. LABELING (package insert and carton and container labels).  
(If final or revised draft, include copy of previous version with ODE's comments and state where in action package the Division's review is located. If Rx-to-OTC switch, include current Rx Package insert and HFD-312 and HFD-560 reviews of OTC labeling.) Draft   
Revised Draft \_\_\_\_\_  
Final \_\_\_\_\_
4. PATENT INFORMATION \_\_\_\_\_
5. EXCLUSIVITY CHECKLIST \_\_\_\_\_
6. PEDIATRIC PAGE \_\_\_\_\_
7. DEBARMENT CERTIFICATION (Copy of applicant's certification for all NDAs submitted on or after June 1, 1992).
8. Statement on status of DSI's AUDIT OF PIVOTAL CLINICAL STUDIES   
If AE or AP ltr, explain if not satisfactorily completed. Attach a COMIS printout of DSI status.  
If no audits were requested, include a memo explaining why.
9. REVIEWS & MEMORANDA:

DIVISION DIRECTOR'S MEMO	If more than 1 review for any	_____
GROUP LEADER'S MEMO	1 discipline, separate reviews	<input checked="" type="checkbox"/>
MEDICAL REVIEW	with a sheet of colored paper.	<input checked="" type="checkbox"/>
SAFETY UPDATE REVIEW	Any conflicts between reviews	<input checked="" type="checkbox"/>
STATISTICAL REVIEW	must have resolution documented	<input checked="" type="checkbox"/>
BIOPHARMACEUTICS REVIEW		_____
PHARMACOLOGY REVIEW (Include pertinent IND reviews)		<input checked="" type="checkbox"/>
Statistical Review of Carcinogenicity Study(ies)		<u>NA</u>
CAC Report/Minutes		<u>NA</u>
CHEMISTRY REVIEW		_____
Labeling and Nomenclature Committee Review Memorandum		<u>NA</u>
Date EER completed _____ (attach signed form or CIRT's printout)		OK <input checked="" type="checkbox"/> No _____
FUR needed _____ FUR requested _____		
Have the methods been validated?		Yes (attach) _____ No _____
Environmental Assessment Review / FONSI		Review <input checked="" type="checkbox"/> FONSI _____
MICROBIOLOGY REVIEW		_____
What is the status of the monograph?		<u>NA</u>
		<u>NA</u>
10. CORRESPONDENCE, MEMORANDA OF TELECONS, and FAXes \_\_\_\_\_
11. MINUTES OF MEETINGS  
Date of End-of-Phase 2 Meeting None  
Date of pre-NDA Meeting None IND # \_\_\_\_\_
12. ADVISORY COMMITTEE MEETING MINUTES  
or, if not available, 48-Hour Info Alert or pertinent section of transcript. Minutes \_\_\_\_\_ Info Alert \_\_\_\_\_  
Transcript \_\_\_\_\_ No mtg
13. FEDERAL REGISTER NOTICES; OTC or DESI DOCUMENTS None
14. If approval letter, has ADVERTISING MATERIAL been reviewed?  
If no and this is an AP with draft labeling letter, has advertising material already been requested? Yes \_\_\_\_\_ No \_\_\_\_\_  
Yes, documentation attached \_\_\_\_\_  
No, included in AP ltr

**ACTION PACKAGE CHECKLIST**

- Page 2 -

16. **INTEGRATED SUMMARY OF SAFETY (from NDA)**

\_\_\_\_\_ ✓  
\_\_\_\_\_ ✓

17. **FDA LETTERS  
& MEMOS**

\_\_\_\_\_ ✓

18. **APPLICANT'S  
LETTERS**

\_\_\_\_\_ ✓

19. **CHARGE AND  
HISTORY CARD**

\_\_\_\_\_ ✓

revision:1/16/98