



RECYCLED PAPER MADE FROM 30% POST CONSUMER CONTENT



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

DATE: 12/18/91  
 TO:  
 WAYNE W. MONTGOMERY  
 PATENT DEPT., SYNTEX (U.S.A.) INC.  
 3401 HILLVIEW AVE.  
 P.O. BOX 10850  
 PALO ALTO, CA 94303

**CORRECTED  
 NOTICE**

**UNITED STATES PATENT AND TRADEMARK OFFICE  
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS, ON THIS NOTICE, PLEASE SEND A REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:  
 BERGER, JACOB

RECEIVED

DOC DATE: 09/05/91

ASSIGNOR:  
 CLARK, ROBIN D.

DEC 27 1991

DOC DATE: 08/29/91

ASSIGNOR:  
 EGLEN, RICHARD M.

SYNTEX PATENT DEPT.  
 PALO ALTO

DOC DATE: 08/29/91

ASSIGNOR:  
 SMITH, WILLIAM L.

DOC DATE: 08/29/91

ASSIGNOR:  
 WEINHARDT, KLAUS K.

DOC DATE: 08/29/91

RECORDATION DATE: 09/13/91    NUMBER OF PAGES 004    REEL/FRAME 5829/0428

SUBJECT :ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
 SYNTEX (U.S.A.) INC.  
 A CORPORATION OF DE  
 P.O. BOX 10850  
 3401 HILLVIEW AVENUE  
 PALO ALTO, CALIFORNIA 94303

129/0428 PAGE 0002

SERIAL NUMBER	7-704565	FILING DATE	05/22/91
PATENT PATENT		ISSUE DATE	00/00/00

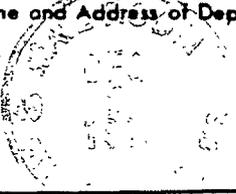
BEFORE USING THIS ORDER FORM  
read the important information on the  
reverse side

**DEPOSIT ACCOUNT ORDER FORM**

MAIL TO: Commissioner of Patents and Trademarks  
Washington, D.C. 20231 Date  
Nov. 26, 1991

Account No. 19-5430 Order No. 996

Name and Address of Depositor: PATENT DEPARTMENT A2-200  
Syntex (U.S.A.) INC.  
3401 Hillview Avenue  
Palo Alto, CA 94303



FOR OFFICE USE ONLY

ITEM OR SERVICE	VALUE FURNISHED
	ACTION Off. Use

DESCRIPTION OF ARTICLES OR SERVICES TO BE FURNISHED

Recordal of Serial Number Assignment

Inventor(s): Jacob Berger, Robin D. Clark, Richard M. Eglon, William L. Smith,  
and Klaus K. Weinhardt

Assignee: Syntex (U.S.A.) Inc.

Serial No.: 07/704,565

Filing Date: May 22, 1991

Case No.: 26890-CIP

Recordal Fee - \$8.00 (37 CFR 1.21(h) (1))

19-5430 030 518 8.00CH

If additional space is needed attach separate sheet.

*Wayne W. Montgomery*  
Wayne W. Montgomery (Signature) Reg. No. 35,016

THIS FORM MAY BE REPRODUCED WITHOUT PERMISSION OF THE PATENT AND TRADEMARK OFFICE.

FOR PROMPT, ACCURATE SHIPMENT PLEASE COMPLETE THE FOLLOWING MAILING LABEL—PLEASE PRINT OR TYPEWRITE

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
OFFICIAL BUSINESS

RETURN AFTER FIVE DAYS

CASE # 26890-CIP  
YOUR ORDER NO.

996

Name SYNTEX (U.S.A.) INC. - PATENT DEPT. A2-200  
Street Address 3401 Hillview Avenue P.O. Box 10850  
City, State, Zip Code Palo Alto, California 94303

ASSIGNMENT

WHEREAS, we, Jacob Berger, Robin D. Clark, Richard M. Eglen, William L. Smith, and Klaus K. Weinhardt, of Los Altos Hills, California; Palo Alto, California; Mountain View, California; Sunnyvale, California; and San Francisco, California, respectively, have invented certain new and useful improvements (the "Invention") in

**TRICYCLIC 5-HT<sub>2</sub> RECEPTOR ANTAGONISTS**

which is described in an application for Letters Patent of The United States of America executed by us on September 5, 1991; August 29, 1991; August 29, 1991; August 29, 1991; and August 29, 1991, respectively; and filed in the United States Patent and Trademark Office on May 22, 1991, under Serial No. 07/704,565;

AND WHEREAS, SYNTEX (U.S.A.) INC., a corporation of Delaware, having an address at 3401 Hillview Avenue, P.O. Box 10850, Palo Alto, California 94303, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefore from the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency are hereby acknowledged, we, Jacob Berger, Robin D. Clark, Richard M. Eglen, William L. Smith, and Klaus K. Weinhardt, by these presents do hereby assign, sell and transfer unto said SYNTEX (U.S.A.) INC., for the territory of The United States of America and for all foreign countries, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described in the specification of the application for Letters Patent of The United States under Serial No. 07.704,543, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; said Invention, application and Letters Patent to be held and enjoyed by said SYNTEX (U.S.A.) INC., for its own use and behoof, and for the use and behoof of its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made. This assignment is effective as of May 22, 1991.



IN WITNESS WHEREOF, I, Jacob Berger, have read and understood this Assignment document for the Invention described in the application for Letters Patent of The United States of America, Serial No. 07/704,565, and agree hereto, as indicated by my signatures set forth below.

Signature: Jacob Berger  
Jacob Berger

Date: 9-5-91

STATE OF CALIFORNIA        }  
  } ss.  
COUNTY OF SANTA CLARA    }

On this the 5<sup>th</sup> day of Sept., 1991, before me,  
Jean M. Bruder, the undersigned Notary Public, personally appeared  
Jacob Berger

- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is subscribed to the within instrument, and acknowledged that he executed it.

WITNESS my hand and official seal.

NOTARY PUBLIC  
STATE OF CALIFORNIA

Jean M. Bruder  
Notary Public

SEP 13 1991

